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# Brain Molecular Mechanisms in Rasmussen Encephalitis

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# Abstract

**Objective:** Identify molecular mechanisms in brain tissue of Rasmussen encephalitis (RE) when compared to people with non-RE epilepsy (PWE) and control cases using whole exome sequencing (WES), RNAseq, and proteomics.

**Methods:** Frozen brain tissue (ages 2–19 years) was obtained from control autopsy (n=14), surgical PWE (n=10), and surgical RE cases (n=27). We evaluated WES variants in RE associated with epilepsy, seizures, RE, and human leukocyte antigens (HLAs). Differential expression was evaluated by RNAseq (adjusted p<0.05) and label-free quantitative mass spectrometry (false discovery rate<5%) in the three groups.

**Results:** WES revealed no common pathogenic variants in RE, although several rare and likely deleterious variants of unknown significance (VUS; *ANGPTL7/MTOR*, *SCN1A*, *FCGR3B*,

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*MTOR*) and more common *HLA* VUS in >25% RE cases (*HLA-DRB1*, *HLA-DQA2*) all with allele frequency <5% in the general population. RNAseq in RE vs. PWE (1516 altered transcripts) revealed significant activation of crosstalk between dendritic and natural killer cells ( $p=7.94\times10^{-6}$ , z=2.65), in RE vs. control (7466 transcripts) neuroinflammation signaling activation ( $p=6.31\times10^{-13}$ , z=5.07), and in PWE vs. control (945 transcripts) phagosome formation activation ( $p=2.00\times10^{-13}$ , z=5.61). Proteomics detected fewer altered targets.

**Significance:** In RE, we identified activated immune signaling pathways and immune cell type annotation enrichment that suggest roles of the innate and adaptive immune responses, as well as *HLA* variants that may increase vulnerability to RE. Follow up studies could evaluate cell type density and subregional localization associated with top targets, clinical history (neuropathology, disease duration), and whether modulating crosstalk between dendritic and natural killer cells may limit disease progression.

### Introduction

Rasmussen encephalitis (RE) is a unilateral encephalitis characterized by treatment-resistant epilepsy and progressive cognitive and motor decline.<sup>1</sup> MRI reveals inflammation and neuropathology reveals reactive astrocytes, microglial nodules, T cell infiltration, and neuronal loss.<sup>1,2</sup>

Immune mechanisms are implicated in RE. Brain-infiltrating cells include clonally expanded CD8+ T cells, suggesting T cell cytotoxicity responding to an antigen, and adjacent neuron and astrocyte loss.<sup>1,3–7</sup> Th1 activation was supported by targeted transcript analysis in RE brain compared to cortical dysplasia cases<sup>8</sup> and T cell cytokine release.<sup>9</sup> Less frequently, natural killer (NK) and myeloid cells infiltrate brain.<sup>3,5</sup> Targeted genetic analyses link human leukocyte antigen (HLA) haplotypes with RE (*HLA-DQA1, HLA-DQB1, HLA-C, HLA-DRB1*),<sup>10</sup> and some (*HLA-DQA1, HLA-DQB1*) are more frequent in RE brain compared to temporal lobe epilepsy (TLE) on whole exome sequencing (WES).<sup>11</sup> Despite abundant evidence of altered signaling pathways in RE brain and associated genetic variants, mechanisms remain poorly understood, and it is uncertain which are a part or result of the underlying cause.

We evaluated molecular mechanisms in surgical brain tissue from RE compared to cases from surgical people with non-RE epilepsy (PWE) and autopsy controls by WES, RNAseq, and proteomics.

# Materials and Methods

#### Specimens.

Frozen brain tissue (Table 1, Supplemental Table 1) was obtained after Institutional Review Board approval and in accordance with the Declaration of Helsinki from NYU Grossman School of Medicine, Amsterdam UMC, and UCLA. Consent was provided by parent/legal guardian(s). Autopsy controls (postmortem interval<5hours) were obtained from Amsterdam UMC (n=9) and NIH NeuroBioBank (n=5). We excluded cases with seizure history, neurological disease, and neuropathology. Surgical PWE was obtained from NYU Epilepsy Brain Bank (n=10), with diagnoses excluding RE and an encephalomalacia with herpes

simplex virus case. Surgical RE (n=27), based on diagnostic guidelines,<sup>12,13</sup> was obtained from UCLA. To match RE, control and PWE included 2–19 year olds. RE demographics were limited, with sex identified by RNAseq (n=10) with *XIST* and *DDX3Y*.<sup>14</sup>

WES.

