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## Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)

Gorry C, McCullagh L, O'Donnell H, Barrett S, Schmitz S, Barry M, Curtin K, Beausang E, Barry R, Coyne I

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[Intervention Review]

# Neoadjuvant treatment for stage III and IV cutaneous melanoma

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## ABSTRACT

### Background

Cutaneous melanoma is amongst the most aggressive of all skin cancers. Neoadjuvant treatment is a form of induction therapy, given to shrink a cancerous tumour prior to the main treatment (usually surgery). The purpose is to improve survival and surgical outcomes. This review systematically appraises the literature investigating the use of neoadjuvant treatment for stage III and IV cutaneous melanoma.

### Objectives

To assess the effects of neoadjuvant treatment in adults with stage III or stage IV melanoma according to the seventh edition American Joint Committee on Cancer (AJCC) staging system.

### Search methods

We searched the following databases up to 10 August 2021 inclusive: Cochrane Skin Specialised Register, CENTRAL, MEDLINE, Embase, LILACS and four trials registers, together with reference checking and contact with study authors to identify additional studies. We also handsearched proceedings from specific conferences from 2016 to 2020 inclusive.

### Selection criteria

Randomised controlled trials (RCTs) of people with stage III and IV melanoma, comparing neoadjuvant treatment strategies (using targeted treatments, immunotherapies, radiotherapy, topical treatments or chemotherapy) with any of these agents or current standard of care (SOC), were eligible for inclusion.

### Data collection and analysis

We used standard Cochrane methods. Primary outcomes were overall survival (OS) and adverse effects (AEs). Secondary outcomes included time to recurrence (TTR), quality of life (QOL), and overall response rate (ORR). We used GRADE to evaluate the certainty of the evidence.

### Main results

We included eight RCTs involving 402 participants. Studies enrolled adults, mostly with stage III melanoma, investigated immunotherapies, chemotherapy, or targeted treatments, and compared these with surgical excision with or without adjuvant treatment. Duration of follow-

up and therapeutic regimens varied, which, combined with heterogeneity in the population and definitions of the endpoints, precluded meta-analysis of all identified studies. We performed a meta-analysis including three studies.

We are very uncertain if neoadjuvant treatment increases OS when compared to no neoadjuvant treatment (hazard ratio (HR) 0.43, 95% confidence interval (CI) 0.15 to 1.21; 2 studies, 171 participants; very low-certainty evidence). Neoadjuvant treatment may increase the rate of AEs, but the evidence is very uncertain (26% versus 16%, risk ratio (RR) 1.58, 95% CI 0.97 to 2.55; 2 studies, 162 participants; very low-certainty evidence). We are very uncertain if neoadjuvant treatment increases TTR (HR 0.51, 95% CI 0.22 to 1.17; 2 studies, 171 participants; very low-certainty evidence). Studies did not report ORR as a comparative outcome or measure QOL data.

We are very uncertain whether neoadjuvant targeted treatment with dabrafenib and trametinib increases OS (HR 0.28, 95% CI 0.03 to 2.25; 1 study, 21 participants; very low-certainty evidence) or TTR (HR 0.02, 95% CI 0.00 to 0.22; 1 study, 21 participants; very low-certainty evidence) when compared to surgery. The study did not report comparative rates of AEs and overall response, and did not measure QOL.

We are very uncertain if neoadjuvant immunotherapy with talimogene laherparepvec increases OS when compared to no neoadjuvant treatment (HR 0.49, 95% CI 0.15 to 1.64; 1 study, 150 participants, very low-certainty evidence). It may have a higher rate of AEs, but the evidence is very uncertain (16.5% versus 5.8%, RR 2.84, 95% CI 0.96 to 8.37; 1 study, 142 participants; very low-certainty evidence). We are very uncertain if it increases TTR (HR 0.75, 95% CI 0.31 to 1.79; 1 study, 150 participants; very low-certainty evidence). The study did not report comparative ORRs or measure QOL.

OS was not reported for neoadjuvant immunotherapy (combined ipilimumab and nivolumab) when compared to the combination of ipilimumab and nivolumab as adjuvant treatment. There may be little or no difference in the rate of AEs between these treatments (9%, RR 1.0, 95% CI 0.75 to 1.34; 1 study, 20 participants; low-certainty evidence). The study did not report comparative ORRs or measure TTR and QOL.

Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) likely results in little to no difference in OS when compared to neoadjuvant nivolumab monotherapy ( $P = 0.18$ ; 1 study, 23 participants; moderate-certainty evidence). It may increase the rate of AEs, but the certainty of this evidence is very low (72.8% versus 8.3%, RR 8.73, 95% CI 1.29 to 59; 1 study, 23 participants); this trial was halted early due to observation of disease progression preventing surgical resection in the monotherapy arm and the high rate of treatment-related AEs in the combination arm. Neoadjuvant combination treatment may lead to higher ORR, but the evidence is very uncertain (72.8% versus 25%, RR 2.91, 95% CI 1.02 to 8.27; 1 study, 23 participants; very low-certainty evidence). It likely results in little to no difference in TTR ( $P = 0.19$ ; 1 study, 23 participants; low-certainty evidence). The study did not measure QOL.

OS was not reported for neoadjuvant immunotherapy (combined ipilimumab and nivolumab) when compared to neoadjuvant sequential immunotherapy (ipilimumab then nivolumab). Only Grade 3 to 4 immune-related AEs were reported; fewer were reported with combination treatment, and the sequential treatment arm closed early due to a high incidence of severe AEs. The neoadjuvant combination likely results in a higher ORR compared to sequential neoadjuvant treatment (60.1% versus 42.3%, RR 1.42, 95% CI 0.87 to 2.32; 1 study, 86 participants; low-certainty evidence). The study did not measure TTR and QOL.

No data were reported on OS, AEs, TTR, or QOL for the comparison of neoadjuvant interferon (HDI) plus chemotherapy versus neoadjuvant chemotherapy. Neoadjuvant HDI plus chemotherapy may have little to no effect on ORR, but the evidence is very uncertain (33% versus 22%, RR 1.75, 95% CI 0.62 to 4.95; 1 study, 36 participants; very low-certainty evidence).

### Authors' conclusions

We are uncertain if neoadjuvant treatment increases OS or TTR compared with no neoadjuvant treatment, and it may be associated with a slightly higher rate of AEs. There is insufficient evidence to support the use of neoadjuvant treatment in clinical practice. Priorities for research include the development of a core outcome set for neoadjuvant trials that are adequately powered, with validation of pathological and radiological responses as intermediate endpoints, to investigate the relative benefits of neoadjuvant treatment compared with adjuvant treatment with immunotherapies or targeted therapies.

## PLAIN LANGUAGE SUMMARY

### What are the benefits and risks of neoadjuvant treatment (drug treatment prior to surgery to remove a tumour) for melanoma, a type of skin cancer?

#### What did we want to find out?

Cutaneous melanoma is a very aggressive form of skin cancer. It is generally fatal if detected at an advanced stage. Earlier treatment may allow for surgical removal of the tumour and an improved chance of long-term survival. Neoadjuvant treatment is drug treatment administered before surgery, to reduce the tumour size so that it is easier to remove, to reduce complications of surgery, and to reduce the risk of spread of the disease. New drug types, immunotherapies and targeted treatments, have been developed which may be effective for neoadjuvant use.

We wanted to find out if neoadjuvant treatment of stage III or IV melanoma helps people live longer, and to compare adverse (unwanted) effects with neoadjuvant treatment and routine care.

### Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)

### What did we do?

We searched the medical literature for randomised controlled trials that compared certain types of treatments for melanoma skin cancer. The types of treatment included are:

- targeted treatments - such as dabrafenib and trametinib;
- immunotherapies - such as ipilimumab and nivolumab;
- chemotherapy - such as dacarbazine and temozolomide;
- topical treatments - such as imiquimod;
- radiotherapy.

We considered both single-drug and combination-drug treatments. We described and compared the results from these studies, taking into account the differences between the studies.

### What did we find?

We identified eight randomised controlled trials that included 402 adults. The majority of people had stage III melanoma and were treated in hospital. Most studies used immunotherapies or targeted treatments, and compared these with surgery, with or without adjuvant treatment (treatment given after surgery to remove the tumour, to reduce the risk of the tumour coming back). No studies considered the impact of treatment on quality of life, and most studies did not compare tumour response rates after different treatments.

We are uncertain whether neoadjuvant treatment helps people live longer when compared with no neoadjuvant treatment. It may lead to more adverse events, and we are uncertain if it increases the time until the tumour comes back.

We are uncertain whether neoadjuvant targeted treatment with dabrafenib and trametinib helps people live longer, compared with no neoadjuvant treatment, or if it can increase the time until the tumour comes back. The study did not compare safety outcomes with each treatment.

We are uncertain if neoadjuvant immunotherapy with talimogene laherparepvec (T-VEC) helps people live longer when compared with no neoadjuvant treatment. It may lead to more adverse events. We are uncertain if it increases the time until the tumour comes back.

No data were reported on whether neoadjuvant immunotherapy with combined ipilimumab and nivolumab helps people live longer, when compared with adjuvant (treatment given only after surgery) combined ipilimumab and nivolumab. There may be little or no difference in the rate of adverse events. No data were reported on whether neoadjuvant immunotherapy with combined ipilimumab and nivolumab increases the time until the tumour comes back.

Neoadjuvant combination of ipilimumab and nivolumab likely results in little or no difference in how long people live, when compared with neoadjuvant nivolumab. It may increase the rate of adverse events, but our confidence in the evidence is very low. It is worth noting that this trial was stopped early as patients in the neoadjuvant nivolumab arm may not be able to receive surgery due to disease progression and also because of a high rate of treatment-related adverse events in the combination treatment arm. Combination treatment may lead to higher tumour response rates, but our confidence in the evidence is very low. The time until the tumour comes back may not be different.

No data were available on whether neoadjuvant immunotherapy with combined ipilimumab and nivolumab helps people live longer, when compared with neoadjuvant sequential treatment with ipilimumab and nivolumab. It likely results in fewer adverse events compared to sequential treatment, and may result in higher tumour response rates. The sequential treatment arm of the trial stopped recruiting patients due to a high incidence of severe AEs. Data on the time taken for the tumour to return were not collected.

No data were reported on whether neoadjuvant high-dose interferon plus chemotherapy, when compared to neoadjuvant chemotherapy, can help people live longer, increase the time taken for the tumour to reoccur, reduce adverse events, or impact quality of life. It may have little to no effect on tumour response rates.

### What does this mean?

We are uncertain if neoadjuvant treatment of stage III or IV melanoma will help people to live longer, or to have more time before the disease recurs. We are also uncertain if the benefits of neoadjuvant treatment outweigh the risks of adverse events.

### How up to date is this evidence?

The evidence is up to date to August 2021.

## SUMMARY OF FINDINGS

### Summary of findings 1. Neoadjuvant treatment compared to no neoadjuvant treatment

#### Neoadjuvant treatment compared to no neoadjuvant treatment

**Patient or population:** stage III or IV cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant treatment

**Comparison:** surgery with or without adjuvant treatment

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with surgery +/- adjuvant treatment	Risk with neoadjuvant treatment				
Overall survival (OS), measured by the number of deaths over time Median follow-up: 18.6 to 24 months	Study population		HR 0.43 (0.15 to 1.21)	171 (2 RCTs <sup>a</sup> )	⊕⊕⊕⊕ Very low <sup>b,c</sup>	
	100 per 1000	44 per 1000 (16 to 120)				
Adverse events, assessed with: CTCAE criteria v4 Median follow-up: 2 to 4 years	Study population		RR 1.58, 95% CI 0.97 to 2.55	162 (2 RCTs <sup>d</sup> )	⊕⊕⊕⊕ Very low <sup>b,c,e</sup>	
	165 per 1000	260 per 1000 (160 to 420)				
Overall response rate (ORR), assessed with: radiological assessment using RECIST v1.1 criteria	This outcome was not reported as a comparative outcome as it was measured only in the neoadjuvant arm.		-	-	-	
Time to recurrence (TTR), measured by the number of disease recurrence events over time. Assessed with radiological assessment using RECIST v1.1 criteria Median follow-up: 18.6 to 24 months	Study population		HR 0.51 (0.22 to 1.17)	171 (2 RCTs <sup>a</sup> )	⊕⊕⊕⊕ Very low <sup>b,c,e</sup>	
	400 per 1000	229 per 1000 (106 to 450)				
Quality of life - not measured	This outcome was not measured for this comparison.		-	-	-	

\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived as follows:

Assumed risk in the control population: 1 year OS rate = 90% (Balch 2009).

Assumed risk in the control population: 1 year TTR rate = 60% (Eggermont 2021).

Assumed risk in the control population: toxicity rate across control arms in the included trials.

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).  
CI: confidence interval; CTCAE: Common Terminology Criteria for Adverse Events; HR: hazard ratio; RECIST: response evaluation criteria in solid tumours; RCT: randomised controlled trial; RR: risk ratio

**GRADE Working Group grades of evidence**

**High certainty:** we are very confident that the true effect lies close to that of the estimate of the effect.

**Moderate certainty:** we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

**Low certainty:** our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

**Very low certainty:** we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

<sup>a</sup>Meta-analysis of outcomes from Amaria 2018a and Dummer 2020b.

<sup>b</sup>Downgraded one level for risk of bias for high risk of performance and detection bias in both trials, and one level for risk of publication bias, as results for the largest trial are only published as abstracts to date, and in a clinical trials database; no peer reviewed publication is available.

<sup>c</sup>Downgraded one level for imprecision due to the small number of events and wide confidence intervals.

<sup>d</sup>Meta-analysis of outcomes from Blank 2018 and Dummer 2020b.

<sup>e</sup>Downgraded one level for inconsistency (between-study heterogeneity).

**Summary of findings 2. Neoadjuvant targeted treatment (BRAF/MEK inhibition) compared to no neoadjuvant treatment**

**Neoadjuvant BRAF/MEK inhibition compared to no neoadjuvant treatment**

**Patient or population:** stage III or IV cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant BRAF/MEK inhibition

**Comparison:** surgery

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with surgery	Risk with Neoadjuvant BRAF/MEK inhibition				
Overall survival (OS), measured by number of deaths over time, Median follow-up: 18.6 months	Study population		HR 0.28 (0.03 to 2.25)	21 (1 RCT)	⊕⊕⊕⊕ VERY LOW <sup>a,b</sup>	
	100 per 1000	29 per 1000 (3 to 211)				
Adverse events, assessed with: CT-CAE criteria v4	The overall incidence of Grade 3 and 4 adverse events was not reported. Eight treatment re-		-	14 (1 RCT)	⊕⊕⊕⊕ LOW <sup>c</sup>	Number of participants reflects those recruited to the neoad-



Follow-up: duration of treatment, total of 52 weeks of treatment	lated Grade 3 adverse events occurred in the neoadjuvant dabrafenib and trametinib arm.				juvant treatment arm only.
Overall response rate (ORR), assessed with: radiological assessment using RECIST v1.1 criteria Follow-up: 8 weeks	This outcome was assessed only in the neoadjuvant arm, and there are no comparative results. The rate of overall response (complete response and partial response) in the neoadjuvant arm was 85% (response-evaluable population).	-	13 (1 RCT)	⊕⊕⊕⊕ LOW <sup>d,e</sup>	Number of participants reflects those recruited to the neoadjuvant treatment arm only. One person was excluded as they withdrew consent prior to commencing treatment.
Time to recurrence (TTR), measured by the number of disease recurrence events over time, assessed with: radiological assessment using RECIST v1.1 criteria Median follow-up: 18.6 months	Study population	HR 0.02 (0.00 to 0.22)	21 (1 RCT)	⊕⊕⊕⊕ VERY LOW <sup>a,e</sup>	TTR was described as event free survival in the trial publication.
	400 per 1000 10 per 1000 (0 to 106)				
Quality of Life - not measured	This outcome was not measured for this comparison.	-	-	-	

\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived as follows:

Assumed risk in the control population: 1 year OS rate = 90% (Balch 2009).

Assumed risk in the control population: 1 year TTR rate = 60% (Eggermont 2021).

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).  
CI: Confidence interval; CTCAE: Common Terminology Criteria for Adverse Events; HR: hazard ratio, RECIST: Response evaluation criteria in solid tumours; RCT: randomised controlled trial

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>Downgraded two levels for risk of bias, as risk of performance and detection bias were high for this outcome, and risk of other bias was high, due to early cessation of the trial based on an unplanned interim analysis where the prespecified criteria for early discontinuation were not met. Differences in adjuvant treatment options between treatment arms further confound outcomes.

<sup>b</sup>Downgraded one level for imprecision, as the number of events was small and the confidence intervals wide.

<sup>c</sup>Downgraded two levels for risk of bias: one level as risk of performance and detection bias were high for this outcome, and a second level for risk of other bias as adverse events in the control arm were not recorded.

<sup>d</sup>Downgraded one level for risk of bias, as risk of detection bias was considered high for this outcome.

<sup>e</sup>Downgraded one level for imprecision, as the number of events was small.

### Summary of findings 3. Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment

#### Neoadjuvant talimogene laherparepvec compared to no neoadjuvant treatment

**Patient or population:** stage III and IV cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant talimogene laherparepvec

**Comparison:** surgery

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with surgery	Risk with Neoadjuvant talimogene laherparepvec				
Overall survival (OS), measured by the number of deaths over time, Follow-up: 24 months	Study population		HR 0.49 (0.15 to 1.64)	150 (1 RCT)	⊕⊕⊕⊕ VERY LOW <sup>a,b,c</sup>	
	100 per 1000	50 per 1000 (16 to 159)				
Adverse Events, assessed with: CTCAE v4.0 Follow-up: 24 months	Study population		RR 2.84 (0.96 to 8.37)	142 (1 RCT)	⊕⊕⊕⊕ VERY LOW <sup>a,d,e</sup>	
	58 per 1000	165 per 1000 (57 to 391)				
Overall response rate (ORR), assessed with: radiological response according to RECIST v1.1 Follow-up: 13 to 18 weeks	This outcome was assessed only in the neoadjuvant treatment arm, and there are no comparative results. The rate of overall response (complete response and partial response) in the neoadjuvant arm was 13.2% (80% CI 8.3 to 19.5).		-	76 (1 RCT)	⊕⊕⊕⊕ VERY LOW <sup>a,b,d</sup>	Number of participants reflects those recruited to the neoadjuvant treatment arm only.
Time to recurrence (TTR), measured by the number of disease recurrence events over time, assessed with: radiological assessment using RECIST v1.1 Follow-up: 24 months	Study population		HR 0.75 (0.31 to 1.79)	150 (1 RCT)	⊕⊕⊕⊕ VERY LOW <sup>a,c,e</sup>	
	400 per 1000	318 per 1000 (146 to 599)				

Quality of Life - not measured	This outcome was not measured for this comparison.	-	-	-
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\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived as follows:

Assumed risk in the control population: 1 year OS rate = 90% (Balch 2009).

Assumed risk in the control population: 1 year TTR rate = 60% (Eggermont 2021).

Assumed risk in the control population: toxicity rate across control arms in the included trials.

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

CI: Confidence interval; CTCAE: Common terminology criteria for adverse events; HR: hazard ratio; RECIST: Response evaluation criteria in solid tumours; RCT: randomised controlled trial; RR: Risk ratio;

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>Downgraded one level for risk of publication bias, as results only published as abstracts to date, and in a clinical trials database; no peer reviewed publication is available.

<sup>b</sup>Downgraded one level for imprecision as the number of events was small.

<sup>c</sup>Downgraded one level for risk of bias due to high risk of performance and detection bias. Differences in adjuvant treatment options between treatment arms further confounds outcomes.

<sup>d</sup>Downgraded one level for risk of bias due to high risk of detection bias.

<sup>e</sup>Downgraded one level for imprecision as confidence intervals are wide.

### Summary of findings 4. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to adjuvant immunotherapy (combined ipilimumab and nivolumab)

#### Neoadjuvant combined ipilimumab and nivolumab compared to adjuvant ipilimumab and nivolumab

**Patient or population:** stage III cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant ipilimumab plus nivolumab

**Comparison:** adjuvant ipilimumab plus nivolumab

Outcomes	Anticipated absolute effects* (95% CI)	Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
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	Risk with adjuvant ipilimumab plus nivolumab	Risk with neoadjuvant ipilimumab plus nivolumab			
Overall survival (OS)	This outcome was not reported for this comparison.		-	-	-
Adverse events, assessed with: CTCAE criteria Median follow-up: 25.6 months	Study population		RR 1.00 (0.75 to 1.34)	20 (1 RCT)	⊕⊕○○ LOW <sup>a,b</sup>
	900 per 1000	900 per 1000 (675 to 1000)			
Overall response rate (ORR), assessed with: radiological assessment according to RECIST v1.1 Follow-up: 6 weeks	This outcome was assessed only in the neoadjuvant treatment arm, and there are no comparative results. The rate of overall response (complete response and partial response) in the neoadjuvant arm was 40%.		-	10 (1 RCT)	⊕⊕○○ LOW <sup>c,d</sup>
Time to recurrence - not measured	This outcome was not measured for this comparison.		-	-	-
Quality of life - not measured	This outcome was not measured for this comparison.		-	-	-

\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived from the toxicity rate across control arms in the included trials.

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).  
CI: Confidence interval; CTCAE: Common terminology criteria for adverse events; RECIST: Response evaluation criteria in solid tumours; RCT: randomised controlled trial; RR: Risk ratio

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>Downgraded one level due to risk of bias, as risk of performance bias and detection bias were considered high for this outcome.

<sup>b</sup>Downgraded one level for imprecision as confidence interval were wide and fail to exclude important benefit or important harm.

<sup>c</sup>Downgraded one level due to risk of bias, as risk of detection bias was considered high for this outcome.

<sup>d</sup>Downgraded one level due to imprecision, as the number of events was small.

## Summary of findings 5. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab)

### Neoadjuvant combined ipilimumab and nivolumab compared to neoadjuvant nivolumab

**Patient or population:** stage III and IV cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant ipilimumab combined with nivolumab, with adjuvant nivolumab

**Comparison:** neoadjuvant and adjuvant nivolumab

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	N° of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with neoadjuvant nivolumab	Risk with neoadjuvant ipilimumab plus nivolumab				
Overall survival (OS), measured by number of deaths over time Median follow-up: 15 months	No difference was seen in OS between the treatment arms (P = 0.18). No HR or absolute number of events were reported, and it was not possible to extract data reliably from the published Kaplan Meier curves.		-	23 (1 RCT)	⊕⊕⊕⊖ MODERATE <sup>a</sup>	
Adverse events, assessed with: CT-CAE criteria Median follow-up: 15 months	Study population  83 per 1000 728 per 1000 (108 to 1000)		RR 8.73 (1.29 to 59.00)	23 (1 RCT)	⊕⊖⊖⊖ VERY LOW <sup>a,b,c</sup>	Trial was discontinued early, due to an observation of disease progression preventing surgical resection in the PD-1 monotherapy arm, and a high rate of grade 3 TRAES in the combination arm
Overall response rate (ORR), assessed with: radiological response according to RECIST v1.1 Follow-up: up to 12 weeks	Study population  250 per 1000 728 per 1000 (255 to 1000)		RR 2.91 (1.02 to 8.27)	23 (1 RCT)	⊕⊖⊖⊖ VERY LOW <sup>a,b,c</sup>	
Time to recurrence (TTR), measured by the number of disease recurrence events over time, assessed with: RECIST v.1 Median follow-up: 15 months	No difference was seen in TTR between treatment arms (P = 0.19). No HR or absolute numbers of events were reported, and it was not possible to extract data reliably from published Kaplan Meier curves.		-	23 (1 RCT)	⊕⊕⊖⊖ LOW <sup>a,b</sup>	TTR was described as progression-free survival in the trial publication.

Quality of life - not measured	This outcome was not measured for this comparison.	-	-	-
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\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived as follows:

Assumed risk in the control population: toxicity rate across control arms in the included trials.

Assumed risk in the control population: response rate across control arms in the included trials.

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).  
CI: Confidence interval; CTCAE: Common terminology criteria for adverse events; PD-1: programmed death-1; RCT: randomised controlled trial; RECIST: response evaluation criteria in solid tumours; RR: risk ratio; TRAEs: treatment related adverse events.

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>Downgraded one level for imprecision as the number of events was small.

<sup>b</sup>Downgraded one level for risk of bias, as the risk of performance bias and/or detection bias were considered high for this outcome.

<sup>c</sup>Downgraded one level for imprecision as the confidence intervals were wide.

### Summary of findings 6. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (sequential treatment with ipilimumab then nivolumab)

#### Neoadjuvant combined ipilimumab and nivolumab (two different dosing regimens) compared to neoadjuvant sequential ipilimumab then nivolumab

**Patient or population:** stage III cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant ipilimumab plus nivolumab (two different dosing regimens)

**Comparison:** neoadjuvant sequential ipilimumab then nivolumab

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	N° of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with sequential ipilimumab then nivolumab	Risk with neoadjuvant ipilimumab plus nivolumab (two different dosing regimens)				
Overall survival (OS)	This outcome was not reported for this comparison.		-	-	-	

Adverse events, assessed with: CTCAE Median follow-up: 32 months	The overall incidence of Grade 3 and 4 adverse events was not reported. Immune-related Grade 3 to 4 adverse events at 12 weeks were reported in 40% of participants treated with nivo1ipi3, in 20% of participants treated with nivo3ipi1 and in 50% of participants in the sequential treatment arm. The sequential treatment arm closed early due to a high incidence of severe adverse events.	-	86 (1 RCT)	⊕⊕○○ LOW a,b
Overall response rate (ORR), assessed with: radiological assessment according to RECIST v1.1 Follow-up: 6 weeks	Study population 423 per 1000 601 per 1000 (368 to 982)	RR 1.42 (0.87 to 2.32)	86 (1 RCT)	⊕⊕○○ LOW c,d
Time to response - not measured	This outcome was not measured for this comparison	-	-	-
Quality of life - not measured	This outcome was not measured for this comparison.	-	-	-

\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived as follows:

Assumed risk in the control population: toxicity rate across control arms in the included trials.

Assumed risk in the control population: response rate across control arms in the included trials.

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).  
CI: Confidence interval; CTCAE: Common terminology criteria for adverse events; Nivo1ipi3: Nivolumab 1 mg/kg plus ipilimumab 3 mg/kg; Nivo3ipi1: nivolumab 3 mg/kg plus ipilimumab 1 mg/kg; RECIST: Response evaluation criteria in solid tumours; RCT: randomised controlled trial; RR: risk ratio

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>Downgraded one level due to risk of bias, as risk of performance bias and detection bias was considered high for this outcome.

<sup>b</sup>Downgraded one level for risk of bias as only immune-related adverse events reported.

<sup>c</sup>Downgraded one level for imprecision as confidence intervals are wide.

<sup>d</sup>Downgraded one level due to risk of bias, as risk of detection bias was considered high for this outcome.

## Summary of findings 7. Neoadjuvant immunotherapy (high dose interferon) plus chemotherapy compared to neoadjuvant chemotherapy

### Neoadjuvant chemotherapy and interferon compared to neoadjuvant chemotherapy

**Patient or population:** stage III and IV cutaneous melanoma

**Setting:** hospital

**Intervention:** neoadjuvant chemotherapy and interferon

**Comparison:** neoadjuvant chemotherapy

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with chemotherapy alone	Risk with neoadjuvant interferon plus chemotherapy				
Overall survival (OS)	This outcome was not reported for this comparison.		—	—	—	
Adverse events	This outcome was not reported for this comparison.		—	—	—	
Overall response rate (ORR), measured after 8 weeks of treatment and prior to surgery.	Study population		RR 1.75 (0.62 to 4.95)	36 (1 RCT)	⊕⊕⊕⊕ VERY LOW a,b,c	The definition of ORR in this trial included complete, partial responses and stable disease, so is not directly comparable with other ORR outcomes in this review.
	222 per 1000	333 per 1000 (150 to 586)				
Time to recurrence — not reported	This outcome was not reported for this comparison.		—	—	—	
Quality of life — not reported	This outcome was not reported for this comparison.		—	—	—	

\*The basis for the assumed risk (e.g. the median control group risk across studies) is derived as follows:

Assumed risk in the control population: response rate across control arms in the included trials.

The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

**CI:** Confidence interval; **RR:** Risk ratio; **OR:** Odds ratio; **RCT:** randomised controlled trial

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect



**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

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<sup>a</sup>Downgraded one level due to serious risk of bias, as the risk of detection bias was rated high for this outcome.

<sup>b</sup>Downgraded one level due to serious risk of bias, as the risk of selective reporting and publication bias were rated high for this outcome.

<sup>c</sup>Downgraded one level for imprecision, as confidence intervals are very wide.

## BACKGROUND

A glossary of the terms used is provided in [Appendix 1](#).

### Description of the condition

Cutaneous melanoma is amongst the most aggressive of all skin cancers ([Garbe 2016](#)). It is a type of skin cancer originating in the melanin-producing melanocytes, which are found between the outer layer of the skin (the epidermis) and the layer beneath (the dermis) ([Garbe 2016](#)). It typically presents in distinctive subtypes, such as superficial spreading melanoma, nodular melanoma, and acral lentiginous melanoma (present on acral surfaces such as the sole, and occurs more commonly in populations at low-risk for non-acral melanomas such as Asian and African populations).

Melanocytes become cancerous as a result of unrepaired DNA damage or other genetic alterations, or both ([Curtin 2005](#); [Eggermont 2014](#)). Genetic and environmental factors which increase the risk of melanoma include exposure to sunlight and ultraviolet (UV) radiation; a high number of moles (naevi); fair skin; age; family history; and a history of previous melanoma ([Whiteman 2011](#)).

Cutaneous melanoma occurs mainly in European, Oceanic and North American populations, accounting for almost 82% of the global incidence, and almost 64% of the mortality related to the disease ([Ferlay 2015](#)). In 2020, there was a global incidence of 324,635 cases (1.7% of total cancer cases) and 57,043 deaths (0.6% of total cancer mortality) ([Sung 2021](#)). The incidence of cutaneous melanoma is increasing, and the death rate is declining at a lower rate than for many other neoplasms ([Global Burden of Disease 2016](#)). Incidence and mortality are higher in men than women ([Sung 2021](#)).

Dermoscopy is commonly used to diagnose melanoma, while histopathology assessments together with clinical and radiological examination are used to stage the disease; sentinel node biopsy is used for staging higher-risk melanomas ([Garbe 2016](#); [Michielin 2019](#)). Melanoma is staged according to the American Joint Committee on Cancer (AJCC) Melanoma Staging criteria. This review uses the seventh edition of these staging criteria ([AJCC 2011](#)); the eighth edition was published subsequent to the review protocol ([AJCC 2017](#)). In stage 0 melanoma (in situ melanoma), the abnormal melanocytes have not started to spread into deeper layers. In stages I and II melanoma, invasive cancer has formed, but there is no spread to lymph nodes or distant sites. With stage III melanoma, the melanoma has spread to the lymph nodes or lymphatic channels, and it may or may not be ulcerated. In stage IV melanoma, cancer has spread elsewhere in the body, with the brain, lung, liver, distant lymph nodes and other areas of the skin being the most common places of metastasis. The different stages are further subdivided based on prognostic variables into categories A, B, or C, e.g. IIIA, IIIB, IIIC. Prognostic variables in melanoma include Breslow depth, ulceration, mitotic rate, number and site of distant metastases, and serum lactate dehydrogenase (LDH) levels ([Balch 2009](#)). Tumour-infiltrating lymphocytes (white blood cells that migrate into a tumour and help kill tumour cells as part of the host immune response to cancer) have been identified as potential prognostic factors in melanoma ([Thomas 2013](#)). Molecular characterisation of the tumour is recommended for stage IIC, III and IV melanomas ([Michielin 2019](#)). Ten-year survival ranges from 93% for stage IA to 39% for stage IIC. At stage

III, five-year survival rates range from 78% to 40%, while for stage IV disease it ranges from 10% to 25%, dependent on LDH levels ([Balch 2009](#)). Melanoma-specific survival is expected to increase across all the more severe disease stages with the widespread adoption of effective immunotherapies; whilst stage-specific survival data is not yet available for people with stage IIIC and IV melanoma, data from the Surveillance, Epidemiology and End Results Program (SEER) in the USA indicates that the death rate from melanoma has declined since 2014 ([SEER 2022](#)).

