

# Effectiveness of Maternal Influenza Vaccination in Peru PRIME Cohort

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**Background.** Few studies have examined influenza vaccine effectiveness (VE) among women during pregnancy in middle-income countries. We used data from a prospective cohort of women who were pregnant in Peru to estimate effectiveness of the 2018 Southern Hemisphere influenza vaccine.

**Methods.** Women at <28 weeks gestation were enrolled from 4 tertiary level hospitals in Lima, Peru at the start of the 2018 influenza season and followed until the end of their pregnancies. Participants had mid-turbinate nasal swabs collected and tested for influenza by reverse-transcription polymerase chain reaction (RT-PCR) with onset of  $\geq 1$  of myalgia, cough, runny nose or nasal congestion, sore throat, or difficulty breathing. Time-varying Cox proportional hazard regression models were used to estimate the risk of RT-PCR-confirmed influenza infection after adjusting for inverse probability treatment weight.

**Results.** We followed 1896 women for a median of 127 days (interquartile range [IQR], 86–174). Participants had a median age of 29 years (IQR, 24–34). Among the 1896 women, 49% were vaccinated with the 2018 influenza vaccine and 1039 (55%) developed influenza-like illness, 76 (7%) of whom had RT-PCR-confirmed influenza. Incidence rates of RT-PCR-confirmed influenza were 36.6 and 15.3 per 100 000 person-days among women who were unvaccinated and vaccinated, respectively. Adjusted influenza VE was 22% (95% confidence interval, –64.1% to 62.9%).

**Conclusions.** Participants vaccinated against influenza had more than 50% lower incidence of RT-PCR-confirmed influenza illness. Although the VE estimated through propensity weight-adjusted time-varying Cox regression did not reach statistical significance, our findings provide additional evidence about the value of maternal influenza vaccination in middle-income countries.

**Keywords.** influenza; maternal vaccination; Peru; pregnant; vaccine effectiveness.

Although influenza vaccines are recommended for women during pregnancy, little is known about their real-world effectiveness to guide immunization practice in low- and middle-income tropical countries. Individuals who are pregnant are at increased risk for influenza-associated hospitalization and may be at increased risk of late pregnancy loss and reduced birthweight of term babies [1–3]. Although there are studies showing that maternal vaccination during pregnancy provided protection to their infants [4, 5], there are still relatively few studies assessing the real-world effectiveness of influenza vaccines against influenza among women who are pregnant from low- and middle-income countries where most of the world's population lives [6].

After the 2009 influenza pandemic, the Peru Government introduced a policy in 2010 to offer influenza vaccination to women during pregnancy [7]. Vaccination is offered free of charge to individuals who are pregnant in the country. Despite free vaccination, there has been low uptake among these individuals [8]. Many studies have documented that healthcare provider (HCP) recommendations and HCP offers of influenza vaccination are strong motivators for women to receive influenza vaccine during pregnancy [9, 10]. Uncertainty about vaccine efficacy is one of the most commonly mentioned barriers to healthcare providers recommending influenza vaccine [11]. Providers are correct in asserting that there is a dearth of information about the real-world influenza vaccines effectiveness in low- and middle-income tropical countries in the Southern Hemisphere [6]. Information about influenza VE during pregnancy among women from middle-income countries might provide evidence to support HCP's recommendation of influenza vaccine to individuals who are pregnant and consequently increase influenza vaccine coverage.

Using data from a prospective cohort study of women that assessed the effect of influenza on pregnancy and perinatal

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outcomes [12, 13], we document influenza vaccination coverage and estimate VE among women who were pregnant during Peru's 2018 Southern Hemisphere influenza season [14]. In 2018, most influenza cases in Peru were caused by A(H1N1)pdm09 [15]. These viruses were antigenically similar to those in the 2018 World Health Organization (WHO)-recommended Southern Hemisphere influenza vaccine used in Peru (ie, A/Michigan/45/2015 (H1N1)pdm09-like virus, an A/Singapore/INF16H-16-0019/2016 (H3N2)-like virus, and a B/Phuket/3073/2013-like virus [16]).

