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COVID-19 self-testing using antigen rapid diagnostic tests: feasibility evaluation among health-care workers and general population in Malawi --Manuscript Draft--

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Keywords:	SARS-CoV-2; Self-testing; Covid-19; Self-sampling; Antigen Rapid Diagnostic Tests
Abstract:	<p>Background : COVID-19 testing is critical for identifying cases to prevent transmission. SARS-CoV-2 self-testing has the potential to increase diagnostic testing capacity and to expand access to hard-to-reach areas in low-and-middle-income countries. We investigated the feasibility and acceptability of COVID-19 self-sampling and self-testing using SARS-CoV-2 Antigen-Rapid Diagnostic Tests (Ag-RDTs).</p> <p>Methods : July 2021 to February 2022, we conducted a mixed-methods cross-sectional study examining self-sampling and self-testing using Standard Q and Panbio COVID-19 Ag Rapid Test Device in Urban and rural Blantyre, Malawi. Health care workers and adults (18y+) in the general population were systematically sampled.</p> <p>Results: Overall, 1,330 participants were enrolled of whom 674 (56.0%) were female with 664 for self-sampling and 666 for self-testing. Mean age was 30.7y (standard deviation [SD] 9.6). Self-sampling usability threshold for Standard Q was 273/333 (82.0%: 95% CI 77.4% to 86.0%) and 261/331 (78.8%: 95% CI 74.1% to 83.1%) for Panbio. Self-testing threshold was 276/335 (82.4%: 95% CI 77.9% to 86.3%) and 300/332 (90.4%: 95% CI 86.7% to 93.3%) for Standard Q and Panbio, respectively. Agreement between self-sample results and professional test results was 325/325 (100%) and 322/322 (100%) for Standard Q and Panbio, respectively. For self-testing, agreement was 332/333 (99.7%: 95% CI 98.3 to 100%) for Standard Q and 330/330 (100%: 95% CI 99.8 to 100%) for Panbio. Odds of achieving self-sampling threshold increased if the participant was recruited from an urban site (odds ratio [OR] 2.15 95% CI 1.44 to 3.23, $P < .01$). Compared to participants with primary school education those with secondary and tertiary achieved higher self-testing threshold OR 1.88 (95% CI 1.17 to 3.01), $P = .01$ and 4.05 (95% CI 1.20 to 13.63), $P = .02$, respectively.</p> <p>Conclusions: One of the first studies to demonstrate high feasibility of self-testing using SARS-CoV-2 Ag-RDTs in low- and middle-income countries potentially supporting large scale-up.</p>
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Additional data availability information:

1 **COVID-19 self-testing using antigen rapid diagnostic tests: feasibility evaluation among**
2 **health-care workers and general population in Malawi**

3 **Short title: COVID-19 self-testing**

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25 **Abstract**

26 **Background:** COVID-19 testing is critical for identifying cases to prevent transmission. SARS-
27 CoV-2 self-testing has the potential to increase diagnostic testing capacity and to expand access
28 to hard-to-reach areas in low-and-middle-income countries. We investigated the feasibility and
29 acceptability of COVID-19 self-sampling and self-testing using SARS-CoV-2 Antigen-Rapid
30 Diagnostic Tests (Ag-RDTs).

31 **Methods:** July 2021 to February 2022, we conducted a mixed-methods cross-sectional study
32 examining self-sampling and self-testing using Standard Q and Panbio COVID-19 Ag Rapid
33 Test Device in Urban and rural Blantyre, Malawi. Health care workers and adults (18y+) in the
34 general population were systematically sampled.

35 **Results:** Overall, 1,330 participants were enrolled of whom 674 (56.0%) were female with 664
36 for self-sampling and 666 for self-testing. Mean age was 30.7y (standard deviation [SD] 9.6).
37 Self-sampling usability threshold for Standard Q was 273/333 (82.0%: 95% CI 77.4% to 86.0%)
38 and 261/331 (78.8%: 95% CI 74.1% to 83.1%) for Panbio. Self-testing threshold was 276/335
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41 was 325/325 (100%) and 322/322 (100%) for Standard Q and Panbio, respectively. For self-
42 testing, agreement was 332/333 (99.7%: 95% CI 98.3 to 100%) for Standard Q and 330/330
43 (100%: 95% CI 99.8 to 100%) for Panbio. Odds of achieving self-sampling threshold increased
44 if the participant was recruited from an urban site (odds ratio [OR] 2.15 95% CI 1.44 to 3.23, $P <$
45 .01. Compared to participants with primary school education those with secondary and tertiary
46 achieved higher self-testing threshold OR 1.88 (95% CI 1.17 to 3.01), $P = .01$ and 4.05 (95% CI
47 1.20 to 13.63), $P = .02$, respectively.

