

Efficient shRNA-based knockdown of multiple target genes for cell therapy using a chimeric miRNA cluster platform

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Genome engineering technologies are powerful tools in cell-based immunotherapy to optimize or fine-tune cell functionalities. However, their use for multiple gene edits poses relevant biological and technical challenges. Short hairpin RNA (shRNA)-based cell engineering bypasses these criticalities and represents a valid alternative to CRISPR-based gene editing. Here, we describe a microRNA (miRNA)-based multiplex shRNA platform obtained by combining highly efficient miRNA scaffolds into a chimeric cluster, to deliver up to four shRNA-like sequences. Thanks to its limited size, our cassette could be deployed in a one-step process along with all the CAR components, streamlining the generation of engineered CAR T cells. The plug-and-play design of the shRNA platform allowed us to swap each shRNA-derived guide sequence without affecting the system performance. Appropriately choosing the target sequences, we were able to either achieve a functional KO, or fine-tune the expression levels of the target genes, all without the need for gene editing. Through our strategy we achieved easy, safe, efficient, and tunable modulation of multiple target genes simultaneously. This approach allows for the effective introduction of multiple functionally relevant tweaks in the transcriptome of the engineered cells, which may lead to increased performance in challenging environments, e.g., solid tumors.

INTRODUCTION

In recent years, cellular immunotherapy has redrawn the treatment landscape for a growing number of human cancers. In particular, T cells engineered to express a chimeric antigen receptor (CAR) enabling antigen recognition, downstream signaling, and costimulatory activation warranted remarkable responses across different patient populations with hematological malignancies.^{1–6} However, these initial successes did not translate into solid tumor indications.^{7,8} Multiple mechanisms contribute to the poor clinical responses observed. The immunosuppressive properties of the tumor microenvironment (TME) impose metabolic pressure on the CAR T cells and promote the formation of dysfunctional effector cells and regulatory T cells (Treg).^{9,10} Next to those issues related to the TME, CAR T cells often exhibit poor long-term persistence and exhaustion, which limit

the duration of potential responses.¹¹ In addition, the often poor quality and quantity of T cells and the time, expense, and demanding logistics associated with manufacturing of autologous T cell products further limit the applicability of this approach to a broad patient population. Allogeneic CAR T cells may allow to circumvent some of the outstanding limitations that hamper the autologous approach; however, further challenges due to alloreactivity arise. Expression of the endogenous T cell receptor (TCR) and human leukocyte antigen (HLA) molecules on the surface of allogeneic T cells must be dealt with to prevent graft-versus-host disease and allorejection by the host immune system, respectively. To overcome all the above-mentioned issues, CAR T cells require multiple edits that go beyond the deployment of the CAR itself. The simultaneous downregulation of several immune checkpoint inhibitor receptors (e.g., PD-1, CTLA-4, LAG-3, TIM-3, and TIGIT) may be necessary to improve CAR T cell persistence and activity.¹² In addition, the successful generation of allogeneic therapies relies on the tight control of the expression of surface molecules involved in alloreactivity and allorejection (TCR, major histocompatibility complex class I [MHC class I], MHC class II) or of their regulators (e.g., CIITA).¹³ The ability to modulate the levels of multiple target genes at once is therefore crucial to develop the next generation of adoptive cell therapies.

Gene editing technologies such as meganucleases,¹⁴ TALENs,^{15,16} megaTALs, and CRISPR-Cas9^{17,18} granted unprecedented possibilities in T cell engineering. CRISPR-Cas9 technology, in particular, has been already explored for the editing of multiple genomic sites in T cells.^{19–24} Still, their use for multiple simultaneous gene edits poses relevant biological and technical challenges. The co-occurrence of all the desired edits within the same cell wanes for high-grade multiplexing,²⁵ with heavy implications on the manufacturability of multi-edited products. The competition for a dwindling pool of endonucleases as the number of gRNAs scales up²⁶ may contribute to this phenomenon, giving rise to unpredictable patterns of gRNA

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efficiency in a multiplex context. Furthermore, nuclease-based genome-editing strategies carry the risk of accidental off-target cleavages, mutational events, and chromosomal rearrangements, which is exacerbated by the simultaneous introduction of multiple edits.²⁷ The consequence is the introduction of unintended, irreversible off-target genetic alterations in the product cells. If the risk of such off-target effects may be mitigated through a variety of strategies,^{28–34} dealing with mutational events and chromosomal rearrangements represents a bigger challenge. Indeed, such unwelcome eventualities are inherent to the biological mechanism behind gene editing itself, which relies on double-strand breaks (DSBs) and on the error-prone DSB repair machinery. CRISPR-Cas9 cleavage has indeed been linked to gross chromosomal aberrations in both embryos^{35–37} and stem cells.^{38,39} Remarkably, CRISPR-based editing of primary human T cells at the *TRAC* locus (Ch14q11.2) was shown to lead to chromosome 14 truncation at the cleavage site (functionally equivalent to chromosomal loss due to the acrocentric nature of chromosome 14) in 5.3% of the cells. Even more strikingly, when the *TRAC* and *TCRB* (Ch7q34) loci were co-edited, this percentage increased to 9%, concomitant with chromosome 7 truncation at the *TCRB* locus in 9.9% of the cells.⁴⁰ This body of evidence is of particular interest for the adoptive cell therapy field, as TCR disruption is a prerequisite for the generation of allogeneic CAR T cell products.

In light of these limitations of gene-editing-based approaches, non-gene-editing technologies may represent a valid alternative for the simultaneous downregulation of multiple targets in adoptive cell therapy products. Small interfering RNAs (siRNAs), short hairpin RNAs (shRNAs), and microRNAs (miRNAs) are all technologies based on small non-coding RNAs that regulate gene expression post-transcriptionally. Hence, these approaches do not require a site-directed intervention on the target cell genome, greatly minimizing the risk of unintended genomic alterations. Among them, siRNAs are likely not suitable for the development of engineered immune cells, despite the technological advancements in the last decade that greatly increased their *in vivo* persistence⁴¹ and led to the approval of five siRNA-based drugs. Indeed, siRNAs are delivered in their mature, functionally active form. The available information in the context of T cells is scarce, but the impossibility to self-replenish the initial siRNA pool argues for a rapid dilution of the siRNAs in the fast-dividing activated T cells. Hence, by means of this approach only a transient biological effect is likely to be achieved, rather than the long-term gene knockdown (KD) required for effective T cell engineering. On the other hand, shRNAs and miRNAs are actively transcribed as precursors in the recipient cells, ensuring long lasting gene downregulation. They largely share the processing machinery responsible for their maturation: following transcription, their core hairpin structure is processed by RNase III enzymes (first Droscha, then DICER in the case of miRNAs, only DICER in the case of shRNAs), leading to the formation of a mature RNA duplex, which is in turn incorporated into the RNA-induced silencing complex (RISC) complex. Upon release of the passenger RNA strand, the RISC-guide strand riboprotein mediates target mRNA recognition and eventually gene KD.^{42–44} However, the bypassing of Droscha in shRNA process-

