



ORIGINAL ARTICLE

Radial shock-wave therapy for frozen shoulder patients with type 2 diabetes mellitus: a pilot trial comparing two different energy levels

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ABSTRACT

BACKGROUND: Extracorporeal shock-wave therapy (ESWT) is highly recommended for the management of orthopedic shoulder pathologies. Yet, the clinical relevance of the dose difference effect of radial ESWT approaches in the management of frozen shoulder patients with type 2 diabetes mellitus remains uncertain.

AIM: The aim was to examine the short-term effects of medium-and high-energy levels of radial ESWT (rESWT) in the treatment of frozen shoulder patients with type 2 diabetes mellitus.

DESIGN: Prospective clinical pilot study.

SETTING: This study was conducted in an outpatient clinic.

POPULATION: Thirty-nine patients who had frozen shoulder untreated for at least 3 months, diagnosed with type 2 diabetes mellitus for ≥ 3 years were included.

METHODS: The patients were randomly allocated to receive either high-energy rESWT (hrESWT), or medium-energy rESWT (mrESWT) or placebo at 8 Hz twice a week for six weeks. The primary outcome measure was pain, evaluated by the Visual Analog Scale (VAS) Score. Secondary outcome measures were function evaluated by the Shoulder Pain and Disability Index (SPADI) Score, and shoulder active range of motion (AROM). The mechanical properties of the deltoid and trapezius muscles were assessed using the MyotonPRO (Myoton AS, Tallinn, Estonia).

RESULTS: The mrESWT resulted in statistically significant reductions in night pain at 6 weeks ($\eta_p^2=0.27$, $P=0.003$). Significantly improved function (SPADI scores: -35.42 ± 21.29 vs. -29.59 ± 22.60 ; $\eta_p^2=0.39$, $P<0.001$) was found in both hrESWT and mrESWT group by 6 weeks. Significantly higher mean shoulder AROM values were recorded for external rotation ($\eta_p^2=0.53$, $P<0.001$), and internal rotation ($\eta_p^2=0.21$, $P=0.020$), in the hrESWT group at the 6th week. A significantly improved resting tone ($\eta_p^2=0.58$) and stiffness of deltoid muscle ($\eta_p^2=0.62$) were found in the mrESWT group ($P<0.001$). The trapezius muscle resting tone reduced with hrESWT ($\eta_p^2=0.17$, $P=0.033$).

CONCLUSIONS: Regardless of the energy levels, rESWT appears to be an effective therapeutic intervention for frozen shoulder patients with type 2 diabetes mellitus in the short-term results.

CLINICAL REHABILITATION IMPACT: Our results suggest that this rESWT can be a useful strategy for the rehabilitation of frozen shoulder patients with type 2 diabetes mellitus. This is the first study on dose difference effectiveness in terms of the clinical significance of rESWT which is key to transfer research evidence into practice.

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KEY WORDS: Diabetes mellitus; Muscle tonus; Pain.

Idiopathic (primary) frozen shoulder, is a painful clinical condition which is characterized by stiffness, loss of joint motion, and painful restrictions in movements of the shoulder manifested by functional disability.^{1, 2} The

pathophysiological mechanisms behind the accumulation of the dense collagen matrix^{2, 3} and the proliferation of fibroblasts on the shoulder are still unclear.²⁻⁴ The risk factors of frozen shoulder include female sex, thyroid disease,

hypercholesterolemia, and diabetes.⁵ Among risk factors, type 2 diabetes is suggested to be the main factor for the development of frozen shoulder.⁶ The incidence of frozen shoulder is five times higher (prevalence of 30%) among patients with diabetes compared to individuals without the condition.⁷ Frozen shoulder limits the individual's functionality, while coexisting type 2 diabetes may increase the pain severity of these patients.¹

Extracorporeal shock-wave therapy (ESWT) is an effective method to stimulate tissue healing.^{8, 9} ESWT is an integrative, noninvasive treatment in which the high-amplitude sound waves are focused on the desired part of the body.¹⁰ It is used in the treatment of various disease of musculoskeletal system including osteonecrosis, tendinopathy, enthesopathy, and calcifications.¹⁰⁻¹³ The ESWT has different forms of application methods as focused ESWT (fESWT) and radial ESWT (rESWT).¹² It has been shown that rESWT is less painful and does not require anesthesia in daily practice compared to fESWT, which has a high tissue penetration rate.¹⁰ Recently, in few clinical studies, rESWT has been applied in the treatment of frozen shoulder.^{11, 14-17} The proposed underlying mechanism for frozen shoulder is that inflammatory cytokines transforming growth factor-1 stimulate fibroblast proliferation and differentiation into myofibroblasts.¹⁸ The inflammation leading to an imbalance in the extracellular matrix cycle,¹⁹ resulting in a stiff glenohumeral capsule containing a large number of type III collagen.^{4, 18}