## METHODS

### Study Design and Definitions

The Pregnancy and Influenza Multinational Epidemiologic (PRIME) study is a prospective, longitudinal cohort study of the incidence and impact of influenza virus infection among women during pregnancy in middle-income countries [12]. As part of the larger study, women aged  $\geq 18$  years, who were at  $< 28$  weeks gestation and had expected delivery dates  $\geq 8$  weeks after the start of the influenza season, were enrolled before or during Peru's Southern Hemisphere 2018 influenza season (ie, March 22, 2018) from prenatal clinics at 4 tertiary/referral level hospitals in Lima, Peru. To be eligible, individuals had to plan to remain in the study area for the study period, deliver at one of the study hospitals, and agree to be contacted twice a week during the study period for influenza surveillance purposes.

At enrollment, women completed a standardized interview to collect data on the following: sociodemographic characteristics; past medical and pregnancy history; and prenatal care for the current pregnancy. At the end of pregnancy, women were asked whether they received influenza vaccine during their pregnancy and if vaccinated, they were asked to provide the date of vaccination. Where available, medical records were used to verify influenza vaccination status of women who reported receiving influenza vaccination during the current influenza season. We verified vaccination status for 98% (910 of 929) of the women in the analytic sample who reported that they received the 2018 influenza vaccine. Throughout the follow-up period, participants were instructed to report any influenza-like symptoms (ie, new onset or sudden worsening of  $\geq 1$  of myalgia, cough, runny nose or nasal congestion, sore throat, or difficulty breathing) to study staff. In addition, participants were contacted twice weekly to ascertain whether they had influenza-like symptoms. Women with influenza-like symptoms had mid-turbinate nasal swabs collected by trained study staff. Local laboratories processed and tested the nasal swab specimens for influenza by real-time reverse-transcription polymerase chain reaction (RT-PCR) using Centers for Disease Control and Prevention (CDC)-approved protocols [13]. The real-time RT-PCR assays ascertained infection with influenza

A or B viruses, and subtyping was performed to identify influenza A subtype (A/H1N1 and A/H3N2) and influenza B lineage (B/Yamagata and B/Victoria). The influenza testing and subtyping were completed using primers, probes, and reagents provided by US CDC. Laboratories that tested the specimens for PRIME had completed and passed either WHO- or US CDC-approved proficiency panels for influenza RT-PCR testing within 1 year before starting testing for the PRIME study.

In the present analysis, we included participants in the Peru PRIME cohort who contributed follow up during the 2018 influenza season, defined as March 22, 2018–December 31, 2018, based on surveillance data [12]. Thus, follow-up time began at the start of the 2018 influenza season (March 22, 2018) or at enrollment, whichever came later. Follow-up time ended on the last day of the influenza season (December 31, 2018) or at the end of pregnancy, whichever occurred first. Influenza vaccination status was defined based on participant self-report. Participants were classified as vaccinated  $\geq 14$  days after reported receipt of the 2018 influenza vaccine. We considered vaccination status to be indeterminate if a participant tested positive for influenza less than 14 days after receipt of the current influenza vaccine. All participants who did not receive the 2018 influenza vaccine throughout the follow-up period were considered unvaccinated. Participants who were followed for less than 14 days after receipt of the 2018 influenza vaccine were considered unvaccinated until the day they received the vaccine. For these participants, we censored the person-time after receipt of the 2018 influenza vaccine.

### Statistical Analysis

Frequencies were calculated to describe the characteristics of participants by influenza vaccination status. We calculated incidence rate of RT-PCR-confirmed influenza illness overall and by vaccination status. Cox proportional hazard regression analysis with vaccination status as a time-varying covariate [17] and a timescale of days were used to estimate influenza VE against any type of RT-PCR-confirmed influenza illness and RT-PCR-confirmed influenza A (H1N1pdm09) illness separately. We censored person-time from the date of receiving the vaccine until 14 days after receipt of the influenza vaccine.

