#### NEUROLOGICAL UPDATE



# A systematic review of local field potential physiomarkers in Parkinson's disease: from clinical correlations to adaptive deep brain stimulation algorithms

Bernadette C. M. van Wijk<sup>1,2</sup> · Rob M. A. de Bie<sup>2</sup> · Martijn Beudel<sup>2</sup>

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#### Abstract

Deep brain stimulation (DBS) treatment has proven effective in suppressing symptoms of rigidity, bradykinesia, and tremor in Parkinson's disease. Still, patients may suffer from disabling fluctuations in motor and non-motor symptom severity during the day. Conventional DBS treatment consists of continuous stimulation but can potentially be further optimised by adapting stimulation settings to the presence or absence of symptoms through closed-loop control. This critically relies on the use of 'physiomarkers' extracted from (neuro)physiological signals. Ideal physiomarkers for adaptive DBS (aDBS) are indicative of symptom severity, detectable in every patient, and technically suitable for implementation. In the last decades, much effort has been put into the detection of local field potential (LFP) physiomarkers and in their use in clinical practice. We conducted a research synthesis of the correlations that have been reported between LFP signal features and one or more specific PD motor symptoms. Features based on the spectral beta band (~13 to 30 Hz) explained ~17% of individual variability in bradykinesia and rigidity symptom severity. Limitations of beta band oscillations as physiomarker are discussed, and strategies for further improvement of aDBS are explored.

Keywords Parkinson's disease · Deep brain stimulation · Subthalamic nucleus · Electrophysiology · Beta oscillations

# Introduction

Parkinson's disease (PD) is a neurodegenerative disease leading to a wide range of motor and non-motor symptoms. To date, neither a cure nor disease-modifying therapies are available. Dopaminergic medication may adequately suppress initial symptoms but typically become less effective as the disease progresses. Late-stage PD patients may be referred for stereotactic procedures such as deep brain stimulation (DBS). On average, DBS treatment significantly reduces motor symptoms as measured with the Unified Parkinson's Disease Rating Scale (UPDRS) [1–4]. However, clinical outcomes are variable across individuals, and

<sup>1</sup> Department of Human Movement Sciences, Vrije Universiteit Amsterdam, Van der Boechorststraat 9, 1081 BT Amsterdam, The Netherlands

<sup>2</sup> Department of Neurology, Amsterdam University Medical Centers, Amsterdam Neuroscience, University of Amsterdam, Amsterdam, The Netherlands stimulation-induced side-effects such as dyskinesia, dysarthria, and neuro-psychiatric symptoms are common [5]. DBS efficacy may also vary during the day within individuals as a result of concurrent medication-intake or physiological fluctuations. These challenges call for an optimisation of stimulation settings adjusted to the individual patient and in a time-dependent way.

To achieve this, so-called physiomarkers related to the severity of certain (non-)motor symptoms or states can help to optimally titrate stimulation. For example, DBS could be switched on based on the detection of a physiomarker that signals the presence of tremor, and switched off when the physiomarker is no longer detected. This form of DBS is called "adaptive" (aDBS) or "closed-loop" DBS [6] and is currently already applied as clinical care in some countries [7]. aDBS potentially reduces side-effects due to overstimulation, saves battery power consumption, and also holds promise for implementing symptom-specific stimulation settings. The success of aDBS applications critically depends on the quality and predictive value of the used physiomarker. Non-invasive electrophysiological signals such as the EEG and ECG are relatively easy to measure but may not have

Bernadette C. M. van Wijk b.c.m.van.wijk@vu.nl

a clear relation to symptom severity. EMG and accelerometry are capable of detecting tremor and dyskinesia symptoms [8-10] but are also prone to confounding signals from voluntary movements. In general, the following criteria can be applied to judge the clinical usefulness of a certain physiomarker:

(1) Indicative	Is the physiomarker sufficiently linked to the severity of fluctuating symptoms?
(2) Individual	Is the physiomarker detectable in every patient and patient-specific if needed?
(3) Implementable	Is the physiomarker (technically) capable of automatically titrating stimulation?

A primary candidate for extracting suitable physiomarkers is the local field potential (LFP) signal that can be recorded from the DBS electrode contacts that are not used for stimulation. Modern sensing-enabling neurostimulators such as the Medtronic Percept<sup>TM</sup> PC [11] have demonstrated that it is technically feasible to integrate LFP recordings and stimulation within the same DBS device. This has the advantage that neural activity can be recorded directly from the DBS target structures that are thought to be implicated in the disease. LFP signal features might therefore have a direct (causal or associative) relation with clinical symptoms. In the last decades, much effort has been put into the discovery of LFP physiomarkers in PD and in their use in clinical practice. In this systematic review, we provide an overview of the markers that have been studied, show their pooled effect sizes, and discuss to what extent they are indicative, individual, and implementable for successful aDBS treatment.

## Literature search and research synthesis

The scientific literature was searched for studies that report outcomes of a correlation analysis between subthalamic nucleus (STN) LFP signal features and PD symptoms. The following search term was used in Web of Science: (LFP\* OR "local field potential\*") AND correlat\* AND Parkinson\*. This resulted in 270 abstracts that were scanned for relevance. Additional literature was collected through snowball sampling. Single subject cases and experimental studies in non-human species were excluded. Reported outcomes from Pearson (R) or Spearman ( $\rho$ ) correlation analysis with UPDRS (sub-)scores were identified in the main text of the selected studies. These are presented separately for significant correlations in Table 1 and for non-significant correlations in Table 2. Considered categories are: total UPDRS-III score, total hemibody score (bradykinesia + rigidity + tremor items), hemibody bradykinesia + rigidity items, and hemibody tremor items. Pooled effect sizes were computed separately for R and  $\rho$  according to [12] and are displayed together with the distribution of data points in Fig. 1. A random-effects model was used to account for between-study heterogeneity with restricted maximum likelihood estimation of the heterogeneity variance.