48 **Conclusions:** One of the first studies to demonstrate high feasibility of self-testing using SARS-
49 CoV-2 Ag-RDTs in low- and middle-income countries potentially supporting large scale-up.

50

51

52 **Introduction**

53 Only around 0.2% of people in Africa had tested for severe acute respiratory syndrome coronavirus
54 2 (SARS-CoV-2) the infection that causes COVID-19 in August 2020.[1] By contrast, 19.5% of
55 Americans had tested by the same time[1] since COVID-19 emergence in December 2019.[2, 3]
56 These contrasting trends have continued to exist with widening unequal access to testing, treatment
57 and vaccination between high income countries and low and middle income countries despite four
58 or more global epidemic waves.[4] Testing remains the most critical step for identification and
59 isolation of COVID-19 cases to prevent transmission. [5] In many resource-limited settings,
60 demand for tests often exceeds supply[6], hence there is need to improve diagnostic capacity.
61 SARS-CoV-2 rapid antigen tests (Ag-RDTs) are recommended to complement nucleic acid
62 amplification tests (NAAT) for diagnosis[7], which in resource-limited settings are often hard to
63 implement because they require specialised skills and limited centralized laboratory capacity,
64 associated with long turnaround times, and high costs to both the health system and patients.

65 COVID-19 self-testing was strongly recommended by the World Health Organization (WHO) in
66 March 2022 as an additional strategy to complement professionally administered testing
67 services.[8] Self-testing, which has been successfully used in other disease areas such as HIV
68 where uptake is ubiquitously high including among hard to reach and key populations.[9-11] In
69 general, COVID-19 self-testing has the potential to increase diagnostic capacity for COVID-19
70 and reduce access barriers as well as prevailing inequalities due to ease of distribution and being
71 extremely convenient.[12] However, COVID-19 self-testing has so far been widely implemented
72 and made available in high income countries.[12-14] As with HIV self-testing, lack of linkage
73 for next steps with COVID-19 is a potential concern due to stigma, loss of economic
74 opportunities due to isolation implications, and fear of complications including death.

75 Being able to self-test rests on the assumption that individuals would be able to take their own
76 sample (self-sampling).[15] However, in settings with low exposure to technology and the ability
77 to correctly follow instructions such assumptions may be faulty.[16] Thus, early work including
78 optimization of instructions for use through iterative cognitive interviews is essential to ensure
79 correct use of self-tests.[16] Here we investigated the feasibility and acceptability of COVID-19
80 self-sampling and self-testing using SARS-CoV-2 Ag-rapid diagnostics tests (RDTs) in Malawi.

81

82 **Materials and Methods**

83 Study design

84 A mixed-methods cross-sectional study examining self-sampling and self-testing for COVID-19
85 using STANDARD Q COVID-19 Ag Test (SD Biosensor) and Panbio COVID-19 Ag Rapid Test
86 Device (Abbott Rapid Diagnostics). We conducted the study under five components. These are:
87 cognitive interviews to refine instructions for use (IFUs) for self-sampling, observational cross-
88 sectional study of self-sampling, cognitive interviews to refine instructions for use for self-testing,
89 observational cross-sectional study of self-testing, and in-depth interviews (IDIs) to understand
90 participant views on self-sampling and self-testing.

91 **Setting**

92 Recruitment was conducted between July 2021 to February 2022 from Lirangwe Primary Health
93 Centre from rural Blantyre and from Queen Elizabeth Central Hospital (QECH) from urban
94 Blantyre, Malawi.

95

96 Participants

97 We recruited health care workers and members of the general public from the recruitment sites.
98 To be eligible, participants needed to be 18 years or older, feeling well enough to comfortably
99 conduct study activities, not having recent history of excessive nose bleeds, and having given
100 consent. All health workers from the two health facilities were offered the choice to participate in
101 the study with exclusion only done if ineligible. An additional eligibility criteria which was later
102 relaxed due to scarcity of participants with waning wave concerned individuals being on the list
103 to be tested for COVID-19 by the national systems. General public participants were
104 systematically sampled from outpatient departments. An anterior nasal swab for COVID-19 was
105 done for both self-sampling and self-testing following a short in-person demonstration by a
106 member of staff.

