



Published in final edited form as:

*Cancer Cell*. 2023 January 09; 41(1): 58–69. doi:10.1016/j.ccell.2022.10.016.

## Engineered T Cell Therapy for Viral and Non-viral Epithelial Cancers

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### Summary

Engineered T cell therapy has shown remarkable efficacy in hematologic malignancies and has the potential for application to common epithelial cancers. Diverse T cell therapy strategies including adoptive transfer of tumor infiltrating lymphocytes, chimeric antigen receptor (CAR)-T cells, and T cell receptor (TCR)-T cells have been studied in clinical trials. Recent research has established treatment of HPV-associated cancers with TCR-T cells as a model for proof of principle studies in epithelial cancers. These studies and others have provided critical insight into mechanisms of tumor regression, therapeutic targets, treatment safety, treatment design, and barriers to curative cell therapies for common types of cancer. This Perspective will review and consolidate understanding gained from clinical trials to treat viral and non-viral epithelial cancers with cell and gene therapy and will examine how past experience may guide future strategy in treatment and biomarker discovery.

### Introduction

Engineered T cell therapy has demonstrated high response rates and frequent complete responses in B cell malignancies and holds promise for the treatment of wide-ranging cancers. Five chimeric antigen receptor (CAR)-T cell therapies targeting CD19 for B cell malignancies and two CAR-T cell therapies targeting B-cell maturation antigen (BCMA) for multiple myeloma have been approved by the United States Food and Drug Administration (FDA).<sup>1–7</sup> Relative to hematologic cancers, progress in solid tumors, and especially in common epithelial cancers, has been more measured. However, clinical trials targeting the human papillomavirus (HPV) oncoproteins in HPV-associated cancers establish clear proof of principle for the approach.<sup>8,9</sup> Targeting of the E7 antigen with T cell receptor (TCR)-T cells resulted in robust tumor regression in treatment-refractory cancers including cervical, vulvar, anal, and oropharyngeal cancer.<sup>8</sup> Responses included elimination of many tumors

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including programmed cell death protein 1 (PD-1)- and PD-1 ligand (PD-L1)- refractory lesions. In addition, Claudin18.2 (CLDN18.2)- CAR-T cells recently have been reported to show manageable toxicity as well as clinical activity in gastric cancer.<sup>10</sup> Lessons from these and other studies are guiding expanded application of engineered T cell therapy and the development of next-generation technologies. In this Perspective we will discuss recent advances and future directions in cell therapy for epithelial cancers with an emphasis on gene-engineered T cells and principles elucidated by clinical research.

## CARs and TCRs

CARs are perhaps the best-known type of engineered T cell antigen receptor due to the success of CD19 CAR-T cell therapy. However, T cells can also be engineered to target tumors through tumor-antigen-specific TCRs, and it is TCR-based approaches that thus far have demonstrated clinical activity in the greatest range of solid tumors. CARs and TCRs differ in important ways that determine their respective range of target antigens, toxicity profiles, and potential escape mechanisms. CARs directly engage target antigen through an antibody single-chain variable fragment (scFv) domain and, like antibodies, can bind to extracellular but not to intracellular antigens (Figure 1). This target range is important because many of the most attractive therapeutic targets such as driver mutations, viral oncoproteins, and cancer germline antigens, localize to the intracellular compartment. Different than CARs, TCRs engage tumor cells through heterodimeric alpha-beta chain receptors that bind a peptide derived from the target antigen complexed with human leukocyte antigen (HLA) (Figure 1). The TCR antigen recognition system has the advantage that it can target peptides generated from either intracellular or extracellular proteins (Figure 1) (Box 1). It also has the advantage that mutations that occur outside of the short target peptide, which might prevent surface expression of a CAR target, do not prevent recognition by a TCR and therefore do not offer a potential mechanism for tumor escape.<sup>11</sup> It has the disadvantage that only patients with the target peptide-HLA complex can be treated with a given TCR (known as HLA restriction). It also has the disadvantage that tumor escape may occur through loss of the HLA restriction element or components of the antigen processing machinery that create HLA-peptide complexes. New technologies that blur the lines between CAR and TCR targeting, including CARs that recognize peptide-HLA complexes and TCRs that recognize cell surface antigens are emerging. These crossover antigen targeting systems may enhance targeting of certain low-density antigens; however, in principle they remain vulnerable to the same tumor escape mechanisms as the receptors they mimic. Clinical data with these systems will be informative.<sup>12,13</sup>

CARs and TCRs also differ in how they transduce activating signals to T cells. CARs are single-chain designer proteins that signal through synthetic activating domains most often borrowed from T cell stimulatory and costimulatory molecules. Typically, one domain derives from the TCR CD3 complex (e.g., CD3 zeta chain) and another from a costimulatory receptor or combination of costimulatory receptors (e.g., 4-1BB or CD28) (Figure 1). Engineered TCRs are heterodimeric receptors that form complexes with natural CD3 molecules and signal through the natural CD3 complex using the same mechanism as wild-type TCRs (Figure 1). These different signaling domains used in CARs versus TCRs may greatly impact T cell function and toxicity profiles. However, study of the

impact is confounded by other variables such as different targeting domains (CAR scFv versus TCR alpha- and beta-chain pair) and targets (CAR epitope versus TCR peptide-HLA complex, and self-antigens versus tumor-restricted antigens), as well as variable signaling characteristics of different CAR constructs.<sup>14</sup> Nonetheless, the differences between the CAR versus TCR receptor system signals likely result in differences in anti-tumor function and toxicity including cytokine release syndrome.<sup>15–17</sup> This concept is supported by the observation that different signaling domains have a clinically significant impact on the characteristics of CAR-T cells.<sup>18</sup>

## Engineered TCR-T cells versus TIL

Therapeutic T cells for adoptive cell transfer can be generated from tumors, peripheral blood or bone marrow, and they can target cancer antigens through endogenous TCRs or through engineered TCRs. Tumor infiltrating lymphocytes (TIL) and engineered TCR-T cells have distinct advantages and disadvantages. TIL have the advantage that they are generally composed of multiple T cell clonotypes and therefore can attack a tumor through multiple antigens presented by different HLA molecules (Figure 2A) (Box 1). However, some responses to TIL therapy in epithelial cancers have been with nearly-clonal or oligoclonal cell products that were generated to target specific tumor antigens such as HPV oncoproteins or somatic mutations.<sup>19,20</sup> Another advantage of TIL is the potential to target varied tumor antigens including antigens that are undefined.<sup>19</sup> TIL have the disadvantage that the cell product composition including the specificity and avidity of the T cells is highly variable. TIL often do not demonstrate reactivity against autologous tumors or tumor antigens, and clear clinical activity has been observed only in limited, immunogenic cancers such as melanoma and HPV-associated cancers.<sup>19,21–24</sup> Another disadvantage of TIL therapy is that generation of TIL requires surgical resection of a tumor and the cell product manufacturing time is prolonged.

