## Priming by DNA Immunization Augments Protective Efficacy of *Mycobacterium bovis* Bacille Calmette-Guerin against Tuberculosis

## CARL G. FENG,<sup>1,2</sup> UMAIMAINTHAN PALENDIRA,<sup>1</sup> CAROLINE DEMANGEL,<sup>1,3</sup> JOANNE M. SPRATT,<sup>1</sup> ADAM S. MALIN,<sup>4</sup> AND WARWICK J. BRITTON<sup>1,5\*</sup>

*Centenary Institute of Cancer Medicine and Cell Biology, Newtown, New South Wales 2042,*<sup>1</sup> *and Department of Medicine, University of Sydney, New South Wales 2006,*<sup>5</sup> *Australia; Immunobiology Section, Laboratory of Parasitic Diseases, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, Maryland 20892-0425*<sup>2</sup> *; Laboratoire d'Ingenierie des Anticorps, Institut Pasteur, 75724 Paris Cedex 15, France*<sup>3</sup> *; and Department of Infectious and Tropical Diseases, London School of Hygiene and Tropical Medicine, London WC1E 7HT, United Kingdom*<sup>4</sup>

Received 28 December 2000/Returned for modification 5 February 2001/Accepted 7 March 2001

**Sequential immunization with mycobacterial antigen Ag85B-expressing DNA and** *Mycobacterium bovis* **bacille Calmette-Guerin (BCG) was more effective than BCG immunization in protecting against** *Mycobacterium tuberculosis* **infection. Depletion of the CD8**<sup>1</sup> **T cells in the immunized mice impaired protection in their** spleens, indicating that this improved efficacy was partially mediated by  $CD8^+$  T cells.

The incidence of tuberculosis (TB) is increasing due to the human immunodeficiency virus/AIDS pandemic and the emergence of multidrug-resistant strains of *Mycobacterium tuberculosis*. There is a significant need for more effective vaccines to prevent the transmission of *M. tuberculosis*. The only vaccine presently available for human use against TB is *Mycobacterium bovis* bacille Calmette-Guerin (BCG). Although the protective efficacy of BCG is variable in humans (2), it is effective at reducing the bacterial load in murine TB and serves as a benchmark for the evaluation of new TB vaccines in animal models. To date, the level of protection conferred by BCG vaccination has not been achieved by any other subunit vaccine, including DNA vaccines.

Although protective immunity against TB is essentially mediated by  $CD4^+$  T cells (1, 8),  $CD8^+$  T cells are also required for resistance against *M. tuberculosis* infection (17). It is plausible that immunization strategies stimulating both  $CD4<sup>+</sup>$  and CD8<sup>+</sup> T cells should lead to an improved protection against *M*. *tuberculosis* infection. In general, immunization with soluble proteins stimulates mostly  $CD4^+$  T-cell responses, whereas DNA or viral vaccines induce stronger  $CD8<sup>+</sup>$  T-cell responses. Recently, a heterologous immunization strategy consisting of priming with plasmid DNA and boosting with recombinant vaccinia virus (VV) has been developed in order to enhance immune responses, particularly the  $CD8<sup>+</sup>$  T-cell responses, against malaria, and infections caused by simian and human immunodeficiency viruses (7, 12, 13, 15). Further characterization of this heterologous immunization strategy has revealed that the type of immune response induced by a prime-boost strategy is dependent mainly on the nature of the boosting agent. For example, while boosting with proteins or peptides generally stimulates a Th2-driven humoral response, viral

\* Corresponding author. Mailing address: Centenary Institute of Cancer Medicine and Cell Biology, Locked Bag No. 6, Newtown, NSW 2042, Australia. Phone: 61-2-9515 5210. Fax: 61-2-9351 3968. E-mail: wbritton@medicine.usyd.edu.au.

boosting enhances primarily a Th1-type cell-mediated immune response (11). We (6) and others (9) previously showed that immunization with a DNA vaccine expressing Ag85B, a major secreted mycobacterial protein, protected mice against *M. tuberculosis* infection. However, the reduction in bacterial load was lower than that conferred by BCG immunization. The present work was designed to develop an immunization strategy that is more effective than current vaccines, and we hypothesized that a DNA vaccine-based heterologous prime-boost immunization with a suitable boosting agent may enhance protection against *M. tuberculosis* infection.

