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## Less drugs and more care: A systematic review of cost-effectiveness of supportive care interventions for dementia --Manuscript Draft--

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<b>Short Title:</b>	Cost-effectiveness of supportive care interventions for dementia
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<b>Keywords:</b>	dementia; non-pharmacological interventions; supportive care; cost-effectiveness
<b>Abstract:</b>	<p><b>Background:</b> Almost 44 million people are currently living with dementia worldwide. This number is set to increase threefold by 2050, posing a serious threat to the sustainability of healthcare systems. Overuse of antipsychotic drugs for the management of the symptoms of dementia carries negative consequences for patients while also increasing the health expenditures for the society. Supportive care interventions could be considered a safer and potentially cost-saving option. In this paper we provide a systematic review of the existing evidence regarding the cost-effectiveness and cost-utility of SCIs that are targeted towards persons living with dementia and their caregivers.</p> <p><b>Methods:</b> A systematic literature review was performed between February 2019 and March 2020 through searches of major healthcare electronic databases, including the Cochrane Library and PubMed (MEDLINE). The search strategy was based on PRISMA recommendations. We distinguished between five categories of supportive care strategies: cognitive therapies, physical activity, indirect strategies (organisational and environmental changes), interventions primarily targeted towards caregivers, and multicomponent interventions.</p> <p><b>Results:</b> Of the 3,221 articles retrieved, 33 met the inclusion criteria. These studies analysed 29 supportive care programmes located at different stages of the care pathway for dementia. Ten studies provided evidence of high cost-effectiveness for seven interventions: a multicomponent intervention targeted towards nursing home residents; two cognitive stimulation and occupational programmes for community-dwelling persons with dementia; two indirect interventions; two interventions aimed at caregivers of community-dwelling persons with dementia.</p> <p><b>Conclusion:</b> We find that the most promising supportive care strategies in terms of cost-effectiveness are multicomponent interventions targeted towards nursing home residents, some forms of tailored occupational therapy and home care support services, together with some forms of psychosocial intervention for informal caregivers of community-dwelling persons with dementia. Our results suggest that the adoption of effective supportive care interventions may increase the economic sustainability of dementia care.</p>
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# 1 **Less drugs and more care: A systematic review of cost-effectiveness of supportive** 2 **care interventions for dementia**

3  
4 **Short title:** *Cost-effectiveness of supportive care interventions for dementia*

5  
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16

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18 Conceptualization: Angelica Guzzon, Vincenzo Rebba, Giovanni Boniolo

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32 **Keywords:** dementia; non-pharmacological interventions; supportive care; cost-effectiveness

33

## 34 **Abstract**

35 **Background:** Almost 44 million people are currently living with dementia worldwide. This number is set to  
36 increase threefold by 2050, posing a serious threat to the sustainability of healthcare systems. Overuse of  
37 antipsychotic drugs for the management of the symptoms of dementia carries negative consequences for  
38 patients while also increasing the health expenditures for the society. Supportive care interventions could be  
39 considered a safer and potentially cost-saving option. In this paper we provide a systematic review of the  
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41 living with dementia and their caregivers.

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45 supportive care strategies: cognitive therapies, physical activity, indirect strategies (organisational and  
46 environmental changes), interventions primarily targeted towards caregivers, and multicomponent  
47 interventions.

48 **Results:** Of the 3,221 articles retrieved, 33 met the inclusion criteria. These studies analysed 29 supportive  
49 care programmes located at different stages of the care pathway for dementia. Ten studies provided evidence  
50 of high cost-effectiveness for seven interventions: a multicomponent intervention targeted towards nursing  
51 home residents; two cognitive stimulation and occupational programmes for community-dwelling persons with  
52 dementia; two indirect interventions; two interventions aimed at caregivers of community-dwelling persons  
53 with dementia.

54 **Conclusion:** We find that the most promising supportive care strategies in terms of cost-effectiveness are  
55 multicomponent interventions targeted towards nursing home residents, some forms of tailored occupational  
56 therapy and home care support services, together with some forms of psychosocial intervention for informal  
57 caregivers of community-dwelling persons with dementia. Our results suggest that the adoption of effective  
58 supportive care interventions may increase the economic sustainability of dementia care.

59

60



## 61 **Introduction**

62

63 Globally, about 43.8 million people were living with dementia in 2016 [1], and this number is projected to  
64 triple by 2050 [2]. The substantial increase in the prevalence of dementia, mostly driven by demographic  
65 trends, poses significant challenges to health and social care systems, especially in terms of economic  
66 sustainability. The scale of the problem becomes even greater when we take into account the indirect costs  
67 related to caregivers of persons with dementia (PwDs). Caregiving exacts a toll on caregivers' mental,  
68 emotional, physical, and financial health [3]; caregivers are twice as likely to suffer from depression [4], they  
69 use more medication and make more doctor visits [5-6], they save less money, and up to 9% of caregivers need  
70 to quit their jobs [7]. Considering the setbacks suffered in the research on viable pharmacological treatments  
71 to counteract the progression of different types of dementia [8-11], the serious risks associated with using  
72 antipsychotic medications to treat the behavioural and psychological symptoms of dementia (BPSD) [12-14],  
73 and the high costs of overprescribing anti-dementia drugs [15], the development of effective non-  
74 pharmacological interventions to integrate or substitute the use of medications is of particular importance to  
75 increase both the effectiveness and the economic sustainability of dementia care.

76 Given this background, resource allocation could be enhanced by shifting from a standard approach—focused  
77 on containing the impact of distressing symptoms on patients through medications—to a more comprehensive  
78 approach based on the notion of person-centred care. This new approach would also follow the patient  
79 throughout the whole course of the disease by providing personalised care as well as support to patients and  
80 families. Defined as *supportive care* [16] and representing “a full mixture of biomedical dementia care, with  
81 good quality, person-centred, psychosocial, and spiritual care,” this approach must be extended throughout the  
82 course of the illness to guarantee the overall wellbeing of PwDs and their caregivers [17]. The term supportive  
83 care (SC) refers to a wide array of non-pharmacological interventions that encompass a broad and growing  
84 range of services that are delivered either to the patient, the caregiver, or the patient-caregiver dyad [18-19].  
85 This approach has been previously experimented in cancer care for addressing the clinical and psychosocial  
86 needs of patients in order to provide optimal quality of life [20] and in end-of-life care for non-cancer patients  
87 [21]. One of its key aspects is the decreasing reliance on medications that do not offer a sufficient benefit  
88 relative to the risks they pose in favour of novel non-pharmaceutical interventions [22]. In the case of PwDs,

89 SC is characterised by the continuous assistance of patients and their relatives from diagnosis until death, a  
90 holistic and interdisciplinary approach to care, and a high level of flexibility in choosing the right care practices  
91 for each case [23]. It is therefore evident that this definition of SC should not be confused with the one  
92 sometimes adopted in the cost-effectiveness literature, where the term “best supportive care” is used to denote  
93 care as usual or non-intervention.

94 A key feature of SC in all its stages is the central role of both formal and informal caregivers: the former are  
95 meant to have in-depth knowledge and competencies to deal with dementia patients, while the latter need to  
96 be recognised as indispensable players in dementia care, and both need to form and maintain collaborative  
97 relationships to guarantee high-quality care to patients [24]. In particular, support to caregivers could be  
98 considered a win-win solution, as it is beneficial for carers, patients, and the sustainability of healthcare  
99 systems [25]. On the opposite side of the spectrum, the unregulated use—and sometimes abuse—of  
100 antipsychotic drugs is a no-win situation, as it is detrimental for the health of the patient, and it puts a strain  
101 on the budget of healthcare systems [22]. In particular, regarding the management of behavioural problems in  
102 Alzheimer’s disease, Gauthier and colleagues suggest that non-pharmacological interventions (including  
103 psychosocial/psychological counselling as well as interpersonal and environmental management) should be  
104 attempted first, followed by the least harmful medication for the shortest time possible [26].

105 Over the last few years, the evidence base on the effectiveness of non-pharmacological interventions (in terms  
106 of cognitive functioning and the reduction of behavioural symptoms of PwDs) has grown considerably [18-  
107 19]. Conversely, evidence on the value for money of non-pharmacological and SCIs for PwDs and their  
108 caregivers is still scant, despite the growing need for health care systems to base resource allocation decisions  
109 on cost-effective intervention strategies.

110 In this paper, we provide a systematic review of the main evidence on the cost-effectiveness of non-  
111 pharmacological and supportive practices for dementia, which may represent a safer, effective, and possibly  
112 more efficient alternative to traditional interventions based on pharmacological control of the behavioural  
113 symptoms associated with dementia, with the bonus that both patient and caregiver can benefit from some of  
114 these interventions at the same time.

115 Previous systematic reviews have highlighted the scarcity of economic evidence on non-pharmacological  
116 interventions for PwDs [27-28] and their caregivers [29]. These reviews were focused mainly on interventions

117 for community-dwelling persons with mild to moderate dementia [30] and home support interventions [31]. In  
118 contrast, we try to offer an all-encompassing review of cost-effectiveness studies on non-pharmacological and  
119 psychosocial interventions that target PwDs, their caregivers (either formal or informal), or the patient-  
120 caregiver dyad, and which are located at different stages of the care pathway for dementia and in different  
121 settings. Moreover, our systematic review is more complete since it provides evidence on the cost-effectiveness  
122 of indirect interventions such as organisational changes and innovations in the delivery of care and support.

123

## 124 **Alternative strategies in dementia care: the increasing role of** 125 **supportive care interventions**

126

127 The global costs of Alzheimer's disease, the most prevalent subtype of dementia, and other dementias were  
128 estimated at US\$818 billion in 2015 (equivalent to 1.09% of the global gross domestic product). These costs  
129 overcame the threshold of US\$1 trillion in 2018 and are projected to double by 2030 [1,32]. Direct medical  
130 care represents the smallest share of the worldwide costs (19.5%), while direct social care and informal care  
131 contribute to the total in similar proportions (40.1% and 40.4%, respectively). The costs are concentrated in  
132 countries with higher income levels, and there is an imbalance between the global distribution of prevalence:  
133 58% of people with dementia live in low- and middle-income countries, while 86% of costs occur in high-  
134 income countries. This is explained by the lower per-person costs in low- and middle-income countries due to  
135 lower wage costs and a high proportion of care provided by informal unpaid carers. However, current estimates  
136 often overlook important components of the socio-economic burden of dementia, such as the cost of health  
137 care for caregivers (due to anxiety or depression as a result of caring for someone with dementia), reduced  
138 quality of life (QoL) for PwDs and their carers, and the hidden costs that stack up before a diagnosis of  
139 dementia is even made [33].

140 Dementia-related costs are driven by the increase in life expectancy and the increased prevalence of cognitive  
141 impairment. Since demographic change is reducing the economically active part of the population, this poses  
142 a serious threat to the sustainability of social and health care systems [32-35]. There is consequently an urgent

143 need to find cost-effective bundles of medical and social care interventions that meet the needs of PwDs and  
144 their caregivers.

145 Regarding current drug therapies for dementia, cholinesterase inhibitors provide small but clinically important  
146 symptomatic benefits on cognition and function for persons with Alzheimer’s disease, Lewy body dementia,  
147 and Parkinson’s disease dementia. Moreover, in persons with severe Alzheimer’s disease and for people with  
148 moderate disease who cannot tolerate cholinesterase inhibitors, memantine has been shown to have a small  
149 effect on cognition. However, these medications do not significantly change the course of illness and may  
150 provoke side effects [2, 8, 9], while only a limited number of ongoing clinical trials are currently investigating  
151 the viability of drugs directed at diverse therapeutic targets (e.g., amyloid and tau pathological processes,  
152 inflammatory pathways, or glial cells) [9-11].

153 Available medications for treating PwDs have been shown to enhance the QoL for both the patient and  
154 caregiver when prescribed at the appropriate time during illness. In particular, cholinesterase inhibitors—not  
155 only in the mild to moderate stage but also when symptoms become more severe—are more cost-effective than  
156 placebo and probably also cost-saving (by delaying the onset of institutionalisation), while the evidence in  
157 support of the cost-effectiveness of combination therapy (a cholinesterase inhibitor plus memantine) is less  
158 clear [36-37]. Conversely, there are potentially serious risks associated with using antipsychotic medications  
159 to treat BPSD [12-14], and there is no economic case for their use; moreover, there is no clinical or economic  
160 case for using antidepressant drugs to treat people with Alzheimer’s disease who have comorbid depression  
161 [37].

162 A problem with antipsychotic medications is that these drugs do not offer a sufficient benefit relative to the  
163 risks they pose [25]; in fact, high prescription rates of antipsychotic drugs are putting dementia patients at risk  
164 of death and other adverse events. For example, a UK study shows that patients who received an antipsychotic  
165 treatment for 12 months were significantly more likely to have died by the 24-month and 36-month follow-up  
166 periods compared to patients who had received a placebo [38], while other studies have found a link between  
167 the use of antipsychotic drugs in dementia patients and an increase in motor symptoms and the risk of stroke  
168 and chest infections. Moreover, in many instances, antipsychotics are unnecessarily used to treat patients with  
169 only mild symptoms that could be addressed in other ways [22]. Despite this evidence in conjunction with the

170 warnings and best practice guidelines that have followed [12-14], the use of antipsychotic drugs is still  
171 widespread.

172 Non-pharmacological/SC interventions have emerged in the past few years as alternative and safer strategies  
173 to address BPSD and to enhance the QoL of both PwDs and their caregivers [16-17]. These interventions  
174 encompass a broad and growing range of services that are delivered either to the patient, the caregiver, or the  
175 patient-caregiver dyad; they stem from a variety of disciplines and their aim is to positively influence cognition,  
176 mood, agitation, activities of daily living (ADLs), and other symptoms of dementia [18-19].

177 A unified classification of SCIs for dementia does not exist yet, but several classifications can be found in the  
178 literature. For instance, Cammisuli et al. distinguish between holistic techniques, brief psychotherapy,  
179 cognitive methods, and alternative strategies [39]; in contrast, D'Onofrio et al. distinguish between cognitive  
180 and emotion-oriented interventions, sensory and multi-sensory stimulation interventions, and other  
181 interventions [40]. The World Alzheimer Report 2011 [41] and Nickel et al. [30] classified non-  
182 pharmacological interventions into four categories: physical exercise, interventions to support and enhance  
183 cognitive abilities, psychological and behavioural therapies, and occupational therapy.

