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Association of Caregiver Strain with the Trajectory of Quality of Life in Parkinson's Disease

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Abstract

We aimed to identify caregiver characteristics associated with the trajectory of quality of life (QoL) in Parkinson's disease (PD). We fit a growth mixture model to longitudinal data from the Parkinson Foundation Parkinson's Outcomes Project (POP) to identify the heterogeneity of QOL trajectories in PD. We then used multinomial logistic regression to model baseline factors that predicted class membership. Baseline growth models were fit to QOL scores measured over 4 disease duration time points. A random intercept and slope model was determined to best fit the data. Next, growth mixture models (1, 2, 3, 4, and 5-class) were fit with covariates (Hoehn & Yahr, sex, and depression) and a three-class model was found to provide the best fit. Class 1 (problematic class (10.0%)) represented individuals with poor QOL at baseline and minor improvement over time. Class 2 (moderate class (32.6%)) represented individuals with moderate QOL at baseline with slight worsening over time. Class 3 (favorable class (56.9%)) represented individuals with good QOL at baseline and slight worsening over time. Multinomial regression revealed that lower caregiver strain, better mobility, and better verbal fluency at baseline predicted membership in the favorable compared to the moderate class. Worse mobility and younger age predicted membership in the problematic compared to the moderate class. While previous studies have reported on the association between mobility and cognition, the novel finding of an

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association between caregiver strain and PD QOL trajectory suggests caregiver strain is important to measure and address in future research and practice.

Keywords

Parkinson's disease; quality of life; caregiver strain; verbal fluency; mobility

Introduction

Parkinson's disease (PD) is a progressive neurodegenerative disease characterized by motor and non-motor symptoms that impair quality of life (QoL) and functioning in activities of daily living (1–3). QoL is a multi-dimensional construct that encompasses physical, mental, and social functioning (4). Generally, investigations of QoL in PD have explored the effect of specific disease symptoms on QoL (3,5). Several studies have identified motor symptoms such as postural instability (2), axial rigidity (6), and tremor (7) as contributing to lower QoL in this population. Additionally, studies have elucidated the negative impact of neuropsychiatric symptoms, including cognitive impairment (8,9) anxiety (10,11), apathy (12), and depression (3,13). Other non-motor PD symptoms that can influence QoL are fatigue, autonomic dysfunction, and sleep disturbances such as nocturnal akinesia, excessive daytime sleepiness, and rapid eye movement behavior disorder (12,14).

Previous research has also demonstrated that QoL in PD generally declines as the disease progresses (15,16). To address the significant impact that PD has on QoL, several interventions have been developed (17–19). However, not all people with PD are eligible for these interventions and QoL remains negatively affected in PD, precipitating the need to look beyond the traditional symptom-focused approach and develop more accessible interventions that can improve QoL for a greater proportion of this population.

Another potential route to improve QoL for people with PD may involve the caregiver. Caregivers provide physical and emotional care for the patient, often help manage medical regimens (20,21), and are frequently required of PD patients at some point during their disease course (22). In the general population, caregiver characteristics have been linked to both positive and negative care recipient outcomes. The presence of a caregiver is associated with a reduced risk of nursing home entry (23), fewer unmet patient needs (24), improved medication and treatment adherence (25) and better quality of patient care (26). In contrast, caregiver distress has been associated with increased risk of institutionalization (27,28). Caregiver burden and the quality of the caregiver-care recipient relationship have been found to predict QoL and psychological wellbeing of the care recipient (29,30). In the PD population, studies have observed associations between caregiver strain and care recipient QoL, with greater caregiver strain associated with worse QoL for the care recipient (31,32). However, these studies have primarily relied on cross-sectional and univariable analyses, preventing any evaluation of the directionality of the relationship between the caregiver factor and care recipient QoL.

To understand the role of the caregiver in QoL for people with PD, the current study aimed to examine factors that predict trajectories of QoL in this population, with a focus on

caregiver strain as a significant driver of QoL decline. Due to the variable presentation of PD, it is reasonable to assume heterogeneity in QoL trajectories (33) and growth mixture models can be used to identify subpopulations of persons who share similar patterns of change in a variable, such as QoL, over time (34). We hypothesized that higher caregiver strain would be associated with an unfavorable QoL trajectory during mid-stage PD.