In contrast to TIL therapy, engineered TCR-T cells have defined antigen specificity and functional avidity, no requirement for surgery, and shorter manufacturing time (Figure 2B) (Box 1). The importance of having defined specificity and functional avidity should be emphasized as it permits controlled targeting of rationally chosen antigens using optimal TCRs – an advantage distinct from other immunotherapeutic approaches including TIL therapy, therapeutic cancer vaccines, and checkpoint blockade. Notably, this advantage is mostly lost when engineered TCR-T cells are used to target private neoantigens on an individualized patient-by-patient basis. Another advantage of TCR-T cells is the ability to generate the cell product from a selected subset of peripheral blood T cells with enhanced proliferative and therapeutic potential such as naïve cells, memory stem cells, or central memory cells. In addition, the *in vitro* differentiation of these cells might be directed toward optimal *in vivo* function by cytokines, signaling pathway modulators, and other culture conditions.<sup>25</sup> The disadvantages of engineered TCR-T cells are that antigen targeting is monoclonal unless multiple different engineered TCR-T cells are combined. In addition, treatment is restricted to individuals with the combination of a particular HLA allele and target antigen, which narrows the population that can be treated. Inpatient tumor heterogeneity is another potential challenge for TCR-T cell therapy as few target antigens display uniform tumor expression. Although not well studied, heterogeneity may

also complicate TIL therapy as the immunodominant antigens may vary between tumors of the same patient such that cells that target one tumor may not optimally target another tumor.

## Lessons from TIL therapy – clinical research

Clinical trials with TIL therapy have demonstrated that cell therapy can mediate clinical activity in epithelial cancers, including durable, complete tumor responses as observed in the treatment of HPV-associated cancers. A single-center study of TIL therapy in metastatic cervical cancer showed responses in 3 of 9 patients, including 2 complete responses that are ongoing more than 8 years after a single treatment.<sup>22</sup> Expanded experience showed responses in 7 of 29 (24%) patients with varied HPV-associated malignancies including cervical, oropharyngeal, and anal cancer.<sup>21</sup> Industry sponsored trials of TIL for head and neck, and cervical cancer are active with TIL therapy for cervical cancer having been granted FDA Breakthrough Therapy Designation.<sup>26</sup> Emerging data also suggest that TIL may have activity in lung cancer, with a report of responses in 3 of 15 patients who were treated with TIL plus PD-1 blockade after progression on PD-1 blockade alone.<sup>27</sup> Furthermore, case reports have described partial responses to TIL in cholangiocarcinoma and colon cancer, and a small series has described responses in breast cancer in combination with PD-1 blockade.<sup>20,28,29</sup> These studies support proof of principle for cell therapy in epithelial cancers and highlight the need for further research to improve on the results achieved thus far with TIL-based approaches.

Clinical and translational research from TIL studies continues to be highly informative for the development of immunotherapy and cell therapy. An important lesson that is emerging from study of TIL for metastatic melanoma is the negative impact of prior treatment on cell therapy response rates. A single-center study with 101 patients, performed before PD-1 checkpoint blockade was in common use, reported an overall response rate (ORR) of 56% (36% partial response (PR) rate and 24% complete response (CR) rate).<sup>24</sup> However, a more recent industry-sponsored, multicenter, phase II trial with 66 patients previously treated with PD-1 checkpoint blockade was less encouraging and reported an ORR of 36%, with a CR rate of 3%.<sup>23</sup> These findings are consistent with a recent retrospective study showing ORR rates of 56% in checkpoint-naïve patients, 24% in PD-1-refractory patients (1 of 34 CRs), and 21% for targeted therapy-refractory patients (0 of 19 CRs).<sup>30</sup> Interestingly, patients with *BRAF*V600E/K-mutated melanoma who were previously treated with a targeted agent demonstrated a lower response rate (21% versus 60%) and worse survival (9.3 vs. 50.7 months) than patients who were not previously treated with a targeted agent. These data comport with the oncology therapeutics principle of increasing tumor resistance with increasing line of therapy (especially within the same class of therapy, such as immunotherapy). The broader implication of these data is that adoptive T cell therapy may need to be studied in earlier lines of therapy to ascertain its true clinical activity and potential for durable, complete responses, which is arguably the most important consideration. Addition of immune checkpoint blockade to cell therapy is in principle an attractive approach for early line treatment; however, the available preclinical and clinical data thus far suggest a surprisingly limited effect for this approach.<sup>31–36</sup> Additional clinical trials are ongoing and further data are anticipated.

## Lessons from TIL therapy – translational research

Recent TIL therapy studies also have provided insight into mechanisms of action of immunotherapy. TIL treatment was initially reported to induce regression of melanomas through targeting of melanocyte differentiation antigens, which are self-antigens expressed by melanocytes and melanoma tumor cells.<sup>37</sup> Newer research, facilitated by advances in next-generation sequencing, instead pointed to the primary importance of products of somatic gene mutations expressed by cancers (i.e., neoantigens) as tumor regression antigens.<sup>38,39</sup> The role of neoantigens in TIL therapy for non-melanoma cancers was described in two case reports, one a partial response driven by T cell targeting of mutated ERBB2 in cholangiocarcinoma and the other a partial response driven by T cell targeting of mutated KRAS in colon cancer.<sup>20,28</sup> These findings have expanded our understanding of antigen targeting in immunotherapy and have supported the development of new platforms to target neoantigens with therapeutic cancer vaccines and TCR-T cells.

Insight into tumor antigen targeting in immunotherapy also has been provided by the study of TIL therapy for HPV-associated cancers. HPV-associated cancers consistently express the HPV E6 and E7 oncoproteins, which are uniformly expressed, immunologically foreign, and tumor restricted, making them attractive therapeutic targets. In patients treated with TIL for HPV-associated cancers, the frequency of administered TIL cells with E6 or E7 reactivity and the persistence of these cells in vivo correlated with clinical response.<sup>21,22</sup> However, the contribution of oncoprotein-targeting TIL to tumor response remains unclear as, in patients with complete responses, HPV-specific TIL were a subdominant tumor-antigen-reactive population.<sup>19</sup> Indeed, the dominant tumor antigen targeting was directed against non-viral antigens such as neoantigens or cancer germline (CG) antigens (Figure 2A).<sup>19</sup> It is important to note, however, that direct targeting of HPV antigens with TCR-T cells can mediate tumor responses indicating the potential of these antigens as therapeutic targets.<sup>8,9</sup> Interestingly, peripheral blood T cells targeting both viral and non-viral antigens were found predominantly to express PD-1, suggesting that PD-1 blockade in viral malignancies may act to target tumors through diverse antigens.<sup>19</sup>