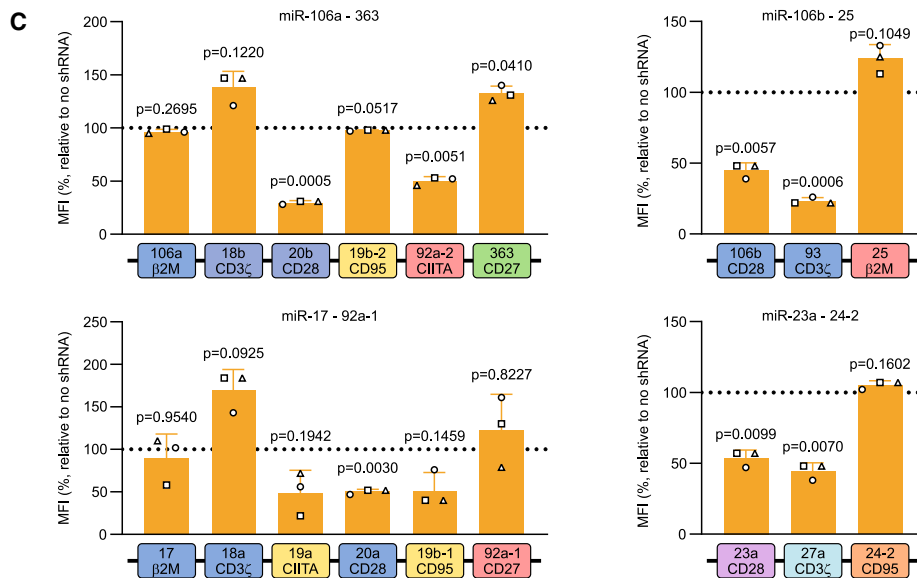
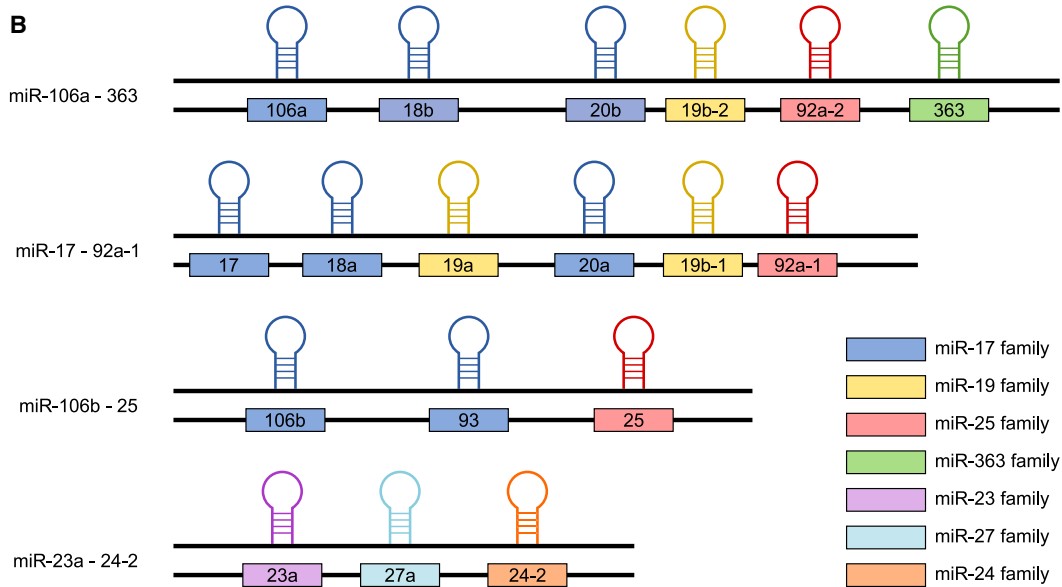
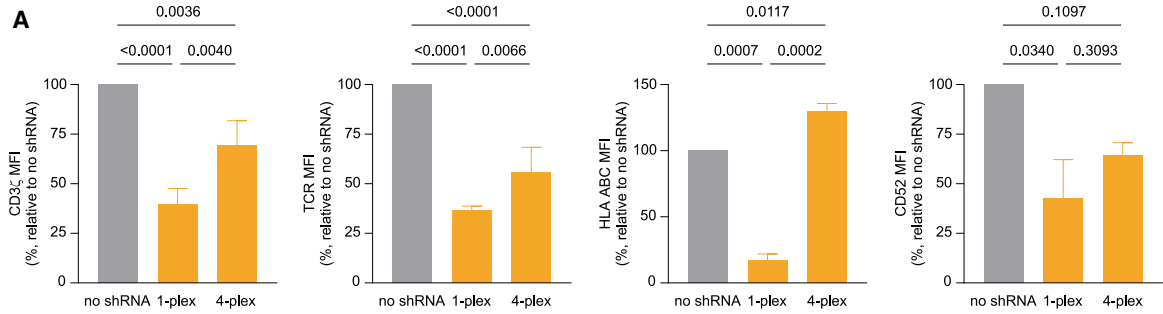
ing may overload the cytoplasm with double-stranded RNA and hence lead to toxicity by obstructing the natural miRNA pathway.^{45,46} As synthetic miRNAs, in which the guide sequence has been swapped for an shRNA-based one directed against the gene of interest, closely exploit the natural pathway, they can overcome this issue,⁴⁷ standing out as the most valid candidates among non-gene-editing technologies for immune cell engineering. Another valuable characteristic of miRNAs is their frequent co-occurrence within the same transcriptional unit. About 50% of conserved vertebrate miRNAs are organized in clusters,^{48,49} making them the ideal shRNA-derived guide (shGuide) sequence vector for multiplex applications. Indeed, miRNA systems for multiple gene targeting have already been used successfully to inhibit HIV-1 and HCV.^{50–52} Nonetheless, their applicability to the generation of clinically valuable engineered immune cells has been hampered by the relatively weak KD activity.⁵³ In part, this relates to the poor understanding of the structural constraints, of crucial importance for processing and KD efficiency, within the more complex multiplex architecture.^{54,55}

