

# OPEN Sugammadex Versus Neostigmine for Recovery of Respiratory Muscle Strength Measured by Ultrasonography in the Postextubation Period: A Randomized Controlled Trial

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**BACKGROUND:** Although sugammadex is well known for its use in reducing the incidence of residual neuromuscular blockade, this has not always been translated to improved clinical measures of postoperative respiratory muscle strength. Expiratory muscles play an important role in airway clearance and inspiratory muscle capacity augmentation, yet they have not been well studied. Therefore, we tested the hypothesis on whether sugammadex could enhance expiratory muscle strength recovery more completely than neostigmine in the immediate postextubation period.

**METHODS:** Adult patients having microlaryngeal surgery under total intravenous anesthesia were randomized to receive sugammadex or neostigmine. The thickening fraction of internal oblique abdominal muscle ( $TF_{10}$ ) and diaphragm excursion, respectively, reflecting expiratory and inspiratory muscle strength, were measured via ultrasonography at 3 time points: before induction (baseline), train-of-four ratio (TOFR) recovery to 0.9, and 30 minutes after postanesthesia care unit (PACU) arrival. The primary outcome was the change in  $TF_{10}$  from baseline to TOFR  $\geq 0.9$ . The postoperative changes of diaphragm excursion from baseline, incidences of  $TF_{10}$  and diaphragm excursion returning to baseline levels, and the time from TOFR 0.9 to 0.95 and 1 were also measured.

**RESULTS:** Among 58 patients, a significant difference in the change in  $TF_{10}$  from baseline to TOFR  $\geq 0.9$  between the sugammadex and neostigmine groups was observed: mean  $\pm$  standard deviation,  $9\% \pm 6\%$  vs  $16\% \pm 9\%$ ; difference in means:  $-6\%$  (95% confidence interval [CI],  $-10$  to  $-2$ ); and adjusted  $P = .005$  (adjusting for imbalanced variables between 2 groups). Sugammadex resulted in smaller changes in diaphragm excursion from baseline to TOFR  $\geq 0.9$  compared with neostigmine: difference in means:  $-0.83$  cm (99.4% CI,  $-1.39$  to  $-0.28$  cm; Bonferroni-corrected  $P < .001$ ). After 30 minutes in the postanesthesia care unit (PACU), 33% of patients reversed with sugammadex versus 14% of those receiving neostigmine reached baseline  $TF_{10}$  levels (99.4% CI,  $-14$  to  $52$ ; Bonferroni-corrected  $P > .999$ ). The incidences of  $TF_{10}$  and diaphragm excursion returning to baseline were relatively low ( $<40\%$ ) in both groups despite TOFR reaching 1. The median time from TOFR of 0.9 to 0.95 and to 1 among patients receiving sugammadex was 7 and 10 $\times$  faster than those receiving neostigmine (0.3 vs 2 minutes, Bonferroni-corrected  $P = .003$ ; 0.5 vs 5.3 minutes, Bonferroni-corrected  $P < .001$ , respectively).

**CONCLUSIONS:** Sugammadex provides a more complete recovery of expiratory muscle strength than neostigmine at TOFR  $\geq 0.9$ . Our data suggest that the respiratory muscle strength might still be impaired despite TOFR reaching 1. (Anesth Analg 2023;136:559–68)

## KEY POINTS

- **Question:** Does sugammadex enhance expiratory muscle strength recovery more completely than neostigmine in the immediate postextubation period?
- **Findings:** Sugammadex provided more complete expiratory muscle strength recovery in the immediate postextubation period than neostigmine; however, despite train-of-four ratio (TOFR)

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reaching 1, strength of respiratory muscles did not fully recover in most patients 30 minutes after postanesthesia care unit (PACU) arrival, irrespective of reversal agents.

- **Meaning:** The superiority of sugammadex to neostigmine in enhancing expiratory muscle strength recovery immediately after extubation may contribute to generating high expiratory pressures for effective coughing and secretion clearance during emergence, possibly suggesting a relationship between sugammadex and a lower incidence of adverse respiratory outcomes.

## GLOSSARY

**ASA** = American Society of Anesthesiology; **ASD** = absolute standardized difference; **CI** = confidence interval; **CONSORT** = Consolidated Standards of Reporting Trials; **DIA** = diaphragm excursion; **IQR** = interquartile range; **NMB** = neuromuscular blockade; **NMBAs** = neuromuscular blocking agents; **PACU** = postanesthesia care unit; **POPULAR** = Postanaesthesia Pulmonary Complications After Use of Muscle Relaxants; **PPCs** = postoperative pulmonary complications; **SD** = standard deviation; **TF<sub>10</sub>** = thickening fraction of internal oblique abdominal muscle; **TOF** = train-of-four; **TOFR** = train-of-four ratio

**R**esidual neuromuscular blockade (NMB) related to neuromuscular blocking agents (NMBAs) is associated with increased postoperative pulmonary complications (PPCs).<sup>1,2</sup> Compared with neostigmine, sugammadex reduces the incidence of residual NMB.<sup>3-5</sup> However, the association between PPCs and sugammadex remains unclear.<sup>6-10</sup> The return of muscle function to its preoperative level is responsible for protecting patients from PPCs. Although the clinical impression is that patients' strength appears stronger after reversal with sugammadex compared with neostigmine, it remains controversial on the effect of different reversal drugs on the recovery of respiratory muscle strength.<sup>11-13</sup> Cappellini et al<sup>13</sup> reported that the recovery of diaphragmatic strength representing inspiratory muscle strength at extubation was enhanced in patients reversed with sugammadex, while other studies detected no difference between the 2 drugs when forced vital capacity was used to reflect global respiratory muscle function.<sup>11,12</sup>

