

# Clonal hematopoiesis and risk of prostate cancer in large samples of European ancestry men

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## Abstract

Little is known regarding the potential relationship between clonal hematopoiesis (CH) of indeterminate potential (CHIP), which is the expansion of hematopoietic stem cells with somatic mutations, and risk of prostate cancer, the fifth leading cause of cancer death of men worldwide. We evaluated the association of age-related CHIP with overall and aggressive prostate cancer risk in two large whole-exome sequencing studies of 75 047 European ancestry men, including 7663 prostate cancer cases, 2770 of which had aggressive disease, and 3266 men carrying CHIP variants. We found that CHIP, defined by over 50 CHIP genes individually and in aggregate, was not significantly associated with overall (aggregate HR = 0.93, 95% CI = 0.76–1.13,  $P = 0.46$ ) or aggressive (aggregate OR = 1.14, 95% CI = 0.92–1.41,  $P = 0.22$ ) prostate cancer risk. CHIP was weakly associated with genetic risk of overall prostate cancer, measured using a polygenic risk score (OR = 1.05 per unit increase, 95% CI = 1.01–1.10,  $P = 0.01$ ). CHIP was not significantly associated with carrying pathogenic/likely pathogenic/deleterious variants in DNA repair genes, which have previously been found to be associated with aggressive prostate cancer. While findings from this study suggest that CHIP is likely not a risk factor for prostate cancer, it will be important to investigate other types of CH in association with prostate cancer risk.

## Introduction

Age-related clonal hematopoiesis (CH), also referred to as clonal hematopoiesis of indeterminate potential (CHIP) in the absence of a hematologic malignancy, is the expansion of hematopoietic stem cells with somatic mutations and is increasingly common with older age. In addition to CH being a risk factor for myeloid malignancy development, it has also been associated

with increased risk of all-cause mortality and cardiovascular disease (1–3). Individuals with solid tumors have been reported to be more likely to have clonal mosaicism than cancer-free participants (4). Age-related loss of chromosome Y (LOY) in circulating leukocytes has also been associated with increased risk of non-hematological cancer mortality (5). Further, in a two-sample Mendelian randomization analysis, genetically predicted LOY was

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reported to be associated with increased genetic risk of prostate cancer and other solid tumors (6). However, little is known regarding the potential impact of age-related CHIP on risk of prostate cancer, the fifth leading cause of cancer death of men worldwide (7). Pathogenic germline variants in many genes that are associated with CHIP, particularly DNA repair genes *ATM*, *CHEK2* and *NBN*, are also associated with prostate and other non-hematologic cancers (8–11), suggesting a potential mechanistic link between these two conditions. In this investigation, we evaluated the association of age-related CHIP with overall and aggressive prostate cancer risk in two large whole-exome sequencing studies of European ancestry men.

## Results

Whole-exome sequence data were analyzed for 2118 incident prostate cancer cases and 67 384 controls from the UK Biobank (12) and 2770 aggressive and 2775 non-aggressive prostate cancer cases from a cross-sectional case-only study from 12 international study sites, referred to here as the Whole-Exome Sequencing Study in Prostate Cancer (WESP) (9). All men were of European ancestry.

Potential CHIP variants were called with Mutect2 (13) and defined based on previous curations of variants within 74 established hematologic cancer genes (1,14,15). Specifically, CHIP variants in these genes were rare with minor allele frequencies (MAF) < 0.1% in the study population, excluded variants with MAF > 0.1% in the Genome Aggregation Database (gnomAD) (16) to reduce the potential of capturing germline variants, and were either deleterious (protein truncating or splice altering) (17) or specifically reported in Jaiswal *et al.* (1). Variant allelic fractions (VAFs) were > 5% in the UK Biobank and > 10% in WESP owing to differences in exome sequencing coverage, thresholds previously suggested to increase the likelihood of a mutation being somatic (14,18–20). VAFs were calculated with bcftools (fill-tags FORMAT/VAF) as the fraction of reads with the alternate allele (21). Several VAF thresholds were tested in sensitivity analyses and led to similar results and the same conclusions (see Materials and Methods section for details). A total of 1778 qualifying CHIP variants in 55 genes were identified in the UK Biobank, while 360 qualifying CHIP variants in 52 genes were identified in WESP (VAFs are described in Table 1 and Supplementary Material, Tables S1 and S2; Materials and Methods). Overall, 2874 (4.1%) men in the UK Biobank and 392 (7.1%) men in WESP were found to carry a CHIP variant. The most commonly carried CHIP variants were in *DNMT3A* (33.6% of the 2874 CHIP carriers in UK Biobank and 26.0% of the 392 CHIP carriers in WESP), *TET2* (25.2% of UK Biobank carriers and 22.7% of WESP carriers) and *ASXL1* (9.1% of UK Biobank carriers and 8.9% of WESP carriers; Supplementary Material, Fig. S1), consistent with previous studies (1,22,23). Given the overall CHIP carrier frequencies and study sample sizes, the UK Biobank and WESP both had 80% power to detect an OR of 1.21 for the association of CHIP with overall and aggressive prostate cancer risk.