Here, we describe our work on an miRNA-based delivery system for shRNAs that, optimizing the miRNA scaffold selection and the chimeric cluster design, ensures high KD efficiency of multiple targets simultaneously. The system is built upon our clinically validated technology, which proved both safe and effective in two separate clinical trials (NCT04613557 and NCT04167696). As a result, we obtained an miRNA-based multiplex shRNA platform for easy, safe, efficient, and tunable gene modulation. We combine highly efficient miRNA scaffolds into an optimized chimeric cluster able to deliver up to four shRNA-like sequences in a simple cassette format that can be deployed together with other components, streamlining the generation of engineered CAR T cells. The plug-and-play design of the platform has been validated to allow the swap of the shGuide sequence in any of the scaffolds without affecting the system's overall KD performance. The expression of each target gene can be fine-tuned, up to achieving a functional KO, all without the need for gene editing, ensuring a high safety profile. These characteristics hoist our miRNA-based multiplex shRNA platform as a valuable product-oriented solution for the simultaneous engineering of multiple parameters in immune cells for adoptive cell therapy.

RESULTS

A chimeric cluster combining different miRNA scaffolds is required for KD of multiple gene targets by shRNA

Delivery of shRNAs using miRNA-based constructs is one of the most promising non-gene-editing approaches for cell engineering. However, to date its clinical application has been limited by the difficulty in obtaining adequate KD when targeting different genes simultaneously. We have previously successfully integrated a single miRNA scaffold, based on miR-196a-2, carrying an shRNA against a specific target gene into our CAR T cells and further validated the technology in two separate clinical trials (NCT04613557 and NCT04167696). To determine whether our construct could be used as a basis for a multiplex system, we combined four repeats of the miR-196a-2 scaffold into a 4-plex, with each scaffold carrying a validated shRNA against



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a different target gene (namely *CD3ζ*, encoding a TCR component whose loss impairs proper expression of the TCR on the T cell surface, *TRAC*; $\beta 2M$, encoding a key component of MHC class I; and *CD52*). Contextually, 1-plex constructs for each target were also created. Primary T cells were activated and subsequently transduced with the different retroviral vectors. The KD efficiencies, relative to a no-shRNA control, were assessed both at protein level by flow cytometric analysis (Figure 1A) and at mRNA level by qPCR (Figure S1) for *CD3ζ*, *TCRa/b*, *HLA ABC*, and *CD52*, respectively. For all four genes examined, the KD efficiency decreased when the shRNAs were embedded into a 4-plex compared with the 1-plex. These results are in line with previous evidence showing that using tandem repeats of the same miRNA scaffold is not a viable approach to scale up the number of targets in a miRNA-based platform⁵⁶ and call instead for a more refined design.

About 50% conserved vertebrate miRNAs are located within miRNA clusters.^{48,49} Relying on a naturally occurring sequence of miRNA scaffolds may therefore be a valid alternative for a multiplex miRNA-based platform. We aimed at building a multiplex shRNA expression system that can be easily embedded in expression vectors along with all the other components (such as the CAR, a purification tag, and other armoring elements) for CAR T cell generation, with a minimal increase in vector size. Hence, we analyzed *in silico* 48 human miRNA clusters⁵⁷ and we selected the ones with an average scaffold size lower than 250 bp. The 11 clusters falling below this limit were considered for further evaluation (Table 1). As the multiplex miRNA-based platform was primarily aimed at the engineering of T cells, a second selection criterion was the high expression level of the clusters in this cell type. Based on expression data reported in the literature,^{58–61} we identified four clusters as potential candidates (Figure 1B): miR-106a-363, miR-17-92a-1 (carrying six scaffolds each), miR-106b-25, and miR-23-a-24-2 (carrying three scaffolds each). To evaluate whether the four miRNA clusters would be suitable for multiplexed expression of shRNAs, we replaced the original shGuide sequences in the miRNA scaffolds with shRNAs we preemptively validated (Figure 1C), targeted against $\beta 2M$, *CD3ζ*, *CD28*, *CD95*, *CIITA*, and *CD27*. We subcloned the clusters into a retroviral vector encoding a second-generation anti human CD19-41BB CAR and a truncated CD34 (tCD34) tag (ahCD19-41BB-tCD34) and transduced the constructs in primary T cells. We monitored the KD efficiency of each target by flow cytometry. *CIITA* encodes for the MHC class II transactivator, a key coregulator that controls expression of HLA class II genes.⁶² We therefore used surface expression of HLA DR isotype (HLA DR), one of the main MHC class II proteins, as proxy to assess *CIITA* KD efficiency. For the other targets,

we assessed *HLA ABC*, *TCRa/b*, *CD28*, *CD95*, and *CD27* surface expression, respectively. Not surprisingly, not all scaffolds within the same cluster granted effective downregulation of the target genes, with several showing no activity (Figure 1C). This is in line with the well-consolidated notion that miRNAs belonging to the same cluster are subjected to complex, differential post-transcriptional regulation and can be expressed at very different levels.^{63–66} As none of the natural clusters tested could be used as a basis for the development of the shRNA platform, we decided to combine different scaffolds in a chimeric cluster. Within clusters, miRNA scaffolds do not behave as independent units,⁶⁶ hence the results obtained from the screening of whole clusters may not be indicative of the activity of the single scaffolds in other contexts. We therefore reassessed all scaffolds from the four clusters individually (data not shown) and shortlisted six scaffolds (sc106a, sc20b, sc17, sc20a, sc106b, and sc93), based on their KD efficiency, to be used in the generation of a chimeric miRNA cluster. Importantly, the secondary structure of the scaffolds is key to ensure proper processing of the miRNAs.⁶⁶ We therefore paid special attention to design the proper passenger sequence for each shGuide sequence-scaffold combination, making sure that all the structural features (e.g., mismatches, loops, and bulges) were identical to those in the wild-type scaffold.

KD efficiency is dependent on the length of the linker sequences flanking the miRNA scaffolds and of the shGuide sequence

To further understand which elements govern the KD efficiency of the miRNA scaffolds, we evaluated the role of scaffold and shGuide sequence length.

