

# **Reversed Potts Shunt Outcome in Suprasystemic Pulmonary Arterial Hypertension: A Systematic Review and Meta-Analysis**



Brian Mendel<sup>1,\*</sup>, Christianto Christianto<sup>2</sup>, Phoniex Angellia<sup>2</sup>, Indra Holiyono<sup>2</sup>, Radityo Prakoso<sup>1</sup> and Sisca Natalia Siagian<sup>1</sup>

<sup>1</sup>Pediatric Cardiology and Congenital Heart Defect Division, Department of Cardiology and Vascular Medicine, National Cardiovascular Center Harapan Kita, Universitas Indonesia, Jakarta, Indonesia; <sup>2</sup>Faculty of Medicine, Universitas Indonesia, Jakarta, Indonesia

Abstract: *Background*: Reversed Potts shunt has been a prospective approach to treat suprasystemic pulmonary hypertension, particularly when medication treatment fails to reduce right ventricular afterload.

**Objective:** This meta-analysis aims to review the clinical, laboratory, and hemodynamic parameters after a reversed Potts shunt in suprasystemic pulmonary hypertension patients.

ARTICLE HISTORY

Received: January 21, 2022 Revised: February 14, 2022 Accepted: March 15, 2022

DOI: 10.2174/1573403X18666220509203335



*Methods:* Six electronic databases were searched from the date of inception to August 2021, where the obtained studies were evaluated according to the PRISMA statement. The effects of shunt creation were evaluated by comparing preprocedural to postprocedural or follow-up parameters, expressed as a mean difference of 99% confidence interval. Quality assessment was conducted using the STROBE statement.

**Results:** Seven studies suited the inclusion criteria which were included in this article. A reduction in upper and lower limb oxygen saturation [Upper limb: St. Mean difference -0.55, 99% CI -1.25 to 0.15; P=0.04; f=6%. Lower limb: St. Mean difference -4.45, 99% CI -7.37 to -1.52; P<0.00001;  $I^2=65\%$ ]. Reversed Potts shunt was shown to improve WHO functional class, 6-minute walk distance, NTpro-BNP level, and hemodynamic parameters including tricuspid annular plane systolic excursion, interventricular septal curvature, and end-diastolic right ventricle/left ventricle ratio.

**Conclusion:** Reversed Potts shunt cannot be said to be relatively safe, although it allows improvement in the clinical and functional status in patients with suprasystemic PAH. Reversed Potts shunt procedure may be the last resort for drug-resistant pulmonary hypertension as it is considered a high-risk procedure performed on patients with extremely poor conditions.

This meta-analysis is registered in PROSPERO with the registration number 279757.

Keywords: Outcome, pulmonary arterial hypertension, reversed Potts shunt, suprasystemic, PRISMA, pulmonary vascular resistance, pulmonary artery coupling.

# **1. INTRODUCTION**

Pediatric pulmonary arterial hypertension (PAH) is a progressive disease with a poor prognosis. Current treatment strategies intend to decrease the pulmonary vascular resistance and load to preserve RV function. Nevertheless, neither a persistent reversal of pulmonary vascular changes nor reduction of pulmonary arterial pressure could be achieved by currently available vasodilators [1]. To convert PAH with suprasystemic pulmonary arterial pressure into patent ductus arteriosus-Eisenmenger physiology, a novel side-to-side Potts shunt anastomosis was devised, and pilot studies have reported this procedure to be safe [2, 3]. However, since the physiology of Potts shunt creation on RV function, RVpulmonary artery coupling has not been well studied; a systematic review and meta-analysis was created to study those effects in pediatric PAH.

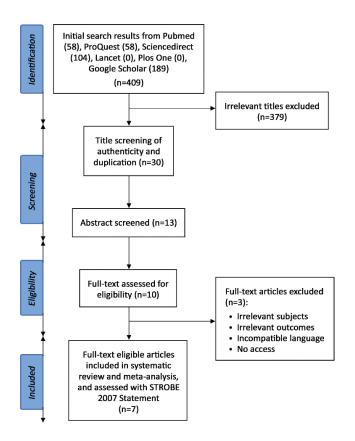
### 2. MATERIALS AND METHODS

#### 2.1. Search Strategy

This systematic review and meta-analysis was conducted according to Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) statement [4]. We did a

<sup>\*</sup>Address correspondence to this author at the Faculty of Medicine, Universitas Indonesia, P.O. Box: 1358, Jakarta, Indonesia; Tel/Fax: +62-131-930-373, +021-390-1814; E-mails: brianmendel17@gmail.com

systematic search in PubMed, ProQuest, ScienceDirect, Lancet, Plos One, and Google Scholar databases using the combination of keywords: (reversed Potts shunt) AND (pulmonary hypertension). The database search was conducted independently in August 2021 by four reviewers (BM, C, PA, IH) with equal contributions. Additionally, hand searching was conducted independently by the same reviewers (Fig. 1).



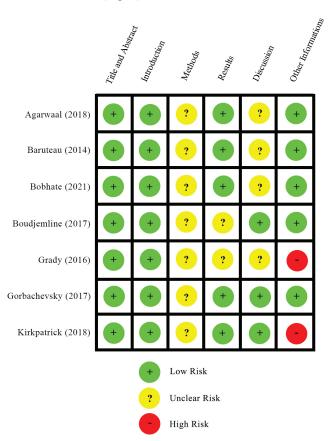
**Fig. (1).** PRISMA flow of the systematic review and meta-analysis [4].

