



# RESISTOR: A New OSPREY Module to Predict Resistance Mutations

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## ABSTRACT

**Computational, in silico prediction of resistance-conferring escape mutations could accelerate the design of therapeutics less prone to resistance. This article describes how to use the RESISTOR algorithm to predict escape mutations. RESISTOR employs Pareto optimization on four resistance-conferring criteria—positive and negative design, mutational probability, and hotspot cardinality—to assign a Pareto rank to each prospective mutant. It also predicts the mechanism of resistance, that is, whether a mutant ablates binding to a drug, strengthens binding to the endogenous ligand, or a combination of these two factors, and provides structural models of the mutants. RESISTOR is part of the free and open-source computational protein design software OSPREY.**

**Keywords:** cancer, mutation, OSPREY, Pareto, resistance, RESISTOR.

## 1. INTRODUCTION

**R**ESISTANCE TO DRUGS is a major public health challenge affecting antibiotic (Centers for Disease Control and Prevention, 2020; Pang et al, 2019), antiviral (Bar-On et al, 2018; Lampejo, 2020; Li and Chung, 2019), antifungal (Du et al, 2020; Hendrickson et al, 2019), and antineoplastic therapies (Assaraf et al, 2019; Hanna and Balko, 2021; Housman et al, 2014). Algorithms that accurately predict, early in the challenging drug development and approval process, the kinds of resistance that will occur could aid medicinal chemists in developing more durable therapies with longer effective lifespans (Frey et al, 2010; Kaserer and Blagg, 2018; Reeve et al, 2015). To that end, we have developed a new algorithm named RESISTOR to predict resistance mutations (Guerin et al, 2022a).

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## 2. METHODS

RESISTOR combines structural and genomic data to predict which protein residues, when mutated, may confer resistance. The structural component consists of positive and negative affinity designs. *Positive design* refers to optimizing an amino acid sequence to improve binding between a protein and its ligand, and conversely, *negative design* to optimizing a sequence to ablate binding. RESISTOR models both positive and negative design because resistance can occur when a mutation increases binding between a protein and its endogenous ligand or ablates binding between a protein and its drug (or a combination of these two factors). The algorithms for positive and negative design, and their implementations, are discussed in Frey et al (2010), Reeve et al (2015), Ojewole et al (2018), Jou et al (2016, 2020), Hallen and Donald (2016), Lilien et al (2005), Hallen et al (2018), and Donald (2011). RESISTOR computes how mutations affect binding affinity using the  $K^*$  algorithm.

$K^*$  is an  $\varepsilon$ -accurate algorithm implemented in OSPREY for computing a provable approximation to the affinity constant  $K_a$  (Hallen et al, 2018; Lilien et al, 2005).  $K^*$  is defined as the quotient of the bound over unbound partition functions of a protein:ligand system for a given amino acid sequence.  $K^*$  calculates an  $\varepsilon$ -accurate prediction for the  $K_a$  quotient and the partition functions of three structural states: the bound protein:ligand complex (denoted  $PL$ ), the unbound protein (denoted  $P$ ), and the unbound ligand (denoted  $L$ ). Let  $X$  be a state,  $X \in \{P, L, PL\}$ . The partition function is a sum of the Boltzmann-weighted energies for all conformations in the thermodynamic ensemble of  $X$ . Let  $s$  denote an amino acid sequence, then the partition function of  $s$  in state  $X$  (which we denote as  $q_X(s)$ ) is:

$$q_X(s) = \sum_{c \in Q_X(s)} \exp(-E(c)/RT), \quad (1)$$

where  $Q_X(s)$  is the entire conformational ensemble of sequence  $s$  in state  $X$ , and  $c$  is a single conformation in that ensemble.  $E(c)$  is the energy of conformation  $c$  as computed by an energy function (Hallen et al, 2018).  $R$  is the ideal gas constant and  $T$  is the temperature in absolute Kelvin. By using  $A^*$  search with iMinDEE over  $Q_X(s)$  to enumerate an ordered gap-free sequence of lower bounds on conformational energies (Georgiev et al, 2008), the  $K^*$  algorithm generates an  $\varepsilon$ -approximation of the partition function  $q_X(s)$ . This allows the  $K^*$  score for a sequence  $s$  to approximate  $K_a$ :

$$K^*(s) = \frac{q_{PL}(s)}{q_P(s)q_L(s)}. \quad (2)$$

There are a number of algorithms that implement the computation of the  $K^*$  score in OSPREY, including iMinDEE (Georgiev et al, 2008), BBK\* (Ojewole et al, 2018), and MARK\* (Jou et al, 2020). These three aforementioned algorithms all employ continuous minimized rotamers.