Melanoma tumours have a high mutational load, due to the combination of driver genetic mutations and continuous exposure to the carcinogen, UV radiation ([Curtin 2005](#)). Currently, available treatments target the BRAF mutation, and research is ongoing to identify therapeutic agents which target the other mutations ([Posch 2013](#)). The high mutational load and immunogenicity of melanoma tumours contributed to the early investigation and use of checkpoint inhibitors for this disease ([Postow 2015](#)).

### Description of the intervention

Neoadjuvant treatment is a form of induction therapy, given as a first step to shrink a cancerous tumour prior to the main treatment, which is generally surgery ([NCI 2021](#)). The aim is to improve survival outcomes, reduce surgical morbidity and improve patient outcomes ([Tahrini 2011](#)). It also allows for the provision of 'real-time' information on tumour behaviour in response to systemic treatment, useful for translational analyses and potentially more personalised treatment regimens. Neoadjuvant treatment is generally administered for a preplanned, fixed period of time prior to a surgical procedure; optional adjuvant treatment can be used in the postoperative period. Numerous therapeutic approaches can be used, including cytotoxic chemotherapy, radiation therapy, topical agents, immunotherapies, and targeted treatments. These approaches work by diverse pharmacological and physiological mechanisms to reduce tumour volume.

Neoadjuvant treatment regimens are not included in the current European Society of Medical Oncology (ESMO) treatment guidelines for cutaneous melanoma ([Michielin 2019](#)). The National Comprehensive Cancer Network (NCCN) guidelines recommend that people with extensive resectable disease at very high risk of recurrence, or where there is uncertainty regarding the resectability of nodal disease, undergo assessment by a multidisciplinary tumour board and be considered for neoadjuvant systemic therapy, preferably in the context of a clinical trial ([NCCN 2021](#)). These guidelines note that there is currently insufficient data to recommend any specific agent as neoadjuvant therapy for melanoma. Neoadjuvant treatment is included as a treatment option for distant metastases in the European Consensus Guidelines 2016 issued by the European Organisation for Research and Treatment of Cancer (EORTC) and the European Association of Dermato-Oncology (EADO) ([Garbe 2016](#)), referencing research using neoadjuvant treatment with high-dose interferon (HDI) ([Moschos 2006](#)).

### How the intervention might work

Many therapeutic approaches have been investigated for their utility as neoadjuvant treatments for melanoma. The effect of neoadjuvant treatment for melanoma may operate through an immuno-modulatory effect, rather than a direct anti-tumour effect ([Johnson 2015a](#); [Moschos 2006](#)). Immunotherapies and targeted

treatments have demonstrated survival benefits in stage III and IV melanoma; their usefulness is somewhat tempered by a proportion of non-responders, and the development of tumour resistance over time (Johnson 2015; Zhao 2017). The therapeutic hypothesis for neoadjuvant therapy is that use of these agents for earlier stages of the disease, before changes in the tumour microenvironment facilitating immune evasion occur, may lead to greater treatment benefits in a larger proportion of people (Brauer 2014; Davar 2013).

## Chemotherapy

Cytotoxic chemotherapy was previously the mainstay of systemic treatment for stage IIIC and IV cutaneous melanoma. Many regimens have been investigated, with varying impact on clinical outcomes, but no regimen demonstrated an improvement in overall survival (OS) (Pasquali 2018). Dacarbazine, an alkylating agent (Lexicomp, 20th Ed), works by disrupting the DNA replication mechanisms of the tumour. It has been investigated as monotherapy for stage IIIC and IV disease, as well as in neoadjuvant and adjuvant treatment strategies for earlier-stage melanoma (Buzaid 1998; Kim 2009). It has now largely been displaced by newer agents, but may be used in palliative chemotherapy, and as treatment in countries where newer treatments are not available or not reimbursed. Temozolomide is an oral analogue of dacarbazine, with demonstrated non-inferiority to dacarbazine (Middleton 2000; Patel 2011). Combination chemotherapy regimens targeting multiple mechanisms of cell growth and replication have also been investigated, using agents including the vinca alkaloids, such as vindesine and vinblastine (inhibitors of microtubular assembly), taxanes such as paclitaxel (inhibitors of microtubule disassembly), platinum analogues such as cisplatin or carboplatin (alkylating agents), and nitrosoureas such as lomustine, carmustine and fotemustine (alkylating agents) (Bhatia 2009). Compared to monotherapy, combination regimens are associated with an increase in toxicity, a slightly higher response rate, and no significant improvement in OS (Pasquali 2018).

## Immunotherapy

Interleukin-2 (IL-2) and interferon alpha (IFN-alpha) were amongst the earliest immunotherapies used in clinical practice for the management of stage III and IV melanoma (Kirkwood 2012); both have fallen out of routine use due to the availability of less toxic and more efficacious agents. IFN-alpha is authorised for adjuvant treatment of stage II and III melanoma, having demonstrated improvements in recurrence-free survival (RFS), and potentially an increase in OS (Mocellin 2013; Najjar 2019). The greatest effect is likely seen in those with ulcerated melanomas with palpable nodes (Eggermont 2012; Eggermont 2020; Wheatley 2007). The mechanism of action of IFN-alpha in melanoma is unknown, and is possibly linked to its immuno-stimulatory effects on antigen-presenting cells, leading to an increase in tumour-infiltrating lymphocytes producing an innate immune response to the tumour (Heise 2016; Moschos 2006). IL-2 has demonstrated improvements in clinical outcomes in a small proportion of people with advanced melanoma (approximately 10%), but severe toxicity and the absence of a biomarker to predict efficacy limits its use (Amaria 2015). The mechanism of action is unclear; it has a variety of effects at the tumour site, including stimulating the production of cytokines, increasing vascular permeability, and promoting the differentiation and proliferation of T lymphocytes.

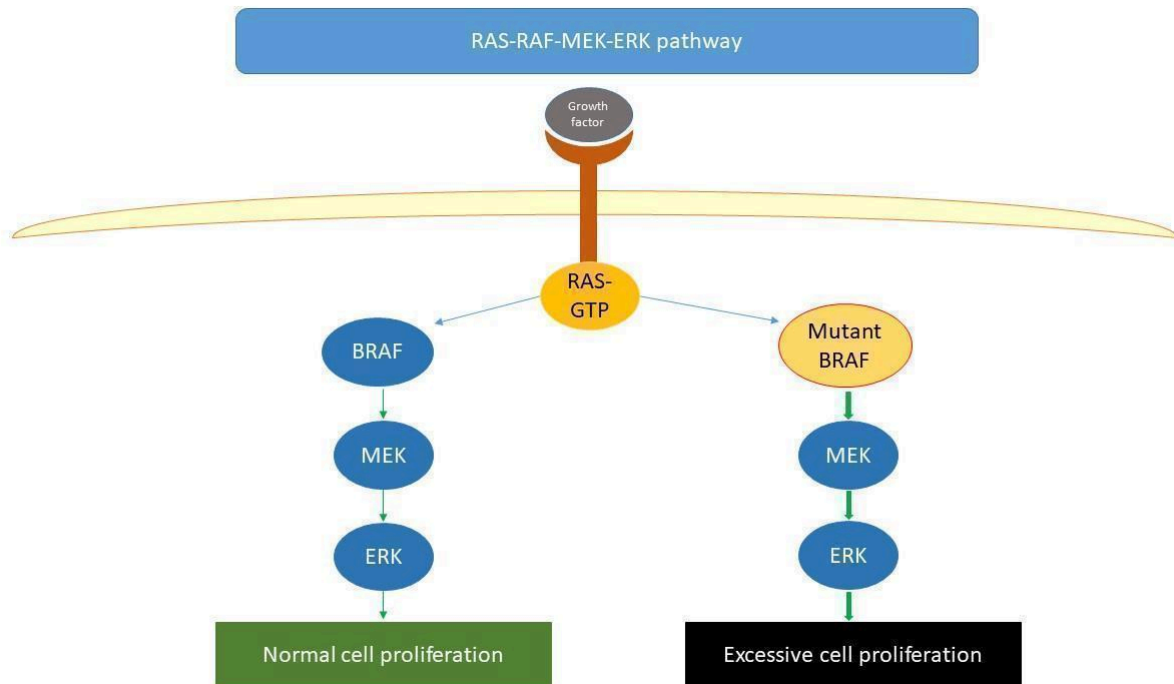
Ipilimumab is a cytotoxic T-lymphocyte associated protein 4 (CTLA-4) inhibitor, which has shown survival benefits in advanced disease (Hodi 2010; Robert 2011), and also as an adjuvant treatment for stage III disease (Eggermont 2016). It exerts its effects via T cells, stimulating an immune response against the tumour; additional local actions may supplement this effect (Postow 2015). Pembrolizumab and nivolumab target the programmed death-1 (PD-1) pathway, disruption of which potentiates the T-cell response to the tumour, and may influence other immune responses in B cells and natural killer cells (Postow 2015). CTLA-4 and PD-1 inhibitors are classified as checkpoint inhibitors, which are now the standard of care treatments for stage IIIC and IV melanoma. These agents are associated with improvements in survival outcomes, an immune-related side-effect profile, and durable responses in some people (Postow 2015). RCTs have demonstrated the synergistic effect of combined CTLA-4/PD-1 inhibition with ipilimumab and nivolumab in stage IIIC and IV melanoma (Larkin 2015; Wolchok 2017, Wolchok 2021, Hodi 2016). PD-1 blockade with pembrolizumab or nivolumab is now considered standard of care as adjuvant therapy in people with stage III melanoma, which is at high risk of recurrence following surgical resection (Michielin 2019; NCCN 2021). It is associated with increases in recurrence free survival (RFS) compared with ipilimumab or placebo (Eggermont 2018; Weber 2017), although the impact on OS is unclear (Ascierto 2020). Pembrolizumab has recently been approved in the USA as adjuvant treatment for stage IIB or IIC melanoma following complete resection, based on an increase in RFS compared with placebo (Luke 2022).

Early research with granulocyte-macrophage colony stimulating factor (GM-CSF) showed some impact on disease response in advanced melanoma (Hoeller 2001; Ridolfi 2002; Si 1996), thought to be mediated by stimulation of dendritic cells to trigger a host immune response. This led to the development of talimogene laherparepvec, an oncolytic viral immunotherapy derived from herpes simplex virus-1 (HSV-1), which is designed to produce GM-CSF intra-lesionally (Andtbacka 2015). It has shown benefit compared to GM-CSF in the treatment of regionally or distantly metastatic melanoma (stage IIIB, IIIC and IV) in the absence of visceral metastases and normal LDH levels (Kaufman 2014). Concomitant administration of sargramostim, a GM-CSF-secreting vaccine adjuvant, with ipilimumab also demonstrated improved treatment outcomes in a phase III RCT (Hodi 2014).

## Targeted treatments

In approximately 50% of cutaneous melanomas there is a mutation in the BRAF gene, which causes cell proliferation and tumour growth (Eggermont 2014); inhibition of this effect can have a damaging effect on tumour growth (Figure 1, Davies 2002). BRAF inhibitors were first licensed in Europe in 2011 based on improvements in survival outcomes compared with dacarbazine (Hauschild 2012; McArthur 2014). Subsequently, MEK inhibitors were licensed for concomitant use with BRAF inhibitors, exhibiting a synergistic effect in prolonging progression-free survival (PFS) and OS compared to BRAF inhibitor monotherapy, and overcoming the challenge of treatment resistance, with durable responses in some people with favourable survival characteristics (Larkin 2014; Long 2017a; Robert 2015; Robert 2019). Adjuvant treatment with dabrafenib and trametinib for stage III melanoma following surgical resection has demonstrated an increase in RFS compared with placebo; the impact on OS is less certain (Dummer 2020a; Long 2017b).

Figure 1. Simplified diagram of the RAS-RAF-MEK-ERK pathway



Bevacizumab, an anti-vascular epithelial growth factor (VEGF) monoclonal antibody, is an anti-angiogenic agent that exerts its effects by reducing the growth of blood vessels required by growing tumours. Studies have shown activity in melanoma (Kim 2012; Kruijff 2012; Varker 2007), and a phase III RCT in the adjuvant setting has shown an increase in disease-free survival but no demonstrated effect on OS (Corrie 2017). Axitinib is an oral anti-VEGF agent, which exerts its effects similarly to bevacizumab, and is primarily used in renal cell carcinoma. It has produced both complete and partial responses in people with previously treated metastatic melanoma (Algazi 2015; Fruehauf 2011).

### Topical agents

Imiquimod is a toll-like receptor (TLR) 7 agonist which acts as an immune response modifier, although its precise mechanism of action is far from clear (Lexicomp, 20th Ed). It is currently used for the topical treatment of superficial basal cell carcinoma and a number of other indications, including genital warts, actinic keratosis (EMA 2021), and as adjuvant treatment or as monotherapy for lentigo maligna (Lallas 2021). There are documented case series of its use for the treatment of melanoma, in particular for people with multiple cutaneous in-transit metastases (Florin 2012).

### Radiation Therapy

Radiation therapy uses high-energy radiation to shrink tumours and kill cancer cells by damaging their DNA so that they can no longer replicate. Radiation therapy has traditionally had a peripheral role in the management of melanoma, used primarily in the management of brain metastases (stereotactic ablative radiation therapy) and for symptom control. Radiation therapy can be considered after resection of bulky nodal disease, to reduce the risk of disease recurrence in the radiation field, but has no impact

on disease-free survival (DFS) and OS (Dummer 2015). Preclinical models have shown a potential synergistic effect of radiation therapy with immunotherapy, with some clinical evidence for the abscopal effect, and many reported case studies and case series (Barker 2014; Chandra 2015), although the underlying molecular mechanisms of this effect are poorly understood (Reynders 2015). Clinical trials are underway which are investigating the concomitant use of various dosing schedules of radiation therapy with immunotherapy for systemic treatment of advanced disease (Kang 2016).

### Why it is important to do this review

Neoadjuvant treatment strategies are standard of care in a number of solid tumours, including breast, oesophageal and ovarian cancers (Korde 2021; Wright 2016). While not universally implemented as a treatment strategy in the current treatment paradigm for stage III and IV melanoma, there has historically been interest in this area. Neoadjuvant treatment is a suggested option in the 2016 European consensus guidelines for the management of distant metastases of melanoma (Garbe 2016). With no treatment regimens authorised in the neoadjuvant setting, it is important to identify and appraise the underlying evidence base for neoadjuvant treatment recommendations.

With the latest clinical advances in the treatment of stage III and IV melanoma, there is ongoing research interest in utilising these new agents in earlier stages of the disease. To evaluate the benefit of newer agents, it is necessary to systematically analyse the evidence for the use of neoadjuvant treatments for stage III and IV melanoma. There is no published high-quality systematic review of the trials investigating neoadjuvant treatment strategies for stage III and IV melanoma. This review provides physicians,

researchers and patients with a systematic appraisal of the existing literature investigating the use of neoadjuvant treatment for cutaneous melanoma. It provides comparative evidence for the relative efficacy of neoadjuvant treatment and a new generation of drug treatments.

## OBJECTIVES

To assess the effects of neoadjuvant treatment in adults with stage III or stage IV melanoma according to the seventh edition American Joint Committee on Cancer (AJCC) staging system.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

We conducted this review in accordance with the methods outlined in the peer-reviewed and published review protocol (Gorry 2018). We only included prospectively randomised controlled trials (RCTs) investigating neoadjuvant treatment approaches for cutaneous melanoma, in people with AJCC seventh edition stage III or IV cutaneous melanoma. Cluster-randomised trials were also eligible for inclusion. We excluded non-randomised studies and cross-over studies. We only searched for and included health economics studies that were conducted alongside clinical effectiveness studies, i.e. we did not conduct an additional search for health economic studies.

#### Types of participants

Eligible participants were adults aged 18 years and over with AJCC (seventh edition) stage III and IV cutaneous melanoma enrolled in trials of neoadjuvant treatment. We excluded people with stage I and II disease due to their better prognosis, in line with the review protocol.

#### Types of interventions

Neoadjuvant treatment is administered prior to surgery, as part of a regimen which includes surgery, and may or may not include further adjuvant treatment following surgery, with the same or different treatment(s). We considered all types of systemic therapies, radiotherapy or topical drug therapy for the neoadjuvant treatment of stage III and IV melanoma, including:

1. targeted treatments;
2. immunotherapy;
3. chemotherapy;
4. topical agents;
5. radiation therapy.

As well as monotherapy, we included combinations of the named interventions and treated them as separate treatment strategies. We considered any treatment schedule (i.e. sequence, doses, combinations etc.), as long as it met the defined criteria for neoadjuvant treatment. A neoadjuvant treatment strategy had to be clearly specified and meet the following criteria:

- confirmed disease stage in accordance with the AJCC seventh edition criteria;
- predefined systemic or local treatment prior to planned surgical procedure;

- planned surgical procedure;
- may or may not include continued treatment after the surgical procedure.

Controls or comparators of interest included standard of care (SOC) or placebo. We considered SOC to be surgical removal of the tumour, with or without subsequent adjuvant treatment involving any of the above treatments, with or without specified observation periods.

#### Types of outcome measures

There were no defined outcome sets for neoadjuvant trials in melanoma prior to the development of this protocol (COMET Initiative 2017). We selected two primary outcomes and eight secondary outcomes for this review, as outlined below. Details of the definitions applied for each outcome are provided in Appendix 1. Some of these outcomes (pathological complete response, overall response rate) are measured immediately after neoadjuvant treatment at the point of surgery, whereas longer-term time to event outcomes such as time to recurrence and overall survival are measured continuously throughout the administration of trial treatments and in the follow-up phase.

#### Primary outcomes

- Overall survival (OS), expressed as a hazard ratio (HR).
- Adverse events (AEs), expressed as the proportion of participants with Grade three or four AEs on the Common Terminology Criteria for Adverse Events (CTCAE) scale (CTCAE 2010).

#### Secondary outcomes

- Overall Response Rate (ORR), expressed as the percentage of participants showing each of complete response (CR) and partial response (PR).
- Time to recurrence (TTR), expressed as an HR.
- Quality of life (QOL), as defined by the validated quality-of-life measures or instruments used in each trial.
- Progression-free survival (PFS), expressed as an HR.
- Disease-free survival (DFS), expressed as an HR.
- Economic evaluation will be described, expressed as the cost per Quality Adjusted Life Year (QALY) and cost per Life Year Gained (LYG).
- Pathological complete response (pCR) rate, expressed as the rate of participants showing an absence of residual invasive and in situ cancer on hematoxylin and eosin evaluation of the complete resected specimen and all sampled regional lymph nodes following completion of neoadjuvant systemic therapy.
- Surgical outcomes (qualitative description as there is not an established measure of surgical outcomes available).

#### Search methods for identification of studies

We aimed to identify all relevant RCTs regardless of language or publication status (published, unpublished, in press or in progress). We reported the search outcomes according to the methodological requirements of Cochrane and as per the PRISMA standards (Rethlefsen 2021).



## Electronic searches

The Cochrane Skin Information Specialist (Liz Doney) searched the following databases up to 10 August 2021 using strategies based on the draft strategy for MEDLINE in our published protocol (Gorry 2018):

- the [Cochrane Skin Specialised Register 2021](#) using the search strategy in [Appendix 2](#);
- the Cochrane Central Register of Controlled Trials (CENTRAL) 2021, Issue 8, in the Cochrane Library using the search strategy in [Appendix 3](#);
- MEDLINE via Ovid (from 1946) using the strategy in [Appendix 4](#);
- Embase via Ovid (from 1974) using the strategy in [Appendix 5](#); and
- LILACS (Latin American and Caribbean Health Science Information database, from 1982) using the strategy in [Appendix 6](#).

## Trial Registers

We searched the following trial registries up to 10 September 2021 using the search terms ‘melanoma’ and restricting to randomised trials only:

- ClinicalTrials.gov ([www.clinicaltrials.gov](http://www.clinicaltrials.gov));
- Australian New Zealand Clinical Trials Registry ([www.anzctr.org.au](http://www.anzctr.org.au));
- World Health Organization International Clinical Trials Registry Platform (ICTRP) ([apps.who.int/trialsearch/](http://apps.who.int/trialsearch/)); and
- EU Clinical Trials Register ([www.clinicaltrialsregister.eu](http://www.clinicaltrialsregister.eu)).

We did not search the ISRCTN trials registry as these trials are all registered in the ICTRP database (see [Differences between protocol and review](#)).

## Searching other resources

### Searching reference lists

We checked the bibliographies of included studies for further references to relevant trials.

### Searching within other reviews

We checked any systematic reviews identified as part of our database search that were related to this review title, to identify any missing trials, and scanned their reference lists to identify additional relevant trials. We also checked the reference lists of published international guidelines (INMC 2019; Michielin 2019; NCCN 2021).

### Searching by contacting relevant individuals or organisations

We contacted experts in the field to obtain additional information on relevant trials ([Table 1](#)).

### Hand searching of conference proceedings

We handsearched conference proceedings from the European Society of Medical Oncology from 2016 to 2020, and the Society for Melanoma Research from 2016 to 2019. We did not handsearch the ASCO abstracts as specified in the review protocol as they were indexed in MEDLINE (see [Differences between protocol and review](#)).

## Unpublished literature

We contacted original authors/investigators for clarification and further data where trial reports were unclear ([Table 1](#)).

## Secondary endpoints

We did not perform separate searches for information relating to secondary endpoints including AEs and quality of life data. We considered data on these outcomes contained in included studies only.

## Data collection and analysis

We authored the review using Review Manager software ([Review Manager 2020](#)), as per Cochrane requirements.

## Selection of studies

We used [Covidence](#) to assess the references identified through the search. Three authors (CG, HOD and SB) assessed the relevance of all the identified titles and abstracts identified in the search. CG obtained the full text of potentially relevant studies, which three authors (one of CG, HOD, SB) then reviewed for eligibility. Another review author (LMcC) resolved any discordant decisions through discussion to reach consensus. When necessary, we contacted study authors to obtain additional information to ascertain eligibility status (see [Table 1](#)).

## Data extraction and management

Three authors (CG, HOD, LMcC) conducted data extraction independently and in duplicate, using a data extraction form piloted on two studies. The review authors were not blinded to any of the study information. They extracted the following data:

- descriptive information on the population, including participant characteristics and disease stage;
- trial methods, including study start date, duration of follow-up, and funding source;
- intervention and comparator details, including treatment name, dose, method of administration, duration of treatment and follow-up;
- primary and secondary outcomes as specified above ([Types of outcome measures](#));
- trial outcome data.

A third author (SB or HOD) reviewed the extracted data for accuracy; authors resolved any disagreements by consensus. Where there were multiple reports of the same study, we extracted data from each report separately and used the most complete publication as the primary reference.

## Assessment of risk of bias in included studies

Two authors (two of CG, HOD, LMcC) assessed risk of bias independently using the Cochrane risk of bias tool, according to the methods outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). They evaluated risk of bias for the specified domains: random sequence generation; allocation concealment; blinding of participants and personnel; blinding of outcome assessment; incomplete outcome data and selective outcome reporting. Authors categorised risk of bias as ‘low risk’, ‘high risk’ or ‘unclear risk’ for each domain, according to the criteria

in the *Cochrane Handbook*. A third party (LMcC, HOD) resolved any disagreements in the assessments.

### Measures of treatment effect

We used hazard ratios (HRs) and their corresponding 95% confidence intervals (95% CIs) for time-to-event outcomes (OS, time to recurrence, DFS, PFS). We extracted HRs directly from the original studies when reported. We used the generic inverse variance function in RevMan to input HRs and CIs, with the variance and standard error estimated using the reported HRs and CIs (Higgins 2011). We followed the methods proposed by Parmar and colleagues where summary survival statistics were not available (Parmar 1998).

We calculated risk ratios (RR) and their corresponding 95% CIs for dichotomous outcomes, including tumour response rate, where appropriate.

### Unit of analysis issues

All included studies had a parallel-group design, so the unit of analysis is at the individual participant level. We had planned to account for within-study correlation for multiple-arm trials, by calculating an average of the relevant pair-wise comparisons from the study and calculating a variance for the study, accounting for the correlation between the comparisons (Higgins 2011). We included one multi-arm trial in the review. This trial was not designed for any formal hypothesis testing of differences between treatment arms, therefore we pooled the results of the two similar arms without accounting for within-trial correlations. More details are provided in the section *Effects of interventions*. As prespecified at the protocol stage, if we identify cluster-randomised trials in future updates we plan to use the published effect estimates taking clustering into account (Gorry 2018).

### Dealing with missing data

We conducted analyses using the intention-to-treat (ITT) population. We contacted the authors to obtain any missing data. If the ITT analyses were not available, we performed the analysis using the 'as treated' population, i.e. those participants who received the planned trial treatment with data reported.

We assessed the risk of attrition bias by examining dropout rates, withdrawals and loss to follow-up, as part of the risk of bias assessment. Where relevant, we described any methods employed in the publication to address incomplete data, including any sensitivity analyses.

### Assessment of heterogeneity

An assessment of clinical and methodological heterogeneity, performed as part of assessment for suitability for meta-analysis, considered trial design, treatments administered, disease stage of the participants, and duration of follow-up. We assessed statistical heterogeneity using the  $I^2$  statistic, with interpretation of the  $I^2$  statistic based on the ranges provided in the *Cochrane Handbook for Systematic Reviews of Interventions* (Deeks 2022), as follows:

- 0 to 40%: might not be important;
- 30 to 60%: may represent moderate heterogeneity;
- 50 to 90%: may represent substantial heterogeneity; and
- 75 to 100%: considerable heterogeneity.

### Assessment of reporting biases

We have included a narrative description of the risk of reporting bias for the primary outcomes for each study.

### Data synthesis

The review protocol stated that a meta-analysis of outcomes would only be undertaken if participants, interventions, comparisons and outcomes were considered sufficiently similar across the identified trials to produce a clinically meaningful result. We undertook assessment of heterogeneity as described above. We had planned to use a random-effects model for meta-analysis if sufficient studies were identified; however, as we only included three studies in the meta-analysis, we implemented a fixed-effect model as outlined in the review protocol, due to the difficulty of estimating between trial heterogeneity. We conducted analyses using *RevMan Web*.

### Subgroup analysis and investigation of heterogeneity

The review protocol stated that, where possible, we would conduct subgroup analysis examining the effect of the intervention according to disease stage. The relevant data were not available for any of the identified studies, so no subgroup analyses are presented.

### Sensitivity analysis

We had planned to undertake sensitivity analyses excluding studies at high risk of bias, and by using unblinded assessments of disease progression. These were not possible as data meeting these criteria were not available from the identified studies.

### Summary of findings and assessment of the certainty of the evidence

We used the GRADE approach to rate the certainty of evidence for selected outcomes included in the summary of findings tables (GRADE Handbook 2013). This process involved assessing the certainty of the evidence according to the risk of bias, inconsistency, indirectness, imprecision, and publication bias, as outlined in the *Cochrane Handbook* (Schünemann 2022). Two authors conducted the assessment independently, with a third author resolving any disputes. Three authors conducted the GRADE assessment (CG, HOD, LMcC), and graded evidence as high, moderate, low or very low.

Summary of findings tables are presented for the most clinically relevant comparisons identified in the review:

- neoadjuvant treatment compared to no neoadjuvant treatment;
- neoadjuvant targeted treatment (BRAF/MEK combination) compared to no neoadjuvant treatment;
- neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment;
- neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to adjuvant immunotherapy (combined ipilimumab and nivolumab);
- neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab);
- neoadjuvant immunotherapy (combined ipilimumab and nivolumab, two different dosing regimens) compared to

neoadjuvant immunotherapy (sequential treatment with ipilimumab then nivolumab);

- neoadjuvant immunotherapy (high dose interferon) plus chemotherapy compared to neoadjuvant chemotherapy.

The review protocol prespecified two primary outcomes (OS and AEs) and three secondary outcomes (ORR, PFS and QOL) for inclusion in summary of findings tables. Subsequent to the publication of the protocol, the International Neoadjuvant Melanoma Consortium (INMC) published recommendations on trial design for neoadjuvant treatments for melanoma, including recommendations on selection of relevant trial endpoints (INMC 2019). Based on these recommendations, we decided to include time to recurrent disease (TTR) in the summary of findings tables, in lieu of progression-free survival (see [Differences between protocol and review](#)).

We constructed the summary of finding tables using GRADEpro software ([GRADEpro GDT](#)).

## RESULTS

### Description of studies

This section describes the outcomes of our review. Preliminary review findings were previously published ([Gorry 2020](#)).

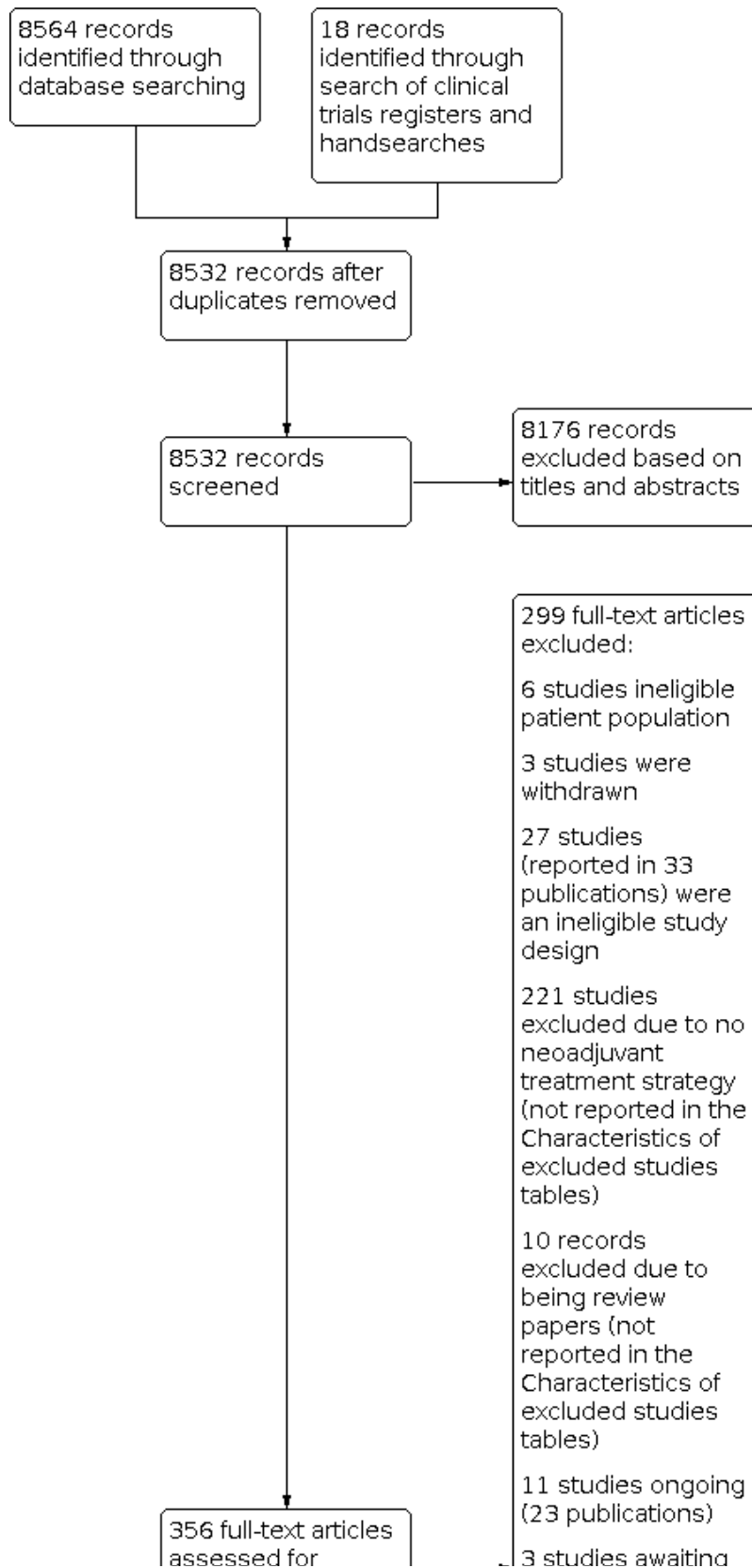
### Results of the search

In accordance with the review protocol ([Gorry 2018](#)), we searched databases listed under [Electronic searches](#) (on 10 August 2021) and retrieved 8564 records. Handsearching of the grey literature, including clinical trials registers, identified a further 18 records. After removal of duplicates, we screened a total of 8532 records and excluded 8176 records based on titles and abstracts. We obtained the full text of the remaining 356 records, of which we excluded 293 studies (299 references) following full-text review; the majority (231 studies) did not closely match our inclusion criteria and are not presented in the [Characteristics of excluded studies](#) section. We presented the reasons for excluding 36 studies (reported in 42 references) in [Characteristics of excluded studies](#) and categorised 11 studies reported in 23 references as ongoing (see [Characteristics of ongoing studies](#)). We also identified three studies that are awaiting classification ([Characteristics of studies awaiting classification](#)).