#### 2.2. Study Criteria

The included studies complied with all eligibility criteria. The inclusion criteria were patients with evidence of suprasystemic pulmonary arterial hypertension, the intervention of either surgical or transcatheter reversed Potts shunt creation, and follow-up assessment of clinical, laboratory, or hemodynamic parameters, including echocardiographic or catheterization outcome. The exclusion criteria were studies using unidirectional valved Potts shunt or modified reversed Potts shunt, studies in the form of editorial, case report, case series, review, or meta-analysis, and studies with the irretrievable full-text articles.

#### 2.3. Data Extraction and Quality Assessment

The screening and reviewing, continued by data extraction of the included studies was completed by four reviewers (BM, C, PA, IH). The data extracted from the included studies were study and patient characteristics (first author, year of publication, study design, setting, duration of follow-up, number of patients, age, weight, procedure either surgical or transcatheter), as well as preprocedural, postprocedural, and follow-up assessment of clinical parameter (WHO functional class, 6-minute walking test, adverse event), laboratory parameter (NTpro-BNP), hemodynamic parameter (upper limb SaO<sub>2</sub>, lower limb SaO<sub>2</sub>, SaO<sub>2</sub> upper/lower limb gradient, mean pulmonary arterial pressure/MPAP, systolic right ventricular/RV pressure, tricuspid annular plane systolic excursion/TAPSE, interventricular septal curvature, end-diastolic RV/LV diameter ratio). Quality assessment of the included studies was conducted by four reviewers (BM, C, PA, IH) using the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement [5]. Any disagreement in the data extraction and the quality assessment was resolved by discussion between the four reviewers to reach a consensus (Fig. **2**).



**Fig. (2).** Summary of quality appraisal using STROBE statement [5]. (*A higher resolution / colour version of this figure is available in the electronic copy of the article*).

#### 2.4. Statistical Analysis

Parametric data are expressed as mean  $\pm$  standard deviation (SD), while nonparametric data are expressed as median (interquartile range). The outcome of the reversed Potts shunt creation on the patients was evaluated by comparing preprocedural with postprocedural or follow-up parameters, expressed as a mean difference of 99% confidence interval (CI). A random-effects model was used to analyze the data with consideration of inconsistency in the baseline characteristics and outcomes of the patients. A p-value <0.05 was considered statistically significant for hypothesis testing. All statistical analyses were done using REVMAN (version 5.4; Cochrane Collaboration, Oxford, UK) [6].

No	Study (Year)	Study Design	Settings	Duration of Follow-up	No. of Patients	Age	Weight (kg)	Procedure	Adverse Events (n)
1	Aggarwal <i>et al</i> (2018)	Retrospective cohort	St Louis Children Hospital, Boston	27 (2.9-50.6) months	11	Median: 11.2 years	Median: 32.8	Surgical	Bilateral lung transplant (1), death (2)
2	Baruteau <i>et al</i> (2014)	Retrospective multicenter study	Marie Lan- nelongue Hospital, Necker Hospital, and Bambino Gesu Children Hospital, France	2.1 (3-14.3) years	24	7.7 (1.5-17) years	19.5 (10.2- 47)	Surgical (19), Transcatheter (4)	Death (3)
3	Bobhate <i>et al</i> (2021)	Prospective single- center study	Children's Heart Center, Kokilaben Dhirubai Ambani Hospital and Research Center, India	17 (1-40) months	16	10.5 (4.3- 17.3) years	24.7 (13.2- 50.3)	Surgical	Death (4)
4	Boudjemline et al (2017)	Prospective single- center study	Necker University Hospital, France	10 ± 2.6 months	6	11.0 ± 4.2 years	37.8 ± 19.1	Transcatheter	Cardiac arrest after anesthetic induction and postprocedural irreversible brain damage death (2)
5	Grady <i>et al</i> (2016)	Retrospective study single-center study	Washington University School of Medicine	31.42 ± 18.4 weeks	5	10.32 ± 5.1 years	37.1 ± 24.4	Surgical	-
6	Gorbachevsky et al (2017)	Retrospective study	Bakoulev Center for Cardiovascular Surgery, Moscow, Russia	17 (2-32) months	8	13.5 (5-154) months	N/A	Surgical	Pulmonary hypertensive crisis (2), heart failure (1), death (2)
7	Kirkpatrick <i>et</i> al (2018)	Retrospective study	Children's Hospi- tal of Wisconsin, United States	351 (244-441) days	3	20.7 ± 5.7 years	N/A	Surgical (1), Transcatheter (2)	Significant hemorrhage, pulmo- nary contusion, and respiratory failure (1)

Table 1.	Study and	l patient c	haracteristics	of t	he inc	luded	studies.
----------	-----------	-------------	----------------	------	--------	-------	----------

N/A, not available.

# **3. RESULTS**

#### **3.1. Search Results**

The PRISMA flow diagram of the literature screening and selection for this systematic review and meta-analysis is shown in Fig. (1). The initial search generated 409 potential studies from the selected databases. The exclusion of studies with irrelevant titles produced 30 studies for authenticity and duplication review. Eighteen studies were qualified for abstract screening, eliciting 15 studies for full-text screening. Elimination of 6 studies was performed due to irrelevant intervention and no access to full-text papers. Conclusively, nine studies complied with the eligibility criteria and thus were included in this systematic review and meta-analysis.

