

1
2 **Study protocol: Benign peripheral paroxysmal positional vertigo (BPPV): Comparison of**
3 **the Epley maneuver with the so-called SémontPLUS liberation maneuver.**

4
5
6 **Basis and rationale of the study and theoretical background**
7

8 BPPV is the second most common form of vertigo. Reported prevalence ranges from 10 to
9 140 per 100,000 and lifetime prevalence is at least 2.4% (1, 2); prevalence of 9-11% have
10 been found in a population older than 75 years (3, 4).

11
12 The leading symptom is recurrent attacks of spinning vertigo, each triggered by changes in
13 position relative to gravity and lasting from seconds to one minute. The cause is usually freely
14 moving otoconia in the posterior arcuate canal (so-called canalolithiasis); the horizontal
15 arcuate canal is affected much less frequently. In 70% of patients there is a spontaneous
16 remission within days. In case of persistence, about 95% of patients can be successfully
17 treated with so-called freeing maneuvers, e.g., the Sémont maneuver. However, this often
18 requires 20 to 30 maneuvers over several days (overview in (6)).

19
20 Based on

21
22 a) our own biophysical studies, which we performed together with colleagues from
23 Switzerland on a mechanical model of positional vertigo (7) and which show that theoretically
24 the effectiveness of the Sémont maneuvers can be increased by changing the positional angle
25 by 30° in the so-called step two of the positional maneuvers, as well as

26
27 b) an analysis of the comparison of the conventional Sémont maneuver with the so-called
28 SémontPLUS maneuver (477/17), which shows that the mean time to freedom from
29 symptoms for the Sémont maneuver is 3.9 days and only 2.3 days for the SémontPLUS
30 maneuver ($p < 0.05$), the efficacy of the Epley maneuver will be compared with the
31 SémontPLUS maneuver in a parallel group design.

32
33 The primary endpoint is the duration, i.e., days ("mornings") until freedom from
34 symptoms with continuation of the two maneuvers in the following days, three times in the
35 morning, at noon and in the evening. This is assessed by the patient's statements that he/she
36 can still induce rotational vertigo or not during the positioning maneuvers to the affected side
37 performed by him/herself.

38
39
40
41
42
43
44
45
46
47
48
49
50

51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110

Reference List

- (1) von-Brevern M, Radtke A, Lezius F, et al. Epidemiology of benign paroxysmal positional vertigo: a population based study. *J Neurol Neurosurg Psychiatry* 2007 Jul;78:710-715.
- (2) van der Zaag-Loonen HJ, van Leeuwen RB, Bruintjes TD, van Munster BC. Prevalence of unrecognized benign paroxysmal positional vertigo in older patients. *Eur Arch Otorhinolaryngol* 2015 Jun;272:1521-1524.
- (3) Oghalai JS, Manolidis S, Barth JL, Stewart MG, Jenkins HA. Unrecognized benign paroxysmal positional vertigo in elderly patients. *Otolaryngol Head Neck Surg* 2000 May;122:630-634.
- (4) Kollen L, Frandin K, Moller M, Fagevik OM, Moller C. Benign paroxysmal positional vertigo is a common cause of dizziness and unsteadiness in a large population of 75-year-olds. *Aging Clin Exp Res* 2012 Aug;24:317-323.
- (5) Neuhauser HK. The epidemiology of dizziness and vertigo. *Handb Clin Neurol* 2016;137:67-82.
- (6) Bhattacharyya N, Gubbels SP, Schwartz SR, et al. Clinical Practice Guideline: Benign paroxysmal positional vertigo (update). *Otolaryngol Head Neck Surg* 2017 Mar;156:S1-S47.
- (7) Obrist D, Nienhaus A, Zamaro E, Kalla R, Mantokoudis G, Strupp M. Determinants for a Successful Semont Maneuver: An In vitro Study with a Semicircular Canal Model. *Front Neurol* 2016;7:150.
- (8) Von Brevern M, Bertholon P, Brandt T, et al. Benign paroxysmal positional vertigo: Diagnostic criteria. *J Vestib Res* 2015;25:105-117.
- (9) Epley JM. The canalith repositioning procedure: for treatment of benign paroxysmal positional vertigo. *Otolaryngol Head Neck Surg* 1992 Sep;107:399-404.
- (10) Brandt T, Steddin S, Daroff RB. Therapy for benign paroxysmal positioning vertigo, revisited. *Neurology* 1994;44:796-800.
- (11) Kim JS, Oh SY, Lee SH, et al. Randomized clinical trial for geotropic horizontal canal benign paroxysmal positional vertigo. *Neurology* 2012 Aug 14;79:700-707.
- (12) Kim JS, Oh SY, Lee SH, et al. Randomized clinical trial for apogeotropic horizontal canal benign paroxysmal positional vertigo. *Neurology* 2012 Jan 17;78:159-166.

