

Supplement Protocol

Additional low-voltage area ablation in older patients with paroxysmal atrial

fibrillation: a randomized controlled trial

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- 10 This supplement contains the following items:
- 11 1. Original protocol.
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16 **Additional low-voltage area ablation in older patients with paroxysmal atrial**

- 17 **fibrillation: a randomized controlled trial**
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20 **PRINCIPAL INVESTIGATOR**

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31 **CONTENTS**

49 **1 BACKGROUND**

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51 **Current Status of Catheter Ablation of Paroxysmal Atrial Fibrillation**

52 Atrial fibrillation (AF) is the most common cardiac rhythm disorder, which affects patients' 53 morbidity and mortality. In 1998, Haissaguerre reported that the pulmonary veins are an important 54 source of ectopic beats, initiating frequent paroxysms of paroxysmal atrial fibrillation (PAF) . 55 These foci respond well to the treatment with radiofrequency ablation. Pappone applied 56 circumferential pulmonary vein ablation under the guidance of the electroanatomical mapping 57 system to treat paroxysmal and chronic atrial fibrillation.² Then, doctors in Hamburg further 58 emphasized the isolation endpoint of this circumferential ablation confirmed by the double-lasso technique. 3 Currently, the most commonly employed strategy for trigger ablation is the isolation 60 of the pulmonary venous antra from the rest of the left atrium (LA), which has become the 61 cornerstone of all AF ablation. $4\overline{)}$

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63 **Pulmonary Vein Isolation alone is not enough**

64 When circumferential wide-antral pulmonary vein isolation (CPVI) was undertaken, the 65 short-term of single-procedure success rate could reach 90% ³. However, the long-term outcome 66 was quite different. Data from the Hamburg center reported, sinus rhythm (SR) was present in 67 only 46.6% of patients after the single-procedure during a long-term follow-up of 5 years.⁵ 68 Recently, CPVI was achieved by using the contact-force sensing catheter and algorithm-based 69 lesion quality control, the single-procedure success rate for PAF was as high as 72.5-75.9% over 70 1-year follow-up. ⁶⁻⁷ However, the overall success rate for PAF is still unsatisfactory, especially 71 in senior patients, with larger LA size or more comorbidities. $8-9$

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73 **Additional Linear Ablation and CFE Ablation without Additional Benefit**

74 In our previous study, we randomized 118 patients with drug-refractory PAF to receive CPVI 75 ablation ($n = 60$) or complex fragmental electrograms (CFE) ablation ($n = 58$). Patients who 76 received CFE ablation alone had significantly lower overall success rate (38% vs 77%, $P = 0.002$) 77 and higher recurrence rate of atrial tachycardia (AT) $(34.5\% \text{ vs } 11.9\% , P = 0.004)$ compared 78 with patients who received CPVI alone. Further analysis demonstrated that CPVI plus CFE ablation did not improve the overall success rate (CPVI vs CPVI plus CFE, 77% vs 69%).¹⁰

80 In the STAR-AF II trial, 589 patients with persistent atrial fibrillation (PeAF) were enrolled in a 81 1:4:4 ratio to ablation with pulmonary-vein isolation alone (67 patients), pulmonary-vein 82 isolation plus ablation of electrograms showing complex fractionated activity (263 patients), or 83 pulmonary-vein isolation plus additional linear ablation across the left atrial roof and mitral 84 valve isthmus (259 patients). It demonstrated no reduction in the rate of recurrent AF when 85 either linear ablation or ablation of CFEs was performed in addition to pulmonary-vein isolation 86 in PeAF patients. ¹¹ Similarly, our single-center study found that even with a combination of all 87 these strategies (additional linear ablation or ablation of CFEs), the 15-month success rate was 88 only 50% .¹² It appears that additional linear ablation and CFEs ablation do not dramatically 89 improve the overall success of PeAF ablation. This unsatisfactory result might be due to the high 90 occurrence of post-procedural AT and suggested that extensive CFEs and/or line ablation might 91 be proarrhythmic and more selective areas might be needed to characterize an individualized 92 arrhythmogenic substrate.