#### **Overview of LFP physiomarkers in PD**

Out of the LFP signal features that have been linked to Parkinsonian symptom severity so far, the most frequently reported associations are between UPDRS-III (motor) scores of hemibody rigidity and bradykinesia and measures of contralateral STN beta (~13 to 30 Hz) oscillations. Higher spectral power values [13-22], a larger spatial extent over which beta oscillations can be detected [21, 23], longer bursts of beta oscillations in ongoing time series [24, 25], lower complexity of time series [26], and fewer fluctuations in spectral power over time [27] have all been linked to more impairment. Pooled together, their estimated correlation value with bradykinesia and rigidity symptoms equalled 0.416 for R (95%CI: [0.340 0.486];  $Q = 27.44, p = 0.440; \tau = 0.080,$ 95%CI: [0.000 0.208];  $I^2 = 1.6\%$ , 95%CI: [0.0 42.9]) and 0.504 for  $\rho$  (95%CI: [0.416 0.582], Q = 31.00, p = 0.189;  $\tau = 0.107, 95\%$ CI: [0.000 0.308];  $I^2 = 19.4\%, 95\%$ CI: [0.0 50.2]). This suggests that around 17% ( $R^2$ ) of individual variability in symptom severity can be explained by betabased LFP signal features.

Other cardinal Parkinsonian symptoms have also been associated with spectral features of STN recordings. Strikingly, UPDRS tremor scores are typically not correlated with time-averaged spectral beta power, but a transient suppression of beta power may be observed during time periods in which strong tremor occurs [28, 29]. In addition, the presence of tremor has been linked to several other frequency bands including theta [30], low-gamma [31, 32], highfrequency oscillations (HFO) [33, 34], and the tremor frequency itself [35]. Dyskinesia has been linked to both theta and gamma activity [36, 37], and postural instability and gait problems with theta, alpha, (high-)beta activity, and HFO [34, 38–40]. Non-motor symptoms such as those related to impulse control [41–44] and depression [45, 46] have also shown to be reflected in LFP recordings.

Even for a single physiomarker such as spectral beta power, there is considerable variation amongst studies in the exact methodology used for linking it to symptom severity (see Table 1). Approaches differ in the use of absolute versus normalised power spectra, peak values versus a mean across a range of frequencies, the exact frequency range that is considered, and the medication state of the patient during which the recordings were obtained. These choices may or may not affect the statistical relation of recordings with clinical outcome measures. For example, averaging spectral power across the entire 8–35 Hz frequency range might

Study	n Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state Outcon	ome <sup>a</sup>
Beta-based					
Doyle et al. (2005) [50]	14/14	Duration of movement-related spectral power decrease (13–35 Hz)	Total UPDRS-III	On, off $R^2 = 0.2$	0.20
	14/14	Amplitude of movement-related spectral power decrease (13–35 Hz)	Total UPDRS-III	On, off $R^2 = 0.1$	.15
Kühn et al. (2006) [13]	9/17	Absolute spectral power within±2.5 Hz range around peak (8–35 Hz)	Total hemibody	Levodopa-induced changes $\rho = 0.8$	81
	9/17	Absolute spectral power within±2.5 Hz range around peak (8–35 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $\rho = 0.8^{\circ}$	84
Ray et al. (2008) [51]	7/11	Absolute spectral peak power (8–35 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $\rho = 0.70$	70
Kühn et al. (2009) [14]	30/51	Absolute spectral power within $\pm$ 5.5 Hz range around peak (8–35 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $R^2 = 0.3$	.38
Chen et al. (2010) [26]	12/23	Lempel-Ziv complexity (13-35 Hz)	Total hemibody	Off $\rho = -0.5$	.54
	12/23	Lempel-Ziv complexity (13-35 Hz)	Hemibody bradykinesia + rigidity	Off $\rho = -0.5$	.53
Pogosyan et al. (2010) [23]	18/36	Phase coherence between unilateral contacts (13–35 Hz)	Hemibody bradykinesia + rigidity	Off $R^2 = 0.1$	.15
López-Azcárate et al. (2010) [15]	14/26	Normalised spectral peak power (12-20 Hz)	Hemibody bradykinesia + rigidity	Off $\rho = 0.43$	43
Özkurt et al. (2011) [ <b>16</b> ]	9/17	Absolute spectral peak power (8–35 Hz)	Hemibody bradykinesia + rigidity	On, off $\rho = 0.3$ :	33
Little et al. (2012) [27]	18/36	Coefficient of variation spectral power over time (21–33 Hz)	Hemibody bradykinesia + rigidity	Off $\rho = -0.5$	.59
	10/17	Coefficient of variation spectral power over time (21–33 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $\rho = -0.6$	).66
Hohlefeld et al. (2013) [52]	10/10	Imaginary part of coherency between unilateral contacts (10–30 Hz) <sup>b</sup>	Total UPDRS-III	Levodopa-induced changes $R^2 = 0.5$	.55
Hohlefeld et al. (2014) [53]	8/8	Imaginary part of coherency between bilateral contacts (10–20 Hz) <sup>b</sup>	Total UPDRS-III	Off $R^2 = 0.7$	.73
van Wijk et al. (2016) [18]	33/65	Normalised spectral power (13-20 Hz)	Hemibody bradykinesia + rigidity	On, off $R^2 = 0.0$	60.0
Neumann et al. (2016) [19]	63/63	Normalised spectral power (8–35 Hz) <sup>b</sup>	Total UPDRS-III	Off $\rho = 0.4^{\circ}$	44
West et al. (2016) [21]	12/21	Absolute spectral power (13–20 Hz)	Hemibody bradykinesia+rigidity	Off $R^2 = 0.3$ $\rho = 0.66$	).39 66
	12/22	Absolute spectral power (13–20 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $R^2 = 0.4$ $\rho = 0.50$	.40 56
	12/24	Coherence between unilateral contacts (13–20 Hz)	Hemibody bradykinesia+rigidity	Off $R^2 = 0.4$ $\rho = 0.66$	.41 64
	12/24	Weighted phase lag index between unilateral contacts (13–20 Hz)	Hemibody bradykinesia+rigidity	Off $R^2 = 0.3$ $\rho = 0.56$	.31 58
	12/12	Detrended fluctuation analysis of phase synchrony between bilateral contacts $(13-20 \text{ Hz})^{b}$	Bradykinesia + rigidity	Off $R^2 = 0.4$ $\rho = 0.77$	.47 73

