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# Sleep loss diminishes hippocampal reactivation and replay

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#### 14 Abstract

15 Memories benefit from sleep, and sleep loss immediately following learning has a negative 16 impact on subsequent memory storage. Several prominent hypotheses ascribe a central role to 17 hippocampal sharp-wave ripples (SWRs), and the concurrent reactivation and replay of 18 neuronal patterns from waking experience, in the offline memory consolidation process that 19 occurs during sleep. However, little is known about how SWRs, reactivation, and replay are 20 affected when animals are subjected to sleep deprivation. We performed long duration ( $^{212}$  h), 21 high-density silicon probe recordings from rat hippocampal CA1 neurons, in animals that were 22 either sleeping or sleep deprived following exposure to a novel maze environment. We found 23 that SWRs showed a sustained rate of activity during sleep deprivation, similar to or higher than 24 in natural sleep, but with decreased amplitudes for the sharp-waves combined with higher 25 frequencies for the ripples. Furthermore, while hippocampal pyramidal cells showed a log-26 normal distribution of firing rates during sleep, these distributions were negatively skewed with 27 a higher mean firing rate in both pyramidal cells and interneurons during sleep deprivation. 28 During SWRs, however, firing rates were remarkably similar between both groups. Despite the 29 abundant quantity of SWRs and the robust firing activity during these events in both groups, we 30 found that reactivation of neurons was either completely abolished or significantly diminished 31 during sleep deprivation compared to sleep. Interestingly, reactivation partially rebounded 32 upon recovery sleep, but failed to reach the levels characteristic of natural sleep. Similarly, the 33 number of replays were significantly lower during sleep deprivation and recovery sleep 34 compared to natural sleep. These results provide a network-level account for the negative 35 impact of sleep loss on hippocampal function and demonstrate that sleep loss impacts memory

- 36 storage by causing a dissociation between the amount of SWRs and the replays and
- 37 reactivations that take place during these events.

#### 38 Main:

39 Memories undergo continuous refinement following learning, in a process referred to as 40 memory consolidation in which sleep plays a critical role. Sleep immediately after learning benefits memories <sup>1</sup> and memories can be disrupted by even a few hours of sleep loss <sup>2</sup>. Studies 41 42 have highlighted the particular importance of the hippocampus for sleep-dependent memory 43 consolidation. However, the mechanisms through which memories are impacted by sleep loss 44 have yet to be understood. At the cellular level, studies have identified molecular signaling 45 events that are impacted by sleep loss, particularly in the first several hours. At the circuits level, oscillatory activities during sleep are hypothesized to strengthen, stabilize, and optimize 46 47 memories. Hippocampal sharp-wave ripples (SWRs), which feature sharp-waves in the 48 dendrites of CA1 pyramidal cells coupled with ripple oscillations (150-250 Hz) near the cell 49 bodies, are widely considered to play a critical role in sleep-dependent memory processes. 50 SWRs are observed more frequently in sleep after memory tasks <sup>3</sup>. Disrupting activity during these oscillations impairs memory <sup>4,5</sup>, while enhancing them improves memory <sup>6</sup>. 51 52 Why are hippocampal sharp-wave ripples so important to memory? A key characteristic of these signals is that they are generated in the CA3 region of the hippocampus and then produce 53 54 intense spiking activity in the pyramidal cells and interneurons throughout the hippocampal formation <sup>7,8</sup> and beyond <sup>9,10</sup>. Such synchronized activity drives synaptic plasticity in the 55 connections between neurons associated with individual memories, thereby enhancing the 56 57 signal to noise for storage and recall of those memories in the network <sup>11,12</sup>. In fact, both synaptic strengthening, via long-term potentiation <sup>13,14</sup> and synaptic weakening, via 58

- 59 depotentiation or long-term depression <sup>15,16</sup>, have been associated with SWRs. Moreover, the
- 60 spiking activity during SWRs can be highly patterned to reactivate and replay activities initially
- 61 expressed during learning and behavior in a temporally compressed manner akin to rapid
- 62 rehearsal <sup>17</sup>. By generating such rapid rehearsals, SWRs can strengthen and stabilize spatial
- 63 representations in the hippocampus <sup>5,18</sup>, as well as broadcast this signal to cortical and
- 64 subcortical brain regions <sup>8,9,19</sup> to transfer, transform, and consolidate memories <sup>1</sup>. While SWRs
- and their associated reactivations and replays are widely considered to play a key role in the
- 66 memory consolidation process, remarkably nothing is known about how these events are
- 67 impacted by sleep deprivation.
- 68 Here, we provide a detailed account of the impact of sleep loss on hippocampal oscillations and
- 69 firing patterns, including sharp-wave ripples and associated reactivation and replay. We
- 70 performed unit and local field recordings from large populations of hippocampal neurons over
- 71 unprecedented (~ 12 h) durations, starting during sleep at the end of the dark cycle and

- 72 extending through to exploration of a novel maze, and sleep or sleep deprivation followed by
- 73 recovery sleep. We observed differences in the physiological characteristics of sharp-wave
- ripples during sleep deprivation as compared to natural sleep: the amplitude of sharp-wave and
- 75 the power of the ripples were higher in natural sleep whereas the frequency of ripple
- 76 oscillations was higher during sleep deprivation. However, the rate of sharp-wave ripples during
- sleep deprivation was similar or higher compared to natural sleep, indicating that the key
- 78 hippocampal mechanisms for memory consolidation remain intact during sleep deprivation.
- Analysis of firing rates showed that both pyramidal cells and interneurons fired at higher rates
- 80 during sleep deprivation, resulting in a negatively skewed log distribution in pyramidal cells
- 81 compared to log-normal distributions typical of natural sleep. Analysis of firing patterns,
- 82 however, revealed that reactivation and replay were negatively impacted by sleep loss.
- 83 Whereas sleeping animals displayed robust reactivation in sleep following novel maze
- 84 exploration, sleep-deprived animals displayed either no reactivation or reactivation that
- 85 decayed at a faster rate. A similar impact was observed on multi-neuronal trajectory replays;
- 86 fewer significant replays were observed during sleep deprivation compared to natural sleep.
- 87 Remarkably, reactivation, but not replay, partially rebounded during the subsequent recovery
- 88 sleep, potentially indicating homeostatic maintenance. However, the amount of reactivation in
- 89 recovery sleep remained significantly attenuated compared to the levels seen during natural
- 90 sleep.
- 91 Overall, our study reveals the impact of sleep loss on hippocampal sharp-wave ripple events
- 92 and associated reactivation and replay, thereby elucidating the mechanism by which sleep loss
- 93 can impair hippocampus-dependent memory consolidation.

