Oxford Open Immunology, 2021, 0(0): iqab013

doi: 10.1093/oxfimm/iqab013 Advance Access Publication Date: 19 June 2021 Review Article

REVIEW ARTICLE

Harnessing NKT cells for vaccination

Olivia K. Burn $\mathbf{0}^{1}$, Theresa E. Pankhurst 2 , Gavin F. Painter $^{3,4},$ Lisa M. Connor^{1,2} and Ian F. Hermans^{1,4,*}

 $^{\rm 1}$ Malaghan Institute of Medical Research, PO Box 7060, Wellington 6042, New Zealand, $^{\rm 2}$ The School of Biological Sciences, Victoria University of Wellington, PO Box 600, Wellington 6140, New Zealand, ³The Ferrier Research Institute, Victoria University of Wellington, PO Box 33436, Petone 5046, New Zealand, ⁴Maurice Wilkins Centre for Molecular Biodiscovery, The University of Auckland, Private Bag 92019, Auckland, New Zealand

*Correspondence address. Malaghan Institute of Medical Research, Wellington, New Zealand. Tel: þ64 4 4996914; E-mail: ihermans@malaghan.org.nz (I.F.H.)

ABSTRACT

Natural killer T (NKT) cells are innate-like T cells capable of enhancing both innate and adaptive immune responses. When NKT cells are stimulated in close temporal association with co-administered antigens, strong antigen-specific immune responses can be induced, prompting the study of NKT cell agonists as novel immune adjuvants. This activity has been attributed to the capacity of activated NKT cells to act as universal helper cells, with the ability to provide molecular signals to dendritic cells and B cells that facilitate T cell and antibody responses, respectively. These signals can override the requirement for conventional CD4⁺ T cell help, so that vaccines can be designed without need to consider CD4⁺ T cell repertoire and major histocompatibility complex Class II diversity. Animal studies have highlighted some drawbacks of the approach, namely, concerns around induction of NKT cell hyporesponsiveness, which may limit vaccine boosting, and potential for toxicity. Here we highlight studies that suggest these obstacles can be overcome by targeted delivery in vivo. We also feature new studies that suggest activating NKT cells can help encourage differentiation of T cells into tissue-resident memory cells that play an important role in prophylaxis against infection, and may be required in cancer therapy.

LAY SUMMARY

Vaccine-induced immune responses generally involve activation of immune cells called T and B cells that have a variety of mechanisms to limit the infection. Each T or B cell has a randomly generated receptor for binding pathogen-derived antigens, but only a few cells with an individual's repertoire of cells will recognize a given pathogen; the aim of vaccination is therefore to induce

Submitted: 7 May 2021. Received (in revised form): 9 June 2021. Accepted: 11 June 2021

© The Author(s) 2021. Published by Oxford University Press.

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted reuse, distribution, and reproduction in any medium, provided the original work is properly cited.

these few cells to undergo significant division to meet the demands of eliminating infection. However, some T cells called natural killer T (NKT) cells have receptors of similar structure that recognize specific glycolipids, and are found in high numbers in the tissues where immune reactions take place. Here we describe studies that show that these glycolipids can be added to vaccines to activate NKT cells, which have the net effect of improving vaccine responses. This is because NKT cells are poised to provide molecular signals that initiate a cascade of cellular interactions that ultimately improve the capacity of pathogen-specific T and B cells to divide and function. We describe different techniques that can be used to incorporate these compounds into vaccines, some limitations on their use, and new strategies to overcome these limitations.

Key words: NKT; vaccine; glycolipids; adaptive immunity; innate-like T cells.

THE NECESSITY OF NEW ADJUVANTS FOR VACCINATION

While there are highly effective vaccines against a variety of infectious agents, there are still many infectious and chronic diseases for which vaccines are not available, or where the available vaccines are suboptimal in terms of immunogenicity, safety and cost. Furthermore, although the discovery of subunit vaccines has dramatically improved safety profiles, purified antigenic components are generally poorly immunogenic, making it critical that they are co-administered with adjuvants. Adjuvants are defined as compounds that can enhance the magnitude, function or breadth of an induced immune response and enable dose sparing [\[1](#page-10-0)]. Currently, there are only a handful of adjuvants approved for human use, with aluminium salts (alum) the most commonly used. Alum was originally thought to enhance immune responses solely by sequestering antigen as depots at the injection site, although subsequent studies suggest a more complicated (if not fully understood) mechanism [\[2,](#page-10-0) [3](#page-10-0)] involving an additional pro-inflammatory response that ultimately stimulates recruitment and activation of dendritic cells (DCs) [[4\]](#page-10-0). Other approved adjuvants, such as oilin-water emulsions using squalene, DL-a-tocopherol (vitamin E), or lipids from the Salmonella minnesota bacterium or Quillaja saponaria tree, likely involve variations on the same themes of enhanced availability of antigen, and immunostimulation leading to DC activation [[5\]](#page-10-0). More recently approved adjuvants have focused on engaging pattern recognition receptors (PRRs) expressed by DCs. These include the toll-like receptor (TLR)-4 agonist monophosphoryl lipid A (MPLA), the TLR-2 agonist Pam3Cys, the TLR-7 and -8 agonist imidazoquinoline resiquimod (R-848) and the TLR-9 agonist cytosine–guanosine (CpG) [\[6\]](#page-10-0). The recently approved mRNA-based vaccines for protection from Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection [\[7,](#page-10-0) [8\]](#page-10-0) rely on the immunostimulatory properties of the nucleic acid itself as in-built adjuvants, acting via TLR7/8 and -9, highlighting a key advantage of this exciting new vaccine platform.

This review focuses on the adjuvant activities of agonists for type I natural killer T (NKT) cells, a population of 'innate-like' T cells that express stereotyped T cell receptors (TCRs) (Va14Ja18 paired with Vβ8, Vβ7 or Vβ2 in mice and Vα24Jα18/Vβ11 in humans) that recognize defined non-peptide ligands (typically glycolipids) presented by the non-polymorphic major histocompatibility complex (MHC) Class I-like CD1 family member CD1d. These agonists act as adjuvants via a unique mechanism involving the recruitment of NKT cells to function as 'universal helper' T cells to enhance cellular and humoral immune responses against co-administered antigens. It is becoming increasingly clear that NKT cell responses can be manipulated via changes in the structure of the NKT cell agonists (as we recently reviewed with regard to cancer [[9\]](#page-10-0)). Here we emphasize the unique features of 'NKT cell adjuvanted' vaccines that enable them to

enhance adaptive responses to many different diseases, and highlight how improvements in modes of delivery can also enhance the efficacy and safety of NKT cell help.

GENERATING ADAPTIVE IMMUNE RESPONSES

The generation of adaptive immune responses to vaccination requires uptake of antigenic material from the injected tissues or circulation, and presentation of the acquired material to T cells in the form of antigenic peptide bound to MHC molecules. Within a host's diverse repertoire of T cells, where each cell expresses a uniquely recombined TCR for antigen recognition, there is a high likelihood that a T cell will recognize a given peptide/MHC complex. To induce clonal expansion of these cells, exogenous antigens delivered by vaccination must be acquired by DCs and processed within the vacuolar compartments into peptides for presentation via MHC. Vacuolar processing typically leads to presentation of peptides on MHC Class II molecules to $CD4^+$ T cells, although some processing can lead to cross-presentation via MHC Class I molecules to $CD8^+$ T cells. Such cross-presentation is also achieved through antigen escape from the phagolysosome, with processing in the cytosol providing peptides that are ultimately exported to the surface by MHC Class I molecules [\[10\]](#page-10-0). In both humans and mice, a subset of conventional DCs, XCR1⁺ DCs (termed cDC1s), has a heightened capacity for cross-presentation, and are therefore critical in the initiation of vaccine-induced CDS^+ T cell responses.

The ability of DCs to stimulate proliferation and differentiation of T cells requires their activation, which in the case of infection can be triggered by the infectious microbes themselves, through recognition of pathogen-associated molecular patterns or recognition of damage-associated molecular patterns released by damaged or dying cells in infected tissues. Recognition is via innate PRRs expressed on the DC surface or within phagolysosomal compartments where they come into contact with acquired microbial products. As already noted, compounds that stimulate via PRRs have become useful vaccine adjuvants, notably agonists for the TLRs. In response to PRR stimulation, DCs upregulate peptide presentation on MHC and express co-stimulatory molecules, such as CD80 and CD86, as well as increased production of proinflammatory cytokines in-terleukin (IL)-12 and type I interferons (IFNs) [[11](#page-10-0), [12\]](#page-10-0), enhancing the effector T cell response against the presented peptide [\[13\]](#page-10-0). Although pattern recognition alone is sufficient to enable DCs to induce T cell proliferation, it is when this is accompanied by T cell 'help' in the form of direct molecular interactions and cytokines that long-lived functional T cell responses are induced, notably the differentiation of $CDS⁺$ T cells into cytotoxic cells $[14, 15]$ $[14, 15]$ $[14, 15]$ $[14, 15]$. The help provided by CD4⁺ T cells is primarily mediated through CD40L/CD40 interactions [[16–18\]](#page-10-0), leading to

Burn et al. | 3

further increases in the expression of MHC molecules and costimulatory molecules, and significantly enhanced production of key cytokines, such as IL-12p70. For the induction of CDB^+ T cell responses, antigen-specific CD4⁺ T helper cells and CD8⁺ T cells must be brought together by an antigen-loaded DC that displays peptide epitopes via MHC molecules to both cell types. The three cells need not meet simultaneously, but rather the helper cell can engage the DC first to 'licence' it to then be capable of stimulating full differentiation of $CD8⁺$ T cells [\[17\]](#page-10-0). Note that it is a similar function, again mediated via CD40L/CD40 interactions, which enable T follicular helper (T_{FH}) cells to trigger B cells to produce antibodies [\[19](#page-10-0)]. Thus, in the context of vaccination strategies, it is critical to provide or mimic the signals provided by pattern recognition and T cell help to induce cellular and humoral adaptive immune responses.

Given the high degree of inter-individual MHC polymorphism, inducing the appropriate quality of T cell help may not be assured in all individuals by vaccination. Naïve $CD4^+$ T cells specific for a given peptide within an individual's repertoire can be rare [[20\]](#page-10-0), and it is known that TCR diversity declines with age [\[21,](#page-10-0) [22](#page-10-0)], potentially leading to gaps where specific antigens may not be recognized [\[23](#page-10-0), [24\]](#page-10-0). Similarly, in designing subunit vaccines, selected antigens may simply have few suitable helper epitopes, despite being a good target for other immune effectors. This issue is even more of a problem when peptide-based vaccines are used; these should contain MHC Class II-binding epitopes to elicit $CD4^+$ T cell help, but currently selecting sequences based on MHC Class II-binding algorithms is imprecise.