To examine the influence of the boosting reagents on the outcome of the immune response, C57BL/6 female mice (ARC, Perth, Western Australia, Australia) were primed with an intramuscular injection of  $100 \mu g$  of a DNA vaccine expressing Ag85B (DNA-85B) and then boosted with different agents. DNA-85B contained the gene encoding Ag85B, as amplified from *M. tuberculosis* H37Rv genomic DNA (9). Boosts included intramuscular immunization with the same dose of DNA-85B, intravenous injection of 107 PFU of an Ag85Bexpressing recombinant VV (VV-85B), subcutaneous inoculation of 10 mg of recombinant Ag85B protein (P-85B) in incomplete Freund's adjuvant, or 10<sup>5</sup> CFU of BCG (Table 1). BCG (Tokyo strain, ATCC 35737), recombinant P-85B, DNA-85B, control DNA (6), VV-85B, and control VV (16) were prepared as previously described. Mice were immunized twice at 6-week intervals. Six weeks after the last immunization, mice were exposed to *M. tuberculosis* H37Rv (ATCC 27294) in a Middlebrook airborne infection apparatus (Glas-Col, Terre Haute, Ind.). Each mouse received approximately  $10<sup>2</sup>$  viable bacilli per lung. Lungs, spleens, and blood were collected 4 weeks postinfection.

In agreement-with a previous study (6), immunization with two injections of DNA-85B conferred partial protection against *M. tuberculosis* challenge (Table 1). Although primeboost with DNA-85B and VV-85B also conferred protection in one of two experiments, the protective efficacy of this strategy

Immunization <sup>a</sup>		Bacterial load (log <sub>10</sub> CFU $\pm$ SEM [n = 5]) <sup>b</sup>			
		Expt 1		Expt 2	
Prime	<b>Boost</b>	Lung	Spleen	Lung	Spleen
None	None	$6.30 \pm 0.15$	$4.54 \pm 0.21$	$6.49 \pm 0.04$	$4.65 \pm 0.29$
Control DNA	Control VV	ND.	ND.	$6.43 \pm 0.05$	$4.99 \pm 0.25$
$DNA-85B$	P-85B	$6.05 \pm 0.07$	$3.92 \pm 0.17$	ND.	ND.
$DNA-85B$	<b>VV-85B</b>	$6.10 \pm 0.06$	$3.94 \pm 0.23$	$5.77 \pm 0.08**$	$3.89 \pm 0.32*$
$DNA-85B$	$DNA-85B$	$5.87 \pm 0.15*$	$4.07 \pm 0.14$	$5.97 \pm 0.09**$	$4.51 \pm 0.18$
$DNA-85B$	<b>BCG</b>	$5.18 \pm 0.09$ ***	$2.64 \pm 0.26$ ***	$5.18 \pm 0.22***$	$2.60 \pm 0.12***$
<b>BCG</b>	None	$5.63 \pm 0.13***$	$3.88 \pm 0.32*$	$5.48 \pm 0.07$ ***	$3.78 \pm 0.11**$
<b>BCG</b>	<b>BCG</b>	$5.53 \pm 0.09$ ***	$3.25 \pm 0.32**$	ND	ND

TABLE 1. Sequential immunization with DNA-85B and BCG protects mice against aerosol *M. tuberculosis* infection

 $\alpha$  Mice were primed and boosted with the vaccines at 6-week intervals and challenged 6 weeks after the last injection with aerosol *M. tuberculosis* infection.<br>  $\alpha$  The differences in CFU between groups were assessed b reported (\*,  $P < 0.05$ ; \*\*,  $P < 0.001$ ; \*\*\*,  $P < 0.0001$ ). ND, not determined.

was lower than for BCG immunization alone, indicating that viral boosting may not be suitable for vaccination against TB. This finding was not surprising since the prime-boost immunization with DNA and viral vaccines was initially designed to protect against viral infection and the hepatic phase of malaria where  $CD8<sup>+</sup>$  T cells are the predominant protective cells (11, 14). Interestingly, targeting  $CD4^+$  T cells alone may not be sufficient, since boosting with soluble Ag85B protein in adjuvant did not protect mice against TB. Mice which were sequentially immunized with DNA-85B and BCG had significantly lower CFU in their lungs than mice immunized with DNA-85B alone (Table 2). Most importantly; the protection conferred by this strategy was significantly greater than the immunization with one or two injections of BCG (Table 2). While immunization with DNA-85B vaccine was less effective at preventing dissemination of the bacilli to the spleens, immunization with BCG significantly reduced the *M. tuberculosis* load in the spleen compared to nonimmunized animals (Table 1). The combination of DNA-85B and BCG immunization further improved the protective efficacy of BCG vaccine, with an approximately 100-fold reduction in bacterial load in the spleens, compared to a 10-fold reduction in CFU conferred by immunization with BCG alone (Table 2). Immunization with control DNA or viral vaccines had no effect on the growth of *M. tuberculosis* in the organs of infected mice (data not shown).