#### 94 Results

- 95 We performed extracellular recordings from units and local field potentials using 128 channel
- 96 high-density silicon probes (Diagnostic Biochips, MD) uni- and bilaterally implanted in the CA1
- 97 region of the rat hippocampus during behavior and sleep. Recordings initiated 2 3.5 h before
- 98 the onset of the light cycle with 2 2.5 h of rest and sleep in a homecage (PRE). Animals were
- 99 then placed in novel linear maze environments of differing shapes (MAZE) that they had not
- 100 previously explored, and allowed to run for ~1h for water reward. Following the maze, animals
- 101 were returned to the homecage for POST sessions that involved either natural sleep and rest
- 102 (NSD) for ~9 h, or sleep deprivation (SD) via gentle handling for 25 h followed by recovery sleep
- 103 (RS) (Fig. 1A). We separated these periods into blocks of 2.5 h (e.g. NS1-NS3 vs SD1-2 & RS). SD
- and NSD sessions were carried out in pseudo-random order on different days spaced > 24 h
- apart, in the same animals (16 sessions in 7 rats). Units were identified based on automated
- and manual clustering and those that met strict criteria for stability were putatively classified
- 107 into 754 pyramidal neurons (PN) and 96 interneurons (IN) using standard techniques
- 108 (Methods). Power spectral calculations (Fig. 1B, C) demonstrated strong delta (<4 Hz) power in



110 Figure 1: Sleep deprivation yields a similar amount of sharp-wave ripples but with lower amplitude 111 sharp waves and higher frequency ripples compared to natural sleep. (A) After 2.5 h of rest and sleep 112 in the home cage (PRE), animals were introduced to a novel track (MAZE) then returned to the home 113 cage for either undisturbed sleep (NS1 and NS2), or 5h sleep deprivation (SD1 and SD2), followed by 114 recovery sleep (RS). (B) Power spectral density (top right) in sample NSD (left) and SD (right) sessions 115 from one rat with hypnogram (top) and spectrogram (bottom) of bandpass filtered (1-10 Hz) local field 116 potential from CA1. (C) Average power spectral densities across all SD/RS (red/blue with corresponding 117 shaded confidence intervals) and NSD (black with shaded confidence intervals) sessions in different 118 blocks demonstrate suppressed spectral power during SD and a rebound in slow oscillations in RS. (D) 119 Sample recording during sleep with local field potentials from two recording shanks (black, 16 channels 120 each) along with rasters from simultaneously recorded units (arbitrary color and sorting). (E) Rate of 121 ripples in various blocks compared between different NSD (black), SD (red) sessions, and RS (blue). 122 Individual sessions are superimposed as dots over the bar plots. The rate of ripples decreases with sleep 123 but remains elevated during sleep loss. (F) Power spectral densities in the ripple frequency band for the 124 same sessions as in (B) with moving average of ripple frequency superimposed (black). Sample sharp-125 wave ripples (white traces across a 16-channel shank) at different time points (white arrow heads). (G) 126 Violin plots across NSD (black) and SD/RS (red/blue) blocks show higher frequency of ripples in SD 127 compared to NSD, with an undershoot in RS. Split violins in rightmost panel highlight cross-group 128 comparisons for the second block of NSD vs SD and the first block of sleep (NS1 vs RS) in both groups. 129 (H, I) Same as (G) for sharp-wave amplitude (H) and ripple band power (I) z-scored relative to session 130 means (NSD/SD: each 8 sessions from 7 animals). Sharp-wave amplitudes and ripple power were lower

131 in SD but partially rebounded in RS. (\*p < 0.05; \*\* p < 0.01; \*\*\* p < 0.001)

the hippocampal local field potential during natural slow-wave sleep and strong theta (5-10 Hz)

133 during REM sleep. We did not see evidence for either prominent delta during sleep deprivation

- 134 nor for prominent theta outside of REM periods <sup>20</sup>. However, we note that delta activity during
- 135 sleep can spill over spectral power into neighboring theta frequency bins <sup>21</sup>. In our recordings,
- 136 sleep deprivation was characterized by lower spectral power across frequencies. Recovery sleep

137 following sleep deprivation subsequently featured a robust rebound in delta activity, consistent

138 with models of sleep homeostasis <sup>22,23</sup>.

#### 139 A high rate of sharp-wave ripples is preserved during sleep deprivation.

- 140 Hippocampal sharp-wave ripple (SWR) complexes—sharp waves in the CA1 stratum radiatum
- accompanied by fast ripple oscillations (150-250 Hz) in the stratum pyramidale <sup>24</sup>—are
- 142 observable during both awake and sleep states. Given the importance of SWRs for synaptic
- 143 modifications of circuits in both the hippocampus and other brain regions <sup>25</sup> and their
- 144 hypothesized roles in sleep-dependent memory consolidation processes, we first focused on
- evaluating these events during our recordings (**Fig. 1D**). Previous studies have suggested that
- 146 the incidence rate of ripples and associated population burst events play important
- 147 homeostatic roles in hippocampal dynamics <sup>15,16,26</sup>. We therefore asked how the rate of these
- 148 events change during sleep compared to a similar period during extended wakefulness. In
- 149 naturally sleeping animals, we found that the incidence rate of SWRs decreased over time (Fig.

- 150 **1E**), consistent with a homeostatic effect from sleep (NS1 median = 0.57 Hz (interquartile range
- 151 (IQR) = 0.06) vs NS2 median = 0.46 Hz (IQR = 0.03),  $p = 1.86 \times 10^{-3}$ , paired t-test (df=8)). In
- 152 contrast, the rate of SWRs remained high in animals during sleep deprivation (SD1 median = 0.5
- 153 Hz (IQR = 0.16) vs SD2 median = 0.57 Hz (IQR = 0.02), p = 0.73, paired t-test (df = 8)) and was
- higher during the second block (zeitgeber time (ZT) = 2.5-5h) of SD compared to NSD (SD2 vs
- 155 NS2,  $p = 1.07 \times 10^{-3}$ , t-test (df1 = 8, df2 = 8)). Once the SD animals were permitted recovery
- 156 sleep (at ZT = 5 h), the rate of ripples dropped to levels lower than those in the early block of
- 157 natural sleep (RS median = 0.45 Hz (IQR = 0.19) vs NS1 median = 0.57 Hz (IQR = 0.06),
- 158  $p = 7.87 \times 10^{-3}$ , t-test (df1 = 8, df2 = 8)). Overall, the number of sharp-wave ripples was not 159 negatively affected by sleep loss but was rather higher during sleep-deprivation compared to
- 160 natural sleep.

#### 161 Sleep loss alters the physiological properties of sharp-wave ripples

162 Given the prevalence of SWRs during both sleep and sleep deprivation, we hypothesized that 163 other characteristics of these hippocampal events might differ across these periods. Differences in the physiological properties of SWRs have been observed in animal models of neurocognitive 164 disorders <sup>27-29</sup> and could reflect underlying circuit alterations. We therefore leveraged high-165 166 density electrodes in our recordings to measure and track changes in ripple frequency, ripple 167 power, and the amplitudes of sharp waves across the duration of our recordings (Fig. 1F). Ripple oscillations in stratum pyramidale reflect rapid circuit dynamics that mediated by 168 coupling between pyramidal cells and inhibitory interneurons <sup>30,31,</sup>see also <sup>32</sup>. The peak 169 frequency of ripples in our recordings (Fig. 1G) decreased over the course of sleep, (NS1, 170 median = 163.64 Hz (IQR = 34.85) vs NS3 median = 150.00 Hz (IQR = 28.79),  $p < 10^{-10}$ , t-test 171 (df1 = 41430, df2 = 29361)), but during sleep deprivation, ripple frequency remained elevated 172 173 (Ripple frequency: NS1 median = 163.64 Hz (IQR = 34.85) vs SD1 median = 171.21 Hz (IQR = 37.88),  $p < 10^{-10}$ , t-test (df1= 41430, df2 = 40381)) and was significantly higher compared to 174 175 natural sleep, (NS2 median = 151.51 Hz (IQR = 28.78) vs SD2 median = 169.69 Hz (IQR = 34.84),  $p < 10^{-10}$ , t-test (df1 = 32529, df2 = 41658)). The high frequency of ripples during the sleep 176 177 deprivation period was also higher than those seen during PRE sleep. While changes in ripple 178 frequency on the order of several Hz may be expected based on temperature differences across 179 sleep and awake <sup>33</sup>, we observed larger differences of up to ~18 Hz (e.g. SD2 vs NS2: median = 180 169.69 Hz vs median = 151.51 Hz). Upon recovery sleep, ripple frequency dropped rapidly, to 181 levels lower than during the similar sleep period in naturally sleeping animals (NS1 median = 163.64 Hz (IQR = 34.85) vs RS median = 153.03 Hz (IQR = 30.30),  $p < 10^{-10}$ , t-test (df1 = 41430, 182 df2 = 30767)), potentially reflecting the physiological impact of fatigue on the pyramidal cell-183 184 interneuron interactions that give rise to ripple oscillations.