DNA was isolated from RE brain (10mg) with DNeasy Purification of Total DNA (Qiagen) by handheld pestle-equipped device, exome libraries prepared by Illumina DNA prep with Enrichment (Cat.20025524), capture with Illumina Human Exome Panel (45 Mb, Cat.20020183), and sequencing at NYU Genome Technology Center (GTC) on Illumina NovaSeq6000 over S1 200 and SP200 cycle flow cells, 100bp paired-end. Average coverage: 143X (range 81–174X, n=27; mean coverage 119X for n=25). Annotations were by GATK Haplotype caller: depth 10, alternate counts 5. Variants were reviewed in Qiagen Clinical Insight (QCI) with phenotype filters: RE, epilepsy, seizures with "include related diseases above." Variants were further filtered by Combined Annotation Dependent Depletion (CADD) score (deleterious (>20), likely deleterious (10–19), unlikely deleterious (<10))<sup>15</sup> and maximum population allele frequency<0.05.

#### RNAseq.

RNA was isolated from brain (10mg) with miRNeasy Purification of Total RNA (Qiagen), using Qiazol with handheld pestle-equipped device, 22-gauge needle. RNA quality and concentration was determined by Agilent Bioanalyzer. Libraries were created by NYU GTC with Illumina Stranded Total RNA Prep with Ribo-Zero Plus (Cat.20040529), and sequenced on Illumina NovaSeq6000 with S2 100-cycle flow cell, 50bp paired-end.

Principal component analysis (PCA) and differential expression was evaluated with NYU Applied Bioinformatics Laboratory. RNAseq was analyzed by sns rna-star pipeline (https://igordot.github.io/sns/routes/rna-star.html). Adapters and low quality bases were trimmed using Trimmomatic (v0.36).<sup>16</sup> Reads were mapped to reference genome (hg38) using STAR aligner (v2.7.3),<sup>17</sup> alignments guided by Gene Transfer Format (GTF), and mean read insert sizes and standard deviations calculated using Picard tools (v.2.18.20). Genes-samples counts matrix was generated using featureCounts (v1.6.3),<sup>18</sup> normalized by library size factors using *DEseq2*,<sup>19</sup> and differential expression analysis performed in R environment (4.0.3). The Read Per Million (RPM) normalized BigWig files were generated using deepTools (v.3.1.0).<sup>20</sup> Venn diagrams were generated with InteractiVenn.<sup>21</sup>

Gene biotypes were determined with *ensembldb*, *AnnotationHub*, *Ens.Db.Hsapiens.v100*. Brain cell type annotations were determined,<sup>22–24</sup> with 1066 possible annotations with each gene having one annotation (except when annotated as both excitatory and inhibitory, gene generally annotated as "neuron"). In comparing previous brain dataset (n=1066), immune cell dataset (n=318),<sup>25</sup> and original brain dataset,<sup>24</sup> genes with duplicate annotations were removed and left 1365 possible annotations. We annotated 1285 genes (1002 brain, 283 immune). Cell type enrichment among differentially expressed transcripts was determined by Fisher's exact test. Pathways associated with altered genes were identified by Ingenuity Pathway Analysis (IPA, Qiagen), with Core Analysis enrichment at p<0.05 and activated/ inhibited |z-score| 2. RNAseq and proteomics were evaluated by Pearson correlation.

# Label-free Quantitative Mass Spectrometry (MS).

MS was performed with NYU Proteomics Laboratory. Brain (10mg) was solubilized in 100% TFA by "SPEED" prep.<sup>26</sup> Samples were incubated in TFA (3min, 73°C), neutralized by 9-fold excess (v/v) 2M Tris, 10mM TCEP, 20mM chloroacetamide (30min, 90°C), centrifuged, and supernatants collected. Samples were diluted 6-fold with water containing sequencing-grade modified trypsin (mass 50:1, protein to trypsin). Overnight digestion (37°C) was stopped by TFA (final 2%) and 1ug peptide/sample loaded on Evosep C18 Tips. Peptides were eluted onto analytical LC column coupled to mass-spectrometer and separated on C18 analytical column (15cm × 150um ID, packed with ReproSil-Pur C19 1,9A beads, Evosep V1106) over 88min gradient using extended Evosep One method (15SPD) on Evosep One LC system. Peptides were directly eluted into Orbitrap HF-X massspectrometer (ThermoFisher) in data-independent mode (DIA) with MS2 fragmentation across 22m/z windows every MS1 scan event. High resolution full MS spectra were acquired with 120,000 resolution, 3e6 AGC target, 60ms maximum ion injection time, and 350-1650m/z scan. Following each MS scan, data-independent HCD MS/MS scans were acquired at 30,000 resolution, 3e6 AGC target, stepped NCE of 22.5, 25 and 27.5. Data were analyzed using Spectronaut, searched in directDIA mode against SwissProt human Uniprot database. Database search was performed in integrated search engine Pulsar. Enzyme specificity: trypsin, 2 maximum missed cleavages. Oxidation of methionine was searched as variable modification; cysteine carbamidomethylation was searched as fixed modification. False discovery rate (FDR) for peptide, protein, and site identification was 1%. Protein quantification was performed on MS2 level using 3 most intense fragment ions/precursor. Data was log transformed and normalized using median intensity across all samples; common contaminants, particularly hemoglobin, were excluded to reduce contamination effect. Raw file MassIVE ID:MSV000089203.