Table 1 Inventory of significant correlations between STN-LFP signal features and UPDRS symptom severity

Table 1 (continued)					
Study	n Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state (	Outcome <sup>a</sup>
	11/11	Detrended fluctuation analysis of phase synchrony between bilateral contacts (13–20 Hz) <sup>b</sup>	Bradykinesia + rigidity	Levodopa-induced changes <i>I</i>	$R^2 = 0.33$ o = 0.83
Beudel et al. (2017) [47]	39/78	Normalised spectral peak power (13–30 Hz)	Hemibody bradykinesia + rigidity	Off f	o = 0.40
Neumann et al. (2017) [20]	12/24	Normalised spectral power within $\pm 3$ Hz range around peak (13–35 Hz)	Total hemibody	On, off	o=0.25
Tinkhauser et al. (2017) [24]	8/16	Percentage of beta bursts with long duration	Total hemibody	Off $\mu$	o = 0.55
	8/16	Percentage of beta bursts with short duration	Total hemibody	Off h	$\rho = -0.30$
	8/16	Median beta burst duration	Total hemibody	Levodopa-induced changes $\mu$	$\rho = 0.50$
Tinkhauser et al. (2017) [25]	13/16	Percentage of beta bursts with long duration	Total hemibody	Off 1	$R^2 = 0.12$
	13/16	Percentage of beta bursts with short duration	Total hemibody	Off I	$R^2 = 0.10$
Martin et al. (2018) [54]	13/26	Normalised spectral peak power (13-35 Hz)	Total hemibody	Off h	$\rho = 0.50$
	13/26	Normalised spectral peak power (13-35 Hz)	Hemibody bradykinesia + rigidity	Off f	$\rho = 0.68$
Özkurt et al. (2020) [17]	14/26	Nonlinearity of time series (13-30 Hz)	Hemibody tremor	Off I	$R^2 = 0.20$
	14/26	Normalised spectral power (13–30 Hz)	Hemibody bradykinesia+rigidity	Off I	$R^2 = 0.25$
	14/26	Normalised spectral power (13–30 Hz)	Hemibody tremor	Off I	$R^2 = 0.26$
Tamir et al. (2020) [22]	8/12	Normalised spectral power (13–30 Hz)	Hemibody bradykinesia + rigidity	Off I	$R^2 = 0.36$
Nie et al. (2021) [ <b>55</b> ]	10/10	Percentage of beta bursts with long duration <sup>b</sup>	Total UPDRS-III	Off f	o = 0.74
	10/20	Percentage of beta bursts with long duration	Hemibody tremor	Off h	$\rho = 0.59$
	10/20	Percentage of beta bursts with long duration	Hemibody rigidity <sup>c</sup>	Off h	o = 0.45
Sure et al. (2021) [56]	24/44	Beta burst duration	Hemibody bradykinesia + rigidity	Off 1	$R^2 = 0.23$
Other					
Pogosyan et al. (2010) [23]	18/36	Phase coherence between unilateral contacts (8–12 Hz)	Hemibody tremor	Off I	$R^2 = 0.15$
López-Azcárate et al. (2010) [15]	14/26	Normalised spectral peak power (250-350 Hz)	Hemibody bradykinesia+rigidity	Off h	$\rho = 0.50$
	14/24	Movement-related changes in spectral peak power (250-350 Hz)	Hemibody bradykinesia + rigidity	Off 1	$R^2 = 0.39$
	14/22	Phase-amplitude coupling (10-30 vs 200-400 Hz)	Hemibody bradykinesia + rigidity	Off $\mu$	o = 0.49
Özkurt et al. (2011) [16]	9/18	Ratio of spectral power± 10 Hz around slow (200–300 Hz) and fast peaks (300–400 Hz)	Hemibody bradykinesia + rigidity	On, off $f$	o=0.36
Giannicola et al. (2013) [57]	18/18	Normalised spectral power (2-7 Hz)	Total UPDRS-III	On I	$R^2 = 0.26$
Wang et al. (2014) [58]	10/15	Spectral peak power (160–470 Hz)	Hemibody bradykinesia+rigidity	Off I	$R^2 = 0.55$
van Wijk et al. (2016) [18]	33/65	Phase-amplitude coupling (13-20 vs 150-400 Hz)	Hemibody bradykinesia+rigidity	On, off 1	$R^2 = 0.11$
West et al. (2016) [21]	12/24	Absolute spectral power (5–12 Hz)	Hemibody bradykinesia+rigidity	Off 1	$R^2 = 0.33$ o = -0.61
Ozturk et al. (2020) [59]	6/6	Normalised spectral power (4–12 Hz)	Total hemibody	Levodopa-induced changes 1	$R^2 = 0.12$
	6/6	Normalised spectral power (4-12 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes 1	$R^2 = 0.12$
	6/6	Normalised phase-amplitude coupling (13–22 vs 200–300 Hz)	Total hemibody	Levodopa-induced changes 1	$R^2 = 0.11$