## Proof of principle for engineered T cells in epithelial cancers

A tremendous range of CAR-T cell and TCR-T cell approaches have been described, but few strategies have resulted in manageable safety profiles and reproducible, objective (i.e., Response Evaluation Criteria in Solid Tumors) tumor responses. Data from a range of targets in diverse solid tumor indications are reviewed later in this paper. The clearest results demonstrating clinical activity in epithelial cancers come from the treatment of HPV-associated cancers with E6 or E7 oncoprotein-targeting TCR-T cells. An initial phase I/II trial targeting E6 showed responses in only 2 of 12 patients.<sup>9</sup> However, a subsequent phase I trial targeting E7 with higher functional avidity cells demonstrated responses in 6 of 12 patients including complete regression of many lesions and marked responses in PD-1- and PD-L1-resistant tumors.<sup>8</sup> Although many tumors were durably and completely eliminated, no patient had a complete response. In contrast to CAR-T cell therapy for hematologic cancers, cell dose was not limited by toxicity, and toxicity did not increase with dose level. Severe adverse events from neurotoxicity and cytokine release syndrome were

not a major consideration in clinical management. Doses more than 500-fold higher than standard CAR-T cell doses were given safely, and a maximum dose of 100 billion cells was established (based on manufacturing considerations rather than toxicity). Safe administration of cells at this relatively high dose as compared to CAR-T cells may be possible due to the targeting of a tumor-restricted antigen and the use of the endogenous CD3 complex for TCR signaling. These data support the concept that TCR-T cell therapy can be administered safely and can mediate tumor regression in epithelial cancers, and they provide a foundation for next-generation approaches and broader application.

## CD4<sup>+</sup> T cells and other TCR considerations

Clinical trial data also indicate the potential for CD4<sup>+</sup> T cells to mediate anti-tumor responses in cell therapy for epithelial cancers. CD4<sup>+</sup> T cells recognize antigen epitopes presented by HLA class II molecules and have pleiotropic function in anti-tumor responses. The class II pathway utilizes distinct antigen processing and presentation machinery from the class I pathway with presentation independent of molecules such as B2M, TAP1, TAP2 and TAPBP.<sup>40–42</sup> Direct evidence of clinical activity of CD4<sup>+</sup> T cells comes from a case report in which administration of a highly enriched population of CD4<sup>+</sup> TIL targeting mutant ERBB2 caused a partial response in a patient with metastatic cholangiocarcinoma.<sup>28</sup> Indirect evidence for CD4<sup>+</sup> T cells comes from the TIL studies finding that in complete responses to TIL therapy for HPV-associated cancers substantial fractions of the administered cell product (5% and 14% for each of 2 patients) were CD4<sup>+</sup> T cells targeting the HPV E6 or E7 oncoprotein.<sup>19</sup> Finally, the potential for therapeutic CD4<sup>+</sup> T cells to mediate regression of epithelial cancers is supported by a phase I study of TCR-T cells targeting a class II restricted epitope of MAGE-A3. Responses were observed in 4 of 17 patients including 3 patients with epithelial cancers.<sup>43</sup> These data support the clinical activity of class II restricted TCRs in cell therapy for epithelial cancers.

Targeting of tumor antigens with multiple TCRs that utilize multiple HLA molecules, and especially a combination of class I and class II HLA molecules, may overcome certain escape mechanisms related to antigen presentation. However, some defects such as HLA loss of heterozygosity (LOH) (which results in copy loss of multiple HLA and antigen presentation molecules) or loss of non-redundant interferon response pathway molecules may be more difficult to surmount. The clinical manufacturing platforms for real-time generation of multiple cell products with different TCRs for same patient, while technically achievable, are costly and clinical data on successful use are needed. In addition, the regulatory and clinical pathways for development of treatments with multiple TCR-T cell products administered together present practical challenges. Notwithstanding these challenges, combined targeting of tumors through multiple antigens and HLA alleles represents a rational strategy to overcome common resistance mechanisms and potentially increase complete tumor responses.

The contribution of T cell functional avidity to anti-tumor activity is unknown and may vary between tumors, each of which have unique characteristics that affect target (i.e., peptide-HLA) density. Since insufficient avidity results in no target engagement, and since

tumor responses in epithelial cancers have been with relatively high avidity T cells, higher avidity is generally considered favorable.<sup>8,9,19,44</sup>

## Practical clinical considerations for TCR-T cell therapy

The clinical trial protocols and methods for generation of engineered T cells for human administration vary, but the studies that have shown the strongest activity in solid tumors have common themes as discussed below and summarized in Table 1. Other approaches have advantages and may be effective but are less proven.

### Conditioning regimen.

The use of a conditioning regimen is supported by indirect evidence including the results of sequential clinical trials, data from animal models, and translational research findings. The optimal regimen is unknown. Data from TIL therapy for melanoma suggest that there is a ceiling to how much benefit can be gained from more aggressive conditioning.<sup>24</sup> Cyclophosphamide and fludarabine are the most employed agents, and the most common cyclophosphamide dose is 60 mg/kg. Tumor responses and high levels of engineered T cell persistence in vivo were observed with either cyclophosphamide 30 mg/kg or 60 mg/kg in the E7 TCR-T cell clinical trial.<sup>8</sup> Given the greater toxicity of the higher dose, the lower dose may be preferable, particularly in patients with comorbidities from prior therapy.

### Systemic cytokine support.

High dose aldesleukin (i.e., modified, recombinant interleukin-2) has been administered with cell therapy for solid tumors dating back to early studies for metastatic melanoma. Its use in cell therapy is supported by its single-agent clinical activity in melanoma and renal cell carcinoma, and by data from mouse models.<sup>45-48</sup> It has been consistently included in studies that demonstrated clinical activity of TCR-T cells in solid tumors; however, its contribution to efficacy remains unknown. Objective clinical responses have been observed with as few as 1 to 3 doses of aldesleukin including responses to TIL therapy and TCR-T cell therapy in epithelial cancers.<sup>8,9,21,43,49</sup> Despite serious toxicity when administered to maximum tolerated dosing, the first doses of aldesleukin generally cause manageable toxicity and do not require Intensive Care Unit transfer. Hence, our practice is to include high dose aldesleukin but to limit the number of doses with the aim to provide cytokine support but avoid toxicity that is not quickly reversible.

