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Improving renal tumor biopsy prognostication with BAP1 analyses

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Abstract

Context—Active surveillance of small renal masses highlights the need for accurate prognostication of biopsies.

Objective—To comprehensively evaluate the accuracy of biopsies in assessing known prognostic parameters including histologic subtype by comparison with subsequent nephrectomy samples.

Design—We retrospectively identified patients at UT Southwestern Medical Center, Dallas, Texas, who had a biopsy for a renal mass between 2004–2018. Biopsy samples were evaluated for known prognostic factors such as tumor grade, necrosis, sarcomatoid/rhabdoid change, and BAP1 status, which we previously showed is an independent prognostic factor for clear cell renal cell carcinoma. Accuracy was determined by comparison with subsequent analyses of nephrectomy specimens. Statistical analyses were performed to assess biopsy accuracy and correlation with tumor size and pathologic stage.

Results—From 805 biopsies with a diagnosis of renal neoplasm, 178 had subsequent resection of the biopsied tumor. Concordance rate for histologic subtype was 96.9% (kappa [w] 0.90; 95%

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CI 0.82–0.99) and excellent for small renal masses (98.8%; kappa [w] 0.97; 95% CI 0.90–1). Amongst the prognostic variables evaluated, BAP1 immunohistochemistry in clear cell RCC had the highest agreement (94.8%; kappa [w] 0.83; 95% CI 0.66–0.99). The presence of one or more aggressive features (grade 3–4, tumor necrosis, BAP1 loss, sarcomatoid/rhabdoid change) in a biopsy significantly correlated with pT stage ($P=$.004).

Conclusions—Biopsy analyses showed high accuracy for subtyping renal tumors, but it underestimated several poor prognostic features. Addition of BAP1 for clear cell RCC may increase prognostic accuracy. If validated, routine incorporation of BAP1 immunohistochemistry in clear cell RCC biopsies may refine prognosis and aid in the selection of patients for active surveillance.

Keywords

RCC; Renal cell carcinoma; Biopsy; concordance; nephrectomy; histologic subtypes; BAP1

INTRODUCTION

The incidence of kidney cancer continues to increase¹. Many of these tumors are small masses discovered incidentally due to increasing use of cross-sectional imaging^{2–4}. Renal cell carcinoma (RCC) is the most common type of kidney cancer, with clear cell RCC (CCRCC) being the most frequent subtype (75–85%)⁵. Although the incidence of RCC, particularly of small renal masses (SRMs; <4 cm), is increasing, mortality trends have remained stable⁶. Conservative management with active surveillance is increasingly being considered^{7,8}. The current European Association of Urology (EAU) guidelines recommend renal mass biopsy for active surveillance⁹. Biopsies are generally safe, have a high diagnostic yield, and may inform patient management by reducing unnecessary surgeries^{10,11}. The National Comprehensive Cancer Network (NCCN) similarly recommends needle biopsies for SRMs to establish a diagnosis of RCC and guide management including active surveillance and ablation strategies¹².

Several histopathological characteristics are associated with outcomes in patients with RCC, including histologic subtype, tumor size, pathologic stage, nucleolar grade, tumor necrosis, as well as rhabdoid and sarcomatoid change^{13–16}. These features have also been shown to correlate with worse prognosis in SRMs¹⁷. However, these studies are largely based on histologic analyses of resection specimens. Prognostic parameters on biopsies could help in risk stratification and management especially for SRMs. However, significant intratumoral heterogeneity in CCRCC may pose a challenge^{18,19}. While the subtypes of RCC can be accurately determined on renal mass biopsy^{20–22}, the detection of the other prognostic parameters by core biopsy is not well understood.

Herein, we sought to determine the accuracy of renal mass biopsy for the evaluation of prognostic factors by comparison to subsequent nephrectomies. We evaluated histologic subtype, grade, tumor necrosis, as well as sarcomatoid and rhabdoid changes. In addition, we assessed BRCA1-associated protein-1 (BAP1) status, a tumor suppressor protein of prognostic significance in CCRCC^{18,23}. We and others have shown that *BAP1* is mutated in ~15% of CCRCCs, where it results in loss of the protein²⁴. BAP1-deficient tumors tend

to be of high grade and are associated with 3-fold lower survival rates^{24–27}. Moreover, recent analyses from the IMmotion151 phase 3 trial show that *BAP1*-mutated RCCs are enriched in CCRCCs with preferential responsiveness to immune checkpoint inhibitor containing regimen^{28,29}. As mutations of *BAP1* typically result in loss of the protein²⁴, we developed an immunohistochemical (IHC) assay and showed that it was highly concordant with the mutation status²⁴. Using this assay, we previously showed that BAP1 predicts outcome independently of known prognostic factors (including UCLA Integrated Staging System variables) and may have implications in the management of patients with SRMs²⁶. Recognizing that intratumoral heterogeneity may undermine prognostication accuracy of traditional parameters such as tumor grade, necrosis and sarcomatoid/ rhabdoid changes, which may be present focally in more advanced subclones, we considered whether BAP1, which is a relatively proximal mutational truncal event in CCRCC¹⁹, could be useful^{18,23}. In this study we explore, for the first time, the utility of BAP1 status on biopsies of CCRCC.

MATERIALS AND METHODS

After approval from the institutional review board, we retrospectively identified patients who had a renal mass cytology and subsequent nephrectomy of the biopsied tumor at the University of Texas Southwestern Medical Center, Dallas, Texas (UTSW), Kidney Cancer Program between January 2004 and Dec 2018. Renal mass biopsy (RMB) based on our institution guidelines, are routinely performed under computed tomography or ultrasound guidance with at least 2 passes taken per lesion depending upon the adequacy assessment by an onsite cytopathologist. This rapid onsite adequacy assessment is done using a touch imprint smear that is air-dried and stained with Diff-Quick (Romanowsky) stain and assessed for adequacy based on cellularity and presence of lesional material compatible with the clinical/radiologic findings. On rare events when a biopsy is not possible, fine needle aspirates (FNAs) are performed and they were included in our study. Cases with non-RCC diagnoses, apart from oncocytoma, were excluded from this analysis. In cases with multiple tumor subtypes in nephrectomy specimens, we focused on tumors that were biopsied based on the radiological location of the needle.

