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Factors predicting the transition from acute to persistent pain in people with 'sciatica'-the FORECAST longitudinal prognostic factor cohort

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3 **Factors predicting the transition from acute to persistent pain in people with ‘sciatica’-the**
4 **FORECAST longitudinal prognostic factor cohort**
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For peer review only

Abstract

Introduction: Sciatica is a common condition and is associated with higher levels of pain, disability, poorer quality of life, and increased use of health resources compared to low back pain alone.

Although many patients recover, a third develop persistent sciatica symptoms. It remains unclear, why some patients develop persistent sciatica as none of the traditionally considered clinical parameters (e.g., symptom severity, routine magnetic resonance imaging) are consistent prognostic factors.

The FORECAST study will take a different approach by exploring mechanism-based subgroups in patients with sciatica and investigate whether a mechanism-based approach can identify factors that predict pain persistence in patients with sciatica.

Methods and analysis. We will perform a prospective longitudinal cohort study including 180 people with acute/subacute sciatica. N=168 healthy participants will provide normative data. A detailed set of variables will be assessed within 3 months after sciatica onset. This will include self-reported sensory and psychosocial profiles, quantitative sensory testing, blood inflammatory markers and advanced neuroimaging. We will determine outcome with the sciatica bothersomeness index and a numerical pain rating scale for leg pain severity at 3 and 12 months.

We will use principal component analysis followed by clustering methods to identify subgroups.

Univariate associations and machine learning methods optimised for high dimensional small datasets will be used to identify the most powerful predictors and model selection/accuracy.

The results will provide crucial information about the pathophysiological drivers of sciatica symptoms and may identify prognostic factors of pain persistence.

Ethics and dissemination: The FORECAST study has received ethical approval (South Central Oxford C, 18/SC/0263). The dissemination strategy will be guided by our patient and public engagement activities and will include peer-reviewed publications, conference presentations, social media and podcasts.

Registration: ISRCTN18170726

Keywords: sciatica, radiculopathy, radicular pain, prognosis, neuropathic pain

Article summary

Strength and limitations

- This study has the potential to advance our understanding of the heterogeneity of pathomechanisms in people with sciatica and to identify factors that predict pain persistence.
- This dataset will include the largest deeply phenotyped ‘sciatica’ cohort to date.
- Harmonisation with the PAINSTORM consortium will afford integration of the FORECAST cohort into a much larger dataset of neuropathic pain.
- The large amount of data points collected for a modest cohort size will pose challenges for analyses and will require dimensionality reduction techniques
- Patient recruitment will be challenging given the time intensive phenotyping protocol. This may lead to recruitment bias.

Introduction

Low back pain (LBP) is associated with more disability than any other condition.¹ Up to 60% of patients with LBP also experience leg pain, which is associated with worse health outcomes. In some cases, the leg pain is caused by nerve root involvement, commonly referred to as ‘sciatica’. Whereas some patients with ‘sciatica’ have pain of predominantly nociceptive character, others develop neuropathic (nerve related) pain, which is characterised by burning pain, electric shocks or tingling. The presence of neuropathic pain in sciatica further increases suffering and disability.² The management of sciatica is therefore a priority. The NICE guidelines recommend a period of non-invasive treatment (e.g., medication, physiotherapy) before invasive treatment (e.g., surgery) is considered.³ Sadly, first line management for patients with sciatica remains largely ineffective^{4 5} and at least one third develops persistent pain and disability lasting a year or longer.⁶⁻¹⁰

It remains unclear why some patients develop persistent sciatica. Two recent systematic reviews have established that none of the traditionally considered clinical parameters (e.g. pain intensity, routine magnetic resonance imaging [MRI], mental wellbeing) are consistent prognostic factors.^{11 12} Since those publications, the largest prognostic study in patients with sciatica in primary care⁸ identified several factors that are weakly associated with improvement, these included shorter pain duration, belief that symptoms will not last long, myotomal weakness, overall impact of sciatica. However, at 12 months, only two factors were independently associated with outcome in the multivariable model analysis. This restricts the usefulness of predictive modelling for risk estimation of outcome for individual patients. The absence of prognostic factors hinders the early identification of patients at risk of developing persistent pain and prevents personalised treatments.

These challenges in management and risk prediction are partly attributed to a lack of understanding of the pathomechanisms at play in sciatica. Sciatica is a heterogeneous condition likely caused by differing mechanisms in individual patients,¹³ which are potentially amenable to targeted treatment. In the field of neuropathic pain, mechanism-based stratification using deep phenotyping has been advocated to facilitate personalised pain management.¹⁴ In contrast to traditionally used methods that quantify the severity of the disease with a limited battery of basic clinical measures (e.g., routine MRI scans, symptom severity basic questionnaires), a mechanism-based approach aims to stratify patients by the distinct underlying mechanisms. It has been suggested that the nature of the pathomechanisms at play in patients with pain may influence treatment outcome and prognosis.¹⁴⁻¹⁶ The utility of such a mechanism-based approach in predicting pain persistence in people with sciatica remains unknown.

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3 The FORECAST study will examine the value of a mechanism-based deep phenotyping approach
4 including main domains assessing nerve function, nerve structure, inflammation and psychosocial
5 factors.
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8 The aims of the FORECAST study are:

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10 1. To explore mechanism-based subgroups in patients with acute/subacute sciatica.
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12 2. To investigate whether a mechanism-based approach can identify factors that predict pain
13 persistence in people with sciatica.
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17 18 Methods

19 The FORECAST study is a prospective longitudinal prognostic factor cohort study that is based on
20 feasibility data and closely informed by patient and public involvement and engagement (PPIE)
21 activities including feedback from our named patient partners, six-member patient advisory group,
22 and survey results from participants of the feasibility study. The study will be performed and reported
23 according to the guidance for observational studies (STROBE)¹⁷ and the statement for transparent
24 reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD).¹⁸
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31 32 Participants

33 We will include n=180 patients with acute/subacute 'sciatica' and n=168 healthy age and gender
34 matched participants without symptoms of sciatica/low back pain. Healthy participants are important
35 to establish normative values for blood markers, somatosensory profiling and neuroimaging.
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38 People aged >18 years with a clinical diagnosis of 'sciatica' will be recruited from primary care in
39 Oxfordshire (e.g., primary care NHS providers as well as GP, Physiotherapy, Osteopathy and
40 Chiropractor clinics) and through leaflets on public noticeboards. Sciatica symptom onset of the current
41 episode needs to be within the past three months with a symptom free period of at least 3 months
42 preceding the current sciatica symptoms. The inclusion criteria for patients with 'sciatica' are based on
43 a published diagnostic model¹⁹ which includes 5 weighted parameters (self-reported sensory changes,
44 below knee pain, leg pain worse than back pain, neurodynamic tests, neurological deficit). A sum score
45 >4 will be defined as sciatica, with a mean predicted probability of 83%. In addition, patients with
46 suspected sciatica will undergo a clinical examination by a physiotherapist to further confirm the
47 diagnosis of sciatica and rule out other diagnoses (see additional phenotypic data below).
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53 The following exclusion criteria will apply; presence of other nerve-related disorders (e.g. diabetic
54 neuropathy, stroke), previous lumbar spine surgery, serious spinal diseases (e.g. infection, cauda equina
55 syndrome, metastatic lesions), chronic inflammatory disorders, other pain conditions that may confound
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3 assessment (e.g., fibromyalgia), pregnancy, insufficient command of the English language to obtain
4 consent/complete questionnaires, and contraindications to MRI for those selected for scanning.
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6 7 **Study procedure**

8 After a preliminary eligibility screen on the phone (Figure 1), patients will attend a baseline appointment
9 with a clinically trained investigator (e.g, physiotherapist) at the local University Department. During
10 the baseline appointment, the diagnosis of sciatica will be confirmed, and the prognostic variables will
11 be assessed through a detailed set of clinical phenotyping as described below. Some patients will also
12 undergo an MRI scan of their lumbar spine. We will then follow up patients over 1 year with monthly
13 pain diaries (Appendix 1) and outcome will be measured at 3 (short-term) and 12 months (long-term).
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20 21 **Outcome measures to define pain persistence**

22 The final selection of our outcome measures has been guided by our patient advisory group and
23 feedback from participants in the feasibility study. Pain persistence will be defined with the Sciatica
24 Bothersomeness Index²⁰ and a numerical pain rating scale (0 no pain to 10 worst pain imaginable,
25 primary outcomes). The Sciatica Bothersomeness Index includes elements of leg pain as well as sensory
26 and motor disturbances, thus providing a comprehensive measure of different sciatica symptoms. This
27 index has shown good discrimination between self-reported successful and non-successful outcome in
28 patients with sciatica²¹ and has been favoured by our patient advisory group. In our feasibility study
29 both outcome measures identified 38% of participants who developed persistent pain, which is in line
30 with previous reports.⁹
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33 We may also run analyses using secondary outcomes (e.g., disability using Oswestry Disability Index
34 (ODI 2.1a)²², self-perceived change using global rating of change scale (GROC)²³).
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42 43 **Primary mechanism-based prognostic variables**

44 **1) Self-reported sensory profiling**

45 See Table 1 for questionnaires. The Neuropathic Pain Symptom Inventory (NPSI) and PainDETECT
46 will be used to determine sensory symptom clusters as previously reported.²⁴ Patients will be instructed
47 to report the localisation of pain, paraesthesia and hypoesthesia on separate body charts by means of
48 pen-on-paper pain drawings (A4 sheets including ventral and dorsal view of female or male body). All
49 drawings will be digitised and analysed using online software (<https://syp.spslab.ch>). The derived
50 variables (i.e. extent and location) will be used to describe the symptoms associated with sciatica at the
51 baseline. These have been shown to provide clues about central sensitisation^{25 26} and may predict clinical
52 outcome in other conditions.^{27 28}
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59 **2) Somatosensory profiling**

