



Published in final edited form as:

Pediatr Infect Dis J. 2023 February 01; 42(2): 152–158. doi:10.1097/INF.0000000000003736.

***Serratia* Infection Epidemiology Among Very Preterm Infants in the Neonatal Intensive Care Unit**

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Abstract

Background: *Serratia spp.* are opportunistic, multi-drug resistant, gram-negative pathogens, previously described among preterm infants in case reports or outbreaks of infection. We describe *Serratia* late-onset infection (LOI) in very preterm infants in a large, contemporary, nationally-representative cohort.

Methods: In this secondary analysis of prospectively-collected data of preterm infants born 401–1500 grams and/or 22–29 weeks' GA from 2018–2020 at 774 Vermont Oxford Network members, LOI was defined as culture-confirmed blood and/or cerebrospinal fluid infection >3 days after birth. The primary outcome was incidence of *Serratia* LOI. Secondary outcomes compared rates of survival and discharge morbidities between infants with *Serratia* and non-*Serratia* LOI.

Results: Among 119,565 infants, LOI occurred in 10,687 (8.9%). *Serratia* was isolated in 279 cases (2.6% of all LOI; 2.3 *Serratia* infections per 1000 infants). Of 774 hospitals, 161 (21%) reported at least one *Serratia* LOI; 170/271 (63%) of cases occurred at hospitals reporting 1 or 2 *Serratia* infections and 53/271 (20%) occurred at hospitals reporting 5 *Serratia* infections. *Serratia* LOI was associated with a lower rate of survival to discharge compared to those with non-*Serratia* LOI (adjusted relative risk 0.88, 95% CI 0.82, 0.95). Among survivors, infants with

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AUTHOR CONTRIBUTIONS

SAC contributed to study design and interpretation of results, drafted the initial manuscript, and reviewed and revised the final manuscript. EME contributed to study design, data verification, interpretation of results, performed statistical analyses, and critically reviewed the manuscript. DDF, JDH, and KMP contributed to study design, interpretation of results, and critically reviewed the manuscript. JSG contributed to interpretation of results and critically reviewed the manuscript. All authors approved the final manuscript as submitted.

Serratia LOI had higher rates of tracheostomy, gastrostomy, and home oxygen use compared to those with non-*Serratia* LOI.

Conclusions: The incidence of *Serratia* LOI was 2.3 infections per 1000 very preterm infants in this cohort. Lower survival and significant morbidity among *Serratia* LOI survivors highlight the need for recognition and targeted prevention strategies for this opportunistic nosocomial infection.

Keywords

Serratia ; bacteremia; late-onset sepsis; preterm; infant

INTRODUCTION

Serratia spp. are opportunistic gram-negative bacteria and an important cause of nosocomial infection in neonatal intensive care units (NICUs). *Serratia* infection has been estimated to account for 1–2% of bloodstream infections (BSIs) among NICU patients in the United States (US) and Germany(1–3), and up to 15% of BSIs among a group of NICUs in Europe(4). BSIs are the most common manifestation of neonatal *Serratia* disease, but meningitis, urinary tract infections (UTIs), respiratory tract infections, and conjunctivitis due to *Serratia* also occur(5). Although most reports of neonatal *Serratia* infection arise from single centers and describe infectious clusters or outbreaks in small cohorts(6,7,16,17,8–15), endemic patterns of sporadic infections are also described(18). Environmental reservoirs are heavily implicated in *Serratia* transmission, with outbreaks in NICUs traced to medical equipment, water sources, as well as colonized patients and hospital personnel(19).

Risk factors for neonatal *Serratia* infection are common to most forms of hospital-acquired infection among preterm infants, including low birth weight, mechanical ventilation, and presence of central catheters(2,6,12,18,19). Although *Serratia* infections can be mild in some hosts, neonatal *Serratia* BSIs have been associated with increased duration of hospitalization, higher healthcare costs, and higher all-cause and *Serratia*-attributable mortality, with an 18% case-fatality rate in one cohort of very-low birth weight infants(2,3). Ventriculitis and multiple abscess formation complicating *Serratia* meningitis can both result in devastating neurologic damage(20). There are limited available surveillance data to inform current patient- and center-level burdens of *Serratia* infections and related outcomes among very preterm infants in the United States. Therefore, the objectives of this study were to describe the epidemiology of late-onset *Serratia* infections among very preterm infants, and to evaluate the relationship between *Serratia* infections, survival, and discharge morbidities, within a large, contemporary, nationally-representative cohort.