Protein matrix (n=3,886) was filtered for proteins quantified in 48% cases in 1 group (RE, PWE, Control) to n=3,721. For PCA, missing values were imputed from normal distribution (0.3width, 1.8downshift, relative to measured protein intensity distribution). Two sample t-tests were performed in Perseus v.1.6.2.3, FDR<5% (permutation-based with 250 data randomizations). Cell type annotations determined as above. We annotated 444 proteins (402 brain, 42 immune). Pathways were identified with IPA as above.

# Results

#### WES

RE brain WES (n=27) identified no common pathogenic or likely pathogenic variants. Seventeen nonsynonymous variants of unknown significance (VUS) were identified in seven genes from 11 cases with CADD>20 (n=6): *ANGPTL7/MTOR, C5, SCN1A, FCGR3B*; CADD 10–19 (n=6): *FCGR2B, C4A, C5*; and CADD<10 (n=5): *FCGR2A, FCGR3B, C5. ANGPTL7/MTOR* variants were present in the *ANGPTL7* exon plus strand and in the *MTOR* intron minus strand. Among the VUS, all were heterozygous (except *FCGR2B,* rs2125684) and only one variant occurred in two cases (*FCGR2A,* rs382627; CADD<10). Of immune related genes (excluding *MTOR, SCN1A*), there were VUS in nine cases with

CADD>10. Among variants with CADD>20, five variants occurred at maximum population allele frequency<0.05: *ANGPTL7/MTOR*, *SCN1A*, and *FCGR3B* (Supplemental Table 2).

We examined *HLA* variants, reportedly associated with RE.<sup>10,11</sup> We found nonsynonymous VUS in 13 *HLA* genes. Of *HLA* VUS in >25% RE (n=7/27) and maximum population allele frequency<0.05, there were six variants in three genes: *HLA-DQA2* (rs62623408, rs148720159), *HLA-DQB2* (rs200716952), and *HLA-DRB1* (rs2308775, rs17882455, rs36044702). All were CADD>20 except *HLA-DQB2* (CADD 10–19), and all variants were heterozygous (except *HLA-DRB1*, rs17882455, n=1). All three *HLA-DRB1* variants were present in six cases.

#### **RNAseq Differential Expression**

After RNAseq in control (n=14), PWE (n=10), and RE (n=25), PCA segregated RE from control in PCA1 (p=0.0040; Figure 1A–B). In PCA2, there was segregation of RE and PWE (p=0.0015) as well as PWE and control (p=0.023; Figure 1C). Differential expression identified altered transcripts in each pairwise comparison (Supplemental Tables 3–5) and overlap of some transcripts (Figure 1D). When comparing RE and PWE, there were 1516 altered (Figure 1E). For RE vs. control, there were 7466 altered (Figure 1F). For PWE vs. control, there were 945 altered (Figure 1G).

#### **RNAseq Cell Type Annotation**

Most transcripts were ubiquitously expressed by multiple cell types or it was undetermined (Figure 1E–G, Supplemental Tables 3–5). In each pairwise comparison, there was enrichment for both brain and immune cell type annotations. Among the 1516 transcripts in RE vs. PWE, there was enrichment for immune cell type [T cell ( $p=3.46\times10^{-6}$ ), NK cell ( $p=6.53\times10^{-5}$ ), plasma cell ( $p=2.29\times10^{-2}$ )] and brain cell type annotations [neuron ( $p=2.00\times10^{-3}$ ), excitatory neuron ( $p=4.85\times10^{-2}$ ); Figure 2A]. For the 7466 transcripts in RE vs. control, there was enrichment for immune cell type [T cell ( $p<2.20\times10^{-16}$ ), macrophage ( $p<2.20\times10^{-16}$ ), monocyte ( $p=2.58\times10^{-6}$ ), NK cell ( $p=5.00\times10^{-4}$ ), B cell ( $p=2.51\times10^{-2}$ ), neutrophil ( $p=3.91\times10^{-2}$ )] and brain cell type annotations [microglia ( $p=4.25\times10^{-7}$ ), oligodendrocyte ( $p=1.00\times10^{-4}$ ), excitatory neuron ( $p=6.90\times10^{-3}$ ); Figure 2B]. For the 945 transcripts in PWE vs. control, there was enrichment for immune cell type [macrophage ( $p<2.20\times10^{-16}$ ), monocyte ( $p=2.71\times10^{-5}$ ), T cell ( $p=3.00\times10^{-4}$ ), B cell ( $p=1.50\times10^{-3}$ )] and brain cell type annotations [microglia ( $p=4.25\times10^{-3}$ )] and brain cell type [macrophage ( $p<2.20\times10^{-16}$ ), monocyte ( $p=2.71\times10^{-5}$ ), T cell ( $p=3.00\times10^{-4}$ ), B cell ( $p=1.50\times10^{-3}$ )] and brain cell type annotations [microglia ( $p=4.25\times10^{-3}$ )] and brain cell type annotations [microglia ( $p=2.20\times10^{-16}$ )].

