

## SUPPLEMENTAL MATERIALS

Correlation of Mucosal Healing Endpoints with Long-Term Clinical and Patient-Reported Outcomes in Ulcerative Colitis

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**Supplemental Table 1: Cross-sectional analyses: adjusted odds ratios for HEMR or HEMI at Week 52 (end of maintenance ) and clinical outcomes at Week 52 in U-ACHIEVE**

Outcomes at Week 52 <sup>a</sup>	Separate regressions for HEMR and HEMI			
	HEMR (n=55 <sup>b</sup> ) vs no HEMR (n=146 <sup>b</sup> )		HEMI (n=124 <sup>b</sup> ) vs no HEMI (n=77 <sup>b</sup> )	
	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value
<b>Clinical Outcomes</b>				
Corticosteroid-free remission <sup>b,d</sup>	19.1 (6.6, 55.3)	<.001	179.8 (37.5, 861.7)	<.001
Sustained clinical response <sup>b,e</sup>	11.1 (2.6, 47.5)	.001	18.4 (5.8, 58.2)	<.001
Clinical remission per full Mayo score <sup>b,f</sup>	67.1 (16.3, 275.7)	<.001	128.5 (32.1, 513.9)	<.001
Clinical remission per adapted Mayo score <sup>b,g</sup>	31.3 (8.9, 109.9)	<.001	373.3 (66.1, >999.99)	<.001
Endoscopic remission <sup>b,h</sup>	N/A due to HEMR definition		158.4 (17.3, >999.99)	<.001
Clinical and endoscopic remission per full Mayo score <sup>b,f,h</sup>	>999.99 (149.0, >999.99)	<.001	104.7 (12.3, 889.5)	<.001
Clinical and endoscopic remission per adapted Mayo score <sup>b,g,h</sup>	>999.99 (149.0, >999.99)	<.001	104.7 (12.3, 889.5)	<.001
Rectal bleeding = 0 <sup>b</sup>	12.5 (2.3, 68.1)	.004	12.3 (3.7, 40.5)	<.001
Stool frequency ≤1 <sup>b</sup>	17.1 (4.3, 68.0)	<.001	9.3 (4.0, 21.8)	<.001
Endoscopic improvement <sup>b,i</sup>	N/A due to HEMR definition		N/A due to HEMI definition	
FCP ranges <sup>j</sup>				
FCP ≤150 µg/g	3.5 (1.6, 7.6)	.002	4.9 (2.3, 10.5)	<.001
FCP ≤250 µg/g	3.7 (1.6, 8.5)	.003	3.9 (1.9, 8.2)	<.001
<b>Clinically meaningful improvement from induction baseline in patient-reported outcomes<sup>k</sup></b>				
FACIT-F (≥5) <sup>b</sup>	1.6 (0.8, 3.4)	.182	1.7 (0.9, 3.3)	.124
UC-SQ (≥10) <sup>b</sup>	3.0 (1.2, 7.4)	.020	3.5 (1.7, 7.4)	<.001
IBDQ (≥16) <sup>b</sup>	2.3 (0.8, 6.1)	.111	5.3 (2.3, 12.6)	<.001
SF-36 PCS (≥4.1) <sup>j</sup>	2.5 (1.1, 5.8)	.038	3.4 (1.6, 7.3)	.002