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94 **Scar Homogenization as the Ablation Strategy for AF**

95 Since the atrial fibrotic areas correlate strongly with AF, catheter ablation targeting these areas as 96 substrate modification beyond CPVI is a new strategy. In the study of Rolf et al, 178 patients 97 with paroxysmal or persistent AF were included. The confined lower voltage area (LVA) was 98 targeted for regional ablation, which aimed to homogenize the diseased LA tissue by 99 radiofrequency ablation during SR. 13 The end point for areal ablation was reached with a 100 significant reduction in local electrograms, defragmentation, and loss of capture while

101 stimulating the ablation catheter with high output (10 mA; 2 ms) during SR. Strategic linear 102 lesions were performed whenever ablative substrate homogenization could not be completed 103 because of potential collateral damage (e.g, septal near the AV-node or posterior close to the 104 esophagus) or when extensive regional ablation might have created critical isthmus sites for 105 potential macro-reentrant tachycardias (e.g, near the roof or anterior LA to prevent 106 roof-dependent or peri-mitral AT). These strategic linear lesions either connected 107 non-conducting tissues with other anatomic electrical barrier structures traversing target LVA or 108 encircled large LVA to electrically isolate the diseased tissue from the rest of the healthy atrium. 109 The end-point for strategic lesion creation was reached with the confirmation of a complete 110 block (e.g, peri-mitral conduction) as indicated by (1) reduction of local electrogram amplitude, 111 (2) loss of local capture, (3) confirmation of double potentials on the line and analysis of 112 activation sequence, while stimulating near the linear lesion line. After CPVI with or without 113 substrate modification, burst pacing (10V; 2ms) from the proximal coronary sinus was conducted 114 (10-s periods, decreasing cycle lengths from 300ms until refractoriness in 20-ms steps). 115 Inducible regular AT were targeted for radiofrequency ablation with AT termination and 116 non-inducibility as the clinical end-point. The success rate at 12 months was 70% in patients 117 with LVA, and 62% in patients without LVA. Success rate did not differ significantly in 118 paroxysmal patients versus patients with PeAF (69% vs $61\%; P = 0.28$). In the same way, 119 Kottkamp H et al. applied box isolation of fibrotic areas in both paroxysmal and 120 non-paroxysmal AF patients and achieved favorable results. ¹⁴⁻¹⁵

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122 Voltage-guided ablation of the posterior wall beyond CPVI may also improve arrhythmia-free 123 survival. ¹⁶ After CPVI was achieved, posterior wall voltage mapping was performed using a 124 3-D electro-anatomical mapping system during SR. The presence of a scar was defined as a 125 region that reproducibly demonstrated an area of > 0.25 cm² on the posterior wall with a voltage 126 less than 0.5mV. Posterior wall ablation, if the low voltage was found, was preferably performed 127 using a posterior roof line and a floor line completing a posterior wall "box". Importantly, the 128 borders of the box were intended to encompass the area of low voltage. The low voltage to be 129 targeted without completion of the "box" was allowed if clinically indicated, such as esophageal 130 temperature concerns. Voltage-guided ablation increased 1-year AF/AT free survival in patients 131 compared to standard ablation (80% vs 57%; P = 0.005). However, another study compared the 132 long-term outcome in patients with PAF and severe LA scarring identified by 3-D mapping, in 133 which CPVI only, CPVI plus the scar homogenization, or CPVI plus a strategy of the non-PV 134 triggers were applied. ¹⁷ In this selective population, the long-term outcome of CPVI plus scar 135 homogenization was only slightly improved.

136 We noticed the correlation between AF and atrial fibrosis and hypothesized that the LA fibrotic 137 areas might be the AF-maintaining substrate. Based on this proposition, we performed LA 138 high-density substrate mapping during SR in different AF populations (paroxysmal, 139 non-paroxysmal, and longstanding persistent AF), with 20 normal subjects as controls. Our study 140 showed that with AF progression, the average voltage of LA decreased and the area of the low 141 voltage zone increased; the activation time of the entire LA got longer, while more complex 142 electrograms during SR were found in LA. Importantly, we defined the LVA (voltage range: 143 0.1-0.4 mV) and the transitional zone (TZ, voltage range: 0.4-1.3 mV) in which 95% of sampling 144 points were located during SR. ¹⁸ After this study, a novel ablation strategy was developed 145 (CPVI plus, STABLE-SR), which modified the LA substrate during SR. Our sequential ablation 146 protocol includes 5 steps. First, CPVI should be completed followed by cavotricuspid isthmus 147 ablation. Then, if AF is maintained, direct current cardioversion was performed. High-density 148 mapping of LA substrate would be done during SR to identify the LVA and TZ. Homogenization 149 of the low voltage zones and elimination of the complex electrograms from the TZs would be 150 performed. If possible, linear ablation would be designed according to the mapping results. 151 Finally, bidirectional conduction block should be demonstrated for all the linear lesions, and all 152 the PVs would be double checked for isolation.¹⁹ The most important revolution of our novel 153 approach was the individualized LVA ablation based on electrophysiological mapping results to

