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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian hospital population

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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian hospital population

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29

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31
32 The Hospital Nacional Guillermo Almenara Irigoyen's Institutional Review Board (Nota N°52 CIEI-OIyD-
33 GRPA-Essalud-2023) approved the original study and the current analysis. Throughout the study, the
34 researchers did not have access to any identifying information about the participants. In addition,
35 participants gave informed consent. All participants were users of the hospital's Liaison Psychiatry Unit,
36 so all received psychological or psychiatric care as needed.
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40 Consent for publication

41
42 Not applicable.
43
44

45 Authors' contributions

46
47 David Villarreal-Zegarra: Conceptualization, Methodology, Software, Validation, Formal analysis, Data
48 Curation, Writing - Original Draft, Writing - Original Draft, Visualization.
49 Juan Barrera: Formal analysis, Investigation, Visualization.
50 Sharlyn Otazú-Alfaro: Investigation, Writing - Original Draft.
51 Nikol Mayo-Puchoc: Formal analysis, Investigation, Writing - Original Draft.
52 Juan Carlos Bazo: Methodology, Investigation, Writing - Review & Editing, Supervision.
53 Jeff Huarcaya-Victoria: Conceptualization, Methodology, Software, Validation, Formal analysis,
54 Investigation, Resources, Data Curation, Writing - Review & Editing, Project administration.
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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian population

Abstract

Background: The Patient Health Questionnaire (PHQ) and Generalized Anxiety Disorder Scale (GAD) are widely used screening tools, but their sensitivity and specificity in low- and middle-income countries are lower than in high-income countries. We conducted a study to determine the sensitivity and specificity of different versions of these scales in a Peruvian hospital population.

Method: Our study has a cross-sectional design. Our participants are hospitalised patients in a Peruvian hospital. The gold standard was a clinical psychiatric interview following ICD-10 criteria for depression (F32.0, F32.1, F32.2, and F32.3) and anxiety (F41.0 and F41.1).

Results: We found that a cut-off point of ≥ 7 in the PHQ-9 and PHQ-8 had the best balance between sensitivity and specificity. The raw score for PHQ-9 with cohort point ≥ 7 showed better results than the algorithm method or the adjusted algorithm. A cut-off point of ≥ 8 had the best performance for GAD-7. The PHQ-2 and GAD-2 had the best cut-off point of ≥ 2 points. All scales had good internal consistency (>0.70), and the PHQ-9, PHQ-8, and GAD-7 had appropriate goodness-of-fit indices.

Limitations: Our study is non-probabilistic.

Conclusions: The PHQ and GAD have adequate measurement properties in their different versions. We present specific cut-offs for each version.

Keywords: Depression; Anxiety; Patient Health Questionnaire; Sensitivity and Specificity; Peru.

Background

Until 2019, approximately 280 million people worldwide suffered from depression and 302 million from anxiety [1]. These data reveal that both mental disorders are the most common in the world and lead to the causes of the global burden of mental health disability-adjusted life years [2, 3]. With the onset of the COVID-19 pandemic, the worldwide prevalence of both disorders increased by around 25% [4]. In Peru, during the COVID-19 pandemic, the prevalence of moderate depressive symptoms also increased by approximately 0.17% in each quarter [5]. However, no population-level evidence has been found about the prevalence of anxious symptomatology or the diagnosis of anxiety in Peru. In this context, the impact of COVID-19 on the prevalence and burden of major depression and anxiety disorders was measured using screening tools [6].

Screening tools assist in early diagnosis and intervention that can prevent disease progression and reduce years lost to disability [7]. They are beneficial in contexts with limited mental health professionals providing care to large populations, such as in Peru. The opportune identification of people at risk of depression reduces treatment costs and disease burden [8-10]. Depressive symptom screening is also helpful in national surveys and epidemiological research [11] since, unlike diagnostic instruments, screening measures are typically brief, quick, and easy to administer [12, 13]. Internationally, the most used screening instruments for depressive and anxious symptomatology are the PHQ-9 [14], PHQ-8 [15], PHQ-2 [16], GAD-7 [17], GAD-2 [17], DASS-21, Kessler-10, HADS-A [18], HADS, WHO-5 [9]. Most have been validated in several countries, but only the PHQ and GAD have been validated in the Peruvian context [19, 20].

In particular, the PHQ versions (PHQ-9, PHQ-8, PHQ-2) and GAD versions (GAD-7, GAD-2) are the most widely used, having extensive evidence of their validity and reliability [21-23]. However, correctly identifying people at risk of depression or anxiety requires more than internal/externally valid and reliable screening measures; defining an accurate cut-off point for their raw scales (i.e., to reach valid interpretations) is also necessary. Such a cut-off point can vary across cultures and sub-populations (e.g., general versus clinical), so a local calibration is usually needed [24]. Studies of the different versions of the PHQ and GAD have yielded heterogeneous cut-offs, as they vary between different cultures [20, 25-28] and populations, such as clinical and general populations [29-31]. However, several systematic reviews suggest that cut-off 10 is most appropriate for the PHQ-9, PHQ-8, and GAD-7 [32-36], and cut-offs 2-3 for the PHQ-2 and GAD-2 [34, 36]. Furthermore, concerning the PHQ-9 correctness, the summed item score method is the most used compared to the algorithm. However, other forms of correction using diagnostic algorithms are available [37, 38].

Sensitivity and specificity studies have been barely performed in low- and middle-income countries [39]. Several of these populations do not count with verified cut-off points from calibration studies (including Peruvian populations). determine the optimal cut-off point for the PHQ-9, PHQ-8, PHQ-2, GAD-7, and GAD-2 to discriminate a formal depression and anxiety diagnosis in the Peruvian hospital population. In addition, as secondary objectives, we assessed these scales' internal structure and reliability.

Methods

Study design

This study has a cross-sectional design, and we used the STARD 2015 guidelines for reporting diagnostic accuracy studies [40].

Participants

The participants were patients from the Liaison Psychiatry Unit of a hospital in Lima, Peru. Psychiatric liaison services provide psychiatric consultation to hospitalised patients with medical or surgical conditions that have a co-existing psychiatric illness or need for psychiatric assessment and management. The total number of participants in our study is similar to the proportion of people who were hospitalised in 2022 in our setting (see Supplementary Appendix 1). The evaluation period started in September 2020 and finished in August 2022. Sampling was non-probabilistic and applied to all participants arriving at the Liaison Psychiatry Unit. The inclusion criteria were that they had complete PHQ-9 and GAD-7 data and were of legal age (>18 years). Participants with missing data were excluded.

The sample size calculation for the PHQ versions was based on an estimated sensitivity of 0.88 and specificity of 0.85 [32], a confidence level of 95%, a prevalence of 6.4% [41, 42], and a drop-out rate of 10%, giving an estimate of 705 participants. The sample size calculation for the GAD versions was based on an estimated sensitivity of 0.83 and specificity of 0.84 [17], a confidence level of 95%, a prevalence of 8.7% [43], and a drop-out rate of 10%, giving an estimate of 694 participants. The web program based on the paper by Burderer (1996) was used to calculate the sample size. [44].

Setting

The Guillermo Almenara Irigoyen National Hospital (HNGAI) was the study site, a highly complex hospital in Lima-Peru (capital city). HNGAI is one of the three largest hospitals of the Social Security system in Peru based on the number of beds (960 hospital beds) and is also a tertiary referral centre for all medical specialities, including psychiatry (<http://www.essalud.gob.pe/estadistica-institucional/>). It provides health care services to 1,547,840 individuals from social insurance. Because it attends to virtually all pathologies, from the simplest to the most complex, it was classified in 2015 as a Specialized Health Institute III-2, the highest level awarded by the Ministry of Health of Peru to hospital establishments.

The Liaison Psychiatry Unit at HNGAI is responsible for responding to consultation requests from different clinical-surgical services at HNGAI [45]. As part of the evaluation of each patient, in addition to the clinical interview and psychiatric diagnosis, standardised assessments such as the PHQ-9 and GAD-7 are used to ensure adequate monitoring and assess response to the established treatment. Since September 2020, the services provided by the Liaison Unit have been recorded in a Google Form to track better the patients treated.

Instruments and variables

Patient Health Questionnaire (PHQ-9, PHQ-8, and PHQ-2)

The Patient Health Questionnaire is an instrument designed to measure depressive symptoms over the past two weeks, according to the diagnostic criteria of the DSM-IV, criteria that were retained in the DSM-5. The scale has four response options (0=no days, 1=some days, 2=more than half of the days, 3=almost every day) [14]. The scale had many versions, including the PHQ-9, the full version with nine items and scores ranging from 0 to 27. In Peru, the PHQ-9 had good psychometric properties in terms of structural validity (CFI = 0.936; RMSEA = 0.089; SRMR = 0.039), internal consistency ($\alpha = \omega = 0.87$) and invariance between age and sex ($\Delta\text{CFI} < 0.01$) [19].

In addition, PHQ-9 had scoring versions related to the DSMV-5 indicators, which state that for a case to be positive, there must be at least five depressive symptoms present, and at least one of them must be core depressive symptoms (item 1 and item 2). First, the PHQ-9 algorithm suggests that a symptom is positive if it scores two or more, except the ninth item, suicidal ideation, which is positive if it scores one or more [46]. Second, the PHQ-9 adjusted algorithm proposes that a symptom was positive if it scored 1 or more for any of the items in the instrument [47].

The PHQ-8 was a shortened version of the PHQ-9 without the last item on suicidal ideation [15]. The PHQ-8 was as valuable as the PHQ-9 in detecting cases of major depression [48]. The PHQ-2 is an abbreviated version of the PHQ-9 with only two items, focusing on the first two items related to the core symptoms of depression (anhedonia and depressed mood) and providing scores between 0 and 6. The PHQ-2 was validated in Peru and showed adequate levels of internal consistency ($\alpha = .80$) [49].

General Anxiety Disorder Scale (GAD-7 and GAD-2)

The General Anxiety Disorder Scale was a Likert-type rating scale with four response options ranging from 0 (not at all) to 3 (almost every day), based on DSM-IV criteria and assesses anxious symptoms during the past two weeks [50]. The GAD-7 was the version of the instrument with the original seven items and had a range of scores from 0 to 21. The GAD-7 had good psychometric properties in the Peruvian context for a one-dimensional model (CFI = .995, TLI = .992, RMSEA = .056), adequate internal consistency ($\omega = .89$), and invariance according to sex ($\Delta\text{CFI} \leq .01$) [51].

The GAD-2 was adapted from the GAD-7, focusing on the emotional and cognitive expressions of DSM-IV anxiety (items 1 and 2) [52]. The GAD-2 shows good internal consistency values ($\omega = .80$) and a relationship with its extended version ($r > 0.80$) in Peruvian context [51].

Gold standard

The gold standard was an individual clinical psychiatric interview following ICD-10 criteria. The clinical assessments were performed by psychiatrists who are members of the Liaison Psychiatry Unit, all of whom have at least five years of clinical experience evaluating the psychiatric needs of

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4 hospitalised patients. The interview focused on assessing whether the participants had
5 depressive disorder (F32.0, F32.1, F32.2, and F32.3) or anxiety disorder (F41.0 and F41.1).
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8 Sociodemographic covariates 9

10 Data was collected on sex (male, female), age, marital status (Single, Married/Cohabitant, Separated,
11 Widowed), educational level (None, Elementary, High School, Technical, College), currently works (No,
12 yes, retired), living alone (Yes, No), and history of psychiatric diagnosis (yes/no).
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15 Statistical analysis 16

17 The sociodemographic covariates of the participants were described at frequency and percentage
18 levels. The internal consistency and internal structure analyses were performed with R Studio, with the
19 “Lavaan”, “Semtools”, and “Semplot” packages (see supplementary material 2). Sensitivity, specificity,
20 and correlation analyses were analysed with Stata 15 (see supplementary material 3).
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23 Sensibility and Specificity 24

25 The PHQ-9, PHQ-8, PHQ-9 algorithm, PHQ-9 adjusted algorithm, and PHQ-2 were evaluated as
26 diagnostic tests and compared against the gold standard. In addition, the GAD-7 and GAD-2 were
27 scored and compared against the diagnosis of anxiety through the clinical interview (gold standard).
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30 We used receiver operating characteristics (ROC) curves to determine which cut-off had the best
31 sensitivity and specificity for each scale. Also, we calculated the area under the curve (AUC), positive
32 predictive value (PPV), negative predictive value (NPV), positive likelihood ratio (+LR), negative
33 likelihood ratio (-LR), and Youden Index. The AUC represents the predictive ability of the instrument;
34 the higher the AUC values, the greater the predictive ability of the scale. A scale with an AUC of 1 has
35 a predictive capacity of 100%, and an AUC of 0.5 is very low. The AUC for different cut-off points was
36 compared using the nonparametric analysis described by Hanley & McNeil [53]. PPV and NPV refer to
37 the proportion of patients correctly diagnosed as positive or negative, respectively [54]. The LR+ is the
38 probability that a person with the disease will test positive given the probability that a person without
39 the disease will test positive [55]. While the LR- is the probability that a person with the disease will
40 test negative given the probability that a person without the disease will test negative [55]. The Youden
41 Index is a measure that summarizes the performance of a diagnostic test by interpreting it as the
42 probability that the selected cut-off point provides an adequate clinical decision (in terms of sensitivity
43 and specificity), as opposed to the probability that the selected cut-off provides a random decision
44 [54]. The maximum value of the Youden Index was used as a criterion to select the cut-off with the
45 best diagnostic performance for each scale. Values closer to 1 were considered optimal, and those
46 closer to 0 were considered inadequate.
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54 Internal structure 55

56 Confirmatory factor analysis (CFA) was performed considering a one-dimensional model for the PHQ-
57 9, PHQ-8, and GAD-7. We used the weighted least square mean and variance adjusted (WLSMV)
58 estimator [56] and polychoric matrices as it best fits the categorical-ordinal nature of the data [57].
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4 Models were evaluated using a set of goodness-of-fit indices such as CFI and TLI, which must be greater
5 than 0.95 to be considered adequate [58]. In addition, the SRMR and RMSEA at 90% confidence were
6 estimated, which must have values less than 0.08 to be considered adequate [58]. It was impossible to
7 perform a CFA for the PHQ-2 and the GAD-2 because a minimum of three items are required for such
8 analysis.
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11 Internal consistency

12 We calculated the alpha (α) and McDonald's omega coefficients (ω). Values greater than 0.70 are
13 considered adequate [59].
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17 Ethics

18 The Hospital Nacional Guillermo Almenara Irigoyen's Institutional Review Board (Nota N°52 CIEI-OIyD-
19 GRPA-Essalud-2023) approved the protocol of our study. Throughout the study, the researchers had
20 no access to identifying information about the participants. In addition, participants gave informed
21 consent. All participants were users of the hospital's Liaison Psychiatry Unit and received psychological
22 or psychiatric care as needed.
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26 Results

27 Participants

28 We collected data from 4979 attendances performed within the liaison psychiatry service during the
29 study period. However, some of these attendances were not assessed with PHQ-9 or GAD-7 data or
30 lacked sociodemographic information and were eliminated (see supplementary material 4). Thus, our
31 study only included 1347 participants (see Table 1). Most participants were female (59.4%; n=800),
32 married or living with a partner (57.0%; n=768), and had higher technical or university education
33 (53.5%; n=721).
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41 Sensibility and Specificity

42 In supplementary material 5, we provide the values of all cut-off points for the different versions of
43 the PHQ. The cut-off points ≥ 7 in the PHQ-9 had the best balance between sensitivity and specificity
44 of all the cut-off points evaluated in the various versions of the PHQ, as it obtained a Youden Index of
45 48.1, the sensitivity of 76.0 (95%CI: 71.1 - 80.5) and specificity of 72.1 (95%CI: 69.2 - 74.8) (see Table
46 2). In addition, the PHQ-9 with a cut-off of ≥ 10 points (i.e., the most used) showed lower levels of
47 sensitivity (54.2; 95%CI: 48.7 - 59.6), but higher level of specificity (87.4; 95%CI: 85.2 - 89.3), compared
48 to the cut-off point of ≥ 7 .
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54 The algorithm score method for PHQ-9 had low levels of sensitivity (34.7; 95%CI: 29.6 - 40.1) but high
55 levels of specificity (93.4; 95%CI: 91.7 - 94.8) compared to the raw score method for PHQ-9 with ≥ 7
56 cohort points. In contrast, the adjusted algorithm method for PHQ-9 showed slightly higher sensitivity
57 values (78.1; 95%CI: 73.3 - 82.5) and better specificity values (66.4; 95%CI: 63.4 - 69.3) compared to
58 the raw score method for PHQ-9 with ≥ 7 cohort points. The raw score for PHQ-9 with cohort point ≥ 7
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4 showed a better balance between sensitivity and specificity (higher Youden index) compared to the
5 algorithm method or the algorithm adjusted for PHQ-9.
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8 The best cut-off point found in the PHQ-8 was ≥ 7 points, as it had a Youden Index of 46.0, a sensitivity
9 of 79.9 (95%CI: 75.2 - 84.1), and a specificity of 66.0 (95%CI: 63.0 - 69.0) (see Table 2). The best cut-off
10 point found in the PHQ-2 was ≥ 2 points, as it had a Youden Index of 40.6, a sensitivity of 84.7 (95%CI:
11 80.4 - 88.4), and a specificity of 55.9 (95%CI: 52.8 - 59.0) (see Table 2).
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14 compares the AUC of the different versions of the PHQ. PHQ-9 (AUC=0.805; 95%CI: 0.779-0.831) and
15 PHQ-8 (AUC=0.802; 95%CI: 0.776-0.828) had the highest AUC values. The PHQ-9 algorithms
16 (AUC=0.641; 95%CI: 0.614-0.667) and PHQ-9 adjusted algorithms (AUC=0.723; 95%CI: 0.696-0.749)
17 performed worse in AUC values compared to the PHQ-9, PHQ-8 and PHQ-2.
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21 In supplementary material 6, we present the values of all cut-off points for the different versions of
22 the GAD. The cut-off point ≥ 8 had the best performance for GAD-7 among all the cut-off points
23 evaluated, with sensitivity values of 53.6 (95%CI: 33.9 - 72.5), specificity of 78.8 (95%CI: 76.5 - 81.0),
24 and Youden Index 32.4 (see Table 2). The GAD-7's cut-off point ≥ 10 (i.e., the most used) had lower
25 levels of sensitivity (39.3; 95%CI: 21.5 - 59.4), but higher levels of specificity (88.4; 95%CI: 86.5 - 90.1,
26 compared to the cut-off point of ≥ 8 .
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30 The best cut-off point for the GAD-2 was ≥ 2 , as it had a Youden Index of 32.2, a sensitivity of 84.7
31 (95%CI: 80.4 - 88.4), and a specificity of 50.1 (95%CI: 47.4 - 52.8) (see Table 2). The value of the area
32 under the curve of GAD-7 (AUC=0.718; 95%CI: 0.622-0.814) was higher than that of GAD-2 (AUC=0.685;
33 95%CI: 0.587-0.7823) (see Figure 2).
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37 Internal structure

38 The PHQ-9 one-dimensional model showed adequate goodness-of-fit ($X^2=251.9$; $df=27$; $CFI=0.974$;
39 $TLI=0.965$; $SRMR=0.051$; $RMSEA[90\%CI]=0.079[0.070-0.088]$), while the PHQ-8 one-dimensional
40 model reported a similar goodness-of-fit ($X^2=202.7$; $df=20$; $CFI=0.977$; $TLI=0.977$; $SRMR=0.050$;
41 $RMSEA[90\%CI]=0.082[0.072-0.093]$). The GAD-7 also showed adequate goodness-of-fit ($X^2=122.3$;
42 $df=14$; $CFI=0.977$; $TLI=0.966$; $SRMR=0.043$; $RMSEA[90\%CI]=0.076[0.064-0.088]$).
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47 Reliability

48 The PHQ-9 ($\alpha=0.89$; $\omega=0.86$), the PHQ-8 ($\alpha=0.88$; $\omega=0.85$), and the GAD-7 ($\alpha=0.85$; $\omega=0.81$) showed
49 optimal internal consistency values. Similarly, the PHQ-2 ($\alpha=0.83$; $\omega=0.80$) and the GAD-2 ($\alpha=0.74$;
50 $\omega=0.70$) also showed adequate internal consistency scores. Table 3 shows the raw scores.
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Discussion

Main findings

We determined the target population's optimal cut-off points for PHQ and GAD scales. The PHQ-9's ≥ 7 cut-off point showed the highest sensitivity and specificity when contrasted against a psychiatric diagnosis of depression (gold standard). For a similar contrast, the other optimal cut-off points were: ≥ 7 for the PHQ-8, ≥ 8 for the GAD-7 (against the anxiety gold standard), and ≥ 2 for the PHQ-2 and GAD-2. The scales with the best sensitivity and specificity balance were the PHQ-9 (cut-off ≥ 7) and the GAD-7 (cut-off ≥ 8) for detecting cases of depression and anxiety, respectively. In addition, the algorithm scoring or algorithm-adjusted scoring methods for the PHQ-9 had a lower balance between sensitivity and specificity scores than the PHQ-9 raw score scoring method with a cut-off ≥ 7 . We confirmed the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7, while all scales showed good internal consistency.

Contrast to literature

At the PHQ-9 level, evidence suggests that the raw score approach is more valuable than diagnostic algorithms [32], which is consistent with our findings. For the cut-off, different systematic reviews agree that the most commonly used cut-off is ≥ 10 [32, 60]. However, a meta-analysis found that cut-offs between 8 and 11 showed little difference in sensitivity and specificity [61]. The optimal cut-off reported in our study was slightly lower than that suggested by this meta-analysis, and two possible factors could explain this difference. Firstly, our population is inpatients in different areas of a high-complexity hospital. Other studies of hospitalised cancer patients [62], hospitalised neurology patients [63], and patients with coronary heart disease [64] also found an optimal cut-off between 5 and 7 points. Therefore, hospitalised individuals may be more likely to have depressive symptoms, which may require a lower cut-off on the PHQ-9. Second, several studies in populations from low- and middle-income countries have reported cut-offs between 5 and 7, for example, Pakistani migrants in the UK [65], Indian adolescents [66], and primary care in Ethiopia [67]. Therefore, factors such as social determinants of health present in such countries may influence cut-off.

Concerning the PHQ-8 and PHQ-9, we found that both scales have similar cut-off points (≥ 7). Our findings are consistent with a meta-analysis that found that the cut-offs between the two scales are identical; although sensitivity may be minimally reduced with the PHQ-8, specificity is similar between the two scales [35]. The PHQ-8 does not include the item corresponding to suicidal or self-harming ideation, and the use of this version of the PHQ is common in the general population, as suicidal ideation is less common in this group [15]. However, at the level of clinical populations, it has been found that omitting this item does not significantly alter the measurement capabilities of the PHQ, as the correlation between the PHQ-8 and PHQ-9 in clinical populations is very close to one [68].

Regarding the GAD-7, our findings are consistent with a meta-analysis that evaluated all possible cut-off points and reported that ≥ 8 is the most appropriate for anxiety disorder [17]. It also notes that

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4 scores between 7 and 10 points have similar sensitivity and specificity values [17]. Other recent primary
5 studies conducted in hospitalised populations or people with chronic diseases in hospital settings also
6 found optimal cut-offs between 7 and 10 points [69-71].
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10 Our results on PHQ-2 and GAD-2 are in line with meta-analyses supporting the use of the cut-off of 2
11 for PHQ-2 [34, 72]; however, most systematic reviews support the cut-off ≥ 3 for both instruments [17,
12 36, 73]. Some of the reasons for this heterogeneity may be due to the variety of populations included
13 in the meta-analyses. The meta-analyses mentioned included studies in general populations (i.e.,
14 people attending primary care) and people hospitalised for non-communicable or infectious diseases.
15 However, no meta-analyses were found that evaluated cohort points for hospitalised people only. At
16 the level of primary studies, the evidence suggests that cohort scores vary between 2 and 3 points for
17 the PHQ-2 and GAD-2 [74, 75].
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21 Regarding internal validity, a systematic review examined the factor structure of the PHQ-9, noting
22 that the one-dimensional model has been repeatedly confirmed across studies [76]. Although several
23 studies evaluated alternative multidimensional models (e.g., two-dimensional, three-dimensional, or
24 bifactorial models), their dimensions are often highly correlated with each other, so there may be
25 overlapping [76]. We did not find systematic reviews on the internal structure of the GAD-7 and the
26 PHQ-8. However, several studies support the one-dimensional model in hospitalised patients for both
27 the PHQ-8 [77] and GAD-7 [20, 26]. In Peru, the GAD-7 and PHQ-9 have shown evidence of a one-
28 dimensional factor structure in different populations, such as the general population [19], pregnant
29 women [20], and university students [51, 78]. However, no studies have been found evaluating the
30 factor structure of the PHQ-8 in the Peruvian population.
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34 35 36 Public health implications

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38 The evaluated instruments are widely used in clinical practice and research to measure symptoms of
39 depression and anxiety, but from today, users will have optimal cut-off points for interpretations. This
40 can help healthcare professionals identify people at risk of depression and anxiety more accurately
41 while informing decisions about their formal diagnosis and consequent treatment. This is especially
42 valuable in hospital environments, where time is crucial.
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46 Our findings are of particular interest to the Peruvian health system, which has clinical practice
47 guidelines for depression that recommend the PHQ-9 as a screening tool in primary care and hospital
48 context [79]. Although our results correspond only to a hospital population, our study is the closest
49 approximation to an evaluation of sensitivity and specificity in the Peruvian context, in the absence of
50 similar studies in primary care. On the other hand, there is a lack of national clinical practice guidelines
51 for screening and managing anxiety in Peru. Therefore, our study could contribute to future clinical
52 practice guidelines for generalised anxiety disorder.
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56 Our study recommends cut-offs for each version of the PHQ and DAG. However, health professionals
57 and decision-makers should use the cut-offs that they consider most relevant for their purposes. There
58 are situations where it is more important to be sure that the user does not have a mental health
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4 problem. Therefore, an instrument with high specificity scores would be a good alternative. For
5 example, the use of PHQ-9 with a cut-off of ≥ 10 .
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8 Strengths and limitations 9

10 Our results of the study have several strengths. First, to our knowledge, this is the first study in a
11 Peruvian context that evaluates the factorial structure of all PHQ and GAD versions in a hospitalised
12 population. Second, the scales were administered by a team of healthcare professionals with more
13 than five years of experience in the clinical assessment of these patients. Third, the sample size was
14 large enough to support all analyses and conclusions. Further, our sample size was larger than other
15 recently published studies' [60]. Fourth, our study is the first Peruvian study to evaluate the sensitivity
16 and specificity of the PHQ.
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20 Our study has limitations. First, we conducted the study only in a hospital context in a Peruvian city,
21 which limits its applicability to other settings in Peru or other countries. However, it could be used in
22 other Peruvian hospital contexts with similar characteristics, which is relevant because hospital care in
23 Peru (levels II and III of complexity) represents 58.65% of total care [80]. Secondly, the generalisability
24 of our results may be limited because the sampling is not probabilistic, as it does not include other
25 hospitals. However, the hospital where we conducted the study serves 1.1% of all nationally insured
26 EsSalud patients (<http://www.essalud.gob.pe/estadistica-institucional/>). It is also a national referral
27 hospital, which means that people from all over the country are referred to this hospital for treatment.
28 Therefore, the representativeness of the results is ensured.
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34 Conclusions 35

36 The PHQ-9's ≥ 7 cut-off point showed the highest simultaneous sensitivity and specificity when
37 contrasted against a psychiatric diagnosis of depression. For a similar contrast against the gold
38 standard, the other optimal cut-off points were: ≥ 7 for the PHQ-8, ≥ 8 for the GAD-7, and ≥ 2 for the
39 PHQ-2 and GAD-2. We confirmed the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and
40 GAD-7, while all PHQ and GAD scales showed good reliability.
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Tables, Figures, and Supplementary material

Table 1. Sociodemographic characteristics (n=1347).

Table 2. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.

Table 3. Raw scores and internal consistency (n=1347).

Figure 1. Receiver operator characteristics curve for Patient Health Questionnaire compared to the gold standard.

Figure 2. Receiver operator characteristics curve for Generalized Anxiety Disorder Scale compared to the gold standard.

Supplementary material 1. Sex and age of the participants in our study and of the total number of people hospitalised in 2022.

Supplementary material 2. Script of R used in our study.

Supplementary material 3. Do-file of STATA used in our study.

Supplementary material 4. Flowchart.

Supplementary material 5. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.

Supplementary material 6. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different GAD cut-off points compared to the gold standard.

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Table 1. Sociodemographic characteristics (n=1347).

	n	%
Sex		
Men	547	40.6%
Women	800	59.4%
Age (categories)		
18-29	107	7.9%
30-39	164	12.2%
40-49	214	15.9%
50-59	284	21.1%
60-69	294	21.8%
70-79	203	15.1%
80 to more	81	6.0%
Civil status		
Single	329	24.4%
Married or Cohabitant	768	57.0%
Separated	133	9.9%
Widowed	117	8.7%
Education level		
None	13	1.0%
Elementary school	135	10.0%
High school	478	35.5%
Technical	246	18.2%
University	475	35.3%
Currently works		
No	330	24.5%
Yes	778	57.8%
Retired	239	17.7%
Living alone		
Yes	99	7.3%
No	1248	92.7%
History of psychiatric diagnosis		
Yes	388	28.8%
No	959	71.2%
Diagnosis of depression		
No	1013	75.2%
Yes	334	24.8%
Diagnosis of anxiety		
No	1319	97.9%
Yes	28	2.1%

Note: n=number. %=Percentage.

