

Intravenous functional gene transfer throughout the brain of non-human primates using AAV

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Abstract

Adeno-associated viruses (AAVs) promise robust gene delivery to the brain through non-invasive, intravenous delivery. However, unlike in rodents, few neurotropic AAVs efficiently cross the blood-brain barrier in non-human primates (NHPs). Here we describe AAV.CAP-Mac, an engineered variant identified by screening in adult marmosets and newborn macaques with improved efficiency in the brain of multiple NHP species: marmoset, rhesus macaque, and green monkey. CAP-Mac is neuron-biased in infant Old World primates, exhibits broad tropism in adult rhesus macaques, and is vasculature-biased in adult marmosets. We demonstrate applications of a single, intravenous dose of CAP-Mac to deliver (1) functional GCaMP for *ex vivo* calcium imaging across multiple brain areas, and (2) a cocktail of fluorescent reporters for Brainbow-like labeling throughout the macaque brain, circumventing the need for germline manipulations in Old World primates. Given its capabilities for systemic gene transfer in NHPs, CAP-Mac promises to help unlock non-invasive access to the brain.

38 Main Text

39 Adeno-associated viruses (AAVs) were first identified as adenoviral contaminants in the 1960s¹⁻³. In the
40 nearly four decades following the earliest descriptions of recombinant AAV vectors^{4,5}, hundreds of clinical trials
41 have established that AAVs have the potential to be used safely for long-term expression of genetic payloads<sup>6-
42 9</sup>. There is, however, renewed concern about the safety of high-dose systemic AAV delivery following reports of
43 adverse hepatotoxicity^{10,11} and several patient deaths^{12,13}. The low therapeutic index of systemically-
44 administered natural AAV serotypes necessitates high doses, particularly for the brain, highlighting the need for
45 more efficient—and thus safer—AAVs. In recent years, the gene therapy field has focused on engineering novel
46 capsids to address this problem and expand the window of therapeutic opportunity. In parallel, the neuroscience
47 community has engineered several AAV variants that can traverse the restrictive blood-brain barrier (BBB). AAVs
48 are now commonly used to systemically deliver genetically-encoded tools to the mouse brain¹⁴⁻¹⁷, such as
49 GCaMP to detect intracellular calcium gradients¹⁸.

50 The engineering of neurotropic AAV variants in rodents has been catalyzed by advances in protein
51 engineering, sequencing technologies, and understanding of AAV structure and function. For example, some of
52 the first variants to efficiently traverse the BBB after intravenous (IV) administration in mice (AAV-PHP.B/eB)
53 were engineered using Cre recombinase-based AAV targeted evolution (CREATE), which leverages Cre-
54 transgenic mouse lines to impose additional selective pressure during library selections^{14,15}. Implementing next-
55 generation sequencing (NGS) and mutagenesis at different locations on the capsid surface has since led to
56 variants with enhanced neurotropic properties, such as the ability to cross the BBB across different mouse
57 strains, decreased transduction in non-CNS tissue, and biased tropism towards cell types in the brain^{15-17,19-21}.

58 While AAV capsid engineering has enabled intravenous gene transfer to the rodent central nervous
59 system (CNS), tools for non-human primates (NHPs) are sparse. Some capsids selected in rodents translate to
60 the common marmoset¹⁷ (*Callithrix jacchus*), a New World primate species, but few translate to Old World
61 primates, which are more closely evolutionarily related to humans and are well-established animal models of
62 human cognition, neurodevelopment, neuroanatomy, and physiology²²⁻²⁴. Notably, despite its success in mice,
63 the BBB-crossing tropism of AAV-PHP.B does not translate to the rhesus macaque (*Macaca mulatta*)^{25,26}. In lieu
64 of a vector for systemic gene transfer in macaques, researchers and clinicians resort to direct intraparenchymal
65 injections to circumvent the BBB. However, due to limited spatial distribution, AAVs must typically be injected in
66 multiple locations, invasively penetrating the brain parenchyma each time,²⁷⁻³³ with each surgery requiring
67 resource-intensive pre-planning and real-time monitoring of infusions^{28-31,34-39}. Recently, several groups have
68 utilized intrathecal routes of administration via lumbar puncture (LP)⁴⁰ or intra-cisterna magna (ICM)⁴¹ injection.
69 However, these routes of administration have limited efficacy in the brain⁴¹⁻⁴⁵, and some groups report adverse
70 transduction in non-brain tissue, especially in the dorsal root ganglia^{11,45-47}. To enable novel research in NHP
71 animal models and for greater therapeutic translatability, it is imperative to advance AAV development for
72 systemic gene transfer to the brains of Old World primates such as the macaque.

73 Here, we describe AAV.CAP-Mac, an engineered AAV9 variant that efficiently targets the CNS in both
74 New World and Old World monkeys. CAP-Mac is biased towards neurons in infant Old World primates and
75 vasculature in adult marmosets, making it the first vector described for systemic gene-delivery to vasculature in
76 NHPs, and demonstrates significant improvement over AAV9 in adult rhesus macaque tissue (*ex vivo* and *in
77 vivo*). CAP-Mac efficiently transduces neurons in the brains of at least two infant Old World primate species, the
78 rhesus macaque and the green monkey (*Chlorocebus sabaeus*), achieving broader CNS distribution via IV than
79 intrathecal administration^{45,48}. Furthermore, CAP-Mac targets neuronal cells in the CNS more effectively than its
80 parent AAV9. Demonstrating CAP-Mac's immediate research utility, we capitalized on its neuronal bias to
81 express (1) functional GCaMP for *ex vivo* two-photon (2P) calcium imaging and (2) a cocktail of fluorescent
82 reporters for Brainbow-like^{49,50}, multicolor labeling and morphological tracing in the rhesus macaque brain (Fig
83 1a). By characterizing CAP-Mac in multiple NHP species, we aim to both expand the AAV toolbox available to
84 researchers interested in studying the Old World primate CNS and highlight the utility of engineering AAVs for
85 increased translatability in higher order mammals.

86 Results

87 **Using multiple non-human primate species to identify brain-enriched AAV variants**

88 Our overarching goal was to develop an AAV variant efficacious in NHPs after systemic administration.
89 To do that, we used a multi-species screening and characterization strategy to select for variants with enhanced
90 BBB-crossing tropism in NHPs (Fig. 1b). Briefly, we constructed a library as previously described by inserting
91 7mer sequences after Q588 in the structural *cap* gene of AAV9¹⁴⁻¹⁶ (Supplementary Fig. 1a-c). We initially
92 screened this library in 2 rounds of selection in the adult marmoset (2 marmosets per round; 2×10^{12} vector
93 genomes [vg] of viral library per marmoset via IV administration), where we identified 33,314 unique variants
94 present in the brain.

95 In the past, we used our CREATE methodology to increase stringency during selections by only
96 recovering variants that underwent cis-Cre-Lox mediated inversion^{14,16}. However, since Cre-transgenic
97 marmosets are not yet available, we pursued other strategies to compensate for the loss of this additional
98 selective pressure. We previously demonstrated the utility of clustering capsid variants based on sequence
99 similarity to generate network graphs as an aid in choosing variants for further characterization¹⁶. Briefly, we
100 filtered variants based on user-defined performance criteria and clustered high-performing variants into network
101 graphs (Supplementary Fig. 1d-g), wherein each node is a capsid variant, and each edge represents shared
102 sequence identity between related variants (i.e., the pairwise reverse Hamming distance). We reasoned that this
103 clustering analysis would let us efficiently sample variants from our selections while (1) limiting the number of
104 animals used for individual characterization and (2) partially overcoming the absence of the CREATE selective
105 pressure. Based on these network graphs, we chose two variants out of the 33,314 recovered from the marmoset
106 for further characterization: AAV.CAP-Mac (CAP-Mac) and AAV.CAP-C2 (CAP-C2).

107 Following library selection in the adult marmoset, we used capsid-pool studies in newborn rhesus
108 macaques to assess the translatability of several engineered AAVs to Old World primates. We pooled 8 capsid
109 variants: AAV9, CAP-Mac, CAP-C2, and five other previously-engineered AAVs^{15,17,51}. Each variant packaged a
110 single-stranded human frataxin transgene fused to a hemagglutinin (HA) epitope tag under control of the
111 ubiquitous CAG promoter (ssCAG-hFXN-HA) with a unique molecular barcode in the 3' UTR. This construct
112 design allowed us to assess protein expression of the virus pool via immunostaining of the HA epitope tag while
113 also quantifying the relative enrichment of each unique barcode in DNA and RNA recovered from tissue. We
114 administered 1×10^{14} vg/kg of the virus pool to 2 newborn rhesus macaques via the saphenous vein and, at 4
115 weeks post-injection, observed robust expression of the HA epitope throughout the brain (Fig. 2a). In the cortex
116 and hippocampus, we observed single cells with clear projections that resemble the apical dendrites of pyramidal
117 cells. Furthermore, we saw increased HA epitope expression in the thalamus and dorsal striatum (Fig. 2a, insets).
118 When we quantified the relative enrichment of each barcode in the brain, we found that the CAP-Mac-delivered
119 barcode was 9 and 6 times more abundant than the AAV9-delivered barcode in the viral DNA and total RNA,
120 respectively (Fig. 2b). The CAP-C2-delivered barcode was approximately 4-fold enriched relative to the AAV9
121 barcode in both DNA and RNA extracts. Interestingly, the viral DNA levels of all other variants, which were
122 originally selected in mice, were on par with AAV9. In the liver, CAP-Mac and CAP-C2 were negatively enriched,
123 as were some of the previously-engineered controls known to be de-targeted from the liver in rodents¹⁷ (Fig. 2c).

124 **Characterization in newborn macaques and infant green monkeys: AAV.CAP-Mac** 125 **efficiently transduces neurons in the CNS**

126 Because CAP-Mac outperformed AAV9 and other engineered variants in our pool study, we moved
127 forward with single characterization in two species of Old World primates. In the newborn rhesus macaque, we
128 intravenously administered a cocktail of CAP-Mac vectors (5×10^{13} vg/kg total dose via the saphenous vein)
129 packaging 3 different fluorescent reporters under control of the CAG promoter. Fluorescent protein (XFP)
130 expression was observed in multiple coronal slices along the anterior-posterior axis (Fig. 3a) and was robust in
131 all four lobes of cortex and in subcortical areas like the dorsal striatum and hippocampus. While expression was
132 particularly strong in several nuclei of the thalamus (e.g., lateral and medial nuclei, lateral geniculate nucleus,

pulvinar nucleus), we noted that expression was not found in all brain regions (e.g. the amygdala). Even with a ubiquitous promoter, we observed expression primarily in NeuN+ neurons (mean [XFP+NeuN+]/XFP+ between 47-60% across sampled brain regions) and rarely in S100 β + astrocytes (mean [XFP+S100 β]/XFP+ between 0-3%; Fig. 3b). We also attempted to deliver CAP-Mac via LP administration in newborn rhesus macaques, but found that efficiency throughout the brain was noticeably decreased compared to IV administration (Supplementary Fig. 2). Expression was especially low in subcortical structures, as reported previously⁴¹⁻⁴⁵.

AAV variants engineered for BBB-crossing in mice are known to have strain-dependent behavior^{16,26,52-54}. Therefore, in parallel with the rhesus macaque experiments, we characterized CAP-Mac in green monkeys, another Old World primate species. We administered either AAV9 or CAP-Mac packaging green fluorescent protein under control of CAG (ssCAG-eGFP) to individual 8-month-old monkeys (7.5 x 10¹³ vg/kg via the saphenous vein). In the CAP-Mac-dosed green monkeys, we saw broad and strong expression in cortex and various subcortical regions, including the putamen (Fig. 3c), consistent with the capsid-pool (Fig. 2a) and rhesus macaque (Fig. 3a and b) results. We saw particularly strong eGFP expression throughout the cerebellum in the CAP-Mac-dosed green monkey. Except in the thalamus, CAP-Mac eGFP expression was again found primarily in neurons (mean [GFP+NeuN+]/GFP+ between 33-51%) and not astrocytes (mean [GFP+ S100 β]/GFP+ between 3-21%; Fig. 3d). In the thalamus, 42% of GFP+ cells were neurons and 51% astrocytes. In AAV9-dosed monkeys, AAV9 eGFP expression was primarily biased towards astrocytes in cortex (mean [GFP+S100 β]/GFP+ between 23-59%) with low neuronal transduction (mean [GFP+NeuN+]/GFP+ between 2-10%; Fig. 3e), which is consistent with other reports^{41,45,55,56}. Notably, recovered CAP-Mac transgenes were more abundant throughout the brain compared to AAV9, suggesting overall higher brain penetrance of CAP-Mac (Fig. 3f and Supplementary Fig. 3a). Interestingly, the cerebellum contained the fewest vector genomes per microgram of DNA in both CAP-Mac monkeys despite strong eGFP expression, most likely due to the high density of cells and processes within the cerebellum^{57,58}. In most non-brain tissue, eGFP biodistribution and expression was comparable between CAP-Mac- and AAV9-treated animals (Supplementary Fig. 3). It should be noted that the cell-type tropism differences between CAP-Mac and AAV9 in the brain may apply to non-brain tissue as well, with each vector transducing distinct cell types. Even in highly homogenous cell populations, there is significant viral infection variability⁵⁹⁻⁶¹, so measuring AAV genomes in bulk may not fully reflect capsid penetrance in tissue across variants and cell types.

Experimental utility of CAP-Mac to study the macaque brain

The NIH BRAIN initiative emphasizes the priority of developing novel tools for genetic modulation in NHPs to inform further understanding of the human brain⁶². Accordingly, we explored if we could leverage CAP-Mac's neuronal tropism in newborn macaques to deliver genetically-encoded reporters to interrogate the brain. First, we tested whether CAP-Mac can be used as a non-invasive method to define neuronal morphology. Having administered a cocktail of 3 CAP-Mac vectors packaging different fluorescent proteins (Fig. 4a), we attempted Brainbow-like labeling^{15,49,50} in an Old World primate. We observed widespread expression of all 3 fluorescent proteins in cerebellum, cortex, and the lateral geniculate nucleus of the thalamus (Fig. 4b-d). In the cerebellum and thalamus, we observed a high density of transduced cells, and the highest proportion of co-localization of 2 or 3 fluorescent proteins. However, co-localization of multiple fluorescent proteins was rare, suggesting that co-infection was uncommon after systemic administration. With broad and robust expression of fluorescent proteins throughout the brain, we were able to assemble morphological reconstructions of medium spiny neurons (Fig. 4e) and cortical pyramidal cells (Fig. 4f).

In a second set of experiments, we sought to use CAP-Mac to express functional GCaMP throughout the CNS of infant macaques (Fig. 4g). Given the experimental complexity and limited accessibility of NHPs, when designing our GCaMP experiments, we performed initial cargo screening in mice. We therefore first characterized CAP-Mac in three mouse strains. We found that the neuronal bias of CAP-Mac extended to mice when delivered to the adult brain through ICV (Supplementary Fig. 5a) but not IV administration, where it primarily transduced cells with vasculature morphology (Supplementary Fig. 5b), with no apparent differences between the three mouse strains. We also found that in P0 C57BL/6J mice, IV-administered CAP-Mac was expressed in

181 various cell types in the brain, including neurons, astrocytes, and vasculature (Supplementary Fig. 5c). Given
182 the strong neuronal tropism of CAP-Mac following ICV administration, we used this method to screen two genetic
183 cargos (either one-component or two-component vectors) in mice prior to applying them to NHPs
184 (Supplementary Fig. 5d-g). Given our results from this cargo selection in mice, we moved forward with a one-
185 component system using the CAG promoter.

