

RESEARCH ARTICLE

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Development of a nomogram to predict surgical site infection after open reduction and internal fixation for closed pilon fracture: a prospective single-center study

Lei Xie¹, Guofeng Liu^{1,2}, Xin Wang¹, Zixuan Luo¹, Yansen Li¹, Xiaomeng Wang¹ and Fengqi Zhang^{1*}

Abstract

Background To explore the risk factors and develop a nomogram in order to predict surgical site infection (SSI) after open reduction and internal fixation (ORIF) for closed pilon fractures (CPF).

Methods A prospective cohort study with one-year follow-up was carried out in a provincial trauma center. From January 2019 to January 2021, 417 adult patients with CPFs receiving ORIF were enrolled. A Whitney U test or *t* test, Pearson chi-square test, and multiple logistic regression analyses were gradually used for screening the adjusted factors of SSI. A nomogram model was built to predict the risk of SSI, and the concordance index (C-index), the receiver operating characteristic (ROC) curve, calibration curve and decision curve analysis (DCA) were used for evaluating the prediction performance and consistency of the nomogram model. The bootstrap method was employed to test the validity of the nomogram.

Results The incidence of SSI after ORIF for CPFs was 7.2% (30/417): 4.1% (17/417) of superficial SSIs and 3.1% (13/417) of deep SSIs. The most common pathogenic bacteria were *Staphylococcus aureus* (36.6%, 11/30). The multivariate analysis showed tourniquet use, longer preoperative stay, lower preoperative albumin (ALB), higher preoperative body mass index (BMI) and hypersensitive C-reactive protein (Hs-CRP) were independent risk factors of SSI. Additionally, the C-index and bootstrap value of the nomogram model were 0.838 and 0.820, respectively. Finally, the calibration curve indicated that the actual diagnosed SSI had good consistency with the predicted probability, and the DCA showed that the nomogram had clinical value.

Conclusions Tourniquet use, longer preoperative stay, lower preoperative ALB, higher preoperative BMI and Hs-CRP were five independent risk factors of SSI after closed pilon fractures treated by ORIF. These five predictors are shown on the nomogram, with which we may be able to further prevent the CPS patients from SSI.

Trial registration NO 2018-026-1, October /24/2018, prospectively registered. The study was registered in October 24, 2018. The study protocol was designed based on the Declaration of Helsinki and admitted by the Institutional Review Board. The ethics committee approved the study on factors related to fracture healing in orthopedic surgery. Data analyzed in the present study were acquired from the patients who underwent open reduction and internal fixation from January 2019 to January 2021.

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Keywords Predictor, Nomogram, Surgical site infection, Closed pilon fractures, Open reduction, Internal fixation

Background

The pilon fracture is an intra-articular fracture of the distal tibia, which accounted for approximately 6.37% of total tibial-fibular fractures and 1.03% of the whole-body fractures in Chinese adults [1]. More males than females had the pilon fracture and the most vulnerable age group was 41–45 years. The mechanism of injury is a comminuted fracture of the ankle joint accompanying various degrees of distal extension into the tibial-fibular metaphysis. The pilon fractures are relatively uncommon, but the risk of severe complications and long-term disability appears from time to time [2]. Stable pilon fractures with minimal displacement are usually managed by conservative treatments, while unstable pilon fractures by operative treatments. These operative approaches include: screw fixation, use of a locking compression plate, circular ring external fixation, etc. As for the closed pilon fractures (CPFs), open reduction and internal fixation (ORIF) is the most commonly used surgical procedure. However, overexposure of soft-tissue during the ORIF may secondarily injure the fracture site, which is highly prone to leading to postoperative complications such as infection, ankle traumatic arthritis or fracture malunion [3–5].

In all of the above complications, surgical site infection (SSI) remains an important complication of pilon trauma. The traditional ORIF was first developed by Rüedi and Allgöwer [6], which has been related to significant rates of SSIs and wound dehiscence, ranging from 0–55% [7, 8]. According to the reported literature [9], SSI had increased an average of 10 days of hospital stays, which costed an estimated more than £700 m a year in the UK. Regarding the significant economic burden on health system, approximately half the infection events could be early intervened with the evidence-based prevention models implemented [10]. Thus, the quantification of SSI-related risk factors for patients with CPFs could come up with a cost-effective approach. A few studies have explored the predictions of SSI after closed pilon fracture (CPF) treated by ORIF, but these prediction models are not intuitive and easy to use [11–13]. The primary purpose of the related study was to develop a risk assessment model using multivariate logistic regression based on a combination of routine laboratory blood indicators and clinical symptoms. In the current study, risk factors for postoperative surgical site infections such as body mass index (BMI), operative time, preoperative stay, preoperative albumin (ALB), hypersensitive C-reactive protein (Hs-CRP), red blood cell count, and Glycemic

index were identified through regression analysis. We were not able to calculate composite risk of SSI after CPF treated by ORIF, even though individual risk factors were reported.