METHODS

Data Source and Study Population:

Vermont Oxford Network (VON) is a nonprofit, voluntary worldwide community of practice dedicated to improving the quality, safety, and value of newborn care through a coordinated program of data-driven quality improvement, education, and research. VON maintains a prospective database describing NICU care of infants born <1500 grams. We utilized that database to perform a secondary cohort study of infants admitted to 774 participating VON

centers from January 1, 2018, to December 31, 2020. The study population included infants born with birth weight 401–1500 grams and/or gestational age of 22–29 weeks. Infants who died or were transferred from the participating center by day 3 after birth were excluded. For study infants, data were collected from birth until hospital discharge, death, or first birthday (whichever came first); infants transferred after day 3 were tracked to determine their ultimate disposition and length of stay. The Institutional Review Board at the University of Vermont determined that use of the VON database for this analysis was not human subjects research.

Study Definitions

Late-onset infection (LOI): LOI was defined as isolation of a prespecified bacterial or fungal pathogen from blood and/or cerebrospinal fluid (CSF) cultures obtained >3 days after birth(21). We were not able to distinguish LOI due to bacteremia versus meningitis. Recurrent and/or polymicrobial LOI episodes were included in the analysis. Coagulase-negative staphylococci (CoNS) LOI episodes were included if pathogens were isolated from blood or CSF, accompanied by at least one clinical sign of generalized infection, and 5 days of antibiotic therapy.

Exposures: All eligible preterm infants were included in analysis of the primary outcome (incidence of *Serratia* LOI). For assessment of secondary outcomes among infants with LOI, the exposure of interest was *Serratia* versus non-*Serratia* LOI.

Outcomes: The primary outcome was incidence of late-onset *Serratia* infections per 1000 eligible very preterm infants during the study period. Secondary outcomes included survival to discharge and morbidities among surviving infants with *Serratia* vs. non-*Serratia* LOI, including discharge with tracheostomy, gastrostomy (or jejunostomy), supplemental oxygen (defined as fraction of inspired oxygen >0.21), and any enteral human milk feeding. Technology dependence at discharge is an important patient-oriented outcome and is associated with increased risk of hospital readmission, healthcare resource utilization, and financial burden(22–24).

Covariates: Covariates of interest were defined per the VON Manual of Operations(21). Infant demographic characteristics included sex, race, ethnicity, gestational age at birth, birth weight, and presence of a congenital anomaly. Small for gestational age (SGA) status was defined as birth weight <10th percentile, per the Fenton growth chart(25). Maternal and delivery characteristics included: maternal hypertensive disorders (including pre-eclampsia), maternal diabetes of any type, chorioamnionitis, delivery mode, and maternal receipt of antenatal corticosteroids. Morbidities of prematurity included chronic lung disease (CLD, receipt of supplemental oxygen at 36 weeks' postmenstrual age), severe intraventricular hemorrhage (IVH, grade 3–4), severe retinopathy of prematurity (ROP, stage 3–5), and necrotizing enterocolitis (NEC)(21). All morbidities occurred prior to NICU discharge or death. Length of stay was defined as the number of days elapsed between birth and hospital discharge or death. NICU level of care is defined using centers' responses to the VON membership survey as: Type A (required to transfer infants for assisted ventilation based on infant characteristics or duration of ventilation required), Type B (provide

mechanical ventilation without limitations on duration, no major surgery performed), and Type C (provide mechanical ventilation without limitations on duration, and major surgery performed, excluding cardiac surgery requiring bypass), and Type D (provide mechanical ventilation without limitations on duration and major surgery performed, including cardiac surgery requiring bypass)(26,27). Geographical regions were defined by US Census Bureau classifications.

Statistical Analysis

We determined the incidence of late-onset *Serratia* per 1000 eligible very preterm infants, and *Serratia* prevalence among all infants with LOI (stratified by gestational age and study year). Demographics, clinical characteristics, and outcomes were compared between infants with *Serratia* and non-*Serratia* LOI. To study the association of *Serratia* vs non-*Serratia* LOI with the secondary study outcomes, we used logistic regression with generalized estimating equations, adjusted for clustering of infants within hospitals and for multiple covariates (gestational age, SGA status, sex, delivery mode, inborn status, and presence of congenital anomalies). Risk ratios were estimated using the Poisson distribution with a log link function. The primary non-*Serratia* LOI comparison group was composed of LOI due to all non-*Serratia* bacteria (including CoNS) and fungi. To ensure comparison between infections of similar morbidity, in sensitivity analyses we compared infants with *Serratia* LOI versus those with (1) bacterial non-*Serratia* LOI, excluding infections with CoNS (an organism that may cause less severe infection among preterm infants(28,29)) and fungi, and with (2) non-*Serratia* gram-negative LOI. Finally, we described the distribution and hospital characteristics associated with *Serratia* infections occurring at VON centers. All analyses were performed using SAS version 9.4.