Outcomes at Week 52 <sup>a</sup>	Separate regressions for HEMR and HEMI			
	HEMR (n=55 <sup>b</sup> ) vs no HEMR (n=146 <sup>b</sup> )		HEMI (n=124 <sup>b</sup> ) vs no HEMI (n=77 <sup>b</sup> )	
	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value
SF-36 MCS (≥4.1) <sup>j</sup>	3.8 (1.7, 8.5)	.001	2.8 (1.4, 5.7)	.006
EQ-5D-5L index (≥0.076) <sup>j</sup>	2.1 (0.9, 4.5)	.073	4.6 (2.1, 10.2)	<.001
WPAI				
Work time missed ≥6.5 <sup>l</sup>	0.8 (0.3, 2.3)	.655	1.1 (0.4, 2.8)	.893
Impairment while working ≥6.1 <sup>l</sup>	1.7 (0.3, 9.6)	.529	2.9 (0.7, 11.4)	.139
Overall work impairment ≥7.3 <sup>l</sup>	2.3 (0.4, 12.0)	.335	3.1 (0.8, 11.8)	.100
Activity impairment ≥8.5	1.9 (0.7, 5.1)	.195	4.1 (1.7, 9.8)	.001

<sup>a</sup>NRI-NC was conducted in all Week 52 outcomes.

<sup>b</sup>N = 201, includes patients who achieved a clinical response after 8 weeks or 16 weeks of upadacitinib induction treatment.

<sup>c</sup>Adjusted for maintenance baseline Geboes histologic score, dosage, gender, age, weight, UC disease extent, UC disease duration, and use of extended therapy (16-week induction period). Due to the adjustment of covariates, patients with missing values on the covariates were dropped in the logistic regressions.

<sup>d</sup>Steroid-free remission was defined as achieved 90-day steroid-free remission per adapted Mayo in patients who achieved clinical remission at the end of induction treatment.

<sup>e</sup>Sustained clinical response was defined as remained clinically responsive at the end of Week 52.

<sup>f</sup>Clinical remission per full Mayo was defined as total Mayo score ≤2 with no subscore >1.

<sup>g</sup>Clinical remission per adapted Mayo (full Mayo excluding physician's global assessment) was defined as stool frequency subscore ≤1 and not greater than baseline, rectal bleeding subscore = 0, endoscopic subscore ≤1 without friability.

<sup>h</sup>Endoscopic remission defined as Mayo endoscopic subscore = 0.

<sup>i</sup>Endoscopic improvement defined as Mayo endoscopic subscore ≤1.

<sup>j</sup>N = 172, includes only patients who achieved a clinical response after 8 weeks of upadacitinib induction treatment.

<sup>k</sup>Clinically meaningful improvement in patient-reported outcomes was assessed as the likelihood of achieving a change from induction baseline in patient-reported outcome score ≥ the corresponding meaningful within-patient change threshold.

<sup>l</sup>Only includes patients who had baseline WPAI scores. N=154 for work time missed, N=142 for impairment while working, N=154 for overall work impairment.

CI = confidence interval; EQ-5D-5L, European Quality of Life Five Dimensions Five Levels; FACIT-F = Functional Assessment of Chronic Illness Therapy–Fatigue; FCP = fecal calprotectin; HEMI = histologic endoscopic mucosal improvement; HEMR = histologic endoscopic mucosal remission; IBDQ = Inflammatory Bowel Disease Questionnaire; N/A = not applicable; NRI-NC = non-responder imputation with no special data handling for missing due to COVID-19; SF-36 MCS = Short Form Health Survey Mental Component Summary; SF-36 PCS = Short Form Health Survey Physical Component Summary; UC = ulcerative colitis; UC-SQ = Ulcerative Colitis Symptoms Questionnaire; WPAI = Work Productivity and Activity Impairment Questionnaire.

**Supplemental Table 2. Predictive analyses: adjusted odds ratios for HEMR or HEMI at Week 8/16 (end of induction) and outcomes at Week 52 (end of maintenance) in U-ACHIEVE**

