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A targeted, computer/web based guided self-help psychoeducation toolkit for distressing hallucinations (MUSE) in people with an At Risk Mental State (ARMS) for psychosis: a study protocol for a randomised controlled feasibility trial.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2023-076101
Article Type:	Protocol
Date Submitted by the Author:	28-May-2023
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Keywords:	MENTAL HEALTH, PSYCHIATRY, Randomized Controlled Trial

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Manuscripts

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3 A targeted, computer/web based guided self-help psychoeducation toolkit for distressing
4 hallucinations (MUSE) in people with an At Risk Mental State (ARMS) for psychosis: a study protocol
5 for a randomised controlled feasibility trial.
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10 11 Abstract

12
13 Introduction: Individuals who access At Risk Mental State (ARMS) services often have unusual
14 sensory experiences and levels of distress that lead them to seek help. The Managing Unusual
15 Sensory Experiences (MUSE) treatment is a brief symptom targeted intervention that draws on
16 psychological explanations to help account for unusual experiences. Practitioners to use formulation
17 and behavioural experiments to support individuals to make sense of their experiences and enhance
18 coping strategies. The primary objective of this feasibility trial is to resolve key uncertainties before a
19 definitive trial and inform the parameters of a future fully powered trial.
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26 Methods and analysis: 88 participants aged 14-35 accepted into ARMS services, experiencing
27 hallucinations / unusual sensory experiences which are considered by the patient to be a key target
28 problem will be recruited from UK NHS sites and randomised using 1:1 allocation (stratified by site,
29 gender, and age) to either 6-8 sessions of MUSE or time matched treatment as usual. Participants
30 and therapists will be unblind, research assessors are blind. Blinded assessment will occur at
31 baseline, 12 weeks, and 20 weeks post randomisation. Data will be reported in line with CONSORT.
32 Primary trial outcomes are feasibility outcomes, primary participant outcomes are functioning and
33 hallucinations. Additional analysis will investigate potential psychological mechanisms and secondary
34 mental wellbeing outcomes. Trial progression criteria follows signal of efficacy and uses an analytic
35 framework with a traffic-light system to determine viability of a future trial. A subsequent analysis of
36 the NHS England Mental Health Services Data Set 3 years post-randomisation will assess long-term
37 transition to psychosis.
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47 Ethics and dissemination: This trial has received Research Ethics Committee approval. Dissemination
48 will be to ARMS Services, participants, public and patient forums, peer-reviewed publications and
49 conferences.
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53 Trial registration number: ISRCTN58558617.

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55 Funding: National Institute for Health and Care Research (NIHR) Research for Patient Benefit (RfPB)
56 (NIHR204125).
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Strengths and limitations of this study

- This is a feasibility RCT. Results will address key uncertainties to inform a future large-scale trial, including sample size and design decisions.
- The MUSE intervention toolkit, the trial design and participant facing materials have been developed with substantial input from people with lived experience.
- This study is distinctive in exploring potential causal cognitive mechanisms in an at risk mental state population who have unusual sensory experiences.
- There is no Gold Standard treatment to compare the intervention to, therefore controlled time-matched Treatment as Usual (TAU) is selected as the comparator. In a meeting with ARMS service leads this was described as supportive psychotherapy.
- The follow-up period is short (20 weeks post randomisation), therefore longer-term participant impacts will not be assessed. However, consent is obtained for a follow-up evaluation at three years to examine transition to psychosis rates from the Mental Health Services Data Set (MHSDS).

Background

At Risk Mental State (ARMS) describes presentations that indicate a potential prodromal stage of psychosis, or risk of psychosis, with around 25% of ARMS individuals converting to psychosis within 36 months (1). The importance of working with these individuals to target possible unhelpful beliefs in development, reduce distress, support healthy functioning, and potentially to prevent the development of full psychosis is widely advocated (2, 3).

The presence of unusual sensory experiences, such as hearing voices and seeing visions (hallucinations), may not in themselves indicate mental ill health as there may be common underlying psychological mechanisms or a continuum of experience from benign, everyday experiences to more severe hallucinations that require treatment (4). However, increased frequency and intensity of hallucinations, alongside distress and a decline in functioning, are linked to transition to psychosis and are threshold criteria in scales recommended in ARMS services (5, 6). Intervening to reduce the distress of unusual sensory experiences and offer explanations of the possible mechanisms behind these experiences may be key in preventing transition to psychosis (3, 7).

Current UK NICE guidelines recommend that people meeting ARMS criteria should be referred for specialist assessment and offered Cognitive Behavioural Therapy (CBT) to reduce the risk of

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3 developing psychosis (8-10). While approaches involving CBT and CBT with supportive therapy show
4 promise in ARMS, the evidence for CBT improving functioning and mental state, or reducing
5 progression to psychosis, is inconclusive (11-13). No specific psychological intervention has been
6 identified as having superior effectiveness in its treatment; there is no 'Gold Standard' treatment
7 (11, 14, 15). ARMS services therefore need to further assess interventions that indicate potential
8 benefit. Robust clinical trials are needed to determine benefits versus risk profiles, accessibility and
9 cost effectiveness (11, 13, 16).

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12 Treatment development would be improved if they addressed key causal mechanisms leading to
13 distressing experiences, and adapted treatment to the needs of different age groups (17, 18). Taking
14 a staged or stepped approach to psychological intervention is good practice, usually with CBT and
15 needs-based interventions prior to pharmacology (8, 18, 19). There is scope for research into briefer
16 approaches implemented prior to CBT in ARMS services, and emerging evidence from early
17 intervention in psychosis research that inclusion of briefer targeted evidence-based interventions
18 prior to CBT may result in a reduction of need for more in-depth CBT, as people better understand
19 their experiences and have less need for interventions (20).

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22 Through extensive multidisciplinary research into voice hearing, clinically embedded research with
23 patients who are indicating at risk state for psychosis, and studies of first episode psychosis, we have
24 developed a targeted, computer/web-based guided self-help psychoeducation toolkit for distressing
25 hallucinations (MUSE) (7, 21). MUSE endeavours to provide scientific and normalising explanations
26 that may provide acceptable and helpful understandings of an individual's unusual sensory
27 experiences and help to prevent more delusional explanations from developing. MUSE has been
28 trialled with an ARMS patient group in a non-randomised study (21) and shown to be acceptable
29 with good participant satisfaction with the therapy. We intend to assess MUSE through a series of
30 trials to determine patient benefit and possible impact on progression to psychosis in patients at
31 high risk. We will also seek to learn more about whether change relates to target mechanisms
32 underlying hallucination subtypes(22). This could be important for further refinement of treatment.

33 34 35 Objectives

36 37 38 Primary objective

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41 To conduct an ISRCTN-registered feasibility randomised controlled trial to resolve key feasibility
42 uncertainties and inform the parameters of a future trial, to investigate the preliminary effect of
43 MUSE+TAU versus time-matched supportive psychotherapy TAU on general functioning (assessed
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3 using the Social and Occupational Functional Assessment Scale [SOFAS](23), and mental state
4 related to frequency and distress of unusual sensory experiences and false beliefs (assessed using
5 the Psychotic Symptom Rating Scales [PSYRATS](24) total score, and sub-scales Hallucinations and
6 Attribution(25)) in ARMS patients post therapy and at five-month post randomisation follow-up.
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10 11 12 13 Secondary objectives

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15 To explore additional treatment effects on unusual sensory experiences, anxiety, depression, and
16 quality of life, and whether there are indications of other factors (sleep disturbance and trauma)
17 influencing treatment effects.
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20 To test feasibility of collecting measures of psychological mechanisms, including psychological and
21 personal (phenotypical) factors implicated in the clinical course of hallucinations. To analyse which
22 psychological mechanisms are influenced by the treatment and contribute to its clinical effect and
23 inform a future investigation of whether any efficacy of MUSE is through impact on these
24 mechanisms.
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29
30 To collect routine data for a future records investigation testing feasibility of tracking transition to
31 psychosis through medical databases (hospital records/Mental Health Services Data Set (MHSDS)), to
32 examine which features of MUSE (presenting, treatment response and mechanistic) are most
33 relevant to psychosis prevention.
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39 40 METHODS AND ANALYSIS

41 42 Trial design and flowchart

43 44 Methods and Analysis

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46 This is a feasibility trial employing a prospective randomised, open-label, observer blinded, endpoint
47 design assessing a targeted, computer/web based guided self-help psychoeducation toolkit for
48 distressing hallucinations (MUSE)+TAU (6 – 8 sessions) compared to a TAU time matched control
49 (also referred to as supportive psychotherapy) (6 – 8 sessions) offered by a multi-disciplinary team
50 which includes needs based emotional support, psychoeducation and stress management, aiming to
51 reduce distress from hallucinations and improve functioning, in people with an At Risk Mental State
52 (ARMS) for psychosis in UK secondary care mental health services.
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3 The trial has received NHS Research Ethics positive opinion (23/NE/0032) and Health Research
4 Authority Approvals and is registered with the ISRCTN registry (ISRCTN58558617, registered
5 09.05.2023). Two substantial amendments followed first approval and were obtained prior to first
6 participant consent: Amendment 1 notably added in an unvalidated Preferences Questionnaire for
7 therapeutic intervention, and changed an anxiety self-report questionnaire over to use the State-
8 Trait Anxiety Inventory – Short Form (STAI-Short Form)(26-28). Amendment 2 replaced a longer
9 dissociative experiences questionnaire for the 8-item Brief Dissociative Experiences Scale [DES-B]—
10 Modified(29, 30).

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17 The trial has an independent trial steering committee (TSC) and Lived Experience Advisory Panel
18 (LEAP) facilitated by a co-applicant for the study with lived experience of psychosis.

21 22 23 24 Participants

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26 Recruitment will be via NHS secondary care mental health clinical teams providing ARMS services.
27 Patients who potentially meet the eligibility criteria for the trial, and their parent/guardian where
28 appropriate if under 18 years, will be informed of the study by a member of their clinical team.
29 Participants will be checked for eligibility prior to informed consent via discussion with referring
30 teams and in the participant-researcher discussion prior to giving informed consent. Participant
31 Information Sheets will be provided at least three days prior to the informed consent meeting.
32 Written informed consent in adherence to principles of Good Clinical Practice (GCP) will be obtained
33 prior to participation. For participants aged 14 and 15 years old, Parent/Guardian informed consent
34 with child assent will be taken; this option of assent with Parent/Guardian consent will also be made
35 available to participants aged 16 and 17 years old due to their potential vulnerability and the
36 governing UK law which classes a minor as someone who is under 18 years old. Verbal consent form
37 will be used for participants with literacy challenges. Interpreters and translated consent forms will
38 be available for participants who do not speak English. Participants will be given £15 honorarium for
39 each assessment time-point.

40 41 42 43 44 45 46 47 48 49 50 Trial eligibility criteria

51 52 Inclusion criteria

- 53 • in contact with an ARMS service or accepted on an ARMS pathway by EIP services
 - 54 • aged 14–35
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- hallucinations / unusual sensory experiences scoring at least 3 on the Perceptual Abnormalities Subscale of the Comprehensive Assessment of At-Risk Mental States (CAARMS)(6)
- hallucinations considered by the patient to be a key target problem
- judged to have been clinically stable for the preceding 2 weeks

Exclusion criteria

- intellectual disability or severe cognitive dysfunction affecting ability to engage with research materials
- lacking capacity to give informed consent

Randomisation and blinding

Eligible participants who have completed baseline assessments will be randomised and subsequent assessments will be scheduled from the point of randomisation. An independent web-based randomisation service (sealedenvelope.com) will be used for the trial. Randomisation will be in the ratio 1:1 to the two groups: MUSE+TAU (intervention) or TAU time matched control (supportive psychotherapy+TAU (control)). Randomisation will be stratified by site, gender (M/F/Other) and age (14–17years/18–35 years inclusive). Randomisation allocation will be independent and dynamically generated using a randomised modified minimisation method (31) to assure allocation concealment along with preservation of allocation ratio. Randomisation allocation is made known to the CI and site PIs, the Trial Coordinator(s) and the trial therapists only at the point of randomisation, by email.

Research assessors for the trial will be blind to the allocation throughout the trial. Clinicians, therapists and participants will be unblind. Trial statisticians will be partially blind; In the first instance, for the analyses and reporting of main outcomes of the trial the Statisticians will be fully blind. However, for secondary sensitivity analysis such as impact of number of MUSE sessions on effect size) and the mechanisms investigations, the Statisticians will be required to view which participants received MUSE treatment.

Assessments

Assessors blinded to trial allocation will complete participant assessments at baseline, 12 weeks post randomisation, and 20 weeks post randomisation (See Table 1). Sociodemographic information will be collected from the participant at baseline only (CSRI questions 1-3.5 as amended for the trial(32)).

Table 1: Trial Assessments and Key Participant Procedures Schedule

Assessments/ procedures	Participant identification	& Enrolment baseline	Randomisation	Intervention Weeks 1-12	12 weeks post randomisation (+/- 10days)	20 weeks post randomisation (+/- 10days)
Recruitment and eligibility discussions	X					
Informed consent		X				
CSRI Sociodemographic Q1-3.5		X				
Randomisation			X			
MUSE & TAU / TAU Intervention				↔		
Blinded assessments						
MUSE ARMS Primary Outcome Measures: SOFAS & PSYRATS		X			X	X
CSRI service use Q4.1-4.4		X				
CSRI Q4.5 criminal justice services and Q5 medication		X			X	X
MUSE ARMS Secondary Outcome Measures: CAARMS-PA, PHQ-9, GAD-7, ReQoL-20, ISI, ITQ/ITQ-CA, MMHQ		X			X	X
Subtype Measures & Cognitive Tasks (1-2 subtypes selected per participant)		X			X	X
Treatment preference		X				
Unblinded assessments						
CSRI service use at follow-up Q4.1-4.4					X	X
Transition to Psychosis data					X	X
Adverse Event (AE) data					X	X
Therapeutic Alliance STTS-R					X	
Participants interviews (Withdrawals sub-sample)				↔		
Participants interviews (MUSE completers sub-sample)					↔	↔
Participants interviews (TAU sub-sample)					↔	↔
Therapists interviews (sub-sample)					↔	↔

Primary indicators of outcome

The primary outcome measures are: (i) Feasibility outcomes, including qualitative interviews; (ii) General functioning assessed using the SOFAS(23), a clinician/clinical researcher rated single-item scale; (iii) Target problem hallucinations assessed using the PSYRATS(24) (hallucination total) clinician/clinical researcher rated interview, and; (iv) Distress and attribution dimensions of target problem assessed using the PSYRATS (25).

Secondary assessments

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3 Additional assessments will be the clinician/clinical researcher administered semi-structured
4 interview CAARMS subscale of Perceptual Abnormalities(6) to elicit further detail about the nature
5 of unusual experiences. Self-reported measures will rate depression symptom severity (PHQ-9(33)),
6 anxiety (GAD-7(34)), quality of life (ReQoL-20(35)), sleep difficulties (ISI(36)), and trauma (ITQ/ITQ-
7 CA(37, 38)). An unvalidated measure, the Multi-Modal Hallucinations Scale (MMHS) will be used to
8 assess cross-modal sensory experiences.
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13 Service use

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16 Assessment of potential contamination of the MUSE intervention within the TAU condition, of other
17 psychological therapies use within the treatment arms, and the need for additional interventions
18 beyond the treatment phase will be captured using the CSRI(32) (as amended for the trial) at
19 baseline, 12 week and 20 week follow-up. CSRI service use data at 12 weeks and 20 weeks will be
20 collected from medical notes by the unblinded researcher to preserve blinding of research assessors.
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25 Mechanisms assessment

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27 To assess further information on mechanisms, subtype measures & cognitive tasks will be selected
28 per participant for 1 to 2 hallucination subtypes: (i) Inner speech, using the Varieties Of Inner Speech
29 Questionnaire (VISQ-R)(39), and computerised cognitive tasks Auditory Signal Detection Task and
30 Auditory Reality Monitoring Source Memory Task (40, 41); (ii) Memory, using the Brief Dissociative
31 Experiences Scale —Modified (DES-B)(29, 30) and computerised cognitive task Inhibition of Currently
32 Irrelevant Memories (ICIM)(42); (iii) Hypervigilance, using the State-Trait Anxiety Inventory – Short
33 Form (STAI-Short Form)(26-28), and computerised cognitive Jumbled Speech Task (JST)(43, 44), and;
34 (iv) Visual, using the visual section of the Plymouth Sensory Imagery Questionnaire (Psi-Q)(45) , and
35 computerised cognitive tasks Visual Signal Detection(46), Visual Reality Monitoring(47) and Face
36 Pareidolia Task(46). Researchers receive training on subtype selection. Selections are monitored and
37 evaluated against MUSE therapist subtype selections to assess selection reliability and potential
38 training needs.
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48 Acceptability assessment

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51 To assess therapy preference, satisfaction and acceptability of the intervention, participants will be
52 asked about treatment preferences at baseline using a study specific preferences questionnaire (see
53 supplementary materials), and treatment satisfaction post intervention using the Satisfaction with
54 Therapy and Therapist Scale-Revised (STTS-R)(48, 49). Qualitative interviews with participants and
55 trial therapists will further explore experience of MUSE, TAU, and trial procedures.
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60 Long-term outcomes

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3 Long-term transition to psychosis outcomes will be collected 3 years post baseline via the NHS
4 England Mental Health Services Data Set (MHSDS).