In the UK Biobank, the median age at blood draw was 57 years (interquartile range [IQR]=13) and the median time between blood draw and cancer diagnosis among cases was 4.1 years (IQR=3.6; Supplementary Material, Fig. S2). As expected, in the UK Biobank, CHIP status was significantly associated with age at blood draw, with the strongest associations observed with *DNMT3A* (+4.1 years, 95% CI=3.6–4.6,  $P=4.4 \times 10^{-55}$ ), *ASXL1* (+6.2 years, 95% CI=5.2–7.2,  $P=1.3 \times 10^{-35}$ ), *TET2* (+3.7 years, 95% CI=3.2–4.3,  $P=2.6 \times 10^{-35}$ ), *PPM1D* (+5.8 years, 95% CI=4.1–7.5,  $P=3.8 \times 10^{-11}$ ) and *SF3B1* (+8.8 years, 95% CI=5.5–12.0,  $P=1.1$

$\times 10^{-07}$ ) and across all 55 genes in aggregate (+3.2 years, 95% CI=2.9–3.5,  $P=2.4 \times 10^{-99}$ ; Table 2, Fig. 1, and Supplementary Material, Figs S2 and S3). However, no significant associations were observed between CHIP carrier status and age at prostate cancer diagnosis, with or without adjustment for age at blood draw, in the UK Biobank (Table 2 and Supplementary Material, Fig. S3).

In the UK Biobank, we did not observe a significant difference in CHIP carrier frequencies between prostate cancer cases and controls (4.86% and 4.11%, respectively; HR=0.93, 95% CI=0.76–1.13,  $P=0.46$ ; Table 1; see Materials and Methods section for details). In WESP, CHIP carrier frequencies did not significantly differ when comparing cases with aggressive prostate cancer (7.15%; OR=1.14, 95% CI=0.92–1.41,  $P=0.22$ ), prostate cancer death (6.38%; OR=1.02, 95% CI=0.81–1.30,  $P=0.84$ ), or metastatic prostate cancer (7.07%; OR=1.22, 95% CI=0.81–1.83,  $P=0.34$ ) to non-aggressive prostate cancer cases (6.99%; Table 1). Similarly, in gene-based tests, no significant associations were observed between CHIP carrier status and overall prostate cancer risk in the UK Biobank or with disease aggressiveness in WESP (Table 1 and Supplementary Material, Fig. S4). Carrier frequencies for the aggregate of CHIP genes *PTEN*, *TP53*, *HLA-A* and *MAP2K1*, which have prior evidence of association with prostate cancer risk (11,24–26), were not significantly associated with overall prostate cancer risk in the UK Biobank (HR=0.93, 95% CI=0.30–2.89,  $P=0.90$ ) or with disease aggressiveness in WESP (OR=1.50, 95% CI=0.39–5.82,  $P=0.56$ ).