Methods

Participants

This study used data from the Parkinson Foundation Parkinson's Outcomes Project (POP), a longitudinal, observational study of PD patients (35). The purpose of this project is to evaluate the quality of care at the Parkinson Foundation's Centers of Excellence and to identify factors that can improve health outcomes for people with PD. Participants are evaluated annually at 21 different Centers of Excellence, in Canada, the Netherlands, Israel, and the United States. The study began in 2009 and enrollment is ongoing. Inclusion criteria for general study participation are (1) physician diagnosis of idiopathic Parkinson's disease, and (2) at least 1 year of available follow-up data. There are currently over 13,000 PD participants enrolled in the POP study, and approximately 11,000 have a caregiver.

For this analysis, only participants with a caregiver were included. Time in this analysis was defined by disease duration or time since diagnosis. We included patients who had disease durations between 8 and 11 years in our analysis. This time frame was selected based on findings in the literature that most people with PD experience a worsening of symptoms that require greater caregiver involvement around disease durations of 10 years (36,37). The final analytic sample included 1,349 patients with idiopathic PD. Figure 1 presents the participant data flow. The study was approved by the Johns Hopkins School of Medicine Institutional Review Board.

Measures

Parkinson's disease Questionnaire-39.—The outcome of interest for this investigation was QoL measured using the Parkinson's Disease Questionnaire-39 (PDQ-39) (38). This questionnaire assesses mobility, activities of daily living, emotional well-being, stigma, social support, cognition, communication, and bodily discomfort, and is recommended by the Movement Disorders Society. Scores range from 0 to 156, with higher scores indicating worse QoL.

Modified Caregiver Strain Index.—The Modified Caregiver Strain Index (MCSI) was used to screen for caregiver strain and includes questions that evaluate the following domains: financial, physical, psychological, social, and personal strain (39). Each question was scored from 0 ("never") to 4 ("a great deal") for a total score range of 0–72. Higher scores indicate worse caregiver strain. While no validated measure of caregiver strain in PD exists, the MSCI is frequently used to evaluate caregiver strain in older adults and has been used for Parkinson's disease caregivers (40,41).

Disease severity.—Disease stage for each patient was evaluated using the Hoehn and Yahr Scale (42).

Cognitive functioning.—Two cognitive tests were administered and included in this analysis. Verbal fluency was measured by asking participants to name as many animals as they could in one minute (43). The final score was the total number of unique animals named. Verbal fluency reliably identifies problems with attention and executive dysfunction in people with PD (44,45). In addition, a 5-word recall test was administered to evaluate memory (46).

Physical functioning.—The Timed Up and Go test (TUG) was administered to all participants during clinical visits. This test evaluates physical performance by examining the participant's ability to rise from a seated chair position, walk 3 meters, turn, walk back, and sit down. This procedure is timed with longer times indicating worse physical performance. The TUG test is strongly associated with other physical functioning factors, such as functional mobility, gait speed, and falls in older adults (47).

Analytic Plan

The analysis was based on a sample of 1,349 patients who had data collected at baseline (defined as disease duration=8 years). Descriptive statistics were conducted using Stata Version 16 (48). Growth mixture models were fit in MPlus Version 8.3 (49). Growth mixture modeling (GMM) was used to identify subpopulations in the larger sample, describing change over time within each sub-population or class, and evaluating differences in longitudinal change among these classes (50). These sub-populations were identified using latent trajectory classes (51). Trajectories were determined based on PDQ-39 scores at following disease durations: 8 years (T0), 9 years (T1), 10 years (T2), and 11 years (T3). The intercept parameter (estimated baseline value of QoL score) and the probability of class membership were adjusted for disease severity (H&Y stage), sex, and depression diagnosis (Figure 2). We hypothesized that individuals would cluster into several empirically derived and distinct QoL trajectories. In addition, we hypothesized that the baseline presence of high caregiver strain would be associated with inclusion into the class with the fastest decline in QoL while the absence of caregiver strain at baseline would predict inclusion into the class with the slowest decline in QoL.

First, a single class model was specified to examine the overall baseline growth function for QoL over time. The baseline growth models were fit to PDQ-39 scores measured over 4 time points to determine if an intercept only, slope, quadratic, or a latent basis function best fit the data. Covariates (Hoehn & Yahr stage, sex, and depression) were also added to the model.

Next, a growth mixture model with K latent classes (K = 2, 3, 4, and 5-class) was fit. Several model fit statistics contributed to decisions about which model best fit the data, including Akaike Information Criterion (AIC), Bayesian Information Criterion (BIC), the Lo-Mendell-Rubin Likelihood Ratio test (LMR LRT), and entropy (51). From the final GMM model, we determined (1) the proportion of the sample that belongs in each profile (class prevalence) and (2) the intercept and slope for each trajectory conditioned upon membership in each class. Multiple sets of starting values were used to avoid local solutions

in the estimation procedure. Finally, we examined class size and avoided models where any one class contained less than 5% of the overall sample.