185 The sharp waves concurrent with ripples reflect Schaffer collateral input from CA3 converging 186 on the apical dendrites of CA1 neurons. The amplitude of these events therefore reflects the

capacity of the CA3 network for synchronization. To better understand the impact of sleep and 187 188 sleep loss we measured the amplitude of the sharp wave using the difference between the 189 most negative deflection (typically in stratum radiatum) and the most positive deflection 190 (typically in stratum oriens) recorded on our electrodes spanning CA1. In POST sleep we found 191 increased amplitudes of sharp waves compared to PRE (NS1 vs PRE, median = 5.1 (IQR = 3.44) vs median = 4.13 (IQR = 3.03),  $p < 10^{-10}$ , t-test (df1 = 41430, df2 = 30390)), which 192 193 subsequently decreased over the course of natural sleep (NS1 median = 5.1 mV (IQR = 3.44) vs NS3 median = 4.87 mV (IQR = 3.35),  $p < 10^{-10}$ , t-test (df1 = 41430, df2 = 29361)) (Fig. 1H). 194 195 During sleep deprivation, on the other hand, the sharp-wave amplitudes were consistently 196 lower than those in natural sleep (NS1 vs SD1, median = 5.1 mV (IQR = 3.44) vs median = 4.14 mV (IQR = 2.91),  $p < 10^{-10}$ , t-test (df1 = 41430, df2 = 40378); NS2 vs SD2, median = 5.13 (IQR 197 = 3.34) vs median = 4.18 (IQR = 2.88),  $p < 10^{-10}$ , t-test (df1 = 32529, df2 = 41658)). In recovery 198 199 sleep, sharp-wave amplitudes rebounded, but remained slightly lower than in natural sleep (NS1 median = 5.1 mV (IQR = 3.44) vs RS median = 5.05 (IQR = 3.279),  $p = 1.17 \times 10^{-3}$ , t-test 200 201 (df1 = 41430, df2 = 30767)). The power of ripples (Fig. 1I) concurrent with the sharp-waves 202 varied similarly to sharp-wave amplitude, indicating that higher amplitude sharp-waves in the 203 stratum radiatum produce stronger ripples in the pyramidal layer. Ripple power (z-scored for 204 relative to each session's mean) was initially higher at onset of natural sleep (NS1 median = 5.07 (IQR = 4.12) vs PRE median = 4.16 (IQR = 3.26),  $p < 10^{-10}$ , t-test (df1 = 41430, df2 = 205 30390)) and recovery sleep (RS median = 4.76 (IQR = 3.78) vs SD2 median = 4.22 (IQR = 2.92), 206  $p < 10^{-10}$ , t-test (df1 = 30767, df2 = 41658)), but decreased over the course of sleep (NS1) 207 median = 5.07 (IQR = 4.12) vs NS2 median = 5.10 (IQR = 4.09),  $p = 8.63 \times 10^{-3}$ , t-test (df1 = 208 209 41430, df2 = 32529)). These results demonstrate that while the total number of ripples remains 210 elevated during sleep deprivation, sleep deprivation manifests with lower amplitude sharp 211 waves and higher frequency ripples, potentially reflecting alterations in the interactions 212 between excitatory and inhibitory cell populations during these events.

#### 213 Sleep loss disturbs firing-rate dynamics in the hippocampal network

The firing rates of neurons are sensitive to changes in sleep states <sup>34-36</sup> and serve as important 214 signals of the homeostatic function of sleep <sup>26,37,38</sup> and can reflect the strength of synaptic 215 connectivity among neurons <sup>16,38</sup>. We therefore assessed the effects of sleep and sleep loss on 216 217 hippocampal firing rate dynamics. During active exploration on the maze, the firing rates of 218 pyramidal cells and interneurons increased significantly from PRE (PN  $\Delta$  firing rate = 233  $\pm$  35.71 %,  $p = 1 \times 10^{-4}$ ; IN  $\Delta$  firing rate = 127 ± 5.43 %,  $p = 1.36 \times 10^{-6}$ ). However, following 219 220 MAZE, sleep loss produced different dynamics from natural sleep (Fig. 2). Pyramidal cell firing rates (Fig. 2A, B) dropped significantly within hours of natural sleep (NS1 median = 0.51 Hz (IQR 221 = 0.79) vs MAZE median = 0.62 Hz (IQR= 1.40),  $p = 7.72 \times 10^{-5}$ , Wilcoxon signed rank test 222 223 (WSRT) (df1 = 442, df2 = 442)) and further over the course of the sleep cycle (NS1 median =





Figure 2: Hippocampal firing-rates are elevated and are more dispersed during sleep deprivation. 225 226 (A) Two example sessions from non-sleep deprivation (NSD, top) and sleep deprivation (SD, bottom) 227 with recovery sleep (RS), showing mean firing rates of pyramidal units (5 min bins, sorted by mean firing 228 rate) and hypnograms during POST. Mean firing rates (right axis) for pyramidal cells are superimposed 229 (white, this session; black, across all sessions). (B) Violin plots of firing rate distributions for pyramidal 230 neurons during NSD (black; left, n = 7 sessions, 6 animals) and SD/RS (red/blue; middle, n = 8 sessions, 231 7 animals) in different blocks (PRE, MAZE, ZT 0-2.5, ZT 2.5-5, and ZT 5-7.5) show decreasing firing 232 rates during sleep but elevated and more dispersed firing rates during SD. The total number of cells is 233 noted in the lower right of each panel. Additional comparisons performed (right panel) between the 234 second block of sleep deprivation (SD2) and the comparable period in NSD (NS2), as well as between the 235 first block of sleep in each session, RS vs NS1, show an undershoot in firing during recovery sleep. (C) 236 Same as (B) but for interneurons. (D) Same as (C) but for firing rates restricted to within ripples, 237 demonstrating similar within-ripple firing rates in SD and NSD, but lower rates in RS (Wilcoxon signed 238 rank tests for within group comparisons (left and middle panels), and Wilcoxon rank-sum tests for across 239 group comparisons (right panels), \* p < 0.05; \*\* p < 0.01; \*\*\* p < 0.001)

0.51 Hz (IQR = 0.79) vs NS2 median = 0.48 Hz (IQR= 0.75),  $p = 2.01 \times 10^{-7}$ , WSRT (df1 = 442, 240 241 df2 = 442), but in sleep deprivation, they remained elevated throughout the 5 h period (SD2 242 median = 0.57 Hz (IQR = 1.13) vs SD1 median = 0.57 Hz (IQR = 1.24), p = 0.18, WSRT (df1 = 312, df2 = 312)). Differences were also evident in the distributions of pyramidal cell firing rate, which 243 were approximately log-normal during natural sleep <sup>34,39</sup>, but were heavily skewed away from 244 245 the log-normal during sleep deprivation, with a broader distribution of firing rates compared with natural sleep (NS2 IQR = 0.62 log(Hz), p = 0.35, Shapiro-Wilk test (SWT) on log firing 246 247 rates (df = 442) vs SD2 IQR = 0.82 log(Hz),  $p = 9.61 \times 10^{-3}$ , SWT (df = 312) ); Fig. 2B). These 248 negatively skewed distributions indicate that during sleep deprivation a few cells were active at 249 substantially elevated firing rates, while other cells showed diminished firing, suggestive of competition among neurons <sup>34</sup>. In interneurons as well (**Fig. 2C**), firing rates decreased upon 250 natural sleep and continued to decrease with further sleep (NS1 median = 16.13 Hz (IQR = 251 14.72) vs MAZE median = 24.43 Hz (IQR = 21.27),  $p = 3.97 \times 10^{-5}$ , WSRT (df = 48); NS2 252 median = 13.28 Hz (IQR = 15.44) vs NS1 median = 16.13 Hz (IQR = 014.72), p = 0.03, WSRT (df 253 = 48)). Interneuron firing rates also decreased from MAZE to SD, but only slightly compared to 254