Pathologic parameters were recorded from the original pathology reports for RMB and nephrectomy. During the span of 15 years, consensus guidelines for histologic subtypes, availability and use of immunohistochemical (IHC) assays to assist in tumor characterization, and grading guidelines have evolved. For this study, tumors were subtyped according to World Health Organization (WHO) classification in vigor at the time^{30,31}, and they were graded using the Fuhrman or WHO grading systems^{31,32}. This study includes also cases prior to surgical pathology subspecialization in 2012 at our institution. Subspecialized practice led to increased attempt at rendering a subtype diagnosis and reporting prognostic variables on RMB. The current diagnostic and IHC approach for histologic subclassification of renal masses is shown in Figure 1–2. Oncocytic tumors on biopsies are diagnosed as “low grade renal oncocytic neoplasm” and may be favored to be oncocytoma or eosinophilic variant of chromophobe RCC. For the purpose of this study, the favored diagnosis was considered when stated.

BAP1 IHC (C-4, sc-28383; 1:90 dilution; heat induced Tris-based epitope retrieval) is routinely performed at our Clinical Laboratory Improvement Amendment (CLIA)-certified clinical laboratory as described previously using Benchmark XT automated stainer (Ventana)²⁴. For CCRCC cases where BAP1 was not originally reported, BAP1 IHC was performed and evaluated on available samples. BAP1 was positive (retained, wild-type) when there was nuclear reactivity and in each tumor section endothelial cells, lymphocytes, stromal fibroblasts and normal renal parenchyma served as internal positive control.

Detection and reporting of prognostic parameters, including histologic subtype, grade, tumor necrosis, rhabdoid change, sarcomatoid change, and BAP1 immunoreexpression were compared between preoperative biopsies and resection specimens. The final pathology at nephrectomy was considered to represent the ground-truth diagnosis in all cases. Cases with missing data were not included for concordance assessment. Cases with discordant histologic subtypes were reviewed to identify factors contributing to misclassification. Additional ancillary studies were performed on these cases as needed during review to further characterize the tumors. Considering that sarcomatoid/rhabdoid features are not consistently reported on RMB, we evaluated the corresponding available biopsy specimens for cases with reported sarcomatoid/rhabdoid change on nephrectomy.

Data were summarized using frequencies and percentages for categorical variables and standard deviations, and ranges for continuous variables. Chi-square tests were used to test for associations between categorical parameters, while Student's *t*-test (for two groups) or ANOVA (for three or more groups) were used to test for differences in continuous measures of clinicopathologic features. Kappa and weighted kappa were used for concordance assessment, rank biserial coefficient and Phi coefficient were calculated for correlation analyses, and the method of receiver operating characteristic (ROC) curve was used for biomarker studies. All *P* values were 2-sided, and *P* values <.05 were considered statistically significant. Statistical analyses were conducted using SAS 9.4 (SAS Institute Inc., Cary, NC).

RESULTS

During a period of 15 years, 875 RMBs/FNAs were performed of which, 805 (92.0%) yielded a diagnosis of a neoplasm and 70 (8.0%) were non-diagnostic. Six hundred and forty-three were diagnosed as RCC or oncocytic neoplasm. Of those, 178 cases (27.7%) had a subsequent nephrectomy, including 92SRMs (169 RMBs and 9 FNAs) for the same mass. All 9 FNAs had adequate tissue and in 8 samples a histologic subtype was rendered (hereafter referred together with RMB). The current diagnostic approach for histologic subclassification of renal masses is shown in Figure 1–2.

Table 1 describes the 178 patient and tumor characteristics at nephrectomy. As expected, the most common histologic subtype was CCRCC followed by papillary RCC (PRCC), and chromophobe ChRCC. Other tumor subtypes included renal oncocytoma (RO), clear cell papillary RCC (CCPRCC), MiT family translocation RCC (MiTF TRCC), RCC associated with Tuberous Sclerosis Complex (RCC-TSC), collecting duct carcinoma (CDC) and RCCs that were left unclassified (UnRCC). One case was diagnosed as hybrid oncocytic-

chromophobe tumor (HOCT), which for the purpose of this study is considered under ChRCC. More than one renal tumor subtype was found in 4 nephrectomy specimens.

Eight (4.5%) nephrectomy specimens were diagnosed as RO and all were favored to be RO on corresponding RMB (Table 2). One hundred seventy nephrectomies were diagnosed with RCC and included 167 RMBs with RCC and 3 others where a diagnosis of RO was favored; 2 were classified as eosinophilic variant of ChRCC and 1 as HOCT on resection. All 3 biopsies were reported as low-grade renal oncocytic neoplasm of uncertain malignant potential with a comment that RO was favored but that eosinophilic variant of ChRCC could not be excluded based on the limited biopsy material. The biopsy slides were no longer available for review (2 biopsies were performed at outside institutions and slides returned). Review of the nephrectomy slides did not result in change of diagnosis. One of these tumors was SRM.

Sixteen biopsies were diagnosed as RCCs without further subtype. The subtype classification was assigned on the nephrectomy specimen in 14 of these cases (5 CCRCCs, 5 PRCCs, 1 ChRCC, 1 CCPRCC, 1 MiTF TRCC, and 1 RCC-TSC) and 2 were left unclassified. Only 2 cases diagnosed with a specific RCC subtype on biopsy showed histologic subtype discordance in nephrectomy. Both were diagnosed as CCRCC on biopsy. At nephrectomy, both cases were left unclassified (UnRCC) after performing multiple IHCs. In order to obtain further insight, we reviewed the diagnostic material for these two cases. Both biopsies showed a small tumor fragment (<2mm). However, both nephrectomies revealed large RCCs (>pT1a) with marked morphologic heterogeneity and diverse IHC staining patterns, including focal areas mimicking CCRCC. Findings from the review of these two cases are illustrated in Figures 3–4. The biopsy from case 1 was diagnosed as RCC and favored as CCRCC. As illustrated in Figure 3A, hematoxylin & eosin (H&E) stained slides showed a high grade RCC without the characteristic vascular network diagnostic of CCRCC. On IHC, the tumor cells were positive for PAX8, pankeratin, racemase, vimentin, CD10 (focal), and were negative for cytokeratin 7 and CD117. CA IX immunostaining (Figure 3B) on the biopsy showed strong cytoplasmic staining with only focal membranous staining that may have led to the diagnosis of CCRCC. Sections from the resection specimen showed a heterogenous tumor with tubular, nested and papillary architectures (Figure 3C,3D). Interestingly, the areas resembling CCRCC in nephrectomy (Figure 3C) had strong membranous CA IX IHC staining (Figure 3E) that could potentially be misleading on a limited biopsy sample. During our current review, we noticed prominent nucleoli with focal perinucleolar clearing (Figure 3D) and obtained IHC for fumarate hydratase (FH) that showed loss of FH staining in tumor cells supporting the diagnosis to FH-deficient RCC (Figure 3F). The case was diagnosed prior to subspecialized sign-out and FH IHC was not obtained at the time of diagnosis. Review of case 2 revealed a morphologically heterogenous RCC with focal nested/tubular architecture and tumor cells exhibiting clear cytoplasm that on biopsy were misdiagnosed as CCRCC (Figure 4A,4B). IHCs were not obtained on the biopsy. Similar morphology was present focally in the nephrectomy specimen (Figure 4C), but also included high grade areas with papillary architecture (Figure 4D) and low-grade areas with nested, oncocytic tumor-like architecture (Figure 4E). IHCs on the nephrectomy specimen showed a PAX8 positive renal tumor that was otherwise negative for TFE3, TFEB, CK20, p63, high molecular weight cytokeratin,