Table 2. Sensitivity, specificity, +LR, –LR, PPV, NPV, and Youden’s index for different PHQ and GAD cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
PHQ-9	≥7	537 (39.9)	76.0 (71.1 - 80.5)	72.1 (69.2 - 74.8)	2.72 (2.42 - 3.06)	0.33 (0.27 - 0.40)	47.3 (43.0 - 51.6)	90.1 (87.9 - 92.1)	48.1
PHQ-9	≥10	309 (22.9)	54.2 (48.7 - 59.6)	87.4 (85.2 - 89.3)	4.29 (3.55 - 5.18)	0.52 (0.47 - 0.59)	58.6 (53.9 - 63.1)	85.3 (83.7 - 86.7)	41.6
PHQ-9 algorithm	-	183 (13.6)	34.7 (29.6 - 40.1)	93.4 (91.7 - 94.8)	5.25 (3.99 - 6.91)	0.70 (0.65 - 0.76)	63.4 (56.0 - 70.4)	81.3 (78.9 - 83.5)	28.1
PHQ-9 adjusted algorithm	-	601 (44.6)	78.1 (73.3 - 82.5)	66.4 (63.4 - 69.3)	2.33 (2.10 - 2.58)	0.33 (0.27 - 0.41)	43.4 (39.4 - 47.5)	90.2 (87.9 - 92.3)	44.5
PHQ-8	≥7	521 (38.7)	74.3 (69.2 - 78.9)	73.1 (70.2 - 75.8)	2.76 (2.44 - 3.10)	0.35 (0.29 - 0.42)	47.6 (43.2 - 52.0)	89.6 (87.3 - 91.6)	47.4
PHQ-2	≥2	730 (54.2)	84.7 (80.4 - 88.4)	55.9 (52.8 - 59.0)	1.92 (1.77 - 2.09)	0.27 (0.21 - 0.35)	38.8 (35.2 - 42.4)	91.7 (89.3 - 93.8)	40.6
GAD-7	≥8	295 (21.9)	53.6 (33.9 - 72.5)	78.8 (76.5 - 81.0)	2.52 (1.76 - 3.62)	0.59 (0.40 - 0.88)	5.1 (2.9 - 8.3)	98.8 (97.9 - 99.3)	32.4
GAD-7	≥10	164 (12.2)	39.3 (21.5 - 59.4)	88.4 (86.5 - 90.1)	3.39 (2.09 - 5.50)	0.69 (0.51 - 0.93)	6.7 (3.4 - 11.7)	98.6 (97.7 - 99.2)	27.7
GAD-2	≥2	681 (50.6)	82.1 (63.1 - 93.9)	50.1 (47.4 - 52.8)	1.65 (1.37 - 1.97)	0.36 (0.16 - 0.79)	3.4 (2.2 - 5.0)	99.2 (98.3 - 99.8)	32.2

Note: +LR = Positive likelihood ratio. –LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden’s Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. GAD =Generalized Anxiety Disorder Scale. PHQ = Patient Health Questionnaire. Bold values represent the cohort point with the highest Youden Index. All cut-off points for the PHQ and GAD versions can be found in supplementary materials 3 and 4, respectively.

Table 3. Raw scores and internal consistency (n=1347).

	M	SD	Min	Max	α	ω
(1) PHQ-9 score	6.4	5.0	0	27	0.89	0.86
(2) PHQ-8 score	6.1	4.7	0	24	0.88	0.85
(3) PHQ-2 score	1.9	1.6	0	6	0.83	0.8
(4) GAD-7 score	5.1	3.9	0	21	0.85	0.81
(5) GAD-2 score	1.7	1.4	0	6	0.74	0.7

Note: All correlation coefficients were significant ($p < 0.001$). α = Classical alpha. ω = McDonald's omega

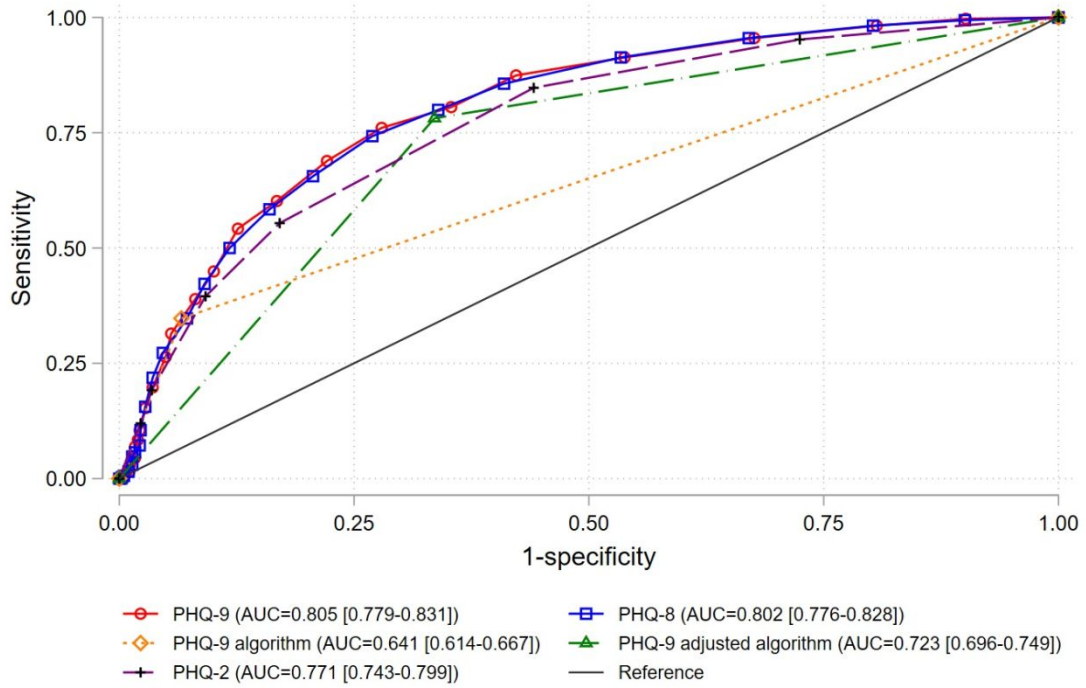


Figure 1. Receiver operator characteristics curve for Patient Health Questionnaire compared to the gold standard.

Note: Standard Error = 0.01.

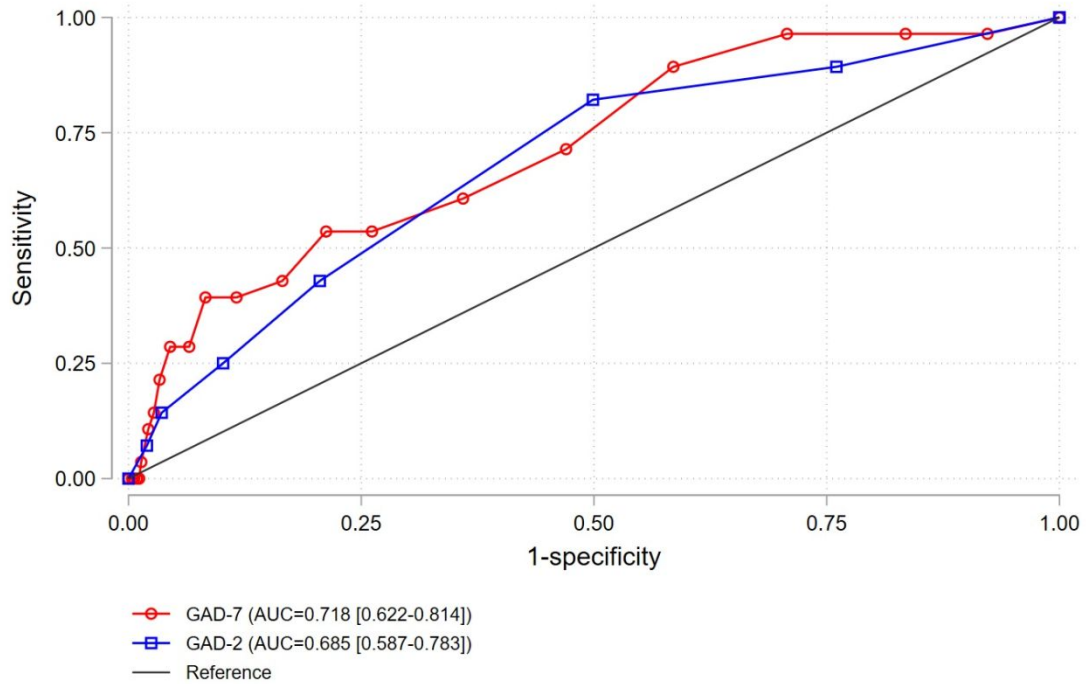


Figure 2. Receiver operator characteristics curve for Generalized Anxiety Disorder Scale compared to the gold standard.

Note: Standard Error = 0.05.

Supplementary material 1. Sex and age of the participants in our study and of the total number of people hospitalised in 2022.

	Our study		Total inpatients in 2022		p
	n	%			
Sex					
Men	547	40.60%	9677	43.2%	0.971
Women	800	59.40%	12732	56.8%	
Age (categories)					
18-29	107	7.90%	1962	8.8%	1.000
30-39	164	12.20%	3486	15.6%	
40-49	214	15.90%	3145	14.0%	
50-59	284	21.10%	3497	15.6%	
60-69	294	21.80%	4276	19.1%	
70-79	203	15.10%	3806	17.0%	
80 to more	81	6.00%	2227	9.9%	

Note: p = chi-square test.

Supplementary material 2. Script of R used in our study.

```
1
2
3
4
5 library(lavaan)
6 library(semPlot)
7 library(semTools)
8 library(psych)
9 library(haven)
10 Database <- read_dta("E:/Database_v1.dta")
11
12 #PHQ-9
13 model.PHQ9 <- "
14 F1 =~ PHQ_1 + PHQ_2 + PHQ_3 + PHQ_4 + PHQ_5 + PHQ_6 + PHQ_7 + PHQ_8 + PHQ_9"
15
16 fit.model.PHQ9 <- cfa(model.PHQ9, data=Database, estimator="WLSMV", missing = "listwise",
17 ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7", "PHQ_8", "PHQ_9"))
18
19 summary(fit.model.PHQ9, fit.measures=TRUE, standardized=TRUE)
20
21 reliability(fit.model.PHQ9)
22
23 #PHQ-8
24 model.PHQ8 <- "
25 F1 =~ PHQ_1 + PHQ_2 + PHQ_3 + PHQ_4 + PHQ_5 + PHQ_6 + PHQ_7 + PHQ_8"
26
27 fit.model.PHQ8 <- cfa(model.PHQ8, data=Database, estimator="WLSMV", missing = "listwise",
28 ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7", "PHQ_8", "PHQ_9"))
29
30 summary(fit.model.PHQ8, fit.measures=TRUE, standardized=TRUE)
31
32 reliability(fit.model.PHQ8)
33
34 #PHQ-2
35 model.PHQ2 <- "
36 F1 =~ PHQ_1 + PHQ_2"
37
38 fit.model.PHQ2 <- cfa(model.PHQ2, data=Database, estimator="WLSMV", missing = "listwise",
39 ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7", "PHQ_8", "PHQ_9"))
40
41 summary(fit.model.PHQ2, fit.measures=TRUE, standardized=TRUE)
42
43 reliability(fit.model.PHQ2)
44
45 #GAD-7
46 model.GAD7 <- "
47 F1 =~ GAD1 + GAD2 + GAD3 + GAD4 + GAD5 + GAD6 + GAD7"
48
49 fit.model.GAD7 <- cfa(model.GAD7, data=Database, estimator="WLSMV", missing = "listwise",
50 ordered = c("GAD1", "GAD2", "GAD3", "GAD4", "GAD5", "GAD6", "GAD7"))
51
52 summary(fit.model.GAD7, fit.measures=TRUE, standardized=TRUE)
53
54 reliability(fit.model.GAD7)
55
56 #GAD-2
57 model.GAD2 <- "
58 F1 =~ GAD1 + GAD2"
59
60 fit.model.GAD2 <- cfa(model.GAD2, data=Database, estimator="WLSMV", missing = "listwise",
61 ordered = c("GAD1", "GAD2", "GAD3", "GAD4", "GAD5", "GAD6", "GAD7"))
62
63 summary(fit.model.GAD2, fit.measures=TRUE, standardized=TRUE)
64
65 reliability(fit.model.GAD2)
```

Supplementary material 3. Do-file of STATA used in our study.

```
use "E:\Database_v1.dta", clear
```

*Table 1 - Socio-demographic analysis

```
global catvars_table1 sex agecat civilstatus educationcat work Livingalone  
Historypsychiatricdx depression anxiety
```

```
tabout $catvars_table1 depression using Table1.xlsx, ///  
    replace c(freq col) clab(No. %) f(0c 1p) style(xlsx) font(bold) ///  
    ptotal(none) stats(chi2) stpos(col) ppos(only) plab(P value) ///  
    title(Table 1. Sociodemographic characteristics) ///  
    fn(Note: n=number, %=Percentage.) twidth(14) sheet(Table1)
```

* Table 2. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.

```
tab cutoffPHQ9_7  
diagt depression cutoffPHQ9_7  
tab cutoffPHQ9_10  
tab PHQ9algorithm  
diagt depression PHQ9algorithm  
tab PHQ9ajus_algorithm  
diagt depression PHQ9ajus_algorithm  
diagt depression cutoffPHQ9_10  
tab cutoffPHQ8_7  
diagt depression cutoffPHQ8_7  
tab cutoffPHQ2_2  
diagt depression cutoffPHQ2_2  
tab cutoffGAD7_8  
diagt anxiety cutoffGAD7_8  
tab cutoffGAD7_10  
diagt anxiety cutoffGAD7_10  
tab cutoffGAD2_2  
diagt anxiety cutoffGAD2_2
```

* Supplementary material 3. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.

* PHQ-9 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index

```
tab cutoffPHQ9_1  
diagt depression cutoffPHQ9_1  
tab cutoffPHQ9_2  
diagt depression cutoffPHQ9_2  
tab cutoffPHQ9_3  
diagt depression cutoffPHQ9_3  
tab cutoffPHQ9_4  
diagt depression cutoffPHQ9_4  
tab cutoffPHQ9_5  
diagt depression cutoffPHQ9_5  
tab cutoffPHQ9_6  
diagt depression cutoffPHQ9_6  
tab cutoffPHQ9_7  
diagt depression cutoffPHQ9_7  
tab cutoffPHQ9_8  
diagt depression cutoffPHQ9_8  
tab cutoffPHQ9_9  
diagt depression cutoffPHQ9_9  
tab cutoffPHQ9_10  
diagt depression cutoffPHQ9_10  
tab cutoffPHQ9_11  
diagt depression cutoffPHQ9_11
```

1
2
3
4 tab cutoffPHQ9_12
5 diagt depression cutoffPHQ9_12
6 tab cutoffPHQ9_13
7 diagt depression cutoffPHQ9_13
8 tab cutoffPHQ9_14
9 diagt depression cutoffPHQ9_14
10 tab cutoffPHQ9_15
11 diagt depression cutoffPHQ9_15
12 tab cutoffPHQ9_16
13 diagt depression cutoffPHQ9_16
14 tab cutoffPHQ9_17
15 diagt depression cutoffPHQ9_17
16 tab cutoffPHQ9_18
17 diagt depression cutoffPHQ9_18
18 tab cutoffPHQ9_19
19 diagt depression cutoffPHQ9_19
20 tab cutoffPHQ9_20
21 diagt depression cutoffPHQ9_20
22 tab cutoffPHQ9_21
23 diagt depression cutoffPHQ9_21
24 tab cutoffPHQ9_22
25 diagt depression cutoffPHQ9_22
26 tab cutoffPHQ9_23
27 diagt depression cutoffPHQ9_23
28 tab cutoffPHQ9_24
29 diagt depression cutoffPHQ9_24
30 tab cutoffPHQ9_25
31 diagt depression cutoffPHQ9_25
32 tab cutoffPHQ9_26
33 diagt depression cutoffPHQ9_26
34 tab cutoffPHQ9_27
35 diagt depression cutoffPHQ9_27

***PHQ-8 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

34 tab cutoffPHQ8_1
35 diagt depression cutoffPHQ8_1
36 tab cutoffPHQ8_2
37 diagt depression cutoffPHQ8_2
38 tab cutoffPHQ8_3
39 diagt depression cutoffPHQ8_3
40 tab cutoffPHQ8_4
41 diagt depression cutoffPHQ8_4
42 tab cutoffPHQ8_5
43 diagt depression cutoffPHQ8_5
44 tab cutoffPHQ8_6
45 diagt depression cutoffPHQ8_6
46 tab cutoffPHQ8_7
47 diagt depression cutoffPHQ8_7
48 tab cutoffPHQ8_8
49 diagt depression cutoffPHQ8_8
50 tab cutoffPHQ8_9
51 diagt depression cutoffPHQ8_9
52 tab cutoffPHQ8_10
53 diagt depression cutoffPHQ8_10
54 tab cutoffPHQ8_11
55 diagt depression cutoffPHQ8_11
56 tab cutoffPHQ8_12
57 diagt depression cutoffPHQ8_12
58 tab cutoffPHQ8_13
59 diagt depression cutoffPHQ8_13
60 tab cutoffPHQ8_14
diagt depression cutoffPHQ8_14
tab cutoffPHQ8_15

```
1
2
3
4   diagt depression cutoffPHQ8_15
5   tab cutoffPHQ8_16
6   diagt depression cutoffPHQ8_16
7   tab cutoffPHQ8_17
8   diagt depression cutoffPHQ8_17
9   tab cutoffPHQ8_18
10  diagt depression cutoffPHQ8_18
11  tab cutoffPHQ8_19
12  diagt depression cutoffPHQ8_19
13  tab cutoffPHQ8_20
14  diagt depression cutoffPHQ8_20
15  tab cutoffPHQ8_21
16  diagt depression cutoffPHQ8_21
17  tab cutoffPHQ8_22
18  diagt depression cutoffPHQ8_22
19  tab cutoffPHQ8_23
20  diagt depression cutoffPHQ8_23
21  tab cutoffPHQ8_24
22  diagt depression cutoffPHQ8_24
```

*** PHQ-9 algorithm - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

```
23  tab PHQ9algorithm
24  diagt depression PHQ9algorithm
```

*** PHQ-9 adjusted algorithm - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

```
25  tab PHQ9ajus_algorithm
26  diagt depression PHQ9ajus_algorithm
```

*** PHQ-2 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

```
27  tab cutoffPHQ2_1
28  diagt depression cutoffPHQ2_1
29  tab cutoffPHQ2_2
30  diagt depression cutoffPHQ2_2
31  tab cutoffPHQ2_3
32  diagt depression cutoffPHQ2_3
33  tab cutoffPHQ2_4
34  diagt depression cutoffPHQ2_4
35  tab cutoffPHQ2_5
36  diagt depression cutoffPHQ2_5
37  tab cutoffPHQ2_6
38  diagt depression cutoffPHQ2_6
```

***Supplementary material 4. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different GAD cut-off points compared to the gold standard.**

***GAD-7 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

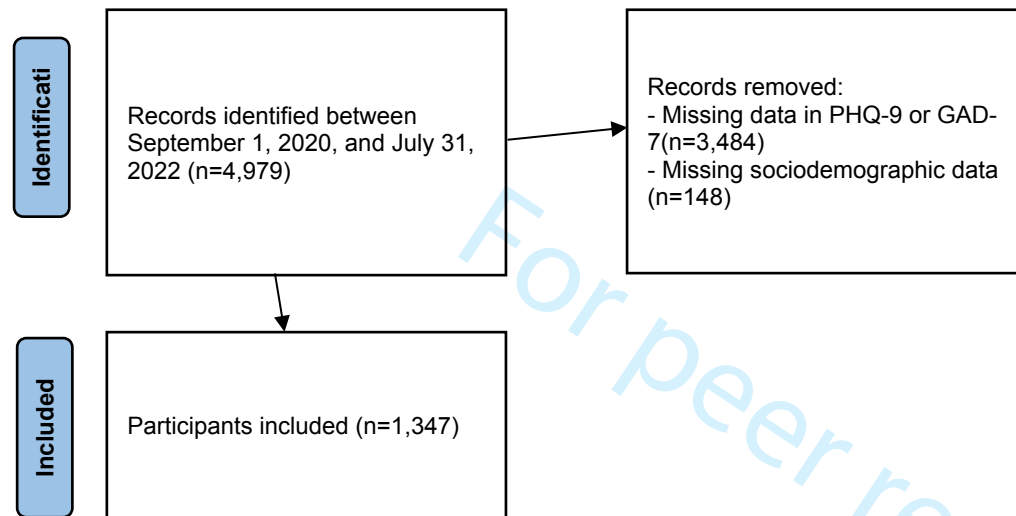
```
39  tab cutoffGAD7_1
40  diagt anxiety cutoffGAD7_1
41  tab cutoffGAD7_2
42  diagt anxiety cutoffGAD7_2
43  tab cutoffGAD7_3
44  diagt anxiety cutoffGAD7_3
45  tab cutoffGAD7_4
46  diagt anxiety cutoffGAD7_4
47  tab cutoffGAD7_5
48  diagt anxiety cutoffGAD7_5
49  tab cutoffGAD7_6
50  diagt anxiety cutoffGAD7_6
51  tab cutoffGAD7_7
52  diagt anxiety cutoffGAD7_7
53  tab cutoffGAD7_8
```



```

1
2
3
4     diagt anxiety cutoffGAD7_8
5     tab cutoffGAD7_9
6     diagt anxiety cutoffGAD7_9
7     tab cutoffGAD7_10
8     diagt anxiety cutoffGAD7_10
9     tab cutoffGAD7_11
10    diagt anxiety cutoffGAD7_11
11    tab cutoffGAD7_12
12    diagt anxiety cutoffGAD7_12
13    tab cutoffGAD7_13
14    diagt anxiety cutoffGAD7_13
15    tab cutoffGAD7_14
16    diagt anxiety cutoffGAD7_14
17    tab cutoffGAD7_15
18    diagt anxiety cutoffGAD7_15
19    tab cutoffGAD7_16
20    diagt anxiety cutoffGAD7_16
21    tab cutoffGAD7_17
22    diagt anxiety cutoffGAD7_17
23    tab cutoffGAD7_18
24    diagt anxiety cutoffGAD7_18
25    tab cutoffGAD7_19
26    diagt anxiety cutoffGAD7_19
27    tab cutoffGAD7_20
28    diagt anxiety cutoffGAD7_20
29    tab cutoffGAD7_21
30    diagt anxiety cutoffGAD7_21
31
32    * GAD-2 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
33
34    tab cutoffGAD2_0
35    diagt anxiety cutoffGAD2_0
36    tab cutoffGAD2_1
37    diagt anxiety cutoffGAD2_1
38    tab cutoffGAD2_2
39    diagt anxiety cutoffGAD2_2
40    tab cutoffGAD2_3
41    diagt anxiety cutoffGAD2_3
42    tab cutoffGAD2_4
43    diagt anxiety cutoffGAD2_4
44    tab cutoffGAD2_5
45    diagt anxiety cutoffGAD2_5
46    tab cutoffGAD2_6
47    diagt anxiety cutoffGAD2_6
48
49    *Figures 2 - ROC curve
50
51    roccomp depression PHQ9TOTAL PHQ8TOTAL PHQ9algorithm PHQ9ajus_algorithm PHQ2TOTAL, graph
52    summary plotlopts(mcolor(red) lcolor(red)) plot2opts(mcolor(blue) lcolor(blue))
53    plot3opts(mcolor(orange) lcolor(orange)) plot4opts(mcolor(green) lcolor(green))
54    plot5opts(mcolor(purple) lcolor(purple)) legend(order(1 "PHQ-9 (AUC=0.805 [0.779-0.831])" 2
55    "PHQ-8 (AUC=0.802 [0.776-0.828])" 3 "PHQ-9 algorithm (AUC=0.641 [0.614-0.667])" 4 "PHQ-9
56    adjusted algorithm (AUC=0.723 [0.696-0.749])" 5 "PHQ-2 (AUC=0.771 [0.743-0.799])" 6
57    "Reference") size(2.5) position(7) cols(2) rows(3))
58
59    graph export "E:\Figure2.tif", as(tif) replace
60
61    *Figures 3 - ROC curve
62
63    roccomp anxiety GAD7TOTAL GAD2TOTAL, graph summary plotlopts(mcolor(red) lcolor(red))
64    plot2opts(mcolor(blue) lcolor(blue)) legend(order(1 "GAD-7 (AUC=0.718 [0.622-0.814])" 2 "GAD-2
65    (AUC=0.685 [0.587-0.783])" 3 "Reference") size(2.5) position(7) cols(2) rows(3))
66
67    graph export "E:\Figure3.tif", as(tif) replace
68
69
70

```



Supplementary material 4. Flowchart.

Supplementary material 5. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
PHQ-9	≥1	1246 (92.5)	99.7 (98.3 - 100.0)	9.9 (8.1 - 11.9)	1.11 (1.08 - 1.13)	0.03 (0.00 - 0.22)	26.7 (24.3 - 29.3)	99.0 (94.6 - 100.0)	9.6
	≥2	1145 (85.0)	98.2 (96.1 - 99.3)	19.3 (17.0 - 21.9)	1.22 (1.18 - 1.26)	0.93 (0.04 - 0.21)	28.6 (26.0 - 31.4)	97.0 (93.6 - 98.9)	17.5
	≥3	1004 (74.5)	95.5 (92.7 - 97.5)	32.4 (29.5 - 35.4)	1.41 (1.35 - 1.48)	0.14 (0.84 - 0.23)	31.8 (28.9 - 34.8)	95.6 (92.9 - 97.5)	27.9
	≥4	850 (63.1)	91.3 (87.8 - 94.1)	46.2 (43.1 - 49.3)	1.70 (1.59 - 1.81)	0.19 (0.13 - 0.27)	35.9 (32.7 - 39.2)	94.2 (91.7 - 96.1)	37.5
	≥5	720 (53.5)	87.4 (83.4 - 90.8)	57.7 (54.6 - 60.8)	2.07 (1.90 - 2.25)	0.22 (0.16 - 0.29)	40.6 (36.9 - 44.2)	93.3 (91.1 - 95.1)	45.1
	≥6	627 (46.6)	80.5 (75.9 - 84.6)	64.7 (61.6 - 67.6)	2.28 (2.07 - 2.52)	0.30 (0.24 - 0.38)	42.9 (39.0 - 46.9)	91.0 (88.6 - 93.0)	45.2
	≥7	537 (39.9)	76.0 (71.1 - 80.5)	72.1 (69.2 - 74.8)	2.72 (2.42 - 3.06)	0.33 (0.27 - 0.40)	47.3 (43.0 - 51.6)	90.1 (87.9 - 92.1)	48.1
	≥8	454 (33.7)	68.9 (63.6 - 73.8)	77.9 (75.2 - 80.4)	3.11 (2.72 - 3.57)	0.40 (0.34 - 0.47)	50.7 (46.0 - 55.4)	88.4 (86.1 - 90.4)	46.8
	≥9	371 (27.5)	60.2 (54.7 - 65.5)	83.2 (80.8 - 85.5)	3.59 (3.05 - 4.22)	0.48 (0.42 - 0.55)	54.2 (49.0 - 59.3)	86.4 (84.1 - 88.5)	43.4
	≥10	309 (22.9)	54.2 (48.7 - 59.6)	87.4 (85.2 - 89.3)	4.29 (3.55 - 5.18)	0.52 (0.47 - 0.59)	58.6 (53.9 - 63.1)	85.3 (83.7 - 86.7)	41.6
	≥11	252 (18.7)	44.9 (39.5 - 50.4)	89.9 (87.9 - 91.7)	4.46 (3.58 - 5.55)	0.61 (0.56 - 0.68)	59.5 (53.2 - 65.6)	83.2 (80.8 - 85.4)	34.8
	≥12	212 (15.7)	38.9 (33.7 - 44.4)	91.9 (90.1 - 93.5)	4.81 (3.76 - 6.16)	0.67 (0.61 - 0.73)	61.3 (54.4 - 67.9)	82.0 (79.7 - 84.2)	30.8
	≥13	161 (12.0)	31.4 (26.5 - 36.7)	94.5 (92.9 - 95.8)	5.69 (4.21 - 7.67)	0.73 (0.67 - 0.78)	65.2 (57.3 - 72.5)	80.7 (78.3 - 82.9)	25.9
	≥14	138 (10.2)	26.3 (21.7 - 31.4)	95.1 (93.5 - 96.3)	5.34 (3.86 - 7.38)	0.78 (0.73 - 0.83)	63.8 (55.2 - 71.8)	79.7 (77.3 - 81.9)	21.4
	≥15	102 (7.6)	19.8 (15.6 - 24.4)	96.4 (95.1 - 97.5)	5.56 (3.78 - 8.19)	0.83 (0.79 - 0.88)	64.7 (54.6 - 73.9)	78.5 (76.1 - 80.7)	16.2
	≥16	79 (5.9)	15.3 (11.6 - 19.6)	97.2 (96.0 - 98.2)	5.52 (3.54 - 8.61)	0.87 (0.83 - 0.91)	64.6 (53.0 - 75.0)	77.7 (75.3 - 79.9)	12.5
	≥17	57 (4.2)	10.5 (7.4 - 14.3)	97.8 (96.7 - 98.6)	4.83 (2.87 - 8.11)	0.92 (0.88 - 0.95)	61.4 (47.6 - 74.0)	76.8 (74.4 - 79.1)	8.3
≥18	48 (3.6)	8.4 (5.6 - 11.9)	98.0 (97.0 - 98.8)	4.25 (2.42 - 7.44)	0.94 (0.90 - 0.97)	58.3 (43.2 - 72.4)	76.4 (74.0 - 78.7)	6.4	
≥19	40 (3.0)	6.9 (4.4 - 10.2)	98.3 (97.3 - 99.0)	4.10 (2.22 - 7.59)	0.95 (0.92 - 0.98)	57.5 (40.9 - 73.0)	76.2 (73.8 - 78.5)	5.2	
≥20	35 (2.4)	4.5 (2.5 - 7.3)	98.3 (97.3 - 99.0)	2.68 (1.35 - 5.30)	0.97 (0.95 - 1.00)	46.9 (29.1 - 65.3)	75.7 (73.3 - 78.0)	2.8	
≥21	26 (1.9)	3.9 (2.1 - 6.6)	98.7 (97.8 - 99.3)	3.03 (1.42 - 6.48)	0.97 (0.95 - 1.00)	50.0 (29.9 - 70.1)	75.7 (73.3 - 78.0)	2.6	
≥22	20 (1.5)	2.7 (1.2 - 5.1)	98.9 (98.1 - 99.5)	2.48 (1.04 - 5.94)	0.98 (0.97 - 1.00)	45.0 (23.1 - 68.5)	75.5 (73.1 - 77.8)	1.6	
≥23	16 (1.2)	1.5 (0.5 - 3.5)	98.9 (98.1 - 99.5)	1.38 (0.48 - 3.94)	1.00 (0.98 - 1.01)	31.3 (11.0 - 58.7)	75.3 (72.9 - 77.6)	0.4	
≥24	10 (0.7)	0.9 (0.2 - 2.6)	99.3 (98.6 - 99.7)	1.30 (0.34 - 5.00)	1.00 (0.99 - 1.01)	30.0 (6.7 - 65.2)	75.2 (72.8 - 77.5)	0.2	
≥25	4 (0.3)	0.3 (0.0 - 1.7)	99.7 (99.1 - 99.9)	1.01 (0.11 - 9.69)	1.00 (0.99 - 1.01)	25.0 (0.6 - 80.6)	75.2 (72.8 - 77.5)	0.0	
≥26	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
≥27	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
PHQ-8	≥1	1244 (92.4)	99.4 (97.9 - 99.9)	10.0 (8.2 - 12.0)	1.10 (1.08 - 1.13)	0.06 (0.01 - 0.24)	26.7 (24.2 - 29.2)	98.1 (93.2 - 99.8)	9.4
	≥2	1141 (84.7)	98.2 (96.1 - 99.3)	19.7 (17.3 - 22.3)	1.22 (1.18 - 1.27)	0.09 (0.04 - 0.20)	28.7 (26.1 - 31.5)	97.1 (93.8 - 98.9)	17.9
	≥3	998 (74.1)	95.5 (92.7 - 97.5)	33.0 (30.1 - 36.0)	1.42 (1.36 - 1.50)	0.14 (0.08 - 0.23)	32.0 (29.1 - 35.0)	95.7 (93.0 - 97.6)	28.5
	≥4	846 (62.8)	91.3 (87.8 - 94.1)	46.6 (43.5 - 49.7)	1.71 (1.60 - 1.83)	0.19 (0.13 - 0.27)	36.1 (32.8 - 39.4)	94.2 (91.8 - 96.1)	37.9
	≥5	701 (52.0)	85.6 (81.4 - 89.2)	59.0 (55.9 - 62.1)	2.09 (1.92 - 2.28)	0.24 (0.19 - 0.32)	40.8 (37.1 - 44.5)	92.6 (90.3 - 94.5)	44.7
	≥6	611 (45.4)	79.9 (75.2 - 84.1)	66.0 (63.0 - 69.0)	2.35 (2.13 - 2.61)	0.30 (0.24 - 0.38)	43.7 (39.7 - 47.7)	90.9 (88.6 - 92.9)	46.0
	≥7	521 (38.7)	74.3 (69.2 - 78.9)	73.1 (70.2 - 75.8)	2.76 (2.44 - 3.10)	0.35 (0.29 - 0.42)	47.6 (43.2 - 52.0)	89.6 (87.3 - 91.6)	47.4

