

Mapping cerebral atrophic trajectory from amnestic mild cognitive impairment to Alzheimer's disease

Xiaotong Wei^{1,2,†}, Xiaotong Du^{1,2,†}, Yingying Xie^{1,2,†}, Xinjun Suo^{1,2,†}, Xiaoxi He^{1,2}, Hao Ding^{1,2,3}, Yu Zhang^{1,2}, Yi Ji^{1,2}, Chao Chai^{1,2}, Meng Liang^{1,2,3}, Chunshui Yu^{1,2,3}, Yong Liu^{4,*}, Wen Qin^{1,2,*}, the Alzheimer's Disease Neuroimaging Initiative[†]

¹Department of Radiology, Tianjin Medical University General Hospital, Tianjin 300052, China,

²Tianjin Key Lab of Functional Imaging, Tianjin Medical University General Hospital, Tianjin 300052, China,

³School of Medical Imaging, Tianjin Medical University, Tianjin 300070, China,

⁴School of Artificial Intelligence, Beijing University of Posts and Telecommunications, Beijing 100876, China

*Corresponding author: Wen Qin, Department of Radiology, and Tianjin Key Lab of Functional Imaging, Tianjin Medical University General Hospital, Anshan Road No 154, Heping District, Tianjin 300052, China. Email: qinwen@tmu.edu.cn; Yong Liu, School of Artificial Intelligence, Beijing University of Posts and Telecommunications, Beijing, China. Email: yongliu@bupt.edu.cn

[†]A complete listing of ADNI investigators can be found at: http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf.

[†]These authors contributed equally to this work

Alzheimer's disease (AD) patients suffer progressive cerebral atrophy before dementia onset. However, the region-specific atrophic processes and the influences of age and apolipoprotein E (APOE) on atrophic trajectory are still unclear. By mapping the region-specific nonlinear atrophic trajectory of whole cerebrum from amnestic mild cognitive impairment (aMCI) to AD based on longitudinal structural magnetic resonance imaging data from Alzheimer's disease Neuroimaging Initiative (ADNI) database, we unraveled a quadratic accelerated atrophic trajectory of 68 cerebral regions from aMCI to AD, especially in the superior temporal pole, caudate, and hippocampus. Besides, interaction analyses demonstrated that APOE $\epsilon 4$ carriers had faster atrophic rates than noncarriers in 8 regions, including the caudate, hippocampus, insula, etc.; younger patients progressed faster than older patients in 32 regions, especially for the superior temporal pole, hippocampus, and superior temporal gyrus; and 15 regions demonstrated complex interaction among age, APOE, and disease progression, including the caudate, hippocampus, etc. ($P < 0.05/68$, Bonferroni correction). Finally, Cox proportional hazards regression model based on the identified region-specific biomarkers could effectively predict the time to AD conversion within 10 years. In summary, cerebral atrophic trajectory mapping could help a comprehensive understanding of AD development and offer potential biomarkers for predicting AD conversion.

Key words: Alzheimer's disease; mild cognitive impairment; atrophic trajectory; apolipoprotein E; Aging.

Introduction

Alzheimer's disease (AD) is a serious neurodegenerative disease that progressively impairs the multidimensional cognitive functions of the elderly. Although mounting clinical studies have shown that it is difficult to cure dementia based on current medical technology, early intervention can effectively slow down AD conversion (Norton et al. 2014; Siemers et al. 2016; Kivipelto et al. 2018). Thus, identifying the high-probable AD patients before severe cognitive impairment is crucial for early prevention. Amnestic mild cognitive impairment (aMCI) is considered as a prodromal stage of AD, and most of the elderly with aMCI eventually progress to dementia at an annual rate of about 10–15% (Petersen et al. 2001; Levey et al. 2006; Risacher et al. 2009). Current neuroimaging research on the longitudinal trajectories of brain structural and functional changes in aMCI has led to a deeper understanding of AD development and offered potential biomarkers for the early prediction and

prevention of AD (Jack et al. 2010; Delor et al. 2013; Jack Jr and Holtzman 2013; Lindemer et al. 2015; Guerrero et al. 2016; Corriveau-Lecavalier et al. 2019; Wang et al. 2020).

AD patients suffered severe gray matter atrophy in widespread brain regions, including the medial temporal lobes (MTL), posterior cingulate cortex (PCC), and so on (Karas et al. 2004; Whitwell et al. 2008; Pini et al. 2016). Detectable gray matter atrophy has been identified up to ten years before clinical AD, even when mild cognitive decline is absent (Tondelli et al. 2012). Longitudinal trajectory analyses also demonstrated progressive atrophy during the process of AD conversion in several brain regions, such as the hippocampus, amygdala, and entorhinal cortex (Younes et al. 2014; Pegueroles et al. 2017; Younes et al. 2019). These studies highlighted the potentials of brain morphometric measures in monitoring disease progression and early predicting AD conversion. However, most prior studies delineating atrophic trajectory from aMCI to AD focused on only limited brain

regions (Jack Jr et al. 2008; An et al. 2016; Bilgel and Jedynak 2019). Furthermore, many longitudinal studies only explored the linear atrophic progress with AD progression because of limited follow-up time points; little is known about the nonlinear atrophic trajectory from aMCI to AD (Jack Jr et al. 2008; Lo et al. 2011; Coriveau-Lecavalier et al. 2019; Dicks et al. 2019). Thus, this study focused on portraying the nonlinear longitudinal atrophic trajectories of the whole cerebrum from aMCI to AD.

It is well known that AD conversion could be regulated by several risk factors, such as the $\epsilon 4$ variants of the apolipoprotein E (APOE) and aging (Armstrong 2019), which raises questions about whether and how these risk factors affect brain atrophic trajectory during AD development. APOE $\epsilon 4$ is the most influential genetic risk factor for AD (Hsiung et al. 2004). Early studies had found that APOE $\epsilon 4$ carriers had severer gray matter loss than noncarriers in the AD, aMCI, and even cognitive-normal adults (Spampinato et al. 2011; Taylor et al. 2014; Susanto et al. 2015; Nao et al. 2017). Moreover, aging is another strong risk factor for AD and brain atrophy. Strong evidence supports an increased risk of developing AD increases with aging (Guerreiro and Bras 2015). However, it is interesting to note that more severe brain atrophy was found in younger AD patients, including the MTL and inferior parietal lobe (Fan et al. 2012); longitudinal studies have also shown that early-onset AD patients suffered more rapid cortical atrophy than late-onset AD (Cho et al. 2013). Hence, we hypothesized that APOE polymorphism and aging could modulate the atrophic trajectory of the cerebral subregions during AD conversion.

This study aimed to map the atrophic trajectory of the whole cerebrum from early aMCI to AD onset. Based on the longitudinal structural magnetic resonance imaging (sMRI) data from Alzheimer's Disease Neuroimaging Initiative (ADNI) datasets, a linear mixed-effects (LME) model was introduced to identify brain regions whose atrophic trajectories following aging are faster for aMCI patients who finally progressed to AD relative to cognitively normal (CN). Then we delineated the atrophic trajectories of these brain regions as a function of time-to-conversion and explored the regulation effects of APOE $\epsilon 4$ and aging risk factors on the atrophic trajectories. Finally, based on the identified brain volumetric features, we introduced a Cox proportional hazards regression model to test the potentials of these identified volumetric features in predicting the conversion of AD. The pipeline of this study is shown in Fig. 1.

Materials and methods

Participants

Datasets used in this study were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) project (<http://adni.loni.usc.edu>). We downloaded the longitudinal clinical and sMRI data of ADNI 1/GO/2/3

datasets from the Image Data Archive at the Laboratory of Neuro Imaging (IDA, <https://ida.loni.usc.edu/login.jsp>). We first enrolled the aMCI and CN subjects based on the reported diagnosis of the ADNI database according to the published criteria (Petersen et al. 2010). Second, we determined the stage of dementia during the follow-up based on the CDRSB scores (O'Bryant et al. 2008): CDRSB scores = 0 for normal cognitive stage, CDRSB scores from 0.5 to 4 for mild cognitive impairment (MCI) stage, and CDRSB scores ≥ 4.5 for dementia stage. For CN controls, their cognition must maintain normal (CDRSB = 0) at all follow-up times. The aMCI converters (aMCI_C) patients should start with MCI ($4 \geq \text{CDRSB} \geq 0.5$) and finally progress to AD (CDRSB ≥ 4.5) during follow-up. The stable aMCI (aMCI_S) should start with MCI but not progress to dementia or revert to CN during follow-up ($4 \geq \text{CDRSB} \geq 0.5$). Based on the above inclusion criteria, we initially enrolled 278 CN, 281 aMCI_C, and 290 aMCI_S subjects. After a series of quality control steps (see Supplementary Fig. 1), the longitudinal sMRI data of 232 CN subjects (1265 sMRI scans), 276 aMCI_C patients (1709 sMRI scans), and 279 aMCI_S patients (1669 sMRI scans) were finally recruited in the formal analyses. Each subject had at least two follow-up sMRI scans. We additionally enrolled 24 qualified subjects (including 189 sMRI scans) who developed from CN to AD during follow-up as an independent dataset to test the performance of the prediction model.

The sMRI data were acquired using 3D T1-weighted (T1W) magnetization prepared rapid acquisition gradient-echo (MPRAGE) imaging sequences. The detailed acquisition information was available at the website: <http://adni.loni.usc.edu/methods/mri-tool/mri-analysis/>. Besides, several cognitive measurements were included in this study: the Alzheimer's Disease Assessment Scale Cognitive-13 (ADAS13) and CDRSB were recorded as the measures of dementia severity (O'Bryant et al. 2008; Yagi et al. 2019); the Mini-Mental State Examination (MMSE) was recorded as a measure of cognitive impairment (Folstein et al. 1975); the Rey Auditory Verbal Learning Test immediate recall (RAVLTimm) was recorded as a measure of memory function (Moradi et al. 2017).