To control for any systematic differences between participants who got vaccinated and those who did not, we calculated the probability of vaccination based on potential confounding factors. We used the *TWANG* package in *R* to calculate propensity scores based on participants' age in years, gestational age, number of prenatal visits, number of persons in household, health insurance status, gestational diabetes, gestational hypertension, highest educational level, body mass index before the current pregnancy, smoking status, alcohol consumption during the current pregnancy, household income level, whether participants worked outside the home, and any underlying chronic condition [13]. Underlying chronic conditions

included human immunodeficiency virus, chronic respiratory conditions, chronic blood disorders, chronic endocrine disorders, chronic heart diseases, chronic neurological/neuromuscular disorders, and immunocompromised conditions (Table 1). An inverse probability treatment weight (IPTW) based on the propensity scores was calculated in SAS. The IPTW was adjusted in the Cox proportional hazard models.

To aid in comparison with other vaccine effectiveness studies that treated vaccination status as a fixed variable, we conducted

an additional Cox proportional hazard regression analysis with vaccination status as a fixed variable, that is, for those who were vaccinated, their follow-up period began from the day they enrolled if they qualified as vaccinated during enrollment by our definition or from the day they became vaccinated after enrollment; we did not account for time before being vaccinated.

As a sensitivity analysis to examine the effect of the timing of influenza vaccination among the study cohort, we conducted another time-varying Cox proportional hazard regression

**Table 1. Characteristics of Study Participants (n = 1896) PRI ME Study, 2018**

Variables	Total Sample	Unvaccinated	Vaccinated <sup>a</sup>	P Value
Number of prenatal visits (median IQR)	8 (5–10)	8 (5–10)	9 (6–11)	.00
Number of persons in household (median IQR)	3 (2–4)	3 (2–4)	3 (2–4)	.61
Gestational age in weeks at enrollment (median IQR)	20.1 (14–25.9)	20.4 (14–26)	20 (14.3–25.6)	.73
Prepregnancy BMI in kg/m <sup>2</sup> (median IQR)	24.7 (22.2–27.8)	24.7 (22.2–27.7)	24.7 (22.2–27.9)	.58
Age (median IQR)	29 (24–34)	29 (24–34)	28 (24–34)	.01
Age Group, n (%)				.02
18 to 34	1466 (77.3)	726 (75.1)	740 (79.7)	
≥35	430 (22.7)	241 (24.8)	189 (20.3)	
Education, n (%)				.99
Up to secondary	1008 (53.2)	514 (53.2)	494 (53.2)	
Postsecondary/University	888 (46.8)	453 (46.9)	435 (46.8)	
Marital Status, n (%)				.90
Married/living with partner	1551 (81.8)	790 (81.7)	761 (81.9)	
Single/divorced/separated	345 (18.2)	177 (18.3)	168 (18.1)	
Any underlying chronic condition, n (%)	546 (28.8)	284 (29.4)	262 (28.2)	.57
HIV	9 (0.5)	7 (0.7)	2 (0.2)	.11
Chronic respiratory condition	82 (4.3)	41 (4.2)	41 (4.4)	.85
Chronic blood condition	48 (2.5)	16 (1.7)	32 (3.4)	.01
Chronic endocrine condition	141 (7.4)	72 (7.5)	69 (7.4)	.99
Chronic heart condition	49 (2.6)	31 (3.2)	18 (1.9)	.08
Any other chronic condition <sup>b</sup>	325 (17.1)	168 (17.4)	157 (16.9)	.78
Gestational Diabetes, n (%)				.43
No	1771 (93.4)	899 (93.0)	872 (93.9)	
Yes	125 (6.6)	68 (7.0)	57 (6.1)	
Gestational Hypertension, n (%)				.41
No	1796 (94.7)	912 (94.3)	884 (95.2)	
Yes	100 (5.3)	55 (5.7)	45 (4.8)	
Has Health Insurance, n (%)				.01
No	980 (51.9)	472 (49.1)	508 (54.9)	
Yes	907 (48.1)	490 (51.0)	417 (45.1)	
Consumed Alcohol During Current Pregnancy, n (%)				.05
No	1248 (65.8)	657 (67.9)	591 (63.6)	
Yes	648 (34.2)	310 (32.1)	338 (36.4)	
Smoked During Current Pregnancy, n (%)				.30
No	1800 (94.9)	923 (95.5)	877 (94.4)	
Yes	96 (5.1)	44 (4.5)	52 (5.6)	
Monthly per Capita Income <sup>c</sup>				.81
Below poverty line	1032 (54.4)	529 (54.7)	503 (54.1)	
Above poverty line	864 (45.6)	438 (45.3)	426 (45.9)	
Total, n (%)	1896	967 (51.0)	929 (49.0)	

