



Published in final edited form as:

*Pediatr Transplant*. 2023 February ; 27(1): e14404. doi:10.1111/ptr.14404.

## Changing Racial and Ethnic Differences for Lung Transplantation in Cystic Fibrosis

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### Abstract

**Background:** Cystic fibrosis transmembrane conductance regulator (CFTR) modulators, especially (elixacaftor/tezacaftor/ivacaftor), have positively impacted the cystic fibrosis (CF) population and quickly decreased lung transplant (LTx) numbers. However, no study has investigated if this reduction is universal across all races/ethnicities.

**Methods:** Using the United Network for Organ Sharing (UNOS) Registry, we explored the frequency/proportions of LTx in White non-Hispanic (WNH) and non-White (NW) (Black, Non-Hispanic/Hispanic-Latino/Asian-Non Hispanic/American Indian-Alaskan Native-Non-Hispanic/Native Hawaiian/Other Pacific Islander-Non-Hispanic/Multiracial) in children and adults with CF in the United States (US).

**Results:** Between 1990 and 2019, the annual mean ( $\pm$ SD) number of LTxs for children with CF was 23.2 ( $\pm$ 7.7) compared to 5 in 2020 ( $p < 0.001$ ) and in 2021 ( $p < 0.001$ ). In adults from 1990 to 2019, the mean ( $\pm$ SD) number of LTxs performed was 144.9 ( $\pm$ 73.5), which was significantly higher than 2020 ( $n=73$ ;  $p < 0.001$ ) and 2021 ( $n=45$ ;  $p < 0.001$ ). Comparing 1990-2019 to post-2019, the proportion of LTxs performed in both children and adults with CF has decreased from 50.5% (696/1378) to 16.4% (9/55) and from 12.1% (4773/39,542) to 2.4% (118/5004), respectively. In

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Author contribution:

DH: Conception and design, drafting the manuscript

AD: Statistical analysis, reviewing and editing the manuscript

AGG: Statistical analysis, reviewing and editing the manuscript

FZ: Statistical analysis, data interpretation, reviewing the manuscript

DLSM: Data interpretation, reviewing the manuscript

AGZ: Conception and design, data interpretation, drafting the manuscript

**Conflicts of Interest:** The authors report no conflicts of interest and have no relevant disclosures regarding this manuscript.

**Data:** Data available upon request.

WNH pediatric patients, the difference in the percentage of all LTx made up by CF patients between the two eras was 41.2% compared to NW patients where the difference was 11%. Similarly in adults, the difference between the two eras was 10.4% in WNH and 2.4% in NW patients.

**Conclusions:** Recent reduction in LTx for the CF population has had less impact on the NW population in the US, so continuation of optimal referrals for this group is needed.

**Graphical Abstract**

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PEDIATRIC TRANSPLANTATION
Trends for Lung Transplant in Non-White Children with Cystic Fibrosis

**HYPOTHESIS:** We sought to investigate whether the reduction in need for LTx is universal across all races and ethnic origins of people with CF.

**Methods**

**Results**

UNOS was used to explore the frequency of LTx in White non-Hispanic and non-white children and adults with CF.

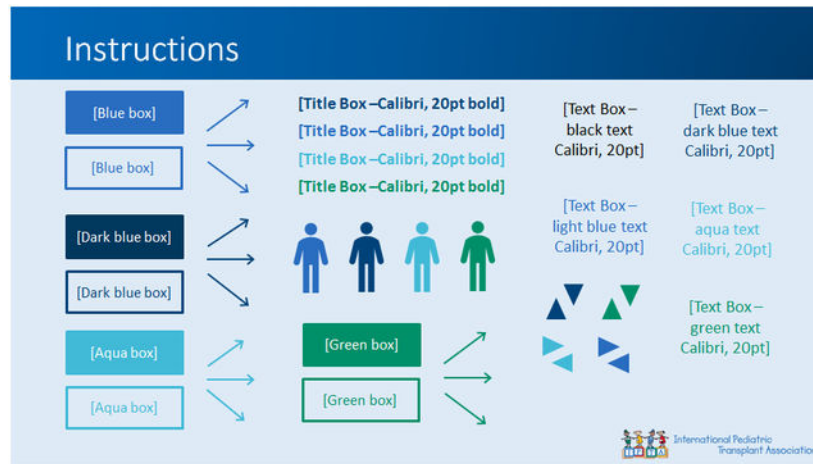
1990-2019		2020 & 2021	
Mean # of Pediatric LTx in CF Patients = 23.2 ±7.7	Mean # of Pediatric LTx in CF Patients = 5 & 4	Mean # of Adult LTx in CF Patients = 144.9 ±73.5	Mean # of Adult LTx in CF Patients = 73 & 45

The reduction of LTxs is greater in White-non Hispanic children and adults

**CONCLUSION:** Optimal referral and listing practice is needed for non-white CF population in the US until there are alternative therapies for the treatment of CF in these racial groups.