In an attempt to define the immune mechanism leading to this improved protection,  $CD8<sup>+</sup>$  T cells of mice primed with DNA-85B and boosted with P-85B, VV-85B, DNA-85B, or

TABLE 2. Sequential immunization with DNA-85B and BCG is superior to vaccination with BCG alone

Groups (prime/boost) compared <sup>a</sup>		
	Lungs	<b>Spleens</b>
DNA-85B/BCG and none/none DNA-85B/BCG and DNA-85B/DNA-85B DNA-85B/BCG and BCG/none DNA-85B/BCG and BCG/BCG	$<0.0001$ *** $0.0003**$ $0.0104*$ $0.0389*$	$< 0.0001$ *** $0.0003**$ $0.0011*$ $0.0765^{NS}$ $0.0650^{NS}$
BCG/none and BCG/BCG	0.5427 <sup>NS</sup>	

*<sup>a</sup>* Mice were primed and boosted at 6-week intervals as described for Table 1 and challenged 6 weeks after the last injection with aerosol *M. tuberculosis*

infection. *<sup>b</sup>* Differences in CFU between groups as assessed by analysis of variance (<sup>NS</sup>, nonsignificant; \*  $P < 0.05$ ; \*\*,  $P < 0.001$ ; \*\*\*,  $P < 0.0001$ ).

BCG were depleted during *M. tuberculosis* infection. The time schedule for vaccination and immunization route were similar as to those in the experiment described above. Mice were injected intraperitoneally with 1 mg of protein G-purified depleting anti- $CD8<sup>+</sup>$  T-cell monoclonal antibody (MAb) YTS169.4 at days -2, -1, 0, 7, 14, 21, and 28 (relative to challenge with *M. tuberculosis* on day 0). The bacterial loads of MAb-treated and untreated mice were compared at day 30 postinfection (Fig. 1). The MAb treatment significantly reduced the number of  $CD8<sup>+</sup>$  T cells in the peripheral blood, with 89%  $\pm$  0.64% reduction compared to immunized, infected animals not treated with MAb YTS169.4  $(n = 3)$ . The extent of reduction in peripheral  $CD8<sup>+</sup>$  T cells was comparable to that in infected spleens (data not shown) (10). This reduction was associated with a significant increase in the CFU in the spleens of the treated mice  $(P < 0.05)$  (Fig. 1), showing that  $CD8<sup>+</sup>$  T cells stimulated by DNA priming and BCG boosting immunization protected mice against *M. tuberculosis* infection. In contrast to peripheral blood and spleen, the depletion of CD8<sup>1</sup> T cells was less efficient in the *M. tuberculosis*-infected



(Log<sub>10</sub> CFU per organ)

FIG. 1. Improved protection against dissemination of *M. tuberculosis* to spleens is partially mediated by CD8<sup>+</sup> T cells. Immunized mice were left untreated  $(\square)$  or treated with anti-CD8<sup>+</sup> T-cell MAb YTS169.4 (■) during the course of *M. tuberculosis* infection. Thirty days postinfection, the bacterial load in lungs and spleens was determined. Reduction of bacterial load was expressed as the mean  $log_{10}$ difference in CFU in the organs of immunized and nonimmunized mice  $(n = 5)$ . The differences in CFU between untreated and anti-CD8<sup>+</sup> T-cell MAb-treated animals were compared by Student's *t* test  $(*, P < 0.05).$ 

lungs (75%  $\pm$  2.52% reduction; *n* = 3), and the bacterial loads in the lungs of MAb-treated and untreated mice were not significantly different. This could be a result of reduced efficiency in the depletion of  $CD8<sup>+</sup>$  T cells in lungs or of the increased proliferation of  $CD8<sup>+</sup>$  T cells at the site of infection. Alternatively, this may reflect the difference in the immune response between lungs and spleens (4, 5).