Study	n Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state	Outcome <sup>a</sup>
	6/6	Normalised phase-amplitude coupling (13–22 vs 200–300 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes	$R^2 = 0.17$
Weber et al. (2020) [60]	19/38	Differential entropy	Hemibody bradykinesia <sup>c</sup>	Off	$\rho = 0.48$
Belova et al. (2021) [61]	22/35	Movement-related change in 1/f spectral slope	Total UPDRS-III	Off	$R^2 = 0.08$
Nie et al. (2021) [55]	10/20	Percentage of theta bursts with long duration	Hemibody tremor	Off	$\rho = 0.46$
<sup>a</sup> Outcomes are reported as explaine <sup>b</sup> Total UPDRS-III and UPDRS sco <sup>c</sup> Correlations for bradykinesia and	ed variance $(R^2)$ correction of the set o	computed from Pearson's correlation coefficient or as Spearman's ignal features (e.g. connectivity measures) were not lateralised. 7 ilisted separately as average in case no combined bradykinesia +	's rho ( $\rho$ ). Values are rounded to two c The number of included hemispheres + rigidity category was included in the	ligits is adjusted accordingly e original study	

Table 1 (continued)

be less sensitive for detecting a significant correlation with bradykinesia/rigidity scores compared to selecting power values at individual beta peak frequencies [47]. Despite several reports of significant correlations between measures of spectral beta power and UPDRS-III (sub-)scores, multiple studies found non-significant relations (Table 2). It is unclear whether these negative findings resulted from methodological choices, a lack of statistical power, or a true lack of correlation.

A suitable physiomarker for aDBS applications should be capable of differentiating between states of symptom severity within an individual or hemisphere. One way to investigate this is to look for correlations between levodopa-induced changes in LFP signal features and changes in clinical scores. In general, this has revealed similar correlation values between spectral beta power measures and bradykinesia and rigidity scores compared to on and/or off medication states alone. Another way is to look at fluctuations that naturally occur over time within an individual. The focus has again been mostly on beta oscillations, which have been demonstrated to occur in brief periods of high-amplitude bursts in the STN [25]. Importantly, movements that are triggered around the time of a beta burst are performed slower [48], hence underscoring the potential of this physiomarker for aDBS. The duration of these bursts seems crucial to consider. While the presence of long-duration bursts might signal symptoms of bradykinesia/rigidity, shortduration bursts are associated with good clinical scores [24, 25]. To date, most aDBS applications have used beta bursts with a minimum duration and amplitude as physiomarker for triggering stimulation, with performance comparable but not superior to continuous DBS [49].

# Current limitations of LFP physiomarkers in PD

Despite the progress in the field of discovering LFP physiomarkers for PD, there are still some important limitations. To start with, the low percentage of explained variability in outcome measures means that the relevant information contained in current physiomarkers is relatively small. Explanations for this relate to both physiomarker detection as well as appropriate quantification of symptom severity. Clinical ratings used for correlation analysis with physiomarkers are often rater-dependent and, for this reason, not objective. This is especially the case for bradykinesia items in UPDRS scores [65]. The scoring of these items is also nonlinear, meaning that a larger worsening of symptoms is needed to progress from a medium to high score than it is to progress from a low to medium score. For these reasons, the use of automated symptom assessments by, for example, automated video analyses [66] or smartwatch-derived signals [10] could