107 Participants were observed in-person during self-sampling and self-testing, and a checklist (S1-
108 S4 appendix) was completed to document whether each task was done correctly. A trained
109 researcher then tested the collected sample using a COVID-19 Ag RDT during the self-sampling
110 component of the study. Participants tested their own collected sample during the self-testing
111 component of the study. The trained researcher collected and tested an anterior nasal
112 confirmatory sample using an Ag RDT during both the self-sampling and self-testing
113 components.

114 Variables

115 For the cognitive interviews, the main output was to have refined IFUs in the local language
116 (Chichewa) and in English. The first primary outcome was the percentage of participants who
117 attained a usability threshold for self-sampling, defined as correct execution of all critical

118 instructions during the self-sampling process for each kit. Correct self-sampling was referred to
119 as self-sampling accuracy. The second primary outcome was the percentage of participants who
120 attained a usability threshold for self-testing, defined as correct execution of all critical
121 instructions during the self-testing process. Correct self-testing was referred to as self-testing
122 accuracy. User views regarding self-sampling and self-testing were the main outcomes from the
123 IDIs. Potential confounders for accuracy were age, sex, literacy and prior exposure to COVID-19
124 testing.

125 Data sources/ measurement

126 Qualitative data from cognitive interviews and IDIs were tape recorded before being translated
127 and transcribed. Pre- and post-test questionnaires were administered in-person using Open Data
128 Kit (ODK) loaded on tablets. A checklist (S1-S4 appendix) was completed by a member of staff
129 to document whether each instruction was done correctly as a measure of accuracy. Results
130 obtained by a trained researcher from testing the collected self-sample and a sample collected by
131 the researcher were recorded on the checklist. For self-testing accuracy, participant's self-test self-
132 read results were compared to RDT sampling and testing conducted by the researcher. Participants'
133 reading of pre-made cassettes of negative, positive and invalid results was also recorded.

134 **Bias**

135 The main source of bias is in the assessment by the research staff using a checklist of the
136 performance of the participant on the IFU. A staff member who was more punitive may have
137 harshly rated performance as incorrect while a more forgiving one may have rated performance
138 differently. However, the fact that more than seven staff members were involved in the rating
139 may have minimized such bias.

140 Study size

141 We aimed to recruit and purposively sample 120 participants for cognitive interviews for self-
142 sampling and self-testing for both test kits. For self-sampling and self-testing, we conservatively
143 assumed that 70% to 80% of participants will be able to correctly follow instructions and self-
144 sample or self-test for COVID-19. For the sample proportion to be estimated to within ± 0.05
145 (5%) using the 95% confidence level, a sample of 323 participants were required. Thus, a total of
146 1,320 participants were needed: 330 per test kit for self-sampling and self-testing. A purposive
147 sample of 120 participants was needed for the IDIs: 60 self-sampling and 60 self-testing
148 participants.

149 Quantitative variables

150 A binary variable was generated for the first and secondary primary outcomes of achieving the
151 threshold (accuracy) for either self-sampling or self-testing. This was coded as 1 for participants
152 with a maximum score on the critical steps based on the checklist and 0 otherwise. Test result
153 variables were coded as 1 for positive and 0 for negative.

154 **Statistical methods**

155 Analysis used R[17] with 0.05 as an indicator of statistical significance. Frequencies were
156 computed for categorical variables while mean and standard deviation (SD) or median and (inter
157 quartile range) were computed for continuous variables that were normally distributed or
158 skewed, respectively. We computed the proportion achieving accuracy along with Binomial
159 Exact confidence intervals (CIs) for self-sampling and self-testing for each test kit. Similarly, we
160 computed the proportion of self-test results that agreed with staff conducted RDT test results

161 along with Binomial Exact confidence intervals (CIs) for each test kit. Logistic regression was
162 used to examine factors associated with accuracy.

163

164 **Ethics Statement**

165 The study was conducted according to the guidelines of the Declaration of Helsinki, and
166 approved by the Malawi College of Medicine Research Ethics Committee of Kamuzu University
167 of Health Sciences (Reg No: P.03/21/3277) and the World Health Organization Research Ethics
168 Review Committee (Protocol ID: CERC.0104). Informed consent was obtained from all
169 participants involved in the study.