Outcomes at Week 52 <sup>a</sup>	Separate regressions for HEMR and HEMI			
	HEMR (n = 45 <sup>b</sup> ) vs no HEMR (n = 275 <sup>b</sup> )		HEMI (n = 123 <sup>b</sup> ) vs no HEMI n = 197 <sup>b</sup> )	
	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value
<b>Clinical Outcomes</b>				
Corticosteroid-free remission <sup>b,d</sup>	2.6 (1.3, 5.4)	.009	3.1 (1.8, 5.3)	<.001
Sustained clinical response <sup>b,e</sup>	2.3 (1.0, 5.1)	.042	2.1 (1.2, 3.7)	.006
Clinical remission per full Mayo score <sup>b,f</sup>	1.7 (0.8, 3.4)	.157	2.8 (1.6, 4.7)	<.001
Clinical remission per adapted Mayo score <sup>b,g</sup>	2.4 (1.2, 4.9)	.018	3.3 (1.9, 5.6)	<.001
Endoscopic remission <sup>b,h</sup>	2.7 (1.3, 5.8)	.008	2.8 (1.6, 5.2)	<.001
Clinical and endoscopic remission per full Mayo score <sup>b,g,h</sup>	2.1 (1.0, 4.6)	.051	2.8 (1.5, 5.2)	<.001
Clinical and endoscopic remission per adapted Mayo score <sup>b,g,h</sup>	2.3 (1.1, 5.1)	.029	2.9 (1.6, 5.4)	<.001
Rectal bleeding = 0 <sup>b</sup>	2.8 (1.2, 6.8)	.018	2.2 (1.2, 3.8)	.006
Stool frequency ≤1 <sup>b</sup>	2.1 (1.0, 4.6)	.054	2.2 (1.3, 3.7)	.003
Endoscopic improvement <sup>b,i</sup>	3.3 (1.5, 7.1)	.002	3.7 (2.1, 6.4)	<.001
FCP ranges <sup>j</sup>				
FCP ≤150 µg/g	2.0 (1.0, 4.1)	.073	1.8 (1.1, 3.1)	.027
FCP ≤250 µg/g	2.6 (1.2, 5.5)	.016	2.3 (1.3, 4.0)	.003
<b>Clinically meaningful improvement from induction baseline in patient-reported outcomes<sup>k</sup></b>				
FACIT-F (≥5) <sup>b</sup>	1.4 (0.7, 2.8)	.340	1.2 (0.7, 2.0)	.462
UC-SQ (≥10) <sup>b</sup>	2.4 (1.1, 5.2)	.031	1.7 (1.0, 2.8)	.051
IBDQ (≥16) <sup>b</sup>	1.3 (0.6, 2.8)	.496	1.1 (0.7, 2.0)	.634
SF-36 PCS (≥4.1) <sup>j</sup>	1.1 (0.5, 2.3)	.816	1.3 (0.8, 2.3)	.287
SF-36 MCS (≥4.1) <sup>j</sup>	1.3 (0.6, 2.6)	.508	1.0 (0.6, 1.8)	.888
EQ-5D-5L index (≥0.076) <sup>j</sup>	1.5 (0.7, 3.2)	.267	0.9 (0.5, 1.6)	.768

Outcomes at Week 52 <sup>a</sup>	Separate regressions for HEMR and HEMI			
	HEMR (n = 45 <sup>b</sup> ) vs no HEMR (n = 275 <sup>b</sup> )		HEMI (n = 123 <sup>b</sup> ) vs no HEMI n = 197 <sup>b</sup> )	
	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value	Adjusted Odds ratio (95% CI) <sup>c</sup>	P value
WPAI				
Work time missed ≥6.5 <sup>l</sup>	0.9 (0.3, 3.1)	.924	0.7 (0.3, 1.6)	.428
Impairment while working ≥6.1 <sup>l</sup>	1.3 (0.3, 6.2)	.707	2.4 (0.8, 7.2)	.106
Overall work impairment ≥7.3 <sup>l</sup>	1.4 (0.3, 6.5)	.648	2.0 (0.7, 5.7)	.174
Activity impairment ≥8.5	1.2 (0.5, 2.7)	.672	2.1 (1.1, 3.8)	.019

<sup>a</sup>NRI-NC was conducted in all Week 52 outcomes. No missing data imputation was used for HEMI and HEMR at Week 8/16.