Table 2 Inventory of non-significant	correlations betw	veen STN-LFP signal features and UPDRS symptom severit	X		
Study	<i>n</i> Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state Out	itcome <sup>a</sup>
Beta-based					
Kühn et al. (2006) [13]	9/17	Absolute spectral power within $\pm 2.5$ Hz range around peak (8–35 Hz)	Hemibody tremor	Levodopa-induced changes $\rho = p = p$	= 0.25 = 0.54
Marceglia et al. (2006) [62]	21/21	Absolute spectral power (13–20 Hz) <sup>b</sup>	Total UPDRS-III	Off $R^2 = p = p$	=0.10 =0.14
Ray et al. (2008) <b>[51</b> ]	5/9	Absolute spectral peak power (8–35 Hz)	Hemibody bradykinesia + rigidity	Off $\rho = \rho$	= -0.35 = 0.15
	5/9	Absolute spectral peak power (8–35 Hz)	Hemibody tremor	Levodopa-induced changes $\rho = p = p = p$	= -0.30 = 0.15
Kühn et al. (2009) [14]	30/51	Absolute spectral power within $\pm 5.5$ Hz range around peak (8–35 Hz)	Hemibody tremor	Levodopa-induced changes $R^2 = p = p$	=0.00 =0.99
Chen et al. (2010) [26]	12/23	Lempel-Ziv complexity 13–35 Hz	Hemibody tremor	$\begin{array}{l}\rho = \\p = \\$	= -0.22 = 0.31
	12/23	Absolute spectral power (13–35 Hz)	Hemibody tremor	Off $\rho =$	= 0.48
	12/23	Normalised spectral power (13-35 Hz)	Hemibody tremor	Off $\rho =$	= 0.51
Pogosyan et al. (2010) [23]	18/36	Phase coherence between unilateral contacts (13-35 Hz)	Hemibody tremor	Off $R^2 =$	= 0.00
López-Azcárate et al. (2010) [15]	14/26	Normalised spectral peak power (12-20 Hz)	Hemibody tremor	Off	
Little et al. (2012) [27]	18/36	Coefficient of variation spectral power over time (21–33 Hz)	Hemibody tremor	Off $\rho = p = p$	= -0.24 = 0.16
	10/17	Coefficient of variation spectral power over time (21–33 Hz)	Hemibody tremor	Levodopa-induced changes $\rho = p = p$	= 0.14 = 0.60
Hohlefeld et al. (2013) [52]	10/10	Imaginary part of coherency between unilateral contacts (10–30 Hz) <sup>b</sup>	Total UPDRS-III	Off	
	10/19	Imaginary part of coherency between unilateral contacts (10–30 Hz) <sup>b</sup>	Total UPDRS-III	On	
Hohlefeld et al. (2014) [53]	8/8	Coherence between bilateral contacts (10-20 Hz) <sup>b</sup>	Total UPDRS-III	Off $R^2 = p = p$	=0.00 =1.00
	8/8	Coherence between bilateral contacts (10-20 Hz) <sup>b</sup>	Total UPDRS-III	On	
	8/8	Coherence between bilateral contacts (10-20 Hz) <sup>b</sup>	Total UPDRS-III	Levodopa-induced changes	
	8/8	Imaginary part of coherency between bilateral contacts (10-20 Hz) <sup>b</sup>	Total UPDRS-III	On	
	8/8	Imaginary part of coherency between bilateral contacts (10-20 Hz) <sup>b</sup>	Total UPDRS-III	Levodopa-induced changes	

Hemibody bradykinesia + rigidity Levodopa-induced changes  $R^2 = 0.08$ p = 0.09

Normalised spectral power (13-20 Hz)

19/38

van Wijk et al. (2016) [18]

Table 2 (continued)				
Study	<i>n</i> Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state Outcome
West et al. (2016) [21]	12/23	Coherence between unilateral contacts (13–20 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $R^2 = 0.09$ $\rho = 0.40$ p = 0.06
	11/11	Coherence between bilateral contacts (13–20 Hz) <sup>b</sup>	Bradykinesia + rigidity	Off $R^2 = 0.23$ $\rho = 0.42$ p = 0.21
	12/12	Coherence between bilateral contacts (13–20 Hz) <sup>b</sup>	Bradykinesia + rigidity	Levodopa-induced changes $R^2 = 0.06$ $\rho = 0.13$ p = 0.68
	12/24	Weighted phase lag index between unilateral contacts (13–20 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes $R^2 = 0.11$ $\rho = 0.27$ p = 0.20
	11/11	Weighted phase lag index between bilateral contacts (13–20 Hz) <sup>b</sup>	Bradykinesia + rigidity	Off $R^2 = 0.13$ $\rho = 0.34$ p = 0.30
	6/6	Weighted phase lag index between bilateral contacts (13–20 Hz) <sup>b</sup>	Bradykinesia + rigidity	Levodopa-induced changes $R^2 = 0.08$ $\rho = 0.13$ p = 0.74
	12/21	Detrended fluctuation analysis of phase synchrony between unilateral contacts (13–20 Hz)	Hemibody bradykinesia + rigidity	Off $R^2 = 0.04$ $\rho = 0.04$ p = 0.85
	12/17	Detrended fluctuation analysis of phase synchrony between unilateral contacts (13–20 Hz)	Hemibody bradykinesia+rigidity	Levodopa-induced changes $R^2 = 0.10$ $\rho = -0.20$ p = 0.45
Beudel et al. (2017) [47]	39/39	Normalised spectral power (8–35 Hz) <sup>b</sup>	Total UPDRS-III	Off $p = 0.28$ p = 0.07
	39/78	Normalised spectral (peak) power (8-35 Hz)	Hemibody tremor	Off
Neumann et al. (2017) [20]	12/24	Normalised spectral power within±3 Hz range around peak (13–35 Hz)	Total hemibody	Levodopa-induced changes
Martin et al. (2018) [54]	13/26	Normalised spectral peak power (13–35 Hz)	Hemibody tremor	Off $\rho = -0.07$ p = 0.74
	13/26	Absolute spectral peak power (13-35 Hz)	Total hemibody	Off $\rho = -0.14$
	13/26	Absolute spectral peak power (13-35 Hz)	Hemibody bradykinesia + rigidity	Off $\rho = 0.38$
	13/26	Absolute spectral peak power (13-35 Hz)	Hemibody tremor	Off $\rho = 0.28$