The linker sequences flanking the miRNA hairpins can create non-miRNA stem-loop secondary structures that can interact with and potentially alter the processing of the miRNAs.⁶⁷ To evaluate the effect of linker sequences on the activity of the selected scaffolds, we made progressive deletions of the linkers flanking the stem-loop core of the miRNAs (Figure 2A). The full sequences are reported in Table S1. The full-length scaffolds and the shortened versions were subcloned into a retroviral vector expressing an anti-human BCMA-41BB CAR and a truncated CD34 (tCD34) tag (ahBCMA-41BB-tCD34). All scaffolds carried an shRNA-derived sequence targeting *CD3ζ*. The constructs were transduced into primary T cells to obtain CAR T cells, and their KD efficiencies were compared based on the residual surface expression of *TCRa/b* by flow cytometry (Figures 2B and S2A). For all scaffolds, the full-length constructs had equal or superior KD efficiency compared with their shorter counterparts. Therefore, we resolved to use all scaffolds in their full-length form in subsequent experiments.

Figure 1. Rationale and scaffold screening for the building of a multiplex miRNA-based shRNA platform

(A) Effect on KD efficiency of the use of the same scaffold in tandem repeats as evaluated by flow cytometry. The KD efficiency of four different shGuide sequences (expressed as mean fluorescence intensity [MFI]) was compared in a single miR196a-2 scaffold and in the context of a 4-plex made of repeats of the miR196a-2 scaffold transduced in CAR T cells. (B) Schematic representation of the four miRNA cluster most highly expressed in T cells with an average scaffold size below 250 bases. (C) KD efficiency in CAR T cells of the miRNA scaffolds belonging to the four clusters most highly expressed in T cells with an average scaffold size below 250 bases. KD efficiency was measured by flow cytometry as MFI relative to a control without shRNA, using validated shRNA-derived sequences. Bars represent mean \pm standard deviation (SD) for three independent biological replicates. Each symbol superimposed to the bars represents a different peripheral blood mononuclear cell (PBMC) donor.

Table 1. Summary of the characteristics of the evaluated miRNA clusters

Clusters	miRNAs	Genomic position	Location	Strand	Size (bp)	No. of miRNAs	Size/no. of miRNAs (bp)
cl1.4	miR-181b-1-213	chr1:197094625–197094905	predicted gene	–	280	2	140
cl3.2	miR-15b-16-2	chr3:161605070–161605307	<i>SMC4L1</i>	+	237	2	118.5
cl7.1	miR-25-106b	chr7:99529119–99529633	<i>MCM7</i>	–	514	3	171
cl11.1	miR-192-194-2	chr11:64415185–64415487	predicted gene	–	302	2	151
cl13.1	miR-16-1-15a	chr13:49521110–49521338	<i>DLEU2</i>	–	228	2	114
cl13.2	miR-17-92a-1	chr13:90800860–90801646	mRNA	+	786	6	131
cl17.3	miR-451-144	chr17:24212513–24212762	EST	–	249	2	124.5
cl19.1	miR-23a-24-2	chr19:13808101–13808473	EST	–	372	3	124
cl19.2	miR-181c-181d	chr19:13846513–13846825	predicted gene	+	312	2	156
cl19.3	miR-99b-125a	chr19:56887677–56888404	intergenic	+	727	3	242
clX.5	miR-106a-363	chrX:133131074–133131974	intergenic	–	900	6	150

(–) Indicates that the miRNA cluster is located in the opposite orientation of the host gene.

Adapted from Muiños-Gimeno et al.⁵⁷

The shGuide sequences we introduced in the miRNA scaffold are shRNA derived, and therefore have the typical shRNA length of 18–20 nucleotides. Naturally occurring mature miRNAs, instead, usually consist of 20–23 nucleotides. We wondered whether modifying the length of the shGuide sequences to make it resemble more that of a mature miRNA would help in achieving a better KD efficiency. We tested this hypothesis in two different scaffolds (namely sc106a and sc20b), in which we introduced shGuide sequences against two different genes (*CD3 ζ* and *β 2M*) spanning from 18 to 23 nucleotides (Figure 2C). The scaffolds were cloned into lentiviral vectors along with a tCD34 tag and transduced into primary T cells to obtain CAR T cells. The surface expression of TCRA/b and HLA ABC was assessed by flow cytometry to determine the KD efficiency of the *CD3 ζ* - and *β 2M*-targeted sequences, respectively. In general, we observed a progressive decline in KD efficiency when the shGuide sequence length increased above 20 nucleotides (Figures 2D and S2B). Hence, we decided to set the length of shGuide sequences to be used in the miRNA-based shRNA platform to 20 nucleotides.

A combination of two different scaffolds ensures efficient KD in a 2-plex miRNA platform