### Engineered T cell dose.

The optimal dose of engineered TCR-T cells for epithelial cancers is unknown and there is a paucity of data to guide clinical trial design. The dose of cells at which responses have been observed is variable, but trials with the strongest tumor response data have used high numbers of cells (more than 1 billion) (Table 1). Responses to E7 TCR-T cell therapy were observed at all dose levels, which ranged from 1 billion to more than 100 billion cells.<sup>8</sup> The sample size at each dose level was small (n=3), and a correlation between dose and response was not observed, but a correlation between dose and persistence of engineered T cells was observed. These data leave unanswered the question of how many cells is “enough,” but it is notable that high doses of cells can be generated and administered and can result in high in

vivo persistence and in tumor regression. It is also important to distinguish between TCR-T cells targeting tumor-restricted antigens, which have not demonstrated dose-limiting toxicity versus CAR-T cells targeting self-antigens, which have demonstrated dose-limiting toxicity in both hematologic cancer and solid tumors.<sup>8,10,21,50,51</sup>

### **Gene transfer efficiency and cell manufacturing.**

Gene transfer platforms based on lentivirus, gamma retrovirus, transposon, CRISPR, and other technologies can be used to generate gene engineered cell products for human administration. Thus far, clinical trials that have shown clear objective responses by Response Evaluation Criteria in Solid Tumors (RECIST) criteria have used relatively efficient, retroviral or lentiviral gene transfer technologies incorporated into simple manufacturing processes that do not require T cell isolation or engineered T cell enrichment steps. Gene transfer efficiency has been high, and in vivo persistence of gene-engineered T cells has been high. In the E7 TCR T-cell trial, transduction efficiency was 93% to 99% and at the highest dose level in vivo persistence was 85% to 94% of peripheral blood T cells approximately 6 weeks after infusion.<sup>8</sup> E7 TCR-T cells were generated by in vitro CD3- and IL-2-driven stimulation and demonstrated mostly a highly differentiated effector or effector memory phenotype yet were capable of in vivo expansion, long-term persistence, and tumor regression. Other methods of manufacturing cell products that incorporate non-viral gene transfer have distinct advantages including lower cost and faster vector generation; clinical data with these systems are anticipated.

### **Target antigen considerations**

Clinical experience with engineered T cell therapy in solid tumors has reinforced the critical impact of the therapeutic target on the safety and efficacy of the treatment (Table 2). Here we will review from a target antigen perspective the clinical trial data with lessons for target selection. Studies that have resulted in either objective tumor regression, on-target toxicity, or both (Table 2) will be emphasized as studies that have resulted in neither tumor regression nor toxicity are difficult to interpret.

### **Shared tumor-self antigens.**

Shared tumor-self antigens are expressed by both tumors and normal tissues, and some are particularly attractive targets due to their uniform expression by cancers. This class of antigens is the poster child for cell therapy success due to the efficacy of treatments targeting CD19 and BCMA in hematologic malignancies. Unfortunately, in solid tumors, targeting of tumor-self antigens thus far has resulted in prohibitive toxicity from on-target autoimmunity. Examples include skin, eye, and ear toxicity from targeting MART or gp100 with TCR-T cells; gastrointestinal toxicity from targeting carcinoembryonic (CEA) with TCR-T cells; cardiopulmonary toxicity from targeting ERBB2 with CAR-T cells; and hepatotoxicity from targeting carbonic anhydrase 9 (CA9) with CAR-T cells.<sup>52-55</sup> These results highlight the constraints of on-target toxicity as well as the need to parse the antigens that can be targeted safely and/or to develop antigen targeting systems that distinguish between normal tissues and tumors. In addition, other thoughtful targeting strategies may be possible as suggested by a report of improvement in symptoms and in some radiographic findings in a small



series of patients treated with anti-GD2 CAR-T cells for pediatric brain tumors.<sup>56</sup> Similarly, decreases in prostate-specific antigen were observed with CAR-T therapy targeting prostate-specific membrane antigen (PSMA), although toxicity was sometimes severe (a dominant-negative TGF-beta receptor was also employed).<sup>51</sup> Finally, CAR-T cells directed against the tight junction protein CLDN18.2 showed clinical activity with objective partial responses in 16 of 28 patients with gastric cancer and 2 of 9 patients with other gastrointestinal cancers, though results should be interpreted cautiously given the use of repeated conditioning chemotherapy with repeated cell dosing as well as the relatively short-lived tumor responses and weak persistence of CAR-T cells.<sup>10</sup>

### Cancer germline antigens.

CG antigens are subclassified by their expression in healthy tissues. The tumor-restricted subclass of CG antigens consists of proteins expressed exclusively by tumors and germ cells (which are not recognized by T cells due to absence of HLA expression). Targeting of the CG antigen CTAG1B (NY-ESO-1) with TCR-T cells has shown promising response rates in melanoma with responses in 11 of 20 patients and synovial cell sarcoma with responses in 11 of 18 patients.<sup>50</sup> Encouraging results were also reported in an industry-sponsored trial testing NY-ESO-1 TCR-T cells for synovial cell sarcoma with responses seen in 15 of 42 patients.<sup>57,58</sup> Tumor responses have also been reported for targeting MAGE-A3 in a basket trial with a variety of tumors (each response was in a different type of cancer) and MAGE-A10 in non-small cell lung cancer.<sup>43,59</sup> Unfortunately, responses in cancers other than melanoma rarely have been complete, which may be related to the heterogeneous antigen expression. In addition, the proportion of common tumors with a high tumor fraction expressing these antigens is low. One CG antigen that may be expressed in a high tumor fraction in a subset of lung, triple-negative breast, cervix, and gastric malignancies is Kita-Kyushu lung cancer antigen 1 (KK-LC-1, encoded by *CT83*).<sup>60–63</sup> Treatment with TIL therapy predominantly targeting KK-LC-1 mediated a durable, complete response in a patient with metastatic cervical cancer.<sup>19,22</sup> A clinical trial treating patients with KK-LC-1 TCR-T cells (expressing the TCR from this patient) recently opened ([NCT05035407](#)).

The importance of preclinical testing of TCRs for cross-reactivity was demonstrated with affinity-enhanced TCRs targeting the cancer germline antigen, MAGE-A3. An HLA-A\*02:01-restricted TCR that was generated from HLA-A\*02:01-transgenic mice and affinity enhanced by an amino acid substitution in the complementarity-determining region 2-alpha caused fatal neurotoxicity due to cross-reactivity against a nonidentical epitope of MAGE-A12, which is expressed in the brain.<sup>64</sup> Similarly, an HLA-A\*01:01-restricted TCR generated from a human and affinity enhanced by four amino acid substitutions to the complementarity-determining region 2-alpha caused fatal cardiotoxicity due to cross-reactivity against a non-identical epitope of titin, a protein expressed in myocardium.<sup>65,66</sup> These results highlight the potential risk of autoimmunity inherent to TCRs that have not been subjected to thymic selection. They underscore the importance of preclinical testing of TCRs for cross-reactivity against peptides originating from human proteins.