Study	<i>n</i> Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state	Outcome <sup>a</sup>
Ozturk et al. (2020) [59]	6/6	Normalised spectral power (13–22 Hz)	Total hemibody	Levodopa-induced changes	$R^2 = 0.06$ p = 0.20
	6/6	Normalised spectral power (13–22 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes	$R^2 = 0.16$ p = 0.06
	6/6	Normalised spectral power (13–22 Hz)	Hemibody tremor	Levodopa-induced changes	$R^2 = 0.04$ p = 0.75
Özkurt et al. (2020) [17]	14/26	Nonlinearity of time series (13-30 Hz)	Hemibody bradykinesia + rigidity	Off	$R^2 = 0.05$ p = 0.36
Tamir et al. (2020) [22]	8/12	Normalised spectral power (13–30 Hz)	Hemibody tremor	Off	$R^2 = 0.00$ p = 0.88
Eisinger et al. (2020) [63]	15/19	Absolute spectral peak power (12–30 Hz)	Total hemibody	Off	$R^2 = 0.00$ p = 0.83
	15/19	Absolute spectral peak power (12-30 Hz)	Hemibody bradykinesia + rigidity <sup>c</sup>	Off	$R^2 = 0.01$
	15/19	Absolute spectral peak power (12–30 Hz)	Hemibody tremor	Off	$R^2 = 0.00$ p = 0.91
	15/19	Amplitude of movement-related power decrease (12-30 Hz)	Total hemibody	Off	$R^2 = 0.08$ p = 0.25
	15/19	Amplitude of movement-related power decrease (12-30 Hz)	Hemibody bradykinesia + rigidity <sup>c</sup>	Off	$R^2 = 0.02$
	15/19	Amplitude of movement-related power decrease (12-30 Hz)	Hemibody tremor	Off	$R^2 = 0.08$ p = 0.24
	15/19	Beta burst duration	Hemibody bradykinesia/rigidity	Off	$R^2 = 0.00$
	15/19	Beta burst amplitude	Hemibody bradykinesia/rigidity	Off	$R^2 = 0.00$
Telkes et al. (2020) [64]	7/8	Normalised spectral power (13–20 Hz)	Bradykinesia/rigidity	Off	$\rho = 0.66$ p = 0.09
	7/8	Normalised spectral power (13–20 Hz)	Tremor	Off	$\rho = 0.75$ p = 0.11
Other Kühn et al. (2006) [13]	L/¿	Absolute spectral power within $\pm 2.5$ Hz range	Total hemibody	Levodopa-induced changes	p = -0.64
Managia at al (2006) [63]	1010	around peak (60–90 Hz) Aboolute constant morrow (8 - 13 Hz /b		Q#	p = 0.12 $p^2 = 0.04$
Marcegna et al. (2000) [02]	17/17	Absolute spectral power (8–12 Hz)	101al ULANA-111	OII	p = 0.36
	13/13	Absolute spectral power (60–90 Hz) <sup>b</sup>	Total UPDRS-III	On	$R^2 = 0.01$ p = 0.64
	13/13	Absolute spectral power (260–340 Hz) <sup>b</sup>	Total UPDRS-III	On	$R^2 = 0.10$ p = 0.28

Table 2 (continued)					
Study	n Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state	Outcome <sup>a</sup>
Chen et al. (2010) [26]	12/23	Lempel-Ziv complexity 0–12 Hz	Hemibody bradykinesia + rigidity	Off	p = 0.07 p = 0.76
	12/23	Lempel-Ziv complexity 0–12 Hz	Hemibody tremor	Off	$\rho = 0.07$ p = 0.76
López-Azcárate et al. (2010) [15]	14/22	Movement-related changes in phase-amplitude coupling (10–30 vs 200–400 Hz)	Hemibody bradykinesia + rigidity	Off	$\rho = 0.18$ p = 0.60
	14/26	Normalised spectral peak power (250–350 Hz)	Hemibody tremor	Off	
	14/26	Movement-related changes in spectral peak power (250–350 Hz)	Hemibody tremor	Off	
	14/26	Phase-amplitude coupling (10-30 vs 200-400 Hz)	Hemibody tremor	Off	
	14/26	Movement-related changes in phase-amplitude coupling (10-30 vs 200-400 Hz)	Hemibody tremor	Off	
Giannicola et al. (2013) [57]	18/18	Normalised spectral power (2–7 Hz)	Total UPDRS-III	Off	$R^2 = 0.13$ p = 0.13
van Wijk et al. (2016) [18]	33/65	Normalised spectral power (150-400 Hz)	Hemibody bradykinesia + rigidity	On, off	$R^2 = 0.00$ p = 0.56
	19/38	Normalised spectral power (150-400 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes	$R^2 = 0.01$ p = 0.51
	19/38	Phase-amplitude coupling (13-20 vs 150-400 Hz)	Hemibody bradykinesia+rigidity	Levodopa-induced changes	$R^2 = 0.10$ p = 0.06
West et al. (2016) [21]	12/22	Absolute spectral power (5–12 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes	$R^2 = 0.08$ $\rho = -0.19$ p = 0.39
Martin et al. (2018) [54]	13/26	1/f slope	Total hemibody	Off	$\rho = 0.04$
	13/26	1/f slope	Hemibody bradykinesia + rigidity	Off	$\rho = 0.02$
	13/26	1/f slope	Hemibody tremor	Off	$\rho = 0.08$