NKT CELLS CAN PROVIDE T CELL HELP

Studies conducted on NKT cells showed that they expressed CD40L and could induce activation of DCs in vitro, suggesting they could be useful helper T cells [\[25](#page-10-0)]. A key advantage to exploiting NKT cells in this role is their high frequency. In mice, NKT cells are abundant in liver (10–30% of liver lymphocytes) and spleen (0.5–3%), with lower frequencies in thymus, blood, bone marrow (0.4–8%) and lymph nodes (0.1–0.2%) [[26](#page-10-0)]. In humans, there is a substantial inter-individual variability, with total frequency considerably lower than mice, with additional differences in tissue distribution; nonetheless, frequency of NKT cells in lymphoid tissues is high relative to antigen-specific conventional CD4 $^+$ T cells. Because the TCR structure of NKT cells is largely invariant, and polymorphism in their restriction element, CD1d, is extremely low; it was anticipated that their helper function could be readily triggered with the same specific agonists in all individuals. In fact, CD1d structure is conserved among many mammalian species [\[27\]](#page-10-0), conveniently meaning it is possible to conduct pre-clinical studies of potentially clinically relevant vaccines that exploit NKT cells in animal models. The most commonly used agonist has been the glycolipid α -galactosylceramide (a-GalCer), which was developed through structure–activity relationship studies on an extract of the Okinawan marine sponge Agelas mauritianus with anti-tumour activities in mice [\[28–31\]](#page-10-0); the anti-tumour activity was subsequently attributed to cytokine production through the specific activation of NKT cells [\[32,](#page-10-0) [33](#page-10-0)]. Another key difference between NKT cells and conventional T cells is the kinetics of response to stimulation, with NKT cells exhibiting functional activity within hours of engagement, rather than several days for conventional T cells [\[34\]](#page-10-0). In fact, NKT cells have stores of preformed cytokine mRNA enabling rapid release of large quantities upon TCR engagement [[35\]](#page-10-0). As a consequence, NKT cells represent a

numerically rich population of cells that bridge innate and adaptive immunity that can be rapidly mobilized with specific antigens. In early in vivo studies, injection of α -GalCer into mice was shown to increase expression of activation markers on T and B cells $[36]$ $[36]$, and antigen-specific CD4⁺ T cell responses were induced when a-GalCer was combined with chicken ovalbumin (OVA) protein $[37]$ $[37]$. Later it was shown that CD8⁺ T cell responses could be raised against malaria antigens [[38\]](#page-11-0), and several studies followed that reported α -GalCer-enhanced CD4⁺ and $CDB⁺$ T cell responses to protein and peptide antigens, including tumour antigens [[39–41\]](#page-11-0). As expected, the induced T cell responses involved CD40 signalling, with activation of DCs also involving tumour necrosis factor and type I and II IFNs [[39](#page-11-0), [40](#page-11-0), [42](#page-11-0)]. Although the helper activity was similar to conventional $CD4⁺$ T cell help, differences in the chemokine profile released by DCs after interaction with NKT cells were noted, with release of CCL17 being prominent in attracting naïve CCR4⁺ CD8⁺ T cells [\[43\]](#page-11-0). Another significant factor was the release of significant quantities of IL-12p70 [\[44\]](#page-11-0), an important pro-inflammatory cytokine that helps drive T cell differentiation. This was largely attributed to $CD8\alpha^+$ DCs in mice [[45,](#page-11-0) [46\]](#page-11-0), now considered to be cDC1s—the same cell-type shown to have a high propensity for cross-presentation and priming of $CD8^+$ T cell responses. Two features of these early studies are of importance with respect to exploiting NKT cells as cellular adjuvants in vaccination platforms. The first is that the powerful adaptive responses induced were observed in the absence of providing any form of pattern recognition, meaning that agonists for NKT cells could be used in vaccines as stand-alone agents. This is not to say that pattern recognition cannot contribute to the response. In fact, it was found that the helper function of NKT cells cooperated effectively with TLR agonism, resulting in even stronger adaptive immune responses, although this was associated with considerably enhanced cytokine release that would have to be managed in a vaccine setting [\[47](#page-11-0), [48\]](#page-11-0). The second feature of relevance to vaccines was that responses could be induced in mice deficient of conventional CD4⁺ T cells, meaning that NKT cells can compensate for lack of $CD4^+$ T cell help, and vaccines can be designed without consideration for the inclusion of helper epitopes [\[41,](#page-11-0) [49\]](#page-11-0).

INDUCING RESPONSES WITH FREE NKT AGONIST AND ANTIGEN

The most straightforward strategy to utilize NKT cell agonists as immune adjuvants is to simply co-administer the agonist with the antigens of interest. Some of the earliest studies were conducted with malaria sporozoites as the source of antigens [\[38\]](#page-11-0), resulting in a significant T cell-mediated immunity, which were followed by studies using model antigens [\[40](#page-11-0)], pathogenderived antigens [\[50,](#page-11-0) [51\]](#page-11-0) and tumour-associated antigens [[47](#page-11-0), [52](#page-11-0)]. Some of the most extensive work has been conducted on improving the efficacy of inactivated influenza virus vaccines (reviewed in Driver et al. [[53](#page-11-0)]). Co-administration of NKT cell agonists with inactivated influenza A was shown to enhance both cellular [\[54–56\]](#page-11-0) and humoral responses [\[50,](#page-11-0) [57\]](#page-11-0), resulting in improved protection against virus challenge in mice. One study showed that the inclusion of α -GalCer had the paradoxical effect of limiting the immediate $CDS⁺$ T cell response, but promoted the survival of long-lived memory populations capable of boosting protection against heterologous influenza A virus challenge [\[56\]](#page-11-0). Others, using an intranasal route of delivery, also reported enhanced cross-protection against heterologous and

heterosubtypic viral infections [\[58\]](#page-11-0), which they attributed to the additional impact of NKT cell accumulation in the lungs, and increased IgA production. Studies have also been conducted in pigs, where co-administration of a-GalCer with inactivated swine influenza variants OH07 or CA04 induced systemic and mucosal influenza-specific antibodies in upper and lower respiratory tracts and reduced pulmonary viral load after virus challenge [[59](#page-11-0)–[61](#page-11-0)]. In moving forward to studies in non-human primates, an initial study using α -GalCer with inactivated influenza virus in macaques showed that NKT cells were modulated by the treatment, but with no impact on antiviral immunity [\[62\]](#page-11-0). However, while there was a close temporal relationship between delivery of the glycolipid and inactivated virus, the agents were not co-delivered by the same route, so may not have accessed the same antigen-presenting cells (APCs) to enable the licencing events to occur. It is important to note that activated NKT cells are useful effectors themselves, and can limit virus infection via other mechanisms, including reducing suppressive capacity of myeloid suppressor cells [[63](#page-11-0)], activating NK cells [\[64](#page-11-0)], directly lysing infected cells [\[65\]](#page-11-0) and producing cytokines that protect the lung epithelium from damage [\[66\]](#page-11-0).

A key factor to consider in the use of NKT cell agonists as immune adjuvants in humans is the difference in NKT cell frequency between the commonly used rodent models and humans, where peripheral numbers are >30-fold lower [[67](#page-11-0)[–69\]](#page-12-0). It is therefore notable that in a cohort of pigs with mixed genetic background, where there was a range of NKT cell frequencies similar to humans, co-administration of α -GalCer with model antigen hen egg lysozyme improved antigen-specific antibody production [\[70](#page-12-0)]. In fact, NKT cell frequency in peripheral blood measured prior to treatment was a poor predictor of how individual animals responded. These results were also encouraging as pigs and human NKT cells share key phenotypic characteristics, including a large double negative NKT cell population and a subset of $CD8^+$ NKT cells, which are absent in mice. In terms of clinical development of the adjuvant concept, ABX196, a variant of a-GalCer with a galactosyl 6-deoxy-6-N-acyl modification that showed more potent agonistic activity in mice, has been assessed in combination with hepatitis B virus surface antigen (HBsAg) in healthy volunteers. This resulted in activation of NKT cells in the majority of participants, with the response again not predicted by prior NKT cell frequency in peripheral blood. Importantly, antibody HBsAg-specific antibody titres reached protective levels in 79% of the patient cohort, as opposed to 50% in participants that received a commercial hepatitis B vaccine [\[71](#page-12-0)].

This same study highlighted another important consideration in vaccine development; the risk of associated toxicity. While it had been shown that mice exhibit transient mild hepatoxicity in response to systemically administered α -GalCer [[72–74\]](#page-12-0), this had not been seen when a-GalCer was administered in a dose-escalation study to patients with solid tumours, despite a wide range of doses used (50–4800 μ g/m²) and evidence of NKT cell activation [\[75](#page-12-0)]. Nonetheless, when ABX196 was used in the above trial, 3 of the 44 patients developed elevated ALT levels associated with transient moderate alterations in liver biology that justified their withdrawal from the study. The authors reasoned that it is necessary to limit access of the glycolipid to the liver, based on evidence that a-GalCer can support T cell responses with significantly reduced hepatoxicity in mice deficient in fatty acid amide hydrolase where liver transport of the glycolipid is compromised [\[76](#page-12-0)]. In a post-clinical evaluation, they showed evidence that this can be achieved by formulation of ABX196 into an emulsion containing oil, solvent and surfactants as opposed to the liposomal formulation used in the clinical study. In another

clinical study conducted with α -GalCer alone in patients with hepatitis B virus, 4 of 27 patients discontinued treatment due to rigours [\[77](#page-12-0)], highlighting the need to also consider the disease status of individuals undergoing treatment (interestingly, hepatitis C patients were not similarly affected).

Studies in mice have also raised another potential limitation to using NKT cells agonists as adjuvants; the induction of a period of NKT cell hyporesponsiveness, sometimes referred to as anergy, where the cells produce less IFN- γ upon re-stimulation, but do produce IL-4, IL-13 and even the suppressive cytokine IL-10 [[78\]](#page-12-0). This period of anergy may limit the helper function of NKT cells, suggesting NKT cell agonists could be poor adjuvants in prime-boost vaccination schedules. Some studies suggest that anergy is due to presentation of NKT cell agonists by nonprofessional APCs, and can be improved by strategies to specifically target appropriate APCs. Also, a number of structure activity relationship studies have been conducted on NKT cell agonists to improve cytokine profile and reduce anergy, potentially as a consequence of altered biodistribution and rate and route of presentation (reviewed elsewhere [[9](#page-10-0), [79–81\]](#page-12-0)). Overall, although there is an evidence that inducing NKT cell help with injected free agonists can improve adaptive immune responses, development of the concept in humans has been hampered by issues associated with effective and safe delivery. Progress towards clinical translation will require further development where improvements in efficacy must be evaluated against risk of toxicity.

a-GALCER-LOADED ANTIGEN-EXPRESSING CELLS

One way to avoid the issues associated with injecting free agonists is to load the agonists onto injected antigen-bearing DCs, which were shown to be an effective way to elicit strong CDB^+ T cell responses in animal studies [\[41,](#page-11-0) [82,](#page-12-0) [83\]](#page-12-0). Furthermore, an early study showed that when a-GalCer was pulsed onto DCs, rather than administered as a free agent, minimal anergy of the NKT cell population was observed [\[84](#page-12-0)]. Although it was initially thought that the injected cells were the recipients of NKT cellmediated licencing, studies in mice highlighted a role for host cDC1 cells in both IL-12p70 production and enhancement of the induced CD8⁺ T cell response $[85-88]$. These results imply transfer of antigen and α -GalCer to resident cells, which are then licenced by NKT cells, although the mechanism remains unclear. The fact that injection of CD1d-deficient DCs loaded with antigen and a-GalCer could also induce strong T cell responses reinforced this concept [[87](#page-12-0)]. Our own clinical studies may also be of relevance. We assessed T cell responses in a Phase I clinical trial of DCs co-pulsed with peptide and α -GalCer in high-risk melanoma patients [\[89](#page-12-0)], and more recently completed a randomized Phase II study where patients were assigned to receive peptideloaded DCs with or without a-GalCer (ACTRN12612001101875). While strong immune responses to the NY-ESO-1 peptides loaded onto the DCs were observed in the vast majority of patients, no significant enhancement in the level of T cell response was seen when a-GalCer was included in the vaccine. Although there were other confounding factors, including an unexpectedly low NKT cell response, a possible interpretation is that transfer of antigen and/or agonist to resident DCs was inefficient or not achieved, which may reflect the antigens used (long peptides) and potentially the formulations used for loading the compounds onto the DCs. If transfer is indeed required, a consequence is that the efficacy of the transfer process is of more importance to vaccine outcome than the cell type used as the vector for the glycolipid and antigen. In fact, preclinical studies have shown that irradiated tumour cells pulsed with glycolipid [[90](#page-12-0)–[92](#page-12-0)], or antigen mRNA-transfected fibroblasts loaded with α -GalCer [[93\]](#page-12-0) can generate T cell responses that benefit from NKT cell licencing. Nonetheless, the type of injected cell may still be of relevance, as some cells may have a greater capacity for antigen transfer than others. In this regard, it was recently shown that monocytes are more efficient at the task of transferring peptide antigens than monocyte-derived DCs [[94](#page-12-0)].