A nomogram is a kind of calculation chart, which can simplify complex mathematical equation and visualize meaningful variables to achieve comprehensive prediction. Hence, we improved the evaluative performance and achieved higher accuracy by comparing multiple models with nomogram, which was performed for the first time to predict the risk of surgical site infection after open reduction and internal fixation for closed pilon fracture. Thus, we designed a prospective protocol to explore the risk factors related to SSI after CPF treated by ORIF and established a nomogram prediction model. The prospective design was carried out for two purposes: on the one hand, to update the epidemiological characters of SSI after ORIF for CPFs; on the other hand, to re-screen the SSI-related factors and develop a nomogram prediction model. Also, it is clinically significant for surgeons in fully understanding the patient's parameters and assessing the risk of postoperative surgical site infections.

Materials and methods

Study design

The present study was an in-patient's records-based, prospective cohort trial, and ethical clearance (NO 2018-026-1) was obtained to follow the fracture patient's data collected in the present study. The protocol was admitted by the Committee on Ethics and the Institutional Review Board from our trauma center. From January 2019 to January 2021, a series of adult patients with CPFs underwent the ORIF were involved. The study was undertaken according to the Declaration of Helsinki and all patients gave written informed consent for the use personal data for research aims. Written informed consent was obtained from each patient to authorize the publication of their data. Exclusion criteria were prescribed as follows: age younger than 18 years ($n=37$), multiple fracture ($n=26$), open fracture ($n=33$), and without complete data ($n=3$). All enrolled patients were divided into the infection group and the non-infection group according to whether or not infection occurred after operation. The end time point of the following was any evidence of SSI found by the reexaminations during the one-year period post-ORIF. Finally, 417 patients with CPFs performed by ORIF were followed up for a period of one year (Fig. 1).

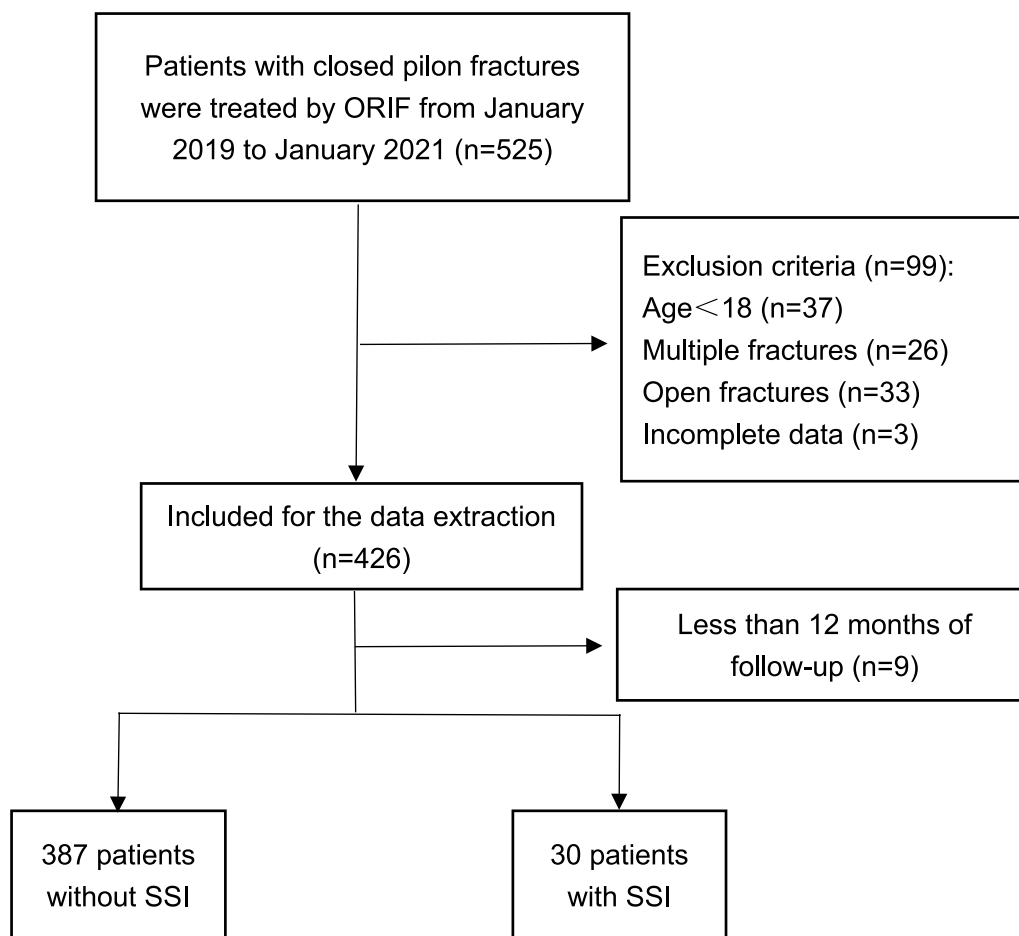


Fig. 1 The flow chart for the selection of study participants

SSI diagnosis

According to the definition of the CDC [10], a superficial SSI only involves the skin or subcutaneous tissue of the operation site. A deep SSI was identified if it satisfied one of the following conditions: Infection involves the deep fascia requiring abscess debridement or internal fixation removal. The secretors from the surgical sites were collected for culture and susceptibility tests.

