Supplementary information file to "A small area model to assess temporal trends and sub-national disparities in healthcare quality using facility surveys"

This supplementary file provides supplemental data, figures, and more detailed results for "A
small area model to assess temporal trends and sub-national disparities in healthcare
quality using facility surveys"

9 10	Table of contents List of figures and tables	2
11	Figures	
12	Tables	
13	Section 1. Supplementary Information	3
14	Section 1.1. Samples of the SDI and SPA surveys	
15	Section 1.2. Geolocating health facilities	4
16	Section 1.3. Sampling of sick-child visits and vignettes	4
17	Section 1.4 Differences between the SPA and SDI surveys	4
18	Table S3. Covariate data sources	5
19	Section 2. Supplementary Methods	5
20 21	Section 2.1 List of tracer items and protocols included in the three countries, to estimate readines and process quality of care	
22	Section 2.2 Model for sub-national estimation of readiness and process quality of care metrics	7
23	Section 2.3 Spatial random effects	7
24	Section 2.4 Accounting for the sampling design in Senegal	8
25	Section 2.5 Model selection	8
26	Section 3. Supplementary Results	9
27	Section 3.1 Item availability by country	9
28	Section 3.2 Survey estimates of readiness and process quality of care metrics	11
29	Section 3.3 Model selection results	12
30 31	Section 3.4 Decomposition of the sources of variations in the readiness and process quality metri	
32 33	Section 3.5 Comparison of subnational-level survey and model estimates for the readiness and process quality metrics in Kenya and Tanzania	13
34	Section 3.6 Examples of model outputs in Kenya and Tanzania	20
35	Supplementary References	27
36		

38 List of figures and tables

39 Figures

- 40 Supplementary Figure S1: Comparison of county-level survey and model estimates for the
- 41 readiness and process quality metrics in Kenya, in 2018.
- 42 Supplementary Figure S2: Comparison of region-level survey and model estimates for the
- 43 readiness and process quality metrics in Tanzania, in 2016.
- 44 Supplementary Figure S3: Regression coefficients from small area model that included
- 45 covariates, across indicators.
- 46 Supplementary Figure S4: Measures of (Panel A) calibration, and (Panel B) bias and precision
- of models' predictions for stratified analysis, using hold-out predictions of readiness and
 process quality metrics in departments of Senegal.
- 49 Supplementary Figure S5: Maps of model-estimated readiness (panel A) and process quality
- 50 (panel B) metrics by subnational areas in Kenya in 2020, with associated uncertainty
- 51 Supplementary Figure S6: Maps of model-estimated readiness (panel A) and process quality
- 52 (panel B) metrics by subnational areas in Tanzania in 2020, with associated uncertainty
- 53 Supplementary Figure S7: Maps of model-estimated readiness (top panel) and process quality
- 54 (bottom panel) metrics by subnational areas, and managing authorities, in Kenya in 2020
- 55 Supplementary Figure S8: Maps of model-estimated readiness (top panel) and process quality
- 56 (bottom panel) metrics by subnational areas, and managing authorities, in Tanzania in 2020
- 57 Supplementary Figure S9: Maps of model-estimated readiness (left panel) and process quality
- 58 (right panel) metrics by subnational areas, and managing authorities, in Kenya in 2020.

59 Tables

- Table S1. Characteristics of health facilities sampled in the SPA surveys, in Senegal, Kenya,
 and Tanzania
- 62 Table S2. Characteristics of health facilities sampled in the SDI surveys, in Senegal, Kenya,
- 63 and Tanzania
- 64 Table S3. Covariate data sources
- Table S4. List of items used to derive the readiness metric, and differences between the three countries
- Table S5. List of diagnostic protocols used to derive the process quality metric
- Table S6. Models considered to estimate readiness and process quality metrics over time and space
- 70 Table S7. Items availability in Kenya for each round of SPA/SDI survey
- 71 Table S8. Items availability in Senegal for each round of SPA survey
- 72 Table S9. Items availability in Tanzania for each round of SPA/SDI survey
- 73 Table S10: Survey estimates of the national average and the range across subnational areas
- of the readiness and process quality metrics in Kenya, Senegal, and Tanzania
- 75 Table S11: Models' fit assessed using WAIC, DIC, and LCPO
- 76 Table S12. Selected models and variance decomposition, for each readiness and process
- quality of care metrics in each country. $\sigma_{S_i}^2$, $\sigma_{\gamma_t}^2$, $\sigma_{\alpha_t}^2$, $\sigma_{\nu_t}^2$, $\sigma_{\nu_t}^2$, and $X_{it}\beta$ columns indicate the
- 78 percentage of total variance explained by each component.
- 79

80 Section 1. Supplementary Information

81 Section 1.1. Samples of the SDI and SPA surveys

82 In Senegal, data were collected as part of a Continuous SPA, which achieved a census of all facilities after five rounds of surveys. Half of the hospitals and health centers were selected in 83 the first (2012-13) and third round (2015) of SPA, while the other half were selected in the 84 second (2014) and fourth round (2016), which created a dependent sampling structure 85 between the first four rounds of the continuous SPA. Random samples of facilities were 86 87 selected for the SPA conducted in 2017, 2018 and 2019. As the higher levels in the process quality metrics, observed in years 2015 and 2016 compared to 2012-13 and 2014, were not 88 89 found in the later years, we can hypothesize that the facilities and providers who were 90 observed for the second time in 2015 and 2016, had a better knowledge of SPA interviewers' <u>81</u> assessment criteria, which could have led to an enhanced Hawthorne effect.