- 154 avoid empirical linear ablation and subjective CFE ablation.
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156 **STABLE-SR Pilot Study**

157 In our single-center pilot study regarding this new ablation strategy for non-paroxysmal AF 158 patients, the promising results were shown. A total of 78 matched patients who had a traditional 159 stepwise ablation strategy were used as the control group. During a follow-up period of >30 160 months, the Kaplan-Meier estimated probability to maintain SR was 69.8% vs 51.3%. After a 161 single procedure, 3.5% developed post procedural AT in the study group compared with 30% in 162 the control group ($P = 0.0003$). ¹⁹ This strategy proposed a more comprehensive substrate 163 modification approach not only targeting the profound LVA but also addressing the TZs. It is 164 analogous to what has been used in conventional pathologic ventricular tachycardia ablation and 165 is supposed to be the combination of both curative (AF) and preventative (AT) strategies. The 166 other reproducible study was from Dr. Yamaguchi's work. 20

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168 **A Randomised Controlled Multicenter Trial (STABLE-SR)**

169 A large-scale randomized controlled clinical trial was designed and conducted by our center to 170 prove the effectiveness and the reproducibility of this new approach compared to the STEPWISE 171 strategy. In this multicenter, randomized clinical trial, 229 symptomatic non-paroxysmal AF 172 patients were 1:1 randomized to the STABLE-SR group (n=114) or the conventional STEPWISE 173 (n=115) group. At 18 months, 74.0% of the patients in the STABLE-SR group and 71.5% in the 174 STEPWISE group (HR: 0.78 , 95% CI: 0.47 -1.29, P = 0.325) achieved success according to 175 intention-to-treat analysis. However, less procedure time (186.8±52.7 min vs 210.5±48.0 min, P 176 \leq 0.001), reduced post-CPVI fluoroscopic time (11.0±7.8 min vs 13.7±8.9 min, P = 0.006), and 177 shorter energy delivery time $(60.1 \pm 25.1 \text{min} \text{ vs } 75.0 \pm 24.3 \text{ min}, P \le 0.001)$ were observed in the 178 STABLE-SR group compared to the STEPWISE group. Over 50% of non-paroxysmal AF patients do not need further ablation beyond CPVI and therefore can avoid excessive ablation.²¹

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181 **Why We Start STABLE-SR III Trial?**

182 Currently, effective ablation strategies including CPVI at the PV antrum level, drug provocation, 183 targeting non-PV triggers, and LVA modification have been performed in PAF patients to further ¹⁶² improve the success rate. ^{6-7,13,22} Among these, the benefit of durable CPVI and non-PV triggers 185 ablation has been reported and sufficiently proved. However, the long-term outcome of CPVI is 186 worse in older PAF individuals than that in younger patients; $23-25$ moreover, data on LVA 187 ablation in PAF patients is limited and which population benefits the most remains unknown. 188 This poor result might be attributed to the development of different extents of atrial fibrosis 189 which has been supported by various studies of histopathology, $^{26-27}$ magnetic resonance imaging, 190 28 and voltage map. 29 It has been demonstrated that left atrial fibrosis increased with age in both 191 men and women with AF. Our previous studies demonstrated that atrial fibrosis was the 192 proarrhythmic substrate which could be translated into electric signal abnormalities and depicted 193 on 3-D voltage map, 12,19,21 and targeting LVA beyond CPVI was a simplified and personalised 194 adjunctive strategy for persistent AF. 19,21 We hypothesize that senior PAF patients who might 195 have more LA substrate could also benefit from LVA ablation beyond CPVI for further 196 improving the single ablation success rate. Moreover, no study has investigated the prevalence of 197 LVA in senior PAF patients and no randomized clinical trial has been conducted to prove the 198 efficacy and safety of LVA ablation in older patients with PAF. Therefore, we design this 199 multicenter randomized single-blind trial to compare the efficacy of CPVI plus LVA ablation vs 200 CPVI alone in older PAF individuals.