<sup>b</sup>N = 320, includes patients who achieved a clinical response after 8 weeks or 16 weeks of upadacitinib induction treatment.

<sup>c</sup>Adjusted for maintenance baseline Geboes histologic score, dosage, gender, age, weight, UC disease extent, UC disease duration, and use of extended therapy (16-week induction period). Due to the adjustment of covariates, patients with missing values on the covariates were dropped in the logistic regressions.

<sup>d</sup>Steroid-free remission was defined as achieved 90-day steroid-free remission per adapted Mayo in patients who achieved clinical remission at the end of induction treatment.

<sup>e</sup>Sustained clinical response was defined as remained clinically responsive at the end of Week 52.

<sup>f</sup>Clinical remission per full Mayo was defined as total Mayo score ≤2 with no subscore >1.

<sup>g</sup>Clinical remission per adapted Mayo (full Mayo excluding physician's global assessment) was defined as stool frequency subscore ≤1 and not greater than baseline, rectal bleeding subscore = 0, endoscopic subscore ≤1 without friability.

<sup>h</sup>Endoscopic remission defined as Mayo endoscopic subscore = 0.

<sup>i</sup>Endoscopic improvement defined as Mayo endoscopic subscore ≤1.

<sup>j</sup>N = 275, includes only patients who achieved a clinical response after 8 weeks of upadacitinib induction treatment.

<sup>k</sup>Clinically meaningful improvement in patient-reported outcomes was assessed as the likelihood of achieving a change from induction baseline in patient-reported outcome score ≥ the corresponding meaningful within-patient change threshold.

<sup>l</sup>Only includes patients who had baseline WPAI scores. N=154 for work time missed, N=142 for impairment while working, N=154 for overall work impairment.

CI = confidence interval; EQ-5D-5L, European Quality of Life Five Dimensions Five Levels; FACIT-F = Functional Assessment of Chronic Illness Therapy–Fatigue; FCP = fecal calprotectin; HEMI = histologic endoscopic mucosal improvement; HEMR = histologic endoscopic mucosal remission; IBDQ = Inflammatory Bowel Disease Questionnaire; NRI-NC = non-responder imputation with no special data handling for missing due to COVID-19; SF-36 MCS = Short Form Health Survey Mental Component Summary; SF-36 PCS = Short Form Health Survey Physical Component Summary; UC = ulcerative colitis; UC-SQ = Ulcerative Colitis Symptoms Questionnaire; WPAI = Work Productivity and Activity Impairment questionnaire.

**Supplemental Table 3. Spearman correlations between Geboes score and secondary instruments at Baseline and Week 8.**

Secondary measures	N=491	Baseline	N=491	Week 8
Mayo: stool frequency subscore	490	0.17	443	0.43
Mayo: rectal bleeding frequency subscore	490	0.08	443	0.37
Mayo: endoscopy subscore	491	0.10	427	0.53
Mayo: Physician's global assessment	491	0.13	444	0.41
Mayo Full score	490	0.20	424	0.54
Mayo Partial score	490	0.19	443	0.49

**Supplemental Table 4. Predictive analysis: patients with  $\geq 1$  UC-related hospitalization or surgery through the maintenance phase in U-ACHIEVE stratified by mutually exclusive HEMI and HEMR categories at Week 8/16 (end of induction)**

At Week 8/16	N	$\geq 1$ UC-related hospitalization or surgery	UC-related hospitalizations	UC-related surgeries
No HEMI	197	3	2	1
HEMI without HEMR	78	1	1	0
HEMR	45	0	0	0

HEMI = histologic endoscopic mucosal improvement; HEMR = histologic endoscopic mucosal remission; N = number of patients; UC = ulcerative colitis.