- 255 NSD (MAZE median = 19.80 Hz (IQR = 18.46) vs SD1 median = 19.52 Hz (IQR = 16.75), p =
- 0.0143, WSRT (df = 48)), and remained stable for the remainder of SD. Overall, the increased
- 257 firing rates and skewed distributions in sleep deprivation compared to natural sleep indicate a
- 258 higher metabolic impact of prolonged waking, relative to sleep, on hippocampal activities,
- which confirm and extend previous observations <sup>26,34</sup>.
- We next examined how these neuronal firing rates responded during recovery sleep. During recovery sleep, pyramidal cell firing rates decreased rapidly (RS median = 0.39 Hz (IQR = 0.65) vs SD2 median = 0.57 Hz (IQR = 1.12),  $p < 10^{-10}$ , WSRT (df = 442)), in fact undershooting their levels compared to the first block of natural sleep sessions (NS1 median = 0.51 Hz (IQR = 0.79) vs RS median = 0.39 (IQR = 0.65)  $p = 3.20 \times 10^{-3}$ , Wilcoxon rank-sum test (WRT) (df1 = 442 vs df2 = 312) ). A similar rapid firing rate drop was observed in interneurons (RS median = 11.87
- 266 Hz (IQR = 12.68) vs SD2 median = 18.76 (IQR = 19.51),  $p < 10^{-10}$ , WSRT (df1 = 48, df2 = 48)),
- with significantly lower firing rates than during the first block of natural sleep (NS1 median =
- 268 16.13 Hz (IQR = 14.72) vs RS median = 11.87 Hz (IQR = 12.68), p = 0.0183, WRT (df1 = 48 vs
- 269 df2 = 48)).
- 270 Interneurons of different types display a variety of firing response during SWRs and play an
- important role in determining the physiological characteristics of the ripple oscillation.
- 272 Therefore, we also examined the firing responses of interneurons, alongside those of pyramidal
- cells, specifically within SWRs (Fig 2D). Interestingly, while firing rates within ripples varied
- across the periods we examined, we generally saw little difference between natural sleep and
- sleep deprivation (PN: NS2 median = 1.96 Hz (IQR = 3.16) vs SD2 median = 1.78 Hz (IQR = 3.83)
- 276 p = 0.23, WRT (df1 = 442 vs df2 = 312); IN: NS2 median = 46.43 Hz (IQR = 54.79) vs SD2
- 277 median = 37.25 Hz (IQR = 45.72) p = 0.22, WRT (df1 = 48 vs df2 = 48) ). However, we observed
- a significant decrease in the ripple firing rates of pyramidal cells and interneurons during
- 279 recovery sleep compared to the similar period in natural sleep (PN: RS median = 1.68 Hz (IQR =
- 280 3.67) vs NS1 median = 2.09 Hz (IQR = 3.51), p = 0.04, WRT (df1 = 442 vs df2 = 312); IN: RS
- 281 median = 29.34 Hz (IQR = 54.46) vs NS1 median = 49.70 Hz (IQR = 59.37) p = 0.01, WRT (df1 =
- 48 vs df2 = 48)). Notably, the interneuron firing rates during ripples appeared bimodal in
- recovery sleep, with a skew towards lower firing rates (median = 29.34 Hz (IQR = 54.46), p =
- $3.26 \times 10^{-4}$ , SWT on log firing rates (df = 48)). Some studies indicate that somatostatin
- positive interneurons generally fire at lower rates during SWRs than do other cells <sup>40,41</sup>. The
- firing rate skew we observe may therefore be accounted for by the differential impact of sleeploss specifically on this class of interneurons, consistent with a recent study employing
- 288 immediate early genes <sup>42</sup>.
- 289
- 290





292 Figure 3: Reactivation attenuates during sleep deprivation and is not rescued by recovery sleep. (A) 293 Explained variance (EV) of pairwise reactivation (NSD, black; SD, red) and its reverse (REV, green) 294 during POST in natural sleep (NSD; left column) and sleep deprivation (SD) with recovery sleep (RS; 295 right column) sessions from 4 animals (sex indicated on the y-axis). Shaded regions indicate low standard 296 deviations. Additional sessions are provided in Extended Data Figure 1. NSD sessions feature robust 297 reactivation lasting for hours while SD sessions show either some (rats S and V) or almost reactivation 298 (rats N and U). (B) The EV auto-correlation (left panel) and corresponding time constants (right panel) 299 derived from the half maxima (NSD: 5 animals, 6 sessions; SD: 6 animals, 7 sessions) demonstrate 300 significantly faster decay in SD vs NSD. (C) Difference of EV and REV were calculated at ZT 0-2.5, ZT 301 2.5-5 and ZT 5-7.5, with markers for individual sessions superimposed. Note the significant increase 302 between SD2 and RS, but significantly lower RS compared to NS1. (Wilcoxon signed rank tests for 303 within group comparisons (panel C), and Wilcoxon rank-sum tests for across group comparisons (panel 304 B) \*p < 0.05)

#### 305 Sleep loss attenuates memory reactivation

306 Given that our results thus far demonstrate that SWRs and their overall population firing rates

- 307 are largely preserved in SD, we next asked whether the specific content of SWRs may be
- 308 impacted by sleep deprivation. We first examined the reactivation of neuronal ensembles,
- 309 which have been linked to the memory function of the hippocampus <sup>17,25</sup>. Such reactivations
- 310 can persist for hours after a novel experience <sup>43</sup> and can broadcast the hippocampal signal to
- 311 cortical regions <sup>8,9,25</sup>. To measure reactivation, we calculated the partial correlation explained
- 312 variance (EV), which measures the similarity of pairwise correlations between MAZE and POST
- while controlling for pre-existing correlations in PRE <sup>43-45</sup> in 250-ms bins in sliding 15-min