INI1, cathepsin K and HMB-45 and retained FH and SDHB. The oncocytic tumor-like areas were diffusely positive for CD117 and focally for cytokeratin (CK) 7 (Figure 4F–H), while the papillary areas were focally positive for CA-IX, and negative for CD117 and CK7 (Figure 4F,4H). Overall, the tumor did not fit well with currently recognized entities and was left unclassified.

The total percentage agreement and the more robust calculated weighted Cohen's kappa coefficient that considers the possibility of the agreement occurring by chance are shown in Tables 2 and 3 for all parameters considered. The overall concordance rate of RCC histologic subtyping was 96.9%. After applying a weighted Cohen's kappa coefficient, the values remained high for all renal tumors (kappa [w] 0.90; 95% CI 0.82–0.99) as well as the subset of SRMs (98.8%; kappa [w] 0.97; 95% CI 0.90–1). We wondered whether the histologic subtype agreement changed with size of the tumor. As shown in Table 4, discrepant histologic subtype diagnosis increased with size.

Histologic grade was available in biopsy and resection specimens for 140 RCCs (excluding ChRCC) of which 78 cases (55.7%; kappa [w] 0.33; 95% CI 0.22–0.44) agreed (Table 2). As expected, in low-grade tumors and in SRMs (SRMs have fewer high grade tumors), concordance for histologic grade on biopsy and nephrectomy was higher (67.6%; kappa [w] 0.36; 95% CI 0.17–0.54). Using simplified low (1–2) and high (3–4) grade groups, the overall histologic grade concordance rate was higher for both the overall cohort as well as SRMs (66.4% and 75.7% respectively) (Table 3). As for histologic subtype, grade discrepancy on biopsy increased with size, and the result was statistically significant ($P=.03$; Table 4).

Presence of tumor necrosis, rhabdoid, and sarcomatoid changes were less consistently reported, and cases with missing data were not included for concordance assessment. Of the 7 RCCs with sarcomatoid change on nephrectomy, only 2 showed sarcomatoid features on biopsy (2 biopsies did not comment on sarcomatoid change and were not available for review). The nephrectomies of the two biopsies with sarcomatoid change, had >70% sarcomatoid differentiation. In contrast, the remaining 5 nephrectomies exhibit <30% sarcomatoid differentiation. Similarly, rhabdoid change was observed in 2 of the 6 available biopsies with rhabdoid change on corresponding nephrectomy (Table 2). Two SRMs showed small foci of sarcomatoid or rhabdoid change on resection, however, the corresponding biopsies were not available for review. Of 38 nephrectomy specimens showing tumor necrosis, only 16 biopsies (68.4% agreement) exhibited necrosis. The agreement was higher in SRMs (73.5%) (Table 3).

BAP1 IHC was performed on 77 paired CCRCCs. BAP1 was deficient in 17 CCRCCs and predominantly noted in high grade tumors (94.1% [16 of 17] vs 5.9% [1 of 17]) (Figure 5A–B). Of 16 CCRCCs that were BAP1 deficient, 12 showed loss of staining in the biopsy (94.8%; kappa [w] 0.83; 95% CI 0.66–0.99) (Table 2). Similar rates of concordance were observed in SRMs (95.0%; kappa [w] 0.83; 95% CI 0.60–1) (Table 3). We asked if BAP1 analysis on biopsies when considered along with other prognostic variables could increase prognostic accuracy of CCRCC. The ROC curve in Figure 5C illustrates that BAP1 IHC in combination with the grade on biopsy can better predict grade on resection in

CCRCCs. Inclusion of other prognostic features such as presence of tumor necrosis and/or sarcomatoid/rhabdoid change, did not improve predictive value. However, as shown in Table 5, the presence of one or more aggressive features (grade 3–4, tumor necrosis, BAP1 loss, sarcomatoid/rhabdoid change) on biopsy correlated significantly with pT stage in resection ($P=.004$).

DISCUSSION

Our study evaluates the prognostic accuracy of biopsies in renal tumors by comparing with subsequent nephrectomies. These parameters include histologic subtype, tumor grade, necrosis, sarcomatoid/rhabdoid change, and BAP1 status. To our knowledge, this is the first study to evaluate accuracy of prognostic parameters on RMB that includes BAP1.

Expanding previous studies, our study shows that image-guided biopsy/FNA of renal masses has high efficacy [805 of 875 (92%) RMBs performed were diagnostic]. This may in part be due to the onsite cytology assessment performed at our institution during these procedures. Although there are limited data reported in literatures regarding subtyping of renal tumors on FNA, we included FNA (there were only 9 in our cohort) in our analysis due to similar results as observed with the RMBs in our cohort. Similar to prior studies, our study shows that RMBs have excellent accuracy for the diagnosis of malignancy across renal masses (98.3%; kappa [w] 0.83; 98% CI 0.65–1) including SRMs (98.9%; kappa [w] 0.79; 98% CI 0.40–1). In the clinic, favored diagnoses in the pathology report are often considered as final and we included them in this study. We found a high RCC subtyping concordance rate of 96.9% in all tumors and this rate reached 98.8% in SRMs. Similar to our findings, prior studies show excellent concordance for tumor subtypes on RMB (78–100%). In a systematic review including meta-analysis of published studies on the diagnostic performance of RMB, Marconi *et al.* showed an overall high diagnostic yield of 92% (range: 81–97%) for the diagnosis of malignancy on biopsy with excellent sensitivity (99.1%) and specificity (99.7%)¹⁰. The same meta-analysis showed an accuracy of 90.3% (range: 84–94%) for tumor subtype that reached 96% (range: 90–100%) for SRMs. Most studies included were from large academic institutions with subspecialization and large volumes. However, the accuracy may not be as high at small practices without subspecialization, especially with the growing number of newly described rare RCC subtypes. We share in Figure 1–2 our approach to histologic subtyping of renal tumors.