Patients with both frozen shoulder and type 2 diabetes mellitus have worse functional outcomes than their non-diabetic counterparts.⁷ Many options are available for improving the pain, range of motion, and functionality in these patient population.²⁰ Anti-inflammation has been proposed as the mechanism responsible for the therapeutic effects of rESWT;^{12, 21} however, the underlying mechanism of rESWT in individuals with frozen shoulder and type 2 diabetes mellitus still remains unclear. There is only two study that evaluated the usefulness of ESWT in diabetic patients.^{17, 22} Indeed, it has been reported by Kvaalag *et al.*²³ that radial ESWT is not superior to sham rESWT in addition to supervised exercises in the long term in patients with subacromial pain syndrome. However, in this study, considering the physiological mechanism of ESWT, we wanted to question which dose (high- or medium-energy) is superior to sham rESWT (without supervised exercises), which is applied more intensely (twice a week, 2000 pulses) in the short term (for six-weeks) in individuals with frozen shoulder and type 2 diabetes mellitus. To the best of our knowledge, there is no study examining the efficacy

of different energy levels of rESWT on the shoulder pain, range of motion, functionality, and muscle mechanical properties in frozen shoulder patients with type 2 diabetes mellitus. Therefore, the aim of this study was to examine the effectiveness of high- or medium-energy rESWT on pain, functionality, range of motion, and mechanical properties of the muscles in frozen shoulder patients with type 2 diabetes mellitus.

Materials and methods

This prospective clinical pilot study was conducted in frozen shoulder patients with type 2 diabetes mellitus. The research protocol was approved by the University Research Ethics Committee. The study was conducted in accordance with the principles of the Declaration of Helsinki. The study start date was January 25, 2019, and the study ended on September 17, 2019. The trial is registered at ClinicalTrials.gov (CT.gov identifier: NCT03185078). The study protocol was approved by the Medipol University Research Ethics Committee (IRB: 10840098-604.01.01-E.46255). The study was conducted in accordance with the principles of the Declaration of Helsinki. Data collection was performed at the Physical Therapy Department of the outpatient setting. All patients were informed about the nature of the study and possible risks and benefits of the treatment in detail. A written informed consent was obtained from each patient.

The patients received a total of 12 sessions of rESWT exposure, twice a week, for six weeks, and each session was performed at 8 Hz with 2000 pulses. The entire treatment lasted 15 min per session. During the session, symptoms such as pain and discomfort, hypersensitivity, edema, hematoma syncope, and nausea were accepted as the termination criteria.

Participants

Frozen shoulder patients with diabetes who were referred to the clinic between December 2018 and January 2019 were evaluated by a physician for eligibility. Recruitment was conducted among patients with both primary adhesive capsulitis and type 2 diabetes mellitus who had been referred to the clinic. Inclusion criteria were patients aged >18 years, unilateral frozen shoulder, symptom duration >3 months, shoulder pain and limited glenohumeral joint active range of motion of greater than 50% in at least three specific movements among abduction, flexion, internal rotation, and external rotation, and first attack of the frozen shoulder. Before the last two weeks of enroll-

ment, all participants underwent a standardized history, physical examination. Patients in whom no radiographic findings on anteroposterior, axillary or scapular y-view shoulder radiographs were found and no medical treatment other than analgesics had been prescribed within the past 3 months were included. Furthermore, having type 2 diabetes for at least 3 years, fasting blood glucose ≥ 126 mg/dL, and hemoglobin A1c (HbA1c) $\geq 6.5\%$ were other inclusion criteria for this study. Previous shoulder surgeries, massive, minor, and major rotator cuff tears, pain and muscle strength loss due to neurological causes, history or presence of malignancy, osteoporosis or active infection, analgesics or muscle relaxants taken within 72 hours of the examination, and use of pacemakers, and undergone any physiotherapy or steroid treatment within a 3-month period were exclusion criteria.

Randomization and blinding

The patients were assigned to three groups according to a random list in each group by an independent statistician using the MedCalc randomization algorithm (MedCalc Software, Ostend, Belgium) who did not involve in the data collection or analysis. The research assistant who had no knowledge of the study design, randomly assigned the participants to the three groups. The outcome assessor and statistician were blinded to the treatment allocation.

Interventions

All patients were informed about having a light meal before each session. During the session, the patients were instructed to sit with knees flexed in a comfortable position, in a back-supported chair with a forearm assisted at the treatment table. The rESW treatment applied in this study is reported according to the TIDIER Checklist.²⁴ Radial ESWT was applied by a physiotherapist with 5 years of experience using the Enraf-Nonius Endopuls 811 (Enraf-Nonius BV, Echt, the Netherlands) device, and a 25-mm diameter applicator. Due to the nature of the rESWT, it was not possible to blind the physiotherapist who conducted the interventions. For dose adjustment, the classification was performed based on previous studies.¹⁵⁻¹⁷ The energy flux density (EFD) of pressure pulses for 1 mm² of the study groups were arranged according to the energy output power (EOP), and radius of the applicator head ($\sqrt{\cdot}$). The following formula was used:

$$EFD = EOP/(\pi r^2) (\pi = 3.14)$$

- hrESWT group: EFD: 0.25 mJ/mm² at 8 Hz; EOP=120 mJ (high-energy);

- mrESWT group: EFD: 0.12 mJ/mm² at 8 Hz; EOP=60 mJ (medium-energy);
- prESWT group: simulated rESWT (0.00 mJ/mm²) at 8 Hz (placebo).