93

		Kenya		Tan	zania
-	SPA 1999	SPA 2004	SPA 2010	SPA 2006	SPA 2014-15
Facility					
type					
Hospital	32	172	253	128	263
Health				41	380
center	90	51	101		
Clinic	256	217	349	437	557
Managing authority					
Public	177	175	351	425	783
Private	211	265	352	186	417
Total	388	440	703	611	1200
		Sen	egal		
-	SPA 2013/14	SPA 2015/16	SPA 2017	SPA 2018	SPA 2019
Facility					
type					
Hospital	70	73	35	29	31
Health				62	64
center	126	126	74		
Clinic	531	557	287	248	246
Managing authority					
Public	598	587	315	270	288
Private	129	159	81	69	73
Total	727	746	396	339	361

94

95 Table S1: Characteristics of health facilities sampled in the SPA surveys, in Senegal,

⁹⁶ Kenya, and Tanzania

- 97
- 98
- 99
- 100
- 101

	Tanzania			Kei	nya	Senegal	
	SDI 2010	SDI 2014	SDI 2016	SDI 2012	SDI 2018	SDI 2010	
Facility							
type							
Hospital	Not reported	27	30	51	161	0	
Health	•			62	484	111	
center	Not reported	84	92				
Clinic	Not reported	272	264	100	2,449	41	
Managing							
authority							
Public	175	269	273	158	1,781	151	
Private	0	134	127	134	1,313	0	
Total	175	383	386	292	3,094	151	

102

103 Table S2: Characteristics of health facilities sampled in the SDI surveys, in Senegal,

- 104 Kenya, and Tanzania
- 105

106 Section 1.2. Geolocating health facilities

For SPA survey data, we determined in which regions each facility was located using the GPS coordinates provided by the DHS program and the second-level administrative shapefiles that are publicly available from the Database of Global Administrative Areas (https://gadm.org/).

110 The two most recent SPA surveys in Senegal did not collect GPS coordinates, but DHS

111 provided a linkage file to assign a department to each sampled facility. SDI surveys include the

administrative units as a variable directly.

113 Section 1.3. Sampling of sick-child visits and vignettes

114 In the SPA, the survey teams randomly selected three providers of curative care of sick

- children among all providers in this service present at the facility the day of the assessment. A
- maximum of five client consultations for each selected provider was observed. The client
- weights use the facility sampling weight as its base weight and take into account the total
- 118 number of clients listed and interviewed within each of the sampling stratum, to calculate the
- probability of a given consultation to be observed. In the SDI, vignettes were administered to a
- 120 random sample of health providers (doctors, medical assistants, nurses) among all eligible
- providers present at the facility the day of the assessment. Providers were randomly sampled among all eligible providers at the facility. The weights here simply represent the percentage
- 123 probability of selection of a provider within each facility.

124 Section 1.4 Differences between the SPA and SDI surveys

- 125 The SPA and SDI surveys' inventory questionnaire mostly collect the same information, as
- both surveys use WHO's SARA framework to assess the availability and readiness of key
- 127 infrastructure and services in facilities, what we referred to as readiness in this study.

128 However, the differences in the methodology used to assess providers' knowledge and

- 129 competence, referred to as process quality in this article, differs significantly. In the SPA
- 130 survey, interviewers directly observe patient-provider consultations using an observation
- protocol. In the SDI survey, interviewers act as patients and record providers' questions,
- examinations, and recommendations to a hypothetical clinical case, known as vignette. Past
- 133 studies have shown that compliance to protocol checklists tend to be higher with vignettes than 134 with direct clinical observations. To account for these differences in the assessment of process
- 134 with direct clinical observations. To account for these differences in the assessment of process 135 quality between the SPA and SDI surveys, we used survey-specific effects in our model.
- 136

Covariate	Spatial resolution	Temporal resolution	Source
Total population under five years old	Pixel-level	Annual	WorldPop
Travel time to nearest settlement >50,000 inhabitants	Pixel-level	Annual	WorldPop
Travel time to nearest health facility	Pixel-level	Annual	WorldPop
Health worker density	Administrative unit	Census years	Censuses (derived)
Urbanicity	Pixel-level	2015	ESA and land cover
Night-time lights	Pixel-level	Annual	VIIRS and DMSP (harmonized)
Educational attainment	Pixel-level	Annual	IHME
Human development index	Pixel-level	Annual	IHME
Elevation	Pixel-level	Annual	ArcGIS

137 DMSP=Defense Meteorological Satellite Program; ESA=European Space Agency;

IHME=Institute for Health Metrics and Evaluation; VIIRS= Visible Infrared Imaging Radiometer
 Suite

140 Table S3. Covariate data sources

141

142 Section 2. Supplementary Methods

Section 2.1 List of tracer items and protocols included in the three countries, to estimate
 readiness and process quality of care

145 146

Included (X)

	Kenya	Senegal	Tanzania
Readiness			
Electricity	Х	Х	
Improved water	Х	Х	
Privacy of examination		Х	
room			
Improved latrine for	Х	Х	
client use			
Communication	Х	Х	Х
equipment			
Computer with email	Х	Х	Х
Emergency transport	Х	Х	
Disposal of sharps	Х	Х	Х
Disposal of medical	Х	Х	Х
waste			
Monthly administrative		Х	
meetings			
Quality assurance		Х	
system			
System to collect opinion		Х	
Supervision in the last		Х	
six months			
Health workers always		Х	
available			
Guidelines for IMCI	Х	Х	Х
Child scale	Х	Х	Х
Infant scale	Х	Х	Х
Thermometer	Х	Х	Х
Stethoscope	Х	Х	Х
Amoxicillin for children	Х	Х	Х
ORS	Х	Х	Х
Co-trimoxazole for	Х	Х	Х
children			
Paracetamol	Х	Х	Х
Malaria diagnostic	Х	Х	Х
capacity			
Antimalarial medication	Х	Х	Х

147 differences between the three countries 148 149

Process quality of care

Provider asked about cough or difficulty breathing, diarrhoea, History taking fever, inability to drink anything, vomiting, and convulsions

Protocols

Physical examination Provider took child's temperature, checked for pallor, looked in child's ear, counted respiration, checked skin turgor for dehydration, undressed child to examine, weighed the child, pressed both feet to check for oedema

150 Table S5: List of diagnostic protocols used to derive the process quality metric

151 Section 2.2 Model for sub-national estimation of readiness and process quality of care metrics 152 The two composite indices of readiness and process quality of care described in table 2 were 153 modelled separately using a small area estimation approach. Specifically, we adapted a 154 previously developed Bayesian framework, which models the direct survey estimates as a function of covariates, and space and time components¹. This Bayesian spatial model allows 155 to estimate time series of the true underlying values of the metrics by smoothing over time and 156 space the direct estimates obtained from multiple surveys, with potentially different designs 157 158 and associated uncertainty. Specifically, in the first stage, we calculate the Horvitz-Thompson 159 estimator of the metric in area i, year t, and survey s, by using the sampling weight w 160 associated to each facility k: 161

162 $p_{its}^{HT} = \frac{\sum_{k \in i} w_{k,its} y_{k,its}}{\sum_{k \in i} w_{k,its}}$ with variance V_i^* calculated using standard methods, such as jackknife.