While inspiratory muscle weakness causes alveolar hypoventilation leading to atelectasis development, expiratory muscle weakness is associated with ineffective cough, which is also one of the major contributors to PPCs.<sup>14,15</sup> Contraction of the abdominal muscles during expulsive expiratory efforts not only generates high expiratory pressures for effective coughing and secretion clearance but also increases inspiratory muscle capacity.<sup>16</sup> A recent study showed that the thickening fraction of internal oblique abdominal muscle (TF<sub>10</sub>) reflecting expiratory muscle strength was correlated to the airway pressure generated by cough, and this reduced abdominal muscle thickening during cough was associated with a high risk of liberation failure in mechanically ventilated patients,<sup>17</sup> implying the vital importance of the expiratory muscle function. Unfortunately, comparative studies on the postextubation recovery of expiratory muscle function are scant.

Although sugammadex reverses NMB to the train-of-four ratio (TOFR) of  $\geq 0.9$  faster than neostigmine,<sup>18,19</sup> extubation at TOFR  $\geq 0.9$  was not associated

with better pulmonary outcomes,<sup>7</sup> whereas TOFR  $\geq 0.95$  was required to reduce PPCs.<sup>20</sup> Eikermann et al<sup>21</sup> suggested that extubation after TOFR = 1 might be preferable. However, the recovery time from TOFR of 0.9 to 0.95 and to 1 after using different reversal agents has not been reported yet.

Therefore, we designed this trial primarily to compare the impact of sugammadex and neostigmine on the recovery of expiratory muscle strength at TOFR  $\geq 0.9$  in patients undergoing ambulatory microlaryngeal surgery. We hypothesized that sugammadex would enhance expiratory muscle strength recovery more completely than neostigmine in the immediate postextubation period. Moreover, we hypothesized that the time from TOFR of 0.9 to 0.95 and to 1 would be shorter in patients receiving sugammadex than those receiving neostigmine.

## METHODS

A prospective, single-center, randomized, controlled, assessor-blinded trial, according to the Consolidated Standards of Reporting Trials (CONSORT) statement, was performed from September 2020 to February 2021 at a tertiary academic medical center. The study protocol was approved by the research ethics committee of the First Affiliated Hospital, Sun Yat-sen University in Guangzhou, China (Ref: [2020]180) and registered at <http://www.chictr.org.cn/showproj.aspx?proj=55007> (ChiCTR2000033832, Principal investigator: Ying Xiao, date of registration: June 14, 2020) before the first patient was enrolled. All patients provided written informed consent.

### Study Population

Patients 18 to 65 years of age scheduled for ambulatory microlaryngeal surgery were included. Exclusion criteria were as follows: (1) American Society of Anesthesiology (ASA) physical status III–V; (2) significant kidney disease (stage 4 kidney disease or higher); (3) significant liver disease (Child-Pugh B or C class); (4) history of chronic obstructive pulmonary disease; (5) known or suspected

neuromuscular disease; (6) arrhythmic disease or use of antiarrhythmic drugs; (7) allergy to rocuronium, neostigmine, or sugammadex; (8) suspected difficult airway; (9) pregnancy or breastfeeding women; (10) nonparticipation; and (11) failure to cooperate with the assessment.

### Randomization and Blinding

Patients were randomly allocated with a 1:1 ratio to the sugammadex group or the neostigmine group. The randomization sequence without any stratification was generated on [www.randomization.com](http://www.randomization.com) and sealed with consecutively numbered envelopes providing concealment of random allocation. The allocation was revealed to the anesthesiologist 10 minutes before the expected end of the surgery. Surgeons, outcome assessors (not directly involved in the patients' care), and statisticians were blinded to group assignment until the final statistical analysis was completed.

### Anesthetic Management

No premedication was administered. Anesthetic management was standardized in all subjects. Monitoring consisted of electrocardiogram, pulse oximetry, noninvasive blood pressure, temperature, and capnography. Depth of anesthesia was judged by raw electroencephalogram monitored by Narcotrend (Monitor Technik). Anesthesia was induced with propofol and remifentanyl. The plasma-targeted concentration of propofol started at 4–6  $\mu\text{g}\cdot\text{mL}^{-1}$  and was delivered via a target-controlled infusion pump (Alaris PK, Cardinal Health) programmed with the Marsh model, and remifentanyl was started at an infusion rate of 0.3  $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ . After calibration of TOF-Watch SX, 0.6  $\text{mg}\cdot\text{kg}^{-1}$  rocuronium was administered, and tracheal intubation was performed in the absence of train-of-four (TOF) count. During surgery, the target propofol concentration was adjusted in steps of 0.5  $\mu\text{g}\cdot\text{mL}^{-1}$  to keep 95% spectral edge frequency within 8–12 Hz as guided by the electroencephalogram. Remifentanyl was maintained at the rate of 0.3–0.7  $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  during the main procedure, and infusion levels were set to 0.1  $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  toward the end of surgery. We titrated remifentanyl to maintain heart rate within 10% of values after induction and systemic blood pressure within 20% of baseline measures. Hypotension was treated with a bolus of 0.3 mg metaraminol or a continuous infusion of norepinephrine, as clinically indicated. Dexamethasone (10 mg) and palonosetron (0.25 mg) were given to prevent postoperative nausea and vomiting, which was the common adverse effect of neostigmine.<sup>22</sup> Flurbiprofen (50 mg) was administered for preventive analgesia.