Data curation and definition of variables

All the participants were followed by three orthopedic surgeons starting from the admission to the hospital. Patients without SSI were followed up by phone calls at 1, 3, 5 and 12 months after discharge. Patients with suspected infection need to receive reexamination and etiological diagnosis. The patients usually have a regular radiological and clinical evaluation every six months after discharge. The specific variables were recorded and classified as the following four groups. First, the demographic variables involved gender, age, body mass index (BMI, kg/m²), location(rural or urban), cigarette

consumption, alcohol consumption, diabetes mellitus, hypertension and cardiovascular disease. Second, the fracture-related variables involved fracture side(left, right), Rüedi and Allgöwer classification system [6], and injury mechanism. Every patient underwent preoperative assessment with CT scanning. The injury mechanisms were classified as low-energy (slips on the floor), and high-energy (falls from height, traffic crashes and others). Third, the operative variables involved preoperative stay, intraoperative blood loss (mL), operation duration (minutes), anesthetic type (regional or general), tourniquet use, bone grafting use, and American Society of Anesthesiologists (ASA, I-II or III-V) score [14]. Preoperative stay was counted from the first trauma to the ORIF. Four, the laboratory-related variables were collected during one day preoperatively. These biochemistry indices involved white blood cell (WBC), red blood cell (RBC), blood platelet (PLT), albumin (ALB), globulin (GLOB), hemoglobin (HB), hematocrit (HCT), hypersensitive C-reactive protein (Hs-CRP), and blood glucose (GLU).

Statistical analyses

Statistical analyses were completed using SPSS 24.0. The continuous variables were shown as the mean \pm standard deviation (SD). The Shapiro–Wilk test was used to examine distribution normality. Continuous variables between the two groups were analyzed by a Whitney U test or t test based on distribution normality or not. The categorical variables were analyzed with the chi-square test. The variables with significance from the univariate analysis were entered into multiple logistic regression analysis. Finally, a nomogram model was made, and the concordance index (C-index), receiver operating characteristic (ROC) curve, calibration curve and decision curve analysis (DCA) were used to evaluate the prediction performance and consistency of the model. We employed bootstrap method to confirm the performance of the nomogram. Finally, a p value < 0.05 was considered as statistically significant.

Results

Baseline characteristics of non-SSI and SSI patients

426 patients were reexamined for SSI during the one-year period post-ORIF, in which 9 patients lost to follow-up less than 12 months. Finally, 417 patients with CPFs performed by ORIF were followed up for a period of one year (Fig. 1). The incidence of SSI was 7.2% (30/417): 4.1% (17/417) for superficial SSIs and

3.1% (13/417) for deep SSIs. *Staphylococcus aureus* was the most common pathogenic bacteria (36.6%, 11/30). As shown in Table 1, for the 417 patients, the average age was 45.6 ± 15.2 years, and BMI was 5.6 ± 4.0 kg/m². Furthermore, BMI ($p = 0.005$) was demonstrated to correlate with SSI.

Univariate and multivariate analysis

Of the 30 predictive variables listed above, 6 factors were correlated with SSI, which were BMI ($p = 0.005$), preoperative stay ($p = 0.026$), surgical duration ($p = 0.049$), tourniquet use ($p = 0.003$), ALB level ($p = 0.001$) and HCRP level ($p = 0.001$) (Tables 1 and 2). Hence, these 6 factors were included in the multiple logistic regression model. Finally, the multivariate logistic regression analysis demonstrated that tourniquet use (OR = 5.321, $p = 0.028$), longer preoperative stay (OR = 1.112, $p = 0.022$), lower preoperative albumin (ALB) (OR = 0.873, $p = 0.002$), higher body mass index (BMI) (OR = 1.138, $p = 0.023$) and human CRP (hCRP) (OR = 1.012, $p = 0.000$) were independent risk factors for SSI (Table 3). The Hosmer–Lemeshow test showed good fitness ($X^2 = 8.086$; $p = 0.425$). No collinearity violations occurred for the analysis for the interaction between independent predictors with each other (Tolerance coefficients were all > 0.91 , Variance Inflation Factors were all < 1.10).