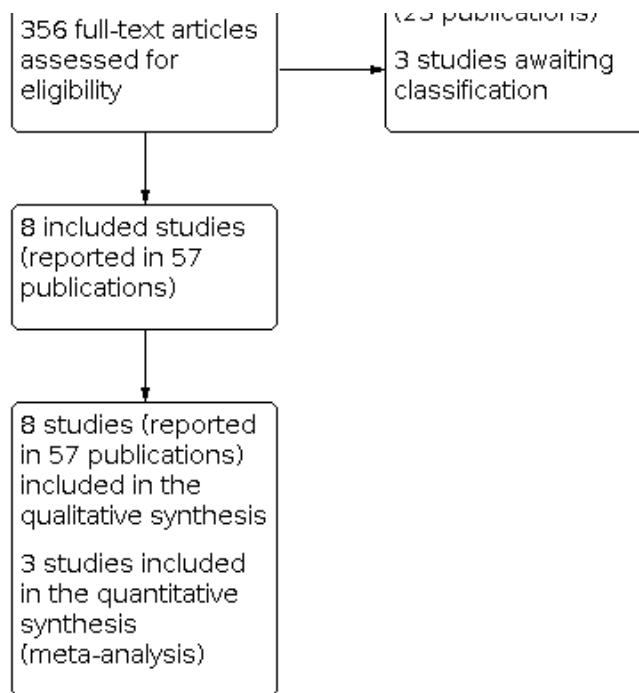
We included eight studies reported in 57 references ([Characteristics of included studies](#)). We included three studies in our quantitative meta-analysis. For a further description of our screening process, see the study flow diagram ([Figure 2](#)).



**Figure 2. PRISMA Study flow diagram.**



**Figure 2. (Continued)**



**Dealing with missing data**

Where we did not have sufficient data in the identified studies to determine eligibility, we contacted the authors via email where possible. The details of contacts with authors, questions posed, and answers received are provided in [Table 1](#). We used the additional information provided to exclude one study due to an ineligible population ([van den Hout 2013](#)). After determining eligibility, we contacted the study authors if there were missing data for key outcomes for the review ([Table 1](#)); additional data were provided by the authors for [Amaria 2018a](#), [Amaria 2018b](#), and [Blank 2018](#).

**Included studies**

We identified eight trials that were eligible for the review ([Albertini 2018](#); [Amaria 2018a](#); [Amaria 2018b](#); [Blank 2018](#); [Dummer 2020b](#); [Hwu 2017](#); [Rozeman 2019](#); [Tarhini 2018](#)).

**Design**

All eight included trials were Phase I or Phase II RCTs, designed as parallel-group trials. We did not identify any cluster-randomised trials. All trials were open-label in design. The trials were all funded by pharmaceutical companies, except for [Albertini 2018](#), where the source of funding was multiple grants, and a trial investigator was the CEO of the company which owned the intervention.

**Sample sizes**

Sample sizes were small in all trials; the largest trial enrolled 150 participants ([Dummer 2020b](#)), whilst the smallest trials enrolled 20 participants ([Albertini 2018](#); [Blank 2018](#)).

**Setting**

Trials were conducted in the USA ([Albertini 2018](#); [Amaria 2018a](#); [Amaria 2018b](#); [Dummer 2020b](#); [Hwu 2017](#); [Tarhini 2018](#)), Europe ([Blank 2018](#); [Dummer 2020b](#); [Rozeman 2019](#)) and Australia

([Dummer 2020b](#); [Rozeman 2019](#)). Two were multicentre trials ([Dummer 2020b](#); [Rozeman 2019](#)).

**Participants**

The eight trials randomised 402 participants. The population comprised men (62%) and women (38%) aged 18 years and over (range 18 to 82 years). Most participants had favourable performance status (79% had an Eastern Cooperative Oncology Group Performance Status (ECOG PS) of 0, and 97% had normal LDH levels). All randomised participants had AJCC seventh edition stage III or IV melanoma; the majority had stage IIIB (38%) or IIIC (47%) melanoma. Three trials did not report the proportion of participants with each disease stage ([Albertini 2018](#); [Hwu 2017](#); [Rozeman 2019](#)).

**Interventions**

Detailed descriptions of the drugs and dosing schedules used are provided in the [Characteristics of included studies](#) tables. There were six trials administering various combinations of neoadjuvant immunotherapy agents (ipilimumab with nivolumab, nivolumab, TVEC, ipilimumab with HDI, interleukin monoclonal antibody), one trial administering neoadjuvant immunotherapy in combination with chemotherapy (HDI with temozolomide), and one trial of neoadjuvant targeted therapy (dabrafenib and trametinib). Three studies compared neoadjuvant treatment to SOC (surgery with or without adjuvant treatment), three compared to neoadjuvant immunotherapy (nivolumab, sequential ipilimumab then nivolumab, ipilimumab plus HDI), one compared to neoadjuvant chemotherapy, and one to adjuvant treatment with an experimental interleukin monoclonal antibody. Duration of the neoadjuvant treatment phase varied from four to 12 weeks.

We investigated the following treatment comparisons.

1. Neoadjuvant targeted treatment (BRAF/MEK combination) versus no neoadjuvant treatment: one study ([Amaria 2018a](#)).
2. Neoadjuvant immunotherapy (oncolytic viral immunotherapy with talimogene laherparepvec (T-VEC)) versus no neoadjuvant treatment: one study ([Dummer 2020b](#)).
3. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) versus adjuvant immunotherapy (nivolumab): one study ([Blank 2018](#));
4. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) versus neoadjuvant immunotherapy (nivolumab): one study ([Amaria 2018b](#));
5. Neoadjuvant combined immunotherapy (combined ipilimumab and nivolumab, at two different dosing regimens schedules, versus sequential neoadjuvant immunotherapy (sequential with ipilimumab then nivolumab): one study ([Rozeman 2019](#));
6. Neoadjuvant immunotherapy (HDI) plus chemotherapy versus neoadjuvant chemotherapy: one study ([Hwu 2017](#));
7. Neoadjuvant immunotherapy (experimental interleukin monoclonal antibody hu14.18-IL2) versus adjuvant immunotherapy (experimental interleukin monoclonal antibody hu14.18-IL2): one study ([Albertini 2018](#));
8. Neoadjuvant immunotherapy (ipilimumab 10 mg/kg plus HDI) versus neoadjuvant immunotherapy (ipilimumab 3 mg/kg plus HDI): one study ([Tarhini 2018](#)).

### Outcomes

Length of follow-up and maturity of the time-to-event outcome data varied considerably across the studies. Duration of follow-up ranged from 15 months to over four years. Studies reported data that met the requirements for inclusion in the analysis as per the review protocol for the following outcomes.

- Overall survival (OS) (three studies)
- Adverse events (AEs) (six studies)
- Overall response rate (ORR) (radiological) (seven studies)
- Pathological complete response (pCR) (six studies)
- Time to recurrence (TTR) (three studies)
- Disease-free survival (DFS) (three studies)
- Surgical outcomes (two studies)

No studies reported on the prespecified outcomes of QOL, progression-free survival, and economic evaluation.

### Feasibility of meta-analysis

There were important differences between the trials, for example, the different dosing schedules of immunotherapies and consequently different treatment effects, and the underlying differences in the mechanism of action between immunotherapies, targeted treatments, and chemotherapy. There were some differences in the proportions of participants with stage IIIB, IIIC, and stage IV disease randomised to the trials, which impact the baseline risk of disease recurrence ([Balch 2009](#)). Furthermore, time-to-event outcomes (OS, TTR, DFS) are likely to be impacted by differences in follow-up duration between the treatment arms, and in differences in the adjuvant treatment strategies employed in the trials, which would be expected to impact these outcomes.

Differences in the definitions of measured recurrence endpoints also impact feasibility of meta-analysis; some trials measured

recurrence endpoints from point of randomisation, whereas some measured from the point of surgery, and there were also differences in the censoring rules for a recurrence event, meaning that the endpoints were not comparable. Based on these important differences between trials, we concluded that a meta-analysis of all identified trials was not feasible and would not provide useful data for clinical decision-making.

We deemed three studies to be sufficiently similar in terms of interventions and methodological design to include in a meta-analysis ([Amaria 2018a](#); [Blank 2018](#); [Dummer 2020b](#)). These three studies all compared neoadjuvant treatment to surgery, with or without subsequent adjuvant treatment. There are limitations to this analysis, not least differences in the proportions of participants with each disease state, the proportions receiving adjuvant treatment and the types of adjuvant treatment, and the different underlying mechanisms of action of immunotherapy agents compared with targeted treatments. The outcomes of the meta-analysis are presented in [Summary of findings 1](#) and [Effects of interventions](#).

### Excluded studies

We presented the reasons for excluding 36 studies (42 references) in the [Characteristics of excluded studies](#) table. Reasons for the exclusion were as follows: ineligible study design (27 studies in 33 publications), ineligible population (six studies), and study withdrawn (three studies). We excluded a further 231 studies because they were narrative review articles (n = 10) or did not deliver a neoadjuvant treatment regimen (n = 221).

### Ongoing studies

We identified 11 ongoing studies in 23 publications, which we describe in the [Characteristics of ongoing studies](#) table. These studies are, in the main, investigating combinations of the interventions of interest.

### Studies awaiting classification

Please see [Characteristics of studies awaiting classification](#). Three studies are awaiting classification. We identified one study through the search of the EUDRA-CT database ([EudraCT 2014-000334-30](#)). No results were posted for this study, and we received no response from the contact persons ([Table 1](#)). We could not access two studies identified through the electronic database search ([de Braud 1994](#); [Kleeberg 1986](#)).

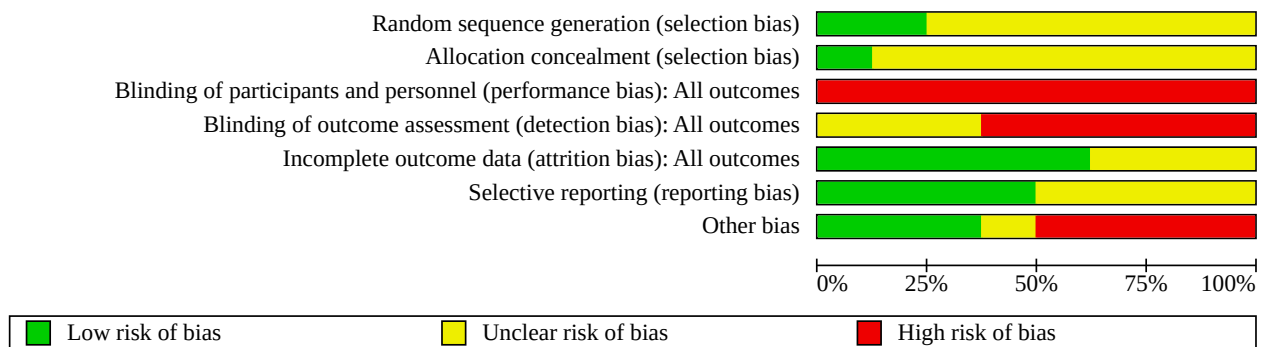
### Risk of bias in included studies

The risk of bias assessments for each study are detailed in the [Characteristics of included studies](#) table, and summarised in [Figure 3](#) and [Figure 4](#). We did not consider any of the trials to be at low risk of bias for all domains. Unclear risk of bias was common; all included trials were at unclear risk of bias for at least one domain. We did not formally assess evidence for publication bias, but considered trials to be at high risk of bias where no peer-reviewed publications were available. Further details and justifications for the assessments are provided in the [Characteristics of included studies](#) table and the risk of bias tables for the individual studies. We considered the external validity of the included trials to be poor for efficacy endpoints, as they were not designed or powered to detect differences in efficacy between treatment arms.

**Figure 3. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.**

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias): All outcomes	Blinding of outcome assessment (detection bias): All outcomes	Incomplete outcome data (attrition bias): All outcomes	Selective reporting (reporting bias)	Other bias
Albertini 2018	?	?	-	-	+	?	-
Amaria 2018a	+	?	-	-	+	+	-
Amaria 2018b	?	?	-	-	+	+	?
Blank 2018	?	?	-	-	+	+	+
Dummer 2020b	+	+	-	-	+	+	-
Hwu 2017	?	?	-	?	?	?	-
Rozeman 2019	?	?	-	?	?	?	+
Tarhini 2018	?	?	-	?	?	?	+

**Figure 4. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies.**



**Allocation**

**Random sequence generation**

With the exception of two trials (Amaria 2018a; Dummer 2020b), we considered the risk of selection bias to be unclear with regard to issues related to random sequence generation. In most cases, the methods were not reported, and there was inadequate information to assess the risk.

**Allocation concealment**

We considered the risk of selection bias with regard to allocation concealment to be unclear for all trials except Dummer 2020b, due to the absence of the relevant information in the published study reports.

**Blinding**

**Performance bias**

All eligible trials were open-label trials, and as such all those involved in the trials were aware of treatment allocation. Therefore, we considered there to be a high risk of performance bias for TTR, DFS and other outcomes, such as certain AEs, where the outcomes are somewhat dependent on the treatment choices taken during the trial. This can, in turn, be influenced by physician knowledge of the treatment allocation. We rated the risk of bias for OS to be unclear, as OS outcomes are likely confounded by subsequent off-trial treatment, in addition to choices made during the trial.

**Detection bias**

We rated detection bias to be high in five of the included trials, and unclear in three as there was insufficient data to assess the risk of detection bias (Hwu 2017; Rozeman 2019; Tarhini 2018). We applied this rating to subjective outcomes such as adverse events, pCR, ORR, and TTR. We deemed detection bias for OS to be low. Detection bias for pCR outcomes was low for Blank 2018, as the endpoint was assessed by a blinded pathologist.

**Incomplete outcome data**

We considered the risk of attrition bias to be low for studies which published CONSORT diagrams and provided analyses in the ITT population. Attrition bias was low for five trials, and unclear for three trials (Hwu 2017; Rozeman 2019; Tarhini 2018). Hwu 2017

only reported data for 50 of the 52 participants recruited, with no information provided on the missing participants. Similarly, for the efficacy analyses, Tarhini 2018 only reported outcomes for 28 of the 30 participants enrolled.

**Selective reporting**

We deemed reporting bias to be low in four studies with either a published trial protocol (Amaria 2018b; Blank 2018, Dummer 2020b), or where the authors stated in personal correspondence that no other outcomes were included and that all outcomes had been reported (Amaria 2018a). Reporting bias was unclear in four trials where the protocol was unavailable.

**Other potential sources of bias**

We considered other potential sources of bias to be high in four included trials (Albertini 2018; Amaria 2018a; Dummer 2020b; Hwu 2017). No peer-reviewed results were available for Dummer 2020b and Hwu 2017, so we considered both to be at high risk of publication bias. Two studies allowed various adjuvant treatment options to be administered to participants in line with local SOC, which could confound time-to-event outcomes (Amaria 2018a; Dummer 2020b), and as such we considered these to be at high risk of bias. Additionally, one trial was halted early and did not meet the prespecified criteria for early cessation of trial treatment for efficacy (Amaria 2018a); outcomes could be biased as a result (Bassler 2010).

Amaria 2018b used a procedure for confirming if people were eligible for the trial, involving a consensus panel of medical and surgical oncologists coupled with the stated eligibility criteria, which has the potential to produce an enriched trial population. We rated this as unclear risk of bias.

**Effects of interventions**

See: **Summary of findings 1** Neoadjuvant treatment compared to no neoadjuvant treatment; **Summary of findings 2** Neoadjuvant targeted treatment (BRAF/MEK inhibition) compared to no neoadjuvant treatment; **Summary of findings 3** Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment; **Summary of findings 4** Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to adjuvant immunotherapy (combined ipilimumab and

nivolumab); **Summary of findings 5** Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab); **Summary of findings 6** Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (sequential treatment with ipilimumab then nivolumab); **Summary of findings 7** Neoadjuvant immunotherapy (high dose interferon) plus chemotherapy compared to neoadjuvant chemotherapy

Eight trials were eligible for the review. One of these trials presented all results as a pooled analysis of both treatment arms (Albertini 2018). Efforts to contact the authors to obtain trial outcomes disaggregated by treatment arm failed. Thus, this trial is described narratively, but no comparative results are presented, and it is excluded from the summary of findings tables. Similarly, one trial provided only pooled results for time-to-event outcomes, but disaggregated results for ORR and pCR (Tarhini 2018); this trial is described narratively, and comparative results are presented for ORR and pCR outcomes; it is excluded from the summary of findings tables. Studies are described individually in 'Characteristics of included studies'.

### Neoadjuvant treatment versus no neoadjuvant treatment (three studies)

Please see [Summary of findings 1](#).

We conducted a meta-analysis of three trials (Amaria 2018a; Blank 2018; Dummer 2020b), deemed methodologically similar, to determine if there was a treatment benefit with neoadjuvant treatment compared to no neoadjuvant treatment (surgery with or without adjuvant treatment). We pooled results for outcomes where trial publications reported data, and where the definitions of the endpoints were sufficiently similar to justify pooling. Details of the included studies can be found in [Characteristics of included studies](#).

#### Primary outcomes

##### Overall survival (2 RCTs, 171 participants)

We are uncertain if neoadjuvant treatment increases OS (HR 0.43, 95% CI 0.15 to 1.21; heterogeneity:  $\text{Chi}^2 = 0.21$ ,  $\text{df} = 1$ ,  $P = 0.65$ ,  $I^2 = 0\%$ ; very low-certainty evidence; [Analysis 1.1](#)). We downgraded certainty by one level for imprecision, due to the small number of events and wide confidence intervals, one level for risk of bias due to high risk of performance and detection bias, and one level for publication bias as the findings from the largest trial are not published in a peer-reviewed journal. There were also concerns regarding indirectness, as adjuvant treatment provided in the largest trial does not reflect current clinical practice.

##### Adverse events (2 RCTs, 162 participants)

Neoadjuvant treatment may be associated with a higher rate of adverse events, but the outcome is very uncertain (RR 1.58, 95% CI 0.97 to 2.55; heterogeneity:  $\text{Chi}^2 = 10.44$ ,  $\text{df} = 1$ ,  $P = 0.001$ ,  $I^2 = 90\%$ ; very low-certainty evidence; [Analysis 1.2](#)). We downgraded certainty one level for high risk of performance and detection bias, and one level for publication bias. We also downgraded one level for imprecision due to the width of the confidence intervals, and one level for inconsistency due to between-trial heterogeneity.

#### Secondary outcomes

##### Overall response rate

We could not report this outcome as a comparative outcome as it was measured only in the neoadjuvant arm, and could not be included in the meta-analysis.

##### Time to recurrence (2 RCTs, 171 participants)

We are uncertain if neoadjuvant treatment increases TTR (HR 0.51, 95% CI 0.22 to 1.17; heterogeneity:  $\text{Chi}^2 = 7.41$ ,  $\text{df} = 1$ ,  $P = 0.006$ ,  $I^2 = 87\%$ , very low-certainty evidence, [Analysis 1.3](#)). We downgraded certainty by one level for risk of bias (high risk of performance and detection bias in both trials), one level for publication bias, one level for imprecision due to the width of the confidence intervals, and one level for inconsistency (between-trial heterogeneity). There were also concerns regarding indirectness, as adjuvant treatment provided in the largest trial does not reflect current clinical practice.

##### Pathological complete response

We could not report this outcome as a comparative outcome as it was measured only in the neoadjuvant arm, and could not be included in the meta-analysis.

##### Other secondary endpoints

Studies did not collect data on QOL, surgical outcomes or economic evaluation. DFS and PFS were not prespecified trial endpoints and were therefore not reported. These secondary endpoints could not be included in the meta-analysis.

#### Individual trial outcomes

For descriptive reporting of the individual trial outcomes, we grouped the trials into the treatment categories as identified in the review protocol. This section is laid out under the following subheadings:

1. targeted treatments;
2. immunotherapies;
3. chemotherapy;
4. topical agents;
5. radiotherapy.

Comparisons from the identified trials are listed under each treatment category. The trial PICO is presented, followed by the primary and secondary outcomes. Each study is presented only once, in the category where it is considered most relevant.

##### 1.1. Neoadjuvant BRAF/MEK treatment versus no neoadjuvant treatment (one study)

Please see [Summary of findings 2](#).

PICO: [Amaria 2018a](#) studied neoadjuvant treatment consisting of an eight-week treatment course of dabrafenib and trametinib prior to surgery, followed by up to 44 weeks of adjuvant treatment, compared with no neoadjuvant treatment, surgery, and optional SOC adjuvant treatment. Eligible people had stage IIIB, IIIC or IV melanoma, with a BRAF V600 mutation. The trial randomised 21 participants: 14 to neoadjuvant treatment and seven to the control arm. The median follow-up was 18.6 months.



## Primary outcomes

### Overall survival

We are uncertain whether neoadjuvant BRAF/MEK inhibition increases OS; HR 0.28, 95% CI 0.03 to 2.25; [Analysis 2.1](#). Median OS was not reached in either arm. We rated the certainty of the evidence as very low, downgraded one level for risk of performance and detection bias, and one level for high risk of other bias, due to early cessation of the trial based on an unplanned interim analysis where the prespecified criteria for early discontinuation were not met. We downgraded one level for imprecision as the confidence intervals were wide and due to the small number of events; additionally, the trial was not powered to detect differences between treatment arms. Differences in adjuvant treatment options between treatment arms, and between current clinical practice, further confound outcomes and raise concerns regarding indirectness, although we did not formally downgrade the evidence for this.

### Adverse events

Safety was not reported as a comparative outcome. The overall incidence of Grade 3 and 4 AEs was not reported. Eight treatment-related AEs (TRAEs) of Grade 3 occurred in the neoadjuvant arm. We rated the certainty of the evidence as low, downgraded one level for risk of performance and detection bias, and one level for other bias, as the AEs in the control arm were not recorded.

## Secondary outcomes

### Overall response rate

ORR was not a comparative outcome. The rate of ORR (complete responses (CR) plus partial responses (PR)) in the neoadjuvant treatment arm was 85% (11/13 participants). An additional two participants achieved stable disease (15%). The analysis was limited to 13 participants as one person withdrew consent prior to initiating therapy. We rated the certainty of the evidence as low and downgraded one level for risk of detection bias and one level for imprecision as the number of events was small.

### Time to recurrence (corresponding to trial definition of event-free survival)

The trial primary endpoint was event-free survival (EFS), defined as “time from randomisation to recurrence event (local or distant disease development, or death)”, which correlates with the review definition of TTR. A difference in TTR (EFS) was observed between treatment arms, HR 0.02 (95% CI 0.00 to 0.22) ([Analysis 2.2](#)). Median TTR (EFS) was 19.7 months (95% CI 16.2 to not estimable) in the intervention arm and 2.9 months (95% CI 1.7 to not estimable) in the control arm. We rated the certainty of the evidence as very low, downgraded by two levels for high risk of bias, and one level for imprecision as the number of events was small.

### Pathological complete response

pCR was not a comparative outcome. The rate of pCR in the neoadjuvant treatment arm was 58% in participants who underwent surgery (7 of 12 participants). The analysis was limited to 12 participants, as one participant withdrew consent prior to initiating therapy, and one withdrew consent prior to undergoing surgery. Two additional participants (17%) achieved a pathological partial response.

## Other secondary endpoints

The study did not collect data on QOL, surgical outcomes or economic evaluation. DFS and PFS were not prespecified trial endpoints.

### 2.1. Neoadjuvant talimogene laherparepvec (T-VEC) versus no neoadjuvant treatment (one study)

Please see [Summary of findings 3](#).

PICO: [Dummer 2020b](#) investigated the use of neoadjuvant T-VEC compared with surgery alone in people with Stage IIIB, C and Stage IV M1a melanoma. A total of 150 participants were randomised, 76 to the T-VEC arm and 74 to the surgery arm. This trial reported outcomes with 80% confidence intervals. We calculated 95% confidence intervals for outcomes reporting 80% confidence intervals using a normal approximation prior to data input for analysis.

## Primary outcomes

### Overall survival

There was a numerical advantage in OS for participants treated with T-VEC, but the increase was not statistically significant (HR for OS 0.49, 95% CI 0.15 to 1.64; [Analysis 3.1](#)). The two-year OS rates were 88.9% (80% CI 83 to 92.8) with T-VEC, and 77.4% (80% CI 70.2 to 83) with surgery. Three-year OS rates were published without confidence intervals and so are not presented here. We rated the certainty of the evidence as very low, downgraded by one level for high risk of performance and detection bias, one level for publication bias as no peer-reviewed data is available, and one level for imprecision as the number of events was small and the confidence intervals wide. Differences in adjuvant treatment options between treatment arms, and also from current clinical practice, further confounds outcomes.

### Adverse events

The study did not report the overall incidence of Grade 3 and 4 AEs. Treatment-related adverse events were higher with T-VEC compared with surgery (RR 2.84, 95% CI 0.96 to 8.37; [Analysis 3.2](#)), but not statistically different. Grade  $\geq 3$  treatment-emergent adverse events were more common with T-VEC (16.4% vs 5.8%), as were serious treatment-related adverse events (17.8% vs 2.9%). Surgery occurred as planned in 75% of participants in the T-VEC arm, and 93% in the control arm. We rated the certainty of the evidence as very low, downgraded by one level for publication bias, one level for high risk of detection bias, and one level for imprecision as the confidence intervals were wide.

## Secondary outcomes

### Overall response rate

ORR was not a comparative outcome. The rate of ORR (CR+PR) in the neoadjuvant treatment arm was 13.2%; the absolute number of events was not specified. We rated the certainty of the evidence as very low, downgraded by two levels for risk of detection bias and publication bias, and one level for imprecision due to the small number of events.

**Time to recurrence (corresponding to trial definition of relapse-free survival)**

The trial endpoint of relapse-free survival, defined as the time from randomisation to the date of the first of local, regional or distant recurrence of melanoma or death due to any cause, corresponds with the review outcome of TTR. The trial did not provide the absolute number of recurrence events in each arm; the difference between arms was not statistically significant (HR 0.75, 95% CI 0.31 to 1.79; [Analysis 3.3](#)). The study reported outcomes with 80% confidence intervals, which we accounted for when estimating the variance for data input for analysis. Relapse-free survival rates at one year were 33.7% with T-VEC (80% CI 26.8 to 40.8), vs 21.9% (80% CI 15.9 to 28.7) with surgery alone, and at two years were 29.5% with T-VEC (80% CI 22.9 to 36.4) versus 16.5% (80% CI 11 to 22.9) with surgery alone. Of note, at least 11% of participants in the T-VEC arm and 29% in the surgery arm received subsequent adjuvant therapy; this difference may confound TTR outcomes. It also raises concerns regarding indirectness, as the adjuvant treatments received are unlikely to reflect current clinical practice, although we did not formally downgrade the evidence for this. We rated the certainty of the evidence as very low, downgraded by one level for high risk of detection bias, one level for imprecision as the confidence intervals were very wide, and one level for publication bias as no peer-reviewed data are available.

**Pathological complete response**

pCR was reported as a comparative outcome, with a rate of 2.7% in the surgery arm compared with 17.1% (80% CI 11.6 to 24) in the TVEC arm. It is unclear how pCR was observed in the surgery arm, and as such we excluded this result from the analysis.

**Surgical outcomes**

The trial reported the rate of R0 surgical resection (microscopically margin-negative resection), with no difference between treatment arms ( $P = 0.594$ ).

**Other secondary outcomes**

The study did not report data on QOL, economic evaluation, DFS, or PFS.

**2.2. Neoadjuvant combined ipilimumab and nivolumab versus adjuvant combined ipilimumab and nivolumab (one study)**

Please see [Summary of findings 4](#).

PICO: [Blank 2018](#) investigated the effects of combined ipilimumab and nivolumab as neoadjuvant therapy, compared to adjuvant therapy. Eligible people had palpable stage III melanoma. The study randomised 10 participants to each arm.

**Primary outcomes****Overall survival**

This outcome was not reported for this comparison. The trial did not report the HR or confidence intervals, and we could not obtain the data accurately from the published Kaplan Meier curves. Data are immature, with median OS not reached in either arm. We requested the data from the authors, who declined to provide it on the basis that the trial was not powered to detect differences in this outcome.

Data with a median follow-up of 48 months indicate a four-year OS rate of 90% in the neoadjuvant arm and 70% in the adjuvant treatment arm.

**Adverse events**

The trial did not report the overall incidence of Grade 3 and 4 AEs. No difference in the incidence of Grade 3 to 4 TRAEs was observed (RR 1.00, 95% CI 0.75 to 1.34;  $P = 1.0$ ), 20 participants ([Analysis 4.1](#)). With additional follow-up (median 30 months), of the 90% participants who had developed one or more Grade 3 to 4 AEs across both arms, all had recovered to Grade  $\leq 1$ , except for Grade 2 endocrine toxicities requiring hormonal supplementation that were ongoing in eight (50%) of the 16 participants remaining alive. We rated the certainty of the evidence as low, downgraded by one level for high risk of performance and detection bias, and one level for imprecision as the effect size was null and the confidence interval was wide.

**Secondary outcomes****Overall response rate**

ORR was not a comparative outcome. The rate of ORR (CR+PR) in the neoadjuvant treatment arm was 40%. We rated the certainty of the evidence as low and downgraded by one level for a risk of detection bias and one level for imprecision due to the small number of events

**Pathological complete response**

pCR was not a comparative outcome. In the neoadjuvant arm, three out of nine participants evaluable for pCR achieved a pCR (33%); three participants achieved a near complete pCR ( $\leq 10\%$  viable tumour cells) and one achieved a pathological partial response.

**Disease-free survival (corresponding to trial definition of relapse-free survival)**

The trial endpoint of relapse-free survival, defined as time from surgery until the date of first relapse (local, regional, or distant metastases), corresponds closely with the review protocol definition of DFS. Two participants in the neoadjuvant arm experienced a DFS (RFS) event, versus four in the adjuvant arm. No HR or absolute number of events were reported, and we could not extract the data accurately from the published Kaplan Meier curves. We requested the data from the authors, who declined to provide it on the basis that the trial was not powered to detect differences in this outcome. Data with longer follow-up (median 48 months) indicate a four-year relapse rate of 60% in both treatment arms.

**Other secondary outcomes**

Surgery-related AEs were reported. One Grade 3 to 4 surgery-related AE was reported in the neoadjuvant arm (wound infection) and two were reported in the adjuvant arm (wound infection).

The trial did not collect data on TTR, QOL, surgical outcomes or economic evaluation. Participants in long-term follow-up (post-trial) were invited to complete the EORTC-QLQ-C30 questionnaire (median time from randomisation: 30 months). When compared with a registry cohort matched for age, gender, education and marital status, participants reported statistically significant and clinically meaningful lower scores (worse QOL) in functional domains, and higher scores (worse QOL) in the symptom burden of



fatigue, compared to the controls. Physical functioning and global QOL scores did not differ between trial participants and controls.

### 2.3. Neoadjuvant combined ipilimumab and nivolumab versus neoadjuvant nivolumab (one study)

Please see [Summary of findings 5](#).