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Study	<i>n</i> Patients/ Hemispheres	LFP signal feature	UPDRS items	Medication state Outcome <sup>a</sup>
Ozturk et al. (2020) [59]	6/6	Normalised spectral power (4–12 Hz)	Hemibody tremor	Levodopa-induced changes $R^2 = 0.05$ n = 0.08
	6/6	Normalised spectral power (70–90 Hz)	Total hemibody	Levodopa-induced changes $R^2 = 0.03$ n - 0.35
	6/6	Normalised spectral power (70–90 Hz)	Hemibody bradykinesia+rigidity	Levodopa-induced changes $R^2 = 0.14$ n = 0.12
	6/6	Normalised spectral power (70–90 Hz)	Hemibody tremor	Levodopa-induced changes $R^2 = 0.11$ p = 0.17
	6/6	Normalised spectral power (200–400 Hz)	Total hemibody	Levodopa-induced changes
	6/6	Normalised spectral power (200–400 Hz)	Hemibody bradykinesia + rigidity	Levodopa-induced changes
	6/6	Normalised spectral power (200–400 Hz)	Hemibody tremor	Levodopa-induced changes
	6/6	Ratio of normalised spectral power between slow (200–300 Hz) and fast bands (300–400 Hz)	Total hemibody	Levodopa-induced changes $R^2 = 0.10$ p = 0.08
	6/6	Ratio of normalised spectral power between slow (200–300 Hz) and fast bands (300–400 Hz)	Hemibody bradykinesia+rigidity	Levodopa-induced changes $R^2 = 0.14$ p = 0.06
	6/6	Ratio of normalised spectral power between slow (200–300 Hz) and fast bands (300–400 Hz)	Hemibody tremor	Levodopa-induced changes $R^2 = 0.02$ p = 0.37
Weber et al. (2020) [60]	19/38	Differential entropy	Hemibody rigidity	Off
	19/38	Differential entropy	Hemibody tremor	Off
Belova et al. (2021) [61]	22/35	Amplitude of movement-related power increase (30-60 Hz)	Total UPDRS-III	Off $R^2 = 0.00$ p = 0.60
<sup>a</sup> Outcomes are reported as explained	d variance $(R^2)$ con	mputed from Pearson's correlation coefficient or as Spearms	ian's rho ( $\rho$ ). Values are rounded to tw	o digits. The $R^2$ , $\rho$ , or $p$ value is left blank

in case no information was provided by the original study

<sup>b</sup>Total UPDRS-III and UPDRS scores for bilateral signal features (e.g. connectivity measures) were not lateralised. The number of included hemispheres is adjusted accordingly

<sup>c</sup>Correlations for bradykinesia and rigidity items are listed separately as average in case no combined bradykinesia + rigidity category was included in the original study

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**Fig. 1** Distribution of correlation values and pooled effect sizes. Included data points are from Table 1 and 2. Filled circles represent correlation values that were deemed significant by the original study. Open circles represent non-significant correlation values. The size of circles reflects the number of hemispheres that were used in the correlation analysis. Black horizontal lines indicate the pooled effect size estimate across studies. Pearson's *R* (top row) and Spearman's  $\rho$ 

(bottom row) are visualised separately. All UPDRS categories include reported correlations for total UPDRS-III, total hemibody (bradykinesia+rigidity+tremor), hemibody bradykinesia+rigidity, and hemibody tremor items. These categories are visualised separately for beta-based LFP features on the right. Since the sign of the correlation was not necessarily comparable for different LFP measures, we opted for the use of absolute *R* and  $\rho$  values

help to improve the (Pearson) correlation between physiomarker and symptom severity.

Low correlation values may also result from interindividual differences in LFP signal quality and (patho-) physiology. Suboptimal placement of DBS electrodes, electrode impedance [57], presence of cardiac or movement artefacts [67], and hardware failures may affect physiomarker detection. Even if electrode contacts are placed correctly in the STN target, an LFP beta peak is not always evident in every patient. Multiple studies report that a clear spectral beta peak was not discernable in ~ 5 to 15% of recordings [13, 14, 16, 24, 51, 53, 68] and a clear relation between peak movement velocity and beta burst amplitude was observable in 9 out of 12 patients in the study by [48]. These findings show similarities with other neurological disorders such as epilepsy in which, in some instances, no EEG abnormalities are seen [69]. Conversely, spectral beta peaks and beta-HFO phase-amplitude coupling have also been observed in LFP recordings from dystonia patients [68], hence questioning the specificity of these markers for PD. It is conceivable that the absence of certain physiomarkers in individual patients is related to deviant symptom manifestation and/ or underlying neurobiological factors. Different pathophysiological mechanisms may lead to a very similar presentation of motor impairment.

Arguably the most suitable physiomarkers for aDBS are the ones that directly reflect the underlying neurobiological cause of the symptom that is considered. These might however be difficult to identify in LFP recordings, as the signal originates from the summed electrical activity of large populations of neurons and is mainly sensitive to only the synaptic input neurons receive. Although some evidence exists that STN stimulation at 20 Hz can slow movements in humans [70-72], in experimental animal models of progressive PD, symptom onset can precede the emergence of an LFP spectral beta peak [73, 74]. suggesting that STN and GPi beta oscillations are not causally involved. As a minimum, beta oscillations alone seem not sufficient to explain the full spectrum of Parkinsonian symptoms. The role of other frequency bands or the interaction between frequency bands could still be further explored. With further development of hardware and neurophysiological understanding, it might be that additional physiomarkers can be identified that are closer to true neurobiological causes.