6 7 Data management

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9 Interview/clinical assessments data will be scored following the visit and entered onto Qualtrics by
10 the researcher. Source data will be retained in the site file. Self-report data will be entered directly
11 onto Qualtrics during visits using participant ID and visit as markers. Unblinded data on service use
12 will be entered onto Qualtrics at the visit time points. Qualtrics outputs and computerised cognitive
13 task data will be downloaded and date stamped at regular intervals to allow data audit. The full data
14 set will be transferred in its anonymous form to the stats team upon completion of data lock at the
15 end of the trial. Trial monitoring at sites will occur across the life cycle of the trial and will follow the
16 Sponsor approved data monitoring plan.

17 18 Intervention - Managing Unusual Sensory Experiences (MUSE)+TAU

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20 The MUSE intervention is a novel targeted, computer/web-based guided self-help psychoeducation
21 toolkit and psychological treatment manual for managing distressing hallucinations in mental health,
22 developed and owned jointly by Durham University and CNTW. Patients work with experienced
23 therapists, under expert supervision, who utilise the MUSE package within therapy sessions to
24 develop a formulation explaining the development of hallucinations and foster new skills and
25 strategies for their management. The MUSE treatment is divided into the following modules: What
26 are Voices?; How the Mind Works; Assessment (of participant subtype); Inner Speech; Memory and
27 Trauma; Hypervigilance; and Sleep (see Dudley, Dodgson (50) for details).

28
29 Six to eight 1-hour sessions will be offered weekly by experienced therapists who are clinical
30 psychologists or psychological therapists. Therapists will be accredited or working towards
31 accreditation by the British Association of Behavioural and Cognitive Psychotherapists (BABCP),
32 employed by the ARMS service and have experience of MUSE, receiving clinical supervision and
33 fortnightly MUSE supervision. MUSE is loaded onto therapists smart tablet/NHS laptop (not reliant
34 on Wi-Fi) and is available to patients via the CNTW website between sessions. No personal data are
35 recorded or stored on MUSE toolkit.

36
37 Session by session measures will be used as part of the MUSE package to enable therapists to
38 monitor any variations in hallucination frequency and distress that may have a bearing on the
39 selection of module used or revisited during the treatment session.

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41 Therapists will be asked to complete adherence checklists for each session contained within a per-
42 participant MUSE Therapist Pack (see supplementary materials). With consent, each session will be
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3 audio-recorded to enable independent review by the site Principal Investigator or delegated Clinical
4 Lead of a random 10% sample to ensure fidelity to protocol within and across sites.
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9 Control condition – Time matched control +TAU
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11 To control for risk of bias from an undefined comparative treatment, and potential bias from dose
12 effects, a time matched TAU is included(11, 51). In order to match the comparative brief
13 intervention to usual practice within ARMS services, components of care were identified in an
14 engagement meeting with ARMS service leads. These common core components could be described
15 as supportive psychotherapy or ongoing care (needs based emotional support, psychoeducation,
16 normalisation and stress management) and were outlined as the interventions used by therapists as
17 part of their normal clinical toolkit, alongside routine multi-disciplinary care from the team. Patients
18 work with different therapists who are ARMS clinicians and are not trained in MUSE. These clinicians
19 will receive supervision on their practice through the routine supervision arrangements of their
20 service and will record the interventions used within a per-participant TAU Therapist Pack (see
21 supplementary materials). We will investigate how frequently and consistently these supportive
22 psychotherapy interventions are offered to inform whether these interventions could act as a
23 comparator intervention in future trials. This arm will be time-matched controlled, however
24 variation across services precluded using this comparator being defined as a controlled *intervention*.
25 Number of sessions received in this group will be recorded for analysis.
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37 Both Groups: Treatment as Usual (TAU)
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39 In addition to the trial allocated intervention (MUSE or TAU Time matched control supportive
40 psychotherapy), both treatment groups will also receive additional usual care as clinically indicated.
41 No treatments will be withheld on account of being part of the trial. This includes regular
42 monitoring, signposting to appropriate local services for unmet needs, social support and crisis
43 management when required from the multi-disciplinary team. CBT is also a core intervention
44 recommended by NICE Guidance and offered across ARMS services. However, in practice it is not
45 always offered to all service users. CBT may form part of the care in both conditions as part of usual
46 care. We will investigate the number of CBT sessions received by participants in both groups and
47 investigate whether MUSE impacts on the number of sessions required. Additional care will be based
48 on clinical judgement and will be recorded for both arms of the study. These additional elements of
49 care, including interventions and contacts that occur beyond the MUSE/time-matched period will be
50 analysed for variations and similarities in the care received between the two groups.
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Analysis

Analyses will follow intention to treat principles, with data analysed according to randomisation irrespective of treatment received. A full statistical analysis plan (SAP) will be developed for the outcome measures and agreed with TSC before the end of data collection. Data will be reported in line with the Consolidated Standards of Reporting Trials (CONSORT)(52), see figure 1.

Sample size

As this is a feasibility trial there is no formal sample size calculation, interim analyses or stopping rule. The trial aims to recruit 88 participants with 70 participants completing the study (allowing for 20% attrition) to be able to robustly calculate the sample size for a definitive trial(53).

Statistical analysis

Feasibility outcomes will be analysed primarily: the ability of the trial to recruit participants, who reflect the diversity within the region, and meet study inclusion criteria over the 9-month recruitment period, who complete assessment measures collected at baseline, post intervention and follow-up, until all participants complete the follow-up assessment or withdraw.

Descriptive statistics within each randomised group will be presented for baseline and follow-up points. All data will be summarised as appropriate using mean±standard deviation and median±interquartile range for continuous outcome data; frequency and percentages for binary or categorical data; and rate for count data. Analysis will be via the latest version of R.

The signal of efficacy will be determined by examining the effect of each arm (MUSE versus supportive psychotherapy) on outcomes measures, estimated as change from baseline.

The effects will be estimated using generalised linear mixed effect models with the appropriate distribution and link function. Normal distributions with identity link will be used for continuous outcomes, and negative-binomial distributions with log link for count data outcomes. All binary or categorical outcomes will be analysed using generalised estimating equations (GEE). The mixed-effects models and GEE account for the repeated measurements per participant over the follow-up time points. All models will be adjusted for treatment arms and stratification variables. The mixed model approach taken will allow identifying the individual effect of the two interventions with relation to their baseline, as well as the difference in their effects through an interaction parameter of time and intervention. This can be considered as a model-based difference-in-difference analysis.

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3 These models will be used mainly to estimate relevant parameters, since the trial is not powered for
4 null hypothesis significance-testing. That is, while we are interested in identifying the magnitude of
5 the signal of efficacy, we will not attempt to prove its significance.
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9 In addition, a mediation analysis will examine how the different mechanism components mediate
10 the estimated impact of the interventions on the primary outcomes, and a complier average causal
11 effects (CACE) analysis will determine the impact of the number of sessions on the MUSE effect.
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14 If data are missing for a particular participant and outcome measure, this participant will be
15 excluded from the analysis, for this outcome measure only, without further adjustment for
16 missingness. However, the effect of missing data will be investigated additionally by sensitivity
17 analysis using tabulation of rate of missingness across trial arms and imputation methods.
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24 Qualitative analysis

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26 Audio-recordings will be transcribed and analysed (in NVivo software). Interview transcripts will be
27 analysed using thematic analysis(54) allowing a transparent, replicable and robust process and
28 demonstration of reflexivity and quality. Transcripts will be coded by two researchers until coding
29 reliability is established; coding will then be conducted by one researcher, with reliability checks by
30 the qualitative lead. Data will be extracted into a framework matrix, summarising data by category
31 from individual transcripts, with quotations selected as illustrative exemplars. Initial findings from
32 the qualitative analyses will be presented to LEAP for feedback on interpretation.
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42 Health economics analysis

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44 As a feasibility study, we are not undertaking a formal economic evaluation at this stage but will
45 inform a health economic evaluation in a future definitive trial by piloting the ReQoL-Utility Index
46 with the ReQoL-20 data for health economic analysis calculation.
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52 Criteria for proceeding to a future trial

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54 The signal of efficacy is dependent upon the primary outcome data (SOFAS, PSYRATS Total, PSYRATS
55 distress, PSYRATS attribution) and follows: i) Go: primary outcome data suggest the intervention
56 may show an effect indicating clinical value warranting further investigation; ii) Refine: primary
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3 outcome data indicate no measure of effect, but one or more secondary outcomes indicates an
4 effect; iii) Stop: no effect across any outcomes.
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7 The trial progression criteria will follow signal of efficacy and cover domains of research delivery,
8 therapy engagement and fidelity, and safety. The criteria were influenced by LEAP and TSC input and
9 sign-off. Trial progression criteria uses an analytic framework(58) with a traffic-light system (see
10 Table 2 in supplementary materials). Progression will depend upon: (i) All Green outcomes:
11 no/minor revisions prior to next development of the trial, or; (ii) One or more Amber (but not Red)
12 outcomes: If feasible, substantial alterations to the trial protocol, assessments or intervention,
13 supported by the qualitative work-stream and discussed with TMG and TSC prior to the next
14 development of the trial or; (iii) If one or more Red outcomes result then the trial is unlikely to
15 progress at that site or very substantial amendments are needed. The mechanism measures and
16 tasks will also be reviewed for sensitivity to change and reliability to inform the next development of
17 the trial.
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26 Decisions regarding any changes will consider the ADePT decision-making process to address
27 potential problems with intervention, clinical setting, or trial design that may be relevant in either a
28 trial setting or real world context(58). We will use qualitative data to contextualise our progression
29 criteria, to ensure that the participant feedback informs our understanding of our research delivery
30 and signal of efficacy.
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35 Adverse events

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37 Serious Adverse Events (SAEs) are defined as: results in death; is life-threatening; requires
38 hospitalisation or prolongation of existing hospitalisation; results in persistent or significant disability
39 or incapacity; results in congenital anomaly or birth defect; or is otherwise considered medically
40 significant by the investigator. Any SAEs shall be assessed immediately for trial relatedness and
41 expectedness and reported to the Sponsor. Any related and unexpected SAEs and any Urgent Safety
42 Measures (defined as: early withdrawal of participant(s) due to safety concerns about the
43 intervention or assessments, or; changes to procedures due to concerns about staff or participant
44 safety) shall be reported immediately to the Sponsor and Research Ethics Committee in accordance
45 with Health Research Authority governance regulations (See: [https://www.hra.nhs.uk/approvals-
46 amendments/managing-your-approval/safety-reporting/](https://www.hra.nhs.uk/approvals-amendments/managing-your-approval/safety-reporting/)) and Sponsor standard operating
47 procedures.
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56 Adverse events will be recorded for all participants where the event relates to mental state, with
57 focus on clinically significant: a) increases in distress and/or psychosis; b) increased harm to
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3 self/harm to others; c) increased suicidal ideation/attempts; d) increased use of drugs/alcohol; e)
4 emergency room visits for mental health concerns; f) access to crises services.
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10 Patient and public involvement (PPI)

11 The MUSE intervention, the trial design and participant facing materials and the grant application
12 have benefitted from input by individuals with lived experience. To ensure a retained focus on
13 patients, a Lived Experience Advisory Panel (LEAP) led by a co-applicant with personal lived
14 experience of psychosis was established and meets monthly in a mixture of online and face-to-face
15 formats throughout the lifetime of the trial. The study specific Preferences questionnaire was
16 collaboratively developed with the LEAP. The outcome measures and the topic guides were piloted
17 with LEAP members and amended following feedback. The LEAP were consulted on the potential
18 ethical issues of the trial and the trial progression criteria. Members of the LEAP group will also co-
19 facilitate qualitative interviews, help disseminate study findings, and enable patient experience to
20 inform design of future research and any revisions of the treatment. Two LEAP members are part of
21 the TSC, with one taking a lead on trial procedures and the other on the inclusion of under-served
22 groups. Compensation for work done is given in accordance with NIHR PPI guidelines
23 ([https://www.nihr.ac.uk/documents/ppi-patient-and-public-involvement-resources-for-applicants-
24 to-nihr-research-programmes/23437](https://www.nihr.ac.uk/documents/ppi-patient-and-public-involvement-resources-for-applicants-to-nihr-research-programmes/23437)).
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40 Ethics and dissemination

41 This trial has obtained NHS Research Ethics Committee (REC) positive opinion from Newcastle North
42 Tyneside 1 REC (reference: 23/NE/0032), and UK Health Research Authority approval (IRAS project
43 ID: 323903). The research Sponsor is Cumbria, Northumberland, Tyne and Wear NHS Foundation
44 Trust (CNTW).
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48 An anonymised version of the main outcome quantitative data and mechanisms data will be
49 available either in open access as encouraged by peer review publications or from the trial team on
50 reasonable request with publication of the trial outcomes paper and mechanisms paper.
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54 The research outcomes shall be submitted for peer review open access publications from this trial.
55 Anonymised data will be made available in a repository. Trial outcomes, mechanisms evaluations,
56 and long-term outcomes will be reported on.
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3 The Trial outcomes: The feasibility trial outcomes will report on feasibility outcomes and the
4 candidate primary outcome measures (SOFAS and PSYRATS). Secondary reporting will detail the
5 secondary treatment effects and influence of moderators. Additional reporting will detail treatment
6 integrity: data on treatment adherence to the model (sessions checklist data); exposure of
7 participants to the interventions and additional treatments within usual care (CSRI data); the quality
8 of treatment delivered and responsiveness of participants as reflected on by therapists and
9 participants (STTS-R data, qualitative data); and the programme differentiation between the novel
10 intervention arm and the usual care arm (CSRI data).
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17 Mechanisms will be reported on the analysis of secondary assessments for the purposes of
18 informing which aspects of patient presentation the MUSE intervention works with, and informing
19 the outcome measures in a future efficacy and mechanisms trial.
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23 Long-term transition to psychosis paper: Long-term transition to psychosis through the
24 MHSDS/medical records exploratory feasibility analysis will report which features of MUSE
25 (presentation, treatment response, mechanistic) are indicated as most relevant to psychosis
26 prevention.
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30 Trial status

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32 The trial opened to recruitment at the two planned NHS sites on the 14th April 2023 (Cumbria,
33 Northumberland, Tyne and Wear NHS Foundation Trust) and 21st April 2023 (Tees, Esk and Wear
34 alley NHS Foundation Trust). First participant randomisation (enrolment) was on 10th May 2023.
35 Final participant facing procedures are due to be completed by end of June 2024. The study will
36 finish at NHS research sites after the final assessment with the final participant is completed and the
37 monitoring close-out visit has occurred at site.
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43 Acknowledgments

44
45 We are grateful to our Sponsor, Cumbria, Northumberland, Tyne and Wear NHS Foundation Trust
46 and the sponsorship team for their backing and support, and to the many individuals in the
47 collaborating institutions who have enabled this trial to run. We are grateful to the dedicated
48 involvement and contributions of the MARS Lived Experience Advisory Panel Group members, all
49 who wish to remain anonymous and those who are happy to be named. Thank you to Gayl McCain,
50 Katie Rumney, Helen Errington, Patricia Higgins and the whole group. Thanks is noted especially to
51 all of the people who over the course of this research agree to receive information on the study and
52 consider whether would like to take part. A number of people gave their time and expertise in
53 reviewing iterations of the trial development and supporting the trial through the various funding
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3 and approvals systems, who include Stephanie Common, Angus Williams, and others, and our
4 appreciation is noted. The study has also benefitted from the technical contributions of the
5 psychologists David Smailes (ORCID ID: 0000-0002-0455-070X) and Peter Moseley (ORCID ID: 0000-
6 0002-9284-2509) who respectively built the computerised cognitive tasks used in the trial, we are
7 grateful for this contribution. We would not be able to run the trial without the daily contribution
8 also from all of the trial researchers on the ground who work within the NHS trusts to support the
9 day to day running of trial operations, communication with participants, recruitment to the research
10 and to conduct research assessments, thank you to Michael Kelly, Abbey Underwood, Alexandra
11 Carne, Sarah Bentley, Emily Clare, Melanie Rowe, Victoria Wilson, Sarah Garner and others, thank
12 you. Tremendous thanks is also given to all of the therapists who work into the NHS ARMS services
13 who are delivering the interventions for this study, Carina Ewels, Eloise Todd, Louise Ross, Andrew
14 Weightman, Claire Mason, Toyah Lebert, Mark Dawson, Louise Leach, Nicola Elliot, Paul Williams,
15 Luke Rogers, Alison Bernard and to all of the individuals who support these services to function.