### Recurrent driver mutations.

Recurrent driver mutations are public neoantigens that are shared between the tumors of different patients and not expressed by healthy tissues. They represent attractive targets for engineered T cell therapy due to their homogeneous tumor expression and importance in cell transformation and survival. Case reports have described partial tumor responses following treatment with TIL or TCR-T cells targeting mutated RAS.<sup>20,67</sup> Another case report described a partial tumor response to TCR-T cell therapy targeting mutant p53 in a patient with metastatic breast cancer.<sup>49</sup> Larger studies that define the response rate for this type of treatment have not been reported. Sophisticated systems for the use of engineered TCR-T cells to target private neoantigens that are relatively unique to each patient's tumor have been described.<sup>68</sup> This type of approach has the advantage of potential broad clinical application but the disadvantages of complicated manufacturing, slow product generation, variability in the target antigens, and variability in the therapeutic TCRs. Clinical data are not yet available.

### Viral antigens.

Viral antigens can be attractive targets for engineered T cells due to their restricted expression in infected or transformed tissues. Cancers that harbor viral antigens include malignancies induced by HPV, hepatitis B virus (HBV), Epstein-Barr virus, and Merkel cell polyomavirus. Clinical data showing activity with engineered antigen receptors are available only for the targeting of HPV-associated cancer through the HPV16 E6 or E7 oncoproteins.<sup>8,9</sup> These oncoproteins are particularly well characterized and appear to be constitutively expressed and to have highly conserved sequences between isolates (although there is some variation, particularly in E6). Limited virally derived cancer antigens share these characteristics. For example, hepatocellular carcinoma can be caused by several hepatitis B virus genotypes that each have additional subtypes with varying sequence and limited shared potential epitopes.<sup>69</sup> Early stage clinical trials are testing the safety of TCR-T cell therapy targeting HBV viral antigens.<sup>70,71</sup> Other viruses such as Epstein-Barr virus display manifold mechanisms of T cell evasion and variable viral gene expression programs that complicate target epitope selection.<sup>72</sup> Merkel cell polyomavirus antigens are attractive targets in Merkel cell carcinoma although published data thus far are from cell therapy in combination with other immunotherapy.<sup>73,74</sup> Research to target a range of viral antigens is ongoing, but it is not known if the clinical activity from targeting HPV oncoproteins can be reproduced with other viral targets in other cancers.<sup>75-77</sup>

### Limitations in TCR-T cell therapy

Investigation in animal models has highlighted the potential to improve T cell therapy by enhancement of T cell function (by control of T cell differentiation, transgene insertion or deletion) and/or by manipulation of the tumor microenvironment.<sup>78</sup> However, in contrast to data from CAR-T cells, it is not clear from the limited available clinical trial data of cell therapy in epithelial cancers that T cell function and the tumor microenvironment limit treatment response. Available data from clinical trials targeting cancer germline antigens and HPV antigens demonstrate robust persistence of functional engineered TCR-T cells in the peripheral blood.<sup>8,9,43,50</sup> Study of TCR-T cell therapy in HPV-associated cancers

points to conspicuous tumor-intrinsic gene defects chiefly related to genes critical to antigen processing and interferon response as a constraint (pathways shown in Figure 3).<sup>8,9</sup> Although the dataset is small, defects in genes with non-redundant roles in immune-related pathways have been observed consistently in cell therapy for epithelial cancers. Acquired resistance due to *HLA* loss was described in a case report of a patient with a response to TIL targeting *RAS* G12D for colorectal cancer and in a single patient with response to TCR-T cell therapy targeting mutant p53 for breast cancer.<sup>20,49</sup> Primary resistance due to loss of *HLA* was identified in 1 of 2 patients treated with E6 TCR-T cells who showed treatment resistance and had tumor biopsies.<sup>9</sup> The other patient with treatment resistance displayed a mutation in *IFNGR1*.<sup>9</sup> Similarly, 4 of 4 tumors with primary or acquired resistance to E7-TCR T cell therapy demonstrated immune-related genetic defects with findings of *HLA* mutation, *B2M* mutation, *B2M* copy loss, and complex copy loss abnormalities involving multiple genes.<sup>8</sup> These findings mirror those from study of immune checkpoint inhibitors showing resistance due to genetic defects in identical pathways and genes.<sup>79–82</sup> New findings point also to the importance of tumor-intrinsic interferon-gamma signaling in solid tumor response to CAR-T cell therapy.<sup>83</sup> Although observed in several of the few cases that have been studied, the prevalence of tumor-intrinsic gene defects that mediate cell therapy resistance in various types of cancer and at different stages of disease is not well defined. Study of the landscape and heterogeneity of immune resistance gene defects in tumors is an important future direction for defining the pervasiveness and uniformity of resistance mechanisms. This information is critical to the rational development of next-generation treatment strategies and of predictive biomarkers.

## Future Directions

Engineered T cell therapy for epithelial cancers has gained a foothold with safety and clinical activity in HPV-associated cancers and other malignancies. However, some of the same studies demonstrating proof of principle have revealed limitations due to immune editing and tumor-intrinsic evasion mechanisms. Enhanced efficacy may rely on earlier treatment with deployment of the approach ahead of other immune-based treatments that induce acquired resistance to T cell recognition and killing. Some mechanisms of resistance such as *HLA* mutation or loss may be overcome by polyclonal targeting through multiple HLA restriction elements. Others such as perturbations in interferon response may require new technologies to enhance T cell potency, or combination treatment. Development of predictive biomarkers based on detection of damaging alterations in genes required for tumor recognition and killing by T cells seems to be a notable strategy to enhance response rates. The ideal treatment setting may be targeting of an oncologic driver in a relatively non-immunogenic tumor that is less subjected to immunologic pressure and adapted to immune evasion. Extension to a wider range of cancers is likely to pivot considerably on identification of rational targets that can be attacked safely and with intent to achieve complete tumor regression. Alternatively, sophisticated targeting systems that distinguish tumor from normal tissue may bring more tumor-associated antigens into range.<sup>84–86</sup>

## Conclusions

Engineered T cell therapy holds promise for the treatment of wide-ranging cancers. A grounded assessment of clinical trial results reveals that we have only just begun to crack the surface of effective therapy for epithelial cancers. There is a flood of innovation aiming to enhance the efficacy and extend the range of cancers that can be treated. Lessons from clinical experience are informative in considering current treatment limitations and rational strategies to advance the field.

## Acknowledgements

This work was funded by the Center for Cancer Research, National Cancer Institute, Cancer Moonshot Grant ID# BC011871-02.