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3 There is preliminary evidence that some quantitative sensory testing (QST) parameters may be
4 prognostic in patients with a range of pain conditions including neuropathic pain.^{15 16} The standardised
5 and validated QST battery developed by the German Network for Neuropathic Pain (DFNS) will be
6 used to reliably determine sensory function in different nerve fibres. Cold and warm detection
7 thresholds (CDT, WDT; average of three repetitions) as well as cold and heat pain thresholds (CPT,
8 HPT, average of three repetitions) and thermal sensory limen (TSL) including paradoxical heat
9 sensations during three series of alternating cold and warm stimuli will be examined with a
10 Thermotester (Somedic, Sweden, 25x50mm thermode). Mechanical detection thresholds (MDT) will
11 be measured with von Frey hairs and mechanical pain thresholds (MPT) with weighted pin-prick
12 stimulators (geometric mean of five series of ascending and descending stimuli). Mechanical pain
13 sensitivity (MPS) will be examined with a numerical pain rating scale (0-100) during a shortened
14 protocol of two sets of seven pseudo-random pin-prick stimulations.²⁹ To determine the presence of
15 allodynia, two sets of three light touch stimulations with a cotton wisp, a cotton wool tip, and a
16 standardized brush (Sense-lab) will be intermingled with these pin-prick stimulations. Pressure pain
17 thresholds (PPT) will be evaluated with a manual algometer (Wagner Instruments, USA) and
18 vibration detection threshold (VDT) with a Rydel Seiffer tuning fork (average of three repetitions).
19 The wind-up ratio (WUR) will be determined as the mean numerical pain rating of three trains of 10
20 pin-prick stimuli divided by the mean rating of three single stimuli.
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33 A shortened QST battery will first be conducted on the hand ipsilateral to the (most) symptomatic leg
34 (CPT, HPT and MPT on dorsum of hand; PPT over thenar eminence) to determine the presence of
35 widespread hyperalgesia. The full QST protocol will then be performed in the area of maximal pain in
36 the affected leg where previous work has shown QST changes in patients with 'sciatica'.³⁰
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41 We will use healthy control data to calculate Z-scores, where each individual parameter is related to its
42 region-, age- and gender specific reference range. We will collect our own normative data, assisted by
43 the provision of an existing QST dataset.³¹ Using a previously published algorithm¹³, patients will also
44 be assigned one of the following somatosensory profiles 1) sensory loss 2) thermal hyperalgesia 3)
45 mechanical hyperalgesia.
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50 Further, we will include a conditioned pain modulation (CPM) paradigm to examine the efficacy of the
51 descending pain modulatory system. Such dynamic QST protocols have shown most promising
52 prognostic ability in other pain conditions.^{15 16} Based on current recommendations³², we will evaluate a
53 sequential CPM paradigm using PPT over the thenar eminence of the dominant hand (test stimulus,
54 average of 3 repetitions) and cold-water immersion of the non-dominant hand to the level of the wrist
55 (conditioning stimulus). This combination has provided the most reliable and large magnitude CPM
56 effects.³³ The water bath will be standardized to 4°C ± 2°C by adding ice. Patients are asked to report
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3 the intensity of pain experienced by cold water immersion from 0 (no pain) to 100 (worst pain
4 imaginable). Once the pain reaches the cut-off of >40/100, or after a maximum of two minutes if this
5 cut-off is not reached,^{32 34} the participants will be asked to remove the hand from the water bath. The
6 test stimulus will be repeated immediately thereafter. Cold water immersion is the most used CPM
7 conditioning stimulus, is easy to implement and seems to be the most effective CPM paradigm.^{35 36} PPT
8 measurements are convenient, quickly measured and frequently used as a test stimulus.³⁷ A good to
9 excellent intra-session reliability for CPM assessment with PPTs has been reported.^{36 38}

16 **3) Psychosocial profiles**

17 There is a large body of evidence supporting the role of psychosocial factors in the persistence of pain
18 and disability.^{39 40} Therefore, we will assess psychosocial factors to examine their prognostic value in
19 sciatica. The selection of specific measures of psychosocial factors drew upon existing evidence for
20 their predictive utility in the context of other pain conditions, their theoretical relevance, and their
21 psychometric properties including content validity.⁴¹ We will have a two-level approach to assessment
22 that includes general or “transdiagnostic” psychosocial factors and condition/sciatica-specific factors
23 (Table 1). The transdiagnostic factors include symptoms of depression and general anxiety, sleep
24 disturbance, and fatigue (all measured with their respective PROMIS SF8a tools⁴²), trauma history,
25 pain-related worry (“Pain Catastrophizing Scale”)⁴³ and personality (Ten Item Personality Inventory⁴⁴).
26 In addition to transdiagnostic psychosocial risk factors, we have included several measures of potential
27 protective factors (ie, optimism, State Optimism Measure⁴⁵; social support, PROMIS SF4a instrumental
28 and emotional Support; and social role participation, PROMIS SF8a) to provide a more holistic
29 assessment. To assess cognitions specific to the context of sciatica, we developed a novel item set that
30 was primarily adapted from the revised Illness Perception Questionnaire (Appendix 2).⁴⁶ Patient
31 partners provided extensive feedback to develop and refine the sciatica-specific adaptation of these
32 items. We have also included a measure of stigma⁴⁷ in relation to sciatica.

45 **4) Blood inflammatory markers**

46 We will sample blood by cubital venepuncture into BD Vacutainer SST and serum clot activator tubes
47 (gold and red cap, BD, Wokingham United Kingdom). The time of last meal will be recorded. Thirty
48 minutes after venepuncture, the blood will be centrifuged at 1.3g for 10 minutes at 4°C (gold cap for
49 protein analysis) and at room temperature (red cap tubes for metabolomics). The serum fraction will be
50 immediately aliquoted and stored at -80°C for batch processing.

51 We will use complimentary protein/metabolomics analysis to evaluate serum inflammatory markers
52 related to inflammation and neuropathic pain. Protein analysis will utilise a custom-made electro-
53 chemiluminescent multiplex biomarkers assays (MSD) available at Oxford. These plates contain 17
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3 cytokines/chemokines including candidates of interest derived in our previous work (e.g., IL-4, IL-9,
4 IL-6).⁴⁸ Patient samples will be run in duplicate and normalised to standard curves.
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8 Metabolomic analyses will be carried out using a state-of-the-art, high-field 700 MHz NMR
9 spectrometer equipped with TCI cryoprobe (Department of Chemistry, University of Oxford), as
10 previously described.⁴⁹ Quality control samples will be randomly spread throughout the run for
11 standardisation and internal reference standards will allow absolute concentrations of inflammatory
12 markers (N-acetylated glycoprotein species, serum lipoproteins,) along with energy and TCA-cycle
13 metabolites to be determined.
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18 19 20 **Additional phenotypic data**

21 ***Demographics and medical information***

22 We will also collect basic demographic data (e.g., age, gender, ethnicity, profession, working status,
23 perception of household income, years of school attendance) and medical information (e.g., most
24 affected side, previous history of back pain or sciatica, number of previous episodes, duration of
25 current episode, family history of pain, current and past medical history including current and past
26 medications and their effectiveness, trialled treatments, results of previous imaging, smoking and
27 alcohol intake, Appendix 3).
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33 ***Clinical Examination***

34 We will also perform a clinical examination (Appendix 4). We will document height, weight and
35 hip/waist circumference. We will record findings from a bedside neurological screening examination
36 of the lower limbs. This includes myotomal testing from lumbar levels L2-S1, patellar and achilles
37 tendon reflexes, as well as mapping of sensory loss to light touch and pin prick on body charts. We
38 will check for upper motor neurone signs (exclusion criteria) using Hoffmann's test, Babinski,
39 inverted supinator sign and observation of tandem walk.⁵⁰ Patients will go through a warning sign
40 checklist for suspected cauda equina syndrome (exclusion criteria).⁵¹
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46 We will perform the straight leg raise and slump test as well as femoral slump if indicated (e.g.,
47 presentation suggesting upper lumbar involvement).⁵² These tests for nerve mechanosensitivity will
48 be deemed positive if they 1) reproduce at least partially the patients' symptoms and 2) if structural
49 differentiation through either foot dorsiflexion or cervical flexion changes the symptoms.⁵³ We will
50 further record the presence of lumbar shifts, active range of motion restrictions in lower back and hip
51 including whether these movements provoke back or leg symptoms. Pain provocation upon posterior
52 anterior intervertebral movement palpation of the lumbar segments L1-L5 will be recorded (Grade IV
53 unless pain provocation occurs earlier).
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3 At the end of the baseline appointment, the assessor will rate the certainty of neuropathic leg pain as
4 unlikely, possible, probable or definite according to the updated neuropathic pain grading system.⁵⁴
5 They will also assign patients to one or several of the following subgroups described elsewhere⁵⁵:
6 radiculopathy (true neurological deficit), radicular pain, neural mechanosensitivity or somatic referred
7 pain.
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11 ***Self-reported questionnaires***

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13 We will also collect the following additional questionnaires to describe our patient population: ODI⁵⁶
14 (separate questionnaires for back and leg), Keele Start Back tool,⁵⁷ EQ-5D,⁵⁸ and a monthly pain diary
15 (Appendix 3).
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20 ***Magnetic resonance neurography (MRN)***

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22 We will perform MRN in a subset of n=100 patients with sciatica and n=44 healthy matched controls
23 to identify moderate effects²³ (d=0.52, alpha=0.05, 80% power). Eligible patients (e.g., MRI safety)
24 will be consecutively recruited for scanning until numbers are reached.
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27 We will perform advanced MRN optimised to visualise lumbar nerve root macro- and microstructure
28 at 3 Tesla using a dedicated 18-channel phased array spine coil (Siemens, UK). The protocol includes
29 multi-shell (b=700 and 1500 s/mm²) DTI scans, high resolution anatomic scans with optimised T1 and
30 T2 weighted contrasts, and a T2 mapping scan (Appendix 5). The data analysis will be performed using
31 FSL tools including TOPUP⁵⁹ ⁶⁰ and EDDY ⁶¹⁻⁶³ for the correction of images' distortions and subject
32 movements, DTIFIT⁶⁴ for the fitting of diffusion tensor model, and FLIRT⁶⁵ ⁶⁶ for the registration of
33 diffusion metrics and anatomic images. Measures including fractional anisotropy, mean/axial/radial
34 diffusivity and T2 maps will be obtained within regions of interest in lumbar nerve roots (affected and
35 unaffected sides) and averaged over multiple slices as we have optimised before.⁶⁷
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45 **Cohort harmonisation**

46 The FORECAST cohort is harmonised with the Advanced Pain Discovery Platform funded
47 PAINSTORM consortium, and therefore includes additional measures that will allow data integration
48 (e.g., blood collection for genetic analyses, skin biopsies in the maximal pain area, DN4,⁶⁸ Michigan
49 Neuropathy Screening Instrument,⁶⁹ Chronic pain grade,⁷⁰ Brief pain inventory⁷¹ (pain intensity
50 items), a section where patients can tell us more about their pain and circumstances in their own
51 words including how they would describe their pain to their friends/family or work colleagues, as well
52 as their feelings about their financial situation and its impact on their situation.
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Data analysis plan

Statistical methods will follow STROBE guidelines¹⁷ and the TRIPOD statement for transparent reporting of a multivariable prediction model for individual prognosis or diagnosis.¹⁸

Participants' baseline characteristics (e.g., demographics, disability using (ODI), medical comorbidities) and their clinical course (primary and secondary outcomes, ODI) will be described for short (3 months) and long-term time-points (12 months).