186 We intravenously delivered ssCAG-GCaMP8s to newborn macaques (3×10^{13} vg/kg via the saphenous
187 vein) and after 4-6 weeks of expression, we removed tissue for *ex vivo* 2P imaging. In the hippocampus,
188 thalamus, and cortex we successfully recorded field potential-evoked calcium gradients in GCaMP-expressing
189 cells (Fig. 4h). Cells were responsive to restimulation throughout the experiment and, importantly, the mean peak
190 $\Delta F/F$ of GCaMP signal increased with increases in number of field potential pulses (Supplementary Fig. 4a).
191 Cellular calcium dynamics differed across the four sampled brain regions (Supplementary Fig. 4b-e). Consistent
192 with our previous profiling, we saw GCaMP expression primarily in cell types with neuronal morphology
193 throughout the brain (Fig. 4i).

194 **Human cultured neurons: AAV.CAP-Mac strongly transduces human neurons** 195 **compared to AAV9**

196 Given the efficacy of CAP-Mac in penetrating the brain of infant Old World primates and motivated by our
197 observation that CAP-Mac primarily transduces neurons, we wanted to test whether CAP-Mac offered any
198 improvement over its parent capsid, AAV9, in transducing human neurons. We differentiated cultured human-
199 derived induced pluripotent stem cells (iPSCs) into mature neurons (Fig. 5a) and incubated them with CAP-Mac
200 or AAV9 packaging ssCAG-eGFP at doses ranging from 0 vg/cell to 10^6 vg/cell. We found that eGFP expression
201 was noticeably increased in CAP-Mac-administered cultures compared to AAV9-administered cultures (Fig. 5b).
202 AAV9 transduction achieved an efficiency of $EC_{50}=10^{4.68}$ vg/cell, while CAP-Mac achieved $EC_{50}=10^{3.03}$ vg/cell
203 (Fig. 5c), a 45-fold increase in potency ($P=0.0023$ using two-tailed Welch's t-test). Average per-cell eGFP
204 expression measured across transduced cells fit a biphasic step function, with CAP-Mac reaching the first
205 plateau at a dose roughly two orders of magnitude lower than AAV9 (Fig. 5d). Overall, the increased potency of
206 CAP-Mac in transducing mature human neurons *in vitro* is consistent with the neuronal tropism we observed in
207 infant Old World primates, suggesting a similar mechanism of neuronal transduction across species.

208 **Adult non-human primate tissue: an improved vector compared to AAV9**

209 Infant NHPs offer several logistical advantages for AAV characterization. For instance, they are more
210 likely to be seronegative for neutralizing AAV antibodies, and their smaller body weight requires less vector to
211 be produced for a given dose. While the mammalian BBB is fully formed by birth—including intact tight junctions
212 which give rise to the BBB's unique functionality to limit passive molecular transport into the brain—dynamic
213 molecular and cellular processes occurring during development may make the BBB more permissive⁶³⁻⁶⁶. We
214 therefore wanted to characterize CAP-Mac's tropism in adult macaque to determine tropism differences across
215 developmental stages. To further de-risk our characterization, we first chose to test CAP-Mac in adult rhesus
216 macaque slices *ex vivo* (Fig. 6a). In the gray matter of cultured cortical slices, cargo delivered by CAP-Mac, but
217 not AAV9, co-localized with NeuN+ cells, consistent with our previous results (Fig. 6b). Unexpectedly, only 9%
218 as many CAP-Mac viral genomes were recovered as AAV9 genomes, but 3.6-fold more viral transcripts were
219 recovered from CAP-Mac-treated slices than from AAV9-treated slices (Fig. 6c).

220 While informative, *ex vivo* characterization does not assess BBB penetration, so we next tested CAP-
221 Mac in adult macaques *in vivo*. We injected two adult rhesus macaques with the same AAV pool that we used
222 in infants and found that CAP-Mac-delivered genomes were 13-fold more abundant in the brain than AAV9 (Fig.
223 6d). Again, the variants originally selected in mice were all less efficient than AAV9, but CAP-C2 was 1.2-fold
224 more efficient than AAV9. To further assess protein expression, we injected CAP-Mac packaging CAG-eGFP (1
225 $\times 10^{13}$ vg/kg total dose via the saphenous vein) into a 17-year-old adult rhesus macaque (Fig. 6e). At the protein
226 level, we observed CAP-Mac-delivered eGFP expression (visualized via eGFP antibody amplification) in parts
227 of the cortex and thalamus, while eGFP expression was absent in other regions of the brain.

228 Finally, since CAP-Mac was originally identified using *in vivo* selections in the adult common marmoset,
229 we also wanted to characterize the vector in the selection species. As in the adult macaque experiment, we
230 injected CAP-Mac and AAV9 into adult marmosets (3.8 and 5.8 years old). To our surprise, we found that the
231 tropism of CAP-Mac in adult marmoset was biased primarily towards the GLUT1+ vasculature (Supplementary
232 Fig. 6), consistent with our results in adult mice.

233 Discussion

234 Here we describe AAV.CAP-Mac, an engineered AAV9 variant with increased efficiency for brain-wide
235 transgene expression in multiple NHP species.

236 By comprehensively characterizing CAP-Mac in multiple rodent strains and NHP species, across ages
237 and administration routes, we found that CAP-Mac tropism varies depending on species, developmental state,
238 and route of administration (Supplementary Table 5). This is not surprising given the heterogeneity of the BBB
239 across species and populations⁶⁷⁻⁶⁹, a challenge noted in other AAV engineering efforts⁷⁰⁻⁷². Performing such a
240 comprehensive characterization of CAP-Mac in multiple contexts was beneficial for two reasons. First, we
241 discovered that CAP-Mac was primarily biased towards the brain endothelium in the adult marmoset, to our
242 knowledge the first description of a systemic vector that targets the vasculature in adult marmosets. Second, by
243 testing alternative routes of administration in mice, we found that CAP-Mac tropism is shifted towards neurons
244 after ICV administration, mirroring the tropism in newborn macaques and giving us a method to assess
245 expression and functional activity of GCaMP configurations before applying them to NHPs (Supplementary Fig.
246 5). In lieu of a cross-species capsid with conserved tropism and efficiency in rodents and NHPs, this approach
247 can be a valuable tool for users to validate capsid-cargo combinations in mice prior to use in NHPs.

248 *In vivo* AAV capsid selections have been primarily conducted in mice, in part due to the utility of Cre-
249 transgenic mouse lines to increase selective pressure, which can yield neurotropic capsids in as few as two
250 rounds of selection^{14,16,17}. However, these engineered variants have largely failed to translate to NHPs^{25,26}. The
251 notable exceptions are AAV.CAP-B10 and AAV.CAP-B22, which were identified using multiplexed-CREATE (M-
252 CREATE)¹⁶ selections in mice and retain their BBB crossing and reduced liver tropism in the common
253 marmoset¹⁷, a New World primate. However, our pool testing here showed that these variants perform only on
254 par with AAV9 in delivering DNA to the brains of infant macaques, an Old World primate. While mice last shared
255 a common ancestor with humans approximately 80-90 million years ago (mya), marmosets and macaques are
256 believed to have shared their last ancestors with humans 35-40 mya and 25-30 mya, respectively⁷³. Given this
257 evolutionary distance, it is not surprising that most variants selected in mice have failed to translate to Old World
258 primates, and vice versa. Interestingly, our pool studies in macaques showed that variants identified via Cre-
259 independent selections in marmosets and chosen using network graphs (CAP-Mac and CAP-C2) generally
260 outperformed variants identified via Cre-dependent selections in mice (Fig. 2b). This suggests that while
261 enhancing selective pressure is important when evolving engineered AAVs *in vivo*, it is also vital to consider the
262 evolutionary relatedness between the selection and target species. Notably, several transgenic marmoset lines
263 are currently available^{74,75}, and the generation of Cre-transgenic marmosets is underway⁷⁶, offering the potential
264 to perform M-CREATE in NHPs. Given that the evolutionary distance between mice and marmosets (40-55 mya)
265 is slightly larger than that between marmosets and humans (35-40 mya), the observation that AAV.CAP-B10
266 and AAV.CAP-B22 retain their BBB-crossing tropisms in marmosets offers hope that NHP selections can identify
267 capsid variants efficacious in humans.

268 The overarching goal of this study was to define and disseminate a suite of genetic tools to study the
269 NHP brain, especially in Old World primates. This includes characterizing cargo that can be delivered by CAP-
270 Mac, as both self and non-self proteins (e.g. GFP) are known to be immunogenic in certain contexts⁷⁷⁻⁸⁰. To that
271 end, we describe two functional cargos for studying the Old World primate brain: (1) a cocktail of three fluorescent
272 reporters for Brainbow-like^{49,50} labeling, and (2) GCaMP8s for optical interrogation of *ex vivo* neuronal activity.
273 Encouragingly, our GCaMP recordings demonstrate that cells expressing CAP-Mac-delivered molecular sensors
274 are physiologically active and healthy in *ex vivo* rhesus macaque slices. To our knowledge, this is the first

description of using a non-invasive, systemic vector to deliver genetically-encoded sensors to the macaque brain, a transformational technique previously limited to rodents. Notably, none of the rhesus macaques dosed in this study experienced adverse events or abnormal liver function and assessment by an independent pathologist confirmed that the vectors were administered safely (Supplementary Fig. 7 and Supplementary Table 6). Moving forward, we expect CAP-Mac-mediated gene transfer to help illuminate circuit connectivity and neuronal function in the macaque brain^{81,82} and, more generally, assist major efforts such as the NIH BRAIN Initiative⁶² to understand the inner workings of the primate CNS.

In addition to CAP-Mac's utility as a tool to study the primate brain, it is also a compelling potential delivery vehicle for genetic medicine in humans. It provides an unprecedented opportunity to deepen our understanding of pharmacodynamics in Old World primate models^{30,83,84} and its broad and uniform distribution throughout the CNS opens access to subcortical and midbrain regions for neuroscience researchers, currently difficult in NHPs⁴¹⁻⁴⁵. Additionally, CAP-Mac's enhanced transduction of cultured human neurons supports its potential as a gene-delivery vehicle in humans. Overall, the success of the capsid engineering approach we describe here offers a roadmap for developing the next class of translational gene therapies with improved safety and efficacy profiles.

Methods

AAV DNA library generation

We initially generated diversity at the DNA level, which we then used to produce transfection material to produce the AAV capsid library. For the round 1 library, we introduced this genetic diversity using primers containing degenerate nucleotides inserted between amino acids (AA) 588 and 589¹⁴⁻¹⁶ (VP1 numbering; Supplementary Fig. 1a). We used a reverse primer containing 21 degenerate nucleotides ([NNK] x 7) to randomly generate PCR fragments containing unique 7mer sequences inserted into the *cap* gene. For the round 2 DNA library, we used a synthetic oligo pool (Twist Bioscience) as a reverse primer, encoding only variants selected for further screening (66,628 DNA oligos total: 33,314 variants recovered after round 1 selections plus a codon-modified replicate of each). All reverse primers contained a 20 bp 5' overhang complementary to the *cap* sequence near the AgeI restriction enzyme sequence and were paired with a forward primer containing a 20 bp 5' overhang near the XbaI restriction enzyme sequence. We then inserted the PCR fragments containing the diversified region into the rAAV-ΔCAP-in-cis-Lox plasmid via Gibson assembly to generate the resulting AAV DNA library, rAAV-CAP-in-cis-Lox, using NEBuilder HiFi DNA Assembly Master Mix (New England Biolabs, E2621).

AAV capsid library production

We generated AAV capsid libraries according to previously published protocols^{16,85}. Briefly, we transfected HEK293T cells (ATCC, CRL-3216) in 150 mm tissue culture plates using transfection grade, linear polyethylenimine (PEI; Polysciences, Inc). In each plate, we transfected 4 plasmids: (1) the assembled rAAV-Cap-in-cis-Lox AAV DNA library, which is flanked by inverted terminal repeats (ITR) required for AAV encapsidation; (2) AAV2/9 REP-AAP-ΔCAP, which encodes the REP and AAP supplemental proteins required for AAV production with the C-terminus of the *cap* gene excised to prevent recombination with the AAV DNA library and subsequent production of replication-competent AAV; (3) pHelper, which encodes the necessary adenoviral proteins required for AAV production; and (4) pUC18, which contains no mammalian expression vector but is used as filler DNA to achieve the appropriate nitrogen-to-phosphate ratio for optimal PEI transfection. During preparation of the PEI-DNA mixture, we added 10 ng of our AAV DNA library (rAAV-Cap-in-cis-Lox) for every 150 mm dish and combined AAV2/9 REP-AAP-ΔCAP, pUC18, and pHelper in a 1:1:2 ratio, respectively (40 μg of total DNA per 150 mm dish). At 60 hours post-transfection, we purified AAV capsid library from both the cell pellet and media using polyethylene glycol precipitation and iodixanol gradient ultracentrifugation. Using quantitative PCR, we then determined the titer of the AAV capsid libraries by amplifying DNaseI-resistant viral genomes relative to a linearized genome standard according to established protocols⁸⁵.

Marmoset experiments

Capsid library selections

All marmoset (*Callithrix jacchus*) procedures were performed at the National Institutes of Mental Health (NIMH) and approved by the local Institutional Animal Care and Use Committee (IACUC). Marmosets were born and raised in NIMH colonies and housed in family groups under standard conditions of 27°C and 50% humidity. They were fed ad libitum and received enrichment as part of the primate enrichment program for NHPs at the National Institutes of Health. For all marmosets used in this study, there were no detectible neutralizing antibodies at a 1:5 serum dilution prior to IV infusions (conducted by The Penn Vector Core, University of Pennsylvania). They were then housed individually for several days and acclimated to a new room before injections. Four adult males were used for the library screening, 2 each for first- and second-round libraries. The day before infusion, the animals' food was removed. Animals were anesthetized with isoflurane in oxygen, the skin over the femoral vein was shaved and sanitized with an isopropanol scrub, and 2×10^{12} vg of the AAV capsid library was infused over several minutes. Anesthesia was withdrawn and the animals were monitored until they became active, upon which they were returned to their cages. Activity and behavior were closely monitored over the next 3 days, with daily observations thereafter.

At 4 weeks post-injection, marmosets were euthanized (Euthanasia, VetOne) and perfused with 1X phosphate-buffered saline (PBS). After the round 1 library, the brain was cut into 4 coronal blocks, flash frozen in 2-methylbutane (Sigma Aldrich, M32631), chilled with dry ice, and stored at -80°C for long term storage. After the round 2 library, the brain was cut into 6 coronal blocks and, along with sections of the spinal cord and liver, was flash frozen and stored at -80°C for long term storage.