#### 3.2. Study Characteristics

This systematic review covers nine studies that analyzed various outcomes of reversed Potts shunt in patients with suprasystemic PAH (Table 1). These studies consisted of three retrospective studies [7-9], one multicenter retrospective study [2], one retrospective single-center study [10], and two prospective single-center studies [3, 11] that were published from 2012 to 2021 in France, the USA, India, and

Rusia [2, 3, 7-11]. Each included study had a distinct duration of follow-up with a mean and median of less than one year in two studies [3, 9], mean and median between 1-3 years in five studies [2, 7, 8, 10, 11]. The total number of participants involved in this review is 73 patients with the age of intervention ranging from 13.5 months to 20.7 years old [8, 9]. Weight of the patients varies among studies with the median and mean above 30 kg in three studies [3, 7, 10], a mean and median between 20-30 kg in one studies [11], and median of less than 20 kg in one study [2]. The remaining studies had no information on the participants' weight data. Four studies performed Potts shunt interventional surgery through thoracotomy [7, 8, 10, 11], two studies reviewed both surgical and transcatheter intervention [2,9], and a study by Boudjemline et al. (2017) [3] used the transcatheter approach as the interventional method. The adverse events of Potts shunt intervention reported in the included studies were bilateral lung transplantation [7], cardiac arrest after anesthetic induction, irreversible brain damage [3], pulmonary hypertensive crisis, heart failure [8], significant hemorrhage, pulmonary contusion, respiratory failure [9], and death. The total number of deaths of participants included in this systematic review and meta-analysis were 17 participants.

e090522204486

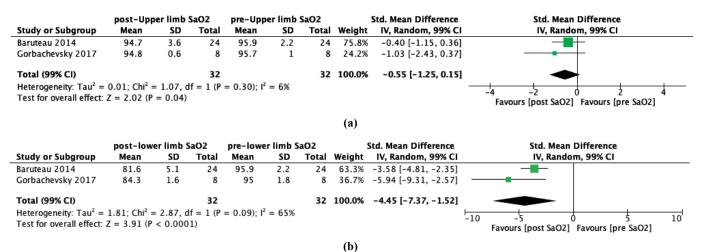


Fig. (3). Forest plot of random-effect model for the preprocedural and postprocedural conditions of (a) upper limb oxygen saturation, and (b) lower limb oxygen saturation. CI: Confidence Interval.

#### 3.3. Effect of Reversed Potts Shunt on Upper Limb SaO<sub>2</sub>

Upper limb SaO2 of most patients with suprasystemic PAH were not significantly influenced by the reversed the Potts shunt procedure [2, 8] (2 studies, Std. mean difference -0.55, 99% CI -1.25 to 0.15; P=0.04;  $I^2 = 6\%$ ); Fig. (3A). Studies conducted by Gorbachevsky *et al.* (2017) demonstrated a negligible change of preprocedural upper limb SaO<sub>2</sub> from 95.7 ± 1.0 % to 94.8 ± 0.6 % after Potts shunt procedure. In the follow-up period, the values returned to their initial upper limb SaO<sub>2</sub> of 95.6 ± 1.2 % [8]. Furthermore, the other study by Baruteau *et al* (2014) showed a minimal lowering of preprocedural upper limb SaO<sub>2</sub> of 94.7 ± 3.6 %, respectively [2].

#### 3.4. Effect of Reversed Potts Shunt on Lower Limb SaO<sub>2</sub>

In contrast to upper limb SaO<sub>2</sub>, lower limb SaO<sub>2</sub> were remarkably reduced following Potts shunt procedure [2, 8] (2 studies, Std.mean difference -0.55, 99% CI -1.25 to 0.15; P=0.04; I<sup>2</sup> = 6%; Fig. **3a**) (2 studies, Std. mean difference – 4.45, 99% CI -7.37 to -1.52; P < 0.0001; I<sup>2</sup> = 65%; Fig. **3b**). The consequence of prominent lower limb arterial oxygen desaturation was portrayed by Baruteau *et al* (2014) from 96.9 ± 2.2% to 81.6 ± 5.1 % [2]. The same result was also presented as a decline of preprocedural lower limb SaO<sub>2</sub> from 95.0 ± 1.8 % to postprocedural lower limb SaO<sub>2</sub> of 84.3 ± 1.6 % in a study conducted by Gorbachevsky *et al* (2017), respectively. Fortunately, these lower limb arterial saturations slightly improved to 85.0 ± 2.9 % during the follow-up time [8].

# 3.5. Effect of Reversed Potts Shunt on SaO<sub>2</sub> Upper/Lower Limb Gradient

Most of the included studies showed a pronounced SaO<sub>2</sub> upper/lower limb gradient because of reversed Potts shunt procedure in patients with suprasystemic PAH (Table 2). Initially, neither SaO<sub>2</sub> on the upper limb nor lower limb indicated a different value [2, 3, 8]. Nevertheless, the subsequent intervention manifests as SaO2 upper/limb gradient of  $.2 \pm 5.2$  % and  $10.5 \pm 1.8$  % according to studies by Baruteau *et al.* (2014) and Gorbachevsky *et al.* (2017) [2, 8]. The SaO<sub>2</sub>

differences, as stated by Gorbachevsky *et al* (2017), tended to be slightly increased in the follow-up period to  $10.7 \pm 2.6$  %, respectively [8].On the other hand, other studies observed declining oxygen saturation gradients. Grady *et al* (2016) reported a decrease in postprocedural SaO<sub>2</sub> upper/lower limb gradient from  $12.4 \pm 5.9$  % to  $9.8 \pm 3.8$  % in the follow-up time [10]. The least arterial oxygen saturation difference of the patients in the follow-up period, 7 (0-20) %, was reported by Boudjemline *et al.* (2017) [3]. Unfortunately, there was not enough information presented by Aggarwal *et al.* (2018) for preprocedural and postprocedural SaO<sub>2</sub> upper/lower limb gradient to compare those parameters to a saturation difference of 13 (2-22) % on the follow-up [7].