The success of the novel heterologous prime-boost immunization with DNA and BCG demonstrates that protective efficacy of the current BCG vaccine can be improved by use of a more effective immunization regimen. Several factors may account for this improved efficacy. First, priming with a DNA plasmid may focus the immune responses to one of the dominant mycobacterial antigens. Second, DNA vaccines may contribute to the improved protection against *M. tuberculosis* infection by priming both  $CD4^+$  and  $CD8^+$  T cells. DNA immunization can stimulate both T-cell subsets (19) and is more potent than mycobacteria at priming naive  $CD8<sup>+</sup>$  T cells (3, 20). Third, BCG immunization may effectively amplify mycobacterium-specific  $CD8<sup>+</sup>$  T-cell responses primed by  $DNA$ immunization, as the requirements for activation of effector/ memory T cells are less stringent than those for their naive counterparts (18). Finally, in contrast to viral boosting, boosting with BCG vaccine greatly enhances the Th1-type  $CD4<sup>+</sup>$ T-cell response that is essential for immunity against *M. tuberculosis*.

In conclusion, sequential immunization with DNA-85B and BCG was superior to immunization with either DNA or BCG vaccine alone in this C57BL/6 murine model of tuberculosis. Improved protection was partially dependent on  $CD8<sup>+</sup>$  T cells, and further reduction of bacterial load may be achieved by incorporating immune adjuvants such as interleukin-12 and CpG oligodeoxynucleotides (5) into BCG boosting. Importantly, these findings suggest that a combination of immunizations with DNA vaccines and BCG may be more effective than BCG in the control of TB in humans.

This work was supported by the National Health and Medical Research Council of Australia. The support of the NSW Health Department through its research and development infrastructure grants program is gratefully acknowledged. C.G.F. and U.P. are recipients of Australian Postgraduate Awards.

## **REFERENCES**

- 1. **Caruso, A. M., N. Serbina, E. Klein, K. Triebold, B. R. Bloom, and J. L. Flynn.** 1999. Mice deficient in CD4 T cells have only transiently diminished levels of IFN-g, yet succumb to tuberculosis. J. Immunol. **162:**5407–5416.
- 2. **Colditz, G. A., T. F. Brewer, C. S. Berkey, M. E. Wilson, E. Burdick, H. V. Fineberg, and F. Mosteller.** 1994. Efficacy of BCG vaccine in the prevention of tuberculosis. Meta-analysis of the published literature. JAMA **271:**698– 702.
- 3. **Denis, O., A. Tanghe, K. Palfliet, F. Jurion, T. P. van den Berg, A. Vanonckelen, J. Ooms, E. Saman, J. B. Ulmer, J. Content, and K. Huygen.** 1998.

Vaccination with plasmid DNA encoding mycobacterial antigen 85A stimulates a CD4<sup>+</sup> and CD8<sup>+</sup> T-cell epitopic repertoire broader than that stimulated by *Mycobacterium tuberculosis* H37Rv infection. Infect. Immun. **66:** 1527–1533.