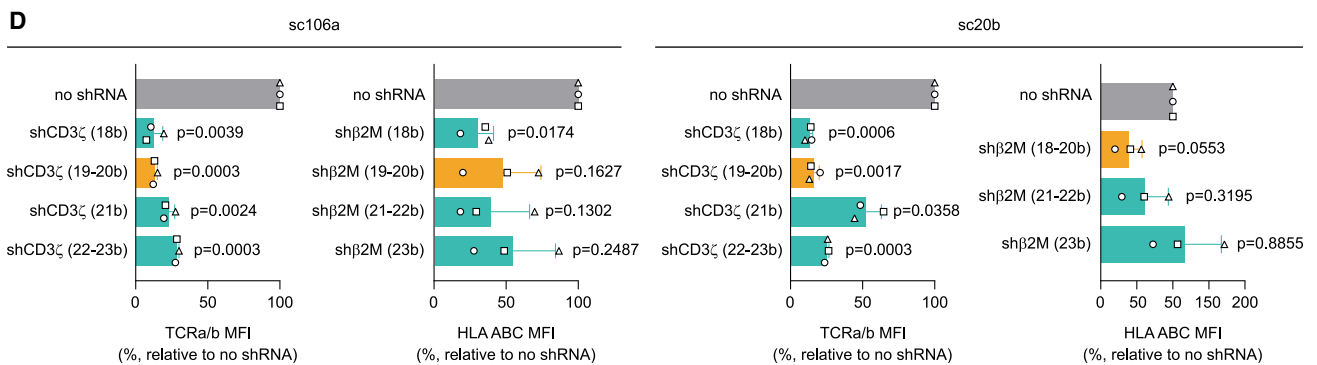
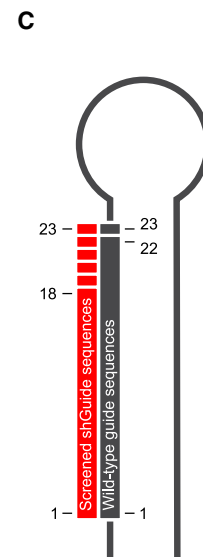
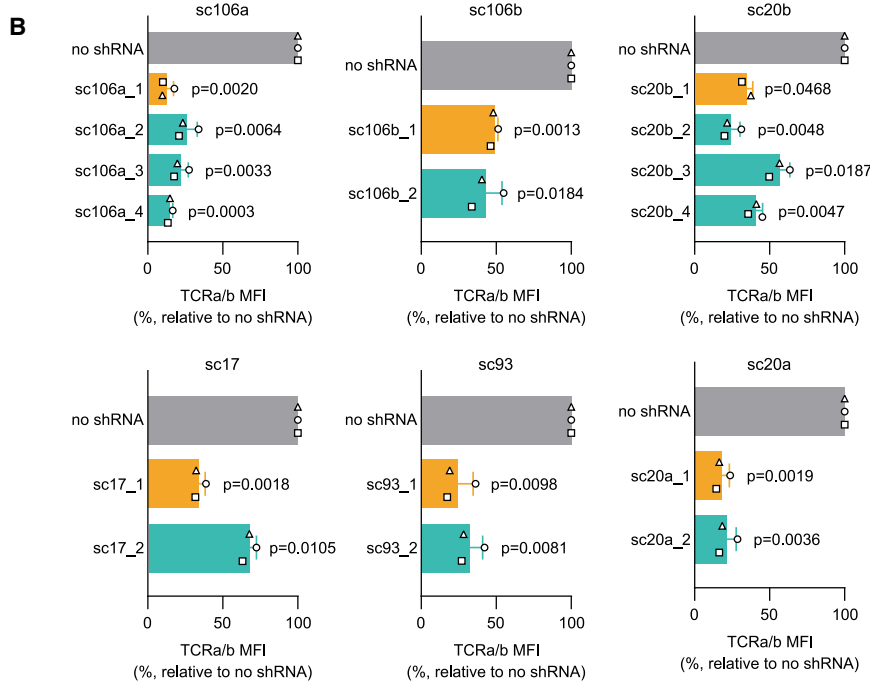
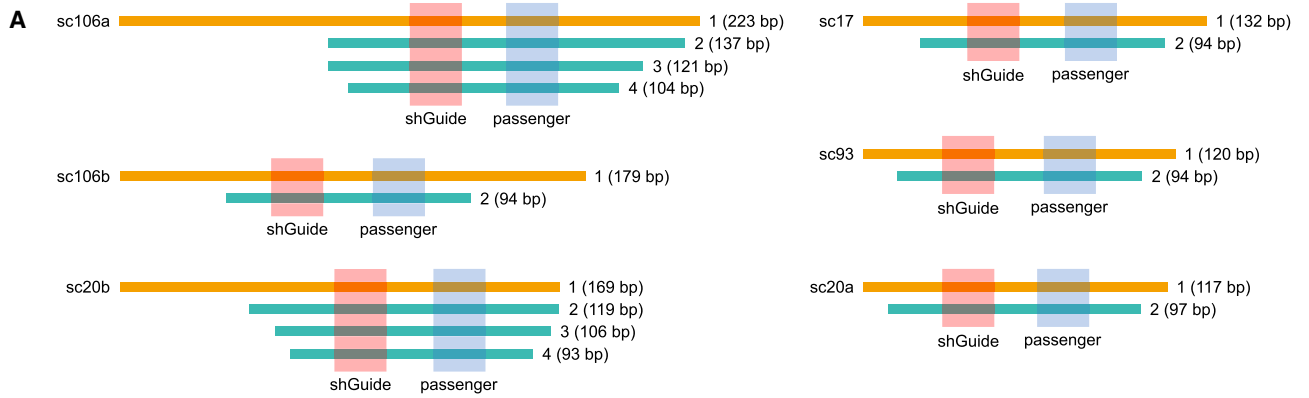
We have previously observed that the repetition of the miR-196a-2 scaffold leads to a decrease in KD efficiency (Figure 1A). To understand whether this behavior is common to other miRNA scaffolds, we combined two repeats of the sc106 or two repeats of the sc20b in a 2-plex, carrying shGuide sequences against *CD3 ζ* , *β 2M*, and *CD95* in different configurations (Figures 3 and S3). We subcloned the constructs into a retroviral ahBCMA-41BB-tCD34 vector and we expressed them in primary T cells. We then monitored the surface expression of TCRA/b, HLA ABC, and *CD95* by flow cytometry to determine the KD efficiency. Interestingly, when the 2-plex consisted of two repeats of sc106a, for all three genes tested the KD was nearly lost if the shGuide sequence was in the first scaffold. Conversely, we did not observe such an effect when the 2-plex consisted of two repeats of sc20b, which granted similar KD in all configurations (Fig-

ures 3 and S3). These results indicate that the loss of KD efficiency upon tandem repetition of the same scaffold is scaffold dependent. However, the fact that this effect can emerge already in a low-complexity system, such as a 2-plex, advocates against the use of scaffold repetition in higher-tier multiplex platforms.

Conversely, in the same system, the combination of sc106a and sc20b yielded strong and consistent KD for all three target genes tested (*CD3 ζ* , *β 2M*, and *CD95*), irrespective of the order of the scaffolds and of the shGuide sequences (Figures 3 and S3). This evidence once more confirms the need for the combination of different scaffolds to build an effective and stable miRNA multiplex platform and poses a sc106a-sc20b 2-plex as a valid base for further expansion.

The combination of different scaffolds allows expansion to a highly efficient 4-plex miRNA-based shRNA platform

Building upon the encouraging results obtained with sc106a-sc20b 2-plex, we sought to expand our miRNA-based shRNA platform into a 4-plex, achieving true multiplex capability. In our original design for the 4-plex, we planned to include restriction sites between the scaffolds to allow for easy replacement of each scaffold individually. Eventually, we aim at incorporating a broad array of shGuide sequences in the platform in a plug-and-play fashion. This will likely require adapting the restriction sites from time to time to allow compatibility with the shGuide sequences and their corresponding passenger sequences. Changes in the restriction sites, however, may alter the secondary structure of the clusters. Secondary structure is a key determinant in the processing and maturation of miRNAs within a cluster,⁶⁶ and its variation is likely to ultimately affect KD efficiency. To verify the impact of changes in the restriction sites on the cluster's secondary structure, we designed four versions of the same cluster, carrying no restriction sites or the same three restriction sites in different order between the scaffolds. The *in silico* analysis of the cluster sequences using the RNAfold secondary structure prediction



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tool (<http://rna.tbi.univie.ac.at/cgi-bin/RNAWebSuite/RNAfold.cgi>) demonstrated that the order of the restriction sites could strongly affect the secondary structure of the cluster (Figure S4A). Likewise, maintaining the restriction sites in the same positions while swapping the shGuide and passenger sequences may also alter the secondary structure (Figure S4B). Hence, the presence of restriction sites between the scaffolds increases the complexity of the system, possibly affecting KD efficiency in an unpredictable way, and limits the plug-and-play characteristics of the platform, imposing additional constraints to the selection of the shGuide sequences. For these reasons, we ultimately resolved to omit the restriction sites between the scaffolds, opting for a more streamlined design with restriction sites only at the sides, which allow for removal and subcloning of the whole 4-plex cassette (Figure S4C).