NANOSCALE DELIVERY SYSTEMS

If endogenous DCs are critical to the cellular adjuvant activities of NKT cells, it would be more efficient to design vaccines that can target these cells directly, especially as ex vivo expansion and loading of DCs is time-consuming and costly. Several NKT cell-activating vaccines have therefore made use of nanovectors as delivery systems to ensure co-delivery of antigen and adju-vant to the same cell in vivo [\(Table 1](#page-5-0)).

Nanovectors \langle <1 μ M) comprise a range of particulate systems including nanogels, nanoemulsions, liposomes, carbon nanotubes, virus-like particles (VLPs), silica microspheres, metallic nanoparticles and polymeric nanoparticles (which include nanospheres and nanocapsules). Nanovectors display several desirable properties including capacity to incorporate antigen at high density, the ability to deliver the antigenic payloads to the MHC Class I pathway and permit slow extended release of the payload, which may benefit the initiation of immune responses [\[111–114](#page-13-0)]. The physical and chemical properties of nanovectors influence size, shape and surface charge, with these factors in turn determining the location of drug delivery and DC uptake in vivo [[115](#page-13-0)]. The advantages and disadvantages of these nanovectors have been extensively reviewed elsewhere (see Ghinnagow et al. [[116\]](#page-13-0)).

Several polymers have been used to produce nanovectors, with poly(lactic-co-glycolic) acid (PLGA) showing the most success to date resulting in FDA approval for PLGA in medical applications [[117](#page-13-0)]. Following the demonstration that PLGA nanovectors containing NKT cell agonists can be internalized by DCs to promote NKT cell activation [[118](#page-13-0), [119](#page-13-0)], nanovector vaccines were evaluated where both NKT cell agonist and peptide antigens were included. These nanovector vaccines, containing a-GalCer or IMM60 (a novel class of NKT cell agonist with a noncarbohydrate structure—threitol—coupled to the ceramide moiety), were consistently better at inducing anti-tumour responses than co-administration of free or individually entrapped agonist and antigen [\[95,](#page-12-0) [96\]](#page-12-0). Another report showed that similar vaccines induced prolonged immune responses when compared with injection of the separated components [\[120\]](#page-13-0). This was supported by a recent study that showed greater B cell activation, with higher-titre antigen-specific IgM and IgG responses that were maintained long term [\[121\]](#page-13-0). Significantly, the vaccines activated NKT cells without inducing anergy.

A recent study explored the use of gold-based nanoparticles containing a six-substituted α -GalCer analogue and the tumourassociated antigen MUC-1 [\[100\]](#page-12-0). Both agonist and antigen were attached to the gold particles via amide bond formation with a reactive N-hydroxysuccinimide ester that had been previously deposited on the surface via Au-thiolate bonding. These nanoparticles were found to induce both cellular immune and humoral responses, and induce anti-tumour activity. Interestingly, smaller particles (\sim 60 nm) induced stronger responses, which

may reflect a more efficient passage from interstitium at the injection site to lymphatic capillaries for transfer to lymph nodes. Also, the smaller size meant less α -GalCer per particle, which may have driven a qualitatively different signal to NKT cells, potentially with less anergy in the repeated dosing regimen used, although this was not explicitly examined.

VLPs have also been shown to be effective vectors for codelivery, with the added advantage that recombinant technology can be used to introduce an antigen of interest. This strategy was used to generate recombinant VLPs incorporating an epitope from lymphocytic choriomeningitis virus glycoprotein (gp33) at the N-terminus of the capsid protein of rabbit haemorrhagic disease virus. The capacity for these VLPs to bind carbohydrates enabled a-GalCer to be attached via its galactose moiety. These particles induced strong $CDS⁺$ T cell responses and effective anti-tumour responses against gp33-expressing B16 melanoma [[108](#page-13-0)]. Filamentous bacteriophages are often referred to as natural nanocarriers, as a result of their nano-size and capacity to cross blood vessels while expressing large amounts of recombinant protein antigen. Filamentous bacteriophages expressing OVA antigen and conjugated to a-GalCer enhanced OVA-specific $CDB⁺ T$ cell responses when administered in vivo, and, in the B16.OVA model, intra-tumoural administration delayed tumour outgrowth and improved survival [[110\]](#page-13-0).

Lipo-nanoparticles (LNPs) composed of cationic lipids combined with other neutral phospholipid molecules and sterols, such as cholesterol, have been developed as nucleic acid carriers, and are key to the success of mRNA vaccines. Co-delivery of unmodified OVA-encoding mRNA with a-GalCer in an LNP platform resulted in anti-tumour activity with high levels of tumour-infiltrating T cells, fewer tumour suppressor cells and greater anti-tumour response than nanoparticles expressing OVA mRNA only, with 40% of established EG7-OVA tumours completely rejected $[101]$ $[101]$ $[101]$. These α -GalCer-adjuvanted mRNA vaccines also synergized with anti-PD-L1, an immune checkpoint inhibitor (CPI), to limit tumour outgrowth reduction and significantly prolonged median survival. Evidence was provided to show that DCs were activated in vivo suggesting the mRNAencoded antigen was translated and presented in the context of NKT cell-mediated licencing. In another study, a-GalCer was included with mRNA for the antigen tyrosinase-related protein 2 (TRP2) in a lipopolyplex vector, where the mRNA was complexed with a poly- $(\beta$ -amino ester) polymer and entrapped into a lipid shell containing the glycolipid. This vaccine provided a significant therapeutic effect against the B16-F10 melanoma model [[102](#page-12-0)]. Given that the delivery of mRNA has the advantage that manufacturing of antigenic subunits is not required, these studies represent a significant practical advance in translation to the clinic.

Targeting of glycolipid/antigen nanoparticles to the desired cell type can be achieved by decorating the surface with specific ligands. Incorporation of anti-CLEC9a antibodies into PLGAbased nanoparticles directed uptake by murine $CD8\alpha^+$ DCs and, as was recently demonstrated in a humanized mouse model, directed uptake to the human equivalent, $CD141⁺$ DCs [[99](#page-12-0), [122\]](#page-13-0). These nanoparticles induced strong peptide-specific CDS^+ T cell responses and anti-tumour activity in a B16.F10 melanoma model, and in PBMCs from healthy donors and melanoma patients, induced proliferative $CDS⁺$ T cell responses against the tumour antigen Melan-A. Using a similar strategy, a-GalCer and the human papillomavirus (HPV) antigen E7 were encapsulated in an oil-in-water Clec9a-targeted nanoemulsion to induce anti-tumour responses to the HPV-expressing TC-1 tumour cell line in mice [[109](#page-13-0)]. Enhanced cellular and humoural immune

responses were also observed when PLGA-based nanoparticles containing a-GalCer and OVA peptide were decorated with antibodies against the endocytic C-type lectin receptor DEC205 that is expressed on splenic and lymph node resident $CD8\alpha^+$ DCs [\[46\]](#page-11-0). Another targeting approach has been to attach lipo-Lewis Y (LeY) to liposomes to facilitate C-type lectin-mediated uptake by monocyte-derived DCs, dermal DCs and Langerhans cells. This was shown to work on human skin-emigrated APCs in an explant model, inducing enhanced $CDB⁺$ T cell responses to the tumour-associated antigen gp100 in vitro [\[103\]](#page-13-0). Incorporating the ganglioside GM3 was shown to facilitate specific targeting to $CD169⁺$ macrophages in mice. Interestingly, while it appeared the CD169⁺ macrophages were capable of presenting α -GalCer to the NKT cells, generation of a peptide-specific $CD8⁺$ T cell response required endogenous cDC1 cells, suggesting antigen transfer was required [[104](#page-13-0)]. A strategy to further improve immune responses to NKT cell-adjuvanted vaccines could be to design nanoparticles that provide direct stimulation of the APCs targeted. While two studies have shown glycolipid-antigen nanoparticle vaccines induce greater antibody titres against tumour-associated antigens than those induced by nanoparticle vaccines containing TLR agonists as the adjuvant [[106](#page-13-0), [123\]](#page-13-0) further enhancement of the response could be achieved by incorporating both TLR and glycolipid agonists. This is highlighted by a recent study where the addition of α -GalCer to nanoparticles encapsulating multiple tumour antigens and the TLR agonists CpG and MPLA improved the anti-tumour response in a mouse model by 5-fold and enhanced the frequency of NKT, NK and $CD4^+$ T cells in the tumour microenvironment [[98\]](#page-12-0).

A consideration in the use of nanoparticle vaccines is the route of administration, which can not only affect targeting to specific APC populations but can also influence the nature of NKT cell response induced. For example, intravenous administration of PLGA-entrapped NKT cell agonist IMM60 and OVA peptide resulted in activation of NKT cells in the liver and spleen, and was associated with a high IFN- γ response, enhanced T cell cytotoxicity and a Th-1 biased antibody profile featuring high levels of IgG2c [[97](#page-12-0)]. The NKT cells involved were characterized as NKT1 cells, a phenotype defined on the basis of transcription marker expression (T-bet⁺PLZF $^{\rm low}$) and propensity to make IFN- γ [\[124\]](#page-13-0). In comparison, administration via the subcutaneous or intranodal route resulted in drainage or retention in the regional lymph nodes, where more cells of an NKT17 phenotype (ROR γ t $^+$ PLZF $^{\rm int}$) are present, and this resulted in a decreased IFN- γ and cytotoxic response and reduced IgG2c. There was a significant survival advantage to intravenous administration of the PLGA nanoparticles in the therapeutic treatment of subcutaneous B16.OVA. A similar observation was made with cationic liposomes encapsulating a-GalCer and peptide antigen tyrosine-related protein 2 (TRP2), where anti-tumour responses were only observed when the liposomes were administered intravenously [[107](#page-13-0)].