### Neuromuscular Monitoring

Depth of NMB was continuously monitored by acceleromyography of the adductor pollicis muscle of the

left arm using TOF-Watch SX (Organon). After skin cleansing, 2 surface electrodes were positioned over the ulnar nerve at the wrist. A hand adapter that applied a constant preload to the thumb was secured to the hand with tape, and the acceleration transducer was attached to the distal phalanx of the thumb via the hand adapter. The left hand was positioned on the transport cart to prevent movement of the fingers except for the thumb during each assessment. After confirmation of loss of consciousness, TOF-Watch SX was calibrated with the built-in calibration modus (CAL 2 mode) after 5 seconds of 50-Hz tetanic stimulation preceded by a repetitive TOF stimulation for 1 minute. After calibration, a 3-minute repetitive TOF stimulation was applied to ensure a stable response (TOFR ranged from 0.9 to 1.1).<sup>23</sup> A forced-air warming device (Bair Hugger, 3M Company) was used to maintain left-hand skin temperatures  $>32^{\circ}\text{C}$ . TOFR was measured every 15 seconds throughout the procedure and up to 30 minutes after arrival at the postanesthesia care unit (PACU). Rocuronium (0.15  $\text{mg}\cdot\text{kg}^{-1}$ ) was administered when the posttetanic count (repeated every 2 minutes) was  $\geq 3$ .

### Intervention

At the end of the surgery, patients received either neostigmine (50  $\mu\text{g}\cdot\text{kg}^{-1}$ , maximum 5 mg) combined with atropine (25  $\mu\text{g}\cdot\text{kg}^{-1}$ , maximum 2.5 mg) or sugammadex (2  $\text{mg}\cdot\text{kg}^{-1}$ ) after TOF counts at least exceeding 1 and when the raw electroencephalogram showed the trend of cognitive arousal (tracking electroencephalogram changes from high-amplitude, low-frequency activity during anesthesia to low-amplitude, high-frequency activity during wakefulness). Extubation was performed in the operating room when the patient was fully awake and fulfilled clinical criteria for extubation.

### Outcome Measures and Data Collection

Demographic characteristics and intraoperative data were recorded. TOF data were automatically recorded utilizing the TOF-Watch SX monitoring program, version 2.5. The effect-site concentration of propofol at the beginning of strength testing was recorded.

$\text{TF}_{10}$  and diaphragm excursion (DIA), reflecting the expiratory and inspiratory muscle strength, respectively, were measured via ultrasonography (Mindray ME7, Mindray Bio-medical Electronics Co Ltd) at 3 predefined time points: before induction (baseline levels), TOFR  $\geq 0.9$  (postextubation), and after 30 minutes in the PACU. One outcome assessor, who had been trained to be skilled in the measurements of  $\text{TF}_{10}$  and DIA with the guidance of an ultrasonographic specialist, took charge of all participant assessments. Before testing, the outcome assessor coached patients on cooperating with the procedure. The patient was



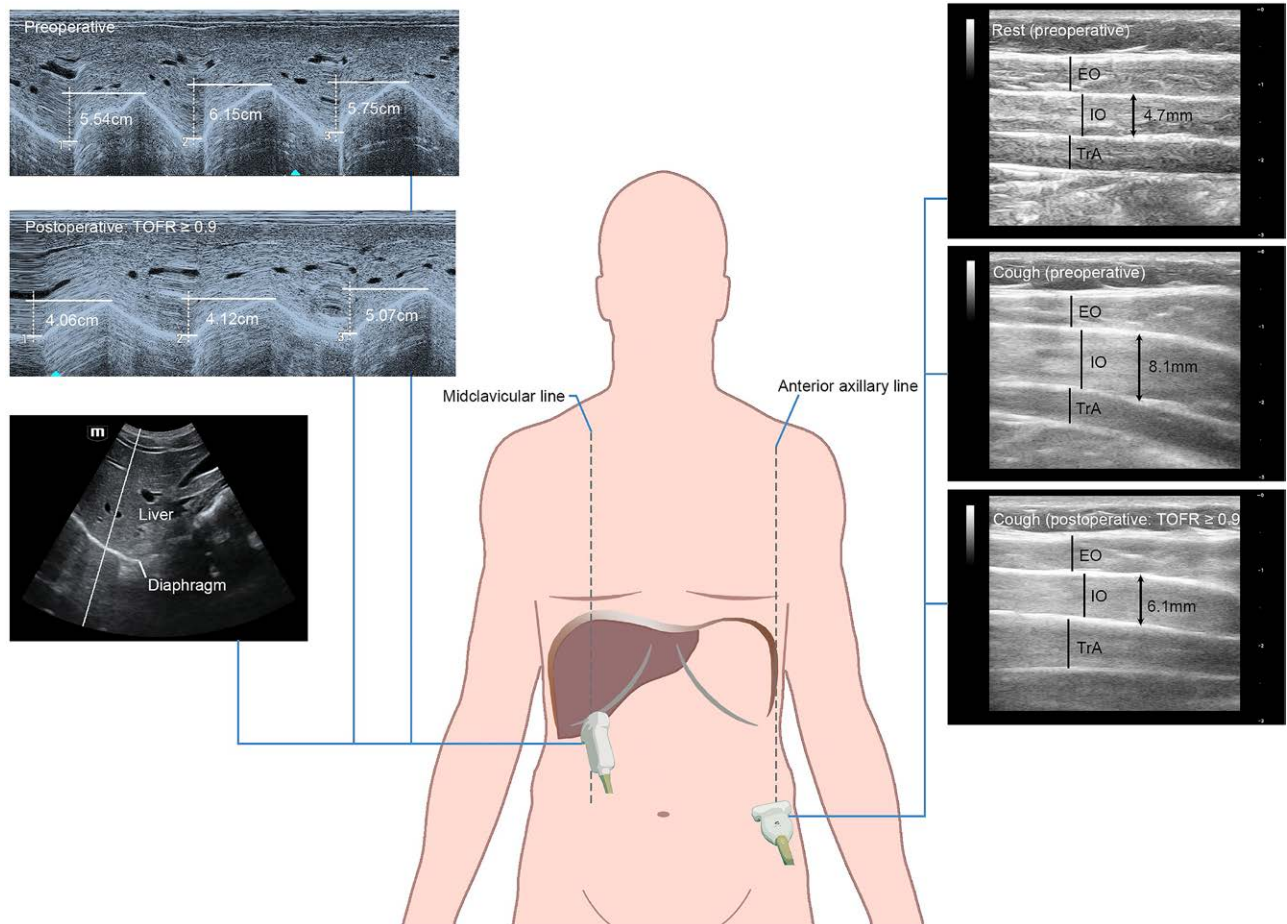
in a semirecumbent position (with the head of the bed elevated at an angle of 45° with a goniometer) during evaluations. As the technique might be effort-dependent, each measurement was performed 3 times, and the highest value was recorded.