We found weak evidence of association between genetic susceptibility to prostate cancer, measured by a polygenic risk score (PRS) constructed based on a previous publication (11), and CHIP carrier status across all CHIP genes in prostate cancer controls from the UK Biobank (OR=1.05 for each additional risk allele, 95% CI=1.01–1.10,  $P=0.01$ ; Materials and Methods section). CHIP carrier status for *DNMT3A* had the strongest association with the PRS in UK Biobank controls (OR=1.11, 95% CI=1.03–1.19,  $P=5.6 \times 10^{-3}$ ); however, this association was not significant after adjusting for multiple testing of all individual CHIP genes. We also observed a null association between carrier status for pathogenic/likely pathogenic/deleterious variants across 24 previously curated prostate cancer candidate DNA repair genes (9) and age-related CHIP carrier status across all CHIP genes in WESP (OR=0.99, 95% CI=0.72–1.36,  $P=0.96$ ) and in the UK Biobank (OR=1.00, 95% CI=0.84–1.20,  $P=0.96$ ; see Materials and Methods section for details). DNA repair gene carrier status was also not significantly associated with age-related CHIP carrier status for individual CHIP genes in WESP or the UK Biobank, with the exception of *KDM6A* in the UK Biobank (OR=3.33, 95% CI=1.70–6.52,  $P=4.4 \times 10^{-4}$ ; all other  $P$ -values  $\geq 0.002$ ). Likewise, we did not observe a significant association between the aggregate of DNA repair genes *BRCA2*, *ATM* and *PALB2*, which we previously reported to be associated with aggressive prostate cancer (9), and age-related CHIP carrier status across all CHIP genes in WESP (OR=0.74, 95% CI=0.30–1.85,  $P=0.53$ ) or the UK Biobank (OR=1.14, 95% CI=0.76–1.73,  $P=0.52$ ).

## Discussion

In two large European ancestry datasets, we found minimal evidence of an association between age-related CHIP and risk of overall or aggressive prostate cancer. These findings are supported by a previous investigation reporting that clonal mosaicism was associated with increased risk of non-hematological cancers, but not with prostate cancer (4).

**Table 1.** Associations of four common CHIP genes and the aggregate of all identified CHIP genes with overall and aggressive prostate cancer risk

Gene (No of variants in UKB/WESP)	No of carriers (carrier frequencies)					UK Biobank		WESP				
	UK Biobank	WESP	Control (N = 67 384)	Case (N = 2118)	Non-Agg (N = 2775)	Agg (N = 2770)	Death (N = 2052)	M1 (N = 467)	HR (95% CI) P	OR (95% CI) P		
Variant allelic fraction, median (min-max)	UK Biobank	WESP	Control	Case	Non-Agg	Agg	Death	M1	HR (95% CI) P	OR (95% CI) P		
DNMT3A (438/79)	0.10 (0.05–0.56)	0.18 (0.10–0.48)	929 (1.38%)	36 (1.70%)	53 (1.91%)	49 (1.77%)	37 (1.80%)	8 (1.71%)	1.04 (0.75–1.45)	1.05 (0.70–1.59)	1.09 (0.70–1.70)	1.10 (0.50–2.46)
ASXL1 (147/30)	0.11 (0.05–0.48)	0.16 (0.10–0.40)	255 (0.38%)	7 (0.33%)	14 (0.50%)	20 (0.72%)	13 (0.63%)	3 (0.64%)	0.77 (0.37–1.62)	1.61 (0.79–3.28)	1.61 (0.73–3.54)	1.95 (0.52–7.38)
TET2 (456/88)	0.14 (0.05–0.75)	0.21 (0.10–0.60)	690 (1.02%)	34 (1.61%)	41 (1.48%)	48 (1.73%)	30 (1.46%)	8 (1.71%)	0.97 (0.69–1.36)	1.33 (0.86–2.05)	1.14 (0.70–1.87)	1.69 (0.75–3.81)
JAK2 (1/1)	0.17 (0.07–0.90)	0.13 (0.11–0.19)	44 (0.07%)	1 (0.05%)	4 (0.14%)	2 (0.07%)	2 (0.10%)	0 (0%)	0.55 (0.08–3.89)	0.65 (0.10–4.24)	0.85 (0.14–5.25)	—
All 55	0.11 (0.05–0.90)	0.19 (0.10–1.0)	2771 (4.11%)	103 (4.86%)	194 (6.99%)	198 (7.15%)	131 (6.38%)	33 (7.07%)	0.93 (0.76–1.13)	1.14 (0.92–1.41)	1.02 (0.81–1.30)	1.22 (0.81–1.83)
UKB/52	0.05–0.90	0.10–1.0	4.11%	4.86%	6.99%	7.15%	6.38%	7.07%	0.93	1.14	1.02	1.22
WESP CHIP												
Genes (1778/360)												

—, Estimate could not be reliably calculated owing to lack of carriers; M1, metastatic metastatic prostate cancer; UKB, UK Biobank.