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180 **Results**

181 Participants and Descriptive data

182 A total of 120 participants were recruited for self-sampling and self-testing cognitive interviews.
183 Of 723 screened for eligibility 664 (91.8%) were recruited for self-sampling with mean age of
184 31.4y (standard deviation [SD]: 9.8) and 357/664 (53.8%) were male (Table 1). For self-testing,
185 666 (95.4%) were recruited of 698 screened for eligibility; mean age was 30.6y (standard
186 deviation [SD]: 9.6) with 293/666 (44.0%) being male (Table 2). The main exclusion was being
187 under 18 years. Sixty participants were recruited for IDIs.

188 Outcome data

189 The cognitive interviews showed that participants in both rural and urban communities were able
190 to follow the IFUs with no major suggestions for changes. Notable changes to IFUs included:
191 making introductory text stand out to catch attention, enhancing clarity of IFUs such as by
192 expanding text, adding labels on images, selecting words or phrases that could be well understood
193 locally. Insertion of test swab to correct depth (1.5cm or 2cm) was illustrated by reference to
194 inserting up to thumbnail depth.

195

196 **Table 1. Baseline Characteristics: Self-sampling**

Variable	Characteristic	Overall	Standard Q	Panbio	p-value ^a
Number of participants	n	664	331	333	
Sex	Male	357 (53.8)	176 (53.2)	181 (54.4)	0.820
	Female	307 (46.2)	155 (46.8)	152 (45.6)	
Age (years)	mean (SD)	31.4 (9.8)	31.8 (10.3)	31.0 (9.3)	0.313
Ever tested for COVID-19?	No	568 (87.0)	303 (91.8)	265 (82.0)	<0.001
	Yes	85 (13.0)	27 (8.2)	58 (18.0)	
Marital status	Divorced	37 (5.7)	20 (6.1)	17 (5.3)	0.512
	Separated	33 (5.1)	15 (4.5)	18 (5.6)	
	Widowed	14 (2.1)	8 (2.4)	6 (1.9)	
	Never married	180 (27.6)	82 (24.8)	98 (30.3)	
	Married	389 (59.6)	205 (62.1)	184 (57.0)	
Money earned per month (MWK)	mean (SD)	68191 (96060)	57471 (90035)	79111 (100803)	0.004
Able to read a newspaper?	No	42 (6.4)	29 (8.8)	13 (4.0)	0.020
	Yes	610 (93.6)	300 (91.2)	310 (96.0)	
Highest level of formal schooling	Never been to school	25 (3.9)	18 (5.5)	7 (2.2)	<0.001
	Primary	165 (25.4)	101 (31.0)	64 (19.8)	
	Secondary no MSCE	216 (33.3)	118 (36.2)	98 (30.3)	
	Secondary with MSCE	131 (20.2)	54 (16.6)	77 (23.8)	
	Tertiary	112 (17.3)	35 (10.7)	77 (23.8)	
Number of people in household	mean (SD)	4.2 (1.8)	4.2 (1.8)	4.2 (1.8)	0.903
Number of rooms in household	mean (SD)	2.5 (1.0)	2.4 (1.0)	2.6 (1.1)	0.032
Number of households per dwelling	mean (SD)	1.5 (1.3)	1.3 (0.8)	1.7 (1.7)	<0.001
Enough food / essentials for 14 days?	No	412 (63.1)	215 (65.2)	197 (61.0)	0.307
	Yes	241 (36.9)	115 (34.8)	126 (39.0)	
Recruitment site	QECH	331 (49.8)	165 (49.8)	166 (49.8)	1.000
	Lirangwe	333 (50.2)	166 (50.2)	167 (50.2)	