111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171

Study design

1. Diagnostic examinations

Patients who present to our outpatient clinic or to one of our wards for vertigo anyway will undergo routine history taking and clinical neurological, neuro-otological and neuro-ophthalmological examination. No diagnostic measures beyond these are required for this study.

2. Inclusion criteria

Subject (≥ 18 years of age) meets diagnostic criteria for the current presence of BPPV of a posterior arcuate duct (8):

History: rotary vertigo attacks triggered by head or body position change. Duration: < 1 minute, associated with nausea, vomiting, oscillopsia

Findings: When positioned to the affected ear: torsional and vertically to the forehead beating, exhaustive nystagmus with crescendo-decrescendo-like course.

3. Exclusion Criteria:

- a) Subjects not capable of giving consent
- b) Respondent does not want therapy for BPPV

4. Recruitment procedure

The recruitment of subjects takes place

- in Munich

a) in the outpatient clinic of Prof. M. Strupp in the Neurological Clinic and Polyclinic

b) in the outpatient clinic of the German Dizziness Center and

c) on the neurological wards

- in Italy in Siena

- in Belgium in Bruges.

Eligible subjects with positive inclusion criteria as well as missing exclusion criteria will be selected.

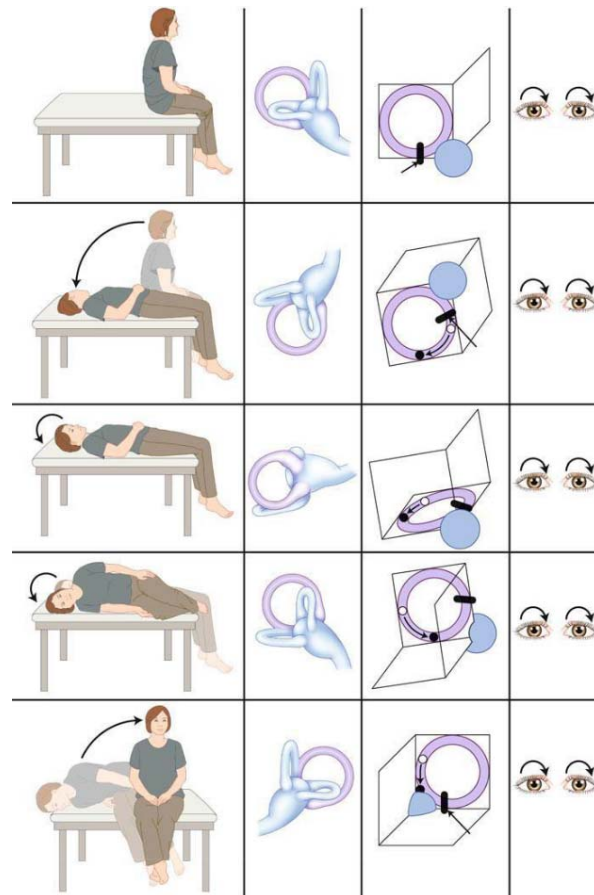
5. Course of studies

- a) Patients present to our clinic for vertigo as part of routine care.