Among top enriched immune cell type annotations, T cell annotations were most significant in RE vs. PWE (15 increased transcripts) and RE vs. control (58 increased). By comparison in PWE vs. control, there were 8 increased and 1 decreased T cell transcripts. After T cell, NK cell transcripts were most significant in RE vs. PWE (5 increased). For RE vs. control, there were 8 increased NK cell transcripts. NK cell annotation enrichment was not present in PWE vs. control. Macrophage annotation enrichment was present in RE vs. control (55 increased) and PWE vs. control (32 increased), but not in RE vs. PWE.

The top two brain cell type annotations in each pairwise comparison included: for RE vs. PWE were neuron (7 decreased, 1 increased) and excitatory neuron (3 increased, 2 decreased), for RE vs. control were microglia (17 increased) and oligodendrocyte (39

increased, 2 decreased), and for PWE vs. control were microglia (7 increased) and neuron (78 decreased, 4 increased).

#### **RNAseq Signaling Pathways**

For RE vs. PWE, the 1516 transcripts were associated with ten signaling pathways (p<0.05, |z-score| 2; 1 activated, 9 inhibited; Supplemental Table 6). Most significant was activation of crosstalk between dendritic cells (DCs) and NK cells (p=7.94×10<sup>-6</sup>, z=2.65; Figure 2D). For RE vs. control, the 7466 transcripts were associated with 41 pathways (23 activated, 18 inhibited; Supplemental Table 7). Among the top ten pathways, there were eight activated and two inhibited (Figure 2E). Most significant was neuroinflammation signaling activation (p=6.31×10<sup>-13</sup>, z=5.07). For PWE vs. control, the 945 transcripts were associated with 30 pathways (27 activated, 3 inhibited; Supplemental Table 8). Among the top ten pathways, there were eight activated and two inhibited (Figure 2F). Most significant was phagosome formation activation (p=2.00×10<sup>-13</sup>, z=5.61).

There was some overlap of altered pathways among pairwise comparisons. Only one pathway was common to all, crosstalk between DCs and NK cells. In RE vs. PWE, this included 14 transcripts (Figure 3). It was also activated in PWE vs. control to a lesser extent ( $p=3.24\times10^{-5}$ , z=3.32; Supplemental Table 8) with 11 differently altered transcripts. In RE vs. control, it was activated (37 transcripts;  $p=4.37\times10^{-6}$ , z=5.20; Supplemental Table 7), with transcripts overlapping other pairwise comparisons (15 unique transcripts). Among all pathways, 13 overlapped when comparing RE vs. control and PWE vs. control, and they were consistently activated or inhibited with some differently altered transcripts. For RE vs. PWE, there were eight pathways (paxillin signaling shared with RE vs. control) that had no overlap with other pairwise comparisons; all inhibited.

T cells are involved in RE and were most enriched among significant transcripts in RE vs. PWE and control. However for RE vs. PWE, none of the 15 T cell transcripts were associated with altered pathways. In RE vs. control, 13/58 T cell transcripts were among 6/41 activated pathways (Th2 pathway, Th1 pathway, phagosome formation, macrophage NO and ROS production, crosstalk of DCs and NK cells, TREM1 signaling; Supplemental Table 7) and 2/41 inhibited pathways (paxillin signaling, cardiac hypertrophy signaling). Other pathways without T cell transcripts may modulate T cell activation;<sup>27</sup> e.g., inhibition of the PD-1, PD-L1 cancer immunotherapy pathway in RE vs. control. For PWE vs. control, several T cell transcript related pathways were altered. There were 3/9 T cell transcripts represented among 8/30 activated pathways (phagosome formation, crosstalk of DCs and NK cells, NK cell signaling, DC maturation, leukocyte extravasation signaling, NFAT immune response regulation, hepatic fibrosis signaling, CD28 signaling in T helper cells; Supplemental Table 8).

Neuronal signaling was impacted in RE and PWE. Among the ten altered pathways in RE vs. PWE, several may impact neuronal signaling (e.g., altered cytoskeletal transcripts, *ACTG1* in 7/10 pathways). Among the 41 pathways in RE vs. control, several may directly or indirectly impact neuronal signaling. The synaptogenesis pathway was inhibited (55 decreased transcripts, 24 increased,  $p=1.29\times10^{-2}$ , z=-3.08), with many overlapping ephrin signaling (decreased *EPHB3*, *EFNB2*, *EFNA2*, *EFNB1*, *EPHA2*, *EPHA10*, increased

*EPHA1*; 61 altered transcripts,  $p=2.59\times10^{-4}$ , z=-1.18). Similarly, in PWE vs. control, among the 30 altered pathways, there were altered neuronal pathways that included G-protein coupled receptor signaling activation ( $p=7.94\times10^{-5}$ , z=3.12) and CREB signaling in neurons ( $p=2.24\times10^{-4}$ , z=2.92).