Data preprocessing and gray matter volume quantification

All T1W sMRI data were processed using a longitudinal pipeline based on CAT12 tool (<http://www.neuro.uni-jena.de/cat12-html/cat.html>) and SPM12 (<https://www.fil.ion.ucl.ac.uk/spm/software/spm12/>). For each subject, the sMRI data of all runs were firstly rigidly co-registered with the baseline images and averaged to generate the subject-specific average T1W images. Then the average T1W images were segmented and normalized into Montreal Neurological Institute (MNI) space using DARTEL algorithm (Ashburner 2007). For each run, the rigidly aligned T1W images were segmented into different tissue components, such as the gray matter

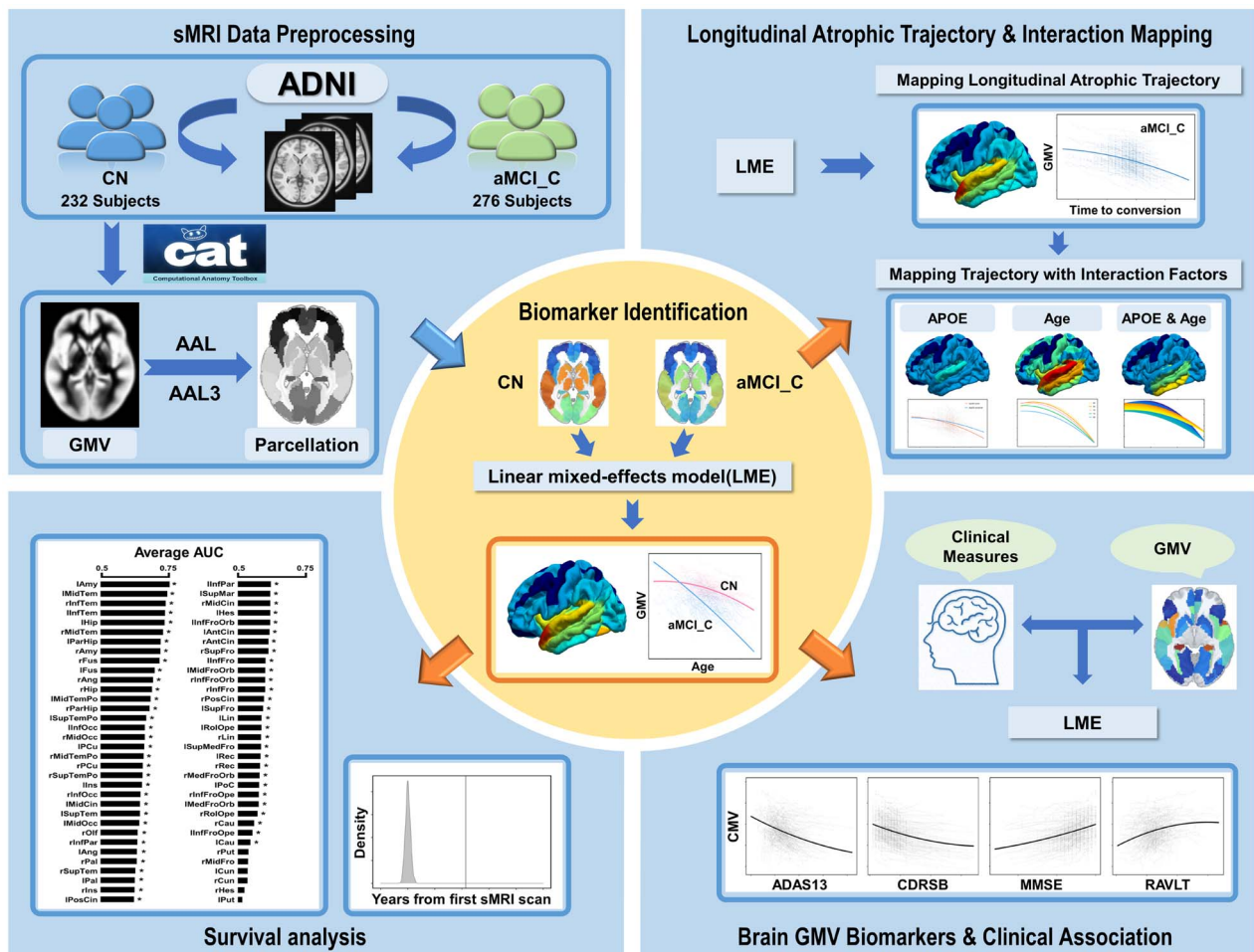


Fig. 1. A schematic summary of the study design. Abbreviations: AAL = Automated Anatomical Labeling; ADAS13 = Alzheimer’s Disease Assessment Scale Cognitive-13; ADNI = Alzheimer’s Disease Neuroimaging Initiative; aMCI_C = amnesic mild cognitive impairment converters; APOE = apolipoprotein E; AUC = area under curve of receiver operating characteristic curve; CDRSB = Clinical Dementia Rating Scale Sum of Boxes; CN = cognitively normal; GMV = gray matter volume; MMSE = Mini-Mental State Examination; RAVLT = Rey Auditory Verbal Learning Test; sMRI = structural magnetic resonance imaging.

(GM), white matter (WM), and cerebrospinal fluid (CSF). Then the GM component of each run was warped into the MNI space using the subject’s deformation field map generated at the prior step and modulated by the Jacobian determinants, termed absolute gray matter volume (GMV).

The Automated Anatomical Labeling (AAL) atlas and Automated Anatomical Labeling 3 (AAL3) atlas were used to parcel the whole cerebrum into 90 and 140 cortical and subcortical regions, respectively; and the average GMV of each cerebral region of each subject scan was extracted (Tzourio-Mazoyer et al. 2002; Rolls et al. 2020). Finally, the intracranial volume (ICV) (sum of GM, WM, and CSF volumes) was also calculated for further statistics. In contrast to the old version of the AAL atlas, AAL3 had finer-grained subdivisions for the anterior cingulate cortex, orbitofrontal cortex, thalamus, and basal ganglia. The results of the two atlases were cross-validated in the following statistics.

Statistical models for trajectory mapping

A LME model was introduced to delineate the longitudinal atrophic trajectories of the cerebrum during aMCI progressed into AD. LME model allows for modeling both fixed and random effects, and it adapts to irregular follow-up intervals with missing time points (Bernal-Rusiel et al. 2013). In addition, we added the linear and quadratic terms to model the nonlinear atrophic trajectories of the cerebral subregions during AD conversion. Subject-specific random intercepts and slopes terms were considered as random effects to account for the between-subject variance. Site-specific random intercepts term was also modeled to remove the between-site heterogeneity. Besides, ICV, sex, and education were modeled as fixed nuisance covariates. We estimated the effects of linear and quadratic terms based on a joint (or multiple) hypothesis testing using the F-Statistic (Blackwell 2008; Griffiths and Hill 2021). Joint hypothesis testing incorporates multiple null hypotheses

into a single test (for example, we hypothesized that all the linear terms and quadratic interaction terms are zero), which will return an F-statistic and P-value for this joint null hypothesis. The LME was performed using MATLAB 2019 (<https://www.mathworks.com/>). In the present study, several LME models were constructed according to different purposes as described in the following:

Model 1: Effect of group on the cerebral atrophic trajectories with aging

To identify cerebral subregions whose atrophic trajectories were specific for aMCI_C progression rather than normal aging, we first modeled the atrophic trajectories during aging for aMCI_C, CN, and their interactions on the atrophic process. Then, we estimated the total linear and quadratic interaction effects between the age and group based on the joint hypothesis testing using the F-Statistic. We also separately estimated the linear and quadratic age main effects for aMCI_C and CN using joint hypothesis testing. A Bonferroni correction method was used to correct multiple comparisons ($P < 0.05/90$, Bonferroni correction). The model was described in equation (1):

$$\begin{aligned} GMV = & \beta_1 CN + \beta_2 (CN * Age) + \beta_3 (CN * Age^2) \\ & + \beta_4 aMCI_C + \beta_5 (aMCI_C * Age) \\ & + \beta_6 (aMCI_C * Age^2) + \beta_7 ICV \\ & + \beta_8 Sex + \beta_9 Edu + (1 + Age|Subject) + (1|Site) \end{aligned} \quad (1)$$

Age and Age² represent the linear and quadratic terms of age, respectively.

Model 2: Effect of APOE on the cerebral atrophic trajectories with aging in aMCI_C

This model evaluated the influence of APOE polymorphism on the atrophic trajectories with aging for aMCI_C patients in brain regions identified by Model 1. This model was similar to Model 1, except that APOE was used to substitute the group factor (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction, equation (2)).

$$\begin{aligned} GMV = & \beta_1 carrier + \beta_2 (carrier * Age) \\ & + \beta_3 (carrier * Age^2) + \beta_4 noncarrier \\ & + \beta_5 (noncarrier * Age) + \beta_6 (noncarrier * Age^2) \\ & + \beta_7 ICV + \beta_8 Sex + \beta_9 Edu + (1 + Age|Subject) \\ & + (1|Site) \end{aligned} \quad (2)$$

Age and Age² represent the linear and quadratic terms of age, respectively.

Model 3: Atrophic trajectories from aMCI to AD

This model portrayed the quadratic atrophic trajectories of all identified conversion-specific cerebral subregions as a function of time-to-conversion in aMCI_C patients. Time-to-conversion (or termed Time in the equations) is defined as the duration (months) between the time point of a certain sMRI scan and the time point at AD conversion with a negative sign representing time before conversion and positive representing time after conversion. The time point at AD conversion is defined as the first date that an aMCI_C patient reached CDRSB scores equal to or higher than 4.5. This model included the linear and quadratic fixed effects of time-to-conversion, and age and age² were considered as nuisance covariates to control the influence of age on the estimation of the atrophic trajectory (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction, equation (3)).

$$\begin{aligned} GMV = & \beta_1 + \beta_2 Time + \beta_3 Time^2 + \beta_4 ICV \\ & + \beta_5 Sex + \beta_6 Edu + \beta_7 Age + \beta_8 Age^2 \\ & + (1 + Time|Subject) + (1|Site) \end{aligned} \quad (3)$$

Time and Time² represent the linear and quadratic terms of time to AD conversion.

Model 4: Effect of APOE on the atrophic trajectories from aMCI to AD

This model evaluated the influence of APOE polymorphism on the quadratic atrophic trajectories from aMCI to AD. In the first model, we sub-grouped the aMCI_C patients into APOE $\epsilon 4$ carriers versus noncarriers; then we estimated the total linear and quadratic interaction effects between the APOE $\epsilon 4$ carry status and time-to-conversion (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction, equation (4)):

$$\begin{aligned} GMV = & \beta_1 carrier + \beta_2 (carrier * Time) \\ & + \beta_3 (carrier * Time^2) + \beta_4 noncarrier \\ & + \beta_5 (noncarrier * Time) + \beta_6 (noncarrier * Time^2) \\ & + \beta_7 ICV + \beta_8 Sex + \beta_9 Edu + \beta_{10} Age + \beta_{11} Age^2 \\ & + (1 + Time|Subject) + (1|Site) \end{aligned} \quad (4)$$

In the second model, we additionally sub-grouped the aMCI_C patients into five groups with diverse APOE genotypes ($\epsilon 4/\epsilon 4$, $\epsilon 3/\epsilon 4$, $\epsilon 2/\epsilon 4$, $\epsilon 3/\epsilon 3$, and $\epsilon 2/\epsilon 3$); then we remodeled the interaction effects between the APOE genotypes and time-to-conversion (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction, equation (5)):

$$\begin{aligned} GMV = & \beta_1 APOEgenotypes + \beta_2 (APOEgenotypes \\ & * Time) + \beta_3 (APOEgenotypes * Time^2) + \beta_4 ICV \\ & + \beta_5 Sex + \beta_6 Edu + \beta_7 Age + \beta_8 Age^2 \\ & + (1 + Time|Subject) + (1|Site) \end{aligned} \quad (5)$$

Model 5: Effect of age on the atrophic trajectories from aMCI to AD

This model evaluated the influence of age on the quadratic atrophic trajectories from aMCI to AD. We estimated the total linear and quadratic interaction effects between the age and time-to-conversion (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction, equation (6)). Moreover, the annual atrophic rate was calculated for patients with age at 60 and 80 years, respectively.