After the application of the ultrasound gel, 1,000 pulses were sent to the target area by placing shock waves at two different treatment sites, at right angles to the device applicator. At the first treatment point, rESWT was applied at the anterior side of the shoulder joint, in the superior-inferior direction, below the coracoid process and laterally to include the deltoid muscle, and the anterior side of joint capsule in the wave propagation region. The second treatment site (for 1000 pulses) was placed in the superior-inferior direction along the lateral and upper edge of the scapula, posterior to the shoulder joint for covering the trapezius upper muscle and posterior side of joint capsule.^{11, 15}

The rESWT was simulated in the placebo group according to the previous studies.^{11, 15, 25} The device applicator was positioned to be the same as the other intervention groups. The previously recorded 8 Hz pulsed shock wave sounds were played, as if the actual application was being performed. The device was in the off mode during the session period without pressing the pedal. In the placebo group, none of the patients were aware of how the treatment was applied. All patients in the ESWT and placebo group were enrolled on different days during the evaluation and treatment sessions to avoid an interaction between the participants to minimize bias.

Outcome measures

The primary outcome measure was that of pain, while the secondary outcome measures were of the active range of motion (AROM), function, and resting tone of deltoid and trapezius upper muscles. All assessments were performed at the baseline, and 6th week, by the same investigator for all patients.

Primary outcome measurements

PAIN EVALUATION

As the primary outcome, pain evaluation of the patients was performed using the Visual Analogue Scale (VAS) for two different conditions such as rest and during night. The use of VAS in shoulder problems has been reported to be one of the simple, easy-to-use, and reliable method for the pain evaluation.²⁶ The patients were informed that, in a 10-cm length scale, 0 indicates no pain, while 10 indicate the worst imaginable pain. The patients were asked to mark the most appropriate score for their pain, and the overall pain score was calculated and recorded.

Secondary outcome measurements

FUNCTIONALITY ASSESSMENT

Function was assessed using the self-administered Shoulder Pain and Disability Index (SPADI) score. It consists of 13 questions, and measures shoulder function. To answer the questions, patients place a mark on a 10-cm scale for each question. 0 indicates “no difficulty,” while 10 indicates “so difficult it required help.” The answers to these questions are then calculated into percentages, with a higher score indicating disability. The minimal clinically important difference has been reported to be 18% for the SPADI Tool.²⁷

RANGE OF MOTION EVALUATION

The active range of motions (AROMs) of the affected shoulder joint was measured using a digital electrogoniometer (Baseline® Absolute+Axis™ digital goniometer; Fabrication Enterprises, Inc., Elmsford, NY, USA), which was shown to be valid and reliable.²⁸ The flexion, abduction, internal rotation, and external rotation AROM measurements were performed while the patients in a supine position on a plinth, with knees flexed at 90°. The blinded assessor manually stabilized the scapula with one hand positioned over the acromion and coracoid processes. A towel roll was placed under the distal humerus to ensure neutral horizontal positioning so that the humerus was level with the acromion process and the olecranon process was at the edge of the plinth. The patient arm was in a neutral start position, near the trunk for abduction and flexion AROM measurements. The arm was positioned in 45° abduction, and the elbow flexed to 90° with neutral pronation/supination for the rotation measurements.²⁹

Mechanical properties assessment

The human resting muscle tone system provides structural and functional support to skeletal muscle and associated myofascial structures such as tendons and fascia in normal life.³⁰ The MyotonPRO™ (Myoton AS, Tallinn, Estonia) is a new portable device designed to measure the muscle mechanical properties and a noninvasive approach to measure stiffness.³¹ Its reliability has been shown in the muscles around the shoulder in breast cancer patients.³² The reliability and validity of the measurement technique were shown in previous studies.^{30, 33, 34} The evaluation of the muscle mechanical properties was performed using the MyotonPRO (Myoton AS). The measurements of mechanical properties of the deltoid and trapezius upper muscles were performed in the supine, at rest, neutral arm position. Patient data were recorded to the Myoton (Myoton AS)

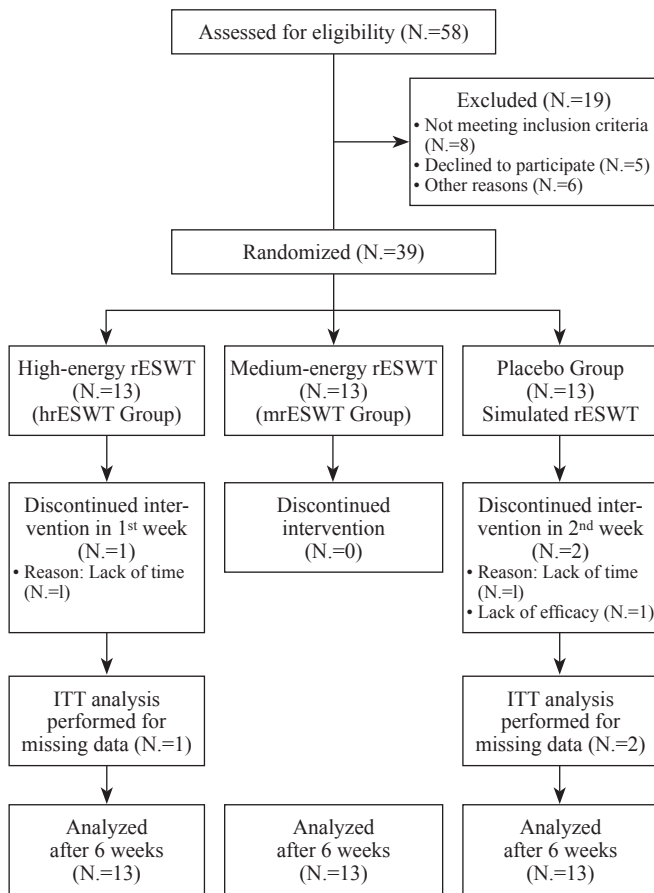
software program and then, transferred to the device before the measurements were started. The deltoid and trapezius upper muscles were marked with a pencil. In the MyotonPRO (Myoton AS) application, the blinded physiotherapist held the device upright and exerted downward pressure. The pressure was terminated, when the red light on the plexiglass frame of the device probe turned green. The physiotherapist waited steadily, until five taps were performed. For each five taps, the duration of one shot was 15 ms and the time between each tap was 8 ms. Acceleration graph was examined after each application and measurements were repeated, if there was a deviation from normal procedure. In case of deviations, the device warned the operator with both radiographs and loud sound. Recordings were reloaded into the software program and reported for each participant. Therefore, it is a very new research topic, and it is necessary to recognize the obtained values related to the muscle structural properties in the MyotonPRO (Myoton AS) measurements and terms related to the equivalence of these values in mechanical properties.