To build the 4-plex platform, we expanded the sc106a-sc20b 2-plex by adding two additional scaffolds. Our preliminary data pointed at sc20a as a good candidate to work in combination with sc106a and sc20b (data not shown). Therefore, we included sc20a in the 4-plex either in position 3 or position 4. As fourth scaffold (in position 4 or position 3, respectively), we tested the three remaining candidates that emerged from our initial screening, namely sc93, sc106b, and sc17 (Figures 4 and S5). We inserted validated shGuide sequences against $\beta 2M$ in sc106a, $CD3\zeta$ in sc20b, $CD28$ in sc20a, and $CD95$ in sc93, sc106a, and sc17. We subcloned the constructs into both ahCD19-41BB-tCD34 and ahBCMA-41BB-tCD34 retroviral vectors and we expressed them in primary T cells. Flow cytometric analysis for HLA ABC, TCRa/b, $CD28$, and $CD95$, respectively, showed high KD efficiency for all the target genes irrespective of the 4-plex configuration, both in combination with the anti-CD19 CAR (Figures 4A and S5A) and with the anti-BCMA CAR (Figures 4B and S5B). Despite all three candidates for the fourth scaffold performing equally well, our choice fell on sc93 due to its smaller size, which allowed us to minimize the overall size of the cluster. We therefore decided to adopt the sc106a-sc20b-sc20a-sc93 configuration for further development.

The 20b scaffold shows compatibility issues with selected shGuide sequences in the higher-complexity context of the 4-plex

To work as a plug-and-play platform, it is crucial for our system to be able to accommodate a variety of shGuide sequences while ensuring high KD efficiency. To ascertain that the chosen configuration of

the 4-plex has this capability, we created a series of constructs in which we substituted the shGuide sequence in each of the scaffolds with in-house validated shGuide sequences against either *CIITA* (Figures 5A and S6A) or lymphocyte activation gene 3 (*LAG-3*) (Figures 5B and S6B). We subcloned the constructs into an ahCD19-41BB-tCD34 retroviral vector and we expressed them in primary T cells. *LAG-3*, an immune checkpoint receptor, is expressed at low levels in resting T cells, but is induced following T cell activation.⁶⁸ Hence, we tested surface *LAG-3* expression in our CAR T cells upon co-culture with NALM-6, a human pre-B acute lymphoblastic leukemia (ALL) cell line positive for $CD19$.

The substitution of the shGuide sequences in any of the scaffolds with the shGuide sequence against *CIITA* led to strong HLA DR downregulation and, as expected, did not influence the KD of the other three targets (Figures 5A and S6A). Interestingly, however, the combination of the shGuide sequence against *LAG-3* with the sc20b completely abolished *LAG-3* KD (Figures 5B and S6B). In line with the results obtained with the shGuide sequence against *CIITA*, the KD efficiency of the other targets was not affected by the substitution.

Analysis of the cluster sequence containing the *LAG-3* shGuide in RNAfold did not demonstrate any obvious alteration in the cluster's secondary structure compared with 4-plex constructs carrying shGuide sequences against $CD3\zeta$ or *CIITA*, with all the scaffolds having a predicted structure identical to their wild-type counterparts. We therefore reasoned that the unexpected behavior of sc20b in combination with the *LAG-3* shGuide sequence could be due to a positional effect of sc20b within the cluster, causing detrimental *cis* interactions with the neighboring scaffolds. To test this hypothesis, we created a 4-plex construct in which sc20b carrying either *LAG-3* or *CIITA* shGuide sequences was moved in position 4 (sc106a-sc20a-sc93-sc20b) and compared its KD efficiency for all targets to the 4-plex configuration with sc20b in position 2 (sc106a-sc20b-sc20a-sc93) (Figures 5C and S6C). As expected, the 4-plex constructs with the *CIITA* shGuide sequence in sc20b gave comparable, strong KD for HLA DR as well as for the other targets, irrespective of sc20b position. However, *LAG-3* KD was completely abolished in both cluster configurations (Figures 5D and S6D). Hence, we concluded that the loss of KD efficiency of sc20b is not a direct consequence of its position in the 4-plex. Still, sc20b in combination with the *LAG-3* shGuide sequence achieved good KD efficiency in a sc106a-sc20b 2-plex (data not shown). The higher structural complexity of the 4-plex may therefore

Figure 2. Identification of the optimal scaffold and shGuide sequence length

(A) Schematic representation of the deletion strategy used to identify the best length for each of the six candidate scaffolds selected for the multiplex platform development. (B) KD efficiencies of a shGuide sequence against the TCR component $CD3\zeta$, obtained from the sequential deletions of each of the candidate scaffolds, measured in CAR T cells as surface expression of TCRa/b. The orange bars correspond to the full-length scaffolds, ultimately chosen for further experiments. (C) Schematic representation of the shGuide sequence lengths tested (in red) compared with the typical shGuide sequence length in miRNA scaffolds (in gray). (D) KD efficiencies of shGuide sequences spanning between 18 and 25 bases against $CD3\zeta$ and the HLA class I component $\beta 2M$ in the context of two different scaffolds (sc106a and sc20b), measured in CAR T cells as surface expression of TCRa/b and HLA ABC, respectively. The orange bars correspond to the shGuide sequence lengths ultimately chosen for further experiments. KD efficiency was measured by flow cytometry as MFI relative to a control without shRNA, using validated shRNA-derived sequences. Bars represent mean \pm SD for three independent biological replicates. Each symbol superimposed to the bars represents a different PBMC donor. All p values refer to the comparison with the no-shRNA control. The absolute MFI values for the no-shRNA control samples are reported in Table S2.