Following the model selection, we determined predictors of class membership using multinomial regression. As class membership is a latent variable, assigning individual classes as if they were observed and regressing class membership on predictors would introduce bias into the analysis. Therefore, we used a corrected 3-step multinomial logistic regression to predict class membership using baseline caregiver variables (type of caregiver-care recipient relationship and caregiver strain) and other clinical variables that have been previously considered to influence QoL and that were available in this data set (52–54).

Results

Sample Characteristics

The mean age of the sample of 1,349 patients at baseline was 68.3 years (95% CI: 67.9 to 68.9) with 482 females (34.3%). The mean age of PD onset was 55.4 years (95% CI: 54.8 to 55.9). Disease severity as measured by the Hoehn and Yahr scale included, 4.3% HYI, 57.3% HYII, 30.6% HYIII, 6.8% HYIV, and 0.7% HYV. Table 1 presents the descriptive statistics of the sample by disease duration.

Baseline Growth Model

The estimated mean change in the PDQ-39 total score for the entire sample was 2.88 (SD: 1.65). The individual trajectories for each participant were heterogeneous (SD range 25.8–27.0 across the 4 years of follow-up), with individual trajectories varying from the estimated average trajectory (Figure 3). Therefore, a latent growth curve model was appropriate to model the heterogeneity in the data.

Fit statistics for all tested models are presented in Table 2. The fit statistics for the intercept only model represented poor fit. The TLI, CFI, RMSEA, and chi-square test of model fit represented a good fit for the slope growth model, quadratic growth model, and latent basis growth model. However, the AIC and sample-size adjusted BIC were lowest for the slope growth model, indicating a better fit. Therefore, an intercept and slope model was ultimately selected.

Growth Mixture Model

The fit statistics for the 1-class, 2-class, 3-class, 4-class, and 5-class models are reported in Table 3. The LMR-LRT value, one of the most reliable test statistics, was considered first to indicate model fit. This p-value was significant when comparing the 2-class model to the 1-class model, and the 3-class model to the 2-class model; however, it was no longer significant for other comparisons (i.e., 4-class model to the 3-class model or the 5-class model to the 4-class model). The 3-class model had the lowest BIC statistic, indicating good fit. Another consideration to ensure the clinical utility of the model was class prevalence. In the 3-class model, all classes contained at least 10% of the population. Due to the LMR-LRT and BIC values and the class prevalence, the 3-class model was selected.

Class Characteristics

The parameter estimates and class prevalence for the growth curves for the three latent classes are reported in Table 4. The first latent class, which we labeled as the problematic class (10.0%), included participants with poor QoL at baseline and slight improvement over time. The second latent class, which we labeled as the moderate class (32.6%), included participants with moderate QoL at baseline and slight worsening in QoL over time. The third class, which we labeled as the favorable class (57.4%) included participants with good QoL at baseline and only slight worsening of QoL over time. Figure 4 displays the trajectories of each class.

Predictors of Class Membership

Potential predictors of QoL trajectory listed in Table 1 were examined using multinomial logistic regression, with predicted class membership in our final 3-class model as the dependent variable. The results of this regression analysis are summarized in Table 5. This analysis was based on 549 participants and the reference class was the moderate class. The factors associated with membership in the problematic class compared to the moderate class were TUG time and age. For each additional second of TUG time, the odds of being in the problematic class compared to the moderate class increased by 6% (OR: 1.064, 95% CI: 1.007 to 1.125). For each additional year of age, the odds of being in the problematic class compared to the moderate class decreased by 6% (OR: 0.936, 95% CI: 0.877 to 0.999). The factors associated with membership in the favorable class compared to the moderate class were lower caregiver strain (OR: 0.883, 95% CI: 0.810 to 0.933), better verbal fluency (OR: 1.056, 95% CI: 1.000 to 1.115), and shorter TUG time (OR: 0.868, 95% CI: 0.810 to 0.930). For each additional point on the MSCI (i.e. increased caregiver burden), the odds of being in the favorable class compared to the moderate class decreased by 12%. For each additional word on the verbal fluency test the odds of being in the favorable class compared to the moderate class increased by 6%. For each additional second of TUG time, the odds of being in the favorable class compared to the moderate class decreased by 13%.