Table 1 Baseline characteristics of non-SSI and SSI patients

Variables	All patients (n = 417)	Patients without SSI (n = 387)	Patients with SSI (n = 30)	p value
Gender (males), n(%)	267(64.0)	248(64.1)	19(63.3)	0.934 ^c
Age (years), mean \pm SD	45.6 \pm 15.2	45.4 \pm 15.2	46.8 \pm 14.5	0.586 ^a
BMI (kg/m ²), mean \pm SD	25.6 \pm 4.0	25.4 \pm 3.9	27.7 \pm 3.9	0.005 ^{b*}
Location (rural), n(%)	218(52.3)	206(53.2)	12(40.0)	0.162 ^c
Diabetes mellitus, n(%)	17(4.1)	17(4.4)	0(0.0)	0.241 ^c
Hypertension, n(%)	29(7.0)	27(7.0)	2(6.7)	0.949 ^c
Cardiovascular diseases, n(%)	32(7.7)	31(8.0)	1(3.3)	0.354 ^c
Smoking, n(%)	111(26.6)	101(26.1)	10(33.3)	0.388 ^c
Alcohol consumption, n(%)	112(26.9)	102(26.4)	10(33.3)	0.406 ^c
Rüedi and Allgöwer classification				0.786 ^c
I, n(%)	158(37.9)	148(38.2)	10(33.3)	
II, n(%)	197(47.2)	181(46.8)	16(53.3)	
III, n(%)	62(14.9)	58(15.0)	4(13.4)	
Mechanism (low energy), n(%)	190(45.6)	179(46.3)	11(36.7)	0.310 ^c
Side (left), n(%)	203(48.7)	188(48.6)	15(50.0)	0.881 ^c

SSI surgical site infection, BMI body mass index

^a Student t test

^b Mann–Whitney U test

^c Pearson Chi-Square test

* Indicates significant variable at $p < 0.05$

Table 2 Univariate analysis results related to SSI

Variables	All patients (n = 417)	Patients without SSI (n = 387)	Patients with SSI (n = 30)	p value
Preoperative stay (days), mean ± SD	5.0 ± 4.0	5.0 ± 4.0	7.0 ± 5.0	0.026 ^b
ASA				0.889 ^c
I–II, n(%)	269(64.5)	250(64.6)	19(63.3)	
III–V, n(%)	148(35.5)	137(35.4)	11(36.7)	
Anesthesia type				0.838 ^c
Regional, n(%)	225(51.8)	210(51.9)	15(50.0)	
General, n(%)	201(48.2)	186(48.1)	15(50.0)	
Tourniquet use, n(%)	289(69.3)	261(67.4)	28(93.3)	0.003 ^{c*}
Bone grafting use, n(%)	24(5.8)	22(5.7)	2(6.7)	0.824 ^c
Intraoperative blood loss (ml), mean ± SD	140.0 ± 98.1	139.0 ± 98.0	162.0 ± 96.0	0.076 ^b
Surgical duration (minutes), mean ± SD	120.0 ± 38.7	119.0 ± 38.0	135.0 ± 44.0	0.049 ^b
RBC (10 ¹² /L), mean ± SD	3.5 ± 0.6	3.5 ± 0.6	3.3 ± 0.6	0.174 ^b
WBC (10 ⁹ /L), mean ± SD	9.8 ± 3.8	9.7 ± 3.7	11.2 ± 5.3	0.247 ^b
PLT (10 ⁹ /L), mean ± SD	278.0 ± 114.2	276.0 ± 112.9	300.4 ± 127.9	0.335 ^b
HB(g/L), mean ± SD	116.8 ± 13.1	116.6 ± 13.1	118.8 ± 12.8	0.316 ^b
HCT(%), mean ± SD	34.6 ± 3.7	34.6 ± 3.7	35.1 ± 3.7	0.393 ^b
ALB(g/L), mean ± SD	34.4 ± 5.4	34.7 ± 5.3	30.6 ± 5.2	0.001 ^{a*}
GLOB (g/L), mean ± SD	24.4 ± 4.9	24.4 ± 4.9	25.5 ± 4.7	0.178 ^a
HCRP(mg/L), mean ± SD	58.8 ± 56.5	55.2 ± 53.9	102.6 ± 69.6	0.001 ^{b*}
GLU (mmol/L), mean ± SD	6.8 ± 1.8	6.7 ± 1.8	7.0 ± 2.1	0.655 ^b

SSI surgical site infection, ASA American Society of Anesthesiologists, RBC red blood cell, WBC white blood cell, PLT platelet, ALB albumin, GLOB globulin, HB hemoglobin, Hct hematocrit, HCRP hypersensitive C-reactive protein, GLU glucose