# **Proteomics Differential Expression**

Label-free quantitative MS identified 3721 proteins from control (n=14), PWE (n=10), and RE (n=25). PCA indicated no segregation of groups in PCA1 or PCA2 (Figure 4A–C). Differential expression identified altered proteins in each pairwise comparison, as well as some overlap of proteins (Figure 4D). When comparing RE and PWE at FDR<5%, there were 3 altered proteins (2 up, 1 down; Figure 4E). For RE vs. control, there were 19 proteins (18 up, 1 down; Figure 4F). For PWE vs. control, there were 20 proteins (16 up, 4 down; Figure 4G).

Most proteins were ubiquitously expressed by multiple cell types or it was undetermined (Figure 4E–G, Supplemental Table 9). There was no cell type enrichment among significant proteins. For each pairwise comparison, there were no pathways associated with altered proteins.

#### **Correlation of RNAseq and Proteomics**

Among altered transcripts in RE vs. PWE, 865/1516 were protein coding (393 lncRNA). For RE vs. control, 4582/7466 were protein coding (1869 lncRNA). For PWE vs. control, 620/945 were protein coding (227 lncRNA). However, many significant transcripts were not detected by proteomics, with 12.9% (196 proteins/1516 RNA) for RE vs. PWE, 13.3% (994 proteins/7466 RNA) for RE vs. control, and 9.8% (93 proteins/945 RNA) for PWE vs. control.

Of significant targets identified and detected in RNAseq or proteomics, correlation analyses determined similarities in expression. For RE vs. PWE, 49% (99/202) changed in the same direction but with no correlation between RNAseq and proteomics (p=0.15,  $R^2=0.010$ ; Supplemental Figure 1A). For RE vs. control, 70% (703/1001) changed in the same direction, and there was a positive correlation (p<0.0001,  $R^2=0.22$ ; Supplemental Figure 1B). For PWE vs. control, 69% (65/94) changed in the same direction, and there was a positive correlation the same direction, and there was a positive correlation for the same direction.

#### Comparison of WES with RNAseq and Proteomics

Of the three genes with VUS in RE, one (*FCGR3B*) was among two of the activated RNAseq pathways in RE vs. control (Phagosome Formation, Fc $\gamma$  Receptor-mediated Phagocytosis in Macrophages and Monocytes; Supplemental Table 2). The two *HLA* genes with VUS were among six altered pathways in RE vs. control (Neuroinflammation Signaling Pathway, Th2 Pathway, PD-1, PD-L1 cancer immunotherapy pathway, Th1 Pathway, Crosstalk between DC and NK Cells, MSP-RON Signaling In Macrophages Pathway). Of note, *HLA* transcript expression was altered in each pairwise comparison, with one increased (*HLA-F*) in RE vs. PWE, 23 increased in RE vs. control, and 11 increased in PWE vs. control (all overlapping with RE vs. control). *HLA-DRB1* was increased 7.0-fold

in RE vs. control (not in other pairwise comparisons), *HLA-DQA2* increased 21.6-fold (also increased in PWE vs. control, 2.16-fold), and *HLA-DQB2* increased 6.68-fold (not in other pairwise comparisons). No pathways identified by RNAseq for RE vs. PWE included the three genes with VUS, nor the two *HLA* genes.

By proteomics, of the three genes with VUS, MTOR was not differentially expressed (SCN1A, FCGR3B not detected). No HLA proteins were differentially expressed in any pairwise comparison (detected: HLA-A, HLA-B, HLA-C, HLA-DRA, HLA-DRB1).

# Discussion

In RE brain, we performed the first RNAseq and proteomics analyses. We identified by RNAseq altered immune pathways, immune cell type annotation enrichment, and by WES *HLA* variants more common to RE. These findings demonstrate immune cell infiltration associated with innate and adaptive immune responses, as well as *HLA* variants that may increase vulnerability to RE. Most significantly there was activated crosstalk between DCs and NK cells in RE vs. PWE. Cell type annotation identified top enrichment in RE vs. PWE for T cell and NK cell, RE vs. control for T cell and macrophage, and in PWE vs. control for macrophage and microglia. Many significant transcripts identified by RNAseq were not detected by proteomics. There were few differentially expressed proteins and there was some correlation of expression levels among significant targets by RNAseq and proteomics.