Individual characterization of AAV in marmosets

Two adult common marmosets (*Callithrix jacchus*) were used for this experiment: Conan (male, 2.8 years old, 0.386 kg) and Sandy (female, 5.8 years old, 0.468 kg; see Supplementary Table 4 for more details). They were housed under standard conditions of 27°C and 50% humidity, with ad libitum access to food and water. All animals were group housed, and experiments were performed in the Cortical Systems and Behavior Laboratory at University of California San Diego (UCSD). All experiments were approved by the UCSD Institutional Animal Care and Use Committee (IACUC). The day before infusion, the animals' food was removed.

Animals were anesthetized with ketamine (Ketaset, Zoetis 043-304, 20mg/kg), the skin over the saphenous vein was shaved and sanitized with an isopropanol scrub, and 2×10^{13} vg/kg of AAV was infused over 5 minutes. The animals were monitored until they became active, upon which they were returned to their cages. Activity and behavior were closely monitored over the next 3 days, with daily observations thereafter. Blood samples were taken at days 1, 7, 14, 21 and 31 to measure viral concentration in plasma.

At 31 days post-injection, marmosets were anesthetized with ketamine as described earlier and then euthanized (Euthasol, Virbac 200-071, 1mL/kg) and perfused with 1X phosphate-buffered saline (PBS). Brains and organs were cut in half, and one half was flash-frozen in 2-methylbutane (Sigma Aldrich, M32631), chilled with dry ice, and stored at -80°C . The other half was fixed in 4% PFA (Thermo Scientific, J19943-K2) overnight and then stored at 4°C in PBS-Azide (Sigma Aldrich, S2002-100G, 0.025%). Samples were then shipped to California Institute of Technology (Caltech) for analysis.

Viral library DNA extraction and NGS sample preparation

We previously reported that viral library DNA and endogenous host RNA can be isolated using TRIzol by precipitating nucleic acid from the aqueous phase^{14,16}. Therefore, to extract viral library DNA from marmoset tissue, we homogenized 100 mg of spinal cord, liver, and each coronal block of brain in TRIzol (Life Technologies, 15596) using a BeadBug (Benchmark Scientific, D1036) and isolated nucleic acids from the aqueous phase according to the manufacturer's recommended protocol. We treated the reconstituted precipitate with RNase (Invitrogen, AM2288) and digested with Smal to improve downstream viral DNA recovery via PCR. After digestion, we purified with a Zymo DNA Clean and Concentrator kit (D4033) according to the manufacturer's recommended protocol and stored the purified viral DNA at -20°C .

To append Illumina adapters flanking the diversified region, we first PCR-amplified the region containing our 7mer insertion using 50% of the total extracted viral DNA as a template (25 cycles). After Zymo DNA purification, we diluted samples 1:100 and further amplified around the variable region with 10 cycles of PCR, appending binding regions for the next PCR reaction. Finally, we appended Illumina flow cell adapters and unique indices using NEBNext Dual Index Primers (New England Biolabs, E7600) via 10 more cycles of PCR. We then gel-purified the final PCR products using a 2% low-melting point agarose gel (ThermoFisher Scientific, 16520050) and recovered the 210 bp band.

For the second-round library only, we also isolated the encapsidated AAV library ssDNA for NGS to calculate library enrichment scores, a quantitative metric that we used to normalize for differences in titer of the various variants in our library (see ref. 16 and the next section). To isolate the encapsidated viral genomes, we treated the AAV capsid library with DNaseI and digested capsids using proteinase K. We then purified the ssDNA using phenol-chloroform, amplified viral transgenes by 2 PCR amplification steps to add adapters and indices for Illumina NGS, and purified using gel electrophoresis. This viral library DNA, along with the viral DNA extracted from tissue, was sent for deep sequencing using an Illumina HiSeq 2500 system (Millard and Muriel Jacobs Genetics and Genomics Laboratory, Caltech).

NGS read alignment, analysis, and generation of network graphs

Raw fastq files from NGS runs were processed with custom-built scripts (<https://github.com/GradinaruLab/protfarm> and <https://github.com/GradinaruLab/mCREATE>)¹⁶. For the first-round library, the pipeline to process these datasets involved filtering to remove low-quality reads, utilizing a quality score for each sequence, and eliminating bias from PCR-induced mutations or high GC-content. The filtered dataset was then aligned by a perfect string match algorithm and trimmed to improve the alignment quality. We then displayed absolute read counts for each variant during the sequencing run within each tissue, and all 33,314 variants that were found in the brain were chosen for round 2 selections.

After round two selections, we performed the same analysis to display variant absolute read count of the injected virus library and of each variant within each tissue. Additionally, we calculated the library enrichment¹⁶ for each variant within each tissue:

$$\widehat{RC}_{x,injected\ library} = \frac{RC_{x,injected\ library}}{\sum_{i=1}^{N_{injected\ library}} RC_{i,injected\ library}}$$

$$\widehat{RC}_{x,tissue} = \frac{RC_{x,virus}}{\sum_{i=1}^{N_{tissue}} RC_{i,tissue}}$$

$$library\ enrichment = \log_{10}\left(\frac{\widehat{RC}_{x,injected\ library}}{\widehat{RC}_{x,tissue}}\right)$$

such that for a given sample y (e.g. the injected virus library or a tissue sample), $RC_{x,y}$ is the absolute read count of variant x , N_y is the total number of variants recovered, and $\widehat{RC}_{x,y}$ is the normalized read count.

To construct the CAP-Mac sequence clustering graph, we filtered the round 2 NGS data based on the following criteria: (1) ≥ 100 read count in the injected library sample (24,186/33,314 variants), (2) ≥ 0.7 library enrichment score in more than 2 brain samples (415 variants), and (3) at least 2 more brain samples with ≥ 0.7 library enrichment than brain samples with < -0.7 library enrichment (323 variants). To construct the CAP-C2 sequence graph, we filtered the round 2 NGS data based on the following criteria: (1) ≥ 100 read count in the injected library sample and (2) both codon replicates present in at least 2 brain samples with ≥ 0.7 library enrichment (95 variants). These variants were then independently processed to determine pair-wise reverse Hamming distances (<https://github.com/GradinaruLab/mCREATE>) and clustered using Cytoscape (ver. 3.9.0) as described previously¹⁶. Networks presented show capsid variants (nodes) connected by edges if the pair-wise reverse Hamming distance is ≥ 3 .

409 Cloning individual AAV capsid variants

410 For single variant characterization, we cloned new variant plasmids by digesting a modified version of
411 the pUCmini-iCAP-PHP.eB (Addgene ID: 103005) backbone using MscI and AgeI. We designed a 100 bp primer
412 that contained the desired 21 bp insertion for each capsid variant and the regions complementary to the AAV9
413 template with ~20 bp overlapping the digested backbone. We then assembled the variant plasmid using
414 NEBuilder HiFi DNA Assembly Master Mix, combining 5 μ L of 200 nM primer with 30 ng of digested backbone
415 in the reaction mixture.

416 Individual AAV production and purification

417 To produce variants for pool testing, we followed our previously published protocol⁸⁵ using 150 mm tissue
418 culture dishes. For individual AAV.CAP-Mac and AAV9 characterization *in vivo* and *in vitro*, we adopted our
419 published protocol to utilize ten-layer CellSTACKs (Corning, 3320) to efficiently produce viruses at high titer to
420 dose rhesus macaques and green monkeys. Specifically, we passaged 20 150-mm dishes at approximately 70%
421 confluency into a 10-layer CellSTACK 24 h before transfection. On the day of transfection, we prepared the DNA-
422 PEI transfection mixture for 40 150-mm dishes and combined the transfection mixture with media and performed
423 a complete media change for the CellSTACK. We collected and changed media at 72 h post-transfection similarly
424 to production in 150 mm dishes. At 120 h post-transfection, we added ethylenediaminetetraacetic acid (EDTA,
425 Invitrogen, 15575020) to a final concentration of 10 mM and incubated at 37°C for 20 min, occasionally swirling
426 and tapping the sides of the CellSTACK to detach the cells. We then removed the media and cell mixture and
427 proceeded with the AAV purification protocol⁸⁵. Of note, during the buffer exchange step after ultracentrifugation,
428 we used centrifugal protein concentrators with polyethersulfone membranes (Thermo Scientific, 88533) instead
429 of Amicon filtration devices and used Dulbecco's PBS supplemented with 0.001% Pluronic[®] F-68 (Gibco,
430 24040032).

431 Rodent experiments

432 All rodent procedures were performed at Caltech and were approved by the local IACUC. We purchased
433 C57BL/6J (000664), BALB/cJ (000651), and DBA/2J (000671) mice (all males, 6–8 weeks old) from The Jackson
434 Laboratory. For IV administration in mice, we delivered 5×10^{11} vg of virus through the retro-orbital sinus^{85,86}
435 using a 31 G insulin syringe (BD, 328438). For intracerebroventricular administration in mice, we injected $5 \times$
436 10^{10} or 1.5×10^{11} vg into the lateral ventricle. Briefly, we anesthetized mice using isoflurane (5% for induction, 1-
437 3% for maintenance) with 95% O₂/5% CO₂ (1 L/min) and mice were head-fixed in a stereotaxic frame. After
438 shaving the head and sterilizing the area with chlorohexidine, we administered 0.05 mL of 2.5 mg/mL bupivacaine
439 subcutaneously, and a midline incision was made and the skull was cleaned of blood and connective tissue.
440 After leveling the head, burr holes were drilled above the lateral ventricles bilaterally (0.6 mm posterior to bregma,
441 1.15 mm from the midline). Viral vectors were aspirated into 10 μ L NanoFil syringes (World Precision
442 Instruments) using a 33-gauge microinjection needle, and the needle was slowly lowered into the lateral ventricle
443 (1.6 mm from the pial surface). The needle was allowed to sit in place for approximately 5 min and 3-5 μ L of viral
444 vector was injected using a microsyringe pump (World Precision Instruments, UMP3) and pump controller (World
445 Precision Instruments, Micro3) at a rate of 300 nL/min. All mice received 1 mg/kg of buprenorphine SR and 5
446 mg/kg of ketoprofen subcutaneously intraoperatively and 30 mg/kg of ibuprofen and 60 mg/kg of Trimethoprim/
447 Sulfamethoxazole (TMPS) for 5 days post-surgery. After 3 weeks of expression, all mice were perfused with
448 PBS and fixed in 4% paraformaldehyde (PFA). All organs were extracted, incubated in 4% PFA overnight,
449 transferred into PBS supplemented with 0.01% sodium azide, and stored at 4°C for long-term storage. We sliced
450 the brain into 100 μ m sections by vibratome (Leica Biosystems, VT1200S), mounted in Prolong Diamond
451 Antifade (Invitrogen, P36970), and imaged using a confocal microscope (Zeiss, LSM 880).

452 Rhesus macaque experiments

453 All rhesus macaque (*Macaca mulatta*) procedures were performed at the California National Primate
454 Research Center (CNPRC) at UC Davis and were approved by the local IACUC. Neonate macaques (0.45-1.4
455 kg) were weaned at birth. Within the first month, macaques were infused with AAV vectors either intravenously

(IV) or intrathecally (LP). For IV injections, animals were anesthetized with ketamine (0.1 mL) and the skin over the saphenous vein was shaved and sanitized. AAV (between 2×10^{13} and 1×10^{14} vg/kg) was slowly infused into the saphenous vein over ~1 min in < 0.75 mL of phosphate buffered saline. For LP injections, animals were administered a sedative intramuscularly and the area of skin at the neck was shaved and aseptically prepared. A needle was advanced into the cisterna magna to remove a small amount of CSF proportional to the amount of fluid injected. Then, a sterile syringe containing the sterile preparation of the AAV (1.5×10^{12} or 2.5×10^{13} vg/kg) proportional to the amount of fluid collected was aseptically attached and slowly injected. All animals were monitored during recovery from sedation, throughout the day, and then daily for any adverse findings. All monkeys were individually housed within sight and sound of conspecifics. Tissue was collected 4-11 weeks after injection. Animals were deeply anesthetized and received sodium pentobarbital in accordance with guidelines for humane euthanasia of animals at the CNPRC. All material injected into rhesus macaques was free of endotoxins (<0.1 EU/mL), and protein purity was confirmed by sodium dodecyl sulphate–polyacrylamide gel electrophoresis (SDS-PAGE). See Supplementary Tables 1 and 2 for route of administration, AAV variants, viral dose, genetic cargo, and duration of expression for each experiment.

Pool testing in newborn rhesus macaques

Macaques were perfused with ice-cold RNase-free PBS. At the time of perfusion, one hemisphere of the brain was flash-frozen and the other hemisphere was sectioned into 4 mm coronal blocks and post-fixed in 4% PFA for 48 hours and transferred to Caltech for further processing. For HA staining, we incubated slices with rabbit anti-HA (1:200; Cell Signaling Technology, 3724), performed 3-5 washes with PBS, incubated with donkey anti-rabbit IgG (1:200; Jackson ImmunoResearch, 711-605-152), and washed 3-5 times before mounting. We diluted all antibodies and performed all incubations using PBS supplemented with 0.1% Triton X-100 (Sigma-Aldrich, T8787) and 10% normal donkey serum (Jackson ImmunoResearch, 017-000-121) overnight at room temperature with shaking.

To isolate viral DNA and whole RNA, 100mg slices from brain and liver were homogenized in TRIzol (Life Technologies, 15596) using a BeadBug (Benchmark Scientific, D1036) and total DNA and RNA were recovered according to the manufacturer's recommended protocol. Recovered DNA was treated with RNase, restriction digested with SmaI, and purified with a Zymo DNA Clean and Concentrator Kit (D4033). Recovered RNA was treated with DNase, and cDNA was generated from the mRNA using Superscript III (Thermo Fisher Scientific, 18080093) and oligo(dT) primers according to the manufacturer's recommended protocol. We used PCR to amplify the barcode region using 50 ng of viral DNA or cDNA as template. After Zymo DNA purification, we diluted samples 1:100 and further amplified the barcode region using primers to append adapters for Illumina next-generation sequencing. After cleanup, these products were further amplified using NEBNext Dual Index Primers for Illumina sequencing (New England Biolabs, E7600) for ten cycles. We then gel-purified the final PCR products using a 2% low-melting point agarose gel (ThermoFisher Scientific, 16520050). Pool testing enrichment was calculated identically to library enrichment, but is represented in Fig 2b and c on a linear scale.

Individual characterization of CAP-Mac in newborn rhesus macaques

Macaques were perfused with PBS and 4% PFA. The brain was sectioned into 4 mm coronal blocks and all tissue was post-fixed in 4% PFA for 3 days before storage in PBS. All tissue was transferred to Caltech for further processing. Brains and liver were sectioned into 100 μ m slices using vibratome. Sections of spinal cord were incubated in 30% sucrose overnight and embedded in Optimal Cutting Temperature Compound (Scigen, 4586) and sectioned into 50 μ m slices using a cryostat (Leica Biosystems, CM1950). All slices were mounted using Prolong Diamond Antifade and imaged using a confocal microscope. For GFP staining of spinal cord and brain slices from the LP-administered macaque, we incubated slices with chicken anti-GFP (1:500; Aves Bio, GFP-1020), performed 3-5 washes with PBS, incubated with donkey anti-chicken IgY (1:200; Jackson ImmunoResearch, 703-605-155), and washed 3-5 times before mounting. We diluted all antibodies and performed all incubations using PBS supplemented with 0.1% Triton X-100 (Sigma-Aldrich, T8787) and 10% normal donkey serum (Jackson ImmunoResearch, 017-000-121) overnight at room temperature with shaking.