^a Student t test

^b Mann–Whitney U test

^c Pearson Chi-Square test

* Indicates significant variable at $p < 0.05$

Table 3 Multivariate analysis of factors associated with SSI after ORIF

Variables	Odds ratio	95% CI	p value
Preoperative stay (days)	1.112	1.015–1.217	0.022
BMI (kg/m ²)	1.138	1.018–1.272	0.023
Tourniquet use	5.321	1.193–23.727	0.028
ALB(g/L)	0.873	0.803–0.949	0.002
HCRP(mg/L)	1.012	1.005–1.018	0.000

SSI surgical site infection, ORIF open reduction and internal fixation, CI confidence interval, BMI body mass index, ALB albumin, HCRP hypersensitive C-reactive protein

Development and validation of a SSI nomogram

Using the independent risk factors obtained from the multivariable logistic regression analyses, we constructed a nomogram to predict SSI (Fig. 2). By adding individual scores of each predictor in the nomogram, the total score was obtained and used to calculate the corresponding SSI probability. In addition, our analyses showed high predictive accuracy and discrimination of the model,

with a C-index of 0.838 (95% CI 0.760–0.916) and an AUC of 0.820 (Fig. 3). Besides, the calibration curve of the nomogram demonstrated good consistency between the actual diagnosed SSI and the predicted probability (Fig. 4). Similarly, the nomogram DCA indicated that the model could be an excellent prediction tool for SSI after internal fixation of patients with CPFs (Fig. 5).

Discussion

In the present study of 417 patients, the overall infection rate after ORIF for CPFs was 7.2% with one-year follow-up. It was similar to the result from a meta-analysis involving 10 studies of 8103 cases, in which the incidence of SSI was 7.19% [15]. McCann et al. [16] reported that the most frequent postoperative complication in their study was superficial infection, affecting 7 of 49 pilon fracture patients (14%). Bourne et al. [17] indicated an infection rate of 13% in their high-energy group of 42 fracture series. However, Dillin and Slabaugh [18] showed a higher infection rate up to 55% of 11 high-energy compression pilon fractures, so they recommend ORIF only for low-energy rotational injuries. After adjusting for

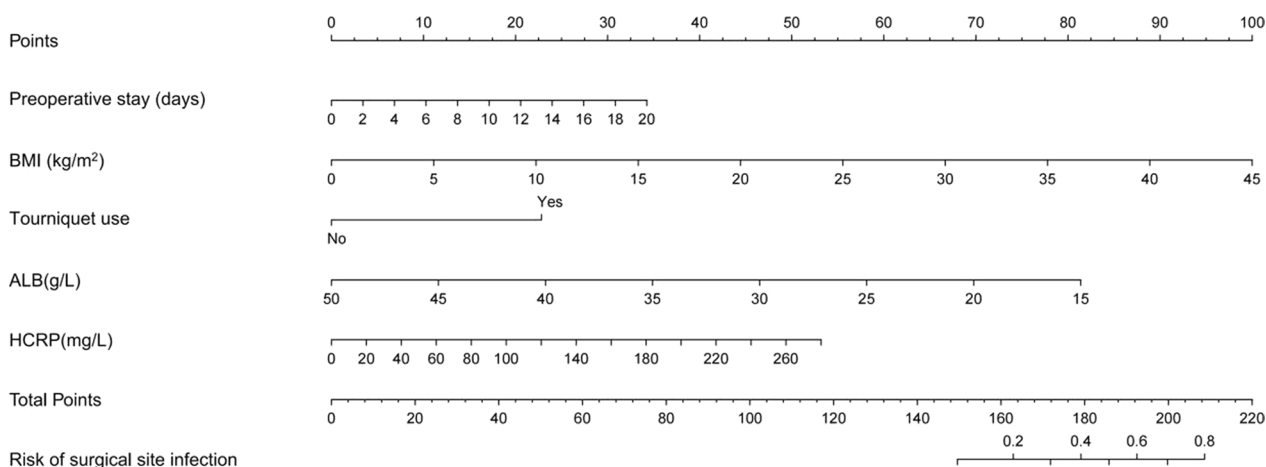


Fig. 2 Nomogram for predicting SSI after closed pilon fractures treated by open reduction and internal fixation. BMI body mass index, ALB albumin, HCRP hypersensitive C-reactive protein