To identify and characterise mechanism-based subgroups in patients with acute/subacute sciatica and use distance-based clustering algorithms efficiently we first need to address the high dimensionality – modest sample size of the dataset. Thus, we will first carry out a Principal Component Analysis (PCA) to summarise and reduce the dimensionality of the dataset while preserving as much variability as possible. Then we will use algorithmic centroid (k-means) and hierarchical clustering based on the Euclidean distance between principal dimensions to identify sub-groups of patients sharing high phenotypic similarities. The optimal number of clusters will be determined using the gap statistic and the elbow of the within/between clusters variance plot. Consequently, we will perform hypothesis testing to assess group differences on the original variables between participants assigned to different clusters. All omnibus tests will be followed-up by the appropriate post-hoc test.

To investigate factors that predict pain persistence in people with sciatica we will use variable selection techniques followed by predictive modelling. First, we will perform filtering of the original variables by calculating the univariate associations (coefficients, 95% CI, p-values) between variables and the outcome and between each other. We will select a subset of uncorrelated variables that are associated with the outcome and use them as input features in machine learning algorithms for high dimensional, small datasets that will allow us to identify the most powerful predictors and assess model selection/predictive accuracy. During pre-processing, missing data will be examined, the mechanism of missingness will be inferred using hypothesis testing and visually assessed using a matrix of boxplots for all pairs of variables and the outcome, and if appropriate multiple imputation by chained equations will be used. Drawing from machine learning techniques for high dimensional small datasets we will use re-sampling and validation in the form of repeated cross-validation to perform a complete variable profiling to identify the most powerful predictors. Multivariate Adaptive Regression Splines (MARS) with built-in feature selection and Decision Tree models known to work well on low sample sizes will be trained to predict the 3-month and 1-year outcome. Model performance will be estimated using 5-times repeated 10-fold cross-validation and compared to models trained on surrogate data.⁷² The latter benchmarking technique is appropriate for small datasets, where holding out a subset of data before the analysis to be used as a pseudo-independent test set is impossible. Instead, an artificial – surrogate

dataset, preserving the descriptive statistics but not any of the potentially real associations between the variables and the outcome of the original dataset, will be created and the performance of models trained on the actual and surrogate dataset will be compared. Models' predictive performance will be reported alongside variable importance rankings. Model selection will be done to maximise the Mathews Correlation Coefficient for dichotomised outcomes and to minimise the Root Mean Square Error (RMSE) for continuous outcomes during cross-validation. Scalar metric estimations of predictive performance including accuracy (binomial test p-value against the majority class prevalence), balanced accuracy and the area under the precision/recall curve will be reported alongside their 95% CI. Predictor importance will be assessed using model specific techniques, i.e., the reduction in performance estimated by cross-validation when each predictor is removed for MARS and node impurity for tree-based methods. Variables' influence on the predicted outcome both at the global and individual level will be quantified by the Partial Dependence Plots and Individual Conditional Expectation⁷³ respectively. These will show the average marginal effect on the prediction given a certain value of a predictor variable and provide model interpretability.

Sample size estimation

QST sensory profiles: Published sample size guidelines for QST clustering in peripheral nerve injury⁷⁴ suggest that for strong effects (effect size = 0.7) a sample size of <180 patients will produce a subpopulation with thermal and mechanical hyperalgesia large enough to conduct a study with 80% power, at an alpha 0.05. To calculate QST z-scores, at least 8 controls are required for each area and age decade.⁷⁵ Our feasibility study included patients of 7 age decades with 3 main pain areas. We will therefore need n=168 controls.

k-means and hierarchical clustering after PCA: Using the 2 first principal dimensions for 3 variable domains (self-reported profiling, QST, inflammatory markers) we will need $2^6=64$ patients to perform k-means clustering with adequate power.⁷⁶

Algorithmic cluster analysis: assuming k=4 clusters, we will be able to identify moderate effects (effect size = 0.25) with an one-way ANOVA between 4 groups at an alpha level of 0.05, 80% power.

Predictor profiling: we will use robust algorithms that include feature selection, and we will assess model performance using methods developed for small datasets and robust metrics. As this part is an exploratory analysis that could shape future hypotheses and validation studies, our sample size is adequate. Given the anticipated sample size ratios with chronic ($180*30%=54$) and resolved sciatica ($180*70%=126$) and accounting for 15% attrition (see feasibility study), we will be able to identify moderate effects (effect size = 0.5) using a two-tailed Wilcoxon-Mann-Whitney test (power 81%, alpha 0.05).

Ethics and dissemination

The FORECAST study has received ethical approval (South Central Oxford C, 18/SC/0263). All participants will provide informed written consent before participating in the study.

The dissemination strategy will be strongly guided by our PPIE activities (see below). This will be based on co-productions between patient partners and academics and will involve publication of findings in scientific journals, presentations at conferences, media pieces (mainstream and social media) as well as communication through charity partners.

Data will be made publicly available on the ALLEVIATE data hub (<https://alleviate.ac.uk>) and remaining bio-samples will be on-boarded to the Imperial Biobank. The data and samples will continue to be linked and will be available for future studies.

Patient and Public Involvement and Engagement (PPIE) and Dissemination of Findings

The FORECAST team consists of equal partners including patient partners, clinicians and researchers. Our aims have been shaped by the needs of people living with sciatica to ensure we address unmet needs. The PPIE plans will be shaped by the following members of FORECAST: 1) Inclusion of two patient partners as co-investigators (CR, CP). They will contribute as equal partners on the investigator team. 2) PPIE lead with extensive experience in involving patients' voices in research (KRM). 3) diverse patient advisory group (PAG) consisting of six individuals with a lived experience of sciatica. Our patient partners and PAG provided early input to the original grant application and identification of key research activities within the project, particularly around including the feasibility work (e.g., acceptability of testing and study procedures), study design (e.g., selection of primary outcome measure) and strongly informed the writing of our funding application (e.g., lay summary). We will continue to work closely with people with lived experience of sciatica as we undertake this study, and our PPIE strategy will continue to be implemented throughout the lifetime of FORECAST. We will seek the perspective and guidance of our patient partners and PAG members on matters including, but not limited to participant recruitment and retention; barriers/facilitators of participation among seldom heard populations; data analysis and sensemaking of findings, organisation and co-production of workshops, dissemination materials, and public engagement activities. This will ensure that the patient perspective has been considered at all stages throughout the project. We will also work closely with our patient partners and advisors on engagement and dissemination activities. This may include, but is not limited to, co-producing lay summaries, website content, infographics, animated videos, and podcasts, as well as engagement activities to bring the project into a public sphere. We plan to work closely with the PAINSTORM research team and patient partners, as well as other national and international pain and sciatica groups to promote the study and its

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3 subsequent findings. This would allow us to reflect on the way the conclusions are presented and
4 identify any gaps which might lead to further research in the topic area. We also plan to hold
5 conversations with our patient partners and PAG regarding planning and undertaking academic
6 dissemination activities (e.g., engagement with policy stakeholders, conference
7 abstracts/presentations, manuscript preparation/publication). All individuals who contribute to this
8 PPI advisory group will receive payment in accordance with current INVOLVE guidelines.
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Author contributions:

ABS has conceived the FORECAST study and acquired funding with the support of all FORECAST collaborators. LR is coordinating the FORECAST study and SK is supporting data collection. LH coordinated the feasibility study. FP assisted in the design of the blood marker analysis and will perform all metabolomics data acquisition, processing, and analyses. WS and GC led on the psychosocial profiling. MT, SC, DN, and SA contributed to the development of the MRI sequences and will perform MRI-related analyses. CP, CR and KM are responsible for the PPIE. BT has provided input into the QST assessment and will provide normative QST datasets. MB will provide the SYP body diagram software and run the pain drawing analyses. JF will provide clinical oversight. GB performed sample size analyses and will be responsible for overall data integration and analysis. All authors have contributed to the study design and write up of the protocol and approved the final version.

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10 NIHR or the Department of Health and Social Care
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17 **Data statement:** Data will be made publicly available on the ALLEVIATE data hub
18 (<https://alleviate.ac.uk>) and remaining bio-samples will be on-boarded to the Imperial Biobank. The
19 data and samples will continue to be linked and will be available for future studies.
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25 **Competing interest statement:** The authors declare no competing interests.
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3 **Figure legends:**
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6 **Figure 1: Study flow diagram**
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8 MRN: magnetic resonance neurography; SBI: sciatica bothersomeness index; NPRS: numerical pain
9 rating scale.
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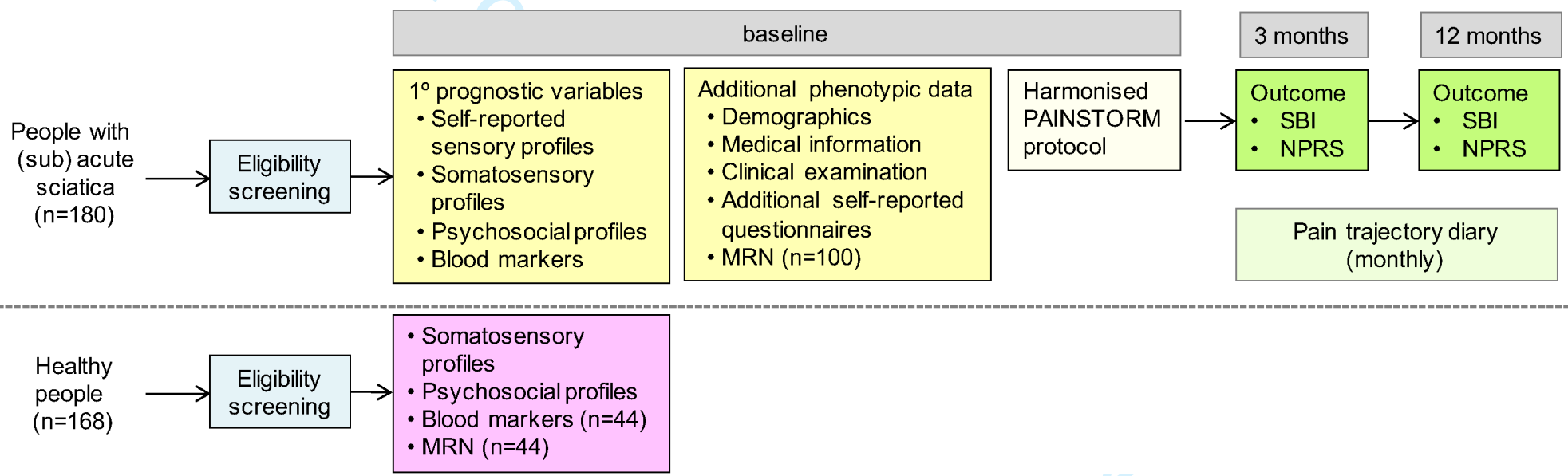
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Table 1: Questionnaires