197 ^aChisquare test for categorical variables; t-test for continuous variables

198 SD: standard deviation; QECH: Queen Elizabeth Central Hospital

199

200

201 **Table 2. Baseline Characteristics: Self-testing**
 202

Variable	Characteristic	Overall	Standard Q	Panbio	p-value ^a
Number of participants	n	664	336	328	
Sex	Male	292 (44.0)	138 (41.1)	154 (47.0)	0.148
	Female	372 (56.0)	198 (58.9)	174 (53.0)	
Age (years)	mean (SD)	30.7 (9.6)	30.8 (9.8)	30.52 (9.3)	0.724
Ever tested for COVID-19?	No	603 (91.5)	303 (91.0)	300 (92.0)	0.659
	Yes	56 (8.5)	30 (9.0)	26 (8.0)	
Marital status	Divorced	37 (5.6)	15 (4.5)	22 (6.8)	0.208
	Separated	47 (7.2)	24 (7.2)	23 (7.1)	
	Widowed	25 (3.8)	13 (3.9)	12 (3.7)	
	Never married	195 (29.7)	88 (26.5)	107 (32.9)	
	Married	353 (53.7)	192 (57.8)	161 (49.5)	
Money earned per month (MWK)	mean (SD)	67802 (123668)	70359 (153192)	65190 (83531)	0.591
Able to read a newspaper?	No	59 (8.9)	29 (8.7)	30 (9.2)	0.922
	Yes	601 (91.1)	305 (91.3)	296 (90.8)	
Highest level of formal schooling	Never been to school	33 (5.0)	19 (5.7)	14 (4.3)	0.209
	Primary	208 (31.5)	109 (32.6)	99 (30.4)	
	Secondary no MSCE	220 (33.3)	117 (35.0)	103 (31.6)	
	Secondary with MSCE	143 (21.7)	68 (20.4)	75 (23.0)	
	Tertiary	56 (8.5)	21 (6.3)	35 (10.7)	
Number of people in household	mean (SD)	4.2 (1.6)	4.2 (1.7)	4.3 (1.6)	0.548
Number of rooms in household	mean (SD)	2.5 (1.2)	2.5 (1.0)	2.5 (1.4)	0.559
Number of households per dwelling	mean (SD)	1.5 (1.3)	1.5 (1.6)	1.5 (0.9)	0.516
Enough food / essentials for 14 days?	No	351 (53.3)	188 (56.5)	163 (50.0)	0.113
	Yes	308 (46.7)	145 (43.5)	163 (50.0)	
Recruitment site	QECH	334 (50.8)	169 (51.1)	165 (50.6)	0.971
	Lirangwe	323 (49.2)	162 (48.9)	161 (49.4)	

203 Main results

204 Self-sampling accuracy was 273/333 (82.0%: 95% CI: 77.4 to 86.0) for Standard Q and 261/331

205 (78.8: 95% CI: 74.1% to 83.1%) for Panbio (Table 3). The percentage agreement between the test

206 results from the participant and the study staff was 100% for both kits in Malawi (Table 3).

207 Self-testing accuracy was 276/335 (82.4%: 95% CI: 77.9 to 86.3) for Standard Q and 300/332

208 (90.4%: 95% CI: 86.7 to 93.3) for Panbio (Table 3). The percentage agreement between the test

209 results from the participant and the study staff was 99.7% (95% CI: 98.3-100%) for Standard Q

210 with only one false negative self-test self-read result.

211 **Table 3. Self-sampling and Self-testing Accuracy**

	Standard Q				Panbio					
	N	n	%	95% CI		N	n	%	95% CI	
Met self-sampling threshold ^a	333	273	82.0	77.4	86.0	331	261	78.8	74	83.1
Met self-testing threshold	335	276	82.4	77.9	86.3	332	300	90.4	86.7	93.3
Agreement with professional test										
Self-sampling	322	322	100	99	100	325	325	100	100	100
Self-testing	333	332	99.7	98	100	330	330	100	100	100

212 ^aThreshold: participant performing all critical steps correctly

213 CI: confidence interval

214

215 Up to 95% of the critical steps were performed correctly on either test kit for both self-sampling
 216 and self-testing (Table 4).