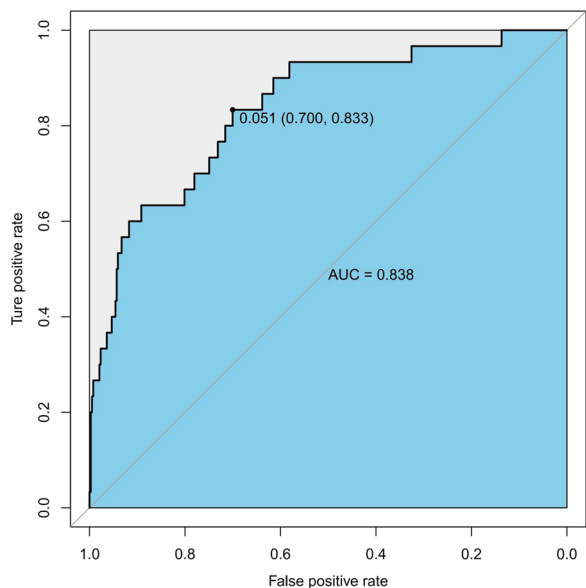


Fig. 3 The ROC analysis for the predictive model. AUC area under the curve

confounding variables, longer preoperative hospital stay, higher body mass index (BMI), tourniquet use, lower preoperative albumin (ALB), and lower hypersensitive C-reactive protein(Hs-CRP) were associated with post-ORIF SSI in CPFs independent risk factors.

Longer preoperative hospital stay is a well-established risk factor of SSIs following orthopedic surgery [19]. Recent studies have shown that operative time was not associated with wound complications, and that delaying surgery may increase the risk of infection [20, 21]. Two-stage open reduction and internal fixation were

considered as a method to protect soft tissue and reduce the infection rate. However, this approach resulted in prolonged hospital stays and lack of anatomical reduction due to surgical delay [22]. Our data suggested that delayed ORIF for closed pilon fracture was associated with an increased risk of SSI, which was consistent with previous reports [19]. A better understanding of psychosocial and socioeconomic factors was critical to identifying opportunities for further intervention to reduce patient morbidity and healthcare costs [12]. In addition to increasing the financial burden by prolonging hospital stays or treatment periods, there was also the concern that delaying surgery may increase the risk of complications such as infection or ankle stiffness. These issues have led some surgeons to consider primary ORIF for pilon fractures. White treated 95 patients with pilon fractures using primary ORIF, and 88% of patients underwent surgery within 48 h of injury. Anatomical reduction was performed in 90% of patients. Finally, six patients developed deep infection and dehiscence requiring debridement [23].

Several studies have proved an association between higher BMI and postoperative infection [24, 25]. Xiao-chu Yu et al. [24] also found that obese patients undergoing acetabular fracture surgery had an increased rate of wound infection compared with non-obese patients. Patients with BMI over 28 kg/m² were five times more likely to have a wound infection. Wilson’s study showed that an obese class III cohort (BMI ≥ 40 kg/m²) was related to a high incidence of SSI after total knee arthroplasty. (The odds ratio for superficial SSI was 4.20 and deep SSI was 6.97.) [25] Metabolic syndrome is an accumulated clinical syndrome in patients with obesity, diabetes, hypertension and dyslipidemia. It may lead to a