**Table 2.** Associations of four common CHIP genes and the aggregate of all identified CHIP genes with age at blood draw and prostate cancer diagnosis in the UK Biobank

Gene (No. of variants)	Age at blood draw <sup>a</sup>		Age at prostate cancer diagnosis <sup>b</sup>		Age at prostate cancer diagnosis adjusted for age at blood draw <sup>b</sup>	
	Age difference in years (95% CI)	P	Age difference in years (95% CI)	P	Age difference in years (95% CI)	P
DNMT3A (438)	4.08 (3.57–4.60)	$4.4 \times 10^{-55}$	1.35 (–0.39–3.09)	0.13	–0.67 (–1.39–0.04)	0.07
ASXL1 (147)	6.22 (5.24–7.19)	$1.3 \times 10^{-35}$	0.78 (–3.15–4.70)	0.70	–1.32 (–2.94–0.30)	0.11
TET2 (457)	3.74 (3.15–4.33)	$2.6 \times 10^{-35}$	1.16 (–0.63–2.95)	0.20	0.52 (–0.22–1.26)	0.17
JAK2 (1)	3.83 (1.47–6.19)	$1.5 \times 10^{-03}$	1.91 (–8.44–12.25)	0.72	2.39 (–1.87–6.66)	0.27
All 55 CHIP genes (1778)	3.25 (2.95–3.55)	$2.4 \times 10^{-99}$	0.81 (–0.23–1.86)	0.13	–0.21 (–0.64–0.22)	0.34

<sup>a</sup>Analysis performed in all participants. <sup>b</sup>Analysis performed in incident prostate cancer cases.

While this study was based on two large datasets, if CHIP has a weak association with prostate cancer risk, a larger number of CHIP carrier prostate cancer cases would be needed to detect such an association. Further, our investigation was limited to men of European ancestry, and it is possible that the role of CHIP in prostate cancer risk could vary in non-European ancestry populations. For example, a germline sequencing study suggested that rare deleterious variants in *TET2* were in aggregate associated with prostate cancer risk in men of African ancestry (27). It is also possible that our approach to identifying CHIP variants may have introduced some non-differential exposure misclassification, although sensitivity analyses testing various VAF thresholds suggest that our findings are robust. In particular, based on previous estimates, the depth of our sequencing coverage in WESP provided ~50% sensitivity to detect CHIP variants in the VAF range of 5–10% and would need to be ~100x to capture variants in this range with closer to 100% sensitivity (14). Observed differences in CHIP carrier frequencies between the UK Biobank and WESP could be in part owing to differences in age distributions and the PoN panels used to call CHIP variants.

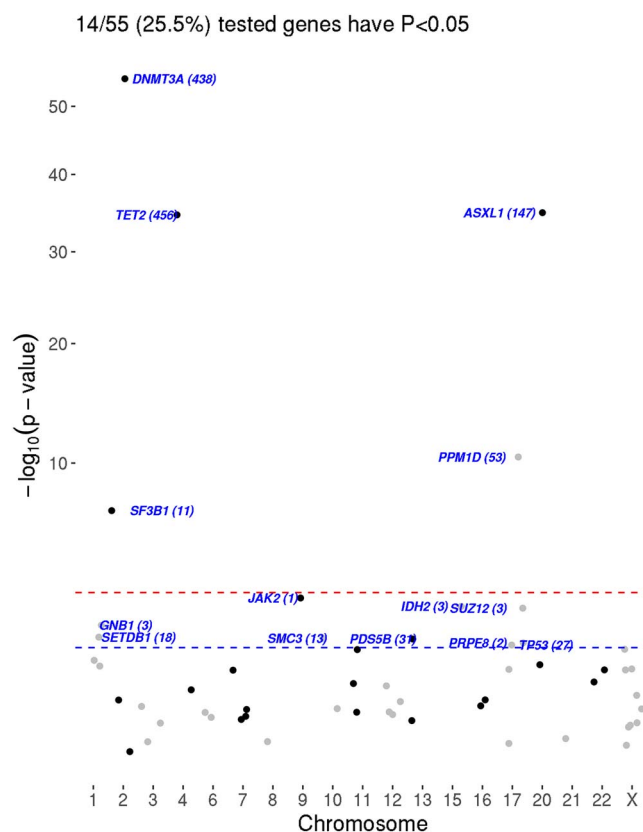
Although our findings do not support an association between age-related CHIP and prostate cancer, a previous study found that therapy-related CH was associated with decreased survival in non-hematologic solid tumor cancer patients (22). As such, it may be relevant to investigate the impact of CHIP on survival in post-therapy prostate cancer patients. Future studies of other types of CH may also provide important insights into prostate cancer risk, such as LOY, which is present in over 40% of men at age 70, is highly heritable, and has been previously associated with increased genetic risk of prostate cancer (6).