**Supplemental Table 5. Cross-sectional analysis: Patients with  $\geq 1$  UC-related hospitalization or surgery through the maintenance phase in U-ACHIEVE stratified by mutually exclusive HEMI and HEMR categories at Week 52**

<b>At Week 52</b>	<b>N</b>	<b><math>\geq 1</math> UC-related hospitalization or surgery</b>	<b>UC-related hospitalizations</b>	<b>UC-related surgeries</b>
No HEMI	77	2	1	1
HEMI without HEMR	69	0	0	0
HEMR	55	0	0	0

HEMI = histologic endoscopic mucosal improvement; HEMR = histologic endoscopic mucosal remission; N = number of patients; UC = ulcerative colitis.

## **Correlation of histologic assessment of mucosal healing with long-term clinical outcomes in patients participating in the U-ACHIEVE maintenance trial**

### **METHODS**

#### **Data Source**

Geboes score (GS) and long-term clinical outcomes from the upadacitinib Phase 3 U-ACHIEVE maintenance trial (NCT02819635) were analyzed. GS intervals were selected to assess: (1) patients who achieved the threshold for histologic remission ( $GS < 2.0$ ); (2) patients who achieved the threshold for histologic improvement without remission ( $2.0 \leq GS \leq 3.1$ ) (3) patients who did not achieve histologic improvement ( $GS > 3.1$ ). Outcomes were examined in patients who achieved a clinical response after 8 or 16 weeks of upadacitinib induction treatment and received 15 mg or 30 mg QD dose of upadacitinib during maintenance.

#### **Data Analysis**

A cross-sectional analysis ( $N = 211$ ) examined the relationship of both GS intervals and outcomes at the end of maintenance (Week 52). A predictive analysis ( $N = 497$ ) assessed the relationship between GS intervals at the end of induction (Week 8/16) and outcomes at the end of maintenance (Week 52).

Odds ratios (ORs) with 95% confidence intervals (CIs) were derived from logistic regression adjusting for the following characteristics: histologic score at baseline, maintenance treatment dosage, gender, disease extent, disease duration, and baseline age and weight.