$$\begin{aligned} GMV = & \beta_1 + \beta_2 \text{ Age} + \beta_3 \text{ Time} + \beta_4 \text{ Age}^2 + \beta_5 \text{ Time}^2 \\ & + \beta_6 (\text{Age} * \text{Time}) + \beta_7 (\text{Age} * \text{Time}^2) \\ & + \beta_8 (\text{Age}^2 * \text{Time}) + \beta_9 (\text{Age}^2 * \text{Time}^2) + \beta_{10} \text{ ICV} \\ & + \beta_{11} \text{ Sex} + \beta_{12} \text{ Edu} + (1 + \text{Age}|\text{Subject}) \\ & + (1 + \text{Time}|\text{Subject}) + (1|\text{Site}) \end{aligned} \quad (6)$$

Model 6: Interaction of age and APOE on the atrophic trajectories from aMCI to AD

This model evaluated the interaction between age and APOE on the quadratic atrophic trajectories from aMCI to AD. The total linear and quadratic interaction effects of the three factors (age, APOE and time-to-conversion) were estimated (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction, equation (7)). In addition, the annual atrophic rate was calculated for different age subgroups of APOE carriers and noncarriers, respectively.

$$\begin{aligned} GMV = & \beta_1 + \beta_2 \text{ Age} + \beta_3 \text{ Time} + \beta_4 \text{ Age}^2 + \beta_5 \text{ Time}^2 \\ & + \beta_6 \text{ APOE} + \beta_7 (\text{Age} * \text{Time} * \text{APOE}) \\ & + \beta_8 (\text{Age} * \text{Time}^2 * \text{APOE}) + \beta_9 (\text{Age}^2 * \text{Time} * \text{APOE}) \\ & + \beta_{10} (\text{Age}^2 * \text{Time}^2 * \text{APOE}) + \beta_{11} \text{ ICV} + \beta_{12} \text{ Sex} \\ & + \beta_{13} \text{ Edu} + (1 + \text{Age}|\text{Subject}) + (1 + \text{Time}|\text{Subject}) \\ & + (1|\text{Site}) \end{aligned} \quad (7)$$

Model 7: Cognitive decline trajectories from aMCI to AD

This model evaluated the quadratic cognitive decline trajectories as a function of time-to-conversion in aMCI_C and had the same independent variables with equation (3) (joint hypothesis testing, $P < 0.05/4$, Bonferroni correction). Cognitive measures included ADAS13, CDRSB, MMSE, RAVLTimm (equation (8)).

$$\begin{aligned} Cognitions = & \beta_1 + \beta_2 \text{ Time} + \beta_3 \text{ Time}^2 + \beta_4 \text{ Sex} \\ & + \beta_5 \text{ Edu} + \beta_6 \text{ Age} + \beta_7 \text{ Age}^2 + (1 + \text{Time}|\text{Subject}) \\ & + (1|\text{Site}) \end{aligned} \quad (8)$$

Model 8: Correlation between cognitive decline and GMV atrophy

This model evaluated the quadratic association between GMV atrophy and cognitive decline. This model included the linear and quadratic terms of cognition as fixed effects (joint hypothesis testing, $P < 0.05/68$, Bonferroni correction). An example of MMSE was shown in equation (9).

$$\begin{aligned} GMV = & \beta_1 + \beta_2 \text{ MMSE} + \beta_3 \text{ MMSE}^2 + \beta_4 \text{ ICV} + \beta_5 \text{ Sex} \\ & + \beta_6 \text{ Edu} + (1 + \text{MMSE}|\text{Subject}) + (1|\text{Site}) \end{aligned} \quad (9)$$

In addition, the annual atrophic rate was calculated within one year, five years, and ten years before conversion using equation (10):

$$\text{Annual atrophic rate} = \frac{(GMV_n - GMV_0)}{n * GMV_n} \quad (10)$$

In which n represents the number of years before AD conversion.

Statistical analyses for demographic data

The demographic data were analyzed using the Statistical Package for the Social Sciences version 26.0 (SPSS). A one-way analysis of variance (ANOVA) (three groups or higher) or two-sample t-test (two groups) was used to compare differences in continuous variables such as age, educational years, follow-up times and duration, cognitive scores between the CN, aMCI_C and aMCI_S groups ($P < 0.05$). In addition, the chi-square test was used to compare differences in categorical variables such as gender and APOE $\epsilon 4$ status ($P < 0.05$).

Predicting AD conversion during follow up

A Cox proportional hazards regression model was introduced to test the potential of identified AD conversion-related volumetric features in predicting the time to dementia conversion. All the involved aMCI subjects (including aMCI_C and aMCI_S) were included in the survival analysis. We excluded the sMRI follow-up scans after AD conversion for aMCI_C. The time when aMCI_C patients first converted into AD was regarded as the end event in the survival model. The survival time was defined as years from the first sMRI scan to AD conversion (aMCI_C) or follow-up censoring (aMCI_C or aMCI_S). We first fitted a Cox proportional hazards regression model with the GMV of the 68 cerebral subregions as features and end event (conversion or not conversion) at certain follow-up time points as labels. In addition, to evaluate the predictive value of each of the 68 cerebral subregions, we additionally fitted the Cox proportional hazards regression models with the GMV of a single cerebral region as the feature one-by-one. To evaluate how well these features could discriminate

between converters and non-converters, we calculated time-dependent areas under the receiver operating characteristic (ROC) curve (AUC) at each follow-up and the overall average AUC across all follow-ups. The GMV was adjusted for gender, educational years, and site effects using linear regression before survival analysis.

Subject-wise cross-validation was adopted during model training to avoid overestimating the predictive ability (Cudeck and Browne 1983). First, the total aMCI patients were randomly separated into five subsets with approximately equal sample sizes, in which four subsets were used for training, and the remaining one subset was used to test the performance. Considering the randomness of subgrouping, we randomly shuffled the aMCI patients five times and averaged the performances of the 5 times as the final outcomes. Besides, an independent testing dataset including 24 qualified subjects who developed from CN to AD during follow-up was also enrolled to test the performance of the prediction model. A permutation method was used to test the significance of the predictive performance. Specifically, we randomly shuffled the end event (conversion or not conversion) of the training dataset and trained a pseudo-survival model, which was then used to predict the event of the testing dataset to obtain the null AUC. The above step was repeated 1000 times to obtain a null distribution for AUCs. The significance of performance was determined by judging how likely the actual AUC falls into the null distribution ($P < 0.05$). The survival analysis was carried out using the scikit-survival package (version 0.15.1) of Python.

Result

Demographic findings

The demographic characteristics and cognitive performance of the study population are presented in Table 1. One-way ANOVA demonstrated no statistical difference in age at the first sMRI scan ($F = 0.086$, $P = 0.918$) and follow-up duration ($F = 2.388$, $P = 0.093$) among the CN, aMCI_C, and aMCI_S. Post hoc analysis demonstrated no statistical difference in gender, education, and the number of sMRI scans per subject between aMCI_C and aMCI_S patients ($P > 0.05$). The aMCI_C patients had a higher ratio of males (Chi-square test: $\chi^2 = 4.64$, $P = 0.031$), lower education (two-sample t-test: $t = 2.64$, $P = 0.009$), a higher ratio of APOE $\epsilon 4$ carriers (Chi-square test: $\chi^2 = 70.64$, $P < 0.001$), and worse cognitive functions at the first sMRI scan (two-sample t-test: all $P < 0.001$) than the CN. Additionally, within the aMCI_C patients, 183 APOE $\epsilon 4$ carriers (containing at least one $\epsilon 4$ allele copy) and 93 noncarriers were identified (Supplementary Table 1), including five APOE genotypes: $\epsilon 4/\epsilon 4$ (46 cases), $\epsilon 3/\epsilon 4$ (129 cases), $\epsilon 2/\epsilon 4$ (8 cases), $\epsilon 3/\epsilon 3$ (84 cases), and $\epsilon 2/\epsilon 3$ genotypes (9 cases) (Supplementary Table 2). All aMCI_C patients had 1165 sMRI scans before AD conversion, of which 235 cases had 544 sMRI scans during the dementia stage (Supplementary Table 3). Two-sample

t-test found that APOE $\epsilon 4$ carriers were younger than the noncarriers ($t = -3.05$, $P = 0.003$), and RAVLTimm was worse for APOE $\epsilon 4$ carriers than noncarriers ($t = -2.31$, $P = 0.022$) (Supplementary Table 1).

Cerebral atrophic trajectories as a function of age in CN and aMCI_C groups

Among the 90 cerebral subregions in AAL atlas, LME identified 68 regions whose quadratic atrophic trajectories with aging in aMCI_C were faster than the CN, including the bilateral hippocampus, parahippocampal gyrus (PHG), superior temporal pole (STP), insula, and so on, indicating that these 68 subregions were specific indicators for aMCI-to-AD conversion (joint hypothesis testing, $P < 0.05/90$, Fig. 2A-D). Sixty of the 68 cerebral subregions could be verified by AAL3 atlas using a strict threshold ($P < 0.05/90$); the remaining 8 subregions can be validated by AAL3 atlas using a looser threshold (P values: from $5.59e^{-4}$ to $5.08e^{-2}$). Moreover, AAL3 atlas additionally identified four subregions whose atrophic trajectories with aging in aMCI_C were faster than the CN, including the left olfactory cortex, left calcarine fissure, right superior occipital gyrus, and right supramarginal gyrus ($P < 0.05/90$), and 3 of them can be validated by AAL atlas using a looser threshold (P values: from $2.13e^{-3}$ to $5.26e^{-3}$) except for the left olfactory cortex ($P = 0.193$) (Fig. 3, Supplementary Table 4). An example of the atrophic trajectories as a function of the age of the bilateral hippocampus for the aMCI_C and CN was shown in Fig. 2E (left: $F = 105.89$, $P = 3.80e^{-45}$, right: $F = 116.01$, $P = 3.09e^{-49}$). In the aMCI_C, AAL atlas further identified that APOE $\epsilon 4$ carriers had faster atrophy in 45 of the 68 cerebral subregions than the noncarriers, of which 42 cerebral regions can be verified by AAL3 atlas (Supplementary Fig. 2, Supplementary Fig. 3, and Supplementary Table 5, $P < 0.05/68$).

Cerebral atrophic trajectories from aMCI to AD

Based on the identified 68 AAL cerebral regions that showed faster atrophy during aging in aMCI_C, we further fitted the quadratic atrophic trajectories of these regions as a function of time-to-conversion. We found all of these 68 cerebral regions showed quadratic atrophy as a function of time-to-conversion, especially in the bilateral STP, caudate, hippocampus, and pallidum, and all the positive findings revealed by the AAL atlas could be verified by AAL3 atlas (Fig. 4A and B, Supplementary Fig. 4 and Supplementary Table 6, joint hypothesis testing, $P < 0.05/68$). One example of the bilateral hippocampal atrophic trajectories during AD conversion was shown in Fig. 4C (left: $F = 167.48$, $P = 4.02e^{-67}$, right: $F = 140.76$, $P = 2.69e^{-57}$). The annual atrophic rates of these regions were highest within one year before conversion, followed by five years, and the lowest for ten years, suggesting accelerated atrophy during conversion from aMCI to AD. The top 5 highest annual atrophic rate was shown in the right pallidum (11.89%), left pallidum (8.96%), left

Table 1. Main demographic and clinical characteristics of the participants.