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4		≥8	428 (31.8)	65.6 (60.2 - 70.7)	79.4 (76.7 - 81.8)	3.18 (2.75 - 3.67)	0.43 (0.37 - 0.50)	51.2 (46.3 - 56.0)	87.5 (85.2 - 89.6)	44.9
5		≥9	357 (26.5)	58.4 (52.9 - 63.7)	84.0 (81.6 - 86.2)	3.65 (3.09 - 4.32)	0.50 (0.44 - 0.56)	54.6 (49.3 - 59.9)	86.0 (83.6 - 88.1)	42.4
6		≥10	286 (21.2)	50.0 (44.5 - 55.5)	88.3 (86.1 - 90.2)	4.26 (3.48 - 5.20)	0.57 (0.51 - 0.63)	58.4 (52.4 - 64.2)	84.3 (81.9 - 86.4)	38.3
7		≥11	233 (17.3)	42.2 (36.9 - 47.7)	90.9 (89.0 - 92.6)	4.65 (3.69 - 5.86)	0.64 (0.58 - 0.70)	60.5 (53.9 - 66.8)	82.7 (80.3 - 84.9)	33.1
8		≥12	189 (14.0)	34.7 (29.6 - 40.1)	92.8 (91.0 - 94.3)	4.82 (3.70 - 6.28)	0.70 (0.65 - 0.76)	61.4 (54.0 - 68.4)	81.2 (78.8 - 83.4)	27.5
9		≥13	138 (10.2)	27.2 (22.5 - 32.4)	95.4 (93.9 - 96.6)	5.87 (4.22 - 8.17)	0.76 (0.71 - 0.82)	65.9 (57.4 - 73.8)	79.9 (77.5 - 82.1)	22.6
10		≥14	103 (8.1)	21.9 (17.5 - 26.7)	96.4 (95.1 - 97.5)	6.15 (4.21 - 8.99)	0.81 (0.76 - 0.86)	67.0 (57.3 - 75.7)	78.9 (76.5 - 81.2)	18.3
11		≥15	80 (5.9)	15.6 (11.9 - 19.9)	97.2 (96.0 - 98.2)	5.63 (3.62 - 8.77)	0.87 (0.83 - 0.91)	65.0 (53.5 - 75.3)	77.7 (75.3 - 80.0)	12.8
12		≥16	58 (4.3)	10.5 (7.4 - 14.3)	97.7 (96.6 - 98.6)	4.62 (2.77 - 7.70)	0.92 (0.88 - 0.95)	60.3 (46.6 - 73.0)	76.8 (74.4 - 79.1)	8.2
13		≥17	46 (3.4)	7.2 (4.7 - 10.5)	97.8 (96.7 - 98.6)	3.31 (1.88 - 5.82)	0.95 (0.92 - 0.98)	52.2 (36.9 - 67.1)	76.2 (73.8 - 78.5)	5.0
14		≥18	36 (2.7)	5.7 (3.5 - 8.7)	98.3 (97.3 - 99.0)	3.39 (1.78 - 6.44)	0.96 (0.93 - 0.99)	52.8 (35.5 - 69.6)	76.0 (73.6 - 78.3)	4.0
15		≥19	30 (2.2)	4.8 (2.8 - 7.7)	98.6 (97.7 - 99.2)	3.47 (1.71 - 7.03)	0.97 (0.94 - 0.99)	53.3 (34.3 - 71.7)	75.9 (73.4 - 78.1)	3.4
16		≥20	24 (1.8)	3.0 (1.4 - 5.4)	98.6 (97.7 - 99.2)	2.17 (0.97 - 4.83)	0.98 (0.96 - 1.00)	41.7 (22.1 - 63.4)	75.5 (73.1 - 77.8)	1.6
17		≥21	15 (1.1)	1.5 (0.5 - 3.5)	99.0 (98.2 - 99.5)	1.52 (0.52 - 4.41)	0.99 (0.98 - 1.01)	33.3 (11.8 - 61.6)	75.3 (72.9 - 77.6)	0.5
18		≥22	7 (0.5)	0.6 (0.1 - 2.1)	99.5 (98.9 - 99.8)	1.21 (0.24 - 6.22)	1.00 (0.99 - 1.01)	28.6 (3.7 - 71.0)	75.2 (72.8 - 77.5)	0.1
19		≥23	4 (0.3)	0.3 (0.0 - 1.7)	99.7 (99.1 - 99.9)	1.01 (0.11 - 9.69)	1.00 (0.99 - 1.01)	25.0 (0.6 - 80.6)	75.2 (72.8 - 77.5)	0.0
20		≥24	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2
21	PHQ-9 algorithm		183 (13.6)	34.7 (29.6 - 40.1)	93.4 (91.7 - 94.8)	5.25 (3.99 - 6.91)	0.70 (0.65 - 0.76)	63.4 (56.0 - 70.4)	81.3 (78.9 - 83.5)	28.1
22	PHQ-9 adjusted algorithm		601 (44.6)	78.1 (73.3 - 82.5)	66.4 (63.4 - 69.3)	2.33 (2.10 - 2.58)	0.33 (0.27 - 0.41)	43.4 (39.4 - 47.5)	90.2 (87.9 - 92.3)	44.5
23	PHQ-2	≥1	1052 (78.1)	95.2 (92.3 - 97.2)	27.5 (24.8 - 30.4)	1.31 (1.26 - 1.37)	0.17 (0.11 - 0.28)	30.2 (27.5 - 33.1)	94.6 (91.3 - 96.9)	22.7
24		≥2	730 (54.2)	84.7 (80.4 - 88.4)	55.9 (52.8 - 59.0)	1.92 (1.77 - 2.09)	0.27 (0.21 - 0.35)	38.8 (35.2 - 42.4)	91.7 (89.3 - 93.8)	40.6
25		≥3	358 (26.6)	55.4 (49.9 - 60.8)	82.9 (80.5 - 85.2)	3.24 (2.75 - 3.83)	0.54 (0.48 - 0.61)	51.7 (46.4 - 57.0)	84.9 (82.6 - 87.1)	38.3
26		≥4	225 (16.7)	39.5 (34.2 - 45.0)	90.8 (88.9 - 92.5)	4.30 (3.40 - 5.44)	0.67 (0.61 - 0.73)	58.7 (51.9 - 65.2)	82.0 (79.6 - 84.2)	30.3
27		≥5	99 (7.4)	19.2 (15.1 - 23.8)	96.5 (95.2 - 97.6)	5.55 (3.74 - 8.22)	0.84 (0.79 - 0.88)	64.6 (54.4 - 74.0)	78.4 (76.0 - 80.6)	15.7
28		≥6	63 (4.7)	12.0 (8.7 - 15.9)	97.7 (96.6 - 98.6)	5.27 (3.21 - 8.68)	0.90 (0.87 - 0.94)	63.5 (50.4 - 75.3)	77.1 (74.7 - 79.4)	9.7

Note: +LR = Positive likelihood ratio. -LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden's Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. PHQ = Patient Health Questionnaire. Bold values represent the cohort point with the highest Youden Index.

Supplementary material 6. Sensitivity, specificity, +LR, –LR, PPV, NPV, and Youden's index for different GAD cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
GAD-7	≥1	1244 (92.4)	96.4 (81.7 - 99.9)	7.7 (6.4 - 9.3)	1.05 (0.97 - 1.12)	0.46 (0.07 - 3.19)	2.2 (1.4 - 3.1)	99.0 (94.7 - 100.0)	4.1
	≥2	1128 (83.7)	96.4 (81.7 - 99.9)	16.5 (14.6 - 18.6)	1.16 (1.07 - 1.25)	0.22 (0.03 - 1.49)	2.4 (1.6 - 3.5)	99.5 (97.5 - 100.0)	12.9
	≥3	960 (71.3)	96.4 (81.7 - 99.9)	29.3 (26.8 - 31.8)	1.36 (1.26 - 1.48)	0.12 (0.02 - 0.84)	2.8 (1.9 - 4.1)	99.7 (98.6 - 100.0)	25.7
	≥4	797 (59.2)	89.3 (71.8 - 97.7)	41.5 (38.8 - 44.2)	1.53 (1.33 - 1.75)	0.26 (0.09 - 0.75)	3.1 (2.0 - 4.6)	99.5 (98.4 - 99.9)	30.8
	≥5	640 (47.5)	71.4 (51.3 - 86.8)	53.0 (50.3 - 55.7)	1.52 (1.19 - 1.93)	0.54 (0.30 - 0.97)	3.1 (1.9 - 4.8)	98.9 (97.8 - 99.5)	24.4
	≥6	491 (36.5)	60.7 (40.6 - 78.5)	64.1 (61.4 - 66.7)	1.69 (1.24 - 2.30)	0.61 (0.39 - 0.97)	3.5 (2.0 - 5.5)	98.7 (97.7 - 99.4)	24.8
	≥7	360 (26.7)	53.6 (33.9 - 72.5)	73.8 (71.4 - 76.2)	2.05 (1.43 - 2.93)	0.63 (0.42 - 0.94)	4.2 (2.4 - 6.8)	98.7 (97.8 - 99.3)	27.4
	≥8	295 (21.9)	53.6 (33.9 - 72.5)	78.8 (76.5 - 81.0)	2.52 (1.76 - 3.62)	0.59 (0.40 - 0.88)	5.1 (2.9 - 8.3)	98.8 (97.9 - 99.3)	32.4
	≥9	230 (17.1)	42.9 (24.5 - 62.8)	83.5 (81.4 - 85.4)	2.59 (1.66 - 4.04)	0.69 (0.50 - 0.94)	5.2 (2.7 - 8.9)	98.6 (97.7 - 99.2)	26.4
	≥10	164 (12.2)	39.3 (21.5 - 59.4)	88.4 (86.5 - 90.1)	3.39 (2.09 - 5.50)	0.69 (0.51 - 0.93)	6.7 (3.4 - 11.7)	98.6 (97.7 - 99.2)	27.7
	≥11	120 (8.9)	39.3 (21.5 - 59.4)	91.7 (90.1 - 93.2)	4.75 (2.90 - 7.79)	0.66 (0.49 - 0.89)	9.2 (4.7 - 15.8)	98.6 (97.8 - 99.2)	31.0
	≥12	94 (7.0)	28.6 (13.2 - 48.7)	93.5 (92.0 - 94.8)	4.38 (2.36 - 8.15)	0.76 (0.60 - 0.97)	8.5 (3.8 - 16.1)	98.4 (97.5 - 99.0)	22.1
	≥13	67 (5.0)	28.6 (13.2 - 48.7)	95.5 (94.3 - 96.6)	6.39 (3.39 - 12.10)	0.75 (0.59 - 0.95)	11.9 (5.3 - 22.2)	98.4 (97.6 - 99.0)	24.1
	≥14	50 (3.7)	21.4 (8.3 - 41.0)	96.7 (95.5 - 97.6)	6.42 (2.98 - 13.80)	0.81 (0.67 - 0.99)	12.0 (4.5 - 24.3)	98.3 (97.4 - 98.9)	18.1
	≥15	40 (3.0)	14.3 (4.0 - 32.7)	97.3 (96.2 - 98.1)	5.23 (2.00 - 13.70)	0.88 (0.76 - 1.03)	10.0 (2.8 - 23.7)	98.2 (97.3 - 98.8)	11.6
	≥16	31 (2.3)	10.7 (2.3 - 28.2)	97.9 (96.9 - 98.6)	5.05 (1.63 - 15.60)	0.91 (0.80 - 1.04)	9.7 (2.0 - 25.8)	98.1 (97.2 - 98.8)	8.6
	≥17	19 (1.4)	3.6 (0.1 - 18.3)	98.6 (97.9 - 99.2)	2.62 (0.36 - 18.90)	0.98 (0.91 - 1.05)	5.3 (0.1 - 26.0)	98.0 (97.1 - 98.7)	2.2
≥18	15 (1.1)	0.0 (0.0 - 12.3)	98.9 (98.1 - 99.4)	0.00 (0.00 - 0.00)	1.01 (1.01 - 1.02)	0.0 (0.0 - 21.8)	97.9 (97.0 - 98.6)	-1.1	
≥19	12 (0.9)	0.0 (0.0 - 12.3)	99.1 (98.4 - 99.5)	0.00 (0.00 - 0.00)	1.01 (1.00 - 1.01)	0.0 (0.0 - 26.5)	97.9 (97.0 - 98.6)	-0.9	
≥20	8 (0.6)	0.0 (0.0 - 12.3)	99.4 (98.8 - 99.7)	0.00 (0.00 - 0.00)	1.01 (1.00 - 1.01)	0.0 (0.0 - 36.9)	97.9 (97.0 - 98.6)	-0.6	
≥21	5 (0.4)	0.0 (0.0 - 12.3)	99.6 (99.1 - 99.9)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.01)	0.0 (0.0 - 52.2)	97.9 (97.0 - 98.6)	-0.4	
GAD-2	≥1	1028 (76.3)	89.3 (71.8 - 97.7)	24.0 (21.7 - 26.4)	1.17 (1.03 - 1.34)	0.45 (0.15 - 1.31)	2.4 (1.6 - 3.6)	99.1 (97.3 - 99.8)	13.3
	≥2	681 (50.6)	82.1 (63.1 - 93.9)	50.1 (47.4 - 52.8)	1.65 (1.37 - 1.97)	0.36 (0.16 - 0.79)	3.4 (2.2 - 5.0)	99.2 (98.3 - 99.8)	32.2
	≥3	283 (21.0)	42.9 (24.5 - 62.8)	79.5 (77.2 - 81.6)	2.09 (1.34 - 3.24)	0.72 (0.52 - 0.99)	4.2 (2.2 - 7.3)	98.5 (97.6 - 99.1)	22.4
	≥4	141 (10.5)	25.0 (10.7 - 44.9)	89.8 (88.1 - 91.4)	2.46 (1.27 - 4.77)	0.84 (0.67 - 1.03)	5.0 (2.0 - 10.0)	98.3 (97.4 - 98.9)	14.8
	≥5	51 (3.8)	14.3 (4.0 - 32.7)	96.4 (95.3 - 97.4)	4.01 (1.55 - 10.40)	0.89 (0.76 - 1.03)	7.8 (2.2 - 18.9)	98.1 (97.3 - 98.8)	10.7
	≥6	28 (2.1)	7.1 (0.9 - 23.5)	98.0 (97.1 - 98.7)	3.62 (0.90 - 14.50)	0.95 (0.86 - 1.05)	7.1 (0.9 - 23.5)	98.0 (97.1 - 98.7)	5.1

Note: +LR = Positive likelihood ratio. –LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden's Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. GAD =Generalized Anxiety Disorder Scale. Bold values represent the cut-off with the highest Youden Index.

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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian hospital population

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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian hospital population

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Abstract

Objectives : The Patient Health Questionnaire (PHQ) and Generalized Anxiety Disorder Scale (GAD) are widely used screening tools, but their sensitivity and specificity in low- and middle-income countries are lower than in high-income countries. We conducted a study to determine the sensitivity and specificity of different versions of these scales in a Peruvian hospital population.

Design: Our study has a cross-sectional design.

Setting: Our participants are hospitalised patients in a Peruvian hospital. The gold standard was a clinical psychiatric interview following ICD-10 criteria for depression (F32.0, F32.1, F32.2, and F32.3) and anxiety (F41.0 and F41.1).

Participants: The sample included 1347 participants. A total of 334 participants (24.8%) were diagnosed with depression, and 28 participants (2.1%) were diagnosed with anxiety.

Results: We found that a cut-off point of ≥ 7 in the PHQ-9 and PHQ-8 had the best balance between sensitivity and specificity. The raw score for PHQ-9 with cohort point ≥ 7 showed better results than the algorithm method or the adjusted algorithm. The PHQ-2 had the best cut-off point of ≥ 2 points. We present the sensitivity and specificity values of each cut-off point in GAD-7 and GAD-2. All scales had good internal consistency (>0.70), and the PHQ-9, PHQ-8, and GAD-7 had appropriate goodness-of-fit indices.

Conclusions: The PHQ and GAD have adequate measurement properties in their different versions. We present specific cut-offs for each version.

Keywords: Anxiety; Depression; Patient Health Questionnaire; Peru; Sensitivity and Specificity.

Strengths and limitations of this study

- Study methods allowed us to establish clinically meaningful cut-off points for PHQ and GAD.
- Sample size was larger than in other similar studies and large enough to support all analyses and conclusions.
- Research findings may not be directly applicable to some hospital or primary care settings due to the specific context of our study population.

Background

Until 2019, approximately 280 million people worldwide suffered from depression and 302 million from anxiety [1]. These data reveal that both mental disorders are the most common in the world and lead to the causes of the global burden of mental health disability-adjusted life years [2, 3]. With the onset of the coronavirus disease (COVID-19) pandemic, the worldwide prevalence of both disorders increased by around 25% [4]. In Peru, during the COVID-19 pandemic, the prevalence of moderate depressive symptoms also increased by approximately 0.17% in each quarter [5]. However, no population-level evidence has been found about the prevalence of anxious symptomatology or the diagnosis of anxiety in Peru. In this context, the impact of COVID-19 on the prevalence and burden of major depression and anxiety disorders was measured using screening tools [6]. In addition, it was noted that during the pandemic, there was a reduction in the number of mental health service users being seen [7].

Screening tools assist in early diagnosis and intervention that can prevent disease progression and reduce years lost to disability [8]. They are beneficial in contexts with limited mental health professionals providing care to large populations, such as in Peru. The opportune identification of people at risk of depression reduces treatment costs and disease burden [9-11]. Depressive symptom screening is also helpful in national surveys and epidemiological research [12] since, unlike diagnostic instruments, screening measures are typically brief, quick, and easy to administer [13, 14]. Internationally, the most used screening instruments for depressive and anxious symptomatology are the Patient Health Questionnaire (PHQ-9) [15], PHQ-8 [16], PHQ-2 [17], Generalized Anxiety Disorder (GAD-7) [18], GAD-2 [18], Depression, Anxiety and Stress Scale (DASS-21), Kessler scale-10, Hospital Anxiety and Depression Scale (HADS) [19], Five Well-Being Index (WHO-5) [10]. Most have been validated in several countries, but only the PHQ and GAD have been validated in the Peruvian context [20, 21].

In particular, the PHQ versions (PHQ-9, PHQ-8, PHQ-2) and GAD versions (GAD-7, GAD-2) are the most widely used, having extensive evidence of their validity and reliability [22-24]. However, correctly identifying people at risk of depression or anxiety requires more than internal/externally valid and reliable screening measures; defining an accurate cut-off point for their raw scales (i.e., to reach valid interpretations) is also necessary. Such a cut-off point can vary across cultures and sub-populations (e.g., general versus clinical), so a local calibration is usually needed [25]. Studies of the different versions of the PHQ and GAD have yielded heterogeneous cut-offs, as they vary between different cultures [21, 26-29] and populations, such as clinical and general populations [30-32]. However, several systematic reviews suggest that cut-off 10 is most appropriate for the PHQ-9, PHQ-8, and GAD-7 [33-37], and cut-offs 2-3 for the PHQ-2 and GAD-2 [35, 37]. Furthermore, concerning the PHQ-9 correctness, the summed item score method is the most used compared to the algorithm. However, other forms of correction using diagnostic algorithms are available [38, 39].

Sensitivity and specificity studies have been barely performed in low- and middle-income countries [40]. Several of these populations do not count with verified cut-off points from calibration studies (including Peruvian populations), in particular, the inpatient population is particularly vulnerable as

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4 they have physical comorbidities that may influence the establishment of cohort points. Therefore,
5 our aim was to determine the optimal cut-off point for the PHQ-9, PHQ-8, PHQ-2, GAD-7, and GAD-2
6 to discriminate a formal depression and anxiety diagnosis in the Peruvian hospital population. In
7 addition, as secondary objectives, we assessed these scales' internal structure and reliability.
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Study design

This study has a cross-sectional design, and we used the STARD 2015 guidelines for reporting diagnostic accuracy studies [41].

Participants

The participants were patients from the Liaison Psychiatry Unit of a hospital in Lima, Peru. Psychiatric liaison services provide psychiatric consultation to hospitalised patients with medical or surgical conditions that have a co-existing psychiatric illness or need for psychiatric assessment and management. The total number of participants in our study is similar to the proportion of people who were hospitalised in 2022 in our setting (see supplementary material 1). The evaluation period started in September 2020 and finished in August 2022. Sampling was non-probabilistic and applied to all participants arriving at the Liaison Psychiatry Unit. The inclusion criteria were that they had complete PHQ-9 and GAD-7 data and were of legal age (>18 years). Participants with missing data were excluded.

The sample size calculation for the PHQ versions was based on an estimated sensitivity of 0.88 and specificity of 0.85 [33], a confidence level of 95%, a prevalence of 6.4% [42, 43], and a drop-out rate of 10%, giving an estimate of 705 participants. The sample size calculation for the GAD versions was based on an estimated sensitivity of 0.83 and specificity of 0.84 [18], a confidence level of 95%, a prevalence of 8.7% [44], and a drop-out rate of 10%, giving an estimate of 694 participants. The web program based on the paper by Burderer (1996) was used to calculate the sample size [45].

Setting

The Guillermo Almenara Irigoyen National Hospital (HNGAI) was the study site, a highly complex hospital in Lima-Peru (capital city). HNGAI is one of the three largest hospitals of the Social Security system in Peru based on the number of beds (960 hospital beds) and is also a tertiary referral centre for all medical specialities, including psychiatry (<http://www.essalud.gob.pe/estadistica-institucional/>). It provides health care services to 1,547,840 individuals from social insurance. Because it attends to virtually all pathologies, from the simplest to the most complex, it was classified in 2015 as a Specialized Health Institute III-2, the highest level awarded by the Ministry of Health of Peru to hospital establishments.

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4 The Liaison Psychiatry Unit at HNGAI is responsible for responding to consultation requests from
5 different clinical-surgical services at HNGAI [46]. As part of the evaluation of each patient, in addition
6 to the clinical interview and psychiatric diagnosis, standardised assessments such as the PHQ-9 and
7 GAD-7 are used to ensure adequate monitoring and assess response to the established treatment.
8 Since September 2020, the services provided by the Liaison Unit have been recorded in a Google Form
9 to track better the patients treated.
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13 Instruments and variables

14 Patient Health Questionnaire (PHQ-9, PHQ-8, and PHQ-2)

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17 The Patient Health Questionnaire is an instrument designed to measure depressive symptoms over
18 the past two weeks, according to the diagnostic criteria of the DSM-IV, criteria that were retained in
19 the DSM-5. The scale has four response options (0=no days, 1=some days, 2=more than half of the
20 days, 3=almost every day) [15]. The scale had many versions, including the PHQ-9, the full version with
21 nine items and scores ranging from 0 to 27. In Peru, the PHQ-9 had good psychometric properties in
22 terms of structural validity (CFI = 0.936; RMSEA = 0.089; SRMR = 0.039), internal consistency ($\alpha = \omega =$
23 0.87) and invariance between age and sex ($\Delta\text{CFI} < 0.01$) [20].
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29 In addition, PHQ-9 had scoring versions related to the DSM-5 indicators, which state that for a case to
30 be positive, there must be at least five depressive symptoms present, and at least one of them must
31 be core depressive symptoms (item 1 and item 2). First, the PHQ-9 algorithm suggests that a symptom
32 is positive if it scores two or more, except the ninth item, suicidal ideation, which is positive if it scores
33 one or more [47]. Second, the PHQ-9 adjusted algorithm proposes that a symptom was positive if it
34 scored 1 or more for any of the items in the instrument [48].
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38 The PHQ-8 was a shortened version of the PHQ-9 without the last item on suicidal ideation [16]. The
39 PHQ-8 was as valuable as the PHQ-9 in detecting cases of major depression [49]. The PHQ-2 is an
40 abbreviated version of the PHQ-9 with only two items, focusing on the first two items related to the
41 core symptoms of depression (anhedonia and depressed mood) and providing scores between 0 and
42 6. The PHQ-2 was validated in Peru and showed adequate levels of internal consistency ($\alpha = .80$) [50].
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46 General Anxiety Disorder Scale (GAD-7 and GAD-2)

47 The General Anxiety Disorder Scale was a Likert-type rating scale with four response options ranging
48 from 0 (not at all) to 3 (almost every day), based on DSM-IV criteria and assesses anxious symptoms
49 during the past two weeks [51]. The GAD-7 was the version of the instrument with the original seven
50 items and had a range of scores from 0 to 21. The GAD-7 had good psychometric properties in the
51 Peruvian context for a one-dimensional model (CFI = .995, TLI = .992, RMSEA = .056), adequate internal
52 consistency ($\omega = .89$), and invariance according to sex ($\Delta\text{CFI} \leq .01$) [52].
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4 The GAD-2 was adapted from the GAD-7, focusing on the emotional and cognitive expressions of DSM-
5 IV anxiety (items 1 and 2) [53]. The GAD-2 shows good internal consistency values ($\omega = .80$) and a
6 relationship with its extended version ($r > 0.80$) in Peruvian context [52].
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9 10 Gold standard

11 The gold standard was an individual clinical psychiatric interview following ICD-10 criteria. The clinical
12 assessments were performed by psychiatrists who are members of the Liaison Psychiatry Unit, all of
13 whom have at least five years of clinical experience evaluating the psychiatric needs of hospitalised
14 patients. The interview focused on assessing whether the participants had depressive disorder (F32.0,
15 F32.1, F32.2, and F32.3) or anxiety disorder (F41.0 and F41.1), with a duration between 25 to 30
16 minutes. The individual clinical psychiatric interview and the psychometric instruments (i.e., PHQ and
17 GAD) were independently applied on the same day, the latter by a mental health nurse or a
18 psychologist and the former by a psychiatrist. The average time between both measurements was 15
19 minutes (standard deviation = 4.5 minutes), and the order (i.e., psychometric instruments before or
20 after the interview) was randomly assigned.
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26 Sociodemographic covariates

27 Data was collected on sex (male, female), age, marital status (Single, Married/Cohabitant, Separated,
28 Widowed), educational level (None, Elementary, High School, Technical, College), currently works (No,
29 yes, retired), living alone (Yes, No), and history of psychiatric diagnosis (yes/no). In addition,
30 information was collected on the physical diagnosis of the participants based on the ICD-10.
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34 Statistical analysis

35 The sociodemographic covariates of the participants were described at frequency and percentage
36 levels. The internal consistency and internal structure analyses were performed with R Studio, with the
37 “Lavaan”, “Semtools”, and “Semplot” packages (see supplementary material 2). Sensitivity, specificity,
38 and correlation analyses were analysed with Stata 15 (see supplementary material 3).
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42 Sensibility and Specificity

43 The PHQ-9, PHQ-8, PHQ-9 algorithm, PHQ-9 adjusted algorithm, and PHQ-2 were evaluated as
44 diagnostic tests and compared against the gold standard. In addition, the GAD-7 and GAD-2 were
45 scored and compared against the diagnosis of anxiety through the clinical interview (gold standard).
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49 We calculated the positive predictive value (PPV), negative predictive value (NPV), positive likelihood
50 ratio (+LR), negative likelihood ratio (-LR), and Youden Index. PPV and NPV refer to the proportion of
51 patients correctly diagnosed as positive or negative, respectively [54]. The LR+ is the probability that a
52 person with the disease will test positive given the probability that a person without the disease will
53 test positive [55]. While the LR- is the probability that a person with the disease will test negative given
54 the probability that a person without the disease will test negative [55]. The Youden Index is a measure
55 that summarizes the performance of a diagnostic test by interpreting it as the probability that the
56 selected cut-off point provides an adequate clinical decision (in terms of sensitivity and specificity), as
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opposed to the probability that the selected cut-off provides a random decision [54]. The maximum value of the Youden Index was used as a criterion to select the cut-off with the best diagnostic performance for each scale. Values closer to 1 were considered optimal, and those closer to 0 were considered inadequate.