504 For morphological reconstruction, we sectioned brains into 300 μm sections and incubated them in
505 refractive index matching solution (RIMS)⁸⁷ for 72 hours before mounting on a slide immersed in RIMS. We
506 imaged using a confocal microscope and 25x objective (LD LCI Plan-Apochromat 25x/0.8 Imm Corr DIC) using
507 100% glycerol as the immersion fluid. We captured tiled Z-stacks (1024x1024 each frame using suggested
508 capture settings) around cells of interest and cropped appropriate fields of view for tracing. Tracing was done
509 in Imaris (Oxford Instruments) using semi-automated and automated methods.

510 For neuron (NeuN) quantification, slices were stained using anti-NeuN antibody (1:200; Abcam,
511 ab177487) overnight in PBS supplemented with 0.1% Triton X-100 and 10% normal donkey serum. Slices
512 were washed 3-5 times with PBS and incubated overnight in anti-rabbit IgG antibody conjugated with Alexa
513 Fluor 647 (1:200; 711-605-152, Jackson ImmunoResearch) in PBS + 0.1% Triton X-100 + 10% normal donkey
514 serum. After 3-5 washes and mounting using Prolong Diamond Antifade, we obtained z-stacks using a
515 confocal microscope and a 25x objective. We segmented NeuN and XFP-positive cells using custom scripts in
516 Python and Cellpose (<https://www.cellpose.org/>)⁸⁸.

517 *Ex vivo two-photon imaging*

518 Brain slices of sizes suitable for imaging were prepared with a thickness of 400 μm from larger slices
519 using a vibratome and stored in artificial cerebrospinal fluid bubbled with carbogen gas before two-photon
520 imaging, as previously described^{89,90}. For testing GCaMP8s responses, electrical stimulation (4-5 V, 80 Hz, 0.3
521 second duration) with the indicated number of pulses was delivered using an extracellular monopolar electrode
522 placed 100-200 μm away from the neuron imaged. The frame rate of imaging was 30 Hz. Traces of segmented
523 ROIs were plotted as $\Delta F/F_0 = (F(t) - F_0)/F_0$, where F_0 is defined as the average of all fluorescence value before
524 the electrical stimulation. The rise time was defined as the time required for the rising phase of the signal to
525 reach from 10% of the peak to 90% of the peak. The decay time constant was obtained by fitting sums of
526 exponentials to the decay phase of the signal. The signal-to-noise ratio (SNR) was obtained by dividing the
527 peak amplitude of the signal by the standard deviation of the fluorescence trace before the electrical
528 stimulation.

529 *Characterization in adult rhesus macaque slice*

530 One adult rhesus macaque (14 years and 1 month; 10.83 kg) from the Washington National Primate
531 Research Center was planned for routine euthanasia, and the brain was collected as part of the facility's Tissue
532 Distribution Program. A block of the superior temporal gyrus was sectioned into 300 μm slices and slices were
533 recovered⁸⁹ and cultured on an air-liquid membrane interface⁹¹ as previously described. Approximately 30
534 minutes after plating slices, we administered 1-2 μL of AAV (5 x 10¹³ vg/mL of AAV9 or AAV.CAP-Mac packaging
535 either ssCAG-FXN-HA or ssCAG-eGFP). Experiments were performed in biological triplicates for each condition
536 and culture medium was refreshed every 48 hours until tissue collection at 8 days post-transduction. On the day
537 of tissue collection, the slices were imaged to confirm transduction, slices were cut in half, and each half-slice
538 was flash-frozen in a dry ice-ethanol bath. Samples were stored at -20 °C until further processing.

539 Each half-slice was processed (one each for DNA and RNA recovery). DNA was isolated using the
540 Qiagen DNeasy Blood and Tissue Kit (Qiagen, catalog # 69504) and RNA was recovered using TRIzol (Thermo
541 Fisher Scientific, catalog #15596026) and the PureLink RNA Mini Kit (Thermo Fisher Scientific, catalog #
542 12183018A). DNA was removed from the RNA sample by modifying the first wash of the PureLink RNA Mini Kit
543 as follows: wash with 350 μL of Wash Buffer 1, then add 80 μL of RNase-Free DNaseI in RDD buffer (Qiagen
544 catalog # 79254) and incubate the column at room temperature for 15 minutes, then wash again with 350 μL of
545 Wash Buffer 1 before proceeding with the protocol. We performed first-strand cDNA synthesis from 400 ng total
546 RNA in 20 μL reactions using Promega GoScript Reverse Transcription Kit (Promega, catalog # A5000).

547 We then evaluated vector genomes and viral transcripts found in each sample using quantitative qPCR
548 on a Roche Lightcycler II. 100 ng of DNA was used in a 20 μL amplification reaction using TaqMan probes from
549 Thermo Fisher Scientific (EGFP-FAM probe, Assay ID Mr04097229_mr, catalog #4331182; custom genomic
550 reference probe CN2386-2-VIC, Assay ID ARH6DUK, catalog #4448512, designed to target both *Macaca*
551 *mulatta* and *Macaca nemestrina*).

Green monkey experiments

All green monkey (*Chlorocebus sabaeus*) procedures were performed at Virscio, Inc. and approved by their IACUC. All monkeys were screened for neutralizing antibodies and confirmed to have < 1:5 titer. At approximately 7-8 months of age (1-1.3 kg), monkeys were dosed intravenously (see Supplementary Table 3 for details). Dose formulations were allowed to equilibrate to approximately room temperature for at least 10 minutes, but no more than 60 minutes prior to dosing. IV dose volumes were based on Day 0 body weights. Animals were sedated with ketamine (8 mg/kg) and xylazine (1.6 mg/kg). The injection area was shaved and prepped with chlorohexidine and 70% isopropyl alcohol, surgically scrubbed prior to insertion of the intravenous catheter. Dosing occurred with a single intravenous infusion of AAV (7.5×10^{13} or 7.6×10^{13} vg/kg) on Day 0 via a saphenous vein administered using a hand-held infusion device at a target rate of 1 mL/minute. General wellbeing was confirmed twice daily by cage-side observation beginning one week prior to dosing. At the scheduled sacrifice time, monkeys were sedated with ketamine (8-10 mg/kg IM) and euthanized with sodium pentobarbital (100 mg/kg IV to effect). Upon loss of corneal reflex, a transcardiac perfusion (left ventricle) was performed with chilled phosphate buffered saline (PBS) using a peristaltic pump set at a rate of approximately 100 mL/min until the escaping fluid ran clear prior to tissue collection. Cubes of tissue were collected from the left brain hemisphere and various other organs and frozen in the vapor phase of liquid nitrogen for further processing for biodistribution. The right brain hemisphere was removed and cut into ~4 mm coronal slices and post-fixed intact with approximately 20 volumes of 10% neutral-buffered formalin (NBF) for approximately 24 hours at room temperature.

Genomic DNA was extracted from CNS and peripheral tissues using the ThermoFisher MagMax DNA Ultra 2.0 extraction kit (Catalog number: A36570). DNA was assessed for yield by fluorometric quantification with the Qubit dsDNA assay. Approximately 20 ng of DNA was loaded into each 20 μ L reaction and plates were run on the BioRad CFX Connect Real-Time PCR Detection System (Catalog number: 1855201). The viral copy number assay was validated for specificity by detection of a single amplified product, sensitivity by assessing the lower limit of detection to be greater than 10 copies per reaction, and linearity by ensuring the standard curve r^2 was > 0.95. Reactions were assembled in FastStart Universal SYBR Green Master (Rox) (catalogue number: 4913850001). The sequences of the primers were: forward ACGACTTCTTCAAGTCCGCC, reverse TCTTGTAGTTGCCGTCGTCC. The PCR protocol used an initial denaturation step of 95 °C for 180 seconds, followed by 40 cycles of 95 °C for 15 seconds, and 60 °C for 60 seconds, with an imaging step following each 60 °C cycle. A standard curve was generated with linearized plasmid containing the GFP template sequence present in the virus from $1e8$ - $1e0$ copies, diluted in naïve untreated macaque DNA samples prepared using an identical kit as the samples in this study to control for matrix effects. Copies of viral DNA were calculated from the standard curve using the equation for the line of the best fit. MOI values were calculated based on the measured total genomic weight of host cell DNA per reaction.

Post fixation, tissues were placed into 10% > 20% > 30% sucrose for 24 hours each at 4 °C then embedded in Optimal Cutting Temperature Compound and stored at -80 °C until cryosectioning. Tissue blocks were brought up to -20 °C in a cryostat before sectioning into 30 μ m slices and dry-mounted onto slides after cryosectioning. After sectioning, the slides were left at room temperature overnight to dry. To assist in neuron quantification, we stained sections with the following antibodies and concentrations: rabbit anti-GFP (1:100; Millipore-Sigma, AB3080) and mouse anti-NeuN (1:500; Millipore-Sigma, MAB377). For secondary antibody staining, the following secondary antibodies and concentrations were used: donkey anti-rabbit Alexa Fluor 488 (1:500; Invitrogen, A21206) and donkey anti-mouse Alexa Fluor 647 (1:500; Invitrogen, A31571). All antibodies were diluted with 1X PBS supplemented with 0.25% Triton X-100 (PBST) and 5% normal donkey serum. Primary antibody incubations were left overnight at room temperature. Sections were then washed with PBST. Secondary antibody incubations were 2 hours at room temperature. The sections were washed 3x in PBST. Sections were incubated in DAPI solution (1:10,000; Invitrogen, D1306) at room temperature for 5 minutes, then washed. Sections were coverslipped using Prolong Diamond Antifade.

3 sections per animal were stained and imaged. Each section was imaged in triplicate with each ROI having a total of 9 images. Tissue ROIs were imaged with a Keyence BZ-X800 with the following acquisition parameters: GFP (1/500 s), Cy5 (1 s), DAPI (1/12 s), High Resolution, Z-stack @ 1.2 um pitch. The following brain subregions were imaged frontal, parietal, temporal, occipital cortices, cerebellum, caudate, putamen, and thalamus (medial, ventral lateral, and ventral posterior nuclei). A semi-automated cell counting method was performed via ImageJ for quantification. Using thresholds and particle analysis, we were able to quantify NeuN positive and DAPI positive cells. Using ImageJ's cell counter, we manually counted GFP-positive and GFP & NeuN double-positive cells.

Induced pluripotent stem cell (iPSC) experiments

Neuronal cultures were produced by differentiating and maturing iPSC-derived neural progenitor cells with Stemdiff™ Forebrain Differentiation and Maturation kits (StemCell #08600, #08605 respectively), according to their manufacturer's protocols. Neural progenitor cells were produced by differentiation of the foreskin fibroblast-derived iPSC line: ACS™-1019 (ATCC# DYS-0100), with Stemdiff™ SMADi Neural Induction kits (StemCell I#08581), selection with Stemdiff™ Neural Rosette Selection Reagent (StemCell I#05832), and expansion in Stemdiff™ Neural Progenitor Media (StemCell I#05833), according to their manufacturer's protocols. Neurons were matured a minimum of 8 days prior to replating for transduction.

Mature neuronal cultures, seeded 15,000 cells/well in polyornithine and laminin coated black-walled 96 well optical plates, were cultured an additional 4 days prior to transduction. Replicate wells were transduced with virus serially diluted across six orders of magnitude in 90% maturation media and 10% OptiproSFM. 4 days post-transduction, cultures were fixed with 4% paraformaldehyde and counterstained with 1 ug/ml Hoechst 33322. Identification of transduced cells was determined by imaging 60 fields/well, using two channel fluorescence detection (Hoechst at ex386/em440, eGFP ex485/em521) on a CellInsight CX5 HCS Platform. Individual cells were identified by Hoechst detection of their nuclei and applying size and contact constrained ring masks to each cell. Cell transduction was determined by measuring eGFP fluorescence above a threshold level within an individual ring mask. For each population, the percentage of transduced cells was plotted vs the applied dose. Curve-fits and EC₅₀ values were determined with a Prism GraphPad [agonist] vs response (three parameter) regression method. To report per-cell eGFP expression efficiencies, the eGFP spot fluorescence intensities were averaged from each ring mask across a minimum of 5,000 cells/well. Curve fits were obtained using the Prism GraphPad Biphasic, X as concentration regression method.

Data availability statement

The data that support the findings of this study are available from the corresponding author upon reasonable request. Plasmids used to generate AAV.CAP-Mac will be deposited on Addgene prior to final submission.

Code availability statement

NeuN quantification was performed using CellPose (<https://www.cellpose.org/>)⁸⁸ and ImageJ. All next-generation sequencing data was processed using previously-published open-source software from our lab (<https://github.com/GradinaruLab/protfarm> and <https://github.com/GradinaruLab/mCREATE>)¹⁶.

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Author contributions

M.R.C. and N.C.F. wrote the manuscript, with input from all authors. M.R.C. designed, performed, and analyzed the data for the rhesus macaque and rodent experiments and prepared all figures. N.C.F., K.C. and B.E.D. designed, N.C.F. and K.C. performed, and N.C.F. analyzed the associated data for the viral library screening experiments in common marmosets. N.C.F. and N.G. designed, performed and analyzed the data of the pooled testing experiments in rhesus macaques. N.C.F. and N.G. designed, N.C.F. N.G., J.C.O. and K.M.R. performed the green monkey experiments, and J.C.O. and K.M.R. analyzed the associated data and helped prepare the associated figures. J.S., J.W. and R.J.B. designed and performed the human neuron experiments, analyzed the associated data and prepared the associated figures. L.J.C. designed and performed the rhesus macaque experiments. C.M.A. performed the rhesus macaque spinal cord and dorsal root ganglia analysis and imaging. K.N.M.M. and J.S. performed the *ex vivo* macaque two-photon imaging and analysis. X.C. made virus, planned, and analyzed the *ex vivo* adult macaque tissue characterization. A.L. handled and processed the adult common marmoset tissue for individual characterization. T.F.M. analyzed the round 2 viral library screening experiment in the common marmoset and generated the sequence clustering graphs. M.J.J. helped with the imaging analysis. J.V. helped perform the rhesus macaque neuron tracing. J.M., Y.B., and B.G. performed and analyzed the qPCR for the *ex vivo* adult macaque tissue characterization. V.O., N.T., and N.W., performed and analyzed the *ex vivo* adult macaque tissue imaging. J.T. planned and supervised all aspects of the *ex vivo* adult macaque slice experiments. C.T.M. supervised aspects of the common marmoset individual characterizations. J.P. supervised aspects of the common marmoset selections. L.T. designed and supervised aspects of the *ex vivo* macaque two-photon imaging. A.S.F. designed, performed, and supervised aspects of the rhesus macaque experiments. N.C.F. supervised all aspects of the green monkey and iPSC work. V.G. supervised all aspects of the library screening, pooled testing and rhesus macaque work and contributed to associated experimental design, data analysis, and manuscript writing.