During the assessment of expiratory muscle strength, an L14-6Ns linear probe was positioned on the right anterior axillary line, midway between the inferior border of the rib cage and the iliac crest, perpendicular to the abdominal wall. The different lateral abdominal wall muscles would be relatively easy to identify as hypoechoogenic layers enclosed by fascial sheaths. The thickness of the internal oblique muscle was measured at end-inspiratory and the maximum contraction when the patient was told to cough with maximum strength.  $TF_{IO}$  was calculated as the magnitude of thickness increased during coughing with maximum strength ( $TF_{IO} = [\text{end-coughing thickness} - \text{end-inspiratory thickness}] / [\text{end-inspiratory thickness}] \times 100\%$ ).<sup>17,24</sup>

Diaphragmatic ultrasound was performed during maximum sniff breathing with a C5-1s convex transducer positioned below the right costal arch at the midclavicular line by angling the ultrasound beam cranially and perpendicular to the diaphragmatic

dome.<sup>25</sup> B-mode was initially used to visualize the diaphragm as an echogenic line between the interface of the lung and liver and was then changed to M-mode to measure DIA on the vertical axis, tracing from the baseline to the point of a maximum height of inspiration on the graph. The sweep speed was adjusted to 25 mm/s to obtain a minimum of 3 respiratory cycles within one image (Figure 1).

Our primary outcome was the change in  $TF_{IO}$  from baseline ( $\Delta TF_{IO}$ ) to  $TOFR \geq 0.9$ . Secondary outcomes included: (1)  $\Delta TF_{IO}$  to 30 minutes in the PACU; (2) change in DIA from baseline ( $\Delta DIA$ ) to  $TOFR \geq 0.9$ ; (3)  $\Delta DIA$  to 30 minutes in the PACU; (4) the incidence of  $TF_{IO}$  returning to baseline value at both  $TOFR \geq 0.9$  and after 30 minutes in the PACU; (5) the incidence of DIA returning to baseline at both  $TOFR \geq 0.9$  and after 30 minutes in the PACU; (6) time from  $TOFR$  of 0.9 to 0.95; and (7) time from  $TOFR$  of 0.9 to 1. We also recorded adverse events including nausea and vomiting, muscle weakness, airway obstruction requiring intervention, hypoxia and reintubation during PACU stay, and return to the emergency department or hospital readmission for respiratory complications within 7 days of surgery.



**Figure 1.** Diaphragmatic sniff ultrasound and abdominal wall muscle ultrasound: techniques and views. TOFR indicates train-of-four ratio.

## Statistical Analysis

All analyses were conducted based on intent-to-treat. The normality of data distribution was determined using the Shapiro-Wilk test. Continuous data were reported as mean  $\pm$  standard deviation (SD) or median (interquartile range [IQR]). Categorical variables were reported as numbers (percentages).

Absolute standardized differences (ASDs) were used to measure the balance between the 2 groups. Variables were considered as imbalanced if the ASD was  $>0.2$ .<sup>26</sup> We compared sugammadex versus neostigmine on  $\Delta TF_{10}$  to TOFR  $\geq 0.9$  (the primary outcome) and  $\Delta DIA$  to TOFR  $\geq 0.9$  with 2-sample *t* tests. Differences in means with 95% confidence intervals (CIs) were reported.  $\Delta TF_{10}$  and  $\Delta DIA$  to 30 minutes in the PACU, as well as time from TOFR of 0.9 to 0.95 and to 1 in sugammadex and neostigmine groups were compared using Mann-Whitney *U* tests. CIs for median differences were calculated using Hodges-Lehmann estimates. The incidence of postoperative  $TF_{10}$  and DIA returning to baseline values was compared using the Pearson  $\chi^2$  test or the Fisher exact test, as appropriate. Differences in percentage with the outcome and 95% CI were reported. Considering that some variables might be imbalanced between 2 randomized groups, we also did a multivariable adjustment for the primary finding by adding the imbalanced variables (ASD  $>0.2$ ) into a linear regression model.

All statistical analyses were performed using R software (version 3.5.3, R Foundation for Statistical Computing) and GraphPad Prism (version 9.0.0 for Mac OS, GraphPad Software). The Bonferroni corrections were applied to control the type I error at 0.05 when assessing the treatment effect on 9 secondary outcomes. As such, the Bonferroni-corrected CIs for the secondary outcomes were 99.4% (ie,  $[1 - 0.05/9] \times 100\%$ ), and the corrected *P* values were equal to the raw *P* values multiplied by 9. Throughout, a 2-sided *P* value of  $<0.05$  was considered statistically significant.