RESULTS

Of 119,565 eligible very preterm infants, 10,687 (8.9%) had at least one episode of LOI, and of those, 279 (2.6%) were infected with *Serratia*. The overall incidence of *Serratia* LOI was 2.3 infections per 1000 very preterm infants (95% confidence interval [CI] 2.1, 2.6). Cohort demographic and clinical characteristics are presented in Table 1. Median gestational age was similar among infants with *Serratia* and non-*Serratia* LOI (25 weeks, interquartile range [IQR] 24, 27), and lower compared to infants with no LOI (30 weeks, IQR 29, 32). Median birth weight was also similar among infants with *Serratia* and non-*Serratia* LOI, and was lower compared to infants with no LOI. Infants with *Serratia* LOI had a higher prevalence of CLD (73% vs 62%) and severe ROP (72% vs 62%) compared to infants with non-*Serratia* LOI, with similar rates of NEC and severe IVH between the two groups.

Rates of LOI due to *Serratia* increased with decreasing gestational age and birth weight, but did not differ by year of birth (Table 2). Infants with *Serratia* were more likely to have multiple distinct episodes of LOI or episodes of polymicrobial LOI; 35% of infants with *Serratia* had LOI due to 2 pathogens, compared to 13% of infants with non-*Serratia* LOI.

Of 774 participating hospitals, 161 (21%) reported at least one late-onset *Serratia* infection during the three-year study period (see Table, Supplemental Digital Content 1). Of 279 *Serratia* LOI episodes, 271 occurred at VON centers and were included in the center-level

analysis. The majority of *Serratia* LOI episodes (170/271, 63%) occurred at 137 hospitals reporting only 1 or 2 *Serratia* infections during the study period; 54/271 (20%) *Serratia* LOI episodes occurred at 9 hospitals reporting 5 *Serratia* infections. Hospitals reporting *Serratia* infections were more frequently located in the southern United States, were teaching institutions offering higher levels of neonatal and surgical care, and had higher annual admissions (Table 3).

The overall proportion of infants surviving to discharge was similar between infants with *Serratia* and non-*Serratia* LOI (75% vs 78%, respectively). However, survival was significantly lower among infants with *Serratia* after adjusting for potential confounders, including gestational age (adjusted risk ratio [aRR] 0.88, 95% CI 0.82, 0.95) (Table 4).

In adjusted analyses describing discharge morbidities among LOI survivors, infants with *Serratia* LOI were more likely to be discharged with tracheostomy (aRR 2.8, 95% CI 1.7, 4.6) and gastrostomy (aRR 1.8, 95% CI 1.4, 2.4), compared to infants with non-*Serratia* LOI due to any bacteria or fungi. At discharge, *Serratia* LOI was associated with increased risk for supplemental oxygen use (aRR 1.3, 95% CI 1.1, 1.5) and decreased likelihood of any enteral human milk feeding (aRR 0.64, 95% CI 0.5, 0.8) among survivors, compared to surviving infants with non-*Serratia* LOI (Table 4).

In a sensitivity analysis, adjusted survival among infants with *Serratia* LOI was not different compared to infants with non-CoNS bacterial LOI, and was higher compared to infants with other gram-negative LOI (see Tables, Supplemental Digital Content 2 and 3). Compared to infants with non-CoNS bacterial LOI, infants with *Serratia* had significantly higher associated risk of discharge with gastrostomy. There were no statistical differences in the risk of discharge morbidities when comparing infants with *Serratia* LOI versus other gram-negative LOI.

DISCUSSION

In this large, contemporary, nationally-representative cohort, *Serratia* accounted for 2.6% of LOI episodes among very preterm infants, similar to rates reported in earlier US-based cohorts(1,2). We further demonstrate that invasive *Serratia* LOI is not rare, and that infection with this opportunistic pathogen is associated with lower survival and increased morbidity at discharge, compared to infants infected with non-*Serratia* pathogens.