Characteristic	CN	aMCI_C	aMCI_S	Statistics	P values	CN vs. aMCI_C P values	aMCI_C vs. aMCI_S P values	Validation (CN to AD) values
Number of subjects	232	276	279	–	–	–	–	24
Total no. of sMRI scans	1265	1709	1669	–	–	–	–	189
Age at first sMRI scan (years)	73.87 ± 6.12	74.12 ± 6.80	73.99 ± 7.56	$F = 0.086$	0.918	–	–	75.68 ± 4.39
Gender (males/females)	114/118	162/114	164/115	$\chi^2 = 6.118$	0.047	0.031	0.984	8/16
Education (years)	16.66 ± 2.52	16.04 ± 2.73	15.79 ± 2.92	$F = 6.584$	0.001	0.009	0.303	15.71 ± 2.68
APOE $\epsilon 4$ (carriers/noncarriers) ^a	67/165	183/93	120/159	$\chi^2 = 73.652$	<0.001	<0.001	<0.001	10/14
No. sMRI scans per subject	5.45 ± 2.43	6.19 ± 2.25	5.98 ± 1.74	$F = 7.844$	<0.001	<0.001	0.219	7.88 ± 2.67
Follow-up duration (years)	4.20 ± 2.98	3.86 ± 2.32	3.72 ± 2.24	$F = 2.388$	0.093	–	–	7.08 ± 3.25
First sMRI scan								
ADAS13	9.32 ± 4.55	20.91 ± 6.74	15.09 ± 5.25	$F = 260.22$	<0.001	<0.001	<0.001	9.74 ± 3.98
CDRSB	0.00 ± 0.00	1.97 ± 0.94	1.26 ± 0.63	$F = 548.72$	<0.001	<0.001	<0.001	0.00 ± 0.00
MMSE	29.06 ± 1.17	26.91 ± 1.87	27.81 ± 1.79	$F = 103.31$	<0.001	<0.001	<0.001	29.42 ± 0.95
RAVLT immediate	45.01 ± 10.10	29.28 ± 8.71	35.07 ± 9.88	$F = 168.89$	<0.001	<0.001	<0.001	43.00 ± 9.43

^aAPOE $\epsilon 4$ carriers indicate subjects having one or two copies of $\epsilon 4$ alleles. Continuous variables are shown as “mean ± standard deviation.” P values in bold indicate significant differences between groups.

STP (4.25%), left hippocampus (4.18%), and right caudate (4.14%) within one year before AD conversion (Fig. 4D).

Effects of APOE and aging on the cerebral atrophic trajectories from aMCI to AD

LME fitting based on the AAL atlas further identified eight cerebral regions whose atrophic trajectories following time-to-conversion were regulated by APOE mutation, including the bilateral caudate and hippocampus, right insula and olfactory gyrus, and left Heschel gyrus and superior temporal gyrus (STG) (Fig. 5A, joint hypothesis testing, $P < 0.05/68$). Six of the 8 cerebral subregions can be verified by AAL3 atlas using a strict threshold ($P < 0.05/68$), and the other two brain regions can be validated by AAL3 atlas using a looser threshold (left Heschel gyrus: $P = 4.82e^{-3}$, left STG: $P = 2.08e^{-2}$). Besides, the left middle temporal gyrus was additionally identified by the AAL3 atlas ($P < 0.05/68$) (Supplementary Fig. 5, Supplementary Table 7). Post hoc analysis demonstrated that APOE $\epsilon 4$ carriers suffered faster accelerated quadratic atrophy than the noncarriers in these areas (Fig. 5B and C, $P < 0.05/8$). The atrophic trajectories of the hippocampus from aMCI to AD for the $\epsilon 4$ carrier and noncarrier patients were shown in Fig. 5D (left: $F = 8.58$, $P = 1.97e^{-4}$, right: $F = 11.91$, $P = 7.34e^{-6}$). The further inter-genotype comparison demonstrated that APOE $\epsilon 4$ carriers ($\epsilon 4/\epsilon 4$, $\epsilon 3/\epsilon 4$, and $\epsilon 2/\epsilon 4$) encountered the fastest accelerated quadratic atrophy, followed by $\epsilon 3$ homozygous, and $\epsilon 2/\epsilon 3$ carriers slowed down the atrophic trajectories (Fig. 5D, Supplementary Fig. 6).

In addition, the AAL atlas identified a significant regulation of aging on the atrophic trajectories in 32 cerebral regions following time to AD conversion (Fig. 6A and B, joint hypothesis testing, $P < 0.05/68$),

especially for bilateral STP, STG, and right hippocampus, in which 28 subregions can be verified by AAL3 atlas using a strict threshold ($P < 0.05/68$), and the remaining 4 can be verified using a loose threshold (P values from $9.48e^{-4}$ to $7.07e^{-3}$). Two cerebral subregions (right superior frontal gyrus and left Rolandic operculum) were additionally identified by the AAL3 atlas (Supplementary Fig. 7, Supplementary Table 8, $P < 0.05/68$). For example, younger patients had faster accelerated atrophy in the bilateral hippocampus than those older (Fig. 6C, left: $F = 8.25$, $P = 1.38e^{-6}$, right: $F = 12.55$, $P = 4.62e^{-10}$). Besides, the annual atrophic rates of younger patients appeared more rapid than older patients, and the greatest atrophic rate was observed within one year before conversion, with about 5.55% in the left PCC at 60 years old, while only 2.47% at 80 years old (Fig. 6D).

Finally, a complex interaction between APOE gene risk and aging on the atrophic trajectories following AD conversion was found in 15 cerebral regions by AAL atlas (Fig. 7A and B, joint hypothesis testing, $P < 0.05/68$), in which 10 subregions can be verified by AAL3 atlas using a strict threshold ($P < 0.05/68$), and the remaining 5 can be verified using a loose threshold (P values from $1.21e^{-3}$ to $9.70e^{-3}$). Besides, the right lingual gyrus was additionally identified by AAL3 atlas (Supplementary Fig. 8, Supplementary Table 9, $P < 0.05/68$). Post hoc analysis demonstrated the regulation effects of aging on the atrophic trajectories of these areas following time to AD conversion were only evident in APOE $\epsilon 4$ carriers while not in noncarriers (Fig. 7C and D and Supplementary Fig. 9, $P < 0.05/15$). The atrophic trajectories of the hippocampus at different ages for the APOE $\epsilon 4$ carriers and noncarriers were

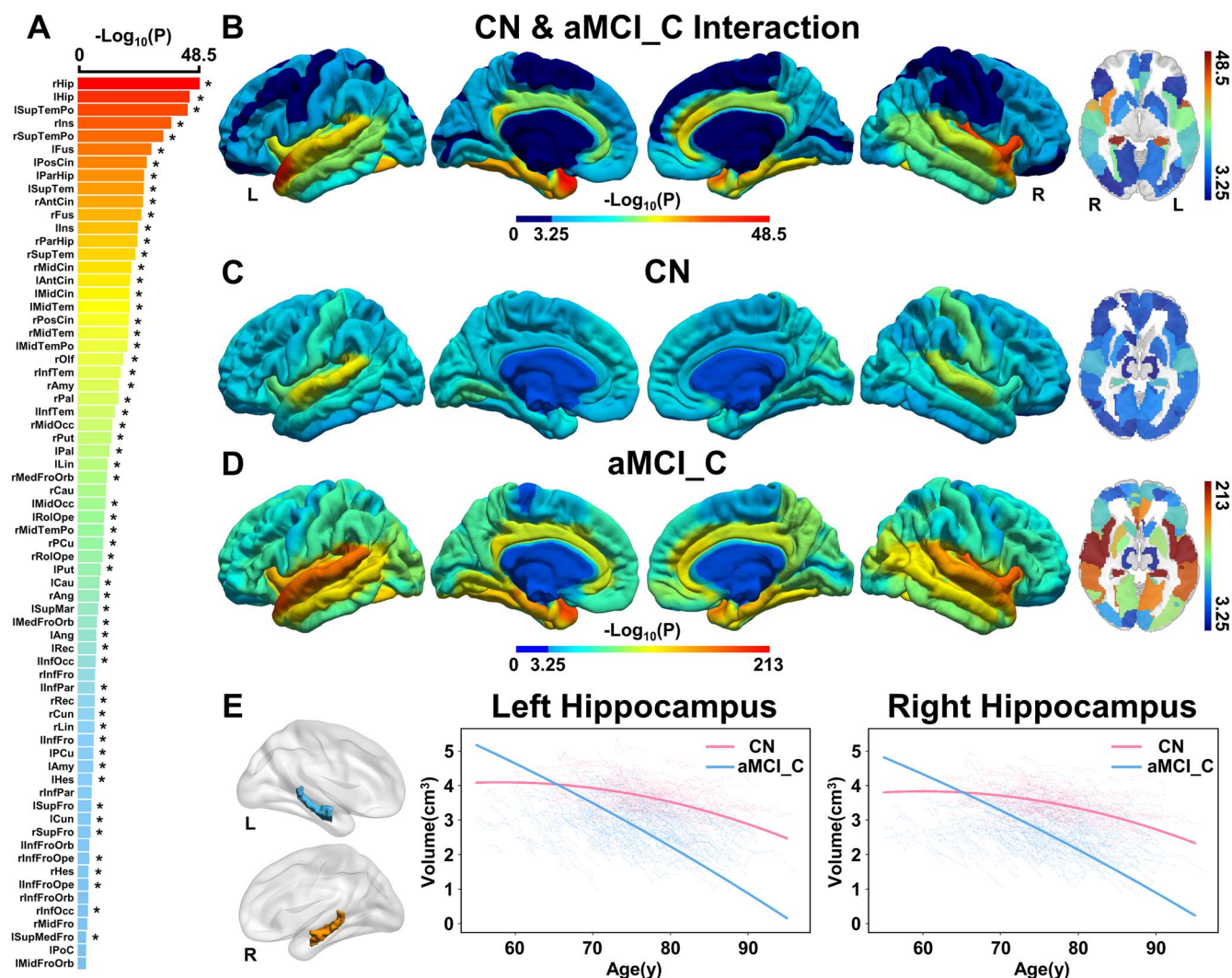


Fig. 2. Cerebral longitudinal atrophic trajectories following aging in CN and aMCI_C groups. (A) The ordered $-\log_{10}(P)$ values of cerebral regions with significantly accelerated cerebral atrophy following age in aMCI_C relative to CN. A linear mixed-effects model was used to estimate the quadratic cerebral atrophic differences between the groups ($P < 0.05/90$, Bonferroni correction). Asterisk (*) indicates cerebral regions that the AAL3 atlas can validate. (B) The cortical maps of the group differences in quadratic cerebral atrophy as a function of aging. Color bar represents the $-\log_{10}(P)$ values. Dark blue represents non-significant. (C) and (D) represents $-\log_{10}(P)$ values of the quadratic cerebral atrophy as a function of aging in CN and aMCI_C, respectively. (E) Age-related atrophy changes in the hippocampus. Solid red (CN) and blue (aMCI_C) lines represent the fitted GMV change as a function of aging. The full names of the brain regions are shown in Supplementary Table 4. Abbreviations: aMCI_C = amnesic mild cognitive impairment converters; CN = cognitively normal; GMV = gray matter volume; L = left; R = right; y = year.

presented in Fig. 7E (left: $F = 5.42$, $P = 2.44e^{-4}$, right: $F = 7.27$, $P = 8.35e^{-6}$).