PICO: [Amaria 2018b](#) investigated the effects of either neoadjuvant combined ipilimumab and nivolumab or nivolumab monotherapy for nine weeks, followed by surgery, then up to 13 cycles of adjuvant nivolumab. Eligible people had stage IIIB and IIIC melanoma. The trial randomised 23 individuals, 12 to nivolumab and 11 to combined ipilimumab and nivolumab.

#### Primary outcomes

##### Overall survival

No difference in OS was observed ( $P = 0.18$ ). The trial did not report HRs or the absolute number of events, and we could not extract the data accurately from the published Kaplan Meier curves. Median OS was not reached in either arm. The certainty of the evidence was graded as moderate, downgraded by one level for imprecision as the number of events was small.

##### Adverse events

The overall incidence of Grade 3 to 4 AEs was not reported. There were differences between the treatment arms in terms of Grade 3 to 4 TRAEs (RR 8.73, 95% CI 1.29 to 59.0; 23 participants; [Analysis 5.1](#)). The risk ratio showed a higher risk for combination treatment ( $P = 0.0263$ ). We rated the certainty of the evidence as very low, downgraded by one level as we considered the risk of performance and detection bias to be high for this outcome, and two levels for imprecision due to the width of the confidence intervals and the small number of events.

The trial was halted early by the Data Safety Monitoring Board, on the basis of an early observation of disease progression, preventing surgical resection during neoadjuvant nivolumab treatment (17%, 2 out of 12 participants), and high rates of Grade 3 TRAEs during neoadjuvant combination treatment (73%, 8 out of 11 participants).

#### Secondary outcomes

##### Overall response rate

Combination ipilimumab and nivolumab was associated with a higher radiological response rate than nivolumab (RR 2.91, 95% CI 1.02 to 8.27;  $P = 0.045$ ; 23 participants; [Analysis 5.2](#)). We rated the certainty of the evidence as very low, downgraded by two levels for imprecision as the number of events was small and the confidence intervals wide, and one level for high risk of detection bias.

##### Time to recurrence (corresponding to trial definition of progression-free survival)

The trial endpoint of progression-free survival, defined as “time from randomisation to development of radiographic progression before surgery, disease recurrence after surgical resection or death from any cause”, correlates with the review definition of TTR. No difference in TTR (PFS) was observed ( $P = 0.19$ ). The trial did not report the HR or absolute number of events, and we could not obtain the data accurately from the published Kaplan Meier curves. We rated the certainty of the evidence as low, downgraded by one

level for high risk of detection bias and one level for imprecision as the number of events was small.

##### Disease-free survival (corresponding to trial definition of recurrence-free survival)

The trial endpoint of recurrence-free survival, defined as “time from surgical resection to date of documented disease recurrence” correlates with the review definition of DFS. No difference in DFS (RFS) was observed ( $P = 0.58$ ). The trial did not report the HR or absolute number of events, and we could not obtain the data accurately from the published Kaplan Meier curves.

##### Pathological complete response

There was a numerically higher rate of pCR with combined ipilimumab and nivolumab compared to nivolumab alone (RR 1.82, 95% CI 0.56 to 5.88;  $P = 0.3184$ ; 23 participants; [Analysis 5.3](#)), but the difference was not statistically significant.

#### Other secondary outcomes

This trial did not collect data on QOL, surgical outcomes or economic evaluation.

### 2.4. Neoadjuvant combined ipilimumab and nivolumab (different dosing regimens) compared to neoadjuvant sequential ipilimumab then nivolumab (one study)

Please see [Summary of findings 6](#).

PICO: The three-arm trial by [Rozeman 2019](#) studied two regimens of neoadjuvant combined ipilimumab and nivolumab, using different dosing regimens, compared with sequential treatment with ipilimumab followed by nivolumab. Only people with stage III melanoma were eligible for this trial. The study enrolled 30 participants into each combination treatment arm and 26 to the sequential treatment arm. We pooled the combination arms in the analysis below, therefore no adjustment for between-arm correlation is required ([Higgins 2011](#)).

#### Primary outcomes

##### Overall survival

The study did not report data for this outcome. No HR or confidence intervals were reported, and we could not obtain the data accurately from the published Kaplan Meier curves. To date, two participants have died in one of the combination arms (ipilimumab 3 mg/kg plus nivolumab 1 mg/kg).

##### Adverse events

The study did not report the overall incidence of Grade 3 and 4 AEs. Fewer immune-related Grade 3 to 4 AEs were reported in the combination treatment arm compared to the sequential treatment arm (RR 0.6, 95% CI 0.35 to 1.03;  $P = 0.0663$ ; 86 participants; [Analysis 6.1](#)). The sequential treatment arm closed early due to a high incidence of severe AEs. We rated the certainty of the evidence as low, downgraded by two levels for risk of performance and detection bias, and risk of other bias as only immune-related AEs were reported.

## Secondary outcomes

### Overall response rate

Neoadjuvant combination ipilimumab and nivolumab was associated with a numerically higher rate of ORR (CR+PR) compared to neoadjuvant sequential therapy (RR 1.42, 95% CI 0.87 to 2.32;  $P = 0.1658$ ; 86 participants; [Analysis 6.2](#)). We rated the certainty of the evidence as low, downgraded by one level for a risk of detection bias, and one level for imprecision as the confidence intervals were wide and the study was not powered to detect differences in this outcome.

### Pathological complete response

There was a statistically significant higher rate of pCR with neoadjuvant combination ipilimumab and nivolumab compared to neoadjuvant sequential therapy (RR 2.24, 95% CI 1.06 to 4.71;  $P = 0.0335$ ; 86 participants; [Analysis 6.3](#)).

### Disease-free survival (corresponding to trial definition of relapse-free survival)

The trial endpoint of relapse-free survival, defined as time from surgery until the date of first relapse (local, regional, or distant metastases), corresponds closely with the review protocol definition of DFS. Median DFS (RFS) was not reached with 8.3 months, 17.7 months or 24 months follow-up. DFS (RFS) at 24 months was estimated at 84% across all three arms (95% CI 76 to 92).

### Time to recurrence

A HR for TTR was not reported. We could not obtain the data accurately from the published Kaplan Meier curves.

### Other secondary outcomes

Only surgery-related AEs were reported. Similar incidences of surgery-related all-grade AEs, and Grade 3 and 4 AEs, were reported across the treatment arms. Four Grade 3 to 4 surgery-related AEs were reported in each treatment arm, with wound infection being the most common.

The trial did not report data on QOL, surgical outcomes or economic evaluation.

## 2.5. High-dose interferon plus chemotherapy versus chemotherapy (one study)

Please see [Summary of findings 7](#).

PICO: [Hwu 2017](#) studied the use of neoadjuvant temozolomide in combination with HDI compared with neoadjuvant temozolomide alone, in people with stage IIIB, IIIC, and IV melanoma. The trial included 52 participants, 27 in the temozolomide monotherapy arm, and 25 in the combination therapy arm.

### Primary outcomes

#### Overall survival

This outcome was not reported for this comparison. Efforts to contact the authors failed.

#### Adverse events

The trial did not report AE data according to the CTCAE criteria, and therefore did not report this outcome in line with the predefined

outcomes of this review. More serious AEs (SAEs) were reported in the temozolomide plus HDI arm: 8 (32%) compared with none in the temozolomide arm. The reported SAEs with combination therapy were increased leukocytes (4%), increased neutrophils (4%), lymphopenia (12%) and decreased platelets (12%).

### Secondary outcomes

#### Overall response rate

ORR was greater with combination therapy (RR 1.75, 95% CI 0.62 to 4.95;  $P = 0.29$ ; 36 participants; [Analysis 7.1](#)). Of note, the reported ORR includes participants who achieved a best response of stable disease, as well as CR and PR, and so is not directly comparable with other ORR outcomes in this review. We rated the certainty of the evidence as very low, downgraded by two levels for risk of detection bias and high risk of selective reporting and publication bias as no data are available from a peer-reviewed source. We downgraded by one level for imprecision as the confidence intervals were wide and the number of events small.

#### Other secondary outcomes

The trial did not report data on TTR, pCR, DFS, PFS, QOL, surgical outcomes or economic evaluation.

## 2.6. Neoadjuvant hu14.18-IL2 versus adjuvant hu14.18-IL2 (one study)

PICO: [Albertini 2018](#) investigated the efficacy of neoadjuvant and adjuvant treatment with hu14.18-IL2, an experimental cytokine immunotherapy, versus adjuvant hu14.18-IL2, in people with stage III or IV melanoma. Hu-IC is a humanized monoclonal antibody (mAb) covalently linked to two molecules of IL-2 at the Fc region. The hu14.18 mAb recognises GD2, a disialoganglioside found in tumours of neuroectodermal origin. The trial randomised 20 individuals, 11 to the neoadjuvant arm and nine to the adjuvant arm. The trial was not powered for formal hypothesis testing. Median follow-up of surviving participants is longer than four years (range 31.8 to 70.4 months).

Reported outcomes included recurrence-free survival (analogous to disease-free survival as per review protocol definition), adverse events (limited to Grade 3 to 4 AEs, and AEs requiring dose modification, and excluding previously documented AEs associated with hu14.18-IL2), and OS. The trial did not present outcome data disaggregated by treatment arm, so we can not report them here. Efforts to contact the authors to obtain this information failed.

## 2.7. Neoadjuvant ipilimumab 10mg/kg plus high-dose interferon versus neoadjuvant ipilimumab 3mg/kg plus high-dose interferon

PICO: [Tarhini 2018](#) investigated the efficacy of neoadjuvant treatment with either 10 mg/kg or 3 mg/kg ipilimumab, in combination with HDI in both treatment arms, in people with stage III melanoma. The trial randomised 28 people, 14 to each arm. The trial was not powered for formal hypothesis testing. The study hypothesis was that neoadjuvant ipilimumab in combination with HDI is safe and associated with durable pCR. The median follow-up in responding participants was 32 months.

The primary endpoint was safety, with secondary endpoints including pCR, radiological response rate (no definition provided),

PFS (no definition provided) and OS. The trial did not present OS, PFS and safety outcomes disaggregated by treatment arm, so we can not report them here. Efforts to contact the authors to obtain this information failed.

There were no differences in the rate of pCR or radiological response rate between treatment arms. A pCR was observed in five of 14 participants treated with ipilimumab 3 mg/kg, and in four of 14 participants in the ipilimumab 10 mg/kg arm (RR 1.25, 95% CI 0.42 to 3.70; [Analysis 8.1](#)). Radiological preoperative response rate was four of 14 participants with ipilimumab 3 mg/kg and six of 14 participants with ipilimumab 10 mg/kg (RR 0.67, 95% CI 0.24 to 1.86; [Analysis 8.2](#)), although the trial did not clearly define what it considered a radiological response. The trial did not report surgical outcomes directly, but stated that there was no delay to planned surgery secondary to ongoing toxicity resulting from the neoadjuvant induction phase.

### 3. Chemotherapy

One study comprised chemotherapy (temozolomide) as a comparator ([Hwu 2017](#)), and is described under heading 2.5 ([Summary of findings 7](#)).

### 4. Topical agents

We did not find any relevant studies with topical agents as an intervention or comparator.

### 5. Radiotherapy

We did not find any relevant studies with radiotherapy as an intervention or comparator.

## DISCUSSION

### Summary of main results

This Cochrane Review identified the available evidence for the use of neoadjuvant therapies in stage III and IV cutaneous melanoma. We identified eight RCTs with results that are included in this review, in addition to 11 ongoing RCTs. Most trials investigated combinations of treatments already authorised for advanced melanoma, and primarily investigated the effects of immunotherapy agents. Due to the diversity of regimens investigated, differences in trial methodology and definition of endpoints, and significant differences in the use of adjuvant treatment, we did not consider a meta-analysis of all identified studies to be appropriate. We conducted a meta-analysis that included outcomes from three trials, following qualitative assessment of similarities between the trials. The meta-analysis did not increase the certainty in the results, primarily as the underlying methodological challenges that led to high levels of uncertainty in the individual assessments were replicated across the three included trials. A summary of the review outcomes for the most clinically relevant comparisons is provided below.

#### Neoadjuvant treatment compared to no neoadjuvant treatment

Following meta-analysis of three trials ([Amaria 2018a](#); [Blank 2018](#); [Dummer 2020b](#)), we are very uncertain if neoadjuvant treatment increases OS when compared to no neoadjuvant treatment ([Summary of findings 1](#)). Neoadjuvant treatment may increase the rate of AEs compared to no neoadjuvant treatment, but

the evidence is very uncertain. We are also very uncertain if neoadjuvant treatment increases TTR. ORR was not reported as a comparative outcome in the included trials, and QOL was not reported for this comparison.

#### Neoadjuvant targeted treatment (BRAF/MEK inhibition) compared to no neoadjuvant treatment

One trial compared neoadjuvant targeted therapy with dabrafenib and trametinib, with no neoadjuvant treatment ([Amaria 2018a](#)). We are very uncertain whether neoadjuvant targeted treatment with dabrafenib and trametinib increases OS ([Summary of findings 2](#)) or TTR when compared to no neoadjuvant treatment. AEs and ORR were not reported as comparative outcomes, and QOL data were not collected for this comparison. ORR was highest with targeted therapies ([Summary of findings 2](#)).

#### Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment

One trial compared neoadjuvant immunotherapy with talimogene laherparepvec (T-VEC) to no neoadjuvant treatment ([Dummer 2020b](#)). We are very uncertain if neoadjuvant immunotherapy with T-VEC increases OS ([Summary of findings 3](#)) when compared to no neoadjuvant treatment. It may be associated with a higher rate of AEs, but the evidence is very uncertain. We are also very uncertain if it increases TTR. ORR was not reported as a comparative outcome and QOL data were not collected.

#### Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to adjuvant immunotherapy (combined ipilimumab and nivolumab)

One trial compared neoadjuvant immunotherapy with combined ipilimumab and nivolumab, to adjuvant immunotherapy with combined ipilimumab and nivolumab ([Blank 2018](#)). OS was not reported ([Summary of findings 4](#)). There may be little or no difference in the rate of AEs between these treatment options. ORR was not reported as a comparative outcome; TTR and QOL data were not collected. No difference in DFS was seen after four years of follow-up.

#### Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab)

One trial compared neoadjuvant immunotherapy with combined ipilimumab and nivolumab to neoadjuvant nivolumab ([Amaria 2018b](#)). Neoadjuvant combination therapy likely results in little to no difference in OS when compared to neoadjuvant nivolumab monotherapy ([Summary of findings 5](#)). Combination therapy may result in a higher rate of AEs, but the certainty of evidence is very low. It is worth noting that [Amaria 2018b](#) was halted early on the basis of an early observation of disease progression preventing surgical resection in the nivolumab arm and the high rate of treatment-related AEs in the combination treatment arm. Neoadjuvant combination treatment may result in higher ORR when compared to neoadjuvant nivolumab monotherapy, although the evidence is very uncertain, and likely results in little to no difference in TTR or DFS. QOL data were not collected.

#### Neoadjuvant immunotherapy (combined ipilimumab and nivolumab (two different dosing regimens) compared to

### neoadjuvant immunotherapy (sequential ipilimumab then nivolumab)

One trial compared neoadjuvant immunotherapy with combined ipilimumab and nivolumab to neoadjuvant sequential immunotherapy (ipilimumab followed by nivolumab) (Rozeman 2019). OS was not reported (Summary of findings 6). Fewer immune-related Grade 3 to 4 AEs were reported in the combination treatment arm compared to the sequential treatment arm; the sequential treatment arm closed early due to a high incidence of severe AEs. ORR was higher with the combination treatment also. Data on TTR and QOL were not collected. Similar rates of DFS (85%) were seen across all three treatment arms.

### Neoadjuvant immunotherapy (high dose interferon) and chemotherapy compared to neoadjuvant chemotherapy

One trial compared the neoadjuvant combination of immunotherapy with HDI and chemotherapy with temozolomide, to neoadjuvant chemotherapy with temozolomide alone (Hwu 2017). No data were reported on OS, AEs, TTR, or QOL for this comparison (Summary of findings 7). Neoadjuvant HDI plus chemotherapy may have little to no effect on ORR, but the evidence is very uncertain.

### Overall completeness and applicability of evidence

We considered the external validity of the included trials to be low, due to small sample size and lack of representativeness of the participants, limited duration of follow-up, and inconsistent use of adjuvant therapy in the control arms. A number of the included trials compared neoadjuvant treatment strategies rather than comparing neoadjuvant treatment with SOC, which is surgery, adjuvant treatment and observation. The challenges posed by inconsistencies in trial design, for example in the heterogeneous dosing regimens and treatment combinations of immunotherapies, are reflected in the limited feasibility of meta-analysis of the included studies.

A total of 402 people participated in the included clinical trials. Most trials were single-centre trials, and recruited participants primarily from the USA, the Netherlands, and Australia. The participants recruited to the included trials are unlikely to be representative of the broader population of people with melanoma, given that such a large proportion had an ECOG PS of 0 (79%) and LDH levels less than the upper limit of normal (97%). This may be at least partially explained by the fact that the included trials are early stage, phase I and II trials. This may be rectified to some extent in the larger ongoing RCTs, which will also recruit in more centres and in more countries, which will improve the representativeness of the population. It has been previously noted that people recruited to melanoma RCTs may not be fully representative of the broader population, and those unrepresented people may have poorer outcomes (Van Zeijl 2020). There were some differences in the proportions of participants with stage IIIB, IIIC, and stage IV disease randomised to the trials (Characteristics of included studies), which impacts baseline risk of disease recurrence (Balch 2009), and therefore creates heterogeneity across the identified trials.

Some trials did not appropriately control for adjuvant treatment in the control arm, and we downgraded the certainty of the evidence due to high risk of bias and imprecision. These trials permitted a range of optional adjuvant treatments, many of which do not reflect current SOC, administered at the discretion of

the investigator. Additionally, a number of trials compared two neoadjuvant treatment arms, rather than comparing neoadjuvant treatment to SOC. Feasibility of meta-analysis was reduced by the inconsistent use of adjuvant therapy across both the intervention and comparator arms in the included trials. Many of the identified trials would have been designed and initiated prior to adjuvant immunotherapy/targeted therapy becoming SOC; however, the absence of a consistent approach to adjuvant therapy, aligned with current treatment guidelines, limits the generalisability of the outcomes of these studies to current practice, particularly for time to event outcomes such as OS and TTR. Most of the identified ongoing trials include planned adjuvant treatment in both the intervention and control arm, which will address this deficiency in the evidence base.

There were important differences between the trials, for example, the different dosing schedules of immunotherapies and consequently different treatment effects, and the underlying differences in mechanism of action between immunotherapies, targeted treatments and chemotherapy. The trials included in this review considered mainly immunotherapy type agents, a reflection of the mode of action of these agents and their ability to impact the tumour environment as well as the tumour itself. In terms of the ongoing trials identified, all included an immunotherapy agent in the neoadjuvant arm, and most included a combination of agents, as combinations of immunotherapies, or immunotherapies with targeted treatments. New drug treatments continue to come on stream for melanoma (e.g. relatlimab in, a lymphocyte activation gene-3 (LAG-3) antibody administered in combination with nivolumab for unresectable or metastatic melanoma) and SOC for the disease will continue to evolve. Future iterations of this review will need to incorporate a broader range of immunotherapy interventions in particular, to maintain relevance to clinical practice. Only one of the included trials considered chemotherapy; chemotherapy does not feature as an intervention or comparator in any of the ongoing trials. This reflects the broader shift away from traditional chemotherapy drugs in favour of targeted treatments and immuno-stimulating agents (Robert 2016). Similarly, no trials of topical agents or radiation therapy were identified in the review.

Differences in the definitions of measured recurrence endpoints also impact feasibility of meta-analysis; some trials measured recurrence endpoints from point of randomisation, whereas some measured from the point of surgery, and there were also differences in the censoring rules for a recurrence event, meaning that the endpoints were not comparable.

The importance of ORR as a surrogate endpoint for efficacy of neoadjuvant treatment is subject to debate. While ORR with immunotherapy regimens targeting the PD-L1/CTLA-4 pathways is modest, clinical outcomes such as recurrence events appear infrequent. In this review, ORR was higher with combination treatment than single-agent regimens (Summary of findings 5; Summary of findings 6; Summary of findings 7), and was highest with targeted therapies (Summary of findings 2), and lowest with T-VEC (Summary of findings 3). It is unclear if ORR is a reasonable surrogate for recurrence and survival events in neoadjuvant treatment of melanoma.

Similarly, the importance of pCR as a surrogate endpoint for efficacy of neoadjuvant treatment is an ongoing point of discussion. In this review, rates of pCR reported across the



included trials varied from 17.1% (T-VEC, [Dummer 2020b](#)) to 58% (BRAF/MEK combination, [Amaria 2018a](#)). Rates may be higher with combination immunotherapy compared with nivolumab monotherapy or sequential ipilimumab and nivolumab ([Amaria 2018b](#); [Rozeman 2019](#)). The correlation between pCR and relapse and survival outcomes was investigated in a number of studies. Establishing and routinely applying a single definition of pCR is a key starting point for determining the relationship between pCR outcomes and survival outcomes. A pooled analysis of data from a selection of single armed-trials examined the correlation between pathological responses and survival outcomes ([Menzies 2021](#)), and early indicators are that particularly for immunotherapies, pathological responses may be appropriate indicators of long-term response. Selection of appropriate endpoints for neoadjuvant trials is an ongoing regulatory challenge and there is little evidence in the literature of a standardised endpoint emerging. Pathological response rates were accepted by the Food and Drug Administration (FDA) in the regulatory authorisation of neoadjuvant pertuzumab for breast cancer ([FDA 2020](#); [Prowell 2012](#)).

We did not find any studies that examined QOL or PFS with neoadjuvant treatment. Similarly, no studies considered the economic impact of neoadjuvant treatment. Measurement of surgical outcomes was rare, and thus we are uncertain if neoadjuvant treatment impacts surgical outcomes. The approach to the definition and recording of AEs varied across the studies, which limits the comparability of the safety profiles of the neoadjuvant regimens.

There remain a number of important gaps in the evidence base for neoadjuvant treatment of advanced melanoma. There are challenges in establishing surrogate markers which will satisfy both regulators and funders of new technologies. Demonstrating differences in OS is a difficult challenge for trial design and follow-up, due to the longer OS now experienced by people with stage III and IV melanoma with effective treatments in the adjuvant and metastatic settings. Measuring OS in neoadjuvant trials will require many years of follow-up, and the outcome will be heavily confounded by adjuvant treatments, and treatments received in the metastatic setting. It must be considered if further extending OS should remain as a primary aim of neoadjuvant treatments of melanoma, or whether patient-important outcomes, such as time to disease recurrence or time without symptoms, are more relevant objectives for future clinical research in this field.

The current trials provide little information about the consequences of neoadjuvant treatment for the current treatment paradigm in the metastatic setting, for example, the potential for retreatment with immunotherapy, potential for resistance emerging to targeted therapy, and determining if there is a risk of a poorer response in later stage disease. Research into these issues will be important in providing information for shared decision-making around whether to opt for neoadjuvant treatment, and also potentially to identify people who are most likely to benefit from neoadjuvant treatment.

Overall, the included trials were consistent in indicating early signals of potential efficacy of neoadjuvant treatment compared to surgery alone. Further trials will be needed to identify the benefits of neoadjuvant treatment compared with adjuvant treatment; these phase III trials will likely recruit a more diverse patient population which will improve the external validity of the evidence base.

## Quality of the evidence

The relatively small number of trials identified, and the small number of participants enrolled on these studies, limits the robustness of the conclusions which can be drawn from this review.

The level of certainty in the evidence for time to event outcomes such as OS and TTR was very low, downgraded consistently for imprecision and high risk of bias. Publication bias was also a concern with some of the trials, with no peer-reviewed data available. Identified trials were almost all early-stage clinical trials, not designed nor adequately powered to detect differences in time to event outcomes. Differences in the definitions of measured recurrence endpoints also impacted the feasibility of meta-analysis; some trials measured recurrence endpoints from point of randomisation, whereas some measured from the point of surgery, and there were also differences in the censoring rules for a recurrence event, meaning that the endpoints were not comparable. Additionally, some of the included trials did not adequately provide for the use of SOC adjuvant treatment in the control arm. Time-to-event outcomes (OS, TTR, DFS) are more likely to be impacted by differences in follow-up duration between the treatment arms, and in differences in the adjuvant treatment strategies employed in the trials, than other outcomes such as ORR and pCR. Duration of follow-up ranged from 15 months to over four years in the included studies. Based on these important differences between trials, we concluded that a meta-analysis of all identified trials was not feasible and would not provide useful data for clinical decision-making.

The meta-analysis did not increase the certainty in the results of the OS and TTR outcomes, primarily as the underlying methodological challenges that led to high levels of uncertainty in the individual assessments were replicated across the included trials.

ORR outcomes were commonly not described comparatively, as they are only measured in the neoadjuvant treatment arm. This, coupled with the high risk of detection bias in this outcome, led to us reporting the certainty in the ORR outcomes as low to very low.

## Potential biases in the review process

The literature search was extensive and is expected to have identified all eligible RCTs. There remains a possibility that an eligible trial may have been omitted. We reviewed other published review articles, none of which identified any other eligible RCTs ([Agreements and disagreements with other studies or reviews](#)).

We have clearly identified where our methods have deviated from those predefined in the review protocol (see [Differences between protocol and review](#)). We did not adjust for between-arm correlation in the only trial included which had more than two treatment arms ([Rozeman 2019](#)). We opted to pool the results from two treatments arms, and compare with the sequential treatment arm without any adjustments, as the trial itself was not powered for any formal hypothesis testing, and all results reported are simply descriptive.

We could not access three of the identified publications, and have categorised these studies as awaiting classification ([Characteristics of studies awaiting classification](#)). Since [de Braud 1994](#) and [Kleeberg 1986](#) are older publications, it is unlikely they would have been eligible for inclusion as the AJCC seventh edition staging criteria would not have been used in enrolling participants, and

therefore we do not consider there is a serious risk of bias to our review as a result of their exclusion.

We did attempt to contact authors where all the required information to determine inclusion/exclusion was not available, or to obtain additional results (Table 1). We have highlighted in the [Characteristics of included studies](#) where we used data obtained directly from the authors. There is a chance that some ongoing studies may have completed and results may be available since we contacted the authors.

### Agreements and disagreements with other studies or reviews

A published systematic review of neoadjuvant treatment in melanoma (Yu 2016) identified two trials for inclusion, Hwu 2017 and a study by Ariyan and colleagues (Ariyan 1982). We excluded the latter from this review (Excluded studies) as participants could select which treatment arm they wished to be allocated to, an outdated disease staging classification was used, and it was not possible to determine if the enrolled participants were consistent with the eligible population for this review. The review concluded that there was no strong evidence to support the routine use of neoadjuvant treatment for surgically resectable stage III or oligometastatic melanoma.

A separate systematic review, which included single-arm trials and excluded phase I trials, identified four RCTs, all of which are included in this review (Boulva 2021). The authors concluded that a meta-analysis was not possible due to the variability of the treatment arms and reported outcomes, and the paucity of homogenous randomised data. They did note the encouraging preliminary results, which suggest that neoadjuvant treatment may be safe and feasible, and may be associated with a high pathological response rate. More mature data, phase III trials, and an understanding of the correlation between pCR and long-term outcomes, are all required.

Khunger 2019 provided an overview of recent developments in neoadjuvant therapeutics for melanoma, and identified a number of single-arm trials that we excluded from this review as they were non-randomised studies (Excluded studies). A number of studies identified in our review were not mentioned in Khunger 2019 (Albertini 2018; Hwu 2017). Khunger 2019 highlighted the benefit of neoadjuvant treatment in providing biospecimens before and after therapy, which may provide "mechanistic insights and biomarker findings", offering insight into the biologic and immunologic response to novel therapeutics.

Similarly, Versluis 2020 and Pelster 2020 provided a summary of neoadjuvant trials of checkpoint inhibitors, neither of which identified any additional RCTs other than those included in our review. Versluis 2020 noted the large number of ongoing trials of neoadjuvant treatment using immunotherapies for a large range of tumour types, and highlighted the potential for 'reverse translation' using preclinical testing to lead to personalised neoadjuvant treatment regimens for patients in the future. They note the need to validate the relationship between pathologic response and longer-term RFS, and for phase III RCTs to demonstrate an RFS benefit with neoadjuvant treatment compared with adjuvant treatment. Pelster 2020 arrived at similar conclusions, highlighting the potential benefit of combination regimens with appropriate

dose adjustments to moderate toxicity, and the importance of harmonising neoadjuvant trial design.

## AUTHORS' CONCLUSIONS

### Implications for practice

We are uncertain if neoadjuvant treatment can improve overall survival (OS), compared with the standard of care (SOC) in people with stage III or IV melanoma. We are uncertain whether neoadjuvant treatment improves time to recurrence (TTR). Overall response rate (ORR) is highest with targeted treatment, and with combination regimens compared with single-treatment regimens. There remains the possibility that neoadjuvant immunotherapy may reduce the likelihood of successful surgical resection due to rapid disease progression (Amaria 2018b), or that treatment-related adverse events (AEs) may delay surgery. Neoadjuvant treatment may be associated with a slightly higher rate of AEs compared with SOC, and we are uncertain if the benefits outweigh the risks of harm. The identified trials were early-phase clinical trials with poor external validity, inadequately powered to detect differences in outcomes between treatment arms, and confidence intervals often overlapped no effect. The majority of trials were using immunotherapy agents as neoadjuvant treatment, but in most trials the control arm did not reflect the current SOC, which is adjuvant immunotherapy or targeted treatment. Immunotherapies and targeted therapies do not currently have regulatory authorisation in the USA or Europe for neoadjuvant treatment of melanoma. Use of immunotherapy in the neoadjuvant setting could have significant cost issues for health services, due to the potential for cost-offsets of immunotherapy treatment in the recurrent disease setting. This may be offset by the additional healthcare resource use in administering treatment in the neoadjuvant setting, where potentially many people would be considered eligible for treatment.

### Implications for research

Phase III randomised controlled trials (RCTs) of sufficient size, duration of follow-up and with adequate power to detect differences in time-to event outcomes such as relapse-free survival (RFS), are required to reduce the imprecision consistently highlighted as a quality issue in this review. The use of control arms which provide current standard of care adjuvant treatment with immunotherapy or targeted treatments, will be essential to recruit participants to these trials, and to elicit the benefits of neoadjuvant treatment versus adjuvant treatment. Blinding would be appropriate to address some of the concerns regarding performance and detection bias. The ongoing studies identified in this review are larger trials, including some phase III trials with appropriate adjuvant treatment as part of the control arm, will go some way to addressing the deficiencies in the certainty of evidence as highlighted in this review.

The use of optional or non-standard of care adjuvant therapy was highlighted as a potential source of bias in this review. Since the inception of this review protocol, adjuvant treatment with immunotherapy or targeted therapy has become the accepted standard of care for stage III melanoma following surgical resection. Thus, future trials evaluating neoadjuvant treatment strategies should include adjuvant treatment with immunotherapies or targeted therapies in the control arm, to identify if there is meaningful clinical benefit to patients beyond that offered

by adjuvant treatment. Future neoadjuvant trials identified in the review include an adjuvant treatment component in the investigational arm also, and will improve the quality of the available evidence. There are exceptions to this, for example where the objective of the trial is to risk-stratify participants to avoid adjuvant treatment.

A challenge highlighted in the identified trials is the heterogeneity in trial design, including the use of varied definitions of trial endpoints. A defined core outcome set for neoadjuvant treatment trials is necessary. [INMC 2019](#) goes some way towards this, suggesting that recurrence-free survival, event-free survival and distant metastatic-free survival are the important survival outcomes to include in these trials, but unfortunately does not provide clear definitions of these endpoints. [INMC 2019](#) similarly highlights the importance of consistent application of pathological scoring measures in order to validate its use as a surrogate outcome, and to enable cross-trial comparisons; [Tetzlaff 2018](#) is a welcome start. Further investigation of the relationship between radiographic response, pathological response and survival outcomes is required, as has been initiated by [Menzies 2021](#), and if it potentially differs depending on treatment type, e.g. immunotherapies versus targeted treatments.