This study provides new insights into the association of *Serratia* LOI with morbidity and mortality risks in very preterm infants. Technology dependence at discharge is an important patient-oriented outcome with a multifactorial risk profile. LOI is associated with (and may modify) risk of severe BPD, via inflammatory-mediated pathways (30–32), and has been independently associated with tracheostomy placement in preterm infants(33). BPD, growth failure, and neurodevelopmental impairment are all identified risk factors for gastrostomy placement in preterm infants; all of these conditions have are further associated with antecedent LOI (22,34–36). Although *Serratia* accounts for a low proportion of neonatal infections and has variable pathogenicity in infants (ranging from asymptomatic colonization to invasive disease)(5,19,37), we identified lower survival and higher risks

of discharge morbidities (tracheostomy, gastrostomy, and supplemental oxygen) associated with *Serratia* LOI, compared with non-*Serratia* LOI. These findings were attenuated when restricting the comparison group to non-CoNS bacterial LOI; although mortality was equivalent, excess morbidity risk associated with *Serratia* LOI remained. A final comparison was restricted to infants with *Serratia* LOI versus other gram-negative LOI, given higher mortality associated with gram-negative LOI (compared to gram-positive or CoNS LOI) (3,38–40). Infants with *Serratia* LOI had slightly higher survival and similar risks of discharge morbidities compared to infants with other gram-negative LOI, underscoring the virulence of this opportunistic pathogen among preterm infants.

While *Serratia* infections account for a small percentage of all invasive neonatal LOI, our center-level analysis demonstrates that this infection burden is distributed across 21% of all hospitals reporting data to VON. Our study reinforces prior work identifying *Serratia* infections as more frequently occurring in large, academic NICUs offering complex medical and surgical services(2), likely reflecting a more chronically ill patient population with longer lengths of stay and higher technical care utilization(2,6,15,18,41). Although most published neonatal *Serratia* literature describes infections occurring in outbreak patterns, center-level data from our study suggest that *Serratia* LOI largely occurs sporadically. Most *Serratia* LOI (170/271, 63%) occurred in hospitals that reported ≥ 2 *Serratia* infections over the three-year study period; however, the nine highest-burden *Serratia* hospitals (≥ 5 episodes of *Serratia* LOI, 1% of all 774 hospitals) accounted for 20% (54/271) of infections. *Serratia* cases appeared to occur more commonly in NICUs offering higher levels of service. Among 108 type D NICUs, 48 (44%) did not have a *Serratia* LOI during the study period – though given the sporadic infection pattern, it is difficult to identify type D units with no *Serratia* LOI due to chance, versus those without *Serratia* LOI due to particularly effective infection prevention procedures. Hospitals reporting *Serratia* or non-*Serratia* LOI were proportionally more commonly located in the southern US; this geographic distribution has been similarly reported at the patient level(2), though it should be noted that neither study reports geographic distribution of patients and hospitals without LOI. Further elucidation of *Serratia* epidemiology by US geographic region may require national surveillance, including consideration of hospital-wide *Serratia* burden in addition to NICUs. We acknowledge that this study may underestimate outbreak patterns, as we exclusively studied *Serratia* bacteremia and meningitis and thus did not capture other infections (e.g., conjunctivitis, UTIs) that are also reported in *Serratia* outbreaks.

Serratia species are intrinsically resistant to beta-lactam antibiotics and carry inducible beta-lactamase resistance via chromosomal *ampC* genes. Depending on the degree of *ampC* expression, beta-lactamases variably reduce the bactericidal capacity of third-generation cephalosporins and piperacillin-tazobactam (commonly-used empiric antibiotics in NICUs) against *Serratia*(42). In a large cohort study of neonatal sepsis in low- and middle-income countries, *Serratia* was the second-most common gram-negative pathogen and accounted for 6% of all neonatal sepsis cases. Whole-genome sequencing of bacterial isolates in that study demonstrated concerning rates of antimicrobial resistance genes, including extended-spectrum beta-lactamases (ESBLs) and carbapenemases(43). In the face of rising *Serratia* incidence among pediatric(2) and adult(44) populations, as well as increasing antimicrobial resistance rates due to ESBL-producing *Enterobacterales*, renewed attention to *Serratia*

infection prevention is needed to mitigate infectious burdens and use of reserve antibiotics for resistant isolates.