Our study suggests that misclassification of renal tumors on RMB is more likely to occur in: 1) tumors with eosinophilic cytoplasm; 2) where there is limited tumor sampling in biopsy; 3) with infrequent use or misinterpretation of IHCs; 4) and where corresponding tumors are large and heterogenous. In our study, the two misclassified high-grade RCCs on biopsy showed marked histologic and IHC heterogeneity in the nephrectomy specimens. Both cases emphasize the liberal utility of IHCs in diagnosing histologic subtype and caution in interpreting them when limited sample is available. Diffuse membranous expression of CA IX can be a helpful marker for the diagnosis of CCRCC, with the caveat that nonrenal tumors (including urothelial carcinoma), hypoxic tumor tissue, and clear cell papillary RCC can also express CA IX.

Accurate diagnosis can be more challenging in the biopsy of low-grade oncocytic or eosinophilic tumors. At our institution, these cases are usually diagnosed as “low-grade renal oncocytic neoplasm of uncertain malignant potential”. Many institutions, including ours, only favor a diagnosis of RO on a biopsy and most of these tumors do not undergo nephrectomy. RO is disfavored when morphologic features such as solid architecture, nuclear pleomorphism and atypia, perinuclear clearing, prominent cell borders, atypical mitosis, or papillary architecture are present (even focally) or if CK7 IHC shows diffuse positivity³³. In 3 cases, a diagnosis of RO was favored in our study that were subsequently diagnosed as ChRCC (2 cases eosinophilic variant and 1 HOCT) on resection. The biopsies were unfortunately no longer available to help learn from these discrepancies.

Similar to our data, the concordance rates of other prognostic parameters such as nucleolar grade are significantly lower in the literature^{20–22,34–42}. In a meta-analysis, the degree of tumor grade concordance was only fair (median kappa=0.34) with median rate of 62.5% (range: 52–72%) across renal masses and 66.7% (range: 60–70%) for SRMs¹⁰. Our results show a concordance rate of 55.7% for histologic grade, and 68.4% for tumor necrosis, similar to that seen in previously published studies¹⁰. These discrepancies may be due to interobserver variability, intratumoral heterogeneity, and sampling bias. In our study, we analyzed the data as reported in the pathology reports over a span of 15 years. Given that nephrectomies are usually performed within a short time after the biopsy, criteria used for grading and prognostication would be similar and representative of routine practice in vigor at the time. Tumor heterogeneity is a well-recognized factor for histology, grade, and mutation status, especially in CCRCC^{43–45}. Grade agreement decreased with size (Table 4), suggesting intratumoral heterogeneity to be a dominant factor. The higher concordance rates in SRMs may also be influenced by their tendency to be low grade and lack of intratumoral heterogeneity^{17,18}. A contributing factor for poor concordance especially for necrosis may be the sampling bias and techniques for obtaining viable tissue during the biopsy procedure. Our study included only 7 nephrectomies that contained sarcomatoid and/or rhabdoid changes on final pathology with poor concordance rates on paired biopsies. A study assessing a larger number of cases with sarcomatoid/rhabdoid differentiation may be needed to evaluate concordance.

Sequencing and IHC studies by our group and others have shown that BAP1 mutant tumors are associated with worse clinical outcomes and aggressive clinicopathological features in CCRCC^{25–27}. In our study loss of BAP1 immunexpression showed a high concordance rate of 94.8% across renal masses. This rate was significantly higher than histologic grade, tumor necrosis, and sarcomatoid/rhabdoid change. The biopsy BAP1 IHC along with tumor grade predicted the grade on resection most accurately compared to other prognostic parameters either alone or in combination (Figure 5). Most importantly, we show that presence of any aggressive feature (grade 3–4, tumor necrosis, BAP1 loss, sarcomatoid/rhabdoid change) in biopsy correlated significantly with pT stage upon resection (Table 5). If validated, routine incorporation of BAP1 IHC in ccRCC biopsies may refine prognosis and aid in the selection of patients for active surveillance.

Limitations of this study include having a retrospective design, using a single institution database, being skewed in favor of higher-grade tumors as they undergo nephrectomy more

frequently, and interventional radiology protocols regarding the tumor sampling preference of non-necrotic tumor tissue to obtain viable parts of tumors.

CONCLUSIONS

We show that renal mass biopsy has high accuracy for diagnosing and subtyping renal tumors especially SRMs. Histological subtyping may be more challenging when tumor cells show an eosinophilic cytoplasm and might be aided by IHC. RMBs often underestimate poor prognostic features such as high nucleolar grade, tumor necrosis, and sarcomatoid/rhabdoid changes and absence of these features cannot be determined conclusively in a biopsy. In contrast, BAP1 status could be more accurately predicted in biopsy material, and may serve as an additional reliable prognostic indicator of CCRCC aggressiveness.

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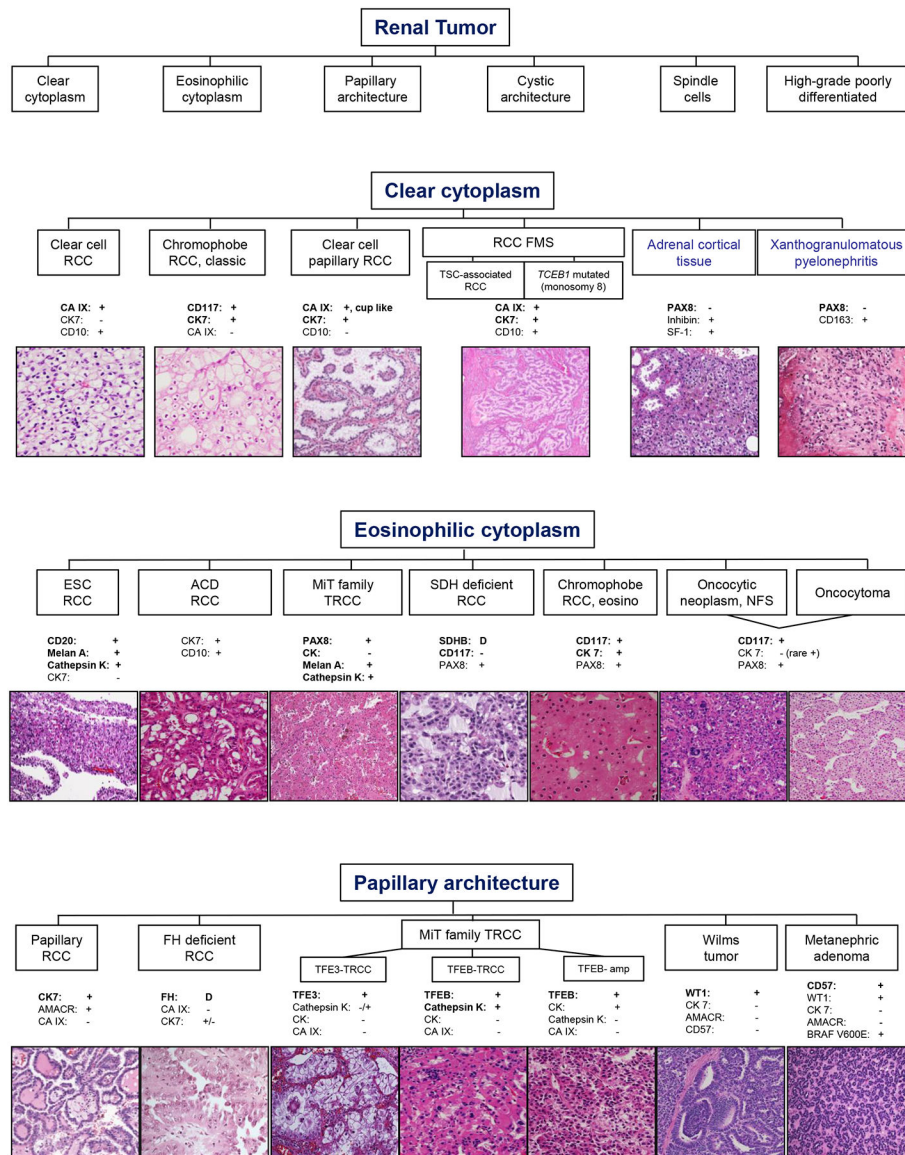