The genomic component of RESISTOR exploits mutational signatures derived from whole genome and whole exome sequencing of cancers (Alexandrov et al, 2020, 2013). A mutational signature is a distribution representing the probability that one nucleotide will mutate to another nucleotide in a given codon context and particular cancer type (Alexandrov et al, 2013). The different signatures are a result of diverse mutational processes (Alexandrov et al, 2013), and different cancer types are associated with one or more mutational signatures. And although a cancer type is associated with a set of signatures, not every associated signature is found in all tumor samples of a particular cancer type. We use these empirical mutational signature data to calculate the probability that an amino acid's codon mutates to another amino acid.

Specifically, let  $C$  be the set of cancers and  $S$  the set of mutational signatures, with  $c \in C$  and  $s \in S$ . We denote the set of signatures operative in a particular cancer  $c$  as  $S_c$ , and the proportion of tumor samples in  $c$  exhibiting signature  $s$  as  $W_{cs}$ . Let  $D$  be the set of amino acid-encoding codons and  $A$  the set of amino acids, with  $d \in D$  and  $a \in A$ . We denote the set of codons encoding amino acid  $a$  as  $D_a$ . Last, we denote  $Z$  as the set of ways that  $d$  can mutate to any  $d' \in D_a$  within two single mutational events. Then to calculate the probability that codon  $d$  mutates to amino acid  $a$  we compute:

$$P(d \rightarrow D_a | c) = \sum_{s \in S_c} P(d \rightarrow D_a | s) W_{cs} \quad (3)$$

$$= \sum_{s \in S_c} \sum_{d' \in D_a} P(d \rightarrow d' | s) W_{cs} \quad (4)$$

$$= \sum_{s \in S_c} \sum_{z \in Z} P(z | s) W_{cs}. \quad (5)$$

We determine  $Z$  for all amino acids and compute the values  $P(z|s)$  using a recursive graph algorithm. For this, we construct a directed graph  $G(v, e)$  for each mutational signature where the vertices  $v$  are codons and edges  $e$  connect codons that differ by their center base. The weight assigned to each edge  $e$  is the probability of one codon mutating to another codon, as provided in Alexandrov et al (2013). The input codon  $d$  must contain two flanking bases to lookup the probability of the first or last base of the codon mutating. Inputs to the algorithm are  $G, d$ , the path probability ( $p$ ), and the max number of mutational steps ( $n$ ). The algorithm enumerates all possible single point mutations in  $d$  in a function called `step_codon`. It looks up the probability of mutating from the current codon to the next codon using  $G$  and recursively calls itself  $n$  times. When the terminating condition is met the algorithm returns the set of codons it reached in  $\leq n$  steps and their probabilities. See Algorithm 1 for pseudocode of the algorithm.

### 3. HOW TO USE RESISTOR TO PREDICT RESISTANCE MUTATIONS

In this section, we describe how a medicinal chemist could use RESISTOR in OSPREY to predict resistance mutations.

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**Algorithm 1:** `calc_probs` computes the complete set of paths that can be reached within  $n$  mutational steps from codon. The parameter `path_prob` is the probability of reaching the current codon via a particular path. After `calc_probs` is executed, the codons reached by all paths and their associated probabilities are in the `paths` variable. The codons in this variable are then grouped and summed by the amino acid they encode (omitted below). The initial invocation of `calc_probs` initializes `path_prob` to 1. The `step_codon` function produces all 9 variants of a codon with a single mutated base.