Competing interests

The California Institute of Technology has filed and licensed patent applications for the work described in this manuscript, with N.C.F., N.G. and V.G. listed as inventors (US Patent application no. PCT/US21/46904). V.G. is a co-founder and board member and N.C.F. and N.G. are co-founders and officers of Capsida Biotherapeutics, a fully integrated AAV engineering and gene therapy company. A license for US Patent application no. PCT/US21/46904 has been issued to Capsida Biotherapeutics. The remaining authors declare no competing interests.

Main and Supplementary Figures

Fig. 1: CAP-Mac selection and characterization strategy.

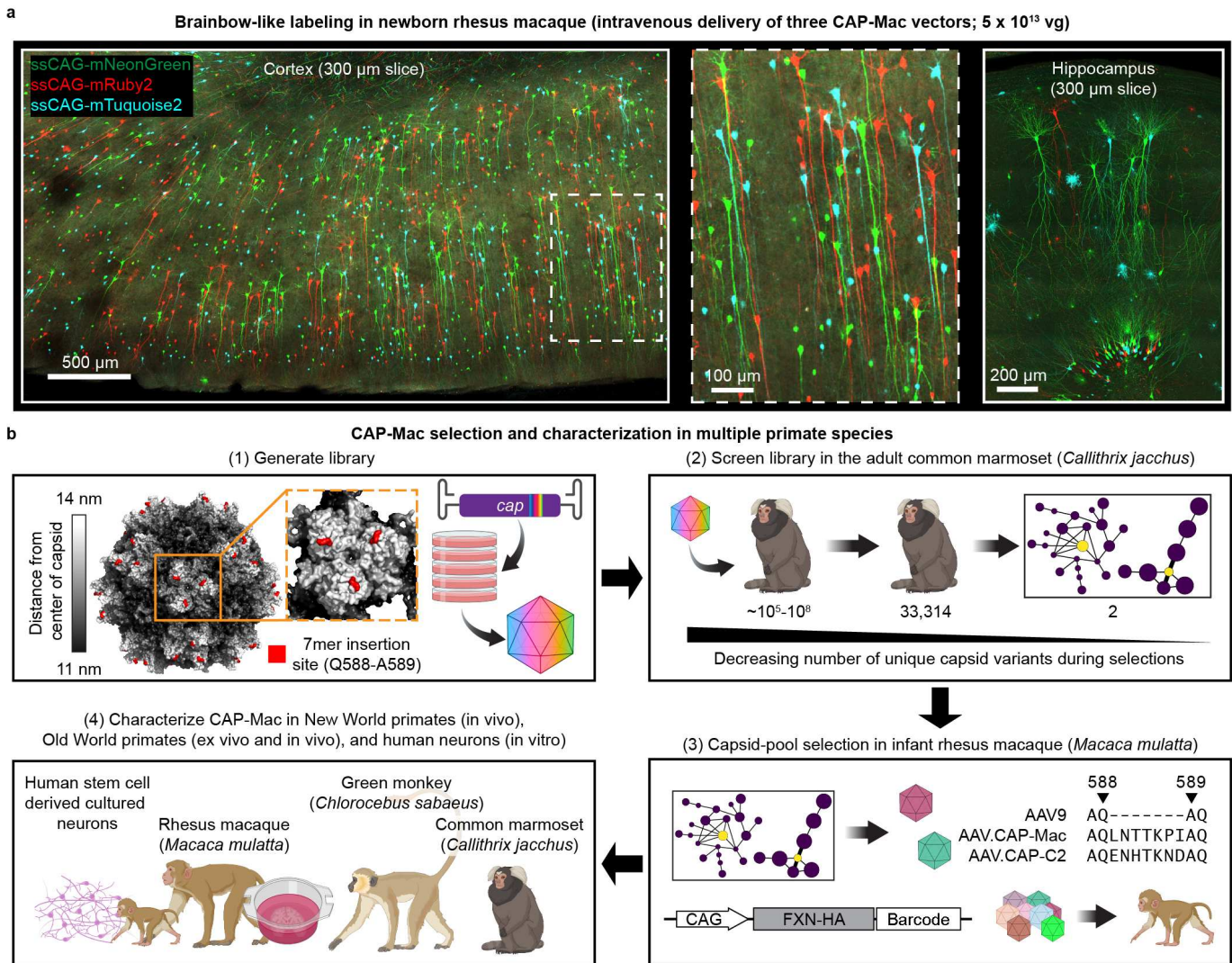


Fig. 1: CAP-Mac selection and characterization strategy. **a**, AAV.CAP-Mac is a novel vector that enables brain-wide, systemic gene transfer in non-human primates. Representative images are shown from a newborn rhesus macaque brain expressing 3 fluorescent reporters delivered intravenously using AAV.CAP-Mac (5×10^{13} vg total dose, 4 weeks post-injection). **b**, Schematic of the CAP-Mac selection strategy. (1) CAP-Mac is an AAV9 variant that we selected from a library screened in the adult common marmoset. We generated diversity by introducing 21 NNK degenerate codons after Q588 in the AAV9 *cap* genome and produced the capsid library for *in vivo* selections in adult male marmosets. (2) In two rounds of selections, we intravenously administered 2×10^{12} vector genomes per marmoset, narrowing our variant pool with each round of selection. After the first round of selection, we recovered 33,314 unique amino acid sequences in the brain. For the second round of selection, we generated a synthetic oligo pool containing each unique variant plus a codon modified replicate (66,628 total sequences). After the second round of selection, we constructed network graphs of high-performing variants, and selected two capsids—AAV.CAP-Mac and AAV.CAP-C2—to be included in pool selections in newborn rhesus macaques. (3) For pool selections, we produced 8 capsids packaging ssCAG-hFXN-HA, each with a unique molecular barcode in the 3' UTR. This construct design enabled us to assess protein expression of the pool by staining for the hemagglutinin (HA) epitope and quantify barcodes in viral DNA and whole RNA extracts. (4) We moved forward with individual characterization of AAV.CAP-Mac in various contexts (*ex vivo*, *in vitro*, *in vivo*) in multiple primate species.

Fig. 2: CAP-Mac outperforms other engineered variants in newborn rhesus macaque in pool testing.

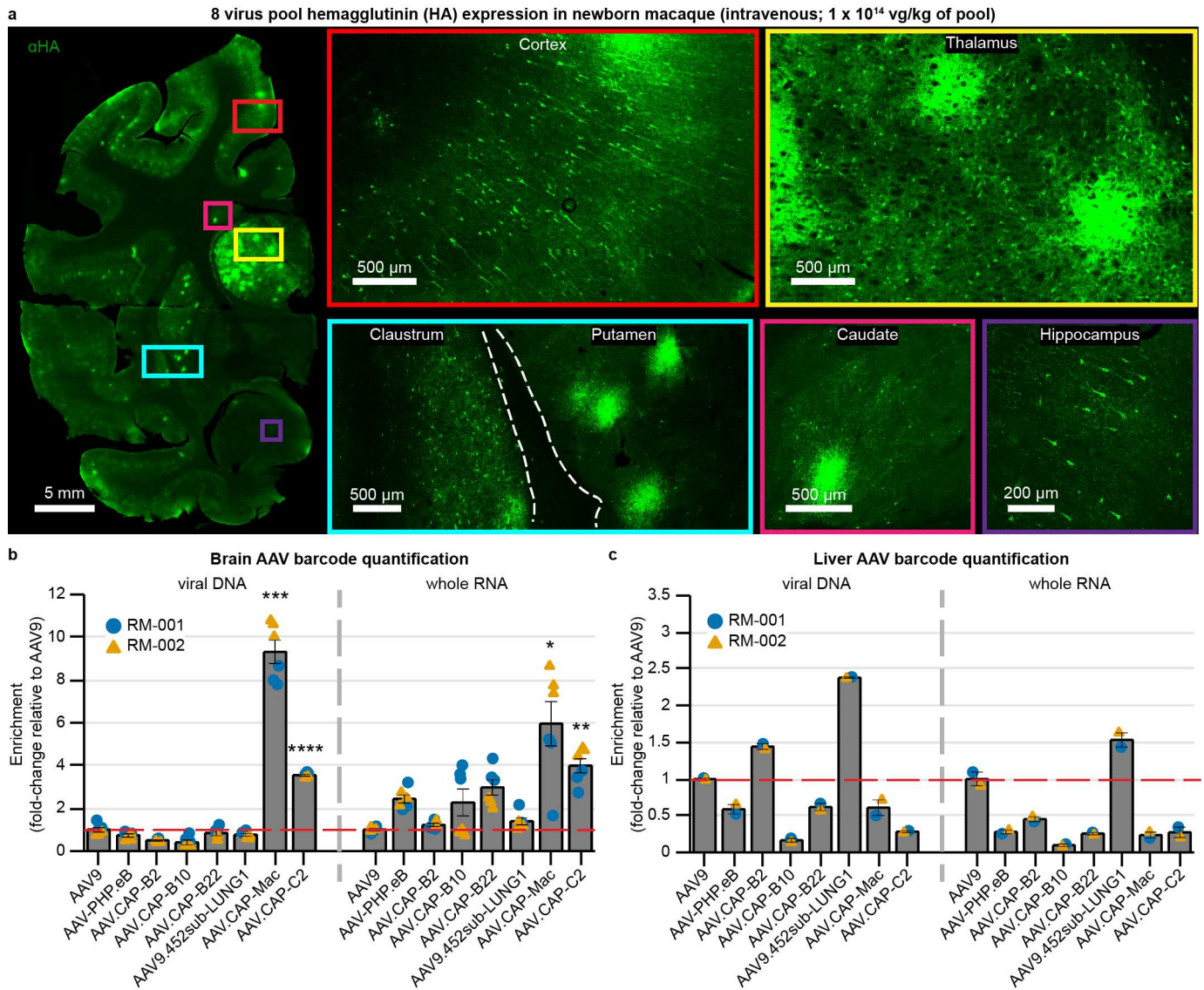


Fig. 2: CAP-Mac outperforms other engineered variants in newborn rhesus macaque in pool testing.

a, Representative images of expression in cortex, thalamus, caudate nucleus, putamen, hippocampus and claustrum after intravenous administration of 1×10^{14} vg/kg of an 8-capsid pool (1.25×10^{13} vg/kg of each variant) packaging hemagglutinin (HA) tagged human frataxin with a unique barcode in each capsid. **b**, **c**, Unique barcode enrichments in viral DNA (left) and whole RNA (right) extracts from the brain (**b**) and the liver (**c**) of two newborn rhesus macaques. Each data point represents the fold-change relative to AAV9 within each sample of tissue. Mean \pm s.e.m. shown. The red dashed line denotes AAV9 performance in pool. One-way ANOVA using Tamhane's T2 correction tested against AAV9 enrichment. (* $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, **** $P < 0.0001$).

Fig. 3: CAP-Mac is biased towards neurons throughout infant green monkey and newborn rhesus macaque brains.

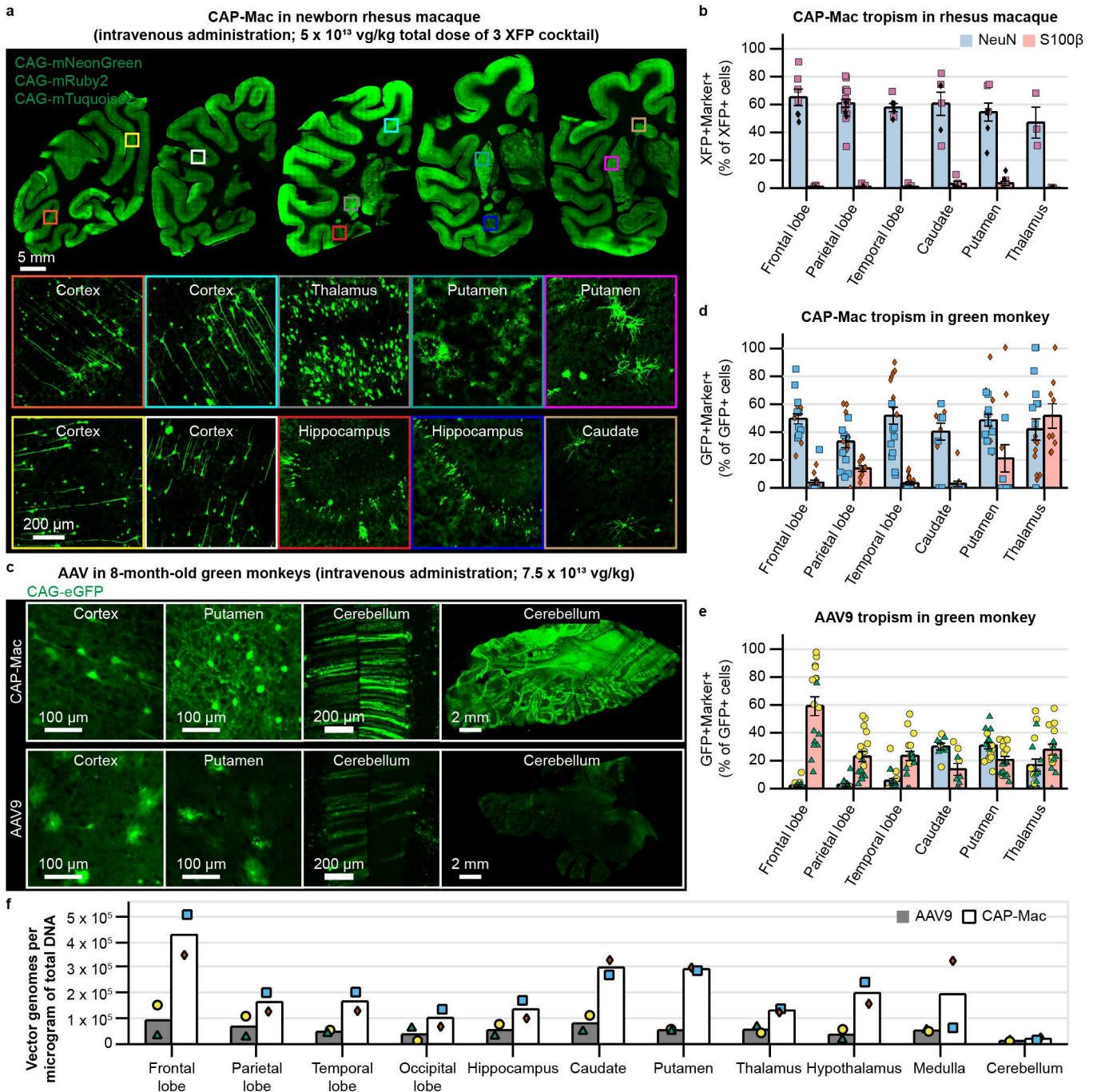


Fig. 3: CAP-Mac is biased towards neurons throughout infant green monkey and newborn rhesus macaque brains. **a**, Distribution of CAP-Mac expression in 2-day-old rhesus macaques (5×10^{13} vg/kg via intravenous administration) across coronal slices showing fluorescent reporter expression (ssCAG-mNeonGreen, ssCAG-mRuby2, ssCAG-mTurquoise2) in cortical and subcortical brain regions (insets). Imaging channels of reporters are identically pseudo-colored. **b**, Colocalization of fluorescent reporters with NeuN (neurons) or S100 β (astrocytes) in 2-day-old rhesus macaques treated with CAP-Mac. Values are reported as a percentage of all XFP+ cells. **c**, Representative images from 8-month-old green monkeys dosed with CAP-Mac (top) or AAV9 (bottom) packaging ssCAG-eGFP (7.5×10^{13} vg/kg via intravenous administration). **d**, **e**, Colocalization of fluorescent reporters with NeuN (neurons) or S100 β (astrocytes) in infant green monkeys treated with CAP-Mac (**d**) or AAV9 (**e**). Values are reported as a percentage of all GFP+ cells. **f**, Distribution of CAP-Mac and AAV9-delivered eGFP transgene in 11 brain regions of green monkeys. Each data point represents measured vector genomes per microgram of total DNA in a section of tissue from each region and monkey. Mean \pm s.e.m. shown.