As no previous studies have assessed the effect of reversal drugs on  $\Delta TF_{10}$  from baseline to TOFR  $\geq 0.9$  (the primary outcome), the sample size was calculated based on our preliminary data (unpublished), which showed that the mean (SD)  $\Delta TF_{10}$  from baseline to TOFR  $\geq 0.9$  was 24% (15%) in patients receiving neostigmine at our institute. Assuming that a 50% reduction (24% to 12%) in  $\Delta TF_{10}$  from baseline to TOFR  $\geq 0.9$  in the sugammadex group was clinically relevant, 26 patients were required per group, given an SD of 15%, an alpha error of .05, and a power of 80%. We planned to enroll a total of 60 patients when considering a dropout rate of 15%.

## RESULTS

A total of 153 patients undergoing microlaryngeal surgery were screened from September 2020 to February 2021, of whom 60 patients were randomly

allocated (1:1) to the neostigmine or sugammadex group (Figure 2). Two patients in the neostigmine group were excluded because of the dysfunction of the TOF-Watch device, leaving 28 patients in the neostigmine group and 30 patients in the sugammadex group in the final analysis. Demographics and baseline characteristics are summarized in Table 1. Except for the ASA physical status and intraoperative remifentanyl consumption, characteristics were balanced (ASD  $<0.2$ ) between the 2 groups.

## Primary Analysis

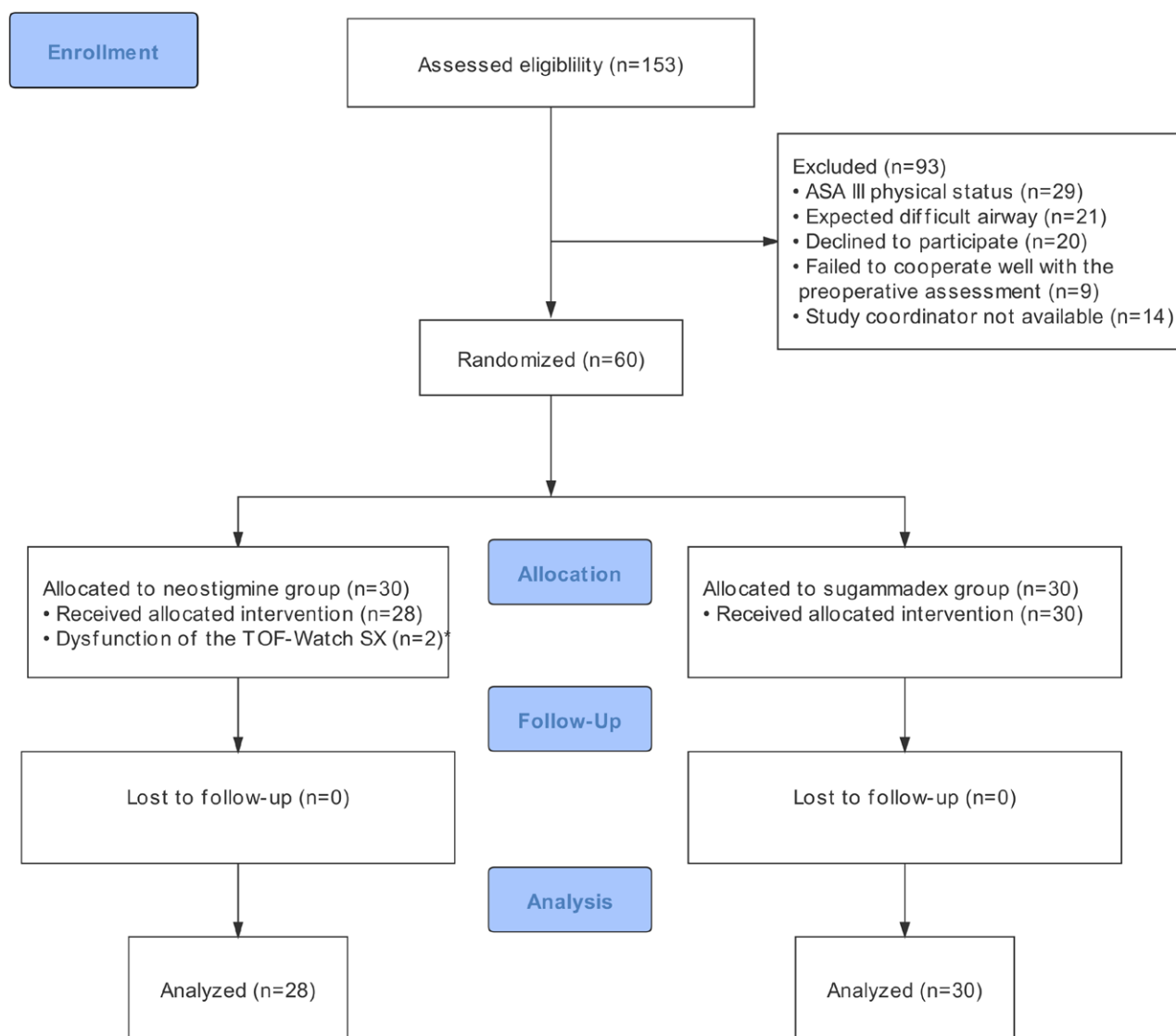
As shown in Table 2, sugammadex versus neostigmine resulted in smaller changes in  $TF_{10}$  from baseline to TOFR  $\geq 0.9$  (mean  $\pm$  SD, 9%  $\pm$  6% vs 16%  $\pm$  9%), with a difference in means of  $-6\%$  (95% CI,  $-10$  to  $-2$ ; adjusted, *P* = .005). The difference remained significant (*P* = .005) after multivariable adjustment for imbalanced characteristics (ie, ASA physical status and intraoperative remifentanyl consumption).