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## Keywords

adults; children; cystic fibrosis; diversity; gap; lung transplant; pediatrics

The advent of cystic fibrosis transmembrane conductance regulator (CFTR) modulators has caused a paradigm shift in the treatment of the cystic fibrosis (CF) population, starting with ivacaftor in 2012 and then elxacaftor/tezacaftor/ivacaftor (E/T/I) in November 2019. [1] Because of its efficacy in patients with at least one copy of the F508del mutation, E/T/I has expanded CFTR modulator treatment for a larger proportion of people with CF (PwCF). Early evidence with ivacaftor therapy found a positive impact of FEV1 decline, [2] thus preserving higher pulmonary function for a longer time period. A population-based study found that ivacaftor seemed to decrease mortality risk and possibly reduced the need for solid organ transplant in PwCF, [3] but this study was limited by a small number of deaths and transplants. More recently, Avdimiretz et al. reported a downward trend over time for absolute number of LTxs in children with CF and proportion of total pediatric LTxs in children attributed to CF. [4] A prospective, observational study found a twofold decrease in the number of LTxs performed in PwCF over 12 years of age in 2020 compared to the 2 previous years. [5] Further work by others has confirmed that the need for LTx is dropping in PwCF. [6, 7] Notably, none of this work has addressed whether these changes in LTx in PwCF differ by race and/or ethnicity. Therefore, we explored trends in LTx for PwCF in the United States (US) using the (UNOS) Registry given the release of E/T/I therapy to determine if LTx in PwCF differ by race and ethnicity, comparing White non-Hispanic (WNH) and non-White (NW) (Black, Non-Hispanic/Hispanic-Latino/Asian-Non Hispanic/American Indian-Alaska Native-Non-Hispanic/Native Hawaiian/Other Pacific Islander-Non-Hispanic) groups. For our analysis, we included the recently updated Organ Procurement and Transplantation Network descriptions for race/ethnicity. [8] For those patients listed as multiracial which is collected in the UNOS Registry, we included that population in the NW cohort.

The UNOS Registry was queried to identify both children (<18-years-of-age) and adults (18-years-of-age) with a diagnosis of CF who underwent LTx in the US between October

1987 and December 2021. Figures 1 and 2 show the annual number of LTxs performed in children and adults with CF. There has been a significant reduction in the number of annual LTxs for both age cohorts in 2020 and 2021. For children with CF from 1990-2019 the annual mean ( $\pm$ SD) number of LTxs was 23.2 ( $\pm$ 7.7), whereas this number was significantly higher than 2020 (n=5) ( $p<0.001$ ) and 2021 (n=4) ( $p<0.001$ ). For non-CF children, the annual mean number of LTxs (20.8 ( $\pm$ 9)) was significantly lower than the number for 2020 (n=27) ( $p<0.001$ ) but similar to 2021 (n=19) ( $p=0.3$ ). In adults from 1990 to 2019, the mean ( $\pm$ SD) number of LTxs performed in CF recipients was 144.9 ( $\pm$ 73.5); this number was significantly higher than 2020 (n=73;  $p<0.001$ ) and 2021 (n=45;  $p<0.001$ ).

Figures 3 and 4 show the decreasing proportions of LTx occurring in both pediatric and adult CF populations. Between 1990 and 2019, the number of children with CF undergoing LTx was 696 with a total of 1378 being performed, making up 50.5% of the pediatric LTx population. After 2019, 9 of 55 children who underwent LTx had CF or 16.4%. Looking at adults, 4773 of the total 39542 LTx performed between 1990 and 2019 were in PwCF, so a total of 12.1% of all LTxs performed were in PwCF. After 2019, 118 of 5004 LTxs have been performed in PwCF which is 2.4%, thus further substantiating a significant reduction of LTx in the CF population. Further exploring this, we found differences based on racial and ethnic differences. Comparing 1990-2019 to post-2019, the proportion of LTxs performed in WNH and NW children with CF has decreased from 49.5% to 8.3% and 33.5% to 22.5% of all pediatric LTxs, respectively. Similarly, when comparing 1990-2019 to post-2019, we found the proportion of LTxs performed in WNH and NW adults with CF has decreased from 13% to 2.6% and 3.9% to 1.5% of all adult LTxs, respectively.