The trajectory of cognitive changes from aMCI to AD and associations with cerebral atrophy

Quadratic LME model further identified significantly accelerated cognitive decline following the development of AD (joint hypothesis testing, ADAS13: $F = 289.21$, $P = 1.12e^{-107}$; CDRSB: $F = 584.92$, $P = 4.60e^{-191}$; MMSE: $F = 264.30$, $P = 7.68e^{-100}$; RAVLTimm: $F = 142.79$, $P = 1.12e^{-57}$). The cognitive decline became more severe when the onset time was closer and worsen after dementia (Fig. 8A). Finally, based on the AAL atlas, worse cognitive impairment was correlated with greater atrophy of the bilateral hippocampus (Fig. 8B) and other cerebral regions such as the STP and insula, which could be

verified by AAL3 atlas (Supplementary Table 10, $P < 0.05$, Bonferroni correction).

Performance of AD conversion prediction

Using the Cox proportional hazards regression model based on 68 cerebral subregions GMV features, the permutation test demonstrated significantly higher time-dependent AUCs at each follow-up point (from 0.688 to 0.773) and average AUC (0.714) compared to the null AUCs distribution for the aMCI testing dataset ($P < 0.001$) (Fig. 9A). Furthermore, on an independent testing dataset with 24 participants who developed from CN to AD during follow-up, the time-dependent AUCs at each follow-up point (from 0.800 to 0.880) and average AUC (0.814) were also significantly higher than the null distribution (permutation test, $P < 0.001$) (Fig. 9B), indicating that

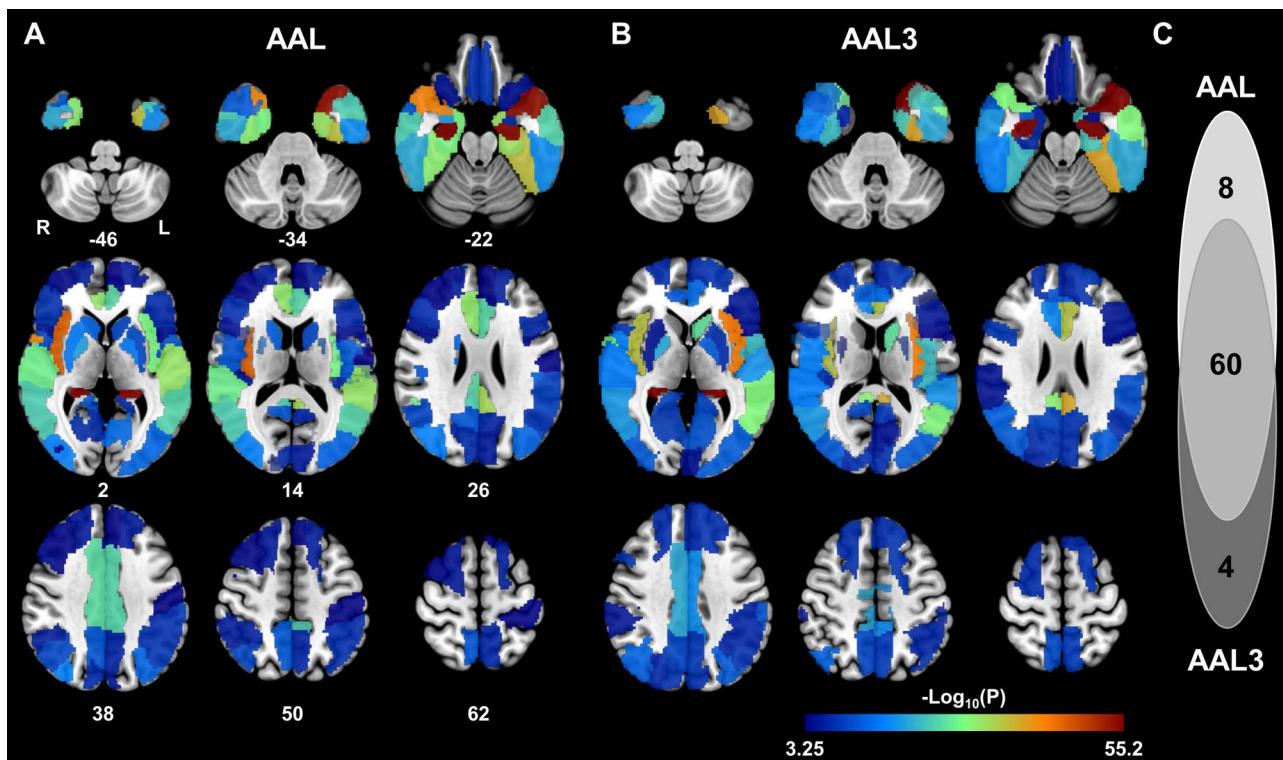


Fig. 3. The cerebral subregions with faster quadratic atrophy as a function of aging in aMCI_C groups compared to CN identified by AAL and AAL3 atlas. (A) The identified 68 cerebral regions by AAL atlas. (B) The identified 64 cerebral regions by AAL3 atlas. (C) Overlaps of the identified cerebral regions between AAL and AAL3 atlas ($P < 0.05/90$, Bonferroni correction). Abbreviations: aMCI = amnesic mild cognitive impairment; AAL = Automated Anatomical Labeling; CN = cognitively normal; L = left; R = right.

these AD conversion-related cerebral atrophic features could reliably predict the time to AD conversion.

We further evaluate the predictive value of the Cox proportional hazards regression model based on a single cerebral GMV feature. Permutation test identified 62 out of the 68 cerebral subregions whose GMV feature could significantly predict the time to AD conversion ($P < 0.001$). The cerebral subregions with the top 5 average AUC included the left amygdala (average AUC = 0.745), left middle temporal gyrus (average AUC = 0.736), right inferior temporal gyrus (average AUC = 0.730), left inferior temporal gyrus (average AUC = 0.728), and left hippocampus (average AUC = 0.726) (Fig. 9C and Supplementary Table 11).

Discussion

In this study, a major aim was to map the cerebral longitudinal atrophic trajectories during the conversion from early aMCI to AD. Linear mixed-effects model unraveled that most cerebral regions suffered quadratically accelerated atrophy during the progression from early aMCI to AD, which can be verified in both AAL and AAL3 atlas. Furthermore, APOE $\epsilon 4$ carriers with younger age demonstrated faster atrophic rates than the noncarriers with older age. Finally, the Cox proportional hazards regression model using the GMV of the identified cerebral regions could effectively predict the time to dementia conversion within 10 years. Our study demonstrated that

cerebral atrophic trajectory mapping helps a comprehensive understanding of AD development and offers potential biomarkers for early predicting AD conversion.

Early studies had reported progressively whole-brain shrinkage (Jack Jr et al. 2008), MTL atrophy (Younes et al. 2014; Lindemer et al. 2015; Pegueroles et al. 2017; Younes et al. 2019), white matter degeneration (Lindemer et al. 2015), and ventricular expansion (Jack Jr et al. 2008) when individuals progressed from aMCI to AD. Our findings agreed with these findings. Moreover, except for the MTL atrophy, we unraveled that most other cerebral regions suffered obvious GMV loss during the AD conversion, especially areas outside the MTL, such as the superior temporal pole and gyrus, insula, striatum, and cingulate cortex. These cerebral regions are of particular interest because they are affected very early in AD progression. Our findings were in line with early neuropathological studies showing that neurofibrillary degeneration gradually spreads from the MTL to the anterior temporal cortex, polymodal association areas (prefrontal, parietal inferior, temporal superior), and finally to all neocortical areas in aging and Alzheimer's disease (Delacourte et al. 1999). A study also reported that fibrillar amyloid- β plaque deposition was first observed in the striatum of AD at 17 years before symptom onset (Rodriguez-Vieitez et al. 2016).

In comparison with early studies focusing on the linear changes of GMV over time-to-AD conversion (Lo et al. 2011; Corriveau-Lecavalier et al. 2019), our models