Internal structure

Confirmatory factor analysis (CFA) was performed considering a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7. We used the weighted least square mean and variance adjusted (WLSMV) estimator [56] and polychoric matrices as it best fits the categorical-ordinal nature of the data [57]. Models were evaluated using a set of goodness-of-fit indices such as CFI and TLI, which must be greater than 0.95 to be considered adequate [58]. In addition, the SRMR and RMSEA at 90% confidence were estimated, which must have values less than 0.08 to be considered adequate [58]. It was impossible to perform a CFA for the PHQ-2 and the GAD-2 because a minimum of three items are required for such analysis.

Internal consistency

We calculated the alpha (α) and McDonald's omega coefficients (ω). Values greater than 0.70 are considered adequate [59].

Ethics

The Hospital Nacional Guillermo Almenara Irigoyen's Institutional Review Board (Nota N°52 CIEI-OIyD-GRPA-Essalud-2023) approved the protocol of our study. Throughout the study, the researchers had no access to identifying information about the participants. In addition, participants gave informed consent. All participants were users of the hospital's Liaison Psychiatry Unit and received psychological or psychiatric care as needed.

Patient and Public Involvement

No patient involved.

Results

Participants

We collected data from 4979 attendances performed within the liaison psychiatry service during the study period. However, some of these attendances were not assessed with PHQ-9 or GAD-7 data (n=3484) or lacked sociodemographic information (n=148) and were eliminated (see supplementary material 4). Thus, our study only included 1347 participants (see Table 1). Most participants were female (59.4%; n=800), married or living with a partner (57.0%; n=768), and had higher technical or university education (53.5%; n=721). A total of 334 participants (24.8%) were diagnosed with

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4 depression, and 28 participants (2.1%) were diagnosed with anxiety, as determined through individual
5 psychiatric interviews conducted based on the ICD-10 criteria.
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8 The most common physical morbidities were cardiovascular diseases (n=111; 8.2%), endocrine,
9 nutritional and metabolic diseases (n=130; 9.7%) and neoplasms, diseases of the blood and
10 haematopoietic organs and other diseases affecting the mechanism of immunity (n=348; 25.8%).
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13 Sensibility and Specificity

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15 In supplementary material 5, we provide the values of all cut-off points for the different versions of
16 the PHQ. The cut-off points ≥ 7 in the PHQ-9 had the best balance between sensitivity and specificity
17 of all the cut-off points evaluated in the various versions of the PHQ, as it obtained a sensitivity of 76.0
18 (95%CI: 71.1 - 80.5) and specificity of 72.1 (95%CI: 69.2 - 74.8) (see supplementary material 6). In
19 addition, the PHQ-9 with a cut-off of ≥ 10 points (i.e., the most used) showed lower levels of sensitivity
20 (54.2; 95%CI: 8.7 - 59.6), but higher level of specificity (87.4; 95%CI: 85.2 - 89.3), compared to the cut-
21 off point of ≥ 7 .
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26 The algorithm score method for PHQ-9 had low levels of sensitivity (34.7; 95%CI: 29.6 - 40.1) but high
27 levels of specificity (93.4; 95%CI: 91.7 - 94.8) compared to the raw score method for PHQ-9 with ≥ 7
28 cohort points. In contrast, the adjusted algorithm method for PHQ-9 showed slightly higher sensitivity
29 values (78.1; 95%CI: 73.3 - 82.5) and better specificity values (66.4; 95%CI: 63.4 - 69.3) compared to
30 the raw score method for PHQ-9 with ≥ 7 cohort points. The raw score for PHQ-9 with cohort point ≥ 7
31 showed a better balance between sensitivity and specificity compared to the algorithm method or the
32 algorithm adjusted for PHQ-9.
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36 The best cut-off point found in the PHQ-8 was ≥ 7 points, as it had a sensitivity of 79.9 (95%CI: 75.2 -
37 84.1), and a specificity of 66.0 (95%CI: 63.0 - 69.0) (see supplementary material 6). The best cut-off
38 point found in the PHQ-2 was ≥ 2 points, as it had a sensitivity of 84.7 (95%CI: 80.4 - 88.4), and a
39 specificity of 55.9 (95%CI: 52.8 - 59.0) (see supplementary material 6).
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43 Because we have a small number of cases with truly anxious people, any changes in the scores of these
44 people could lead to large changes in sensitivity and specificity. Therefore, it is not possible to give an
45 optimal cohort score over the rest, but we present all cohort scores in Supplementary Material 7. In
46 particular, the cut-off point ≥ 8 had good performance for GAD-7 with sensitivity values of 53.6 (95%CI:
47 33.9 - 72.5) and specificity of 78.8 (95%CI: 76.5 - 81.0), (see supplementary material 6). The GAD-7's
48 cut-off point ≥ 10 (i.e., the most used) had lower levels of sensitivity (39.3; 95%CI: 21.5 - 59.4), but
49 higher levels of specificity (88.4; 95%CI: 86.5 - 90.1, compared to the cut-off point of ≥ 8 . In addition,
50 the cut-off point for the GAD-2 was ≥ 2 had a sensitivity of 84.7 (95%CI: 80.4 - 88.4), and a specificity of
51 50.1 (95%CI: 47.4 - 52.8) (see supplementary material 6).
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Internal structure

The PHQ-9 one-dimensional model showed adequate goodness-of-fit ($X^2=251.9$; $df=27$; $CFI=0.974$; $TLI=0.965$; $SRMR=0.051$; $RMSEA[90\%CI]=0.079[0.070-0.088]$), while the PHQ-8 one-dimensional model reported a similar goodness-of-fit ($X^2=202.7$; $df=20$; $CFI=0.977$; $TLI=0.977$; $SRMR=0.050$; $RMSEA[90\%CI]=0.082[0.072-0.093]$). The GAD-7 also showed adequate goodness-of-fit ($X^2=122.3$; $df=14$; $CFI=0.977$; $TLI=0.966$; $SRMR=0.043$; $RMSEA[90\%CI]=0.076[0.064-0.088]$).

Reliability

The PHQ-9 ($\alpha=0.89$; $\omega=0.86$), the PHQ-8 ($\alpha=0.88$; $\omega=0.85$), and the GAD-7 ($\alpha=0.85$; $\omega=0.81$) showed optimal internal consistency values. Similarly, the PHQ-2 ($\alpha=0.83$; $\omega=0.80$) and the GAD-2 ($\alpha=0.74$; $\omega=0.70$) also showed adequate internal consistency scores. Table 2 shows the raw scores.

Discussion

Main findings

We determined the target population's optimal cut-off points for PHQ scale. The PHQ-9's ≥ 7 cut-off point showed the highest sensitivity and specificity when contrasted against a psychiatric diagnosis of depression (gold standard). For a similar contrast, the other optimal cut-off points were: ≥ 7 for the PHQ-8, and ≥ 2 for the PHQ-2. In addition, the algorithm scoring or algorithm-adjusted scoring methods for the PHQ-9 had a lower balance between sensitivity and specificity scores than the PHQ-9 raw score scoring method with a cut-off ≥ 7 . In the case of GAD, the small number of participants with actual anxiety made it impossible to determine an optimal cut-off point. However, we present the sensitivity and specificity of each cut-off point. We confirmed the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7, while all scales showed good internal consistency.

Contrast to literature

At the PHQ-9 level, evidence suggests that the raw score approach is more valuable than diagnostic algorithms [33], which is consistent with our findings. For the cut-off, different systematic reviews agree that the most commonly used cut-off is ≥ 10 [33, 60]. The optimal cut-off reported in our study was slightly lower than that suggested by the other studies, and two possible factors could explain this difference. Firstly, our population is inpatients in different areas of a high-complexity hospital. Other studies of hospitalised cancer patients [61], hospitalised neurology patients [62], and patients with coronary heart disease [63] also found an optimal cut-off between 5 and 7 points. Therefore, hospitalised individuals may be more likely to have depressive symptoms, which may require a lower cut-off on the PHQ-9. Second, several studies in populations from low- and middle-income countries have reported cut-offs between 5 and 7, for example, Pakistani migrants in the UK [64], Indian adolescents [65], and primary care in Ethiopia [66]. One reason for the difference in cut-off points between high and low-income countries may be due to cultural factors, as culturally diverse groups do

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4 not achieve invariance between the PHQ-9 and the GAD-7 [67]. Therefore, factors such as social
5 determinants of health present in such countries may influence cut-off.
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8 Concerning the PHQ-8 and PHQ-9, we found that both scales have similar cut-off points (≥ 7). Our
9 findings are consistent with a meta-analysis that found that the cut-offs between the two scales are
10 identical; although sensitivity may be minimally reduced with the PHQ-8, specificity is similar between
11 the two scales [36]. The PHQ-8 does not include the item corresponding to suicidal or self-harming
12 ideation, and the use of this version of the PHQ is common in the general population, as suicidal
13 ideation is less common in this group [16]. However, at the level of clinical populations, it has been
14 found that omitting this item does not significantly alter the measurement capabilities of the PHQ, as
15 the correlation between the PHQ-8 and PHQ-9 in clinical populations is very close to one [68].
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19 Regarding the GAD-7, our findings are consistent with a meta-analysis that evaluated all possible cut-
20 off points and reported that ≥ 8 is the most appropriate for anxiety disorder [18]. It also notes that
21 scores between 7 and 10 points have similar sensitivity and specificity values [18]. Other recent primary
22 studies conducted in hospitalised populations or people with chronic diseases in hospital settings also
23 found optimal cut-offs between 7 and 10 points [69-71].
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27 Our results on PHQ-2 was in line with meta-analyses supporting the use of the cut-off of 2 for PHQ-2
28 [35, 72]. Also, the values most frequents for GAD-2 are cut-off ≥ 2 and ≥ 3 [18, 37, 73]. The meta-
29 analyses mentioned included studies in general populations (i.e., people attending primary care) and
30 people hospitalised for non-communicable or infectious diseases. However, no meta-analyses were
31 found that evaluated cut-off for hospitalised people only. At the level of primary studies, the evidence
32 suggests that cut-off vary between 2 and 3 points for the PHQ-2 and GAD-2 [74, 75].
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36 Regarding internal validity, a systematic review examined the factor structure of the PHQ-9, noting
37 that the one-dimensional model has been repeatedly confirmed across studies [76]. Although several
38 studies evaluated alternative multidimensional models (e.g., two-dimensional, three-dimensional, or
39 bifactorial models), their dimensions are often highly correlated with each other, so there may be
40 overlapping [76]. We did not find systematic reviews on the internal structure of the GAD-7 and the
41 PHQ-8. However, several studies support the one-dimensional model in hospitalised patients for both
42 the PHQ-8 [77] and GAD-7 [21, 27]. In Peru, the GAD-7 and PHQ-9 have shown evidence of a one-
43 dimensional factor structure in different populations, such as the general population [20], pregnant
44 women [21], and university students [52, 78]. However, no studies have been found evaluating the
45 factor structure of the PHQ-8 in the Peruvian population.
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50 Our study focuses on a hospital-based clinical population with one or more physical morbidities, it is
51 important to consider that our finding of a different cut-off point, equal to or greater than 10 points
52 for PHQ, may be influenced by the characteristics of this specific population. It is relevant to note that
53 other studies conducted in hospital settings have found cut-off points lower than the recommendation
54 of equal to or greater than 10 [79, 80]. It is important to bear in mind that the cut-off point may vary
55 depending on the reference group and the context in which it is applied.
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4 Our study used the Youden index to determine the optimal cut-off, but it is important to consider that
5 the cut-off may vary depending on the sample size. A recent simulation study found that for large
6 samples of more than 1000 participants, the optimal sensitivity and specificity values can vary by up
7 to approximately 2 points from the optimal cut-off in cross-sectional studies [81]. Therefore, while a
8 sample size calculation was performed to ensure adequate power, we cannot rule out the use of a cut-
9 off of 10 or more for the Peruvian population. However, within the study, we present the sensitivity
10 and specificity found for such a cut-off.
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16 Public health implications

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18 The evaluated instruments are widely used in clinical practice and research to measure symptoms of
19 depression and anxiety, but from today, users will have optimal cut-off points for interpretations. This
20 can help healthcare professionals identify people at risk of depression and anxiety more accurately
21 while informing decisions about their formal diagnosis and consequent treatment. This is especially
22 valuable in hospital environments, where time is crucial.
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26 Our findings are of particular interest to the Peruvian health system, which has clinical practice
27 guidelines for depression that recommend the PHQ-9 as a screening tool in primary care and hospital
28 context [82]. Although our results correspond only to a hospital population, our study is the closest
29 approximation to an evaluation of sensitivity and specificity in the Peruvian context, in the absence of
30 similar studies in primary care. On the other hand, there is a lack of national clinical practice guidelines
31 for screening and managing anxiety in Peru. Therefore, our study could contribute to future clinical
32 practice guidelines for generalised anxiety disorder.
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36 Although our study found alternative cut-off points to the standard (cut-off ≥ 10) for the PHQ-9 and
37 PHQ-8 questionnaires, it is important to note that in certain contexts, higher specificity values (cut-off
38 ≥ 10) may be necessary. These higher values enable a more accurate identification of individuals
39 without depression or anxiety, thereby reducing the likelihood of false-positive results. This reduction
40 in false positives is particularly crucial for alleviating the burden on the healthcare system. A screening
41 tool with high specificity avoids unnecessary diagnoses and optimizes the use of healthcare resources.
42 Therefore, utilizing a cut-off point of 10 or higher for the PHQ-9, PHQ-8, and GAD-7 can facilitate the
43 early and accurate identification of true cases of depression and anxiety, ensuring that resources are
44 appropriately focused on those who need care and treatment.
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50 Strengths and limitations

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52 Our results of the study have several strengths. First, to our knowledge, this is the first study in a
53 Peruvian context that evaluates the factorial structure of all PHQ and GAD versions in a hospitalised
54 population. Second, the scales were administered by a team of healthcare professionals with more
55 than five years of experience in the clinical assessment of these patients. Third, the sample size was
56 large enough to support all analyses and conclusions. Further, our sample size was larger than other
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4 recently published studies' [60]. Fourth, our study is the first Peruvian study to evaluate the sensitivity
5 and specificity of the PHQ.
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8 Our study has limitations. First, we conducted the study only in a hospital context in a Peruvian city,
9 which limits its applicability to other settings in Peru or other countries. However, it could be used in
10 other Peruvian hospital contexts with similar characteristics, which is relevant because hospital care in
11 Peru (levels II and III of complexity) represents 58.65% of total care [83]. Secondly, the generalisability
12 of our results may be limited because the sampling is not probabilistic, as it does not include other
13 hospitals. However, the hospital where we conducted the study serves 1.1% of all nationally insured
14 EsSalud patients (<http://www.essalud.gob.pe/estadistica-institucional/>). It is also a national referral
15 hospital, which means that people from all over the country are referred to this hospital for treatment.
16 Therefore, the representativeness of the results is ensured. Thirdly, we used an individual psychiatric
17 interview according to the ICD-10 criteria as a gold standard. We were not able to use the Composite
18 International Diagnostic Interview (CIDI) or the Standardised Clinical Assessment (SCID), more typical
19 gold standards, because of the time constraints involved in conducting such interviews. In Peru, health
20 systems are overburdened, and it is not feasible to have lengthy sessions with highly specialised
21 professionals to conduct such structured interviews. However, based on our experience, we believe
22 that a psychiatric interview is a sufficient benchmark in this context. Fourthly, our study identified a
23 limited number of individuals (n=28) with a diagnosed anxiety condition. Consequently, minor
24 variations in the study cohort could potentially impact the sensitivity or specificity [81]. Nonetheless,
25 we have ensured sufficient statistical power for our analysis based on our sample size calculation.
26 Moreover, all cohort scores on the GAD scale are provided, which can be valuable for future research
27 involving larger numbers of individuals diagnosed with anxiety (refer to supplementary material 7).
28 Fifth, our study allows us to obtain sensitivity and specificity values for users in inpatient mental health
29 settings; however, our findings are not generalisable to physical outpatients.
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38 Conclusions

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40 The PHQ-9's ≥ 7 cut-off point showed the highest simultaneous sensitivity and specificity when
41 contrasted against a psychiatric diagnosis of depression. For a similar contrast against the gold
42 standard, the other optimal cut-off points were: ≥ 7 for the PHQ-8, and ≥ 2 for the PHQ-2. Also, we
43 present the sensitivity and specificity values of each cut-off point in GAD-7 and GAD-2. We confirmed
44 the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7, while all PHQ and GAD
45 scales showed good reliability.
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Tables, Figures, and Supplementary material

Table 1. Sociodemographic characteristics (n=1347).

Table 2. Raw scores and internal consistency (n=1347).

Supplementary material 1. Sex and age of the participants in our study and of the total number of people hospitalised in 2022.

Supplementary material 2. Script of R used in our study.

Supplementary material 3. Do-file of STATA used in our study.

Supplementary material 4. Flowchart.

Supplementary material 5. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.

Supplementary material 6. Sensitivity, specificity, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.

Supplementary material 7. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different GAD cut-off points compared to the gold standard.

Contributions

DVZ contributed to the conceptualizing the study, designing the methodology, developing the software tools, validating the results, conducting formal analyses, curating, and managing the data, and contributed to the initial drafting and visualization of the manuscript. JB contributed to the formal analysis, performed investigations, and aided in visualizing the findings. SOA participated in the investigation phase and contributed to the initial drafting of the manuscript. NMP engaged in formal analysis, conducted investigations, and contributed to the initial drafting of the manuscript. JCB contributed to the methodology, conducted investigations, provided critical input for the manuscript in the review and editing stages, and played a supervisory role. JHV contributed to the conceptualizing the study, designing the methodology, developing software tools, validating the results, conducting investigations, managing resources, curating data, project administration responsibilities, and participated in reviewing and editing the manuscript.

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Competing interests

The authors declare that they have no conflicts of interest.

Patient consent for publication

Not applicable.

Ethics approval

The Hospital Nacional Guillermo Almenara Irigoyen's Institutional Review Board (Nota N°52 CIEI-OIyD-GRPA-Essalud-2023) approved the original study and the current analysis. Throughout the study, the researchers did not have access to any identifying information about the participants. In addition, participants gave informed consent. All participants were users of the hospital's Liaison Psychiatry Unit, so all received psychological or psychiatric care as needed.

Data availability statement

Data are available upon reasonable request.

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11 **hospital: a cross-sectional study.** *Gen Hosp Psychiatry* 2013, **35**(6):592-597.
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Table 1. Sociodemographic characteristics (n=1347).

	n	%
Sex		
Men	547	40.6%
Women	800	59.4%
Age (categories)		
18-29	107	7.9%
30-39	164	12.2%
40-49	214	15.9%
50-59	284	21.1%
60-69	294	21.8%
70-79	203	15.1%
80 to more	81	6.0%
Civil status		
Single	329	24.4%
Married or Cohabitant	768	57.0%
Separated	133	9.9%
Widowed	117	8.7%
Education level		
None	13	1.0%
Elementary school	135	10.0%
High school	478	35.5%
Technical	246	18.2%
University	475	35.3%
Currently works		
No	330	24.5%
Yes	778	57.8%
Retired	239	17.7%
Living alone		
Yes	99	7.3%
No	1248	92.7%
History of psychiatric diagnosis		
Yes	388	28.8%
No	959	71.2%
Diagnosis of depression		
No	1013	75.2%
Yes	334	24.8%
Diagnosis of anxiety		
No	1319	97.9%
Yes	28	2.1%
Physical illnesses		
A00-B99 Certain infectious and parasitic diseases	109	8.1%
C00-D48 Neoplasms, and diseases of the blood and haematopoietic organs and other disorders affecting the mechanism of immunity	348	25.8%
E00-E90 Endocrine, nutritional and metabolic diseases	130	9.7%
G00-G99 Diseases of the nervous system	96	7.1%
H00-H59 Diseases of the eye and adnexa	17	1.3%
H60-H95 Diseases of the ear and mastoid process	17	1.3%
I00-I99 Diseases of the circulatory system	111	8.2%
J00-J99 Diseases of the respiratory system	107	7.9%
K00-K93 Diseases of the gastro-intestinal tract	106	7.9%
L00-L99 Diseases of the skin and subcutaneous tissues	74	5.5%
M00-M99 Diseases of the musculo-skeletal system and connective tissue	97	7.2%
N00-N99 Diseases of the genito-urinary system	97	7.2%
O00-O99 Pregnancy, childbirth and puerperium	10	0.7%
P00-P96 Certain conditions originating in the perinatal period	0	0.0%
Q00-Q99 Congenital malformations, deformities and chromosome anomalies	6	0.4%
R00-R99 Symptoms, signs and abnormal clinical and laboratory	46	3.4%

1			
2			
3	findings, not elsewhere classified		
4	S00-T98 Trauma, poisoning and certain other consequences of	50	3.7%
5	external cause		
6	V01-Y98 External causes of morbidity and mortality	4	0.3%
7	Z00-Z99 Factors influencing health status and contact with	90	6.7%
8	health care services		
9	U00-U99 Codes for special situations	28	2.1%

10 Note: n=number. %=Percentage.

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Table 2. Raw scores and internal consistency (n=1347).

	M	SD	Min	Max	α	ω
(1) PHQ-9 score	6.4	5.0	0	27	0.89	0.86
(2) PHQ-8 score	6.1	4.7	0	24	0.88	0.85
(3) PHQ-2 score	1.9	1.6	0	6	0.83	0.80
(4) GAD-7 score	5.1	3.9	0	21	0.85	0.81
(5) GAD-2 score	1.7	1.4	0	6	0.74	0.70

Note: α = Classical alpha. ω = Mcdonald's omega.

Supplementary material 1. Sex and age of the participants in our study and of the total number of people hospitalised in 2022.

	Our study		Total inpatients in 2022		p
	n	%			
Sex					
Men	547	40.60%	9677	43.2%	0.971
Women	800	59.40%	12732	56.8%	
Age (categories)					
18-29	107	7.90%	1962	8.8%	1.000
30-39	164	12.20%	3486	15.6%	
40-49	214	15.90%	3145	14.0%	
50-59	284	21.10%	3497	15.6%	
60-69	294	21.80%	4276	19.1%	
70-79	203	15.10%	3806	17.0%	
80 to more	81	6.00%	2227	9.9%	

Note: p = chi-square test.

Supplementary material 2. Script of R used in our study.

```
1
2
3
4 library(lavaan)
5 library(semPlot)
6 library(semTools)
7 library(psych)
8 library(haven)
9 Database <- read_dta("E:/Database_v1.dta")
10
11 #PHQ-9
12 model.PHQ9 <- "
13 F1 =~ PHQ_1 + PHQ_2 + PHQ_3 + PHQ_4 + PHQ_5 + PHQ_6 + PHQ_7 + PHQ_8 + PHQ_9"
14
15 fit.model.PHQ9 <- cfa(model.PHQ9, data=Database, estimator="WLSMV", missing = "listwise",
16 ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7", "PHQ_8",
17 "PHQ_9"))
18
19 summary(fit.model.PHQ9, fit.measures=TRUE, standardized=TRUE)
20
21 reliability(fit.model.PHQ9)
22
23 #PHQ-8
24 model.PHQ8 <- "
25 F1 =~ PHQ_1 + PHQ_2 + PHQ_3 + PHQ_4 + PHQ_5 + PHQ_6 + PHQ_7 + PHQ_8"
26
27 fit.model.PHQ8 <- cfa(model.PHQ8, data=Database, estimator="WLSMV", missing =
28 "listwise", ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7",
29 "PHQ_8", "PHQ_9"))
30
31 summary(fit.model.PHQ8, fit.measures=TRUE, standardized=TRUE)
32
33 reliability(fit.model.PHQ8)
34
35 #PHQ-2
36 model.PHQ2 <- "
37 F1 =~ PHQ_1 + PHQ_2"
38
39 fit.model.PHQ2 <- cfa(model.PHQ2, data=Database, estimator="WLSMV", missing =
40 "listwise", ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7",
41 "PHQ_8", "PHQ_9"))
42
43 summary(fit.model.PHQ2, fit.measures=TRUE, standardized=TRUE)
44
45 reliability(fit.model.PHQ2)
46
47 #GAD-7
48 model.GAD7 <- "
49 F1 =~ GAD1 + GAD2 + GAD3 + GAD4 + GAD5 + GAD6 + GAD7"
50
51 fit.model.GAD7 <- cfa(model.GAD7, data=Database, estimator="WLSMV", missing =
52 "listwise", ordered = c("GAD1", "GAD2", "GAD3", "GAD4", "GAD5", "GAD6", "GAD7"))
53
54 summary(fit.model.GAD7, fit.measures=TRUE, standardized=TRUE)
55
56 reliability(fit.model.GAD7)
57
58 #GAD-2
59 model.GAD2 <- "
60 F1 =~ GAD1 + GAD2"
61
62 fit.model.GAD2 <- cfa(model.GAD2, data=Database, estimator="WLSMV", missing =
63 "listwise", ordered = c("GAD1", "GAD2", "GAD3", "GAD4", "GAD5", "GAD6", "GAD7"))
64
65 summary(fit.model.GAD2, fit.measures=TRUE, standardized=TRUE)
66
67 reliability(fit.model.GAD2)
```

Supplementary material 3. Do-file of STATA used in our study.

```
use "E:\Database_v1.dta", clear
```

***Table 1 - Socio-demographic analysis**

```
global catvars_table1 sex agecat civilstatus educationcat work Livingalone  
Historypsychiatricdx depression anxiety
```

```
about $catvars_table1 depression using Table1.xlsx, ///  
  replace c(freq col) clab(No. %) f(0c 1p) style(xlsx) font(bold) ///  
  ptotal(none) stats(chi2) stpos(col) ppos(only) plab(P value) ///  
  title(Table 1. Sociodemographic characteristics) ///  
  fn(Note: n=number, %=Percentage.) twidth(14) sheet(Table1)
```

*** Table 2. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.**

```
tab cutoffPHQ9_7  
diagt depression cutoffPHQ9_7  
tab cutoffPHQ9_10  
tab PHQ9algorithm  
diagt depression PHQ9algorithm  
tab PHQ9ajus_algorithm  
diagt depression PHQ9ajus_algorithm  
diagt depression cutoffPHQ9_10  
tab cutoffPHQ8_7  
diagt depression cutoffPHQ8_7  
tab cutoffPHQ2_2  
diagt depression cutoffPHQ2_2  
tab cutoffGAD7_8  
diagt anxiety cutoffGAD7_8  
tab cutoffGAD7_10  
diagt anxiety cutoffGAD7_10  
tab cutoffGAD2_2  
diagt anxiety cutoffGAD2_2
```

*** Supplementary material 3. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.**

*** PHQ-9 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

```
tab cutoffPHQ9_1  
diagt depression cutoffPHQ9_1  
tab cutoffPHQ9_2  
diagt depression cutoffPHQ9_2  
tab cutoffPHQ9_3  
diagt depression cutoffPHQ9_3  
tab cutoffPHQ9_4  
diagt depression cutoffPHQ9_4  
tab cutoffPHQ9_5  
diagt depression cutoffPHQ9_5  
tab cutoffPHQ9_6  
diagt depression cutoffPHQ9_6  
tab cutoffPHQ9_7  
diagt depression cutoffPHQ9_7  
tab cutoffPHQ9_8  
diagt depression cutoffPHQ9_8  
tab cutoffPHQ9_9  
diagt depression cutoffPHQ9_9  
tab cutoffPHQ9_10  
diagt depression cutoffPHQ9_10  
tab cutoffPHQ9_11  
diagt depression cutoffPHQ9_11  
tab cutoffPHQ9_12  
diagt depression cutoffPHQ9_12
```