163 jackknii 164

165 To increase the precision of the design-based estimates of the metric, and to predict the metric 166 in areas or years where no data was collected, we use a hierarchical spatial model inspired by 167 Fay and Herriot classic framework:

$$logit(p_{its}^{HT}) \sim N(\theta_{its}, V_{its})$$

168 169 170

171

$$\theta_{its} = \mathbf{X}_{it}\mathbf{\beta} + \gamma_t + \alpha_t + e_i + S_i + \delta_{it} + \delta_{it}$$

 v_s

172 Where $\mathbf{X}_{it}\boldsymbol{\beta}$ are area-level covariates for area *i* in year *t* (see Table S3), γ_t are temporal 173 random effects modeled as a first order random walk, S_i are spatially structured random effects 174 (see section 2.3), δ_{it} is a space-time interaction, α_t , e_i and v_s are temporal, spatial and survey 175 zero-mean independent random effects.

176

177 Section 2.3 Spatial random effects

178 We model area-level random effects using an intrinsically conditional autoregressive model known as the BYM2 model- an extension of the BYM model originally developed by Besag, 179 York, and Mollie², which adds penalized priors³. The use of spatially structured random effects 180 181 reflects our assumption that these unobserved characteristics affecting the availability of quality care are likely to be correlated in space. For instance, remote administrative units might 182 183 face challenges - in accessing steady drug supply chains for essential medicines and testing 184 materials, or in attracting gualified health workers - that are more like adjacent units than that 185 experienced in the capital city. Models 1-4 are alternative where area-level random effects are 186 assumed to be independent and identically distributed rather than spatially correlated.

187

188 Section 2.4 Accounting for the sampling design in Senegal

- In Senegal, to account for the changing sampling methods (described in B.1.1), we adopted an
- 190 analytical approach that includes random effects to account for the rounds of SPA that
- 191 comprised repeated selection of facilities.

192 Section 2.5 Model selection

193 Direct estimates and design-based variance estimates were computed using the *survey*

- package in R version 4.0.1. We fit the Bayesian hierarchical models using the Integrated
- Nested Laplace Approximation 4 and the *R-INLA* package 5 version 22.12.16. We obtained a
- subset of all included covariates by checking for multi-collinearity using the variance inflation
- 197 factor with a threshold of 5. For each indicator and country, we compared the 7 models 198 presented in Table S4 consisting of different combinations of the covariates selected with the
- 199 variance inflation factor (VIF) procedure⁶, and spatio-temporal random effects, using three
- selection procedures (the deviance information criteria⁷, the Watanabe-Akaike information
- 201 criteria ⁸, and the sum of log-conditional predictive ordinate ⁹). In the absence of consensus on
- a single criterion, when different criteria pointed to different models, we used a majority rule.
- 203

Model	Formula
1	$\gamma_t + \alpha_t + e_i + \delta_{it}$
2	$\gamma_t + \alpha_t + e_i + \delta_{it} + v_s$
3	$\mathbf{X_{it}}\boldsymbol{\beta} + \gamma_t + \alpha_t + e_i + \delta_{it}$
4	$\mathbf{X_{it}}\boldsymbol{\beta} + \gamma_t + \alpha_t + \delta_{it} + e_i + v_s$
5	$\gamma_t + \alpha_t + S_i + e_i + \delta_{it}$
6	$\gamma_t + \alpha_t + S_i + \delta_{it} + e_i + v_s$
7	$\mathbf{X_{it}}\boldsymbol{\beta} + \gamma_t + \alpha_t + S_i + e_i + \delta_{it} + v_s$

- Table S6: Models considered to estimate readiness and process quality metrics over time and space
- 206
- 207
- 208

209 Section 3. Supplementary Results

210

211 Section 3.1 Item availability by country

212

Kenya	1999	2004	2010	2018
Electricity	58%	47%	26%	56%
Improved water	80%	85%	83%	97%
Privacy of examination room	78%	76%	83%	100%
Improved latrine for client use	98%	97%	97%	100%
Communication equipment	63%	47%	82%	75%
Computer/internet/email		8%	14%	74%
Emergency transport	26%	20%	10%	75%
Disposal of sharps	47%	75%	64%	97%
Disposal of medical waste	47%	97%	88%	
Monthly administrative meeting	51%	61%	49%	30%
Quality assurance	30%	24%	23%	28%
Systematic collection of clients' opinion	47%	61%	59%	32%
Supervision in last 6 Months	56%	87%	83%	
Health workers available at all time	63%	47%	37%	77%
IMCI guidelines available	61%	11%	34%	10%
Child scale	85%	60%	68%	58%
Infant scale	85%	73%	68%	52%
Thermometer	86%	81%	88%	94%
Stethoscope	100%	78%	83%	97%
Amoxicillin	65%	78%	60%	79%
ORS	86%	88%	75%	80%
Co-trimoxazole	86%	90%	78%	85%
Paracetamol	80%	88%	69%	92%
Malaria diagnostic tools		50%	45%	53%
Antimalarial medication	37%	80%	94%	83%
Unweighted mean	66%	64%	63%	70%

213Unweighted mean66% f64% f63%70%214Table S7: Items availability in Kenya for each round of SPA/SDI survey. The unweighted

215 mean differs from the readiness metric presented in Table 3, as items are grouped and

averaged by domains (infrastructure, facility management, drug availability) before being

217 aggregated as the final readiness metric.