Although CFTR modulator treatment is not collected by the UNOS Registry, the timing of this change in the number of LTxs in CF suggests that E/T/I therapy is a major contributing factor. [4] The reduction of LTxs is greater in the WNH population, indicative of the benefit of E/T/I targeting F508del mutation as the higher prevalence in WNH patients. Previous studies have identified the various categories of CFTR mutations present in the NW CF population. [9] Based on CFTR mutations alone innate to respective races and ethnicities, 92.4% of NWH patients, 69.7% of Black/African American patients, 75.6% of Hispanic patients, and 80.5% of other race patients are eligible for CFTR modulators. [10]

While we cannot directly examine if the SARS-CoV-2 pandemic influenced these results, it is known that LTx volume decreased in programs in the US. [11] Although there is no direct evidence that E/T/I was the cause of the reduction in LTx for CF, our findings are consistent with this hypothesis as the LTx volume has returned to pre-SARS-CoV-2 pandemic volume and, the reduction in LTx volume for both children and adults with CF in the US persists, supporting the introduction of E/T/I therapy as the primary cause of our findings.

In addition to confirming previous findings by other investigators that need for LTx in CF is rapidly decreasing, [5-7] this analysis has identified a persistent need for LTx in NW children with CF. With the F508del mutation being far less common in NW individuals at 15-25% of the population, [12] E/T/I therapy will be less impactful for this patient population. Due to minorities representing a proportion of the CF population having worse disease burden and increased mortality, [13] there is a need to optimize referral and listing

practices for LTx for this patient population until there are alternative therapies for treatment of their chronic lung disease.

## Acknowledgements

The authors have no relevant disclosures or conflicts of interest. The funding for this work included the following NIH grants: R01HL142210 (AZ), R01HL151588 (AZ-MPI), NIH R01HL147957-01 (AD, AGG, FZ, DLMS).

### Financial Support:

NIH 1R01HL147957-01 (AD, AGG, FZ, DLMS), NIH R01HL142210 (AZ), NIH R01HL151588 (AZ)

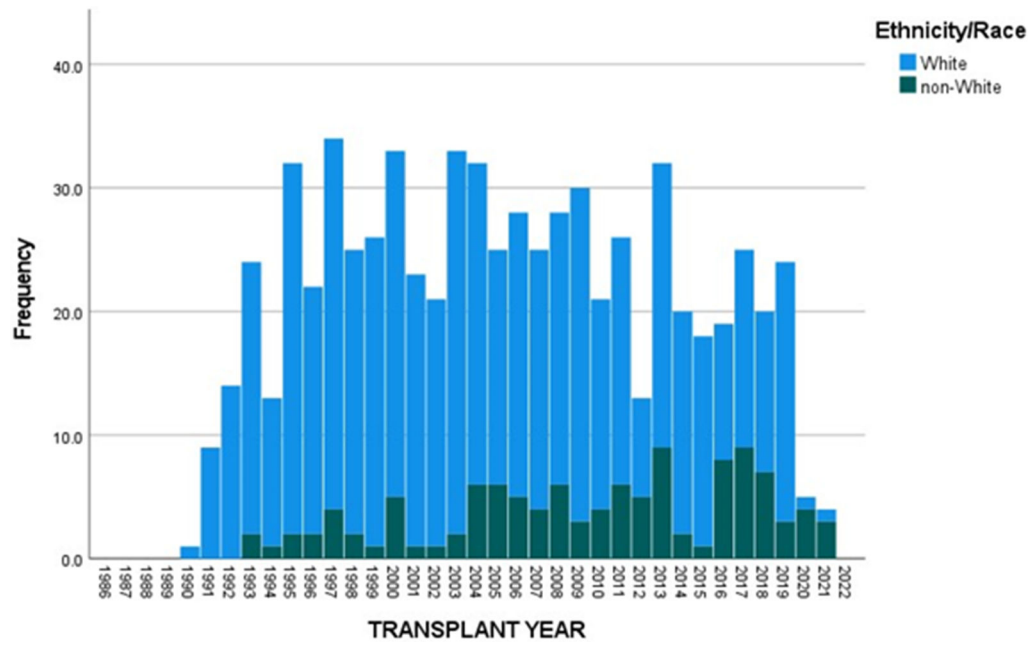
### Abbreviations:

(CF)	cystic fibrosis
(CFTR)	cystic fibrosis transmembrane conductance regulator
(E/T/I)	elexacaftor/tezacaftor/ivacaftor
(LTx)	lung transplant
(NW)	non-White
(PwCF)	people with CF
(WNH)	White non-Hispanic
(UNOS)	United Network for Organ Sharing
(US)	United States

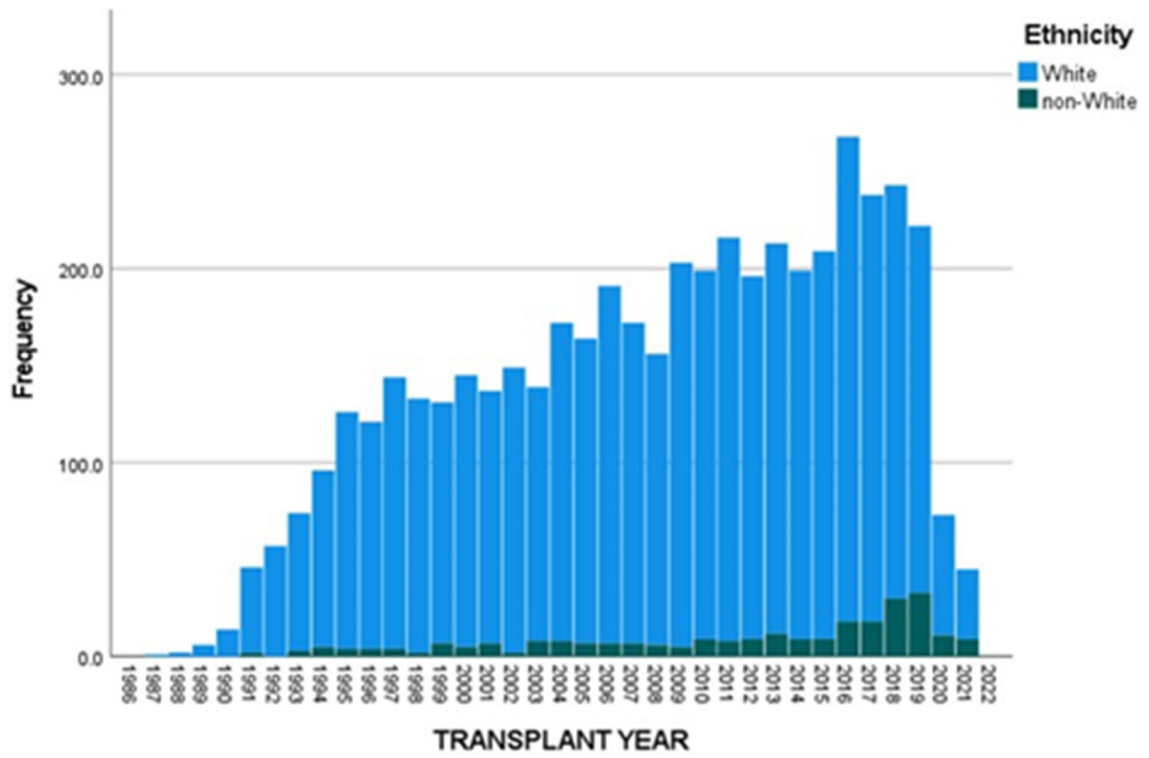
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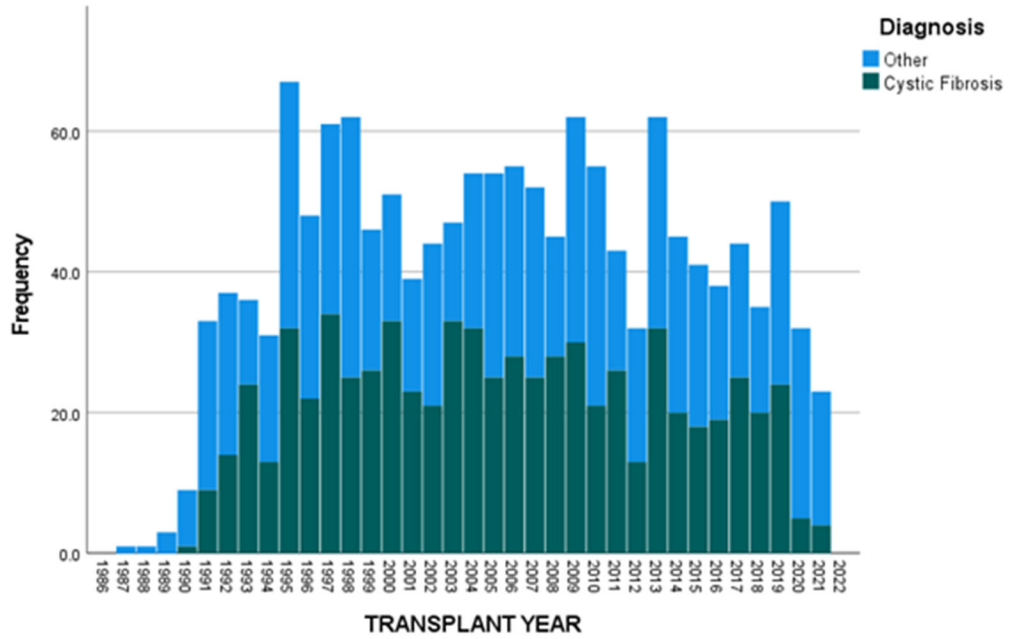