Fig. 4: Experimental utility of CAP-Mac for functional interrogation of the newborn rhesus macaque brain.

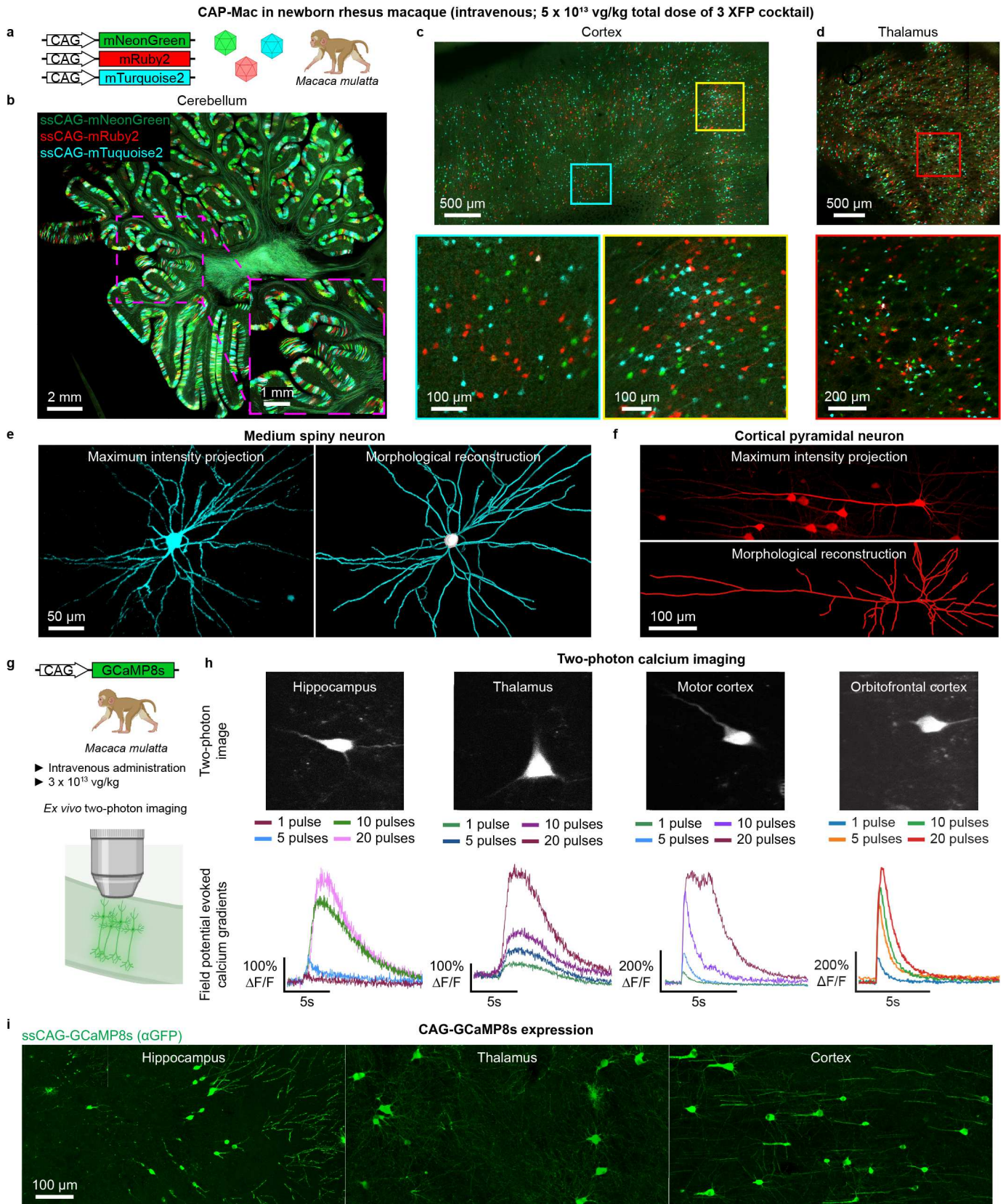


Fig. 4: Experimental utility of CAP-Mac for interrogation of the newborn rhesus macaque brain. **a-f**, CAP-Mac packaging three fluorescent reporters (**a**) to generate Brainbow-like labeling in rhesus macaque cerebellum (**b**), cortex (**c**), and thalamus (lateral geniculate nucleus) (**d**), enabling morphological reconstruction of neurons (**e** and **f**). **g-i**, Non-invasively delivering ssCAG-GCaMP8s using CAP-Mac (**g**) for ex vivo two-photon imaging (**h**) and brain-wide GCaMP expression (**i**).

Fig. 5: CAP-Mac is more potent at transducing human cultured neurons compared to AAV9.

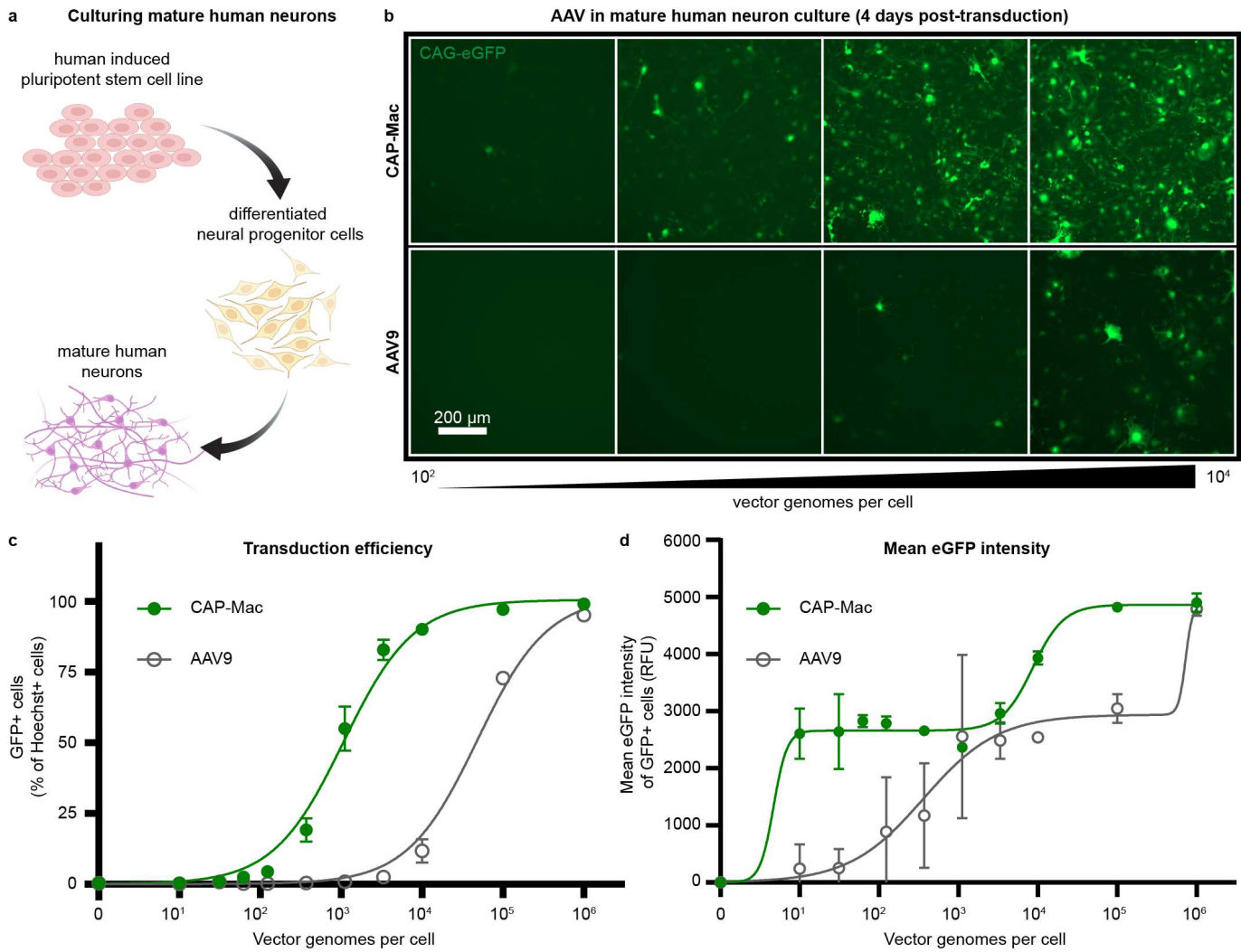


Fig. 5: CAP-Mac is more potent at transducing human cultured neurons compared to AAV9. **a**, Differentiation process starting with a human induced pluripotent stem cell line that was differentiated into neural progenitor cells, which were further differentiated into mature neurons. **b**, Representative images of cultured human neurons after 4 days of incubation with either CAP-Mac (top) or AAV9 (bottom) packaging CAG-eGFP across 4 doses of AAV, ranging from 10^2 - 10^4 vector genomes per cell. **c**, **d**, Dose response curves of AAV9 and CAP-Mac in mature human neuron culture measuring transduction efficiency (**c**) and mean eGFP intensity (**d**).

Fig. 6: Characterization in adult rhesus macaque.

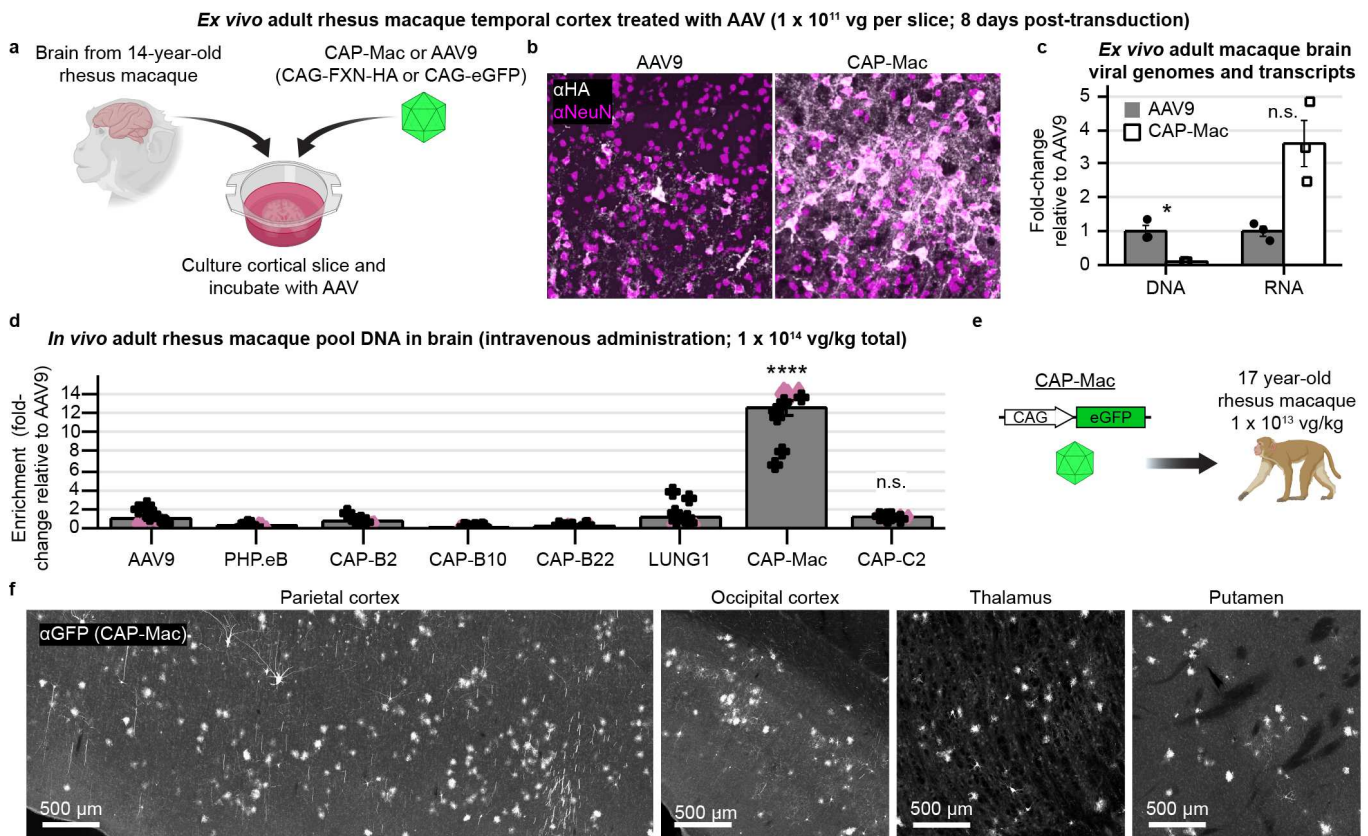
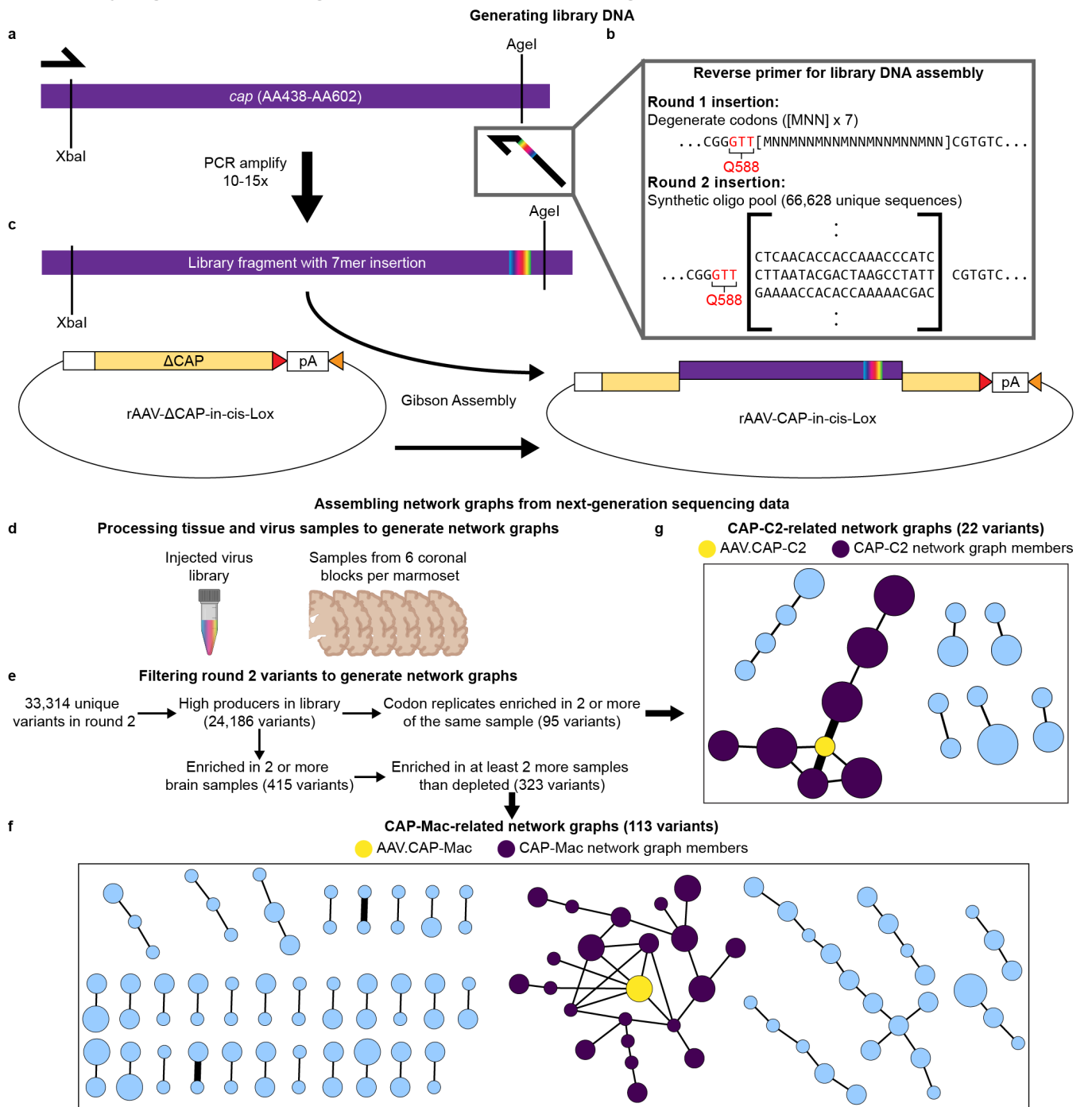