Discussion

Our study is the first to examine the relationship between caregiver strain and QoL trajectories in people with PD. We identified 3 groups of people with PD defined by their QoL change over years 8 to 11 of disease duration, when up to 46% of people with PD develop dementia, imbalance is more prevalent, and many become dependent on caregivers for some tasks (55). Lower caregiver strain, when adjusting for motor and cognitive performance of patients independently predicted membership in a class with a favorable trajectory. This finding contributes to existing studies that have demonstrated the association between caregiver strain and PD patient QoL (56,57); however, these previous studies have been cross-sectional and the findings could be explained with reverse causality. Our longitudinal analysis adjusted for several influential variables that likely confound the relationship between caregiver strain and QoL implicating caregiver strain as a causal contributor to QoL trajectory. This finding also provides evidence that a caregiver intervention may improve PD care recipient QoL. Caregiver interventions have improved outcomes for both caregivers and care recipients in other disease populations (e.g., cancer,

dementia, stroke, and cardiovascular disease), but have not thoroughly been studied in PD. As caregiver strain was found to be related to patients' QoL, improvement in QoL for PD patients may hinge on addressing caregiver strain.

Additional predictors of class membership were TUG performance, age, and verbal fluency. Participants with worse performance on the TUG and younger age were more likely to be included in the problematic class than the moderate class. In contrast, participants with better performance on the TUG and better verbal fluency were more likely to be included in the favorable class than the moderate class. The impact of motor functioning on QoL in PD has previously been described (3,58–60); however, our study was the first to investigate the role of motor impairment in trajectories of QoL in PD (60). Similarly, several studies have elucidated the negative impact of specific domains of cognitive impairment on QoL in PD, such as attention (61), memory (62,63), self-rated cognitive function (64), and verbal fluency (63). The current study supplies additional evidence of the impact of verbal fluency and mobility on QoL trajectories in people with PD, suggesting that mobility and verbal fluency should be prioritized in this population through referrals to physical therapy and speech therapy. Furthermore, as TUG performance was associated with membership in both the favorable and problematic class, TUG scores may be useful for differentiating QoL trajectories in the general PD population. In order to reduce risk of membership in the problematic class, individuals with slow TUG scores may require additional services, such as physical therapy or referrals to exercise programs.

In addition to identifying predictors of QoL trajectories in this population, our study contributes to the existing evidence on how QoL changes in people with PD. The observed rate of change in QoL over time in this sample has been reported in other longitudinal investigations of QoL in PD (60,65); however, this analysis was one of the first to employ a growth mixture model (66) and was the first analysis to include caregiver factors as predictors of QoL change. Horvath et al. (2017) determined clinically meaningful change in PDQ-39 to be around -4.72 for worsening and 4.22 for improvement (67). The changes in QoL over the 4 observed years in the current study were: class 1 (-11), class 2 (14.4), and class 3 (15.2), surpassing the clinically meaningful change. Notably, patients in the moderate class and the favorable class, with a better QoL at baseline, suffer the largest degree of QoL worsening during years 8–11 of the disease, a time when patients are likely to transition in their level of caregiver needs and independence to some degree (36,68). As such, it might be these patients who can be targeted with preventative interventions versus those in the problematic class, who require mitigating interventions.

Our study had several strengths. First, it employed a longitudinal analysis to understand QoL in this population. QoL generally declines over time in people with PD and therefore studying QoL longitudinally is critical (15,16). Furthermore, our study employed growth mixture models to model heterogeneity of QoL in PD. This approach can improve the ability to detect important associations that can be missed by conventional models of longitudinal analyses that assume a mean trajectory for the entire sample (69). Lastly, our study was the first to examine the influence of caregiver strain on PD QoL change, providing new evidence of the role of the caregiver in predicting the change in QoL of people with PD over time.

Although this study had several strengths, there are some limitations that are important to acknowledge. Only a few caregiver variables (i.e., MSCI and caregiver-care recipient relationship) were available in the current dataset. Other caregiver factors are associated with QoL of the care recipient, such as caregiver mental health (70,71), QoL for the caregiver (72–74), and relationship quality with the care recipient (75,76). Future research should examine the influence of additional caregiver factors on QoL trajectories for people with PD. For the PD variables, we only included verbal fluency, TUG time, disease severity, depression, and sex in our analysis in order to evaluate if caregiver strain was an independent predictor of membership in a class determined by changes in QoL. While other variables are included in the POP dataset and could influence QoL, our variable selection was informed by the literature and therefore we feel our analysis adequately addresses our research question. One variable that has previously been shown to influence QoL is motor functioning and the severity of neuropsychiatric symptoms (12,58–60,77–79). While we included a measure of mobility and depression, other measures (i.e., UPDRS, NMS) are missing from the dataset or were not consistently captured, preventing their inclusion. These factors are important to consider in future work.