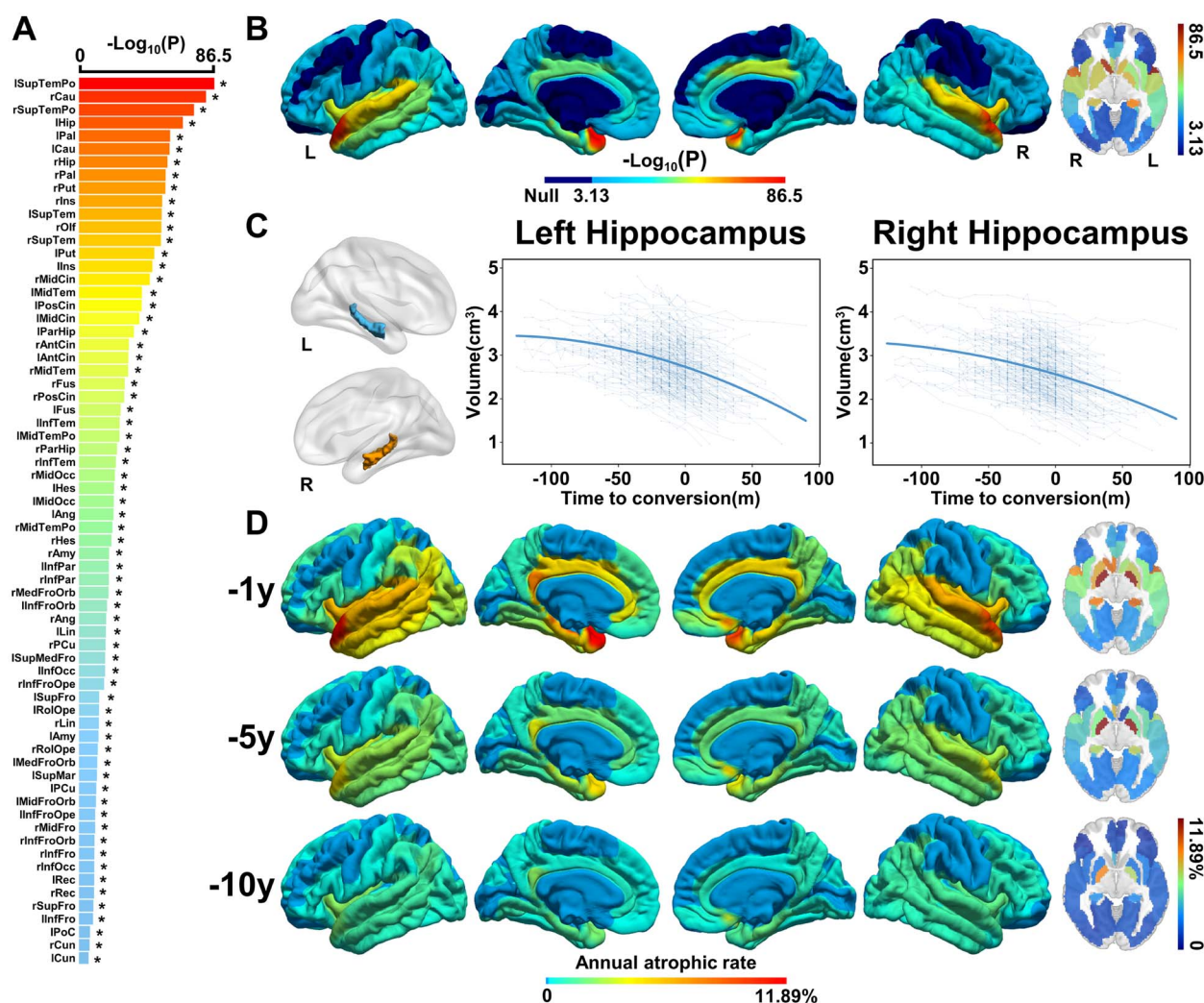


Fig. 4. Cerebral longitudinal atrophic trajectories from aMCI to AD. (A) The ordered $-\log_{10}(P)$ values of cerebral regions with significantly accelerated cerebral atrophy from aMCI to AD. A linear mixed-effects model was used to estimate the quadratic cerebral atrophic trajectories as a function of time to AD conversion ($P < 0.05/68$, Bonferroni correction). Asterisk (*) indicates cerebral regions that the AAL3 atlas can validate. (B) The quadratic cerebral atrophic maps as a function of time to AD conversion. The color bar represents the $-\log_{10}(P)$ values. Dark blue represents non-significant. (C) The atrophic trajectories of the hippocampus during the conversion. Solid blue lines represent the fitted hippocampal volume as a function of time to AD conversion. (D) The maps of average annual atrophic rate within 1 year, 5, and 10 years prior to AD conversion. The full names of the brain regions are shown in [Supplementary Table 6](#). Abbreviations: L = left; m = month; R = right.

further emphasized the quadratic GMV atrophy of these regions: slightly atrophy during the early aMCI, accelerated atrophy when closer to AD conversion, and acceleration after conversion. We found that the top 5 highest annual atrophic rate was shown in the right pallidum (11.89%), left pallidum (8.96%), left superior temporal pole (4.25%), left hippocampus (4.18%), and right caudate (4.14%) within one year before AD conversion. These findings were in line with previous reports of progressive atrophy of the MTL (Younes et al. 2014; Bilgel and Jedynak 2019) and superior temporal cortex (Risacher et al. 2010; Phillips et al. 2019; Contador et al. 2021) during the progression of AD. However, there were inconsistent reports on the atrophy of subcortical structures in AD, especially for the pallidum. Several

neuroimaging studies had reported unchanged pallidum volume in the AD (Yi et al. 2016) or aMCI (Roh et al. 2011; Benzinger et al. 2013). In contrast, mild atrophy in striatal structures (including the caudate, pallidum, and putamen) was also detected in MCI and AD (Tang et al. 2014). Furthermore, increased amyloid deposition has been reported in these striatal structures in pre-symptomatic autosomal dominant AD mutation carriers (Benzinger et al. 2013), abnormal insula-pallidum connectivity was reported in early-stage AD (Chen et al. 2013), and the GMV of the pallidum was associated with the short-term memory in MCI patients (Valdés Hernández et al. 2020). These studies supported our findings of accelerated atrophy of the striatal structures (pallidum, caudate, and putamen) during aMCI progressed into AD. It should

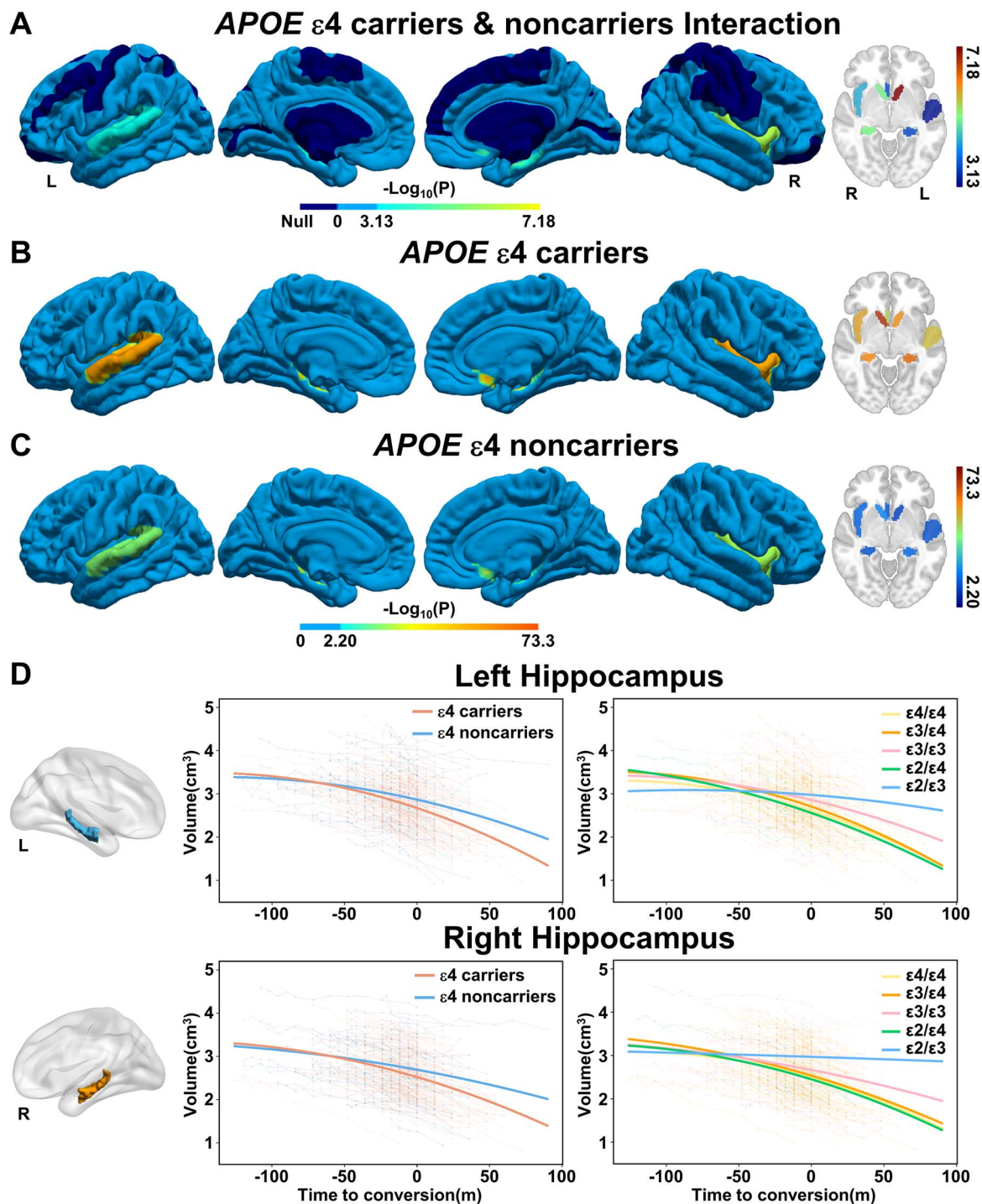


Fig. 5. Effects of *APOE* $\epsilon 4$ on the cerebral longitudinal atrophic trajectories from aMCI to AD. (A) The cortical maps of difference in cerebral longitudinal atrophic trajectories between *APOE* $\epsilon 4$ carriers and noncarriers. A linear mixed-effects model was used to estimate the effect of *APOE* polymorphism on the quadratic cerebral atrophic trajectories as a function of time to AD conversion (Fig. < 0.05/68, Bonferroni correction). The color bar represents the $-\log_{10}(P)$ values. Dark blue represents non-significant. Panels (B) and (C) represent the quadratic cerebral atrophic maps as a function of time to AD conversion in *APOE* $\epsilon 4$ carriers and noncarriers, respectively. (D) The atrophic trajectories of the hippocampus during the conversion in *APOE* $\epsilon 4$ carriers and noncarriers (left panels) and in five *APOE* genotypes (right panels). Abbreviations: *APOE* = apolipoprotein E; L = left; m = month; R = right.

be noted that the identified annual atrophic rate of bilateral pallidus was extraordinarily double higher than that of hippocampus within one year before AD conversion. A possible reason is that the segmentation of gray matter near the pallidus is not quite accurate based on the 3D T1W MPRAGE sMRI images because

this sequence is not good enough to visualize the contours of some subcortical structures, especially for the pallidum and thalamus (Visser et al. 2016). It can also partially interpret the negative findings for the thalamic subdivisions defined by AAL3. Moreover, we also validated that the cerebral atrophic trajectories were

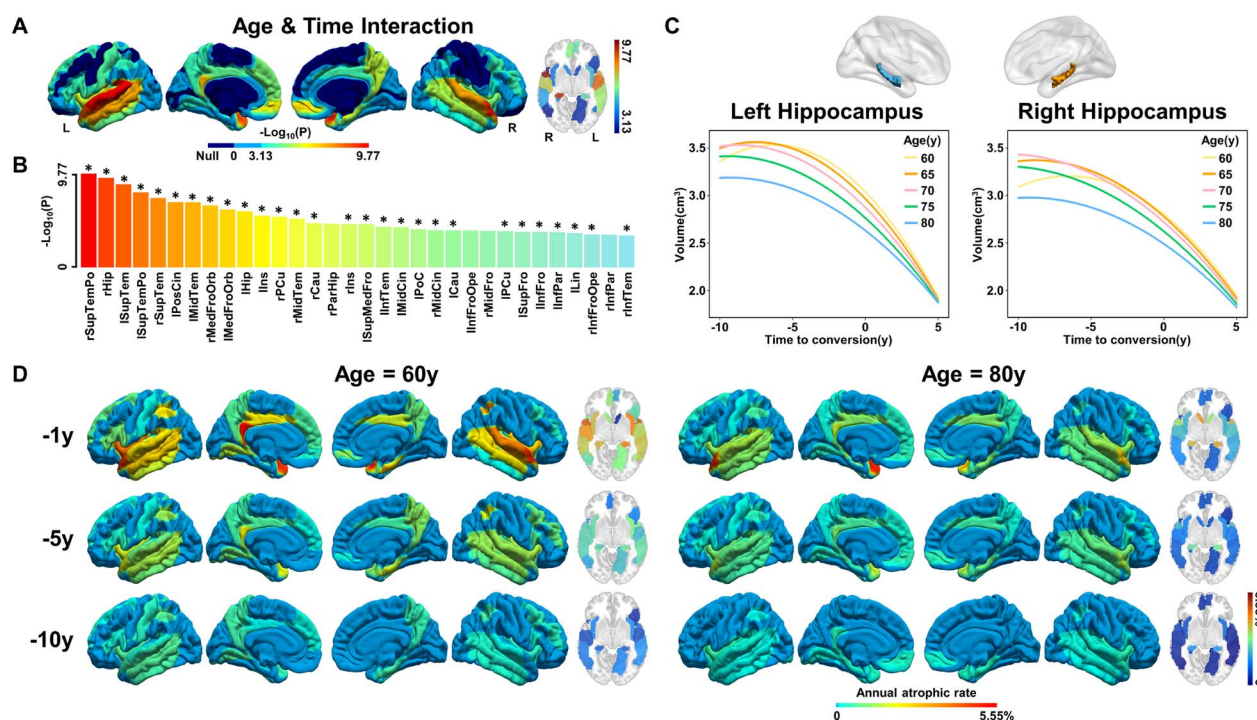


Fig. 6. Effects of age on the cerebral longitudinal atrophic trajectories from aMCI to AD. Panels (A) and (B) represent the cerebral regions with significant *Age* × *Time* interaction effects on cerebral atrophy. A linear mixed-effects model was used to estimate the quadratic interaction between age and time to AD conversion on GMV ($P < 0.05/68$, Bonferroni correction). Asterisk (*) indicates cerebral regions that the AAL3 atlas can validate. (C) The quadratic atrophic trajectories of the hippocampus as a function of time to AD conversion at an interval of five years between 60 and 80 age. (D) The maps of average annual atrophic rate within 1 year, 5 years, and 10 years prior to AD conversion at age 60 (left panel) and 80 (right panel) years. The full names of the brain regions are shown in Supplementary Table 8. Abbreviations: GMV = gray matter volume; L = left; R = right; y = year.