## Declarations of Interest

Christian S. Hinrichs serves as a consultant or advisory board member for PACT Pharma, GlaxoSmithKline, Neogene Therapeutics and Capstan Therapeutics. He is an inventor and may receive blinded royalties related to the following NIH patent applications: Methods of Preparing Anti-human Papillomavirus Antigen T Cells, PCT/US2014/046478; Anti-Human Papillomavirus 16 E6 T Cell Receptors, PCT/US2014/046480; Anti-Human Papillomavirus 16 E7 T Cell Receptors, PCT/US2015/033129; Anti-KK-LC-1 T Cell Receptors, PCT/US2017/027865; Combination PD-L1 And TGF Beta Blockade in Patients with HPV+ Malignancies, PCT/US2018/031501; Tethered Interleukin-15 and Interleukin-21, PCT/US2019/016975; HLA Class I-Restricted T Cell Receptors against CD20, PCT/US2021/38649; Enhanced Antigen Reactivity of Immune Cells Expressing a Mutant Non-signaling CD3 Zeta Chain, PCT/US2021/059109; HLA Class I-Restricted T Cell Receptors Against CD22. He receives research funding from T-Cure Biosciences and Neogene Therapeutics. Scott M. Norberg declares no competing interests.

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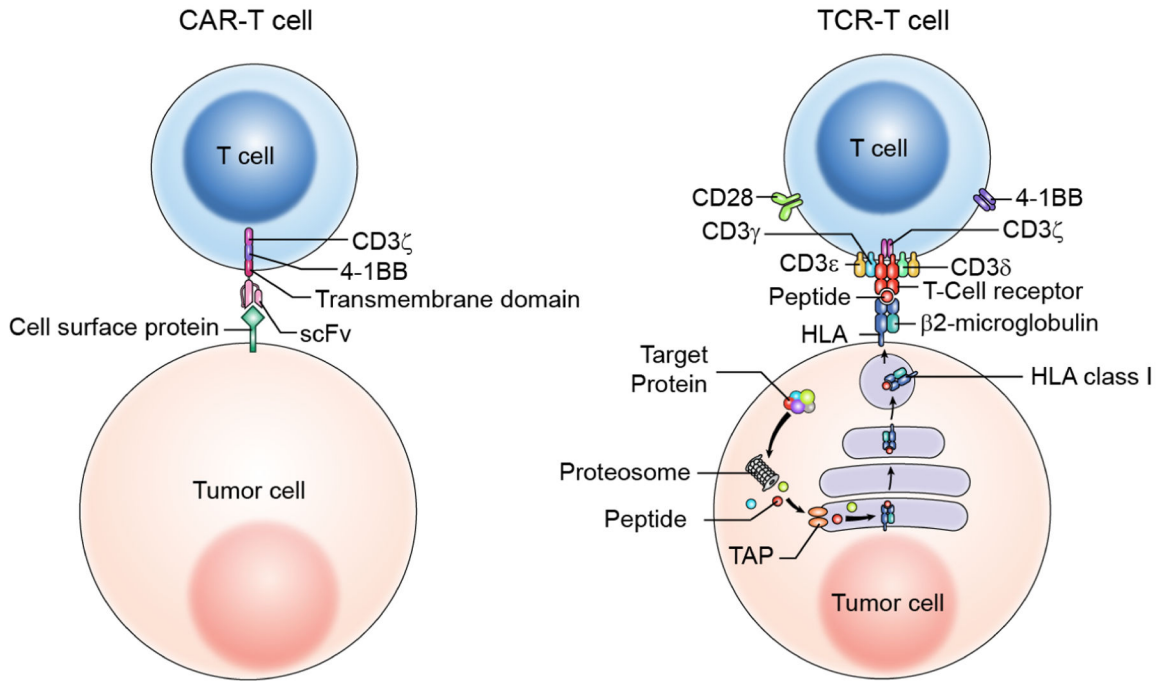
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**Box 1.**

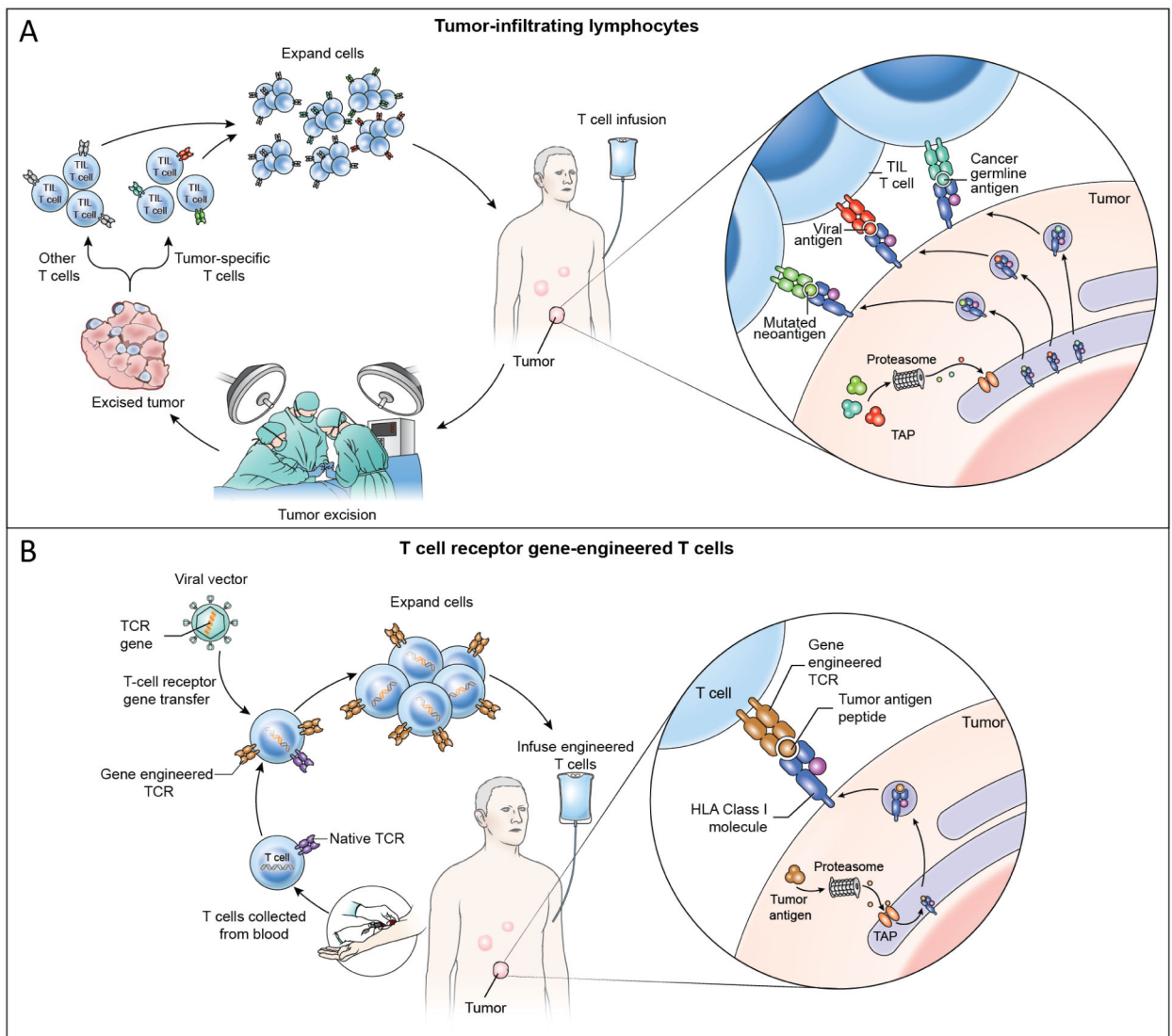
**Advantages and disadvantages of different adoptive T cell therapies**