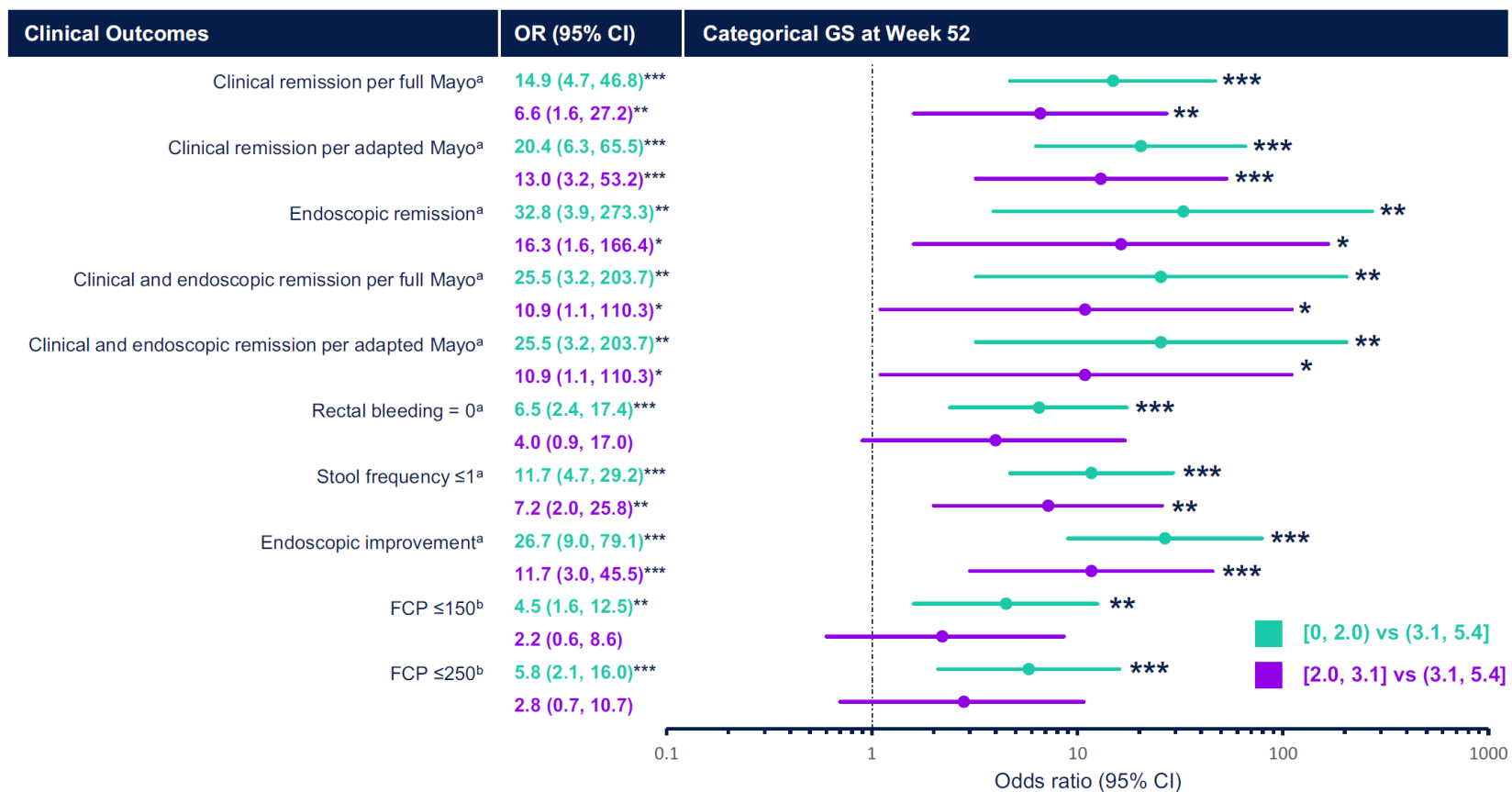
#### **Results**

Patients with histologic remission ( $GS < 2.0$ ) at Week 52 had a significantly greater likelihood of achieving all clinical outcomes at Week 52 compared to patients with  $GS > 3.1$  (Supplemental Figure 1). Patients with histologic remission ( $GS < 2.0$ ) at end of induction (Week 8/16) had a significantly higher likelihood of achieving clinical remission per adapted Mayo score ( $OR = 1.8, P < .030$ ) and endoscopic improvement ( $OR = 1.8, P < .015$ ) at Week 52 than patients with  $GS > 3.1$  (Supplemental Figure 2).



**Supplemental Figure 1. Cross-sectional analysis Adjusted ORs of Clinical Outcomes at Week 52 for GS categories at Week 52 in U-ACHIEVE**