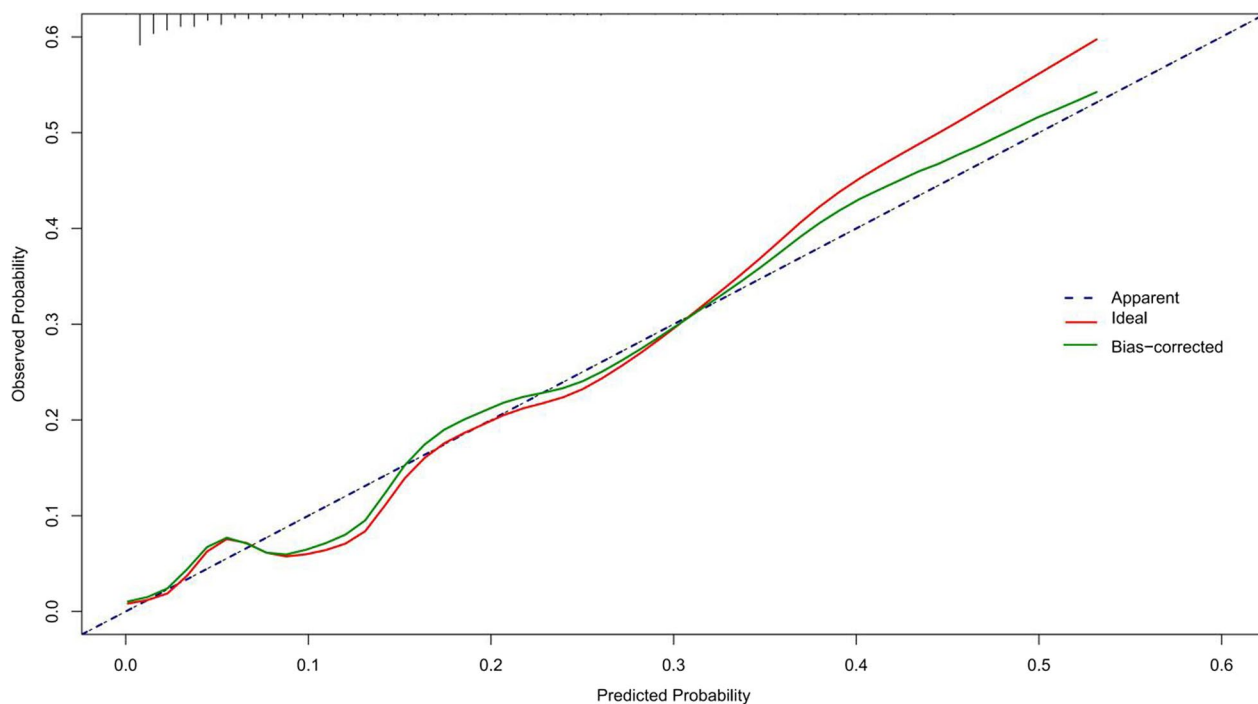


Fig. 4 The calibration curve indicated good consistency between the actual diagnosed surgical site infection and the predicted probability

systemic inflammatory state that increases the risk of postoperative complications including SSI. Pravinkumar et al. [26] reported an increased incidence of superficial wound infection in obese patients who opted for general surgery. In addition, Spaine et al. [27] reported that higher BMI was also associated with increased injury severity, which may also lead to an increased incidence of SSI.

Pneumatic tourniquets are frequently used in various surgeries of upper and lower distal limb to improve the surgical field and reduce intraoperative blood loss [28]. However, tourniquet use in total knee arthroplasty had been shown to increase postoperative wound hypoxia, potentially triggering site infection or delaying wound healing [29]. Due to contact with human skin and proximity to the puncture site, blood residues and bacterial contaminations were regularly found on tourniquets. Hence, tourniquets may be a potential source for cross-contamination with potentially harmful pathogens [30]. As hospital-acquired infections (HAIs) cause substantial costs and increase length of hospital stay and mortality. Another study evaluating patients with elective foot and ankle surgery found that with tourniquets longer than 90 min, there was a sevenfold higher chance of developing postoperative infection or wound-healing complications; but tourniquet use itself was not associated with an increased risk [31, 32]. Non-sterile tourniquets are routinely used in orthopedic surgery in our hospital to

obtain a bloodless field. Sahu et al. recommend routine treatment of orthopedic tourniquets with a disinfectant, preferably an alcohol-based solution, to reduce the risk of contamination of the surgical field [33].

The lower albumin (ALB) and elevated hypersensitive C-reactive protein(Hs-CRP) level were identified to be associated with increased risk of SSI in our study. It was similar to the SSI-related studies of other fractures, such as ankle fractures and femur fractures [34, 35]. Abnormalities of these two biomarkers were more likely to indicate poor nutritional and systemic inflammatory status, but a stress effect of trauma cannot be ruled out. In contrast, aggressive preoperative nutritional supplementation to achieve normal serum ALB levels had a significant beneficial effect on reducing SSI and other adverse events [36]. Hs-CRP can detect low concentrations of CRP by hypersensitive detection technology and reflect inflammatory state and tissue injury degree. Hs-CRP has been repeatedly discussed as an inexpensive and readily available immune/inflammatory biomarker-driven index and has been shown to predict adverse events in pneumonia [37], trauma [38] or cardiovascular disease [39] even mortality. Hs-CRP is present in the serum of patients with acute inflammation and is secreted by the liver. It is not affected by factors such as age, gender, body temperature, and anemia and is therefore considered to be the preferred indicator to differentiate between bacterial and viral infections [40]. In our study, if a patient had