201 **2 OBJECTIVES**

306 **5.3 ENROLMENT TARGET**

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308 The enrolment target for this investigation is 434 patients. For more information, refer 309 to section 7.1 Sample Size Justification.

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311 311 **5.4 RANDOMISATION**

- 313 5.4.1 Simple computerized randomization is used.
- 314 5.4.2 Patients are randomized in a 1:1 fashion into the investigation arm or the control arm.
- 315 5.4.3 Because of the nature of the ablation procedures, physicians cannot be blinded to the

316 randomization. Patients will be blinded to their ablation strategy (single-blind design).

318 **5.5 DESIGN**

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320 CPVI plus group: CPVI plus LVA ablation in the LA during SR;
- 321 CPVI alone group: only CPVI.
- 322 AF/AT/AFL recurrence during the blanking period will not be considered. If AF/AT/AFL recurrence is 323 detected after the blanking period, the patient would be advised to receive a redo procedure according to
- 324 the operator.
- 325 **6 PRODUCT**

389 **8 PROTOCOL DESCRIPTION**

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391 **8.1 PROCEDURES OVERVIEW**

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393 **Table 1 Procedure Overview**

397 **8.2 ADVERSE EVENTS**

399 Definition of adverse event, adverse device effect, serious adverse event, and serious 400 adverse device effect according to ISO 14155:

- 401 8.2.1 Adverse event (AE) is defined as any untoward medical occurrence in a patient or clinical 402 investigation patient.
403 8.2.2 Serious adverse even
- 403 8.2.2 Serious adverse event (SAE) is defined as an adverse event that led to a serious deterioration
404 time health of a patient that resulted in death, a life-threatening illness or injury, permanent 404 in the health of a patient that resulted in death, a life-threatening illness or injury, permanent impairment of a body structure or a body function, in-patient hospitalization or prolongation impairment of a body structure or a body function, in-patient hospitalization or prolongation 406 of existing hospitalization; and medical or surgical intervention to prevent permanent 407 impairment to a body structure or a body function.

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409 **Table 2-Possible Adverse Events**

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8.2.3 Procedure for Recording and Reporting Adverse Events

- 412 8.2.3.1 Safety surveillance and reporting will be done for all patients enrolled in the investigation, regardless of the randomization group. investigation, regardless of the randomization group.
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- 414 8.2.3.2 All serious adverse events and all adverse device effects are to be documented and reported to the sponsor immediately. reported to the sponsor immediately.

572 8.8.3.3 After transseptal access, patients should be anticoagulated with intravenous heparin 573 to maintain an ACT of 250-350 seconds.

574 8.8.3.4 Pulmonary venography should be performed before ablation. PV stenosis should be 575 documented in CRF.

578 8.8.3.6 Ablation will be performed using a market-approved open irrigated tip ablation 579 catheter (smart-touch contact force sensing ablation catheter). In Table 3, the 580 maximum authorized power and irrigation settings are shown.

582 **Table 3-Irrigated ablation catheter maximum authorized settings**

- 584 8.8.3.7 Continuous impedance monitoring should be employed and RF should be discontinued if $a \ge 10$ ohm impedance rise or drop is observed. discontinued if a \geq 10 ohm impedance rise or drop is observed.
- 586 8.8.3.8 All procedures will be guided using a cardiac mapping system-CARTO3 System, 587 Biosense Webster Inc. The mapping system will be used to construct a 3-D 588 reconstruction (shell) of the LA, the PV, the CS, and RA if required. LA anatomy 589 was created with a multipolar mapping catheter (Lasso sensor or Pentaray, 590 Biosense Webster) or smart touch contact force sensing ablation catheter. If AF did 591 not convert to SR after CPVI, SR was restored by electrical cardioversion. The 592 number of collected surface points should be at least 150 for LA voltage mapping 593 using smart touch contact force sensing catheter with over 5 g per point. If the AF
594 transforms to atrial flutter or tachycardia during ablation. LA voltage mapping will transforms to atrial flutter or tachycardia during ablation, LA voltage mapping will 595 be conducted until SR was achieved by ablation.
- 596 8.8.3.9 After the geometry is completed, the ablation catheter is used to accurately mark the series of PVs on the model. After the substrate man, the region and size of LVA orifices of PVs on the model. After the substrate map, the region and size of LVA 598 and the average voltage will be calculated.