Senegal	2013/14	2015/16	2017	2018	2019
Electricity	54%	55%	61%	56%	74%
Improved water	92%	94%	94%	97%	97%
Privacy of examination room	99%	97%	99%	100%	96%
Improved latrine for client use	91%	94%	99%	100%	96%
Communication equipment	54%	45%	55%	75%	85%
Computer/internet/email	53%	42%	61%	74%	78%
Emergency transport	51%	57%	57%	75%	63%
Disposal of sharps	87%	96%	92%	97%	88%
Disposal of medical waste					
Monthly administrative meeting	29%	36%	43%	30%	49%
Quality assurance	4%	9%	31%	28%	28%
Systematic collection of clients' opinion	11%	14%	27%	32%	43%
Supervision in last 6 Months					
Health workers available at all time	85%	85%	84%	77%	71%
IMCI guidelines available	46%	64%	75%	58%	65%
Child scale	73%	76%	52%	49%	52%
Infant scale	70%	83%	86%	75%	71%
Thermometer	98%	99%	98%	99%	95%
Stethoscope	99%	100%	98%	99%	97%
Amoxicillin	82%	81%	78%	70%	68%
ORS	78%	74%	76%	68%	64%
Co-trimoxazole	71%	58%	17%	29%	30%
Paracetamol	90%	89%	88%	84%	75%
Malaria diagnostic tools	75%	90%	85%	82%	76%
Antimalarial medication	61%	47%	64%	59%	54%
Unweighted mean	71%	71%	73%	73%	729

219Unweighted mean71%71%73%73%220Table S8: Items availability in Senegal for each round of SPA survey. The unweighted221mean differs from the readiness metric presented in Table 3, as items are grouped and222averaged by domains (infrastructure, facility management, drug availability) before being

223 aggregated as the final readiness metric.

Tanzania	2006	2014	2014-15	2016
Communication equipment	45%	30%	51%	40%
Computer/internet/email	4%	9%	12%	12%
Disposal of sharps	83%	87%	34%	81%
Disposal of medical waste	97%	88%	36%	82%
IMCI guidelines available	34%	58%	54%	80%
Child scale	76%	83%	79%	78%
Infant scale	54%	64%	72%	82%
Thermometer	87%	91%	85%	93%
ORS	84%	76%	85%	88%
Co-trimoxazole	81%	77%	86%	74%
Paracetamol	84%	76%	69%	74%
Malaria diagnostic tools	41%	81%	43%	94%
Antimalarial medication	99%	91%	94%	96%
Unweighted mean	67%	71%	62%	73%

225Unweighted mean67%71%62%73%226Table S9: Items availability in Tanzania for each round of SPA/SDI survey. The227unweighted mean differs from the readiness metric presented in Table 3, as items are grouped228and averaged by domains (infrastructure, facility management, drug availability) before being229aggregated as the final readiness metric.

230 231

232 Section 3.2 Survey estimates of readiness and process quality of care metrics

	Readiness		Process quality	
Country and survey year	Mean survey estimate (95% Cl)	Absolute range	Mean survey estimate (95% CI)	Absolute range
Kenya				
SPA 1999	63.1 (61.7-64.5)	56.9-73.9	30.7 (29.7-31.7)	20.5-39.2
SPA 2004	65.6 (63.3-67.9)	59.4-72.7	35.5 (34.2-36.8)	23.3-74.0
SPA 2010	60.6 (59.2-62.0)	36.4-92.0	44.3 (43.3-45.5)	19.3-86.7
SDI 2018	65.1 (64.6-65.6)	52.4-83.3	43.7 (42.7-44.7)	26.9-73.5
Senegal	· · · · · ·			
SPA 2012-14	65.1 (64.3-65.9)	40.0-77.1	38.5 (37.8-39.2)	15.4-59.3
SPA 2015-16	65.7 (64.5-66.9)	37.1-82.9	43.1 (42.1-44.1)	19.2-63.7
SPA 2017	66.9 (65.5-68.3)	31.3-82.9	34.5 (33.5-35.5)	19.5-54.4
SPA 2018	65.0 (59.6-70.4)	22.9-85.7	36.3 (32.5-40.1)	23.8-66.7
SPA 2019	64.6 (61.3-67.9)	31.4-85.7	39.0 (37.0-41.0)	7.7-67.2
Tanzania	. ,		. ,	
SPA 2006	54.4 (53.6-55.3)	42.2-68.8	39.8 (38.9-40.7)	10.4-50.3
SPA 2014-15	62.5 (61.4-63.6)	48.8-75.8	35.3 (34.7-36.0)	21.4-67.7
SDI 2014	73.0 (71.8-75.2)	58.2-92.2	36.4 (34.6-38.2)	25.5-54.1
SDI 2016	74.6 (72.6-76.6)	58.7-93.7	39.9 (37.1-42.7)	22.4-71.9

234 Table S10: Survey estimates of the national average and the range across subnational areas of the readiness and process quality metrics in Kenya, Senegal, and Tanzania. 235 236 Estimates presented in this table are empirical survey estimates (as opposed to the modeled estimates presented in what follows). They were calculated separately for each SPA and SDI 237 238 survey, using their respective survey weights and design variables. The absolute range for 239 each survey was derived by estimating readiness and process quality by county (Kenya), department (Senegal), and region (Tanzania). Although these surveys were typically powered 240 241 to provide reliable estimates at a less granular resolution (province, region, and zone, respectively), we present the absolute range to illustrate the width of subnational inequities 242 243 within each country.