Intramuscular tension or resting muscle tone corresponded to the frequency (oscillation frequency, Hz) value.

The resistance of the muscle against the external force, hardness was given in the stiffness (Newton/meter [N/m]) value. The stiffness value was calculated as maximum acceleration of oscillation/maximum displacement of the tissue. A higher value indicates a stiffer muscle which is inversely proportional to muscle compliance. As stiffness increased, compliance decreased or *vice versa*.^{35, 36}

Statistical analysis

Statistical analysis was performed using the SPSS version 22.0 software (IBM Corp., Armonk, NY, USA) and the intention-to-treat concept³⁷ was carried out by a blinded researcher who was not involved in the evaluation and treatment protocols. Descriptive data were expressed in mean±standard deviation (SD), median (min-max), or number and frequency, where applicable. Normality of the data was assessed using the Shapiro-Wilk Test. The χ^2 test was used to examine the distribution of categorical variables among the groups. The Kruskal-Wallis Test was used for the inter-group analysis of resting pain variables. For the intra-group *post-hoc* analyses, the Wilcoxon Signed-Rank Test was performed. Variations of time in normal distribution variables were analyzed using the univariate general linear mixed models in time-dependent group interaction. The Bonferroni adjustments was applied for multiple comparisons, while the partial eta squared (η^2) was calculated for the strength association of the between



group comparisons. For describing the effect size of the partial η^2 values; below 0.01, 0.06, and 0.14 describe small, medium, and large effect sizes, respectively. The mean differences between the groups were also calculated separately in each group using the paired samples *t*-test. For the normal distributed variables, Cohen's *d* effect size and *Z*-scores were calculated. Cohen suggested that $d=0.2$ be considered a "small" effect size, 0.5 represents a "medium" effect size and 0.8 a "large" effect size.³⁸ A *P* value of <0.05 was considered statistically significant.

Results

A total of 58 patients were evaluated for eligibility, and 19 participants who did not meet the inclusion criteria were excluded. Finally, 39 patients were randomly allocated to the high-energy rESWT (hrESWT, $N=13$), medium-energy rESWT (mrESWT, $N=13$), and simulated placebo group (prESWT, $N=13$). One patient in the hrESWT group (lack

Figure 1.—The study flow.

A total of 12, 13, and 11 patients completed the study in: 1) high energy; 2) medium energy; and 3) placebo group, respectively. Three patients drop out of trial due to lack of time, and lack of efficacy. The analysis was performed according to the intention-to-treat principle (ITT). hrESWT: High-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²).

TABLE I.—Baseline demographic and clinical characteristics of patients.

Characteristics	hrESWT	mrESWT	prESWT	Total	P
Age (year)	52.00±5.16	54.23±6.04	54.23±6.34	53.49±5.81	0.541
BMI (kg/m ²)	29.91±3.27	27.77±3.70	28.38±4.05	28.69±3.70	0.323
Symptom duration (month)	8.08±3.64	8.15±3.48	8.69±3.52	8.31±3.47	0.891
Diabetes diagnosis time (year)	10.62±6.14	9.46±5.17	9.69±6.14	9.92±5.70	0.868
Fasting blood glucose (mg/dL)	173.02±26.67	161.58±20.10	156.76±27.86	163.78±25.40	0.251
HbA1c (%)	7.63±0.82	7.23±0.73	7.28±0.73	7.38±0.76	0.362
Insulin dependent (yes)	4 (30.8)	2 (15.4)	2 (15.4)	8 (20.5)	0.533 [#]
Sex					
Female N. (%)	8 (61.5)	10 (76.9)	9 (69.2)	27 (69.2)	0.697 [#]
Affected side					
Right N. (%)	6 (46.2)	6 (46.2)	9 (69.2)	21 (53.8)	0.395 [#]
Dominant side					
Right N. (%)	12 (92.3)	12 (92.3)	11 (84.6)	35 (89.7)	0.757 [#]
Smoking					
Yes N. (%)	7 (53.8)	4 (30.8)	3 (23.1)	14 (35.9)	0.235 [#]
Previous physiotherapy					
Treatment before >3 months (yes)	5 (38.5)	6 (46.2)	7 (53.8)	18 (46.2)	0.734 [#]

Data are mean±SD or N. (%). 1) hrESWT; 2) mrESWT; 3) prESWT; 1-2 hrESWT statistically different from mrESWT. Statistically significance: $P<0.005$.

[#]Analyzed by χ^2 test.