## Secondary Analyses

$\Delta DIA$  to TOFR  $\geq 0.9$  was smaller in the sugammadex group than in the neostigmine group (mean  $\pm$  SD; 0.45  $\pm$  0.74 cm vs 1.28  $\pm$  0.73 cm), with a difference in means of  $-0.83$  cm (99.4% CI,  $-1.39$  to  $-0.28$  cm; Bonferroni-corrected *P*  $< .001$ ; Table 2). After 30 minutes in the PACU, neither a difference of the change in  $TF_{10}$  nor DIA was observed between the sugammadex and neostigmine groups after the Bonferroni correction (Table 2). No difference was found in TOFR at the initiation of strength testing for the sugammadex group and the neostigmine group (median [IQR], 1.06 [1.01–1.09] vs 1.05 [1.03–1.13]).

At TOFR  $\geq 0.9$ ,  $TF_{10}$  and DIA returning to the baseline levels were only observed in patients receiving sugammadex. Nine (30%) patients in the sugammadex group returned to baseline DIA level versus none of the patients in the neostigmine group (Table 2). Despite TOFR  $\geq 0.9$ , only 2 (7%) patients in the sugammadex group recovered to baseline  $TF_{10}$  level. After 30 minutes in the PACU, 33% of patients reversed with sugammadex versus 14% of those receiving neostigmine reached baseline  $TF_{10}$  levels (99.4% CI,  $-14$  to 52; Bonferroni-corrected *P*  $> .999$ ). Even at this time point, when all patients had the return of TOFR to 1, the incidences of  $TF_{10}$  and DIA returning to baseline levels were rather low in both groups ( $<40\%$ ), although there was no statistically significant difference between the sugammadex and the neostigmine groups. The baseline values of  $TF_{10}$  and DIA and their postoperative recovery (percentage of baseline values) are reported in Supplemental Digital Content 1, Table 1, <http://links.lww.com/AA/E45>.

The median time from TOFR = 0.9 to TOFR = 0.95 was 0.3 minutes with sugammadex versus 2 minutes with neostigmine, with an estimated median difference of  $-1.8$  minutes (99.4% CI,  $-2.3$  to  $-0.3$  minutes;



**Figure 2.** CONSORT diagram showing the flow of participants through each stage of the randomized trial. \*We failed to capture the neuromuscular monitoring data due to dysfunction of the TOF-Watch device at the beginning of anesthesia in 2 patients allocated to the neostigmine group. ASA indicates American Society of Anesthesiology; CONSORT, Consolidated Standards of Reporting Trials; TOFR, train-of-four ratio.

| Table 1. Baseline Characteristics in Patients Receiving Neostigmine or Sugammadex |                     |                      |                  |
|---|---------------------|----------------------|------------------|
| Factors   | Sugammadex (n = 30) | Neostigmine (n = 28) | ASD <sup>a</sup> |
| Age, y  | 44 ± 11             | 44 ± 12              | 0.006            |
| BMI, kg·m <sup>-1</sup>   | 23.3 ± 3.5          | 22.8 ± 2.3           | 0.170            |
| Sex, female, n (%)  | 10 (33)             | 7 (25)               | 0.184            |
| ASA physical status, n (%)  |                     |                      | 0.265            |
| I   | 9 (30)              | 12 (43)              |                  |
| II  | 21 (70)             | 16 (57)              |                  |
| Intraoperative propofol, mg·kg <sup>-1</sup> ·h <sup>-1</sup>                     | 6.6 (5.0–7.7)       | 6.4 (5.1–7.5)        | 0.198            |
| Intraoperative remifentanyl, µg·kg <sup>-1</sup> ·min <sup>-1</sup>               | 0.43 ± 0.12         | 0.39 ± 0.08          | 0.421            |
| Intraoperative rocuronium, mg·kg <sup>-1</sup>                                    | 0.9 (0.7–1.2)       | 0.9 (0.7–1.1)        | 0.021            |
| Surgery duration, min   | 34 (22–48)          | 27 (19–39)           | 0.153            |
| Propofol C <sub>e-TEST</sub> , µg·mL <sup>-1</sup>                                | 0.7 (0.6–0.9)       | 0.7 (0.7–0.8)        | 0.144            |

Variables are present as n (%), means ± SDs, or medians (IQR), as appropriate. Variables with an ASD >0.2 were considered imbalanced. Abbreviations: ASA, American Society of Anesthesiology; ASD, absolute standardized difference; BMI, body mass index; C<sub>e-TEST</sub>, effect-site concentration at the beginning of postoperative muscle strength testing; IQR, interquartile range; SD, standard deviation. <sup>a</sup>Variables were considered imbalanced if the ASD was >0.2.