## Materials and Methods

### Participants and genetic sequencing

To investigate the association between CHIP variants and risk of overall prostate cancer, we analyzed 69 502 European ancestry men from the UK Biobank with whole exome-sequencing (WES) data, which was generated at the Regeneron Genetics Center (28,29). On average, 95.6% of the targeted regions were sequenced with at least 20x coverage (28). Prostate cancer cases were identified through linkage to the NHS Central Register for the first diagnosis of prostate cancer. Quality control criteria applied to this sample of men from the UK Biobank have been previously described (12).

To investigate the association between CHIP variants and risk of aggressive prostate cancer, 5545 European ancestry men were included from WESP, consisting of 12 large European and US studies (9). WES was performed at the Center for Inherited Diseases



**Figure 1.** Manhattan plots of associations between CHIP genes and age at blood draw in the UK Biobank.

Research with average targeted exon coverage of 56x and 95.7% of targeted regions sequenced with at least 10x coverage. Aggressive prostate cancer was defined as men who either died owing to prostate cancer, had metastatic disease, had stage T4 disease or had stage T3 disease with a Gleason score  $\geq 8$  tumor. Non-aggressive prostate cancer was defined as men who had stage T1/T2 disease and a Gleason score  $\leq 6$  tumor, with 71.3% also having  $\geq 10$  years of follow-up to indicate that they were alive and without recurrence. Details of the study design, sequencing procedures and quality control were previously described (9). Informed consents were obtained from all participants, and study protocols were approved by respective institutional review boards.

### Identification of CH variants

Somatic CHIP variants were identified based on a list of 74 genes with previously reported mutations in human hematologic

cancers (1,14,15). Somatic short variants (SNVs and Indels) were identified using the GATK toolkit following the GATK's best practices workflows. In short, somatic variant calling was carried out using the GATK Mutect2 (13) in tumor-only mode. A panel of normals (PoN) was incorporated to filter out commonly seen sequencing artifacts. A subset of 100 randomly selected UK Biobank individuals under 40 years of age were used as the PoN for UK Biobank, while WESP used the PoN provided in the GATK resource bundle consisting of several hundred normals. Population allele frequencies of common and rare variants from gnomAD were provided in the GATK resource bundle as an external reference of germline variants and were utilized to filter out possible germline variants. Somatic short variants were further filtered through the GATK FilterMutectCalls. Variants included among these genes were those with deleterious (protein truncating or splice altering) functional consequences (17) or those specifically reported in Jaiswal *et al.* (1) with MAF < 0.1% (in the respective UK Biobank or WESP data) and a VAF > 5% in the UK Biobank and > 10% in WESP given differences in sequence coverage, as previously suggested to increase the likelihood of a mutation being somatic (14,18,19). This lower bound VAF in WESP was selected given the coverage of our exome sequencing, as 30–50× coverage has been reported to be able to robustly call CHIP variants with VAF > 10% (14). Based on previous literature (19,20), we also conducted sensitivity analyses using VAFs between 10–40%, 10–60%, and 10–40% or 60–90%. These sensitivity analyses all led to similar results and the same conclusions as our primary analysis. VAFs were calculated with bcftools (fill-tags FORMAT/VAF) as the fraction of reads with the alternate allele (21). We excluded variants with MAF > 0.1% in the Genome Aggregation Database (gnomAD) (16) and those in simple tandem repeat regions (30,31). This led to a total of 1778 variants in 55 CHIP genes identified in the UK Biobank and 360 variants in 52 CHIP genes identified in WESP (Supplementary Material, Tables S1 and S2).