closely associated with accelerated cognitive decline during the conversion from aMCI to AD (Dicks et al. 2019). Thus, the unraveled cerebral atrophic trajectories from aMCI to AD in the present study provides potential neuroimaging biomarkers for monitoring and predicting AD progression and highlights the importance of early intervention before severe atrophy (Whitwell 2010; Counts et al. 2017).

We also found that APOE and aging selectively modulated the atrophic trajectories of specific cerebral regions. First, we found that the $\epsilon 4$ alleles could speed up the atrophy during conversion from aMCI to AD and during aging progress in several brain regions such as caudate, hippocampus and insula. Furthermore, we also found that APOE $\epsilon 4$ carriers ($\epsilon 4/\epsilon 4$, $\epsilon 3/\epsilon 4$ and $\epsilon 2/\epsilon 4$) encountered the fastest accelerated quadratic atrophy, followed by $\epsilon 3$ homozygous, and slowest for $\epsilon 2/\epsilon 3$ carriers in the hippocampus, superior temporal gyrus, insula and caudate. These findings were consistent with earlier studies showing more severe atrophy of multiple brain regions in APOE $\epsilon 4$ carriers of AD (Hashimoto et al. 2001; Spampinato et al. 2011), progressive aMCI patients (Spampinato et al. 2011; Susanto et al. 2015), and even cognitive-normal adults (Taylor et al. 2014; Nao et al. 2017) than the non-carriers. A recent study reported that APOE $\epsilon 4$ carriers demonstrated faster atrophic rates of the whole brain,

hippocampus, entorhinal cortex and middle temporal gyrus after transitioning to dementia but not during aMCI (Chen et al. 2021). In contrast, we further unraveled that the APOE regulation effects occur before AD conversion, supported by early studies showing the impact of APOE $\epsilon 4$ on the brain atrophy of aMCI (Spampinato et al. 2011; Susanto et al. 2015), and cognitive-normal adults (Taylor et al. 2014; Nao et al. 2017). Thus, our findings further verify the evidence that APOE $\epsilon 4$ could accelerate cerebral atrophy and AD progression, while $\epsilon 2$ genotype could slow down the cerebral atrophy and AD conversion (Salvadó et al. 2021).

On the influence of age on atrophic progression, we found younger patients atrophied faster than older patients during AD conversion in 32 cerebral regions, particularly in STP, STG, and hippocampus. The annual atrophic rates of these regions decreased with aging and increased with close to AD conversion, indicating that patients with younger age and closer to AD onset demonstrate a higher atrophic rate of cerebral regions. These results were consistent with prior findings showing more severe brain atrophy in the MTL for younger aMCI and AD patients (Fan et al. 2012; Fiford et al. 2018), and more rapid cortical thinning in early-onset AD patients than late-onset AD (Cho et al. 2013). The difference with previous studies was that we evaluated the interaction

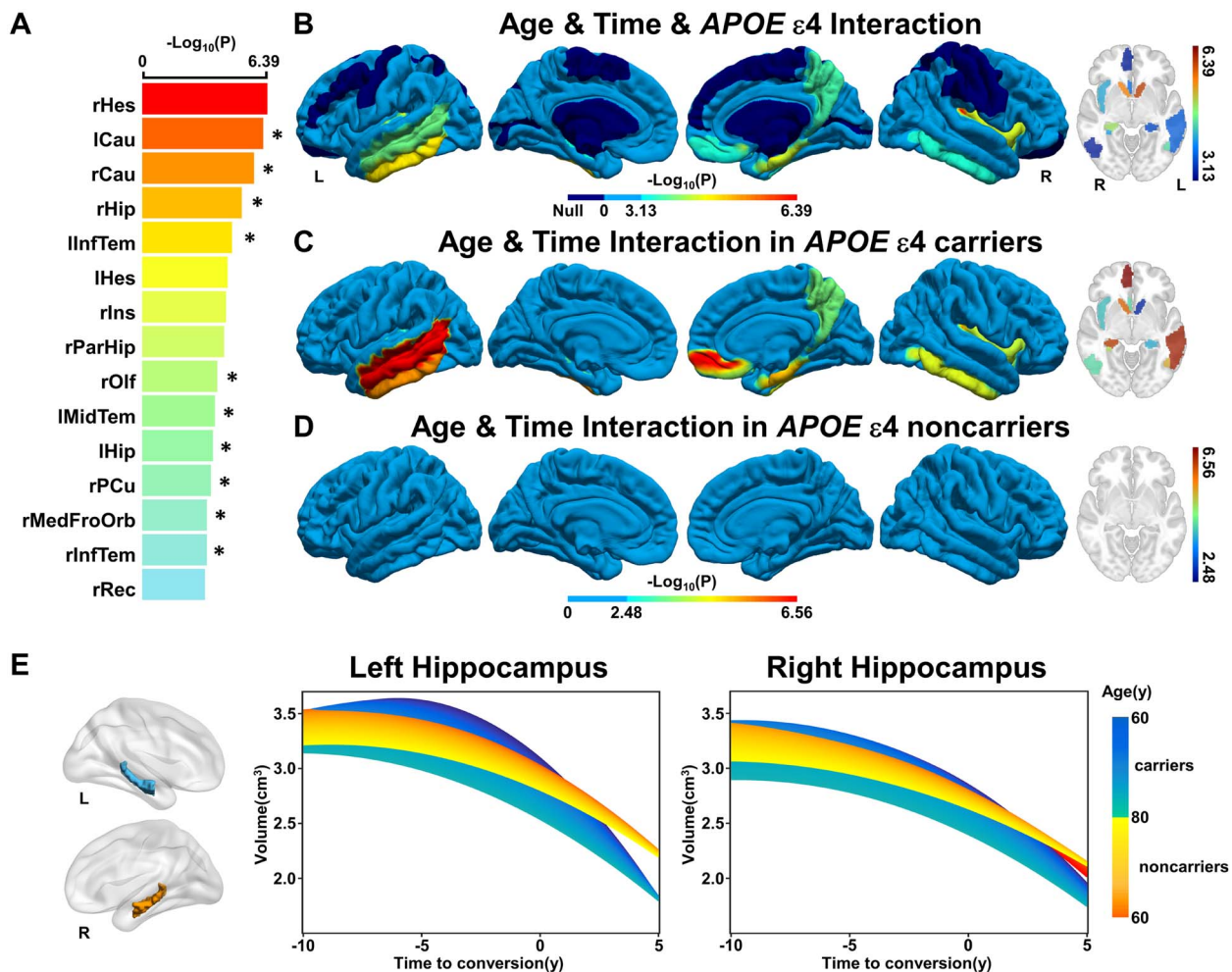


Fig. 7. Effects of APOE $\epsilon 4$ and age on the cerebral longitudinal atrophic trajectories from aMCI to AD. Panels (A) and (B) represent the cerebral regions with significant APOE \times Age \times Time interaction effects on the cerebral atrophy, respectively. A linear mixed-effects model was used to estimate the quadratic interaction between APOE, age, and time to AD conversion on GMV ($P < 0.05/68$, Bonferroni correction). Asterisk (*) indicates cerebral regions that the AAL3 atlas can validate. (C) and (D) represent the effect of aging on the quadratic cerebral atrophic trajectories as a function of time to AD conversion in APOE $\epsilon 4$ carriers and noncarriers, respectively. (E) The effects of APOE polymorphism and aging on the quadratic atrophic trajectories of the hippocampus as a function of time to AD conversion. The full names of the brain regions are shown in [Supplementary Table 9](#). Abbreviations: APOE = apolipoprotein E; GMV = gray matter volume; L = left; R = right; y = year.

between aging and time to AD onset on the cerebral atrophy, which provided more direct evidence about how aging regulates the progress of AD conversion.

Furthermore, we examined whether aging regulation on cerebral atrophic trajectories from aMCI to AD is still affected by APOE risk. Our results showed that aging exerted a strong region-specific regulation on cerebral atrophy during AD conversion only for APOE $\epsilon 4$ carriers rather than the noncarriers. A few studies described the effects of APOE genotype in combination with age on brain atrophy (Lind et al. 2006; Cacciaglia et al. 2018; Martí-Juan et al. 2021). For example, Martí-Juan et al. reported a nonlinear interaction between APOE $\epsilon 4$ allele load and aging in the hippocampal surface of cognitively intact individuals (Martí-Juan et al. 2021). We found that not only the hippocampus but also many other cerebral regions demonstrate strong Aging \times APOE interactions on

atrophic trajectories. One example is the primary auditory cortex. Recent studies have shown significantly gray matter atrophy (Ren et al. 2018), white matter integrity impairment (Ma et al. 2016) and decreased GABA (Gao et al. 2015) in the primary auditory cortex of the presbycusis elderly. Presbycusis has been indicated as an independent risk factor for mild cognitive decline and AD (Panza et al. 2015) and APOE $\epsilon 4$ allele is the common strong risk factor for presbycusis and AD (Kurniawan et al. 2012). Thus, the strong Aging \times APOE interactions on Heschel's atrophic trajectories might indicate that Heschel's atrophy is a potential early indicator of AD conversion for APOE $\epsilon 4$ carriers with younger age. In summary, these findings indicated that early intervention is particularly crucial for younger subjects accompanied with APOE $\epsilon 4$ alleles and cerebral atrophy to prevent cognitive worsening (Bernick et al. 2012).