In RE, we identified several VUS potentially related to a modified immune response or seizure threshold. We identified SCN1A (T297I), reported in epilepsy in combination with another variant<sup>28</sup> and in a non-conserved amino acid.<sup>29</sup> We also identified ANGPTL7/ MTOR (Q175H, R140H). ANGPTL7 is involved in extracellular matrix organization, decreased in hepatocellular carcinoma with microvascular invasion.<sup>30</sup> MTOR (N161S) was reported with other variants in cancer patients.<sup>31,32</sup> The FCGR3B variant was present in an intron. FCGR3B variants and low copy number variation are associated with autoimmunity and infectious diseases.<sup>33</sup> Additionally, HLA variants may be associated with RE,<sup>10,11</sup> including HLA-DRB1 linked to autoimmune diabetes and multiple sclerosis. We identified two VUS (HLA-DRB1, HLA-DQA2) present in >25% RE, rare in the general population, more likely deleterious, and heterozygous except for one variant in one case. Previous RE brain WES evaluated non-silent variants in >80% of 15 RE cases and compared frequency to 10 TLE cases, associating deleteriousness to RE enriched variants, and reported variants that did not meet our filter thresholds.<sup>11</sup> As with *FCGR3B*, follow up validation is needed in these highly variable regions associated with neuroinflammatory diseases like Alzheimer's disease and multiple sclerosis,<sup>34</sup> including correlation to allele expression levels that may influence disease susceptibility.<sup>35</sup> Future studies may be of interest to identify low frequency mosaic variants with deeper sequencing, potentially in isolated brain-infiltrating lymphocytes that may provide better resolution and identification of more rare variants.

RNAseq identified immune cell transcripts in RE and PWE. CD8+ T cell cytotoxicity is implicated in RE;<sup>1,3–6</sup> with an immune profile of three RE cases revealing mainly CD8+ T cells and fewer CD4+ T cells, NK cells, myeloid cells, and B cells.<sup>5</sup> We similarly observed T cell, NK cell, macrophage, monocyte, plasma cell, B cell, and

neutrophil transcript enrichment in RE compared to PWE and control. In PWE vs. control, macrophage, monocyte, T cell, and B cell transcripts were enriched. Epileptic foci may contain inflammation and infiltrating immune cells that are unrelated to infection or immune-mediated etiology, including monocytes after status epilepticus, macrophages and neutrophils during epileptogenesis, and perivascular T cells.<sup>36</sup> In the immune profile of six pediatric focal cortical dysplasia (FCD) and tuberous sclerosis complex (TSC) cases (both identified in 3/10 of our PWE cases), the most abundant brain-infiltrating immune cells included myeloid and NK cells.<sup>5</sup> Future studies may evaluate immune cell numbers (transcript numbers and expression levels do not necessarily correlate to cell number), histology (perivascular/infiltrating), and cell type distinction (macrophage/microglia/DCs).

Our RNAseq also identified altered brain cell type transcripts. With reported microglial nodules, neuronal and astrocyte loss, and white matter inflammation and damage,<sup>1,2,37</sup> our observed cell type enrichment may reflect microgliosis, neuronal loss, or neuronal dysfunction. Oligodendrocyte enrichment may relate to neuronal loss, brain atrophy, compensatory response, or included adjacent white matter in specimens. Astrocyte transcripts were not enriched in RE vs. PWE or control, however some were differentially expressed in RE (most increased, without accounting for cell loss or proliferation). For PWE vs. control, microglial transcripts were increased and neuron transcripts decreased, which may reflect microgliosis, neuronal loss, and neuronal dysfunction. RNAseq in adult TLE cortex identified pathways associated with decreased neurons and synaptic signaling, with some immune related pathways activated.<sup>38</sup> Another smaller RNAseq study in adult TLE also found increased astrocyte and microglia transcripts and decreased neuronal transcripts in hippocampus, with fewer differences in cortex.<sup>39</sup> Our prior proteomic study identified decreased neuronal proteins in the hippocampus, and did not detect cortical immune pathway activation.<sup>22</sup>

RNAseq identified activated immune pathways in RE and PWE, most significantly crosstalk of DCs and NK cells in RE. DCs are professional antigen presenting cells that detect endogenous and exogenous molecules, link innate and adaptive immune responses, and promote NK and T cell cytotoxicity.<sup>40</sup> NK cells are involved in innate and adaptive immunity to pathogens, autoimmunity, and tumor immunosurveillance.<sup>41,42</sup> NK cell response is regulated by a receptor network, with activation resulting in lytic granule release; indirect lytic activity via pro-inflammatory cytokines that activates CD8+ T cells, antibodydependent cell-mediated cytotoxicity via FcyRIIIa (FCGR3A) ligation that promotes DC maturation; activation via death-receptor ligation (Fas, TRAIL) resulting in apoptosis; and inhibition via killer cell immunoglobulin-like receptors (KIR) that recognize HLA to mediate autoimmunity and tumor immunosurveillance.<sup>41</sup> In our dataset, *NCR1* (NKp46), GZMB (granzyme B), FASLG, KLRD1, KIR3DL2, and KIR2DL4 were increased in RE vs. PWE and control (not PWE vs. control). FCGR3A was increased in RE and PWE vs. control (not RE vs. PWE). PRF1 (perforin) was increased in RE vs. control. Crosstalk of DCs and NK cells includes a subset of the aforementioned molecules and mechanisms, which can result in NK cell activation, DC maturation, and apoptosis.<sup>43,44</sup> NK cells infiltrate RE brain, but DCs have not been investigated. However, occasional myeloid cells are reported.<sup>5</sup> The DC and NK crosstalk pathway was also activated in PWE. DCs have been observed in FCD type II and an epilepsy animal model.<sup>45</sup> and NK cells were detected in an immune profile of