| Questionnaires | | FORECAST patients | | Healthy volunteers | PAINSTORM DATASET |
|-----------------------------|---|-------------------|-----------|--------------------|-------------------|
| | | BASELINE | FOLLOW UP | BASELINE | EXTENDED |
| | *primary outcome | | | | |
| | **secondary outcome | | | | |
| FORECAST outcomes | Sciatica Bothersomeness Index (SBI) ²⁰ | X | X* | | |
| | Numerical Pain Rating Scale - previous 2 weeks (worst, least, average for leg /back pain) | X | X* | | |
| | Global Rating of Change Scale | | X** | | |
| Neuropathy/Neuropathic Pain | PainDETECT ⁷⁷ | X | X | | X |
| | Neuropathic Pain Symptom Inventory (NPSI) ⁷⁸ | X | X | X | X |
| | DN4 ⁶⁸ | | X | | X |
| | Michigan Neuropathy Screening Instrument (MNSI) ⁶⁹ | | | | X |
| Pain location, severity | Pain location - list of sites, body chart | X | X | X | X |
| | Monthly pain diary | X | X | | |
| | Chronic Pain Grade (CPG) ⁷⁰ | | | | X |
| | Brief Pain Inventory (BPI) ⁷¹ | | | | X |
| Disability | Oswestry Disability Index (ODI 2.1a) ⁵⁶ – Leg Pain | X | X** | | |
| | Oswestry Disability Index (ODI 2.1a) ⁵⁶ – Low Back Pain | X | X | | |
| | Oswestry Disability Index (ODI 2.1a) ⁵⁶ - combined leg and back pain | | | X | |
| Risk | Keele STarT Back tool ⁵⁷ | X | | | |
| Lifestyle | International Physical Activity Questionnaire (IPAQ, long version) ⁷⁹ | X | X | X | X |

| | | FORECAST patients | | Healthy volunteers | PAINSTORM DATASET |
|-----------------------------|--|--|-----------|--------------------|-------------------|
| | | BASELINE | FOLLOW UP | BASELINE | EXTENDED |
| Quality of life | EQ-5D-5L (v1.2) ⁵⁸ | X | X | X | X |
| Psychosocial Questionnaires | PROMIS SF8a – Ability to participate in social roles and activities ⁴² (v1.0) | X | X | X | X |
| | Pain Catastrophising Scale (PCS) ⁴³ | X | X | X | X |
| | PROMIS SF8-a – Depression and Anxiety ⁴² (v1.0) | X | X | X | X |
| | Adverse Childhood Events (ACEs) (none, 1, 2, >2) | X | | X | X |
| | Prolonged hospitalisation for life threatening condition (yes/no) | X | | X | X |
| | PROMIS SF8a – Sleep Disturbance ⁴² (v1.0) | X | X | X | X |
| | PROMIS SF8a – Fatigue ⁴² (v1.0) | X | X | X | X |
| | PROMIS SF4a- instrumental support ⁴² (v1.0) | X | X | X | X |
| | PROMIS SF4a – Emotional Support ⁴² (v1.0) | X | X | X | X |
| | Ten Item Personality Index (TIPI) ⁴⁴ | X | | X | X |
| | State Optimism Measure (SOM-7) | X | X | X | X |
| | Illness Perception Questionnaire (IPQ-R) ⁸⁰ | X | | | |
| | Sciatica Perception Questionnaire (SPQ) | X | X | | |
| | Stigma Scale for Chronic Illnesses (SSCI) - modified ⁴⁷ | X | X | | |
| | | “in your own words” (impact on social and financial situation) | | | |

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Appendix 1: Pain trajectory Diary

ID _____
Date _____

Pain Trajectory Diary - FORECAST

Month: _____

Thank you for your continuing support of the FORECAST study. Please let us know below about your sciatica pain in the past 2 weeks.

| | No pain 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Worst pain imaginable 10 |
|---|--------------|---|---|---|---|---|---|---|---|---|-----------------------------|
| Sciatica leg pain | | | | | | | | | | | |
| In the last two weeks, at its worst, how intense was your sciatica leg pain? | | | | | | | | | | | |
| In the last two weeks, at its least, how intense was your sciatica leg pain? | | | | | | | | | | | |
| In the last two weeks, on average, how intense was your sciatica leg pain? | | | | | | | | | | | |
| Low back pain | | | | | | | | | | | |
| In the last two weeks, on average, how intense was your back pain? | | | | | | | | | | | |

Appendix 2: Sciatica Perception Questionnaire

Your views about your sciatica (SPQ)

We are interested in your own personal views of how you currently see your sciatica.

Please indicate how much you agree or disagree with the following statements about your sciatica by ticking the appropriate box.

| | Strongly disagree | Disagree | Neither agree nor disagree | Agree | Strongly agree |
|---|-------------------|----------|----------------------------|-------|----------------|
| I expect that I am going into old age with my sciatica | | | | | |
| I feel that my sciatica will last for a long time | | | | | |
| My sciatica is likely to be permanent rather than temporary | | | | | |
| I expect that the effect of my sciatica on day-to-day life will worsen over time | | | | | |
| My sciatica comes and goes | | | | | |
| I do not know how my sciatica will change in the future | | | | | |
| My sciatica is a burden to others | | | | | |
| My sciatica can put me in awkward and embarrassing situations | | | | | |
| I have the personal strength to manage my sciatica | | | | | |
| I avoid specific positions and/or movements due to fear of causing pain | | | | | |
| I avoid specific positions and/or movements due to fear of causing damage | | | | | |
| There is little that I can do to improve my sciatica myself | | | | | |
| There is something seriously wrong with my back/leg | | | | | |
| The cause of my sciatica has not been investigated properly | | | | | |
| I am concerned about possible adverse long term consequences of the treatment for my sciatica | | | | | |
| There is nothing that can help my sciatica | | | | | |
| I do not understand what is wrong with my back/leg | | | | | |
| My current treatment does not make sense to me | | | | | |
| I worry that I am not getting the right treatment for my sciatica | | | | | |
| I don't know what activities I can safely do with my sciatica | | | | | |
| It is so unfair that I have sciatica | | | | | |

Appendix 3: Demographic and Medical History Data

| Demographics | FORECAST substudy | | Healthy Volunteers | PAINSTORM DATASET |
|--|-------------------|-----------|--------------------|-------------------|
| | BASELINE | FOLLOW UP | BASELINE | EXTENDED |
| Age (yrs) | X | | X | X |
| Sex | X | | X | X |
| Years in education | X | | X | X |
| Working status* | X | | X | X |
| Household income** | X | | X | X |
| Ethnicity | X | | X | X |
| Medical history | | | | |
| History of sciatica (date of first episode, number of previous episodes) | X | | | |
| Duration of current sciatica episode (days) | X | | | |
| Is the leg pain worse than the back pain? | X | | | |
| Affected leg (left/right/both) | X | | | |
| Family history of chronic pain | X | | X | |
| Details of other medical diagnoses | X | | X | |
| Cauda equina screening questions | X | | | |
| Types of treatments received for sciatica to date | X | X | | |
| Types of tests/ investigations undertaken for sciatica to date | X | X | | |
| Relevant previous and current medication, including whether or not they are taken for sciatica | X | X | X | |
| Medications: efficacy, adherence | X | X | X | X |
| Tobacco and Alcohol intake | X | | X | X |

* Working status:

- In paid employment or self-employed
- Retired
- Looking after home and/or family
- Unable to work because of sickness or disability
- Unemployed
- Doing unpaid or voluntary work
- Full or part-time student
- None of the above
- Prefer not to answer

** Which of the descriptions below comes closest to how you feel about your household's income nowadays?

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- Living comfortably on present income
- Coping on present income
- Finding it difficult on present income
- Finding it very difficult on present income
- Do not wish to answer
- Don't know

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Appendix 4: Clinical Examination

| Clinical Examination (Identical for people with sciatica and healthy volunteers) | |
|---|--|
| Height (cm) | |
| Weight (kg) | |
| Waist circumference (cm) | |
| Hip circumference (cm) | |
| Myelopathy screening cluster | Tandem gait, inverted supinator sign, Hoffman's test, Babinski reflex |
| Neural mechanosensitivity | straight leg raise, slump, femoral slump (where clinical picture indicates). Rated as negative or positive (at least partial symptom reproduction plus structural differentiation changes symptoms). |
| Lumbar spine range of motion | flexion, extension, bilateral side flexion. Range recorded as full or restricted. Symptom provocation recorded as: none, leg, back, leg + back. |
| Palpation of lumbar spine | Passive accessory intervertebral mobilisations (PAIVMS) over spinous processes L1-L5 centrally (to end of resistance if required). Symptom provocation recorded as none, leg, back, leg + back |

Appendix 5: MRI protocols

The MRN protocol includes multi-shell Diffusion Tensor Imaging (DTI) scans, high resolution coronal T1 and T2 weighted imaging, and T2 mapping scan, respectively.

- Multi-shell DTI consist of three coronal scans with three shots RESOLVE readout [56], TR/TE1/TE2=3430/46/81 ms, FOV = 256x256 mm², 2 mm isotropic spatial resolution, 26 slices, GRAPPA factor=2, and BW=1302Hz/Px. The first scan is acquired with b = 0 s/mm² and Left-Right (LR) phase encoding (PE) direction for the correction of susceptibility induced distortions. The Acquisition Time (TA) is 32 s. Each of the two other scans consist of 32 diffusion directions acquired with PE in RL direction and TA = 8min. The b-values are 700 s/mm² and 1500 s/mm², respectively.
- High resolution coronal T1 weighted images are acquired using a Turbo Spin Echo (TSE) sequence, TR/TE = 1050/11ms, FOV = 256x256 mm², 1 mm isotropic spatial resolution, 50 slices, 4 averages, GRAPPA factor = 2, Turbo Factor = 3, and TA = 6 min.
- High resolution coronal T2 weighted images are acquired using a TSE sequence, TR/TE = 4700/61ms, FOV = 256x256 mm², 1 mm isotropic spatial resolution, 50 slices, 4 averages, GRAPPA factor = 2, Turbo Factor = 15, with fat Saturation and TA = 9 min.
- Coronal multi-echo images are used to fit T2 maps. The acquisition parameters are: TR = 5700ms, TE = 13.8/27.6/41.4/55.2/69/82.8/96.6/110.4 ms, FOV = 256x256 mm², 1.3 isotropic spatial resolution, 40 slices, GRAPPA factor = 2, with fat saturation and TA = 9.5 min.

BMJ Open

Factors predicting the transition from acute to persistent pain in people with 'sciatica'-the FORECAST longitudinal prognostic factor cohort study protocol

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| Secondary Subject Heading: | Anaesthesia, Diagnostics |
| Keywords: | Chronic Pain, Neurology < INTERNAL MEDICINE, Rehabilitation medicine < INTERNAL MEDICINE, Rheumatology < INTERNAL MEDICINE, |

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| | Neurological injury < NEUROLOGY, Neurological pain < NEUROLOGY |
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Manuscripts

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3 **Factors predicting the transition from acute to persistent pain in people with ‘sciatica’-the**
4 **FORECAST longitudinal prognostic factor cohort study protocol**
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Abstract

Introduction: Sciatica is a common condition and is associated with higher levels of pain, disability, poorer quality of life, and increased use of health resources compared to low back pain alone.