172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225

- b) Routine history taking, physical examination and standardized apparatus diagnostics by means of so-called neuro-orthoptic diagnostics (oculomotor and nystagmus, Halmagyi head impulse test, eye rolling, subjective visual vertical) and caloric testing are performed.
- c) Diagnostic positioning maneuvers are used to make the diagnosis of BPPV of a posterior arch.
- d) Patient is informed about the study.
- e) Patient consents
- f) Randomization (1:1). Randomization alternates between Epley and SémontPLUS and is documented in a list kept at the participating center with consecutive number, maneuver, name and date of birth of the patient. Only number and maneuver are to be passed on for evaluation.
- g) One-time performance of the Epley (figure) release maneuver or the SémontPLUS maneuver. The angle of the head inclination is measured by means of an app ("compass"), which can also be used as a so-called inclinometer, so that standardized examination conditions are ensured. SémontPLUS means 50 degrees beyond the earth's horizontal.
- h) Diagnostic maneuver to check the effect of therapy, i.e., is positional vertigo and/or positional nystagmus still present (*secondary* endpoint).
- i) Depending on randomization, the subject will then perform the Epley (Figure) or SémontPLUS maneuver three times in the morning, three times at noon, and three times in the evening (this frequency is also recommended elsewhere) independently according to prior instructions. He will document on a form after the first maneuver of each day whether positional vertigo was induced (*primary* endpoint). If the subject is unable or unwilling to perform these independently, they may be performed under the guidance of a physician or physical therapist.
- j) A routine follow-up, which is also recommended in the current guidelines anyway (6), is planned after two to four weeks to check the therapy effect.

226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263



264 **Figure.** Schematic representation of the modified Epley repositioning maneuver (9) in a patient with
 265 left BPPV. **1** In the seated starting position, the head is rotated 45° toward the affected (left) ear. **2** The
 266 head and upper body are tilted backward to a slight head hanging position. This triggers movement of
 267 the heavy particles in the canal, with ampullofugal cupular deflection of the BPPV attack. The patient
 268 remains in this position for approximately 1 minute. **3a** The head is now rotated 90° toward the
 269 unaffected ("healthy") ear. **3b** The head and upper body are rotated another 90° to the right in the
 270 same direction, causing the particles to move toward the exit of the posterior arcuate duct. This
 271 position is maintained for approximately 1 minute. A positional nystagmus to the affected overlying
 272 ear during positioning steps 3a and 3b indicates that therapy was successful. **4** The patient is raised
 273 back to a sitting position (modified according to (10)).
 274
275

276 **6. Criteria for individual dropout**
277

278 Criteria for individual dropout are
279

- 280 a) Withdrawal of consent
- 281
- 282 b) Refusal of diagnostic or therapeutic measures (see above).
- 283

284
285 **7. Criteria for discontinuation of the study**
286

287 Because these are routine diagnostic and therapeutic procedures, criteria for study
288 discontinuation are not apparent.

289
290
291
292
293
294

295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347

8. Endpoints

Primary endpoint. Number of days up to which no positional vertigo was triggered during the 1 maneuver of the day ("morning maneuver"). To be considered a success, no positional vertigo must be triggered on three consecutive days during the "morning maneuver".

Secondary endpoint. Success of the freeing maneuver in diagnostic maneuver performed immediately afterwards. If no torsional vertigo AND no nystagmus can be triggered in the latter, the extrication maneuver is rated as primarily successful. If torsional vertigo OR nystagmus OR both can be triggered, it is rated as primarily unsuccessful.

Statistical analysis

Kaplan-Meier curves stratified by maneuver are generated for the primary endpoint. Depending on the curve shape, the strata are compared with an appropriate statistical test. The secondary endpoint will be analysed in a four-field table (maneuver vs. primary outcome) using Chi² test.

Case Count Estimate

In other studies of BPPV therapy, between 100 and 200 patients were studied (11, 12). To demonstrate an improvement from 50% to 70% success rate (simplified primary endpoint for case number estimation) with 80% power at a two-sided test level of alpha = 0.05, one needs 93 in each group, for a total of 186 analysable patients. In our planned study, a total of 200 should be included to compensate for failures at follow-up (loss-to-follow-up, noncompleted forms). Due to the high prevalence and the short observation period, within 24 months these patients can be included and the results analysed.

Duration of study

The recruitment period begins with a positive evaluation by the ethics committee and lasts 24 months.