1
2
3 tab cutoffPHQ9_13
4 diagt depression cutoffPHQ9_13
5 tab cutoffPHQ9_14
6 diagt depression cutoffPHQ9_14
7 tab cutoffPHQ9_15
8 diagt depression cutoffPHQ9_15
9 tab cutoffPHQ9_16
10 diagt depression cutoffPHQ9_16
11 tab cutoffPHQ9_17
12 diagt depression cutoffPHQ9_17
13 tab cutoffPHQ9_18
14 diagt depression cutoffPHQ9_18
15 tab cutoffPHQ9_19
16 diagt depression cutoffPHQ9_19
17 tab cutoffPHQ9_20
18 diagt depression cutoffPHQ9_20
19 tab cutoffPHQ9_21
20 diagt depression cutoffPHQ9_21
21 tab cutoffPHQ9_22
22 diagt depression cutoffPHQ9_22
23 tab cutoffPHQ9_23
24 diagt depression cutoffPHQ9_23
25 tab cutoffPHQ9_24
26 diagt depression cutoffPHQ9_24
27 tab cutoffPHQ9_25
28 diagt depression cutoffPHQ9_25
29 tab cutoffPHQ9_26
30 diagt depression cutoffPHQ9_26
31 tab cutoffPHQ9_27
32 diagt depression cutoffPHQ9_27

***PHQ-8 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

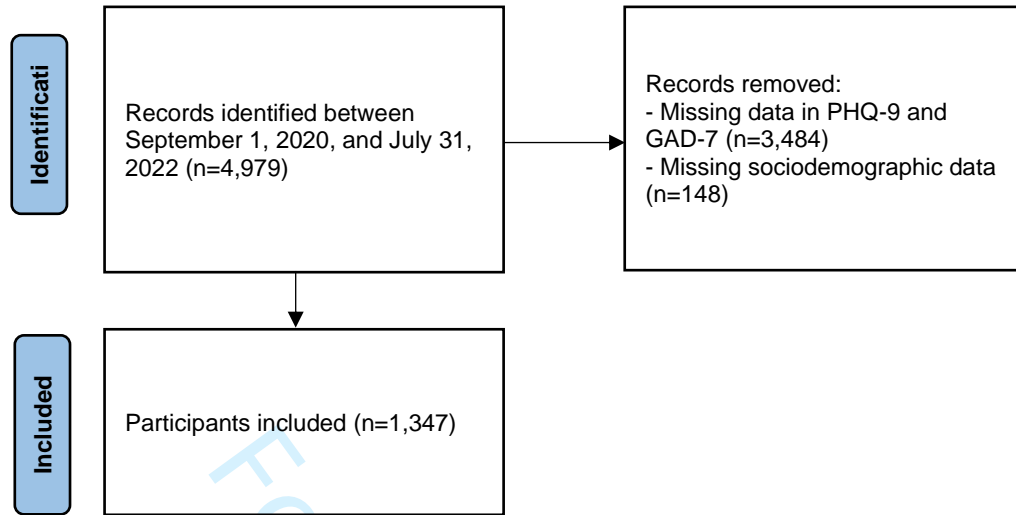
31 tab cutoffPHQ8_1
32 diagt depression cutoffPHQ8_1
33 tab cutoffPHQ8_2
34 diagt depression cutoffPHQ8_2
35 tab cutoffPHQ8_3
36 diagt depression cutoffPHQ8_3
37 tab cutoffPHQ8_4
38 diagt depression cutoffPHQ8_4
39 tab cutoffPHQ8_5
40 diagt depression cutoffPHQ8_5
41 tab cutoffPHQ8_6
42 diagt depression cutoffPHQ8_6
43 tab cutoffPHQ8_7
44 diagt depression cutoffPHQ8_7
45 tab cutoffPHQ8_8
46 diagt depression cutoffPHQ8_8
47 tab cutoffPHQ8_9
48 diagt depression cutoffPHQ8_9
49 tab cutoffPHQ8_10
50 diagt depression cutoffPHQ8_10
51 tab cutoffPHQ8_11
52 diagt depression cutoffPHQ8_11
53 tab cutoffPHQ8_12
54 diagt depression cutoffPHQ8_12
55 tab cutoffPHQ8_13
56 diagt depression cutoffPHQ8_13
57 tab cutoffPHQ8_14
58 diagt depression cutoffPHQ8_14
59 tab cutoffPHQ8_15
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```
1
2
3     tab cutoffPHQ8_18
4     diagt depression cutoffPHQ8_18
5     tab cutoffPHQ8_19
6     diagt depression cutoffPHQ8_19
7     tab cutoffPHQ8_20
8     diagt depression cutoffPHQ8_20
9     tab cutoffPHQ8_21
10    diagt depression cutoffPHQ8_21
11    tab cutoffPHQ8_22
12    diagt depression cutoffPHQ8_22
13    tab cutoffPHQ8_23
14    diagt depression cutoffPHQ8_23
15    tab cutoffPHQ8_24
16    diagt depression cutoffPHQ8_24
17
18    * PHQ-9 algorithm - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
19
20    tab PHQ9algorithm
21    diagt depression PHQ9algorithm
22
23    * PHQ-9 adjusted algorithm - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's
24    index
25
26    tab PHQ9ajus_algorithm
27    diagt depression PHQ9ajus_algorithm
28
29    * PHQ-2 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
30
31    tab cutoffPHQ2_1
32    diagt depression cutoffPHQ2_1
33    tab cutoffPHQ2_2
34    diagt depression cutoffPHQ2_2
35    tab cutoffPHQ2_3
36    diagt depression cutoffPHQ2_3
37    tab cutoffPHQ2_4
38    diagt depression cutoffPHQ2_4
39    tab cutoffPHQ2_5
40    diagt depression cutoffPHQ2_5
41    tab cutoffPHQ2_6
42    diagt depression cutoffPHQ2_6
43
44    *Supplementary material 4. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's
45    index for different GAD cut-off points compared to the gold standard.
46    *GAD-7 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
47
48    tab cutoffGAD7_1
49    diagt anxiety cutoffGAD7_1
50    tab cutoffGAD7_2
51    diagt anxiety cutoffGAD7_2
52    tab cutoffGAD7_3
53    diagt anxiety cutoffGAD7_3
54    tab cutoffGAD7_4
55    diagt anxiety cutoffGAD7_4
56    tab cutoffGAD7_5
57    diagt anxiety cutoffGAD7_5
58    tab cutoffGAD7_6
59    diagt anxiety cutoffGAD7_6
60    tab cutoffGAD7_7
61    diagt anxiety cutoffGAD7_7
62    tab cutoffGAD7_8
63    diagt anxiety cutoffGAD7_8
64    tab cutoffGAD7_9
65    diagt anxiety cutoffGAD7_9
66    tab cutoffGAD7_10
67    diagt anxiety cutoffGAD7_10
68    tab cutoffGAD7_11
69    diagt anxiety cutoffGAD7_11
```