- 4. **Feng, C. G., and W. J. Britton.** 2000.  $CD4^+$  and  $CD8^+$  T cells mediate adoptive immunity to aerosol infection of *Mycobacterium bovis* bacillus Calmette-Guerin. J. Infect. Dis. **181:**1846–1849.
- 5. **Freidag, B. L., G. B. Melton, F. Collins, D. M. Klinman, A. Cheever, L. Stobie, W. Suen, and R. A. Seder.** 2000. CpG oligodeoxynucleotides and interleukin-12 improve the efficacy of *Mycobacterium bovis* BCG vaccination in mice challenged with *M. tuberculosis*. Infect. Immun. **68:**2948–2953.
- 6. **Kamath, A. T., C. G. Feng, M. Macdonald, H. Briscoe, and W. J. Britton.** 1999. Differential protective efficacy of DNA vaccines expressing secreted proteins of *Mycobacterium tuberculosis*. Infect. Immun. **67:**1702–1707.
- 7. **Kent, S. J., A. Zhao, S. J. Best, J. D. Chandler, D. B. Boyle, and I. A. Ramshaw.** 1998. Enhanced T-cell immunogenicity and protective efficacy of a human immunodeficiency virus type 1 vaccine regimen consisting of consecutive priming with DNA and boosting with recombinant fowlpox virus. J. Virol. **72:**10180–10188.
- 8. **Ladel, C. H., S. Daugelat, and S. H. Kaufmann.** 1995. Immune response to *Mycobacterium bovis* bacille Calmette Guerin infection in major histocompatibility complex class I- and II-deficient knock-out mice: contribution of CD4 and CD8 T cells to acquired resistance. Eur. J. Immunol. **25:**377–384.
- 9. **Lozes, E., K. Huygen, J. Content, O. Denis, D. L. Montgomery, A. M. Yawman, P. Vandenbussche, J. P. Van Vooren, A. Drowart, J. B. Ulmer, and M. A. Liu.** 1997. Immunogenicity and efficacy of a tuberculosis DNA vaccine encoding the components of the secreted antigen 85 complex. Vaccine **15:** 830–833.
- 10. **Muller, I., S. P. Cobbold, H. Waldmann, and S. H. Kaufmann.** 1987. Impaired resistance to *Mycobacterium tuberculosis* infection after selective in vivo depletion of  $L3T4^+$  and  $Lyt-2^+$  T cells. Infect. Immun.  $55:2037-2041$ .
- 11. **Ramsay, A. J., S. J. Kent, R. A. Strugnell, A. Suhrbier, S. A. Thomson, and J. A. Ramshaw.** 1999. Genetic vaccination strategies for enhanced cellular, humoral and mucosal immunity. Immunol. Rev. **171:**27–44.
- 12. **Robinson, H. L., D. C. Montefiori, R. P. Johnson, K. H. Manson, M. L. Kalish, J. D. Lifson, T. A. Rizvi, S. Lu, S. L. Hu, G. P. Mazzara, D. L. Panicali, J. G. Herndon, R. Glickman, M. A. Candido, S. L. Lydy, M. S. Wyand, and H. M. McClure.** 1999. Neutralizing antibody-independent containment of immunodeficiency virus challenges by DNA priming and recombinant pox virus booster immunizations. Nat. Med. **5:**526–534.
- 13. **Schneider, J., S. C. Gilbert, T. J. Blanchard, T. Hanke, K. J. Robson, C. M. Hannan, M. Becker, R. Sinden, G. L. Smith, and A. V. Hill.** 1998. Enhanced immunogenicity for  $CDS^+$  T cell induction and complete protective efficacy of malaria DNA vaccination by boosting with modified vaccinia virus Ankara. Nat. Med. **4:**397–402.
- 14. **Schneider, J., S. C. Gilbert, C. M. Hannan, P. Degano, E. Prieur, E. G. Sheu, M. Plebanski, and A. V. Hill.** 1999. Induction of  $CD8<sup>+</sup>$  T cells using heterologous prime-boost immunization strategies. Immunol. Rev. **170:**29–38.
- 15. **Sedegah, M., T. R. Jones, M. Kaur, R. Hedstrom, P. Hobart, J. A. Tine, and S. L. Hoffman.** 1998. Boosting with recombinant vaccinia increases immunogenicity and protective efficacy of malaria DNA vaccine. Proc. Natl. Acad. Sci. USA **95:**7648–7653.
- 16. **Smith, S. M., A. S. Malin, P. T. Lukey, S. E. Atkinson, J. Content, K. Huygen, and H. M. Dockrell.** 1999. Characterization of human *Mycobacterium bovis* bacille Calmette-Guerin-reactive CD8<sup>+</sup> T cells. Infect. Immun. **67:**5223-5230.
- 17. **Sousa, A. O., R. J. Mazzaccaro, R. G. Russell, F. K. Lee, O. C. Turner, S. Hong, L. Van Kaer, and B. R. Bloom.** 2000. Relative contributions of distinct MHC class I-dependent cell populations in protection to tuberculosis infection in mice. Proc. Natl. Acad. Sci. USA **97:**4204–4208.
- 18. **Swain, S. L., M. Croft, C. Dubey, L. Haynes, P. Rogers, X. Zhang, and L. M. Bradley.** 1996. From naive to memory T cells. Immunol. Rev. **150:**143–167.
- 19. **Tighe, H., M. Corr, M. Roman, and E. Raz.** 1998. Gene vaccination: plasmid DNA is more than just a blueprint. Immunol. Today **19:**89–97.
- Zhu, X., H. J. Stauss, J. Ivanyi, and H. M. Vordermeier. 1997. Specificity of  $CD8^+$  T cells from subunit-vaccinated and infected H-2<sup>b</sup> mice recognizing the 38 kDa antigen of *Mycobacterium tuberculosis*. Int. Immunol. **9:**1669– 1676.