# **3.6. Effect of Reversed Potts Shunt on WHO Functional** Class

Post-procedural and follow-up WHO Functional Class showed significant improvement from the pre-procedure state in most studies. Studies by Agarwal et al (2018) and Baruteau (2014) reported improvement from the preprocedure functional class of III (II-IV) and IV (II-IV) to post-procedure FC of II (II-IV) and II (I-III) [2, 7]. Recovery of FC on clinical follow-up was also observed by Boudjemline et al (2017), Grady et al (2016), and Kirkpatrick et al (2017) with FC of I (I-II),  $2.5 \pm 0.9$ , and  $2.5 \pm 0.5$  compared to the pre-procedural state of III (III-IV), IV, and  $3.3 \pm 0.6$ [3, 9, 10]. Gorbachevsky et al (2017) revealed conversion from pre-procedure FC of  $3.7 \pm 0.5$  to  $1.4 \pm 0.4$ . Clinical follow-up of the study demonstrated a slight decline of FC outcomes in the post-procedure condition where the FC became  $1.6 \pm 0.4$  [8]. Nevertheless, Bobhate *et al.* (2021) noticed a small FC retrogression on clinical follow-up of  $3.88 \pm$ 0.33 in comparison to pre-procedure FC of  $3.75 \pm 0.43$  [11].

# 3.7. Effect of Reversed Potts Shunt on 6 Minute-Walking Distance Outcome

All included studies revealed an increased 6 MWD in most patients. Baruteau *et al* (2014) reported improvement from pre-procedure 6 MWD of  $260.2 \pm 85.1$  to post-procedure of  $522.6 \pm 93.2$ , respectively [2]. Studies by Boudjemline *et al* (2017) and Kirkpatrick *et al.* (2017) observed

No	Study (Year)	Veer Limb SaO2 (%) Lower Limb SaO2 (%) SaO2 Upper/Lower Limb Gradient (%) WHO Functional G					Functional Class 6-min			6-minute Walking Test (m)			NT-pro BNP (pg/mL)						
		pre	post	follow up	pre	post	follow up	pre	post	follow up	pre	post	follow up	pre	post	follow up	pre	post	follow up
1	Aggarwal et al (2018)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	13 (2-22%)	3.33 ± 0.65	2.37 ± 0.74	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Baruteau <i>et al</i> (2014)	95.9 ± 2.2	94.7 ± 3.6	N/A	95.9 ± 2.2	81.6± 5.1	N/A	0	13.2 ± 5.2	N/A	Median: 4 (2-4)	Median: 2 (1-3)	N/A	260.2 ± 85.1	522.6± 93.2	N/A	N/A	N/A	N/A
3	Bobhate <i>et al</i> (2021)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	3.75±0.43	N/A	3.88 ± 0.33	N/A	N/A	N/A	4947 (1143- 13204)	N/A	1106 (389- 14327)
4	Boudjemline <i>et al</i> (2017)	N/A	N/A	N/A	N/A	N/A	N/A	0	N/A	7 (0-20)	3 (3-4)	N/A	1 (1-2)	399 (200- 478)	N/A	469 (371- 551)	163 (77- 4465)	N/A	125 (71- 730)
5	Grady <i>et al</i> (2016)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	12.4± 5.9	9.8±3.8	4	N/A	2.5 ± 0.9	N/A	N/A	N/A	1108.2± 818.9	968.2± 988.3	237 ± 65.6
6	Gorbachevsky et al (2017)	95.7 ± 1.0	94.8 ± 0.6	95.6 ± 1.2	95.0 ± 1.8	84.3 ± 1.6	85.0 ± 2.9	0 (0-4)	10.5 ± 1.8	10.7 ± 2.6	3.7 ± 0.5	$1.4 \pm 0.4$	1.6±0.4	135.3 ± 9.5*	382.7 ±77.5*	360.7± 66.3*	N/A	N/A	N/A
7	Kirkpatrick <i>et al</i> (2018) available: NT-pro BN	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	3.3 ± 0.6	N/A	2.5 ± 0.5	385.5± 78.5*	N/A	360.7±104.3	74 (BNP), 9000, 3340	N/A	2950 (556- 3480)

Table 2. Clinical and laboratory outcomes of the included studies.

N/A, not available; NT-pro BNP; N-terminal-pro brain natriuretic peptide; SaO2, arterial oxygen saturation \*Only included patients with available data

increasing of 6 MWD from 399 (200-478) m and  $385.5 \pm$  78.5 m at pre-procedural state to 469 (371-551) and 393 (244-445) at follow-up time [3, 9]. Gorbachevsky *et al* (2017) showed positive development in 6 MWD of capable patients of 132 (128-146) m, 411 (295-442) m, 335 (311-436) m before the intervention, after intervention, and on clinical follow-up time, respectively. However, the third patient in the study experienced regression from 442 m to 335 m [8].

### 3.8. Effect of Reversed Potts Shunt on NT-pro BNP Level

Reversed Potts shunt promoted reduced NT-pro BNP level in most studies. Bobhate *et al* (2021) and Boudjemline *et al* (2017) showed improvement in NT-pro BNP levels from 4947 (1143-13204) and 163 (77-4465) preoperatively to 1106 (389-14327) and 125 (71-730) at clinical follow-up time [3, 11]. A study by Kirkpatrick *et al* (2017) reported a decreased NT-pro BNP level in two patients from 9000 and 3340 to 3480 and 2950, respectively [9]. Grady *et al* (2016) observed a reduction of NT-pro BNP from 1108.2  $\pm$  818.9 before the procedure to 968.2  $\pm$  988.3 and 237  $\pm$  65.6 post-procedure and on follow-up, respectively [10].