**Table 2. Comparison of Sugammadex and Neostigmine on Primary and Secondary Outcomes**

| Outcomes  | Sugammadex<br>(n = 30) | Neostigmine<br>(n = 28) | Multiple Testing Corrected <sup>a</sup>   |                   |
|---|------------------------|-------------------------|---|-------------------|
|   |                        |                         | Difference<br>(Corrected CI) <sup>b</sup> | P<br>value        |
| Primary outcome   |                        |                         |   |                   |
| ΔTF <sub>10</sub> to TOFR ≥0.9, %                                       | 9 ± 6                  | 16 ± 9                  | -6 (-10 to -2) <sup>c,d</sup>             | .005 <sup>d</sup> |
| Secondary outcomes  |                        |                         |   |                   |
| Changes in respiratory muscle strength from baseline to postoperatively |                        |                         |   |                   |
| ΔTF <sub>10</sub> to PACU 30 min, %                                     | 4 (-2 to 11)           | 5 (4-10)                | -2 (-9 to 5) <sup>e</sup>                 | >.999             |
| ΔDIA to TOFR ≥0.9, cm   | 0.45 ± 0.74            | 1.28 ± 0.73             | -0.83 (-1.39 to -0.28) <sup>f</sup>       | <.001             |
| ΔDIA to PACU 30 min, cm   | 0.16 (-0.10 to 0.34)   | 0.33 (0.01-0.58)        | -0.17 (-0.60 to 0.06) <sup>e</sup>        | .234              |
| Incidence of respiratory muscle strength returning to baseline level    |                        |                         |   |                   |
| TF <sub>10</sub> at TOFR ≥0.9   | 2 (7%)                 | 0 (0)                   | 7% (-9 to 23) <sup>f</sup>                | >.999             |
| TF <sub>10</sub> at PACU 30 min   | 10 (33%)               | 4 (14%)                 | 19% (-14 to 52) <sup>f</sup>              | >.999             |
| DIA at TOFR ≥0.9  | 9 (30%)                | 0 (0)                   | 30% (4-56) <sup>f</sup>                   | .018              |
| DIA at PACU 30 min  | 11 (37%)               | 8 (29%)                 | 8% (-29 to 45) <sup>f</sup>               | >.999             |
| Neuromuscular monitoring data   |                        |                         |   |                   |
| TOFR of 0.9-0.95, min   | 0.3 (0.0-0.5)          | 2.0 (0.3-2.5)           | -1.8 (-2.3 to -0.3) <sup>e</sup>          | .003              |
| TOFR of 0.9-1, min  | 0.5 (0.2-0.8)          | 5.3 (2.8-7.7)           | -4.8 (-6.5 to -2.8) <sup>e</sup>          | <.001             |

Variables are present as n (%), means ± SDs, or medians (IQR), as appropriate.

Abbreviations: ΔDIA, changes in diaphragm excursion from baseline to TOFR ≥0.9 or 30 min after PACU arrival; ΔTF<sub>10</sub>, changes in thickening fraction of the internal oblique muscle from baseline to TOFR ≥0.9 or 30 min after PACU arrival; CI, confidence interval; DIA, diaphragm excursion; IQR, interquartile range; PACU, postanesthesia care unit; SD, standard deviation; TF<sub>10</sub>, thickening fraction of the internal oblique muscle; TOFR, train-of-four ratio.

<sup>a</sup>The CIs and P values for secondary outcomes were corrected for multiple testing using the Bonferroni method. The 9 hypotheses for all secondary outcomes were regarded as a family for correction. The corrected CI indicates the 99.4% CI (ie, [1 - 0.05/9] × 100%), and the corrected P value is equal to the raw P value multiplied by 9.

<sup>b</sup>Difference indicates the difference in means, the median difference, or the difference in percentage.

<sup>c</sup>Difference in means; tested with 2-sample t tests.

<sup>d</sup>Adjusting for imbalanced variables (ie, ASA physical status and intraoperative remifentanyl dose) using linear regression.

<sup>e</sup>Median difference using Hodges-Lehmann estimator; tested with Mann-Whitney U test.

<sup>f</sup>Difference in percentage; tested with the Pearson χ<sup>2</sup> test or the Fisher exact test, as appropriate. We also calculated the available relative risk and its 95% CI using the Koopman asymptotic score for the sugammadex compared with the neostigmine group: TF<sub>10</sub> after 30 min in the PACU: 2.33 (0.89-6.50); DIA after 30 min in the PACU: 1.28 (0.62-2.73).

Bonferroni-corrected P = .003; Table 2). Regarding the recovery from TOFR of 0.9 to 1, sugammadex was much faster than neostigmine (0.5 [0.2-0.8] minutes vs 5.3 [2.8-7.7] minutes), with a median difference of -4.8 minutes (99.4% CI, -6.5 to -2.8 minutes; Bonferroni-corrected P < .001).

**Adverse Events**

Adverse events during PACU stay were only observed in the neostigmine group, including 1 patient complaining of unpleasant symptoms of muscle weakness and 2 experiencing stomach aches. Nausea and vomiting did not occur in either group. None of the enrolled patients developed respiratory complications during PACU stay or within 7 days after surgery, irrespective of treatment allocation.

**DISCUSSION**

In the present study, patients reversed with sugammadex showed an enhanced recovery of both the expiratory and the inspiratory muscle strength immediately after extubation (at TOFR ≥0.9) compared with patients reversed with neostigmine. A similar trend was observed in inspiratory muscles, as reported by Cappellini et al.<sup>13</sup> A TOFR ≥0.9 is currently accepted as an indicator of sufficient recovery of neuromuscular recovery,<sup>27</sup> although roughly 75% of postsynaptic acetylcholine receptors were being occupied by