- 314 windows (5 min steps; Fig. 3A). A time-reversed EV (REV) was used to estimate the chance level
- for reactivation <sup>45,46</sup>. In naturally sleeping animals following exposure to the novel maze we
- 316 observed hours long reactivation, consistent with our previous study <sup>43</sup>. During sleep
- deprivation, however, we observed one of two scenarios: either virtually no reactivation (e.g.
- rats N and U, Fig. 3A; seen in 4 out of 7 sessions, Extended Data Figure 1) or alternately,
- 319 reactivation somewhat similar to natural sleep but with a faster rate of decay (e.g. rats S and V,
- 320 Fig. 3A; seen in 3 out of 7 sessions, Extended Data Figure 1). Pooled across subjects, the overall
- 321 timescale of reactivation, estimated from the half-maximum of the EV autocorrelations (Fig.
- 322 **3B**), was significantly longer in sleep compared to sleep deprivation (NSD mean ± standard
- error of the mean (SEM) = 2.6  $\pm$  0.38 h vs SD mean  $\pm$  SEM = 1.5  $\pm$  0.24 h, p = 0.0376 , t-test
- 324 (df1 = 6, df2 = 7). Remarkably, while reactivation was nearly absent at the end of the sleep
- deprivation period (Fig. 3C) it increased significantly at the onset of recovery sleep (Fig. 3C; RS
- 326 mean (EV-REV)  $\pm$  SEM = 0.026  $\pm$  .003 vs SD2 mean (EV-REV)  $\pm$  SEM = 4.06  $\times$  10<sup>-3</sup>  $\pm$  7.56  $\times$
- 327  $10^{-3}$ ,  $p = 5.20 \times 10^{-3}$ , paired t-test (df = 7)). This suggests that the hippocampus is capable
- of reprising ensemble patterns reactivation even after a pause, such as during sleep
- 329 deprivation. Nevertheless, the observed levels of reactivation during recovery sleep remained
- 330 substantially lower compared to a similar period during natural sleep (Fig. 3C; RS mean (EV-
- 331 REV)  $\pm$  SEM = 0.026  $\pm$  .003, vs NS1 mean (EV-REV)  $\pm$  SEM = 0.145  $\pm$  .033, p = 0.0156 , t-
- test(df1 = 7, df2 = 6)), indicating a lasting outcome of sleep deprivation.

#### 333 Sequence replay deteriorates during sleep deprivation and recovery sleep

334 While pairwise measures, such as EV, measure neuronal reactivation, finer scale analysis has 335 also revealed that neuronal activity during sharp-wave ripples can provide a temporally compressed replay of sequences of place cells that fired during maze behavior <sup>47,48</sup>. We 336 337 observed similar replay sequences in our recordings as well (Fig. 4A). Most studies of sequence 338 replay have been primarily directed at the brief periods of rest and sleep occurring within an 339 hour of maze exposure. Taking advantage of our long duration recordings, we investigated how 340 sequential replay unfolds over several hours of sleep in comparison with sleep deprivation. As quantification of these events can rely on different assumptions about the nature of replay <sup>49,50</sup>, 341 342 we focused on using Bayesian methods (Fig. 4 A-B) to simply quantify the proportion of ripple 343 events that decode continuous movement through the maze environment (i.e. "trajectory 344 replays"). Ripple events featuring  $\geq$  5 active units, animal's movement speed < 8 cm/s, and 345 peak ripple power > 1 s.d. were considered candidates for further analyses (see **Methods**). We 346 assessed trajectory structure using the distance between decoded locations in adjacent time 347 steps, referred to as "jump distance" <sup>51,52</sup>. Ripple events with jump distance < 40 cm in at least 348 three consecutive time bins were classified as trajectory replays, and we assessed the 349 distribution of these events across epochs and conditions. The proportion of ripples that 350 qualified as trajectory replays was highest on the maze in both experimental groups, consistent



#### 351

352 Figure 4: Trajectory replays deteriorate over sleep deprivation and recovery sleep. (A) Hippocampal 353 spike raster and local field (LFP) during a sample run on the track (normalized track position overlaid in 354 orange). Each row provides spike times for a single neuron, ordered by place field location. Raw LFP 355 (black) and ripple-band filtered traces (blue) from one electrode are shown above the raster. The gray box 356 on the right provides a sample replay sequence from POST sleep. (B) Two example trajectory replays 357 shown for each of the PRE, MAZE, 0-2.5, 2.5-5, and 5-7.5 epochs. In each epoch, the sample events 358 shown had traversed distances in the top 10 percentile and mean jump distance (blue text, lower left) 359 across sequentially decoded bins in the lowest 10 percentile. (C) The proportion of candidate ripple 360 events in different sleep (NSD) or sleep deprivation (SD) and recovery sleep (RS) epochs that decoded 361 continuous trajectories. SD sessions featured significantly fewer trajectory replays by the second block. 362 The proportion of replays in recovery sleep was significantly lower than the equivalent period in natural 363 sleep (Wilcoxon rank-sum tests, \*p < 0.05)

364 with previous reports <sup>53,54</sup>. However, the proportion of trajectory replays was significantly lower

365 in SD sessions compared to NSD sessions in the last two blocks (NS2 mean  $\pm$  SEM = 0.27  $\pm$  0.023

366 vs SD2 mean  $\pm$  SEM = 0.19  $\pm$  0.021, p = 0.0213, t-test (df1 = 6, df2 = 7); NS3 mean  $\pm$  SEM =

- 367  $0.26 \pm 0.023$  vs RS mean  $\pm$  SEM =  $0.17 \pm 0.018$ , p = 0.0178, t-test (df1 = 7, df2 = 6)).
- 368 Importantly, even during recovery sleep, replays did not rebound to the comparative levels in
- natural sleep (**Fig. 4C**; RS mean  $\pm$  SEM = 0.17  $\pm$  0.018 vs NS1 mean  $\pm$  SEM = 0.27  $\pm$  0.033 p =
- 0.0334, t-test (df1 = 7, df2 = 6)). These results demonstrate that the loss of sleep immediately

- following novel experience negatively impacts the hippocampal replay of place cell patterns
- following novel maze exposure, which fail to rebound during recovery sleep.

#### 373 Discussion:

- Here, we use long-duration recordings to define how sleep loss alters hippocampal firing
- 375 patterns. Our observations of the effects of sleep deprivation on hippocampal oscillations and
- ensemble firing patterns have important implications for understanding the role of sleep and
- the negative impact of sleep loss on hippocampal function.

#### 378 Sleep deprivation induces smaller sharp-waves with higher frequency ripples

We observed distinct effects of sleep deprivation on the electrophysiological features of sharp-379 380 wave ripples. We found lower amplitude sharp waves coupled with lower power ripples during 381 sleep deprivation compared to natural sleep. The amplitude of sharp-waves and power in the 382 ripple frequency band are typically considered to reflect the synchrony and coherence of CA3 383 inputs converging on CA1 neurons. Higher amplitude/higher power events were reported to produce greater spiking in CA1 neurons <sup>25,55</sup>, and resonate more strongly throughout the 384 hippocampal formation<sup>8</sup>. However, these studies did not separate effects according to the 385 386 background sleep/awake state of the animal, whereas here the differences we report contrast 387 the effects of enforced wakefulness with natural sleep. One recent study reported that awake sharp-wave ripples, despite featuring lower amplitude sharp-waves than during sleep, 388 nevertheless have a larger impact on prefrontal cortical neurons <sup>56</sup>. Similar paradoxical effects 389 390 were also recently reported for other brain regions, where lower amplitude sharp-waves 391 produced larger neuronal responses in extra-hippocampal regions<sup>8</sup>. These observations 392 therefore indicate that larger sharp-waves do not necessarily translate to greater activation in 393 target regions. Additionally, at the level of the hippocampus, we note that firing rates during 394 SWRs remained comparable between sleep deprived and sleeping animals despite differences 395 in SWR features, suggesting that both low and high amplitude sharp waves generate 396 approximately similar spiking responses in hippocampal neurons.