**Maintenance Phase**



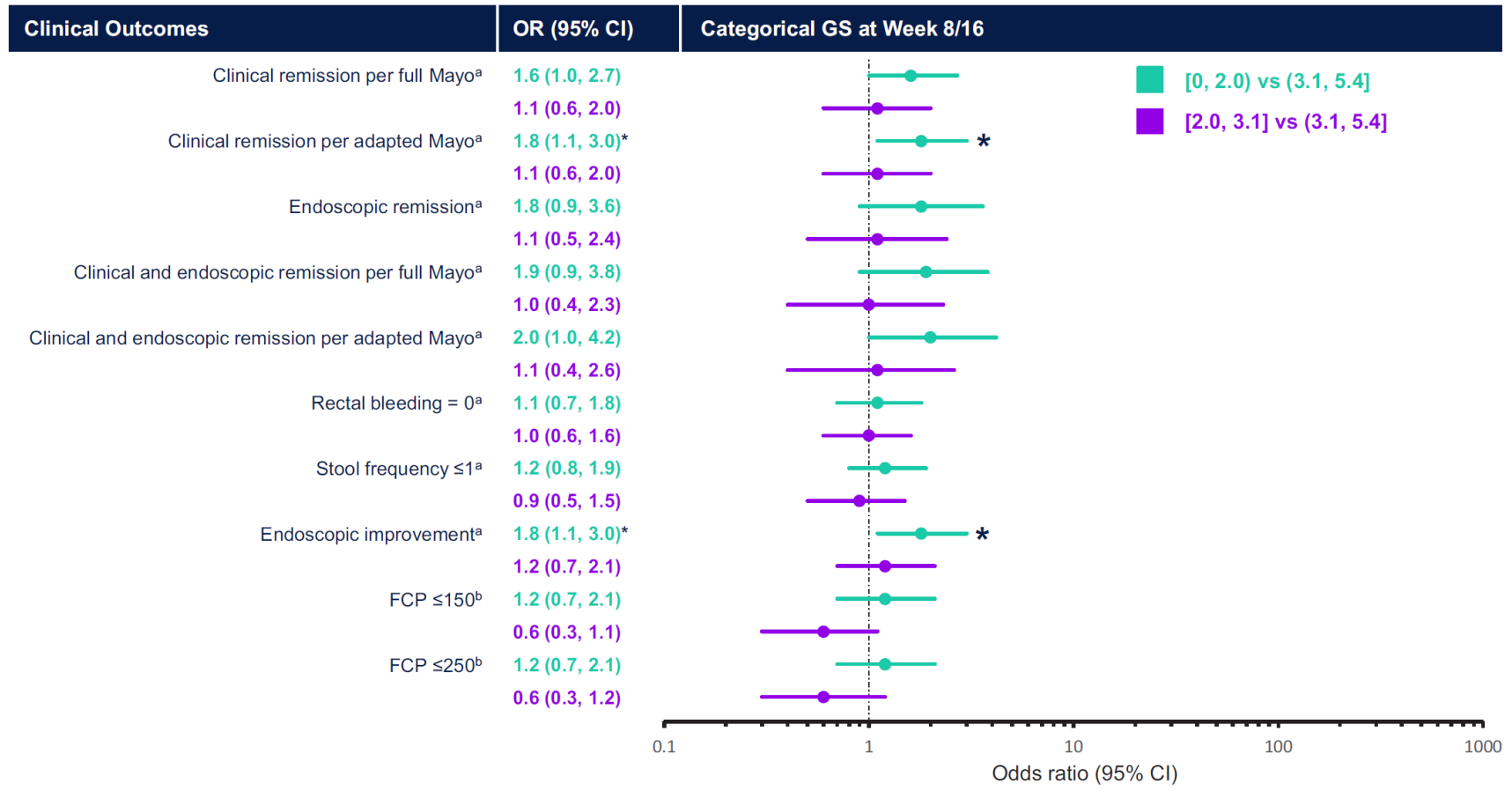
\*P <.05, \*\*P <.01, \*\*\*P <.001. An OR >1 indicates an increased likelihood, whereas an OR <1 indicates a decreased likelihood of achieving the outcome.

<sup>a</sup>N = 211, includes patients who achieved a clinical response after 8 weeks or 16 weeks of upadacitinib induction treatment.

<sup>b</sup>N = 182, includes only patients who achieved a clinical response after 8 weeks of upadacitinib induction treatment.

**Supplemental Figure 2. Predictive Analysis: Adjusted ORs of Clinical Outcomes at Week 52 for GS Categories at Week 8/16 in U-ACHIEVE**

**Induction Phase**



\*P <.05. An OR >1 indicates an increased likelihood, whereas an OR <1 indicates a decreased likelihood of achieving the outcome.

<sup>a</sup>N = 497, includes patients who achieved a clinical response after 8 or 16 weeks of upadacitinib induction treatment.

<sup>b</sup>N = 427, includes only patients who achieved a clinical response after 8 weeks of upadacitinib induction treatment.