217 **Table 4. User errors for Standard Q and PanBio kits**

	Standard Q (N = 331)		Panbio (N = 331)	
	Yes	No	Yes	No
Did the participant place the tube on the kit box tray holder or flat surface correctly?	327 (97.6)	8 (2.4)	329 (99.4)	2 (0.6)
Did participant insert the swab into the left nostril to the correct depth (about 1.5cm or 2cm)?	327 (97.6)	8 (2.4)	332 (100)	0 (0.0)
Did the participant rotate the swab 5 or 10 times in the left nostril?	325 (97.0)	10 (3.0)	327 (98.5)	5 (1.5)
Did participant insert the swab into the right nostril to the correct depth (about 1.5cm or 2cm)?	327 (98.5)	5 (1.5)	329 (99.4)	2 (0.6)
Did the participant rotate the swab 5 or 10 times in the right nostril?	324 (97.0)	10 (3.0)	330 (99.4)	2 (0.6)
Did the participant insert the swab into the solution tube correctly?	331 (98.8)	4 (1.2)	328 (99.4)	2 (0.6)
Did the participant swirl in the fluid 5 or 10 times while pushing against the wall of the tube?	325 (97.3)	9 (2.7)	323 (97.6)	8 (2.4)
Did the participant remove the swab slowly while squeezing the sides of the tube to extract the liquid from the swab?	310 (92.5)	25 (7.5)	316 (95.5)	15 (4.5)
Did the participant press the nozzle cap tightly the tube?	326 (97.9)	7 (2.1)	330 (99.7)	1 (0.3)
Did the participant squeeze 4 or 5 drops of liquid from the tube into the well on the test device?	319 (95.5)	15 (4.5)	330 (99.7)	1 (0.3)
Did the participant read the test result in 15 minutes?	333 (99.7)	1 (0.3)	325 (97.9)	7 (2.1)
Did the participant interpret the test result correctly?	328 (98.5)	2 (1.5)	329 (99.7)	1 (0.3)

218 Standard Q: swab 10 times, depth 2cm, 4 drops

219

220 The odds of self-sampling accuracy increased 2-fold for participants from QECH compared to
 221 participants from Lirangwe primary health centre odds ratio (OR) 2.15 (95% CI 1.44 to 3.23, $P <$
 222 0.1 (Table 5). There appeared to be a linear trend towards increased odds of attaining self-testing
 223 accuracy with increasing levels of education, P for trend 0.01.

224 **Table 5. Factors associated with self-sampling and self-testing accuracy**

Variable	Characteristic	Self-sampling (N = 641)				Self-testing (N = 637)			
		Unadjusted				Unadjusted			
		OR	95% CI		p-value	OR	95% CI		p-value
Age	Yearly increase	0.99	0.97	1.01	0.247	0.99	0.97	1.01	0.299
Sex	Female	1.00				1.00			
	Male	1.00	0.68	1.48	0.991	0.84	0.54	1.33	0.459
Site	Lirangwe	1.00				1.00			
	QECH	2.15	1.44	3.23	<0.001	1.47	0.93	2.32	0.097
Literacy	No	1.00				1.00			
	Yes	0.57	0.22	1.49	0.251	1.21	0.57	2.55	0.625
Highest level of education attained?	Primary school	1.00				1.00			
	Never been school	2.75	0.61	12.3	0.186	1.67	0.55	5.02	0.362
	Secondary	0.91	0.58	1.44	0.686	1.88	1.17	3.01	0.009
	Tertiary	2.09	1.03	4.25	0.041	4.05	1.20	13.63	0.024
Marital Status	Divorced/separated/widowed	1.00				1.00			
	Never married	2.10	1.06	4.14	0.033	2.41	1.26	4.61	0.008
	Married	1.07	0.61	1.89	0.820	1.89	1.09	3.28	0.023
Ever tested for COVID-19?	No	1.00				1.00			
	Yes	1.04	0.58	1.86	0.905	1.25	0.52	3.02	0.619

225 OR: odds ratio; CI: confidence interval; QECH: Queen Elizabeth Central Hospital

226

227 Other analyses

228 Nearly all in-depth interview participants reported that self-testing was highly acceptable because

229 it was convenient, empowering and private.

230 Most participants had no problems interpreting contrived panel results with 99% correctly

231 interpreting positive and negative results correctly although 96% correctly interpreted invalid

232 results on either test kit (S5 Table). Up to 90.7% Standard Q and 96.1% Panbio participants

233 found instructions “not at all hard” when asked on exit interviews (S6 Table).

234

235 **Discussion**

236 Key results

237 This is one of first studies to be conducted on COVID-19 self-testing in low- and middle-income
238 countries and generally indicates that participants in both rural and urban communities in Malawi
239 can self-test correctly for COVID-19. The results of this study show that 82% and 90% of
240 participants were able to self-test for COVID-19 with no supervision following a brief
241 demonstration using Standard Q and PanBio test kits, respectively. Of further note, all self-test
242 results agreed 100% with professionally conducted RDTs for PanBio kit whereas agreement was
243 99.7% for Standard Q. Similarly, 82% of participants were able to correctly self-sample for
244 COVID-19 using Standard Q compared to 79% using PanBio. COVID-19 self-testing was rated
245 as highly acceptable during in-depth interviews.