Although many patients recover, a third develop persistent sciatica symptoms. It remains unclear, why some patients develop persistent sciatica as none of the traditionally considered clinical parameters (e.g., symptom severity, routine magnetic resonance imaging) are consistent prognostic factors.

The FORECAST study will take a different approach by exploring mechanism-based subgroups in patients with sciatica and investigate whether a mechanism-based approach can identify factors that predict pain persistence in patients with sciatica.

Methods and analysis. We will perform a prospective longitudinal cohort study including 180 people with acute/subacute sciatica. N=168 healthy participants will provide normative data. A detailed set of variables will be assessed within 3 months after sciatica onset. This will include self-reported sensory and psychosocial profiles, quantitative sensory testing, blood inflammatory markers and advanced neuroimaging. We will determine outcome with the sciatica bothersomeness index and a numerical pain rating scale for leg pain severity at 3 and 12 months.

We will use principal component analysis followed by clustering methods to identify subgroups.

Univariate associations and machine learning methods optimised for high dimensional small datasets will be used to identify the most powerful predictors and model selection/accuracy.

The results will provide crucial information about the pathophysiological drivers of sciatica symptoms and may identify prognostic factors of pain persistence.

Ethics and dissemination: The FORECAST study has received ethical approval (South Central Oxford C, 18/SC/0263). The dissemination strategy will be guided by our patient and public engagement activities and will include peer-reviewed publications, conference presentations, social media and podcasts.

Registration: ISRCTN18170726

Keywords: sciatica, radiculopathy, radicular pain, prognosis, neuropathic pain

Article summary

Strength and limitations

- This study has the potential to advance our understanding of the heterogeneity of pathomechanisms in people with sciatica and to identify factors that predict pain persistence.
- This dataset will include the largest deeply phenotyped ‘sciatica’ cohort to date.
- Harmonisation with the PAINSTORM consortium will afford integration of the FORECAST cohort into a much larger dataset of neuropathic pain.
- The large amount of data points collected for a modest cohort size will pose challenges for analyses and will require dimensionality reduction techniques
- Patient recruitment will be challenging given the time intensive phenotyping protocol. This may lead to recruitment bias.

Introduction

Low back pain (LBP) is associated with more disability than any other condition.¹ Up to 60% of patients with LBP also experience leg pain, which is associated with worse health outcomes. In some cases, the leg pain is caused by nerve root involvement, commonly referred to as ‘sciatica’. Whereas some patients with ‘sciatica’ have pain of predominantly nociceptive character, others develop neuropathic (nerve related) pain, which is characterised by burning pain, electric shocks or tingling. The presence of neuropathic pain in sciatica further increases suffering and disability.² The management of sciatica is therefore a priority. The NICE guidelines recommend a period of non-invasive treatment (e.g., medication, physiotherapy) before invasive treatment (e.g., surgery) is considered.³ Sadly, first line management for patients with sciatica remains largely ineffective^{4 5} and at least one third develops persistent pain and disability lasting a year or longer.⁶⁻¹⁰

It remains unclear why some patients develop persistent sciatica. Two recent systematic reviews have established that none of the traditionally considered clinical parameters (e.g. pain intensity, routine magnetic resonance imaging [MRI], mental wellbeing) are consistent prognostic factors.^{11 12} Since those publications, the largest prognostic study in patients with sciatica in primary care⁸ identified several factors that are weakly associated with improvement, these included shorter pain duration, belief that symptoms will not last long, myotomal weakness, overall impact of sciatica. However, at 12 months, only two factors were independently associated with outcome in the multivariable model analysis. This restricts the usefulness of predictive modelling for risk estimation of outcome for individual patients. The absence of prognostic factors hinders the early identification of patients at risk of developing persistent pain and prevents personalised treatments.

These challenges in management and risk prediction are partly attributed to a lack of understanding of the pathomechanisms at play in sciatica. Sciatica is a heterogeneous condition likely caused by differing mechanisms in individual patients,¹³ which are potentially amenable to targeted treatment. In the field of neuropathic pain, mechanism-based stratification using deep phenotyping has been advocated to facilitate personalised pain management.¹⁴ In contrast to traditionally used methods that quantify the severity of the disease with a limited battery of basic clinical measures (e.g., routine MRI scans, symptom severity basic questionnaires), a mechanism-based approach aims to stratify patients by the distinct underlying mechanisms. It has been suggested that the nature of the pathomechanisms at play in patients with pain may influence treatment outcome and prognosis.¹⁴⁻¹⁶ The utility of such a mechanism-based approach in predicting pain persistence in people with sciatica remains unknown.

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3 The FORECAST study will examine the value of a mechanism-based deep phenotyping approach
4 including main domains assessing nerve function, nerve structure, inflammation and psychosocial
5 factors.
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8 The aims of the FORECAST study are:

- 9
10 1. To explore mechanism-based subgroups in patients with acute/subacute sciatica.
11
12 2. To investigate whether a mechanism-based approach can identify factors that predict pain
13 persistence in people with sciatica.
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17 18 Methods

19 The FORECAST study is a prospective longitudinal prognostic factor cohort study that is based on
20 feasibility data and closely informed by patient and public involvement and engagement (PPIE)
21 activities including feedback from our named patient partners, six-member patient advisory group,
22 and survey results from participants of the feasibility study. The study will be performed and reported
23 according to the guidance for observational studies (STROBE)¹⁷ and the statement for transparent
24 reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD).¹⁸
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31 32 Participants

33 We will include n=180 patients with acute/subacute 'sciatica' and n=168 healthy age and gender
34 matched participants without symptoms of sciatica/low back pain. Healthy participants are important
35 to establish normative values for blood markers, somatosensory profiling and neuroimaging.
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39 People aged >18 years with a clinical diagnosis of 'sciatica' will be recruited from primary care in
40 Oxfordshire (e.g., primary care NHS providers as well as GP, Physiotherapy, Osteopathy and
41 Chiropractor clinics) and through leaflets on public noticeboards. Sciatica symptom onset of the current
42 episode needs to be within the past three months with a symptom free period of at least 3 months
43 preceding the current sciatica symptoms. The inclusion criteria for patients with 'sciatica' are based on
44 a published diagnostic model¹⁹ which includes 5 weighted parameters (self-reported sensory changes,
45 below knee pain, leg pain worse than back pain, neurodynamic tests, neurological deficit). A sum score
46 >4 will be defined as sciatica, with a mean predicted probability of 83%. In addition, patients with
47 suspected sciatica will undergo a clinical examination by a physiotherapist to further confirm the
48 diagnosis of sciatica and rule out other diagnoses (see additional phenotypic data below).
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54 The following exclusion criteria will apply; presence of other nerve-related disorders (e.g. diabetic
55 neuropathy, stroke), previous lumbar spine surgery, serious spinal diseases (e.g. infection, cauda equina
56 syndrome, metastatic lesions), chronic inflammatory disorders, other pain conditions that may confound
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3 assessment (e.g., fibromyalgia), pregnancy, insufficient command of the English language to obtain
4 consent/complete questionnaires, and contraindications to MRI for those selected for scanning.
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6 7 **Study procedure**

8 After a preliminary eligibility screen on the phone (Figure 1), patients will attend a baseline appointment
9 with a clinically trained investigator (e.g, physiotherapist) at the local University Department. During
10 the baseline appointment, the diagnosis of sciatica will be confirmed, and the prognostic variables will
11 be assessed through a detailed set of clinical phenotyping as described below. Some patients will also
12 undergo an MRI scan of their lumbar spine. We will then follow up patients over 1 year with monthly
13 pain diaries (Appendix 1) and outcome will be measured at 3 (short-term) and 12 months (long-term).
14 Published sciatica trajectories suggest that most improvement occurs within the first 3-4 months with
15 little change up to 36 months.²⁰ Our time points should therefore give a comprehensive idea about short
16 and long-term outcome, and are similar to other longitudinal sciatica cohorts thus facilitating cross-
17 comparison.⁸
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27 **Outcome measures to define pain persistence**

28 The final selection of our outcome measures has been guided by our patient advisory group and
29 feedback from participants in the feasibility study. Pain persistence will be defined with the Sciatica
30 Bothersomeness Index²¹ and a numerical pain rating scale (0 no pain to 10 worst pain imaginable,
31 primary outcomes). The Sciatica Bothersomeness Index (SBI) includes elements of leg pain as well as
32 sensory and motor disturbances, thus providing a comprehensive measure of different sciatica
33 symptoms. This index has shown good discrimination between self-reported successful and non-
34 successful outcome in patients with sciatica²² and has been favoured by our patient advisory group. In
35 our feasibility study both outcome measures identified 38% of participants who developed persistent
36 pain, which is in line with previous reports.⁹ In line with recommendations, we will use continuous
37 outcomes for statistical analyses. We may use dichotomisation to help data presentation in
38 figures/tables. In this case, we will use a cut-off of >6.5 on the SBI , which has good validity to identify
39 patients with unsuccessful sciatica outcome.²²
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47 We may also run analyses using secondary outcomes (e.g., disability using Oswestry Disability Index
48 (ODI 2.1a)²³, self-perceived change using global rating of change scale (GROC)²⁴).
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53 **Primary mechanism-based prognostic variables**

54 **1) Self-reported sensory profiling**

55 See Table 1 for questionnaires. The Neuropathic Pain Symptom Inventory (NPSI) and PainDETECT
56 will be used to determine sensory symptom clusters as previously reported.²⁵ Patients will be instructed
57 to report the localisation of pain, paraesthesia and hypoesthesia on separate body charts by means of
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pen-on-paper pain drawings (A4 sheets including ventral and dorsal view of female or male body). All drawings will be digitised and analysed using online software (<https://syp.spslab.ch>). The derived variables (i.e. extent and location) will be used to describe the symptoms associated with sciatica at the baseline. These have been shown to provide clues about central sensitisation^{26,27} and may predict clinical outcome in other conditions.^{28,29}

2) Somatosensory profiling

There is preliminary evidence that some quantitative sensory testing (QST) parameters may be prognostic in patients with a range of pain conditions including neuropathic pain.^{15,16} The standardised and validated QST battery developed by the German Network for Neuropathic Pain (DFNS) will be used to reliably determine sensory function in different nerve fibres. Cold and warm detection thresholds (CDT, WDT; average of three repetitions) as well as cold and heat pain thresholds (CPT, HPT, average of three repetitions) and thermal sensory limen (TSL) including paradoxical heat sensations during three series of alternating cold and warm stimuli will be examined with a ThermoTester (Somedic, Sweden, 25x50mm thermode). Mechanical detection thresholds (MDT) will be measured with von Frey hairs and mechanical pain thresholds (MPT) with weighted pin-prick stimulators (geometric mean of five series of ascending and descending stimuli). Mechanical pain sensitivity (MPS) will be examined with a numerical pain rating scale (0-100) during a shortened protocol of two sets of seven pseudo-random pin-prick stimulations.³⁰ To determine the presence of allodynia, two sets of three light touch stimulations with a cotton wisp, a cotton wool tip, and a standardized brush (Sense-lab) will be intermingled with these pin-prick stimulations. Pressure pain thresholds (PPT) will be evaluated with a manual algometer (Wagner Instruments, USA) and vibration detection threshold (VDT) with a Rydel Seiffer tuning fork (average of three repetitions). The wind-up ratio (WUR) will be determined as the mean numerical pain rating of three trains of 10 pin-prick stimuli divided by the mean rating of three single stimuli.