Contributors

Authorship follows ICMJE recommendations:

<https://www.icmje.org/recommendations/browse/roles-and-responsibilities/defining-the-role-of-authors-and-contributors.html>

JH is the trial manager and wrote the final protocol and protocol paper. GD is the chief investigator and has overall responsibility for the trial design and drafted the initial trial protocol. GD and CF are the joint study leads and lead on supervision and trial decisions. BA, CA, NB, TB, LD, CG, and JS contributed to the study design. GD and CF led the development of the treatment with substantial input from a range of clinicians and service users. CG was responsible for patient and public involvement. JE and EK are responsible for the statistical analysis design. NB, JS, and LB coordinated the trial at sites and were responsible for recruitment. All authors read and approved the final trial protocol.

Funding

This project is funded by the National Institute for Health and Care Research (NIHR) under its Research for Patient Benefit (RfPB) Programme (Grant Reference Number NIHR204125). The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care.

Competing interests

GD, RD, JS, NB and CA provide psychological therapies for individuals with psychosis in NHS settings. RD receives payment for workshops in treating hallucinations. GD, RD, CF, NB, and CA hold or have

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2
3 held grants to develop and carry out trials of psychological therapy for individuals with psychosis. LD
4 provides sponsorship oversight of trials in CNTW NHS FT. All other authors declare no competing
5 interest. The MUSE toolkit is the joint intellectual property of Durham University and Cumbria,
6 Northumberland, Tyne and Wear NHS Foundation Trust and will be made freely available if proven
7 to be beneficial.
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10 Patient and public involvement

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12 Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination
13 plans of this research. Refer to the Methods section for further details.
14

15 Patient consent for publication

16 Not applicable.
17

18 Provenance and peer review

19 Not commissioned; peer reviewed for ethical and funding approval prior to submission.
20

21 Open access

22 This is an open access article distributed in accordance with the Creative Commons Attribution 4.0
23 Unported (CC BY 4.0) license, which permits others to copy, redistribute, remix, transform and build
24 upon this work for any purpose, provided the original work is properly cited, a link to the licence is
25 given, and indication of whether changes were made. See:
26 <https://creativecommons.org/licenses/by/4.0/>.
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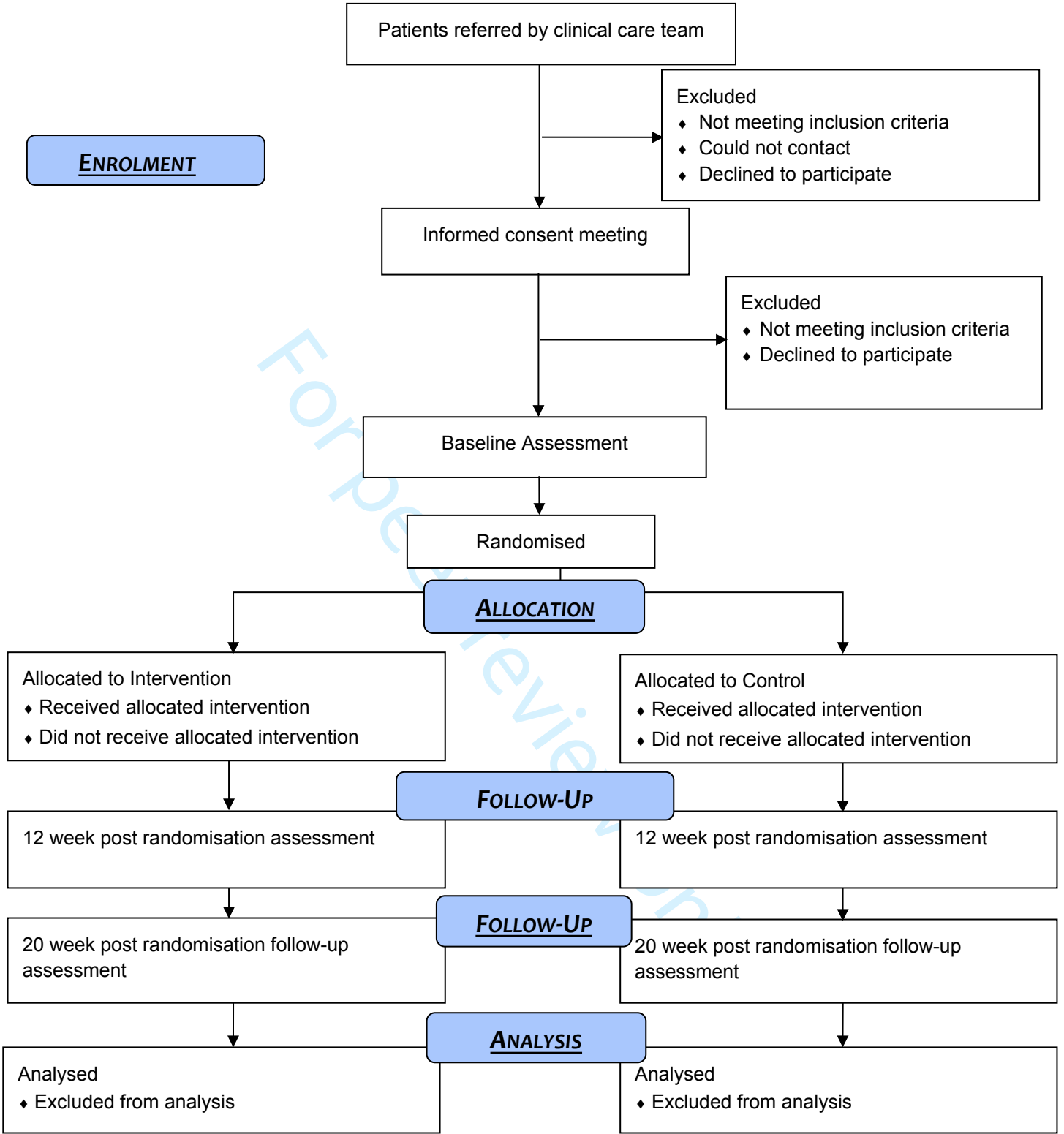
















Table 2. Approved trial monitoring and progression criteria to a future definitive trial

Criterion	Critical feasibility outcome	Other feasibility and acceptability data relevant to the criterion		Proposed thresholds on critical outcome
1) Recruitment	<ul style="list-style-type: none"> Number of participants consented into the trial and randomised 	<ul style="list-style-type: none"> Number of referrals per month Source of recruitment Number of participants eligible, Number of participants referred Reasons for non-eligibility or withdrawal of interest 	  	<p>Feasibility will be demonstrated where an average of least 7.84 participants are recruited and randomised per month (80% of recruitment target met).</p> <p>If at least 5.88 participants are recruited per month, then a future trial will be feasible but additional strategies must be identified to support recruitment (e.g. informed by other feasibility data relevant to this criterion) (60-80% of recruitment target met).</p> <p>If an average of under 5.88 participant is recruited per month over the recruitment period, feasibility within the current design will not be demonstrated (under 60% of recruitment target met).</p>
2) Therapy engagement	<ul style="list-style-type: none"> % who drop-out of therapy 	<ul style="list-style-type: none"> Session record forms for each therapy session Number of therapy sessions attended Qualitative interviews with SU participants Therapy satisfaction scores 	  	<p>Feasibility will be demonstrated if at least 80% of the participants in the intervention arm completed at least 4 out of the 6-8 sessions of MUSE.</p> <p>If 60-80% of participants in the intervention arm complete at least 4 out of the 6-8 sessions of MUSE.</p> <p>If less than 60% of participants in the intervention arm complete at least 4 out of the 6-8 sessions of MUSE.</p>
3) Assessment retention	<ul style="list-style-type: none"> % of participants who are lost to follow-up at primary assessment endpoint (12weeks post randomisation) 	<ul style="list-style-type: none"> Reasons for withdrawal from the study Qualitative interviews with SU participants Data completion 	  	<p>If at least 70% of participants complete primary outcome measure at primary assessment endpoint, feasibility will be demonstrated.</p> <p>If 50-70% of participants complete primary outcome measure at primary assessment endpoint, a future trial will be feasible if strategies to overcome barriers are identified (e.g. via other data relevant to this).</p> <p>If less than 50% of participants complete primary outcome measure at primary assessment endpoint, feasibility within the current design will not be demonstrated.</p>
4) Therapy fidelity	<ul style="list-style-type: none"> Adherence ratings from therapy tapes 	<ul style="list-style-type: none"> Session record form for each therapy session (including reasons for deviation from protocol) 	  	<p>Feasibility will be demonstrated if over 80% of rated therapy tapes are rated as acceptable.</p> <p>If 50-80% of rated therapy tapes are rated as acceptable, a future trial will be feasible if strategies to overcome barriers are identified</p> <p>If less than 50% of rated therapy tapes will be rates as acceptable, feasibility within the current design will not be demonstrated.</p>
5) Safety	<ul style="list-style-type: none"> Number of related SAEs 	<ul style="list-style-type: none"> Increased number of AEs in Intervention condition 	  	<p>0-1 Related SAEs in the Intervention arm.</p> <p>2 Related SAEs in the Intervention arm.</p> <p>3+ Related SAEs in the Intervention arm.</p>

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For peer review only

MUSE ARMS Feasibility Trial: Preferences Questionnaire; v1.0, 12.03.2023
IRAS Project ID: 323903

Preferences for psychological therapy or support

This set of questions is seeking to develop an understanding of your preferences for therapy treatment.

1. Do you have a preference for the number of therapy sessions you might get?

- 1-3
- 4-8
- 9-16
- 17-30
- Don't know

2. How important is it that your treatment:

Includes being give medication?

- Not important
- Somewhat important
- Very important

Includes a talking therapy?

- Not important
- Somewhat important
- Very important

Addresses any feelings of anxiety?

- Not important
- Somewhat important
- Very important

MUSE ARMS Feasibility Trial: Preferences Questionnaire; v1.0, 12.03.2023
IRAS Project ID: 323903

Addresses any feeling of low mood?

- Not important
- Somewhat important
- Very important

Helps you understand the causes of any unusual sensory experiences, such as hearing a voice?

- Not important
- Somewhat important
- Very important

Helps you learn to manage any unusual sensory experiences?

- Not important
- Somewhat important
- Very important

Helps you feel less distressed about any unusual sensory experiences?

- Not important
- Somewhat important
- Very important

3. What are your preferences for the way the therapist/clinical care team works with you?

Please rate how important you think the following statements are:

I am given space to talk and feel heard

- Not important
- Somewhat important
- Very important

MUSE ARMS Feasibility Trial: Preferences Questionnaire; v1.0, 12.03.2023
IRAS Project ID: 323903

I work with my therapist to help me make sense of my experiences

- Not important
- Somewhat important
- Very important

I am involved in setting my own goals

- Not important
- Somewhat important
- Very important

I am given new ideas of how to cope with my experiences

- Not important
- Somewhat important
- Very important

4. How much do you hope to get the MUSE therapy?

- I would prefer to be allocated to MUSE based therapy
- I don't mind one way or the other whether I receive MUSE based therapy
- I would prefer to be allocated to the treatment as usual.

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MUSE THERAPY THERAPIST PACK

*Return to research site file for archiving after completion

Adherence Checklist: MUSE Therapy Sessions
(Please tick topic used in any session)

Insert Date:									
Insert length of session (minutes):									
Module/Topic	S1	S2	S3	S4	S5	S6	S7	S8	Comments
What are voices?									
What are voices?									
How many people hear voices?									
Why does it become a problem?									
Can things get better?									
Personal experiences									
1. How the mind works?									
Thoughts and senses									
How thoughts work									
Embarrassing thoughts									
The power of attention									
How we use expectation									
2. Assessment									
Types of unusual sensory experiences.									
What kind of voices do we hear?									
3. Inner Speech									
What is inner speech?									
Our inner speech can do amazing things									
Why do people not recognise voices?									
Thoughts are hard to control									
Blocking the loop									
Inner speech – what is the evidence?									
Tracking the self – Was that me?									
Writers and voice hearing									
Imaginary friends									
Formulation									
Voices and Relationships									
Transforming the voice									
Testing out your explanations									

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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Adverse Events Checklist

Insert date:									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Adverse events of interest reported? Add to Rio/Paris									
Serious Adverse Event? NB 24hour reporting deadline									
Urgent Safety Measures? NB Phone PI immediately									

Adverse Events Guidance:

Adverse Events. Record on Rio/Paris for collection by the Unblinded Researcher at the 12wk and 20wk assessment time points that pertain to the following events of Protocol Interest:

- Clinically significant increases in distress and/or psychosis
- Increased harm to self/harm to others
- Increased suicidal ideation/attempts
- Increased use of drugs/alcohol
- Emergency room visits for mental health concerns
- Access to crises services

Serious Adverse Event (SAE): The site Principal Investigator (PI), or delegate shall report all SAEs within 24 hours of becoming aware of the event to the Chief Investigator (CI), or delegate via email to MUSE.ARMS@cntw.nhs.uk using the SAE reporting form. These are events that:

- results in death;
- is life-threatening;
- requires hospitalisation or prolongation of existing hospitalisation;
- results in persistent or significant disability or incapacity;
- consists of a congenital anomaly or birth defect; or,
- is otherwise considered medically significant by the investigator.

Urgent Safety Measures: The site Principal Investigator (PI), or delegate, must inform the CI immediately by telephone (Tel. 01670844670 / alternatively Teams video/voice call for guy.dodgson@cntw.nhs.uk) of urgent safety measures defined above in section 11.5 (early withdrawal/changes to procedure due to safety concerns for staff or participants). This information shall be documented on the Urgent safety reporting form and submitted by email to MUSE.ARMS@cntw.nhs.uk. This is when the following applies:

- Early withdrawal of participant(s) due to safety concerns about the intervention or assessments
- Changes to procedures due to concerns about staff or participant safety

Transition to Psychosis Checklist

Insert date:									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Indication of Transition to Psychosis? Add note to Rio/Paris									

Transition to Psychosis Guidance: The following information suggests potential transition to psychosis for this protocol:

- Clinical diagnosis using standard diagnostic classification systems DSM/ICD
- Clinical diagnosis using ARMS assessment schedule documented in clinical notes
- Transfer to the Early Intervention in Psychosis pathway
- Treated or untreated psychotic episode of one week's duration or longer
- Initiation of treatment with antipsychotics (3 or more weeks of treatment with antipsychotics at a dose of ≥ 5mg haloperidol or equivalent)

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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1 **Therapy Session measure**

2 **MUSE Therapist Pack**

MUSE ARMS Feasibility Trial; IRAS ID: 323903

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6 Session Number:

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8 Date:

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10 **Therapy Session measure**

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13 **Please answer the following questions about the voices you experienced in the past week**

14
15 How frequent were the voices?