# 3.9. Effect of Reversed Potts Shunt on Hemodynamic Parameters

Most studies reported improvement of hemodynamic parameters postprocedural or after follow-up in the patients who had undergone reversed Potts shunt procedures (Table **3**). Aggarwal *et al* (2018) and Bobhate *et al* (2021) reported decreased levels of MPAP, from  $85.7 \pm 17.2$  mmHg to  $75 \pm 4.5$  mmHg and 79.5 (66.8-89.0) mmHg to 75 (44-89) mmHg, respectively [7, 11]. A study by Gorbachevsky *et al* (2017)

reported improved systolic RV pressure after the reversed Potts shunt procedures [8]. However, Aggarwal *et al* (2018) reported no improvement in systolic RV pressure in the patients [7]. TAPSE Z score was improved from  $-3.9 \pm 1.3$  to  $-1.3 \pm 1.5$  and from -2.1 (-2.8-1.1) to 0.3 (-1.5-2.6) in Bobhate *et al* (2021) and Boudjemline *et al* (2017), respectively [3, 11]. Aggarwal *et al* (2018) also reported slight improvement from 11.5 (10.4-12.4) mm to 12.6 (11.7-13.8) mm in postprocedural [7]. There was also an improvement in the interventricular septal curvature from the initial inverted or concave towards the LV to flattening of the septal curvature [8]. Gorbachevsky *et al* (2017) reported decreased end-diastolic RV/LV diameter ratio from  $1.5 \pm 0.3$  to  $0.68 \pm 0.1$  postprocedural and from  $1.36 \pm 0.14$  to  $0.99 \pm 0.22$  postprocedural and  $0.90 \pm 0.30$  on follow-up, respectively [2, 8].

# 4. DISCUSSION

#### 4.1. Clinical Outcomes of Reversed Potts Shunt

Despite the rapid advancement in the medical treatment of pulmonary hypertension, there are still congenital heart disease patients who progressed to right ventricular failure, recurrent syncope, and even death [12, 13]. Reversed Potts shunt, which connects the aorta with the left pulmonary artery, aims to decompress the right heart while elevating systemic cardiac output [14]. Theoretically, the shunting of desaturated blood from the pulmonary circulation to the systemic circulation could lead to differential cyanosis and induced polycythaemia [15]. It was proven by two included studies that demonstrated a significant decrease in postprocedural lower extremity saturation [2,8]. In our metaanalysis, upper limb oxygen saturation showed no significant reduction(2 studies, Std. mean difference -0.55, 99% CI -

No	Study (Year)	MPAP (mmHg)			Systolic RV Pressure (mmHg)			TAPSE			Interventricular Septal Curvature			End-diastolic RV/LV Diameter Ratio		
		Pre	Post	Follow Up	Pre	Post	Follow Up	Pre	Post	Follow Up	Pre	Post	Follow Up	Pre	Post	Follow Up
1	Aggarwal et al (2018)	N/A	N/A	N/A	81(71- 98)	81 (77- 99)	N/A	11.5 (10.4- 12.4)	12.6 (11.7- 13.8)	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Baruteau <i>et al</i> (2014)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Inverted	Flattened	N/A	N/A	N/A	N/A
3	Bobhate et al (2021)	79.5 (66.8- 89.0)	N/A	75 (44- 89)	N/A	N/A	N/A	-3.9 ± 1.3	N/A	-1.3 ± 1.5	N/A	N/A	N/A	N/A	N/A	N/A
4	Boudjemline et al (2017)	N/A	N/A	N/A	N/A	N/A	N/A	-2.1 (-2.8 - 1.1)	N/A	0.3 (-1.5 - 2.6)	N/A	N/A	N/A	N/A	N/A	N/A
5	Grady <i>et al</i> (2016)	53 (51-87)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
6	Gorbachevsky et al (2017)	86.6±11.9	N/A	N/A	109.7± 9.4	98.7± 9.3	99.5 ± 8.6	N/A	N/A	N/A	N/A	N/A	N/A	1.36 ± 0.14	0.99 ± 0.22	0.90 ± 0.30
7	Kirkpatrick et al (2018)	N/A	N/A	N/A	116± 21.7	130*	N/A	N/A	N/A	N/A	Flattened	N/A	N/A	N/A	N/A	N/A

 Table 3.
 The assessment of hemodynamic parameters of the included studies.

LV, left ventricle; MPAP, mean pulmonary arterial pressure; N/A, not available; RV, right ventricle; TAPSE, tricuspid annular plane systolic excursion. \*Only included patients with available data

1.25 to 0.15; P=0.04;  $I^2 = 6\%$ ), while lower limb oxygen saturation decreased remarkably (2 studies, Std. mean difference -4.45, 99% CI -7.37 to -1.52; P < 0.0001;  $I^2 = 65\%$ ). Oxygen saturation, particularly during the 6-minute walk distance test, is an independent prognostic marker in PAH patients [16].

Unlike atrial septostomy, since the connection of reversed Potts shunt was made on the descending aorta, blood oxygen desaturation should not manifest on the upper extremity (representing coronary and cerebral circulation) [17]. This presumption was convinced by two included studies that showed only a slight decrease in the upper extremity saturation following reversed Potts shunt procedure [2,8]. However, the reversed Potts shunt could still produce both upper and lower limb hypoxemia. The combination of hypoxemia with a recurrent pulmonary hypertensive crisis should be a consideration to narrow the reversed Potts shunt [8,18]. Other consequences of the included noticeable intervention а postprocedural upper/lower limb saturation gradient [2,8]. Boudjemline et al (2017) also reported a more pronounced saturation difference between the upper and lower limb at maximal exercise compared to the resting conditions [3].