A shortened QST battery will first be conducted on the hand ipsilateral to the (most) symptomatic leg (CPT, HPT and MPT on dorsum of hand; PPT over thenar eminence) to determine the presence of widespread hyperalgesia. The full QST protocol will then be performed in the area of maximal pain in the affected leg where previous work has shown QST changes in patients with 'sciatica'.³¹

We will use healthy control data to calculate Z-scores, where each individual parameter is related to its region-, age- and gender specific reference range. We will collect our own normative data, assisted by the provision of an existing QST dataset.³² Using a previously published algorithm¹³, patients will also be assigned one of the following somatosensory profiles 1) sensory loss 2) thermal hyperalgesia 3) mechanical hyperalgesia.

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3 Further, we will include a conditioned pain modulation (CPM) paradigm to examine the efficacy of the
4 descending pain modulatory system. Such dynamic QST protocols have shown most promising
5 prognostic ability in other pain conditions.^{15 16} Based on current recommendations³³, we will evaluate a
6 sequential CPM paradigm using PPT over the thenar eminence of the dominant hand (test stimulus,
7 average of 3 repetitions) and cold-water immersion of the non-dominant hand to the level of the wrist
8 (conditioning stimulus). This combination has provided the most reliable and large magnitude CPM
9 effects.³⁴ The water bath will be standardized to $4^{\circ}\text{C} \pm 2^{\circ}\text{C}$ by adding ice. Patients are asked to report
10 the intensity of pain experienced by cold water immersion from 0 (no pain) to 100 (worst pain
11 imaginable). Once the pain reaches the cut-off of $>40/100$, or after a maximum of two minutes if this
12 cut-off is not reached,^{33 35} the participants will be asked to remove the hand from the water bath. The
13 test stimulus will be repeated immediately thereafter. Cold water immersion is the most used CPM
14 conditioning stimulus, is easy to implement and seems to be the most effective CPM paradigm.^{36 37} PPT
15 measurements are convenient, quickly measured and frequently used as a test stimulus.³⁸ A good to
16 excellent intra-session reliability for CPM assessment with PPTs has been reported.^{37 39}

27 **3) Psychosocial profiles**

28 There is a large body of evidence supporting the role of psychosocial factors in the persistence of pain
29 and disability.^{40 41} Therefore, we will assess psychosocial factors to examine their prognostic value in
30 sciatica. The selection of specific measures of psychosocial factors drew upon existing evidence for
31 their predictive utility in the context of other pain conditions, their theoretical relevance, and their
32 psychometric properties including content validity.⁴² We will have a two-level approach to assessment
33 that includes general or “transdiagnostic” psychosocial factors and condition/sciatica-specific factors
34 (Table 1). The transdiagnostic factors include symptoms of depression and general anxiety, sleep
35 disturbance, and fatigue (all measured with their respective PROMIS SF8a tools⁴³), trauma history,
36 pain-related worry (“Pain Catastrophizing Scale”)⁴⁴ and personality (Ten Item Personality Inventory⁴⁵).
37 In addition to transdiagnostic psychosocial risk factors, we have included several measures of potential
38 protective factors (ie, optimism, State Optimism Measure⁴⁶; social support, PROMIS SF4a instrumental
39 and emotional Support; and social role participation, PROMIS SF8a) to provide a more holistic
40 assessment. To assess cognitions specific to the context of sciatica, we developed a novel item set that
41 was primarily adapted from the revised Illness Perception Questionnaire (Appendix 2).⁴⁷ Patient
42 partners provided extensive feedback to develop and refine the sciatica-specific adaptation of these
43 items. We have also included a measure of stigma⁴⁸ in relation to sciatica.

55 **4) Blood inflammatory markers**

56 We will sample blood by cubital venepuncture into BD Vacutainer SST and serum clot activator tubes
57 (gold and red cap, BD, Wokingham United Kingdom). The time of last meal will be recorded. Thirty
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3 minutes after venepuncture, the blood will be centrifuged at 1.3g for 10 minutes at 4°C (gold cap for
4 protein analysis) and at room temperature (red cap tubes for metabolomics). The serum fraction will be
5 immediately aliquoted and stored at -80°C for batch processing.
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9 We will use complimentary protein/metabolomics analysis to evaluate serum inflammatory markers
10 related to inflammation and neuropathic pain. Protein analysis will utilise a custom-made electro-
11 chemiluminescent multiplex biomarkers assays (MSD) available at Oxford. These plates contain 17
12 cytokines/chemokines including candidates of interest derived in our previous work (e.g., IL-4, IL-9,
13 IL-6).⁴⁹ Patient samples will be run in duplicate and normalised to standard curves.
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19 Metabolomic analyses will be carried out using a state-of-the-art, high-field 700 MHz NMR
20 spectrometer equipped with TCI cryoprobe (Department of Chemistry, University of Oxford), as
21 previously described.⁵⁰ Quality control samples will be randomly spread throughout the run for
22 standardisation and internal reference standards will allow absolute concentrations of inflammatory
23 markers (N-acetylated glycoprotein species, serum lipoproteins,) along with energy and TCA-cycle
24 metabolites to be determined.
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30 Additional phenotypic data

31 *Demographics and medical information*

32 We will also collect basic demographic data (e.g., age, gender, ethnicity, profession, working status,
33 perception of household income, years of school attendance) and medical information (e.g., most
34 affected side, previous history of back pain or sciatica, number of previous episodes, duration of
35 current episode, family history of pain, current and past medical history including current and past
36 medications and their effectiveness, trialled treatments, results of previous imaging, smoking and
37 alcohol intake, Appendix 3).
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44 *Clinical Examination*

45 We will also perform a clinical examination (Appendix 4). We will document height, weight and
46 hip/waist circumference. We will record findings from a bedside neurological screening examination
47 of the lower limbs. This includes myotomal testing from lumbar levels L2-S1, patellar and achilles
48 tendon reflexes, as well as mapping of sensory loss to light touch and pin prick on body charts. We
49 will check for upper motor neurone signs (exclusion criteria) using Hoffmann's test, Babinski,
50 inverted supinator sign and observation of tandem walk.⁵¹ Patients will go through a warning sign
51 checklist for suspected cauda equina syndrome (exclusion criteria).⁵²
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54 We will perform the straight leg raise and slump test as well as femoral slump if indicated (e.g.,
55 presentation suggesting upper lumbar involvement).⁵³ These tests for nerve mechanosensitivity will
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3 be deemed positive if they 1) reproduce at least partially the patients' symptoms and 2) if structural
4 differentiation through either foot dorsiflexion or cervical flexion changes the symptoms.⁵⁴ We will
5 further record the presence of lumbar shifts, active range of motion restrictions in lower back and hip
6 including whether these movements provoke back or leg symptoms. Pain provocation upon posterior
7 anterior intervertebral movement palpation of the lumbar segments L1-L5 will be recorded (Grade IV
8 unless pain provocation occurs earlier).

9
10 At the end of the baseline appointment, the assessor will rate the certainty of neuropathic leg pain as
11 unlikely, possible, probable or definite according to the updated neuropathic pain grading system.⁵⁵
12 They will also assign patients to one or several of the following subgroups described elsewhere⁵⁶:
13 radiculopathy (true neurological deficit), radicular pain, neural mechanosensitivity or somatic referred
14 pain.

21 ***Self-reported questionnaires***

22 We will also collect the following additional questionnaires to describe our patient population: ODI⁵⁷
23 (separate questionnaires for back and leg), Keele Start Back tool,⁵⁸ EQ-5D,⁵⁹ and a monthly pain diary
24 (Appendix 3).

28 ***Magnetic resonance neurography (MRN)***

29 We will perform MRN in a subset of n=100 patients with sciatica and n=44 healthy matched controls
30 to identify moderate effects²³ (d=0.52, alpha=0.05, 80% power). Eligible patients (e.g., MRI safety)
31 will be consecutively recruited for scanning until numbers are reached.

32 We will perform advanced MRN optimised to visualise lumbar nerve root macro- and microstructure
33 at 3 Tesla using a dedicated 18-channel phased array spine coil (Siemens, UK). The protocol includes
34 multi-shell (b=700 and 1500 s/mm²) DTI scans, high resolution anatomic scans with optimised T1 and
35 T2 weighted contrasts, and a T2 mapping scan (Appendix 5). The data analysis will be performed using
36 FSL tools including TOPUP⁶⁰ ⁶¹ and EDDY⁶²⁻⁶⁴ for the correction of images' distortions and subject
37 movements, DTIFIT⁶⁵ for the fitting of diffusion tensor model, and FLIRT⁶⁶ ⁶⁷ for the registration of
38 diffusion metrics and anatomic images. Measures including fractional anisotropy, mean/axial/radial
39 diffusivity and T2 maps will be obtained within regions of interest in lumbar nerve roots (affected and
40 unaffected sides) and averaged over multiple slices as we have optimised before.⁶⁸

51 **Cohort harmonisation**

52 The FORECAST cohort is harmonised with the Advanced Pain Discovery Platform funded
53 PAINSTORM consortium, and therefore includes additional measures that will allow data integration
54 (e.g., blood collection for genetic analyses, skin biopsies in the maximal pain area, DN4,⁶⁹ Michigan
55 Neuropathy Screening Instrument,⁷⁰ Chronic pain grade,⁷¹ Brief pain inventory⁷² (pain intensity
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3 items), a section where patients can tell us more about their pain and circumstances in their own
4 words including how they would describe their pain to their friends/family or work colleagues, as well
5 as their feelings about their financial situation and its impact on their situation. This harmonisation
6 may also enable external validation of the FORECAST findings in other neuropathies.
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10 Data analysis plan

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12 Statistical methods will follow STROBE guidelines¹⁷ and the TRIPOD statement for transparent
13 reporting of a multivariable prediction model for individual prognosis or diagnosis.¹⁸