Abbreviation: BMI, body mass index; HIV, human immunodeficiency virus; IQR, interquartile range; PRIME, Pregnancy and Influenza Multinational Epidemiologic.

<sup>a</sup>We classified participants as vaccinated ≥14 days after they had received the 2018 influenza vaccine.

<sup>b</sup>Examples include chronic kidney conditions, cancer, chronic liver conditions, neurologic/neuromuscular disorders, and immunosuppressive disorders.

<sup>c</sup>Poverty line is defined as monthly income <338 soles. P values were obtained from  $\chi^2$  tests for categorical variables and analogous univariate analysis of variance for continuous variables. P values compare participants who received the influenza vaccine and those who did not receive the vaccine.

analysis with the start of the follow up set at when the first participants became vaccinated (April 27, 2018). In all analyses, a 2-tailed statistical significance level of 0.05 was set a priori. All analyses, except the propensity score calculation that was conducted in *R* (*R* version 4.1.2), were conducted using SAS version 9.4 (SAS Institute, Cary, NC, USA).

### Patient Consent Statement

The study was approved by the Naval Medical Research Unit 6 IRB (Protocol NAMRU6.2016.0015) in compliance with all applicable Federal regulations governing the protection of human subjects and by the Abt Associates Institutional Review Board (IRB). The IRB of the US Centers for Disease Control and Prevention relied on the review of the Abt Associates IRB. All participants provided written informed consent.