184 In this paper, we distinguish between five categories of non-pharmacological/SC strategies: 1) cognitive  
185 therapies; 2) physical activity interventions; 3) indirect strategies; 4) interventions primarily targeted towards  
186 caregivers; 5) and multicomponent interventions.

187 We classify as cognitive therapies all those methods that stimulate a patient's cognition and may also control  
188 BPSD in several ways, including the following:

- 189 • Cognitive stimulation therapy (CST) is an evidence-based rehabilitation technique to enhance residual  
190 cognitive abilities and functional skills and preserve implicit memory [42]; patients are involved in  
191 activities such as word association games, quizzes, number games, physical games, and creative  
192 activities [43];
- 193 • Occupational therapy has the primary focus of preserving patients' independence by improving their  
194 ability to perform ADLs and adapt to their living environment; it can also be administered in a home  
195 setting by trained caregivers [44];

- 196       • Reality orientation therapy (ROT), one of the most popular psychosocial interventions to manage  
197 dementia, has the main goal of spatially and temporally reorienting patients, but it also helps the patient  
198 to maintain social interaction [45-46];
- 199       • Reminiscence therapy encourages patients to recall and talk about past experiences and events in their  
200 lives, either in individual or group sessions, and with the aid of props like photographs and videos  
201 [47];
- 202       • Learning therapy is a combined form of cognitive training and stimulation (adopted especially in Japan  
203 and the U.S.), where instructors help patients to perform simple calculations or reading tasks with  
204 face-to face verbal communication [48];
- 205       • Art or music therapy entails the therapeutic use of art or music to provide a dementia patient with  
206 meaningful stimulation and improve her/his participation and level of self-esteem [49]; one example  
207 is the global music approach to dementia (GMA-D), a patient-tailored music intervention [50];
- 208       • Intergenerational activities are those in which children and people with dementia can interact with  
209 each other to improve the patients' social interaction and sense of purpose [51];
- 210       • Doll and plushie therapy is usually used on patients with advanced dementia and involves the patient  
211 in behaviours such as holding, cuddling, feeding and dressing dolls or plushies [52];
- 212       • Pet therapy consists of the interaction of patients with animals, including activities such as petting,  
213 feeding, and playing with dogs and other animals [53].
- 214 Physical activity interventions include walking groups, aerobic exercise, and resistance training. These  
215 interventions can produce health benefits for patients, such as decreasing the number of falls and improving  
216 sleep and mood [54-56].
- 217 Indirect strategies include organisational and environmental changes, together with innovations in the delivery  
218 of care and support:
- 219       • Dementia care management programmes are defined as interventions delivered in the community  
220 aiming to coordinate the treatment and care for PwDs with respect to their needs and the  
221 recommendations of evidence-based guidelines [57-59];

- 222 • Dementia Care Mapping (DCM) is an observational tool that assists in the delivery of better formal  
223 care to PwDs, allowing for the adoption of a person-centred care approach to improve the quality of  
224 care of dementia patients [60];
- 225 • The Managing Agitation and Raising Quality of Life (MARQUE) intervention, a manual-based  
226 intervention targeted at the staff of care homes, is designed to train them in the implementation of  
227 procedures to reduce agitation in dementia patients [61];
- 228 • Memory clinics are facilities that provide guidance, prescriptions, rehabilitation, and various non-  
229 pharmacological interventions to dementia patients [62];
- 230 • Group living interventions allow patients with a similar level of cognitive impairment to cohabite in a  
231 controlled environment [63].

232 Interventions primarily aimed at caregivers include the following:

- 233 • Respite care refers to any kind of arrangement that provides short-term relief to primary caregivers by  
234 providing the patient with an alternative source of care and supervision; adult day centres and nurse  
235 visits are both forms of respite care [64-65];
- 236 • Programmes for caregivers include any intervention, usually of a psychosocial nature, that is primarily  
237 aimed at the primary caregivers; examples are support groups, family meetings, and coping strategies  
238 [66-70].

239 Lastly, we classify as multicomponent interventions the protocols that combine two or more different  
240 interventions among those described above. Examples of multicomponent interventions are the following:

- 241 • The WHELD (Wellbeing and Health for People with Dementia) intervention is implemented within a  
242 person-centred care framework; it includes physical exercise, approaches to reduce agitation, and  
243 psychosocial activities [71-72];
- 244 • Integrated approaches consist of personalised bundles of non-pharmacological interventions for the  
245 patient-caregiver dyad that are chosen after mapping their needs [73-74];
- 246 • Multicomponent support programmes are targeted at couples for whom one of the spouses suffers  
247 from dementia; they are support programmes that include group meetings for the caregivers, scheduled  
248 assessments by a geriatrician, and individualised services for the couple [75];

- 249 • Journeying through Dementia (JtD) is an intervention targeting the early stages of dementia that  
250 combines occupational therapy with self-management and peer support [76].

251

## 252 **Materials and methods**

253

### 254 **Search strategy and criteria for inclusion**

255

256 A systematic literature review was performed between February 2019 and March 2020 on the healthcare  
257 electronic databases MEDLINE (PubMed) and CDSR (Cochrane Database of Systematic Reviews). The  
258 search terms used to identify the articles to include in the review were as follows: (dementia OR alzheimer\*)  
259 AND ('cost-effectiveness'/exp OR 'cost-analysis'/exp OR 'cost-utility'/exp) AND ('non-pharmacological'/exp  
260 OR psychosocial\* OR 'drug-free'/exp). Additional details on the electronic search strategy can be found in the  
261 S1 File.

262 Study eligibility was based on the following criteria:

- 263 • Studies evaluating dementia interventions of any kind but the pharmacological one;
- 264 • Interventions aimed at either the patient or the caregiver (or the dyad patient-caregiver);
- 265 • The participants in the study had a diagnosis of dementia or were caregivers of a person with diagnosed  
266 dementia;
- 267 • Studies focusing on common and objective outcome measures for this area of research, to maximise  
268 comparability;
- 269 • Studies including an economic evaluation (a cost-effectiveness analysis and/or cost-utility analysis) or  
270 at least sufficient information on outcomes and costs to derive an incremental cost-effectiveness ratio  
271 (ICER) [77];
- 272 • Studies with an abstract in English.

273 The search strategy and the following review are both based on PRISMA recommendations [78]. The outcomes  
274 of the study selection process are described in the Results section.

275



## 276 **Data collection and analysis**

277

278 Data extraction was performed according to the guidelines of the Centre for Reviews and Dissemination for  
279 reviews of economic evaluations [79]; information was collected on the type of economic evaluation, study  
280 objective, study design, description of the intervention, comparators, measures of benefit and cost, and  
281 outcome and cost results.

282 The high heterogeneity in terms of interventions and outcome measures of the studies evaluated made it  
283 impossible to perform a meta-analysis, so we proceeded with a qualitative analysis.

284

## 285 **Quality appraisal of included studies**

286

287 The methodological quality of the studies included in the review was assessed using the Consensus on Health  
288 Economic Criteria (CHEC) checklist [80], which consists of 19 yes-or-no questions. To each study, we  
289 assigned a score from 0 to 19 based on the number of questions that the assessor answered with a “yes”. Studies  
290 were classified as being high-quality if the score was equal to or higher than 17, medium-quality studies were  
291 those with a score between 14 and 16, and low-quality studies were those which scored 13 or lower. The score  
292 also reflects the information contained in additional analyses for those that actively pointed to other articles  
293 for additional information on the study design and/or protocol. The principal reviewer (AG) assessed the  
294 quality of all the articles, and the other four members of the research team (VR, OP, MR, and GB) checked for  
295 accuracy within their subsets. Any disagreement was resolved through discussion or consultation. The quality  
296 appraisal was undertaken to aid in interpreting the findings and determining the strength of the conclusions  
297 drawn; no study was excluded based on the results of the quality assessment.

298

299

## 300 **Main outcomes of supportive care interventions**

301

302 As mentioned above, the outcome indicators considered in the studies included in the review were highly  
303 heterogeneous even though it is possible to broadly distinguish between patient and caregiver outcome  
304 measures.

305 The main patient outcomes considered in the analysed studies were the following:

- 306 • Quality of life – the cognitive and functional decline brought about by dementia has a huge impact on  
307 the patient’s QoL, and most studies include both generic health-related QoL (HRQoL) and dementia-  
308 related QoL as outcomes [81];
- 309 • Cognitive impairment – dementia impacts short- and long-term memory but also other cognitive  
310 functions such as language, abstract thinking, and judgement [82];
- 311 • Dementia severity – the gradual progression of the disease is measured with staging instruments that  
312 monitor the clinical and cognitive deterioration caused by dementia [83];
- 313 • Behavioural and psychological symptoms of dementia (BPSD) – these neuropsychiatric disturbances,  
314 such as apathy or hallucinations (or other non-cognitive symptoms), constitute a major component of  
315 dementia and have an impact on QoL [84];
- 316 • General health – this variable is gauged by looking at comorbidities, adverse events (i.e., untoward  
317 medical occurrences in a patient, including falls and fractures), nutritional status, etc. [85-86];
- 318 • Mental health – this variable can be measured by looking at an individual’s depression levels, anxiety  
319 levels, schizophrenic or psychotic episodes, etc. [87];
- 320 • Agitation – as one of the most commonly observed neuropsychiatric symptoms in patients suffering  
321 from dementia, this condition is described as restless behaviour or improper physical and/or verbal  
322 action that can be a source of trouble for others [88];
- 323 • ADLs and IADLs – the number of (instrumental) activities of daily living an individual is able to carry  
324 out in an accepted way is a measure of functional capacity, which is an important indicator of health  
325 in the elderly [89];
- 326 • Prescription drug use – the use of antipsychotic medications to treat the BPSD;

327 • Service utilisation (and related costs) – the extent to which dementia patients use medical and/or social  
 328 services and resources [90], including institutionalisation [91].

329 For each patient outcome, Table 1 reports the correlate measures considered in the selected studies.

330

331 **Table 1. Patient outcomes and their measures in the reviewed studies.**

Outcome	Measures
Health Related Quality of life (HRQoL)	<ul style="list-style-type: none"> <li>• EuroQol (EQ-5D)</li> <li>• Short Form-12 Health Survey (SF-12)</li> <li>• Index of Well-Being (IWB)</li> <li>• Rosser index</li> </ul>
Dementia-Related Quality of life	<ul style="list-style-type: none"> <li>• Dementia Quality of Life (DEMQOL)</li> <li>• Quality of Life in Alzheimer's Disease (QoL-AD)</li> </ul>
Cognitive impairment	<ul style="list-style-type: none"> <li>• Mini-Mental State Examination (MMSE)</li> <li>• Alzheimer's Disease Assessment Scale – Cognitive Subscale (ADAS-COG)</li> <li>• Verbal fluency test (VF)</li> <li>• Clock drawing test (CDT)</li> <li>• Frontal Assessment Battery (FAB)</li> <li>• Autobiographical Memory Interview (AMI)</li> </ul>
Dementia severity	<ul style="list-style-type: none"> <li>• Clinical Dementia Rating (CDR)</li> <li>• Functional Assessment Staging of Alzheimer's Disease (FAST)</li> </ul>
Behavioural and psychological symptoms	<ul style="list-style-type: none"> <li>• Neuropsychiatric Inventory (NPI)</li> <li>• Behavioural and Psychological Symptoms of Dementia (BPSD)</li> <li>• Revised Memory and Behavior Problems Checklist (RMBPC)</li> </ul>
General health	<ul style="list-style-type: none"> <li>• Short Form-12 Health Survey (SF-12)</li> <li>• General Health Questionnaire (GHQ)</li> <li>• Charlson Comorbidity Index (CCI)</li> <li>• Disability Assessment for Dementia (DAD)</li> <li>• Falls and fractures</li> <li>• Institutionalisation rates</li> <li>• Certification of Needed Long-Term Care (CNLTC)</li> <li>• COOP WONCA Functional Status Assessment Charts</li> <li>• Mini Nutritional Assessment (MNA)</li> </ul>
Mental health	<ul style="list-style-type: none"> <li>• Hospital Anxiety and Depression Scale (HADS)</li> <li>• Global Deterioration Scale (GDS)</li> <li>• Cornell Scale for Depression in Dementia (CSDD)</li> <li>• Rating of Anxiety In Dementia (RAID)</li> <li>• MOS 20-Item Short Form Survey Instrument – Mental Health (MOS-20MH)</li> </ul>
Agitation	<ul style="list-style-type: none"> <li>• Cohen-Mansfield Agitation Inventory (CMAI)</li> </ul>
Activities of daily living	<ul style="list-style-type: none"> <li>• Alzheimer's Disease Cooperative Study ADL Scale (ADCS-ADL)</li> <li>• Barthel Index (BI)</li> <li>• Bristol Activities of Daily Living Scale (BADLS)</li> <li>• Groningen Activities Restriction Scale (GARS)</li> <li>• Lawton Brody scale (IADLs)</li> <li>• Assessment of Motor and Process Skills (AMPS)</li> </ul>

	<ul style="list-style-type: none"> <li>• Interview for Deterioration in Daily Living Activities in Dementia (IDDD)</li> <li>• Katz scale (ADLs)</li> <li>• Multi-Dimensional Dementia Assessment Scale (MDDAS)</li> </ul>
Use of prescription drugs	<ul style="list-style-type: none"> <li>• Use of antipsychotics</li> </ul>
Service utilisation	<ul style="list-style-type: none"> <li>• Client Service Receipt Inventory (CSRI)</li> <li>• Resource Utilization in Dementia (RUD)</li> <li>• Institutionalization rates</li> </ul>

332

333 The main caregiver outcomes considered in the reviewed studies were the following (see Table 2 for details  
334 on the different measures for each outcome):

- 335       • Quality of life – dementia severely impacts the QoL of caregivers because caring for someone who  
336           suffers from dementia is extremely burdensome and contributes to physical and psychiatric illnesses  
337           [92];
- 338       • Burnout and burden – caregiver burden is the perceived negative effect of caring for a family member  
339           [93], while caregiver burnout is more specifically a state of physical, emotional, and mental exhaustion  
340           [94];
- 341       • Sense of competence and mastery – competence is the extent to which a caregiver feels he or she can  
342           effectively do what is needed for a patient, whereas mastery is the extent to which a caregiver feels in  
343           control of the situation; both have been linked to positive outcomes for the caregiver [95-96];
- 344       • General health – caregivers are more likely to report poor health because they have less time to take  
345           care of themselves and face substantial stress (as indicated by the increased levels of cortisol) [97-98];
- 346       • Mental health – depression is very common among dementia caregivers, as are sleep disturbances,  
347           loneliness, and social isolation [99-100];
- 348       • Quality of interaction with the patient – low-quality interactions can undermine both the caregiver’s  
349           QoL and quality of care [101]; the quality of the relationship that occurs between the caregiver and  
350           the patient has been found to be predictive of outcomes like the patient’s institutionalisation and  
351           functional decline [102-103];
- 352       • Coping strategies – coping strategies employed by caregivers, such as avoidance or wishful thinking,  
353           are linked to physical and mental health outcomes [104];

- 354 • Time spent caregiving – caring for a PwD is not only a burdensome task, but it is also time consuming,  
 355 as it prevents informal caregivers from having a regular work-life balance [105];
- 356 • Service utilisation – the additional medical and social service use by caregivers themselves helps us  
 357 better understand the impact dementia has on societal costs [106];
- 358 • Absenteeism – formal and informal dementia caregivers are more likely to have higher absenteeism  
 359 rates [107].