```

1
2
3     tab cutoffGAD7_12
4     diagt anxiety cutoffGAD7_12
5     tab cutoffGAD7_13
6     diagt anxiety cutoffGAD7_13
7     tab cutoffGAD7_14
8     diagt anxiety cutoffGAD7_14
9     tab cutoffGAD7_15
10    diagt anxiety cutoffGAD7_15
11    tab cutoffGAD7_16
12    diagt anxiety cutoffGAD7_16
13    tab cutoffGAD7_17
14    diagt anxiety cutoffGAD7_17
15    tab cutoffGAD7_18
16    diagt anxiety cutoffGAD7_18
17    tab cutoffGAD7_19
18    diagt anxiety cutoffGAD7_19
19    tab cutoffGAD7_20
20    diagt anxiety cutoffGAD7_20
21    tab cutoffGAD7_21
22    diagt anxiety cutoffGAD7_21
23
24    * GAD-2 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
25
26    tab cutoffGAD2_0
27    diagt anxiety cutoffGAD2_0
28    tab cutoffGAD2_1
29    diagt anxiety cutoffGAD2_1
30    tab cutoffGAD2_2
31    diagt anxiety cutoffGAD2_2
32    tab cutoffGAD2_3
33    diagt anxiety cutoffGAD2_3
34    tab cutoffGAD2_4
35    diagt anxiety cutoffGAD2_4
36    tab cutoffGAD2_5
37    diagt anxiety cutoffGAD2_5
38    tab cutoffGAD2_6
39    diagt anxiety cutoffGAD2_6
40
41    *Figures 2 - ROC curve
42
43    roccomp depression PHQ9TOTAL PHQ8TOTAL PHQ9algorithm PHQ9ajus_algorithm PHQ2TOTAL, graph
44    summary plotlopts(mcolor(red) lcolor(red)) plot2opts(mcolor(blue) lcolor(blue))
45    plot3opts(mcolor(orange) lcolor(orange)) plot4opts(mcolor(green) lcolor(green))
46    plot5opts(mcolor(purple) lcolor(purple)) legend(order(1 "PHQ-9 (AUC=0.805 [0.779-
47    0.831])" 2 "PHQ-8 (AUC=0.802 [0.776-0.828])" 3 "PHQ-9 algorithm (AUC=0.641 [0.614-
48    0.667])" 4 "PHQ-9 adjusted algorithm (AUC=0.723 [0.696-0.749])" 5 "PHQ-2 (AUC=0.771
49    [0.743-0.799])" 6 "Reference") size(2.5) position(7) cols(2) rows(3))
50
51    graph export "E:\Figure2.tif", as(tif) replace
52
53    *Figures 3 - ROC curve
54
55    roccomp anxiety GAD7TOTAL GAD2TOTAL, graph summary plotlopts(mcolor(red) lcolor(red))
56    plot2opts(mcolor(blue) lcolor(blue)) legend(order(1 "GAD-7 (AUC=0.718 [0.622-0.814])" 2
57    "GAD-2 (AUC=0.685 [0.587-0.783])" 3 "Reference") size(2.5) position(7) cols(2) rows(3))
58
59    graph export "E:\Figure3.tif", as(tif) replace
60

```



Supplementary material 4. Flowchart.

Supplementary material 5. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden’s index for different PHQ cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
PHQ-9	≥1	1246 (92.5)	99.7 (98.3 - 100.0)	9.9 (8.1 - 11.9)	1.11 (1.08 - 1.13)	0.03 (0.00 - 0.22)	26.7 (24.3 - 29.3)	99.0 (94.6 - 100.0)	9.6
	≥2	1145 (85.0)	98.2 (96.1 - 99.3)	19.3 (17.0 - 21.9)	1.22 (1.18 - 1.26)	0.93 (0.04 - 0.21)	28.6 (26.0 - 31.4)	97.0 (93.6 - 98.9)	17.5
	≥3	1004 (74.5)	95.5 (92.7 - 97.5)	32.4 (29.5 - 35.4)	1.41 (1.35 - 1.48)	0.14 (0.84 - 0.23)	31.8 (28.9 - 34.8)	95.6 (92.9 - 97.5)	27.9
	≥4	850 (63.1)	91.3 (87.8 - 94.1)	46.2 (43.1 - 49.3)	1.70 (1.59 - 1.81)	0.19 (0.13 - 0.27)	35.9 (32.7 - 39.2)	94.2 (91.7 - 96.1)	37.5
	≥5	720 (53.5)	87.4 (83.4 - 90.8)	57.7 (54.6 - 60.8)	2.07 (1.90 - 2.25)	0.22 (0.16 - 0.29)	40.6 (36.9 - 44.2)	93.3 (91.1 - 95.1)	45.1
	≥6	627 (46.6)	80.5 (75.9 - 84.6)	64.7 (61.6 - 67.6)	2.28 (2.07 - 2.52)	0.30 (0.24 - 0.38)	42.9 (39.0 - 46.9)	91.0 (88.6 - 93.0)	45.2
	≥7	537 (39.9)	76.0 (71.1 - 80.5)	72.1 (69.2 - 74.8)	2.72 (2.42 - 3.06)	0.33 (0.27 - 0.40)	47.3 (43.0 - 51.6)	90.1 (87.9 - 92.1)	48.1
	≥8	454 (33.7)	68.9 (63.6 - 73.8)	77.9 (75.2 - 80.4)	3.11 (2.72 - 3.57)	0.40 (0.34 - 0.47)	50.7 (46.0 - 55.4)	88.4 (86.1 - 90.4)	46.8
	≥9	371 (27.5)	60.2 (54.7 - 65.5)	83.2 (80.8 - 85.5)	3.59 (3.05 - 4.22)	0.48 (0.42 - 0.55)	54.2 (49.0 - 59.3)	86.4 (84.1 - 88.5)	43.4
	≥10	309 (22.9)	54.2 (48.7 - 59.6)	87.4 (85.2 - 89.3)	4.29 (3.55 - 5.18)	0.52 (0.47 - 0.59)	58.6 (53.9 - 63.1)	85.3 (83.7 - 86.7)	41.6
	≥11	252 (18.7)	44.9 (39.5 - 50.4)	89.9 (87.9 - 91.7)	4.46 (3.58 - 5.55)	0.61 (0.56 - 0.68)	59.5 (53.2 - 65.6)	83.2 (80.8 - 85.4)	34.8
	≥12	212 (15.7)	38.9 (33.7 - 44.4)	91.9 (90.1 - 93.5)	4.81 (3.76 - 6.16)	0.67 (0.61 - 0.73)	61.3 (54.4 - 67.9)	82.0 (79.7 - 84.2)	30.8
	≥13	161 (12.0)	31.4 (26.5 - 36.7)	94.5 (92.9 - 95.8)	5.69 (4.21 - 7.67)	0.73 (0.67 - 0.78)	65.2 (57.3 - 72.5)	80.7 (78.3 - 82.9)	25.9
	≥14	138 (10.2)	26.3 (21.7 - 31.4)	95.1 (93.5 - 96.3)	5.34 (3.86 - 7.38)	0.78 (0.73 - 0.83)	63.8 (55.2 - 71.8)	79.7 (77.3 - 81.9)	21.4
	≥15	102 (7.6)	19.8 (15.6 - 24.4)	96.4 (95.1 - 97.5)	5.56 (3.78 - 8.19)	0.83 (0.79 - 0.88)	64.7 (54.6 - 73.9)	78.5 (76.1 - 80.7)	16.2
	≥16	79 (5.9)	15.3 (11.6 - 19.6)	97.2 (96.0 - 98.2)	5.52 (3.54 - 8.61)	0.87 (0.83 - 0.91)	64.6 (53.0 - 75.0)	77.7 (75.3 - 79.9)	12.5
	≥17	57 (4.2)	10.5 (7.4 - 14.3)	97.8 (96.7 - 98.6)	4.83 (2.87 - 8.11)	0.92 (0.88 - 0.95)	61.4 (47.6 - 74.0)	76.8 (74.4 - 79.1)	8.3
≥18	48 (3.6)	8.4 (5.6 - 11.9)	98.0 (97.0 - 98.8)	4.25 (2.42 - 7.44)	0.94 (0.90 - 0.97)	58.3 (43.2 - 72.4)	76.4 (74.0 - 78.7)	6.4	
≥19	40 (3.0)	6.9 (4.4 - 10.2)	98.3 (97.3 - 99.0)	4.10 (2.22 - 7.59)	0.95 (0.92 - 0.98)	57.5 (40.9 - 73.0)	76.2 (73.8 - 78.5)	5.2	
≥20	35 (2.4)	4.5 (2.5 - 7.3)	98.3 (97.3 - 99.0)	2.68 (1.35 - 5.30)	0.97 (0.95 - 1.00)	46.9 (29.1 - 65.3)	75.7 (73.3 - 78.0)	2.8	
≥21	26 (1.9)	3.9 (2.1 - 6.6)	98.7 (97.8 - 99.3)	3.03 (1.42 - 6.48)	0.97 (0.95 - 1.00)	50.0 (29.9 - 70.1)	75.7 (73.3 - 78.0)	2.6	
≥22	20 (1.5)	2.7 (1.2 - 5.1)	98.9 (98.1 - 99.5)	2.48 (1.04 - 5.94)	0.98 (0.97 - 1.00)	45.0 (23.1 - 68.5)	75.5 (73.1 - 77.8)	1.6	
≥23	16 (1.2)	1.5 (0.5 - 3.5)	98.9 (98.1 - 99.5)	1.38 (0.48 - 3.94)	1.00 (0.98 - 1.01)	31.3 (11.0 - 58.7)	75.3 (72.9 - 77.6)	0.4	
≥24	10 (0.7)	0.9 (0.2 - 2.6)	99.3 (98.6 - 99.7)	1.30 (0.34 - 5.00)	1.00 (0.99 - 1.01)	30.0 (6.7 - 65.2)	75.2 (72.8 - 77.5)	0.2	
≥25	4 (0.3)	0.3 (0.0 - 1.7)	99.7 (99.1 - 99.9)	1.01 (0.11 - 9.69)	1.00 (0.99 - 1.01)	25.0 (0.6 - 80.6)	75.2 (72.8 - 77.5)	0.0	
≥26	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
≥27	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
PHQ-8	≥1	1244 (92.4)	99.4 (97.9 - 99.9)	10.0 (8.2 - 12.0)	1.10 (1.08 - 1.13)	0.06 (0.01 - 0.24)	26.7 (24.2 - 29.2)	98.1 (93.2 - 99.8)	9.4
	≥2	1141 (84.7)	98.2 (96.1 - 99.3)	19.7 (17.3 - 22.3)	1.22 (1.18 - 1.27)	0.09 (0.04 - 0.20)	28.7 (26.1 - 31.5)	97.1 (93.8 - 98.9)	17.9
	≥3	998 (74.1)	95.5 (92.7 - 97.5)	33.0 (30.1 - 36.0)	1.42 (1.36 - 1.50)	0.14 (0.08 - 0.23)	32.0 (29.1 - 35.0)	95.7 (93.0 - 97.6)	28.5
	≥4	846 (62.8)	91.3 (87.8 - 94.1)	46.6 (43.5 - 49.7)	1.71 (1.60 - 1.83)	0.19 (0.13 - 0.27)	36.1 (32.8 - 39.4)	94.2 (91.8 - 96.1)	37.9
	≥5	701 (52.0)	85.6 (81.4 - 89.2)	59.0 (55.9 - 62.1)	2.09 (1.92 - 2.28)	0.24 (0.19 - 0.32)	40.8 (37.1 - 44.5)	92.6 (90.3 - 94.5)	44.7
	≥6	611 (45.4)	79.9 (75.2 - 84.1)	66.0 (63.0 - 69.0)	2.35 (2.13 - 2.61)	0.30 (0.24 - 0.38)	43.7 (39.7 - 47.7)	90.9 (88.6 - 92.9)	46.0
	≥7	521 (38.7)	74.3 (69.2 - 78.9)	73.1 (70.2 - 75.8)	2.76 (2.44 - 3.10)	0.35 (0.29 - 0.42)	47.6 (43.2 - 52.0)	89.6 (87.3 - 91.6)	47.4

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4		≥8	428 (31.8)	65.6 (60.2 - 70.7)	79.4 (76.7 - 81.8)	3.18 (2.75 - 3.67)	0.43 (0.37 - 0.50)	51.2 (46.3 - 56.0)	87.5 (85.2 - 89.6)	44.9
5		≥9	357 (26.5)	58.4 (52.9 - 63.7)	84.0 (81.6 - 86.2)	3.65 (3.09 - 4.32)	0.50 (0.44 - 0.56)	54.6 (49.3 - 59.9)	86.0 (83.6 - 88.1)	42.4
6		≥10	286 (21.2)	50.0 (44.5 - 55.5)	88.3 (86.1 - 90.2)	4.26 (3.48 - 5.20)	0.57 (0.51 - 0.63)	58.4 (52.4 - 64.2)	84.3 (81.9 - 86.4)	38.3
7		≥11	233 (17.3)	42.2 (36.9 - 47.7)	90.9 (89.0 - 92.6)	4.65 (3.69 - 5.86)	0.64 (0.58 - 0.70)	60.5 (53.9 - 66.8)	82.7 (80.3 - 84.9)	33.1
8		≥12	189 (14.0)	34.7 (29.6 - 40.1)	92.8 (91.0 - 94.3)	4.82 (3.70 - 6.28)	0.70 (0.65 - 0.76)	61.4 (54.0 - 68.4)	81.2 (78.8 - 83.4)	27.5
9		≥13	138 (10.2)	27.2 (22.5 - 32.4)	95.4 (93.9 - 96.6)	5.87 (4.22 - 8.17)	0.76 (0.71 - 0.82)	65.9 (57.4 - 73.8)	79.9 (77.5 - 82.1)	22.6
10		≥14	103 (8.1)	21.9 (17.5 - 26.7)	96.4 (95.1 - 97.5)	6.15 (4.21 - 8.99)	0.81 (0.76 - 0.86)	67.0 (57.3 - 75.7)	78.9 (76.5 - 81.2)	18.3
11		≥15	80 (5.9)	15.6 (11.9 - 19.9)	97.2 (96.0 - 98.2)	5.63 (3.62 - 8.77)	0.87 (0.83 - 0.91)	65.0 (53.5 - 75.3)	77.7 (75.3 - 80.0)	12.8
12		≥16	58 (4.3)	10.5 (7.4 - 14.3)	97.7 (96.6 - 98.6)	4.62 (2.77 - 7.70)	0.92 (0.88 - 0.95)	60.3 (46.6 - 73.0)	76.8 (74.4 - 79.1)	8.2
13		≥17	46 (3.4)	7.2 (4.7 - 10.5)	97.8 (96.7 - 98.6)	3.31 (1.88 - 5.82)	0.95 (0.92 - 0.98)	52.2 (36.9 - 67.1)	76.2 (73.8 - 78.5)	5.0
14		≥18	36 (2.7)	5.7 (3.5 - 8.7)	98.3 (97.3 - 99.0)	3.39 (1.78 - 6.44)	0.96 (0.93 - 0.99)	52.8 (35.5 - 69.6)	76.0 (73.6 - 78.3)	4.0
15		≥19	30 (2.2)	4.8 (2.8 - 7.7)	98.6 (97.7 - 99.2)	3.47 (1.71 - 7.03)	0.97 (0.94 - 0.99)	53.3 (34.3 - 71.7)	75.9 (73.4 - 78.1)	3.4
16		≥20	24 (1.8)	3.0 (1.4 - 5.4)	98.6 (97.7 - 99.2)	2.17 (0.97 - 4.83)	0.98 (0.96 - 1.00)	41.7 (22.1 - 63.4)	75.5 (73.1 - 77.8)	1.6
17		≥21	15 (1.1)	1.5 (0.5 - 3.5)	99.0 (98.2 - 99.5)	1.52 (0.52 - 4.41)	0.99 (0.98 - 1.01)	33.3 (11.8 - 61.6)	75.3 (72.9 - 77.6)	0.5
18		≥22	7 (0.5)	0.6 (0.1 - 2.1)	99.5 (98.9 - 99.8)	1.21 (0.24 - 6.22)	1.00 (0.99 - 1.01)	28.6 (3.7 - 71.0)	75.2 (72.8 - 77.5)	0.1
19		≥23	4 (0.3)	0.3 (0.0 - 1.7)	99.7 (99.1 - 99.9)	1.01 (0.11 - 9.69)	1.00 (0.99 - 1.01)	25.0 (0.6 - 80.6)	75.2 (72.8 - 77.5)	0.0
20		≥24	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2
21		PHQ-9 algorithm	183 (13.6)	34.7 (29.6 - 40.1)	93.4 (91.7 - 94.8)	5.25 (3.99 - 6.91)	0.70 (0.65 - 0.76)	63.4 (56.0 - 70.4)	81.3 (78.9 - 83.5)	28.1
22		PHQ-9 adjusted algorithm	601 (44.6)	78.1 (73.3 - 82.5)	66.4 (63.4 - 69.3)	2.33 (2.10 - 2.58)	0.33 (0.27 - 0.41)	43.4 (39.4 - 47.5)	90.2 (87.9 - 92.3)	44.5
23		PHQ-2 ≥1	1052 (78.1)	95.2 (92.3 - 97.2)	27.5 (24.8 - 30.4)	1.31 (1.26 - 1.37)	0.17 (0.11 - 0.28)	30.2 (27.5 - 33.1)	94.6 (91.3 - 96.9)	22.7
24		≥2	730 (54.2)	84.7 (80.4 - 88.4)	55.9 (52.8 - 59.0)	1.92 (1.77 - 2.09)	0.27 (0.21 - 0.35)	38.8 (35.2 - 42.4)	91.7 (89.3 - 93.8)	40.6
25		≥3	358 (26.6)	55.4 (49.9 - 60.8)	82.9 (80.5 - 85.2)	3.24 (2.75 - 3.83)	0.54 (0.48 - 0.61)	51.7 (46.4 - 57.0)	84.9 (82.6 - 87.1)	38.3
26		≥4	225 (16.7)	39.5 (34.2 - 45.0)	90.8 (88.9 - 92.5)	4.30 (3.40 - 5.44)	0.67 (0.61 - 0.73)	58.7 (51.9 - 65.2)	82.0 (79.6 - 84.2)	30.3
27		≥5	99 (7.4)	19.2 (15.1 - 23.8)	96.5 (95.2 - 97.6)	5.55 (3.74 - 8.22)	0.84 (0.79 - 0.88)	64.6 (54.4 - 74.0)	78.4 (76.0 - 80.6)	15.7
28		≥6	63 (4.7)	12.0 (8.7 - 15.9)	97.7 (96.6 - 98.6)	5.27 (3.21 - 8.68)	0.90 (0.87 - 0.94)	63.5 (50.4 - 75.3)	77.1 (74.7 - 79.4)	9.7

Note: +LR = Positive likelihood ratio. -LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden's Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. PHQ = Patient Health Questionnaire. Bold values represent the cohort point with the highest Youden Index.

Supplementary material 6. Sensitivity, specificity, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
PHQ-9	≥7	537 (39.9)	76.0 (71.1 - 80.5)	72.1 (69.2 - 74.8)	47.3 (43.0 - 51.6)	90.1 (87.9 - 92.1)	48.1
PHQ-9	≥8	454 (33.7)	68.9 (63.6 - 73.8)	77.9 (75.2 - 80.4)	50.7 (46.0 - 55.4)	88.4 (86.1 - 90.4)	46.8
PHQ-9	≥9	371 (27.5)	60.2 (54.7 - 65.5)	83.2 (80.8 - 85.5)	54.2 (49.0 - 59.3)	86.4 (84.1 - 88.5)	43.4
PHQ-9	≥10	309 (22.9)	54.2 (48.7 - 59.6)	87.4 (85.2 - 89.3)	58.6 (53.9 - 63.1)	85.3 (83.7 - 86.7)	41.6
PHQ-9 algorithm	-	183 (13.6)	34.7 (29.6 - 40.1)	93.4 (91.7 - 94.8)	63.4 (56.0 - 70.4)	81.3 (78.9 - 83.5)	28.1
PHQ-9 adjusted algorithm	-	601 (44.6)	78.1 (73.3 - 82.5)	66.4 (63.4 - 69.3)	43.4 (39.4 - 47.5)	90.2 (87.9 - 92.3)	44.5
PHQ-8	≥7	521 (38.7)	74.3 (69.2 - 78.9)	73.1 (70.2 - 75.8)	47.6 (43.2 - 52.0)	89.6 (87.3 - 91.6)	47.4
PHQ-8	≥8	428 (31.8)	65.6 (60.2 - 70.7)	79.4 (76.7 - 81.8)	51.2 (46.3 - 56.0)	87.5 (85.2 - 89.6)	44.9
PHQ-8	≥9	357 (26.5)	58.4 (52.9 - 63.7)	84.0 (81.6 - 86.2)	54.6 (49.3 - 59.9)	86.0 (83.6 - 88.1)	42.4
PHQ-8	≥10	286 (21.2)	50.0 (44.5 - 55.5)	88.3 (86.1 - 90.2)	58.4 (52.4 - 64.2)	84.3 (81.9 - 86.4)	38.3
PHQ-2	≥2	730 (54.2)	84.7 (80.4 - 88.4)	55.9 (52.8 - 59.0)	38.8 (35.2 - 42.4)	91.7 (89.3 - 93.8)	40.6
PHQ-2	≥3	358 (26.6)	55.4 (49.9 - 60.8)	82.9 (80.5 - 85.2)	51.7 (46.4 - 57.0)	84.9 (82.6 - 87.1)	38.3
GAD-7	≥7	360 (26.7)	53.6 (33.9 - 72.5)	73.8 (71.4 - 76.2)	4.2 (2.4 - 6.8)	98.7 (97.8 - 99.3)	27.4
GAD-7	≥8	295 (21.9)	53.6 (33.9 - 72.5)	78.8 (76.5 - 81.0)	5.1 (2.9 - 8.3)	98.8 (97.9 - 99.3)	32.4
GAD-7	≥9	230 (17.1)	42.9 (24.5 - 62.8)	83.5 (81.4 - 85.4)	5.2 (2.7 - 8.9)	98.6 (97.7 - 99.2)	26.4
GAD-7	≥10	164 (12.2)	39.3 (21.5 - 59.4)	88.4 (86.5 - 90.1)	6.7 (3.4 - 11.7)	98.6 (97.7 - 99.2)	27.7
GAD-2	≥2	681 (50.6)	82.1 (63.1 - 93.9)	50.1 (47.4 - 52.8)	3.4 (2.2 - 5.0)	99.2 (98.3 - 99.8)	32.2
GAD-2	≥3	283 (21.0)	42.9 (24.5 - 62.8)	79.5 (77.2 - 81.6)	4.2 (2.2 - 7.3)	98.5 (97.6 - 99.1)	22.4

Note: PPV= positive predictive value. NPV= negative predictive value. Youden's Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. GAD =Generalized Anxiety Disorder Scale. PHQ = Patient Health Questionnaire. Bold values represent the cohort point with the highest Youden Index. All cut-off points for the PHQ and GAD versions can be found in supplementary materials 3 and 4, respectively.

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Supplementary material 7. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden’s index for different GAD cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
GAD-7	≥1	1244 (92.4)	96.4 (81.7 - 99.9)	7.7 (6.4 - 9.3)	1.05 (0.97 - 1.12)	0.46 (0.07 - 3.19)	2.2 (1.4 - 3.1)	99.0 (94.7 - 100.0)	4.1
	≥2	1128 (83.7)	96.4 (81.7 - 99.9)	16.5 (14.6 - 18.6)	1.16 (1.07 - 1.25)	0.22 (0.03 - 1.49)	2.4 (1.6 - 3.5)	99.5 (97.5 - 100.0)	12.9
	≥3	960 (71.3)	96.4 (81.7 - 99.9)	29.3 (26.8 - 31.8)	1.36 (1.26 - 1.48)	0.12 (0.02 - 0.84)	2.8 (1.9 - 4.1)	99.7 (98.6 - 100.0)	25.7
	≥4	797 (59.2)	89.3 (71.8 - 97.7)	41.5 (38.8 - 44.2)	1.53 (1.33 - 1.75)	0.26 (0.09 - 0.75)	3.1 (2.0 - 4.6)	99.5 (98.4 - 99.9)	30.8
	≥5	640 (47.5)	71.4 (51.3 - 86.8)	53.0 (50.3 - 55.7)	1.52 (1.19 - 1.93)	0.54 (0.30 - 0.97)	3.1 (1.9 - 4.8)	98.9 (97.8 - 99.5)	24.4
	≥6	491 (36.5)	60.7 (40.6 - 78.5)	64.1 (61.4 - 66.7)	1.69 (1.24 - 2.30)	0.61 (0.39 - 0.97)	3.5 (2.0 - 5.5)	98.7 (97.7 - 99.4)	24.8
	≥7	360 (26.7)	53.6 (33.9 - 72.5)	73.8 (71.4 - 76.2)	2.05 (1.43 - 2.93)	0.63 (0.42 - 0.94)	4.2 (2.4 - 6.8)	98.7 (97.8 - 99.3)	27.4
	≥8	295 (21.9)	53.6 (33.9 - 72.5)	78.8 (76.5 - 81.0)	2.52 (1.76 - 3.62)	0.59 (0.40 - 0.88)	5.1 (2.9 - 8.3)	98.8 (97.9 - 99.3)	32.4
	≥9	230 (17.1)	42.9 (24.5 - 62.8)	83.5 (81.4 - 85.4)	2.59 (1.66 - 4.04)	0.69 (0.50 - 0.94)	5.2 (2.7 - 8.9)	98.6 (97.7 - 99.2)	26.4
	≥10	164 (12.2)	39.3 (21.5 - 59.4)	88.4 (86.5 - 90.1)	3.39 (2.09 - 5.50)	0.69 (0.51 - 0.93)	6.7 (3.4 - 11.7)	98.6 (97.7 - 99.2)	27.7
	≥11	120 (8.9)	39.3 (21.5 - 59.4)	91.7 (90.1 - 93.2)	4.75 (2.90 - 7.79)	0.66 (0.49 - 0.89)	9.2 (4.7 - 15.8)	98.6 (97.8 - 99.2)	31.0
	≥12	94 (7.0)	28.6 (13.2 - 48.7)	93.5 (92.0 - 94.8)	4.38 (2.36 - 8.15)	0.76 (0.60 - 0.97)	8.5 (3.8 - 16.1)	98.4 (97.5 - 99.0)	22.1
	≥13	67 (5.0)	28.6 (13.2 - 48.7)	95.5 (94.3 - 96.6)	6.39 (3.39 - 12.10)	0.75 (0.59 - 0.95)	11.9 (5.3 - 22.2)	98.4 (97.6 - 99.0)	24.1
	≥14	50 (3.7)	21.4 (8.3 - 41.0)	96.7 (95.5 - 97.6)	6.42 (2.98 - 13.80)	0.81 (0.67 - 0.99)	12.0 (4.5 - 24.3)	98.3 (97.4 - 98.9)	18.1
≥15	40 (3.0)	14.3 (4.0 - 32.7)	97.3 (96.2 - 98.1)	5.23 (2.00 - 13.70)	0.88 (0.76 - 1.03)	10.0 (2.8 - 23.7)	98.2 (97.3 - 98.8)	11.6	
≥16	31 (2.3)	10.7 (2.3 - 28.2)	97.9 (96.9 - 98.6)	5.05 (1.63 - 15.60)	0.91 (0.80 - 1.04)	9.7 (2.0 - 25.8)	98.1 (97.2 - 98.8)	8.6	
≥17	19 (1.4)	3.6 (0.1 - 18.3)	98.6 (97.9 - 99.2)	2.62 (0.36 - 18.90)	0.98 (0.91 - 1.05)	5.3 (0.1 - 26.0)	98.0 (97.1 - 98.7)	2.2	
≥18	15 (1.1)	0.0 (0.0 - 12.3)	98.9 (98.1 - 99.4)	0.00 (0.00 - 0.00)	1.01 (1.01 - 1.02)	0.0 (0.0 - 21.8)	97.9 (97.0 - 98.6)	-1.1	
≥19	12 (0.9)	0.0 (0.0 - 12.3)	99.1 (98.4 - 99.5)	0.00 (0.00 - 0.00)	1.01 (1.00 - 1.01)	0.0 (0.0 - 26.5)	97.9 (97.0 - 98.6)	-0.9	
≥20	8 (0.6)	0.0 (0.0 - 12.3)	99.4 (98.8 - 99.7)	0.00 (0.00 - 0.00)	1.01 (1.00 - 1.01)	0.0 (0.0 - 36.9)	97.9 (97.0 - 98.6)	-0.6	
≥21	5 (0.4)	0.0 (0.0 - 12.3)	99.6 (99.1 - 99.9)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.01)	0.0 (0.0 - 52.2)	97.9 (97.0 - 98.6)	-0.4	
GAD-2	≥1	1028 (76.3)	89.3 (71.8 - 97.7)	24.0 (21.7 - 26.4)	1.17 (1.03 - 1.34)	0.45 (0.15 - 1.31)	2.4 (1.6 - 3.6)	99.1 (97.3 - 99.8)	13.3
	≥2	681 (50.6)	82.1 (63.1 - 93.9)	50.1 (47.4 - 52.8)	1.65 (1.37 - 1.97)	0.36 (0.16 - 0.79)	3.4 (2.2 - 5.0)	99.2 (98.3 - 99.8)	32.2
	≥3	283 (21.0)	42.9 (24.5 - 62.8)	79.5 (77.2 - 81.6)	2.09 (1.34 - 3.24)	0.72 (0.52 - 0.99)	4.2 (2.2 - 7.3)	98.5 (97.6 - 99.1)	22.4
	≥4	141 (10.5)	25.0 (10.7 - 44.9)	89.8 (88.1 - 91.4)	2.46 (1.27 - 4.77)	0.84 (0.67 - 1.03)	5.0 (2.0 - 10.0)	98.3 (97.4 - 98.9)	14.8
	≥5	51 (3.8)	14.3 (4.0 - 32.7)	96.4 (95.3 - 97.4)	4.01 (1.55 - 10.40)	0.89 (0.76 - 1.03)	7.8 (2.2 - 18.9)	98.1 (97.3 - 98.8)	10.7
	≥6	28 (2.1)	7.1 (0.9 - 23.5)	98.0 (97.1 - 98.7)	3.62 (0.90 - 14.50)	0.95 (0.86 - 1.05)	7.1 (0.9 - 23.5)	98.0 (97.1 - 98.7)	5.1

Note: +LR = Positive likelihood ratio. -LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden’s Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. GAD =Generalized Anxiety Disorder Scale. Bold values represent the cut-off with the highest Youden Index.

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	Page
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any prespecified hypotheses	6
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	8
		(b) Describe any methods used to examine subgroups and interactions	8
		(c) Explain how missing data were addressed	8
		(d) If applicable, describe analytical methods taking account of sampling strategy	8
		(e) Describe any sensitivity analyses	9
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9
		(b) Give reasons for non-participation at each stage	9
		(c) Consider use of a flow diagram	SUPPL.
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10
		(b) Indicate number of participants with missing data for each variable of interest	10
Outcome data	15*	Report numbers of outcome events or summary measures	10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	10

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		(b) Report category boundaries when continuous variables were categorized	10
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11
Generalisability	21	Discuss the generalisability (external validity) of the study results	11
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian hospital population

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Sensitivity and specificity of the Patient Health Questionnaire (PHQ-9, PHQ-8, PHQ-2) and General Anxiety Disorder scale (GAD-7, GAD-2) for depression and anxiety diagnosis: A cross-sectional study in a Peruvian hospital population

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Abstract

Objectives: The Patient Health Questionnaire (PHQ) and Generalized Anxiety Disorder Scale (GAD) are widely used screening tools, but their sensitivity and specificity in low- and middle-income countries are lower than in high-income countries. We conducted a study to determine the sensitivity and specificity of different versions of these scales in a Peruvian hospital population.

Design: Our study has a cross-sectional design.

Setting: Our participants are hospitalised patients in a Peruvian hospital. The gold standard was a clinical psychiatric interview following ICD-10 criteria for depression (F32.0, F32.1, F32.2, and F32.3) and anxiety (F41.0 and F41.1).

Participants: The sample included 1347 participants. A total of 334 participants (24.8%) were diagnosed with depression, and 28 participants (2.1%) were diagnosed with anxiety.

Results: The PHQ-9's ≥ 7 cut-off point showed the highest simultaneous sensitivity and specificity when contrasted against a psychiatric diagnosis of depression. For a similar contrast against the gold standard, the other optimal cut-off points were: ≥ 7 for the PHQ-8, and ≥ 2 for the PHQ-2. In particular, the cut-off point ≥ 8 had good performance for GAD-7 with sensitivity and specificity, and cut-off point ≥ 10 had lower levels of sensitivity, but higher levels of specificity, compared to the cut-off point of ≥ 8 . Also, we present the sensitivity and specificity values of each cut-off point in PHQ-9, PHQ-8, PHQ-2, GAD-7 and GAD-2. We confirmed the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7, while all PHQ and GAD scales showed good reliability.

Conclusions: The PHQ and GAD have adequate measurement properties in their different versions. We present specific cut-offs for each version.

Keywords: Anxiety; Depression; Patient Health Questionnaire; Peru; Sensitivity and Specificity.

Strengths and limitations of this study

- Study methods allowed us to establish clinically meaningful cut-off points for PHQ and GAD.
- Sample size was larger than in other similar studies and large enough to support all analyses and conclusions.
- Research findings may not be directly applicable to some hospital or primary care settings due to the specific context of our study population.

Background

Until 2019, approximately 280 million people worldwide suffered from depression and 302 million from anxiety [1]. These data reveal that both mental disorders are the most common in the world and lead to the causes of the global burden of mental health disability-adjusted life years [2, 3]. With the onset of the coronavirus disease (COVID-19) pandemic, the worldwide prevalence of both disorders increased by around 25% [4]. In Peru, during the COVID-19 pandemic, the prevalence of moderate depressive symptoms also increased by approximately 0.17% in each quarter [5]. However, no population-level evidence has been found about the prevalence of anxious symptomatology or the diagnosis of anxiety in Peru. In this context, the impact of COVID-19 on the prevalence and burden of major depression and anxiety disorders was measured using screening tools [6]. In addition, it was noted that during the pandemic, there was a reduction in the number of mental health service users being seen [7].

Screening tools assist in early diagnosis and intervention that can prevent disease progression and reduce years lost to disability [8]. They are beneficial in contexts with limited mental health professionals providing care to large populations, such as in Peru. The opportune identification of people at risk of depression reduces treatment costs and disease burden [9-11]. Depressive symptom screening is also helpful in national surveys and epidemiological research [12] since, unlike diagnostic instruments, screening measures are typically brief, quick, and easy to administer [13, 14]. Internationally, the most used screening instruments for depressive and anxious symptomatology are the Patient Health Questionnaire (PHQ-9) [15], PHQ-8 [16], PHQ-2 [17], Generalized Anxiety Disorder (GAD-7) [18], GAD-2 [18], Depression, Anxiety and Stress Scale (DASS-21), Kessler scale-10, Hospital Anxiety and Depression Scale (HADS) [19], Five Well-Being Index (WHO-5) [10]. Most have been validated in several countries, but only the PHQ and GAD have been validated in the Peruvian context [20, 21].

In particular, the PHQ versions (PHQ-9, PHQ-8, PHQ-2) and GAD versions (GAD-7, GAD-2) are the most widely used, having extensive evidence of their validity and reliability [22-24]. However, correctly identifying people at risk of depression or anxiety requires more than internal/externally valid and reliable screening measures; defining an accurate cut-off point for their raw scales (i.e., to reach valid interpretations) is also necessary. Such a cut-off point can vary across cultures and sub-populations (e.g., general versus clinical), so a local calibration is usually needed [25]. Studies of the different versions of the PHQ and GAD have yielded heterogeneous cut-offs, as they vary between different cultures [21, 26-29] and populations, such as clinical and general populations [30-32]. However, several systematic reviews suggest that cut-off 10 is most appropriate for the PHQ-9, PHQ-8, and GAD-7 [33-37], and cut-offs 2-3 for the PHQ-2 and GAD-2 [35, 37]. Furthermore, concerning the PHQ-9 correctness, the summed item score method is the most used compared to the algorithm. However, other forms of correction using diagnostic algorithms are available [38, 39].

Sensitivity and specificity studies have been barely performed in low- and middle-income countries [40]. Several of these populations do not count with verified cut-off points from calibration studies (including Peruvian populations), in particular, the inpatient population is particularly vulnerable as

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4 they have physical comorbidities that may influence the establishment of cohort points. Therefore,
5 our aim was to determine the optimal cut-off point for the PHQ-9, PHQ-8, PHQ-2, GAD-7, and GAD-2
6 to discriminate a formal depression and anxiety diagnosis in the Peruvian hospital population. In
7 addition, as secondary objectives, we assessed these scales' internal structure and reliability.
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Study design

This study has a cross-sectional design, and we used the STARD 2015 guidelines for reporting diagnostic accuracy studies [41].

Participants

The participants were patients from the Liaison Psychiatry Unit of a hospital in Lima, Peru. Psychiatric liaison services provide psychiatric consultation to hospitalised patients with medical or surgical conditions that have a co-existing psychiatric illness or need for psychiatric assessment and management. The total number of participants in our study is similar to the proportion of people who were hospitalised in 2022 in our setting (see supplementary material 1). The evaluation period started in September 2020 and finished in August 2022. Sampling was non-probabilistic and applied to all participants arriving at the Liaison Psychiatry Unit. The inclusion criteria were that they had complete PHQ-9 and GAD-7 data and were of legal age (>18 years). Participants with missing data were excluded.

The sample size calculation for the PHQ versions was based on an estimated sensitivity of 0.88 and specificity of 0.85 [33], a confidence level of 95%, a prevalence of 6.4% [42, 43], and a drop-out rate of 10%, giving an estimate of 705 participants. The sample size calculation for the GAD versions was based on an estimated sensitivity of 0.83 and specificity of 0.84 [18], a confidence level of 95%, a prevalence of 8.7% [44], and a drop-out rate of 10%, giving an estimate of 694 participants. The web program based on the paper by Burderer (1996) was used to calculate the sample size [45].

Setting

The Guillermo Almenara Irigoyen National Hospital (HNGAI) was the study site, a highly complex hospital in Lima-Peru (capital city). HNGAI is one of the three largest hospitals of the Social Security system in Peru based on the number of beds (960 hospital beds) and is also a tertiary referral centre for all medical specialities, including psychiatry (<http://www.essalud.gob.pe/estadistica-institucional/>). It provides health care services to 1,547,840 individuals from social insurance. Because it attends to virtually all pathologies, from the simplest to the most complex, it was classified in 2015 as a Specialized Health Institute III-2, the highest level awarded by the Ministry of Health of Peru to hospital establishments.

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4 The Liaison Psychiatry Unit at HNGAI is responsible for responding to consultation requests from
5 different clinical-surgical services at HNGAI [46]. As part of the evaluation of each patient, in addition
6 to the clinical interview and psychiatric diagnosis, standardised assessments such as the PHQ-9 and
7 GAD-7 are used to ensure adequate monitoring and assess response to the established treatment.
8 Since September 2020, the services provided by the Liaison Unit have been recorded in a Google Form
9 to track better the patients treated.
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13 Instruments and variables

14 Patient Health Questionnaire (PHQ-9, PHQ-8, and PHQ-2)

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17 The Patient Health Questionnaire is an instrument designed to measure depressive symptoms over
18 the past two weeks, according to the diagnostic criteria of the DSM-IV, criteria that were retained in
19 the DSM-5. The scale has four response options (0=no days, 1=some days, 2=more than half of the
20 days, 3=almost every day) [15]. The scale had many versions, including the PHQ-9, the full version with
21 nine items and scores ranging from 0 to 27. In Peru, the PHQ-9 had good psychometric properties in
22 terms of structural validity (CFI = 0.936; RMSEA = 0.089; SRMR = 0.039), internal consistency ($\alpha = \omega =$
23 0.87) and invariance between age and sex ($\Delta\text{CFI} < 0.01$) [20].
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29 In addition, PHQ-9 had scoring versions related to the DSM-5 indicators, which state that for a case to
30 be positive, there must be at least five depressive symptoms present, and at least one of them must
31 be core depressive symptoms (item 1 and item 2). First, the PHQ-9 algorithm suggests that a symptom
32 is positive if it scores two or more, except the ninth item, suicidal ideation, which is positive if it scores
33 one or more [47]. Second, the PHQ-9 adjusted algorithm proposes that a symptom was positive if it
34 scored 1 or more for any of the items in the instrument [48].
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38 The PHQ-8 was a shortened version of the PHQ-9 without the last item on suicidal ideation [16]. The
39 PHQ-8 was as valuable as the PHQ-9 in detecting cases of major depression [49]. The PHQ-2 is an
40 abbreviated version of the PHQ-9 with only two items, focusing on the first two items related to the
41 core symptoms of depression (anhedonia and depressed mood) and providing scores between 0 and
42 6. The PHQ-2 was validated in Peru and showed adequate levels of internal consistency ($\alpha = .80$) [50].
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46 General Anxiety Disorder Scale (GAD-7 and GAD-2)

47 The General Anxiety Disorder Scale was a Likert-type rating scale with four response options ranging
48 from 0 (not at all) to 3 (almost every day), based on DSM-IV criteria and assesses anxious symptoms
49 during the past two weeks [51]. The GAD-7 was the version of the instrument with the original seven
50 items and had a range of scores from 0 to 21. The GAD-7 had good psychometric properties in the
51 Peruvian context for a one-dimensional model (CFI = .995, TLI = .992, RMSEA = .056), adequate internal
52 consistency ($\omega = .89$), and invariance according to sex ($\Delta\text{CFI} \leq .01$) [52].
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4 The GAD-2 was adapted from the GAD-7, focusing on the emotional and cognitive expressions of DSM-
5 IV anxiety (items 1 and 2) [53]. The GAD-2 shows good internal consistency values ($\omega = .80$) and a
6 relationship with its extended version ($r > 0.80$) in Peruvian context [52].
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9 10 Gold standard

11 The gold standard was an individual clinical psychiatric interview following ICD-10 criteria. The clinical
12 assessments were performed by psychiatrists who are members of the Liaison Psychiatry Unit, all of
13 whom have at least five years of clinical experience evaluating the psychiatric needs of hospitalised
14 patients. The interview focused on assessing whether the participants had depressive disorder (F32.0,
15 F32.1, F32.2, and F32.3) or anxiety disorder (F41.0 and F41.1), with a duration between 25 to 30
16 minutes. The individual clinical psychiatric interview and the psychometric instruments (i.e., PHQ and
17 GAD) were independently applied on the same day, the latter by a mental health nurse or a
18 psychologist and the former by a psychiatrist. The average time between both measurements was 15
19 minutes (standard deviation = 4.5 minutes), and the order (i.e., psychometric instruments before or
20 after the interview) was randomly assigned.
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26 Sociodemographic covariates

27 Data was collected on sex (male, female), age, marital status (Single, Married/Cohabitant, Separated,
28 Widowed), educational level (None, Elementary, High School, Technical, College), currently works (No,
29 yes, retired), living alone (Yes, No), and history of psychiatric diagnosis (yes/no). In addition,
30 information was collected on the physical diagnosis of the participants based on the ICD-10.
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34 Statistical analysis

35 The sociodemographic covariates of the participants were described at frequency and percentage
36 levels. The internal consistency and internal structure analyses were performed with R Studio, with the
37 “Lavaan”, “Semtools”, and “Semplot” packages (see supplementary material 2). Sensitivity, specificity,
38 and correlation analyses were analysed with Stata 15 (see supplementary material 3).
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42 Sensibility and Specificity

43 The PHQ-9, PHQ-8, PHQ-9 algorithm, PHQ-9 adjusted algorithm, and PHQ-2 were evaluated as
44 diagnostic tests and compared against the gold standard. In addition, the GAD-7 and GAD-2 were
45 scored and compared against the diagnosis of anxiety through the clinical interview (gold standard).
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49 We calculated the positive predictive value (PPV), negative predictive value (NPV), positive likelihood
50 ratio (+LR), negative likelihood ratio (-LR), and Youden Index. PPV and NPV refer to the proportion of
51 patients correctly diagnosed as positive or negative, respectively [54]. The LR+ is the probability that a
52 person with the disease will test positive given the probability that a person without the disease will
53 test positive [55]. While the LR- is the probability that a person with the disease will test negative given
54 the probability that a person without the disease will test negative [55]. The Youden Index is a measure
55 that summarizes the performance of a diagnostic test by interpreting it as the probability that the
56 selected cut-off point provides an adequate clinical decision (in terms of sensitivity and specificity), as
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opposed to the probability that the selected cut-off provides a random decision [54]. The maximum value of the Youden Index was used as a criterion to select the cut-off with the best diagnostic performance for each scale. Values closer to 1 were considered optimal, and those closer to 0 were considered inadequate.

Internal structure

Confirmatory factor analysis (CFA) was performed considering a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7. We used the weighted least square mean and variance adjusted (WLSMV) estimator [56] and polychoric matrices as it best fits the categorical-ordinal nature of the data [57]. Models were evaluated using a set of goodness-of-fit indices such as CFI and TLI, which must be greater than 0.95 to be considered adequate [58]. In addition, the SRMR and RMSEA at 90% confidence were estimated, which must have values less than 0.08 to be considered adequate [58]. It was impossible to perform a CFA for the PHQ-2 and the GAD-2 because a minimum of three items are required for such analysis.

Internal consistency

We calculated the alpha (α) and McDonald's omega coefficients (ω). Values greater than 0.70 are considered adequate [59].

Ethics

The Hospital Nacional Guillermo Almenara Irigoyen's Institutional Review Board (Nota N°52 CIEI-OIyD-GRPA-Essalud-2023) approved the protocol of our study. Throughout the study, the researchers had no access to identifying information about the participants. In addition, participants gave informed consent. All participants were users of the hospital's Liaison Psychiatry Unit and received psychological or psychiatric care as needed.

Patient and Public Involvement

No patient involved.

Results

Participants

We collected data from 4979 attendances performed within the liaison psychiatry service during the study period. However, some of these attendances were not assessed with PHQ-9 or GAD-7 data (n=3484) or lacked sociodemographic information (n=148) and were eliminated (see supplementary material 4). Thus, our study only included 1347 participants (see Table 1). Most participants were female (59.4%; n=800), married or living with a partner (57.0%; n=768), and had higher technical or university education (53.5%; n=721). A total of 334 participants (24.8%) were diagnosed with

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4 depression, and 28 participants (2.1%) were diagnosed with anxiety, as determined through individual
5 psychiatric interviews conducted based on the ICD-10 criteria.
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8 The most common physical morbidities were cardiovascular diseases (n=111; 8.2%), endocrine,
9 nutritional and metabolic diseases (n=130; 9.7%) and neoplasms, diseases of the blood and
10 haematopoietic organs and other diseases affecting the mechanism of immunity (n=348; 25.8%).
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13 Sensibility and Specificity

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16 In supplementary material 5, we provide the values of all cut-off points for the different versions of
17 the PHQ. The cut-off points ≥ 7 in the PHQ-9 had the best balance between sensitivity and specificity
18 of all the cut-off points evaluated in the various versions of the PHQ, as it obtained a sensitivity of 76.0
19 (95%CI: 71.1 - 80.5) and specificity of 72.1 (95%CI: 69.2 - 74.8) (see supplementary material 6). In
20 addition, the PHQ-9 with a cut-off of ≥ 10 points (i.e., the most used) showed lower levels of sensitivity
21 (54.2; 95%CI: 48.7 - 59.6), but higher level of specificity (87.4; 95%CI: 85.2 - 89.3), compared to the cut-
22 off point of ≥ 7 .
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26 The algorithm score method for PHQ-9 had low levels of sensitivity (34.7; 95%CI: 29.6 - 40.1) but high
27 levels of specificity (93.4; 95%CI: 91.7 - 94.8) compared to the raw score method for PHQ-9 with ≥ 7
28 cohort points. In contrast, the adjusted algorithm method for PHQ-9 showed slightly higher sensitivity
29 values (78.1; 95%CI: 73.3 - 82.5) and better specificity values (66.4; 95%CI: 63.4 - 69.3) compared to
30 the raw score method for PHQ-9 with ≥ 7 cohort points. The raw score for PHQ-9 with cohort point ≥ 7
31 showed a better balance between sensitivity and specificity compared to the algorithm method or the
32 algorithm adjusted for PHQ-9.
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36 The best cut-off point found in the PHQ-8 was ≥ 7 points, as it had a sensitivity of 79.9 (95%CI: 75.2 -
37 84.1), and a specificity of 66.0 (95%CI: 63.0 - 69.0) (see supplementary material 6). The best cut-off
38 point found in the PHQ-2 was ≥ 2 points, as it had a sensitivity of 84.7 (95%CI: 80.4 - 88.4), and a
39 specificity of 55.9 (95%CI: 52.8 - 59.0) (see supplementary material 6).
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43 Because we have a small number of cases with truly anxious people, any changes in the scores of these
44 people could lead to large changes in sensitivity and specificity. Therefore, it is not possible to give an
45 optimal cohort score over the rest, but we present all cohort scores in Supplementary Material 7. In
46 particular, the cut-off point ≥ 8 had good performance for GAD-7 with sensitivity values of 53.6 (95%CI:
47 33.9 - 72.5) and specificity of 78.8 (95%CI: 76.5 - 81.0), (see supplementary material 6). The GAD-7's
48 cut-off point ≥ 10 (i.e., the most used) had lower levels of sensitivity (39.3; 95%CI: 21.5 - 59.4), but
49 higher levels of specificity (88.4; 95%CI: 86.5 - 90.1), compared to the cut-off point of ≥ 8 . In addition,
50 the cut-off point for the GAD-2 was ≥ 2 had a sensitivity of 84.7 (95%CI: 80.4 - 88.4), and a specificity of
51 50.1 (95%CI: 47.4 - 52.8) (see supplementary material 6).
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Internal structure

The PHQ-9 one-dimensional model showed adequate goodness-of-fit ($X^2=251.9$; $df=27$; $CFI=0.974$; $TLI=0.965$; $SRMR=0.051$; $RMSEA[90\%CI]=0.079[0.070-0.088]$), while the PHQ-8 one-dimensional model reported a similar goodness-of-fit ($X^2=202.7$; $df=20$; $CFI=0.977$; $TLI=0.977$; $SRMR=0.050$; $RMSEA[90\%CI]=0.082[0.072-0.093]$). The GAD-7 also showed adequate goodness-of-fit ($X^2=122.3$; $df=14$; $CFI=0.977$; $TLI=0.966$; $SRMR=0.043$; $RMSEA[90\%CI]=0.076[0.064-0.088]$).

Reliability

The PHQ-9 ($\alpha=0.89$; $\omega=0.86$), the PHQ-8 ($\alpha=0.88$; $\omega=0.85$), and the GAD-7 ($\alpha=0.85$; $\omega=0.81$) showed optimal internal consistency values. Similarly, the PHQ-2 ($\alpha=0.83$; $\omega=0.80$) and the GAD-2 ($\alpha=0.74$; $\omega=0.70$) also showed adequate internal consistency scores. Table 2 shows the raw scores.

Discussion

Main findings

We determined the target population's optimal cut-off points for PHQ scale. The PHQ-9's ≥ 7 cut-off point showed the highest sensitivity and specificity when contrasted against a psychiatric diagnosis of depression (gold standard). For a similar contrast, the other optimal cut-off points were: ≥ 7 for the PHQ-8, and ≥ 2 for the PHQ-2. In addition, the algorithm scoring or algorithm-adjusted scoring methods for the PHQ-9 had a lower balance between sensitivity and specificity scores than the PHQ-9 raw score scoring method with a cut-off ≥ 7 . In the case of GAD, the small number of participants with actual anxiety made it impossible to determine an optimal cut-off point. However, we present the sensitivity and specificity of each cut-off point. We confirmed the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7, while all scales showed good internal consistency.

Contrast to literature

At the PHQ-9 level, evidence suggests that the raw score approach is more valuable than diagnostic algorithms [33], which is consistent with our findings. For the cut-off, different systematic reviews agree that the most commonly used cut-off is ≥ 10 [33, 60]. The optimal cut-off reported in our study was slightly lower than that suggested by the other studies, and two possible factors could explain this difference. Firstly, our population is inpatients in different areas of a high-complexity hospital. Other studies of hospitalised cancer patients [61], hospitalised neurology patients [62], and patients with coronary heart disease [63] also found an optimal cut-off between 5 and 7 points. Therefore, hospitalised individuals may be more likely to have depressive symptoms, which may require a lower cut-off on the PHQ-9. Second, several studies in populations from low- and middle-income countries have reported cut-offs between 5 and 7, for example, Pakistani migrants in the UK [64], Indian adolescents [65], and primary care in Ethiopia [66]. One reason for the difference in cut-off points between high and low-income countries may be due to cultural factors, as culturally diverse groups do

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4 not achieve invariance between the PHQ-9 and the GAD-7 [67]. Therefore, factors such as social
5 determinants of health present in such countries may influence cut-off.
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8 Concerning the PHQ-8 and PHQ-9, we found that both scales have similar cut-off points (≥ 7). Our
9 findings are consistent with a meta-analysis that found that the cut-offs between the two scales are
10 identical; although sensitivity may be minimally reduced with the PHQ-8, specificity is similar between
11 the two scales [36]. The PHQ-8 does not include the item corresponding to suicidal or self-harming
12 ideation, and the use of this version of the PHQ is common in the general population, as suicidal
13 ideation is less common in this group [16]. However, at the level of clinical populations, it has been
14 found that omitting this item does not significantly alter the measurement capabilities of the PHQ, as
15 the correlation between the PHQ-8 and PHQ-9 in clinical populations is very close to one [68].
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19 Regarding the GAD-7, our findings are consistent with a meta-analysis that evaluated all possible cut-
20 off points and reported that ≥ 8 is the most appropriate for anxiety disorder [18]. It also notes that
21 scores between 7 and 10 points have similar sensitivity and specificity values [18]. Other recent primary
22 studies conducted in hospitalised populations or people with chronic diseases in hospital settings also
23 found optimal cut-offs between 7 and 10 points [69-71].
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27 Our results on PHQ-2 was in line with meta-analyses supporting the use of the cut-off of 2 for PHQ-2
28 [35, 72]. Also, the values most frequents for GAD-2 are cut-off ≥ 2 and ≥ 3 [18, 37, 73]. The meta-
29 analyses mentioned included studies in general populations (i.e., people attending primary care) and
30 people hospitalised for non-communicable or infectious diseases. However, no meta-analyses were
31 found that evaluated cut-off for hospitalised people only. At the level of primary studies, the evidence
32 suggests that cut-off vary between 2 and 3 points for the PHQ-2 and GAD-2 [74, 75].
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36 Regarding internal validity, a systematic review examined the factor structure of the PHQ-9, noting
37 that the one-dimensional model has been repeatedly confirmed across studies [76]. Although several
38 studies evaluated alternative multidimensional models (e.g., two-dimensional, three-dimensional, or
39 bifactorial models), their dimensions are often highly correlated with each other, so there may be
40 overlapping [76]. We did not find systematic reviews on the internal structure of the GAD-7 and the
41 PHQ-8. However, several studies support the one-dimensional model in hospitalised patients for both
42 the PHQ-8 [77] and GAD-7 [21, 27]. In Peru, the GAD-7 and PHQ-9 have shown evidence of a one-
43 dimensional factor structure in different populations, such as the general population [20], pregnant
44 women [21], and university students [52, 78]. However, no studies have been found evaluating the
45 factor structure of the PHQ-8 in the Peruvian population.
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50 Our study focuses on a hospital-based clinical population with one or more physical morbidities, it is
51 important to consider that our finding of a different cut-off point, equal to or greater than 10 points
52 for PHQ, may be influenced by the characteristics of this specific population. It is relevant to note that
53 other studies conducted in hospital settings have found cut-off points lower than the recommendation
54 of equal to or greater than 10 [79, 80]. It is important to bear in mind that the cut-off point may vary
55 depending on the reference group and the context in which it is applied.
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4 Our study used the Youden index to determine the optimal cut-off, but it is important to consider that
5 the cut-off may vary depending on the sample size. A recent simulation study found that for large
6 samples of more than 1000 participants, the optimal sensitivity and specificity values can vary by up
7 to approximately 2 points from the optimal cut-off in cross-sectional studies [81]. Therefore, while a
8 sample size calculation was performed to ensure adequate power, we cannot rule out the use of a cut-
9 off of 10 or more for the Peruvian population. However, within the study, we present the sensitivity
10 and specificity found for such a cut-off.
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16 Public health implications

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18 The evaluated instruments are widely used in clinical practice and research to measure symptoms of
19 depression and anxiety, but from today, users will have optimal cut-off points for interpretations. This
20 can help healthcare professionals identify people at risk of depression and anxiety more accurately
21 while informing decisions about their formal diagnosis and consequent treatment. This is especially
22 valuable in hospital environments, where time is crucial.
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26 Our findings are of particular interest to the Peruvian health system, which has clinical practice
27 guidelines for depression that recommend the PHQ-9 as a screening tool in primary care and hospital
28 context [82]. Although our results correspond only to a hospital population, our study is the closest
29 approximation to an evaluation of sensitivity and specificity in the Peruvian context, in the absence of
30 similar studies in primary care. On the other hand, there is a lack of national clinical practice guidelines
31 for screening and managing anxiety in Peru. Therefore, our study could contribute to future clinical
32 practice guidelines for generalised anxiety disorder.
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36 Although our study found alternative cut-off points to the standard (cut-off ≥ 10) for the PHQ-9 and
37 PHQ-8 questionnaires, it is important to note that in certain contexts, higher specificity values (cut-off
38 ≥ 10) may be necessary. These higher values enable a more accurate identification of individuals
39 without depression or anxiety, thereby reducing the likelihood of false-positive results. This reduction
40 in false positives is particularly crucial for alleviating the burden on the healthcare system. A screening
41 tool with high specificity avoids unnecessary diagnoses and optimizes the use of healthcare resources.
42 Therefore, utilizing a cut-off point of 10 or higher for the PHQ-9, PHQ-8, and GAD-7 can facilitate the
43 early and accurate identification of true cases of depression and anxiety, ensuring that resources are
44 appropriately focused on those who need care and treatment.
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50 Strengths and limitations

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52 Our results of the study have several strengths. First, to our knowledge, this is the first study in a
53 Peruvian context that evaluates the factorial structure of all PHQ and GAD versions in a hospitalised
54 population. Second, the scales were administered by a team of healthcare professionals with more
55 than five years of experience in the clinical assessment of these patients. Third, the sample size was
56 large enough to support all analyses and conclusions. Further, our sample size was larger than other
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4 recently published studies' [60]. Fourth, our study is the first Peruvian study to evaluate the sensitivity
5 and specificity of the PHQ.
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8 Our study has limitations. First, we conducted the study only in a hospital context in a Peruvian city,
9 which limits its applicability to other settings in Peru or other countries. However, it could be used in
10 other Peruvian hospital contexts with similar characteristics, which is relevant because hospital care in
11 Peru (levels II and III of complexity) represents 58.65% of total care [83]. Secondly, the generalisability
12 of our results may be limited because the sampling is not probabilistic, as it does not include other
13 hospitals. However, the hospital where we conducted the study serves 1.1% of all nationally insured
14 EsSalud patients (<http://www.essalud.gob.pe/estadistica-institucional/>). It is also a national referral
15 hospital, which means that people from all over the country are referred to this hospital for treatment.
16 Therefore, the representativeness of the results is ensured. Thirdly, we used an individual psychiatric
17 interview according to the ICD-10 criteria as a gold standard. We were not able to use the Composite
18 International Diagnostic Interview (CIDI) or the Standardised Clinical Assessment (SCID), more typical
19 gold standards, because of the time constraints involved in conducting such interviews. In Peru, health
20 systems are overburdened, and it is not feasible to have lengthy sessions with highly specialised
21 professionals to conduct such structured interviews. However, based on our experience, we believe
22 that a psychiatric interview is a sufficient benchmark in this context. Fourthly, our study identified a
23 limited number of individuals (n=28) with a diagnosed anxiety condition. Consequently, minor
24 variations in the study cohort could potentially impact the sensitivity or specificity [81]. Nonetheless,
25 we have ensured sufficient statistical power for our analysis based on our sample size calculation.
26 Moreover, all cohort scores on the GAD scale are provided, which can be valuable for future research
27 involving larger numbers of individuals diagnosed with anxiety (refer to supplementary material 7).
28 Fifth, our study allows us to obtain sensitivity and specificity values for users in inpatient mental health
29 settings; however, our findings are not generalisable to physical outpatients.
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38 Conclusions

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40 The PHQ-9's ≥ 7 cut-off point showed the highest simultaneous sensitivity and specificity when
41 contrasted against a psychiatric diagnosis of depression. For a similar contrast against the gold
42 standard, the other optimal cut-off points were: ≥ 7 for the PHQ-8, and ≥ 2 for the PHQ-2. Also, we
43 present the sensitivity and specificity values of each cut-off point in GAD-7 and GAD-2. We confirmed
44 the adequacy of a one-dimensional model for the PHQ-9, PHQ-8, and GAD-7, while all PHQ and GAD
45 scales showed good reliability.
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Tables, Figures, and Supplementary material

Table 1. Sociodemographic characteristics (n=1347).

Table 2. Raw scores and internal consistency (n=1347).

Supplementary material 1. Sex and age of the participants in our study and of the total number of people hospitalised in 2022.

Supplementary material 2. Script of R used in our study.

Supplementary material 3. Do-file of STATA used in our study.

Supplementary material 4. Flowchart.

Supplementary material 5. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.

Supplementary material 6. Sensitivity, specificity, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.

Supplementary material 7. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different GAD cut-off points compared to the gold standard.

Contributions

DVZ contributed to the conceptualizing the study, designing the methodology, developing the software tools, validating the results, conducting formal analyses, curating, and managing the data, and contributed to the initial drafting and visualization of the manuscript. JB contributed to the formal analysis, performed investigations, and aided in visualizing the findings. SOA participated in the investigation phase and contributed to the initial drafting of the manuscript. NMP engaged in formal analysis, conducted investigations, and contributed to the initial drafting of the manuscript. JCB contributed to the methodology, conducted investigations, provided critical input for the manuscript in the review and editing stages, and played a supervisory role. JHV contributed to the conceptualizing the study, designing the methodology, developing software tools, validating the results, conducting investigations, managing resources, curating data, project administration responsibilities, and participated in reviewing and editing the manuscript.

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Competing interests

The authors declare that they have no conflicts of interest.

Patient consent for publication

Not applicable.

Ethics approval

The Hospital Nacional Guillermo Almenara Irigoyen's Institutional Review Board (Nota N°52 CIEI-OIyD-GRPA-Essalud-2023) approved the original study and the current analysis. Throughout the study, the researchers did not have access to any identifying information about the participants. In addition, participants gave informed consent. All participants were users of the hospital's Liaison Psychiatry Unit, so all received psychological or psychiatric care as needed.

Data availability statement

Data are available upon reasonable request.

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Table 1. Sociodemographic characteristics (n=1347).

	n	%
Sex		
Men	547	40.6%
Women	800	59.4%
Age (categories)		
18-29	107	7.9%
30-39	164	12.2%
40-49	214	15.9%
50-59	284	21.1%
60-69	294	21.8%
70-79	203	15.1%
80 to more	81	6.0%
Civil status		
Single	329	24.4%
Married or Cohabitant	768	57.0%
Separated	133	9.9%
Widowed	117	8.7%
Education level		
None	13	1.0%
Elementary school	135	10.0%
High school	478	35.5%
Technical	246	18.2%
University	475	35.3%
Currently works		
No	330	24.5%
Yes	778	57.8%
Retired	239	17.7%
Living alone		
Yes	99	7.3%
No	1248	92.7%
History of psychiatric diagnosis		
Yes	388	28.8%
No	959	71.2%
Diagnosis of depression		
No	1013	75.2%
Yes	334	24.8%
Diagnosis of anxiety		
No	1319	97.9%
Yes	28	2.1%
Physical illnesses		
A00-B99 Certain infectious and parasitic diseases	109	8.1%
C00-D48 Neoplasms, and diseases of the blood and haematopoietic organs and other disorders affecting the mechanism of immunity	348	25.8%
E00-E90 Endocrine, nutritional and metabolic diseases	130	9.7%
G00-G99 Diseases of the nervous system	96	7.1%
H00-H59 Diseases of the eye and adnexa	17	1.3%
H60-H95 Diseases of the ear and mastoid process	17	1.3%
I00-I99 Diseases of the circulatory system	111	8.2%
J00-J99 Diseases of the respiratory system	107	7.9%
K00-K93 Diseases of the gastro-intestinal tract	106	7.9%
L00-L99 Diseases of the skin and subcutaneous tissues	74	5.5%
M00-M99 Diseases of the musculo-skeletal system and connective tissue	97	7.2%
N00-N99 Diseases of the genito-urinary system	97	7.2%
O00-O99 Pregnancy, childbirth and puerperium	10	0.7%
P00-P96 Certain conditions originating in the perinatal period	0	0.0%
Q00-Q99 Congenital malformations, deformities and chromosome anomalies	6	0.4%
R00-R99 Symptoms, signs and abnormal clinical and laboratory	46	3.4%

1			
2			
3	findings, not elsewhere classified		
4	S00-T98 Trauma, poisoning and certain other consequences of	50	3.7%
5	external cause		
6	V01-Y98 External causes of morbidity and mortality	4	0.3%
7	Z00-Z99 Factors influencing health status and contact with	90	6.7%
8	health care services		
9	U00-U99 Codes for special situations	28	2.1%

10 Note: n=number. %=Percentage.

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Table 2. Raw scores and internal consistency (n=1347).

	M	SD	Min	Max	α	ω
(1) PHQ-9 score	6.4	5.0	0	27	0.89	0.86
(2) PHQ-8 score	6.1	4.7	0	24	0.88	0.85
(3) PHQ-2 score	1.9	1.6	0	6	0.83	0.80
(4) GAD-7 score	5.1	3.9	0	21	0.85	0.81
(5) GAD-2 score	1.7	1.4	0	6	0.74	0.70

Note: α = Classical alpha. ω = Mcdonald's omega.

Supplementary material 1. Sex and age of the participants in our study and of the total number of people hospitalised in 2022.

	Our study		Total inpatients in 2022		p
	n	%			
Sex					
Men	547	40.60%	9677	43.2%	0.971
Women	800	59.40%	12732	56.8%	
Age (categories)					
18-29	107	7.90%	1962	8.8%	1.000
30-39	164	12.20%	3486	15.6%	
40-49	214	15.90%	3145	14.0%	
50-59	284	21.10%	3497	15.6%	
60-69	294	21.80%	4276	19.1%	
70-79	203	15.10%	3806	17.0%	
80 to more	81	6.00%	2227	9.9%	

Note: p = chi-square test.

Supplementary material 2. Script of R used in our study.