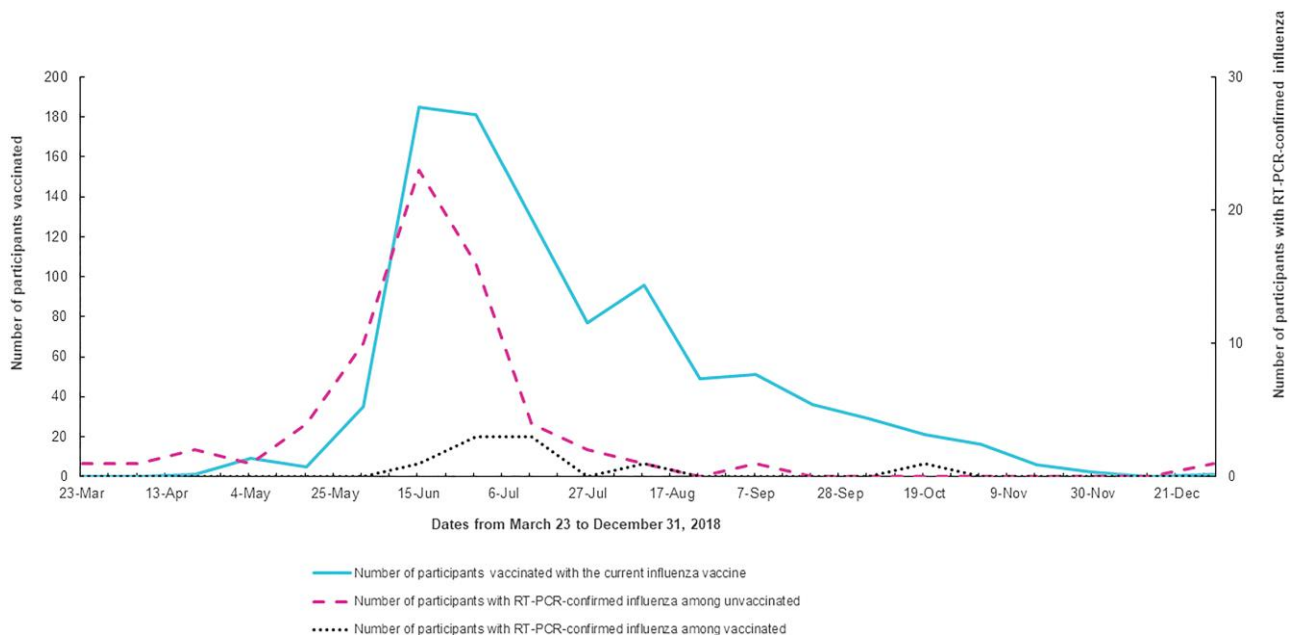
## RESULTS

We enrolled 1967 women who were pregnant and excluded 63 (3%) because they withdrew from the study before the start of the influenza season or were missing data for the analysis. Eight participants tested positive within 14 days of receiving the vaccine and were excluded from the analysis because their vaccination status was indeterminate. Our final analytic sample was 1896 women aged 18 to 46 years (median, 29; interquartile range [IQR], 24–34); 6.6% had gestational diabetes, 5.3% had gestational hypertension, 34.2% consumed alcohol during the current pregnancy, 5.1% smoked cigarettes during the current

pregnancy, 46.8% had postsecondary school education, and 28.8% had any underlying medical condition. Overall, 49.0% ( $n = 929$ ) met our definition for vaccination ( $\geq 14$  days after reported receipt of the 2018 influenza vaccine) (Table 1).

Of the 1896 participants, 1039 (54.8%) developed influenza-like illness, 76 (7%) of whom had RT-PCR-confirmed influenza during the follow up; 69 were caused by influenza A (66 A/H1N1, 3 A/H3N2) and 7 were caused by influenza B (5 B/Yamagata, 2 B/Victoria). The most reported symptoms among those with RT-PCR-confirmed influenza were runny nose (84.2%), sore throat (79.0%), cough (77.6%), myalgia (60.5%), and difficulty in breathing (18.4%) (Table 1). Approximately 17% of participants had been vaccinated with the current influenza vaccine during March 22 through June 22, 2018, (ie, the first 3 months of the season) when 76% (58 of 76) of the RT-PCR-confirmed influenza illnesses were identified (Figure 1).

Participants contributed 183 199 unvaccinated days (median, 91; IQR, 54–138) and 58 869 vaccinated days (median, 61; IQR, 34–93). The overall incidence rate of RT-PCR-confirmed influenza illness among study participants during the observation period was 31.4 per 100 000 person-days. The incidence rate among participants who were unvaccinated was 36.6 per 100 000 person-days, and among participants who were vaccinated the incidence rate was 15.3 per 100 000 person-days. Adjusting for IPTW, the VE against any type of influenza A and B in the cohort was 22% (95% confidence interval [CI], –64.1% to 62.9%). The VE against H1N1pdm09, the



**Figure 1.** Timing of influenza vaccination and laboratory-confirmed influenza illness among pregnant women in Lima during 2018.

Note: 74% of reverse-transcription polymerase chain reaction (RT-PCR)-confirmed influenza illnesses in the cohort occurred between March 23 through June 22. During this period, only 17% of the participants (36% of those who were vaccinated during the follow up) had been vaccinated with the current influenza vaccine.