360

361 **Table 2. Caregiver outcomes and their measures in the reviewed studies.**

Outcome	Measures
Health Related Quality of Life (HRQoL)	<ul style="list-style-type: none"> <li>• EuroQol (EQ-5D)</li> <li>• Short Form-12 Health Survey (SF-12)</li> <li>• World Health Organization Quality of Life Brief Version (WHOQoL-BREF)</li> <li>• RAND 36-Item Health Survey (RAND-36)</li> </ul>
Care Related Quality of Life	<ul style="list-style-type: none"> <li>• Caregiver Quality of Life Instrument (CQLI)</li> </ul>
Caregiver burden and burnout	<ul style="list-style-type: none"> <li>• Maslach Burnout Inventory (MBI)</li> <li>• Zarit Burden Interview (ZBI)</li> </ul>
Sense of competence and mastery	<ul style="list-style-type: none"> <li>• Sense of Competence in Dementia Care (SCID)</li> <li>• Sense of Competence Questionnaire (SCQ)</li> <li>• Pearlin Mastery Scale (PMS)</li> </ul>
General health	<ul style="list-style-type: none"> <li>• Short Form-12 Health Survey (SF-12)</li> </ul>
Mental health	<ul style="list-style-type: none"> <li>• Relative Stress Scale (RSS)</li> <li>• Mini International Neuropsychiatric Interview (MINI)</li> <li>• Centre for Epidemiologic Studies Depression Scale (CES-D)</li> <li>• State Trait Anxiety Inventory (STAI)</li> </ul>
Quality of relationship	<ul style="list-style-type: none"> <li>• Quality of Interactions Schedule (QUIS)</li> <li>• Quality of Carer and Patient Relationship scale (QCPR)</li> </ul>
Coping strategies	<ul style="list-style-type: none"> <li>• COPE inventory</li> </ul>
Time spent caregiving	<ul style="list-style-type: none"> <li>• Caregiving time spent doing things</li> <li>• Caregiving time spent being on duty</li> <li>• Resource Utilisation in Dementia questionnaire</li> </ul>
Service utilisation	<ul style="list-style-type: none"> <li>• Health Services Utilization Questionnaire (HSUQ)</li> </ul>
Absenteeism	<ul style="list-style-type: none"> <li>• Time away from work</li> </ul>

362

363

364

## 365 **Results**

366

### 367 **Study selection**

368

369 The systematic search identified 3,218 publications. Duplicate citations were removed using Endnote X9,  
370 resulting in a total of 1,182 publications. After an initial screening of the titles and abstracts, 198 publications  
371 remained. After applying the eligibility criteria, 41 publications remained for full-text screening. A further 3  
372 articles were added after screening the references of the reviewed articles. A final sample of 33 studies  
373 remained for inclusion in the review, including a study in the Dutch language [73]. The search strategy, based  
374 on PRISMA recommendations [78], is shown in the flow chart in Fig 1.

375

376 **Fig 1. PRISMA flow chart of the study selection process.**

377

(FIGURE 1 SHOULD BE INSERTED HERE)

378

### 379 **Characteristics of the included studies**

380

381 We reviewed 33 studies that analysed 29 interventions: nine cognitive stimulation and occupational  
382 programmes primarily targeted at PwDs; two physical activity interventions; eight indirect interventions  
383 (organisational and environmental changes); six interventions primarily targeted towards carers; and four  
384 structured multicomponent interventions. Several SC programmes considered outside the formal category of  
385 “multicomponent interventions” may however include more elements when based on structured protocols  
386 combining different components; for example, the community-based occupational therapy programme  
387 directed at community-dwelling patient-caregiver dyads analysed by Graff et al. [108] included treatment at  
388 home of PwDs but also education and behaviour management for informal caregivers. The most common  
389 component across the reviewed interventions was behaviour management for carers, which was addressed in  
390 11 studies.

391 The interventions analysed in the studies were located at different stages of the care pathway for dementia: 12  
392 studies focused on patients with dementia in its mild to moderate stages and/or their caregivers  
393 [59,62,67,74,108-115]; 17 interventions addressed the moderate-to-severe stages [61, 63-66, 68, 71-73, 116-  
394 123]; and four studies focused on PwDs at different stages [58, 75, 124-125]. Six studies considered SCIs in  
395 nursing homes and assisted living settings [61, 71-72, 119-121], and two studies analysed both residential and  
396 community settings [111, 124], while the rest of the health economic analyses concerned community-based  
397 interventions. Most studies analysed SCIs directed at patient-caregiver dyads, while seven studies focused on  
398 specific programmes supporting informal caregivers of community-dwelling PwDs [64, 66-68, 117, 122, 123].  
399 Most studies (27 out of 33, and 23 out of 29 interventions) were conducted in European countries with  
400 comparable underlying health and social care systems (14 were based in the UK), while only six studies were  
401 developed in other OECD countries, including three in the United States, one in Japan, one in Australia, and  
402 one in Canada.

403 The studies were quite heterogeneous in terms of their design, the cost items included, and the choice of  
404 outcome measures. One study [63] used data from secondary sources in a Markov model to estimate the cost-  
405 utility of the intervention. Most studies (n = 26) used a randomised controlled trial (RCT) design, while five  
406 were non-randomised comparisons through prospective matched controlled trials [58, 63, 65, 119, 122].  
407 Eighteen of the analysed studies adopted a narrow perspective when measuring costs, looking only at health  
408 and social care, while the other 15 studies considered a broader societal perspective, including the opportunity  
409 costs of caregivers' inputs and the impacts of caring on their own health and wellbeing.

410 In all the analysed studies, the SC intervention under investigation was explicitly compared—with regard to  
411 costs and outcome measures—with one or more alternatives (in most cases, the “usual care” alternative). Most  
412 studies, except for four [75, 115, 119, 121], reported the ICER<sub>1</sub> of SCIs. ~~The ICER is calculated according to  
413 the following formula:~~

414 
$$ICER = \Delta C / \Delta E$$

415 ~~where  $\Delta C$  is the difference in mean costs between the intervention under evaluation and the comparator, and  
416  $\Delta E$  is the mean difference in outcome between the intervention under evaluation and the comparator.~~ When  
417 the outcome is measured in terms of utility values to account for the patient's and/or carer's QoL (e.g., using  
418 the Quality Adjusted Life Years [QALYs] gained), the cost-effectiveness analysis takes the form of a cost-



419 utility analysis. Some studies [111, 114, 119, 124-125] calculated the net-benefits (NB) of supportive care  
420 interventions using a series of hypothetical values for the decision maker's willingness-to-pay ( $\omega$ ) for an  
421 additional unit of outcome (e.g., a one-point difference in the Neuropsychiatric Inventory [NPI] score):

$$422 \quad NB = \omega * \Delta E - \Delta C$$

423 Ten studies were pure cost-effectiveness analyses [65, 68, 71, 108-109, 113, 116, 120, 122, 124], ten were  
424 cost-utility analyses [59, 61-64, 67, 73-74, 114, 123], ten developed both a cost-effectiveness and a cost-utility  
425 analysis [58, 66, 72, 110-112, 115, 117-118, 125], two were cost-consequence analyses [75, 121], and one was  
426 a cost-benefit analysis [119].

427

## 428 **Quality assessment of the included studies**

429

430 As indicated above, we also assessed the methodological quality of the studies included in the review using  
431 the CHEC checklist [80]. Based on the scores assigned, studies were classified as being high-, medium-, or  
432 low-quality. The quality level of the study is reported in the last columns of Tables 3, 4, and 5. Overall, only  
433 two studies [59,123] met all 19 criteria defined in the checklist. Applying the CHEC criteria described in the  
434 Materials and methods section, we found 11 high-quality studies, 15 medium-quality studies, and 7 low-quality  
435 ones. Details on the ratings of the studies can be found in Supporting information (S1, S2, and S3 Tables).

436

## 437 **Evidence of cost-effectiveness of supportive care interventions from** 438 **reviewed studies**

439

440 In this section, we describe the results of the qualitative analysis of the studies considered in the systematic  
441 review by distinguishing between studies providing evidence of high cost-effectiveness of SCIs (Table 3),  
442 those showing evidence of moderate cost-effectiveness (Table 4), and those showing very little or no evidence  
443 of cost-effectiveness (Table 5).

444 Tables 3, 4, and 5 report the main characteristics of the analysed studies, including type of assessed intervention  
445 (e.g., cognitive, physical activity, indirect, or multicomponent); description of the intervention under



446 evaluation; country where the intervention was implemented; type of study, type of economic evaluation, and  
447 time horizon; sample size (i.e., number of PwDs and caregivers considered in the study as well as the size of  
448 intervention and control groups); patient outcome measures; caregiver outcome measures; mean ICER or other  
449 cost-effectiveness measures (e.g., the intervention's net benefit); and assessed quality of the study.

450

### 451 **Interventions with evidence of high cost-effectiveness**

452 Out of the 33 studies included in the systematic review, 10 provided evidence of the high cost-effectiveness of  
453 SCIs (see Table 3).

454

455  
456  
457

**Table 3. Studies that find evidence of high cost-effectiveness of supportive care interventions.**

Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs/No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Gitlin et al. 2010 [116]	Cognitive	Tailored Activity Program (TAP) for patients and caregivers (occupational therapy) vs Wait-list  (Community-based: home)	USA	RCT CEA  (4 months)	60 / 60  (30 / 30)	Societal	----	•Caregiving time spent "doing things" •Caregiving time spent "being on duty"	\$2.37 per hour saved/day ("doing things"), \$1.10 per hour saved/day ("being on duty")	Low
Graff et al. 2008 [108]	Cognitive	Community occupational therapy (including cognitive and behavioural interventions) vs Usual Care (Community-based: Memory clinics, Day clinics of a geriatrics department, home)	Netherlands	RCT CEA  (6 weeks; 3 months)	135 / 135  (68 / 67)	Societal	•Daily functioning (AMPS; IDDD)	•Sense of competence (SCQ)	€1,748 saved compared with control (difference in mean total care costs per successful treatment)	High
Wimo et al. 1995 [63]	Indirect	Group living for dementia patients vs Home living and Institutional living  (Group living)	Sweden	Markov model CUA  (Expected life-length of 8 years)	108 / 0  (46 / 39;23)	Health and social care system	•Degree of dementia (GDS) •QALYs gained (IWB scale)	----	Incremental cost per QALY gained < 0 (intervention less costly and more effective)	Medium
Melis et al. 2008 [109]	Indirect	Dutch Geriatric Intervention Programme (nurse visits) vs Usual Care  (Community-based: home)	Netherlands	RCT CEA  (6 months)	151 / 0  (85 / 66)	Health and social care system	•IADLs (GARS-3) •Mental well-being (MOS-20MH)	----	€3,500 per successful treatment	Medium
Nichols et al. 2008 [68]	For Caregivers	Psychosocial intervention for caregivers (REACH II) Vs Usual care  (Community-based: home)	USA	RCT CEA  (6 months)	112 / 112  (55 / 57)	Societal	•Cognitive impairment (MMSE) •Behavioural and psychological symptoms (RMBPC) •ADLs (Katz scale) •IADLs (Lawton Brody scale) •Service utilisation	•Time spent caregiving •Caregiver bother (RMBPC) •Depression (CES-D) •Service utilisation •Social support	\$4.96 per hour not spent in caregiving	Medium

458

Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs/No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Knapp et al. 2013 [66]	For Caregivers	Individual therapy program for caregivers (START) vs Usual Care alone  (Community-based: mental health and neurological outpatient dementia services)	UK	RCT CEA+CUA  (8 months)	260 / 260  (173 / 87)	Health and social care system	•Behavioural and psychological symptoms (NPI)	•Depression and anxiety (HADS) •Quality of life (EQ-5D) •Caregiver burden (ZBI) •Coping strategies (COPE)	£6,000 per QALY gained (caregiver), £118 per HADS point (caregiver)	High
Livingston et al. 2014 [117]	For Caregivers	Individual therapy program for caregivers (START) vs Usual Care alone  (Community-based: mental health and neurological outpatient dementia services)	UK	RCT CEA+CUA  (24 months)	209 / 209  (140 / 69)	Health and social care system	•Dementia severity (CDR) •Quality of life (QoL-AD) •Behavioural and psychological symptoms (NPI) •Service utilisation (CSRI)	•Depression and anxiety (HADS) •Quality of life (EQ-5D) •Caregiver burden (ZBI) •Coping strategies (COPE) •Service utilisation (CSRI)	£244 per QoL-AD point (patient) £12,400 per QALY gained (caregiver) £179 per HADS point (caregiver) [carer-only costs]	Medium
Livingston et al. 2019 [118]	For Caregivers	Individual therapy program for caregivers (START) vs Usual Care alone  (Community-based: mental health and neurological outpatient dementia services)	UK	RCT CEA  (6 years follow-up)	222 / 222  (150 / 72)	Health and social care system	•Behavioural and psychological symptoms (NPI) •Service utilisation (CSRI)	•Depression and anxiety (HADS) •Caregiver burden (ZBI) •Service utilisation (CSRI)	Mean difference in HADS scores of -2.00 points with no significant difference in patient and caregiver costs (intervention is more effective than TAU and costs the same)	Medium
Ballard et al. 2018 [71]	Multicomponent	WHELD intervention (person-centred care, management of agitation, physical exercise and psychosocial approaches) vs Usual Care alone  (Nursing Home)	UK	RCT CEA  (9 months)	553 / 0  (257 / 296)	Health and social care system	•Quality of life (DEMQOL Proxy) •Dementia severity (CDR, FAST) •Agitation (CMAI) •Behavioural and psychological symptoms (NPI) •Mood (CSSD) •Antipsychotic drug use •Quality of interaction (QUIS) •Service utilisation (CSRI)	----	Incremental cost per unit of effectiveness < 0 (intervention less costly and more effective)	Medium
Romeo et al. 2019 [72]	Multicomponent	WHELD intervention (person-centred care, management of agitation, physical exercise and psychosocial approaches) vs Usual Care alone  (Nursing Home)	UK	RCT CEA+CUA  (9 months)	549 / 0  (267 / 282)	Health and social care system	•Agitation (CMAI) •Quality of life (DEMQOL Proxy) •Service utilisation (CSRI)	----	-£137,978 per QALY gained -£348 per CMAI point (intervention less costly and more effective)	Medium

459 *Legend*  
460 ADLs: Activities of Daily living; AMPS: assessment of motor and process skills-process scale; CDR: Clinical Dementia Rating; CES-D: Center for Epidemiologic Studies Depression Scale; CMAI = Cohen- Mansfield Agitation  
461 Inventory; COPE: self-completed measure of carer coping strategies; CSRI: Client Service Receipt Inventory; CSSD: Cornell Scale for Depression in Dementia; CUA: Cost-utility analysis; DEMQOL Proxy: Dementia Quality of Life  
462 score reported by a carer; EQ-5D: EuroQol-5 Dimensions; GARS-3: Groningen Activity Restriction Scale-3; GDS: Global Deterioration Scale; HADS: Hospital Anxiety and Depression Scale; IADLs: Instrumental Activities of Daily  
463 Living; IDDD: interview of deterioration in daily activities in dementia-performance scale, measures need for assistance; IWB: Index of well-being; MMSE: Mini-Mental State Examination; MOS-20MH: mental health subscale of the  
464 Medical Outcomes Study Short Form; NPI: Neuropsychiatric Inventory; QALYs: Quality Adjusted Life Years; QoL-AD: Quality of Life-Alzheimer's Disease scale; QUIS: Quality of Interactions Scale; RCT: Randomised controlled  
465 trial; RMBPC: Revised Memory and Behavior Problem Checklist; SCQ: Sense of competence questionnaire; ZBI: Zarit Burden Interview (self-reported questionnaire used to assess carer burden).