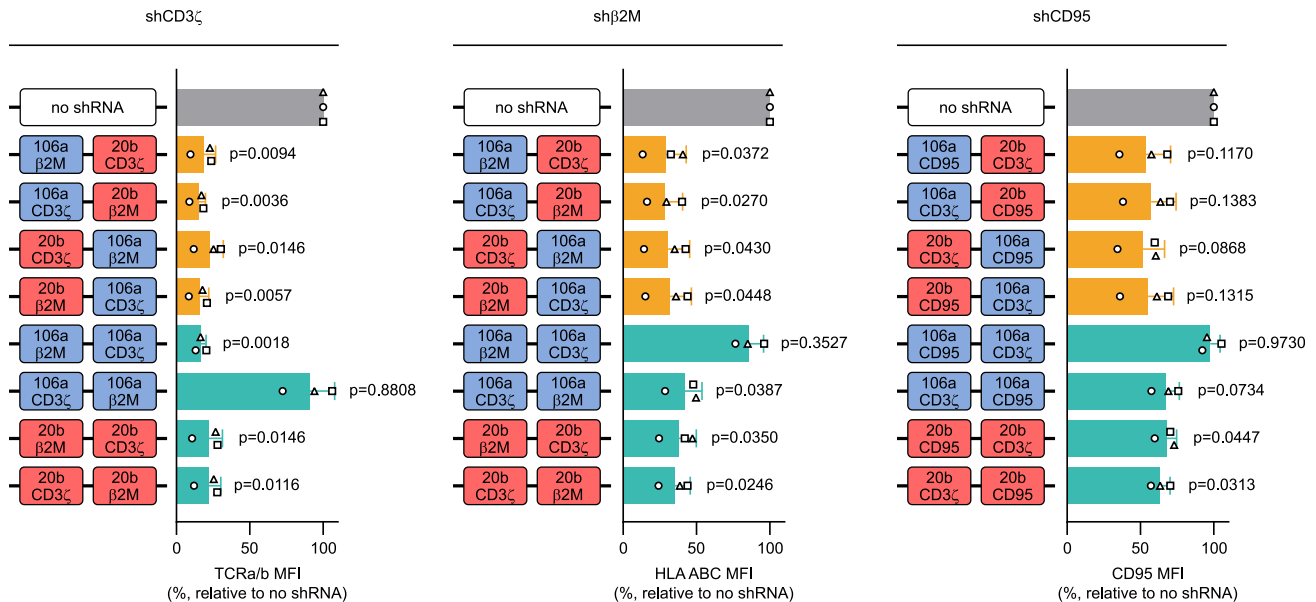


Figure 3. Effect of scaffold combination on KD efficiency in a 2-plex miRNA platform

Results of two different approaches followed to build a chimeric cluster: combining two different scaffolds or replicating the same scaffold multiple times. Combinations of sc106b and sc20b as well as repetitions of either sc106a or sc20b were tested in a 2-plex with validated shGuide sequences against *CD3 ζ* , *β 2M*, and *CD95*. Different configurations of the 2-plex were tested, alternating the position of the scaffolds and of the shGuide sequences. KD efficiencies were evaluated by flow cytometry by comparing the MFI of TCRa/b, HLA ABC, and CD95, respectively, in CAR T cells transduced with the 2-plex and with a no-shRNA control. MFIs are depicted as relative to the no-shRNA control. Bars represent mean \pm SD for three independent biological replicates. Each symbol superimposed to the bars represents a different PBMC donor. All p values refer to the comparison with the no-shRNA control. The absolute MFI values for the no-shRNA control samples are reported in Table S2.

put additional, hard to predict constraints on the compatibility of sc20b with some shGuide sequences.

The miRNA-based shRNA platform built upon sc106a-sc17-sc20a-sc93 efficiently knocks down four target genes, with broad compatibility with different shGuide sequences

We observed a dramatic loss in the KD efficiency of sc20b when combined with the *LAG-3* shGuide sequence (Figures 5 and S6). As similar results with other shGuide sequences cannot be excluded, we decided to test sc106b and sc17, both of which already showed good KD efficiency (Figures 4 and S5), as replacements for sc20b. We created the 4-plex clusters with either sc106b or sc17 in position 2 (sc106a-sc106b-sc20a-sc93 and sc106a-sc17-sc20a-sc93, respectively) and compared them with the equivalent cluster containing sc20b (sc106a-sc20b-sc20a-sc93). For all three configurations, we tested both *CIITA* and *LAG-3* shGuide sequences in position 2. We subcloned the constructs into an ahCD19-41BB-tCD34 retroviral vector and expressed them in primary T cells. In line with our previous results, both constructs containing sc106a and sc17 delivered similarly strong and consistent KD of all the target genes tested, including *CIITA* (Figures 6A and S7A) and *LAG-3* (Figures 6B and S7B). We chose sc17 over sc106a as replacement for sc20b due to its smaller size, which allowed us to minimize the overall size of the cluster. As a result, we obtained a miRNA-based shRNA platform (sc106a-sc17-sc20a-sc93) able to efficiently knock down four target genes with broad compatibility with different shGuide sequences.

The miRNA-based shRNA approach allows for the fine-tuning of target gene expression, adapting KD intensity to the target biology

One of the main advantages of shRNA over gene-editing technologies is the possibility to modulate the expression of the target genes. Indeed, gene-editing technologies, by directly ablating the target gene, can only yield an all-or-nothing effect, whereas shRNA allows for various KD intensities depending on the strength of the selected shGuide sequence.