The included studies did not collect or report data on quality of life (QOL) outcomes, and rarely on surgical outcomes. The absence of data on QOL outcomes and on surgical outcomes makes a holistic assessment of the benefits and risks of neoadjuvant treatment challenging. These endpoints should be considered for inclusion in future neoadjuvant trial designs as a priority. The potential impact of neoadjuvant treatment on surgical outcomes, such as delays or cancellation of surgery due to AEs or disease progression, or differences in the R0 resection rate, or time to subsequent healing postsurgery, are relevant outcomes to patients and health systems alike, and should be considered in subsequent trials of neoadjuvant treatment. Reaching consensus on the most important surgical outcomes to record will be important. Similarly, information on QOL with neoadjuvant treatment will be important for informed decision-making by patients, if electing to undergo neoadjuvant treatment or indeed, if considering entering a clinical trial of neoadjuvant treatment. Information on QOL is also important for economic evaluation of treatments, and prospective collection of data within RCTs can provide the information necessary to provide evidence of additional benefit to Health Technology Assessment agencies as well as regulatory authorities, thus facilitating patient access to treatment. The potential for neoadjuvant treatment to reduce drug costs should also be examined when designing future trials of neoadjuvant treatment, particularly if short courses of neoadjuvant treatment could reduce the risk of recurrence and therefore the high costs incurred in the metastatic setting. This is especially important given that the high costs associated with these drugs prohibits access in the metastatic setting in many countries ([Kandolf Sekulovic 2018](#)).

Treatments are associated with high costs in every jurisdiction, and consideration of the opportunity cost of funding these drugs as neoadjuvant treatment will be required. Data relevant to Health Technology Assessment agencies, as well as regulatory bodies, should be collected in trials, to ensure neoadjuvant treatment regimens are ultimately available to those who may benefit from them. Such endpoints include preference-based measures of QOL, quantifying resource use, and cost offsets in the recurrent disease

setting. It will also be essential to establish the nature of the relationship between pathological complete response (pCR), TTR and OS.

Histological or cytological staging was required prior to enrolment in the identified studies included in this review. In clinical practice, patient selection will be an important component of the provision of neoadjuvant treatment, requiring careful assessment of the likelihood of successful resection of the tumour, and balancing the potential risks of delaying surgery with the potential benefits of providing upfront systemic treatment. A recent publication from the INMC provides suggestions for harmonising the approach to issues of surgical relevance in neoadjuvant trials for melanoma, such as the definition of resectability, and the extent and scope of routine surgery ([Van Akkooi 2022](#)). The adoption of the eighth edition of the American Joint Committee on Cancer (AJCC) melanoma staging criteria, and the use of these criteria for staging melanoma in participants enrolled in future clinical trials, will impact on the comparability of future trials and trial outcomes with the trials included in this review, where all included trials used the seventh edition criteria.

Finally, we currently have little information about the consequences of neoadjuvant treatment for the available treatment options in the recurrent or metastatic disease setting, for example retreatment with immunotherapy, the potential for resistance emerging to targeted therapy etc. Neoadjuvant trials provide an opportunity to engage in translational research, to obtain extensive personalised information on immune response to treatment, genomic markers, and long-term clinical outcomes, and to potentially inform personalised treatment choices for patients in future. Research in this area will be important to adequately inform choices on whether to opt for neoadjuvant treatment, and to identify those patients who are most likely to benefit.

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## Editorial and peer-reviewer contributions

Cochrane Skin supported the authors in the development of this review. The following people conducted the editorial process for this review:

- Sign-off Editor (final editorial decision): Toby Lasserson, Cochrane Evidence Production & Methods Directorate
- Managing Editor (selected peer reviewers, collated peer-reviewer comments, provided editorial guidance to authors, edited the article): Joey Kwong, Cochrane Central Editorial Service
- Editorial Assistant (conducted editorial policy checks and supported editorial team): Leticia Rodrigues, Cochrane Central Editorial Service
- Copy Editor (copy-editing and production): c/o Cochrane Production Service (individual chose not to be publicly acknowledged)
- Peer-reviewers (provided comments and recommended an editorial decision): Mary S Brady, Gastric and Mixed Tumor Service, Memorial Sloan Kettering Cancer Center (clinical/content review); Nobuyuki Horita, Chemotherapy Center, Yokohama City University Hospital (clinical/content review); Enrico Zelin, Dermatology Clinic of Trieste, Maggiore Hospital, University of Trieste, Trieste, Italy (clinical/content review); Yana G. Najjar, UPMC Hillman Cancer Center (clinical/content review); Nuala Livingstone, Cochrane Evidence Production and Methods Directorate (methods review), Anne Littlewood, Cochrane Oral Health, University of Manchester (search review).



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\* Indicates the major publication for the study

**CHARACTERISTICS OF STUDIES**
**Characteristics of included studies [ordered by study ID]**
**Albertini 2018**
**Study characteristics**

Methods	Open-label, randomised, single-centre translational study. There were initially four groups, but two groups where participants were treated with cilengitide were discontinued due to safety concerns.  Enrollment commenced 28 March 2008, trial end date 4 May 2012
Participants	Inclusion criteria:  Recurrent stage III or IV melanoma (AJCC seventh ed.), no more than 3 sites of disease, ECOG 0 to 1, cutaneous, ocular, mucosal or unknown primary melanoma

**Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)**

**Albertini 2018** (Continued)

Exclusion criteria:

Brain metastases (active or inactive)

Country: USA

Number of recruited patients: 20

Recruited participant characteristics:

Stage III: 65%

Stage IV: 35%

BRAF positive: not specified

Male: 65%

ECOG PS 0: 80%

LDH < ULN: not specified

Interventions	<p>A treatment course of hu14.18-IL2 comprised 4-hour continuous intravenous infusion on days 1, 2 and 3, at a daily dose of 6 mg/m<sup>2</sup>/day (28-day treatment cycle)</p> <p>Group 1, neoadjuvant treatment</p> <ul style="list-style-type: none"> <li>• 1 course neoadjuvant hu14.18-IL2</li> <li>• Surgical resection scheduled 7 to 14 days after day 3 of first course, followed by 2 to 4 week recovery period</li> <li>• Two subsequent courses of hu14.18-IL2</li> </ul> <p>Group 2, adjuvant treatment</p> <ul style="list-style-type: none"> <li>• Surgical resection, followed by 2 to 4 week recovery period</li> <li>• Three subsequent courses of hu14.18-IL2 (3<sup>rd</sup> course only administered to those with documented complete clinical response i.e. no radiological or clinical evidence of disease)</li> </ul>
Outcomes	<ul style="list-style-type: none"> <li>• Relapse-free survival (defined as the number of days from the day of evaluation following course 2 of treatment to the day the subject experienced an event of recurrence or death, whichever occurred first).</li> <li>• Safety (only Grade 3 and 4 AEs, and AEs requiring dose modification were collected).</li> <li>• Overall Survival</li> </ul> <p>Not measured: pCR, ORR, TTR, PFS, DFS, economic evaluation, quality of life</p> <p>Median follow-up of surviving patients is &gt; 4 years (range 31.8 to 70.4 months)</p>
Funding source	<p>Support was provided by NIH R01 CA032685, R01 CA087025, R35 CA166105, P30 CA014520 from the National Cancer Institute, by resources at the William S. Middleton Memorial Veterans Hospital, Madison, WI, and by the Clinical and Translational Science Award (CTSA) program, through the NIH National Center for Advancing Translational Sciences (NCATS), grant UL1TR000427. Additional support was provided by grants from the Midwest Athletes for Childhood Cancer Fund, the Crowdaddy Foundation, the Stand Up to Cancer Foundation, the St. Baldrick's Foundation, the Hyundai Hope on Wheels Program, Ann's Hope Foundation, the Tim Eagle Memorial, and the Jay Van Sloan Memorial from the Steve Leuthold Family.</p>
Declarations of interest	<p>The authors have the following financial or other conflicts of interests to disclose related to this publication: Dr. Hans Loibner is CEO for Apeiron Biologics AG, and Apeiron Biologics AG has ownership of the hu18.18-IL2 immunocytokine used in this study. The remaining authors reported no financial or other conflicts of interest to disclose related to this publication.</p>

**Albertini 2018** (Continued)

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "“patients were randomised....using permuted blocks of size 4...”  Comment: insufficient information provided to assess ROB in random sequence generation
Allocation concealment (selection bias)	Unclear risk	Quote: "“patients were randomised....using permuted blocks of size 4...”  Comment: Insufficient information provided to assess ROB in allocation concealment
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: "Open-label trial"  Comment: Knowledge of treatment assignment could impact disease management and therefore both RFS and OS.
Blinding of outcome assessment (detection bias) All outcomes	High risk	Quote: "Open-label trial"  Comment: Assuming RFS assessed by unblinded assessor, ROB high. Considered low for OS.
Incomplete outcome data (attrition bias) All outcomes	Low risk	ITT analysis used for OS. Two participants excluded from RFS analysis, considered unlikely to impact outcome  Comment: Considered low risk of bias
Selective reporting (reporting bias)	Unclear risk	No trial protocol available, all outcomes specified in published paper are reported on  Comment: Unclear risk
Other bias	High risk	Comment: Trial not powered for formal hypothesis testing and any conclusions regarding comparative efficacy drawn from the results should be considered to have a high ROB.

**Amara 2018a**

**Study characteristics**

Methods	Single-centre, open-label, randomised Phase II trial.  Recruitment commenced 23 October 2014. The trial was halted following an interim safety analysis in April 2016; recruitment to the intervention arm continues as a single-armed study.
Participants	Inclusion criteria: AJCC seventh edition Stage III and IV cutaneous melanoma aged 18 years of over, of any gender and ethnicity, with surgically resectable disease and BRAF V600E/K mutation  Exclusion criteria: people with previous exposure to BRAF/MEK inhibitors, or ongoing use of cancer therapy  Country: USA  Participants randomised: 21

**Amaria 2018a** (Continued)

Recruited participant characteristics:

Stage IIIB: 24%

Stage IIIC: 62%

Stage IV: 14%

BRAF+: 100%

Male: 62%

ECOG PS 0: 90%

LDH < ULN: 90%

**Interventions**

Arm 1: dabrafenib (150 mg orally twice daily) and trametinib (2 mg orally once daily) for 8 weeks prior to surgery, and for 44 weeks following surgery (52 weeks total treatment)

Arm 2: definitive surgery in line with current local practice guidelines, and the choice of receiving adjuvant therapy following surgery (including IFN-alpha, IL-2, cisplatin, vinblastine, dacarbazine, ipilimumab or observation).

**Outcomes**

Outcomes included in this review

- Event-free survival (analogous to the definition of TTR used in this review protocol)
- Overall survival
- Safety
- Pathological complete response at time of surgery in intervention arm only
- Radiographic response rate at time of surgery in intervention arm only (analogous to the definition of ORR used in this review protocol)

Outcomes measured but not included in this review:

- Distant metastasis free survival (post-hoc analysis)

Median duration of follow-up: 18.6 months

**Funding source**

Novartis Pharmaceuticals Corporation

**Declarations of interest**

RNA reports grants from Merck, Bristol-Myers Squibb, and Array Biopharma, all outside the submitted work.

MTT reports personal fees from Myriad Genetics, Seattle Genetics, and Galderma, all outside the submitted work.

MCA reports grants from Pfizer Australia, and non-financial support from Merck and Bristol-Myers Squibb Australia, outside the submitted work.

W-JH reports research grants from Merck, Bristol-Myers Squibb, MedImmune, GlaxoSmithKline, and has served on an advisory board for Merck, all outside the submitted work.

HAT reports personal fees from Novartis, grants from Merck and Celgene, and grants and personal fees from BMS and Genentech, all outside the submitted work.

JEG reports advisory board participation with Merck and Castle Biosciences.

CNS and VG report patents for gut microbiome pending.

RB reports grants from NIH.

AL reports personal fees from BMS, Novartis, Merck, and Genentech/Roche; personal fees and non-financial support from ArcherDX and Beta-Cat; grants and non-financial support from Medimmune/Astra

**Amaria 2018a** (Continued)

Zeneca and Sanofi; and grants, personal fees, and non-financial support from Janssen, all outside the submitted work. MKW reports personal fees from Merck and EMD Serono, outside the submitted work.

PS reports consultant or advisor fees from Bristol-Myers Squibb, GlaxoSmithKline, AstraZeneca, Amgen, Jounce, Kite Pharma, Neon, Evelo, EMD Serono, and Astellas, during the conduct of the study; stock from Jounce, Kite Pharma, Evelo, Constellation, and Neon outside the submitted work; and has a patent licensed to Jounce for a novel immunotherapy outside the submitted work.

MAD reports personal fees from Novartis, BMS, and Vaccinex; grants from Astra Zeneca and Merck; and grants and personal fees from Roche/Genentech and Sanofi Aventis, all outside the submitted work.

JAW has received compensation for a speaker's bureau and honoraria from Dava Oncology, Bristol-Myers Squibb, and Illumina, and has served on advisory committees for GlaxoSmithKline, Roche/Genentech, Novartis, and Astra Zeneca.

All other authors declare no competing interests.

Notes	People with lentigo maligna, uveal and mucosal melanomas were not specifically excluded from enrolment, however no participants with these conditions were enrolled into the study.
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**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: " Participants were assigned a unique number at the time of enrolment. Randomisation was implemented by the Clinical Trial Conduct web site maintained by the Department of Biostatistics at the University of Texas MD Anderson Cancer Center". Additional information was provided by the authors, randomisation was based on stage IIIB/C/M1a versus M1b/M1c.  Comment: probably conducted appropriately given the involvement of biostatistics department
Allocation concealment (selection bias)	Unclear risk	Quote: "Enrolment and randomisation were done by the trial's designated research nurse"  Comment: insufficient detail provided for judgement.
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: "no masking of assignments was attempted and patients, investigators, and data analysts and assessors were aware of treatment assignment"  Comment: Knowledge of treatment allocation could influence choices regarding patient care while on trial, which could influence subjective outcomes including safety. Risk of bias for objective outcome of OS is unclear, as knowledge of trial treatment assignment could affect subsequent care.
Blinding of outcome assessment (detection bias) All outcomes	High risk	Quote: "patients, investigators, and data analysts and assessors were aware of treatment assignment"  Comment: the objective OS outcome is considered to be at low risk of bias. The assessment of unclear risk of bias applies to subjective outcomes- safety, RFS, pCR and ORR outcomes.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "All patients were assessable for the primary endpoint (event-free survival at 12 months)"  Comment: CONSORT diagram provided, detailed information on the missing patients provided, and missing data handled appropriately in the time-to-event analysis (ITT population)



**Amaria 2018a** (Continued)

Selective reporting (reporting bias)	Low risk	<p>Quote: N/A</p> <p>Comment: the trial protocol is not publicly available to inform this judgement. All outcomes described in the publication were reported on. The authors stated that there were no additional pre-specified outcomes in the trial protocol.</p>
Other bias	High risk	<p>Quote: "At the time of this interim safety analysis, seven EFS events had occurred: five in patients assigned to standard of care and two in those assigned to dabrafenib and trametinib. The reported p value (<math>p &lt; 0.0001</math>) did not cross the O'Brien-Fleming stopping boundary for seven events...."</p> <p>Comment: Trial did not meet the pre-specified criteria for early cessation of trial treatment for efficacy, and outcomes could be biased as a result (<a href="#">Bassler 2010</a>).</p> <p>Quote: "Patients assigned to the standard of care group....were offered standard of care adjuvant therapy including interferon-alpha2b, pegylated interferon-alpha2b, ipilimumab, biochemotherapy..., infusional interleukin-2, cisplatin, vinblastine and dacarbazine or observation". "Patients in the neoadjuvant plus adjuvant dabrafenib and trametinib group....received up to 44 weeks adjuvant dabrafenib and trametinib (52 weeks total treatment)."</p> <p>Comment: Protocol driven imbalance in trial follow-up treatments, relevant now that the efficacy of adjuvant dabrafenib and trametinib in reducing relapse rate in Stage III melanoma is known (<a href="#">Long 2017b</a>).</p>

**Amaria 2018b**
**Study characteristics**

Methods	<p>Single-centre, randomised, open-label, non-comparative Phase II trial.</p> <p>The trial was halted following an assessment by the Data Safety Monitoring Board due to early observation of disease progression preventing surgical resection in the nivolumab arm, and high rates of Grade 3 TRAEs with combination therapy.</p>
Participants	<p>Inclusion criteria: ECOG PS 0 to 1, Stage IIIB, C and IV resectable melanoma. People with lentigo maligna, uveal and mucosal melanomas were not specifically excluded from enrolment; one person with lentigo maligna was recruited but no people with uveal or mucosal melanoma.</p> <p>Exclusion criteria: active or known autoimmune disease, prior treatment with PD-1, PD-L1 or CTLA-4 targeted treatment, bone, brain or leptomeningeal metastases.</p> <p>Country: USA</p> <p>Participants randomised: 23</p> <p>Recruited participant characteristics:</p> <p>Stage IIIB: 39%</p> <p>Stage IIIC: 43%</p> <p>Stage IV: 14%</p> <p>BRAF+: 48%</p> <p>Male: 83%</p> <p>ECOG PS 0: 100%</p>

**Amaria 2018b** (Continued)

LDH &lt; ULN: 91%

Interventions	<p>Arm 1: nivolumab 3 mg/kg via IV route every two weeks for up to 4 doses, followed by planned surgical resection and adjuvant nivolumab for up to 13 doses over 6 months.</p> <p>Arm 2: Nivolumab 1 mg/kg via IV route and ipilimumab 3 mg/kg via IV route every three weeks for up to 3 doses, followed by planned surgical resection and adjuvant nivolumab for up to 13 doses over 6 months.</p>
Outcomes	<p>=Outcomes included in this review</p> <ul style="list-style-type: none"> <li>• pCR</li> <li>• ORR</li> <li>• OS</li> <li>• PFS (analogous to TTR in this review protocol)</li> <li>• DFS</li> <li>• Safety</li> </ul> <p>Outcomes measured but not included in this review:</p> <ul style="list-style-type: none"> <li>• distant metastatic free survival</li> </ul> <p>Median duration of follow-up: approximately 15 months</p>
Funding source	Bristol-Myers Squibb
Declarations of interest	<p>RNA received grants from Merck, Bristol-Myers Squibb and Array Biopharma, all outside the submitted work. S.M.R. received support from National Institutes of Health T32 Training Grant T32 CA 009666, outside the submitted work.</p> <p>HAT received personal fees from Novartis, grants from Merck and Celgene, and grants and personal fees from BMS and Genentech, all outside of the submitted work. MAD received personal fees from Novartis, BMS and Vaccinex, grants from AstraZeneca and Merck, and grants and personal fees from Roche/Genentech and Sanofi-Aventis, all outside the submitted work.</p> <p>W-JH received research grants from Merck, Bristol-Myers Squibb, MedImmune, and GlaxoSmithKline and has served on an advisory board for Merck, all outside the submitted work.</p> <p>MKW received personal fees from Merck and EMD Serono, outside the submitted work.</p> <p>JG has participated in the advisory board of Merck and Castle Biosciences.</p> <p>AJL received personal fees from BMS, Novartis, Merck and Genentech/Roche, personal fees and non-financial support from ArcherDX and Beta-Cat, grants and nonfinancial support from Medimmune/AstraZeneca and Sanofi and grants, personal fees and nonfinancial support from Janssen, all outside the submitted work.</p> <p>VG reports a US patent (PCT/US17/53,717), consultant fees from Microbiome DX, and honoraria from CAP18, outside of the submitted work. A.R. reports a US patent (PCT/US17/53,717) and is supported by the Kimberley Clark Foundation Award for Scientific Achievement provided by MD Anderson's Odyssey Fellowship Program. MCA is supported by the National Health and Medical Research Council of Australia CJ Martin Early Career Fellowship (1148680), and reports advisory board participation, travel support and honoraria from Merck Sharpe and Dohme.</p> <p>CNS reports a US patent (PCT/US17/53,717), outside of the submitted work.</p> <p>PS received consultant or advisor fees from Bristol-Myers Squibb, GlaxoSmithKline, AstraZeneca, Amgen, Jounce, Kite Pharma, Neon, Evelo, EMD Serono and Astellas, during the conduct of the study; has stocks from Jounce, Kite Pharma, Evelo, Constellation and Neon, outside the submitted work; and has a patent licensed to Jounce, outside the submitted work.</p> <p>MTT reports personal fees from Myriad Genetics, Seattle Genetics and Novartis, all outside the submitted work.</p>

**Amaria 2018b** (Continued)

JAW reports a US patent (PCT/US17/53,717), has received compensation for speaker's bureau and honoraria from Dava Oncology, Bristol-Myers Squibb and Illumina and has served on advisory committees for GlaxoSmithKline, Roche/Genentech, Novartis and AstraZeneca.

All other authors declare no competing interests.

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "we conducted a randomised..."  "Subject randomisation will be conducted by the Clinical Trials Conduct Website maintained by the Department of Biostatistics at the University of Texas M.D. Anderson Cancer Centre"  Comment: insufficient information on the random sequence generation.
Allocation concealment (selection bias)	Unclear risk	Quote: "Subjects will be approved for treatment after ....Subjects will then be assigned a subject number and will be eligible for randomisation."  Comment: insufficient detail provided for judgement.
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: N/A. The study is recorded as open-label in the supplementary appendix.  Comment: knowledge of treatment allocation could influence choices regarding patient care while on trial, which could influence subjective outcomes including safety. Risk of bias for objective outcome of OS is unclear, as knowledge of trial treatment assignment could affect subsequent care.
Blinding of outcome assessment (detection bias) All outcomes	High risk	Quote: N/A  Comment: there is no mention of blinding outcome assessors in publication or appendix, so probably not blinded.  The assessment of high risk of bias applies to subjective outcomes: safety, RFS, pCR and ORR outcomes. We consider the objective OS outcome to be at low risk of bias.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: N/A  Comment: CONSORT diagram provided in Supplementary Appendix. ITT is used for the analysis of time-to-event data.
Selective reporting (reporting bias)	Low risk	Quote: N/A  Comment: trial protocol available. No evidence of selective reporting bias.
Other bias	Unclear risk	Quote: "The trial was stopped early by the Data Safety Monitoring Board..."  Comment: truncated RCTs are known to overestimate treatment effects (Bassler 2010). However since the trial was not designed to detect relative efficacy, we rated the risk of bias as unclear rather than high.  Quote: "Subjects will be approved for treatment after a consensus panel of medical and surgical oncologists has determined that the disease is amenable to surgical resection and after the subject has passed screening evaluations....Subjects will then be assigned a subject number"

**Amaria 2018b** (Continued)

Comment: subjective screening in addition to objective criteria gives rise to the risk of an enriched trial population relative to the stated objective criteria.

**Blank 2018**
**Study characteristics**

Methods	Single centre, open-label, randomised, non-comparative Phase Ib trial
Participants	<p>Inclusion criteria: aged 18 years or older, with histologically confirmed resectable stage III melanoma with palpable lymph node metastases and no history of in-transit metastases within the last 6 months, WHO performance status 0 to 1 and normal LDH levels</p> <p>Exclusion criteria: prior immunotherapy targeting CTLA-4, PD-1 or PD-L1, radiotherapy before or after surgery within the trial</p> <p>Country: Netherlands</p> <p>Participants randomised: 21</p> <p>Recruited participant characteristics:</p> <p>Stage IIIB: 70%</p> <p>Stage IIIC: 30%</p> <p>BRAF +: 70%</p> <p>Male: 65%</p> <p>ECOG PS 0: not specified</p> <p>LDH &lt; ULN: 100%</p>
Interventions	<p>Arm 1 Neo-adjuvant arm: ipilimumab 3 mg/kg IV plus nivolumab 1 mg/kg IV every 3 weeks, for 2 doses prior to complete lymph node dissection (CLND) at week 6, then 2 doses further doses starting at week 6 post-CLND.</p> <p>Arm 2 Adjuvant arm: ipilimumab 3 mg/kg IV plus nivolumab 1 mg/kg IV every 3 weeks for 4 doses, commencing at week 6 post-CLND.</p>
Outcomes	<p>Coprimary endpoints</p> <ul style="list-style-type: none"> <li>Safety and feasibility</li> <li>Comparison of immune-activating capacity of neoadjuvant versus adjuvant ipilimumab plus nivolumab</li> </ul> <p>Secondary endpoints</p> <ul style="list-style-type: none"> <li>RFS measured according to RECIST v1.1 criteria and defined as the time from surgery to date of first relapse (local, regional or distant metastases) or death from any cause.</li> <li>Rate and type of AEs and late AEs</li> <li>Correlation between RFS and change in magnitude/breadth of neoantigen T-cell population</li> <li>Comparing pharmacokinetics/pharmacodynamics of ipilimumab plus nivolumab across the two arms</li> </ul> <p>Data were reported in the pivotal publication for distant metastases free survival and OS. Median duration of follow-up: 3 years.</p>
Funding source	Bristol Myers Squibb

**Blank 2018** (Continued)

Declarations of interest

CUB reports personal fees for advisory roles for MSD, BMS, Roche, GSK, Novartis, Pfizer, GenMab, and Lilly, and grants from BMS, NanoString, and Novartis, outside the submitted work.

EAR reports travel support from NanoString Technologies and MSD, outside the submitted work.

LFF, KS, BvdW, PK, OK, MvdB, DP, A Broeks, HAM, SA, StM, LMP, LGG-O, A Bruining, and HvT have nothing to disclose.

JVvT reports travel support from Roche, outside the submitted work.

RMG has a financial interest in Adaptive Biotechnologies.

SW is an employee of and is a stockholder in NanoString Technologies, has an advisory role with Roche, and is a former employee of the Oncofactor Corporation, outside the submitted work.

DSP reports research support from BMS.

JBAGH reports that NKI received fees for his advisory roles from BMS, MSD, Roche, Neon Therapeutics, Immunocore, Novartis, AstraZeneca/ MedImmune, Pfizer, and Ipsen;

NKI received grants from BMS, Merck, Novartis, and Neon Therapeutics, outside the submitted work.

ACJvA reports personal fees for an advisory role with Amgen, Bristol-Myers Squibb, Novartis, MSD-Merck, and Merck-Pfizer, and grants from Amgen and Novartis, all outside the submitted work.

TNS is consultant for Adaptive Biotechnologies, AIMM Therapeutics, Amgen, Neon Therapeutics, Scenic Biotech, and reports grant/research support from Merck, BristolMyers Squibb, and Merck KGaA; he is a stockholder in AIMM Therapeutics and Neon Therapeutics

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Randomisation will be done by the trial office of the AVL..." "Patients... were included into the OpACIN trial and randomised to receive..." Comment: insufficient information provided on sequence generation to assess risk of bias.
Allocation concealment (selection bias)	Unclear risk	Quote: "Patient randomisation will only be accepted from authorised investigators or through their authorised data manager or authorised staff member" Comment: insufficient information provided on allocation concealment to assess risk of bias.
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: N/A. The study is recorded as open-label in the supplementary appendix. Comment: knowledge of treatment allocation could influence choices regarding patient care while on trial, which could influence subjective outcomes including safety. Risk of bias for objective outcome of OS is unclear, as knowledge of trial treatment assignment could affect subsequent care.
Blinding of outcome assessment (detection bias) All outcomes	High risk	Overall survival Quote: N/A Comment: the objective OS outcome is considered to be at low risk of bias. Pathological Complete Reponse

**Blank 2018** (Continued)

Quote: "reviewed by one blinded pathologist, who scored the percentage vial tumour cells in the surgery material"

Comment: pCR is considered low risk

Other Subjective outcomes

Quote: N/A.

Comment: the assessment of high risk of bias applies only to RFS, ORR and safety outcomes

Overall rated as high risk as the majority of outcomes relevant to this review are subjective outcomes.

Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: N/A  Comment: CONSORT diagram provided. ITT population is used for the analysis of time-to-event data.
Selective reporting (reporting bias)	Low risk	Quote: N/A  Comment: trial protocol available online, all prespecified outcomes are reported in trial publication.
Other bias	Low risk	No other potential biases identified

**Dummer 2020b**
**Study characteristics**

Methods	Phase II randomised, open-label multicentre trial. Accrual commenced February 2015.
Participants	<p>Inclusion criteria: aged <math>\geq 18</math> years, melanoma Stage IIIB, IIIC, or IVM1a with at least 1 injectable lesion <math>\geq 10</math>mm in longest diameter (cutaneous, subcutaneous or nodal), ECOG PS 0 to 1, adequate haematological, hepatic and renal function, LDH &lt; ULN.</p> <p>Exclusion criteria: primary ocular and mucosal melanoma, history of autoimmune disease, immunodeficiency, immunosuppression, active herpetic lesions or prior complications of HSV-1 infection.</p> <p>Number of participants: 150</p> <p>Countries: USA, Australia, Brazil, France, Greece, Poland, Russia, Spain, Switzerland</p> <p>Recruited participant characteristics:</p> <p>Stage IIIB: 41%</p> <p>Stage IIIC: 41%</p> <p>Stage IV: 18%</p> <p>Male: 64%</p> <p>BRAF+: not specified</p> <p>ECOG PS 0: not specified</p> <p>LDH &lt; ULN: assumed 100% in accordance with inclusion criteria</p>



**Dummer 2020b** (Continued)

**Interventions**

Arm 1: 6 doses of T-VEC over 12 weeks, prior to surgery. T-VEC is administered until planned surgery (12 weeks), no injectable tumours or intolerance. The initial dose (day 1 week 1) is at a concentration of 10<sup>6</sup> (1 million) plaque forming units (PFU)/ml, with a maximum of 4ml administered (reference 2). Subsequent doses (day 1 week 4, 6, 8, 10, 12) are given at a higher dose 10<sup>8</sup> (100 million) PFUs/ml, again with a maximum of 4ml administered each cycle (reference 2). Drug is administered by intralesional injection into cutaneous, subcutaneous and/or nodal lesions.

Arm 2: Immediate surgical resection, with optional adjuvant treatment.

**Outcomes**

Outcomes included in this review.

- pCR
- ORR
- Safety
- RFS
- OS

Outcomes measured but excluded from this review.

- Rate of histopathological tumour-free margin (R0) surgical resection
- Distant metastatic free survival

Median duration of follow-up: 24 months

**Funding source** Not stated, sponsored by Amgen.

**Declarations of interest** None stated

**Notes** Not available as a peer-reviewed publication

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: Trial protocol section 5.1 "Upon confirmation of eligibility, the site staff will use the Interactive Voice Response (IVR) system to randomize a subject... The IVR system will assign a randomization number..."  Comment: use of a centralised electronic randomisation system in a multicentre trial is likely to lead to a low ROB
Allocation concealment (selection bias)	Low risk	Quote: Trial protocol section 5.1 "Upon confirmation of eligibility, the site staff will use the Interactive Voice Response (IVR) system to randomize a subject.... The IVR system will assign a randomization number."  Judgement: use of a centralised electronic randomisation system in a multicentre trial is likely to lead to a low ROB
Blinding of participants and personnel (performance bias) All outcomes	High risk	Comment: "Open label trial".  Judgement: some potential for bias in choice of subsequent management for participants, which could impact OS, so ROB for this outcome is considered high. Choice of adjuvant treatment declared prior to enrolment, but it seems some participants received it post-enrolment anyway, so this may impact TTR. ROB considered low for ORR and pCR outcomes.  Overall, rated high risk of bias.