Figure 1. Diagnostic algorithm based on morphological features of renal tumors and supportive immunohistochemical (IHC) stains. Representative hematoxylin & eosin (H&E) images are shown (magnification range from 40x-200x). Photomicrographs for SDH-deficient RCC and ALK translocation RCC are provided by Liwei Jia, MD¹. Abbreviations used: RCC=Renal cell carcinoma; FMS=Fibromyomatous stroma; TSC=Tuberous sclerosis complex; *TCEB1*=Transcription elongation factor B polypeptide 1 gene; CA IX=Carbonic anhydrase IX; CK=Cytokeratin; PAX8=Paired box gene 8; SF-1=Steroidogenic factor 1; ESC=Eosinophilic, solid and cystic; ACD=Acquired cystic disease; MiT= Microphthalmia Transcription Factor; TRCC=Translocation RCC; SDH=Succinate dehydrogenase; D=deficient/loss; eosino=eosinophilic variant; NFS=not further subtyped; FH=Fumarate hydratase; amp=amplification.

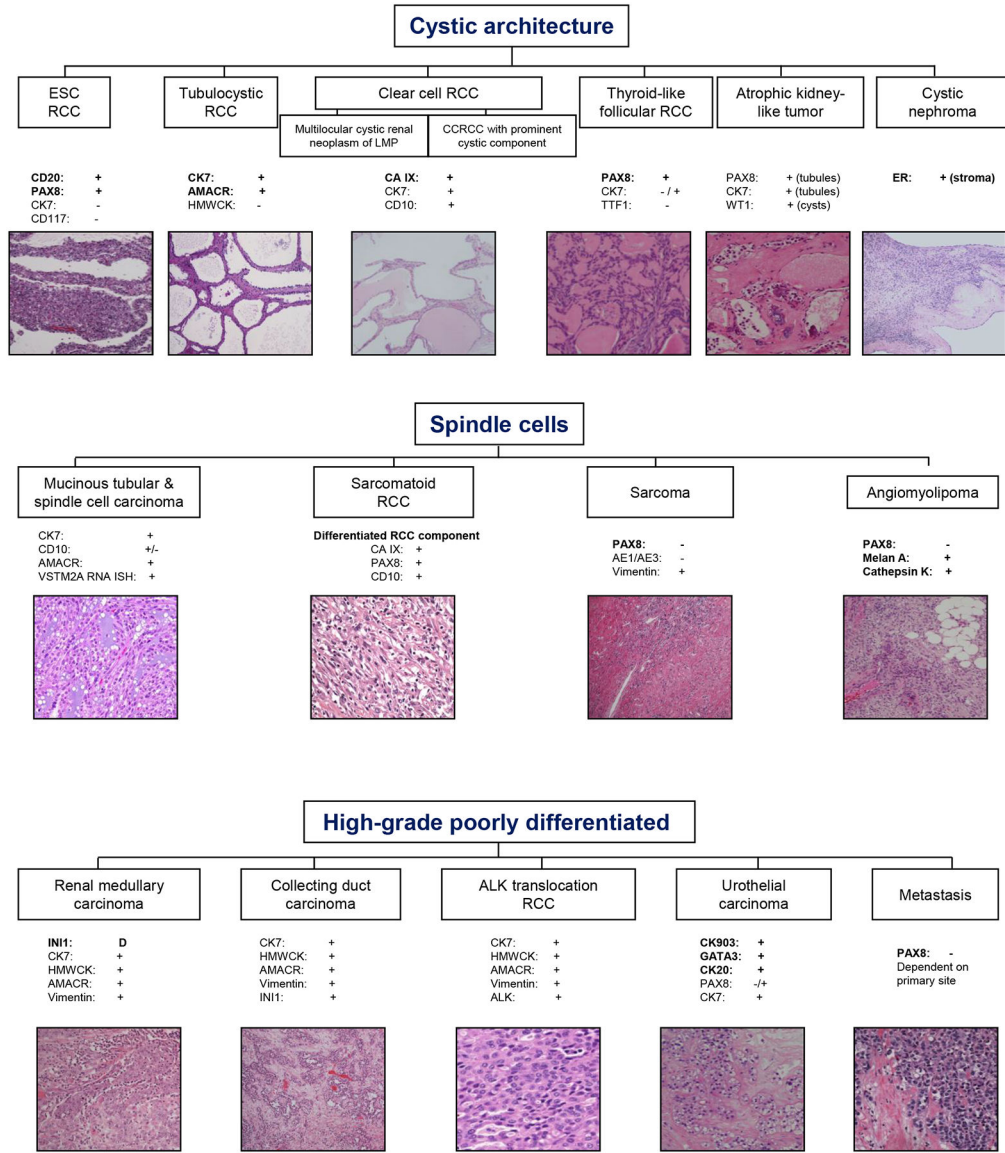


Figure 2. Continuation of diagnostic algorithm based on morphological features of renal tumors and supportive immunohistochemical (IHC) stains. Representative hematoxylin & eosin (H&E) images are shown (magnification range from 40x-200x). Abbreviations used: ESC=Eosinophilic, solid and cystic; RCC=Renal cell carcinoma; LMP=Low malignant potential; CC=Clear cell; CK=Cytokeratin; PAX8=Paired box gene 8; HMW=High molecular weight; AMACR=alpha-methylacyl-CoA racemase; CA IX=Carbonic anhydrase IX; TTF1=Thyroid transcription factor 1; D=deficient/loss of expression; RNA-ISH=RNA in situ hybridization; INI1=Integrase interactor 1 (or BAF47); ALK=Anaplastic lymphoma kinase; ER=estrogen receptor.