Patients with suprasystemic pulmonary hypertension (PH) are classified into four functional classes (FC) in the WHO classification based on the impact of the disease on their life [19-21]. The higher the number of WHO FC, the more severe the disease [22]. Improvement of the FC has been observed in PH patients who underwent reversed Potts shunt. Post-procedural and follow-up FC of patients in most reversed Potts studies were significantly recovered compared to pre-operative states [2, 3, 7-10, 23, 24]. This is an expected result of hemodynamic improvement after the reversed Potts shunt procedure. However, Bobhate et al. found a small decline in follow-up FC compared to preoperative conditions [11]. Moreover, follow-up FC is slightly increased in comparison to the post-operative state [8].

A six-minute walk test is commonly used to assess the exercise limitations of PH patients [21, 25, 26]. The output of this test, 6-minute walk distance (6MWD), could predict the prognosis of the PH [27]. A study by Souza et al showed a better long-term prognosis in patients with 6MWD of more than 400m. However, changes in 6MWD are not associated with long-term outcomes of PH [28]. The reversed Potts shunt resulted in amelioration of the exercise ability of PH patients. Post-operative 6MWD was significantly improved in comparison to pre-operative states [2, 8]. Clinical followup of capable patients also showed improvement of 6MWD compared to the pre-operative condition [3, 8, 9].

# 4.2. Laboratory and Hemodynamic Improvement of **Reversed Potts Shunt**

NT-proBNP is utilized as a biomarker in assessing RV dysfunction and an outcome predictor of PH [21, 29, 30]. A concentration of NT-proBNP above the 97th percentile revealed PH with 90% sensitivity and 90% specificity [31]. Available data from included studies revealed decreased NTproBNP levels after reversed Potts shunt procedure [10]. In addition, patients had a lower level of NT-proBNP in followup time compared to preoperative and postoperative values [9-11]. This outcome can be explained by the reduced work stress of the heart after the creation of the reversed Potts shunt, thus resulting in a reduction of NT-proBNP secretion.

Hemodynamic parameters assessed with echocardiography or cardiac catheterization (MPAP, systolic RV pressure, TAPSE, interventricular septal curvature, enddiastolic RV/LV diameter ratio) were improved after the reversed Potts shunt procedure. These hemodynamic parameters have been shown to predict the clinical outcomes in adult and pediatric patients with pulmonary hypertension. Decreased MPAP and systolic RV pressure are associated with increased survival in pulmonary hypertension patients [32]. TAPSE, a parameter of RV function, is correlated strongly with RVEF. Therefore, an increase in the TAPSE shows an improvement in RV function [33]. Interventricular septal curvature is also a useful marker of structural, hemodynamic, and electromechanical as well as ventricular interdependence in patients with right heart diseases including pulmonary hypertension [34]. Decreased RV enddiastolic volume and increased LV end-diastolic volume indicate better survival in these patients [32]. Therefore, these hemodynamic improvements can be correlated with the clinical improvement after the reversed Potts shunt procedure.

#### 4.3. Complication and Mortality of Reversed Potts Shunt

Despite the lower risk of complications compared to the lung transplantation procedure, reversed Potts shunt is an invasive procedure [35]. Its complications ranged from chylothorax, tracheal stenosis, significant upper limb desaturation, bilateral lung transplantation, and death [2,7]. Four deaths occurred in the study by Bobhate *et a.l* because of intolerable pulmonary artery clamping and pulmonary haemorrhage with respiratory failure [11]. Other complications of reversed Potts shunt include cardiac arrest after the anesthetic procedure and irreversible brain damage [3], pulmonary hypertensive crisis, and heart failure [8]. A study by Kirkpatrick reported no complications in the transcatheter procedure, while a patient that underwent the surgical procedure experienced heavy bleeding and respiratory failure [9]. Nevertheless, there is no postoperative complication in the five left thoracotomy Potts shunts conducted by Grady et al. [10].

In our included studies, we noted 13 deaths in total from 73 patients who underwent the reversed Potts shunt procedure. Most of the deaths were caused by low cardiac output with two of them developing subsequent cardiac arrest and irreversible brain damage [2, 3]. Heart failure was recorded in 2 patients [7,8], while other deaths were caused by a severe pulmonary hypertensive crisis and adenoviral pneumonia [7,8]. The mortality risk factor was associated with some preoperative data. A high preoperative pulmonary artery to aorta mean pressure ratio was also suggested to have a connection with patient deaths [8]. Furthermore, the operative mortality of the reversed Potts shunt procedure was higher compared to a lung transplant (20% vs. 6%), although both did not have significant survival differences [35].

# **5. LIMITATIONS**

The studies included in this systematic review and metaanalysis were mostly retrospective cohort studies which consisted of a small number of subjects and a limited duration of follow-up. The initial baseline characteristics of the patients were different in each study, which reduces the comparability between studies. Furthermore, different primary and secondary outcomes resulted in inadequate data on some of the clinical, laboratory, and hemodynamic parameters, and thus could not be included in the meta-analysis.

# CONCLUSION

Reversed Potts shunt cannot be said to be relatively safe, although it allows improvement in the clinical and functional status in patients with suprasystemic PAH. These changes were reflected in the improvement of laboratory and hemodynamic parameters including RV function, which can be markers for better survival. Reversed Potts shunt procedure may be the last resort for drug-resistant pulmonary hypertension as it is considered a high-risk procedure performed on patients with extremely poor conditions. Further studies are necessary to determine the sustainability of these improvements in the long term and to establish a better approach for these procedures.

# LIST OF ABBREVIATIONS

PAH	=	Pulmonary Arterial Hypertension
PRISMA		Preferred Reporting Items for Systematic Review and Meta-Analysis
CI	=	Confidence Interval

SD = Standard Deviation

#### **CONSENT FOR PUBLICATION**

Not applicable

# **STANDARDS OF REPORTING**

PRISMA guidelines have been followed for this study.

### FUNDING

This research did not receive any specific grants from funding agencies in the public, commercial, or not-for-profit sectors.