## **Combinations of physiomarkers in PD**

Several strategies are being developed to overcome the limitations of current LFP physiomarkers for aDBS. One promising avenue is the simultaneous use of multiple signal features to monitor different symptoms in parallel. In theory, monitoring of the tremor frequency range [75] could be combined with the monitoring of beta (bradykinesia/rigidity) and gamma oscillations (dyskinesia) [76] to control stimulation. In this way, the amplitude of beta oscillations might act as a trigger for switching on or off the stimulation, while the stimulation amplitude can be controlled based on gamma band power. Alternatively, single physiomarkers could be based on multiple signal features. Multiple regression models that include information from different frequency bands may significantly increase the correlation with UPDRS symptoms but can also reveal that individual predictors are merely collinear [16, 17, 21, 55]. Shah et al. [77] recently demonstrated that a weighted combination of spectral power in different frequency bands improved prediction performance of best stimulation contact compared to a single LFP feature. In principle the weights could be optimised per hemisphere to find a combination of LFP features that works best for aDBS treatment in an individual patient.

Next to the use of multiple characteristics of a single signal, it could be advantageous to combine physiomarkers from different recording techniques. ECoG recordings from the motor cortex could be informative for decoding the patient's voluntary movements or to obtain additional information about the severity of symptoms [78]. In fact, the first (animal) study on aDBS in PD [79] used cortical physiomarkers to apply subcortical aDBS. A fully implanted ECoG-based aDBS system has already found its clinical application in a patient with cervical dystonia where the detection of a motor cortical theta burst triggers STN stimulation [80]. An additional benefit of further developing this approach for PD would be the smaller influence of stimulation artefacts that might impede the detection of physiomarkers when stimulation is switched on. In a laboratory setting, accelerometers and EMG have been successfully used for adaptive control of essential tremor by triggering stimulation in ventrolateral thalamus based on the phase or amplitude of measured upper limb tremor [81, 82]. Physiomarkers from wearable technology might however be technically more difficult to embed into the implanted pulse generator for care outside the clinic.

# Translating physiomarkers to closed-loop DBS treatment

The first proof-of-principle for aDBS was obtained in externalised patients in the immediate post-operative phase with the amplitude of beta oscillations as physiomarker for bradykinesia and rigidity symptoms [83]. Several subsequent steps have led towards its application in a 'care as usual' setting. The potential of beta-band aDBS was demonstrated to further include the control of speech problems [84], freezing of gait [85], and dyskinesia [86], and was tested in chronic [87, 88] and at home settings [89]. With the dawn of commercially available implantable DBS devices that are capable of chronic LFP recordings and adaptive programming [7, 90], the actual clinical merit of this form of stimulation can now be trialled on a large scale.

Choosing the right physiomarker(s) for aDBS can be challenging. A causal relation between the physiomarker and clinical symptoms may not be essential for successful applications, but correlation coefficients should be sufficiently high and generalisable to recordings taken from other moments in time or individuals. A fairly large number of studies have now replicated the association between beta-band-based LFP signal features and bradykinesia/ rigidity symptoms. In addition, some evidence exists that the correlation with beta power remains consistent over a time period of several months [20]. The reproducibility of other less-well studied LFP signal features remains to be established. So far, most aDBS studies have dealt with the continuous scale of beta oscillation amplitude by setting a fixed threshold for the detection of symptom occurrence. This, however, leads to the additional challenge of choosing the right threshold for an individual patient and time period. Eventually, automatic classification algorithms based on single or multiple signal features [91–93] might lead to more successful applications. Such algorithms could possibly be augmented with the detection of physiological states such as activity level or active movement preparation [78, 94] to make stimulation settings context specific.

Another critical factor for the success of aDBS treatment is the signal quality of the used physiomarker. Most correlations reported in Table 1 were obtained after computing spectral power from a recording of several minutes. For real-life applications, the physiomarker should be detectable in recordings of a few seconds to optimally benefit from the dynamic nature of closed-loop control. At present, LFP recordings from sensing-enabled DBS devices are prone to cardiac, stimulation, and movementrelated artefacts [95]. The true impact of these artefacts on aDBS applications has not yet been established. The key question is to what extent the artefacts lead to erroneous loops of stimulation instead of closed loops based on pathological brain activity. One important trade-off in this regard is the capability of the DBS device to perform complex operations on the LFP signals versus processing speed and battery consumption. Since more and more pulse generators are becoming rechargeable and can make use of processing capacities outside the body, these limitations might be overcome in the future.

#### Conclusion

In the last decades various studies have reported clinical correlations between electrophysiological activity in the STN and symptomatology of PD. Out of this work, beta oscillations have surfaced as the 'physiomarker' with most potential as a control parameter for adaptive DBS treatment. However, current applications would likely need to improve on all three criteria of clinical usefulness (indicative, individual, implementable) in order to progress from a performance that is similar to continuous stimulation [49]. Even though sensing-enabled DBS devices are now commercially available, in the absence of a clear LFP-based programming strategy their current use is restricted to academic DBS centres [96]. The wider clinical applicability would benefit from closed-loop algorithms that can automatically detect relevant physiomarkers for titrating stimulation with minimum intervention from clinical staff. Fortunately, developments in research and hardware technology are moving fast. The first clinical trials on aDBS are currently being conducted and will likely shape the future application of this treatment.

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#### Declarations

**Conflict of interest** The authors have no competing interests to declare that are relevant to the content of this article.

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