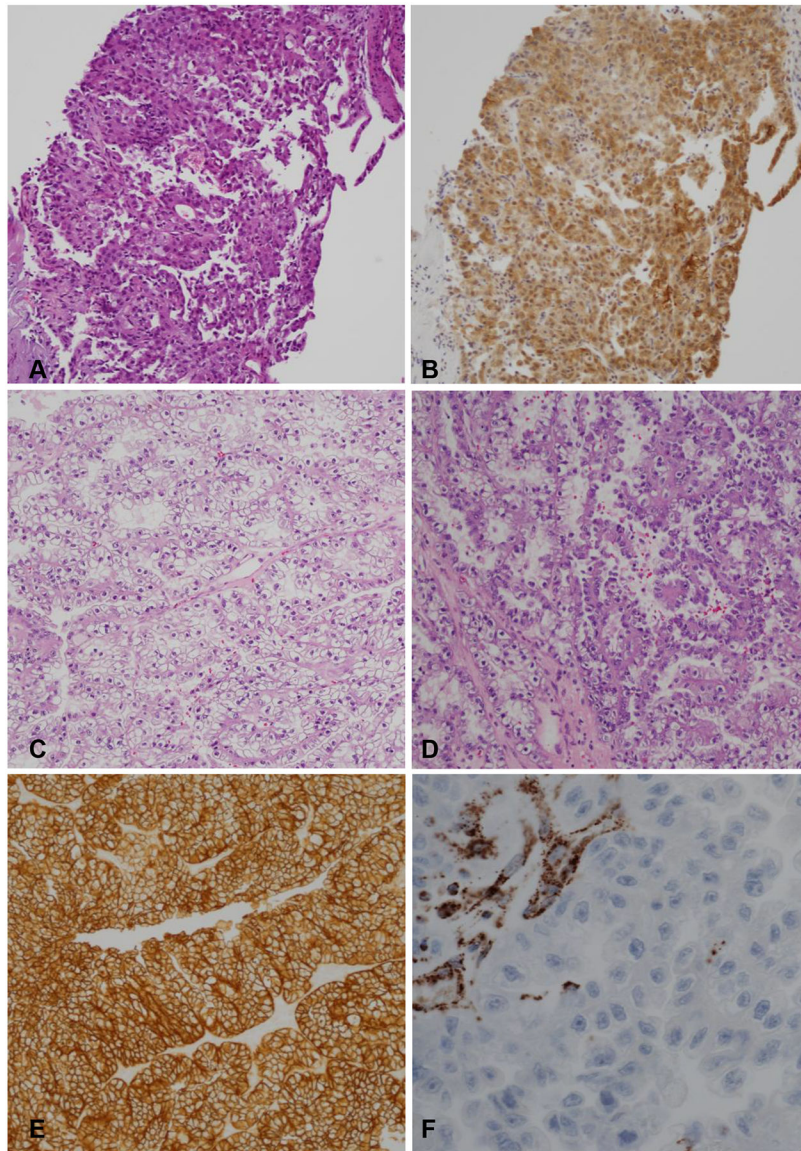


Figure 3. Representative images from case 1. (A) H&E stained sections from the biopsy shows neoplastic cells with eosinophilic to clear cytoplasm. (B) IHC stain for CA IX with predominantly cytoplasmic staining that may have led to misclassification as CCRCC. (C-D) H&E stained sections from the nephrectomy specimen showing heterogeneous morphology with focal areas exhibiting tubular and nested architecture with clear cytoplasm and others with papillary architecture and eosinophilic cytoplasm. (E) CA IX IHC with strong membranous staining in focal areas (F). Fumarate Hydratase (FH) IHC with loss of staining in the tumor cells and retained staining in endothelial cells. (A-E: 100x magnification; F: 400x magnification)

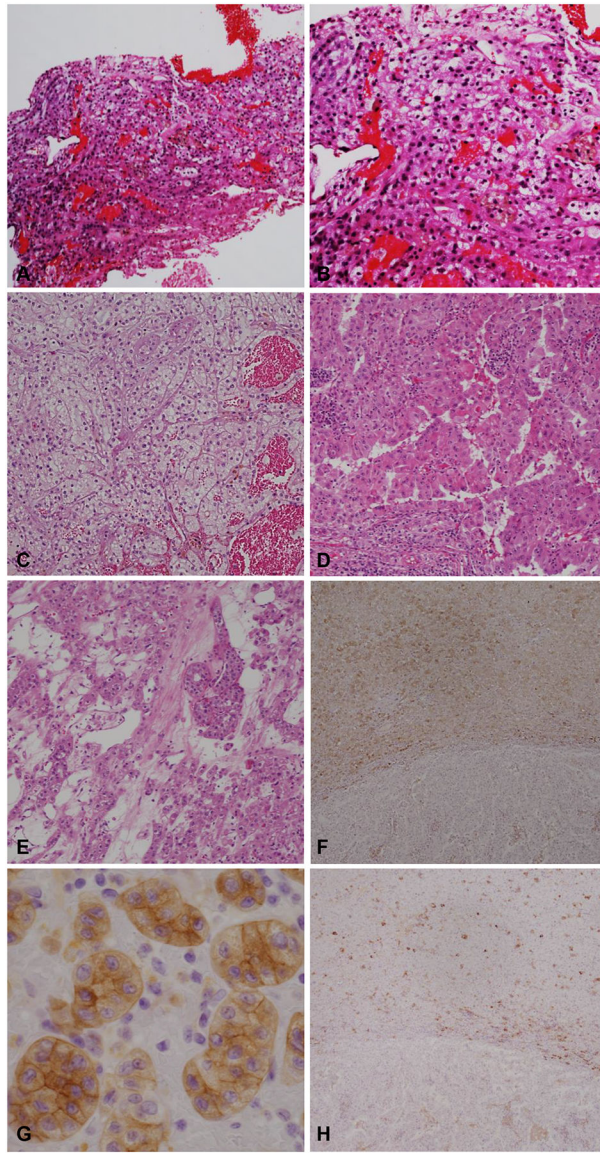


Figure 4.