16
17 0% 10 20 30 40 50 60 70 80 90 100%
18 Voices not present Once a day Voices always present

19
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21
22 Were the voices distressing? How much of the time?

23
24 0% 10 20 30 40 50 60 70 80 90 100%
25 Voices never distressing Voices were distressing about half of the times Voices always distressing

26
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30 **If relevant please answer the following questions about the visions you experienced in the past week**

31
32 How frequent were the visions?

33
34
35 0% 10 20 30 40 50 60 70 80 90 100%
36 Visions not present Once a day Visions always present

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40 Were the vision distressing? How much of the time?

41
42 0% 10 20 30 40 50 60 70 80 90 100%
43 Visions never distressing Moderately distressing extremely distressing

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47 Overall, how distressing were the experiences listed above?

48
49 0% 10 20 30 40 50 60 70 80 90 100%
50 not at all distressing Moderately distressing extremely distressing

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59 **Completed by**

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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2 **MUSE Therapist Pack**

MUSE ARMS Feasibility Trial; IRAS ID: 323903

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59 **Completed by**

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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1 **Therapy Session measure**

2 **MUSE Therapist Pack**

MUSE ARMS Feasibility Trial; IRAS ID: 323903

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6 Session Number:

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10 **Therapy Session measure**

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50 not at all distressing Moderately distressing extremely distressing

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59 **Completed by**

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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TAU THERAPY THERAPIST PACK



*Return to research site file for archiving after completion

Adherence Checklist: TAU Therapy Sessions
 (Please tick for used in any session)

Insert Date:									
Insert length of session (minutes):									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Was this a CBT session (Y/N)?									
CBT Assessment									
Formulation									
Needs based emotional support									
Social Support									
Normalisation									
Stress management									
Psychoeducation* <i>*Please describe if related to managing unusual sensory experiences in the comments box</i>									
Other:									
Other:									
Other:									

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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Adverse Events Checklist

Insert date:										
	S1	S2	S3	S4	S5	S6	S7	S8	Comments	
Adverse events of interest reported? Add to Rio/Paris										
Serious Adverse Event? NB 24hour reporting deadline										
Urgent Safety Measures? NB Phone PI immediately										

Adverse Events Guidance:

Adverse Events. Record on Rio/Paris for collection by the Unblinded Researcher at the 12wk and 20wk assessment time points that pertain to the following events of Protocol Interest:

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- is life-threatening;
- requires hospitalisation or prolongation of existing hospitalisation;
- results in persistent or significant disability or incapacity;
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- Changes to procedures due to concerns about staff or participant safety

Transition to Psychosis Checklist

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Indication of Transition to Psychosis? Add note to Rio/Paris										

Transition to Psychosis Guidance: The following information suggests potential transition to psychosis for this protocol:

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- Clinical diagnosis using ARMS assessment schedule documented in clinical notes
- Transfer to the Early Intervention in Psychosis pathway
- Treated or untreated psychotic episode of one week's duration or longer
- Initiation of treatment with antipsychotics (3 or more weeks of treatment with antipsychotics at a dose of ≥ 5 mg haloperidol or equivalent)

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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For peer review only

























STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	<input checked="" type="checkbox"/>
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	<input checked="" type="checkbox"/>
	2b	All items from the World Health Organization Trial Registration Data Set	<input type="checkbox"/>
Protocol version	3	Date and version identifier	<input checked="" type="checkbox"/>
Funding	4	Sources and types of financial, material, and other support	<input checked="" type="checkbox"/>
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	<input checked="" type="checkbox"/>
	5b	Name and contact information for the trial sponsor	<input checked="" type="checkbox"/>
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	<input checked="" type="checkbox"/>
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	<input checked="" type="checkbox"/>

Introduction			
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	<input type="checkbox"/>
	6b	Explanation for choice of comparators	<input checked="" type="checkbox"/>
Objectives	7	Specific objectives or hypotheses	<input checked="" type="checkbox"/>
Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	<input checked="" type="checkbox"/>
Methods: Participants, interventions, and outcomes			
Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	<input checked="" type="checkbox"/>
Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	<input checked="" type="checkbox"/>
Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	<input checked="" type="checkbox"/>
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	<input type="checkbox"/>
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	<input type="checkbox"/>
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	<input type="checkbox"/>
Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	<input type="checkbox"/>

Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	
Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	
Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	
Methods: Assignment of interventions (for controlled trials)			
Allocation:			
Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	
Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	
Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	
	17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	
Methods: Data collection, management, and analysis			
Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	
	18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	

1 2 3 4 5 6	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	
7 8 9 10 11	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	
12 13 14		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	
15 16 17 18 19		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	
20	Methods: Monitoring			
21 22 23 24 25 26 27 28	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	
29 30 31 32		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	
33 34 35 36 37	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	
38 39 40 41	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	
42	Ethics and dissemination			
43 44 45 46 47	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	
48 49 50 51 52	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	
53 54 55 56	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	
57 58 59 60		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	

Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	<input type="checkbox"/>
Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	<input type="checkbox"/>
Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	<input type="checkbox"/> Protocol paper Data management * See Note Below
Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	<input checked="" type="checkbox"/> IRAS NHS Insurance * See Note Below
Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	<input type="checkbox"/>
	31b	Authorship eligibility guidelines and any intended use of professional writers	<input checked="" type="checkbox"/> Protocol Paper – ICMJE * See Note Below
	31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	<input type="checkbox"/>
Appendices			
Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	<input type="checkbox"/>
Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	N/A

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

1 *The SRIRIT checklist has been carefully reviewed against the trial protocol and associated
2 documentation and the explanation of the protocol in the protocol paper submitted.

3 To provide further explanation on three items where more consideration could be given within the
4 protocol itself are:
5

- 6 (i) item 29, refers to a statement of who will have access to the final trial dataset, and
7 disclosure of contractual agreements that limit such access for investigators. This is an
8 NIHR funded feasibility trial with no DMEC within its feasibility stage. We do not have
9 contractual agreements that limit data access, other than to limit access to confidential
10 information as this is restricted and explained in the participant facing documents. We
11 have detailed in the protocol paper how data is input, stored, and transferred prior to
12 analysis to allow for audit and monitoring in accordance with the trial monitoring plan.
13
14 (ii) item 30 refers to provisions, if any, for ancillary and post-trial care, and for compensation to
15 those who suffer harm from trial participation. As this is a psychological therapies trial
16 there are no biological risks, however we are conscious of the possibility of increased
17 psychological risks and so we have developed clear safety reporting procedures for
18 serious adverse events and for urgent safety measures should these arise, which abide by
19 HRA and UK Research Ethics Committee standard procedures. We have also written
20 into the protocol procedures for collecting adverse events that do not hit the seriousness
21 criteria, so that these can be reported in the main outcomes paper. Our research has NHS
22 insurance and this is clear on the IRAS application document. Any post-trial care would
23 be standard NHS care;
24
25 (iii) item 31b refers to authorship eligibility guidelines and any intended use of professional
26 writers. We do not use professional writers. We have not written authorship eligibility
27 into the protocol, however the lead writers for the different papers have been identified
28 in advance. We have followed the ICMJE recommendations for authorship and have
29 noted this in the contributors section of the protocol paper.
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37 All other items of the SPIRIT checklist are available within the protocol and Research Ethics
38 Committee approved participant facing documents as appropriate.
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CONSORT Abstract Checklist (Clarke et al 2008).

The Abstract has been reviewed in accordance with the CONSORT Abstract Checklist and is in adherence, see below. As this is a protocol paper of a trial open and in its very early stages of data collection, no results are currently available. TheMUSE ARMS Feasibility Trial opened to participants on 14.04.2023.

Item	Description	
Title	Identification of the study as randomised	✓
Authors*	Contact details for the corresponding author	✓
Trial design	Description of the trial design (eg, parallel, cluster, non-inferiority)	✓
Methods		
Participants	Eligibility criteria for participants and the settings where the data were collected	✓
Interventions	Interventions intended for each group	✓
Objective	Specific objective or hypothesis	✓
Outcome	Clearly defined primary outcome for this report	✓
Randomisation	How participants were allocated to interventions	✓
Blinding (masking)	Whether or not participants, care givers, and those assessing the outcomes were blinded to group assignment	✓
Results		
Numbers randomised	Number of participants randomised to each group	
Recruitment	Trial status	
Numbers analysed	Number of participants analysed in each group	
Outcome	For the primary outcome, a result for each group and the estimated effect size and its precision	
Harms	Important adverse events or side-effects	
Conclusions	General interpretation of the results	
Trial registration	Registration number and name of trial register	✓
Funding	Source of funding	✓
*For conference abstracts.		

Reference:

CONSORT for reporting randomised trials in journal and conference abstracts

Clarke, Mike; Moher, David; Wager, Elizabeth; Middleton, Philippa; Altman, Douglas G; Schulz, Kenneth F
The Lancet; Jan 26-Feb 1, 2008; 371, 9609; ProQuest
 pg. 281

BMJ Open

Use of a targeted, computer/web-based guided self-help psychoeducation toolkit for distressing hallucinations (MUSE) in people with an At Risk Mental State for psychosis: protocol for a randomised controlled feasibility trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2023-076101.R1
Article Type:	Protocol
Date Submitted by the Author:	12-Jun-2023
Complete List of Authors:	Hamilton, Jahnese; Cumbria Northumberland Tyne and Wear NHS Foundation Trust, Research and Development; Newcastle University Arnott, Bronia; Newcastle University Aynsworth, Charlotte; Cumbria Northumberland Tyne and Wear NHS Foundation Trust Barclay, Nicola; Cumbria Northumberland Tyne and Wear NHS Foundation Trust, Central At-Risk Mental State Service Birkett, Lauren; Cumbria Northumberland Tyne and Wear NHS Foundation Trust Brandon, Toby; Northumbria University Dixon, Lyndsey; Cumbria Northumberland Tyne and Wear NHS Foundation Trust Dudley, Robert; University of York; Cumbria Northumberland Tyne and Wear NHS Foundation Trust Einbeck, J; Durham University Gibbs, Christopher; Cumbria Northumberland Tyne and Wear NHS Foundation Trust Kharatikoopaei, Ehsan; Durham University; Manchester Metropolitan University Simpson, Jennifer; Tees Esk and Wear Valleys NHS Foundation Trust Dodgson, Guy; Cumbria Northumberland Tyne and Wear NHS Foundation Trust Fernyhough, Charles; Durham University
Primary Subject Heading:	Mental health
Secondary Subject Heading:	Evidence based practice
Keywords:	MENTAL HEALTH, PSYCHIATRY, Randomized Controlled Trial

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3 **Use of a targeted, computer/web-based guided self-help psychoeducation toolkit for distressing**
4 **hallucinations (MUSE) in people with an At Risk Mental State for psychosis: protocol for a**
5 **randomised controlled feasibility trial**
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11 **Abstract**
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13 **Introduction:** Individuals who access At Risk Mental State (ARMS) services often have unusual
14 sensory experiences and levels of distress that lead them to seek help. The Managing Unusual
15 Sensory Experiences (MUSE) treatment is a brief symptom targeted intervention that draws on
16 psychological explanations to help account for unusual experiences. Practitioners use formulation
17 and behavioural experiments to support individuals to make sense of their experiences and enhance
18 coping strategies. The primary objective of this feasibility trial is to resolve key uncertainties before a
19 definitive trial and inform parameters of a future fully powered trial.
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25 **Methods and analysis:** 88 participants aged 14-35 accepted into ARMS services, experiencing
26 hallucinations / unusual sensory experiences which are considered by the patient to be a key target
27 problem will be recruited from UK NHS sites and randomised using 1:1 allocation (stratified by site,
28 gender, and age) to either 6-8 sessions of MUSE or time-matched treatment as usual. Participants
29 and therapists will be unblinded, research assessors are blinded. Blinded assessment will occur at
30 baseline, 12weeks, and 20weeks post randomisation. Data will be reported in line with CONSORT.
31 Primary trial outcomes are feasibility outcomes, primary participant outcomes are functioning and
32 hallucinations. Additional analysis will investigate potential psychological mechanisms and secondary
33 mental wellbeing outcomes. Trial progression criteria follows signal of efficacy and uses an analytic
34 framework with a traffic-light system to determine viability of a future trial. Subsequent analysis of
35 the NHS England Mental Health Services Data Set 3 years post-randomisation will assess long-term
36 transition to psychosis.
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46 **Ethics and dissemination:** This trial has received Research Ethics Committee approval (Newcastle
47 North Tyneside 1 REC; 23/NE/0032). Participants provide written informed consent; young people
48 provide assent with parental consent. Dissemination will be to ARMS Services, participants, public
49 and patient forums, peer-reviewed publications and conferences.
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52 **Trial registration:** ISRCTN58558617.
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Strengths and limitations of this study

- This is a feasibility RCT, results will address key uncertainties to inform a future large-scale trial, including sample size and design decisions.
- The MUSE intervention toolkit, the trial design and participant facing materials have been developed with substantial input from people with lived experience.
- This study is distinctive in exploring potential causal cognitive mechanisms in an At Risk Mental State population who have unusual sensory experiences.
- There is no gold standard treatment to compare the intervention to, so controlled time-matched treatment as usual is selected as the comparator.
- The follow-up period is short (20 weeks post randomisation), therefore longer-term participant impacts will not be fully assessed; however long-term transition to psychosis will be examined via the Mental Health Services Data Set.

INTRODUCTION

At Risk Mental State (ARMS) describes presentations that indicate a potential prodromal stage of psychosis, or risk of psychosis, with around 25% of ARMS individuals converting to psychosis within 36 months (1). The importance of working with these individuals to target possible unhelpful beliefs in development, reduce distress, support healthy functioning, and potentially to prevent the development of full psychosis is widely advocated (2, 3).

The presence of unusual sensory experiences, such as hearing voices and seeing visions (hallucinations), may not in themselves indicate mental ill health as there may be common underlying psychological mechanisms or a continuum of experience from benign, everyday experiences to more severe hallucinations that require treatment (4). However, increased frequency and intensity of hallucinations, alongside distress and a decline in functioning, are linked to transition to psychosis and are threshold criteria in scales recommended in ARMS services (5, 6). Intervening to reduce the distress of unusual sensory experiences and offer explanations of the possible mechanisms behind these experiences may be key in preventing transition to psychosis (3, 7).

Current UK NICE guidelines recommend that people meeting ARMS criteria should be referred for specialist assessment and offered Cognitive Behavioural Therapy (CBT) to reduce the risk of developing psychosis (8-10). While approaches involving CBT and CBT with supportive therapy show promise in ARMS, the evidence for CBT improving functioning and mental state, or reducing

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3 progression to psychosis, is inconclusive (11-13). No specific psychological intervention has been
4 identified as having superior effectiveness in its treatment; there is no gold standard treatment (11,
5 14, 15). ARMS services therefore need to further assess interventions that indicate potential benefit.
6 Robust clinical trials are needed to determine benefits versus risk profiles, accessibility and cost
7 effectiveness (11, 13, 16).
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12 Treatment development would be improved if they addressed key causal mechanisms leading to
13 distressing experiences, and adapted treatment to the needs of different age groups (17, 18). Taking
14 a staged or stepped approach to psychological intervention is good practice, usually with CBT and
15 needs-based interventions prior to pharmacology (8, 18, 19). There is scope for research into briefer
16 approaches implemented prior to CBT in ARMS services, and emerging evidence from early
17 intervention in psychosis research that inclusion of briefer targeted evidence-based interventions
18 prior to CBT may result in a reduction of need for more in-depth CBT, as people better understand
19 their experiences and have less need for interventions (20).
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26 Through extensive multidisciplinary research into voice hearing, clinically embedded research with
27 patients who are indicating at risk state for psychosis, and studies of first episode psychosis, we have
28 developed a targeted, computer/web-based guided self-help psychoeducation toolkit for distressing
29 hallucinations (MUSE) (7, 21). MUSE endeavours to provide scientific and normalising explanations
30 that may provide acceptable and helpful understandings of an individual's unusual sensory
31 experiences and help to prevent more delusional explanations from developing. MUSE has been
32 trialled with an ARMS patient group in a non-randomised study (21) and shown to be acceptable
33 with good participant satisfaction with the therapy. We intend to assess MUSE through a series of
34 trials to determine patient benefit and possible impact on progression to psychosis in patients at
35 high risk. We will also seek to learn more about whether change relates to target mechanisms
36 underlying hallucination subtypes(22). This could be important for further refinement of treatment.
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45 **Objectives**

46 *Primary objective*

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48 To conduct an ISRCTN-registered feasibility randomised controlled trial to resolve key feasibility
49 uncertainties and inform the parameters of a future trial, to investigate the preliminary effect of
50 MUSE + treatment as usual (TAU) versus time-matched supportive psychotherapy TAU on general
51 functioning (assessed using the Social and Occupational Functional Assessment Scale [SOFAS](23),
52 and mental state related to frequency and distress of unusual sensory experiences and false beliefs
53 (assessed using the Psychotic Symptom Rating Scales [PSYRATS](24) total score, and sub-scales
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Hallucinations and Attribution(25)) in ARMS patients post therapy and at five-month post randomisation follow-up.