---

```
paths ← []

def calc_probs (codon, path_prob, G, n):
    if n=0:
        return

    for next_codon in step_codon(codon):
        mutational_prob ← G [codon, next_codon]
        next_prob ← path_prob * mutational_prob
        push(paths, (next_codon, next_prob))
        calc_probs(next_codon, next_prob, G, n - 1)
```

---

#### 3.1. Inputs to RESISTOR

There are a number of requisite inputs to running RESISTOR. First, two structural models of a protein must be obtained, one with the protein binding the endogenous ligand (for the *positive design*) and one with the drug (for the *negative design*), preferably from the Protein Databank (Berman et al, 2003) if experimentally determined models are available. If models are lacking, it is possible to use docking, homology modeling, or other computational modeling techniques to generate structures (Frey et al, 2010; Guerin et al, 2022a; Reeve et al, 2015; Roberts et al, 2012; Wang et al, 2022). The structures must be protonated, and if small molecules are used, their connectivity templates and forcefield parameters must be generated using Ambertools (Case et al, 2021). A detailed instructional example is included in the Supplementary Information from Guerin et al (2022b).

We have added a new YAML-based design specification file format to OSPREY to simplify the process of performing a *computational mutational scan* and computing  $K^*$  scores. In searching for resistance mutations, we are often interested in interrogating all residues within a certain radius (called the *mutational radius*) from the drug or endogenous ligand. A mutational scan allows one to specify a target molecule or residue, a mutational radius, and a flexibility radius. Executing the scan generates a set of complete, ready-to-run OSPREY design files.

These design specification files are also in YAML format, and a separate file is generated per residue within the mutational radius. The mutational scan automatically sets all residues within the flexibility radius of the mutable residue as continuously flexible [see Gainza et al (2012) for background on the importance

of continuous flexibility]. The scan can be used to generate a design file for each residue of interest for both the positive and negative design states. These design files are used as input to the `affinity` command in OSPREY to compute the  $K^*$  score.

### 3.2. Running RESISTOR

This section describes how to create the design template, do the mutational scan, and then run the individual OSPREY designs. All of the following code snippets are typed into a Unix shell.

**3.2.1. Create the design template.** First create the design template file. This file is a simplified version of the `affinity` design file type omitting the specification of flexibility or mutability in the protein or ligand. In other words, the `residue_configurations` properties of the `protein` and `ligand` objects in the design file must be empty lists. Copy the protein and ligand coordinates into the template file. Then add a new top-level property called `scan`. Under the `scan` property add a `target` property, and set that property to the ligand. Then the following command creates the set of designs (substitute your template file for `template.yaml`):

---

```
osprey affinity --do-scan --design template.yaml
```

---

You need to do this for two structures: the protein interacting with its endogenous ligand (the *positive design*) and the protein with its drug (the *negative design*).

**3.2.2. Compute the positive and negative  $K^*$  scores.** After the mutational scan has created the positive and negative design files, run the OSPREY  $K^*$  algorithm on each. There are a number of different options you can select, such as the `--cuda` flag to accelerate the energy function calculations if your computer has CUDA-capable GPUs, and the `--epsilon` flag to specify the accuracy of the  $K^*$  approximation [we showed in Ojewole et al (2018) that  $\epsilon$  of 0.683 guarantees that the approximated  $K^*$  score is within one order of magnitude of the  $K^*$  score without approximation]. The following is just an example; run `osprey affinity --help` for the full list of options.

---

```
osprey affinity \
  --design design.yaml \
  --epsilon 0.683
  --cuda
```

---

**3.2.3. Compute the mutational probabilities.** Create a CSV file with three columns: the residue number, the wild-type amino acid, and the mutant amino acid. Do this for each of the wild-type+mutant pairs. Assuming you have downloaded the OSPREY source code, run the following command to add a mutational probabilities column:

---

```
julia --project=. main.jl \
  --mut-prob mut-prob-file \
  --fasta cDNA-fasta-file \
  --identifier identifier-in-cDNA-fasta-file \
  --csv CSV-file
```

---

The `--mut-prob` argument should be a path to a JSON file. This JSON file contains a JSON object with the per-cancer type codon-to-codon mutational probabilities [see the Supplementary Information from Guerin et al (2022b) for the precise form]. The `--fasta` argument should be provided a path to a FASTA file with the coding DNA for the protein. Since FASTA files can contain multiple sequences, the `--identifier` argument must contain the sequence identifier of the sequence of interest (viz. the text following the “>” from the header/description line). The `--csv` argument should be the path to the 3-column CSV file created earlier. This program outputs a new CSV file with the added mutational probability column.