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15 Participants' baseline characteristics (e.g., demographics, disability using (ODI), medical co-
16 morbidities) and their clinical course (primary and secondary outcomes, ODI) will be described for
17 short (3 months) and long-term time-points (12 months).
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22 To identify and characterise mechanism-based subgroups in patients with acute/subacute sciatica and
23 use distance-based clustering algorithms efficiently we first need to address the high dimensionality –
24 modest sample size of the dataset. Thus, we will first carry out a Principal Component Analysis (PCA)
25 to summarise and reduce the dimensionality of the dataset while preserving as much variability as
26 possible. Then we will use algorithmic centroid (k-means) and hierarchical clustering based on the
27 Euclidean distance between principal dimensions to identify sub-groups of patients sharing high
28 phenotypic similarities. The optimal number of clusters will be determined using the gap statistic and
29 the elbow of the within/between clusters variance plot. Consequently, we will perform hypothesis
30 testing to assess group differences on the original variables between participants assigned to different
31 clusters. All omnibus tests will be followed-up by the appropriate post-hoc test.
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40 To investigate factors that predict pain persistence in people with sciatica we will use variable selection
41 techniques followed by predictive modelling. First, we will perform filtering of the original variables
42 by calculating the univariate associations (coefficients, 95% CI, p-values) between variables and the
43 outcome and between each other. We will select a subset of uncorrelated variables that are associated
44 with the outcome and use them as input features in machine learning algorithms for high dimensional,
45 small datasets that will allow us to identify the most powerful predictors and assess model
46 selection/predictive accuracy. During pre-processing, missing data will be examined, the mechanism of
47 missingness will be inferred using hypothesis testing and visually assessed using a matrix of boxplots
48 for all pairs of variables and the outcome, and if appropriate multiple imputation by chained equations
49 will be used. Drawing from machine learning techniques for high dimensional small datasets we will
50 use re-sampling and validation in the form of repeated cross-validation to perform a complete variable
51 profiling to identify the most powerful predictors. Multivariate Adaptive Regression Splines (MARS)
52 with built-in feature selection and Decision Tree models known to work well on low sample sizes will
53 be trained to predict the 3-month and 1-year outcome. Model performance will be estimated using 5-
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3 times repeated 10-fold cross-validation and compared to models trained on surrogate data.⁷³ The latter
4 benchmarking technique is appropriate for small datasets, where holding out a subset of data before the
5 analysis to be used as a pseudo-independent test set is impossible. Instead, an artificial – surrogate
6 dataset, preserving the descriptive statistics but not any of the potentially real associations between the
7 variables and the outcome of the original dataset, will be created and the performance of models trained
8 on the actual and surrogate dataset will be compared. Models' predictive performance will be reported
9 alongside variable importance rankings. Model selection will be done to maximise the Mathews
10 Correlation Coefficient for dichotomised outcomes and to minimise the Root Mean Square Error
11 (RMSE) for continuous outcomes during cross-validation. Scalar metric estimations of predictive
12 performance including accuracy (binomial test p-value against the majority class prevalence), balanced
13 accuracy and the area under the precision/recall curve will be reported alongside their 95% CI. Predictor
14 importance will be assessed using model specific techniques, i.e., the reduction in performance
15 estimated by cross-validation when each predictor is removed for MARS and node impurity for tree-
16 based methods. Variables' influence on the predicted outcome both at the global and individual level
17 will be quantified by the Partial Dependence Plots and Individual Conditional Expectation⁷⁴
18 respectively. These will show the average marginal effect on the prediction given a certain value of a
19 predictor variable and provide model interpretability.
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34 Sample size estimation

35 **QST sensory profiles:** Published sample size guidelines for QST clustering in peripheral nerve injury⁷⁵
36 suggest that for strong effects (effect size = 0.7) a sample size of <180 patients will produce a
37 subpopulation with thermal and mechanical hyperalgesia large enough to conduct a study with 80%
38 power, at an alpha 0.05. To calculate QST z-scores, at least 8 controls are required for each area and
39 age decade.⁷⁶ Our feasibility study included patients of 7 age decades with 3 main pain areas. We will
40 therefore need n=168 controls.
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45 **k-means and hierarchical clustering after PCA:** Using the 2 first principal dimensions for 3 variable
46 domains (self-reported profiling, QST, inflammatory markers) we will need $2^6=64$ patients to perform
47 k-means clustering with adequate power.⁷⁷
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50 **Algorithmic cluster analysis:** Assuming k=4 clusters, we will be able to identify moderate effects
51 (effect size = 0.25) with an one-way ANOVA between 4 groups at an alpha level of 0.05, 80% power.
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53 **Predictor profiling:** FORECAST aims to identify prognostic factors (the first step in the PROGRESS
54 framework⁷⁸) rather than developing a clinical prognostic tool or individual risk model which requires
55 much larger sample sizes. We will use robust algorithms that include feature selection, and we will
56 assess model performance using methods developed for small datasets and robust metrics. As this part
57 is an exploratory analysis that could shape future hypotheses and validation studies, our sample size is
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adequate. Given the anticipated sample size ratios with chronic ($180 \times 30\% = 54$) and resolved sciatica ($180 \times 70\% = 126$) and accounting for 15% attrition (see feasibility study), we will be able to identify moderate effects (effect size = 0.5) using a two-tailed Wilcoxon-Mann-Whitney test (power 81%, alpha 0.05).

Ethics and dissemination

The FORECAST study has received ethical approval (South Central Oxford C, 18/SC/0263). All participants will provide informed written consent before participating in the study.

The dissemination strategy will be strongly guided by our PPIE activities (see below). This will be based on co-productions between patient partners and academics and will involve publication of findings in scientific journals, presentations at conferences, media pieces (mainstream and social media) as well as communication through charity partners.

Data will be made publicly available on the ALLEVIATE data hub (<https://alleviate.ac.uk>) and remaining bio-samples will be on-boarded to the Imperial Biobank. The data and samples will continue to be linked and will be available for future studies.

Patient and Public Involvement and Engagement (PPIE) and Dissemination of Findings

The FORECAST team consists of equal partners including patient partners, clinicians and researchers. Our aims have been shaped by the needs of people living with sciatica to ensure we address unmet needs. The PPIE plans will be shaped by the following members of FORECAST: 1) Inclusion of two patient partners as co-investigators (CR, CP). They will contribute as equal partners on the investigator team. 2) PPIE lead with extensive experience in involving patients' voices in research (KRM). 3) diverse patient advisory group (PAG) consisting of six individuals with a lived experience of sciatica. Our patient partners and PAG provided early input to the original grant application and identification of key research activities within the project, particularly around including the feasibility work (e.g., acceptability of testing and study procedures), study design (e.g., selection of primary outcome measure) and strongly informed the writing of our funding application (e.g., lay summary). We will continue to work closely with people with lived experience of sciatica as we undertake this study, and our PPIE strategy will continue to be implemented throughout the lifetime of FORECAST. We will seek the perspective and guidance of our patient partners and PAG members on matters including, but not limited to participant recruitment and retention; barriers/facilitators of participation among seldom heard populations; data analysis and sensemaking of findings, organisation and co-

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3 production of workshops, dissemination materials, and public engagement activities. This will ensure
4 that the patient perspective has been considered at all stages throughout the project.

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6 We will also work closely with our patient partners and advisors on engagement and dissemination
7 activities. This may include, but is not limited to, co-producing newsletters, lay summaries, website
8 content, infographics, animated videos, and podcasts, as well as engagement activities to bring the
9 project into a public sphere. We plan to work closely with the PAINSTORM research team and
10 patient partners, as well as other national and international pain and sciatica groups to promote the
11 study and its subsequent findings. This would allow us to reflect on the way the conclusions are
12 presented and identify any gaps which might lead to further research in the topic area. We also plan to
13 hold conversations with our patient partners and PAG regarding planning and undertaking academic
14 dissemination activities (e.g., engagement with policy stakeholders, conference
15 abstracts/presentations, manuscript preparation/publication). All individuals who contribute to this
16 PPI advisory group will receive payment in accordance with current INVOLVE guidelines.
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Author contributions:

ABS has conceived the FORECAST study and acquired funding with the support of all FORECAST collaborators. LR is coordinating the FORECAST study and SK is supporting data collection. LH coordinated the feasibility study. FP assisted in the design of the blood marker analysis and will perform all metabolomics data acquisition, processing, and analyses. WS and GC led on the psychosocial profiling. MT, SC, DN, and SA contributed to the development of the MRI sequences and/or will perform MRI-related analyses. CP, CR and KM are responsible for the PPIE. BT has provided input into the QST assessment and will provide normative QST datasets. MB will provide the SYP body diagram software and run the pain drawing analyses. JF will provide clinical oversight. GB performed sample size analyses and will be responsible for overall data integration and analysis. All authors have contributed to the study design and write up of the protocol and approved the final version.

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5
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10 NIHR or the Department of Health and Social Care
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17 **Data statement:** Data will be made publicly available on the ALLEVIATE data hub
18 (<https://alleviate.ac.uk>) and remaining bio-samples will be on-boarded to the Imperial Biobank. The
19 data and samples will continue to be linked and will be available for future studies.
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25 **Competing interest statement:** The authors declare no competing interests.
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Table 1: Questionnaires

| Questionnaires | | FORECAST patients | | Healthy volunteers | PAINSTORM DATASET |
|-----------------------------|---|-------------------|-----------|--------------------|-------------------|
| | | BASELINE | FOLLOW UP | BASELINE | EXTENDED |
| | *primary outcome | | | | |
| | **secondary outcome | | | | |
| FORECAST outcomes | Sciatica Bothersomeness Index (SBI) ²¹ | X | X* | | |
| | Numerical Pain Rating Scale - previous 2 weeks (worst, least, average for leg /back pain) | X | X* | | |
| | Global Rating of Change Scale | | X** | | |
| Neuropathy/Neuropathic Pain | PainDETECT ⁷⁹ | X | X | | X |
| | Neuropathic Pain Symptom Inventory (NPSI) ⁸⁰ | X | X | X | X |
| | DN4 ⁶⁹ | | X | | X |
| | Michigan Neuropathy Screening Instrument (MNSI) ⁷⁰ | | | | X |
| Pain location, severity | Pain location - list of sites, body chart | X | X | X | X |
| | Monthly pain diary | X | X | | |
| | Chronic Pain Grade (CPG) ⁷¹ | | | | X |
| | Brief Pain Inventory (BPI) ⁷² | | | | X |
| Disability | Oswestry Disability Index (ODI 2.1a) ⁵⁷ – Leg Pain | X | X** | | |
| | Oswestry Disability Index (ODI 2.1a) ⁵⁷ – Low Back Pain | X | X | | |
| | Oswestry Disability Index (ODI 2.1a) ⁵⁷ - combined leg and back pain | | | X | |
| Risk | Keele STarT Back tool ⁵⁸ | X | | | |
| Lifestyle | International Physical Activity Questionnaire (IPAQ, long version) ⁸¹ | X | X | X | X |

| | | FORECAST patients | | Healthy volunteers | PAINSTORM DATASET |
|-----------------------------|--|--|-----------|--------------------|-------------------|
| | | BASELINE | FOLLOW UP | BASELINE | EXTENDED |
| Quality of life | EQ-5D-5L (v1.2) ⁵⁹ | X | X | X | X |
| Psychosocial Questionnaires | PROMIS SF8a – Ability to participate in social roles and activities ⁴³ (v1.0) | X | X | X | X |
| | Pain Catastrophising Scale (PCS) ⁴⁴ | X | X | X | X |
| | PROMIS SF8-a – Depression and Anxiety ⁴³ (v1.0) | X | X | X | X |
| | Adverse Childhood Events (ACEs) (none, 1, 2, >2) | X | | X | X |
| | Prolonged hospitalisation for life threatening condition (yes/no) | X | | X | X |
| | PROMIS SF8a – Sleep Disturbance ⁴³ (v1.0) | X | X | X | X |
| | PROMIS SF8a – Fatigue ⁴³ (v1.0) | X | X | X | X |
| | PROMIS SF4a-instrumental support ⁴³ (v1.0) | X | X | X | X |
| | PROMIS SF4a – Emotional Support ⁴³ (v1.0) | X | X | X | X |
| | Ten Item Personality Index (TIPI) ⁴⁵ | X | | X | X |
| | State Optimism Measure (SOM-7) | X | X | X | X |
| | Illness Perception Questionnaire (IPQ-R) ⁸² | X | | | |
| | Sciatica Perception Questionnaire (SPQ) | X | X | | |
| | Stigma Scale for Chronic Illnesses (SSCI) - modified ⁴⁸ | X | X | | |
| | | “in your own words” (impact on social and financial situation) | | | |