Secondary objectives

To explore additional treatment effects on unusual sensory experiences, anxiety, depression, and quality of life, and whether there are indications of other factors (sleep disturbance and trauma) influencing treatment effects.

To test feasibility of collecting measures of psychological mechanisms, including psychological and personal (phenotypical) factors implicated in the clinical course of hallucinations. To analyse which psychological mechanisms are influenced by the treatment and contribute to its clinical effect and inform a future investigation of whether any efficacy of MUSE is through impact on these mechanisms.

To collect routine data for a future records investigation testing feasibility of tracking transition to psychosis through medical databases (hospital records/Mental Health Services Data Set (MHSDS)), to examine which features of MUSE (presenting, treatment response and mechanistic) are most relevant to psychosis prevention.

METHODS AND ANALYSIS

Trial design and flowchart

This is a feasibility trial employing a prospective randomised, open-label, observer blinded, endpoint design assessing a targeted, computer/web based guided self-help psychoeducation toolkit for distressing hallucinations (MUSE)+TAU (6 – 8 sessions) compared to a TAU time-matched control (also referred to as supportive psychotherapy) (6 – 8 sessions) offered by a multi-disciplinary team which includes needs based emotional support, psychoeducation and stress management, aiming to reduce distress from hallucinations and improve functioning, in people with an At Risk Mental State (ARMS) for psychosis in UK secondary care mental health services.

The trial has received NHS Research Ethics positive opinion (23/NE/0032) and Health Research Authority Approvals and is registered with the ISRCTN registry (ISRCTN58558617, registered 09.05.2023). Two substantial amendments followed first approval and were obtained prior to first participant consent: Amendment 1 notably added in an unvalidated Preferences Questionnaire for therapeutic intervention, and changed an anxiety self-report questionnaire over to use the State-Trait Anxiety Inventory – Short Form (STAI-Short Form)(26-28). Amendment 2 replaced a longer

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3 dissociative experiences questionnaire for the 8-item Brief Dissociative Experiences Scale [DES-B]—
4 Modified(29, 30).

7 The trial has an independent trial steering committee (TSC) and Lived Experience Advisory Panel
8 (LEAP) facilitated by a co-applicant for the study with lived experience of psychosis.

11 **Participants**

13 Recruitment will be via NHS secondary care mental health clinical teams providing ARMS services.
14 Patients who potentially meet the eligibility criteria for the trial, and their parent/guardian where
15 appropriate if under 18 years, will be informed of the study by a member of their clinical team.
16 Participants will be checked for eligibility prior to informed consent via discussion with referring
17 teams and in the participant-researcher discussion prior to giving informed consent. Participant
18 Information Sheets will be provided at least three days prior to the informed consent meeting.
19 Written informed consent in adherence to principles of Good Clinical Practice (GCP) will be obtained
20 prior to participation. For participants aged 14 and 15 years old, Parent/Guardian informed consent
21 with child assent will be taken; this option of assent with Parent/Guardian consent will also be made
22 available to participants aged 16 and 17 years old due to their potential vulnerability and the
23 governing UK law which classes a minor as someone who is under 18 years old. Verbal consent form
24 will be used for participants with literacy challenges. Interpreters and translated consent forms will
25 be available for participants who do not speak English. Participants will be given £15 honorarium for
26 each assessment time-point.

37 **Trial eligibility criteria**

39 *Inclusion criteria*

- 42 • in contact with an ARMS service or accepted on an ARMS pathway by EIP services
- 44 • aged 14–35
- 46 • hallucinations / unusual sensory experiences scoring at least 3 on the Perceptual
47 Abnormalities Subscale of the Comprehensive Assessment of At-Risk Mental States (CAARMS)(6)
- 49 • hallucinations considered by the patient to be a key target problem
- 51 • judged to have been clinically stable for the preceding 2 weeks

55 *Exclusion criteria*

- 58 • intellectual disability or severe cognitive dysfunction affecting ability to engage with
59 research materials

- lacking capacity to give informed consent

Randomisation and blinding

Eligible participants who have completed baseline assessments will be randomised and subsequent assessments will be scheduled from the point of randomisation. An independent web-based randomisation service (sealedenvelope.com) will be used for the trial. Randomisation will be in the ratio 1:1 to the two groups: MUSE+TAU (intervention) or TAU time-matched control (supportive psychotherapy+TAU (control). Randomisation will be stratified by site, gender (M/F/Other) and age (14–17years/18–35 years inclusive). Randomisation allocation will be independent and dynamically generated using a randomised modified minimisation method (31) to assure allocation concealment along with preservation of allocation ratio. Randomisation allocation is made known to the CI and site PIs, the Trial Coordinator(s) and the trial therapists only at the point of randomisation, by email.

Research assessors for the trial will be blind to the allocation throughout the trial. Clinicians, therapists and participants will be unblind. Trial statisticians will be partially blind; In the first instance, for the analyses and reporting of main outcomes of the trial the Statisticians will be fully blind. However, for secondary sensitivity analysis such as impact of number of MUSE sessions on effect size) and the mechanisms investigations, the Statisticians will be required to view which participants received MUSE treatment.

Assessments

Assessors blinded to trial allocation will complete participant assessments at baseline, 12 weeks post randomisation, and 20 weeks post randomisation (See Table 1). Sociodemographic information will be collected from the participant at baseline only (CSRI questions 1-3.5 as amended for the trial(32)).

Table 1. Trial assessments and key participant procedures schedule

Assessments/ procedures	Participant identification	Enrolment & baseline	Randomisation	Intervention Weeks 1-12	12 weeks post randomisation (+/- 10days)	20 weeks post randomisation (+/- 10days)
Recruitment and eligibility discussions	X					
Informed consent		X				
CSRI Sociodemographic Q1-3.5		X				
Randomisation			X			
MUSE & TAU / TAU Intervention				↔		
Blinded assessments						

MUSE ARMS Primary Outcome Measures: SOFAS & PSYRATS		X			X	X
CSRI service use Q4.1-4.4		X				
CSRI Q4.5 criminal justice services and Q5 medication		X			X	X
MUSE ARMS Secondary Outcome Measures: CAARMS-PA, PHQ-9, GAD-7, ReQoL-20, ISI, ITQ/ITQ-CA, MMHQ		X			X	X
Subtype Measures & Cognitive Tasks (1-2 subtypes selected per participant)		X			X	X
Treatment preference		X				
Unblinded assessments						
CSRI service use at follow-up Q4.1-4.4					X	X
Transition to Psychosis data					X	X
Adverse Event (AE) data					X	X
Therapeutic Alliance STTS-R					X	
Participants interviews (Withdrawals sub-sample)					←→	
Participants interviews (MUSE completers sub-sample)						←→
Participants interviews (TAU sub-sample)					←→	
Therapists interviews (sub-sample)					←→	

Primary indicators of outcome

The primary outcome measures are: (i) Feasibility outcomes, including qualitative interviews; (ii) General functioning assessed using the SOFAS(23), a clinician/clinical researcher rated single-item scale; (iii) Target problem hallucinations assessed using the PSYRATS(24) (hallucination total) clinician/clinical researcher rated interview, and; (iv) Distress and attribution dimensions of target problem assessed using the PSYRATS (25).

Secondary assessments

Additional assessments will be the clinician/clinical researcher administered semi-structured interview CAARMS subscale of Perceptual Abnormalities(6) to elicit further detail about the nature of unusual experiences. Self-reported measures will rate depression symptom severity (PHQ-9(33)), anxiety (GAD-7(34)), quality of life (ReQoL-20(35)), sleep difficulties (ISI(36)), and trauma (ITQ/ITQ-CA(37, 38)). An unvalidated measure, the Multi-Modal Hallucinations Scale (MMHS) will be used to assess cross-modal sensory experiences.

Service use

Assessment of potential contamination of the MUSE intervention within the TAU condition, of other psychological therapies use within the treatment arms, and the need for additional interventions

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3 beyond the treatment phase will be captured using the CSRI(32) (as amended for the trial) at
4 baseline, 12 week and 20 week follow-up. CSRI service use data at 12 weeks and 20 weeks will be
5 collected from medical notes by the unblinded researcher to preserve blinding of research assessors.
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8 9 **Mechanisms assessment**

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11 To assess further information on mechanisms, subtype measures & cognitive tasks will be selected
12 per participant for 1 to 2 hallucination subtypes: (i) Inner speech, using the Varieties Of Inner Speech
13 Questionnaire (VISQ-R)(39), and computerised cognitive tasks Auditory Signal Detection Task and
14 Auditory Reality Monitoring Source Memory Task (40, 41); (ii) Memory, using the Brief Dissociative
15 Experiences Scale —Modified (DES-B)(29, 30) and computerised cognitive task Inhibition of Currently
16 Irrelevant Memories (ICIM)(42); (iii) Hypervigilance, using the State-Trait Anxiety Inventory – Short
17 Form (STAI-Short Form)(26-28), and computerised cognitive Jumbled Speech Task (JST)(43, 44), and;
18 (iv) Visual, using the visual section of the Plymouth Sensory Imagery Questionnaire (Psi-Q)(45) , and
19 computerised cognitive tasks Visual Signal Detection(46), Visual Reality Monitoring(47) and Face
20 Pareidolia Task(46). Researchers receive training on subtype selection. Selections are monitored and
21 evaluated against MUSE therapist subtype selections to assess selection reliability and potential
22 training needs.
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31 32 **Acceptability assessment**

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34 To assess therapy preference, satisfaction and acceptability of the intervention, participants will be
35 asked about treatment preferences at baseline using a study specific preferences questionnaire (see
36 supplementary materials 1), and treatment satisfaction post intervention using the Satisfaction with
37 Therapy and Therapist Scale-Revised (STTS-R)(48, 49). Qualitative interviews with participants and
38 trial therapists will further explore experience of MUSE, TAU, and trial procedures.
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43 44 **Long-term outcomes**

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46 Long-term transition to psychosis outcomes will be collected 3 years post baseline via the NHS
47 England Mental Health Services Data Set (MHSDS).
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50 51 **Data management**

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53 Interview/clinical assessments data will be scored following the visit and entered onto Qualtrics by
54 the researcher. Source data will be retained in the site file. Self-report data will be entered directly
55 onto Qualtrics during visits using participant ID and visit as markers. Unblinded data on service use
56 will be entered onto Qualtrics at the visit time points. Qualtrics outputs and computerised cognitive
57 task data will be downloaded and date stamped at regular intervals to allow data audit. The full data
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3 set will be transferred in its anonymous form to the stats team upon completion of data lock at the
4 end of the trial. Trial monitoring at sites will occur across the life cycle of the trial and will follow the
5 Sponsor approved data monitoring plan.
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8 9 **Intervention: Managing Unusual Sensory Experiences (MUSE) + TAU**

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11 The MUSE intervention is a novel targeted, computer/web-based guided self-help psychoeducation
12 toolkit and psychological treatment manual for managing distressing hallucinations in mental health,
13 developed and owned jointly by Durham University and CNTW. Patients work with experienced
14 therapists, under expert supervision, who utilise the MUSE package within therapy sessions to
15 develop a formulation explaining the development of hallucinations and foster new skills and
16 strategies for their management. The MUSE treatment is divided into the following modules: What
17 are Voices?; How the Mind Works; Assessment (of participant subtype); Inner Speech; Memory and
18 Trauma; Hypervigilance; and Sleep (see Dudley, Dodgson (50) for details).
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25 Six to eight 1-hour sessions will be offered weekly by experienced therapists who are clinical
26 psychologists or psychological therapists. Therapists will be accredited or working towards
27 accreditation by the British Association of Behavioural and Cognitive Psychotherapists (BABCP),
28 employed by the ARMS service and have experience of MUSE, receiving clinical supervision and
29 fortnightly MUSE supervision. MUSE is loaded onto therapists smart tablet/NHS laptop (not reliant
30 on Wi-Fi) and is available to patients via the CNTW website between sessions. No personal data are
31 recorded or stored on MUSE toolkit.
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38 Session by session measures will be used as part of the MUSE package to enable therapists to
39 monitor any variations in hallucination frequency and distress that may have a bearing on the
40 selection of module used or revisited during the treatment session.
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43 Therapists will be asked to complete adherence checklists for each session contained within a per-
44 participant MUSE Therapist Pack (see supplementary materials 2). With consent, each session will be
45 audio-recorded to enable independent review by the site Principal Investigator or delegated Clinical
46 Lead of a random 10% sample to ensure fidelity to protocol within and across sites.
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53 **Control condition: time-matched control + TAU**

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55 To control for risk of bias from an undefined comparative treatment, and potential bias from dose
56 effects, a time-matched TAU is included(11, 51). In order to match the comparative brief
57 intervention to usual practice within ARMS services, components of care were identified in an
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3 engagement meeting with ARMS service leads. These common core components could be described
4 as supportive psychotherapy or ongoing care (needs based emotional support, psychoeducation,
5 normalisation and stress management) and were outlined as the interventions used by therapists as
6 part of their normal clinical toolkit, alongside routine multi-disciplinary care from the team. Patients
7 work with different therapists who are ARMS clinicians and are not trained in MUSE. These clinicians
8 will receive supervision on their practice through the routine supervision arrangements of their
9 service and will record the interventions used within a per-participant TAU Therapist Pack (see
10 supplementary materials 3). We will investigate how frequently and consistently these supportive
11 psychotherapy interventions are offered to inform whether these interventions could act as a
12 comparator intervention in future trials. This arm will be time-matched controlled, however
13 variation across services precluded using this comparator being defined as a controlled *intervention*.
14 Number of sessions received in this group will be recorded for analysis.

23 **Both groups: TAU**

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25 In addition to the trial allocated intervention (MUSE or TAU time-matched control supportive
26 psychotherapy), both treatment groups will also receive additional usual care as clinically indicated.
27 No treatments will be withheld on account of being part of the trial. This includes regular
28 monitoring, signposting to appropriate local services for unmet needs, social support and crisis
29 management when required from the multi-disciplinary team. CBT is also a core intervention
30 recommended by NICE Guidance and offered across ARMS services. However, in practice it is not
31 always offered to all service users. CBT may form part of the care in both conditions as part of usual
32 care. We will investigate the number of CBT sessions received by participants in both groups and
33 investigate whether MUSE impacts on the number of sessions required. Additional care will be based
34 on clinical judgement and will be recorded for both arms of the study. These additional elements of
35 care, including interventions and contacts that occur beyond the MUSE/time-matched period will be
36 analysed for variations and similarities in the care received between the two groups.

46 **Analysis**

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48 Analyses will follow intention to treat principles, with data analysed according to randomisation
49 irrespective of treatment received. A full statistical analysis plan (SAP) will be developed for the
50 outcome measures and agreed with TSC before the end of data collection. Data will be reported in
51 line with the Consolidated Standards of Reporting Trials (CONSORT)(52), see figure 1.