Fig. 6: Characterization in adult rhesus macaque. **a**, AAV in cortical slice *ex vivo* taken from a 14-year-old rhesus macaque. **b**, CAP-Mac is more efficient at transducing neurons in gray matter of cortex. **c**, Quantification demonstrates that CAP-Mac-delivered transgene is better at producing RNA but not DNA compared to AAV9-delivered transgene. Two-tailed Welch's t-test (* $P < 0.05$). **d-f**, AAV in adult rhesus macaques *in vivo*. **d**, Recovered DNA from adult macaque administered with 8-capsid pool. One-way ANOVA using Tamhane's T2 correction tested against AAV9 enrichment. (**** $P < 0.0001$). **e**, We injected 1×10^{13} vg of CAP-Mac packaging a CAG-eGFP into one 17-year-old rhesus macaque to assess CAP-Mac protein expression. **g**, CAP-Mac-mediated eGFP expression visualized after amplification with GFP antibody. Mean \pm s.e.m. shown.

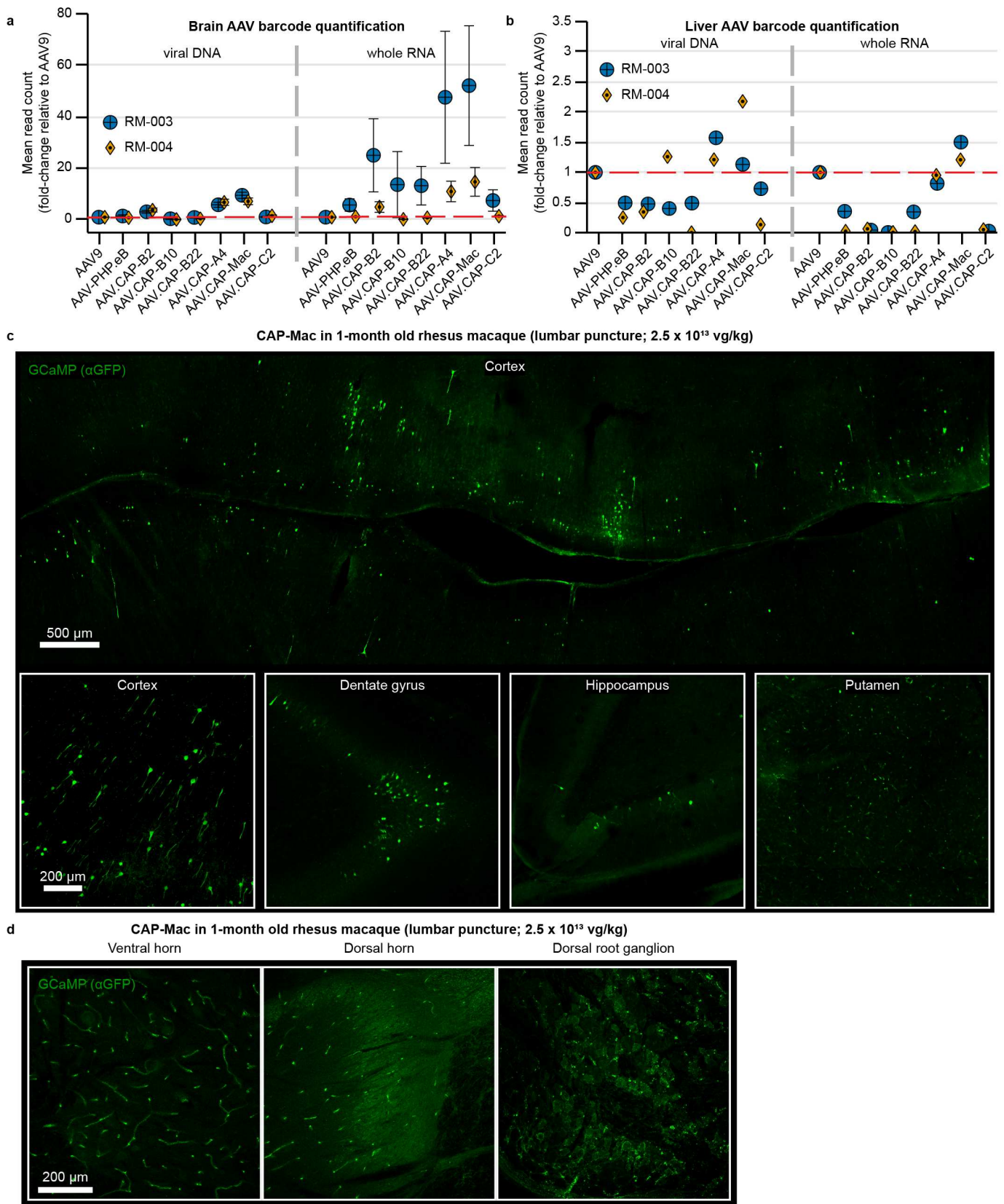
Supplementary Fig. 1: Generating AAV libraries and choosing variants for further characterization.



Supplementary Fig. 1: Generating AAV libraries and choosing variants for further characterization. **a**, We introduced diversity into the AAV9 *cap* genome using a reverse primer with a 21-nucleotide insertion after Q588. The reverse primer is used to generate a PCR fragment approximately spanning the XbaI and AgeI section of the modified *cap* gene (approximately AA438 to AA602). **b**, For DNA assembly for round 1 selections, the reverse primer contains 21 degenerate codons ([MNN] x 7). For round 2 selections, we used a synthetic oligo pool to specify each 21 bp sequence that we insert into *cap*. **c**, The PCR-amplified fragment contains homologous regions that overlap with the rAAV-ΔCAP-in-cis-Lox digested plasmid, and the two fragments are assembled using Gibson assembly to create the final assembled library DNA. **d-g**, Process to assemble network graphs from next-generation sequencing data. While our previous CREATE-based selections have relied on Cre-transgenic mouse lines to increase selection stringency, Cre-transgenic marmosets are currently unavailable, and we were unable to confer this additional selective pressure during selections. We reasoned that through this clustering analysis, we could efficiently and productively sample variants from our selections to (1) limit the number of animals used for individual characterization and (2) partially overcome the absence of the selective pressure provided by Cre-transgenic mice in CREATE. **d**, To generate network graphs, we processed the injected virus library and sampled from each of the 6 brain sections from each animal. **e**, From our next-generation

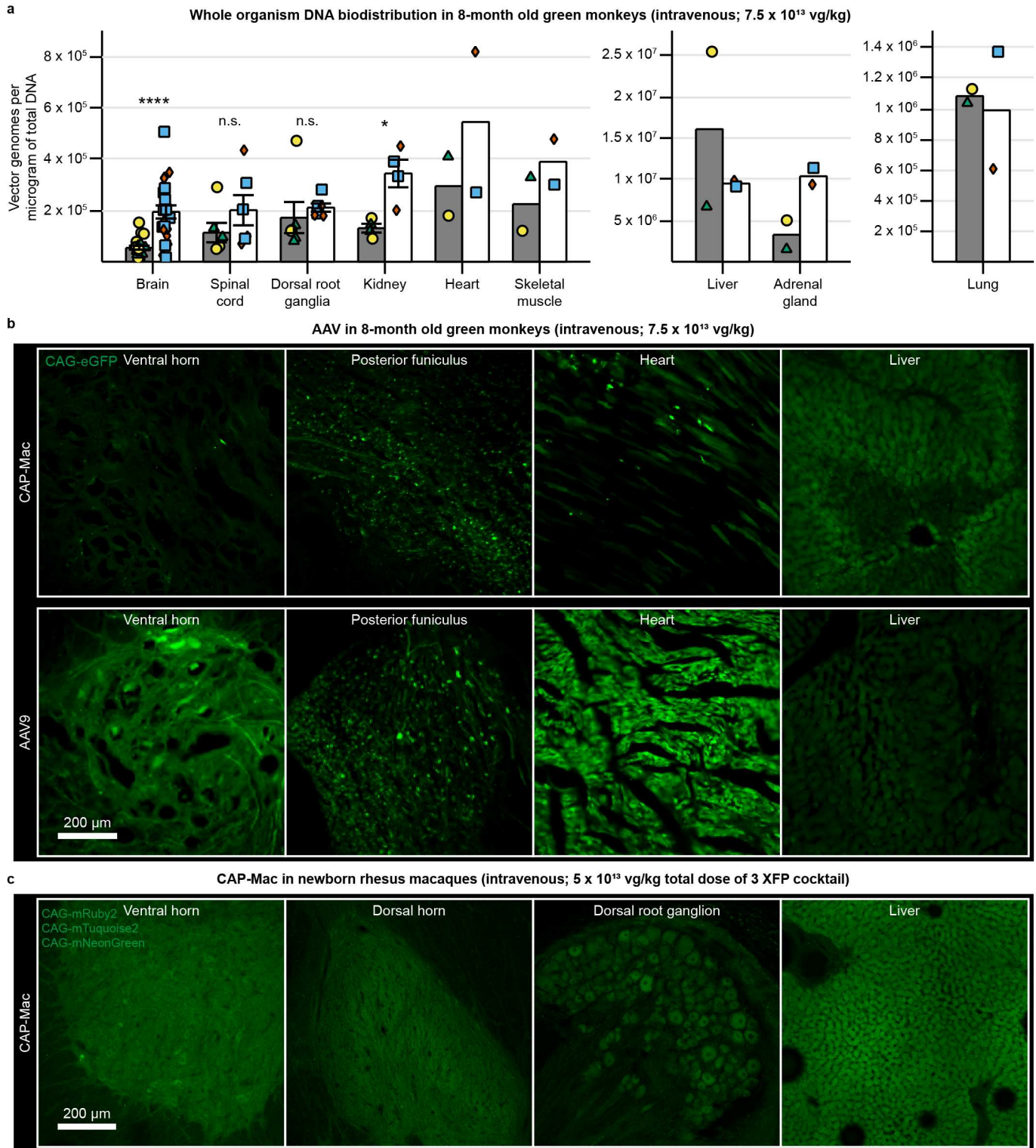
sequencing data, we calculated library enrichment scores and filtered the variants using two separate criteria. **f**, **g**, Network graphs for AAV.CAP-Mac (**f**) and AAV.CAP-C2 (**g**). CAP-Mac and CAP-C2 were both chosen because they were the most interconnected nodes within their respective networks. Each node represents a unique variant recovered from the round 2 selection and each edge represents pairwise reverse Hamming distance ≥ 3 .

Supplementary Fig. 2: Administering AAV via intrathecal lumbar puncture.



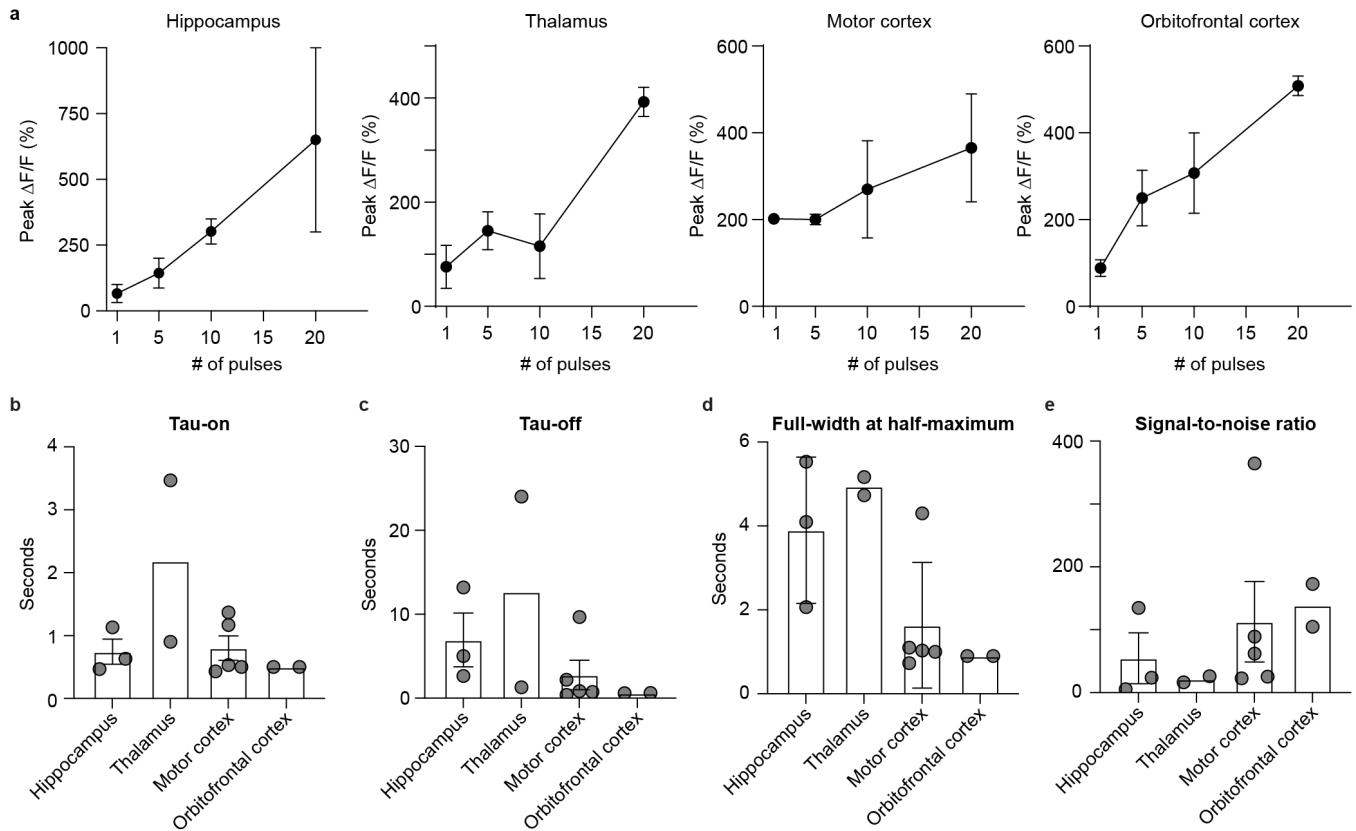
Supplementary Fig. 2: Administering AAV via intrathecal lumbar puncture. **a, b**, Barcode quantification in viral DNA and whole RNA from brain (**a**) and liver (**b**) of neonate rhesus macaques treated with a capsid pool via intrathecal lumbar puncture. Mean \pm s.e.m. shown. **c, d**, CAG-GCaMP7s expression in brain (**c**) and spinal cord (**d**) after intrathecal lumbar puncture administration using AAV.CAP-Mac.