244

245 Section 3.3 Model selection results

246

			Kenya					
Model		Readiness of care	Pi	Process quality of care				
	WAIC	DIC	LCPO	WAIC	DIC	LCPO		
1	-239.68	121.82	54.97	-211.41	170.79	-91.44		
2	-239.71	121.84	55.02	-211.47	170.80	-91.25		
3	-238.03	122.57	52.68	-212.92	170.36	-89.43		
4	-237.88	122.69	52.74	-212.79	170.49	-89.47		
5	-239.88	120.99	58.00	-212.67	169.89	-84.13		
6	-239.92	120.95	58.00	-212.58	169.95	-84.41		
7	-238.43	122.00	55.45	-214.31	169.93	-82.43		
			Senegal					
1	-66.73	157.56	76.48	-147.75	180.47	85.15		
2	-66.97	157.28	76.55	-147.78	180.33	85.07		
3	-67.84	158.78	76.66	-147.29	181.14	87.02		
4	-67.73	158.80	76.84	-147.04	181.20	87.04		
5	-66.94	157.34	75.61	-147.80	180.64	85.49		
6	-67.02	157.54	75.70	-147.67	180.61	85.56		
7	-67.79	158.87	76.61	-147.23	181.09	87.32		
			Tanzania					
1	-33.59	53.46	25.71	-71.01	71.20	61.07		
2	-33.27	53.37	25.43	-71.08	71.10	61.26		
3	-31.90	53.54	25.57	-71.68	71.42	60.04		
4	-31.90	53.54	25.57	-71.68	71.42	60.04		
5	-32.95	53.45	26.29	-70.99	71.22	61.58		
6	-33.15	53.26	26.12	-70.94	71.26	61.71		
7	-31.47	53.56	25.97	-71.41	71.50	61.13		

247

Table S11: Models' fit assessed using WAIC, DIC, and LCPO. Lower WAIC and DIC indicate better model performance, while higher LCPO indicates better model performance. 248

- 251
- 252
- 232
- 253

Section 3.4 Decomposition of the sources of variations in the readiness and process quality metrics

256

	Senegal							
Outcome	Model selected	$\sigma_{e_i}^2$	$\sigma_{S_i}^2$	$\sigma_{\gamma_t}^2$	$\sigma_{\alpha_t}^2$	$\sigma_{\delta_{it}}^2$	$\sigma_{v_s}^2$	X _{it} β
Readiness of care	5		34.8	2.6	1.4	61.1		
Process quality of care	5		0.6	1.9	2.2	95.3		
				Ken	ya			
Outcome	Model selected	$\sigma_{e_i}^2$	$\sigma_{S_i}^2$	$\sigma_{\gamma_t}^2$	$\sigma_{\alpha_t}^2$	$\sigma_{\delta_{it}}^2$	$\sigma_{v_s}^2$	X _{it} β
Readiness of care	2	6.7		10.3	24.6	1.5	56.9	
Process quality of care	7		3.6	1.9	3.5	24.6	7.7	58.8
				Tanza	ania			
Outcome	Model selected	$\sigma_{e_i}^2$	$\sigma_{S_i}^2$	$\sigma_{\gamma_t}^2$	$\sigma_{\alpha_t}^2$	$\sigma_{\delta_{it}}^2$	$\sigma_{v_s}^2$	X _{it} β
Readiness of care	3	0.6		6.3	57.3	12		23.8
Process quality of care	3	0.5		1.3	0.8	53.8		43.5

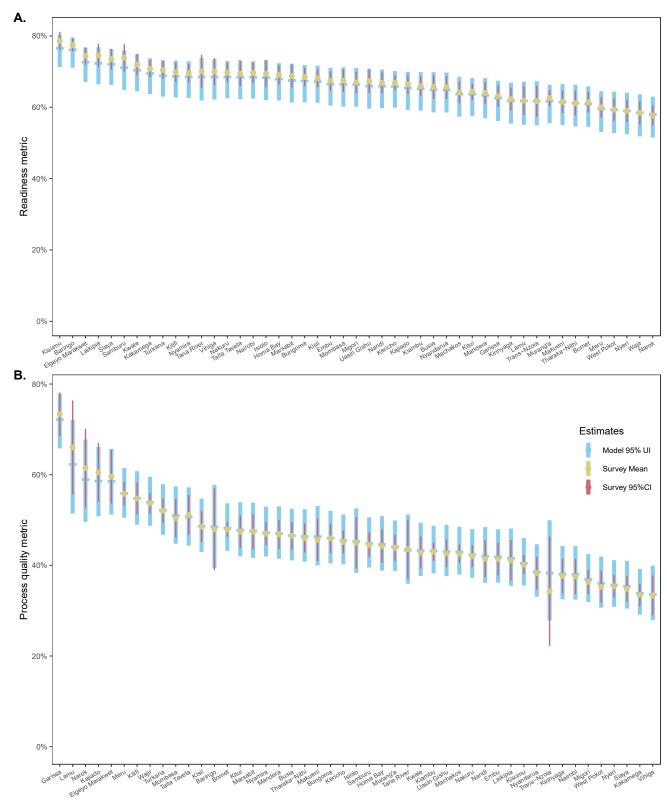
Table S12: Selected models and variance decomposition, for each readiness and

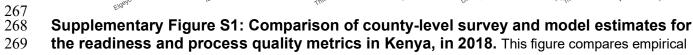
process quality of care metrics in each country. The $\sigma_{e_i}^2$, $\sigma_{S_i}^2$, $\sigma_{\gamma_t}^2$, $\sigma_{\alpha_t}^2$, $\sigma_{\nu_t}^2$, $\sigma_$

the zero-mean temporal component, the space-time interaction component, and the

262 covariate component.