### Identification of DNA repair gene variants

A total of 24 previously curated prostate cancer candidate DNA repair genes (9) were identified, as DNA repair genes have been shown to predispose to prostate cancer (9,10,32–34) and CH (8). Within these genes, pathogenic/likely pathogenic/deleterious (P/LP/D) variants were considered and defined as rare variants (MAF < 0.01) in the study population that had either a Variant Effect Predictor impact score of 'high' (17) and/or a ClinVar classification of pathogenic or likely pathogenic (35). We excluded the known low/moderate prostate cancer risk variant c.9976A>T (rs11571833) in BRCA2 (36).

### Polygenic risk score construction

In the UK Biobank, where genome-wide association study (GWAS) data was available, a PRS was constructed based on a multi-ancestry prostate cancer GWAS meta-analysis of > 230 000 men, where we developed a PRS using 269 variants and corresponding multi-ancestry weights and found that the PRS was highly predictive of prostate cancer risk across populations (11). Of these 269 variants, 267 were present in the UK Biobank data and had an imputation info score > 0.50 (median info score = 0.99). The PRS was calculated as a weighted sum of the number of risk alleles among the 267 variants, using the variant-specific multi-ancestry weights we previously reported.

### Association testing

We evaluated the association between CHIP variants and prostate cancer risk using gene-based analyses, considering carrier status

for qualifying CHIP variants within each gene individually. We also evaluated the association between CHIP variants and prostate cancer risk by aggregating across all genes, considering carrier status for any qualifying variants. Men carrying  $\geq 1$  allele among the identified CHIP genes (individually or in aggregate, depending on the assessment) were considered carriers. In the UK Biobank, we examined associations between carrier status and overall incident prostate cancer, age at enrollment and age at diagnosis (for cases only), adjusting for age at enrollment (for overall prostate cancer and age at diagnosis) and the first 10 genetic principal components of ancestry to account for potential population stratification. In WESP, we examined associations between carrier status and aggressive versus non-aggressive prostate cancer, death owing to prostate cancer versus non-aggressive prostate cancer and metastatic versus non-aggressive prostate cancer. Age at diagnosis, study, country and the first three principal components of ancestry were adjusted for as covariates. Logistic regression models were used for binary prostate cancer outcomes, while linear regression models were used for continuous age outcomes. Cox proportional hazards models were used to evaluate incident prostate cancer status in the UK Biobank with age in years as the time metric, using age at blood draw as the entry time and age at prostate cancer diagnosis as the exit time.

We evaluated the association between the prostate cancer PRS and age-related CHIP carrier status across all CHIP genes and for individual CHIP genes in prostate cancer controls from the UK Biobank. This analysis was performed using logistic regression models with CHIP status as the outcome and the continuous PRS as the predictor, adjusting for age at blood draw and the first 10 principal components of ancestry. We also evaluated the association between carrier status for P/LP/D variants in DNA repair genes and age-related CHIP carrier status using logistic regression models with CHIP status as the outcome and DNA repair gene carrier status as the predictor. In the UK Biobank, analyses were adjusted for age at blood draw and the first 10 principal components of ancestry, and in WESP, analyses were adjusted for age at prostate cancer diagnosis, study, country and the first three principal components of ancestry. Analyses were performed aggregating across all 24 DNA repair genes and separately aggregating across three DNA repair genes: BRCA2, ATM and PALB2, which we previously reported to be associated with aggressive prostate cancer (9).

A Bonferroni-corrected *P*-value < 0.05 was considered statistically significant (*P*-values presented in the 'Results' section are unadjusted). R 3.6.0 was used for all analyses.

### Supplementary Material

Supplementary Material is available at HMG online.

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Conflict of Interest statement. None declared.

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## Data availability

Whole-exome sequencing data along with the clinical status of each WESP participant in this investigation is available through the database of genotypes and phenotypes (dbGaP, accession number: phs001524.v1.p1).

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