BMI: Body Mass Index; HbA1c: glycated hemoglobin; hrESWT: high-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²); P: significance level of general linear mixed model test.

of time [N.=1]), and two patients in the prESWT group (lack of time [N.=1], lack of efficiency [N.=1]) dropped out. An ITT analysis was conducted for the treatment effects. Flow of the study is presented in Figure 1. No adverse events or a serious life-threatening adverse event that occur during the course of a study.

There were no significant differences in baseline demographic and clinical characteristics of the patients between groups ($P>0.05$) (Table I). All three groups demonstrated a significant reduction in the resting pain severity ($P<0.05$), and there were no significant differences in the resting pain at six weeks among the groups. Both high- and medi-

um-energy and placebo rESWT improved night pain VAS scores (8.23 ± 1.59 to 4.42 ± 2.1 vs. 8.81 ± 0.99 to 3.54 ± 2.15 and 7.88 ± 1.23 to 5.88 ± 1.61 , respectively; $P=0.015$). According to the mean change pairwise-comparisons, the VAS scores of night pain in the medium-energy rESWT group were lower than the placebo group (mean change -5.27 ; 95% CI: -6.70 to -3.84 , $P=0.002$). The mean change in high-energy rESWT group was not statistically different from the placebo group ($P\geq 0.05$).

An improvement was observed in the SPADI scores compared to baseline in both medium- and high-energy rESWT (mean change: -29.59 ± 22.60 vs. -35.42 ± 21.29

TABLE II.—Results of pain and functionality scores of patients: resting pain.

Variables	hrESWT		mrESWT		prESWT		P*	
	Median 25 th to 75 th	Min-max	Median 25 th to 75 th	Min-max	Median 25 th to 75 th	Min-max		
Resting pain (0-10 cm)	Baseline	5 (3 to 5.5)	0-7	5 (3 to 5)	0-7	4 (0 to 5)	0-8	0.840
	After treatment	2 (0 to 3.64)	0-6	0 (0 to 2)	0-4	1.64 (0 to 2.5)	0-7	0.395
	Z P [§]	-2.670	P=0.008	-2.944	P=0.003	-2.199	P=0.028	

Data shown are mean±standard deviation and 95% CI values for variables. Statistically significance: $P<0.005$. Models Test: 1 (hrESWT); 2 (mrESWT); 3 (prESWT); 1-3 (hrESWT statistically different from prESWT); 2-3 (mrESWT statistically different from prESWT).

hrESWT: High-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²); 0: no pain; 10: worst imaginable pain.

[§]Significance level of Kruskal Wallis Test; [§]significance level of Wilcoxon Signed-Rank Test.

TABLE III.—Results of pain and functionality scores of patients: night pain.

Variables	hrESWT	mrESWT	prESWT	P*	η^2	Post-hoc PC	
	Mean±SD	Mean±SD	Mean±SD				
Night pain (0-10 cm)	Baseline	8.23±1.59	8.81±0.99	7.88±1.23	0.199	0.09	
	After treatment	4.42±2.1	3.54±2.15	5.88±1.61	0.015	0.21	2-3 (P=0.022)
	Mean change (95% CI)	-3.81±2.19	-5.27±2.37	-2.01±2.19	0.003	0.27	2-3 (P=0.002)
		(-5.13; -2.48)	(-6.70; -3.84)	(-3.33; -0.69)			
Effect size	p ^p	-1.74	P<0.001	-2.22	P<0.001	-0.92	P=0.006

Data shown are mean±standard deviation and 95% CI values for variables. Statistically significance: $P<0.005$. Models Test: 1 (hrESWT); 2 (mrESWT); 3 (prESWT); 1-3 (hrESWT statistically different from prESWT); 2-3 (mrESWT statistically different from prESWT).

hrESWT: High-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²); 0: no pain; 10: worst imaginable pain; η^2 : partial eta squared; PC: pairwise comparison.

[^]Significance level of paired sample t-test; *significance level of general linear mixed model test.

TABLE IV.—Results of functionality scores of patients.

Variables	hrESWT	mrESWT	prESWT	P*	η^2	Post-hoc PC	
	Mean±SD	Mean±SD	Mean±SD				
Functionality	Baseline	74.84±11.69	67.34±6.46	61.36±7.57	0.002	0.29	1-3 (P=0.001)
	After treatment	39.42±23.15	37.75±22.57	58.73±11	0.017	0.20	1-3 (P=0.044)
	Mean change (95% CI)	-35.42±21.29	-29.59±22.60	-2.63±8.79	P<0.001	0.39	1-3 (P<0.001)
		(-48.29; -22.55)	(-43.24; -15.93)	(-7.94; 2.69)			2-3 (P=0.002)
Effect size	P [^]	-1.66	P<0.001	-1.31	P<0.001	-0.30	P=0.303

Data shown are mean±standard deviation and 95% CI values for variables. Statistically significance: $P<0.005$. Models Test: 1 (hrESWT); 2 (mrESWT); 3 (prESWT); 1-3 (hrESWT statistically different from prESWT); 2-3 (mrESWT statistically different from prESWT).

hrESWT: High-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²); 0: no difficulty in functionality; 100: worst difficulty requiring assistance; η^2 : partial eta squared; PC: pairwise comparison.

[^]Significance level of paired sample t-test; *significance level of general linear mixed model test.

points, respectively; $P < 0.001$) groups. According to the mean change pairwise-comparisons both groups demonstrated a statistically different change in SPADI scores from the placebo group ($P \leq 0.05$). There was no significant improvement in functionality in the placebo group ($d = -0.30$, $P = 0.303$) (Table II, Table III, Table IV).