GLYCOLIPID CONJUGATE VACCINES

Co-delivery of antigen and NKT cell agonist to the same APCs in vivo can also be facilitated by chemically conjugating the two components together. This strategy was used to raise antibody responses to the hapten 4-hydroxy-3-nitrophenylacetyl (nitrophenyl; NP) when it was conjugated via a six-carbon linker attached at the 2-hydroxyl of the galactose of α -GalCer [\[125](#page-13-0)], and antibodies to the capsular polysaccharide of Streptococcus pneumoniae [\[126](#page-13-0)] were generated when the polysaccharide was attached via the 6-hydroxyl of the galactose of α -GalCer (a position that

was not expected to interfere significantly with CD1d-binding and NKT cell recognition) [\[127](#page-13-0)]. In both cases, the induction of activated NKT cells provided T cell help to B cells, although the quality of response was dictated by the nature of antigen attached (a concept discussed in more detail below). We have used a similar approach for attaching peptides to specifically induce T cell responses, conjugating either via a thiol introduced at the six-position of the galactose [[128\]](#page-13-0), or via an enzymatically cleavable linker to a prodrug form of α -GalCer where the acyl chain has been migrated to expose a free amino group on the sphingosine for attachment. The use of the linker encourages intracellular cleavage, with the peptide subsequently presented to T cells via MHC molecules, while the released inactive prodrug spontaneously converts to its active form to be presented via CD1d to NKT cells. Based on earlier studies showing that activated allergen-specific $CD8⁺$ T cells can reduce airway inflammation in animal models [\[129–131](#page-13-0)], potentially by killing the APCs that drive CD4⁺ T cell-mediated allergic responses $[131]$, a vaccine of this design was first used to suppress allergic responses in mice [\[132](#page-13-0)]. Key to the success of the strategy was that allergen-specific $CDB⁺$ T cell responses could be licenced without the need for $CD4⁺$ T cells, thereby avoiding provoking the allergen-specific $CD4⁺$ T cells that cause disease. Variations on the same vaccine platform design, specifically relying on cathepsins for the enzymatic cleavage, were subsequently used to generate peptide-specific CD8⁺ T cells against influenza antigens [[133\]](#page-13-0), tumour antigens [\[134\]](#page-13-0) and parasite antigens [\[135](#page-13-0)]. The conjugated vaccine also caused increased proliferation and differentiation of virus-derived peptide-specific $CD8⁺$ T cells when cultured with human PBMC, despite a low baseline frequency of NKT cells [[136\]](#page-14-0). In all of the studies conducted in vivo and in vitro, the conjugates performed better than admixed unconjugated components, providing not only a dose-sparing advantage but also the possibility that weaker NKT cell agonists could be used. In fact, when peptide was conjugated to the weakly stimulatory monoacyl compound a-galactosylphytosphingosine, peptide-specific T cell responses could be induced in mice [\[137](#page-14-0)] without the transient hepatotoxicity seen when potent agonists like a-GalCer are typically used [[73\]](#page-12-0).

An alternative NKT cell conjugate vaccine design has been investigated based on the concept of bispecific T cell engagers (BiTEs) [\[138\]](#page-14-0). In this design, a photoactivable analogue of α -GalCer [139] was covalently conjugated to a soluble single-chain CD1d- β 2 microglobulin fusion protein (mCD1d-β2m) to create covalently stabilized NKT cell-specific activators. By genetically fusing these with a single-chain antibody domain with specificity for a cellsurface expressed tumour-associated antigen, the direct cytotoxic effects of NKT cells could be targeted specifically at tumour tissue [\[140\]](#page-14-0). Notably, these BiTEs were found to induce less proliferation of NKT cells compared with administration of free a-GalCer, despite inducing similar levels of IFN- γ , IL-4 and IL-12p70 in the serum. This reduced proliferation also correlated with reduced anergy of the NKT cells, as NKT cells in mice primed 3 times previously with the BiTEs were just as responsive to restimulation with α -GalCer as those in mice primed with a single dose or saline control. While these BiTEs are not vaccines in the traditional sense, many changes within the tumour infiltrating leucocytes were observed, including enhanced $CDB⁺$ T cells specific against tumour-associated peptides and lysate, suggesting their mode of action promoted epitope spreading.

In each of the animal models of disease where T cell responses to conjugates have been evaluated, exploiting the helper function of NKT cells has been a valid strategy to improve adaptive responses. However, NKT cell function can be

affected by disease, resulting in reduced or polarized cytokine production and reduced numbers [\[141,](#page-14-0) [142](#page-14-0)]. In fact, altered NKT cell activity can actually contribute to disease [[143](#page-14-0)]. The decision to use NKT cell-adjuvanted vaccines in a disease setting, particularly in a therapeutic context, therefore has to be considered carefully.

CONSIDERATIONS IN INDUCING HUMORAL IMMUNITY

As expected, co-administration of a protein antigen with α -GalCer enhances humoral immunity to the antigen in a CD1ddependent and NKT cell-dependent manner (reviewed by Lang [\[145\]](#page-14-0)). It is now known that NKT cells can provide help to support B cell responses via two mechanisms; an indirect, noncognate mechanism involving interactions with antigen-loaded DCs that lead to improved classical $CD4^+$ T cell help, and a cognate mechanism involving direct interactions with B cells via agonists presented on CD1d. For non-cognate help, both the α -GalCer and antigen must first be acquired by DCs to facilitate the adjuvant activity of NKT cells to drive an antigen-specific $CD4^+$ T cell response. These T cells can differentiate into follicular helper CD4⁺ T (T_{FH}) cells that enter the B cell zone of lymphoid tissues to interact with B cells expressing B cell receptors (BCRs) specific for the native antigen; this interaction is facilitated by the capacity of B cells to internalize the protein, and process it to be presented via MHC Class II. The signals delivered in this classical T cell help interaction ultimately lead to germinal centre formation, immunoglobulin class switching, memory B cell differentiation and establishment of long-lived plasma cells that produce antibody [\[145,](#page-14-0) [146](#page-14-0)]. Support for such a noncognate role for NKT cells comes from studies showing that α -GalCer can help CD1d-deficient B cells, implying the adjuvant function can enhance T_{FH} formation, or that NKT cell-derived soluble factors support B cell stimulation [\[147\]](#page-14-0). However, it has been shown that a proportion of NKT cells can adopt a T_{FH} -like phenotype, referred to as NKT_{FH} cells. These cells exhibit upregulated expression of the transcription factor Bcl6, which is also necessary for the differentiation of T_{FH} cells, and secrete the T_{FH} -associated cytokine IL-21 [[148,](#page-14-0) [149](#page-14-0)]. Like T_{FH} , they express PD-1, and also express CXCR5, a chemokine receptor that facilitates entry into the B cell zone. It is therefore unsurprising that NKT_{FH} have been shown to provide direct help to B cells presenting agonists via CD1d. In fact, protein vaccination with a-GalCer can elicit IgG responses in MHC Class II-deficient mice, demonstrating that NKT_{FH} cells can substitute for T_{FH} help to B cells [\[150\]](#page-14-0). Although CD40 signalling is regarded as the primary helper signal [[147](#page-14-0)], a report surprisingly showed enhanced antibody responses using a-GalCer in mice with CD40L-deficient NKT cells [[151](#page-14-0)]. While it remains possible that other helper factors such as ICOS are involved [[152\]](#page-14-0), it is also possible that NKT_{FH} help is qualitatively different to classical T_{FH} help to B cells. Certainly, the outcome from the two classes of follicular helper cells can be different. Indeed, IgG responses induced with protein antigens and α -GalCer in mice lacking CD4⁺ T cells are of shorter duration $[49]$ $[49]$ $[49]$, and responses induced with NKT_{FH} cognate help alone can actually increase populations of T follicular regulatory (T_{FR}) cells, a subset that inhibits germinal centre responses resulting in short-lived, low-to-medium affinity antibody responses [[153](#page-14-0)]. Thus, while it is true that NKT cells can serve as universal helpers to initiate antibody responses without the need for considering epitopes for conventional help, the quality of responses can be compromised, which must be considered in vaccine design.

Overall, the requirement for NKT_{FH} or T_{FH} cells, or their coordinated dual activity, is likely to depend on the particular antigen used, and its formulation characteristics. In studies where a-GalCer was linked to a bacterial polysaccharide derived from S. pneumoniae, it was shown that direct help from NKT_{FH} cells, in the absence of antigen for conventional T_{FH} cells, was sufficient for the production of long-lived protective antibody responses [\[126,](#page-13-0) [154\]](#page-14-0). In contrast, responses did not persist, and long-lived memory B cell populations were not induced, when a-GalCer was conjugated to the small hapten molecule NP [[148](#page-14-0)]. The different outcome from these studies is likely due to the complexity of the antigen attached, with the polysaccharide containing repetitive motifs that were more likely to engage multiple BCRs on the surface. Thus, in the absence of conventional T_{FH} cells, NKT_{FH} cells can provide a surrogate role, but only when some BCR cross-linking occurs. Notably, cognate help was only possible when the B cell antigen was directly conjugated to α -GalCer, allowing simultaneous B cell-uptake of both components via the BCR. In recent examples that likely exploit this mechanism, conjugate vaccines incorporating the tumour-associated carbohydrate antigens, MUC-1 [[155](#page-14-0)], GM3 [[156](#page-14-0)] or sialyl-TN (STN) [\[157\]](#page-14-0) successfully induced antigen-specific IgG antibodies that were shown to induce antigen-specific tumour cell death through complement-dependent cytotoxicity. Interestingly, the GM3 antigen has been shown to suppress the IL-4 but not IFN- γ response of NKT cells to α -GalCer by inducing changes to the APC [\[158\]](#page-14-0), suggesting the selection of antigen may have a significant effect on the phenotype of NKT cell help and consequently the antibody response. In further developments, a recent study evaluated a conjugate of a-GalCer formulated into liposomes to achieve multivalent display to help drive antibody responses to an attached carbohydrate antigen [[105](#page-13-0)]. Interestingly, they found that the larger structures (\sim 400 nm) induced a bias towards IgG2a production over IgG1 when compared with the smaller structures (\sim 200 nm), suggesting size had an impact on polarization of the response. Although the mechanism is unclear, it may reflect delivery to functionally different APCs, suggesting there is a room for further evaluation of formulation of conjugates to improve specific outcomes.

As observed with vaccines for cellular immunity, encapsulating antigen and agonists within nanovectors for stimulating humoral immunity provides qualitatively superior activation of NKT cells than soluble α -GalCer, without inducing anergy. This generally translates to greater B cell activation, with higher-titre antigen-specific IgM and IgG responses that are maintained long term (for a recent example, see Shute et al. [[121\]](#page-13-0)). The level of antigen displayed, and capacity to induce BCR crosslinking are also likely to be factors that contribute to such responses. However, while such vaccines may be effective, this does not necessarily mean they can be combined with other current vaccines in human use, even if the extra NKT cell-mediated adjuvant activity might at first seem complementary. In a cautionary tale, in the study of Shute et al., a PLGA-based nanovaccine containing α -GalCer and polysaccharide antigens was shown to induce IgM and IgG humoral memory and protection against S. pneumoniae, but failed to improve responses when combined with a current T-dependent vaccine, and actually inhibited responses by a current T-independent vaccine [[121](#page-13-0)]. Thus, there are circumstances where NKT cells negatively regulate B cells, perhaps through a cytotoxic function. While this has been

INDUCING TISSUE-RESIDENT T CELL RESPONSES

While we have focused on NKT cells in the primary lymphoid organs as the source of licencing signals by NKT cells, it is worth noting that NKT cells are distributed in other tissues, notably mucosal tissues and the liver. Depending on the route of administration, some of these populations will be activated by vaccines incorporating NKT cell agonists. Studies in mice showed that a-GalCer-adjuvanted vaccines could be successfully delivered via the mucosal routes (intranasal or gastric gavage) [[47\]](#page-11-0). Although T cell priming may still have involved the draining lymphoid tissues, it is possible local activation of NKT cells at the mucosal sites facilitated T cell recirculation to these tissues. In a more recent study with conjugates incorporating malaria antigens, we reported an accumulation of resident memory T cells (T_{RM}) in the liver [\[135](#page-13-0)]. The recent discovery of T_{RM} in diverse tissues has altered our understanding of adaptive immunity, with the protection afforded by prior infection or vaccination shown in many cases to be mediated by tissue-adapted T_{RM} that do not recirculate throughout the body. Reviewed elsewhere $[160]$ $[160]$, T_{RM} exhibit key phenotypic, transcriptional and functional features that were initially established in mouse models of infection, and have since been translated to humans by tissue sampling approaches. Studies conducted in mice had shown that current malaria vaccines, based on injection of irradiated sporozoites, induce liver T_{RM} , and that protection is lost when the induced T_{RM} are specifically deleted [\[161](#page-14-0)]. In line with this observation, our conjugate vaccines provided protection in malaria challenge models that correlated with the size of the T_{RM} population induced [[135](#page-13-0)]. Accumulation of liver T_{RM} can be enhanced by local antigen presentation [[161–163](#page-14-0)] or inflammation [\[161](#page-14-0), [164](#page-14-0)], and the conjugates may provide both these stimuli by sustaining local peptide presentation and activating local NKT cells to induce transient inflammation, providing local cues that specifically support T_{RM} accumulation. We speculate that T cell priming occurs outside of the liver, involving NKT cell-licencing, and these T cells then seed liver T_{RM} accumulation. Such vaccines could find application in preventing or therapeutic treatment of other liver infections. In this context, it is worth noting that the control of hepatitis B virus is associated with the accumulation of cells that closely resemble T_{RM} [[165\]](#page-14-0), and strategies that facilitate virusspecific liver T_{RM} may ultimately control chronic disease. It is not yet known whether T_{RM} formation can be enhanced by NKT cell stimulation in other tissues where they are found in high numbers, but this is certainly worth investigating. Furthermore, although the role of T_{RM} in tumour immunology is still not well understood, intra-tumoural T cells expressing the integrin CD103, which is indicative of T_{RM} , have been reported to correlate with a favourable prognosis in cancer patients (reviewed by Dumauthioz et al. $[166]$ $[166]$), and T_{RM} have been shown to have anti-tumour activity in preclinical models [[167,](#page-14-0) [168\]](#page-14-0). It is therefore possible that activating tumourresident NKT cells could improve T cell therapy by enhancing tumour-specific T_{RM} accumulation.