**Dummer 2020b** (Continued)

Blinding of outcome assessment (detection bias) All outcomes	High risk	<p>Not explicitly stated but seems that radiographic tumour assessments and pCR assessment conducted locally and unblinded, and so are associated with a high risk of bias, as is TTR.</p> <p>For OS, low ROB.</p> <p>Overall rated high risk of bias</p>
Incomplete outcome data (attrition bias) All outcomes	Low risk	<p>Time to event outcomes (RFS, OS) analysed in ITT population so at low ROB. Similarly pCR and ORR analysed in ITT population so considered low ROB, despite high number of patients lost to follow-up.</p> <p>No information available on participants who did not receive protocol-specified surgery in either arm. Unclear if this may impact outcomes.</p> <p>Overall rated low risk of bias</p>
Selective reporting (reporting bias)	Low risk	<p>Reference: Section 10.1 trial protocol, all outcomes specified here are reported, excluding 3 and 5 year RFS and OS, which is due to the immaturity of the data.</p>
Other bias	High risk	<p>No published peer reviewed paper available, only abstracts and data filed in clinical trials database.</p> <p>Funding sources unclear</p> <p>No information available about subsequent adjuvant treatment received by participants, which is likely to have a significant impact on TTR and OS outcomes.</p>

**Hwu 2017**
**Study characteristics**

Methods	Single-centre, randomised Phase II trial
Participants	<p>Inclusion criteria: stage IIIB, IIIC or IVM1a melanoma with potentially resectable disease, aged 18 years or older, and ECOG PS 0 to 1.</p> <p>Exclusion criteria: autoimmune disease, active infection or immunosuppressive disease, concurrent corticosteroids, current significant psychiatric illness, significant cardiac or pulmonary dysfunction.</p> <p>Country: USA</p> <p>Recruited participant characteristics:</p> <p>Stage III: not specified</p> <p>Stage IV: not specified</p> <p>BRAF+: not specified</p> <p>Male: not specified</p> <p>ECOG PS 0: not specified</p> <p>LDH &lt; ULN: not specified</p>
Interventions	<p>Arm 1: Temozolomide 150 mg/m<sup>2</sup>/day via the oral route, for 7 days followed by a 7 day break. A cycle is 8 weeks in duration.</p>

**Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)**

**Hwu 2017** (Continued)

Arm 2: Temozolomide 150 mg/m<sup>2</sup>/day via the oral route, for 7 days followed by a 7 day break, plus pegylated interferon-alpha 2b 0.5 mcg/kg via subcutaneous injection once weekly. A cycle is 8 weeks in duration.

In each arm, participants received one 8-week cycle prior to surgery. For participants with a response, 3 additional cycles of the assigned treatment could be administered as adjuvant therapy.

Outcomes	Primary outcome was pooled overall response, defined as complete response plus partial response plus stable disease. Response rate was also reported in each treatment arm.  Median duration of follow-up: unknown
Funding source	Sponsor: MD Anderson Cancer Centre. Study was funded by Schering Plough and Merck.
Declarations of interest	No declarations of interest are provided.
Notes	Not available as a peer-reviewed publication.

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: N/A  Comment: no information is provided about sequence generation to assess risk of bias
Allocation concealment (selection bias)	Unclear risk	Quote: N/A  Comment: no information is provided about allocation concealment to assess risk of bias
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: N/A  Comment: probably open-label, as there is no placebo control and obvious difference between treatment arms. Knowledge of treatment allocation could influence choices regarding patient care while on trial, which could influence subjective outcomes including safety. Risk of bias for objective outcome of OS is unclear, as knowledge of trial treatment assignment could affect subsequent care.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Quote: N/A  Comment: insufficient information to assess risk of bias. No information provided on blinding of outcome assessors.
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Quote: N/A  Comment: it is reported that 52 participants were recruited to the study, but outcomes are reported only for 50 participants. No information is provided regarding the reasons for participant dropout. However as this is a non-comparative study the implication of this missing data is difficult to assess.
Selective reporting (reporting bias)	Unclear risk	Quote: N/A  Comment: the trial protocol is not publicly available to inform this judgement.
Other bias	High risk	No other potential biases identified. Study is not published in a peer-reviewed journal; therefore, we consider the risk of publication bias to be high.

**Rozeman 2019**
**Study characteristics**

Methods	Phase II open-label multi centre randomised trial
Participants	<p>Inclusion Criteria: 18 years or over, cytologically or histologically confirmed resectable macroscopic (Stage III) melanoma, WHO PS 0 or 1, measurable lymph node metastases, normal LDH</p> <p>Exclusion Criteria: prior exposure to immune-checkpoint inhibitors, distantly metastasised melanoma, active autoimmune disease, history of in-transit metastases within the last 6 months.</p> <p>Countries: Australia, Netherlands, Austria, Sweden</p> <p>Participants: 86</p> <p>Recruited participant characteristics:</p> <p>Stage III: 100%</p> <p>BRAF+: not specified</p> <p>Males: 57%</p> <p>ECOG PS 0: not specified</p> <p>LDH&lt;ULN: 99%</p>
Interventions	<p>Arm 1: ipilimumab 3 mg/kg plus nivolumab 1 mg/kg once every three weeks for 2 doses</p> <p>Arm 2: ipilimumab 1 mg/kg plus nivolumab 3 mg/kg once every three weeks for 2 doses</p> <p>Arm 3: Ipilimumab 3 mg/kg once every three weeks for 2 doses followed (&gt; 2 hours and &lt; 24 hours) by nivolumab 3 mg/kg once every 2 weeks for 2 doses.</p> <p>Surgery is scheduled at week 6 in all treatment arms. No adjuvant treatment was scheduled.</p>
Outcomes	<p>Primary endpoints</p> <ul style="list-style-type: none"> <li>• Grade 3 or greater immune-related AEs at week 12</li> <li>• ORR at week 6, as per RECIST v1.1</li> <li>• pathological response rate (pRR) at week</li> </ul> <p>Secondary endpoints</p> <ul style="list-style-type: none"> <li>• RFS at 3 years</li> <li>• Late AEs</li> <li>• EFS</li> <li>• OS</li> </ul> <p>Outcomes measured but not included in the review: Distant metastatic free survival</p> <p>Mediation duration of follow-up: at least 32 months</p>
Funding source	Bristol Myers Squibb and the Netherlands Cancer Institute
Declarations of interest	CB: Advisory roles (BMS, MSD, Roche, Novartis, GSK, Pfizer, Lilly, GenMab, Pierre Fabre), Research grants (BMS, Novartis, Nano String).
Notes	

**Rozeman 2019** (Continued)

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: N/A  Comment: no information is provided about sequence generation to assess risk of bias
Allocation concealment (selection bias)	Unclear risk	Quote: N/A  Comment: no information is provided about allocation concealment to assess risk of bias
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: N/A  Comment: knowledge of treatment allocation could influence choices regarding patient care while on trial, which could influence subjective outcomes including safety. Risk of bias for objective outcome of OS is unclear, as knowledge of trial treatment assignment could affect subsequent care.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Quote: N/A  Comment: insufficient information to assess risk of bias. No information provided on blinding of outcome assessors
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Quote: N/A  Comment: EFS data reported for ITT population. Currently no CONSORT diagram available.
Selective reporting (reporting bias)	Unclear risk	Quote: N/A  Comment: the trial protocol is not publicly available to inform this judgement.
Other bias	Low risk	No other potential biases identified

**Tarhini 2018**

**Study characteristics**

Methods	Phase II open-label single-centre RCT
Participants	<p>Inclusion criteria: 18 years or greater, ECOG PS 0 to 1, surgically resectable Stage III melanoma, adequate organ function</p> <p>Exclusion criteria: distant metastatic disease, known risk factors for bowel perforation, history of some autoimmune disorders, underlying heart conditions, prior CTLA-4 treatment or CD137 agonist.</p> <p>Country: USA</p> <p>Number of participants recruited: unknown, planned to recruit 30 participants</p> <p>Recruited participant characteristics:</p> <p>Stage IIIB: 10%</p> <p>Stage IIIC: 83%</p>



**Tarhini 2018** (Continued)

BRAF+: not specified

Males: 60%

ECOG PS 0: 53%

LDH < ULN: not specified

**Interventions**

Arm 1: ipilimumab 10 mg/kg via IV infusion once every 3 weeks for 2 doses followed by definitive surgery. Concurrent interferon alpha-2b at 20 million units (MU)/m<sup>2</sup>/day IV for 5 consecutive days each week for 4 weeks, then 10 MU/m<sup>2</sup>/day on alternate days for 3 days each week, via the subcutaneous route for 2 weeks, followed by definitive surgery.

Following recovery from surgery, ipilimumab 10 mg/kg once every 3 weeks for 2 doses, then once every 12 weeks for 4 additional doses. Following surgery, IFN is resumed at the 10 MU dose for 46 additional weeks.

Arm 2: ipilimumab 3 mg/kg via IV infusion once every three weeks for 2 doses followed by definitive surgery. Concurrent interferon alpha-2b at 20 MU/m<sup>2</sup>/day IV for 5 consecutive days each week for 4 weeks, then 10 MU/m<sup>2</sup>/day on alternate days for 3 days each week, via the subcutaneous route for 2 weeks, followed by definitive surgery.

Following recovery from surgery, ipilimumab 3 mg/kg once every 3 weeks for 2 doses, then once every 12 weeks for 4 additional doses. Following surgery, IFN is resumed at the 10 MU dose for 46 additional weeks.

**Outcomes**

Primary outcome

- Safety

Secondary outcomes

- pathological response rate
- ORR
- PFS
- OS

Median duration of follow-up for responding patients is 32 months.

**Funding source**

Research grant support from Merck, BMS and Adaptive biotechnologies. Sponsored by University of Pittsburgh

**Declarations of interest**

Tarhini: Research grant support from Merck, BMS and Adaptive Biotechnologies, and consultant for Merck, BMS, HUYA, Pfizer, Sanofi, Novartis, Genetech-Roche, Array Biopharma, Newlink genetics

Kirkwood: consulting/advisory role with BMS, Merck, Novartis, Roche, Genentec, EMD Serrono, Array biopharma, Prometheus

Yusko, Rytlewski employees of Adaptive Biotechnologies

**Notes**
**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "patients were randomised"  Judgement: no information is provided about sequence generation to assess risk of bias

**Tarhini 2018** (Continued)

Allocation concealment (selection bias)	Unclear risk	Quote: N/A  Judgement: no information is provided about allocation concealment to assess risk of bias.
Blinding of participants and personnel (performance bias) All outcomes	High risk	Quote: 'open-label trial'  Judgement: knowledge of treatment allocation could influence treatment choices regarding patient care while on trial, which could influence subjective outcomes including safety.  Risk of bias for OS unclear, as knowledge of trial treatment could affect subsequent care.  Overall rated as high.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	OS:  Quote: N/A  Judgement: the objective outcome OS is considered to be low risk of bias.  Other subjective outcomes: TTR, ORR, pCR, safety - insufficient information to assess risk of bias
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Quote: N/A  Judgement: 30 participants enrolled, only 28 included in the efficacy analysis, no reasons for dropout reported
Selective reporting (reporting bias)	Unclear risk	Quote: N/A  Judgement: Insufficient information to assess risk of bias, as trial protocol not available
Other bias	Low risk	No additional sources of bias were identified.

AEs: adverse events; AJCC: American Joint Committee on Cancer; BRAF+: tested positive for the BRAF mutation; CLND: complete lymph node dissection; CTLA-4: cytotoxic T-lymphocyte-associated antigen-4; DFS: disease-free survival; ECOG PS: European Cooperative Oncology Group Performance Status; EFS: event free survival; HSV: herpes simplex virus; IFN: interferon; IL-2: interleukin-2; ITT: intention-to-treat; IV: intravenous; LDH: lactate dehydrogenase; LDH < ULN: lactate dehydrogenase less than the upper limit of normal; N/A: not applicable; ORR: overall response rate; OS: overall survival; pCR: pathological complete response; PD: programmed death; PD-L1: programmed death ligand-1; PFS: progression-free survival; ROB: risk of bias; RECIST: Response Evaluation Criteria in Solid Tumours; TRAEs: treatment-related adverse events; TTR: time to recurrence; T-VEC: talimogene laherparepvec; WHO: World Health Organization

**Characteristics of excluded studies** [ordered by study ID]

Study	Reason for exclusion
<a href="#">2006-005350-79</a>	This study is not an RCT.
<a href="#">Ahmann 1976</a>	This study is not an RCT.
<a href="#">Ariyan 1982</a>	This study is not an RCT.
<a href="#">Buzaid 1998</a>	This study is not an RCT.
<a href="#">DeCOG 2010</a>	This study is not an RCT.

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Study	Reason for exclusion
Dillman 2015	This study is not an RCT.
Koyanagi 2005	This study is not an RCT.
Long 2019	This study is not an RCT.
Madu 2016	This study is not an RCT.
Moschos 2006	This study is not an RCT.
Mudigonda 2016	This study is not an RCT.
Najjar 2017	This study is not an RCT.
Najjar 2018	This study is not an RCT.
NCT01720407	Ineligible population: lentigo maligna
NCT02036086	This study is not an RCT.
NCT02303951	This study is not an RCT.
NCT02736123	Study withdrawn as Principal Investigator leaving clinical trial centre.
NCT03313206	Ineligible population: mucosal melanoma
NCT03618641	This study is not an RCT.
NCT04007588	Study withdrawn (slow accrual)
NCT04013854	Ineligible study design
NCT04495010	Study withdrawn by Sponsor as business objectives have changed.
NCT04741997	Ineligible study design
Notohardjo 2020	Ineligible population
O'Connor 1978	Ineligible population
Passalacqua 1996	This study is not an RCT.
Prieto 2016	This study is not an RCT.
Reijers 2019	This study is not an RCT.
Samoylenko 2019	This study is not an RCT.
Schermers 2019	This study is not an RCT.
Shah 2010	This study is not an RCT.
Stiles 2017	This study is not an RCT.
Urosevic 1996	This study is not an RCT.

Study	Reason for exclusion
Van den Hout 2010	Ineligible population
Van Den Hout 2013	Ineligible population
Wargo 2015	This study is not an RCT.

RCT: randomised controlled trial

### Characteristics of studies awaiting classification *[ordered by study ID]*

#### de Braud 1994

Methods	Unknown
Participants	Unknown
Interventions	Unknown
Outcomes	Unknown
Notes	Study could not be sourced. All efforts to locate the study failed.

#### EudraCT 2014-000334-30

Methods	Phase II open-label RCT
Participants	Adults aged 18 year and over, with Stage III melanoma, ECOG PS 0 to 1, BRAF wildtype with no prior adjuvant treatment
Interventions	Esomeprazole for oral use No additional information provided
Outcomes	Primary endpoint: changes in the immune profile induced by treatment in the tumour-draining lymph nodes Secondary endpoint: disease-free survival, gene expression profiling
Notes	Fondazione IRCCS "Istituto Nazionale dei Tumori"

#### Kleeberg 1986

Methods	Unknown
Participants	Unknown
Interventions	Unknown
Outcomes	Unknown
Notes	Study cannot be located

### Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)

ECOG PS: European Cooperative Oncology Group Performance Status; RCT: randomised controlled trial

### Characteristics of ongoing studies [ordered by study ID]

#### NCT02858921

Study name	A Phase II, Randomised, Open-label Study of Neoadjuvant Dabrafenib, Trametinib and/or Pembrolizumab in BRAF Mutant Resectable Stage IIIB/C Melanoma (NeoTrio)
Methods	Phase II randomised open-label trial.
Participants	<p>Inclusion criteria: 18 years or over, resectable stage IIIB, IIIC or IV cutaneous melanoma, BRAFV600 mutation positive, ECOG PS 0 to 1, adequate organ function, and expected life expectancy greater than 12 months.</p> <p>Exclusion criteria: uveal or mucosal melanoma, prior anti-cancer treatment for melanoma (excluding surgery, adjuvant radiation therapy or adjuvant IFN or ipilimumab), active autoimmune disease, active infection, history or evidence of cardiovascular disease.</p>
Interventions	<p>Participants are randomised to 1 of 3 arms:</p> <p>Arm 1: sequential dabrafenib (150 mg orally twice daily) and trametinib (2 mg orally once daily) for 2 weeks, followed by pembrolizumab 200 mg IV at weeks 2, 4, 6, and 9, surgery at week 12, then adjuvant pembrolizumab every 3 weeks from week 12 for 50 weeks.</p> <p>Arm 2: concurrent dabrafenib (150 mg orally twice daily) and trametinib (2 mg orally once daily) and pembrolizumab 200 mg IV every 3 weeks for 52 weeks, with surgery at week 12.</p> <p>Arm 3: pembrolizumab 200 mg IV every 3 weeks for 52 weeks, with surgery at week 12.</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>• Pathological response rate at 12 weeks</li> </ul> <p>Secondary outcomes (relevant to this review)</p> <ul style="list-style-type: none"> <li>• ORR</li> <li>• RFS</li> <li>• OS</li> <li>• Surgical outcomes</li> <li>• Safety</li> </ul>
Starting date	November 2017
Contact information	monica.osorio@melanoma.org.au
Notes	Sponsor: Melanoma Institute Australia, in collaboration with Merck Sharpe & Dohme and Novartis.

#### NCT02938299

Study name	A Phase III, open-label, randomised, controlled multicentre study of the efficacy of L191L2/L19TNF neoadjuvant intratumoral treatment followed by surgery, versus surgery alone, in clinical stage IIIB and IIIC melanoma patients.
Methods	Phase III, open-label, multicentre RCT
Participants	Inclusion criteria: 18 years or over, with stage IIIB or IIIC melanoma, eligible for complete surgical resection, ECOG PS 0 to 1, and expected life expectancy greater than 24 months.

#### Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)

**NCT02938299** (Continued)

	Exclusion criteria: uveal or mucosal melanoma, active infection, recent history of or current active cardiovascular disease or active autoimmune disease.
Interventions	<p>Arm 1: intratumoural administration of L19IL2 and L19TNF into all injectable cutaneous, subcutaneous and nodal tumours once weekly for up to 4 weeks, followed by surgical resection within 4 weeks of completing treatment.</p> <p>Arm 2: surgical resection of melanoma tumour lesions within 4 weeks of randomisation</p>
Outcomes	<p>Primary outcome: recurrence-free survival rate at 1 year following randomisation</p> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>• Local RFS rate</li> <li>• Distant metastatic-free survival</li> <li>• OS</li> <li>• Safety</li> </ul>
Starting date	July 2016
Contact information	regulatory@philogen.com
Notes	

**NCT03567889**

Study name	An Open-Label, Randomized, Controlled Multi-Center Study of The Efficacy of Daromun (L19IL2 + L19TNF) Neoadjuvant Intratumoral Treatment Followed by Surgery and Adjuvant Therapy Versus Surgery and Adjuvant Therapy in Clinical Stage IIIB/C Melanoma Patients
Methods	Phase III, open-label, multicentre RCT
Participants	<p>Inclusion criteria: <math>\geq 18</math> years, ECOG PS 0 to 1, stage IIIB and IIIC (AJCC v7) metastatic melanoma, life expectancy <math>\geq 24</math> months, eligible for complete surgical resection of all metastases, and be a candidate for intralesional therapy with at least one injectable cutaneous, subcutaneous, or nodal melanoma lesion (<math>\geq 10</math> mm in longest diameter) or with multiple injectable lesions that in aggregate have a longest diameter of <math>\geq 10</math> mm.</p> <p>Exclusion criteria</p> <ol style="list-style-type: none"> <li>1. Uveal melanoma or mucosal melanoma</li> <li>2. Evidence of distant metastases at screening, and specific cardiac abnormalities.</li> </ol>
Interventions	<p>Arm 1: daromun plus surgery and adjuvant therapy</p> <p>4-week period of neoadjuvant treatment with daromun (L19IL2/L19TNF) via intratumoural injection, followed by surgery, then at investigators discretion commencing adjuvant therapy within four weeks of surgery.</p> <p>Arm 2: surgery and adjuvant therapy</p> <p>Surgery within 4 weeks of randomisation followed by adjuvant therapy at investigators discretion.</p>
Outcomes	<p>Primary</p> <ul style="list-style-type: none"> <li>• RFS assessed up to 60 months</li> </ul> <p>Secondary</p>



**NCT03567889** (Continued)

- OS (assessed up to 72 months)
- RFS as assessed by local investigator
- pCR (intervention arm only)
- Safety

Starting date	20 September 2018
Contact information	Contact: regulatory@philogen.com
Notes	

**NCT03698019**

Study name	<a href="#">NCT03698019</a>
Methods	Phase II open-label, multicentre RCT
Participants	<p>Inclusion criteria: stage III or IV resectable melanoma, including those with mucosal or acral origin, medically fit and adequate organ function. Prior non-immunotherapy adjuvant treatment is permitted.</p> <p>Exclusion criteria: uveal melanoma, previous neoadjuvant treatment for melanoma, previous immunotherapy, active autoimmune disease.</p>
Interventions	<p>Arm A: adjuvant pembrolizumab, commencing within 84 days after surgical resection, at a dose of 200 mg IV every 3 weeks for up to 18 cycles in the absence of disease progression or unacceptable toxicity.</p> <p>Arm B: neoadjuvant pembrolizumab, 200 mg IV every 3 weeks for 3 cycles, then surgery within 3 weeks. Within 84 days, participants commence adjuvant pembrolizumab at a dose of 200 mg IV every 3 weeks or up to 15 cycles in the absence of disease progression or unacceptable toxicity.</p>
Outcomes	<p>Primary outcome measures</p> <ul style="list-style-type: none"> <li>• Event-free survival (EFS)</li> </ul> <p>Secondary outcome measures relevant to this review</p> <ul style="list-style-type: none"> <li>• Overall survival</li> <li>• Pathologic response rate</li> <li>• Objective response rate.</li> </ul>
Starting date	6 December 2018
Contact information	Not specified
Notes	People with mucosal and acral melanoma are eligible for this study, but excluded from the systematic review protocol

**NCT04133948**

Study name	<a href="#">NCT04133948</a>
Methods	Phase Ib, multicentre, open-label RCT

**Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)**

**NCT04133948** (Continued)

Participants	<p>Inclusion criteria: <math>\geq 18</math> years, with resectable stage III cutaneous melanoma, normal LDH and WHO performance status 0 to 1.</p> <p>Exclusion criteria: uveal or mucosal melanoma, distantly metastasised melanoma, history of in-transit metastases within the last 6 months, active autoimmune disease, prior checkpoint inhibitor therapy or targeted BRAF/MEK treatment, prior radiation therapy, significant cardiovascular disease.</p>
Interventions	<p>Arm A: for IFN-gamma high patients, participants will receive presurgically 2 courses nivolumab 240 mg IV every 3 weeks</p> <p>Arm B: for IFN-gamma high patients, participants will receive presurgically 2 courses nivolumab 240 mg IV every 3 weeks plus domatinostat 200 mg twice daily on days 1 to 14 every 3 weeks</p> <p>Arm C: for IFN-gamma low patients, participants will receive presurgically 2 courses nivolumab 240 mg every 3 weeks + domatinostat 200 mg twice daily, on days 1 to 14 every 3 weeks</p> <p>Arm D: for IFN-gamma low patients, participants will receive presurgically 2 courses nivolumab 240 mg every 3 weeks + ipilimumab 80 mg every 3 weeks + domatinostat. Participants in arm D will start with once-daily dosing scheme of domatinostat 200 mg, on days 1 to 14 every 3 weeks. Based on safety data of the first 5 participants in this arm, the next participants will be treated with either a higher dosing scheme (200 mg twice daily, days 1 to 14, every 3 weeks), a lower dosing scheme (100 mg once daily, days 1 to 14, every 3 weeks), or the same dosing scheme (200 mg once daily, days 1 to 14, every 3 weeks).</p>
Outcomes	<p>Primary</p> <ul style="list-style-type: none"> <li>Safety of participants as measured by the adherence to the timelines in the study protocol</li> <li>Feasibility of participants as measured by the adherence to the timelines in the study protocol</li> </ul> <p>Secondary</p> <ul style="list-style-type: none"> <li>Pathologic response rates (pPR, near-pCR, and pCR) at 6 weeks</li> <li>Frequency of treatment-related toxicities as measured according to CTCAE 5.0.</li> <li>Radiologic response rate according to RECIST 1.1 criteria</li> <li>Relapse-free survival (RFS)</li> <li>Quality of life</li> </ul>
Starting date	27 December 2019
Contact information	<p>Contact: Christian Blank, Prof. +31205129111 <a href="mailto:c.blank@nki.nl">c.blank@nki.nl</a></p> <p>Contact: Irene Reijers, MD +31205129111 <a href="mailto:i.reijers@nki.nl">i.reijers@nki.nl</a></p>
Notes	

**NCT04139902**

Study name	<a href="#">NCT04139902</a>
Methods	Phase II open-label RCT
Participants	Adults aged over 18 years with stage III B/C/D or oligometastatic stage IV A melanoma with lymph node (LN) and/or in-transit and/or oligometastatic disease who have yet to undergo definitive surgery are eligible to enrol.

**NCT04139902** (Continued)

Inclusion Criteria: adults aged  $\geq 18$  years with stage IIIB, IIIC, IIID or IVA cutaneous melanoma (as per AJCC v 8 staging criteria), who have yet to undergo definitive surgery, have ECOG PS 0 to 1 and adequate organ function.

Exclusion criteria: uveal or mucosal melanoma, active autoimmune disease, prior treatment with a checkpoint inhibitor, IDO inhibitor, active central nervous system metastases.

Interventions

Arm 1: dostarlimab (TSR-042)

Preoperative phase: dostarlimab (TSR-042) 500 mg IV, on cycle 1 day 1, and then again on cycle 2 day 1.

Postoperative phase: dostarlimab (TSR-042) 500 mg IV for 4 doses every 3 weeks (cycles 3 to 4) and then 1000 mg IV every 6 weeks for 6 doses (cycles 5 to 10) for approximately 48 weeks.

Arm 2: dostarlimab (TSR-042) and TSR-022 (combination)

Preoperative phase: dostarlimab (TSR-042) 500 mg and TSR-022 300 mg will be administered through an IV over 30 minutes, on cycle 1 day 1 and then again on cycle 2 day 1.

Postoperative phase: dostarlimab (TSR-042) will be administered through an IV over 30 minutes for 4 doses every 3 weeks (cycles 3 to 4), and then 1000 mg will be administered through an IV over 30 minutes every 6 weeks for 6 doses (cycles 5 to 10) for approximately 48 weeks. TSR-022 will not be administered in the postoperative phase .

Outcomes

Primary

- Major pathologic response

Secondary outcomes relevant to this review

- Number of participants experiencing adverse events attributed to treatment
- Frequency of delays in surgery
- Frequency of cancellations of surgery
- Relapse-free survival
- Overall survival

Starting date

Not specified

Contact information

Diwakar Davar, MD [davard@upmc.edu](mailto:davard@upmc.edu)

Amy J Rose, BSN [kennaj@upmc.edu](mailto:kennaj@upmc.edu)

Notes

**NCT04303169**

Study name

MSD2020

Methods

Phase I/II open-label, multicentre, rolling-arm umbrella platform design

Participants

Inclusion criteria: confirmed stage IIIB, IIIC or IIID melanoma amenable to surgery, adequate organ function.

Exclusion criteria: uveal or mucosal melanoma, active autoimmune disease of current immunosuppressive therapy, not naive to talimogene laherparepvec and other oncolytic viruses.

Interventions

Arm 1: neoadjuvant pembrolizumab (dose not specified), tumour resection surgery, then adjuvant pembrolizumab for a total duration of treatment of approximately 1 year.

**Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)**

**NCT04303169** (Continued)

Arm 2: neoadjuvant pembrolizumab (dose not specified) in combination with V937 (other names Cocksackievirus CVA21, dose not specified), tumour resection surgery, then adjuvant pembrolizumab for a total duration of treatment of approximately one year.

Arm 3: neoadjuvant pembrolizumab (dose not specified) in combination with vibostolimab (dose not specified), tumour resection surgery, then adjuvant pembrolizumab for a total duration of treatment of approximately one year.

Outcomes	<p>Primary outcomes</p> <ul style="list-style-type: none"> <li>Percentage of participants who experience an adverse event (AE)</li> <li>Percentage of participants who discontinue study treatment due to an AE</li> <li>Pathological complete response (pCR) rate</li> </ul> <p>Secondary outcomes relevant to this review</p> <ul style="list-style-type: none"> <li>Near pathological complete response (near pCR) rate</li> <li>Pathological partial response (pPR) rate</li> <li>Recurrence-free survival (RFS)</li> </ul>
Starting date	Not specified
Contact information	Not specified
Notes	Study sponsored by Merck Sharpe and Dohme

**NCT04401995**

Study name	<a href="#">NCT04401995</a>
Methods	Phase II, randomised, open-label trial
Participants	<p>Inclusion criteria: aged 18 years and older, with stage IIIB-IIID cutaneous (or unknown primary) melanoma with palpable nodal disease and/or in-transit disease who have yet to undergo definitive surgery are eligible to enrol, with measurable disease and ECOG PS 0 to 1.</p> <p>Exclusion criteria include uveal or mucosal melanoma, active CNS metastases, prior treatment with anti-PD1/anti-PD-L1/anti-PD-L2/anti-CD137/ BRAF/MEK inhibitors, ongoing immunosuppressive therapy, history of allergic or hypersensitivity reaction of IFN-alpha or ipilimumab.</p>
Interventions	<p>Both arms: following the prime phase and restaging systemic scans, participants will undergo surgical resection.</p> <p>Arm 1: nivolumab and CMP-001 combination</p> <p>Prime phase - nivolumab 240 mg IV, every 2 weeks starting with cycle 2 (cycles 2, 4, 6) for 6 weeks in combination with CMP-001 5 mg subcutaneous 1st dose, and the remaining once weekly injections, 10 mg intra-tumorally will be administered weeks 2 to 7.</p> <p>Boost phase - nivolumab 480 mg IV, every 4 weeks and CMP-001 5 mg subcutaneous every 4 weeks up to 48 weeks.</p> <p>Arm 2: nivolumab</p> <p>Prime phase - nivolumab 240 mg IV, every 2 weeks starting with cycle 2 (cycles 2, 4, 6) for 6 weeks.</p> <p>Boost phase - nivolumab 480 mg IV, every 4 weeks starting from the time of surgery recovery for up to 48 weeks.</p>

**NCT04401995** (Continued)

Outcomes	Primary outcomes <ul style="list-style-type: none"> <li>Immune-related major pathological response rate</li> </ul> Secondary outcomes relevant to this review <ul style="list-style-type: none"> <li>Tumour PET response via [18F]F-AraG</li> <li>RFS</li> <li>OS</li> <li>Adverse events</li> </ul>
Starting date	Not specified
Contact information	Contact: Amy Rose, <a href="mailto:RN.kennaj@upmc.edu">RN.kennaj@upmc.edu</a> Contact: Tiffany Devine, <a href="mailto:RN.devinet13@upmc.edu">RN.devinet13@upmc.edu</a>
Notes	

**NCT04708418**

Study name	Phase II Randomized Study of Neoadjuvant Pembrolizumab Alone or in Combination With CMP-001 in Patients With Operable Melanoma: Efficacy and Biomarker Study
Methods	Phase II randomised, single-blind trial Estimated enrolment: 54 participants
Participants	Adults aged $\geq 18$ years, ECOG PS 0 to 1, resectable T0, Tx or T1-4; and N2b, N2c, N3b or N3c melanoma with injectable lesions. People with mucosal or uveal melanoma are excluded.
Interventions	Experimental: arm A (pembrolizumab) Neoadjuvant phase: participants receive pembrolizumab IV over 30 minutes on day 1. Treatment repeats every 21 days for up to 3 cycles in the absence of disease progression or unacceptable toxicity. Surgery: participants undergo surgery 1 to 2 weeks after completion of neoadjuvant phase. Adjuvant phase: after recovery from surgery, participants receive pembrolizumab IV over 30 minutes on day 1 of every other cycle. Treatment repeats every 21 days for up to 16 cycles in the absence of disease progression or unacceptable toxicity. Experimental: arm B (CMP-001, pembrolizumab) Neoadjuvant phase: participants receive CMP-001 SC on day 1 of cycle 1 and then intratumorally on days 8 and 15 of cycle 1, days 1, 8, and 15 of cycle 2, and day 1 of cycle 3. Participants also receive pembrolizumab IV over 30 minutes on day 8 of each cycle. Treatment repeats every 21 days for up to 3 cycles in the absence of disease progression or unacceptable toxicity. Surgery: participants undergo surgery 1 to 2 weeks after completion of neoadjuvant phase. Adjuvant phase: after recovery from surgery, participants receive pembrolizumab IV over 30 minutes on day 1 of every other cycle. Treatment repeats every 21 days for up to 16 cycles in the absence of disease progression or unacceptable toxicity.
Outcomes	Primary: <ul style="list-style-type: none"> <li>pCR</li> </ul>

**Neoadjuvant treatment for stage III and IV cutaneous melanoma (Review)**

**NCT04708418** (Continued)

Secondary:

- ORR
- RFS
- OS
- Safety

Starting date

19 March 2021

Estimated primary completion date: November 2021

Contact information

Principal Investigator: Ahmad Tarhini ECOG-ACRIN Cancer Research Group

Sponsored by the National Cancer Institute

Notes

**NCT04722575**

Study name

Neoadjuvant plus adjuvant therapy with combination or sequence of vemurafenib, cobimetinib, and atezolizumab in patients with high-risk, surgically resectable BRAF mutated and wild-type melanoma

Methods

Phase II, open-label RCT

Participants

People aged 18 years and over, with stage IIIB, IIIC, IIID or IV resectable melanoma, known BRAF mutation status, ECOG PS 0 to 1

Interventions

Arm A BRAF-mutated patients. Over a period of 6 weeks (1) + (2):

1. Vemurafenib 960 mg bid p.o. from week 1 to week 6.
2. Cobimetinib 60 mg qd p.o. from week 1 to week 3 and week 5 to week 6. Week 4 off.