<b>Chimeric antigen receptor</b>	<b>T cell receptor</b>	<b>Tumor infiltrating lymphocyte</b>
<p><b>Advantages</b></p> <ul style="list-style-type: none"> <li>• Treatment not limited by HLA type</li> <li>• Antigen presentation machinery not required</li> <li>• Shorter manufacturing time than TIL</li> <li>• Does not require surgery</li> </ul>	<p><b>Advantages</b></p> <ul style="list-style-type: none"> <li>• Targeting not restricted by antigen localization</li> <li>• Shorter manufacturing time than TIL</li> <li>• Does not require surgery</li> </ul>	<p><b>Advantages</b></p> <ul style="list-style-type: none"> <li>• Potential for targeting of multiple antigens</li> <li>• Antigen targeting does not need to be defined</li> <li>• Targeting not restricted by antigen localization</li> </ul>
<p><b>Disadvantages</b></p> <ul style="list-style-type: none"> <li>• Targeting limited to the extracellular domain of a membrane-anchored protein (some exceptions)</li> <li>• Defined target antigen required</li> <li>• Evasion by loss of target antigen surface expression</li> <li>• Targets a single antigen (some exceptions)</li> </ul>	<p><b>Disadvantages</b></p> <ul style="list-style-type: none"> <li>• Treatment limited by HLA type</li> <li>• Evasion by loss of antigen presentation machinery</li> <li>• Defined target antigen required</li> <li>• Targets a single antigen</li> </ul>	<p><b>Disadvantages</b></p> <ul style="list-style-type: none"> <li>• Antigen targeting is highly variable between cell products</li> <li>• Requires surgery</li> <li>• Longer manufacturing time than engineered cells</li> </ul>



**Figure 1. Differences in antigen recognition and intracellular signaling between CAR-T and TCR-T cells.**

CARs are single chain synthetic molecules that target a cell surface antigen through an antibody-based single-chain variable fragment (scFv). CARs generally signal through combinations of domains from the TCR CD3 complex (e.g., CD3 zeta chain) and one or more T cell costimulatory molecules (e.g., CD28 or 4-1BB). In contrast, TCRs target a peptide-HLA complex through a heterodimeric alpha- and beta-chain. The peptide in the target complex is generated by intracellular antigen processing machinery and may be derived from an intracellular or cell surface protein. Genetically engineered TCRs signal through the natural CD3 complex, which is composed of gamma, delta, zeta, and epsilon chains. These differences in target recognition and in signaling result in a distinct range of target antigens and distinct toxicity profiles for T cells engineered with each receptor.



**Figure 2. TIL and TCR-T cell therapy cell product generation, patient treatment, and mechanisms of tumor engagement.**

(A) In TIL therapy, a metastatic tumor is surgically resected and T cells are grown from the tumor ex vivo. The T cells are oligoclonal and may or may not target tumor antigens and may be composed of a mixture of tumor-specific T cells and other T cells. The T cells are expanded ex vivo and administered by intravenous infusion. TIL treatments resulting in durable, complete regression of cervical cancer were mediated by targeting of HPV oncoproteins as well as non-viral proteins such as mutated neoantigens and cancer germline antigens (cutout).<sup>19</sup> Case reports have shown partial responses to TIL therapy solely targeting mutated neoantigens in non-viral epithelial cancers.<sup>20,28</sup> (B) In TCR-T cell therapy, T cells are collected from peripheral blood and gene-engineered ex vivo to express a defined tumor-antigen-targeting TCR. TCR gene engineering may be conducted with a viral vector (shown) or with other gene transfer methods such as CRISPR-CAS9 gene editing or transposon systems. Engineered TCR-T cells are expanded ex vivo and administered

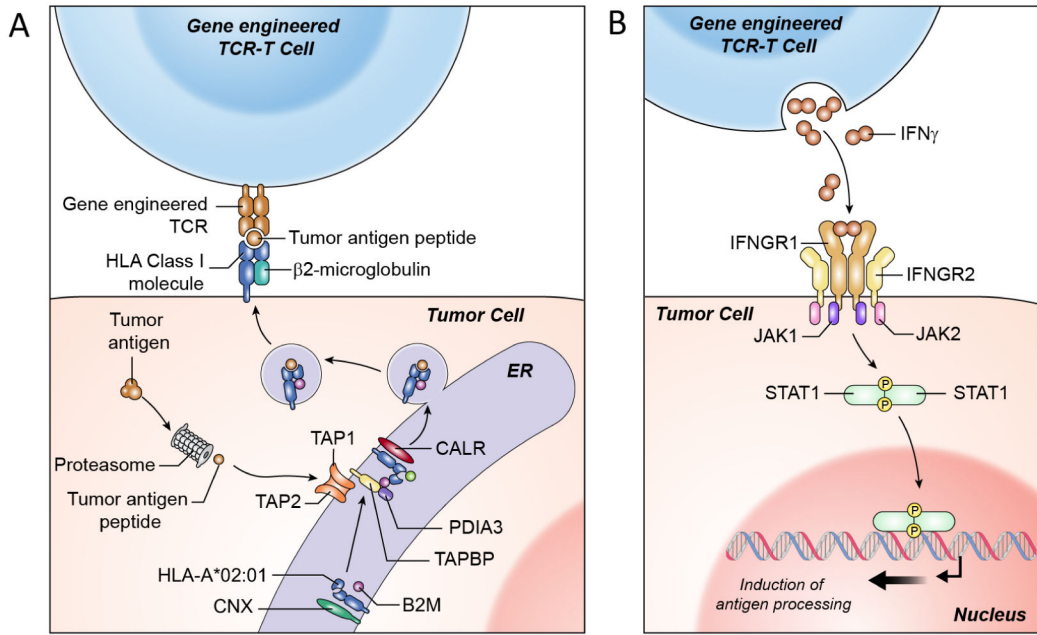
intravenously. TCR-T cells engage tumors through recognition of peptide HLA complex composed of a defined peptide from a tumor antigen (cutout).