Lastly, our study was not population based because all the data were collected from Centers of Excellence. Not all individuals with PD can access Centers of Excellence for movement disorders and therefore participants in the current study may experience a different disease course than a community-based sample. Additionally, we examined individual-level variables and did not include a multilevel modeling approach to investigate the influence of study site on QoL. However, this is the first investigation of caregiver factors that are associated with QoL trajectories and it is important to use a large and well-characterized Parkinson's disease sample, making the POP cohort the most appropriate. Future research examining a community-based sample and analyses employing a multi-level modeling approach is needed.

Conclusion

Our findings highlight the influence of caregiver strain, motor function, and cognitive function on QoL trajectories for people with PD. The findings can inform novel interventions targeting caregiver strain to improve the QoL for the caregiver and care recipient. Our research emphasizes the importance of considering the caregiver and their health during clinical visits for the care recipient as this can indicate future outcomes for the person with PD as well. We recommend that a caregiver strain measure be administered to caregivers when possible, during clinic visits to identify caregivers who may require referrals to health services. Future research is needed to identify additional caregiver factors that could contribute to QoL. A longitudinal study conducted in a community-based sample with extensive caregiver factors (e.g., caregiver QoL, mental health, caregiver/care recipient relationship quality) and care recipient factors (e.g. NMS severity and MDS-UPDRS scores), would address some of the limitations of the current analysis.

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- We identified three quality of life (QOL) trajectories of people with PD for longer than 8 years.
- Lower caregiver strain independently predicted membership in a class with a favorable QOL trajectory.
- Better performance on a verbal fluency task also predicted membership in a class with a favorable QOL trajectory.
- Differing performance on a mobility task was associated with membership in a favorable and problematic class.