After surgery and a second screening period (up to 6 weeks): atezolizumab 1200 mg IV for 52 weeks

Arm B BRAF-mutated patients. Over a period of 6 weeks (1) + (2) + (3):

1. Vemurafenib 720 mg bid p.o. from week 1 to week 6.
2. Cobimetinib 60 mg qd p.o. from week 1 to week 3 and from week 5 to week 6. Week 4 off.
3. Atezolizumab 840 mg IV for 2 cycles (day 1 of week 4 and day 1 of week 7).

After surgery and a second screening period (up to six weeks): atezolizumab 1200 mg IV for 52 weeks

Arm C BRAF-WT patients. Over a period of six weeks (1) + (2):

1. Cobimetinib 60 mg qd p.o. from week 1 to week 3 and from week 5 to week 6,
2. Atezolizumab 840 mg IV for 2 cycles (day 1 of week 1 and day 1 of week 4).

After surgery and a second screening period (up to six weeks): atezolizumab 1200 mg IV for 52 weeks

Outcomes

Primary:

- pCR

Secondary:

- RFS



**NCT04722575** (Continued)

- OS
- Safety

Starting date	October 2020, primary completion date March 2022
Contact information	Marcello Curvietto curvietto.ma@gmail.com Paola Schiavo neotim@cr-technology.com
Notes	

**NCT04949113**

Study name	NADINA
Methods	Randomised open-label phase III trial
Participants	<p>Projected enrolment 420 participants</p> <p>Inclusion criteria</p> <ul style="list-style-type: none"> <li>• Men and women aged &gt; 16 years</li> <li>• WHO performance status 0 to 1</li> <li>• Cytologically or histologically confirmed resectable stage III melanoma of cutaneous or unknown primary origin with one or more macroscopic lymph node metastases (clinical detectable), that can be biopsied and a maximum of 3 additional resectable in-transit metastases</li> <li>• No prior immunotherapy targeting CTLA-4, PD-1 or PD-L1 or prior targeted therapy targeting BRAF and/or MEK</li> <li>• LDH level &lt; 1.5 x ULN</li> </ul> <p>Exclusion criteria:</p> <ul style="list-style-type: none"> <li>• Distantly metastasised melanoma</li> <li>• Uveal/ocular or mucosal melanoma</li> <li>• In-transit metastases only (without cytological or histological proven lymph node involvement)</li> <li>• Subjects with any active autoimmune disease or a documented history of autoimmune disease, or history of syndrome that required systemic steroids or immunosuppressive medications. Subjects with resolved childhood asthma/atopy, type I diabetes mellitus, residual hypothyroidism due to autoimmune thyroiditis only requiring hormone replacement, skin disorders (such as vitiligo, psoriasis, or alopecia) not requiring systemic treatment, are permitted to enrol</li> <li>• Prior radiotherapy</li> </ul>
Interventions	<p>Experimental arm: 2 cycles of neoadjuvant ipilimumab (80 mg) + nivolumab (240 mg) every 3 weeks followed by a total lymph node dissection (TLND) and if applicable, resection of in-transit metastases.</p> <p>Participants not achieving a pathologic response in arm A will also receive adjuvant nivolumab 480 mg every 4 weeks, 11 cycles. In case of BRAF V600E/K mutation-positivity, participants will be treated with adjuvant dabrafenib plus trametinib for 46 weeks instead.</p> <p>Control arm: standard upfront total lymph node dissection and if applicable, resection of in-transit metastases followed by 12 cycles adjuvant nivolumab 480 mg every 4 weeks.</p>
Outcomes	<p>Primary</p> <ul style="list-style-type: none"> <li>• Event-free survival, defined as time from randomisation to melanoma progression (irresectable stage III or stage IV disease), melanoma recurrence, treatment-related death, or melanoma-relat-</li> </ul>

**NCT04949113** (Continued)

ed death, whichever occurs first. Occurrence of a new primary melanoma during treatment/follow-up is also regarded as an event. Presurgical resectable progression to stage III disease in arm A is not defined as an event, even as death to another reason than melanoma or the study treatment.

Secondary outcomes of relevance to this review

- Recurrence free survival (RFS), defined as time between date of surgery and date of melanoma recurrence, treatment-related death or melanoma-related death, whichever occurs first.
- Distant metastases-free survival, defined as time between date of randomisation and date of first distant metastasis, treatment-related death or melanoma-related death, whichever occurs first
- Overall survival
- Pathologic response rate in the neoadjuvant arm
- Rate of immune-related adverse events
- Description of surgical morbidity, according to the Clavien-Dindo surgical classification
- Evaluation of health-related quality of life
- Cost-effectiveness measured by the incremental cost-effectiveness ratio

Starting date	Expected 8 July 2021
Contact information	Prof Christian Blank, c.blank@nki.nl
Notes	

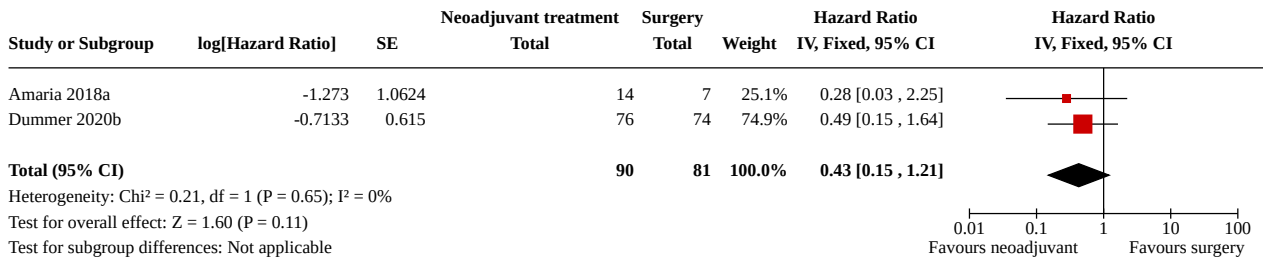
AEs: adverse events; AJCC: American Joint Committee on Cancer; BRAF: v-raf murine sarcoma viral oncogene homolog B1; CLND: complete lymph node dissection; CNS: central nervous system; CTCAE: common terminology criteria for adverse events; CTLA-4: cytotoxic T-lymphocyte-associated antigen-4; DFS: disease-free survival; ECOG PS: European Cooperative Oncology Group Performance Status; EFS: event free survival; HSV: herpes simplex virus; IDO: indoleamine 2,3-dioxygenase; IFN: interferon; IL-2: interleukin-2; ITT: intention-to-treat; IV: intravenous; LDH: lactate dehydrogenase; LDH < ULN: lactate dehydrogenase less than the upper limit of normal; MEK: Mitogen-activated protein kinase; N/A: not applicable; ORR: overall response rate; OS: overall survival; pCR: pathological complete response; PD: programmed death; PD-L1: programmed death ligand-1; PET: positron emission tomography; PFS: progression-free survival; pPR: pathological partial response; RECIST: Response Evaluation Criteria in Solid Tumours; SC: subcutaneously; Tim-3: T cell immunoglobulin and mucin-domain containing-3; TRAEs: treatment-related adverse events; TTR: time to recurrence; T-VEC: talimogene laherparepvec; WHO: World Health Organization; WT: wild-type

## DATA AND ANALYSES

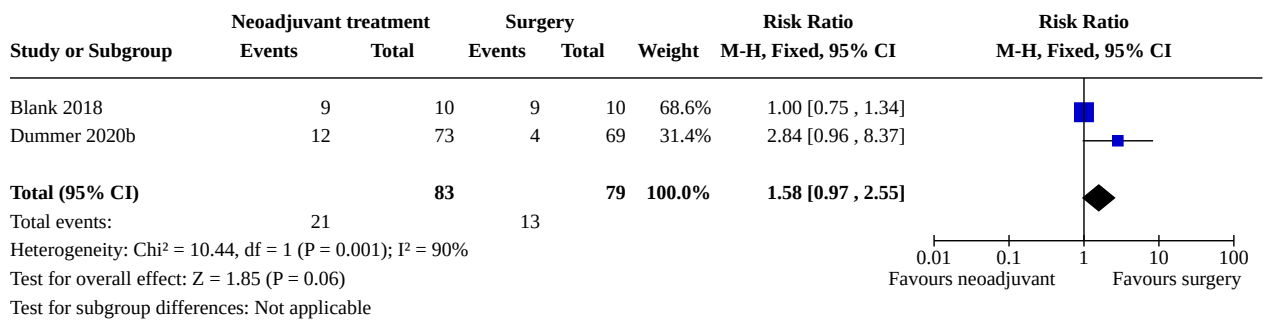
### Comparison 1. Neoadjuvant treatment compared to no neoadjuvant treatment

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1.1 Overall survival	2	171	Hazard Ratio (IV, Fixed, 95% CI)	0.43 [0.15, 1.21]
1.2 Adverse events	2	162	Risk Ratio (M-H, Fixed, 95% CI)	1.58 [0.97, 2.55]
1.3 Time to recurrence	2		Hazard Ratio (IV, Fixed, 95% CI)	0.51 [0.22, 1.17]

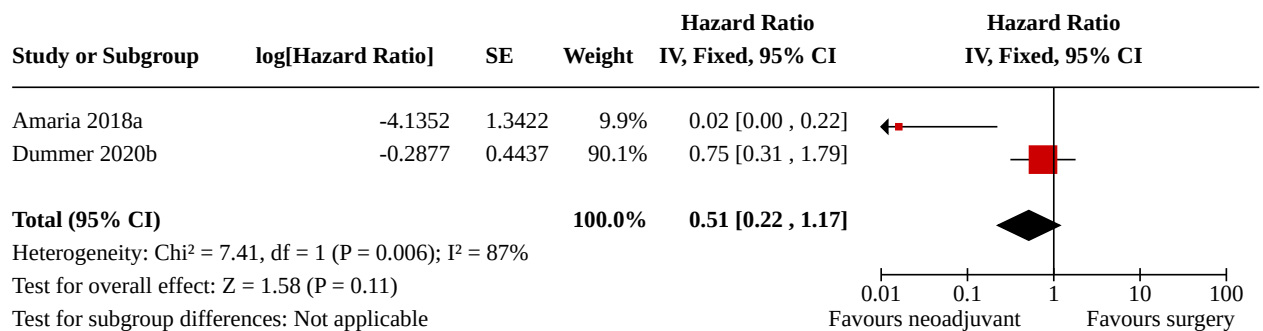
**Analysis 1.1. Comparison 1: Neoadjuvant treatment compared to no neoadjuvant treatment, Outcome 1: Overall survival**



**Analysis 1.2. Comparison 1: Neoadjuvant treatment compared to no neoadjuvant treatment, Outcome 2: Adverse events**



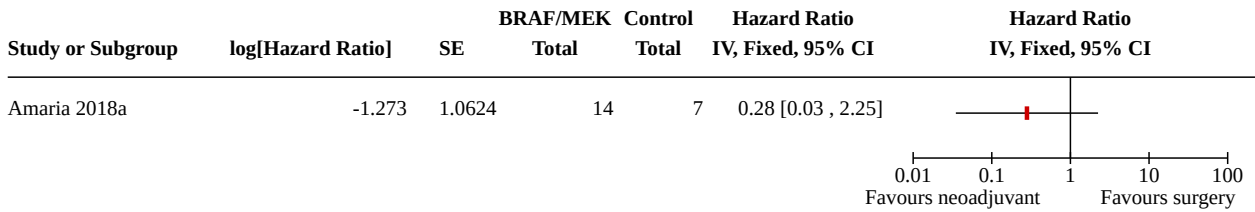
**Analysis 1.3. Comparison 1: Neoadjuvant treatment compared to no neoadjuvant treatment, Outcome 3: Time to recurrence**



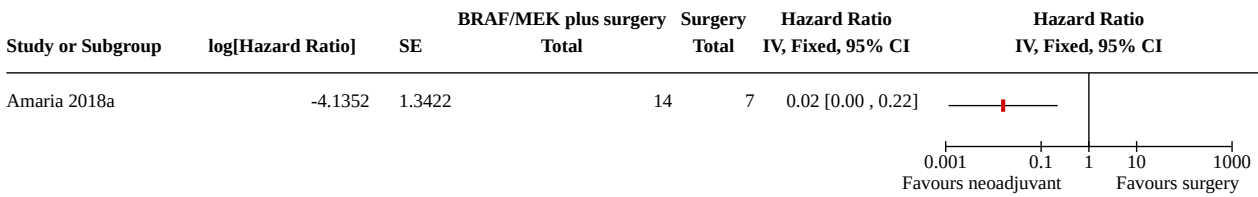
**Comparison 2. Neoadjuvant targeted treatment (BRAF/MEK inhibition) compared to no neoadjuvant treatment**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
2.1 Overall survival	1		Hazard Ratio (IV, Fixed, 95% CI)	Totals not selected
2.2 Time to recurrence	1		Hazard Ratio (IV, Fixed, 95% CI)	Totals not selected

**Analysis 2.1. Comparison 2: Neoadjuvant targeted treatment (BRAF/MEK inhibition) compared to no neoadjuvant treatment, Outcome 1: Overall survival**



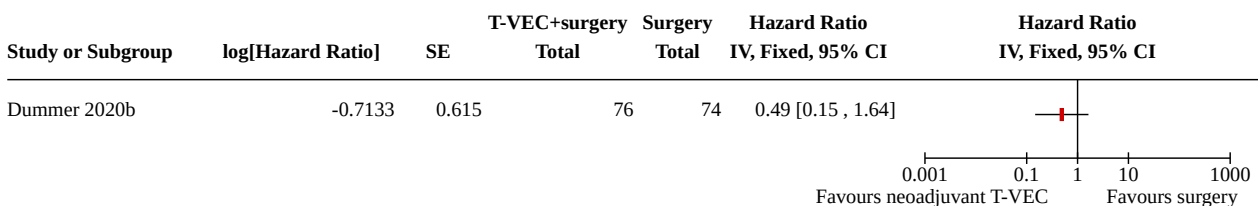
**Analysis 2.2. Comparison 2: Neoadjuvant targeted treatment (BRAF/MEK inhibition) compared to no neoadjuvant treatment, Outcome 2: Time to recurrence**



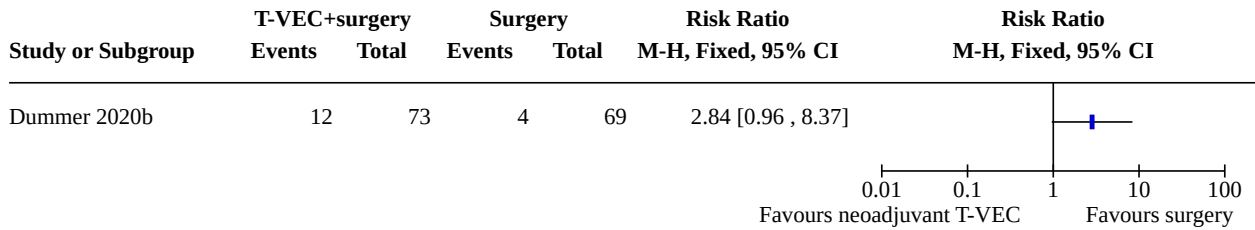
**Comparison 3. Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
3.1 Overall survival	1		Hazard Ratio (IV, Fixed, 95% CI)	Totals not selected
3.2 Adverse events	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
3.3 Time to recurrence	1		Hazard Ratio (IV, Fixed, 95% CI)	Totals not selected

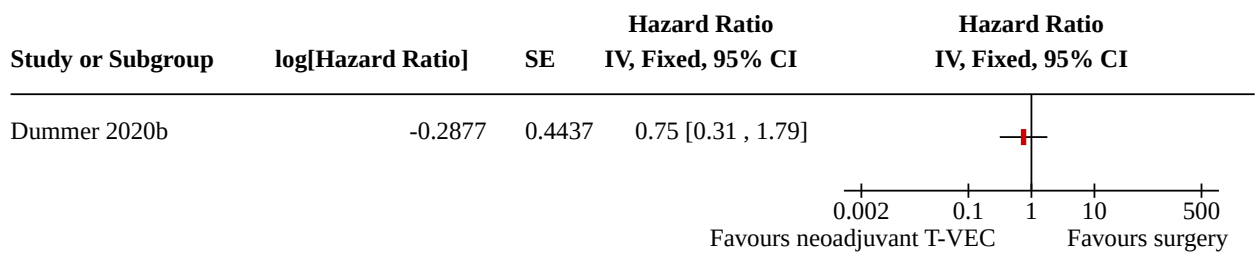
**Analysis 3.1. Comparison 3: Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment, Outcome 1: Overall survival**



**Analysis 3.2. Comparison 3: Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment, Outcome 2: Adverse events**



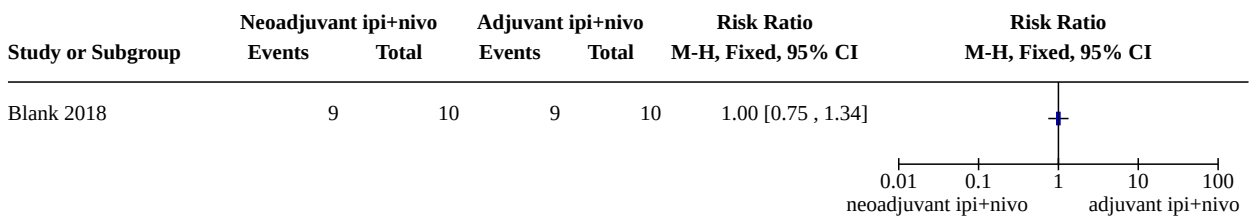
**Analysis 3.3. Comparison 3: Neoadjuvant immunotherapy (talimogene laherparepvec) compared to no neoadjuvant treatment, Outcome 3: Time to recurrence**



**Comparison 4. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to adjuvant immunotherapy (combined ipilimumab and nivolumab)**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
<a href="#">4.1 Adverse events</a>	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

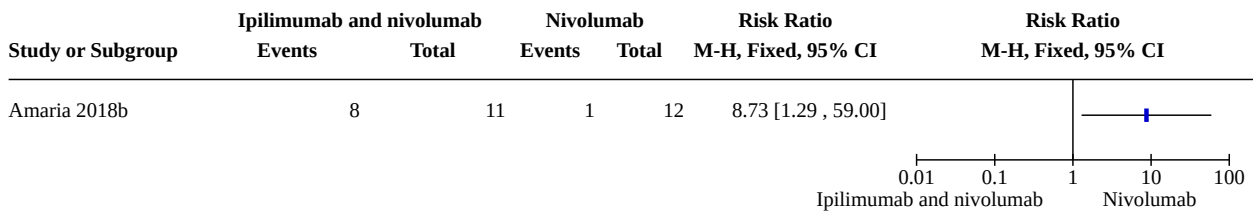
**Analysis 4.1. Comparison 4: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to adjuvant immunotherapy (combined ipilimumab and nivolumab), Outcome 1: Adverse events**



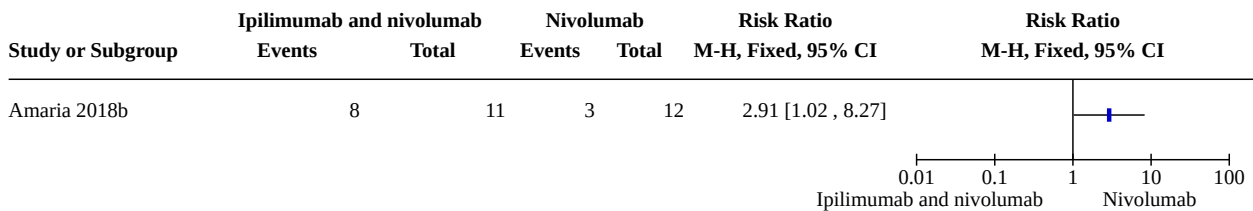
**Comparison 5. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab)**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
5.1 Adverse events	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
5.2 Overall response rate	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
5.3 Pathological Complete Response	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

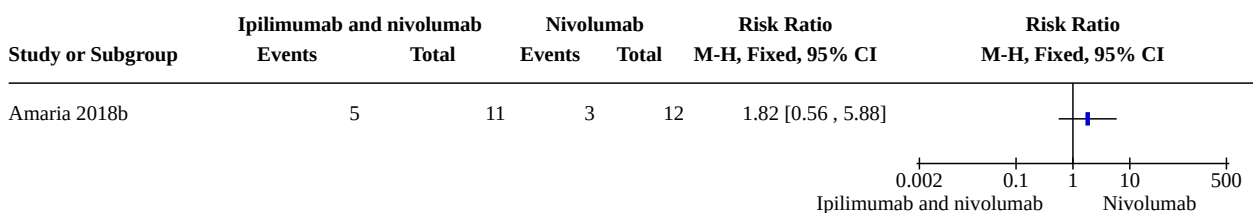
**Analysis 5.1. Comparison 5: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab), Outcome 1: Adverse events**



**Analysis 5.2. Comparison 5: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab), Outcome 2: Overall response rate**



**Analysis 5.3. Comparison 5: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab) compared to neoadjuvant immunotherapy (nivolumab), Outcome 3: Pathological Complete Response**

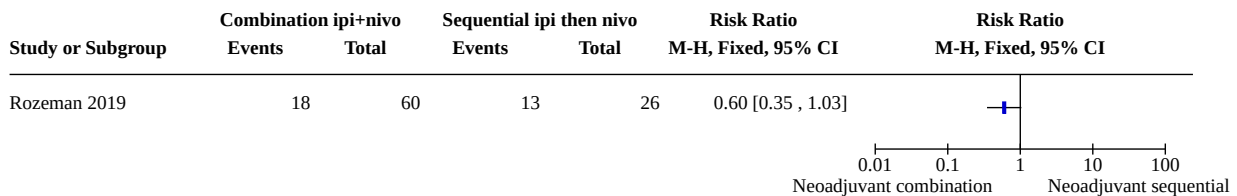




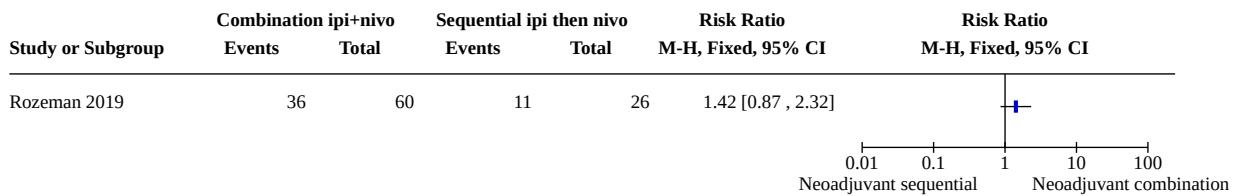
**Comparison 6. Neoadjuvant immunotherapy (combined ipilimumab and nivolumab (2 different dosing regimens) compared to neoadjuvant immunotherapy (sequential ipilimumab then nivolumab))**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
6.1 Adverse events	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
6.2 Overall response rate	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
6.3 Pathological complete response	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

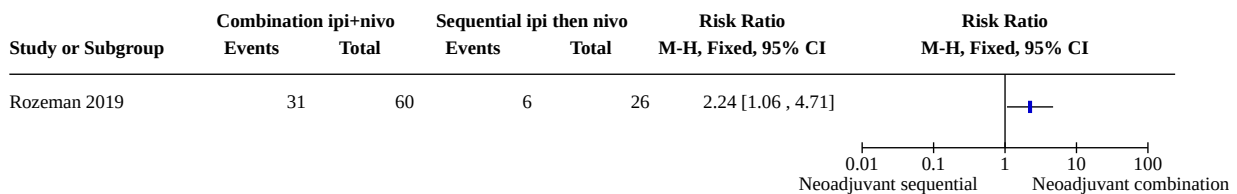
**Analysis 6.1. Comparison 6: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab (2 different dosing regimens) compared to neoadjuvant immunotherapy (sequential ipilimumab then nivolumab), Outcome 1: Adverse events**



**Analysis 6.2. Comparison 6: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab (2 different dosing regimens) compared to neoadjuvant immunotherapy (sequential ipilimumab then nivolumab), Outcome 2: Overall response rate**



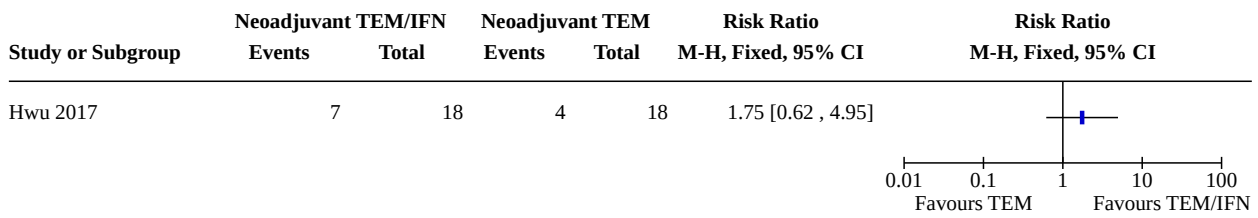
**Analysis 6.3. Comparison 6: Neoadjuvant immunotherapy (combined ipilimumab and nivolumab (2 different dosing regimens) compared to neoadjuvant immunotherapy (sequential ipilimumab then nivolumab), Outcome 3: Pathological complete response**



**Comparison 7. Neoadjuvant immunotherapy (high dose interferon) and chemotherapy compared to neoadjuvant chemotherapy**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
7.1 Overall response rate	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

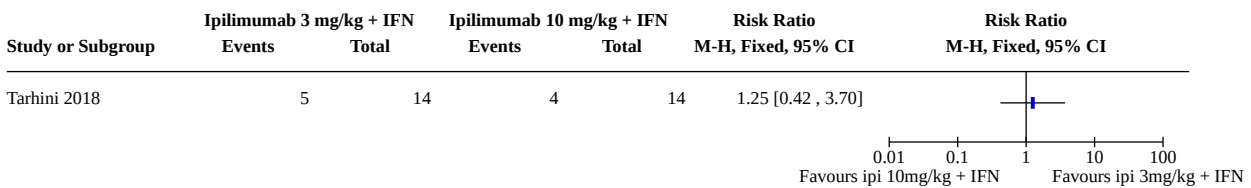
**Analysis 7.1. Comparison 7: Neoadjuvant immunotherapy (high dose interferon) and chemotherapy compared to neoadjuvant chemotherapy, Outcome 1: Overall response rate**



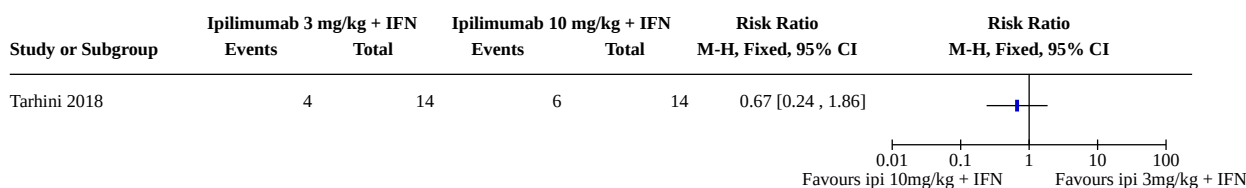
**Comparison 8. Neoadjuvant immunotherapy (ipilimumab 10mg/kg plus high-dose interferon) compared to neoadjuvant immunotherapy (ipilimumab 3mg/kg plus high-dose interferon)**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
8.1 Pathological complete response	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
8.2 Objective response rate	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

**Analysis 8.1. Comparison 8: Neoadjuvant immunotherapy (ipilimumab 10mg/kg plus high-dose interferon) compared to neoadjuvant immunotherapy (ipilimumab 3mg/kg plus high-dose interferon), Outcome 1: Pathological complete response**



**Analysis 8.2. Comparison 8: Neoadjuvant immunotherapy (ipilimumab 10mg/kg plus high-dose interferon) compared to neoadjuvant immunotherapy (ipilimumab 3mg/kg plus high-dose interferon), Outcome 2: Objective response rate**



**ADDITIONAL TABLES**

**Table 1. Contact with authors**

Date contacted	Information requested	Date replied	Information received
20 July 2018	We contacted the Istituto Tumori to obtain information on the current status of EUDRACT2014-000334-30.	N/A	N/A
20 July 2018	We contacted the regulatory department of Philogen to obtain information on the current status of EUDRACT 2015-002549-72/NCT02938299.	N/A	N/A
21 August 2018 28 November 2018	We contacted the named Principal Investigator, and the specified results point of contact, to obtain more in-depth information on the trial design and results (NCT00525031).	N/A	N/A
21 August 2018	We contacted Dr Rozeman to obtain a copy of a poster published at ASCO and identified through handsearching of abstracts. (NCT02437279)	23 August 2018	Directed to publication due shortly in Nature Medicine (published 8 October 2018 and used as the primary reference source for the review).
7 November 2018	We contacted Dr C Blank to obtain additional information on the NCT02437279 trial (Blank 2018), specifically: <ul style="list-style-type: none"> <li>Were patients with lentigo maligna/mucosal/uveal melanoma permitted to enter the study? If yes, were any patients with these melanomas enrolled on the study?</li> <li>What definition of pathological complete response was used in the trial?</li> <li>What definition of relapse-free survival was used for the published results?</li> <li>Would you have data on overall response rate for the trial that you would be able to share publicly?</li> <li>Can you provide hazard ratios and accompanying 95% confidence intervals for the published overall survival and relapse-free survival curves, that you would be able to share publicly?</li> </ul> (NCT02437279)	10 November 2018 15 November 2018	No patients with lentigo maligna/mucosal/uveal melanoma were enrolled in the study.  INMC scoring criteria were used to define pathological complete response in the trial (Tetzlaff 2018).  The definition of relapse-free survival is as per published paper.  Radiographic ORR data provided.  HRs and 95% confidence intervals cannot be provided as the trial was not powered for this comparison.