397 Alongside these sharp-wave differences, we observed parallel differences in the frequency of 398 the ripple oscillations at the CA1 pyramidal layer. Higher frequency ripples were present 399 throughout sleep deprivation and these ripples showed a progressive drop in frequency during 400 natural sleep and recovery sleep. The ripple oscillation frequency likely reflects temporal 401 interactions between pyramidal cells and interneurons, presumably basket cells that fire rapidly 402 during SWRs <sup>30,31</sup>. Brain temperature can also affect ripple frequency by several Hz <sup>33</sup>, though 403 not quite up to the 18 Hz differences observed in our recordings. These observations suggest 404 that the frequency of ripple oscillations can serve as a useful proxy for sleep pressure 405 measurable directly from the hippocampal LFP. In this context, higher frequency ripples

406 potentially reflect the higher metabolism of the awake state <sup>57</sup> which is progressively lowered

- 407 and reset in sleep <sup>26</sup>. Differences in ripple frequency can also reflect differences in
- 408 neuromodulatory tone, such as activation of GABA-A, 5-HT1A or muscarinic receptors <sup>58-60</sup>, or
- 409 different routing of inputs to CA1, with higher frequency ripples reflecting the influence of CA2
- 410 during waking <sup>61</sup>, and lower frequency ripples reflecting input from the entorhinal cortex <sup>25,62</sup>.
- 411 Interestingly, lower frequency ripples have also been associated with aging <sup>63</sup> and have been
- 412 recently reported in a rodent model of Dravet syndrome <sup>64</sup> compared with healthy young
- 413 controls, whereas ripple frequency increases after learning <sup>65</sup>, consistent with this postulated
- 414 correlation of ripple frequency with higher metabolic cost.

#### 415 Extended wakefulness increases spiking and broadens firing rate distributions.

- 416 We also observed sustained high firing rates of both pyramidal cells and interneurons in the
- 417 hippocampus during sleep deprivation, which stood in contrast to decreasing firing rates over
- 418 the course of sleep, especially in recovery sleep. This extends upon our previous work by
- 419 demonstrating that enforced wakefulness produces a similar effect to spontaneous waking on
- 420 hippocampal firing rates <sup>26</sup>. These dynamics are also consistent with the reported effects of
- 421 waking to increase and sleep to decrease firing rates in neocortical regions <sup>35,37,38,66</sup>. Moreover,
- 422 we found that pyramidal cells displayed a wider negatively skewed distribution of firing rates
- 423 during sleep loss compared to sleep. Such broadening of firing-rate distributions have been
- 424 associated with higher activity of interneurons <sup>34</sup>, as we also see during sleep loss. These
- 425 observations indicate that during enforced wakefulness interneurons actively regulate
- 426 competition between pyramidal neurons and suppress the firing of some neurons at the
- 427 expense of others <sup>34,67</sup>, whereas the balance shifts towards disinhibition during slow-wave sleep
- 428 <sup>34,68</sup>. Recovery sleep following sleep deprivation was further characterized by significantly lower
- 429 firing rates in both pyramidal cells and interneurons compared with regular sleep, indicating an
- 430 enduring effect of enforced wakefulness consistent with fatigue. A recent study further
- 431 reported that somatostatin positive neurons, a subset of which are lacunosum-moleculare
- 432 projecting interneurons that gate entorhinal cortical input to CA1 <sup>69</sup> and fire at lower rates
- 433 during SWRs <sup>40</sup>, are distinctly driven during loss of sleep <sup>42</sup>. Intriguingly, we saw negatively
- 434 skewed firing rates of interneurons in ripples during sleep deprivation that remained skewed
- even in subsequent recovery sleep, which could reflect differential activation of these cell
- 436 types.
- 437 The firing rate patterns we report appear consistent with "the synaptic homeostasis
- 438 hypothesis" <sup>70</sup> which conjectures that waking drives strengthened connectivity between
- 439 neurons, while sleep drives synaptic downscaling. The progressive decrease in reactivation and
- replay over the course sleep may likewise be consistent with this hypothesis as the pathways
- 441 providing reverberation of waking patterns are continuously reduced. On the other hand, the
- 442 more rapid decline in replay and reactivation during sleep deprivation versus during sleep is not
- readily reconciled with a preferential role for waking in synaptic strengthening. If neurons that

- fire together indeed wire together during waking, they could be expected to show more robust
- reactivation (as reflected in co-activity) during this brain state if it is indeed most dedicated to
- 446 synaptic strengthening. Another possibility, however, is that the strengthening during awake
- 447 activity is promiscuous rather than specific to the firing patterns evidenced on the maze. In this
- scenario, waking during sleep deprivation may actively interfere with hippocampal reactivation
- 449 by provoking the hippocampus to generate and learn new patterns inconsistent with the maze
- 450 experience. Similarly, whereas it has been conjectured that sharp-wave ripples may serve to
- 451 downscale synapses <sup>16,26,71</sup>, reactivation and replay were longer lasting during sleep compared
- 452 to sleep deprivation, even though both states featured a similar incidence of SWRs. The
- 453 background brain states against which SWRs occur, along with the hippocampal activation
- 454 patterns that they produce, including the specific content of reactivation and replays, likely play
- 455 an role in determining their effects on the hippocampal circuit and other brain regions <sup>8,56,72</sup>.

#### 456 Sleep loss impairs hippocampal reactivation and replay

457 Among the most significant findings uncovered in this study is that even though we observed a 458 similar number of SWRs during sleep and sleep deprivation, the hippocampal reactivations and 459 replays of the maze experience elicited during these events were diminished during sleep 460 deprivation compared to sleep. In several influential models of sleep-dependent memory 461 consolidation, hippocampal reactivations and replays work to consolidate memories by 462 reprising patterns to strengthen the connections between the neurons associated to a memory <sup>73-77</sup>. In the most recent formulation of the synaptic homeostasis hypothesis, as well, 463 464 reactivations and replays play a critical role by sparing indexed memories from synaptic downscaling to improve the signal to noise of important circuit connections <sup>70</sup>. Despite the 465 466 consensus that these neuronal firing patterns play a critical role in the memory function of 467 sleep, little has been known until now about how they are impacted by sleep loss. We 468 measured reactivation using the EV measure, which reflects the similarity of pairwise co-firings 469 of neurons to their co-firings during the novel maze exposure <sup>44</sup>, while controlling for coactivations that are present prior to maze exposure <sup>43,45</sup>, consistent with the Hebbian principle 470 471 that assemblies formed during an experience continue to co-fire thereafter. Trajectory replays, 472 on the other hand, relate the positions sequentially decoded using Bayesian inference to the 473 sequence of locations that rats run through on the maze. Thus, replays presuppose the 474 presence of reactivation, but reactivation could be present in the absence of replay, so long as active neurons fire in ensembles that are coherent with the maze experience <sup>78,79</sup>. In this study, 475 476 we found that reactivation during natural sleep lasted for several hours, consistent with our 477 recent report <sup>43</sup>. During sleep deprivation, on the other hand, we observed a bimodality, with 478 some sessions showing virtually no reactivation, while others showed reactivation the decayed 479 at a faster rate compared to during sleep. An intriguing possibility is that this bimodality reflects differences in resilience to the effects of sleep deprivation <sup>80,81</sup>. However, we did not see 480

- 481 evidence for a similar bimodality in the amount of trajectory replays, which was significantly
- lower by the second half of sleep deprivation, compared to natural sleep. This difference could
- 483 be due to the methodological differences in the measures used to capture reactivation and
- 484 replay, making a direct comparison very difficult <sup>50</sup>. A potential contribution to such differences,
- 485 however, could arise if pairwise co-activations during sleep-deprivation are reflective of the
- 486 maze experience, without linked into multi-neuronal sequences that decode to trajectories
- 487 spanning the maze environment <sup>82,83</sup>. Nevertheless, our study shows that both replay and
- 488 reactivation, each associated with the memory function of sleep <sup>77,84,85</sup>, were negatively
- 489 impacted by sleep deprivation.