**Table 2. Estimated Vaccine Effectiveness Among Pregnant Women in Peru With Vaccination Status as a Time-Varying Covariate—PRIME Study, 2018**

Variable	Analysis With Follow up From Start of influenza Season Verified and Unverified Vaccination Status			Sensitivity Analysis With Follow up From When the First Participant Became Vaccinated		
	Total	Unvaccinated	Vaccinated <sup>a</sup>	Total	Unvaccinated	Vaccinated <sup>a</sup>
Person-days (Median, IQR)	242 068 (127, 86–174)	183 199 (91, 54–138)	58 869 (61, 34–93)	217 713 (112, 74–158)	158 844 (76, 42–124)	58 869 (61, 34–93)
Laboratory-confirmed influenza cases (n)	76	67	9	72	63	9
Incidence rate per 100 000 person-days	31.4	36.6	15.3	33.1	39.7	15.3
Adjusted VE* against all types of influenza (95% CI)	N/A	Ref	22.0% (–64.1% to 62.9%)	N/A	Ref	20.1% (–67.6% to 61.9%)
Influenza A/H1N1 cases	66	59	7	65	58	7
Adjusted VE* against only A/H1N1 (95% CI)	N/A	Ref	15.9% (–87.1% to 62.2%)	N/A	Ref	15.9% (–87.4% to 62.3%)

Abbreviation: CI, confidence interval; IQR, interquartile range; N/A, not applicable; PRIME, Pregnancy and Influenza Multinational Epidemiologic; Ref, referent category; VE, vaccine effectiveness.

<sup>a</sup>We classified participants as vaccinated 14 days after they had received the 2018 influenza vaccine.

\*Adjusted for inverse probability treatment weight.

predominant influenza A subtyped that circulated in the country in 2018, was 15.9% (95% CI, –87.1% to 62.2%). In a sensitivity analysis with follow-up time starting on the date the first participant in our cohort became vaccinated, the adjusted VE was 20.1% (95% CI, –67.6% to 67.9%), which is similar to the VE we observed when follow up started on the first day of influenza season (Table 2). Conversely, when we treated vaccination status as a fixed variable, we observed a VE of 80.0% (95% CI, 59.7%–90.1%).

## DISCUSSION

### Summary of Key Findings

During 2018, the incidence of RT-PCR-confirmed influenza illnesses among participants who were unvaccinated in the Peru cohort was more than twice the incidence of those who were vaccinated, and the associated time-varying Cox regression VE point estimate was 22%. Although this Cox regression VE estimate was low and statistically not significant, the point estimate was similar in magnitude to VE reported elsewhere [4, 18]. For example, our estimate is similar to the US 2018 general population test-negative design VE of 29% (95% CI, 21%–35%) [19].

When we treated vaccination status as a fixed variable to aid comparison of our findings with other studies, we observed a VE of 80%, which was comparable to VE estimated through similar models among women who were pregnant in Greece during the 2018–2019 Northern Hemisphere influenza season (ie, 72%) [20]. Such findings are also similar to Australia’s 2018 Southern Hemisphere VE of 68% in preventing general population primary care visit attributable to influenza illness and 58% against influenza-associated hospitalization [21]. The difference in point estimates is likely driven by differences in the underlying assumptions in the time-varying and fixed variable Cox regression

models, which are especially apparent when sample size is small; additional studies to identify optimal approaches to analyze VE in longitudinal cohorts would be useful.

The benefits of influenza vaccines accrue as more individuals become vaccinated and are associated with illness prevention and attenuation [22], decreased presenteeism and absenteeism, and direct and indirect economic benefits [23–26]. Besides effectively preventing influenza illness and illness complications among women [4, 18], influenza vaccination during pregnancy has an added benefit of protecting against adverse birth outcomes, including preterm birth, low birthweight, and death. Using PRIME data from 3 middle-income countries, we previously reported that antenatal influenza infection was associated with late pregnancy loss and a reduction in mean birthweight [13]. Therefore, preventing antenatal influenza may improve birth outcomes. In addition, a meta-analysis of 2 randomized controlled trials (RCTs) from South Africa and Nepal suggests that maternal influenza vaccination was 34% effective in preventing laboratory-confirmed influenza in infants [4]. Pooled data from RCTs conducted in Mali, Nepal, and South Africa indicated that maternal vaccination was 42% and 35% efficacious against laboratory-confirmed influenza in women who were pregnant and infants aged up to 6 months, respectively [27]. Thus, the emerging evidence from low- and middle-income countries suggests the benefits of maternal influenza vaccination to women who are pregnant and their unborn children.