TSC and FCD.<sup>5</sup> Overall we identified more significant DC and NK cell crosstalk activation in RE vs. PWE, although with some similarities to PWE, that suggests both active innate and adaptive immune responses in RE.

We identified altered neuronal pathways in RE and PWE. Synaptogenesis signaling was inhibited in RE vs. control, including general synaptic and ephrin transcripts. Ephrin signaling is related to both neuronal (axon guidance, dendrite spine morphogenesis) and immune cell types (infection, tumor, cell migration), as well as crosstalk among various cell types that express ephrin ligands and receptors resulting in bidirectional signaling.<sup>46,47</sup> Neuronal damage caused by CD8+ T cell cytotoxicity and NK cells, found adjacent to neurons in RE,<sup>1</sup> can result in permanent dysregulation of brain circuitry through phagocyte-mediated synaptic stripping and neuronal death.<sup>48,49</sup>

Our study had several limitations. Exome sequencing was performed at a depth that allowed for identification of variants in the RE cohort, but may not provide resolution to identify less frequent mosaic variants in bulk brain tissue. We compared autopsy and surgical sources and different cortical regions, potentially with inherent gene expression differences. Clinical variables may impact gene expression, i.e. medications, neuropathology, disease progression. The RE immune response may differ at various stages.<sup>8</sup> Although we identified a 50–70% correlation of significantly detected transcripts and proteins, there are likely several factors that contributed to fewer differences observed by proteomics that include high abundance of hemoglobin that shifts detection of less abundant proteins below the detectable threshold, more disease/protein impact on a relatively small immune cell population that may be diluted in bulk brain tissue, and some heterogeneity of clinical variables (i.e. disease duration).

In summary, we identified activated immune pathways and immune cell type annotation enrichment in RE brain. Activated crosstalk of DCs and NK cells in RE suggests an active role of both innate and adaptive immune responses, in addition to inhibited synaptogenesis signaling that may contribute to neurological dysfunction. Further, we identified VUS that were associated with the altered pathways and thus may contribute to RE susceptibility. Future studies should evaluate how gene expression relates to clinical information as this may be associated with underlying etiology or the result of disease progression, and whether modulating crosstalk between DCs and NK cells can limit disease progression.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Conflicts of Interest/Ethical Publication Statement**

We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

The authors report no conflicts of interest. Daniel Friedman receives salary support for consulting and clinical trial related activities performed on behalf of The Epilepsy Study Consortium, a non-profit organization. Dr. Friedman receives no personal income for these activities. NYU receives a fixed amount from the Epilepsy Study Consortium towards Dr. Friedman's salary. Within the past two years, The Epilepsy Study Consortium received payments for research services performed by Dr. Friedman from: Alterity, Baergic, Biogen, BioXcell, Cerevel, Cerebral, Jannsen, Lundbeck, Neurocrine, SK Life Science, and Xenon. He has also served as a paid consultant for Neurelis Pharmaceuticals and Receptor Life Sciences. He has received research support from NINDS, CDC, Epitel, and Neuropace unrelated to this study. He holds equity interests in Neuroview Technology. He received royalty income from Oxford University Press. Sasha Devore receives salary support from the National Institutes of Health, Department of Defense, and the Templeton World Charity Foundation unrelated to this study.

# Data Availability

The data that support the findings of this study are available in the public repositories listed in the methods, supplemental files, and from the authors upon reasonable request.

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# **Key Points**

- **1.** RNAseq in Rasmussen encephalitis (RE) brain tissue identified altered immune pathways and immune cell type transcript enrichment.
- 2. The most significantly altered pathway in RE vs. other epilepsies was activated crosstalk between dendritic and natural killer cells.
- **3.** By whole exome sequencing, we identified *HLA* variants potentially deleterious and more common in RE.
- 4. These findings demonstrate innate and adaptive immune responses in brain, as well as *HLA* variants that may increase vulnerability to RE.

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**A-B)** PCA of RNAseq (control: n = 14, PWE: n = 10, RE: n = 25) in brain tissue indicated segregation of the RE group from the control group in PCA1 (p = 0.0040). **C**) In PCA2, there was segregation of the control and PWE groups (p = 0.023), as well as the PWE and RE groups (p = 0.0015). **D**) Differential expression analysis for each pairwise comparison are indicated, as well as overlap in the number of significant transcripts, at an adjusted p value < 0.05 (dotted line), when comparing **E**) RE vs. PWE (1516 transcripts), **F**) RE vs. control (7466 transcripts), and **G**) PWE vs. control (945 transcripts). Annotations include the number of significantly increased (red arrow) and decreased (blue arrow) transcripts, top 5 altered transcripts are annotated by gene name, and brain and immune cell type annotations for each significant transcript are indicated.