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**Figure 3. Critical pathways for T cell recognition and killing of tumor cells that are disrupted in tumors resistant to TCR-T cell therapy and checkpoint blockade therapy.**

(A) T cell recognition of tumors is mediated by TCR engagement of peptide-HLA complexes on the cell surface. The generation of these target peptide-HLA complexes requires intact antigen processing and presentation machinery including proteolytic enzymes (proteasome subunits), peptide transporters (TAP molecules), HLA loading proteins (CNX, CALR, PDIA3), and HLA component molecules (HLA alpha-chain and B2M). (B) T cells mediate effector function by production of IFN $\gamma$  which binds to the IFN $\gamma$  receptor complex mediating complex downstream effects that are important for T cell recognition and killing of tumors cells including upregulation of antigen processing as shown in A. Damaging mutations and/or copy loss involving components of the antigen processing pathway and IFN response pathway have been implicated in tumor resistant to immune checkpoint blockade and TCR-T cell therapies.<sup>8,9,20,80–83</sup>

**Table 1.**Characteristics of engineered T cell trials that have demonstrated clinical activity in solid cancers<sup>a</sup>

Antigen-targeting receptor	Conditioning regimen	Maximum cell dose	Transduction efficiency (median/range)	Systemic cytokine therapy	Tumor responses (responses/N)
MART1 TCR <sup>52</sup>	Cyclophosphamide 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	107 × 10 <sup>9</sup>	71	Aldesleukin 720,000 IU/kg q8hrs	6/20
gp100 TCR <sup>52</sup>	Cyclophosphamide 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	110 × 10 <sup>9</sup>	82	Aldesleukin 720,000 IU/kg q8hrs	3/16
NY-ESO-1 TCR <sup>50</sup>	Cyclophosphamide 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	130 × 10 <sup>9</sup>	78, 62 <sup>b</sup>	Aldesleukin 720,000 IU/kg q8hrs	22/38
NY-ESO-1 TCR <sup>57</sup>	Cyclophosphamide 1,800 mg/m <sup>2</sup> × 2 days Fludarabine 30 mg/m <sup>2</sup> × 4 days	14 × 10 <sup>9</sup>	N/A <sup>c</sup>	None	6/12
NY-ESO-1 TCR <sup>58</sup>	Cyclophosphamide 600–1,800 mg/m <sup>2</sup> × 2–3 days Fludarabine 30 mg/m <sup>2</sup> × 3–4 days	N/A <sup>c</sup>	N/A <sup>c</sup>	None	9/30
MAGE-A3 TCR <sup>43</sup>	Cyclophosphamide 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	120 × 10 <sup>9</sup>	90	Aldesleukin 720,000 IU/kg q8hrs	4/17
MAGE-A3/A9/A12 TCR <sup>64</sup>	Cyclophosphamide 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	79 × 10 <sup>9</sup>	85	Aldesleukin 720,000 IU/kg q8hrs	5/9
E6 TCR <sup>9</sup>	Cyclophosphamide 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	134 × 10 <sup>9</sup>	60	Aldesleukin 720,000 IU/kg q8hrs	2/12
E7 TCR <sup>8</sup>	Cyclophosphamide 30 or 60 mg/kg × 2 days Fludarabine 25 mg/m <sup>2</sup> × 5 days	120 × 10 <sup>9</sup>	96	Aldesleukin 720,000 IU/kg q8hrs	6/12
CLDN18.2 CAR <sup>10</sup>	Cyclophosphamide 250 mg/m <sup>2</sup> × 3 days Fludarabine 25 mg/m <sup>2</sup> × 2 days Nab-paclitaxel 100 mg or Gemcitabine 1,000 mg × 1 day	5 × 10 <sup>8</sup>	N/A <sup>c</sup>	None	18/37

<sup>a</sup>Clinical trials with ≥ 2 objective responses by RECIST criteria.<sup>b</sup>CD8<sup>+</sup> and CD4<sup>+</sup> T cells, respectively.<sup>c</sup>Not available.

**Table 2.**

Target antigens for engineered T cell therapy in solid cancers

Class	Examples	Normal tissue expression	Clinical trial outcomes <sup>a</sup>			
			Antigen-targeting receptor	Cancer type	Tumor responses (responses/N)	On-target toxicity
Shared tumor/self	MART1, gp100, CEA, CA9, ERBB2, ROR1, GD2, GPC3, CLDN18.2	Variable	MART1 TCR <sup>52</sup>	Melanoma	6/20	Skin, eye and ear
			gp100 TCR <sup>52</sup>	Melanoma	3/16	Skin, eye and ear
			CEA TCR <sup>53</sup>	Colorectal carcinoma	1/3	Colon
			CA9 CAR <sup>54</sup>	Renal cell carcinoma	0/12	Liver
			ERBB2 CAR <sup>55</sup>	Colorectal carcinoma	0/1	Heart and lung
			CLDN18.2 CAR <sup>10</sup>	Gastrointestinal cancers	18/37	GI mucosa
Cancer germline	NY-ESO-1, select MAGE antigens, KK-LC-1	Germ cells	NY-ESO-1 TCR <sup>50</sup>	Synovial cell sarcoma, melanoma	22/38	None
			NY-ESO-1 TCR <sup>57</sup>	Synovial cell sarcoma	6/12	None
			NY-ESO-1 TCR <sup>58</sup>	Synovial cell sarcoma	9/30	None
			MAGE-A3 TCR <sup>43</sup>	Solid tumors	4/17	None
			MAGE-A3/A9/A12 <sup>64</sup>	Solid tumors	5/9	Brain <sup>b</sup>
Neoantigen (mutation, frameshift, splice variant, etc.)	Mutant RAS, mutant BRAF, EGFRvIII	None	N/A <sup>c</sup>	N/A <sup>c</sup>	N/A <sup>c</sup>	N/A <sup>c</sup>
Viral	HPV, HBV, EBV	None	E6 TCR <sup>9</sup>	HPV-associated cancers	2/12	None
			E7 TCR <sup>8</sup>	HPV-associated cancers	6/12	None

<sup>a</sup>Only clinical trials in which systemic administration of engineered T cell therapy resulted in on-target toxicity or ≥ 2 objective tumor responses as measured by RECIST criteria are included.

<sup>b</sup>Toxicity interpretation is confounded by the targeting of an epitope shared by multiple MAGE family members and uncertainty about the expression of each MAGE antigen in the brain. Targeting of MAGE-A12 was via an epitope that differed from the MAGE-A3 epitope by one residue, at an HLA-A\*02:01 anchor site (position 2).

<sup>c</sup>Not applicable. Awaiting publication.