```
1
2
3
4 library(lavaan)
5 library(semPlot)
6 library(semTools)
7 library(psych)
8 library(haven)
9 Database <- read_dta("E:/Database_v1.dta")
10
11 #PHQ-9
12 model.PHQ9 <- "
13 F1 =~ PHQ_1 + PHQ_2 + PHQ_3 + PHQ_4 + PHQ_5 + PHQ_6 + PHQ_7 + PHQ_8 + PHQ_9"
14
15 fit.model.PHQ9 <- cfa(model.PHQ9, data=Database, estimator="WLSMV", missing = "listwise",
16 ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7", "PHQ_8",
17 "PHQ_9"))
18
19 summary(fit.model.PHQ9, fit.measures=TRUE, standardized=TRUE)
20
21 reliability(fit.model.PHQ9)
22
23 #PHQ-8
24 model.PHQ8 <- "
25 F1 =~ PHQ_1 + PHQ_2 + PHQ_3 + PHQ_4 + PHQ_5 + PHQ_6 + PHQ_7 + PHQ_8"
26
27 fit.model.PHQ8 <- cfa(model.PHQ8, data=Database, estimator="WLSMV", missing =
28 "listwise", ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7",
29 "PHQ_8", "PHQ_9"))
30
31 summary(fit.model.PHQ8, fit.measures=TRUE, standardized=TRUE)
32
33 reliability(fit.model.PHQ8)
34
35 #PHQ-2
36 model.PHQ2 <- "
37 F1 =~ PHQ_1 + PHQ_2"
38
39 fit.model.PHQ2 <- cfa(model.PHQ2, data=Database, estimator="WLSMV", missing =
40 "listwise", ordered = c("PHQ_1", "PHQ_2", "PHQ_3", "PHQ_4", "PHQ_5", "PHQ_6", "PHQ_7",
41 "PHQ_8", "PHQ_9"))
42
43 summary(fit.model.PHQ2, fit.measures=TRUE, standardized=TRUE)
44
45 reliability(fit.model.PHQ2)
46
47 #GAD-7
48 model.GAD7 <- "
49 F1 =~ GAD1 + GAD2 + GAD3 + GAD4 + GAD5 + GAD6 + GAD7"
50
51 fit.model.GAD7 <- cfa(model.GAD7, data=Database, estimator="WLSMV", missing =
52 "listwise", ordered = c("GAD1", "GAD2", "GAD3", "GAD4", "GAD5", "GAD6", "GAD7"))
53
54 summary(fit.model.GAD7, fit.measures=TRUE, standardized=TRUE)
55
56 reliability(fit.model.GAD7)
57
58 #GAD-2
59 model.GAD2 <- "
60 F1 =~ GAD1 + GAD2"
61
62 fit.model.GAD2 <- cfa(model.GAD2, data=Database, estimator="WLSMV", missing =
63 "listwise", ordered = c("GAD1", "GAD2", "GAD3", "GAD4", "GAD5", "GAD6", "GAD7"))
64
65 summary(fit.model.GAD2, fit.measures=TRUE, standardized=TRUE)
66
67 reliability(fit.model.GAD2)
```

Supplementary material 3. Do-file of STATA used in our study.

```
use "E:\Database_v1.dta", clear
```

***Table 1 - Socio-demographic analysis**

```
global catvars_table1 sex agecat civilstatus educationcat work Livingalone  
Historypsychiatricdx depression anxiety
```

```
about $catvars_table1 depression using Table1.xlsx, ///  
  replace c(freq col) clab(No. %) f(0c 1p) style(xlsx) font(bold) ///  
  ptotal(none) stats(chi2) stpos(col) ppos(only) plab(P value) ///  
  title(Table 1. Sociodemographic characteristics) ///  
  fn(Note: n=number, %=Percentage.) twidth(14) sheet(Table1)
```

*** Table 2. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.**

```
tab cutoffPHQ9_7  
diagt depression cutoffPHQ9_7  
tab cutoffPHQ9_10  
tab PHQ9algorithm  
diagt depression PHQ9algorithm  
tab PHQ9ajus_algorithm  
diagt depression PHQ9ajus_algorithm  
diagt depression cutoffPHQ9_10  
tab cutoffPHQ8_7  
diagt depression cutoffPHQ8_7  
tab cutoffPHQ2_2  
diagt depression cutoffPHQ2_2  
tab cutoffGAD7_8  
diagt anxiety cutoffGAD7_8  
tab cutoffGAD7_10  
diagt anxiety cutoffGAD7_10  
tab cutoffGAD2_2  
diagt anxiety cutoffGAD2_2
```

*** Supplementary material 3. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index for different PHQ cut-off points compared to the gold standard.**

*** PHQ-9 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

```
tab cutoffPHQ9_1  
diagt depression cutoffPHQ9_1  
tab cutoffPHQ9_2  
diagt depression cutoffPHQ9_2  
tab cutoffPHQ9_3  
diagt depression cutoffPHQ9_3  
tab cutoffPHQ9_4  
diagt depression cutoffPHQ9_4  
tab cutoffPHQ9_5  
diagt depression cutoffPHQ9_5  
tab cutoffPHQ9_6  
diagt depression cutoffPHQ9_6  
tab cutoffPHQ9_7  
diagt depression cutoffPHQ9_7  
tab cutoffPHQ9_8  
diagt depression cutoffPHQ9_8  
tab cutoffPHQ9_9  
diagt depression cutoffPHQ9_9  
tab cutoffPHQ9_10  
diagt depression cutoffPHQ9_10  
tab cutoffPHQ9_11  
diagt depression cutoffPHQ9_11  
tab cutoffPHQ9_12  
diagt depression cutoffPHQ9_12
```

1
2
3 tab cutoffPHQ9_13
4 diagt depression cutoffPHQ9_13
5 tab cutoffPHQ9_14
6 diagt depression cutoffPHQ9_14
7 tab cutoffPHQ9_15
8 diagt depression cutoffPHQ9_15
9 tab cutoffPHQ9_16
10 diagt depression cutoffPHQ9_16
11 tab cutoffPHQ9_17
12 diagt depression cutoffPHQ9_17
13 tab cutoffPHQ9_18
14 diagt depression cutoffPHQ9_18
15 tab cutoffPHQ9_19
16 diagt depression cutoffPHQ9_19
17 tab cutoffPHQ9_20
18 diagt depression cutoffPHQ9_20
19 tab cutoffPHQ9_21
20 diagt depression cutoffPHQ9_21
21 tab cutoffPHQ9_22
22 diagt depression cutoffPHQ9_22
23 tab cutoffPHQ9_23
24 diagt depression cutoffPHQ9_23
25 tab cutoffPHQ9_24
26 diagt depression cutoffPHQ9_24
27 tab cutoffPHQ9_25
28 diagt depression cutoffPHQ9_25
29 tab cutoffPHQ9_26
30 diagt depression cutoffPHQ9_26
31 tab cutoffPHQ9_27
32 diagt depression cutoffPHQ9_27

***PHQ-8 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index**

31 tab cutoffPHQ8_1
32 diagt depression cutoffPHQ8_1
33 tab cutoffPHQ8_2
34 diagt depression cutoffPHQ8_2
35 tab cutoffPHQ8_3
36 diagt depression cutoffPHQ8_3
37 tab cutoffPHQ8_4
38 diagt depression cutoffPHQ8_4
39 tab cutoffPHQ8_5
40 diagt depression cutoffPHQ8_5
41 tab cutoffPHQ8_6
42 diagt depression cutoffPHQ8_6
43 tab cutoffPHQ8_7
44 diagt depression cutoffPHQ8_7
45 tab cutoffPHQ8_8
46 diagt depression cutoffPHQ8_8
47 tab cutoffPHQ8_9
48 diagt depression cutoffPHQ8_9
49 tab cutoffPHQ8_10
50 diagt depression cutoffPHQ8_10
51 tab cutoffPHQ8_11
52 diagt depression cutoffPHQ8_11
53 tab cutoffPHQ8_12
54 diagt depression cutoffPHQ8_12
55 tab cutoffPHQ8_13
56 diagt depression cutoffPHQ8_13
57 tab cutoffPHQ8_14
58 diagt depression cutoffPHQ8_14
59 tab cutoffPHQ8_15
60 diagt depression cutoffPHQ8_15
61 tab cutoffPHQ8_16
62 diagt depression cutoffPHQ8_16
63 tab cutoffPHQ8_17
64 diagt depression cutoffPHQ8_17

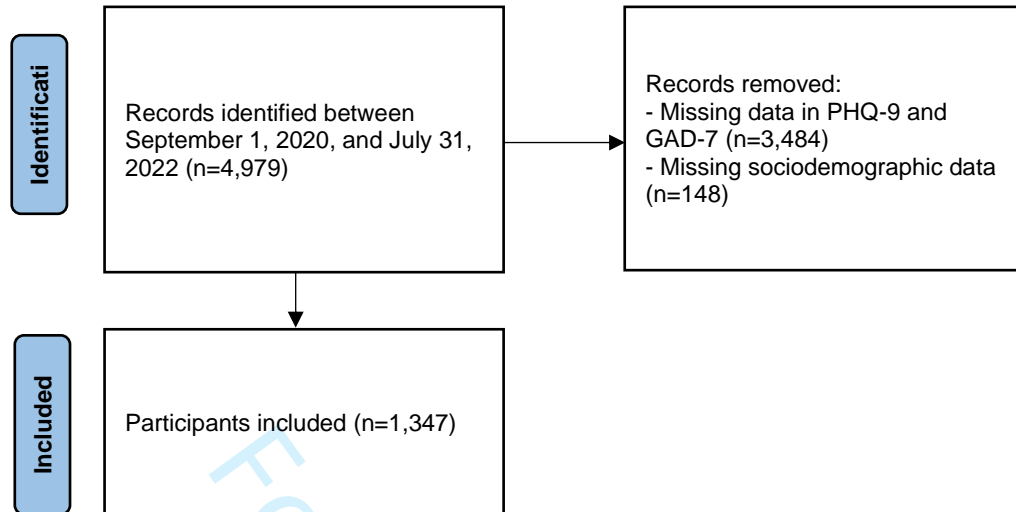
```
1
2
3     tab cutoffPHQ8_18
4     diagt depression cutoffPHQ8_18
5     tab cutoffPHQ8_19
6     diagt depression cutoffPHQ8_19
7     tab cutoffPHQ8_20
8     diagt depression cutoffPHQ8_20
9     tab cutoffPHQ8_21
10    diagt depression cutoffPHQ8_21
11    tab cutoffPHQ8_22
12    diagt depression cutoffPHQ8_22
13    tab cutoffPHQ8_23
14    diagt depression cutoffPHQ8_23
15    tab cutoffPHQ8_24
16    diagt depression cutoffPHQ8_24
17
18    * PHQ-9 algorithm - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
19
20    tab PHQ9algorithm
21    diagt depression PHQ9algorithm
22
23    * PHQ-9 adjusted algorithm - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's
24 index
25
26    tab PHQ9ajus_algorithm
27    diagt depression PHQ9ajus_algorithm
28
29    * PHQ-2 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
30
31    tab cutoffPHQ2_1
32    diagt depression cutoffPHQ2_1
33    tab cutoffPHQ2_2
34    diagt depression cutoffPHQ2_2
35    tab cutoffPHQ2_3
36    diagt depression cutoffPHQ2_3
37    tab cutoffPHQ2_4
38    diagt depression cutoffPHQ2_4
39    tab cutoffPHQ2_5
40    diagt depression cutoffPHQ2_5
41    tab cutoffPHQ2_6
42    diagt depression cutoffPHQ2_6
43
44    *Supplementary material 4. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's
45 index for different GAD cut-off points compared to the gold standard.
46 *GAD-7 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
47
48    tab cutoffGAD7_1
49    diagt anxiety cutoffGAD7_1
50    tab cutoffGAD7_2
51    diagt anxiety cutoffGAD7_2
52    tab cutoffGAD7_3
53    diagt anxiety cutoffGAD7_3
54    tab cutoffGAD7_4
55    diagt anxiety cutoffGAD7_4
56    tab cutoffGAD7_5
57    diagt anxiety cutoffGAD7_5
58    tab cutoffGAD7_6
59    diagt anxiety cutoffGAD7_6
60    tab cutoffGAD7_7
61    diagt anxiety cutoffGAD7_7
62    tab cutoffGAD7_8
63    diagt anxiety cutoffGAD7_8
64    tab cutoffGAD7_9
65    diagt anxiety cutoffGAD7_9
66    tab cutoffGAD7_10
67    diagt anxiety cutoffGAD7_10
68    tab cutoffGAD7_11
69    diagt anxiety cutoffGAD7_11
```



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1
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3     tab cutoffGAD7_12
4     diagt anxiety cutoffGAD7_12
5     tab cutoffGAD7_13
6     diagt anxiety cutoffGAD7_13
7     tab cutoffGAD7_14
8     diagt anxiety cutoffGAD7_14
9     tab cutoffGAD7_15
10    diagt anxiety cutoffGAD7_15
11    tab cutoffGAD7_16
12    diagt anxiety cutoffGAD7_16
13    tab cutoffGAD7_17
14    diagt anxiety cutoffGAD7_17
15    tab cutoffGAD7_18
16    diagt anxiety cutoffGAD7_18
17    tab cutoffGAD7_19
18    diagt anxiety cutoffGAD7_19
19    tab cutoffGAD7_20
20    diagt anxiety cutoffGAD7_20
21    tab cutoffGAD7_21
22    diagt anxiety cutoffGAD7_21
23
24    * GAD-2 - Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden's index
25
26    tab cutoffGAD2_0
27    diagt anxiety cutoffGAD2_0
28    tab cutoffGAD2_1
29    diagt anxiety cutoffGAD2_1
30    tab cutoffGAD2_2
31    diagt anxiety cutoffGAD2_2
32    tab cutoffGAD2_3
33    diagt anxiety cutoffGAD2_3
34    tab cutoffGAD2_4
35    diagt anxiety cutoffGAD2_4
36    tab cutoffGAD2_5
37    diagt anxiety cutoffGAD2_5
38    tab cutoffGAD2_6
39    diagt anxiety cutoffGAD2_6
40
41    *Figures 2 - ROC curve
42
43    roccomp depression PHQ9TOTAL PHQ8TOTAL PHQ9algorithm PHQ9ajus_algorithm PHQ2TOTAL, graph
44    summary plotlopts(mcolor(red) lcolor(red)) plot2opts(mcolor(blue) lcolor(blue))
45    plot3opts(mcolor(orange) lcolor(orange)) plot4opts(mcolor(green) lcolor(green))
46    plot5opts(mcolor(purple) lcolor(purple)) legend(order(1 "PHQ-9 (AUC=0.805 [0.779-
47    0.831])" 2 "PHQ-8 (AUC=0.802 [0.776-0.828])" 3 "PHQ-9 algorithm (AUC=0.641 [0.614-
48    0.667])" 4 "PHQ-9 adjusted algorithm (AUC=0.723 [0.696-0.749])" 5 "PHQ-2 (AUC=0.771
49    [0.743-0.799])" 6 "Reference") size(2.5) position(7) cols(2) rows(3))
50
51    graph export "E:\Figure2.tif", as(tif) replace
52
53    *Figures 3 - ROC curve
54
55    roccomp anxiety GAD7TOTAL GAD2TOTAL, graph summary plotlopts(mcolor(red) lcolor(red))
56    plot2opts(mcolor(blue) lcolor(blue)) legend(order(1 "GAD-7 (AUC=0.718 [0.622-0.814])" 2
57    "GAD-2 (AUC=0.685 [0.587-0.783])" 3 "Reference") size(2.5) position(7) cols(2) rows(3))
58
59    graph export "E:\Figure3.tif", as(tif) replace
60

```



Supplementary material 4. Flowchart.