466 **Cognitive stimulation**

467 Gitlin et al. [116] analysed an occupational therapy intervention referred to as the “Tailored Activity Program”  
468 (TAP), which was directed at community-dwelling patient-caregiver dyads. The effectiveness of TAP was  
469 measured in terms of the additional unit of benefit measured by caregiver hours per day of “doing things” and  
470 “being on duty” (i.e., TAP was able to reduce carer time inputs); accordingly, the ICER was expressed as the  
471 cost to bring about one additional unit of benefit. The intervention was found to be cost-effective: the ICER  
472 was \$2.37 per hour saved every day by the caregiver in terms of “doing things”, and \$1.10 per hour saved  
473 every day in terms of “being on duty”. However, due to the absence of primary outcomes (no measures of  
474 patient and carer health and QoL were considered) and other drawbacks, the quality of evidence of cost-  
475 effectiveness according to the CHEC was rather low (see S1 Table in Supporting information).

476 Graff et al. [108] studied another form of community-based occupational therapy that included both  
477 behavioural and cognitive interventions; even in this case, the programme was aimed at patient-caregiver  
478 dyads. The intervention consisted of 10 sessions of occupational therapy administered over five weeks,  
479 including cognitive and behavioural interventions, to train patients in the use of aids to compensate for  
480 cognitive decline and caregivers in coping behaviours and supervision. The authors found average savings of  
481 approximately €1,748 per couple who had been successfully treated with the considered occupational therapy  
482 compared to usual care; the probability of occupational therapy being the dominant intervention (i.e., more  
483 effective and less costly) was estimated to be 94%. They concluded that the community occupational therapy  
484 intervention for PwDs and their caregivers was cost-effective, especially in terms of informal caregiving.

485

486 **Indirect interventions**

487 A Swedish prospective study [63] on group living for dementia patients with a Global Deterioration Scale  
488 (GDS) score between four and seven compared the cost-effectiveness of this type of intervention with that of  
489 living at home or in nursing homes. Group living is considered an intermediate level of care between home  
490 and institutionalisation. The authors applied a cost-utility analysis using a Markov model of an expected life-  
491 length of eight years. They considered a sample of 108 PwDs, for which 46 patients in group living were  
492 compared to 39 patients living at home and 23 institutionalised patients. They found the intervention to be

493 highly cost-effective and dominant (the ICER, measured in terms of cost per QALY, was negative) compared  
494 to both institutionalisation and living at home.

495 Melis et al. [109] evaluated the cost-effectiveness of the Dutch Geriatric Intervention Programme, consisting  
496 of regular nurse visits for community-dwelling frail older people, including PwDs. The difference in the  
497 treatment effect was calculated as the difference in the proportions of successfully treated patients (prevention  
498 of functional decline together with improved wellbeing). The ICER was expressed as the total incremental cost  
499 per successful treatment, and it was roughly €3,500 (-21,458 to 45,362). The intervention had a 95%  
500 probability of being cost-effective compared with usual care at a willingness-to-pay (WTP) threshold of  
501 €34,000.

502

### 503 **Interventions for carers**

504 Nichols et al. [68] performed a cost-effectiveness analysis on a psychosocial intervention (REACH II) for  
505 caregivers of community-dwelling dementia patients, consisting of individual sessions and telephone-  
506 administered support group sessions. The intervention included components that targeted five problem areas  
507 linked to caregiver risk and QoL: caregiver burden, emotional wellbeing, self-care and healthy behaviours,  
508 social support, and care-recipient problem behaviours. In this case, the ICER represented the cost of an  
509 additional hour of non-caregiving time that could be “purchased” by the intervention. There was no significant  
510 difference in formal healthcare use between the control and intervention dyads, while there was a significant  
511 reduction of hours of provided care for the caregivers in the intervention group compared to those in the control  
512 group. Nichols et al. found that the six-month intervention was cost-effective if one was willing to spend \$4.96  
513 per day for one extra hour of non-caregiving time for each caregiver; moreover, the intervention could be  
514 thought of as being financially positive because it resulted in \$10.56 of time gained versus \$4.96 of intervention  
515 cost per hour per day per caregiver.

516 Three studies [66, 117-118] analysed the cost-effectiveness of the “Strategies for Relatives” (START)  
517 intervention, an individual psychosocial therapy programme aimed at informal caregivers to help them cope  
518 with the illness faced by their non-institutionalised relative. This particular approach consists of an eight-  
519 session, manual-based coping intervention delivered by supervised psychology graduates to family carers of  
520 PwDs in addition to usual treatment. In one of these studies, Knapp et al. [66] examined the short-term (eight

521 months) cost-effectiveness of START, and they found that the START mean cost per QALY gained was  
522 £6,000, and the intervention had a greater than 99% chance of being cost-effective compared with usual  
523 treatment alone at a WTP threshold of £30,000 per QALY gained, which is within the threshold range of  
524 £20,000–30,000 per QALY currently used by the British National Institute for Health and Care Excellence  
525 (NICE) [126]. Moreover, START showed a high probability of cost-effectiveness on the HADS-T (Hospital  
526 Anxiety and Depression Scale) measure.

527 In another study, Livingston et al. [117] analysed the 24-month impact on patient and caregiver outcomes (with  
528 a follow-up rate of 80%) and found that START was cost-effective for both, with a 67% probability of cost-  
529 effectiveness at the £20,000 per QALY willingness-to-pay threshold and 70% at the £30,000 threshold. A 2019  
530 follow-up by Livingston et al. [118] found that, after six years, the intervention remained clinically effective  
531 with no significant difference between the treated and the control group in terms of costs and time to care home  
532 admission or death; however, carers in the control group were five times more likely to have clinically  
533 significant depression.

534

### 535 **Multicomponent interventions**

536 A widely studied multicomponent strategy is the UK-based “Improving Wellbeing and Health for People with  
537 Dementia” (WHELD) intervention, which is targeted towards patients in nursing homes. This approach  
538 consists of a protocol to manage agitation coupled with physical exercise and psychosocial activities, all within  
539 a person-centred care framework. The protocol is focused on training care staff and promoting tailored person-  
540 centred activities and social interactions; it also involves the development of a system for triggering the  
541 appropriate review of antipsychotic medications by the prescribing physician.

542 A preliminary study by Ballard et al. [71] found evidence of the potential cost-effectiveness of WHELD. In  
543 particular, the intervention produced significant (albeit small) benefits in terms of patients’ QoL measured with  
544 a Dementia Quality of Life (DEMQOL) proxy (i.e., the DEMQOL score reported by the carer), agitation, and  
545 overall neuropsychiatric symptoms, especially for people with moderately severe dementia; antipsychotic drug  
546 use was at a low stable level in both the treatment and control groups. Taking into account the cost of the  
547 intervention and the total health and social care costs, the authors found that the WHELD intervention reduced  
548 costs compared to usual care; therefore, the benefits achieved were associated with cost savings.

549 In another study, Romeo et al. [72] evaluated WHELD cost-effectiveness and cost-utility and found that the  
550 intervention was cost-effective compared to usual care alone across a wide range of WTP on the part of a  
551 decision maker for a unit improvement in outcome (the considered outcome measures were the Cohen-  
552 Mansfield Agitation Inventory [CMAI] and dementia-related QoL according to the DEMQOL-Proxy). The  
553 cost-effectiveness was mostly attributed to the lower health and social care costs faced by the intervention  
554 group compared to the control group. The authors also found that these results were mainly relevant to residents  
555 with clinically significant agitation in dementia.

556

### 557 **Interventions with evidence of moderate cost-effectiveness**

558 Evidence of the moderate cost-effectiveness of several SCIs was found in 14 of the analysed studies (see Table  
559 4).

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**Table 4. Studies that find evidence of moderate cost-effectiveness of supportive care interventions.**

Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs /No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Clare et al. 2019 [110]	Cognitive	Cognitive rehabilitation (GREAT trial) vs Usual Care (Community-based: home)	UK	RCT CEA + CUA  (3 months 6 months)	427 / 427  (209 / 218)	•Health and social care system  •Societal	•Self-reported goal attainment (BGSI) •Quality of life (DEMQOL) •Depression and anxiety (HADS) •Self-efficacy (GSES) •Cognitive impairment measures •Service utilisation (CSRI)	•Relatives' Stress Scale (RSS) •Health status assessment •Quality of life (EQ-5D, WHOQoL-BREF)	£1,296 per BGSI point £1,110,000 per QALY gained (patient), £632,000 per QALY gained (caregiver) (health and social care perspective)	High
Sado et al. 2020 [119]	Cognitive	Learning Therapy vs Usual Care (Nursing Home)	Japan	Prospective study CBA  (12 months)	57 / 0  (30 / 27)	Health and social care system	•Level of care needed (CT-CNLTC) •Quality of life (EQ-5D) •Cognitive impairment (MMSE, FAB) •PMS/IADL	----	\$1,605 as net monetary benefit	Medium
Mervin et al. 2018 [120]	Cognitive	Plushie robot (PARO) or normal plushie vs Usual Care (Nursing Home or other residential facility)	Australia	RCT CEA  (10 weeks)	415 / 0  (138; 140 / 137)	Health and social care system	•Agitation (CMAI-SF) •Medications	----	AUS\$13.01 per CMAI-SF point averted (PARO), AUS\$12.85 per CMAI-SF point averted (plushie)	Low
D'Amico et al. 2015 [111]	Cognitive	Maintenance cognitive stimulation therapy vs Usual Care alone (Different Settings: Nursing Home and Community Centre)	UK	RCT CEA + CUA  (24 weeks)	199 / 0  (106 / 93)	•Health and social care system •Societal	•Cognitive impairment (ADAS-Cog, MMSE) •Quality of life (QoL-AD, DEMQOL, EQ-5D) •Behavioural and psychological symptoms (NPI) •ADLs (ADCS-ADL) •Service utilisation (CSRI)	----	£26,835 per QALY, £266 per QoL-AD point, £558 per MMSE point	Medium
Orgeta et al. 2015 [112]	Cognitive	Carer-led individual cognitive stimulation therapy vs Usual Care (Community-based: Memory clinics and community mental health teams for older people)	UK	RCT CEA+CUA  (13 weeks; 26 weeks)	273 / 273  (134 / 139)	•Health and social care system •Societal	•Cognitive impairment (ADAS-Cog, MMSE) •Quality of life (QoL-AD, DEMQOL-Proxy) •Behavioural and psychological symptoms (NPI) •BADLS •Depression and anxiety (GDS), •Relationship (QCPR) •Service utilisation (CSRI)	•Mental and physical health (SF-12) •Depression and anxiety (HADS) Distress (NPI) •Quality of life (EQ-5D) •Carer resilience (RS-14) •Relationship (QCPR) •Service utilisation (CSRI)	£3,100 per QALY gained (caregivers)	High



Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs /No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Knapp et al. 2006 [124]	Cognitive	Cognitive stimulation therapy vs Usual Care  (Different Settings: Nursing Home, Community and Day Centre)	UK	RCT CEA  (8 weeks)	161 / 0  (91 / 70)	•Health and social care system	•Cognitive impairment (MMSE) •Quality of life (QoL-AD) •Service utilisation (CSRI)	----	£75.32 per MMSE point, £22.82 per QoL-AD point	Medium
D'Amico et al. 2016 [125]	Physical activity	Physical exercise regimen (walking) for patient-caregiver dyads vs Usual Care  (Community-based: home)	UK	RCT CEA+CUA  (12 weeks)	52 / 52  (30 / 22)	•Health and social care system •Societal	•Behavioural and psychological symptoms (NPI) •General health (GHQ) •Quality of life (DEMQL Proxy) •Service utilisation (CSRI)	•Caregiver burden (ZBI)	£421 per NPI point, £1,055 per ZBI point, £286,440 per QALY gained (societal perspective)	Medium
MacNeil Vroomen et al. 2016 [58]	Indirect	Case management (Intensive Case Management Model or Linkage Model) vs Usual Care  (Community-based: home)	Netherlands	Prospective study CEA+CUA  (24 months)	521 / 521  (234; 214 / 73)	•Societal	•Behavioural and psychological symptoms (NPI) •Quality of life (EQ-5D)	•Mental health (GHQ) •Quality of life (EQ-5D)	€9,581.433 per QALY (ICMM vs control), €2,236,139 per QALY (LM vs control) (combined QALY of patient and caregiver)	Medium
Michalowsky et al. 2019 [59]	Indirect	Dementia Care Management (Delphi-MV trial) vs Usual Care  (Community-based: home)	Germany	RCT CUA  (24 months)	444 / 0  (315 / 129)	•Health and social care system	• Health related Quality of life (SF-12) •Cognitive impairment (MMSE) •Depression and anxiety (GDS) •B-ADL •Comorbidity (CCI) •Service utilisation (CSRI) •Time to institutionalisation	----	Incremental cost per QALY < 0 €26,851 per QALY (PwD living with a caregiver )	High
Rädke et al. 2020 [115]	Indirect	Dementia Care Management (Delphi-MV trial) vs Usual Care  (Community-based: home)	Germany	RCT CUA  (24 months)	444 / 0  (315 / 129)	•Health and social care system	• Health related Quality of life (SF-12) •Cognitive impairment (MMSE) •Depression and anxiety (GDS) •B-ADL •Comorbidity (CCI) •Service utilisation (CSRI)	----	Probability of cost-effectiveness at €40,000 per QALY is higher for females, patients living alone, patients with moderate dementia, patients with high comorbidities	High

Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs /No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Wimo et al. 1994 [65]	Indirect	Adult Day Care vs Wait-list (Community-based: Day Care)	Sweden	Prospective study CEA (12 months)	100 /0 (55 / 45)	•Health and social care system	•Quality of life (IWB, Rosser index) •Cognitive impairment (MMSE) •ADLs and Behaviour (MDDAS)	----	Incremental cost per unit of effectiveness < 0 for patients with severe psychosocial stress situation (intervention less costly and more effective)	Medium
Van de Ven et al. 2014 [121]	Indirect	Dementia Care Mapping Vs Usual care (Nursing Home)	Netherlands	RCT CCA (18 months)	318 / 319 (PwD: 154/164) (Staff: 141/178)	•Health and social care system	•Service utilisation •Antipsychotic drug use •Falls and fractures	•Absenteeism	N.A.	Low
Gaugler et al. 2003 [122]	For Caregivers	Adult day care service to support caregivers vs Usual Care (Community-based: Day Care)	USA	Prospective study CEA (3 months; 1 year)	0 /201 (80/121)	Societal	•Behaviour Problem Scale •ADL	•Stress (ROS) •Depression (CES-D)	\$4.51/day per unit of ROS score \$2.20/day per unit of CES-D score (over 1-year period)	Low
Wolfs et al. 2011 [73]	Multicomponent	Integrated approach (map of the patient and caregiver needs to develop a personalised treatment course) vs Usual Care (Community-based: Diagnostic research centre for psycho-geriatrics)	Netherlands	RCT CUA (1 year)	219 /0 (131 /88)	Societal	•Quality of life (EQ-5D) •Cognitive impairment (MMSE) •Behavioural and psychological symptoms (NPI) •IADLs (Lawton Brody scale) •Depression (CSDD) •Service utilisation	----	€1,267 per QALY gained	Low

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#### Legend

ADAS-Cog: Alzheimer's Disease Assessment Scale-Cognition subscale; ADCS-ADL: Alzheimer's Disease Cooperative Study-Activities of Daily Living Inventory; B-ADL: Bayer-Activities of Daily Living Scale; BADLS: Bristol Activities of Daily Living Scale; CCA: Cost consequence analysis; CCI: Charlson Comorbidity Index; CEA: Cost-effectiveness analysis; CES-D: Center for Epidemiologic Studies Depression Scale; CMAI-SF = Cohen-Mansfield Agitation Inventory-Short Form; CSDD: Cornell Scale for Depression in Dementia; CSRI: Client Service Receipt Inventory; CUA: Cost-utility analysis; DEMQOL: Dementia Quality of Life score; DEMQOL Proxy: Dementia Quality of Life score reported by a carer; EQ-5D: EuroQol-5 Dimensions; FAB: Frontal Assessment Battery; GDS: Geriatric Depression Scale; GHQ: General Health Questionnaire; GSES: Generalized Self-Efficacy Scale; HADS: Hospital Anxiety and Depression Scale; IWB: Index of well-being; MDDAS: Multi-Dimensional Dementia Assessment Scale; MMSE: Mini-Mental State Examination; NPI: Neuropsychiatric Inventory; PMS/IADL: Physical Self-Maintenance Scale/Instrumental Activity of Daily Living; QCPR: Quality of Caregiver-Patient Relationship; QoL-AD: Quality of Life-Alzheimer's Disease scale; RCT: Randomised controlled trial; ROS: Role Overload Scale; RS-14: Resilience Scale-14 items; RSS: Relatives' Stress Scale; SF-12: Short Form questionnaire-12 items; ZBI: Zarit Burden Interview (self-reported questionnaire used to assess carer burden); WHOQoL-BREF: World Health Organization's Quality of Life Instrument – brief version.

575 **Cognitive stimulation**

576 Clare et al. [110] developed a single-blind multicentre RCT (Goal-Oriented Cognitive Rehabilitation in Early-  
577 Stage Alzheimer's and Related Dementias Trial [GREAT]) in order to provide evidence of the clinical and  
578 cost-effectiveness of a cognitive rehabilitation programme for people with mild to moderate dementia and their  
579 caregivers. The individualised intervention consisted of 10 therapy sessions administered over three months,  
580 followed by four maintenance sessions over six months, delivered in participants' homes under the direction  
581 and assistance of a cognitive rehabilitation therapist. The therapists (nine occupational therapists and one  
582 nurse) worked with the patient and the carer to identify realistic and relevant goals, plan how to tackle them,  
583 and support people in achieving them. The primary outcome was the participant rating of goal attainment, and  
584 goals were elicited using the Bangor Goal-Setting Interview (BGSi), with goal attainment assessed using a  
585 validated rating scale on which a two-point improvement was considered to be clinically significant. For the  
586 intervention group, participant attainment ratings improved at the three-month follow-up by 2.57 points on  
587 average, and this improvement was maintained at nine months; average ratings in the TAU group showed a  
588 negligible improvement of less than one point at three months. Patient's and carer's QoLs (measured with  
589 several instruments) were considered a secondary outcome together with other measures; however, there were  
590 no significant changes in any secondary outcome measures following the intervention. Clare et al. also  
591 performed both a cost-effectiveness and a cost-utility analysis of the cognitive rehabilitation programme  
592 conducted, first, from a health and social care perspective and, second, from a societal perspective. The cost  
593 of an increase of 1.32 points in the BGSi attainment rating was £1,296 from the health and social care  
594 perspective and -£9 from the societal perspective; the intervention could be considered cost-effective in terms  
595 of achieving an improvement in participant-rated goal attainment, with the WTP being £2,500 and above under  
596 both the societal and healthcare system perspectives. However, in both studies, there was no evidence for cost-  
597 effectiveness either in terms of QALY gains for PwDs (measured with DEMQOL) or in terms of QALY gains  
598 for carers (measured with the EuroQol - 5 Dimensions [EQ-5D] three-level version).

599 Sado et al. [119] performed an initial cost-benefit analysis of learning therapy for nursing home patients, which  
600 is an intervention that combines different cognitive activities (e.g., simple math calculations, reading tasks,  
601 and conversations) undertaken under the guidance and acknowledgement of an instructor. The primary  
602 outcomes were safety, validity of eligibility, retention rate, and effect on the functions of daily living

603 represented by Criterion Time for Certification of Needed Long-Term-Care (CT for CNLTC) at 12 months.  
604 The authors offered preliminary evidence that the intervention improved the patients' function of daily living  
605 (but not their cognitive function) at 12 months and had a cost-saving effect, thus determining a yearly net  
606 monetary benefit per patient (in terms of lower long-term care costs) of \$1,605; the probability of the net  
607 monetary benefit being beyond zero was around 91%. However, they concluded that a RCT was required to  
608 verify these findings.

609 Mervin et al. [120] assessed the cost-effectiveness of regular use of a therapeutic pet-type robot (PARO)  
610 compared with a normal plush toy and usual care for reducing agitation and medication use in institutionalised  
611 dementia patients. The residential facilities were randomised to one of three groups: PARO (individual, non-  
612 facilitated 15-minute sessions on three afternoons per week for 10 weeks), plush toy (as per PARO, but with  
613 artificial intelligence disabled), and usual care. The incremental cost-effectiveness was measured as the  
614 incremental cost per unit improvement in agitation between the two comparative groups (PARO or plush toy  
615 vs usual care). The study could not find evidence of a substantial cost-effectiveness for the use of the robotic  
616 plushie compared with usual care: the incremental cost per unit improvement in the Cohen-Mansfield Agitation  
617 Inventory-Short Form (CMAI-SF) was AU\$13.01 for the PARO group and AU\$12.85 for the plush toy group.  
618 The authors concluded that an inexpensive plush toy may offer greater value for money than the PARO in  
619 terms of improving agitation specifically, with an incremental cost per unit in the CMAI-SF of AU\$2.17.  
620 However, they underline that these costs are also much lower than values estimated for other psychosocial  
621 group activities (from approximately AU\$280 to AU\$6,030) and sensory interventions (from approximately  
622 AU\$42 to AU\$248), suggesting that both a plush toy and the PARO may be cost-effective psychosocial  
623 treatment options for agitation.

624 D'Amico et al. [111] analysed a maintenance CST intervention added to usual care and directed at people with  
625 mild to moderate dementia in nine care homes and nine community centres. They performed both cost-  
626 effectiveness and cost-utility analyses within a multicentre, single-blind, pragmatic RCT with a subgroup  
627 analysis for people taking acetylcholinesterase inhibitors. Participants received the treatment for seven weeks  
628 and were randomised to either the weekly maintenance of cognitive stimulation programme added to usual  
629 care or usual care alone for 24 weeks. The authors found that the intervention was cost-effective in terms of  
630 increased QoL, which was measured using proxy EQ-5D ratings; the mean ICER was about 26,835 per QALY,

631 and the probability that the intervention would be cost-effective was 54% at the NICE’s specified threshold of  
632 £30,000/QALY [126]. The intervention was also cost-effective in terms of reducing cognitive impairment as  
633 measured with MMSE (Mini Mental State Examination) scores, but not when measured with the Alzheimer’s  
634 Disease Assessment Scale-Cognitive (ADAS-Cog). Moreover, the authors noted that the intervention, in  
635 combination with acetylcholinesterase inhibitors, was more cost-effective than using inhibitors alone on top  
636 of usual care.

637 Orgeta et al. [112] performed a clinical effectiveness and cost-effectiveness pragmatic, multicentre, RCT of a  
638 home-based, individual CST intervention for PwDs and their family carers, which was then compared with  
639 treatment as usual. The intervention consisted of structured cognitive stimulation sessions for PwDs completed  
640 up to three times weekly over 25 weeks. Family caregivers were supported to deliver the sessions at home.  
641 Primary outcomes were cognition and QoL for the PwDs, and mental/physical health (measured with the Short  
642 Form questionnaire with 12 items) for the family carers. Carers’ utility scores were calculated from the EQ-  
643 5D by applying published societal weights. They found limited evidence of cost-effectiveness mostly in terms  
644 of caregiver’s health-related QoL: the incremental health and social care cost per caregiver’s QALY gained  
645 was approximately £3,100; the probability of the intervention being cost-effective at a WTP per QALY of  
646 approximately £30,000 was 81% from the health and social care perspective and 93% from the societal  
647 perspective.

648 Through a consideration of cognition (measured using the MMSE) as the primary outcome and the patient’s  
649 QoL (using Quality of Life in Alzheimer’s Disease scale [QoL-AD]) as the secondary outcome, Knapp et al.  
650 [124] analysed the cost-effectiveness of an evidence-based CST delivered to dementia patients in nursing  
651 homes and day centres. The Cochrane systematic reviews on reality orientation and reminiscence therapy for  
652 dementia were used to develop a group programme of evidence-based CST. Despite the short follow-up period  
653 (eight weeks), the intervention was found to be potentially cost-effective with regard to both outcome  
654 measures.

655

## 656 **Physical activity**

657 D’Amico et al. [125] examined the cost-effectiveness of a physical activity intervention—a regular and  
658 individually tailored walking regimen—directed at community-dwelling patient-caregiver dyads. The exercise

659 intervention was significantly more cost-effective than treatment, as usual, from both the societal and health  
660 and social care perspectives in terms of improvements in behavioural and psychological symptoms (from a  
661 societal perspective, the ICER was £421 per incremental difference in the NPI score), while it did not appear  
662 to be cost-effective when considering QALY gains (the ICER per QALY calculated using the DEMQOL-  
663 Proxy scores and societal weights was £286,440). The authors observed that there was no established cost-  
664 effectiveness benchmark for the NPI with which to compare their estimates, while the estimated mean cost per  
665 QALY was rather high relative to the £30,000 upper threshold generally associated with cost-effectiveness  
666 judgements by NICE in the UK.

667

### 668 **Indirect interventions**

669 In an observational, controlled, cohort study, MacNeil Vroomen et al. [58] developed a preliminary analysis  
670 of the cost-effectiveness of two case management models adopted in the Netherlands for people with already  
671 diagnosed dementia and their informal caregivers against no access to case management (control group). The  
672 two models considered were the Intensive Case Management model (ICMM, in which case managers follow  
673 the patients and their families and help them navigate medical and psychosocial services) and the Linkage  
674 model (LM, which consists of cooperation between the multiple care providers the patients face in their  
675 journey). The economic evaluation related incremental costs to incremental effects regarding neuropsychiatric  
676 symptoms (measured with the NPI), the psychological health of the informal caregiver (measured with the  
677 General Health Questionnaire [GHQ]), and the QALYs of the PwD and informal caregiver (based on the  
678 EuroQoL - 5 Dimensions). They found preliminary evidence of cost-effectiveness for the ICMM compared to  
679 the control group (the probability that the ICMM was cost-effective in comparison with the control was 92%  
680 at a WTP of €30,000 per QALY) and the LM (the probability that the ICMM was cost-effective in comparison  
681 to the LM was 97% at a WTP of €30,000 per QALY), but additional evidence was needed due to the fact that  
682 the study was not a RCT.