At six weeks, both high- and medium-energy rESWT improved the shoulder flexion AROM, which was significantly higher than the placebo group ($P < 0.001$ vs. $P < 0.001$ and $P = 0.643$, respectively). The shoulder abduction and external rotation AROM significantly changed in all three groups ($P < 0.005$). However, according to the mean change pairwise-comparisons, both high- and medium-energy rESWT were similarly successful in improving shoulder abduction AROM, compared to baseline (mean change: 45.95 ± 21.32 vs. 39.04 ± 18.99 degree, respectively; $P < 0.001$) versus to the placebo group at six-week. The high-energy rESWT group had a greater improvement in the external rotation AROM (mean change: 32.22 degree; 95% CI: 41.07 to 23.37) after six weeks versus medium-energy rESWT ($P = 0.001$) and placebo groups ($P < 0.001$). An improvement was observed in the internal rotation AROM

scores compared to baseline in both high- and medium-energy rESWT groups (mean change: 25.86 ± 13.24 vs. 18.19 ± 14.63 points, respectively; $P < 0.001$ vs. $P = 0.001$). According to the mean change pairwise-comparisons the internal rotation AROM scores in the high-energy rESWT group were higher than the placebo group (mean change 17.51 degree; 95% CI: 2.99 to 32.03 , $P = 0.015$). The mean change of internal rotation AROM scores in the medium-energy rESWT group was not statistically different from the placebo group ($P = 0.222$). There was no significant improvement in the placebo group ($P = 0.087$).

According to the partial η^2 (η_p^2) values of pain and AROM scores, the findings indicated a large effect for the study outcomes ($\eta_p^2 = 0.27$, $\eta_p^2 = 0.39$, $\eta_p^2 = 0.48$, $\eta_p^2 = 0.47$, $\eta_p^2 = 0.53$, and $\eta_p^2 = 0.21$; for night pain, functionality, flexion, abduction, external rotation AROM, and internal rotation AROM, respectively) (Table V).

There was no significant change in any of the muscle mechanical properties of the patients in the placebo group ($P > 0.05$ for all) after six weeks. Significantly improved resting tone (frequency score; -2.60 ± 1.51 vs. 2.38 ± 1.98 , respectively; partial $\eta^2 = 0.58$, $P < 0.001$) and compli-

TABLE V.—Results of the shoulder joint active range of motions.

Active range of motions of shoulder		hrESWT		mrESWT		prESWT		P*	η^2	Post-hoc PC
		Mean±SD		Mean±SD		Mean±SD				
Flexion AROM°	Baseline	118.25±18.02		132.42±19.12		130.77±18.47		0.118	0.11	
	After treatment	159.89±14.96		159.44±17.26		132.59±17.19		<0.001	0.39	1-3 (P=0.001) 2-3 (P=0.001)
	Mean change (95% CI)	41.64±20.94 (54.29; 28.98)		27.02±18.13 (37.97; 16.06)		1.82±13.80 (-10.16; 6.52)		<0.001	0.48	1-3 (p<0.001) 2-3 (P=0.003)
	Effect size	P^	1.99	P<0.001	1.49	P<0.001	0.13	P=0.643		
	Abduction AROM°	Baseline	78.18±23.48		100.10±28.45		91.62±25.79		0.110	0.12
After treatment	124.13±32.42		139.14±37.14		99.68±22.49		0.010	0.23	2-3 (P=0.008)	
Mean change (95% CI)	45.95±21.32 (58.83; 33.06)		39.04±18.99 (50.52; 27.56)		8.06±12.85 (15.83; 0.28)		<0.001	0.47	1-3 (P<0.001) 2-3 (P<0.001)	
Effect size	P^	2.31	P<0.001	2.27	P<0.001	0.65	P=0.043			
External rotation AROM°	Baseline	26.75±16.26		39.84±18.4		32.33±11.26		0.114	0.11	
	After treatment	58.97±18.89		55.22±17.38		37.38±8.39		0.002	0.28	1-3 (P=0.003) 2-3 (P=0.016)
	Mean change (95% CI)	32.22±14.65 (41.07; 23.37)		15.38±9.65 (21.21; 9.55)		5.05±7.47 (9.56; 0.53)		<0.001	0.53	1-2 (P=0.001) 1-3 (P<0.001)
	Effect size	P^	2.22	P<0.001	1.60	P<0.001	0.72	P=0.031		
	Internal rotation AROM	Baseline	36.40±18.38		58.00±21.87		43.46±15.58		0.025	0.62
After treatment		62.26±21.82		76.19±19.84		51.81±10.76		0.186	0.23	
Mean change (95% CI)		25.86±13.24 (17.45; 34.27)		18.19±14.63 (9.35; 27.03)		8.35±14.59 (-1.46; 18.15)		0.020	0.21	1-3 (P=0.015)
Effect size		P^	1.28	P<0.001	0.87	P=0.001	0.62	P=0.087		

Data shown are mean±standard deviation, mean change, and 95% CI. Statistically significance: $P < 0.005$. Models Test: 1 (hrESWT); 2 (mrESWT); 3 (prESWT); 1-3 (hrESWT statistically different from prESWT); 2-3 (mrESWT statistically different from prESWT).

hrESWT: High-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²); 0: no difficulty in functionality; 100: worst difficulty requiring assistance; η^2 : partial eta squared (the norms for partial eta-squared [small: 0.01], [medium: 0.06]; [large: 0.14]); PC: pairwise comparison; AROM: active range of motion (° measured in degree).