56 **Sample size**

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3 As this is a feasibility trial there is no formal sample size calculation, interim analyses or stopping
4 rule. The trial aims to recruit 88 participants with 70 participants completing the study (allowing for
5 20% attrition) to be able to robustly calculate the sample size for a definitive trial(53).
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8 9 **Statistical analysis**

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11 Feasibility outcomes will be analysed primarily: the ability of the trial to recruit participants, who
12 reflect the diversity within the region, and meet study inclusion criteria over the 9-month
13 recruitment period, who complete assessment measures collected at baseline, post intervention and
14 follow-up, until all participants complete the follow-up assessment or withdraw.
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18 Descriptive statistics within each randomised group will be presented for baseline and follow-up
19 points. All data will be summarised as appropriate using mean \pm standard deviation and median \pm
20 interquartile range for continuous outcome data; frequency and percentages for binary or
21 categorical data; and rate for count data. Analysis will be via the latest version of R.
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25 The signal of efficacy will be determined by examining the effect of each arm (MUSE versus
26 supportive psychotherapy) on outcomes measures, estimated as change from baseline.
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30 The effects will be estimated using generalised linear mixed effect models with the appropriate
31 distribution and link function. Normal distributions with identity link will be used for continuous
32 outcomes, and negative-binomial distributions with log link for count data outcomes. All binary or
33 categorical outcomes will be analysed using generalised estimating equations (GEE). The mixed-
34 effects models and GEE account for the repeated measurements per participant over the follow-up
35 time points. All models will be adjusted for treatment arms and stratification variables. The mixed
36 model approach taken will allow identifying the individual effect of the two interventions with
37 relation to their baseline, as well as the difference in their effects through an interaction parameter
38 of time and intervention. This can be considered as a model-based difference-in-difference analysis.
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42 These models will be used mainly to estimate relevant parameters, since the trial is not powered for
43 null hypothesis significance-testing. That is, while we are interested in identifying the magnitude of
44 the signal of efficacy, we will not attempt to prove its significance.
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48 In addition, a mediation analysis will examine how the different mechanism components mediate
49 the estimated impact of the interventions on the primary outcomes, and a complier average causal
50 effects (CACE) analysis will determine the impact of the number of sessions on the MUSE effect.
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54 If data are missing for a particular participant and outcome measure, this participant will be
55 excluded from the analysis, for this outcome measure only, without further adjustment for
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3 missingness. However, the effect of missing data will be investigated additionally by sensitivity
4 analysis using tabulation of rate of missingness across trial arms and imputation methods.
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7 **Qualitative analysis**

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9 Audio-recordings will be transcribed and analysed (in NVivo software). Interview transcripts will be
10 analysed using thematic analysis(54) allowing a transparent, replicable and robust process and
11 demonstration of reflexivity and quality. Transcripts will be coded by two researchers until coding
12 reliability is established; coding will then be conducted by one researcher, with reliability checks by
13 the qualitative lead. Data will be extracted into a framework matrix, summarising data by category
14 from individual transcripts, with quotations selected as illustrative exemplars. Initial findings from
15 the qualitative analyses will be presented to LEAP for feedback on interpretation.
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22 **Health economics analysis**

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24 As a feasibility study, we are not undertaking a formal economic evaluation at this stage but will
25 inform a health economic evaluation in a future definitive trial by piloting the ReQoL-Utility Index
26 with the ReQoL-20 data for health economic analysis calculation.
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30 **Criteria for proceeding to a future trial**

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32 The signal of efficacy is dependent upon the primary outcome data (SOFAS, PSYRATS Total, PSYRATS
33 distress, PSYRATS attribution) and follows: i) Go: primary outcome data suggest the intervention
34 may show an effect indicating clinical value warranting further investigation; ii) Refine: primary
35 outcome data indicate no measure of effect, but one or more secondary outcomes indicates an
36 effect; iii) Stop: no effect across any outcomes.
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41 The trial progression criteria will follow signal of efficacy and cover domains of research delivery,
42 therapy engagement and fidelity, and safety. The criteria were influenced by LEAP and TSC input and
43 sign-off. Trial progression criteria uses an analytic framework with a traffic-light system (see
44 supplementary Table 1). Progression will depend upon: (i) All Green outcomes: no/minor revisions
45 prior to next development of the trial, or; (ii) One or more Amber (but not Red) outcomes: If
46 feasible, substantial alterations to the trial protocol, assessments or intervention, supported by the
47 qualitative work-stream and discussed with TMG and TSC prior to the next development of the trial
48 or; (iii) If one or more Red outcomes result then the trial is unlikely to progress at that site or very
49 substantial amendments are needed. The mechanism measures and tasks will also be reviewed for
50 sensitivity to change and reliability to inform the next development of the trial.
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3 Decisions regarding any changes will consider the ADePT decision-making process to address
4 potential problems with intervention, clinical setting, or trial design that may be relevant in either a
5 trial setting or real world context. We will use qualitative data to contextualise our progression
6 criteria, to ensure that the participant feedback informs our understanding of our research delivery
7 and signal of efficacy.
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10 11 12 **Adverse events**

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14 Serious Adverse Events (SAEs) are defined as: results in death; is life-threatening; requires
15 hospitalisation or prolongation of existing hospitalisation; results in persistent or significant disability
16 or incapacity; results in congenital anomaly or birth defect; or is otherwise considered medically
17 significant by the investigator. Any SAEs shall be assessed immediately for trial relatedness and
18 expectedness and reported to the Sponsor. Any related and unexpected SAEs and any Urgent Safety
19 Measures (defined as: early withdrawal of participant(s) due to safety concerns about the
20 intervention or assessments, or; changes to procedures due to concerns about staff or participant
21 safety) shall be reported immediately to the Sponsor and Research Ethics Committee in accordance
22 with Health Research Authority governance regulations (See: [https://www.hra.nhs.uk/approvals-
23 amendments/managing-your-approval/safety-reporting/](https://www.hra.nhs.uk/approvals-amendments/managing-your-approval/safety-reporting/)) and Sponsor standard operating
24 procedures.
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34 Adverse events will be recorded for all participants where the event relates to mental state, with
35 focus on clinically significant: a) increases in distress and/or psychosis; b) increased harm to
36 self/harm to others; c) increased suicidal ideation/attempts; d) increased use of drugs/alcohol; e)
37 emergency room visits for mental health concerns; f) access to crises services.
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41 **Patient and public involvement**

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43 The MUSE intervention, the trial design and participant facing materials and the grant application
44 have benefitted from input by individuals with lived experience. To ensure a retained focus on
45 patients, a Lived Experience Advisory Panel (LEAP) led by a co-applicant with personal lived
46 experience of psychosis was established and meets monthly in a mixture of online and face-to-face
47 formats throughout the lifetime of the trial. The study specific Preferences questionnaire was
48 collaboratively developed with the LEAP. The outcome measures and the topic guides were piloted
49 with LEAP members and amended following feedback. The LEAP were consulted on the potential
50 ethical issues of the trial and the trial progression criteria. Members of the LEAP group will also co-
51 facilitate qualitative interviews, help disseminate study findings, and enable patient experience to
52 inform design of future research and any revisions of the treatment. Two LEAP members are part of
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3 the TSC, with one taking a lead on trial procedures and the other on the inclusion of under-served
4 groups. Compensation for work done is given in accordance with NIHR PPI guidelines
5 ([https://www.nihr.ac.uk/documents/ppi-patient-and-public-involvement-resources-for-applicants-](https://www.nihr.ac.uk/documents/ppi-patient-and-public-involvement-resources-for-applicants-to-nihr-research-programmes/23437)
6 [to-nihr-research-programmes/23437](https://www.nihr.ac.uk/documents/ppi-patient-and-public-involvement-resources-for-applicants-to-nihr-research-programmes/23437)).
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10 11 12 13 **ETHICS AND DISSEMINATION**

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15 This trial has obtained NHS Research Ethics Committee (REC) positive opinion from Newcastle North
16 Tyneside 1 REC (reference: 23/NE/0032), and UK Health Research Authority approval (IRAS project
17 ID: 323903). Participants are provided with Participant Information at least three days prior to
18 providing informed consent. Participants provide written informed consent; young people provide
19 assent with parental consent (see supplementary materials 4-6). The research Sponsor is Cumbria,
20 Northumberland, Tyne and Wear NHS Foundation Trust (CNTW).
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26 An anonymised version of the main outcome quantitative data and mechanisms data will be
27 available either in open access as encouraged by peer review publications or from the trial team on
28 reasonable request with publication of the trial outcomes paper and mechanisms paper.
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32 The research outcomes shall be submitted for peer review open access publications. Anonymised
33 data will be made available in a repository. Trial outcomes, mechanisms evaluations, and long-term
34 outcomes will be reported on.
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38 The trial outcomes: The feasibility trial outcomes will report on feasibility outcomes and the
39 candidate primary outcome measures (SOFAS and PSYRATS). Secondary reporting will detail the
40 secondary treatment effects and influence of moderators. Additional reporting will detail treatment
41 integrity: data on treatment adherence to the model (sessions checklist data); exposure of
42 participants to the interventions and additional treatments within usual care (CSRI data); the quality
43 of treatment delivered and responsiveness of participants as reflected on by therapists and
44 participants (STTS-R data, qualitative data); and the programme differentiation between the novel
45 intervention arm and the usual care arm (CSRI data).
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51 Mechanisms will be reported on the analysis of secondary assessments for the purposes of
52 informing which aspects of patient presentation the MUSE intervention works with, and informing
53 the outcome measures in a future efficacy and mechanisms trial.
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57 Long-term transition to psychosis paper: Long-term transition to psychosis through the
58 MHSDS/medical records exploratory feasibility analysis will report which features of MUSE
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3 (presentation, treatment response, mechanistic) are indicated as most relevant to psychosis
4 prevention.
5

6 7 **Trial status**

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9 The trial opened to recruitment at the two planned NHS sites on the 14th April 2023 (Cumbria,
10 Northumberland, Tyne and Wear NHS Foundation Trust) and 21st April 2023 (Tees, Esk and Wear
11 alley NHS Foundation Trust). First participant randomisation (enrolment) was on 10th May 2023.
12 Final participant facing procedures are due to be completed by end of June 2024. The study will
13 finish at NHS research sites after the final assessment with the final participant is completed and the
14 monitoring close-out visit has occurred at site.
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23 *** **

24 25 26 27 **Acknowledgements**

28
29 We are grateful to our Sponsor, Cumbria, Northumberland, Tyne and Wear NHS Foundation Trust
30 and the sponsorship team for their backing and support, and to the many individuals in the
31 collaborating institutions who have enabled this trial to run. We are grateful to the dedicated
32 involvement and contributions of the MARS Lived Experience Advisory Panel Group members, all
33 who wish to remain anonymous and those who are happy to be named. Thank you to Gayl McCain,
34 Katie Rumney, Helen Errington, Patricia Higgins and the whole group. Thanks is noted especially to
35 all of the people who over the course of this research agree to receive information on the study and
36 consider whether would like to take part. A number of people gave their time and expertise in
37 reviewing iterations of the trial development and supporting the trial through the various funding
38 and approvals systems, who include Stephanie Common, Angus Williams, and others, and our
39 appreciation is noted. The study has also benefitted from the technical contributions of the
40 psychologists David Smailes (ORCID ID: 0000-0002-0455-070X) and Peter Moseley (ORCID ID: 0000-
41 0002-9284-2509) who respectively built the computerised cognitive tasks used in the trial, we are
42 grateful for this contribution. We would not be able to run the trial without the daily contribution
43 also from all of the trial researchers on the ground who work within the NHS trusts to support the
44 day to day running of trial operations, communication with participants, recruitment to the research
45 and to conduct research assessments; thank you to Michael Kelly, Abbey Underwood, Alexandra
46 Carne, Vickie Larry, Sarah Bentley, Emily Clare, Melanie Rowe, Victoria Wilson, Sarah Garner and
47 others. Tremendous thanks is also given to all of the therapists who work into the NHS ARMS
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3 services who are delivering the interventions for this study, Carina Ewels, Eloise Todd, Louise Ross,
4 Andrew Weightman, Claire Mason, Toyah Lebert, Mark Dawson, Louise Leach, Nicola Elliot, Paul
5 Williams, Luke Rogers, Alison Bernard and others over the life course of the trial and to all of the
6 individuals who support these services to function.
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9

10 **Contributors**

11
12
13 Authorship follows ICMJE recommendations. JH is the trial manager and wrote the final protocol and
14 protocol paper. GD is the chief investigator and has overall responsibility for the trial design and
15 drafted the initial trial protocol. GD and CF are the joint study leads and lead on supervision and trial
16 decisions. RD, BA, CA, NB, TB, LD, CG, and JS contributed to the study design. GD and CF led the
17 development of the treatment with substantial input from a range of clinicians and service users. CG
18 was responsible for patient and public involvement. JE and EK are responsible for the statistical
19 analysis design. NB, JS, and LB coordinated the trial at sites and were responsible for recruitment. All
20 authors read and approved the final trial protocol.
21
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24
25

26 **Funding**

27
28
29 This project is funded by the National Institute for Health and Care Research (NIHR) under its
30 Research for Patient Benefit (RfPB) Programme (Grant Reference Number NIHR204125). The views
31 expressed are those of the author(s) and not necessarily those of the NIHR or the Department of
32 Health and Social Care.
33
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35

36 **Competing interests**

37
38
39 GD, RD, JS, NB and CA provide psychological therapies for individuals with psychosis in NHS settings.
40 RD receives payment for workshops in treating hallucinations. GD, RD, CF, NB, and CA hold or have
41 held grants to develop and carry out trials of psychological therapy for individuals with psychosis. LD
42 provides sponsorship oversight of trials in CNTW NHS FT. All other authors declare no competing
43 interest. The MUSE toolkit is the joint intellectual property of Durham University and Cumbria,
44 Northumberland, Tyne and Wear NHS Foundation Trust and will be made freely available if proven
45 to be beneficial.
46
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51 **Patient consent for publication**

52
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54 Not applicable.
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56 **Provenance and peer review**

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59 Not commissioned; peer reviewed for ethical and funding approval prior to submission.
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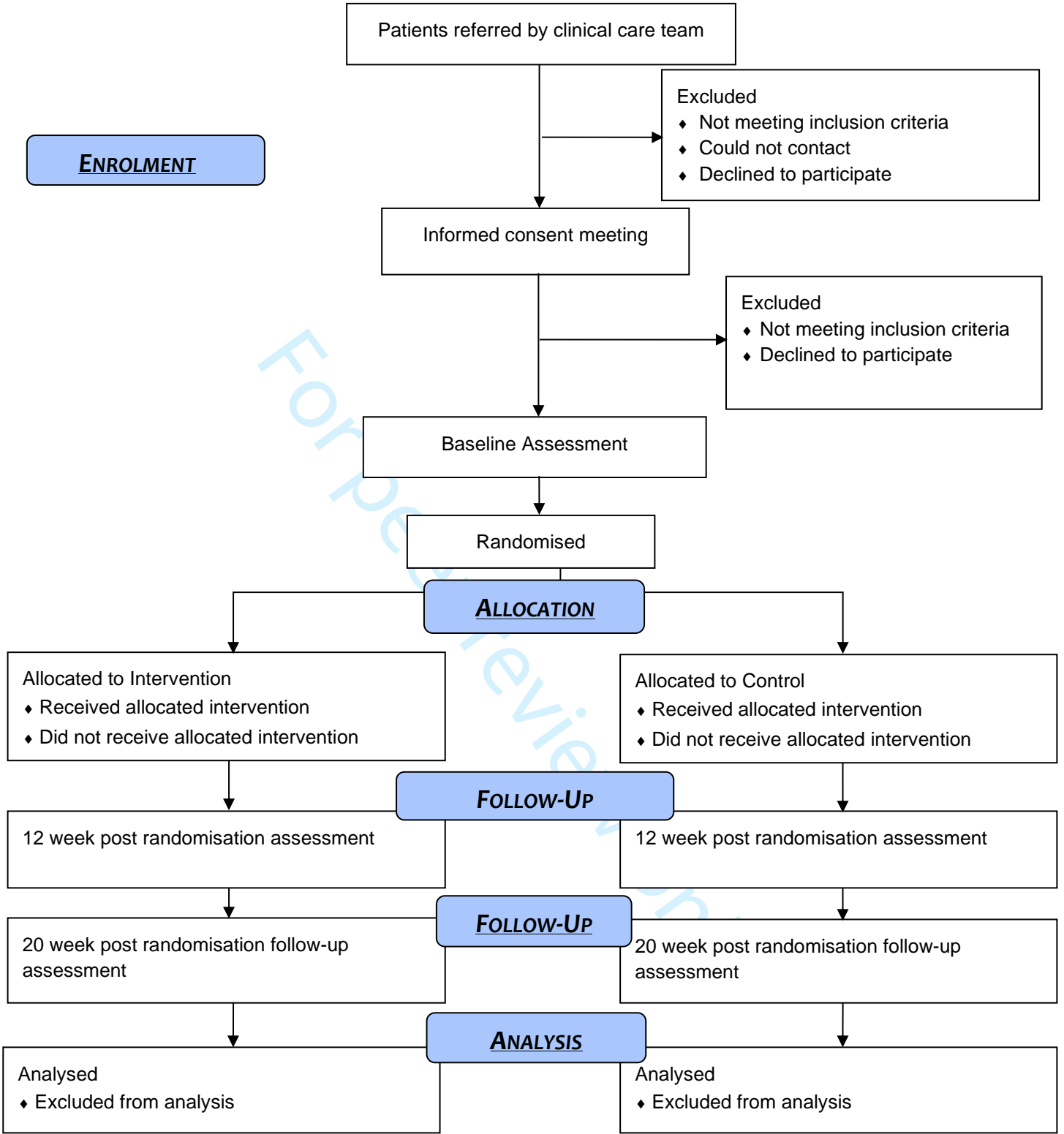
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FIGURE TITLE