Representative images from case 2. (A-B) Sections from the biopsy with nests of tumor cells and capillary network resembling CCRCC. (C-E) Representative H&E stained sections from the nephrectomy specimen illustrating diverse morphologies including CCRCC-like, papillary, and oncocytic. (F-G) CD117 with diffuse cytoplasmic membranous positive staining in the nested oncocytic area and negative in high grade papillary areas. (H) Cytokeratin 7 stained focally in the oncocytic area and was negative in the high-grade papillary areas. (A, C-E: 100x magnification; B: 200x magnification; F, H: 40x magnification; G: 400x magnification).

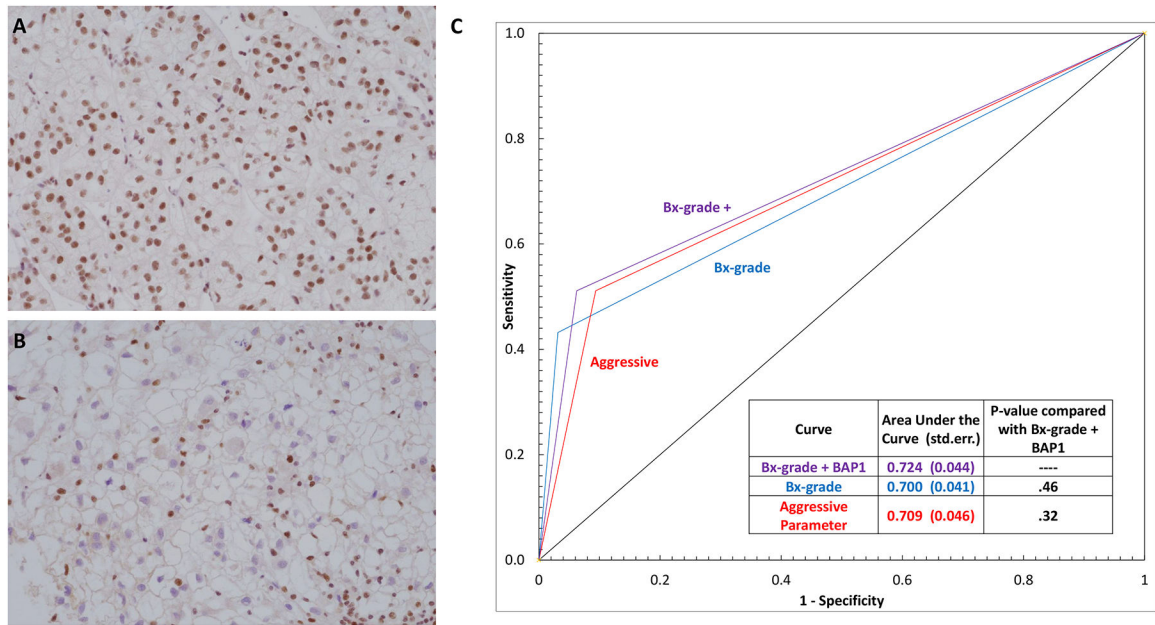


Figure 5.

Representative BAP1 IHC images with (A) retained and (B) loss of nuclear BAP1 staining in the tumor cells. Retained nuclear expression in the background endothelial cells and lymphocytes serves as a positive control in each slide. (C) Receiver operating characteristic (ROC) curve showing correlation of presence of prognostic parameters on biopsy with tumor grade (grade 1–2 vs 3–4) on nephrectomy. The corresponding area under the curve and *P*-values are embedded in the table inset. Abbreviations used: Bx=biopsy; std. err.=standard error; aggressive parameter=presence of one or more of the following features: tumor grade 3 or 4, BAP1 loss, tumor necrosis, sarcomatoid and/or rhabdoid change. (A-B: 200x magnification)

Table 1.

Tumor characteristics on nephrectomy

	Small renal masses (%) (n = 92)	Total (%) (n = 178)
Histologic subtype		
CCRCC	58 (63.0%)	111 (62.4%)
PRCC	20 (21.7%)	32 (18.0%)
ChRCC*	8 (8.7%)	16 (9.0%)
RO	2 (2.2%)	8 (4.5%)
CCPRCC	2 (2.2%)	2 (1.1%)
MiTTF TRCC	1 (1.1%)	2 (1.1%)
RCC-TSC	1 (1.1%)	1 (0.6%)
UnRCC	0 (0%)	5 (2.8%)
CDC	0 (0%)	1 (0.6%)
pT stage**	(n = 90)	(n = 170)
pT1a	76 (84.4%)	76 (44.7%)
pT1b	-	30 (17.7%)
pT2a	-	4 (2.4%)
pT2b	-	-
pT3a	13 (14.4%)	53 (31.2%)
pT3b	1 (1.1%)	3 (1.8%)
pT3c	0 (0%)	1 (0.6%)
pT4	0 (0%)	3 (1.8%)
pN stage**		
pN0	2 (2.2%)	16 (9.4%)
pN1	1 (1.1%)	13 (7.7%)
pNX	87 (96.7%)	141 (82.9%)
M stage**		
M0	89 (98.9%)	154 (90.6%)
M1	1 (1.1%)	16 (9.4%)
Stage**		
1	75 (83.3%)	103 (60.6%)
2	-	4 (2.3%)
3	14 (15.6%)	46 (27.1%)
4	1 (1.1%)	17 (10.0%)
Grade***	(n = 82)	(n = 154)
1	4 (4.9%)	4 (2.6%)
2	48 (58.5%)	66 (42.9%)
3	28 (34.2%)	64 (41.6%)
4	2 (2.4%)	20 (13.0%)
Sarcomatoid change	(n = 90)	(n = 170)
Identified	1 (1.1%)	7 (4.1%)

	Small renal masses (%) (n = 92)	Total (%) (n = 178)
Not identified	89 (98.9%)	163 (95.9%)
Rhabdoid change	(n= 32)	(n = 68)
Identified	1 (3.1%)	7 (10.3%)
Not identified	31 (96.9%)	61 (89.7%)
Tumor necrosis	(n= 74)	(n = 151)
Identified	23 (31.1%)	68 (45.0%)
Not identified	51 (68.9%)	83 (55.0%)
CCRCC with BAP1 IHC	(n= 55)	(n = 104)
BAP1 loss	8 (14.6%)	17 (16.4%)
BAP1 retained	47 (85.4%)	87 (83.6%)

n= total number of cases with available data on nephrectomy for each parameter;

* including 1 hybrid oncocytic-chromophobe tumor;

** excluding RO;

*** excluding RO and ChRCC.

Abbreviations used: RCC=renal cell carcinoma, CCRCC=clear cell RCC, PRCC=papillary RCC, ChRCC=chromophobe RCC, RO=renal oncocytoma, CCPRCC=clear cell papillary RCC, MiTF TRCC=MiT family translocation RCC, RCC-TSC=RCC associated with Tuberous Sclerosis Complex, UnRCC=unclassified RCC, CDC=collecting duct carcinoma, BAP1=BRCA1-associated protein-1, IHC=immunohistochemistry.