**Table 1. Contact with authors** (Continued)

30 October 2018	<p>We contacted the lead authors of the Combi-Neo trial, Drs Amaria, Wargo and Burton, seeking some additional information as follows.</p> <ul style="list-style-type: none"> <li>• Were patients with lentigo maligna/mucosal/uveal melanoma permitted to enter the study? If yes, were any patients with these melanomas enrolled on the study?</li> <li>• Were there any quality of life assessments conducted as part of the study?</li> <li>• Was there any formal assessment of perioperative complications as part of the study, and if so would it be possible to share the results?</li> <li>• Could you share some more detail on the methods used to randomise patients and minimise bias?</li> <li>• Were any additional outcomes prespecified in the trial protocol that were not included in the published paper?</li> </ul> <p>(NCT02231775)</p>	2 November 2018	<p>Patients with lentigo maligna, mucosal or uveal melanoma were eligible for the study, but no patients were enrolled.</p> <p>No quality of life assessments were conducted as part of the study.</p> <p>There was no formal assessment of perioperative complications as part of the study.</p> <p>Randomisation was based on stage (IIIB/IIIC/M1a vs M1B/M1C).</p> <p>There were no additional prespecified outcomes in the trial protocol.</p>
27 May 2021	<p>We contacted the lead author, Dr Tarhini, to obtain the trial protocol and OS and PFS outcomes disaggregated by treatment arm.</p> <p>(NCT01608594)</p>	N/A	N/A
28 May 2021	<p>We contacted the lead author, Dr Albertini, to obtain the trial protocol and OS and RFS outcomes disaggregated by treatment arm. (NCT00590824)</p>	N/A	N/A
21 August 2018	<p>We contacted the lead author, Dr Andtbacka, to obtain more in-depth information on the trial NCT02211131, including a copy of a poster presented at ASCO 2018.</p>	N/A	N/A
14 January 2019	<p>We contacted Dr de Gruijl to obtain information regarding eligibility for inclusion in the review, specifically:</p> <ul style="list-style-type: none"> <li>• The paper (<a href="#">van den Hout 2013</a>) describes a pooled analysis of two trials; are the results of these trials published separately?</li> <li>• Were Stage III patients recruited to these trials?</li> </ul>	14 November 2019	<p>Recruited patients were clinical stage I or II, based on initial diagnosis by Breslow thickness. A publication was provided with additional information (<a href="#">Koster 2017</a>).</p>
10 May 2022	<p>We contacted the named principle investigator of NCT04139902 to determine if <a href="#">Kelly 2019</a> was in fact referring to this RCT.</p>	10 May 2022	<p>Confirmed that <a href="#">Kelly 2019</a> is referring to NCT04139902.</p>

ASCO: American Society for Clinical Oncology; N/A: Not applicable; OS: overall survival; PFS: progression free survival; RCT: randomised controlled trial

## APPENDICES

### Appendix 1. Glossary

Term	Explanation
<b>Abscopal effect</b>	The abscopal effect is a phenomenon in which localised radiotherapy of a tumour is associated with the regression of other tumours at a distance from the irradiated site, likely mediated by activation of the immune system. The underlying molecular mechanism of the abscopal effect is poorly understood.
<b>Adjuvant treatment</b>	Treatment given to patients after the primary therapy, which is usually surgical removal of the tumour, when there is a high risk of future recurrence based on tumour stage and histology.
<b>Adverse event</b>	An unfavourable outcome that occurs during or after the use of a drug or other intervention, but is not necessarily caused by it.
<b>Alkylating agent</b>	A type of drug that is used in the treatment of cancer. It interferes with the cell's DNA and inhibits cancer cell growth (NCI 2021).
<b>AJCC TNM staging</b>	A system for disease staging that assigns the pathological disease stage according to the T (primary tumour), N (regional lymph nodes) and M (distant metastasis) status of the melanoma. Stage IV melanoma includes all patients with metastases to distant sites.
<b>Angiogenesis</b>	The formation of new blood vessels.
<b>Anti-angiogenic</b>	Anti-angiogenic agents act to prevent new blood vessels, which tumours require to grow, from forming.
<b>Anti-vascular epithelial growth factor (VEGF) monoclonal antibody</b>	A substance that binds to receptors disabling a signalling protein called vascular endothelial growth factor (VEGF). VEGF may be found on some types of cancer cells and stimulates the formation of blood vessels. There are different types of anti-VEGF monoclonal antibodies being studied in the treatment of cancer. These substances are a type of angiogenesis inhibitor (i.e. may prevent the growth of new blood vessels that tumours need to grow) and a type of monoclonal antibody (NCI 2021).
<b>Bias</b>	Bias is defined as a systematic error, or deviation from the truth, in results. Biases can lead to under-estimation or over-estimation of the true intervention effect and can vary in magnitude: some are small (and trivial compared with the observed effect) and some are substantial (so that an apparent finding may be due entirely to bias) (Boutron 2021).
<b>BRAF mutation</b>	Serine/threonine-protein kinase B-Raf, an oncogene occurring in ~50% cutaneous melanoma.
<b>BRAF, RAS, NF1 and Triple Wild Type</b>	Classification of melanomas based on four genomic categories, proposed by the Cancer Genome Atlas.
<b>Checkpoint inhibitors</b>	Drugs that "block 'checkpoint' proteins that stop the immune system from attacking the cancer cells" (NCI 2021); these agents override the signalling/activation of immune system checkpoints, encouraging the immune system to recognise cancer and generate an immune response directed towards the cancer. Checkpoint inhibitors used in clinical practice for the treatment of melanoma include PD-1 and CTLA-4 targeted agents.
<b>CTCAE</b>	Common Terminology Criteria for Adverse Events, is a descriptive terminology which can be utilised for AE reporting. A grading or severity term is provided for each AE term.
<b>Cytokine</b>	A protein made by certain immune and non-immune cells which acts on the immune system. Cytokines can stimulate or inhibit the immune system. Exogenous cytokines are used to help the body fight cancer, infections, and other diseases. Examples of cytokines are interleukins, interferons, and colony-stimulating factors (filgrastim, sargramostim).
<b>Cytotoxic</b>	A substance that kills cells, including cancer cells. These agents may stop cancer cells from dividing and growing and may cause tumours to shrink in size (NCI 2021).

(Continued)

<b>Cytotoxic T lymphocyte associated protein 4 (CTLA-4)</b>	A "checkpoint" protein found on T cells (a type of immune cell) that helps keep the body's immune responses in check. When CTLA-4 is bound to another protein called B7, it helps keep T cells from killing other cells, including cancer cells. Some anticancer drugs, called immune checkpoint inhibitors, are used to block CTLA-4. When this protein is blocked, the "brakes" on the immune system are released and the ability of T cells to kill cancer cells is increased ( <a href="#">NCI 2021</a> ).
<b>Dendritic cells</b>	Dendritic cells (DCs) are a type of cell within the immune system, and act as a messenger cell (antigen presenting cell) activating T cell and B cell immune responses to antigenic (foreign) material.
<b>Dermoscopy</b>	Examination of the skin using skin surface microscopy, to evaluate pigmented skin lesions and diagnose melanoma ( <a href="#">DermNet 2004</a> ).
<b>Disease-free survival</b>	Defined as the length after primary cancer treatment ends that the patient survives without any signs or symptoms of that cancer. Sometimes described as recurrence free survival.
<b>Granulocyte-macrophage colony-stimulating factor (GM-CSF)</b>	A cytokine that stimulates stem cells ("cells with the potential to develop into many different types of cells in the body" ( <a href="#">NLM 2016</a> )) to create granulocytes and monocytes (types of white blood cells), thereby, boosting the immune response.
<b>Histopathology</b>	The study of diseased cells and tissues using a microscope ( <a href="#">NCI 2021</a> ).
<b>Immuno-modulatory</b>	A substance that stimulates or suppresses the immune system and may help the body fight cancer, infection, or other diseases. Specific immunomodulating agents, such as monoclonal antibodies, cytokines, and vaccines, affect specific parts of the immune system. Nonspecific immunomodulating agents, such as BCG and levamisole, affect the immune system in a general way ( <a href="#">NCI 2021</a> ).
<b>Immunotherapy</b>	A type of therapy that uses substances to stimulate or suppress the immune system to help the body fight cancer, infection, and other diseases. Some types of immunotherapy only target certain cells of the immune system. Others affect the immune system in a general way. Types of immunotherapy include cytokines, vaccines, bacillus Calmette-Guerin (BCG), and some monoclonal antibodies such as PD-1 inhibitors and CTLA-4 inhibitors ( <a href="#">NCI 2021</a> ).
<b>Imprecision</b>	In the context of GRADE, imprecision refers to random error in the trial, an aspect of the quality of the evidence. It is generally considered in terms of the confidence intervals, specifically the width of the confidence intervals, and whether they cross the boundary of no treatment effect, or not ( <a href="#">Guyatt 2011a</a> ).
<b>Inconsistency</b>	In the context of GRADE, inconsistency refers to differences in relative risk reductions across trials for a given outcome ( <a href="#">Guyatt 2011b</a> ).
<b>Indirectness</b>	In the context of GRADE, indirectness refers to differences between the study population, interventions, or outcomes, and those defined by the review question. It can also refer to a lack of head-to-head trials between the interventions of interest ( <a href="#">Guyatt 2011c</a> ).
<b>Isolated limb perfusion</b>	A procedure that may be used to deliver anticancer drugs directly to an arm or leg. The flow of blood to and from the limb is temporarily stopped with a tourniquet (a tight band around the limb), and anticancer drugs are put directly into the blood of the limb. This allows the person to receive a high dose of drugs in the area where the cancer occurred ( <a href="#">NCI 2021</a> ).
<b>Loco-regional</b>	Restricted to a localised region of the body.
<b>MEK inhibitor</b>	Mitogen-activated protein kinase (MEK) is part of the RAS-RAF-MEK-ERK signalling pathway. A MEK inhibitor is a type of protein kinase inhibitor which targets this pathway, to reduce tumour growth and proliferation.
<b>Melanocytes</b>	A cell in the skin and eyes that produces and contains the pigment called melanin ( <a href="#">NCI 2021</a> ).



(Continued)

<b>Meninges</b>	The three membranes (the dura mater, arachnoid, and pia mater) that line the skull and vertebral canal and enclose the brain and spinal cord.
<b>Metastases</b>	The spread of cancer cells from the place where they first formed to another part of the body. In metastasis, cancer cells break away from the original (primary) tumour, travel through the blood or lymph system, and form a new tumour in other organs or tissues of the body. The new, metastatic tumour is the same type of cancer as the primary tumour. For example, if breast cancer spreads to the lung, the cancer cells in the lung are breast cancer cells, not lung cancer cells (NCI 2021).
<b>Metastectomy</b>	Surgery to remove one or more metastases (tumours formed from cells that have spread from the primary tumour). When all metastases are removed, it is called a complete metastasectomy (NCI 2021).
<b>Mitotic rate</b>	A measure of how fast cancer cells are dividing and growing. To find the mitotic rate, the number of cells dividing in a certain amount of cancer tissue is counted. Mitotic rate is used to help find the stage of melanoma (a type of skin cancer) and other types of cancer. Higher mitotic rates are linked with lower survival rates (NCI 2021).
<b>Monoclonal antibody (MAB)</b>	"A type of targeted drug therapy. Some monoclonal antibodies are a type of immunotherapy. Monoclonal means all one type. So each MAB is a lot of copies of one type of antibody. They are made in a laboratory. Monoclonal antibodies work by recognising and finding specific proteins on cancer cells. Each monoclonal antibody recognises one particular protein. So different monoclonal antibodies have to be made to target different types of cancer. They work in different ways depending on the protein they are targeting" (CRUK 2017).
<b>Mucosal melanoma</b>	Mucosal melanoma is a rare form of melanoma (around 1% cases of melanomas) that's occurs on mucosal surfaces. Mucous membranes are those surfaces that line cavities within the body, such as the respiratory tract and genitourinary tract. Mucosal melanoma is caused by melanocytes in these mucosal surfaces becoming cancerous.
<b>Neoadjuvant treatment</b>	Treatment that is given before the (usually) surgical treatment of a primary tumour with the aim of improving the results of surgery or (chemo)radiotherapy and preventing the development of metastases.
<b>Oncolytic</b>	An oncolytic agent is characterised by causing oncolysis or destruction of tumour cells.
<b>Overall response rate</b>	The percentage of people in a study or treatment group who have a partial or complete response to the treatment within a certain period of time. A partial response is a decrease in the size of a tumor or in the amount of cancer in the body, and a complete response is the disappearance of all signs of cancer in the body. In a clinical trial, measuring the overall response rate is one way to see how well a new treatment works (NCI 2021).
<b>Overall survival</b>	Defined as the time from start of treatment (or randomisation) to death from any cause.
<b>Pathological Complete Response</b>	Defined as an absence of residual invasive and in situ cancer on hematoxylin and eosin evaluation of the complete resected specimen and sampled regional lymph nodes, following completion of neoadjuvant systemic therapy. Pathological complete response has been included as an endpoint in neoadjuvant clinical trials, and has been considered a clinically relevant endpoint by regulators examining the efficacy of neoadjuvant treatment for breast cancer. Research into its clinical significance in melanoma is ongoing.
<b>Primary melanoma</b>	The description of the original site of the tumour (the primary location) as opposed to a disease stage.
<b>Programmed Death-1 (PD-1) regulatory pathway</b>	Programmed Death-1 (PD-1) is a protein found on T cells (a type of immune cell) that helps keep the body's immune responses in check. When PD-1 is bound to another protein called PD-L1, it helps keep T cells from killing other cells, including cancer cells. When the PD-1 protein is blocked

(Continued)

so that PD-L1 cannot attach, the 'brakes' on the immune system are released and the ability of T cells to kill cancer cells is increased.

<b>Programmed Death-Ligand 1 (PD-L1)</b>	Programmed Death-Ligand 1 (PD-L1) is a protein which binds to the PD-1 protein and prevents T cell activation against cancer cells.
<b>Progression Free survival</b>	Defined as the time from start of treatment (or randomisation) to disease progression or death from any cause.
<b>R0 surgical resection</b>	R0 resection indicates a microscopically margin-negative resection, in which no gross or microscopic tumour remains in the primary tumour bed.
<b>RAS-RAF-MEK-ERK pathway</b>	Also known as the MAPK/ERK pathway, this is a signalling pathway that regulates cell production; it comprises a chain of proteins in the cell that communicates a signal from a receptor on the surface of the cell to the DNA in the nucleus of the cell.
<b>Sentinel lymph node biopsy</b>	Removal and examination of the sentinel node(s), the first lymph node(s) to which cancer cells are likely to spread from a primary tumor (NCI 2021).
<b>Stereotactic radiotherapy</b>	A type of external radiation therapy that uses special equipment to position the patient and precisely deliver radiation to a tumour. The total dose of radiation is divided into several smaller doses given over several days. Stereotactic radiation therapy is used to treat brain tumours and other brain disorders. It is also being studied in the treatment of other types of cancer, such as lung cancer. Also called stereotactic external-beam radiation therapy and stereotaxic radiation therapy (NCI 2021).
<b>Talimogene laherparepvec</b>	A drug used to treat melanoma that has recurred (come back) after surgery. Talimogene laherparepvec is made with a form of the herpes virus that has been changed in the laboratory to infect and break down cancer cells without harming normal cells. Talimogene laherparepvec is injected directly into tumours in the skin and lymph nodes. It is a type of oncolytic virus therapy. Also called Imlygic and T-VEC (NCI 2021).
<b>Time to Recurrence (TTR)</b>	Time from date of randomisation to local relapse/recurrence or regional relapse/recurrence or distant metastases or death from any cause. Sometimes described as event free survival.
<b>Toll-like receptor (TLR) 7 agonist</b>	Toll-like receptors (TLRs) are proteins which play a key role in the immune system, recognising infectious agents and mediating cytokine production as part of the immune response. TLR agonists activate the TLR-mediated response. TLR 7 is encoded by the TLR7 gene in humans.
<b>Treatment related adverse events (TRAEs)</b>	Treatment emergent adverse events (TEAE) are undesirable events not present prior to medical treatment, or an already present event that worsens either in intensity or frequency following the treatment.
<b>Tumour infiltrating lymphocytes</b>	Tumour infiltrating lymphocytes (TILs) are white blood cells that migrate into a tumour, and are implicated in killing tumour cells as part of a host immune response to cancer. The presence of TILs is often associated with better clinical outcomes. TILs contain a mixture of different cell types, such as B cells, macrophages etc.; T cells are usually the most abundant type of cell.
<b>Vascularity</b>	In medical terms, this is the state of blood vessel development and functioning in an organ or tissue.
<b>Vascular permeability</b>	Describes the capacity of a blood vessel wall to allow for the flow of small molecules (e.g. drugs) or cells (e.g. lymphocytes) in and out of the blood vessel.

An abbreviated version of this glossary was previously published (Gorry 2018; Gorry 2020)

## Appendix 2. Cochrane Skin Specialised Register via the Cochrane Register of Studies (CRS-Web)

((Melanoma\* or "skin Neoplas\*" or "skin cancer\*") and (neoadjuvant\* or immunotherap\* or "Interleukin-2" or "Interferon-alpha\*" or ipilimumab or nivolumab or pembrolizumab or "cytotoxic t lymphocyte antigen 4 inhibitor\*" or "CTLA-4 inhibitor\*" or "programmed death-ligand 1" or "PD-L1 inhibitor\*" or "checkpoint inhibitor\*" or "interleukin-2" or "interferon alpha\*" or "targeted treatment\*" or ((braf or mek or mapk) and inhibitor\*) or dacarbazine or temozolomide or vindesine or vinblastine or paclitaxel or cisplatin or carboplatin or lomustine or carmustine or fotemustine or bendamustine or tamoxifen or vemurafenib or dabrafenib or trametinib or cobimetinib or "mitogen activated protein kinase inhibitor\*" or "antineoplastic agent\*" or chemotherap\* or "cancer vaccine\*" or "talimogene laherparepvec" or "intra-lesional treatment\*" or imiquimod or bevacizumab or axitinib or (topical and neoadjuvant)))

## Appendix 3. CENTRAL (Cochrane Library) search strategy

```
#1 [mh melanoma [mj]]
#2 melanoma*:ti,ab
#3 [mh "skin neoplasms" [mj]]
#4 (skin next neoplas*):ti,ab
#5 (skin next cancer*):ti,ab
#6 {or #1-#5}
#7 [mh "neoadjuvant therapy" [mj]]
#8 neoadjuvant*:ti,ab
#9 #7 or #8
#10 [mh immunotherapy [mj]]
#11 [mh Interleukin-2 [mj]]
#12 [mh interferon-alpha [mj]]
#13 (ipilimumab or nivolumab or pembrolizumab):ti,ab
#14 cytotoxic t lymphocyte antigen 4 inhibitor*:ti,ab
#15 CTLA-4 inhibitor*:ti,ab
#16 programmed death-ligand 1:ti,ab
#17 PD-L1 inhibitor*:ti,ab
#18 (checkpoint next inhibitor*):ti,ab
#19 (interleukin-2):ti,ab
#20 (interferon next alpha*):ti,ab
#21 (targeted next treatment*):ti,ab
#22 ((braf or mek or mapk) next inhibitor*):ti,ab
#23 (vemurafenib or dabrafenib or trametinib or cobimetinib):ti,ab
#24 mitogen activated protein kinase inhibitor*:ti,ab
#25 [mh "antineoplastic agents" [mj]]
#26 chemotherap*:ti,ab
#27 MeSH descriptor: [Tamoxifen] explode all trees
#28 MeSH descriptor: [Dacarbazine] explode all trees
#29 MeSH descriptor: [Vinblastine] explode all trees
#30 MeSH descriptor: [Vindesine] explode all trees
#31 MeSH descriptor: [Paclitaxel] explode all trees
#32 MeSH descriptor: [Cisplatin] explode all trees
#33 MeSH descriptor: [Carboplatin] explode all trees
#34 MeSH descriptor: [Lomustine] explode all trees
#35 MeSH descriptor: [Carmustine] explode all trees
#36 (dacarbazine or temozolomide or vindesine or vinblastine or paclitaxel or cisplatin or carboplatin or lomustine or carmustine or fotemustine or bendamustine or tamoxifen):ti,ab
#37 MeSH descriptor: [Cancer Vaccines] explode all trees
#38 talimogene laherparepvec:ti,ab
#39 intra-lesional treatment*:ti,ab
#40 imiquimod:ti,ab
#41 MeSH descriptor: [Bevacizumab] explode all trees
#42 Bevacizumab:ti,ab
#43 axitinib:ti,ab
#44 (topical and neoadjuvant):ti,ab
#45 {or #9-#44}
#46 #6 and #45
```

## Appendix 4. MEDLINE (Ovid) search strategy

1. exp \*Melanoma/

2. melanoma\$.ti,ab.
3. exp \*Skin Neoplasms/
4. skin neoplas\$.ti,ab.
5. skin cancer\$.ti,ab.
6. or/1-5
7. exp Neoadjuvant Therapy/
8. neoadjuvant\$.ti,ab.
9. 7 or 8
10. exp \*Immunotherapy/
11. exp Interleukin-2/
12. exp Interferon-alpha/
13. (ipilimumab or nivolumab or pembrolizumab).ti,ab.
14. cytotoxic t lymphocyte antigen 4 inhibitor\$.ti,ab.
15. CTLA-4 inhibitor\$.ti,ab.
16. programmed death-ligand 1.ti,ab.
17. PD-L1 inhibitor\$.ti,ab.
18. checkpoint inhibitor\$.ti,ab.
19. (interleukin-2 or interferon alpha\$.ti,ab.
20. targeted treatment\$.ti,ab.
21. ((braf or mek or mapk) and inhibitor\$.ti,ab.
22. (vemurafenib or dabrafenib or trametinib or cobimetinib).ti,ab.
23. mitogen activated protein kinase inhibitor\$.ti,ab.
24. exp \*Antineoplastic Agents/
25. chemotherap\$.ti,ab.
26. exp Tamoxifen/
27. exp Dacarbazine/
28. exp Vinblastine/
29. exp Vindesine/
30. exp Paclitaxel/
31. exp Cisplatin/
32. exp Carboplatin/
33. exp Lomustine/
34. exp Carmustine/
35. (dacarbazine or temozolomide or vindesine or vinblastine or paclitaxel or cisplatin or carboplatin or lomustine or carmustine or fotemustine or bendamustine or tamoxifen).ti,ab.
36. exp Cancer Vaccines/
37. talimogene laherparepvec.ti,ab.
38. intra-lesional treatment\$.ti,ab.
39. imiquimod.ti,ab.
40. exp Bevacizumab/
41. Bevacizumab.ti,ab.
42. axitinib.ti,ab.
43. (topical and neoadjuvant).ti,ab.
44. or/9-43
45. randomized controlled trial.pt.
46. controlled clinical trial.pt.
47. randomized.ab.
48. placebo.ab.
49. clinical trials as topic.sh.
50. randomly.ab.
51. trial.ti.
52. 45 or 46 or 47 or 48 or 49 or 50 or 51
53. exp animals/ not humans.sh.
54. 52 not 53
55. 6 and 44 and 54

[Lines 45-54: Cochrane Highly Sensitive Search Strategy for identifying randomized trials in MEDLINE: sensitivity- and precision-maximizing version (2008 revision); Ovid format, from section 3.6.1 in Lefebvre C, Glanville J, Briscoe S, Littlewood A, Marshall C, Metzendorf M-I, et al. Technical Supplement to Chapter 4: Searching for and selecting studies. In: Higgins JP, Thomas J, Chandler J, Cumpston MS, Li T, Page MJ, Welch VA (eds). Cochrane Handbook for Systematic Reviews of Interventions Version 6. Cochrane, 2019. Available from: [www.training.cochrane.org/handbook](http://www.training.cochrane.org/handbook)]

## Appendix 5. Embase (Ovid) search strategy

1. exp \*Melanoma/
2. melanoma\$.ti,ab.
3. exp metastatic melanoma/
4. exp \*skin tumor/
5. skin neoplas\$.ti,ab.
6. skin cancer\$.ti,ab.
7. or/1-6
8. exp neoadjuvant therapy/
9. neoadjuvant\$.ti,ab.
10. exp \*immunotherapy/
11. exp \*interleukin 2/
12. exp \*alpha interferon/
13. exp ipilimumab/
14. exp nivolumab/
15. exp pembrolizumab/
16. cytotoxic t lymphocyte antigen 4 inhibitor\$.ti,ab.
17. CTLA-4 inhibitor\$.ti,ab.
18. programmed death-ligand 1.ti,ab.
19. PD-L1 inhibitor\$.ti,ab.
20. checkpoint inhibitor\$.ti,ab.
21. (interleukin-2 or interferon alpha\$.ti,ab.
22. (ipilimumab or nivolumab or pembrolizumab).ti,ab.
23. targeted treatment\$.ti,ab.
24. ((braf or mek or mapk) and inhibitor\$.ti,ab.
25. exp vemurafenib/
26. exp dabrafenib/
27. exp trametinib/
28. exp cobimetinib/
29. (vemurafenib or dabrafenib or trametinib or cobimetinib).ti,ab.
30. mitogen activated protein kinase inhibitor\$.ti,ab.
31. exp \*antineoplastic agent/
32. chemotherap\$.ti,ab.
33. exp \*chemotherapy/
34. exp \*tamoxifen/
35. exp dacarbazine/
36. exp vinblastine/
37. exp vindesine/
38. exp \*paclitaxel/
39. exp \*cisplatin/
40. exp \*carboplatin/
41. exp lomustine/
42. exp carmustine/
43. (dacarbazine or temozolomide or vindesine or vinblastine or paclitaxel or cisplatin or carboplatin or lomustine or carmustine or fotemustine or bendamustine or tamoxifen).ti,ab.
44. exp cancer vaccine/
45. exp talimogene laherparepvec/
46. talimogene laherparepvec.ti,ab.
47. intra-lesional treatment\$.ti,ab.
48. imiquimod.ti,ab.
49. exp imiquimod/
50. exp bevacizumab/
51. Bevacizumab.ti,ab.
52. exp axitinib/
53. axitinib.ti,ab.
54. (topical and neoadjuvant).ti,ab.
55. or/8-54
56. crossover procedure.sh.
57. double-blind procedure.sh.
58. single-blind procedure.sh.
59. (crossover\$ or cross over\$.tw.

60. placebo\$.tw.  
 61. (doubl\$ adj blind\$).tw.  
 62. allocat\$.tw.  
 63. trial.ti.  
 64. randomized controlled trial.sh.  
 65. random\$.tw.  
 66. or/56-65  
 67. exp animal/ or exp invertebrate/ or animal experiment/ or animal model/ or animal tissue/ or animal cell/ or nonhuman/  
 68. human/ or normal human/  
 69. 67 and 68  
 70. 67 not 69  
 71. 66 not 70  
 72. 7 and 55 and 71

[Lines 56-71: Based on terms suggested for identifying RCTs in Embase (section 3.6.2) in Lefebvre C, Glanville J, Briscoe S, Littlewood A, Marshall C, Metzendorf M-I, Noel-Storr A, Rader T, Shokrane F, Thomas J, Wieland LS. Technical Supplement to Chapter 4: Searching for and selecting studies. In: Higgins JP, Thomas J, Chandler J, Cumpston MS, Li T, Page MJ, Welch VA (eds). Cochrane Handbook for Systematic Reviews of Interventions Version 6. Cochrane, 2019. Available from: [www.training.cochrane.org/handbook](http://www.training.cochrane.org/handbook)]

### Appendix 6. LILACS search strategy

neoadjuvant and (melanoma\$ or neoplas\$ or cancer\$) and skin

In LILACS we searched using the above terms and the Controlled clinical trials topic-specific query filter.

### Appendix 7. Abbreviations and acronyms

Abbreviation	Definition
AE	Adverse event
AJCC	American Joint Committee on Cancer
ASCO	American Society of Clinical Oncology
BRAF	v-raf murine sarcoma viral oncogene homolog B1
CR	Complete response
CTLA-4	Cytotoxic T-lymphocyte associated protein 4
DFS	Disease-free survival
DNA	Deoxyribonucleic acid
DOR	Duration of response
EADO	European Association of Dermato-Oncology
ECOG PS	Eastern Cooperative Oncology Group Performance Status
EMA	European Medicines Agency
EORTC	European Organisation for Research and Treatment of Cancer
ESMO	European Society of Medical Oncology
FDA	Food and Drug Administration



(Continued)

<b>GM-CSF</b>	Granulocyte-macrophage colony-stimulating factor
<b>HDI</b>	High-dose interferon
<b>HR</b>	Hazard ratio
<b>HSV-1</b>	Herpes simplex virus-1
<b>IFN-alpha</b>	Interferon alpha
<b>IL-2</b>	Interleukin-2
<b>ILP</b>	Isolated limb perfusion
<b>INMC</b>	International Neoadjuvant Melanoma Consortium
<b>ITT</b>	Intention-to-treat
<b>IV</b>	Intravenous
<b>LDH</b>	Lactate dehydrogenase
<b>LYG</b>	Life years gained
<b>MEK</b>	Mitogen-activated protein kinase
<b>NCCN</b>	National Comprehensive Cancer Network
<b>ORR</b>	Overall response rate
<b>OS</b>	Overall survival
<b>pCR</b>	Pathological complete response
<b>PD</b>	Progressive disease
<b>PD-1</b>	Programmed death-1
<b>PD-L1</b>	Programmed death ligand-1
<b>PFS</b>	Progression-free survival
<b>PR</b>	Partial response
<b>QALY</b>	Quality adjusted life year
<b>RCT</b>	Randomised controlled trial
<b>RFS</b>	Relapse free survival
<b>RT</b>	Radiation therapy
<b>SD</b>	Stable disease
<b>SOC</b>	Standard of care

(Continued)

<b>TLR</b>	Toll-like receptor
<b>TRAE</b>	Treatment related adverse event
<b>TTR</b>	Time to recurrence
<b>T-VEC</b>	Talimogene laherparepvec
<b>UV</b>	Ultraviolet
<b>VEGF</b>	Vascular endothelial growth factor

## HISTORY

Protocol first published: Issue 3, 2018

## CONTRIBUTIONS OF AUTHORS

CG was the contact person with the editorial base.

CG co-ordinated contributions from the co-authors and wrote the final draft of the review.

CG, HOD, SB, LMCC screened papers against eligibility criteria.

CG obtained data on ongoing and unpublished studies.

CG, HOD, LMCC appraised the quality of papers.

CG, HOD, LMCC extracted data for the review and sought additional information about papers.

CG entered data into RevMan.

CG, SS analysed and interpreted data.

CG, SS, IC worked on the methods sections.

CG, RB, EB, MB drafted the clinical sections of the background and responded to the clinical comments of the referees.

CG, SS, IC responded to the methodology and statistics comments of the referees.

KC was the consumer co-author and checked the review for readability and clarity, as well as ensuring outcomes are relevant to consumers.

## Disclaimer

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## DECLARATIONS OF INTEREST

Claire Gorry: none known.

Laura McCullagh: none known.

Helen O'Donnell: none known.

Sarah Barrett: none known.

Susanne Schmitz: no relevant interests; statistical editor for Cochrane Anaesthesia and Cochrane Emergency and Critical Care.

Michael Barry: none known.

Kay Curtin: consultant for Cancer Trials Ireland (Patient Consultant to Melanoma Committee).

Eamon Beausang: no relevant interest; Plastic Surgeon, St James's Hospital, Dublin.

Rupert Barry: Founder of DermView Ltd, a dermatology clinic chain; Dermatologist, St James Hospital, Dublin.

Imelda Coyne: none known.

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### Internal sources

- National Centre for Pharmacoeconomics, Ireland

CG received funding support for a PhD examining the cost-effectiveness of treatment strategies for skin cancer in the Irish healthcare setting.

## External sources

- Health Research Board, Ireland
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- The National Institute for Health Research (NIHR), UK

The NIHR, UK, is the largest single funder of the Cochrane Skin Group.

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

We did not search the ISRCTN database as all trials are listed in the WHO ICTRP database. We did not handsearch ASCO abstracts as they are indexed in MEDLINE. We conducted additional handsearching of ESMO and SMR abstracts from 2017 to 2020 to ensure the most up-to-date publications could be identified and included.

We had planned to include progression-free survival as an outcome in the summary of findings tables. Recently published work has highlighted recurrence-free survival, event-free survival and distant metastatic-free survival as clinically-relevant survival outcomes for people undergoing neoadjuvant treatment of melanoma (INMC 2019), and it was considered more relevant to users of the review to include one or more of these endpoints in the summary of findings tables. The time-to-recurrent disease outcome defined in the review protocol (broadly analogous to event-free survival in the INMC publication) was instead included in the summary of findings tables, where reported by the included trials. Progression-free survival outcomes, where reported, were reported in the results section of the review.

Following feedback from clinical reviewers, we changed the title of the review from 'Neoadjuvant treatment for malignant and metastatic melanoma' to 'Neoadjuvant treatment for stage III and IV cutaneous melanoma'. This was a more precise and accurate description of the population included in our review.

## INDEX TERMS

### Medical Subject Headings (MeSH)

\*Antineoplastic Agents [adverse effects]; Ipilimumab; \*Melanoma [drug therapy] [pathology]; Melanoma, Cutaneous Malignant; Neoplasm Staging; Nivolumab; Randomized Controlled Trials as Topic; \*Skin Neoplasms [drug therapy] [pathology]

### MeSH check words

Adult; Humans