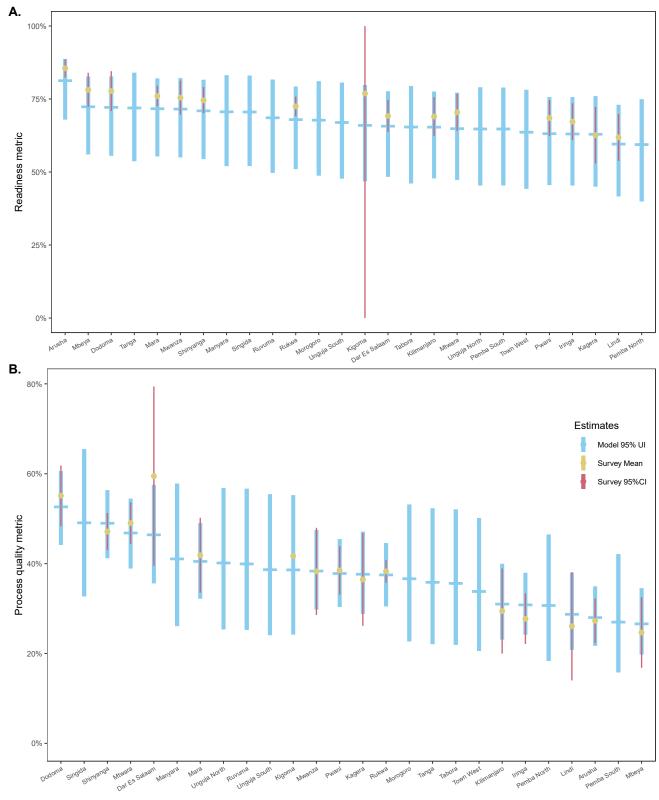
- 263
- 264Section 3.5 Comparison of subnational-level survey and model estimates for the readiness and265process quality metrics in Kenya and Tanzania
- 266





14 | Page

- 270 271 272 survey and model estimates, for the most recent year when data was available in Kenya. Thick light-blue dash and vertical ranges show model posterior mean estimates, and the 95% posterior prediction intervals. Yellow dots and narrow red vertical lines indicate survey estimates and 95% confidence intervals, derived
- 273 from SDI 2018.
- 274



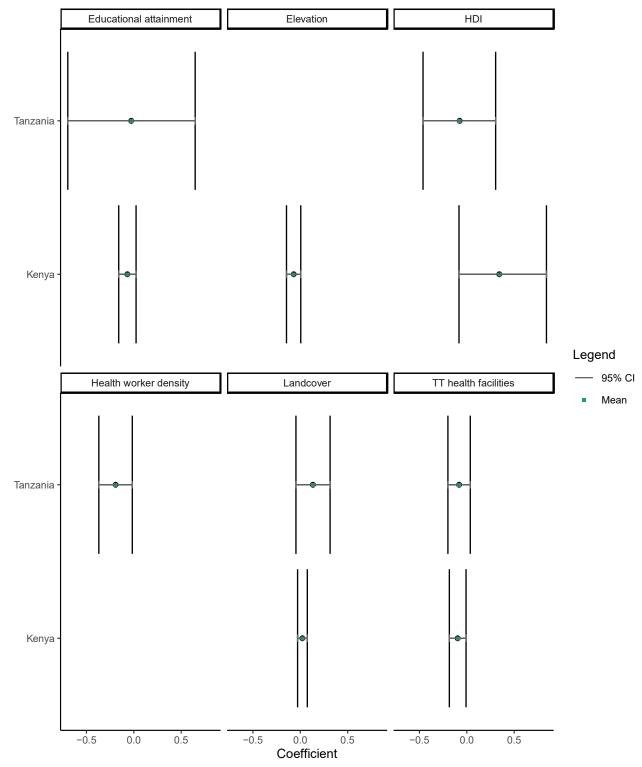
Supplementary Figure S2: Comparison of region-level survey and model estimates for
 the readiness and process quality metrics in Tanzania, in 2016. This figure compares

empirical survey and model estimates, for the most recent year when data was available in
Tanzania. Thick light-blue dash and vertical ranges show model posterior mean estimates, and

the 95% posterior prediction intervals. Yellow dots and narrow red vertical lines indicate survey

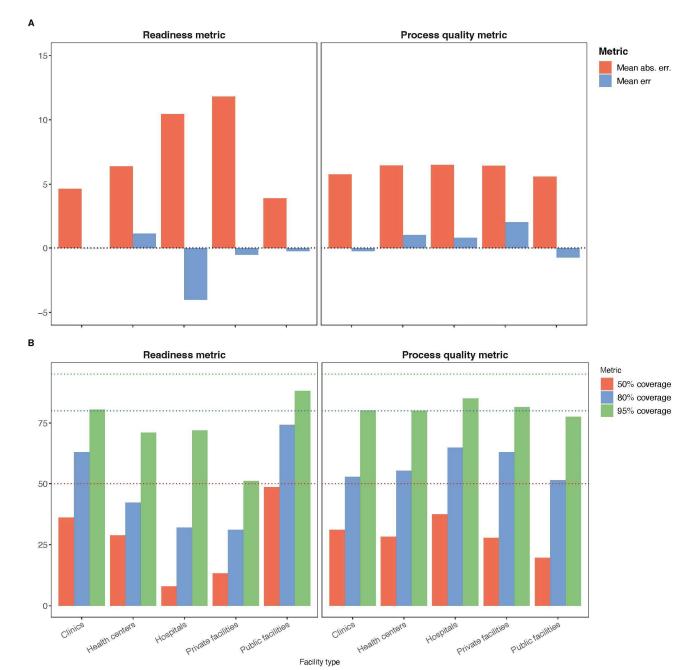
estimates and 95% confidence intervals, derived from SDI 2016 (n = 386 facilities sampled,

282 panel A; n = 543 providers assessed in 397 facilities, panel B).