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# Figure 2. Cell types and signaling pathways associated with differentially expressed transcripts by RNAseq.

All cell type annotations associated with significantly altered transcripts are indicated for each pairwise comparison. **A**) Cell type annotation analysis of differentially expressed transcripts by Fisher's exact test indicated enrichment (p < 0.05) for transcripts associated with T cell ( $p = 3.46 \times 10^{-6}$ ), NK cell ( $p = 6.53 \times 10^{-5}$ ), neuron ( $p = 2.00 \times 10^{-3}$ ), plasma cell ( $p = 2.29 \times 10^{-2}$ ), and excitatory neuron ( $p = 4.85 \times 10^{-2}$ ) in RE vs. PWE. **B**) For RE vs. control, there was enrichment for transcripts with annotations for T cell ( $p < 2.20 \times 10^{-16}$ ), microglia ( $p = 4.25 \times 10^{-7}$ ), monocyte ( $p = 2.58 \times 10^{-6}$ ), oligodendrocyte ( $p = 1.00 \times 10^{-4}$ ), NK cell ( $p = 5.00 \times 10^{-4}$ ), excitatory neuron ( $p = 6.90 \times 10^{-3}$ ), B cell ( $p = 2.51 \times 10^{-2}$ ), and neutrophil ( $p = 3.91 \times 10^{-2}$ ). **C**) For PWE vs. control, there was enrichment for transcripts with annotations for macrophage ( $p < 2.20 \times 10^{-2}$ ), and neutrophil ( $p = 3.91 \times 10^{-2}$ ). **C**) For PWE

× 10<sup>-16</sup>), microglia (p =  $4.27 \times 10^{-6}$ ), monocyte (p =  $2.71 \times 10^{-5}$ ), T cell (p =  $3.00 \times 10^{-4}$ ), B cell (p =  $1.50 \times 10^{-3}$ ), and neuron (p =  $1.20 \times 10^{-2}$ ). **D**) For RE vs. PWE, the 1516 altered transcripts were significantly associated with 1 activated pathway (red) and 9 inhibited pathways (blue), detailed further in Supplemental Table 6 (p value of overlap < 0.05, z score |2|). The most significantly altered pathway was activation of crosstalk between DCs and NK cells (p value of overlap =  $7.94 \times 10^{-6}$ , z = 2.65). **E**) For RE vs. control, the 7466 transcripts were associated with 23 activated and 18 inhibited pathways, detailed further in Supplemental Table 7. The top 10 significantly altered pathway are indicated, the most significant was activation of the neuroinflammation signaling pathway (p =  $6.31 \times 10^{-13}$ , z = 5.07). **F**) For PWE vs. control, the 945 transcripts were associated with 27 activated and 3 inhibited pathways, detailed further in Supplemental Table 8. The top 10 significantly altered pathway s activation of the pathways (p =  $2.0 \times 10^{-13}$ , z = 5.61).



Figure 3. Altered transcripts in RE vs. PWE crosstalk between DCs and NK cells signaling pathway by RNAseq.

When comparing RE and PWE by RNAseq, the most significantly altered signaling pathway was activation of the crosstalk between DCs and NK cells signaling pathway (p value of overlap =  $7.94 \times 10^{-6}$ , z = 2.65). A-O) The significantly altered transcripts associated with this pathway are depicted in order of decreasing significance (\* adjusted p < 0.05, \*\* p < 0.01, \*\*\* p < 0.0001). Error bars indicate SEM.

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**A-C)** PCA of proteomics (control: n = 14, PWE: n = 10, RE: n = 25) in brain tissue indicated no segregation of groups. **D**) Differential expression analysis for each pairwise comparison are indicated, as well as overlap in the number of significant transcripts, at a 5% FDR (dotted line), when comparing **E**) RE vs. PWE (3 proteins), **F**) RE vs. control (19 proteins), and **G**) PWE vs. control (20 proteins). Annotations include the number of significantly increased (red arrow) and decreased (blue arrow) transcripts, all altered proteins are annotated by gene name, and brain and immune cell type annotations for each significant protein are indicated.

#### Table 1.

# Case History Summary

Group	Cases	Age	Sex
Control	14	$13.5\pm5.4$	6 F / 8 M
PWE	10	$11.4\pm1.9$	1 F / 9 M
RE	27	$8.7\pm3.9$	21 F / 6 M

 $Mean \pm standard \ deviation \ is \ indicated. \ Known \ demographic \ information \ indicated \ for \ RE \ cases \ (age, \ n=22).$