683 Michalowsky et al. [59] evaluated a community-based DCM program versus usual care (Delphi-MV trial).  
684 DCM is a model of collaborative care aiming to support patients and their caregivers through coordination and  
685 management of treatment and care and consists of a nurse-led in-depth assessment of patients' unmet needs to  
686 optimise and individualise dementia treatments. The intervention was delivered in participants' homes by

687 nurses with dementia-specific qualifications. The analysis was conducted from the public payer perspective  
688 (considering only outcomes for PwDs) and based on 444 participants (315 in the intervention group, and 129  
689 in the control group) who completed the baseline and at least one follow-up assessment. The HRQoL of the  
690 PwDs was assessed via self-rating measures using the 12-item Short Form Health Survey (SF-12), which is  
691 considered suitable for mildly to moderately cognitively impaired PwDs. The responses to the SF-12 were  
692 converted to health utilities in order to calculate the QALYs for each patient. In the base-case analysis,  
693 compared with usual care, the DCM was associated with higher QALY and lower costs after 24 months. In  
694 particular, patients treated with the DCM faced higher costs for medications (i.e., higher prescription rates of  
695 anti-dementia drugs and medical aids) but had lower costs in terms of in-hospital treatments, nursing home  
696 care, and delayed institutionalisation (the time to institutionalisation was delayed on average seven months in  
697 patients who received the DCM). The probability of the DCM being cost-effective was 88% at a WTP of  
698 €40,000 per QALY gained, a value higher than the NICE's upper threshold.

699 A recent follow-up study by Rädke et al. [115] focused on subgroups of participants in the Delphi-MV trial  
700 to assess the differences in cost-effectiveness across the subgroups (if any). They found that patient  
701 characteristics significantly affected the cost-effectiveness of the DCM; at a WTP of €40,000 per QALY, the  
702 intervention was more likely to be cost-effective for females (a probability of 96% vs 16% for males), patients  
703 living alone (96% vs 26% for those not living alone), patients with a moderate-to-severe cognitive impairment  
704 (100% vs 3% for low cognitive impairment), patients with functional impairment (97% vs 16% for no  
705 functional impairment), and patients with a high comorbidity (96% vs 26% for low comorbidity).

706 Wimo et al. [65] developed a particular cost-effectiveness analysis (a “cost-effectiveness quotient analysis”)  
707 for three day care units for PwDs with a daily capacity of about nine dementia sufferers served by two specially  
708 trained dementia carers. Fifty-five patients in day care and 45 patients on a waiting list for day care (control  
709 group) were examined during 12 months in a prospective open non-randomised concurrent control study. All  
710 patients included in the study lived in their homes or at a home for the aged. The costs per patient per day were  
711 \$55 for the day care group and \$63 for the controls. Both groups deteriorated in the used indices of QoL (the  
712 Index of Wellbeing [IWB] and the Rosser index) during the study year, but the decline was stronger in the  
713 control group. The trend indicated that day care was both cost-saving and had better outcomes on the indices,  
714 and some underlying variables for the used indices (the ability to dress and undress, and cheerfulness) also

715 showed significantly better results in favour of day care. Since the changes between the groups were not  
716 significant regarding the cost-effectiveness quotient, the authors could not conclude that day care was more  
717 cost-effective than a care organisation without day care. However, for a subgroup of patients with the most  
718 distressed psychosocial situations, day care was shown to be cost-effective (i.e., it provided the same utilities  
719 [QoL indices] of the alternative option but at a lower cost).

720 Van de Ven et al. [121] investigated differences in the costs of care between a DCM protocol and usual care  
721 in a sample of Dutch nursing homes alongside an 18-month cluster-RCT, in which they studied the  
722 effectiveness of DCM on residents and staff outcomes (including 318 residents and 376 nursing staff  
723 members). Dementia special care units were randomly assigned to DCM or usual care. Nurses from the  
724 intervention care homes received DCM training, attended a DCM organisational briefing day, and conducted  
725 the four-month DCM intervention twice during the study. A single DCM cycle consisted of observation,  
726 feedback to the staff, and action plans for the residents. The authors measured costs related to health care  
727 consumption, falls, and psychotropic drug use at the resident level and absenteeism at the staff level. The  
728 authors found the DCM intervention to be cost-neutral compared to usual care. However, certain changes  
729 within costs could be observed. In particular, the intervention group showed lower costs associated with  
730 outpatient hospital appointments over time than the control group, while the use of antipsychotics decreased  
731 in both groups (this could be explained as a result of a steady change in the policy of elderly-care physicians  
732 to decrease the prescription rate of inappropriate psychotropic drugs). On the staff level, no significant  
733 difference between the intervention and control groups for costs associated with absenteeism was found. The  
734 authors concluded that, since several studies provided evidence that DCM has positive effects on resident  
735 outcome measures such as depression, agitation, and QoL, considerations other than costs might help to  
736 determine whether a nursing home should adopt this method.

737

### 738 **Interventions for carers**

739 A community-based adult day care service providing support to informal caregivers of PwDs was evaluated  
740 by Gaugler et al. [122]. Caregivers in the treatment condition were recruited from 45 adult day programmes.  
741 Both the treatment and control groups of caregivers were administered interviews at three intervals: baseline  
742 (T1), three months (T2), and one year (T3). Two longitudinal panels were constructed: a short-term (three



743 months) panel and a long-term (one year) panel. The considered caregiver outcomes were measured in terms  
744 of primary stress (through the 7-item Role Overload Scale [ROS]) and depression (using the 20-item Center  
745 for Epidemiological Studies Depression Scale [CES-D]). A variety of costs (e.g., adult day services, formal  
746 service use, informal sources of care, and employment changes) were estimated for caregivers. The ICERs  
747 were calculated as the cost necessary to alleviate role overload and depression by one unit both in the short  
748 and long term. In particular, the authors found that the daily costs necessary to alleviate role overload and  
749 depression by one unit among adult day service users were \$6.83 and \$2.90, respectively, over the short term,  
750 while these costs were reduced to \$4.51 and \$2.20, respectively, over the one-year period. The authors  
751 concluded that, with consistent utilisation over time, adult day services appeared to have become more cost-  
752 effective for caregivers and their care recipients. In fact, there was evidence that the long-term utilisation of  
753 day care could help to lessen the time caregivers spent managing symptoms associated with dementia and  
754 allow them to spend more time in work-related activities.

755

### 756 **Multicomponent interventions**

757 Wolfs et al. [73] studied an intervention adopted in the Netherlands that consisted of an integrated approach  
758 protocol involving the use of a diagnostic research centre for psycho-geriatrics. This centre was designed to  
759 enable health professionals to first map the needs of the community-dwelling patient and their caregiver, and  
760 then deliver a personalised treatment course consisting of different kinds of activities. They found that the  
761 intervention was cost-effective in terms of QoL (the ICER was €1,267 per QALY gained), but not in terms of  
762 improvements in clinical measures such as cognitive impairment or behavioural and psychological symptoms.

763

### 764 **Interventions without evidence of cost-effectiveness**

765 No or insufficient evidence of cost-effectiveness of SCIs has been found in nine of the analysed studies (see  
766 Table 5).

767

**Table 5. Studies that do not find evidence of cost-effectiveness of supportive care interventions.**

Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs /No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Woods et al. 2012 [113]	Cognitive	Reminiscence group therapy vs Usual Care (Community-based: Memory clinics and Community mental health teams for older people)	UK	RCT CEA (10 months)	350 / 350 (206 / 144)	•Health and social care system	<ul style="list-style-type: none"> <li>•Quality of life (QoL-AD, EQ-5D)</li> <li>•Autobiographical memory (AMI (E))</li> <li>•Quality of relationship (QCPR)</li> <li>•Depression and anxiety (CSDD, RAID)</li> <li>•BADLs</li> <li>•Service utilisation (CSRI)</li> </ul>	<ul style="list-style-type: none"> <li>•Mental health (GHQ-28)</li> <li>•Quality of life (EQ-5D)</li> <li>•Quality of relationship (QCPR)</li> <li>•Depression and anxiety (HADS)</li> <li>•Caregiving stress (RSS)</li> <li>•Service utilisation (CSRI)</li> </ul>	£2,586 per QoL-AD point	Medium
Khan et al. 2019 [114]	Physical activity	Structured physical exercise (aerobic and resistance training at moderate-to-hard intensity) vs Usual Care (Community-based: home)	UK	RCT CUA (12 months)	494 / 494 (329 / 165)	<ul style="list-style-type: none"> <li>•Health and social care system</li> <li>•Societal</li> </ul>	<ul style="list-style-type: none"> <li>•Cognitive impairment (ADAS-Cog)</li> <li>•ADLs (BADLs)</li> <li>•Quality of life (EQ-5D, QoL-AD)</li> <li>•Behavioral and psychological symptoms (NPI)</li> <li>•Service utilisation (CSRI)</li> <li>•Falls and fractures</li> </ul>	<ul style="list-style-type: none"> <li>•Quality of life (EQ-5D)</li> <li>•Caregiver burden (ZBI)</li> </ul>	- £74,227 per QALY gained (patient) (intervention more costly and less effective)	High
Livingston et al. 2019 [61]	Indirect	MARQUE intervention (mandatory training sessions for staff and implement new procedures to reduce agitation) vs Usual Care (Nursing Home)	UK	RCT CUA (8 months)	318 / 354 (PwD: 155/163) (Staff: 175/179)	•Health and social care system	<ul style="list-style-type: none"> <li>•Agitation (CMAI)</li> <li>•Behavioural and psychological symptoms (NPI)</li> <li>•Dementia severity (CDR)</li> <li>•Antipsychotic drug use</li> <li>•Quality of life (DEMQOL, EQ-5D)</li> <li>•Service utilisation (CSRI)</li> </ul>	<ul style="list-style-type: none"> <li>•Caregiver burnout (MBI)</li> <li>•Sense of competence (SCD)</li> <li>•Abusive behaviour by staff (STS)</li> </ul>	£14,064 per QALY gained (patient)	Medium
Meeuwse et al. 2013 [62]	Indirect	Memory clinics (providing drugs and non-pharmacological interventions) vs Care by GP (Community-based: Memory clinics)	Netherlands	RCT CUA (12 months)	160 / 160 (83 / 77)	•Societal	<ul style="list-style-type: none"> <li>•Quality of life (EQ-5D)</li> <li>•ADLs</li> <li>•IADLs</li> <li>•Service utilisation</li> </ul>	•Quality of life (EQ-5D)	€41,442 per QALY lost (patient + caregiver)	High
Drummond et al. 1991 [64]	For Caregivers	Caregiver support program (nurse visits, support groups and respite care) vs Usual Care (Community-based: home)	Canada	RCT CUA (6 months)	0 / 42 (22 / 20)	•Health and social care system	----	<ul style="list-style-type: none"> <li>•Depression (CES-D)</li> <li>•Anxiety (STAI)</li> <li>•Quality of life (CQLI)</li> </ul>	CAS\$20,036 per QALY gained	Low

Study	Type of intervention	Intervention Description and Comparator (Setting)	Country	Type of study and economic evaluation (Time horizon)	Sample size No. PwDs/No. Caregivers (Intervention Group/Control Group)	Perspective	PwD Outcome measures	Caregiver Outcome measures	Incremental Cost-Effectiveness Ratio (ICER) and other cost-effectiveness measures	Quality of the study
Joling et al. 2013 [67]	For Caregivers	Family meetings vs Usual Care (Community-based: home)	Netherlands	RCT CUA (12 months)	192 / 192 (96 / 96)	•Societal	•Health Related Quality of life (SF-12) •Service utilisation	•Health Related Quality of life (SF-12) •Depression and anxiety (MINI) •Service utilisation	-€807,703 per QALY (dyad), -240,247 per QALY (patient), -€24,472 per QALY (caregiver) (intervention more costly and less effective)	High
Wilson et al. 2009 [123]	For Caregivers	Social care intervention for caregivers (contact with a befriender facilitator) vs Usual Care (Community-based: home)	UK	RCT CUA (15 months)	0 / 190 (93 / 97)	•Societal	---	•Depression and anxiety (HADS) •Quality of life (EQ-5D)	£105,954 per QALY (caregiver)	High
Søgaard et al. 2013 [74]	Multicomponent	Psychosocial intervention (DAISY) vs Usual Care (Community-based: Primary care and memory clinics)	Denmark	RCT CUA (36 months)	330 / 330 (163 / 167)	•Health and social care system •Societal	•Quality of life (EQ-5D) •Service utilisation •Institutionalisation rates	•Quality of life (EQ-5D) •Time spent caregiving (RUD)	Incremental cost per QALY <0 (patient+carer) (intervention more costly and less effective)	High
Eloniemi-Sulkava et al. 2009 [75]	Multicomponent	Multicomponent support intervention for couples vs Usual Care (Community-based)	Finland	RCT CCA (2 years)	125 / 125 (63 / 62)	•Health and social care system	•Comorbidity (CCI) •Physical functioning (Barthel Index) •Behavioral and psychological symptoms (NPI) •Service utilisation •Institutionalisation	•Caregiver burden (ZBI)	€7,985 saved in healthcare services compared to the control group (not considering the intervention costs)	Low

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*Legend*

ADAS-Cog: Alzheimer's Disease Assessment Scale-Cognition subscale; ADLs: Activities of Daily living; AMI (E): Autobiographical memory interview (extended version); BADLS: Bristol Activities of Daily Living Scale; CCA: Cost consequence analysis; CCI: Charlson Comorbidity Index; CDR: Clinical Dementia Rating; CEA: Cost-effectiveness analysis; CES-D: Center for Epidemiologic Studies Depression Scale; CMAI = Cohen- Mansfield Agitation Inventory; CQLI: Caregiver Quality of Life Instrument; CSRI: Client Service Receipt Inventory CSSD: Cornell Scale for Depression in Dementia; CUA: Cost-utility analysis; DEMQOL Proxy: Dementia Quality of Life score reported by a carer; EQ-5D: EuroQol-5 Dimensions; GHQ-28: General Health Questionnaire - 28 item version; HADS: Hospital Anxiety and Depression Scale; IADLs: Instrumental Activities of Daily Living; MBI: Maslach Burnout Inventory; MINI: Mini International Neuropsychiatric Interview; NPI: Neuropsychiatric Inventory; QCPR: Quality of Caregiver–Patient Relationship; QoL-AD: Quality of Life-Alzheimer's Disease scale; RAID: Rating Anxiety in Dementia; RCT: Randomised controlled trial; RSS: Relatives' Stress Scale; RUD: Resource utilization in dementia-instrument; SCD: Sense of Competence in Dementia; SF-12: Short Form questionnaire-12 items; STAI: State-Trait Anxiety Inventory; STS: Staff Tactics Scale; ZBI: Zarit Burden Interview (self-reported questionnaire used to assess carer burden).