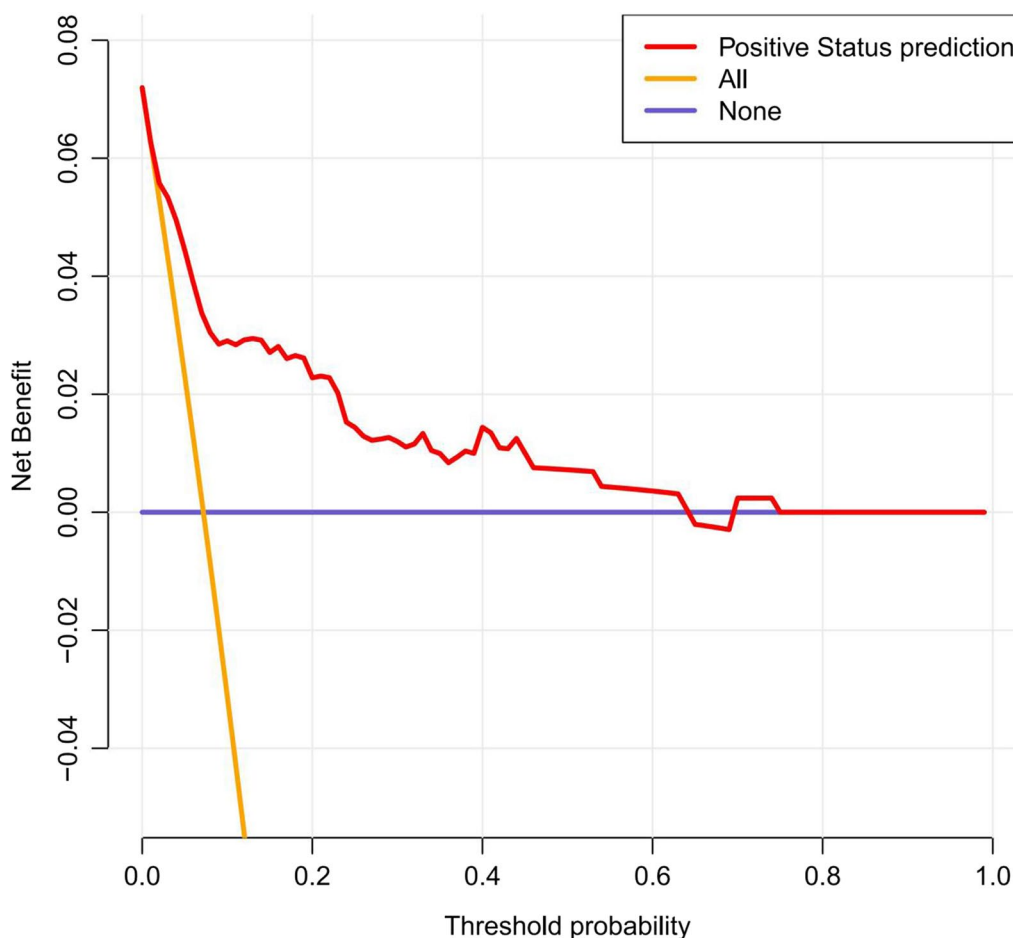


Fig. 5 Decision curve analysis for the surgical site infection nomogram

multiple laboratory tests before surgery, the laboratory test closest to the surgery was selected for data analysis. Therefore, our results may be more valuable in predicting the occurrence of SSI compared with the commonly used biomarker assays at admission, taking into account the changes in biomarkers after trauma.

The present study had three significant strengths: Firstly, it was a prospective, longitudinal one-year follow-up study; second, compared with the traditional multiple regression model, our nomogram enabled healthcare workers to conveniently assess the high-risk groups of SSIs postoperatively, so as to make necessary interventions (such as improving the ALB and Hs-CRP of patients before operation, giving no tourniquet as often as possible, and minimizing preoperative stay, etc.); third, it indicated that tourniquet use and Hs-CRP were an independent indicator of SSI after adult CPFs treated by ORIF. But there are limitations that are worth mentioning. Some variables that potentially influence the development of SSI were not included, such as the internal fixation material (titanium or

stainless), surgical incision length, comorbidity disorder, bone mineral density(BMD), hemoglobin and lactate dehydrogenase(LDH).

Conclusion

Tourniquet use, longer preoperative stay, lower preoperative ALB, higher preoperative BMI and Hs-CRP were independent risk factors for SSI after closed pilon fractures treated by ORIF. These five predictors are shown on the nomogram, with which we may be able to further prevent the CPS patients from SSI.

Abbreviations

BMI	Body mass index
HGB	Hemoglobin
RBC	Red blood cell
WBC	White blood cell
PLT	Platelet
TP	Total protein
ALB	Albumin
ALT	Alanine transaminase
AST	Aspartate transaminase
CRP	C-reactive protein

PT	Prothrombin time
APTT	Activated partial thromboplastin time
FIB	Fibrinogen
ROC	Receiver operating characteristic
CI	Confidence interval
ASA	The American Society of Anesthesiologists

Acknowledgements

We are thankful for the support of the nursing staff from the Department of Orthopaedic Surgery, the Third Hospital of Hebei Medical University.

Author contributions

LX designed the study; GL and XW searched relevant studies; ZL and YL analyzed and interpreted the data; LX and XW wrote the manuscript; and FZ approved the final version of the manuscript. All authors read and approved the final manuscript.

Funding

None.

Availability of data and materials

All the data will be available upon motivated request to the corresponding author of the present paper.

Declarations

Ethics approval and consent to participate

The study was undertaken according to the Declaration of Helsinki and approved by the Institutional Review Board (NO 2018-026-1). All patients gave written informed consent for the use personal data for research aims.

Consent for publication

Written informed consent was obtained from each patient to authorize the publication of their data.

Competing interests

The authors declare that they have no conflict of interest.

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Received: 17 May 2022 Accepted: 9 February 2023

Published online: 15 February 2023

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