8.8.4 Ablation Strategies

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- 601 8.8.4.1 A description of the specific catheter ablation strategies is detailed in the following 602 sections: see 8.8.5. and 8.8.6.
- 603 "CPVI plus" group: CPVI plus LVA ablation if LVA existed; See 8.8.6.
- 604 Control group: CPVI alone regardless of LVA (see 8.8.5).

605 8.8.5 Wide Circumferential Pulmonary Vein Antrum Isolation

- 606 8.8.5.1 Through transseptal accesses, the mapping and ablation catheters will be advanced 607 into the LA, followed by reconstruction of the LA and PV anatomy using the 608 CARTO3 system.
- 609 8.8.5.2 The ostia and the antra of the PVs will be defined by pulmonary venography, 3D 610 electroanatomical shell, and local potentials.
- 611 8.8.5.3 The mapping catheter will then be placed sequentially within each of the PV antra to 612 record PV potentials. Circumferential RF lesions will then be placed at least 1-2 613 cm outside of the PV ostia to encircle and electrically isolate each of the PV antra 614 in order to avoid PV stenosis.
- 615 8.8.5.4 As each antrum is encircled, the mapping catheter should be used to confirm 616 electrical isolation. Isolation of the PV antrum will be considered complete when 617 all PV potentials within each antrum are abolished, as recorded by the Pentaray, 618 Lasso Sensor or Lasso mapping catheter.
- 619 8.8.5.5 No intravenous antiarrhythmics should be used during ablation to change AF cycle 620 length or to help regularize/terminate AF if AF is sustainable after CPVI.
- 621 8.8.5.6 If AF terminates or converts to AT before isolation, ablation should not be
- 622 discontinued until PVs are isolated.
623 8.8.5.7 Ablation lesion tags should be added 8.8.5.7 Ablation lesion tags should be added to the model surface using the VistagTM. The 624 settings of VistagTM were as follows:

^{576 8.8.3.5} A mapping catheter (Pentaray, Lasso Sensor or Lasso) should be used for both 577 anatomical mapping and confirmation of pulmonary vein isolation.

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784 **9 RISK DESCRIPTION AND MINIMIZATION** 785

786 There are no data suggesting that the risks as reported in section 8.2 Adverse Events are any higher with 787 one strategy over the other. In fact, as shown in our STABLE-SR pilot study, this novel approach was not 788 associated with any increased risk over CPVI alone. Even fluoroscopic exposure time and procedural 789 time were not significantly different between the two arms. 790

791 **10 INVESTIGATION ORGANIZATION** 792

793 **10.1 INVESTIGATION MANAGEMENT**

794 The organization that takes responsibility for the initiation and/or implementation and 795 coordination of the investigation is The First Affiliated Hospital of Nanjing Medical University, 796 located at:

- 797 300 Guangzhou Road 798 Nanjing, Jiangsu Province 799 P.R. China 800 Tel: +86 25 68303117 801 10.1.1 Sponsor's responsibilities are in accordance with applicable ISO 14155 guidelines; 802 10.1.2 This includes but is not limited to the following activities: 803 10.1.2.1 Select the clinical investigators; 804 10.1.2.2 Activate the study centers after receipt of the required documentation; 805 10.1.2.3 Develop the study database, and perform the analysis;
806 10.1.2.4 Sign off the clinical investigational plan before the st 10.1.2.4 Sign off the clinical investigational plan before the start of the investigation or after 807 modifications to the protocol;
808 10.1.2.5 Reviewing collected data an 808 10.1.2.5 Reviewing collected data and investigation documentation for completeness and accuracy: accuracy; 810 10.1.2.6 Ensure that all adverse events and adverse device effects are reported and reviewed 811 by the clinical investigator(s) and where appropriate that all serious adverse events 812 and serious adverse device effects are reported to the relevant authorities and Ethics 813 Committee(s) and or safety monitoring committee(s). 814 815 **10.2 CLINICAL COORDINATING INVESTIGATOR** 816 The clinical coordinating investigator of the STABLE-SR III investigation is: 817 Minglong Chen, M.D. 818 Department of Cardiology 819 300 Guangzhou Road 820 Nanjing, P.R. China, 210029 821 Tel: +86 13809000791 822 E-mail: chenminglong α njmu.edu.cn 823 824 **10.3 INVESTIGATOR** 825 826 An investigator is defined as an individual and/or institution responsible for the 827 conduct of a clinical investigation who and/or which takes the clinical responsibility 828 for the well-being of the subjects involved. 829 10.3.1 Investigator Responsibilities 830 By agreeing to this protocol, the investigators and their institutions accept to allow 831 monitoring, audits, Ethics Committee and IRB review, and regulatory inspections that are 832 related to the investigation. They also agree to provide authorized individuals with direct 833 access to source data and documentation and the right to copy records, provided that such 834 activities do not violate patient consent and patient data confidentiality: 835 10.3.1.1 Providing signed Investigator/Co-Investigator(s) Agreement. 836 10.3.1.2 Providing appropriate Ethics Committees Approved Informed Consent.
- 837 10.3.1.3 Collection and archiving of data obtained after implant and at follow-up 838 examinations and after the investigation has been completed.
- 839 10.3.1.4 Screening and selecting appropriate patients.

840 10.3.1.5 Supporting the monitor and auditor, if applicable, in their activities to verify 841 compliance with the CIP, to perform source data verification and to correct the case 842 report forms where inconsistencies or missing values are identified. 843 10.3.2 Investigator study binder 844 The investigator will be provided with an Investigator Study Binder (ISB) at the 845 start of the investigation. This file contains all relevant documents necessary for 846 the conduct of the investigation. 847 848 **10.4 ETHICAL BASIS** 849 850 This investigation will be performed in accordance with the World Medical 851 Association Declaration of Helsinki (Appendix C), ISO 14155, and all local legal and 852 regulatory requirements. 853 Prior to the start of the investigation, the clinical investigational plan will be submitted 854 together with its associated documents (patient information sheets, patient informed 855 consent forms in the local language) to the relevant Ethics Committee 856 (EC)/Institutional Review Board (IRB) for review. Any amendments to the protocol 857 should be submitted to the relevant EC/IRB. EC/IRB will be informed about SAEs and 858 UADEs in accordance with local and national requirements. 859 860 **10.5 MONITORING**

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861 It is the responsibility of the CRO to ensure proper monitoring of the investigation and 862 ensure that the investigation is conducted, recorded, and reported in accordance with 863 the Clinical Investigational Plan, the signed Clinical Study Agreement, and the 864 applicable laws and regulations. Monitoring will be conducted at the centers 865 participating in the investigation according to the standard operating procedures and 866 work instructions. An overview of the monitoring activities is shown in Table 5.

868 **Table 5-Monitoring Activities**

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872 **10.6 STUDY REPORT AND PUBLICATION POLICY**

873 874 After the conclusion of the investigation, an integrated clinical and statistical report 875 shall be written by the clinical coordinating investigator. The first publication will 876 contain all data from all sites.

877 **11 APPENDIX A: ABBREVIATIONS**

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881 **12 APPENDIX B: DATA COLLECTION**

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885 **13 APPENDIX C: RANDOMISATION INSTRUCTIONS**

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887 Central randomization is used in this investigation. You must log in the Automated 888 Randomisation System via the internet. Each patient will be given a random number.

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- 969 970

⁹⁷¹**Supplement Protocol amendment**

- 972 This supplementary appendix has been provided by the investigators to give readers
- 973 additional materials about their work.
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- 975 Supplement to: Additional low voltage area ablation in older patients with paroxysmal
- 976 atrial fibrillation: a randomized controlled trial (STABLE-SR-III)
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