CONCLUDING REMARKS AND PERSPECTIVES

The induction of $CD4^+$ T cell help by vaccination can be confounded by issues of MHC diversity and epitope choice. In contrast, NKT cells can be universally activated by defined glycolipids presented via CD1d to provide a universal form of T cell help. Because of their high numbers in lymphoid tissues relative to antigen-specific $CD4^+$ T cells, NKT cells are poised to deliver this help efficiently, without need for additional signals through pattern recognition. Ultimately, NKT cell agonists must be co-presented with antigenic peptides on the same APC to facilitate the licencing activities that drive T cells responses, while B cell responses can be supported indirectly through T_{FH} formation, or directly via NKT $_{FH}$ cells. A variety of strategies have been highlighted here to achieve this [\(Fig. 1\)](#page-9-0), of which the most practical for clinical development may be incorporation of antigen and agonists into liposomes or nanovectors, chemically conjugating these components together, or inserting agonists into the nanoparticles used for mRNA (or DNA) vaccines. The strategies could in fact be combined, although some evidence indicate that incorporating conjugate vaccines into nanovectors, such as liposomes, loses the advantage of the conjugate vaccine [\[123](#page-13-0), [156\]](#page-14-0), suggesting it may be unnecessary.

Rollout of vaccination programmes has been a global health success story, saving millions of lives each year. However, there is a low public tolerance for adverse events, and safety thresholds are understandably high for approval of new vaccines, particularly those given to healthy individuals as prophylaxis against infection. It is true that the potential severity of some chronic conditions may justify considering lower acceptable safety thresholds for therapeutic vaccines; nonetheless, to progress NKT cells agonists as new adjuvants, safety has to be a primary concern. Based on current limited clinical data, the injection of soluble unmodified NKT agonists is unlikely to meet acceptable safety thresholds, but incorporation into vectors or chemical conjugation may overcome this barrier. Not only can these approaches improve cell targeting to optimize the cellular interactions that enhance adaptive responses (with less unnecessary and potentially detrimental off-target activity and anergy), they can also be dose-sparing, with a likely improvement in safety profile. In this context, it is notable that a recent report showed that a-GalCer embedded in PLGA nanoparticles induced adjuvant activity in mouse studies at doses 1000 times lower than typically used with soluble α -GalCer [\[121](#page-13-0)].

The lower frequencies of NKT cells in humans compared with the commonly used preclinical rodent models have been regarded as a potential barrier to clinical efficacy of NKT cell agonists as immune adjuvants. It is therefore encouraging that adjuvant activities have been seen in outbred pigs with variable NKT cell frequency, and also in the trial with ABX196 in humans. In both cases, frequency of NKT cells in the periphery did not predict outcome, suggesting that it is the frequency and functionality of NKT cells in lymphoid tissues that are more important. Strategies to prescreen recipients based on peripheral NKT cell frequency to select only those with high blood frequency for NKT cellbased therapy may therefore be unwarranted. Ultimately, we will not be able to draw strong conclusions on suitability of NKT cell agonists for human use until more clinical trials have been conducted.

Figure 1: Strategies to focus NKT cell activity on APCs to improve vaccine outcome. (A) NKT cell agonists can be co-pulsed with antigen ex vivo onto vector cells such as monocytes, DCs, fibroblasts or even tumours cells before in vivo administration. Although injected APCs can theoretically be the recipients of NKT cell help, evidence suggests that the injected cells act as couriers to deliver the antigens and NKT cell agonists to DCs already resident in the host, which in turn receive licencing signals from NKT cells. (B) NKT cell agonists and antigen can be encapsulated into nanovectors, such as liposomes and nanoparticles that are either efficiently acquired by resident DCs, or express targeting moieties to aid this process. (C) Chemical conjugation of NKT cell agonists with antigen and glycolipid aids delivery to the same cell. By separating the components with a cleavage site for enzymes enriched in endogenous APCs, presentation of NKT cell agonist and antigenic peptide is enhanced by resident DCs. Licencing of resident DCs, involving CD40L/CD40 interactions, is particularly relevant to the crosspriming of CD8⁺ T cells, although it also facilitates proliferation and differentiation of CD4⁺ T cells. This can include differentiation into T_{FH} cells that provide help to B cells, promoting antibody class switching and stimulating their differentiation into long-lived antibody-producing plasma cells. Alternatively, activated NKT cells can differentiate into NKTFH to provide help to B cells in the absence of conventional TFH. In either scenario, stimulation of antibody production is dependent on uptake of antigen by B cells via an antigen-specific BCR

ACKNOWLEDGEMENTS

T.E.P. was supported by a Victoria University of Wellington Doctoral scholarship. I.F.H. was supported by the Thompson Family Foundation and Hugh Dudley Morgan Fellowship. L.M.C. was supported by Health Research Council of New Zealand Sir Charles Hercus Fellowship.

FUNDING

This work was supported by the Malaghan Institute of Medical Research, Victoria University of Wellington, New Zealand Ministry of Business Innovation and Employment (RTVU1603) and the Independent Research Organisation grant from the Health Research Council of New Zealand to the Malaghan Institute (grant no. HRC14/1003).

AUTHORS' CONTRIBUTIONS

O.K.B. and I.F.H. contributed to the conceptualization, writing, review and editing. T.E.P., G.F.P. and L.M.C. contributed to the writing. All authors read and approved the final manuscript.

CONFLICT OF INTEREST

I.F.H. and G.F.P. are inventors on patents licenced to or owned by biotech start-up Avalia Immunotherapies Limited.

DATA AVAILABILITY STATEMENT

The data presented in this report are available from the corresponding author on reasonable request.

REFERENCES

- [1](#page-1-0). Reed SG, Orr MT, Fox CB. Key roles of adjuvants in modern vaccines. Nat Med 2013;19:1597–608.
- [2](#page-1-0). Hutchison S, Benson RA, Gibson VB et al. Antigen depot is not required for alum adjuvanticity. FASEB J 2012;26:1272–9.
- [3](#page-1-0). Ghimire TR. The mechanisms of action of vaccines containing aluminum adjuvants: an in vitro vs in vivo paradigm. Springerplus 2015;4:1–18.
- [4](#page-1-0). Kool M, Fierens K, Lambrecht BN. Alum adjuvant: some of the tricks of the oldest adjuvant. J Med Microbiol 2012;61: 927–34.
- [5](#page-1-0). Pulendran B, S. Arunachalam P, O'Hagan DT. Emerging concepts in the science of vaccine adjuvants. Nat Rev Drug Discov 2021;20:454–75. doi:10.1038/s41573-021-00163-y.
- [6](#page-1-0). Campbell JD. Development of the CpG adjuvant 1018: a case study. Methods Mol Biol 2017;1494:15–27.
- [7](#page-1-0). Polack FP, Thomas SJ, Kitchin N et al. Safety and efficacy of the BNT162b2 mRNA Covid-19 vaccine. N Engl J Med 2020; 383:2603–15.
- [8](#page-1-0). Baden LR, El Sahly HM, Essink B et al. Efficacy and safety of the mRNA-1273 SARS-CoV-2 vaccine. N Engl J Med 2021;384: 403–16.
- [9](#page-1-0). Painter GF, Burn OK, Hermans IF. Using agonists for iNKT cells in cancer therapy. Mol Immunol 2021;130:1–6.
- [10](#page-1-0). Embgenbroich M, Burgdorf S. Current concepts of antigen cross-presentation. Front Immunol 2018;9:1643.
- [11](#page-1-0). Cella M, Engering A, Pinet V et al. Inflammatory stimuli induce accumulation of MHC class II complexes on dendritic cells. Nature 1997;388:782–7.
- [12](#page-1-0). Hertz CJ, Kiertscher SM, Godowski PJ et al. Microbial lipopeptides stimulate dendritic cell maturation via Toll-like receptor 2. J Immunol 2001;166:2444–50.
- [13](#page-1-0). Schwarz K, Storni T, Manolova V et al. Role of Toll-like receptors in costimulating cytotoxic T cell responses. Eur J Immunol 2003;33:1465–70.
- [14](#page-1-0). Shedlock DJ, Shen H. Requirement for CD4 T cell help in generating functional CD8 T cell memory. Science 2003;300: 337–9.
- [15](#page-1-0). Sun JC, Bevan MJ. Defective CD8 T cell memory following acute infection without CD4 T cell help. Science 2003;300: 339–42.
- 16. Bennett SRM, Carbone FR, Karamalis F et al. Induction of a $CD8+$ cytotoxic T lymphocyte response by cross-priming requires cognate CD4+T cell help. J Exp Med 1997;186:65-70.
- [17](#page-2-0). Ridge JP, Di Rosa F, Matzinger P. A conditioned dendritic cell can be a temporal bridge between a $CD4+T$ -helper and a Tkiller cell. Nature 1998;393:474–8.
- 18. Schoenberger SP, Toes REM, Van Dervoort EIH et al. T-cell help for cytotoxic T lymphocytes is mediated by CD40- CD40L interactions. Nature 1998;393:480–3.
- [19](#page-2-0). Cunningham AF, Serre K, Mohr E et al. Loss of CD154 impairs the Th2 extrafollicular plasma cell response but not early T cell proliferation and interleukin-4 induction. Immunology 2004;113:187–93.
- [20](#page-2-0). Moon JJ, Chu HH, Pepper M et al. Naive CD4+T cell frequency varies for different epitopes and predicts repertoire diversity and response magnitude. Immunity 2007;27:203–13.
- [21](#page-2-0). Haynes BF, Sempowski GD, Wells AF et al. The human thymus during aging. Immunol Res 2000;22:253–61.
- [22](#page-2-0). Britanova O V., Putintseva E V., Shugay M et al. Age-related decrease in TCR repertoire diversity measured with deep and normalized sequence profiling. J Immunol 2014;192: 2689–98.
- [23](#page-2-0). Yager EJ, Ahmed M, Lanzer K et al. Age-associated decline in T cell repertoire diversity leads to holes in the repertoire and impaired immunity to influenza virus. J Exp Med 2008;205: 711–23.
- [24](#page-2-0). Lee JB, Oelke M, Ramachandra L et al. Decline of influenzaspecific CD8 $+$ T cell repertoire in healthy geriatric donors. Immun Ageing 2011;8:6. doi:10.1186/1742-4933-8-6.
- [25](#page-2-0). Vincent MS, Lesli DS, Gumperz JE et al. CDI-dependent dendritic cell instruction. Nat Immunol 2002;3:1163–8.
- [26](#page-2-0). Slauenwhite D, Johnston B. Regulation of NKT cell localization in homeostasis and infection. Front Immunol 2015;6:255. doi:10.3389/fimmu.2015.00255.
- [27](#page-2-0). Brossay L, Chioda M, Burdin N et al. CD1d-mediated recognition of an α -galactosylceramide by natural killer T cells is highly conserved through mammalian evolution. J Exp Med 1998;188:1521–8.
- 28. Natori T, Morita M, Akimoto K et al. Agelasphins, novel antitumor and immunostimulatory cerebrosides from the marine sponge Agelas mauritianus. Tetrahedron 1994;50: 2771–84.
- 29. Kobayashi E, Motoki K, Uchida T et al. KRN7000, a novel immunomodulator, and its antitumor activities. Oncol Res 1995; 7:529–34.
- 30. Morita M, Motoki K, Akimoto K et al. Structure-activity relationship of a-Galactosylceramides against B16-bearing mice. J Med Chem 1995;38:2176–87.
- 31. Natori T, Akimoto K, Motoki K et al. Development of KRN7000, derived from agelasphin produced by Okinawan sponge. Folia Pharmacol Jpn 1997;110:63–68. doi:10.1254/fpj.110. supplement 63.
- [32](#page-2-0). Kawano T, Cui J, Koezuka Y et al. CD1d-restricted and TCRmediated activation of Va14 NKT cells by glycosylceramides. Science 1997;278:1626–9.
- [33](#page-2-0). Burdin N, Brossay L, Koezuka Y et al. Selective ability of mouse CD1 to present glycolipids: alpha-galactosylceramide specifically stimulates $Vx14 + NKT$ lymphocytes. J Immunol 1998;161: 3271–81.
- [34](#page-2-0). Crowe NY, Uldrich AP, Kyparissoudis K et al. Glycolipid antigen drives rapid expansion and sustained cytokine production by NK T cells. J Immunol 2003;171:4020–7.
- [35](#page-2-0). Stetson DB, Mohrs M, Reinhardt RL et al. Constitutive cytokine mRNAs mark natural killer (NK) and NK T cells poised for rapid effector function. J Exp Med 2003;198:1069–76.
- [36](#page-2-0). Nishimura T, Kitamura H, Iwakabe K et al. The interface between innate and acquired immunity: glycolipid antigen