#### 490 The rebound of reactivation during recovery sleep

491 Remarkably, we observed a partial rebound in reactivation during recovery sleep following 492 sleep deprivation. This rebound suggests that despite the diminished reactivation during sleep 493 deprivation, the hippocampus maintained a latent trace of the maze experience that was 494 revived when the animals fell asleep. Importantly, however, this rebound was only partial, and 495 reactivation during the > 2.5 h of recovery sleep did not reach the levels observed during 496 natural sleep in non-deprived sessions. While it remains conceivable that rebound reactivation 497 could continue to increase beyond the duration of our recordings, this appears unlikely, 498 because the greatest synchrony consistent with reactivation is observed at the onset of sleep, 499 rather than during later stages when rodent sleep tends to be more fragmented and reactivation patterns become more diffuse <sup>26,43</sup>. Notably, we also did not detect a similar 500 rebound in trajectory replays. Overall, the absence of a complete rebound in recovery sleep is 501 502 remarkable, because while most indices of brain health and function return to homeostatic 503 levels following sufficient recovery sleep, memories, once impaired by sleep loss or otherwise 504 do not typically recover <sup>2,86-88</sup>. It is noteworthy that cyclic AMP (cAMP) signaling that is 505 prominent in the first several hours of sleep and is impaired by sleep deprivation is fully 506 restored during recovery sleep<sup>87,89</sup>. Similarly full recovery is observed in the transcription of genes that are differentially impacted by sleep deprivation following recovery sleep <sup>88</sup>, in 507 508 contrast to reactivation and replay as we report. An intriguing possibility is that the temporal 509 overlap between molecular signaling and replays is the key prerequisite for the consolidation of 510 memory. Sleep loss potentially dissociates these processes either by suppressing one or both 511 processes during the deprivation period, or by allowing for a full rebound in cAMP or other molecular pathways but not reactivations and replays in the recovery sleep period. 512

- 513 Overall, our work calls attention to reactivation and replay as potentially crucial elements
- 514 mediating the role of sleep in memory that are negatively impacted by sleep loss. The
- 515 impairment of these neuronal firing patterns could destabilize hippocampal spatial
- <sup>516</sup> representations <sup>18</sup> and hippocampus-dependent spatial memories <sup>5</sup>. Furthermore, since SWRs
- 517 provide privileged windows of communication between the hippocampus and the neocortex <sup>90</sup>,

- 518 the impaired content of that communication is likely to have widespread impact on networks
- 519 distributed throughout the brain <sup>8,91</sup>.

520

521

#### **Extended Data Figure 1**



522

523 Extended Data Figure 1: Temporal evolution of reactivation across all recorded sessions.

524 **Reactivation, measured using the explained variance (EV) metric, in** thirteen sessions from six

525 different animals (3 male and 3 female), as in Figure 3A. Each row provides session(s) from one

526 animal, with number of putative pyramidal neurons and number of cellpairs used to calculate

527 EV specified inside each panel. Hypnograms above panels depict sleep/wake history, with sleep

- 528 deprivation/recovery sleep in red/blue and natural sleep in black Animals' tracked position on
- 529 the novel tracks (purple) are depicted on the right side of the panels with the day of the
- 530 recording noted on top.
- 531

532

533

#### 534 Methods:

#### 535 Animals and surgical procedures

536 Four male and three female Long-Evans rats (300-500 grams) were used in this study. All 537 surgeries were performed on isoflurane anesthetized animals head fixed on a stereotaxic 538 frame. After removing hair from the head, the incision area was cleaned using alcohol and 539 betadine. Next, an incision was made to expose the skull underneath. The skull was cleaned of 540 tissues and blood, after which hydrogen peroxide was applied. Coordinates for probe 541 implantation were marked above the dorsal hippocampus (AP: -3.36, ML:  $\pm 2.2$ ) following 542 measurement of bregma and lambda. Craniotomies were drilled at the marked location. Using 543 a blunt needle, the dura was removed carefully to expose the brain surface. After cessation of 544 bleeding, animals were implanted with 64 channel (8 shank "Buzsaki" probe; Neuronexus, MI; X 545 animals) or 128 channel (8 shanks, Diagnostic Biochips, MD, 7-X animals) silicon probes. Ground 546 and reference screws were placed over the cerebellum. Craniotomy was covered with DOWSIL 547 silicone gel (3-4680, Dow Corning, Midland, MI) and wax. A copper mesh was built around the 548 implant for protection and electrical shielding. All procedures involving animals were approved 549 by the Animal Care and Use Committee at the University of Michigan.

#### 550 Behavior

551 Prior to the probe implant surgery animals were habituated to the experimenter for  $\geq$  40 mins 552 for 5 days. Following habituation animals were water restricted and trained to associate water 553 rewards with plastic wells. During the post-implant recovery period (7 days) animals were 554 brought to the recording room for monitoring electrophysiology signals and probes were slowly 555 lowered to the dorsal CA1 region of the hippocampus. In addition, animals were also 556 habituated to sleep box for >1 h every day. Following this, animals were placed on a water 557 restriction regiment for 24 h before experiments commenced. Each experimental session began 558 by transferring animals to their sleep box ~4 h before the onset of light cycle. After 3 h of 559 recording in the home cage, animals were transferred to a novel maze that they had not 560 previously explored. These maze tracks were made distinct by the shape, color, and 561 construction materials. Animals alternated for ~ 1 h between two water wells fixed at either 562 ends of the maze to retrieve rewards from water wells. Following exploration, animals were 563 transferred to the home cage and the recording continued for  $\geq$  10 h. Animals had access to ad 564 *libitum* food and received ad libitum water for 30 mins per day.

#### 565 Sleep deprivation protocol

566 Sleep deprivation was performed at the onset of the light cycle in the home cage using a

- 567 standard 'gentle handling' procedure <sup>92,93</sup>. Animals were extensively habituated to the
- 568 experimenter conducting the sleep deprivation. During the initial hours of sleep deprivation,

- animals were kept awake by mild noises, tapping or gentle shaking of the cage when animals
- 570 displayed signs of sleepiness. As sleep pressure built up over 5h sleep deprivation period, other
- 571 techniques such as gently stroking the animal's body with soft brush or disturbing bedding were
- 572 increasingly employed to to ensure that animals stayed awake. Following sleep deprivation,
- 573 animals were allowed to sleep and recover for 48 h before any further experiments.

#### 574 Data Acquisition

- 575 Electrophysiology data was acquired using OpenEphys<sup>94</sup> or an Intan RHD recording controller
- 576 sampled at 30 kHz. Analysis of local field potentials (LFP) , was performed on signals
- 577 downsampled to 1250 Hz. The animal's position on the maze track was obtained using
- 578 Optritrack (NaturalPoint, Inc, OR), which uses infrared cameras to locate a 3d markers that
- 579 were clipped to the animal's crown. Position data was sampled at either 60 Hz or 120 Hz and
- 580 later interpolated for aligning with electrophysiology. Water rewards during alternation on the
- 581 maze track were delivered via solenoids interfaced with custom built hardware using Arduino.
- 582 The timestamps for water delivery were recorded via TTLs.