^Significance level of paired sample t-test; *significance level of general linear mixed model test.

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TABLE VI.—Results of deltoid and trapezius upper muscles mechanical properties.

Mechanical properties – Myoton PRO		hrESWT	mrESWT	prESWT	P*	η ²	Post-hoc PC
		Mean±SD	Mean±SD	Mean±SD			
Deltoid frequency (Hz)	Baseline	14.27±2.15	17.24±1.76	14.56±2.3	0.001	0.31	2-1 (P=0.002) 2-3 (P=0.006)
	After treatment	16.65±3.38	14.64±1.12	14.87±2.27	0.085	0.13	
	Mean change	2.38±1.98	-2.60±1.51	0.31±1.85	<0.001	0.58	1-2 (P<0.001)
	(95% CI)	(1.19;3.58)	(-3.51; -1.69)	(-0.81; 1.43)			1-3 (P=0.017)
	Effect size P [^]	1.20 P=0.001	-1.72 P<0.001	0.17 P=0.556			2-3 (P=0.001)
Trapezius upper frequency (Hz)	Baseline	15.34±2.29	14.7±10	13.26±1.52	0.011	0.22	1-3 (P=0.009)
	After treatment	13.49±1.63	13.79±1.92	13.87±2.38	0.878	0.01	
	Mean change	-1.85±1.69	-0.91±1.84	0.61±3.12	0.033	0.17	1-3 (P=0.027)
	(95% CI)	(-2.87; -0.82)	(-2.02; 0.20)	(-1.27; 2.50)			
	Effect size P [^]	-1.09 P=0.002	-0.49 P=0.101	0.20 P=0.493			
Deltoid stiffness (N/m)	Baseline	267.15±45.5	329.62±45.46	272.23±65.93	0.008	0.23	1-2 (P=0.013) 2-3 (P=0.024)
	After treatment	334.25±74.6	269.23±21.79	289.35±51.64	0.012	0.22	1-2 (P=0.011)
	Mean change	67.10±43.13	-60.38±38.09	17.12±47.15	<0.001	0.62	1-2 (P<0.001)
	(95% CI)	(41.03; 93.16)	(-83.40; -37.37)	(-11.37; 45.62)			1-3 (P=0.016)
	Effect size P [^]	1.56 P<0.001	-1.59 P<0.001	0.36 P=0.215			2-3 (P<0.001)
Trapezius upper stiffness (N/m)	Baseline	289.92±43.62	267.62±24.37	250.69±40.34	0.036	0.17	1-3 (P=0.028)
	After treatment	253.66±22.93	259.77±30.39	259.45±56.27	0.905	0.01	
	Mean change	-36.27±38.65	-7.85±40.26	8.76±76.42	0.119		
	(95% CI)	(-59.62; -12.91)	(-32.18; 16.48)	(-37.42; 54.94)			
	Effect size P [^]	-0.94 P=0.005	-0.19 P=0.496	0.11 P=0.687			

Data shown are mean±standard deviation, mean change, and 95% CI. Statistically significance: P<0.005. Models Test: 1 (hrESWT); 2 (mrESWT); 3 (prESWT); 1-3 (hrESWT statistically different from prESWT); 2-3 (mrESWT statistically different from prESWT). hrESWT: High-energy radial extracorporeal shock wave therapy (0.25 mj/mm²); mrESWT: medium-energy radial extracorporeal shock wave therapy (0.12 mj/mm²); prESWT: placebo radial extracorporeal shock wave therapy (0.0 mj/mm²); 0: no difficulty in functionality; 100: worst difficulty requiring assistance; η²: partial eta squared (the norms for partial eta-squared [small: 0.01], [medium: 0.06], [large: 0.14]); PC: pairwise comparison; AROM: active range of motion (° measured in degree).
[^]Significance level of paired sample t-test; *significance level of general linear mixed model test.

ance of deltoid muscle (stiffness score, -60.38±38.09 vs. 67.10±43.13, respectively; partial η²=0.62, P<0.001) were found in the medium-energy rESWT group compared to the high-energy rESWT group. Trapezius upper frequency (d=-0.49, P=0.101) and stiffness (d=-0.19, P=0.496) did not significantly change in the medium-energy rESWT group. The trapezius upper stiffness decreased (-36.27±38.65, d=-0.94, P=0.005), and the trapezius upper muscle resting tone remained lower levels (frequency scores; -1.85±1.69 vs. 0.61±3.12, respectively; partial η²=0.17, P<0.005) at six-week in the high-energy rESWT group, compared to the placebo group (Table VI).

Discussion

The study results showed that high- and medium-energy rESWT yield a wide range of effectiveness in terms of night pain, shoulder rotational range of motion, and mechanical properties of the deltoid, trapezius upper muscles of frozen shoulder patients with type 2 diabetes mellitus. The best improvement was observed in night pain with

medium-energy rESWT. Functionality significantly increased with the application of both medium- and high-energy rESWT.