Reduction of *Serratia* infection burden in NICUs requires both patient- and unit-level strategies for surveillance and decontamination. The prevalence of invasive *Serratia* disease in NICUs across the US likely reflects even higher underlying colonization rates that are potentially amenable to infection control measures. Epidemiologic surveillance within NICUs experiencing *Serratia* outbreaks has identified neonatal *Serratia* colonization, particularly in the gastrointestinal tract, as the most important infectious reservoir. Single-unit colonization rates amidst *Serratia* outbreaks are reported to range widely (28–69% of screened infants)(10,12,45,46), with isolates identified in feces and in swabs of eye, throat, umbilicus, and rectum(10,45–47). Conversion rates from *Serratia* colonization to clinical infection, which ranged from conjunctivitis to bacteremia/meningitis, varied from 11–28%(10,12,47,48). Active surveillance for *Serratia* may enable rapid initiation of enhanced hand hygiene and environmental cleaning procedures in the setting of colonization or clinical outbreaks. Reichert *et al.*(49) calculated pathogen-specific risks of additional BSIs among preterm infants in the same NICU once an index case was isolated; though its incidence density was low, *Serratia* had the highest associated risk of producing additional incident BSIs among all organisms analyzed (relative risk 77.5, 95% CI 41, 146), suggesting the potential value of initiating enhanced containment measures if index cases are detected. Approaches to reducing *Serratia* transmission within NICUs have largely focused on improving hand hygiene; efforts to cohort colonized/infected infants, institute contact precautions, and reduce nursery overcrowding are also described(10,12,47,50). Enhanced environmental cleaning is generally indicated; no consistent environmental reservoir is reported, but *Serratia* outbreaks in NICUs have been linked to sinks and water sources(51,52), incubator doors(51,53), laryngoscope blades(8), air conditioning ducts(17), and contaminated breast pumps(9), human milk(54), soap(7,55), and parenteral nutrition(56).

Strengths of this study include analysis of a large, nationally representative, contemporary cohort of very preterm infants admitted to both academic and community NICUs, supporting generalizability of our findings. However, we acknowledge study limitations. Infants with *Serratia* LOI were more likely to have LOI due to multiple organisms; however, the data reporting structure does not differentiate whether this was due to multiple distinct episodes of LOI growing different organisms, or a single polymicrobial LOI episode. It is therefore possible that multiple infectious episodes could have contributed to excess morbidity identified among patients with *Serratia* LOI. Our definition of LOI included bacteremia and meningitis, though we could not distinguish between these two types of infections. This definition did not include infections of the respiratory tract, urinary tract, and conjunctivae (all reported *Serratia* infection sites in preterm neonates(57)); therefore, the *Serratia* infection burden in this cohort could be underestimated. Because the VON dataset does not record the timing of positive blood cultures relative to infant birth, associations of LOI with some morbidities should be interpreted cautiously. For example, we cannot comment on potential causal associations of *Serratia* infection relative to onset of any morbidities of prematurity (e.g., CLD, ROP). *Serratia* LOI was associated with increased risks of discharge with tracheostomy and gastrostomy; it is possible that these procedures

could have occurred prior to LOI onset, though the majority (90%) of LOI in very preterm infants is reported to occur within the first two postnatal months(58,59), while tracheostomy and gastrostomy placements usually occur late in the NICU course among infants corrected beyond term postmenstrual age(60,61). As this study focuses on *Serratia* LOI among very preterm infants, we are unable to comment on *Serratia* epidemiology among infants born at higher gestations and birth weights. No antimicrobial susceptibility data were available, so we are unable to describe *Serratia* antibiotic resistance patterns in this cohort.

CONCLUSIONS

Serratia is a persistent cause of opportunistic invasive late-onset infections in NICUs, affecting 2.3 infants per 1000 very preterm births and occurring in 21% of neonatal units within this large cohort from the United States. Increased risks of death and morbidity among very preterm infants with *Serratia* LOI reinforce the need for recognition and targeted prevention strategies for this nosocomial infection.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

ACKNOWLEDGEMENTS

Thank you to our colleagues who submit data to the Vermont Oxford Network on behalf of infants and their families. The centers contributing data to this study are in listed Supplemental Digital Content 4.