Figure 1. Data to report in line with the Consolidated Standards of Reporting Trials (CONSORT)

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Supplementary Table 1. Approved trial monitoring and progression criteria to a future definitive trial

Criterion	Critical feasibility outcome	Other feasibility and acceptability data relevant to the criterion		Proposed thresholds on critical outcome
1) Recruitment	<ul style="list-style-type: none"> Number of participants consented into the trial and randomised 	<ul style="list-style-type: none"> Number of referrals per month Source of recruitment Number of participants eligible, Number of participants referred Reasons for non-eligibility or withdrawal of interest 	  	<p>Feasibility will be demonstrated where an average of least 7.84 participants are recruited and randomised per month (80% of recruitment target met).</p> <p>If at least 5.88 participants are recruited per month, then a future trial will be feasible but additional strategies must be identified to support recruitment (e.g. informed by other feasibility data relevant to this criterion) (60-80% of recruitment target met).</p> <p>If an average of under 5.88 participant is recruited per month over the recruitment period, feasibility within the current design will not be demonstrated (under 60% of recruitment target met).</p>
2) Therapy engagement	<ul style="list-style-type: none"> % who drop-out of therapy 	<ul style="list-style-type: none"> Session record forms for each therapy session Number of therapy sessions attended Qualitative interviews with SU participants Therapy satisfaction scores 	  	<p>Feasibility will be demonstrated if at least 80% of the participants in the intervention arm completed at least 4 out of the 6-8 sessions of MUSE.</p> <p>If 60-80% of participants in the intervention arm complete at least 4 out of the 6-8 sessions of MUSE.</p> <p>If less than 60% of participants in the intervention arm complete at least 4 out of the 6-8 sessions of MUSE.</p>
3) Assessment retention	<ul style="list-style-type: none"> % of participants who are lost to follow-up at primary assessment endpoint (12weeks post randomisation) 	<ul style="list-style-type: none"> Reasons for withdrawal from the study Qualitative interviews with SU participants Data completion 	  	<p>If at least 70% of participants complete primary outcome measure at primary assessment endpoint, feasibility will be demonstrated.</p> <p>If 50-70% of participants complete primary outcome measure at primary assessment endpoint, a future trial will be feasible if strategies to overcome barriers are identified (e.g. via other data relevant to this).</p> <p>If less than 50% of participants complete primary outcome measure at primary assessment endpoint, feasibility within the current design will not be demonstrated.</p>
4) Therapy fidelity	<ul style="list-style-type: none"> Adherence ratings from therapy tapes 	<ul style="list-style-type: none"> Session record form for each therapy session (including reasons for deviation from protocol) 	  	<p>Feasibility will be demonstrated if over 80% of rated therapy tapes are rated as acceptable.</p> <p>If 50-80% of rated therapy tapes are rated as acceptable, a future trial will be feasible if strategies to overcome barriers are identified</p> <p>If less than 50% of rated therapy tapes will be rates as acceptable, feasibility within the current design will not be demonstrated.</p>
5) Safety	<ul style="list-style-type: none"> Number of related SAEs 	<ul style="list-style-type: none"> Increased number of AEs in Intervention condition 	  	<p>0-1 Related SAEs in the Intervention arm.</p> <p>2 Related SAEs in the Intervention arm.</p> <p>3+ Related SAEs in the Intervention arm.</p>

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For peer review only

MUSE ARMS Feasibility Trial: Preferences Questionnaire; v1.0, 12.03.2023
IRAS Project ID: 323903

Preferences for psychological therapy or support

This set of questions is seeking to develop an understanding of your preferences for therapy treatment.

1. Do you have a preference for the number of therapy sessions you might get?

- 1-3
- 4-8
- 9-16
- 17-30
- Don't know

2. How important is it that your treatment:

Includes being give medication?

- Not important
- Somewhat important
- Very important

Includes a talking therapy?

- Not important
- Somewhat important
- Very important

Addresses any feelings of anxiety?

- Not important
- Somewhat important
- Very important

MUSE ARMS Feasibility Trial: Preferences Questionnaire; v1.0, 12.03.2023
IRAS Project ID: 323903

Addresses any feeling of low mood?

- Not important
- Somewhat important
- Very important

Helps you understand the causes of any unusual sensory experiences, such as hearing a voice?

- Not important
- Somewhat important
- Very important

Helps you learn to manage any unusual sensory experiences?

- Not important
- Somewhat important
- Very important

Helps you feel less distressed about any unusual sensory experiences?

- Not important
- Somewhat important
- Very important

3. What are your preferences for the way the therapist/clinical care team works with you?

Please rate how important you think the following statements are:

I am given space to talk and feel heard

- Not important
- Somewhat important
- Very important

MUSE ARMS Feasibility Trial: Preferences Questionnaire; v1.0, 12.03.2023
IRAS Project ID: 323903

I work with my therapist to help me make sense of my experiences

- Not important
- Somewhat important
- Very important

I am involved in setting my own goals

- Not important
- Somewhat important
- Very important

I am given new ideas of how to cope with my experiences

- Not important
- Somewhat important
- Very important

4. How much do you hope to get the MUSE therapy?

- I would prefer to be allocated to MUSE based therapy
- I don't mind one way or the other whether I receive MUSE based therapy
- I would prefer to be allocated to the treatment as usual.

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MUSE THERAPY THERAPIST PACK

*Return to research site file for archiving after completion

**Adherence Checklist: MUSE Therapy Sessions
(Please tick topic used in any session)**

Insert Date:									
Insert length of session (minutes):									
Module/Topic	S1	S2	S3	S4	S5	S6	S7	S8	Comments
What are voices?									
What are voices?									
How many people hear voices?									
Why does it become a problem?									
Can things get better?									
Personal experiences									
1. How the mind works?									
Thoughts and senses									
How thoughts work									
Embarrassing thoughts									
The power of attention									
How we use expectation									
2. Assessment									
Types of unusual sensory experiences.									
What kind of voices do we hear?									
3. Inner Speech									
What is inner speech?									
Our inner speech can do amazing things									
Why do people not recognise voices?									
Thoughts are hard to control									
Blocking the loop									
Inner speech – what is the evidence?									
Tracking the self – Was that me?									
Writers and voice hearing									
Imaginary friends									
Formulation									
Voices and Relationships									
Transforming the voice									
Testing out your explanations									

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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3												
4	Living well with voices											
5												
6	4. Memory Based Voices											
7	Memory, dissociation, trauma											
8												
9	The importance of trauma											
10												
11	Threat system and Soothing system											
12												
13	Formulation											
14												
15	Treating trauma											
16	5. Hypervigilance											
17	Nature versus Nurture											
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19	Filling in the gaps											
20												
21	What our perception system is designed to do											
22												
23	Response to danger											
24												
25	Formulation											
26												
27	Threat system and soothing system											
28												
29	Mistrust											
30	6. Seeing Visions											
31	Is seeing believing?											
32												
33	What do your visions mean to you?											
34												
35	Perception system design											
36												
37	Filling in the gaps											
38												
39	Tracking the self – was that me?											
40												
41	Imaginary friends											
42												
43	Testing distressing appraisals											
44												
45	Changing images											
46												
47	Living well with visual experiences											
48												
49	Voices, visions and relationships											
50												
51	Challenging unacceptability											
52												
53	Testing out your explanations											
54												
55	Living well with voices and visions											
56												
57	7. Sleep											
58	Why do we sleep?											
59												
60	How to sleep well											

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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Adverse Events Checklist

Insert date:									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Adverse events of interest reported? Add to Rio/Paris									
Serious Adverse Event? NB 24hour reporting deadline									
Urgent Safety Measures? NB Phone PI immediately									

Adverse Events Guidance:

Adverse Events. Record on Rio/Paris for collection by the Unblinded Researcher at the 12wk and 20wk assessment time points that pertain to the following events of Protocol Interest:

- Clinically significant increases in distress and/or psychosis
- Increased harm to self/harm to others
- Increased suicidal ideation/attempts
- Increased use of drugs/alcohol
- Emergency room visits for mental health concerns
- Access to crises services

Serious Adverse Event (SAE): The site Principal Investigator (PI), or delegate shall report all SAEs within 24 hours of becoming aware of the event to the Chief Investigator (CI), or delegate via email to MUSE.ARMS@cntw.nhs.uk using the SAE reporting form. These are events that:

- results in death;
- is life-threatening;
- requires hospitalisation or prolongation of existing hospitalisation;
- results in persistent or significant disability or incapacity;
- consists of a congenital anomaly or birth defect; or,
- is otherwise considered medically significant by the investigator.

Urgent Safety Measures: The site Principal Investigator (PI), or delegate, must inform the CI immediately by telephone (Tel. 01670844670 / alternatively Teams video/voice call for guy.dodgson@cntw.nhs.uk) of urgent safety measures defined above in section 11.5 (early withdrawal/changes to procedure due to safety concerns for staff or participants). This information shall be documented on the Urgent safety reporting form and submitted by email to MUSE.ARMS@cntw.nhs.uk. This is when the following applies:

- Early withdrawal of participant(s) due to safety concerns about the intervention or assessments
- Changes to procedures due to concerns about staff or participant safety

Transition to Psychosis Checklist

Insert date:									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Indication of Transition to Psychosis? Add note to Rio/Paris									

Transition to Psychosis Guidance: The following information suggests potential transition to psychosis for this protocol:

- Clinical diagnosis using standard diagnostic classification systems DSM/ICD
- Clinical diagnosis using ARMS assessment schedule documented in clinical notes
- Transfer to the Early Intervention in Psychosis pathway
- Treated or untreated psychotic episode of one week's duration or longer
- Initiation of treatment with antipsychotics (3 or more weeks of treatment with antipsychotics at a dose of ≥ 5mg haloperidol or equivalent)

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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1 **Therapy Session measure**

2 **MUSE Therapist Pack**

MUSE ARMS Feasibility Trial; IRAS ID: 323903

3
4
5
6 Session Number:

7
8 Date:

9
10 **Therapy Session measure**

11
12
13 **Please answer the following questions about the voices you experienced in the past week**

14 How frequent were the voices?

15
16
17 0% 10 20 30 40 50 60 70 80 90 100%
18 Voices not present Once a day Voices always present

19
20
21
22 Were the voices distressing? How much of the time?

23
24 0% 10 20 30 40 50 60 70 80 90 100%
25 Voices never distressing Voices were distressing about half of the times Voices always distressing

26
27
28
29 **If relevant please answer the following questions about the visions you experienced in the past week**

30 How frequent were the visions?

31
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35 0% 10 20 30 40 50 60 70 80 90 100%
36 Visions not present Once a day Visions always present

37
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40 Were the vision distressing? How much of the time?

41
42 0% 10 20 30 40 50 60 70 80 90 100%
43 Visions never distressing Moderately distressing extremely distressing

44
45
46
47 Overall, how distressing were the experiences listed above?

48
49 0% 10 20 30 40 50 60 70 80 90 100%
50 not at all distressing Moderately distressing extremely distressing

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59 **Completed by**

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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1 **Therapy Session measure**

2 **MUSE Therapist Pack**

MUSE ARMS Feasibility Trial; IRAS ID: 323903

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Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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1 **Therapy Session measure**

2 **MUSE Therapist Pack**

MUSE ARMS Feasibility Trial; IRAS ID: 323903

6 Session Number:

8 Date:

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37 Visions not present Once a day Visions always present

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43 Visions never distressing Moderately distressing extremely distressing

47 Overall, how distressing were the experiences listed above?

49 0% 10 20 30 40 50 60 70 80 90 100%
50 not at all distressing Moderately distressing extremely distressing

59 **Completed by**

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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Therapy Session measure

MUSE Therapist Pack

MUSE ARMS Feasibility Trial; IRAS ID: 323903

Session Number:

Date:

Therapy Session measure

Please answer the following questions about the voices you experienced in the past week

How frequent were the voices?

0% 10 20 30 40 50 60 70 80 90 100%
 Voices not present Once a day Voices always present

Were the voices distressing? How much of the time?

0% 10 20 30 40 50 60 70 80 90 100%
 Voices never distressing Voices were distressing about half of the times Voices always distressing

If relevant please answer the following questions about the visions you experienced in the past week

How frequent were the visions?

0% 10 20 30 40 50 60 70 80 90 100%
 Visions not present Once a day Visions always present

Were the vision distressing? How much of the time?

0% 10 20 30 40 50 60 70 80 90 100%
 Visions never distressing Moderately distressing extremely distressing

Overall, how distressing were the experiences listed above?

0% 10 20 30 40 50 60 70 80 90 100%
 not at all distressing Moderately distressing extremely distressing

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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TAU THERAPY THERAPIST PACK



*Return to research site file for archiving after completion

Adherence Checklist: TAU Therapy Sessions
(Please tick for used in any session)

Insert Date:									
Insert length of session (minutes):									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Was this a CBT session (Y/N)?									
CBT Assessment									
Formulation									
Needs based emotional support									
Social Support									
Normalisation									
Stress management									
Psychoeducation* <i>*Please describe if related to managing unusual sensory experiences in the comments box</i>									
Other:									
Other:									
Other:									

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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Adverse Events Checklist

Insert date:									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Adverse events of interest reported? Add to Rio/Paris									
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Urgent Safety Measures? NB Phone PI immediately									

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- Early withdrawal of participant(s) due to safety concerns about the intervention or assessments
- Changes to procedures due to concerns about staff or participant safety

Transition to Psychosis Checklist

Insert date:									
	S1	S2	S3	S4	S5	S6	S7	S8	Comments
Indication of Transition to Psychosis? Add note to Rio/Paris									

Transition to Psychosis Guidance: The following information suggests potential transition to psychosis for this protocol:

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- Clinical diagnosis using ARMS assessment schedule documented in clinical notes
- Transfer to the Early Intervention in Psychosis pathway
- Treated or untreated psychotic episode of one week's duration or longer
- Initiation of treatment with antipsychotics (3 or more weeks of treatment with antipsychotics at a dose of ≥ 5 mg haloperidol or equivalent)

Completed by

Participant ID (MUSE ID Number):	Print Name:	Role:	Signature:	Completed on (DD/MM/YYYY)
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For peer review only

[Insert local site logos here]

IRAS Number: 323903 Study Title: MUSE ARMS Feasibility Trial.