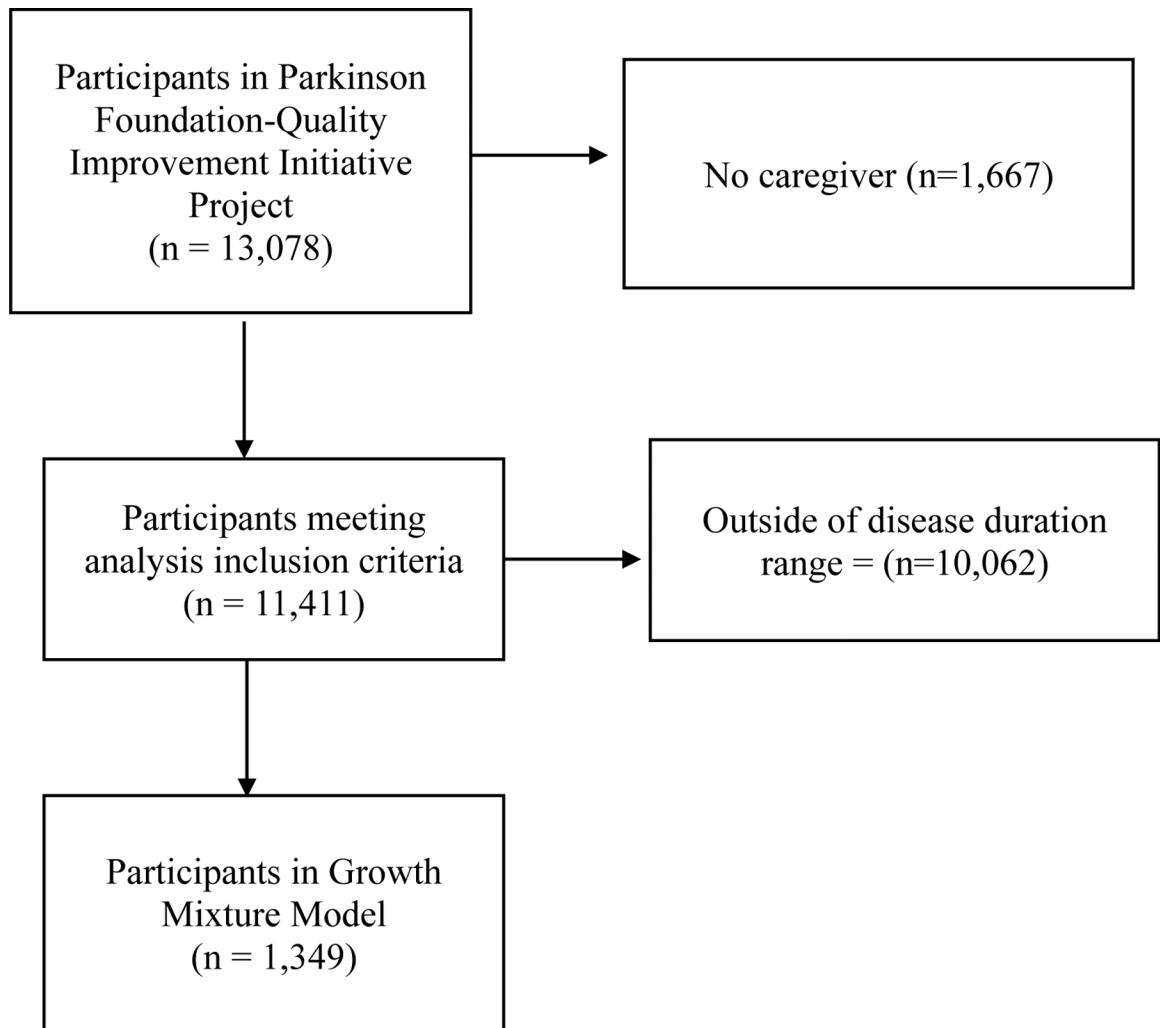


Figure 1.
Participant Data Flow

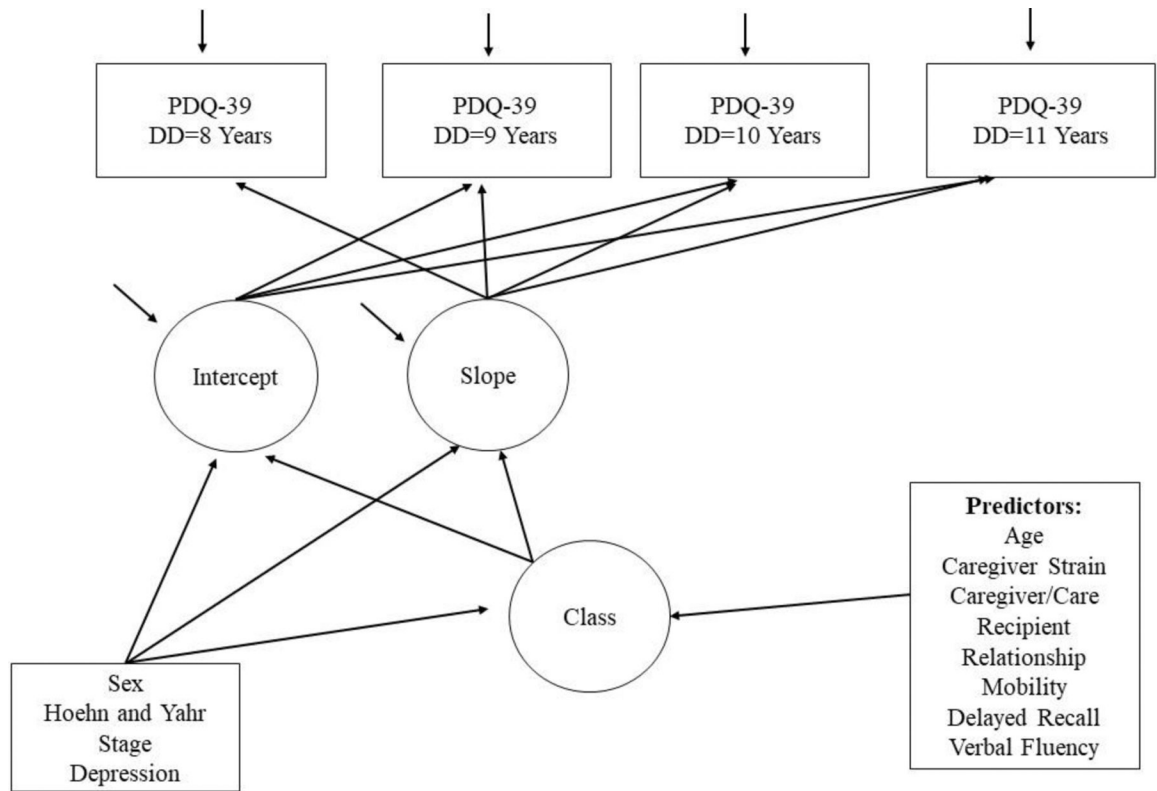


Figure 2. Hypothesized Growth Mixture Model Diagram for PDQ-39 over four time points in patients with Parkinson's disease
 Square boxes = observed variables, Circles = latent variables