246 Current strategies for COVID-19 testing in high income countries are largely dependent on Ag-
247 RDT self-sampling and self-testing[18-20] with over-the-counter self-test kits available for
248 purchase in a wide range of countries.[14, 21] The limited data available in resource-poor settings
249 suggest that, as with HIV self-testing, diagnostic accuracy is not as great with untrained lay users
250 as with trained professionals, mainly affecting sensitivity.[13, 18, 22] Our results on the other
251 hand show that self-testing accuracy improved markedly with a short demonstration supporting
252 previous findings observed with HIV self-testing.[10, 23] Our study investigated self-testing with
253 two kits that were already approved for use in Malawi. However, there are numerous Ag-RDT
254 tests packaged for COVID-19 self-testing that have met performance standards and been approved
255 by Regulatory Authorities such as the FDA, that may yield similar promising results.[24, 25]

256

257 Limitations

258 There are notable limitations with our study. Firstly, there was a small number of positive self-
259 test results. Although this does not affect the reading of correct results and indeed completing
260 critical steps correctly as assessed here it may be important as it is likely to affect sensitivity.[26]
261 Reassuringly, up to 99% of participants correctly interpreted contrived positive results on either
262 kit. Secondly, there was potential for assessment bias resulting from subjective judgement on the
263 checklist used by research staff for assessing performance of the participant on each instruction.
264 The impact of this bias could be bi-directional depending on whether the staff was harsh –
265 leading to poor rating, or more lenient resulting in more participants being passed as correctly
266 following instructions.

267 Generalisability

268 This study demonstrates high acceptability and feasibility of COVID-19 self-testing.[8] The
269 findings are very similar to results reported in other self-testing areas including HIV[27] and
270 hepatitis C virus (HCV)[28]. Thus, we posit that the findings are generalizable to many resource
271 settings and populations including those with limited literacy. However, some support may be
272 useful for specific settings and users – such as older age groups and those with lower literacy.
273 Lessons learned from introduction and scale-up of other self-testing approaches such as HIV and
274 HCV may be applicable here to accelerate adaptation plans and efforts in LMIC.

275 Conclusions

276 This is one the first studies to demonstrate high usability and acceptability self-testing using
277 SARS-CoV-2 Ag-RDTs among both general and health-care worker populations in low- and
278 middle-income countries. While most users collected their own samples and self-tested with
279 ease, participants noted demonstrations were helpful and could be important in some settings and

280 populations, such as older age groups and those with low literacy levels. COVID-19 self-testing
281 is an important strategy for further consideration as it may be a promising tool for increasing
282 access to and uptake of COVID-19 testing services as well as strategies to reduce transmission
283 and linkage to further care, treatment and support services.

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287 **Authors' contributions**

288 Conceptualization, MM, ES, CW, MK, FA, ELC, EI and ATC; Data curation, MM and AC;
289 Formal analysis, MM, ES, MK and AC; Funding acquisition, ES, FA, EC, EI and AC;
290 Investigation, MM, ES, CW, MK, FA and AC; Methodology, MM, ES, CW, MK, FA and AC;
291 Project administration, MM, ES, CW, MK, FA, EC, EI and AC; Resources, MM, EI and AC;
292 Supervision, ES, MK, FA, EC, EI and AC; Validation, MM, ES, EC, EI and AC; Visualization,
293 ES and AC; Writing – original draft, MM and AC; Writing – review & editing, ES, CW, MK,
294 FA, EC and EI.

295

296 **Data Availability statement**

297 The trial protocol and dataset supporting the conclusions of this article are available via the
298 London School of Hygiene & Tropical Medicine Data Compass <https://datacompass.lshtm.ac.uk/>

299

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417 **S1 text**

- 418 1. S1 Checklist. Self-sampling checklist-Standard Q
 - 419 2. S2 Checklist. Self-sampling checklist-PanBio
 - 420 3. S3 Checklist. Self-testing checklist-Standard Q
 - 421 4. S4 Checklist Self-testing checklist-PanBio
 - 422 5. S5 Table. Interpreting contrived panel results
 - 423 6. S6 Table. User views on self-sampling and self-testing
- 424



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