284 285 Supplementary Figure S3: Regression coefficients from small area models that included covariates (n = 1,974, Kenya; n = 884, Tanzania). All the variables were scaled for 286 computational purposes. 287

288 Access = average travel time to nearest urban settlements with over 50,000 inhabitants; HDI = 289 human development index; TT health facilities = travel time to nearest facility; Landcover = measure of urbanicity of the area; Elevation = average elevation of the area. 290



291

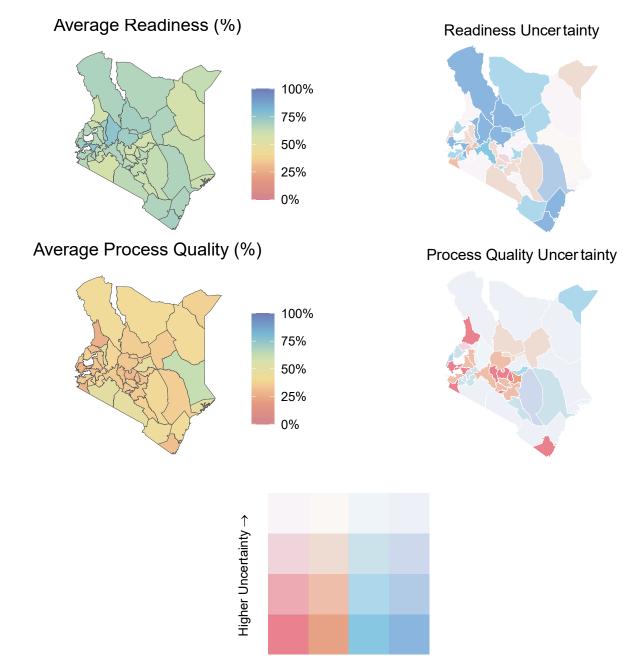
292 293

294 Supplementary Figure S4: Measures of (Panel A) calibration, and (Panel B) bias and precision of models' predictions for stratified analysis, using hold-out predictions of 295 296 readiness and process quality metrics in departments of Senegal. Mean error, mean 297 absolute error, and coverage were calculated across all administrative areas, using cross validation.

Stratified analyses only include one of five type of facilities- public facilities, private facilities, hospitals, health
 centers, and clinics.

301 Section 3.6 Examples of model outputs in Kenya and Tanzania

302

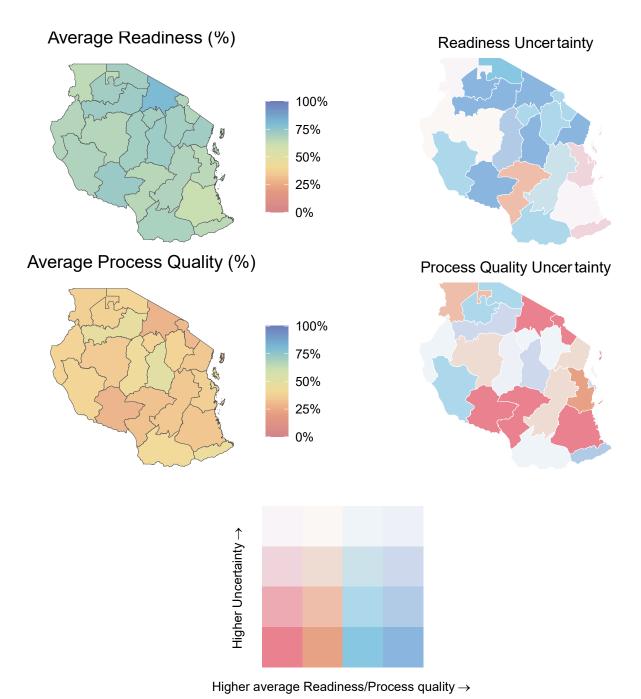


Higher average Readiness/Process quality \rightarrow

304 305

Supplementary Figure S5: Maps of model-estimated readiness (panel A) and process quality (panel B) metrics by subnational areas in Kenya in 2020, with associated uncertainty. The left panel presents estimates of the mean, while the right panel shows both estimates of the mean and their associated 95% uncertainty interval width. Mean estimated metrics are split into quartiles; the cut-off points indicate the metric estimates' minimum, 25th, 50th, and 75th percentiles, and maximum, which were 57.1%, 61.4%, 65.5%, 67.7%, and 75.8%, for the readiness metric, and 25.4%, 31.7%, 35.5%, 39.7%, and 61.9%, for process quality. The confidence intervals' width 313 minimum, 25th, 50th, and 75th percentiles, and maximum, were 21.0%, 24.6%, 25.4%, 26.4%,