TABLE 1. EXAMPLE RESISTOR RESISTANCE MUTATION PREDICTIONS

<i>Pos</i>	<i>WT AA</i>	<i>Mut AA</i>	<i>Sig Prob</i>	<i>Lig WT</i>	<i>Lig Mut</i>	<i>Drug WT</i>	<i>Drug Mut</i>	<i>Count</i>	<i>Rank</i>
593	GLY	ILE	4.55E-05	18.66	19.8	37.17	30.24	16	1
593	GLY	SER	6.09E-02	18.66	18.83	37.17	34.27	16	1
466	GLY	GLN	7.24E-05	18.80	12.55	37.16	11.37	11	2
593	GLY	THR	2.67E-05	18.66	19.06	37.17	27.33	16	2
464	GLY	GLN	7.24E-05	18.58	2.95	37.09	11.05	1	3

“Pos” is the position of the residue. “WT AA” is the wild-type identity of the amino acid. “Mut AA” is the resistance mutation. “Sig Prob” is the mutational signature probability for the mutation from “WT AA” to “Mut AA.” “Lig WT” and “Lig Mut” are the  $K^*$  scores of the endogenous ligand with the wild-type and mutant residues, respectively. “Drug WT” and “Drug Mut” are the  $K^*$  scores of the drug with the wild-type and mutant residues, respectively. “Count” is number of resistance mutations at the position. “Rank” is the Pareto rank of the mutation. Note:  $K^*$  scores are in  $\log_{10}$  units.

**3.2.4. Run pareto optimization.** The last step is to run Pareto optimization on the four axes. Append the  $K^*$  scores associated with the positive and negative design results to the spreadsheet produced in Section 3.2.3. Create a JSON file with the names of the column headings and whether a column must be maximized or minimized [see the Supplementary Information from Guerin et al (2022b) for the precise form]. The following command will append a column with the Pareto rank of each sequence:

---

```
julia --project=. main.jl CSV-file pareto-settings
```

---

where the first argument *CSV-file* refers to the input CSV file and the second argument *pareto-settings* refers to the JSON settings file you created earlier.

### 3.3. Interpreting the results to predict resistance mutants

The results of RESISTOR are in tabular form. Table 1 is excerpted from a result set from Guerin et al (2022a). The results are listed in order of the Pareto Rank, with those with rank 1 being on the Pareto frontier. The Sig Prob, Lig WT, Lig Mut, Drug WT, Drug Mut, and count columns can be used to interpret the different contributions to a mutant’s Pareto rank.

## 4. SUMMARY

We provide RESISTOR to predict and prioritize resistance mutations for therapeutic design. Although we have incorporated four resistance-causing criteria, RESISTOR’s use of Pareto optimization as a general method for ranking prospective mutants allows the incorporation of additional criteria. As free and open-source software, we encourage others investigating therapeutic resistance to incorporate and contribute additional objective functions into RESISTOR. Potential future applications include predicting resistance to antimicrobials (Frey et al, 2010; Reeve et al, 2015), antivirals, antifungals, and other antineoplastic therapies.

A comprehensive tutorial demonstrating an application of RESISTOR to predict resistance mutations in the epidermal growth factor receptor to the tyrosine kinase inhibitor erlotinib is provided in the Supplementary Information in Guerin et al (2022b). OSPREY with RESISTOR, installation instructions, additional documentation, and tutorials are available on the OSPREY software suite’s website,<sup>\*</sup> and further discussions on OSPREY and structure-based computational protein design can be found in Hallen et al (2018) and Donald (2011).

## AUTHORS’ CONTRIBUTIONS

NG: Conceptualization, Methodology, Software, Validation, Writing: Original Draft. TK: Conceptualization, Methodology, Validation, Writing: Review and Editing, Funding Acquisition. BRD:

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<sup>\*</sup><https://www2.cs.duke.edu/donaldlab/software/osprey/docs/>

Conceptualization, Methodology, Writing: Review and Editing, Supervision, Project Administration, Funding Acquisition.

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### AUTHOR DISCLOSURE STATEMENT

B.R.D. is a founder of Ten63 Therapeutics, Inc.

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