Conflicts of Interest and Funding Sources:

Dr. Coggins reports receiving research funding from the National Heart, Lung and Blood Institute of the National Institutes of Health (T32HL007891). Dr. Edwards reports receiving salary support from Vermont Oxford Network. Dr. Flannery reports receiving research funding from the Agency for Healthcare Research and Quality (K08HS027468), from two contracts with the Centers for Disease Control and Prevention, and from the Children's Hospital of Philadelphia. Dr. Horbar is the President, Chief Executive and Chief Scientific Officer of Vermont Oxford Network, and is an unpaid member of the Vermont Oxford Network Board of Trustees. Dr. Puopolo reports receiving research funding from the National Institutes of Health, from two contracts with the Centers for Disease Control and Prevention, and from the Children's Hospital of Philadelphia. None of the authors have conflicts of interest to declare relevant to this study.

FUNDING SOURCES

Dr. Coggins reports receiving research funding from the National Heart, Lung and Blood Institute of the National Institutes of Health (T32HL007891). Dr. Flannery reports receiving research funding from the Agency for Healthcare Research and Quality (K08HS027468), from two contracts with the Centers for Disease Control and Prevention, and from the Children's Hospital of Philadelphia. Dr. Puopolo reports receiving research funding from the National Institutes of Health, from two contracts with the Centers for Disease Control and Prevention, and from the Children's Hospital of Philadelphia. The funders/sponsors had no role in the design or conduct of the study; collection, management, analysis, or interpretation of the data; preparation, review, or approval of the manuscripts; or decision to submit the manuscript for publication.

CONFLICT OF INTEREST STATEMENT:

Authors' funding sources are listed above. Dr. Horbar is the President, Chief Executive Officer, and Chief Scientific Officer of Vermont Oxford Network (VON) and an unpaid member of the VON Board of Trustees. Dr Edwards receives salary support from VON. Drs Coggins, Flannery, Gerber, and Puopolo have indicated they have no potential conflicts of interest to disclose.

DATA AVAILABILITY

The dataset analyzed during the current study derives from the Vermont Oxford Network database and is not publicly available.

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Table 1:

Maternal and infant demographics and clinical characteristics

| | <i>Serratia</i> LOI | | | Non- <i>Serratia</i> LOI* | | | No LOI | | |
|---------------------------------------|---------------------|----------|----------------|---------------------------|----------|----------------|----------|----------|--------------------|
| | <i>N</i> | <i>n</i> | % | <i>N</i> | <i>n</i> | % | <i>N</i> | <i>n</i> | % |
| Maternal Characteristics | | | | | | | | | |
| Maternal Race/Ethnicity | | | | | | | | | |
| Black non-Hispanic, % | 278 | 107 | 38.5 | 10,281 | 3,552 | 34.5 | 107,685 | 33,532 | 31.1 |
| Hispanic, % | 278 | 53 | 19.1 | 10,281 | 2,121 | 20.6 | 107,685 | 20,885 | 19.4 |
| White non-Hispanic, % | 278 | 108 | 38.8 | 10,281 | 3,834 | 37.3 | 107,685 | 44,453 | 41.3 |
| Other non-Hispanic **, % | 278 | 10 | 3.6 | 10,281 | 774 | 7.5 | 107,685 | 8,815 | 8.2 |
| Antenatal steroids, % | 278 | 228 | 82.0 | 10,346 | 9,052 | 87.5 | 108,459 | 95,690 | 88.2 |
| Chorioamnionitis, % | 272 | 47 | 17.3 | 10,274 | 1,823 | 17.7 | 107,973 | 13,148 | 12.2 |
| Hypertension, % | 274 | 86 | 31.4 | 10,317 | 3,118 | 30.2 | 108,322 | 43,555 | 40.2 |
| Diabetes, % | 268 | 27 | 10.1 | 10,280 | 939 | 9.1 | 108,120 | 12,625 | 11.7 |
| Multiple gestation, % | 279 | 75 | 26.9 | 10,408 | 2,186 | 21.0 | 108,874 | 26,562 | 24.4 |
| Vaginal delivery, % | 279 | 67 | 24.0 | 10,406 | 3,459 | 33.2 | 108,861 | 26,722 | 24.5 |
| Infant Characteristics | | | | | | | | | |
| Gestational age | | | | | | | | | |
| 23 weeks, % | 279 | 54 | 19.4 | 10,408 | 1,833 | 17.6 | 108,874 | 3,946 | 3.6 |
| 24–25 weeks, % | 279 | 115 | 41.2 | 10,408 | 3,628 | 34.9 | 108,874 | 13,312 | 12.2 |
| 26–27 weeks, % | 279 | 69 | 24.7 | 10,408 | 2,554 | 24.5 | 108,874 | 20,968 | 19.3 |
| 28–29 weeks, % | 279 | 23 | 8.2 | 10,408 | 1,568 | 15.1 | 108,874 | 31,148 | 28.6 |
| >29 weeks, % | 279 | 18 | 6.5 | 10,408 | 825 | 7.9 | 108,874 | 39,500 | 36.3 |
| Gestational age (median, Q1, Q3) | | | 25 (24, 27) | | | 25 (24, 27) | | | 30 (29, 32) |
| Birth weight, grams (median [Q1, Q3]) | 279 | | 705 (590, 900) | 10,406 | | 760 (610, 985) | 108,873 | | 1,140 (870, 1,350) |
| Small for gestational age, % | 278 | 53 | 19.1 | 10,311 | 1,622 | 15.7 | 108,644 | 21,183 | 19.5 |
| Male, % | 279 | 145 | 52.0 | 10,405 | 5,719 | 55.0 | 108,857 | 54,065 | 49.7 |
| Inborn, % | 279 | 227 | 81.4 | 10,408 | 8,344 | 80.2 | 108,878 | 94,698 | 87.0 |
| Congenital anomaly, % | 279 | 30 | 10.8 | 10,404 | 846 | 8.1 | 108,866 | 5,613 | 5.2 |
| Morbidities of Prematurity | | | | | | | | | |
| Necrotizing enterocolitis, % | 279 | 46 | 16.5 | 10,400 | 1,683 | 16.2 | 108,862 | 4,161 | 3.8 |
| Chronic lung disease, % | 211 | 153 | 72.5 | 8,041 | 4,982 | 62.0 | 93,338 | 25,212 | 27.0 |
| Retinopathy of prematurity, % | 217 | 156 | 71.9 | 8,228 | 5,075 | 61.7 | 87,365 | 25,444 | 29.1 |
| Intraventricular hemorrhage, % | 276 | 123 | 44.6 | 10,136 | 4,353 | 42.9 | 100,148 | 23,466 | 23.4 |
| Morbidities at Discharge | | | | | | | | | |
| Tracheostomy, % | 279 | 17 | 6.1 | 10,396 | 351 | 3.4 | 108,851 | 683 | 0.6 |
| Gastrostomy or jejunostomy, % | 279 | 48 | 17.2 | 10,396 | 1,254 | 12.1 | 108,851 | 4,031 | 3.7 |
| Oxygen at discharge, % | 166 | 75 | 45.2 | 6,730 | 2,529 | 37.6 | 94,482 | 12,487 | 13.2 |
| Human milk at discharge, % | 174 | 46 | 26.4 | 6,792 | 2,538 | 37.4 | 94,580 | 49,079 | 51.9 |