777 **Cognitive stimulation**

778 A reminiscence group therapy intervention for community-dwelling dementia patients and their caregivers in  
779 the UK was evaluated by Woods et al. [113]. The aim of the study was to assess, through a multicentre,  
780 pragmatic RCT, the effectiveness and cost-effectiveness of joint reminiscence groups for PwDs and their  
781 family caregivers as compared with usual care. Most participants were recruited through memory clinics and  
782 community mental health teams for older people. The analysed intervention consisted of joint reminiscence  
783 groups held weekly for 12 consecutive weeks, followed by monthly maintenance sessions for a further seven  
784 months. The primary outcome measures were self-reported QoL for the PwD (measured with QoL-AD and  
785 EQ-5D scores) and psychological distress for the carer (General Health Questionnaire - 28 item version [GHQ-  
786 28]). The study did not provide support for the cost-effectiveness of joint reminiscence groups for PwDs and  
787 their carers.

788

789 **Physical activity**

790 The Dementia and Physical Activity (DAPA) trial aimed at estimating the clinical effectiveness and cost-  
791 effectiveness at 12 months of a bespoke exercise programme, in addition to usual care, on the cognitive  
792 impairment (primary outcome), function, and QoL of people with mild to moderate dementia (MMD) and their  
793 carers' burden and QoL. Generic HRQoL was measured using the EQ-5D, three-level version, while dementia-  
794 related QoL was measured using the QoL-AD scale. Khan et al. [114] performed a cost-utility analysis of the  
795 intervention, which comprised both aerobic and resistance training programmes targeted at community-  
796 dwelling PwDs. The analysis found no impact on patient or caregiver outcomes and no evidence of cost-  
797 effectiveness, with a mean ICER of -£74,227 per QALY gained (i.e., the intervention was costlier and less  
798 effective than usual care). The probability that the DAPA was cost-effective was lower than 1% across the  
799 cost-effectiveness thresholds, and the incremental net monetary benefit ranged from -£2,601 to -£2,158 at  
800 cost-effectiveness thresholds between £15,000 and £30,000 per QALY. Therefore, the exercise intervention  
801 was dominated by usual practice in health economic terms.

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803

## 804 **Indirect interventions**

805 Livingston et al. [61] performed a cost-effectiveness and cost-utility analysis of the MARQUE intervention  
806 targeted towards the staff of 20 nursing homes in the UK. MARQUE was an evidence-based manualised  
807 intervention delivered by supervised graduate psychologists to staff in six interactive training sessions on  
808 procedures to reduce agitation in residents with dementia. The authors considered both PwD and formal  
809 caregiver outcomes (the primary outcome was residents' agitation score at eight months, which was measured  
810 using the CMAI). They found that the intervention was not associated with a significant improvement in  
811 agitation or QoL, a reduction in possibly abusive behaviours, or a staff reduction in burnout or improvement  
812 in the sense of competence. Moreover, the prescription of antipsychotic drugs was not significantly different  
813 between the MARQUE group and the "treatment as usual" group. Their economic analysis showed that the  
814 intervention could be cost-effective, since the mean incremental cost per QALY gained of £14,064 was less  
815 than the NICE threshold of £20,000, but with a relatively low probability (62%). However, these results were  
816 driven by assumptions about the cost of the intervention, and the sensitivity analysis suggested that  
817 implementation on the basis of QoL should be interpreted with caution. The authors concluded that the  
818 implementation of MARQUE should not be recommended on the basis of differences in costs, QALYs, or  
819 cost-effectiveness and suggested that higher intensity interventions would be required for people with agitation  
820 in care homes.

821 Meeuwssen et al. [62] performed a cost-utility analysis on Dutch memory clinics in comparison with care  
822 provided by a general practitioner (GP) to community-dwelling patients newly diagnosed with MMD and their  
823 informal caregivers, with a 12-month follow-up. Compared to GPs' care, treatment provided by the memory  
824 clinics was on average €1,024 cheaper and showed a non-significant decrease of 0.025 QALYs (the ICER's  
825 denominator was the sum of the patient and caregiver QALYs). Therefore, they found no evidence that  
826 memory clinics were more cost-effective compared to general practitioners with regard to post-diagnosis  
827 treatment and coordination of care of patients with dementia in the first year after diagnosis.

828

## 829 **Interventions for carers**

830 Drummond et al. [64] performed a cost-utility analysis concurrently with a randomised trial comparing a  
831 Caregiver Support Programme (CSP) with existing conventional community nursing care for those caring for

832 elderly relatives at home. The intervention included nurse visits, respite care, and support group sessions. The  
833 differences in resource consumption were compared with changes in caregivers' QoL, as measured by the  
834 Caregiver Quality of Life Instrument (CQLI). They found an ICER of CAD\$20,000 per caregiver's QALY,  
835 but due to the statistically non-significant difference in outcome levels, evidence of cost-effectiveness was  
836 considered scarce.

837 Joling et al. [67] studied the cost-utility of regular family meetings to prevent depression and anxiety in  
838 informal caregivers of community-dwelling PwDs. Outcome measures included the QALYs of caregivers and  
839 patients and the incidence of depression and anxiety disorders in caregivers. The most important cost drivers  
840 involved informal care costs, day treatment, and admission costs of hospital and long-term care facilities for  
841 the patients. They observed no significant differences in total costs between both groups, including costs due  
842 to caregivers' work absenteeism and healthcare utilisation. They found that the maximum probability that the  
843 intervention was considered cost-effective in comparison with usual care reached 40% for the outcome QALY  
844 per patient-caregiver dyad and 60% for the caregivers' incidence of depression and/or anxiety disorders  
845 regardless of the willingness-to-pay. They concluded that the intervention was not cost-effective compared to  
846 usual care.

847 The Befriending and Costs of Caring trial (BECCA) aimed to establish whether another intervention aimed at  
848 caregivers, namely a structured befriending service, improved the QoL of carers of PwDs, and at what cost.  
849 Two back-to-back studies within the BECCA trial (Wilson et al. [123] together with Charlesworth et al. [127])  
850 analysed the intervention consisting of regular contact with a befriender facilitator to help caregivers improve  
851 their psychological wellbeing. Participants were family carers who were cohabiting with, or providing at least  
852 20 hours' care per week for, a community-dwelling relative with a primary progressive dementia. The point  
853 estimate ICER was £105,954 per QALY gained, with only a 42.2% probability of being below £30,000 per  
854 QALY gained (including the PwD's QALYs, the ICER was £28,848, with a 51.4% probability of being below  
855 £30,000). The analysis concluded that it was unlikely that befriending was a cost-effective intervention from  
856 the societal point of view.

857

858

## 859 **Multicomponent interventions**

860 Sjøgaard et al. [74] evaluated the effectiveness and cost-effectiveness at 36 months of an early psychosocial  
861 intervention aimed at patient-caregiver dyads that included different forms of counselling, education, and  
862 support (both individual- and group-based). The study was conducted alongside the randomised controlled  
863 multicentre trial of the Danish Alzheimer's Intervention Study (DAISY). QALYs were estimated separately  
864 for the patient and the caregiver (using the EQ-5D) before aggregation for the main analysis. The authors found  
865 no significant difference in both the measured costs and QALYs between the intervention and control groups,  
866 although a tendency was noted for psychosocial care leading to cost increases with informal care that was not  
867 outweighed by the tendency for cost savings with formal care. The probability of a composite psychosocial  
868 intervention being cost-effective from a societal perspective did not exceed 36% for any threshold value, and  
869 the alternative scenario analysis showed that the probability of cost-effectiveness increased over the range of  
870 the threshold values used if the cost perspective was restricted to formal health care. The authors recommended  
871 for practices in similar settings to provide follow-up with referrals to available local support programmes when  
872 needed and to restrict large, multifaceted intervention programmes to patients and caregivers with special needs  
873 until further evidence for cost-effectiveness emerges.

874 Eloniemi-Sulkava et al. [75] analysed the cost savings associated with a multicomponent intervention directed  
875 at community-dwelling patient-spouse dyads. Intervention couples were provided with a multicomponent  
876 intervention program with a family care coordinator, a geriatrician, support groups for caregivers, and  
877 individualised services. The considered outcomes were time from enrolment to institutionalisation of spouses  
878 with dementia and the use of services and service expenditures of couples. At 1.6 years, two times as many  
879 PwDs in the control group were in long-term institutional care as in the intervention group. Over a two-year  
880 time horizon (at the end of the intervention), the authors found a substantial equivalence in the  
881 institutionalisation risk between the control and the treated groups and a decrease in healthcare costs for the  
882 intervention group (the mean difference was €7,985 per capita per year) due to a reduction in the use of  
883 community services and expenditures. However, when the intervention costs were included, the differences  
884 between the groups were not significant.

885

## 886 **Discussion**

887

### 888 **Main findings**

889

890 This systematic review highlights the main evidence on the cost-effectiveness of SCIs for PwDs and their  
891 caregivers. The analysed studies were quite heterogeneous in quality and included relevant costs and outcome  
892 measures. Nevertheless, the higher quality studies may provide useful findings on the value for money of  
893 specific SCIs.

894 Ten studies provided evidence of high cost-effectiveness for seven SCIs (see Table 3): two cognitive  
895 stimulation and occupational programmes for community-dwelling PwDs [108, 116]; two indirect  
896 interventions (a group living service for PwDs [63] and a home care service [109]); two interventions, START  
897 and REACH II, aimed at caregivers of community-dwelling PwDs [66, 68, 117-118]; and one multicomponent  
898 intervention, WHELD, targeted towards patients in nursing homes [71-72]. Three of these SCIs were found  
899 dominant (i.e., less costly and more effective) over usual care, including the community-based occupational  
900 therapy intervention from memory clinics analysed by Graff et al. [108], the group living service considered  
901 by Wimo et al. [63], and the multicomponent WHELD intervention for resident PwDs [71-72]. START, the  
902 individual psychosocial therapy programme aimed at informal caregivers, was found to be more effective and  
903 costlier than usual care, but its ICER had a high probability of being within the acceptability threshold range  
904 of £20,000–30,000 per QALY currently used by the UK National Institute for Health and Care Excellence  
905 (NICE), which is also commonly used as an international benchmark [126]. For the other three SCIs—the  
906 cognitive stimulation programme for the patient-caregiver dyad TAP and two other community-based indirect  
907 interventions—the ICER was expressed as an incremental cost per unit of effectiveness: for the TAP  
908 programme [116] and the psychosocial programme for caregivers REACH II [68] the cost of an additional  
909 hour of caregiving time that can be saved by the intervention was well below the opportunity cost of caregiver's  
910 time; for the Dutch Geriatric Intervention Programme, consisting of regular nurse visits for community-  
911 dwelling PwDs [109], the mean incremental cost per successful treatment (prevented functional decline  
912 together with improved wellbeing of PwD) was quite low (about €3,500).



913 Two out of ten studies providing evidence of high cost-effectiveness—those related to the START programme  
914 aimed at informal caregivers [66] and community-based occupational therapy interventions from memory  
915 clinics [108]—were assessed as being of high quality according to the CHEC criteria [80]; seven other studies  
916 [63, 68, 71-72, 109, 117-118] received a medium-quality appraisal, while only one study [116] was classified  
917 as being of low quality.

918 Fourteen studies found evidence of moderate cost-effectiveness for 13 SCIs (see Table 4), including six  
919 cognitive stimulation and rehabilitation programmes for community-dwelling PwDs [110, 112], nursing home  
920 residents [119, 120], or PwDs in different settings [111, 124]; one light physical exercise programme [125];  
921 four indirect interventions, including personalised case management [58], DCM [59, 115], adult day care  
922 services [65], and DCM in a nursing home setting [121]; one intervention for caregivers [122]; and one  
923 community-based multicomponent intervention consisting of an integrated and personalised approach [73].

924 Nine studies found no or insufficient evidence of cost-effectiveness for nine SCIs (see Table 5), including one  
925 cognitive stimulation programme based on reminiscence group therapy [113]; one aerobic exercise and  
926 resistance training programme [114]; two indirect interventions, including training sessions on agitation for  
927 nursing home staff (MARQUE) [61] and memory clinics [62]; three interventions primarily targeted towards  
928 caregivers [64, 67, 123]; and two community-based multicomponent interventions [74, 75].

929 Our analysis partially confirms some results of previous systematic reviews. For example, the systematic  
930 reviews by Knapp et al. [27], Nickel et al. [30], and Clarkson et al. [31] suggested that tailored occupational  
931 therapy for community-dwelling patients and caregivers [108, 116] and cognitive and long-term psychological  
932 interventions directly delivered to PwDs [111, 124] may be either highly or moderately cost-effective with  
933 regard to specific outcomes, while joint reminiscence groups for PwDs and carers [113] were found unlikely  
934 to be cost-effective. Previous systematic reviews, including the analysis by Jones et al. [29], provided mixed  
935 evidence with regard to interventions aimed directly at informal caregivers, even though in more recent reviews  
936 [30, 31], the START intervention [66, 117-118] emerged as potentially cost-effective. Our analysis shows that  
937 some forms of psychosocial intervention for informal caregivers are highly cost-effective (e.g., the REACH II  
938 and START programmes [66, 68, 117-118]) or moderately cost-effective (e.g., the adult day care service  
939 analysed by Gaugler et al. [122]), while other similar interventions have little or no cost-effectiveness (e.g.,  
940 family meetings and befriending [67, 123]).

941 In contrast to other reviews, we found a high value for money of structured multicomponent interventions  
942 targeted towards patients in nursing homes, which have the potential to draw benefits from the most cost-  
943 effective one-dimensional programmes. This was the case for the UK-based Improving Wellbeing and Health  
944 for People with Dementia (WHELD) programme for patients in nursing homes, which combines person-  
945 centred care, physical exercise, psychosocial activities, training for care staff, and the development of a system  
946 for triggering the appropriate review of antipsychotic medications for PwDs [71,72]. On the contrary, other  
947 multicomponent interventions for community-dwelling patients and caregivers were assessed as moderately  
948 cost-effective [73] or without any significant cost-effectiveness [74, 75]. We also found evidence of moderate  
949 cost-effectiveness of specific cognitive stimulation programmes for institutionalised PwDs (in particular, the  
950 learning therapy programme analysed by Sado et al. [119]) or for community-dwelling PwDs and their carers  
951 (specifically, the tailored cognitive rehabilitation programme investigated by Clare et al. within the GREAT  
952 trial [110]). In contrast to previous reviews [30, 31], we were not able to find clear evidence of value for money  
953 for exercise programmes. For example, the individually tailored exercise intervention considered by D’Amico  
954 et al. [125] significantly improved patients’ NPI scores but, owing to its high costs, it did not appear cost-  
955 effective when considering QALY gains; the DAPA, another exercise programme that was studied by Khan  
956 et al. [114], was dominated by usual practice in terms of cost-effectiveness. Similarly, several indirect  
957 interventions centred around organisational and environmental changes showed either moderate [58-59, 65,  
958 121] or no [61-62] cost-effectiveness.