# **CONFLICT OF INTEREST**

The authors declare no conflict of interest, financial or otherwise.

# ACKNOWLEDGEMENTS

The authors would like to thank all those who have supported them in the making of this systematic review and meta-analysis. The authors are especially grateful to the Department of Cardiology and Vascular Medicine, Faculty of Medicine, Universitas Indonesia, for their guidance in teaching them about research methodology, as well as their assistance in the proofreading of this article.

#### SUPPLEMENTARY MATERIAL

PRISMA checklist is available as supplementary material on the publisher's website along with the published article.

#### REFERENCES

- Rosenzweig EB, Abman SH, Adatia I, *et al.* Paediatric pulmonary arterial hypertension: Updates on definition, classification, diagnostics and management. Eur Respir J 2019; 53(1): 1801916. http://dx.doi.org/10.1183/13993003.01916-2018 PMID: 30545978
- [2] Baruteau AE, Belli E, Boudjemline Y, et al. Palliative potts shunt for the treatment of children with drug-refractory pulmonary arterial hypertension: Updated data from the first 24 patients. Eur J Cardiothorac Surg 2015; 47(3): e105-10. http://dx.doi.org/10.1093/ejcts/ezu445 PMID: 25475943
- [3] Boudjemline Y, Sizarov A, Malekzadeh-Milani S, et al. Safety and feasibility of the transcatheter approach to create a reverse Potts shunt in children with idiopathic pulmonary arterial hypertension. Can J Cardiol 2017; 33(9): 1188-96. http://dx.doi.org/10.1016/j.cjca.2017.06.004 PMID: 28843329
- [4] Moher D, Liberati A, Tetzlaff J, Altman DG, Group TP. Preferred reporting items for systematic reviews and meta-analyses: The PRISMA statement. PLoS Med 2009; 6(7): e1000097. http://dx.doi.org/10.1371/journal.pmed.1000097 PMID: 19621072
- [5] von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP. The strengthening the reporting of observational studies in epidemiology (STROBE) statement: Guidelines for reporting observational studies. Int J Surg 2014; 12(12): 1495-9. http://dx.doi.org/10.1016/j.ijsu.2014.07.013 PMID: 25046131
- [6] Manager R. (RevMan) [Computer program] The Cochrane Collaboration. 2020.
- [7] Aggarwal M, Grady RM, Choudhry S, Anwar S, Eghtesady P, Singh GK. Potts shunt improves right ventricular function and coupling with pulmonary circulation in children with suprasystemic pulmonary arterial hypertension. Circ Cardiovasc Imaging 2018; 11(12): e007964. http://dx.doi.org/10.1161/CIRCIMAGING.118.007964 PMID:

30558504 PMID:

- [8] Gorbachevsky SV, Shmalts AA, Barishnikova IY, Zaets SB. Potts shunt in children with pulmonary arterial hypertension: Institutional experience. Interact Cardiovasc Thorac Surg 2017; 25(4): 595-9. http://dx.doi.org/10.1093/icvts/ivx209 PMID: 28679172
- [9] Kirkpatrick EC, Handler SS, Foerster S, Gudausky T, Tillman K, Mitchell M. Single center experience with the Potts shunt in severe pulmonary arterial hypertension. Prog Pediatr Cardiol 2018; 48: 111-5.

http://dx.doi.org/10.1016/j.ppedcard.2017.12.002

- [10] Grady RM, Eghtesady P. Potts shunt and pediatric pulmonary hypertension: What we have learned. Ann Thorac Surg 2016; 101(4): 1539-43. http://dx.doi.org/10.1016/j.athoracsur.2015.08.068 PMID: 26518375
- [11] Bobhate P, Mohanty SR, Tailor K, et al. Potts shunt as an effective palliation for patients with end stage pulmonary arterial hypertension. Indian Heart J 2021; 73(2): 196-204. http://dx.doi.org/10.1016/j.ihj.2021.01.007 PMID: 33865518
- Zelt JGE, Chaudhary KR, Cadete VJ, Mielniczuk LM, Stewart DJ. Medical therapy for heart failure associated with pulmonary hypertension. Circ Res 2019; 124(11): 1551-67. http://dx.doi.org/10.1161/CIRCRESAHA.118.313650 PMID: 31120820
- [13] Rosenkranz S, Howard LS, Gomberg-Maitland M, Hoeper MM. Systemic consequences of pulmonary hypertension and right-sided heart failure. Circulation 2020; 141(8): 678-93. http://dx.doi.org/10.1161/CIRCULATIONAHA.116.022362 PMID: 32091921
- [14] Schranz D, Akintuerk H, Voelkel NF. 'End-stage' heart failure therapy: Potential lessons from congenital heart disease: From pulmonary artery banding and interatrial communication to parallel circulation. Heart 2017; 103(4): 262-7. http://dx.doi.org/10.1136/heartjnl-2015-309110 PMID: 28011759
- Blanc J, Vouhé P, Bonnet D. Potts shunt in patients with pulmonary hypertension. N Engl J Med 2004; 350(6): 623-3. http://dx.doi.org/10.1056/NEJM200402053500623 PMID: 14762197

- [16] Santos M, Furtado I, Goncalves F, Carvalho L, Reis A. Prognostic impact of oxygen saturation during the 6-minute walk test in pulmonary arterial hypertension. Eur Respir J 2016; 48(Suppl. 60): PA2405.
- [17] Delhaas T, Koeken Y, Latus H, Apitz C, Schranz D. Potts shunt to be preferred above atrial septostomy in pediatric pulmonary arterial hypertension patients: A modeling study. Front Physiol 2018; 9(SEP): 1252.