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Supplementary material 5. Sensitivity, specificity, +LR, –LR, PPV, NPV, and Youden’s index for different PHQ cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
PHQ-9	≥1	1246 (92.5)	99.7 (98.3 - 100.0)	9.9 (8.1 - 11.9)	1.11 (1.08 - 1.13)	0.03 (0.00 - 0.22)	26.7 (24.3 - 29.3)	99.0 (94.6 - 100.0)	9.6
	≥2	1145 (85.0)	98.2 (96.1 - 99.3)	19.3 (17.0 - 21.9)	1.22 (1.18 - 1.26)	0.93 (0.04 - 0.21)	28.6 (26.0 - 31.4)	97.0 (93.6 - 98.9)	17.5
	≥3	1004 (74.5)	95.5 (92.7 - 97.5)	32.4 (29.5 - 35.4)	1.41 (1.35 - 1.48)	0.14 (0.84 - 0.23)	31.8 (28.9 - 34.8)	95.6 (92.9 - 97.5)	27.9
	≥4	850 (63.1)	91.3 (87.8 - 94.1)	46.2 (43.1 - 49.3)	1.70 (1.59 - 1.81)	0.19 (0.13 - 0.27)	35.9 (32.7 - 39.2)	94.2 (91.7 - 96.1)	37.5
	≥5	720 (53.5)	87.4 (83.4 - 90.8)	57.7 (54.6 - 60.8)	2.07 (1.90 - 2.25)	0.22 (0.16 - 0.29)	40.6 (36.9 - 44.2)	93.3 (91.1 - 95.1)	45.1
	≥6	627 (46.6)	80.5 (75.9 - 84.6)	64.7 (61.6 - 67.6)	2.28 (2.07 - 2.52)	0.30 (0.24 - 0.38)	42.9 (39.0 - 46.9)	91.0 (88.6 - 93.0)	45.2
	≥7	537 (39.9)	76.0 (71.1 - 80.5)	72.1 (69.2 - 74.8)	2.72 (2.42 - 3.06)	0.33 (0.27 - 0.40)	47.3 (43.0 - 51.6)	90.1 (87.9 - 92.1)	48.1
	≥8	454 (33.7)	68.9 (63.6 - 73.8)	77.9 (75.2 - 80.4)	3.11 (2.72 - 3.57)	0.40 (0.34 - 0.47)	50.7 (46.0 - 55.4)	88.4 (86.1 - 90.4)	46.8
	≥9	371 (27.5)	60.2 (54.7 - 65.5)	83.2 (80.8 - 85.5)	3.59 (3.05 - 4.22)	0.48 (0.42 - 0.55)	54.2 (49.0 - 59.3)	86.4 (84.1 - 88.5)	43.4
	≥10	309 (22.9)	54.2 (48.7 - 59.6)	87.4 (85.2 - 89.3)	4.29 (3.55 - 5.18)	0.52 (0.47 - 0.59)	58.6 (53.9 - 63.1)	85.3 (83.7 - 86.7)	41.6
	≥11	252 (18.7)	44.9 (39.5 - 50.4)	89.9 (87.9 - 91.7)	4.46 (3.58 - 5.55)	0.61 (0.56 - 0.68)	59.5 (53.2 - 65.6)	83.2 (80.8 - 85.4)	34.8
	≥12	212 (15.7)	38.9 (33.7 - 44.4)	91.9 (90.1 - 93.5)	4.81 (3.76 - 6.16)	0.67 (0.61 - 0.73)	61.3 (54.4 - 67.9)	82.0 (79.7 - 84.2)	30.8
	≥13	161 (12.0)	31.4 (26.5 - 36.7)	94.5 (92.9 - 95.8)	5.69 (4.21 - 7.67)	0.73 (0.67 - 0.78)	65.2 (57.3 - 72.5)	80.7 (78.3 - 82.9)	25.9
	≥14	138 (10.2)	26.3 (21.7 - 31.4)	95.1 (93.5 - 96.3)	5.34 (3.86 - 7.38)	0.78 (0.73 - 0.83)	63.8 (55.2 - 71.8)	79.7 (77.3 - 81.9)	21.4
	≥15	102 (7.6)	19.8 (15.6 - 24.4)	96.4 (95.1 - 97.5)	5.56 (3.78 - 8.19)	0.83 (0.79 - 0.88)	64.7 (54.6 - 73.9)	78.5 (76.1 - 80.7)	16.2
	≥16	79 (5.9)	15.3 (11.6 - 19.6)	97.2 (96.0 - 98.2)	5.52 (3.54 - 8.61)	0.87 (0.83 - 0.91)	64.6 (53.0 - 75.0)	77.7 (75.3 - 79.9)	12.5
	≥17	57 (4.2)	10.5 (7.4 - 14.3)	97.8 (96.7 - 98.6)	4.83 (2.87 - 8.11)	0.92 (0.88 - 0.95)	61.4 (47.6 - 74.0)	76.8 (74.4 - 79.1)	8.3
≥18	48 (3.6)	8.4 (5.6 - 11.9)	98.0 (97.0 - 98.8)	4.25 (2.42 - 7.44)	0.94 (0.90 - 0.97)	58.3 (43.2 - 72.4)	76.4 (74.0 - 78.7)	6.4	
≥19	40 (3.0)	6.9 (4.4 - 10.2)	98.3 (97.3 - 99.0)	4.10 (2.22 - 7.59)	0.95 (0.92 - 0.98)	57.5 (40.9 - 73.0)	76.2 (73.8 - 78.5)	5.2	
≥20	35 (2.4)	4.5 (2.5 - 7.3)	98.3 (97.3 - 99.0)	2.68 (1.35 - 5.30)	0.97 (0.95 - 1.00)	46.9 (29.1 - 65.3)	75.7 (73.3 - 78.0)	2.8	
≥21	26 (1.9)	3.9 (2.1 - 6.6)	98.7 (97.8 - 99.3)	3.03 (1.42 - 6.48)	0.97 (0.95 - 1.00)	50.0 (29.9 - 70.1)	75.7 (73.3 - 78.0)	2.6	
≥22	20 (1.5)	2.7 (1.2 - 5.1)	98.9 (98.1 - 99.5)	2.48 (1.04 - 5.94)	0.98 (0.97 - 1.00)	45.0 (23.1 - 68.5)	75.5 (73.1 - 77.8)	1.6	
≥23	16 (1.2)	1.5 (0.5 - 3.5)	98.9 (98.1 - 99.5)	1.38 (0.48 - 3.94)	1.00 (0.98 - 1.01)	31.3 (11.0 - 58.7)	75.3 (72.9 - 77.6)	0.4	
≥24	10 (0.7)	0.9 (0.2 - 2.6)	99.3 (98.6 - 99.7)	1.30 (0.34 - 5.00)	1.00 (0.99 - 1.01)	30.0 (6.7 - 65.2)	75.2 (72.8 - 77.5)	0.2	
≥25	4 (0.3)	0.3 (0.0 - 1.7)	99.7 (99.1 - 99.9)	1.01 (0.11 - 9.69)	1.00 (0.99 - 1.01)	25.0 (0.6 - 80.6)	75.2 (72.8 - 77.5)	0.0	
≥26	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
≥27	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
PHQ-8	≥1	1244 (92.4)	99.4 (97.9 - 99.9)	10.0 (8.2 - 12.0)	1.10 (1.08 - 1.13)	0.06 (0.01 - 0.24)	26.7 (24.2 - 29.2)	98.1 (93.2 - 99.8)	9.4
	≥2	1141 (84.7)	98.2 (96.1 - 99.3)	19.7 (17.3 - 22.3)	1.22 (1.18 - 1.27)	0.09 (0.04 - 0.20)	28.7 (26.1 - 31.5)	97.1 (93.8 - 98.9)	17.9
	≥3	998 (74.1)	95.5 (92.7 - 97.5)	33.0 (30.1 - 36.0)	1.42 (1.36 - 1.50)	0.14 (0.08 - 0.23)	32.0 (29.1 - 35.0)	95.7 (93.0 - 97.6)	28.5
	≥4	846 (62.8)	91.3 (87.8 - 94.1)	46.6 (43.5 - 49.7)	1.71 (1.60 - 1.83)	0.19 (0.13 - 0.27)	36.1 (32.8 - 39.4)	94.2 (91.8 - 96.1)	37.9
	≥5	701 (52.0)	85.6 (81.4 - 89.2)	59.0 (55.9 - 62.1)	2.09 (1.92 - 2.28)	0.24 (0.19 - 0.32)	40.8 (37.1 - 44.5)	92.6 (90.3 - 94.5)	44.7
	≥6	611 (45.4)	79.9 (75.2 - 84.1)	66.0 (63.0 - 69.0)	2.35 (2.13 - 2.61)	0.30 (0.24 - 0.38)	43.7 (39.7 - 47.7)	90.9 (88.6 - 92.9)	46.0
	≥7	521 (38.7)	74.3 (69.2 - 78.9)	73.1 (70.2 - 75.8)	2.76 (2.44 - 3.10)	0.35 (0.29 - 0.42)	47.6 (43.2 - 52.0)	89.6 (87.3 - 91.6)	47.4

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	≥8	428 (31.8)	65.6 (60.2 - 70.7)	79.4 (76.7 - 81.8)	3.18 (2.75 - 3.67)	0.43 (0.37 - 0.50)	51.2 (46.3 - 56.0)	87.5 (85.2 - 89.6)	44.9	
	≥9	357 (26.5)	58.4 (52.9 - 63.7)	84.0 (81.6 - 86.2)	3.65 (3.09 - 4.32)	0.50 (0.44 - 0.56)	54.6 (49.3 - 59.9)	86.0 (83.6 - 88.1)	42.4	
	≥10	286 (21.2)	50.0 (44.5 - 55.5)	88.3 (86.1 - 90.2)	4.26 (3.48 - 5.20)	0.57 (0.51 - 0.63)	58.4 (52.4 - 64.2)	84.3 (81.9 - 86.4)	38.3	
	≥11	233 (17.3)	42.2 (36.9 - 47.7)	90.9 (89.0 - 92.6)	4.65 (3.69 - 5.86)	0.64 (0.58 - 0.70)	60.5 (53.9 - 66.8)	82.7 (80.3 - 84.9)	33.1	
	≥12	189 (14.0)	34.7 (29.6 - 40.1)	92.8 (91.0 - 94.3)	4.82 (3.70 - 6.28)	0.70 (0.65 - 0.76)	61.4 (54.0 - 68.4)	81.2 (78.8 - 83.4)	27.5	
	≥13	138 (10.2)	27.2 (22.5 - 32.4)	95.4 (93.9 - 96.6)	5.87 (4.22 - 8.17)	0.76 (0.71 - 0.82)	65.9 (57.4 - 73.8)	79.9 (77.5 - 82.1)	22.6	
	≥14	103 (8.1)	21.9 (17.5 - 26.7)	96.4 (95.1 - 97.5)	6.15 (4.21 - 8.99)	0.81 (0.76 - 0.86)	67.0 (57.3 - 75.7)	78.9 (76.5 - 81.2)	18.3	
	≥15	80 (5.9)	15.6 (11.9 - 19.9)	97.2 (96.0 - 98.2)	5.63 (3.62 - 8.77)	0.87 (0.83 - 0.91)	65.0 (53.5 - 75.3)	77.7 (75.3 - 80.0)	12.8	
	≥16	58 (4.3)	10.5 (7.4 - 14.3)	97.7 (96.6 - 98.6)	4.62 (2.77 - 7.70)	0.92 (0.88 - 0.95)	60.3 (46.6 - 73.0)	76.8 (74.4 - 79.1)	8.2	
	≥17	46 (3.4)	7.2 (4.7 - 10.5)	97.8 (96.7 - 98.6)	3.31 (1.88 - 5.82)	0.95 (0.92 - 0.98)	52.2 (36.9 - 67.1)	76.2 (73.8 - 78.5)	5.0	
	≥18	36 (2.7)	5.7 (3.5 - 8.7)	98.3 (97.3 - 99.0)	3.39 (1.78 - 6.44)	0.96 (0.93 - 0.99)	52.8 (35.5 - 69.6)	76.0 (73.6 - 78.3)	4.0	
	≥19	30 (2.2)	4.8 (2.8 - 7.7)	98.6 (97.7 - 99.2)	3.47 (1.71 - 7.03)	0.97 (0.94 - 0.99)	53.3 (34.3 - 71.7)	75.9 (73.4 - 78.1)	3.4	
	≥20	24 (1.8)	3.0 (1.4 - 5.4)	98.6 (97.7 - 99.2)	2.17 (0.97 - 4.83)	0.98 (0.96 - 1.00)	41.7 (22.1 - 63.4)	75.5 (73.1 - 77.8)	1.6	
	≥21	15 (1.1)	1.5 (0.5 - 3.5)	99.0 (98.2 - 99.5)	1.52 (0.52 - 4.41)	0.99 (0.98 - 1.01)	33.3 (11.8 - 61.6)	75.3 (72.9 - 77.6)	0.5	
	≥22	7 (0.5)	0.6 (0.1 - 2.1)	99.5 (98.9 - 99.8)	1.21 (0.24 - 6.22)	1.00 (0.99 - 1.01)	28.6 (3.7 - 71.0)	75.2 (72.8 - 77.5)	0.1	
	≥23	4 (0.3)	0.3 (0.0 - 1.7)	99.7 (99.1 - 99.9)	1.01 (0.11 - 9.69)	1.00 (0.99 - 1.01)	25.0 (0.6 - 80.6)	75.2 (72.8 - 77.5)	0.0	
	≥24	2 (0.2)	0.0 (0.0 - 1.1)	99.8 (99.3 - 100.0)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.00)	0.0 (0.0 - 84.2)	75.2 (72.8 - 77.5)	-0.2	
PHQ-9 algorithm		183 (13.6)	34.7 (29.6 - 40.1)	93.4 (91.7 - 94.8)	5.25 (3.99 - 6.91)	0.70 (0.65 - 0.76)	63.4 (56.0 - 70.4)	81.3 (78.9 - 83.5)	28.1	
PHQ-9 adjusted algorithm		601 (44.6)	78.1 (73.3 - 82.5)	66.4 (63.4 - 69.3)	2.33 (2.10 - 2.58)	0.33 (0.27 - 0.41)	43.4 (39.4 - 47.5)	90.2 (87.9 - 92.3)	44.5	
PHQ-2		≥1	1052 (78.1)	95.2 (92.3 - 97.2)	27.5 (24.8 - 30.4)	1.31 (1.26 - 1.37)	0.17 (0.11 - 0.28)	30.2 (27.5 - 33.1)	94.6 (91.3 - 96.9)	22.7
		≥2	730 (54.2)	84.7 (80.4 - 88.4)	55.9 (52.8 - 59.0)	1.92 (1.77 - 2.09)	0.27 (0.21 - 0.35)	38.8 (35.2 - 42.4)	91.7 (89.3 - 93.8)	40.6
		≥3	358 (26.6)	55.4 (49.9 - 60.8)	82.9 (80.5 - 85.2)	3.24 (2.75 - 3.83)	0.54 (0.48 - 0.61)	51.7 (46.4 - 57.0)	84.9 (82.6 - 87.1)	38.3
		≥4	225 (16.7)	39.5 (34.2 - 45.0)	90.8 (88.9 - 92.5)	4.30 (3.40 - 5.44)	0.67 (0.61 - 0.73)	58.7 (51.9 - 65.2)	82.0 (79.6 - 84.2)	30.3
		≥5	99 (7.4)	19.2 (15.1 - 23.8)	96.5 (95.2 - 97.6)	5.55 (3.74 - 8.22)	0.84 (0.79 - 0.88)	64.6 (54.4 - 74.0)	78.4 (76.0 - 80.6)	15.7
		≥6	63 (4.7)	12.0 (8.7 - 15.9)	97.7 (96.6 - 98.6)	5.27 (3.21 - 8.68)	0.90 (0.87 - 0.94)	63.5 (50.4 - 75.3)	77.1 (74.7 - 79.4)	9.7

Note: +LR = Positive likelihood ratio. -LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden's Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. PHQ = Patient Health Questionnaire. Bold values represent the cohort point with the highest Youden Index.

Supplementary material 6. Sensitivity, specificity, PPV, NPV, and Youden's index for different PHQ and GAD cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
PHQ-9	≥7	537 (39.9)	76.0 (71.1 - 80.5)	72.1 (69.2 - 74.8)	47.3 (43.0 - 51.6)	90.1 (87.9 - 92.1)	48.1
PHQ-9	≥8	454 (33.7)	68.9 (63.6 - 73.8)	77.9 (75.2 - 80.4)	50.7 (46.0 - 55.4)	88.4 (86.1 - 90.4)	46.8
PHQ-9	≥9	371 (27.5)	60.2 (54.7 - 65.5)	83.2 (80.8 - 85.5)	54.2 (49.0 - 59.3)	86.4 (84.1 - 88.5)	43.4
PHQ-9	≥10	309 (22.9)	54.2 (48.7 - 59.6)	87.4 (85.2 - 89.3)	58.6 (53.9 - 63.1)	85.3 (83.7 - 86.7)	41.6
PHQ-9 algorithm	-	183 (13.6)	34.7 (29.6 - 40.1)	93.4 (91.7 - 94.8)	63.4 (56.0 - 70.4)	81.3 (78.9 - 83.5)	28.1
PHQ-9 adjusted algorithm	-	601 (44.6)	78.1 (73.3 - 82.5)	66.4 (63.4 - 69.3)	43.4 (39.4 - 47.5)	90.2 (87.9 - 92.3)	44.5
PHQ-8	≥7	521 (38.7)	74.3 (69.2 - 78.9)	73.1 (70.2 - 75.8)	47.6 (43.2 - 52.0)	89.6 (87.3 - 91.6)	47.4
PHQ-8	≥8	428 (31.8)	65.6 (60.2 - 70.7)	79.4 (76.7 - 81.8)	51.2 (46.3 - 56.0)	87.5 (85.2 - 89.6)	44.9
PHQ-8	≥9	357 (26.5)	58.4 (52.9 - 63.7)	84.0 (81.6 - 86.2)	54.6 (49.3 - 59.9)	86.0 (83.6 - 88.1)	42.4
PHQ-8	≥10	286 (21.2)	50.0 (44.5 - 55.5)	88.3 (86.1 - 90.2)	58.4 (52.4 - 64.2)	84.3 (81.9 - 86.4)	38.3
PHQ-2	≥2	730 (54.2)	84.7 (80.4 - 88.4)	55.9 (52.8 - 59.0)	38.8 (35.2 - 42.4)	91.7 (89.3 - 93.8)	40.6
PHQ-2	≥3	358 (26.6)	55.4 (49.9 - 60.8)	82.9 (80.5 - 85.2)	51.7 (46.4 - 57.0)	84.9 (82.6 - 87.1)	38.3
GAD-7	≥7	360 (26.7)	53.6 (33.9 - 72.5)	73.8 (71.4 - 76.2)	4.2 (2.4 - 6.8)	98.7 (97.8 - 99.3)	27.4
GAD-7	≥8	295 (21.9)	53.6 (33.9 - 72.5)	78.8 (76.5 - 81.0)	5.1 (2.9 - 8.3)	98.8 (97.9 - 99.3)	32.4
GAD-7	≥9	230 (17.1)	42.9 (24.5 - 62.8)	83.5 (81.4 - 85.4)	5.2 (2.7 - 8.9)	98.6 (97.7 - 99.2)	26.4
GAD-7	≥10	164 (12.2)	39.3 (21.5 - 59.4)	88.4 (86.5 - 90.1)	6.7 (3.4 - 11.7)	98.6 (97.7 - 99.2)	27.7
GAD-2	≥2	681 (50.6)	82.1 (63.1 - 93.9)	50.1 (47.4 - 52.8)	3.4 (2.2 - 5.0)	99.2 (98.3 - 99.8)	32.2
GAD-2	≥3	283 (21.0)	42.9 (24.5 - 62.8)	79.5 (77.2 - 81.6)	4.2 (2.2 - 7.3)	98.5 (97.6 - 99.1)	22.4

Note: PPV= positive predictive value. NPV= negative predictive value. Youden's Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. GAD =Generalized Anxiety Disorder Scale. PHQ = Patient Health Questionnaire. Bold values represent the cohort point with the highest Youden Index. All cut-off points for the PHQ and GAD versions can be found in supplementary materials 3 and 4, respectively.

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Supplementary material 7. Sensitivity, specificity, +LR, -LR, PPV, NPV, and Youden’s index for different GAD cut-off points compared to the gold standard.

Method	Cut-off Points	n (%)	Sensitivity (95%CI)	Specificity (95%CI)	+LR (95%CI)	-LR (95%CI)	PPV (95%CI)	NPV (95%CI)	Youden Index
GAD-7	≥1	1244 (92.4)	96.4 (81.7 - 99.9)	7.7 (6.4 - 9.3)	1.05 (0.97 - 1.12)	0.46 (0.07 - 3.19)	2.2 (1.4 - 3.1)	99.0 (94.7 - 100.0)	4.1
	≥2	1128 (83.7)	96.4 (81.7 - 99.9)	16.5 (14.6 - 18.6)	1.16 (1.07 - 1.25)	0.22 (0.03 - 1.49)	2.4 (1.6 - 3.5)	99.5 (97.5 - 100.0)	12.9
	≥3	960 (71.3)	96.4 (81.7 - 99.9)	29.3 (26.8 - 31.8)	1.36 (1.26 - 1.48)	0.12 (0.02 - 0.84)	2.8 (1.9 - 4.1)	99.7 (98.6 - 100.0)	25.7
	≥4	797 (59.2)	89.3 (71.8 - 97.7)	41.5 (38.8 - 44.2)	1.53 (1.33 - 1.75)	0.26 (0.09 - 0.75)	3.1 (2.0 - 4.6)	99.5 (98.4 - 99.9)	30.8
	≥5	640 (47.5)	71.4 (51.3 - 86.8)	53.0 (50.3 - 55.7)	1.52 (1.19 - 1.93)	0.54 (0.30 - 0.97)	3.1 (1.9 - 4.8)	98.9 (97.8 - 99.5)	24.4
	≥6	491 (36.5)	60.7 (40.6 - 78.5)	64.1 (61.4 - 66.7)	1.69 (1.24 - 2.30)	0.61 (0.39 - 0.97)	3.5 (2.0 - 5.5)	98.7 (97.7 - 99.4)	24.8
	≥7	360 (26.7)	53.6 (33.9 - 72.5)	73.8 (71.4 - 76.2)	2.05 (1.43 - 2.93)	0.63 (0.42 - 0.94)	4.2 (2.4 - 6.8)	98.7 (97.8 - 99.3)	27.4
	≥8	295 (21.9)	53.6 (33.9 - 72.5)	78.8 (76.5 - 81.0)	2.52 (1.76 - 3.62)	0.59 (0.40 - 0.88)	5.1 (2.9 - 8.3)	98.8 (97.9 - 99.3)	32.4
	≥9	230 (17.1)	42.9 (24.5 - 62.8)	83.5 (81.4 - 85.4)	2.59 (1.66 - 4.04)	0.69 (0.50 - 0.94)	5.2 (2.7 - 8.9)	98.6 (97.7 - 99.2)	26.4
	≥10	164 (12.2)	39.3 (21.5 - 59.4)	88.4 (86.5 - 90.1)	3.39 (2.09 - 5.50)	0.69 (0.51 - 0.93)	6.7 (3.4 - 11.7)	98.6 (97.7 - 99.2)	27.7
	≥11	120 (8.9)	39.3 (21.5 - 59.4)	91.7 (90.1 - 93.2)	4.75 (2.90 - 7.79)	0.66 (0.49 - 0.89)	9.2 (4.7 - 15.8)	98.6 (97.8 - 99.2)	31.0
	≥12	94 (7.0)	28.6 (13.2 - 48.7)	93.5 (92.0 - 94.8)	4.38 (2.36 - 8.15)	0.76 (0.60 - 0.97)	8.5 (3.8 - 16.1)	98.4 (97.5 - 99.0)	22.1
	≥13	67 (5.0)	28.6 (13.2 - 48.7)	95.5 (94.3 - 96.6)	6.39 (3.39 - 12.10)	0.75 (0.59 - 0.95)	11.9 (5.3 - 22.2)	98.4 (97.6 - 99.0)	24.1
	≥14	50 (3.7)	21.4 (8.3 - 41.0)	96.7 (95.5 - 97.6)	6.42 (2.98 - 13.80)	0.81 (0.67 - 0.99)	12.0 (4.5 - 24.3)	98.3 (97.4 - 98.9)	18.1
	≥15	40 (3.0)	14.3 (4.0 - 32.7)	97.3 (96.2 - 98.1)	5.23 (2.00 - 13.70)	0.88 (0.76 - 1.03)	10.0 (2.8 - 23.7)	98.2 (97.3 - 98.8)	11.6
	≥16	31 (2.3)	10.7 (2.3 - 28.2)	97.9 (96.9 - 98.6)	5.05 (1.63 - 15.60)	0.91 (0.80 - 1.04)	9.7 (2.0 - 25.8)	98.1 (97.2 - 98.8)	8.6
	≥17	19 (1.4)	3.6 (0.1 - 18.3)	98.6 (97.9 - 99.2)	2.62 (0.36 - 18.90)	0.98 (0.91 - 1.05)	5.3 (0.1 - 26.0)	98.0 (97.1 - 98.7)	2.2
≥18	15 (1.1)	0.0 (0.0 - 12.3)	98.9 (98.1 - 99.4)	0.00 (0.00 - 0.00)	1.01 (1.01 - 1.02)	0.0 (0.0 - 21.8)	97.9 (97.0 - 98.6)	-1.1	
≥19	12 (0.9)	0.0 (0.0 - 12.3)	99.1 (98.4 - 99.5)	0.00 (0.00 - 0.00)	1.01 (1.00 - 1.01)	0.0 (0.0 - 26.5)	97.9 (97.0 - 98.6)	-0.9	
≥20	8 (0.6)	0.0 (0.0 - 12.3)	99.4 (98.8 - 99.7)	0.00 (0.00 - 0.00)	1.01 (1.00 - 1.01)	0.0 (0.0 - 36.9)	97.9 (97.0 - 98.6)	-0.6	
≥21	5 (0.4)	0.0 (0.0 - 12.3)	99.6 (99.1 - 99.9)	0.00 (0.00 - 0.00)	1.00 (1.00 - 1.01)	0.0 (0.0 - 52.2)	97.9 (97.0 - 98.6)	-0.4	
GAD-2	≥1	1028 (76.3)	89.3 (71.8 - 97.7)	24.0 (21.7 - 26.4)	1.17 (1.03 - 1.34)	0.45 (0.15 - 1.31)	2.4 (1.6 - 3.6)	99.1 (97.3 - 99.8)	13.3
	≥2	681 (50.6)	82.1 (63.1 - 93.9)	50.1 (47.4 - 52.8)	1.65 (1.37 - 1.97)	0.36 (0.16 - 0.79)	3.4 (2.2 - 5.0)	99.2 (98.3 - 99.8)	32.2
	≥3	283 (21.0)	42.9 (24.5 - 62.8)	79.5 (77.2 - 81.6)	2.09 (1.34 - 3.24)	0.72 (0.52 - 0.99)	4.2 (2.2 - 7.3)	98.5 (97.6 - 99.1)	22.4
	≥4	141 (10.5)	25.0 (10.7 - 44.9)	89.8 (88.1 - 91.4)	2.46 (1.27 - 4.77)	0.84 (0.67 - 1.03)	5.0 (2.0 - 10.0)	98.3 (97.4 - 98.9)	14.8
	≥5	51 (3.8)	14.3 (4.0 - 32.7)	96.4 (95.3 - 97.4)	4.01 (1.55 - 10.40)	0.89 (0.76 - 1.03)	7.8 (2.2 - 18.9)	98.1 (97.3 - 98.8)	10.7
	≥6	28 (2.1)	7.1 (0.9 - 23.5)	98.0 (97.1 - 98.7)	3.62 (0.90 - 14.50)	0.95 (0.86 - 1.05)	7.1 (0.9 - 23.5)	98.0 (97.1 - 98.7)	5.1

Note: +LR = Positive likelihood ratio. -LR = Negative likelihood ratio. PPV= positive predictive value. NPV= negative predictive value. Youden’s Index = Sensitivity+Specificity-1. 95%CI = 95% confidence interval. GAD =Generalized Anxiety Disorder Scale. Bold values represent the cut-off with the highest Youden Index.

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	Page
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any prespecified hypotheses	6
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	8
		(b) Describe any methods used to examine subgroups and interactions	8
		(c) Explain how missing data were addressed	8
		(d) If applicable, describe analytical methods taking account of sampling strategy	8
		(e) Describe any sensitivity analyses	9
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9
		(b) Give reasons for non-participation at each stage	9
		(c) Consider use of a flow diagram	SUPPL.
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10
		(b) Indicate number of participants with missing data for each variable of interest	10
Outcome data	15*	Report numbers of outcome events or summary measures	10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	10

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		(b) Report category boundaries when continuous variables were categorized	10
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11
Generalisability	21	Discuss the generalisability (external validity) of the study results	11
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.