959

## 960 **Methodological and operational challenges for the cost-effectiveness of** 961 **supportive care interventions**

962

963 From this review, we can identify a number of critical issues concerning both the methodology of economic  
964 evaluations and actual barriers to achieving better value for money of SCIs for dementia care.

965 A first issue is the high methodological heterogeneity of the available studies in terms of quality, populations  
966 studied (regarding severity, comorbidity, and care settings), and the inclusion of relevant costs and outcome  
967 measures, which make it difficult to generalise their results. A frequent limitation of the analysed studies is the

968 short time frame adopted for measuring most outcomes and costs. This is a result of the fact that most studies  
969 were trial-based evaluations. Additional research would be required to investigate the SCIs' effects over longer  
970 time horizons. For example, using a decision analysis modelling strategy to compare the costs and effectiveness  
971 of the interventions in the longer term could be an option to explore.

972 A second methodological issue concerns the instruments used to measure the outcomes of SCIs in terms of  
973 QoL for PwDs and to derive QALYs in cost-utility analyses. The reviewed studies applied two types of  
974 instruments: a) generic instruments to assess HRQoL, such as the EQ-5D [58, 61-62, 73-74, 111, 113-114,  
975 119, 123], the IWB scale [63, 65], the SF-12 [59, 67], and the WHOQoL-BREF [110]; and b) dementia-specific  
976 instruments to measure the QoL of PwDs, such as the QoL-AD [111-114, 123-124], the DEMQOL [110-111],  
977 and the DEMQOL-Proxy [61, 71-72, 111-112, 125]. The generic and dementia-specific QoL measures  
978 generally did not provide consistent cost-effectiveness findings. In particular, generic measures may not  
979 capture all relevant aspects associated with PwDs' experiences, even though instruments such as the SF-12 or  
980 EQ-5D have been shown to be suitable for HRQoL self-reporting by PwDs in mild and moderate stages [59,  
981 62, 111, 113-114, 123].

982 Since the assessment of self-report HRQoL and dementia-related QoL in PwDs is often characterised by recall  
983 bias and missing values, many studies opted for using carer-proxy reports of PwD QoL [58, 61, 67, 71-74,  
984 111-114, 117,125]; another option was to convert data from clinical/health measures into QoL estimates  
985 according to the views of expert external observers [63, 65]. However, there are important differences between  
986 self-report and carer-proxy (or expert-proxy) reports [30,128-129]. For this reason, it may be useful to use both  
987 self and proxy ratings in the economic analyses of SCIs. Actually, some analysed studies [111-114] provided  
988 both measures of PwDs' QoL, thus confirming that self-rated and proxy QoL measures often have low levels  
989 of overall agreement and therefore cannot be assumed to substitute for each other. Furthermore, because the  
990 outcomes for caregivers and PwDs are typically interlinked, it is important to jointly assess the QoL of patient-  
991 caregiver dyads in order to take into account the type of caregiving relationship, which is an area of outcome  
992 assessment that has not yet been adequately developed. Four studies considered in our review assessed the  
993 QoL of patient-caregiver dyads [58, 62, 67, 74] by calculating the combined QALY scores through the simple  
994 summing of the QALYs for the PwD and the caregiver.

995 Another methodological issue concerns the identification and evaluation of the costs of SCIs. In this respect,  
996 18 out of 33 of the studies under review adopted a narrow perspective, looking only at the health and social  
997 care system and overlooking the opportunity costs of informal caregiver inputs and the impacts of caring on  
998 their own health and wellbeing. Given the pivotal roles of family and other carers in dementia care, several  
999 authors [27, 30] recommend that economic evaluations of SCIs for PwDs take on a societal perspective,  
1000 including all relevant costs irrespective of where they occur and where they are funded.

1001 Regarding the operational challenges surrounding the adoption of cost-effective SCIs, a first issue to consider  
1002 is that pure cost-effectiveness analyses measure the ICER as an incremental cost per one-point difference in  
1003 specific outcome measures, such as the MMSE [111, 124], NPI [125], CMAI [72, 120], or carer's HADS  
1004 scores [66, 117-118]. However, in contrast to a cost-utility analysis, where the ICER is expressed in terms of  
1005 the incremental cost per QALY gained and the acceptability threshold range of £20,000–30,000 per QALY is  
1006 frequently used, no established cost-effectiveness benchmark exists for such outcome changes. Therefore, it is  
1007 quite difficult to ascertain whether a particular SCI represents good value for money to the health and social  
1008 care system, because we do not know the decision maker's willingness-to-pay for a one-unit reduction in the  
1009 MMSE NPI, CMAI, or HADS scores.

1010 Another operational challenge underlined by Knapp et al. [27] is that the cost-effectiveness of SCIs for PwDs  
1011 depends crucially on the degree of integration between health and social care services, which are often  
1012 delivered by different providers and funded from different budgets. It is therefore crucial to improve  
1013 coordination between these services in order to increase the efficiency and effectiveness of interventions for  
1014 PwDs.

1015

## 1016 **Limitations**

1017

1018 The high heterogeneity of the analysed studies with regard to the study populations considered, relevant costs  
1019 and outcome measures, time horizons, and perspectives of the analyses made it impossible to perform a meta-  
1020 analysis of the studies; therefore, we used a narrative summary approach.

1021 Even though we conducted a comprehensive literature search based on extensive search terms, some papers  
1022 meeting the criteria for inclusion might not have been identified. Furthermore, our systematic review may be  
1023 subject to a language bias, as only publications in English or those with an abstract in English were included.  
1024 Some studies reviewed [63-65] were published years before the development of guidelines for assessing the  
1025 methodological quality of health economics evaluations, such as the CHEC criteria [80]; therefore, their quality  
1026 assessment may have been compromised. In any event, we decided to include these studies in the review  
1027 because they evaluated forms of home support not considered by more recent studies, thereby allowing us to  
1028 cover a wider range of SCIs for PwDs.

1029

## 1030 **Future research**

1031

1032 Our systematic review has highlighted the potential cost-effectiveness of multicomponent SCIs targeted  
1033 towards patients in nursing homes (e.g., the WHELD programme [71-72]) that combine several interventions  
1034 (person-centred care, physical exercise, psychosocial activities, behaviour management and training for care  
1035 staff, the development of multi-disciplinary teams) with positive effects in terms of a decrease in challenging  
1036 behaviour and the prescription of psychoactive drugs. Other studies [73-75] provided mixed evidence with  
1037 regard to the value for money of community-based structured multicomponent interventions targeted at  
1038 persons with MMD. Future research should therefore examine the cost-effectiveness of structured  
1039 multicomponent interventions in different care settings and consider subgroups of PwDs at different disease  
1040 stages. Furthermore, it is important to assess the impact on cost-effectiveness of the different components of  
1041 multi-disciplinary interventions by focusing on the assessment of the roles of care coordination and case  
1042 management. Eliciting the contribution of each component to the SCIs' costs and outcomes would be important  
1043 in terms of policy by highlighting how and why specific interventions may work to benefit PwDs and/or their  
1044 caregivers.

1045 Similar to other systematic reviews [29-31], we found mixed evidence with regard to SCIs targeted towards  
1046 informal caregivers. Specifically, some forms of psychosocial intervention for informal caregivers are highly  
1047 cost-effective [66, 68, 117-118] or moderately cost-effective [122], while others have shown little or no cost-

1048 effectiveness [67, 123]. As such, further investigation is needed to ascertain the real effects of interventions  
1049 aimed directly at carers.

1050

## 1051 **Conclusion**

1052

1053 To assess the current state of research on the cost-effectiveness of SCIs for dementia, we performed a  
1054 systematic review of the economic evidence, which is still scarce despite the several calls for action that have  
1055 been made in the past few years [12, 27, 29, 130]. We reviewed 33 studies that analysed 29 SCIs located at  
1056 different stages of the care pathway for dementia that were generally directed at patient-caregiver dyads. Most  
1057 interventions (23 out of 29) were implemented in European countries with comparable underlying health and  
1058 social care systems.

1059 We found that the most promising SCIs in terms of cost-effectiveness were some multicomponent  
1060 interventions targeted towards nursing home residents, such as the WHELD programme [71-72], together with  
1061 some forms of tailored occupational therapy [108, 116] and home care support services [63, 114] for  
1062 community-dwelling PwDs. Our analysis has also shown that some forms of psychosocial intervention for  
1063 informal caregivers of community-dwelling PwDs, such as the REACH II and START programmes [66, 68,  
1064 117-118], were highly cost-effective. These results suggest the importance of policies promoting the adoption  
1065 of effective supportive care interventions to integrate or substitute the use of medications to increase the  
1066 economic sustainability of dementia care.

1067 Further research is required to establish the cost-effectiveness of structured multicomponent interventions in  
1068 different care settings by considering subgroups of PwDs at different disease stages and assessing the impact  
1069 of each component of the intervention. Moreover, since the evidence on the cost-effectiveness of SCIs targeted  
1070 towards informal caregivers is mixed, further investigation is needed to ascertain the real effects of these  
1071 interventions on both the PwD and his/her carer.

## 1072 **Supporting Information**

1073 **Checklist S1.** PRISMA Checklist

1074 **S1 File.** Electronic Search Strategy

1075 **S1 Table.** Assessment of methodological quality of the studies with evidence of high cost-effectiveness of  
1076 SCIs

1077 **S2 Table.** Assessment of methodological quality of the studies with evidence of moderate cost-effectiveness  
1078 of SCIs

1079 **S3 Table.** Assessment of methodological quality of the studies without evidence of cost-effectiveness of SCIs

1080

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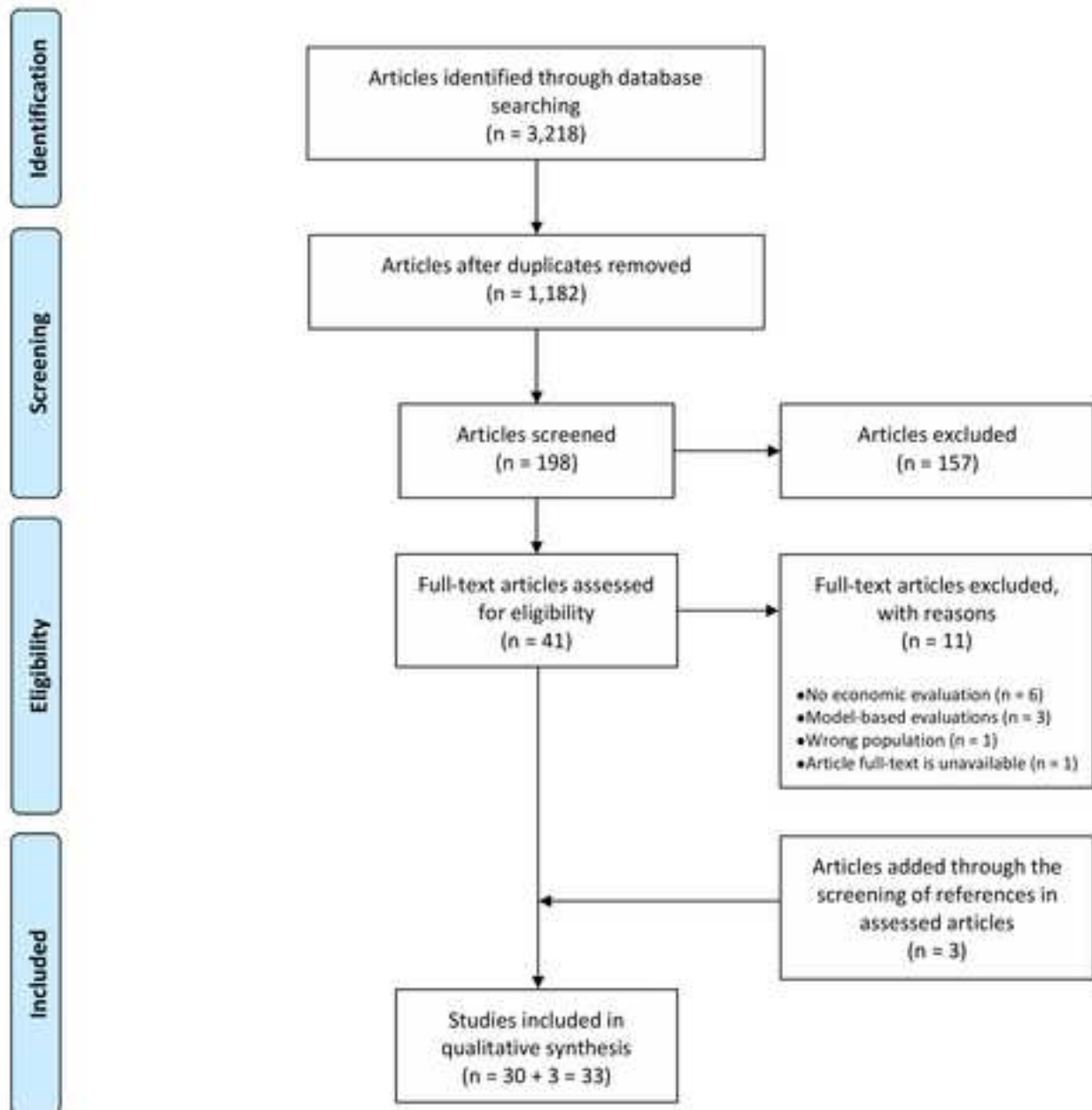
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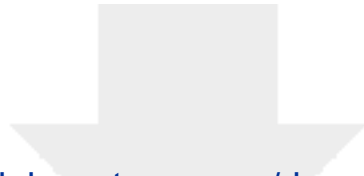
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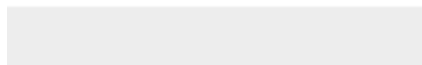
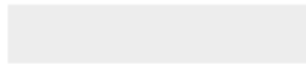
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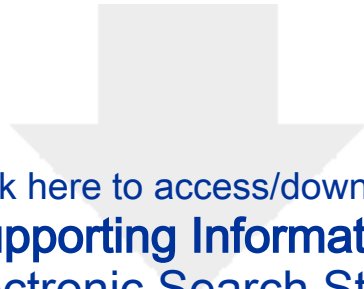
Figure 1. PRISMA flow chart of the study selection process.





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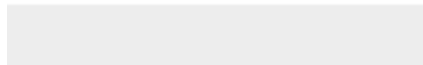




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