NMBAs.<sup>28,29</sup> Therefore, if the same amount of receptors are occupied in both groups, what could be the reason for their differences? Our data revealed that the median recovery time from TOFR = 0.9 to TOFR = 1 was 10× faster when reversed with sugammadex than with neostigmine (0.5 vs 5.3 minutes). These data indicated that although we started strength measurement at TOFR = 0.9, what we actually measured was not “real 0.9” but TOFR >1 in the sugammadex group, as it usually took at least 3 minutes to complete all measurements. During this process, sugammadex might have freed more acetylcholine receptors than neostigmine, leading to different degrees of neuromuscular block recovery, which is in line with Schepens’ study showing that the electromyographic activity of the diaphragm was higher during recovery from NMB after reversal with sugammadex compared with neostigmine.<sup>30</sup> This improved respiratory muscle function may contribute to the increased ability to clear secretions and reexpand the collapsed alveolar, potentially leading to a lower incidence of early adverse respiratory outcomes.<sup>31</sup>

We failed to show differences in respiratory muscle strength recovery between groups after 30 minutes in the PACU. This result is consistent with the study by Abola et al<sup>12</sup>; their investigation showed that postoperative strength measured by incentive spirometry 30 minutes after reversal with sugammadex or neostigmine was similar. The sensitivity of the current



methods used to detect respiratory muscle strength may have been inadequate to discriminate a difference in the neuromuscular recovery between groups. Another reason may be that more acetylcholine receptors are freed over time, leading to more complete neuromuscular recovery and diminishing the differences between groups. A study with a larger sample size may provide the power needed to detect a difference in muscle strength recovery at this time point. To uncover this difference at such low levels of residual NMB, fade after high-frequency tetanic (200 Hz) stimulation might be useful<sup>32</sup> but painful and therefore unethical to use in patients.

Even 30 minutes after arrival in the PACU and all patients reached TOFR of 1, strength of respiratory muscles was not fully restored in most patients even though respiratory muscles are considered to be more resistant to muscle relaxants than peripheral muscles.<sup>33</sup> This persistent respiratory muscle dysfunction may be related in part to impaired neuromuscular transmission. After all, roughly 70% of acetylcholine receptors remain occupied by the NMBAs even at TOFR of 1.<sup>29</sup> However, depressed peripheral chemoreceptor activity at TOFR = 1 may compromise respiratory muscle function by inhibiting respiratory arousal.<sup>34,35</sup>

Previous works considered that the clinical importance of the reduction in the measured respiratory variables at TOFR of 0.6 or higher was negligible.<sup>33</sup> However, NMBA administration has been well known to be associated with an increased risk of PPCs,<sup>7</sup> and tracheal extubation in patients with a TOFR >0.95, rather than >0.9, reduced the adjusted risk of PPCs, implying that more complete neuromuscular recovery is required for PPC prevention.<sup>20</sup> Thus, the impaired respiratory muscle strength at TOFR = 0.9 may have significant clinical impact. Eikermann et al<sup>21</sup> demonstrated that even when TOFR was fully recovered to 1, acceptable recovery of "forced inspiratory volume in 1 second," which was most useful for lung reexpansion, was only seen in 73% of measurements in conscious volunteers. In our study, we reported that expiratory muscle strength during a cough was impaired even after TOFR of 1. This partial impairment of muscular activity after TOFR >0.9 might lead to development of PPCs through weakened contraction of ventilatory muscles with formation of atelectasis and inability to cough. The results of our study may partially explain the mechanism by which sugammadex may contribute to a reduction in PPCs<sup>10</sup> and lend support to the Postanaesthesia Pulmonary Complications After Use of Muscle Relaxants (POPULAR) study, strengthening the evidence that NMBAs affect pulmonary outcomes despite TOFR >0.9.<sup>7</sup>

Our study has some limitations. First, both measures (TF<sub>10</sub> during cough and DIA during maximum

sniff breathing) were volitional tests and partially dependent on patient cooperation. Submaximal efforts may result in reduced values during these effort-dependent tests. This may hinder our ability to detect a difference between groups. Nevertheless, previous work has demonstrated that the reproducibility of TF<sub>10</sub> measurements is likely sufficient to discriminate between patients with varying levels of abdominal muscle function and associated different clinical outcomes.<sup>17</sup> Considering that pain and respiratory muscle injury may significantly affect the evaluation of respiratory muscle performance, we chose microlaryngeal surgery. We did this because postoperative pain is negligible and possibly eliminates the lingering effects of long-acting opioids on strength measurements. In addition, the effect site of propofol concentration during testing was not different (approximately 0.7 µg·mL<sup>-1</sup> in both groups) and was below the threshold of what diminishes muscle strength (1.2 µg·mL<sup>-1</sup>),<sup>36</sup> potentially ruling out the effect of sedatives on strength measurements. Second, the nonnormalized TOFR may overestimate neuromuscular recovery.<sup>37</sup> However, this reflects clinical daily practice, as clinicians tend to apply the automatically calculated TOFR provided by monitoring devices to determine the presence of residual NMB.

In conclusion, sugammadex enhances expiratory muscle strength recovery more completely than neostigmine immediately after extubation. Further evidence of the relationship between the treatment allocation and expiratory muscle strength recovery beyond 30 minutes after extubation is needed. Our data suggested that respiratory muscle strength might still be impaired despite TOFR reaching 1. ■■

#### DISCLOSURES

**Name:** Chanyan Huang, MD.

**Contribution:** This author helped with data acquisition for the study and with obtaining study funding, wrote and revised the manuscript, and approved the final version to be published.

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**Contribution:** This author helped with study design and data acquisition, wrote the manuscript, and approved the final version to be published.

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**Contribution:** This author helped with statistical analysis, and approved the final version of the manuscript to be published.



**Name:** Wenqi Huang, PhD.

**Contribution:** This author helped with study design, and approved the final version of the manuscript to be published.

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**Contribution:** This author helped with study design, data collection, and data interpretation; obtained study funding; revised the manuscript; and approved the final version to be published.

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