#### 583 Spike sorting and neuron type classification

- 584 All data went through filtering, thresholding and automatically sorting using SpyKING CIRCUS <sup>95</sup>,
- 585 followed by manual inspection and reclustering using the Phy package
- 586 (<u>https://github.com/cortex-lab/phy/</u>}. Only well isolated units were used in further analysis.
- 587 Putative neurons were classified into pyramidal and interneurons based on peak waveform
- 588 shape, firing rate, and interspike-interval. To ensure that a given neuron was reliably tracked
- 589 across the recording duration, we divided each session into 5 equally sized bins (~2.5 h) and
- 590 excluded any unit that fired below 25% of its overall mean in any given time bin. All LFP and
- 591 unit analyses were performed using custom codes written in PYTHON and are available in our
- 592 lab's GitHub repository (<u>https://github.com/diba-lab/NeuroPy</u>).
- 593 Sharp wave ripple detection and related properties
- 594 For detecting ripples, one channel from each shank were selected based on the (highest) mean
- 595 power in the ripple frequency band (125-250 Hz). The Hilbert amplitude was averaged across all
- selected channels, then smoothed using a Gaussian kernel ( $\sigma = 12.5 ms$ ) and z-scored.
- 597 Putative ripple epochs were identified from timepoints exceeding 2.5 standard deviations (s.d.)
- and the start/stop was associated with signals > 0.5 s.d.. Candidate ripples < 50 ms or > 450 ms
- 599 were excluded from further analyses. Sharp wave amplitudes were obtained from a bandpass
- 600 (2-30 Hz) filtered LFP using the difference between maximum and minimum value across all
- 601 recorded channels within a given ripple. The peak frequency of each ripple was estimated using
- a complex wavelet transform. The LFP was first high-pass filtered > 100 Hz. This filtered signal
- 603 was then convolved with complex Morlet wavelets with central frequencies selected from

604 linearly spaced frequencies in the ripple frequency band (100 to 250 Hz). Within each ripple,

the frequency with maximum absolute wavelet power was designated as the peak ripplefrequency.

#### . .

#### 607 Sleep scoring

608 Sleep scoring was performed using correlation EMG, theta, and delta power. Correlation EMG 609 was estimated by summing pairwise correlations across all channels calculated in 10 s time

- was estimated by summing pairwise correlations across all channels calculated in 10 s time
   windows with a 1 s step <sup>96,97</sup>. For theta power, a recording channel with the highest mean
- 611 power in the 5-10 Hz theta frequency band was identified. Following theta channel selection,
- 612 the power spectral density was calculated for each window. Periods with low and high EMG
- 613 power were labeled as sleep and wake, respectively. The theta (5–10 Hz) over delta (1– 4 Hz)
- 614 plus (10 –14 Hz) band ratio of the power spectral density was used to detect transitions
- 615 between high theta and low theta, using custom python software based on hidden Markov

616 models followed by visual inspection. Sleep states with high theta were classified as rapid eye

- 617 movement (REM) and the remainder were classified as non-REM (NREM). Wake periods with
- 618 high theta were labeled as "active" and the remaining were labeled "quiet". These labels were
- 619 merged in WAKE for the main figures. All detected states went through additional visual
- 620 inspection to correct any misclassifications.

#### 621 Explained variance measure for reactivation

Explained variance was calculated using previously described methods <sup>43,44</sup>. Briefly, spike times 622 623 were binned into 250 ms time bins, creating an N byT matrix, where N is the number of neurons 624 and T is the number of time bins. Pearson's correlations, R, were determined for spike counts 625 from neuronal pairs in 15 min sliding windows (window length 15 min, sliding 5 min steps) to 626 produce P, an M-dimensional vector, where M is the number of cell pairs. To reduce spurious 627 correlations arising from cross contamination of units from the same shank, only pairs with 628 waveform similarity <0.8 were used. Next, to assess similarity between P vectors from different 629 windows, the Pearson correlation R of these vectors (i.e., the correlation between cell pair 630 correlations) was determined (e.g., R[PRE, POST], R[PRE, MAZE] and R[MAZE, POST]). Controlling for 631 preexisting correlations in a given window (k) in PRE, the explained variance for a 15 min 632 window (WIN) was calculated as:

633 
$$EV(WIN) = \left(\frac{R_{[MAZE, WIN]} - R_{[MAZE, PRE(k)]} \times R_{[PRE(k), WIN]}}{\sqrt{1 - R_{[MAZE, PRE(k)]}^2}\sqrt{1 - R_{[PRE(k), WIN]}^2}}\right)^2$$

averaged over all windows in PRE. To get an estimate of the chance level for EV, we calculated
 a time-reversed explained variance (REV) for each WIN <sup>45,46</sup>:

$$REV(WIN) = \left(\frac{R_{[MAZE, PRE(k)]} - R_{[MAZE, WIN]} \times R_{[PRE(k), WIN]}}{\sqrt{1 - R_{[MAZE, PRE(k)]}^2}}\sqrt{1 - R_{[PRE(k), WIN]}^2}\right)^2$$

similarly averaged over PRE. To estimate the time constant of reactivation from each session <sup>43</sup>,
we used the half-maximum of the autocorrelation function of EV.

#### 639 Place field calculations

- 640 Prior to calculating place fields, animals' 2D positions were linearized using ISOMAP <sup>98</sup> and
- 641 visually inspected to ensure accuracy. For each unit, two firing rate maps were generated
- 642 corresponding to each running direction. Occupancy within 2 cm spatial bins using timepoints
- 643 when animal's speed exceeded 8 cm/s were calculated and smoothed with a Gaussian kernel
- 644 (sigma = 4 cm). For each neuron, spike counts within each spatial bin were determined and also
- smoothed with the Gaussian kernel (sigma = 4 cm). Then, each neuron's firing rate map was
- 646 generated by dividing the smoothed spike counts by the smoothed occupancy map. Neurons
- 647 with peak firing rate < 0.5 Hz were excluded from further analysis.

#### 648 Decoding and sequence selection

- 649 Multiunit activity (MUA) was used to detect population burst events that are concurrent with
- 650 sharp-wave ripples. Within a session, all putative spikes from all clusters were binned in 1 ms
- time bin and smoothed using a Gaussian kernel of  $\sigma$  = 20 ms. Candidate ripple events were
- 652 identified if peak MUA activity exceeded 3 s.d.. The start and stop times were defined by
- 653 extending the boundary to MUA above the mean. Two events occurring within 10 ms of each
- other were merged. Events with duration < 80 ms or > 500 ms were discarded.
- 655 Before decoding, candidate ripple events were required to satisfy  $1 \ge 5$  active units, 2)
- 656 movement speed < 8 cm/s, and 3) concurrent peak ripple power > 1 s.d.. For these analyses
- alone, to minimize decoding error, we included all stable clusters <sup>99</sup>. Position decoding was
- 658 carried out on ripple events using Bayesian decoding <sup>100</sup>. Probabilities of the animal occupying
- each position bin  $x_P$  on the track were calculated according to:

660 
$$P(x_p|n_t) = K_t \left\{ \prod_{i=1}^N \lambda_i [x_p]^{n_{i,t}} \right\} e^{-\tau \sum_{i=1}^N \lambda_i [x_p]}$$

661 where  $\tau$  is the duration of the time bin (20 ms) used,  $\lambda_i[x_p]$  is the firing rate of the *i*-th neuron 662 at  $x_p$  on the maze,  $K_t$  is a normalization constant such that sum of probabilities across all 663 position bins equals to 1 for each time bin, and  $n_t$  is the number of spikes fired by each neuron 664 in that bin. Location with the maximum posterior probability in a given time bin was termed as 665 that time bin's `decoded location`. A candidate ripple event was classified as a 'replay' if it

- decoded a continuous trajectory across space for  $\geq$  60ms such that the distance between
- 667 decoded locations in adjacent time bins was < 40cm. Posterior probability matrices for all ripple
- 668 events that were classified as replay have been compiled in an interactive plot available in our
- 669 github repository (https://github.com/diba-lab/sd\_paper/trajectory\_replay\_events.html).

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#### 903 Author Contributions

- 904 KD, TA, and BG conceived the project. BG performed the experiments and analyzed the data.
- 905 UK and KM contributed analytical insights. KD supervised the research. KD and BG wrote the
- 906 manuscript with input from TA.

### Figures

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# Figure 4

Figure 1

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