Supplementary Fig. 3: CAG-XFP expression in non-brain tissue of Old World primates treated with AAV.



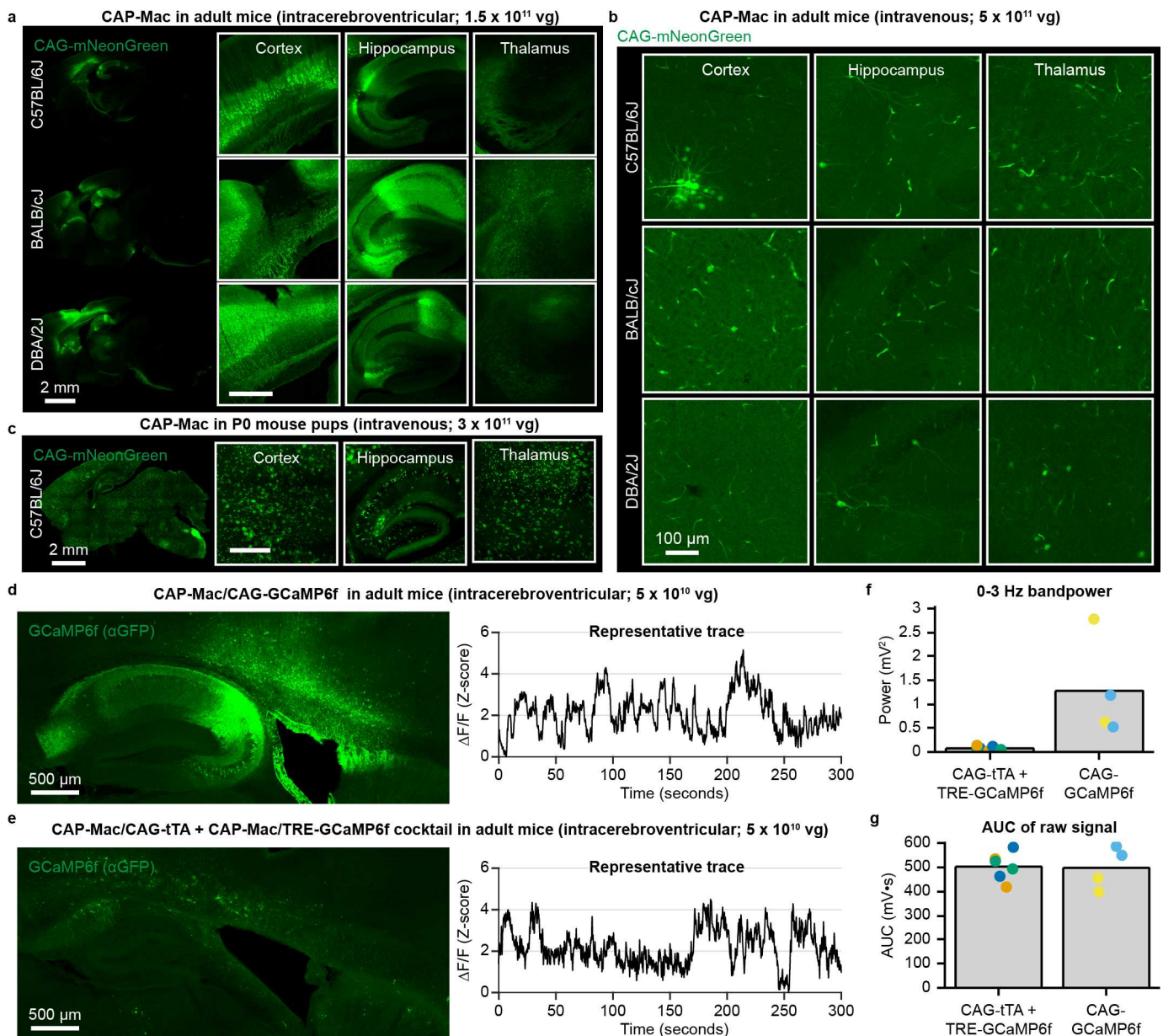
Supplementary Fig. 3: CAG-XFP expression in non-brain tissue of Old World primates treated with AAV. **a**, Vector genomes per microgram of total DNA in green monkeys treated with AAV9 or CAP-Mac, expressed as fold-change relative to mean AAV9. Each data point represents measured vector genomes per microgram of total DNA in a section of tissue from each region and monkey. Mean \pm s.e.m. shown. Two-tailed Welch's t-test (* $P < 0.05$, **** $P < 0.0001$). **b**, CAG-eGFP expression in the spinal cord, heart, and liver of green monkeys after intravenous expression of either CAP-Mac (top) or AAV9 (bottom). **c**, CAG-XFP expression in the spinal cord, dorsal root ganglia, and liver of newborn rhesus macaque after intravenous administration of CAP-Mac packaging a cocktail of 3 CAG-XFPs. XFPs are pseudocolored identically.

Supplementary Fig. 4: Group-level analyses of two-photon calcium imaging in rhesus macaque slice.



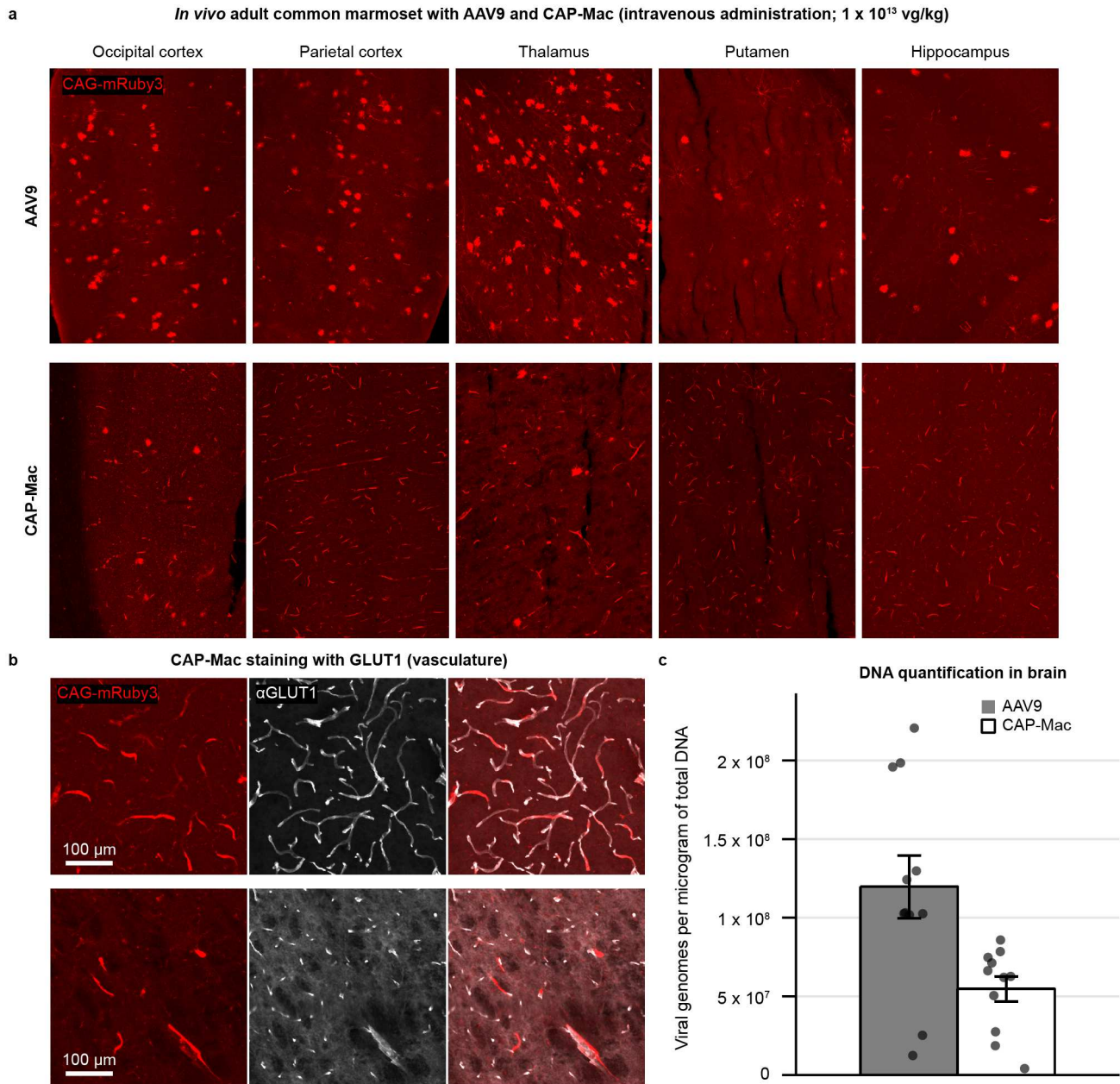
Supplementary Fig. 4: Group-level analyses of two-photon calcium imaging in rhesus macaque slice. **a**, Mean Peak $\Delta F/F_0$ evoked by cells from four different brain regions after applying different number of pulses. **b**, Mean rise time of GCaMP8s responses in the four brain regions. Rise time is defined as time taken for the response to rise from 10% to 90% of the peak of the amplitude. **c**, Mean decay time constant of GCaMP8s responses in the four brain regions. Decay time constant was obtained by fitting sums of exponentials to the decay phase of the traces. **d**, Mean full width at half maximum (FWHM) of GCaMP8s responses in the four brain regions. **e**, Mean signal-to-noise ratio (SNR) of GCaMP8s responses in the four brain regions. SNR is defined as the peak amplitude divided by the standard deviation of the fluorescence signal before the electrical stimulation. Data is plotted as mean \pm s.e.m.

Supplementary Fig. 5: Tropism in rodents and utilizing mice as a model organism for cargo validation.



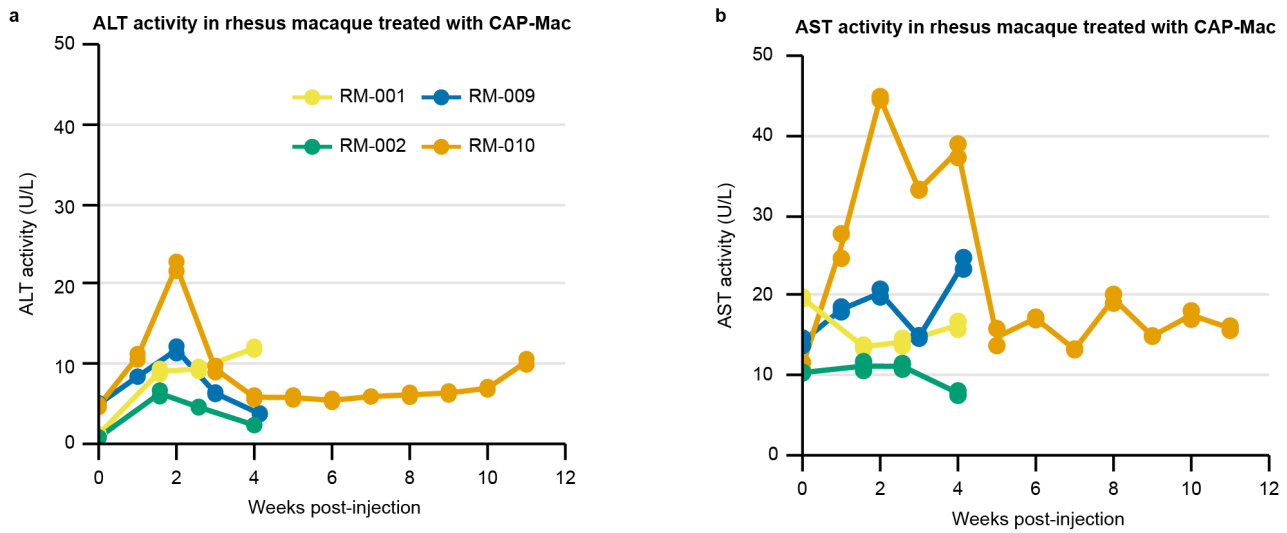
Supplementary Fig. 5: Tropism in rodents and utilizing mice as a model organism for cargo validation. **a**, CAP-Mac after intracerebroventricular (ICV) administration in adult mice primarily transduces neurons. **b**, CAP-Mac after intravenous administration in C57BL/6J, BALB/cJ, and DBA/2J adult mice primarily transduces vasculature. **c**, CAP-Mac in P0 C57BL/6J pups after intravenous administration transduces various cell-types, including neurons, astrocytes, and vasculature. **d-g**, Given the neuronal tropism of CAP-Mac via ICV administration, we validated GCaMP cargo in mice prior to non-human primate experiments. **d**, **e**, GCaMP protein expression and representative $\Delta F/F$ traces in mice after delivering CAG-GCaMP6f (**d**) or a CAG-tTA/TRE-GCaMP6f cocktail using CAP-Mac. **f**, **g**, To determine cargo to move forward with, we found that 0-3 Hz bandpower (two-tailed Welch's t-test, $P=0.105$) (**f**), but not area under the curve (AUC; **g**; two-tailed Welch's t-test, $P=0.626$) was indicative of cargo performance.

Supplementary Fig. 6: Tropism in adult common marmoset.



Supplementary Fig. 6: Tropism in adult common marmoset. **a**, AAV9 and CAP-Mac tropism in two adult marmosets *in vivo* (3.8- and 5.8-years-old). **b**, CAP-Mac is biased primarily towards GLUT1+ cells (vasculature). **c**, Recovered viral genomes in two adult marmosets. Mean \pm s.e.m. shown. Two-tailed Welch's t-test, $P=0.00981$.

Supplementary Fig. 7: Liver function tests in newborn rhesus macaques.



Supplementary Fig. 7: Liver function tests in newborn rhesus macaques. a, b, Liver function tests show no abnormal signs of adverse liver functionality, as measured by alanine transaminase (ALT; **a**) and aspartate transaminase (AST; **b**) activity.

Supplementary Files

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- [rev2supplementarytables.pdf](#)