Table 2.

Concordance of histology and prognostic factors all renal masses

	All renal masses			
	Nephrectomy	Biopsy in agreement (%)	Total agreement (%)	Cohen's Kappa (95% CI)
RO vs RCC				
RO	8	8 (100%)	175 (98.3%)	0.83 (0.65, 1)
RCC	170	167 (98.2%)		
Histologic subtype*				
CCRCC	106	106 (100%)	157 (96.9%)	0.90 (0.82, 0.99) [§]
PRCC	27	27 (100%)		
ChRCC	15	12 (80.0%)		
RO	8	8 (100%)		
CCPRCC	1	1 (100%)		
CDC	1	1 (100%)		
MiTF TRCC	1	1 (100%)		
UnRCC	3	1 (33.3%)		
Grade**				
1	4	3 (75.0%)	78 (55.7%)	0.33 (0.22, 0.44) [§]
2	63	53 (84.1%)		
3	58	18 (31.0%)		
4	15	4 (26.7%)		
Low grade (1–2)	67	65 (97.0%)	93 (66.4%)	0.34 (0.22, 0.47)
High grade (3–4)	73	28 (38.4%)		
Sarcomatoid change				
Identified	5	2 (40.0%)	73 (96.1%)	0.55 (0.11, 1)
Not identified	71	70 (100%)		
Rhabdoid change				
Identified	6	2 (33.3%)	39 (90.7%)	0.46 (0.04, 0.89)
Not identified	37	37 (100%)		
Tumor necrosis				
Identified	38	16 (42.1%)	52 (68.4%)	0.37 (0.19, 0.55)
Not identified	38	36 (94.7%)		
CCRCC with BAP1 IHC				
BAP1 loss	16	12 (75.0%)	73 (94.8%)	0.83 (0.66, 0.99)
BAP1 retained	61	61 (100%)		

Cases with missing data in either nephrectomy or biopsy are not included.

* excluding RCC not further classified;

** excluding RO and ChRCC;

[§]Weighted kappa.

Abbreviations used: RO=renal oncocytoma, RCC=renal cell carcinoma, CCRCC=clear cell RCC, PRCC=papillary RCC, ChRCC=chromophobe RCC, CCPRCC=clear cell papillary RCC, CDC=collecting duct carcinoma, MiTF TRCC=MiT family translocation RCC, UnRCC=unclassified RCC, BAP1=BRCA1-associated protein-1, IHC=immunohistochemistry.

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Table 3.

Concordance of histology and prognostic factors in small renal masses (SRM)

	Small renal masses			
	Nephrectomy	Biopsy in agreement (%)	Total agreement (%)	Cohen's Kappa (95% CI)
RO vs RCC				
RO	2	2 (100%)	91 (98.9%)	0.79 (0.40, 1)
RCC	90	89 (98.9%)		
Histologic subtype*				
CCRCC	55	55 (100%)	83 (98.8%)	0.97 (0.90, 1) [§]
PRCC	17	17 (100%)		
ChRCC	8	7 (87.5%)		
RO	2	2 (100%)		
CCPRCC	1	1 (100%)		
MiTF TRCC	1	1 (100%)		
Grade**				
1	4	3 (75.0%)	50 (67.6%)	0.36 (0.17, 0.54) [§]
2	46	39 (84.8%)		
3	24	8 (33.3%)		
4	0			
Low grade (1–2)	50	48 (96.0%)	56 (75.7%)	0.35 (0.13, 0.56)
High grade (3–4)	24	8 (33.3%)		
Sarcomatoid change				
Identified	0	0 (0%)	37 (100%)	-
Not identified	37	37 (100%)		
Rhabdoid change				
Identified	0	-	16 (100%)	-
Not identified	16	16 (100%)		
Tumor necrosis				
Identified	10	2 (20.0%)	25 (73.5%)	0.20 (-0.12, 0.51)
Not identified	24	23 (95.8%)		
CCRCC with BAP1 IHC				
BAP1 loss	8	6 (75.0%)	38 (95.0%)	0.83 (0.60, 1)
BAP1 retained	32	32 (100%)		

Abbreviations used: RO=renal oncocytoma, RCC=renal cell carcinoma, CCRCC=clear cell RCC, PRCC=papillary RCC, ChRCC=chromophobe RCC, CCPRCC=clear cell papillary RCC, MiTF TRCC=MiTF family translocation RCC, BAP1=BRCA1-associated protein-1, IHC=immunohistochemistry

[§]Weighted kappa.

**excluding RO and ChRCC;

*excluding RCC not further classified;

Cases with missing data in either nephrectomy or biopsy are not included.

Table 4.

Rank biserial correlation of prognostic variables and tumor size

	Biopsy histology concordant		Rank biserial coefficient (absolute value)	Estimated P-value
	No	Yes		
Tumor size (cm)				
< 2	0	10	0.413	.10
2 – 4	1	64		
4 – 6	1	46		
> 6	3	37		
	Biopsy grade concordant		Rank biserial coefficient (absolute value)	Estimated P-value
	No	Yes		
Tumor size (cm)				
< 2	2 (25%)	06 (75%)	0.213	.03
2 – 4	12 (21%)	44 (79%)		
4 – 6	20 (47%)	23 (53%)		
> 6	13 (39%)	20 (61%)		

Table 5.

Rank biserial correlation for the presence of aggressive features and pT stage

	Aggressive parameter present in biopsy		Rank biserial coefficient (absolute value)	Estimated <i>P</i> -value
	No	Yes		
pT stage				
pT1	76	20 (21%)	0.255	.004
pT2	2	01 (33%)		
pT3	31	19 (38%)		
pT4	0	03 (100%)		

Aggressive parameters were defined as presence of one or more of the following features on biopsy: tumor grade 3 or 4, BAP1 loss, tumor necrosis, sarcomatoid and/or rhabdoid change.

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