- 314 and 27.3%.
- 315 316

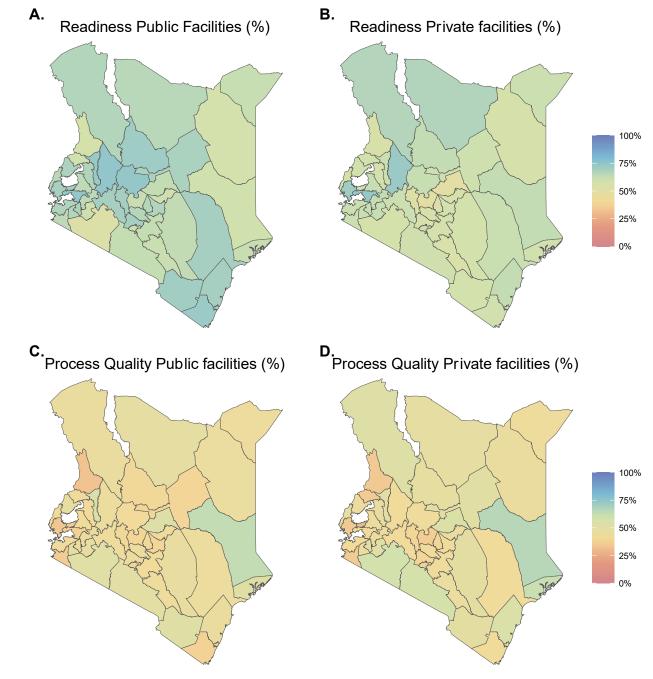


317

318

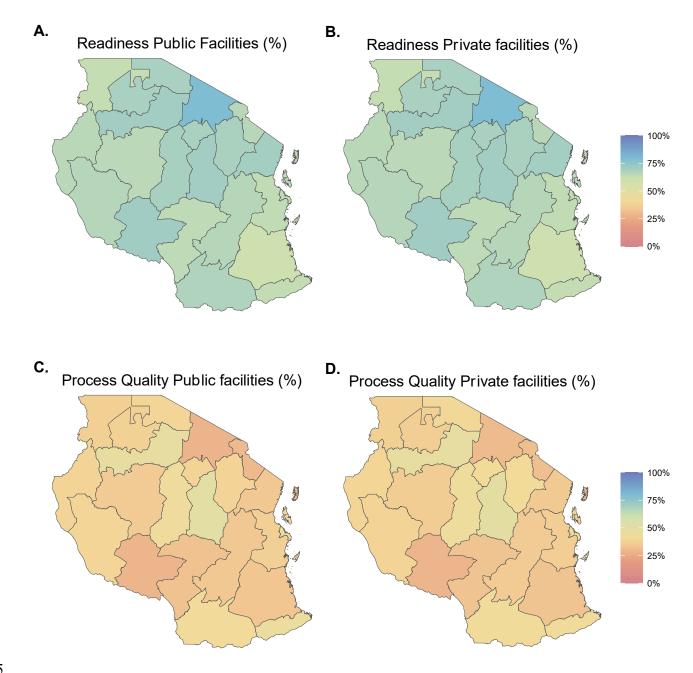
Supplementary Figure S6: Maps of model-estimated readiness (panel A) and process quality (panel B) metrics by subnational areas in Tanzania in 2020, with associated uncertainty. The left panel presents estimates of the mean, while the right panel shows both estimates of the mean and their associated 95% uncertainty interval width. Mean estimated metrics are split into

quartiles; the cut-off points indicate the metric estimates' minimum, 25th, 50th, and 75th percentiles, and maximum, which were 61.3%, 65.4%, 66.9%, 70.4%, and 80.0%, for the readiness metric, and 27.3%, 33.7%, 36.8%, 41.4%, and 49.5%, for process quality. The confidence intervals' width minimum, 25th, 50th, and 75th percentiles, and maximum, were 40.3%, 50.2%, 52.2%, 53.0%, and 55.0%.



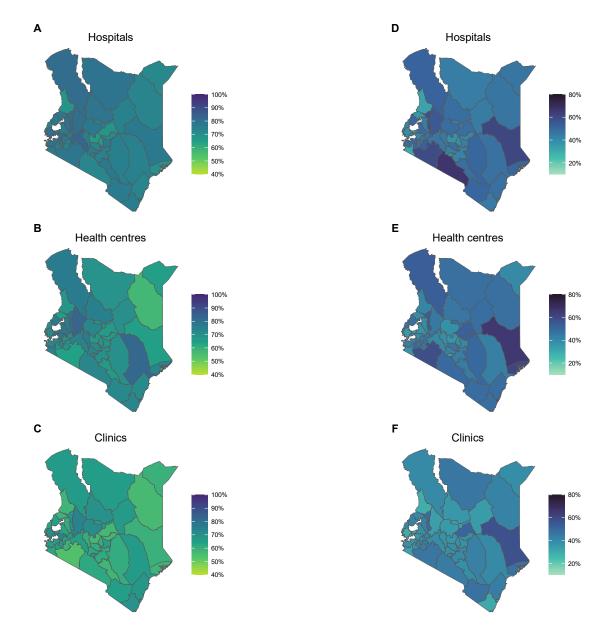
- 328 329
- Supplementary Figure S7: Maps of model-estimated readiness (top panel) and process
 quality (bottom panel) metrics by subnational areas, and managing authorities, in Kenya

in 2020. Figures A and B (respectively C and D) are maps of modelled area-level estimates of
 readiness (respectively process quality) for analyses stratified on public and private facilities.



335

Supplementary Figure S8: Maps of model-estimated readiness (top panel) and process quality (bottom panel) metrics by subnational areas, and managing authorities, in Tanzania in 2020. Figures A and B (respectively C and D) are maps of modelled area-level estimates of readiness (respectively process quality) for analyses stratified on public and private facilities.



Supplementary Figure S9: Maps of model-estimated readiness (left panel) and process
 quality (right panel) metrics by subnational areas, and managing authorities, in Kenya in
 2020. Figures A, B, and C (respectively D, E, and F) are maps of modelled area-level estimates
 of readiness (respectively process quality) for analyses stratified on facility type.

- ----

352 Supplementary References353

1. Mercer, L. D. *et al.* Space-Time Smoothing of Complex Survey Data: Small Area Estimation for Child

355 Mortality. Ann. Appl. Stat. 9, 1889–1905 (2015).

- 2. Besag, J., York, J. & Mollié, A. Bayesian image restoration, with two applications in spatial statistics.
- 357 Ann. Inst. Stat. Math. 43, 1–20 (1991).
- 358 3. Simpson, D., Rue, H., Riebler, A., Martins, T. G. & Sørbye, S. H. Penalising Model Component
 359 Complexity: A Principled, Practical Approach to Constructing Priors. *Stat. Sci.* 32, (2017).
- 360 4. Rue, H., Martino, S. & Chopin, N. Approximate Bayesian inference for latent Gaussian models by
- using integrated nested Laplace approximations. J. R. Stat. Soc. Ser. B Stat. Methodol. 71, 319–392
- 362 (2009).
- 5. Lindgren, F. & Rue, H. Bayesian Spatial Modelling with R-INLA. J. Stat. Softw. 63, 1–25 (2015).
- 6. Faraway, J. J. On the Cost of Data Analysis. *J. Comput. Graph. Stat.* **1**, 213–229 (1992).
- 365 7. Spiegelhalter, D. J., Best, N. G., Carlin, B. P. & van der Linde, A. The deviance information criterion:
 366 12 years on. *J. R. Stat. Soc. Ser. B Stat. Methodol.* **76**, 485–493 (2014).
- 367 8. Watanabe, S. Asymptotic Equivalence of Bayes Cross Validation and Widely Applicable Information
 368 Criterion in Singular Learning Theory. 24.
- 369 9. Held, L., Schrödle, B. & Rue, H. Posterior and cross-validatory predictive checks: A comparison of
- 370 MCMC and INLA. *Stat. Model. Regres. Struct.* 91–110 (2010) doi:10.1007/978-3-7908-2413-1_6.