| | <i>Serratia</i> LOI | | | Non- <i>Serratia</i> LOI* | | | No LOI | | |
|--|---------------------|----------|----------------|---------------------------|----------|---------------|----------|----------|-------------|
| | <i>N</i> | <i>n</i> | % | <i>N</i> | <i>n</i> | % | <i>N</i> | <i>n</i> | % |
| Survival, % | 274 | 206 | 75.2 | 10,258 | 8,030 | 78.3 | 108,323 | 102,789 | 94.9 |
| Length of Stay, days [median, (Q1, Q3)] | | | | | | | | | |
| Overall | 274 | | 117 (72, 178) | 10,235 | | 102 (62, 140) | 108,135 | | 62 (41, 89) |
| Among survivors | 206 | | 136 (102, 187) | 7,999 | | 113 (86, 149) | 102,596 | | 64 (44, 91) |
| Among non-survivors | 68 | | 29 (13, 59) | 2,226 | | 21 (11, 46) | 5,521 | | 13 (6, 31) |

N: total number of infants with data available

n: number of infants with given characteristic among total

* Infants with other late bacterial, CoNS, or fungal infection

** Includes Asian/Pacific Islander, Native American/Alaska Native, Other

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Table 2:*Serratia* incidence by study year and gestational age category among infants with any late infection