The studies utilizing ESWT suggest that shockwave exposure increase the effectiveness of conservative physiotherapy and decrease pain,³⁹ compared to steroid treatment.²⁵ Santoboni *et al.* reported that ESWT was effective in the shoulder pain management in the short and long-term period.¹⁷ Instead of analyzing dose difference efficacy in patients with diabetes related or unrelated frozen shoulder patients, most of the previous studies addressed into the comparison of ESWT with conservative physiotherapy,³⁹ steroid treatment²⁵ or placebo.¹⁵ Consistent with literature and this presented study results, we can speculate that the different types or energy levels of ESWT are effective in reducing pain. Considering the underlying mechanism, the high- or medium-energy rESWT could be effective in the regulation of peripheral pain systems by suppressing inflammation with pressure wave effects on nociceptor and mechanoreceptors.^{10, 40} Based on study results, we can suggest that different energy levels of rE-

SWT show a variable extent of improvement of night pain, which is the main complaint of frozen shoulder patients with type 2 diabetes mellitus.¹ To achieve more favorable results, we could recommend six-week medium-energy rESWT attendance for pain, especially night pain reduction in frozen shoulder patients with type 2 diabetes mellitus in short-term period.

Previous studies using rESWT¹⁵ and fESWT^{11, 39} have demonstrated improvements in flexion,^{11, 39} external rotation,^{11, 15, 39} abduction ROM^{11, 15} both in the short- and long-term. Treatments to break the vicious cycle in the dynamic interaction between chronic pain, limited range of motion, and dysfunction should be effective enough to stimulate the upper centers for the central sensitization in frozen shoulder.⁴¹ In this presented study, it was observed that rESWT applied at high- or medium-energy for six weeks enhanced the improvement in flexion and abduction AROM without any superiority, and this gain could be transferred to shoulder functionality adequately. Similarly, Hussein *et al.* showed that the rESWT was effective in improving participation in daily living activities at 4-weeks.¹⁵ The increase in movements of gleno-humeral joint as shown in previous studies^{11, 15, 39} could be attributed to the direct effects of rESWT on the reorganization of various cytokines that control the position of collagen, the formation of adhesion molecules, and soft tissue healing.¹⁹ Based on current study results, compared to the medium-energy level, the high-energy rESWT was more effective in improvement the internal and external rotation AROM. Therefore, we recommend the high-energy rESWT applications in frozen shoulder patients with diabetes who have more restrictions with internal rotation, external rotation motions of shoulder joint. However, it should be known this effectiveness is comparable in terms of functionality.

In patients with frozen shoulder, stiffness may affect the muscles around the shoulder.^{2, 5, 42, 43} The main problem with a frozen shoulder is the contracture of the joint capsule, while the secondary problem is the increase in the muscle tone of the adjacent muscles.² In addition, previous studies still remain insufficient to conclude whether changes in muscle tone contribute to improvements in pain and AROM. The number of studies using the rESWT¹⁵ and the fESWT^{11, 17, 25, 39} for frozen shoulder is limited. There is no study examining the dose difference effectiveness on muscle mechanical properties of shoulder complex in frozen shoulder patients with type 2 diabetes mellitus. Objective demonstration of the intramuscular mechanical properties has been reported to help in understanding both structural improvements and deficiencies.^{31, 33} In studies in which the

muscle mechanical properties were examined, the resting muscle tone increased and the compliance decreased in the presence of a pathology and advanced age.^{30-32, 44} Choi *et al.* showed the effectiveness of kinesiotape application in addition to conservative physiotherapy in patients with shoulder pain by examining the mechanical properties of the deltoid muscle.⁴⁵ They reported a decrease in the resting muscle tone and an increase in the muscle compliance. Indeed, in the study examining the efficacy of Maitland's Mobilization and kinesiotape application in subacromial impingement disease, reduced resting muscle tone and increased muscle compliance of the deltoid and trapezius upper muscles were observed.⁴⁶ Radial ESWT has been shown to be as effective as dry needling in the treatment of upper trapezius trigger points.⁴⁷ Similar to this presented study and a previous study in which myofascial trigger point relaxation therapy was applied to patients with shoulder pain, the compliance and elasticity of the trapezius upper muscle increased.⁴⁴ Accordingly, the mechanical properties of the trapezius upper muscle were not affected by the medium-energy rESWT, while the high-energy rESWT reduced the resting muscle tone and increased the muscle compliance. According to these data, we can mention that the effectiveness of rESWT on mechanical properties depends on the targeted muscle and varies according to the dose differences. Therefore, if the rESWT is to be used for the treatment of frozen shoulder patients with type 2 diabetes mellitus and the mechanical properties of the deltoid muscle are desired to be increased, we can recommend the use of the medium-energy. However, if the targeted muscle is the trapezius upper for these patients, the clinicians should use high-energy to achieve an effective change in the mechanical properties.

Limitations of the study

Nonetheless, a major limitation of our pilot study is the small sample size. The second one is the follow-up was conducted only once. Other limitations include the lack of using a pain pressure threshold method and the lack of an evaluation of the muscle mechanical changes with other objective tools such as shear-wave elastography. Furthermore, further large-scale, long-term, prospective studies using different evaluation methods and different energy levels of rESWT in frozen shoulder patients with diabetes are warranted.

Conclusions

Based on this pilot study short-term treatment results, the medium-energy rESWT seems to be the most effective op-

tion in the night pain, and deltoid muscle mechanical properties. The high-energy rESWT provides more favorable results in trapezius upper muscle mechanical properties and in the improvement of internal rotation, external rotation motions of shoulder joint. Hence, significant improvements in pain, AROM, and its reflection on functionality show that irrespective of the energy level, the rESWT may be an alternative treatment, at least in the short-term, for frozen shoulder patients with type 2 diabetes mellitus.

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