**Figure 1.** Annual number of lung transplants performed in children (<18-years-of-age) with cystic fibrosis in the United States.

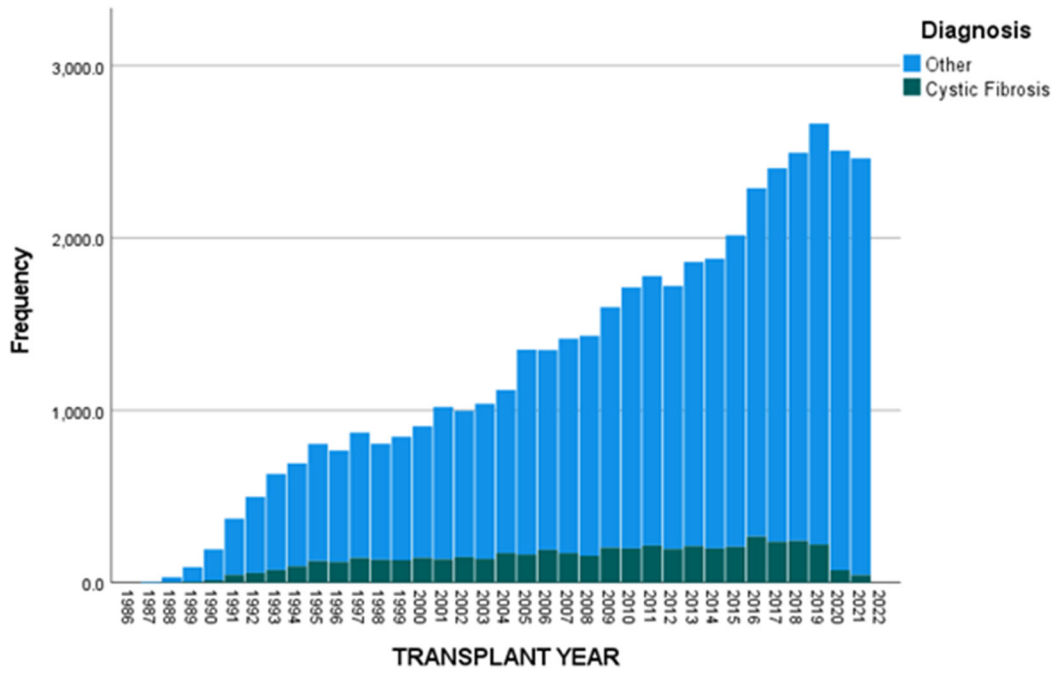


**Figure 2.** Annual number of lung transplants performed in adults (18-years-of-age) with cystic fibrosis in the United States.





**Figure 3.** Proportion of lung transplants occurring in children (<18-years-of-age) with cystic fibrosis compared to all lung transplants in the United States.



**Figure 4.** Proportion of lung transplants occurring in adults ( 18-years-of-age) with cystic fibrosis compared to all lung transplants in the United States.