presentation by CD1d-expressing dendritic cells to NKT cells induces the differentiation of antigen-specific cytotoxic T lymphocytes. Int Immunol 2000;12:987–94.

- [37](#page-2-0). Singh N, Hong S, Scherer DC et al. Cutting edge: activation of NK T cells by CD1d and alpha-galactosylceramide directs conventional T cells to the acquisition of a Th2 phenotype. J Immunol 1999;163.
- [38](#page-2-0). Gonzalez-Aseguinolaza G, Van Kaer L, Bergmann CC et al. Natural killer T cell ligand *x*-galactosylceramide enhances protective immunity induced by malaria vaccines. J Exp Med 2002;195:617–24.
- [39](#page-2-0). Hermans IF, Silk JD, Gileadi U et al. NKT cells enhance $CD4+$ and $CD8+$ T cell responses to soluble antigen in vivo through direct interaction with dendritic cells. J Immunol 2003;171:5140–7.
- [40](#page-2-0). Fujii SI, Shimizu K, Smith C et al. Activation of natural killer T cells by α -galactosylceramide rapidly induces the full maturation of dendritic cells in vivo and thereby acts as an adjuvant for combined CD4 and CD8 T cell immunity to a coadministered protein. J Exp Med 2003;198:267–79.
- [41](#page-2-0). Stober D, Jomantaitė I, Schirmbeck R et al. NKT cells provide help for dendritic cell-dependent priming of MHC class I-restricted CD8+ T cells in vivo. J Immunol 2003;170:2540-8.
- [42](#page-2-0). Fujii SI, Liu K, Smith C et al. The linkage of innate to adaptive immunity via maturing dendritic cells in vivo requires CD40 ligation in addition to antigen presentation and CD80/86 costimulation. J Exp Med 2004;199:1607–18.
- [43](#page-2-0). Semmling V, Lukacs-Kornek V, Thaiss CA et al. Alternative cross-priming through CCL17-CCR4-mediated attraction of CTLs toward NKT cell-licensed DCs. Nat Immunol 2010;11: 313–20.
- [44](#page-2-0). Kitamura H, Iwakabe K, Yahata T et al. The natural killer T (NKT) cell ligand a-galactosylceramide demonstrates its immunopotentiating effect by inducing interleukin (IL)-12 production by dendritic cells and IL-12 receptor expression on NKT cells. J Exp Med 1999;189:1121–7.
- [45](#page-2-0). Joyee AG, Uzonna J, Yang X. Invariant NKT cells preferentially modulate the function of $CD8\alpha +$ dendritic cell subset in inducing type 1 immunity against infection. J Immunol 2010;184:2095–106.
- [46](#page-2-0). Macho-Fernandez E, Cruz LJ, Ghinnagow R et al. Targeted delivery of α -galactosylceramide to CD8 α + dendritic cells optimizes type I NKT cell–based antitumor responses. J Immunol 2014;193:961–9.
- [47](#page-2-0). Silk JD, Hermans IF, Gileadi U et al. Utilizing the adjuvant properties of CD1d-dependent NK T cells in T cell–mediated immunotherapy. J Clin Invest 2004;114:1800–11.
- [48](#page-2-0). Hermans IF, Silk JD, Gileadi U et al. Dendritic cell function can be modulated through cooperative actions of TLR ligands and invariant NKT cells. J Immunol 2007;178:2721–9.
- [49](#page-2-0). Tonti E, Fedeli M, Napolitano A et al. Follicular helper NKT cells induce limited B cell responses and germinal center formation in the absence of $CD4+T$ cell help. J Immunol 2012; 188:3217–22.
- [50](#page-2-0). Ko S-Y, Ko H-J, Chang W-S et al. a-Galactosylceramide can act as a nasal vaccine adjuvant inducing protective immune responses against viral infection and tumor. J Immunol 2005; 175:3309–17.
- [51](#page-2-0). Youn HJ, Ko SY, Lee KA et al. A single intranasal immunization with inactivated influenza virus and a-galactosylceramide induces long-term protective immunity without redirecting antigen to the central nervous system. Vaccine 2007;25:5189–98.
- [52](#page-2-0). Shimizu K, Goto A, Fukui M et al. Tumor cells loaded with a-galactosylceramide induce innate NKT and NK celldependent resistance to tumor implantation in mice. J Immunol 2007;178:2853–61.
- [53](#page-2-0). Driver JP, de Carvalho Madrid DM, Gu W et al. Modulation of immune responses to influenza a virus vaccines by natural killer T cells. Front Immunol 2020;11:2172.
- 54. Hung JT, Tsai YC, Lin W Der et al. Potent adjuvant effects of novel NKT stimulatory glycolipids on hemagglutinin based DNA vaccine for H5N1 influenza virus. Antiviral Res 2014;107: 110–8.
- 55. Fotouhi F, Shaffifar M, Farahmand B et al. Adjuvant use of the NKT cell agonist alpha-galactosylceramide leads to enhancement of M2-based DNA vaccine immunogenicity and protective immunity against influenza A virus. Arch Virol 2017;162:1251–60.
- [56](#page-2-0). Guillonneau C, Mintern JD, Hubert FX et al. Combined NKT cell activation and influenza virus vaccination boosts memory CTL generation and protective immunity. Proc Natl Acad Sci U S A 2009;106:3330–5.
- [57](#page-2-0). Li K, Luo J, Wang C et al. a-Galactosylceramide potently augments M2e-induced protective immunity against highly pathogenic H5N1 avian influenza virus infection in mice. Vaccine 2011;29:7711–7.
- [58](#page-3-0). Kamijuku H, Nagata Y, Jiang X et al. Mechanism of NKT cell activation by intranasal coadministration of α -galactosylceramide, which can induce cross-protection against influenza viruses. Mucosal Immunol 2008;1:208–18.
- 59. Artiaga BL, Yang G, Hackmann TJ et al. a-Galactosylceramide protects swine against influenza infection when administered as a vaccine adjuvant. Sci Rep 2016;6:23593. doi: 10.1038/srep23593.
- 60. Dwivedi V, Manickam C, Dhakal S et al. Adjuvant effects of invariant NKT cell ligand potentiates the innate and adaptive immunity to an inactivated H1N1 swine influenza virus vaccine in pigs. Vet Microbiol 2016;186:157–63.
- 61. Renu S, Dhakal S, Kim E et al. Intranasal delivery of influenza antigen by nanoparticles, but not NKT-cell adjuvant differentially induces the expression of B-cell activation factors in mice and swine. Cell Immunol 2018;329:27–30.
- [62](#page-3-0). Fernandez CS, Jegaskanda S, Godfrey DI et al. In-vivo stimulation of macaque natural killer T cells with α -galactosylceramide. Clin Exp Immunol 2013;173:480–92.
- [63](#page-3-0). De Santo C, Salio M, Masri SH et al. Invariant NKT cells reduce the immunosuppressive activity of influenza A virusinduced myeloid-derived suppressor cells in mice and humans. J Clin Invest 2008;118:4036–48.
- [64](#page-3-0). Ishikawa H, Tanaka K, Kutsukake E et al. IFN- γ production downstream of NKT cell activation in mice infected with influenza virus enhances the cytolytic activities of both NK cells and viral antigen-specific CD8+ T cells. Virology 2010;407:325-32.
- [65](#page-3-0). Kok WL, Denney L, Benam K et al. Pivotal advance: invariant NKT cells reduce accumulation of inflammatory monocytes in the lungs and decrease immune-pathology during severe influenza A virus infection. J Leukoc Biol 2012;91:357–68.
- [66](#page-3-0). Paget C, Ivanov S, Fontaine J et al. Interleukin-22 is produced by invariant natural killer T lymphocytes during influenza A virus infection: potential role in protection against lung epithelial damages. J Biol Chem 2012;287:8816–29.
- 67. Ishihara S, Nieda M, Kitayama J et al. CD8+NKR-P1A+T cells preferentially accumulate in human liver. Eur J Immunol 1999;29:2406–13.
- 68. Exley MA, He Q, Cheng O et al. Cutting edge: compartmentalization of Th1-like noninvariant CD1d-reactive T cells in hepatitis C virus-infected liver. J Immunol 2002;168:1519–23.