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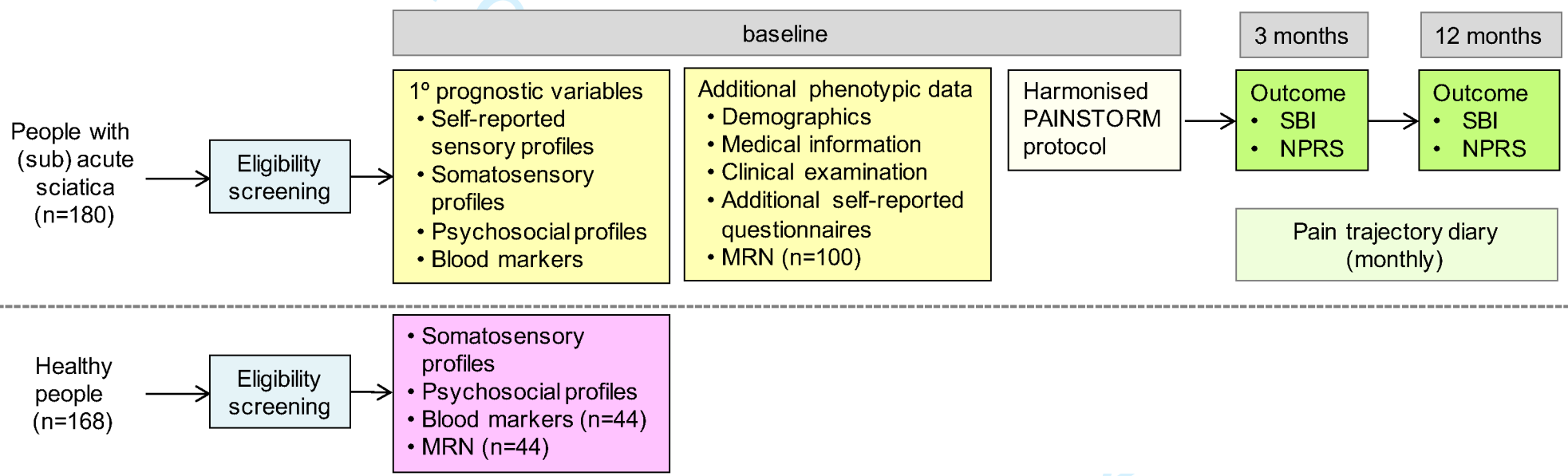
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3 **Figure legends:**
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6 **Figure 1: Study flow diagram**
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8 MRN: magnetic resonance neurography; SBI: sciatica bothersomeness index; NPRS: numerical pain
9 rating scale.
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Appendix 1: Pain trajectory Diary

ID _____
Date _____

Pain Trajectory Diary - FORECAST

Month:

Thank you for your continuing support of the FORECAST study. Please let us know below about your sciatica pain in the past 2 weeks.

| | No pain 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Worst pain imaginable 10 |
|---|--------------|---|---|---|---|---|---|---|---|---|-----------------------------|
| Sciatica leg pain | | | | | | | | | | | |
| In the last two weeks, at its worst, how intense was your sciatica leg pain? | | | | | | | | | | | |
| In the last two weeks, at its least, how intense was your sciatica leg pain? | | | | | | | | | | | |
| In the last two weeks, on average, how intense was your sciatica leg pain? | | | | | | | | | | | |
| Low back pain | | | | | | | | | | | |
| In the last two weeks, on average, how intense was your back pain? | | | | | | | | | | | |

Appendix 2: Sciatica Perception Questionnaire

Your views about your sciatica (SPQ)

We are interested in your own personal views of how you currently see your sciatica.

Please indicate how much you agree or disagree with the following statements about your sciatica by ticking the appropriate box.

| | Strongly disagree | Disagree | Neither agree nor disagree | Agree | Strongly agree |
|---|-------------------|----------|----------------------------|-------|----------------|
| I expect that I am going into old age with my sciatica | | | | | |
| I feel that my sciatica will last for a long time | | | | | |
| My sciatica is likely to be permanent rather than temporary | | | | | |
| I expect that the effect of my sciatica on day-to-day life will worsen over time | | | | | |
| My sciatica comes and goes | | | | | |
| I do not know how my sciatica will change in the future | | | | | |
| My sciatica is a burden to others | | | | | |
| My sciatica can put me in awkward and embarrassing situations | | | | | |
| I have the personal strength to manage my sciatica | | | | | |
| I avoid specific positions and/or movements due to fear of causing pain | | | | | |
| I avoid specific positions and/or movements due to fear of causing damage | | | | | |
| There is little that I can do to improve my sciatica myself | | | | | |
| There is something seriously wrong with my back/leg | | | | | |
| The cause of my sciatica has not been investigated properly | | | | | |
| I am concerned about possible adverse long term consequences of the treatment for my sciatica | | | | | |
| There is nothing that can help my sciatica | | | | | |
| I do not understand what is wrong with my back/leg | | | | | |
| My current treatment does not make sense to me | | | | | |
| I worry that I am not getting the right treatment for my sciatica | | | | | |
| I don't know what activities I can safely do with my sciatica | | | | | |
| It is so unfair that I have sciatica | | | | | |

Appendix 3: Demographic and Medical History Data

| Demographics | FORECAST substudy | | Healthy Volunteers | PAINSTORM DATASET |
|--|-------------------|-----------|--------------------|-------------------|
| | BASELINE | FOLLOW UP | BASELINE | EXTENDED |
| Age (yrs) | X | | X | X |
| Sex | X | | X | X |
| Years in education | X | | X | X |
| Working status* | X | | X | X |
| Household income** | X | | X | X |
| Ethnicity | X | | X | X |
| Medical history | | | | |
| History of sciatica (date of first episode, number of previous episodes) | X | | | |
| Duration of current sciatica episode (days) | X | | | |
| Is the leg pain worse than the back pain? | X | | | |
| Affected leg (left/right/both) | X | | | |
| Family history of chronic pain | X | | X | |
| Details of other medical diagnoses | X | | X | |
| Cauda equina screening questions | X | | | |
| Types of treatments received for sciatica to date | X | X | | |
| Types of tests/ investigations undertaken for sciatica to date | X | X | | |
| Relevant previous and current medication, including whether or not they are taken for sciatica | X | X | X | |
| Medications: efficacy, adherence | X | X | X | X |
| Tobacco and Alcohol intake | X | | X | X |

* Working status:

- In paid employment or self-employed
- Retired
- Looking after home and/or family
- Unable to work because of sickness or disability
- Unemployed
- Doing unpaid or voluntary work
- Full or part-time student
- None of the above
- Prefer not to answer

** Which of the descriptions below comes closest to how you feel about your household's income nowadays?

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- Living comfortably on present income
- Coping on present income
- Finding it difficult on present income
- Finding it very difficult on present income
- Do not wish to answer
- Don't know

For peer review only

Appendix 4: Clinical Examination

| Clinical Examination (Identical for people with sciatica and healthy volunteers apart from assessments indicated with *which are performed only on people with sciatica) | |
|--|--|
| Height (cm) | |
| Weight (kg) | |
| Waist circumference (cm) | |
| Hip circumference (cm) | |
| Myelopathy screening cluster | Tandem gait, inverted supinator sign, Hoffman's test, Babinski reflex |
| Neural mechanosensitivity* | straight leg raise, slump, femoral slump (where clinical picture indicates). Rated as negative or positive (at least partial symptom reproduction plus structural differentiation changes symptoms). |
| Lumbar spine active range of motion | flexion, extension, bilateral side flexion. Range recorded as full or restricted. Symptom provocation recorded as: none, leg, back, leg + back. |
| Palpation of lumbar spine* | Passive accessory intervertebral mobilisations (PAIVMS) over spinous processes L1-L5 centrally (to end of resistance if required). Symptom provocation recorded as none, leg, back, leg + back |

Appendix 5: MRI protocols

The MRN protocol includes multi-shell Diffusion Tensor Imaging (DTI) scans, high resolution coronal T1 and T2 weighted imaging, and T2 mapping scan, respectively.

- Multi-shell DTI consist of three coronal scans with three shots RESOLVE readout [56], TR/TE1/TE2=3430/46/81 ms, FOV = 256x256 mm², 2 mm isotropic spatial resolution, 26 slices, GRAPPA factor=2, and BW=1302Hz/Px. The first scan is acquired with b = 0 s/mm² and Left-Right (LR) phase encoding (PE) direction for the correction of susceptibility induced distortions. The Acquisition Time (TA) is 32 s. Each of the two other scans consist of 32 diffusion directions acquired with PE in RL direction and TA = 8min. The b-values are 700 s/mm² and 1500 s/mm², respectively.
- High resolution coronal T1 weighted images are acquired using a Turbo Spin Echo (TSE) sequence, TR/TE = 1050/11ms, FOV = 256x256 mm², 1 mm isotropic spatial resolution, 50 slices, 4 averages, GRAPPA factor = 2, Turbo Factor = 3, and TA = 6 min.
- High resolution coronal T2 weighted images are acquired using a TSE sequence, TR/TE = 4700/61ms, FOV = 256x256 mm², 1 mm isotropic spatial resolution, 50 slices, 4 averages, GRAPPA factor = 2, Turbo Factor = 15, with fat Saturation and TA = 9 min.
- Coronal multi-echo images are used to fit T2 maps. The acquisition parameters are: TR = 5700ms, TE = 13.8/27.6/41.4/55.2/69/82.8/96.6/110.4 ms, FOV = 256x256 mm², 1.3 isotropic spatial resolution, 40 slices, GRAPPA factor = 2, with fat saturation and TA = 9.5 min.