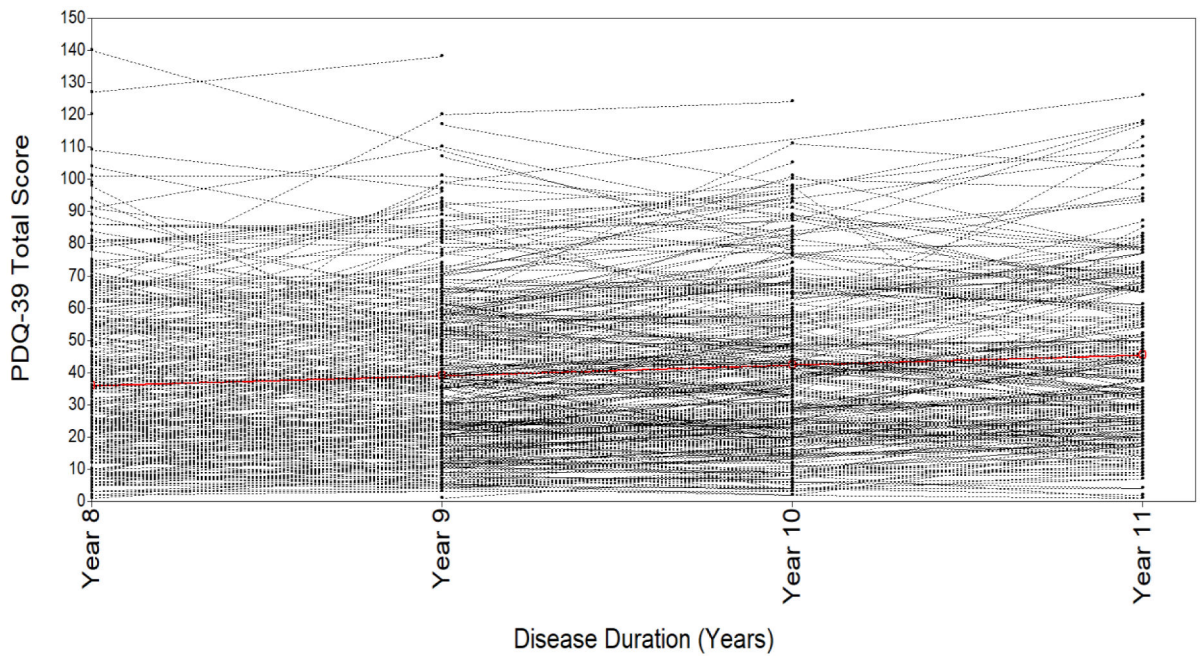


Figure 3.
Spaghetti Plot of Individual QoL Trajectories

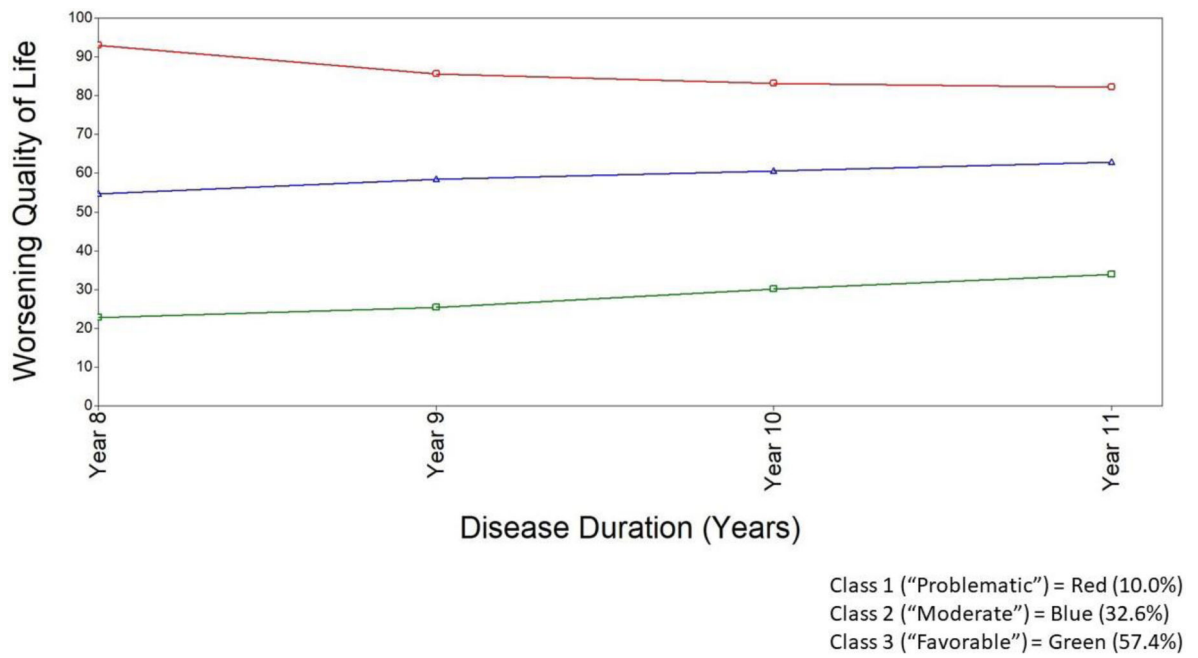


Figure 4. Model estimated growth trajectories for PDQ-39 over four time points for the three-class growth mixture model (controlling for HY, sex, and depression)

Table 1.