- 69. Kenna T, Mason LG, Porcelli SA et al. NKT cells from normal and tumor-bearing human livers are phenotypically and functionally distinct from murine NKT cells. J Immunol 2003; 171:1775–9.
- [70](#page-3-0). Artiaga BL, Whitener RL, Staples CR et al. Adjuvant effects of therapeutic glycolipids administered to a cohort of NKT cell-diverse pigs. Vet Immunol Immunopathol 2014;162:1–13.
- [71](#page-3-0). Tefit JN, Crabé S, Orlandini B et al. Efficacy of ABX196, a new NKT agonist, in prophylactic human vaccination. Vaccine 2014;32:6138–45.
- 72. Osman Y, Kawamura T, Naito T et al. Activation of hepatic NKT cells and subsequent liver injury following administration of a-galactosylceramide. Eur J Immunol 2000;30:1919–28.
- [73](#page-6-0). Nakagawa R, Nagafune I, Tazunoki Y et al. Mechanisms of the antimetastatic effect in the liver and of the hepatocyte injury induced by α -galactosylceramide in mice. J Immunol 2001;166:6578–84.
- 74. Biburger M, Tiegs G. a-Galactosylceramide-induced liver injury in mice is mediated by TNF-a but independent of Kupffer cells. J Immunol 2005;175:1540–50.
- [75](#page-3-0). Giaccone G, Punt CJA, Ando Y et al. A phase I study of the natural killer T-cell ligand a-galactosylceramide (KRN7000) in patients with solid tumors. Clin Cancer Res 2002;8:3702–9.
- [76](#page-3-0). Freigang S, Zadorozhny V, McKinney MK et al. Fatty acid amide hydrolase shapes NKT cell responses by influencing the serum transport of lipid antigen in mice. J Clin Invest 2010; 120:1873–84.
- [77](#page-3-0). Woltman AM, Ter Borg MJ, Binda RS et al. a-Galactosylceramide in chronic hepatitis B infection: results from a randomized placebo-controlled Phase I/II trial. Antivir Ther 2009;14:809–18.
- [78](#page-3-0). Sag D, Krause P, Hedrick CC et al. IL-10-producing NKT10 cells are a distinct regulatory invariant NKT cell subset. J Clin Invest 2014;124:3725–40.
- 79. Pei B, Vela JL, Zajonc D et al. Interplay between carbohydrate and lipid in recognition of glycolipid antigens by natural killer T cells. Ann N Y Acad Sci 2012;1253:68–79.
- 80. Carreño LJ, Saavedra-Ávila NA, Porcelli SA. Synthetic glycolipid activators of natural killer T cells as immunotherapeutic agents. Clin Transl Immunol 2016;5:e69. doi:10.1038/cti. 2016.14.
- 81. Hung JT, Huang JR, Yu AL. Tailored design of NKT-stimulatory glycolipids for polarization of immune responses. J Biomed Sci 2017;24: 22. doi:10.1186/s12929-017-0325-0.
- [82](#page-3-0). Enomoto N, Nagata T, Suda T et al. Immunization with dendritic cells loaded with *a*-galactosylceramide at priming phase, but not at boosting phase, enhances cytotoxic T lymphocyte activity against infection by intracellular bacteria. FEMS Immunol Med Microbiol 2007;51:350–62.
- [83](#page-3-0). Petersen TR, Sika-Paotonu D, Knight DA et al. Potent antitumor responses to immunization with dendritic cells loaded with tumor tissue and an NKT cell ligand. Immunol Cell Biol 2010;88:596–604.
- [84](#page-3-0). Fujii SI, Shimizu K, Kronenberg M et al. Prolonged IFN- γ -producing NKT response induced with α -galactosylceramideloaded DCs. Nat Immunol 2002;3:867–74.
- 85. Kleindienst P, Brocker T. Endogenous dendritic cells are required for amplification of T cell responses induced by dendritic cell vaccines in vivo. J Immunol 2003;170:2817–23.
- 86. Yewdall AW, Drutman SB, Jinwala F et al. CD8+ T cell priming by dendritic cell vaccines requires antigen transfer to endogenous antigen presenting cells. PLoS One 2010;5:e11144.
- [87](#page-3-0). Petersen TR, Sika-Paotonu D, Knight DA et al. Exploiting the role of endogenous lymphoid-resident dendritic cells in the priming of NKT cells and $CD8+T$ cells to dendritic cellbased vaccines. PLoS One 2011;6:e17657.
- 88. Ashour DED, Arampatzi P, Pavlovic V et al. IL-12 from endogenous cDC1, and not vaccine DC, is required for Th1 induction. JCI Insight 2020;5:e135143.
- [89](#page-3-0). Gasser O, Sharples KJ, Barrow C et al. A phase I vaccination study with dendritic cells loaded with NY-ESO-1 and α -galactosylceramide: induction of polyfunctional T cells in high-risk melanoma patients. Cancer Immunol Immunother 2018;67:285–98.
- 90. Shimizu K, Kurosawa Y, Taniguchi M et al. Cross-presentation of glycolipid from tumor cells loaded with α -galactosylceramide leads to potent and long-lived T cell-mediated immunity via dendritic cells. J Exp Med 2007;204:2641–53.
- 91. Hunn MK, Farrand KJ, Broadley KWR et al. Vaccination with irradiated tumor cells pulsed with an adjuvant that stimulates NKT cells is an effective treatment for glioma. Clin Cancer Res 2012;18:6446–59.
- 92. Mattarollo SR, West AC, Steegh K et al. NKT cell adjuvantbased tumor vaccine for treatment of myc oncogene-driven mouse B-cell lymphoma. Blood 2012;120:3019–29. doi: 10.1182/blood-2012-04-426643.
- [93](#page-4-0). Fujii SI, Goto A, Shimizu K. Antigen mRNA-transfected, allogeneic fibroblasts loaded with NKT-cell ligand confer antitumor immunity. Blood 2009;113:4262–72.
- [94](#page-4-0). Huang MN, Nicholson LT, Batich KA et al. Antigen-loaded monocyte administration induces potent therapeutic antitumor T cell responses. J Clin Invest 2020;130:774–88.
- [95](#page-4-0). Dölen Y, Kreutz M, Gileadi U et al. Co-delivery of PLGA encapsulated invariant NKT cell agonist with antigenic protein induce strong T cell-mediated antitumor immune responses. Oncoimmunology 2016;5:e1068493. doi:10.1080/2162402X.2015. 1068493.
- [96](#page-4-0). Dölen Y, Gileadi U, Chen JL et al. PLGA nanoparticles coencapsulating NY-ESO-1 peptides and IMM60 induce robust CD8 and CD4 T cell and B cell responses. Front Immunol 2021; 12:641703. doi:10.3389/fimmu.2021.641703.
- [97](#page-5-0). Dölen Y, Valente M, Tagit O et al. Nanovaccine administration route is critical to obtain pertinent iNKt cell help for robust anti-tumor T and B cell responses. Oncoimmunology 2020;9:1738813. doi:10.1080/2162402X.2020.1738813.
- [98](#page-5-0). Sainz V, Moura LIF, Peres C et al. α -Galactosylceramide and peptide-based nano-vaccine synergistically induced a strong tumor suppressive effect in melanoma. Acta Biomater 2018;76:193–207. doi:10.1016/j.actbio.2018.06.029.
- [99](#page-4-0). Huang J, Zhou J, Ghinnagow R et al. Targeted co-delivery of tumor antigen and α -galactosylceramide to CD141+ dendritic cells induces a potent tumor antigen-specific human $CD8+T$ cell response in human immune system mice. Front Immunol 2020;11. doi:10.3389/fimmu.2020.02043.
- [100.](#page-4-0) Liu Y, Wang Z, Yu F et al. The adjuvant of α -galactosylceramide presented by gold nanoparticles enhances antitumor immune responses of MUC1 antigen-based tumor vaccines. Int J Nanomedicine 2021;16:403–20. doi:10.2147/ IJN.S273883.
- [101.](#page-4-0) Verbeke R, Lentacker I, Breckpot K et al. Broadening the message: a nanovaccine co-loaded with messenger RNA and α -GalCer induces antitumor immunity through conventional and natural killer T cells. ACS Nano 2019;13:1655–69. doi: 10.1021/acsnano.8b07660.
- [102.](#page-4-0) Guevara ML, Jilesen Z, Stojdl D et al. Codelivery of mRNA with α -galactosylceramide using a new lipopolyplex formulation induces a strong antitumor response upon