Informed Consent Form [Version 2.0 23022023]

Centre Name: [e.g. CNTW / Other NHS Participating Organisation]



Cumbria, Northumberland,
Tyne and Wear
NHS Foundation Trust

Participant ID Number:

MUSE ARMS Feasibility Trial

INFORMED CONSENT FORM

		Initial box to agree
1	I confirm that I have read the information sheet dated..... (Version.....) for the above study. I have had the opportunity to consider the information, ask questions and have had these answered satisfactorily.	<input type="checkbox"/>
2	I understand that my participation is voluntary and that I am free to withdraw at any time without giving any reason, without my medical care or legal rights being affected.	<input type="checkbox"/>
3	I understand that if I withdraw from the study, or need to be withdrawn due to becoming too unwell, the research team will keep the research data about me that they already have, and if you give consent to question 10 on this form they will continue to track long term outcomes via the MHSDS/medical notes unless you request that they do not.	<input type="checkbox"/>
4	I understand that relevant sections of my medical notes and data collected during the study, may be looked at by responsible individuals from <i>[Research site]</i> and from the research Sponsor CNTW NHS Foundation Trust, their representatives and regulatory authorities for the purposes of this research study, which includes audit and monitoring for research quality assurance. I give permission for these individuals to have access to my records in accordance with this study participant information sheet and informed consent.	<input type="checkbox"/>
5	I understand and agree that the information collected from me in the course of this study will be held and maintained by <i>[enter name of organisation(s) that will be storing the participant data]</i> and CNTW, and archived at <i>[enter name of organisation(s)]</i> and CNTW.	<input type="checkbox"/>
6	I agree to my NHS Care Team being informed of my participation in the study.	<input type="checkbox"/>
7	I agree for a brief summary of the research assessments and any treatment sessions to be shared with my clinical team (i.e. added into my NHS care notes).	<input type="checkbox"/>
8	OPTIONAL: I consent to the use of audio recording of my treatment sessions to check the quality of the MUSE treatment. I understand recordings will follow NHS data security standards for storage and will be destroyed once they are checked for treatment quality.	<input type="checkbox"/>

Circle decision: YES / NO

9	<p>OPTIONAL: I consent to take part in an interview about my experience in the trial. I recognise not everyone is asked to do this and that I can change my mind at any time. I am aware that these reflective interviews are audio recorded anonymously (using an ID code as identifier) and then transcribed during which any further potential personal identifying information is removed ahead of analysis of research findings.</p> <p style="text-align: right;">Circle decision: YES / NO</p>	<input type="checkbox"/>
10	<p>OPTIONAL: I consent to my medical records being accessed by the central research team at CNTW to collect follow-up data from medical databases to look at long term outcomes including use of hospital inpatient services. Medical databases include Hospital Records, and the Mental Health Services Data Set (MHSDS). This requires a copy of my consent form and my NHS record number to be sent securely to CNTW for processing and storage in the trial master file, which I agree to.</p> <p style="text-align: right;">Circle decision: YES / NO</p>	<input type="checkbox"/>
11	<p>I understand that the information collected about me will be used to support the writing up of research findings. The data in an anonymised format will be shared with researchers for this study who have a role in analysing and writing up data.</p>	<input type="checkbox"/>
12	<p>I understand that in accordance with openness of data findings the anonymised data set from the study may be published in open access and or for wider research. My personal details will not be shared.</p>	<input type="checkbox"/>
13	<p>I agree to take part in the above study.</p>	<input type="checkbox"/>
14	<p>OPTIONAL: I would like to be contacted with end of study information on the trial and my preferred contact method is: email / post / text message (<i>circle as appropriate</i>). Contact details will be obtained from medical records.</p> <p style="text-align: right;">Circle decision: YES / NO</p>	<input type="checkbox"/>

Name of Participant	
Signature of Participant	Date
<i>*I certify that the information provided was discussed in a language accessible to the participant. That they retained and understood the information for a sufficient period in order to weigh up their decision and communicate their decision regarding informed consent.</i>	
*Name of Researcher Obtaining Consent	
*Signature of Researcher Obtaining Consent	Date

[Insert local site logos here]

IRAS Number: Study Title: MUSE ARMS Feasibility Trial.

YP Assent Form [Version 2.0 23022023]

Centre Name: [e.g. CNTW / Other NHS / Participating Organisation]



Cumbria, Northumberland,
Tyne and Wear
NHS Foundation Trust

Participant ID Number:

MUSE ARMS Feasibility Trial

YP ASSENT FORM

		Initial box to agree
1	I confirm that I have had time to think about this study. I have had the time to consider the information, ask questions, and have had helpful answers.	<input type="checkbox"/>
2	I understand that taking part is my choice. I am free to stop or take a break at any time without giving any reason.	<input type="checkbox"/>
3	I understand that if I withdraw from the study, or need to be withdrawn due to becoming too unwell, the research team will keep the research data about me that they have already collected, and if you give assent to question 10 on this form they will continue to track long term outcomes via medical records unless you request that they do not.	<input type="checkbox"/>
4	I understand that the research team will only collect information that helps answer the research questions.	<input type="checkbox"/>
5	I understand that my medical notes and the information collected from me will be looked after by the NHS trusts involved in the study for research data quality checks.	<input type="checkbox"/>
6	I agree to my NHS Care Team being told about of my participation in the study.	<input type="checkbox"/>
7	I agree for a short summary of the research assessments and any treatment sessions to be shared with my clinical team (added into my NHS care notes).	<input type="checkbox"/>
8	OPTIONAL: I agree to the audio recording of my treatment sessions. This is to check the treatment is being done properly and not what I am saying. I understand recordings will follow NHS data security standards for storage and will be destroyed once they are checked.	<input type="checkbox"/>

Circle decision: YES / NO

9	OPTIONAL: I agree to take part in an interview about my experience of the study*. These interviews are recorded confidentially and then written out; any identifying information is removed (it's anonymous). *Not everyone is asked to do this. Circle decision: YES / NO	<input type="checkbox"/>
10	OPTIONAL : I agree to my medical records being accessed to collect data to look at long term outcomes including use of hospital inpatient services. This requires a copy of my assent form & parent/guardian consent form and my NHS record number to be sent securely to CNTW for processing and storage in the trial master file, which I agree to. Circle decision: YES / NO	<input type="checkbox"/>
11	It has been explained that the information collected about me is anonymised (no one will know my name). The information collected is used by researchers for this study who have a job analysing and writing up the findings.	<input type="checkbox"/>
12	It has been explained that the anonymised data set from the study may be published in open access for wider research. My personal details will not be shared (no one will know my name).	<input type="checkbox"/>
13	I would like to take part in the study.	<input type="checkbox"/>
14	OPTIONAL: I would like to be sent end of study information on how it went overall. My preferred contact method is: email / post / text message (<i>circle preferred</i>). Contact details will be obtained from medical records. Circle decision: YES / NO	<input type="checkbox"/>

Name of Participant	
Signature of Participant	Date
<i>*I certify that the information provided was discussed in a language accessible to the participant. That they retained and understood the information for a sufficient period in order to weigh up their decision and communicate their decision.</i>	
*Name of Researcher Obtaining Assent	
*Signature of Researcher Obtaining Assent	Date

[Insert local site logos here]

IRAS Number: 323903 Study Title: MUSE ARMS Feasibility Trial.

Parent/Guardian Consent Form [Version 2.0 23022023]

Centre Name: [e.g. CNTW / Other NHS Participating Organisation]

Participant ID Number:

MUSE ARMS Feasibility Trial

PARENT/GUARDIAN INFORMED CONSENT FORM

		Initial box to agree
1	I confirm that I have read the parent/guardian information sheet dated..... (Version.....) for the above study. I have had the opportunity to consider the information, ask questions and have had these answered satisfactorily.	<input type="checkbox"/>
2	I understand that my child's participation is voluntary and that they are free to withdraw at any time without giving any reason, without their medical care or legal rights being affected.	<input type="checkbox"/>
3	I understand that if my child withdraws from the study, or needs to be withdrawn due to becoming too unwell, the research team will keep the research data about my child that they already have, and if you give consent to question 10 on this form they will continue to track long term outcomes via the MHSDS/medical notes unless you request that they do not.	<input type="checkbox"/>
4	I understand that relevant sections of my child's medical notes and data collected during the study, may be looked at by responsible individuals from [Research site] and from the research Sponsor CNTW NHS Foundation Trust, their representatives and regulatory authorities for the purposes of this research study, which includes audit and monitoring for research quality assurance. I give permission for these individuals to have access to these records in accordance with this study participant information sheet and informed consent and my child's agreement (assent).	<input type="checkbox"/>
5	I understand and agree that the information collected about my child in the course of this study will be held and maintained by [enter name of organisation(s) that will be storing the participant data], and CNTW and archived at [enter name of organisation(s)] and CNTW.	<input type="checkbox"/>
6	I agree to my child's NHS Care Team being informed of their participation in the study.	<input type="checkbox"/>
7	I agree for a brief summary of the research assessments and any treatment sessions to be shared with my child's clinical team (i.e. added into NHS care notes).	<input type="checkbox"/>
8	OPTIONAL: I consent to the use of audio recording of my child's treatment sessions, so long as my child agrees to this, to check the quality of the MUSE treatment. I understand recordings will follow NHS data security standards for storage and will be destroyed once they are checked for treatment quality.	<input type="checkbox"/>

Circle decision: YES / NO

9	<p>OPTIONAL: I consent to my child to take part in an interview about their experience in the trial, if they wish to do this.</p> <p>I recognise not everyone is asked to do this and that my child can change their mind at any time. I am aware that these reflective interviews are audio recorded anonymously (using an ID code as identifier) and then transcribed during which any further potential personal identifying information is removed ahead of analysis of research findings.</p> <p style="text-align: right;">Circle decision: YES / NO</p>	<input type="checkbox"/>
10	<p>I consent to my child's medical records being accessed to collect follow-up data from medical databases to look at long term outcomes including use of hospital inpatient services, so long as they are in agreement with this. Medical databases Hospital Records, and the Mental Health Services Data Set (MHSDS). This requires a copy of my child's consent form and NHS record number to be sent securely to CNTW for processing and storage in the trial master file, which I agree to.</p>	<input type="checkbox"/>
11	<p>I understand that the information collected about my child will be used to support the writing up of research findings. The data in an anonymised format will be shared with researchers for this study who have a role in analysing and writing up data.</p>	<input type="checkbox"/>
12	<p>I understand that in accordance with openness of data findings the anonymised data set from the study may be published in open access and or for wider research. My child's personal details will not be shared.</p>	<input type="checkbox"/>
13	<p>I agree for my child to take part in the above study if they wish to do so.</p>	<input type="checkbox"/>
14	<p>OPTIONAL: I agree for my child to be contacted with end of study information on the trial and their preferred contact method is: email / post / text message (<i>circle as appropriate</i>). Contact details will be obtained from medical records.</p> <p style="text-align: right;">Circle decision: YES / NO</p>	<input type="checkbox"/>











Name of Parent/Guardian	
Signature of Parent/Guardian	Date
<p><i>*I certify that the information provided was discussed in a language accessible to the Parent/Guardian. That they retained and understood the information for a sufficient period in order to weigh up their decision and communicate their decision regarding informed consent.</i></p>	
*Name of Researcher Obtaining Consent	
*Signature of Researcher Obtaining Consent	Date



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	<input checked="" type="checkbox"/>
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	<input checked="" type="checkbox"/>
	2b	All items from the World Health Organization Trial Registration Data Set	<input type="checkbox"/>
Protocol version	3	Date and version identifier	<input checked="" type="checkbox"/>
Funding	4	Sources and types of financial, material, and other support	<input checked="" type="checkbox"/>
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	<input checked="" type="checkbox"/>
	5b	Name and contact information for the trial sponsor	<input checked="" type="checkbox"/>
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	<input checked="" type="checkbox"/>
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	<input checked="" type="checkbox"/>

1	Introduction			
2				
3				
4	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	<input type="checkbox"/>
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8		6b	Explanation for choice of comparators	<input checked="" type="checkbox"/>
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13	Objectives	7	Specific objectives or hypotheses	<input checked="" type="checkbox"/>
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18	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	<input checked="" type="checkbox"/>
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25	Methods: Participants, interventions, and outcomes			
26				
27	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	<input checked="" type="checkbox"/>
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31	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	<input checked="" type="checkbox"/>
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36	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	<input checked="" type="checkbox"/>
37				
38		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	<input type="checkbox"/>
39				
40		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	<input type="checkbox"/>
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42		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	<input type="checkbox"/>
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53	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	<input type="checkbox"/>
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Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	
Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	
Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	
Methods: Assignment of interventions (for controlled trials)			
Allocation:			
Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	
Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	
Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	
	17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	
Methods: Data collection, management, and analysis			
Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	
	18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	

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2	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol
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7	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol
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12		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)
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15		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)
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20	Methods: Monitoring		
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22	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed
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29		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial
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33	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct
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38	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor
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43	Ethics and dissemination		
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45	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval
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48	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)
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53	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)
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57		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable
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Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	<input type="checkbox"/>
Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	<input type="checkbox"/>
Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	<input type="checkbox"/> Protocol paper Data management * See Note Below
Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	<input checked="" type="checkbox"/> IRAS NHS Insurance * See Note Below
Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	<input type="checkbox"/>
	31b	Authorship eligibility guidelines and any intended use of professional writers	<input checked="" type="checkbox"/> Protocol Paper – ICMJE * See Note Below
	31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	<input type="checkbox"/>
Appendices			
Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	<input type="checkbox"/>
Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	N/A

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

1 *The SRIRIT checklist has been carefully reviewed against the trial protocol and associated
2 documentation and the explanation of the protocol in the protocol paper submitted.
3
4 To provide further explanation on three items where more consideration could be given within the
5 protocol itself are:
6

- 7 (i) item 29, refers to a statement of who will have access to the final trial dataset, and
8 disclosure of contractual agreements that limit such access for investigators. This is an
9 NIHR funded feasibility trial with no DMEC within its feasibility stage. We do not have
10 contractual agreements that limit data access, other than to limit access to confidential
11 information as this is restricted and explained in the participant facing documents. We
12 have detailed in the protocol paper how data is input, stored, and transferred prior to
13 analysis to allow for audit and monitoring in accordance with the trial monitoring plan.
14
15 (ii) item 30 refers to provisions, if any, for ancillary and post-trial care, and for compensation to
16 those who suffer harm from trial participation. As this is a psychological therapies trial
17 there are no biological risks, however we are conscious of the possibility of increased
18 psychological risks and so we have developed clear safety reporting procedures for
19 serious adverse events and for urgent safety measures should these arise, which abide by
20 HRA and UK Research Ethics Committee standard procedures. We have also written
21 into the protocol procedures for collecting adverse events that do not hit the seriousness
22 criteria, so that these can be reported in the main outcomes paper. Our research has NHS
23 insurance and this is clear on the IRAS application document. Any post-trial care would
24 be standard NHS care;
25
26 (iii) item 31b refers to authorship eligibility guidelines and any intended use of professional
27 writers. We do not use professional writers. We have not written authorship eligibility
28 into the protocol, however the lead writers for the different papers have been identified
29 in advance. We have followed the ICMJE recommendations for authorship and have
30 noted this in the contributors section of the protocol paper.
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37 All other items of the SPIRIT checklist are available within the protocol and Research Ethics
38 Committee approved participant facing documents as appropriate.
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CONSORT Abstract Checklist (Clarke et al 2008).

The Abstract has been reviewed in accordance with the CONSORT Abstract Checklist and is in adherence, see below. As this is a protocol paper of a trial open and in its very early stages of data collection, no results are currently available. The MUSE ARMS Feasibility Trial opened to participants on 14.04.2023.

Item	Description	
Title	Identification of the study as randomised	✓
Authors*	Contact details for the corresponding author	✓
Trial design	Description of the trial design (eg, parallel, cluster, non-inferiority)	✓
Methods		
Participants	Eligibility criteria for participants and the settings where the data were collected	✓
Interventions	Interventions intended for each group	✓
Objective	Specific objective or hypothesis	✓
Outcome	Clearly defined primary outcome for this report	✓
Randomisation	How participants were allocated to interventions	✓
Blinding (masking)	Whether or not participants, care givers, and those assessing the outcomes were blinded to group assignment	✓
Results		
Numbers randomised	Number of participants randomised to each group	
Recruitment	Trial status	
Numbers analysed	Number of participants analysed in each group	
Outcome	For the primary outcome, a result for each group and the estimated effect size and its precision	
Harms	Important adverse events or side-effects	
Conclusions	General interpretation of the results	
Trial registration	Registration number and name of trial register	✓
Funding	Source of funding	✓
*For conference abstracts.		

Reference:

CONSORT for reporting randomised trials in journal and conference abstracts

Clarke, Mike; Moher, David; Wager, Elizabeth; Middleton, Philippa; Altman, Douglas G; Schulz, Kenneth F
The Lancet; Jan 26-Feb 1, 2008; 371, 9609; ProQuest
 pg. 281