http://dx.doi.org/10.3389/fphys.2018.01252 PMID: 30246808

- [18] Latus H, Apitz C, Moysich A, et al. Creation of a functional Potts shunt by stenting the persistent arterial duct in newborns and infants with suprasystemic pulmonary hypertension of various etiologies. J Heart Lung Transplant 2014; 33(5): 542-6. http://dx.doi.org/10.1016/j.healun.2014.01.860 PMID: 24630407
- [19] Corris P, Degano B. Severe pulmonary arterial hypertension: Treatment options and the bridge to transplantation. Eur Respir Rev

2014; 23(134): 488-97. http://dx.doi.org/10.1183/09059180.00007214 PMID: 25445947

[20] Ivy D. Pulmonary hypertension in children. Cardiol Clin 2016; 34(3): 451-72.

http://dx.doi.org/10.1016/j.ccl.2016.04.005 PMID: 27443141

- [21] Lammers AE, Apitz C, Zartner P, Hager A, Dubowy KO, Hansmann G. Diagnostics, monitoring and outpatient care in children with suspected pulmonary hypertension/paediatric pulmonary hypertensive vascular disease. Expert consensus statement on the diagnosis and treatment of paediatric pulmonary hypertension. The European paediatric pulmonary vascular disease network, endorsed by ISHLT and DGPK. Heart 2016; 102(Suppl. 2): ii1-ii13. http://dx.doi.org/10.1136/heartjnl-2015-307792 PMID: 27053692
- [22] Galiè N, Hoeper MM, Humbert M, et al. Guidelines for the diagnosis and treatment of pulmonary hypertension. Eur Respir J 2009; 34(6): 1219-63.

http://dx.doi.org/10.1183/09031936.00139009 PMID: 19749199

- [23] Gorbachevkiy SV, Shmal'ts AA, Belkina MV, Grenaderov MA, Baryshnikova IY, Pursanov MG. Potts shunt in children with pulmonary hypertension: 7 operations in one clinic and review of world experience. Det Bolezn Serdtsa i Sosudov 2016; 13(4): 189-98.
- Baruteau AE, Serraf A, Lévy M, *et al.* Potts shunt in children with idiopathic pulmonary arterial hypertension: Long-term results. Ann Thorac Surg 2012; 94(3): 817-24. http://dx.doi.org/10.1016/j.athoracsur.2012.03.099 PMID: 22704329
- [25] Deboeck G, Niset G, Vachiery JL, Moraine JJ, Naeije R. Physiological response to the six-minute walk test in pulmonary arterial hypertension. Eur Respir J 2005; 26(4): 667-72.

http://dx.doi.org/10.1183/09031936.05.00031505 PMID: 16204599

[26] Rubin LJ. The 6-minute walk test in pulmonary arterial hypertension: How far is enough? Am J Respir Crit Care Med 2012; 186(5): 396-7.

http://dx.doi.org/10.1164/rccm.201206-1137ED PMID: 22942342

- [27] Demir R, Küçükoğlu MS. Six-minute walk test in pulmonary arterial hypertension. Anatol J Cardiol 2015; 15(3): 249-54. http://dx.doi.org/10.5152/akd.2015.5834 PMID: 25880178
- [28] Souza R, Channick RN, Delcroix M, et al. Association between six-minute walk distance and long-term outcomes in patients with pulmonary arterial hypertension: Data from the randomized SERAPHIN trial. PLoS One 2018; 13(3): e0193226. http://dx.doi.org/10.1371/journal.pone.0193226 PMID: 29590122
- [29] Berghaus TM, Kutsch J, Faul C, von Scheidt W, Schwaiblmair M. The association of N-terminal pro-brain-type natriuretic peptide with hemodynamics and functional capacity in therapy-naive precapillary pulmonary hypertension: Results from a cohort study. BMC Pulm Med 2017; 17(1): 167.

http://dx.doi.org/10.1186/s12890-017-0521-4 PMID: 29202745

[30] Chin KM, Rubin LJ, Channick R, *et al.* Association of N-terminal pro brain natriuretic peptide and long-term outcome in patients with pulmonary arterial hypertension. Circulation 2019; 139(21): 2440-50.

http://dx.doi.org/10.1161/CIRCULATIONAHA.118.039360 PMID: 30982349

- [31] Casserly B, Klinger JR. Brain natriuretic peptide in pulmonary arterial hypertension: Biomarker and potential therapeutic agent. Drug Des Devel Ther 2009; 3: 269-87.
   PMID: 20054445
- [32] Badesch DB, Champion HC, Gomez Sanchez MA, et al. Diagnosis and assessment of pulmonary arterial hypertension. J Am Coll Cardiol 2009; 54(1)(Suppl.): S55-66. http://dx.doi.org/10.1016/j.jacc.2009.04.011 PMID: 19555859
- [33] Wu VCC, Takeuchi M. Echocardiographic assessment of right ventricular systolic function. Cardiovasc Diagn Ther 2018; 8(1): 70-9.

http://dx.doi.org/10.21037/cdt.2017.06.05 PMID: 29541612

- [34] Haddad F, Guihaire J, Skhiri M, et al. Septal curvature is marker of hemodynamic, anatomical, and electromechanical ventricular interdependence in patients with pulmonary arterial hypertension. Echocardiography 2014; 31(6): 699-707. http://dx.doi.org/10.1111/echo.12468 PMID: 24372843
- [35] Lancaster TS, Shahanavaz S, Balzer DT, Sweet SC, Grady RM, Eghtesady P. Midterm outcomes of the potts shunt for pediatric pulmonary hypertension, with comparison to lung transplant. J Thorac Cardiovasc Surg 2021; 161(3): 1139-48. http://dx.doi.org/10.1016/j.jtcvs.2020.10.163 PMID: 33454101