intravenous administration. ACS Omega 2019;4:13015–13026. doi:10.1021/acsomega.9b00489.

- [103.](#page-5-0) Stolk DA, de Haas A, Vree J et al. Lipo-based vaccines as an approach to target dendritic cells for induction of T- and iNKT cell responses. Front Immunol 2020;11:990. doi: 10.3389/fimmu.2020.00990.
- [104.](#page-5-0) Grabowska J, Stolk DA, Nijen Twilhaar MK et al. Liposomal nanovaccine containing α -galactosylceramide and ganglioside GM3 stimulates robust CD8+ T cell responses via CD169+ macrophages and cDC1. Vaccines 2021;9:56. doi: 10.3390/vaccines9010056.
- [105.](#page-5-0) Broecker F, Götze S, Hudon J et al. Synthesis, liposomal formulation, and immunological evaluation of a minimalistic carbohydrate-a-GalCer vaccine candidate. J Med Chem 2018; 61:4918–27.
- [106.](#page-5-0) Du JJ, Zou SY, Chen XZ et al. Liposomal antitumor vaccines targeting mucin 1 elicit a lipid-dependent immunodominant response. Chem Asian J 2019;14:2116–21.
- [107.](#page-5-0) Neumann S, Young K, Compton B et al. Synthetic TRP2 longpeptide and a-galactosylceramide formulated into cationic liposomes elicit $CD8+T$ -cell responses and prevent tumour progression. Vaccine 2015;33:5838–44.
- [108.](#page-4-0) McKee SJ, Young VL, Clow F et al. Virus-like particles and agalactosylceramide form a self-adjuvanting composite particle that elicits anti-tumor responses. J Control Release 2012; 159:338–45. doi:10.1016/j.jconrel.2012.02.015.
- [109.](#page-4-0) Lam PY, Kobayashi T, Soon M et al. NKT cell-driven enhancement of antitumor immunity induced by Clec9a-targeted tailorable nanoemulsion. Cancer Immunol Res 2019;7. doi: 10.1158/2326-6066.CIR-18-0650.
- [110.](#page-4-0) Sartorius R, D'Apice L, Barba P et al. Vectorized delivery of alpha-Galactosylceramide and tumor antigen on filamentous bacteriophage fd induces protective immunity by enhancing tumor-specific T cell response. Front Immunol 2018; 9:1496. doi:10.3389/fimmu.2018.01496.
- 111. Parry AL, Clemson NA, Ellis J et al. 'Multicopy multivalent' glycopolymer-stabilized gold nanoparticles as potential synthetic cancer vaccines. J Am Chem Soc 2013;135:9362–5.
- 112. Sercombe L, Veerati T, Moheimani F et al. Advances and challenges of liposome assisted drug delivery. Front Pharmacol 2015;6:286.
- 113. Silva AL, Soema PC, Slütter B et al. PLGA particulate delivery systems for subunit vaccines: linking particle properties to immunogenicity. Hum Vaccines Immunother 2016;12:1056–69. doi:10.1080/21645515.2015.1117714.
- 114. Koerner J, Horvath D, Groettrup M. Harnessing dendritic cells for poly (D,L-lactide-co-glycolide) microspheres (PLGA MS)-mediated anti-tumor therapy. Front Immunol 2019;10: 707 doi:10.3389/fimmu.2019.00707.
- [115.](#page-4-0) Zhang J, Tang H, Liu Z et al. Effects of major parameters of nanoparticles on their physical and chemical properties and recent application of nanodrug delivery system in targeted chemotherapy. Int J Nanomedicine 2017;12:8483–93.
- [116.](#page-4-0) Ghinnagow R, Cruz LJ, Macho-Fernandez E et al. Enhancement of adjuvant functions of natural killer T cells using nanovector delivery systems: application in anticancer immune therapy. Front Immunol 2017;8:879. doi: 10.3389/fimmu.2017.00879.
- [117.](#page-4-0) Pandey A, Jain DS. Poly lactic-co-glycolic acid (PLGA) copolymer and its pharmaceutical application. Handb Polym Pharm Technol 2015;2:151–72.
- [118.](#page-4-0) Thapa P, Zhang G, Xia C et al. Nanoparticle formulated alpha-galactosylceramide activates NKT cells without inducing anergy. Vaccine 2009;27:3484–8.
- [119.](#page-4-0) Macho Fernandez E, Chang J, Fontaine J et al. Activation of invariant natural killer T lymphocytes in response to the α galactosylceramide analogue KRN7000 encapsulated in PLGA-based nanoparticles and microparticles. Int J Pharm 2012;423:45–54.
- [120.](#page-4-0) Li B, Siuta M, Bright V et al. Improved proliferation of antigen-specific cytolytic T lymphocytes using a multimodal nanovaccine. Int J Nanomedicine 2016;11:6103–21.
- [121.](#page-4-0) Shute T, Amiel E, Alam N et al. Glycolipid-containing nanoparticle vaccine engages invariant NKT cells to enhance humoral protection against systemic bacterial infection but abrogates T-independent vaccine responses. J Immunol 2021; 206:1806–16.
- [122.](#page-4-0) Ghinnagow R, De Meester J, Cruz LJ et al. Co-delivery of the NKT agonist *a*-galactosylceramide and tumor antigens to cross-priming dendritic cells breaks tolerance to selfantigens and promotes antitumor responses. Oncoimmunology 2017;6:e1339855 1–14.
- [123.](#page-6-0) Aiga T, Manabe Y, Ito K et al. Immunological evaluation of co-assembling a lipidated peptide antigen and lipophilic adjuvants: self-adjuvanting anti-breast-cancer vaccine candidates. Angew Chemie Int Ed 2020;59:17705–11.
- [124.](#page-6-0) Hogquist K, Georgiev H. Recent advances in iNKT cell development. F1000Research 2020;9. doi:10.12688/f1000research. 21378.1.
- [125.](#page-6-0) Leadbetter EA, Brigl M, Illarionov P et al. NK T cells provide lipid antigen-specific cognate help for B cells. Proc Natl Acad Sci U S A 2008;105:8339–44.
- [126.](#page-6-0) Cavallari M, Stallforth P, Kalinichenko A et al. Asemisynthetic carbohydrate-lipid vaccine that protects against S. pneumoniae in mice. Nat Chem Biol 2014;10: 950–6.
- [127.](#page-6-0) Zhou XT, Forestier C, Goff RD et al. Synthesis and NKT cell stimulating properties of fluorophore- and biotin-appended 6"-Amino-6"-deoxy-galactosylceramides. Org Lett 2002;4: 1267–70.
- [128.](#page-6-0) Compton BJ, Tang CW, Johnston KA et al. Synthesis and activity of $6''$ -deoxy- $6''$ -thio- α -GalCer and peptide conjugates. Org Lett 2015;17:5954–7.
- 129. Wells JW, Cowled CJ, Giorgini A et al. Regulation of allergic airway inflammation by class I-restricted allergen presentation and CD8 T-cell infiltration. J Allergy Clin Immunol 2007; 119:226–34.
- 130. Aguilar-Pimentel JA, Alessandrini F, Huster KM et al. Specific CD8 T cells in IgE-mediated allergy correlate with allergen dose and allergic phenotype. Am J Respir Crit Care Med 2010; 181:7–16.
- [131.](#page-6-0) Enomoto N, Hyde E, Ma JZ-I et al. Allergen-specific CTL require perforin expression to suppress allergic airway inflammation. J Immunol 2012;188:1734–41.
- [132.](#page-6-0) Anderson RJ, Tang CW, Daniels NJ et al. A self-adjuvanting vaccine induces cytotoxic T lymphocytes that suppress allergy. Nat Chem Biol 2014;10:943–9.
- [133.](#page-6-0) Anderson RJ, Li J, Kedzierski L et al. Augmenting influenzaspecific T cell memory generation with a natural killer T cell-dependent glycolipid-peptide vaccine. ACS Chem Biol 2017;12:2898–05. doi:10.1021/acschembio.7b00845.
- [134.](#page-6-0) Anderson RJ, Compton BJ, Tang CW et al. NKT celldependent glycolipid-peptide vaccines with potent antitumour activity. Chem Sci 2015;6:5120–7.
- [135.](#page-6-0) Holz LE, Chua YC, de Menezes MN et al. Glycolipid-peptide vaccination induces liver-resident memory $CDS+T$ cells that protect against rodent malaria. Sci Immunol 2020;5: eaaz8035. doi:10.1126/SCIIMMUNOL.AAZ8035.
- [136.](#page-6-0) Speir M, Authier-Hall A, Brooks CR et al. Glycolipid-peptide conjugate vaccines enhance $CD8+T$ cell responses against human viral proteins. Sci Rep 2017;7:14273. doi: 10.1038/s41598-017-14690-5.
- [137.](#page-6-0) Compton BJ, Farrand KJ, Tang CW et al. Enhancing T cell responses and tumour immunity by vaccination with peptides conjugated to a weak NKT cell agonist. Org Biomol Chem 2019;31:1225–37.
- [138.](#page-6-0) Slaney CY, Wang P, Darcy PK et al. CARs versus biTEs: a comparison between T cell–redirection strategies for cancer treatment. Cancer Discov 2018;8:924–34.
- [139.](#page-6-0) Veerapen N, Kharkwal SS, Jervis P et al. Photoactivable glycolipid antigens generate stable conjugates with CD1d for invariant natural killer T cell activation. Bioconjug Chem 2018; 29:3161–73.
- [140.](#page-6-0) Kharkwal SS, Johndrow CT, Veerapen N et al. Serial stimulation of invariant natural killer T cells with covalently stabilized bispecific T-cell engagers generates antitumor immunity while avoiding anergy. Cancer Res 2021;81: 1788–801.
- [141.](#page-7-0) Miyake S, Yamamura T. NKT cells and autoimmune diseases: unraveling the complexity. Curr Top Microbiol Immunol 2007;314:251–67.
- [142.](#page-7-0) Godfrey DI, Hammond KJL, Poulton LD et al. NKT cells: facts, functions and fallacies. Immunol Today 2000;21:573–83.
- [143.](#page-7-0) Wu L, Kaer L Van. Natural killer T cells in health and disease. Front Biosci - Sch 2011;3:236–51.
- 144. Lang ML. The influence of invariant natural killer T cells on humoral immunity to T-dependent and -independent antigens. Front Immunol 2018;9. doi:10.3389/fimmu.2018.00305.
- [145.](#page-7-0) Ma CS, Deenick EK, Batten M et al. The origins, function, and regulation of T follicular helper cells. J Exp Med 2012;209: 1241–53.
- [146.](#page-7-0) Victora GD, Nussenzweig MC. Germinal centers. Annu Rev Immunol 2012;30:429–57.
- [147.](#page-7-0) Tonti E, Galli G, Malzone C et al. NKT-cell help to B lymphocytes can occur independently of cognate interaction. Blood 2009;113:370–6.
- [148.](#page-7-0) King IL, Fortier A, Tighe M et al. Invariant natural killer T cells direct B cell responses to cognate lipid antigen in an IL-21-dependent manner. Nat Immunol 2012;13:44–50.
- [149.](#page-7-0) Chang PP, Barral P, Fitch J et al. Identification of Bcl-6 dependent follicular helper NKT cells that provide cognate help for B cell responses. Nat Immunol 2012;13:35–43.
- [150.](#page-7-0) Galli G, Pittoni P, Tonti E et al. Invariant NKT cells sustain specific B cell responses and memory. Proc Natl Acad Sci U S A 2007;104:3984–9.
- [151.](#page-7-0) Shah HB, Joshi SK, Lang ML. CD40L-null NKT cells provide B cell help for specific antibody responses. Vaccine 2011;29: 9132–6.
- [152.](#page-7-0) Gaya M, Barral P, Burbage M et al. Initiation of antiviral B cell immunity relies on innate signals from spatially positioned NKT cells. Cell 2018;172:517–533.e20.
- [153.](#page-7-0) Vomhof-DeKrey EE, Yates J, Hägglöf T et al. Cognate interaction with iNKT cells expands IL-10-producing B regulatory cells. Proc Natl Acad Sci U S A 2015;112:12474–9.
- [154.](#page-7-0) Bai L, Deng S, Reboulet R et al. Natural killer T (NKT)-B-cell interactions promote prolonged antibody responses and long-term memory to pneumococcal capsular polysaccharides. Proc Natl Acad Sci U S A 2013;110:16097–102.
- [155.](#page-7-0) Chen PG, Hu HG, ZY Sun et al. Fully synthetic invariant NKT cell-dependent self-adjuvanting antitumor vaccines eliciting potent immune response in mice. Mol Pharm 2020;17: 417–25. doi:10.1021/acs.molpharmaceut.9b00720.
- [156.](#page-7-0) Yin XG, Lu J, Wang J et al. Synthesis and evaluation of liposomal anti-GM3 cancer vaccine candidates covalently and noncovalently adjuvanted by *«galCer. J Med Chem 2021;64:* 1951–65. doi:10.1021/acs.jmedchem.0c01186.
- [157.](#page-7-0) Yin XG, Chen XZ, Sun WM et al. IgG antibody response elicited by a fully synthetic two-component carbohydratebased cancer vaccine candidate with α -galactosylceramide as built-in adjuvant. Org Lett 2017;19:456–9.
- [158.](#page-7-0) Park JE, Wu DY, Prendes M et al. Fine specificity of natural killer T cells against GD3 ganglioside and identification of GM3 as an inhibitory natural killer T-cell ligand. Immunology 2008;123:145–55.
- [159.](#page-8-0) Hägglöf T, Sedimbi SK, Yates JL et al. Neutrophils license iNKT cells to regulate self-reactive mouse B cell responses. Nat Immunol 2016;17:1407–14.
- [160.](#page-8-0) Szabo PA, Miron M, Farber DL. Location, location, location: tissue resident memory T cells in mice and humans. Sci Immunol 2019;4:eaas9673. doi:10.1126/sciimmunol.aas9673.
- [161.](#page-8-0) Fernandez-Ruiz D, Ng WY, Holz LE et al. Liver-resident memory $CD8+T$ cells form a front-line defense against malaria liver-stage infection. Immunity 2016;51: 889–902. doi: 10.1016/j.immuni.2016.08.011.
- 162. Khan TN, Mooster JL, Kilgore AM et al. Local antigen in nonlymphoid tissue promotes resident memory CD8+ T cell formation during viral infection. J Exp Med 2016;213:951–66. doi: 10.1084/jem.20151855.
- 163. Davies B, Prier JE, CM Jones et al. Cutting edge: tissueresident memory T cells generated by multiple immunizations or localized deposition provide enhanced immunity. J Immunol 2017;198:2233–7. doi:10.4049/jimmunol. 1601367.
- [164.](#page-8-0) Mackay LK, Stock AT, JZ Ma et al. Long-lived epithelial immunity by tissue-resident memory T (TRM) cells in the absence of persisting local antigen presentation. Proc Natl Acad Sci U S A 2012;109:7037–42. doi:10.1073/pnas.1202288109.
- [165.](#page-8-0) Pallett LJ, Davies J, Colbeck EJ et al. IL-2high tissue-resident T cells in the human liver: sentinels for hepatotropic infection. J Exp Med 2017;214:1567–80.
- [166.](#page-8-0) Dumauthioz N, Labiano S, Romero P. Tumor resident memory T cells: new players in immune surveillance and therapy. Front Immunol 2018;9:2076. doi:10.3389/fimmu.2018. 02076.
- [167.](#page-8-0) Malik BT, Byrne KT, JL Vella et al. Resident memory T cells in the skin mediate durable immunity to melanoma. Sci Immunol 2017;2:eaam6346. doi:10.1126/sciimmunol.aam6346.
- [168.](#page-8-0) Milner JJ, Toma C, Yu B et al. Runx3 programs $CD8 + T$ cell residency in non-lymphoid tissues and tumours. Nature 2017;552:253–7.