The influenza vaccine coverage among cohort participants was higher than previously recorded [8]. Despite this increased uptake, approximately half of our cohort did not receive influenza vaccines, which are offered free-of-charge in Peru prenatal clinics. Furthermore, a substantial proportion of individuals who were vaccinated did not receive the vaccine before their highest risk period. National data reported to the Pan American Health Organization (PAHO) showed that influenza



test positivity in Peru peaked during the first 3 months of the 2018 influenza season [28]. In the present study, we observed that only one third of participants had been vaccinated during the first 3 months of the influenza season when more than three quarters of RT-PCR-confirmed influenza illnesses occurred (Figure 1). These findings suggest the potential value of a post-introduction evaluation of influenza vaccines to optimize coverage and timing of vaccination [1, 29].

Our results add to the limited evidence about the effectiveness of influenza vaccines in middle-income tropical countries in the Southern Hemisphere. Although the VE estimate from the time-varying covariate Cox regression analysis was not statistically significant, it was similar in magnitude to previously published VE studies [4, 18, 19] that do suggest that vaccination during pregnancy is an effective health intervention. The findings have significant implication for a continued promotion of free-of-charge vaccination among women who are pregnant in countries like Peru to prevent influenza illnesses and their complications among these individuals and unborn children. Our findings could also trigger a postintroduction evaluation to optimize coverage and the timing of vaccination such that more women who are pregnant are protected against influenza before the start of the season. Finally, the results from our study could be used as inputs in cost-effectiveness analysis to guide policy decision to expand and/or sustain maternal influenza vaccination investments and programs.

#### Strengths and Limitations of the Study

This study has many strengths. Twice a week, study staff actively asked cohort participants about influenza-like symptoms thus minimizing the probability of missing illness and misclassification of illness status. Furthermore, the final analysis accounted for the timing of observation of each participant to account for the changing influenza infection risk level during the season. All findings are presented according to STROBE guidelines for observational studies. Despite these strengths, the following are important limitations of the study. The relatively low incidence of RT-PCR-confirmed influenza illness in our study cohort might have contributed to the low and nonsignificant VE we observed through time-varying regression. Our findings may not be representative of women with pregnancy throughout Peru because participants were exclusively from the capital city. In addition, influenza vaccination may prevent severe outcomes of influenza infection; however, our analysis did not assess severity of disease among vaccinated versus unvaccinated due to small sample. Finally, because circulating influenza viruses and vaccine viruses may change over time, the VE reported in this study may not represent VE in other influenza seasons. Ongoing VE monitoring, for example through the PAHO multicountry Network for the Evaluation of Vaccine Effectiveness in Latin American and the Caribbean—influenza (REVELAC-i), are useful program evaluations for subregional countries using novel or locally

sourced Southern Hemisphere influenza vaccine products that are understudied in the Northern Hemisphere [30].

## CONCLUSIONS

Although approximately half of the women in our cohort were vaccinated with the 2018 influenza vaccine, only 1 in 3 of those individuals were vaccinated before the main epidemic. Those vaccinated had 50% lower incidence of subsequent influenza illness. The time-varying Cox regression VE was not statistically significant; however, the fixed variable VE estimate was high and statistically significant. Taken together, our findings reaffirm the Government of Peru's decision to invest in free-of-charge influenza vaccines to protect individuals who are pregnant from influenza illness. The findings could be used in risk communication messages to improve health literacy about the value of vaccination among providers and target groups such as individuals who are pregnant to increase and sustain influenza vaccination coverage. Preventing influenza during pregnancy is especially useful because it prevents illness and illness complications among mothers and their unborn babies [31].

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