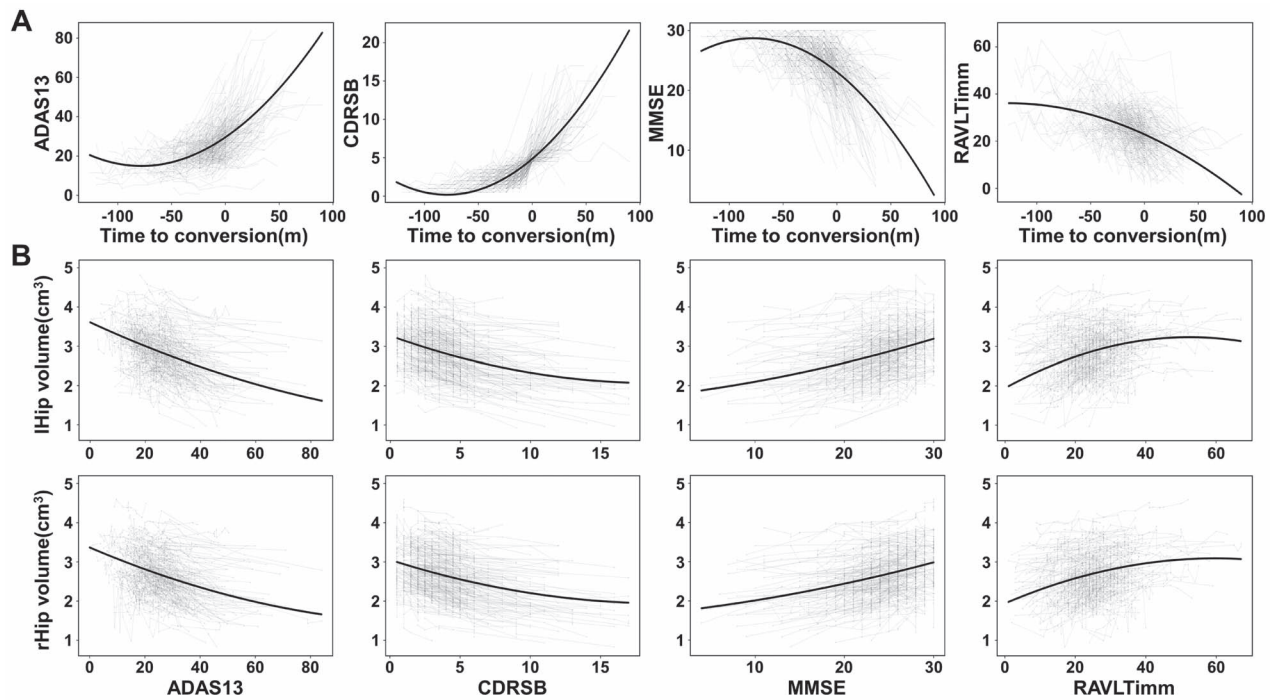


Fig. 8. The association between cognitive decline and hippocampal volume from aMCI to AD. (A) the quadratic cognitive decline trajectories as a function of time to AD conversion. A linear mixed-effects model was used to estimate the quadratic changes of cognitive functions as a function of time to AD conversion. (B) The quadratic association between hippocampal volume and cognitive functions. Abbreviations: ADAS13 = Alzheimer's Disease Assessment Scale Cognitive-13; CDRSB = Clinical Dementia Rating Scale Sum of Boxes; lHip = left hippocampus; m = month; MMSE = Mini-Mental State Examination; RAVLTimm = Rey Auditory Verbal Learning Test immediate recall; rHip = right hippocampus.

Identifying AD conversion-related markers is critical for monitoring the disease progression and predicting AD conversion (Davatzikos et al. 2009; Misra et al. 2009; Davatzikos et al. 2011). Based on the identified cerebral atrophic features, we further tried to test their potential in predicting the risk of aMCI converted to AD at a certain follow-up time. It is still a challenge in predicting whether and when an individual will convert to AD. Early studies have tried to predict AD conversion by classifying between aMCI-to-AD converters and non-converters with an accuracy of about 66–81% (see review by Arbabshirani et al. (Arbabshirani et al. 2017) for details). However, the definition of the aMCI converters and non-converters has technical flaws because non-converters may be finally converted into AD if their follow-up durations are long enough. Furthermore, this strategy omits the time factor (how long the individual will convert) and thus has limited clinical value. Recently, some studies have tried to predict the time when individuals will convert to AD based on the follow-up neuroimaging data and achieved exciting results (Thung et al. 2018; Vogel et al. 2018; Bilgel and Jedynak 2019; Lloret et al. 2019; Nakagawa et al. 2020). For example, Bilgel et al. trained a linear regression model to predict AD dementia onset age using a quantitative template created from several neuroimaging, CSF, and cognitive biomarkers. They achieved a mean error of fewer than 1.5 years (Bilgel and Jedynak 2019). Instead, we introduced a survival model termed Cox proportional hazards regression model in the present

study. This model is commonly applied in clinical prediction, which can provide not only the time when an aMCI patient will convert to AD (as the example by Bilgel et al.) but also the conversion risk (or probability) at this time point (Del Valle et al. 2020). Based on the identified AD conversion-related 68 cerebral subregions GMV features, our model achieved a relative high prediction performance in both cross-validation aMCI testing cohorts (time-dependent AUCs: 0.688–0.773, average AUC: 0.714) and in an independent testing cohort who develop from CN to AD (time-dependent AUCs: 0.800–0.880, average 0.814). Our findings were consistent with a recent study showing good performance in predicting the time to AD conversion using a deep learning-based survival model (Nakagawa et al. 2020). Furthermore, we also evaluated the predictive potential of the GMV feature of a single cerebral region, and found that 62/68 regions could significantly predict the time to AD conversion with the top 5 valued subregions including the left amygdala, left middle temporal gyrus, right inferior temporal gyrus, left inferior temporal gyrus, and left hippocampus. The results were consistent with early reported showing the potentials of biomarkers derived from these regions in predicting the progression to AD (Desikan et al. 2010; Tang et al. 2014; Li et al. 2020). Thus, our findings suggested that the GMV of the identified cerebral subregions derived from the cerebral atrophic trajectory mapping could be used as potential biomarkers to predict the time to AD conversion; moreover, we provided the prediction

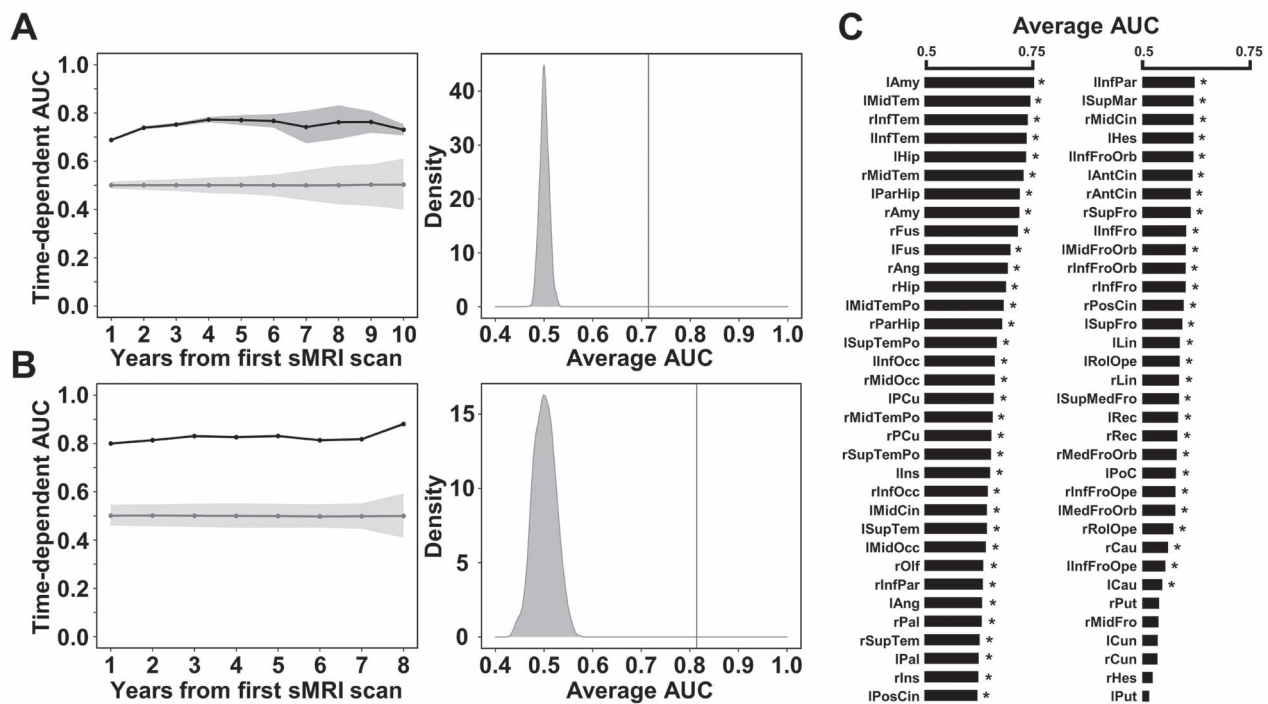


Fig. 9. The time-dependent prediction results through Cox proportional hazards regression model. (A) Left panel shows the time-dependent AUCs of survival model based on all 68 GMV features for the aMCI testing subjects. Black line and shades represent the true AUCs and their 95% CI for the 5 randomizations during cross-validation, and gray line and shades represent the pseudo-AUCs and 95% CI for the null distribution by 1000 permutations. The right panel shows the histogram of the null distribution of average AUC. (B) Time-dependent AUCs and average AUC of survival model based on all 68 GMV features for the independent testing cohort from CN to AD conversion. (C) Average AUC of the survival models based on the single GMV feature of each cerebral subregion for the aMCI testing subjects. Asterisk (*) indicates statistically significant based on the permutation test ($P < 0.05$). Abbreviations: AUC = area under curve of receiver operating characteristic curve; CI = confidence interval; GMV = gray matter volume; sMRI = structural magnetic resonance imaging.

power of each cerebral GMV biomarker for future validation and clinical application.

There are some limitations in the present study. First, as discussed above, on the quantification of subcortical volumes, the 3D T1W MPRAGE may not be optimal in segmenting the subcortical structures, especially for the thalamus and pallidum. Therefore, a multimodal strategy (incorporating T1-, T2-, PD-, and susceptibility-weighted images) is preferred to precisely segment the subcortical structures and quantify their volume and validate our findings in the subcortical structures in the future (Plassard et al. 2019). Second, the cerebral atrophic trajectory from aMCI to AD delineated in the present study should be validated by other longitudinal datasets with different races and data collection strategies.

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Supplementary material

Supplementary material is available at *Cerebral Cortex Journal* online.

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Author Contributions

X.W., W.Q. & Y.L. designed research; X.W., X.D., Y.X., X.S., X.H., H.D., Y.Z., Y.J. & C.C. implemented the methods; X.W., X.D., Y.X. & X.S. analyzed data; W.Q., Y.L., M.L. & C.Y. provided guidance and advice. X.W. & W.Q. contributed to the writing of the manuscript.

Conflict of interest statement: The authors declare no conflict of interest.

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