Clinical characteristics of the study sample

	Disease Duration			
	8 years	9 years	10 years	11 years
Age (years)	68.3 (9.1)	68.7 (8.9)	68.6 (8.9)	69.3 (8.6)
Female (%)	33.5	33.1	32.3	33.5
Hoehn and Yahr Stage (%)				
HY I	4.3	3.3	3.2	2.1
HY II	57.3	57.1	50.9	50.1
HY III	30.6	32.0	36.1	35.5
HY IV	6.8	6.5	8.5	10.6
HY V	0.7	1.1	1.3	1.3
Verbal Fluency	18.6 (6.6)	18.4 (6.5)	18.5 (6.8)	17.7 (6.6)
Delayed word recall	3.4 (1.4)	3.4 (1.5)	3.4 (1.4)	3.4 (1.5)
Timed Up and Go	13.3 (7.1)	13.4 (6.9)	13.5 (7.2)	14.2 (7.5)
PDQ-39 Total Score	40.8 (26.3)	41.7 (25.8)	43.3 (26.4)	46.9 (27.0)
Depression Diagnosis (%)	2.4	6.2	9.2	12.4

Table 2.

Baseline Growth Model Fit Statistics

	TLI>0.95	CFI>0.95	RMSEA<0.06	Chi-square test of model fit (p-value)	AIC	Sample-Size Adjusted BIC
Intercept only	0.929	0.906	0.119	0.0000	28921.647	28934.125
Intercept and slope	1.000	1.000	0.000	0.8670	28761.030	28779.747
Intercept, slope, quadratic	1.000	1.000	0.000	0.4470	28767.740	28794.775
Latent basis	1.000	1.000	0.000	0.8326	28764.032	28786.908

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Table 3.

Growth Mixture Model Fit Statistics *

	1 Class	2 Classes	3 Classes	4 Classes	5 Classes
No. of parameters	12	18	24	30	36
Log Likelihood	-13567.559	-13486.941	-13445.196	-13427.934	-13413.590
AIC	27159.119	27009.882	26938.391	26915.867	26899.180
BIC	27221.604	27103.610	27063.362	27072.081	27086.637
N-adjusted BIC	27183.485	27046.432	26987.124	26976.783	26972.280
Lo-Mendell-Rubin probability	N/A	0.0000	0.0033	0.3478	0.6465
Entropy	N/A	0.772	0.769	0.741	0.765
Smallest class (%)	N/A	18.3	10.0	7.1	1.0

* Adjusted for Hoehn and Yahr stage, sex, and depression diagnosis.

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Table 4.

Parameter estimates for growth functions for the three-class general growth mixture model for PDQ-39 (Hoehn and Yahr stage, sex, and depression diagnosis adjusted)

	Class 1	Class 2	Class 3
Name	“Problematic”	“Moderate”	“Favorable”
Prevalence	10.0%	32.6%	57.4%
Mean PDQ-39 at baseline	74.3	37.95	8.95
Rate of change in PDQ-39	-2.75	3.61	3.79

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Table 5.

Predictors of class membership (Reference: Moderate class)

Problematic Class versus Moderate Class	OR (95% CI)
Age	0.936 (0.877 to 0.999) *
Verbal Fluency	0.879 (0.770 to 1.004)
Delayed Recall	0.793 (0.570 to 1.103)
Timed Up and Go test ¹	1.064 (1.007 to 1.125) *
Caregiver Relationship	1.505 (0.537 to 4.219)
Modified Caregiver Strain Index	1.054 (0.999 to 1.111)
Favorable Class versus Moderate Class	
Age	1.013 (0.977 to 1.050)
Verbal Fluency ²	1.056 (1.000 to 1.115)
Delayed Recall	0.936 (0.694 to 1.262)
Timed Up and Go test	0.868 (0.810 to 0.930) *
Caregiver Relationship	1.384 (0.234 to 8.191)
Modified Caregiver strain Index ³	0.883 (0.836 to 0.933) *

¹ Higher scores on the Timed Up and Go test indicate longer time (seconds) to complete the task, meaning worse motor function.

² Higher scores on verbal fluency indicate better verbal fluency (more words).

³ Higher scores on the Modified Caregiver Strain Index indicate worse caregiver strain.

* p<0.05