| Category | All infants with LOI (n)* | Infants with <i>Serratia</i> LOI (n, %) | <i>Serratia</i> incidence rate per 1000 infants with any late infection (95% CI) |
|-------------------------|---------------------------|---|--|
| Overall | 10679 | 279 (2.6) | 26.1 (22.4, 30.4) |
| Year of Birth | | | |
| 2018 | 3550 | 95 (2.7) | 26.8 (20.6, 34.7) |
| 2019 | 3651 | 98 (2.7) | 26.8 (20.8, 34.6) |
| 2020 | 3478 | 86 (2.5) | 24.7 (18.8, 32.5) |
| Gestational Age (weeks) | | | |
| 23 | 1884 | 54 (2.9) | 28.7 (20.3, 40.3) |
| 24–25 | 3739 | 115 (3.1) | 30.8 (24.3, 38.9) |
| 26–27 | 2623 | 69 (2.6) | 26.3 (19.4, 35.6) |
| 28–29 | 1591 | 23 (1.5) | 14.5 (8.5, 24.4) |
| >29 | 842 | 18 (2.1) | 21.4 (11.8, 38.4) |
| Birth Weight (grams) | | | |
| 500 | 875 | 25 (2.8) | 27.8 (16.8, 45.7) |
| 501–750 | 4228 | 139 (3.2) | 31.8 (25.7, 39.4) |
| 751–1000 | 2844 | 70 (2.4) | 24.0 (17.7, 32.5) |
| 1001–1250 | 1465 | 34 (2.3) | 22.7 (14.7, 34.9) |
| 1251–1500 | 854 | 11 (1.3) | 12.7 (6.0, 26.9) |
| 1500 | 132 | 0 (0.0) | 0.0 |

* Infants with *Serratia*, other late bacterial infections (including coagulase-negative *Staphylococcus*), or fungal infections.

Table 3:Characteristics of Hospitals Reporting Neonatal *Serratia* LOI

| | Hospitals with 1 <i>Serratia</i> Case | | Hospitals with No <i>Serratia</i> Cases | |
|--------------------------------------|---------------------------------------|----------------|---|----------------|
| | <i>N</i> | % | <i>N</i> | % |
| NICU Type | | | | |
| A | 161 | 0.6 | 613 | 13.5 |
| B | 161 | 19.9 | 613 | 44.4 |
| C | 161 | 42.2 | 613 | 34.3 |
| D | 161 | 37.3 | 613 | 7.8 |
| NICU beds - med (Q1, Q3) | 161 | 40 (24, 60) | 610 | 20 (12, 30) |
| Total NICU admissions - med (Q1, Q3) | 159 | 691 (445, 964) | 592 | 327 (218, 537) |
| Teaching hospital | 161 | 70.2 | 601 | 44.3 |
| Single family rooms | | | | |
| 10% | 159 | 45.9 | 609 | 55.2 |
| 11–50% | 159 | 8.2 | 609 | 4.4 |
| 51–90% | 159 | 12.6 | 609 | 5.9 |
| 91% | 159 | 33.3 | 609 | 34.5 |
| Region | | | | |
| Northeast | 161 | 13.7 | 613 | 15.2 |
| Midwest | 161 | 18.6 | 613 | 21.4 |
| South | 161 | 53.4 | 613 | 33.6 |
| West | 161 | 14.3 | 613 | 29.9 |

N: total number of infants with data available.

Table 4:Outcomes of *Serratia* vs non-*Serratia* late-onset infection

| | <i>Serratia</i> LOI | | | Non- <i>Serratia</i> LOI ¹ | | | Adjusted risk ratio (95% CI) ² |
|---|---------------------|----------|------|---------------------------------------|----------|------|--|
| | <i>N</i> | <i>n</i> | % | <i>N</i> | <i>n</i> | % | |
| Survival to discharge | 274 | 206 | 75.2 | 10,258 | 8,030 | 78.3 | 0.88 (0.82, 0.95) |
| <i>Among survivors discharged home:</i> | | | | | | | |
| Tracheostomy placement | 279 | 17 | 6.1 | 10,396 | 351 | 3.4 | 2.78 (1.68, 4.59) |
| Gastrostomy or jejunostomy placement | 279 | 48 | 17.2 | 10,396 | 1,254 | 12.1 | 1.83 (1.41, 2.38) |
| Oxygen at discharge | 166 | 75 | 45.2 | 6,730 | 2,529 | 37.6 | 1.29 (1.08, 1.54) |
| Human milk at discharge | 174 | 46 | 26.4 | 6,792 | 2,538 | 37.4 | 0.64 (0.50, 0.83) |

N: total number of infants with data available

n: number of infants with given characteristic among total

¹: Includes all non-*Serratia* late bacterial LOI (including coagulase-negative *Staphylococcus*) and fungal LOI²: Adjusted for clustering of infants within hospitals, and for gestational age in weeks, small for gestational age status, sex, mode of delivery, inborn status, and presence of a congenital anomaly