Using our miRNA-based shRNA platform, for each target gene we screened several shGuide sequences and ranked them according to their KD efficiency. Representative screening results are depicted in Figure 7A for *CD3 ζ* , *CD95*, and *β 2M*, respectively. As a result, we obtained a broad range of KD efficiencies, up to levels that functionally match a KO. This allowed us to pick for each target the sequence that grants the most appropriate KD level in relation to the target biology. As an example, to avoid alloreactivity in allogeneic CAR T products, it is crucial to strongly impede the expression of the TCR. By selecting a shGuide sequence with a KD efficiency against *CD3 ζ* of over 90% (in orange in Figure 7A, left panel), we were able to completely abolish TCR-mediated activation of the CAR T cells with OKT3, a monoclonal antibody against CD3 able to induce T cell activation⁶⁹ (Figure 7B). Likewise, CD95 is a death receptor expressed in many cell types, including T cells. Its engagement by its ligand CD95L, often expressed by cancer cells, induces apoptosis in

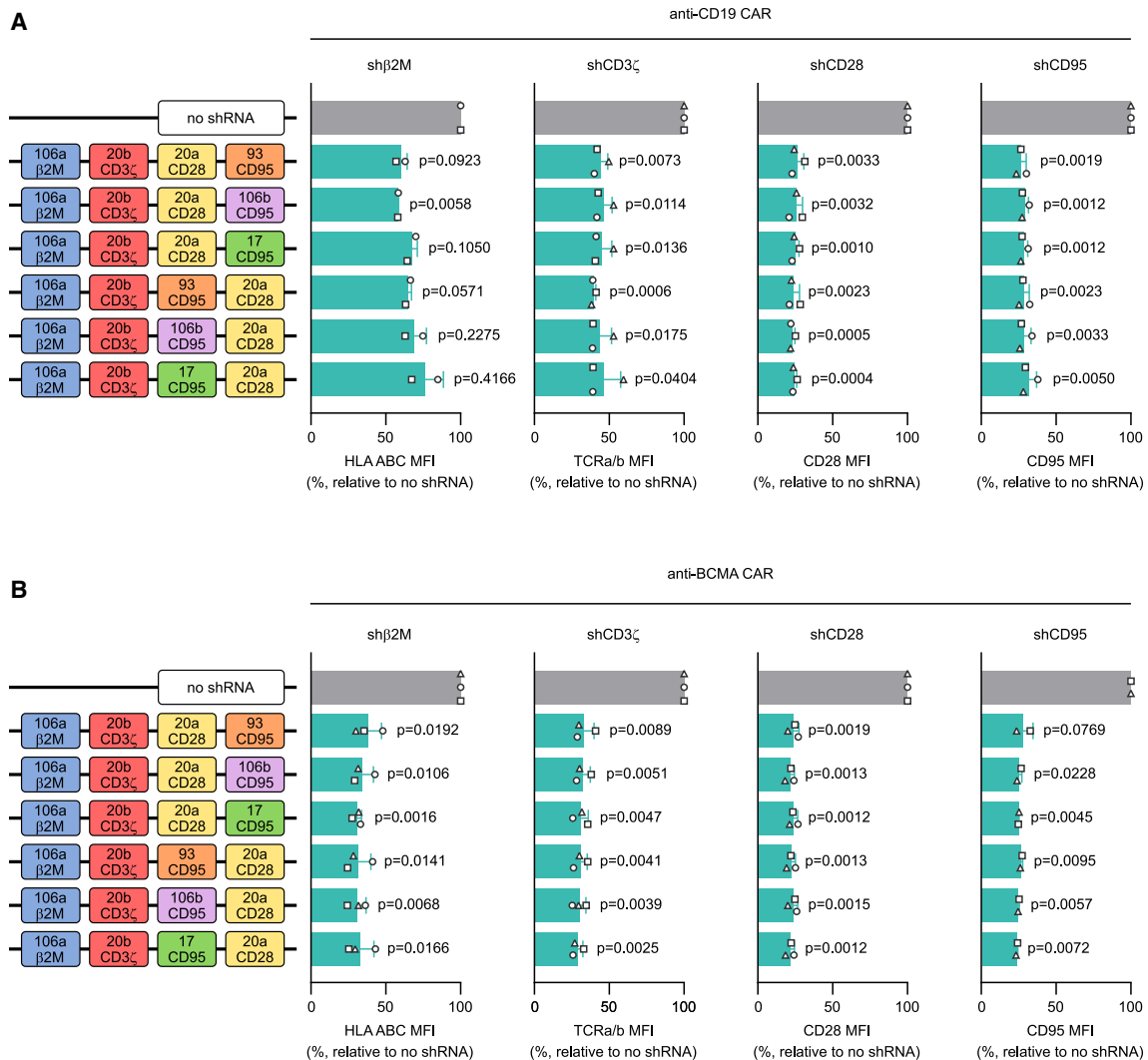


Figure 4. Selection of scaffolds for the expansion of the miRNA-based shRNA platform to a 4-plex

Starting with the sc106a-sc20b 2-plex as a basis, the platform was expanded into a 4-plex by the addition of two extra scaffolds. In a first cluster design, sc20a was added in third position, and sc93, sc106b, or sc17 in fourth position. In a second cluster design, sc93, sc106b, or sc17 were added in third position and sc20a in fourth position. Each position carried a different validated shGuide sequence ($\beta 2M$, $CD3\zeta$, $CD28$, and $CD95$). KD efficiency for each target was assessed by flow cytometry by monitoring the MFI of TCRa/b, HLA ABC, $CD28$, and $CD95$, respectively, in CAR T cells transduced with the 4-plex constructs or with a no-shRNA control. The different 4-plex combinations were tested in the context of both an anti-CD19 CAR (A) and an anti-BCMA CAR (B). MFIs are depicted as relative to the no-shRNA control. Bars represent mean \pm SD for three independent biological replicates. Each symbol superimposed to the bars represents a different PBMC donor. All p values refer to the comparison with the no-shRNA control. The absolute MFI values for the no-shRNA control samples are reported in Table S2.

CAR T cells, hampering their tumor-controlling effect.⁷⁰ By transducing our CAR T cells with a highly efficient shGuide sequence against $CD95$ (in orange in Figure 7A, middle panel), we were able to protect them from $CD95$ -mediated apoptosis to an extent similar to that achieved with a $CD95$ -blocking antibody (Figure 7C). These data demonstrate that our miRNA-based shRNA approach can reach functional KO-like effects. On the other hand, the complete ablation of some targets may be detrimental, depending on the target biology. An example is downregulation of HLA class I, one of the key strategies to prevent allojection of allogeneic immune cell therapy. Complete loss

of HLA class I surface expression leaves the engineered cells vulnerable to lysis by natural killer (NK) cells, calling for more sophisticated engineering approaches, such as overexpression of HLA E to compensate for HLA class I loss.^{71–75} To circumvent this issue, we selected a shGuide sequence against $\beta 2M$, which can efficiently downregulate the target but still allows for $\sim 30\%$ residual HLA class I expression (in orange in Figure 7A, right panel). This strategy allowed us to limit NK activation, measured as percentage of $CD107a^+$ NK, in the presence of CAR T cells carrying the shGuide against $\beta 2M$ to levels similar to those measured in presence of CAR T cells with no shRNA, and thus

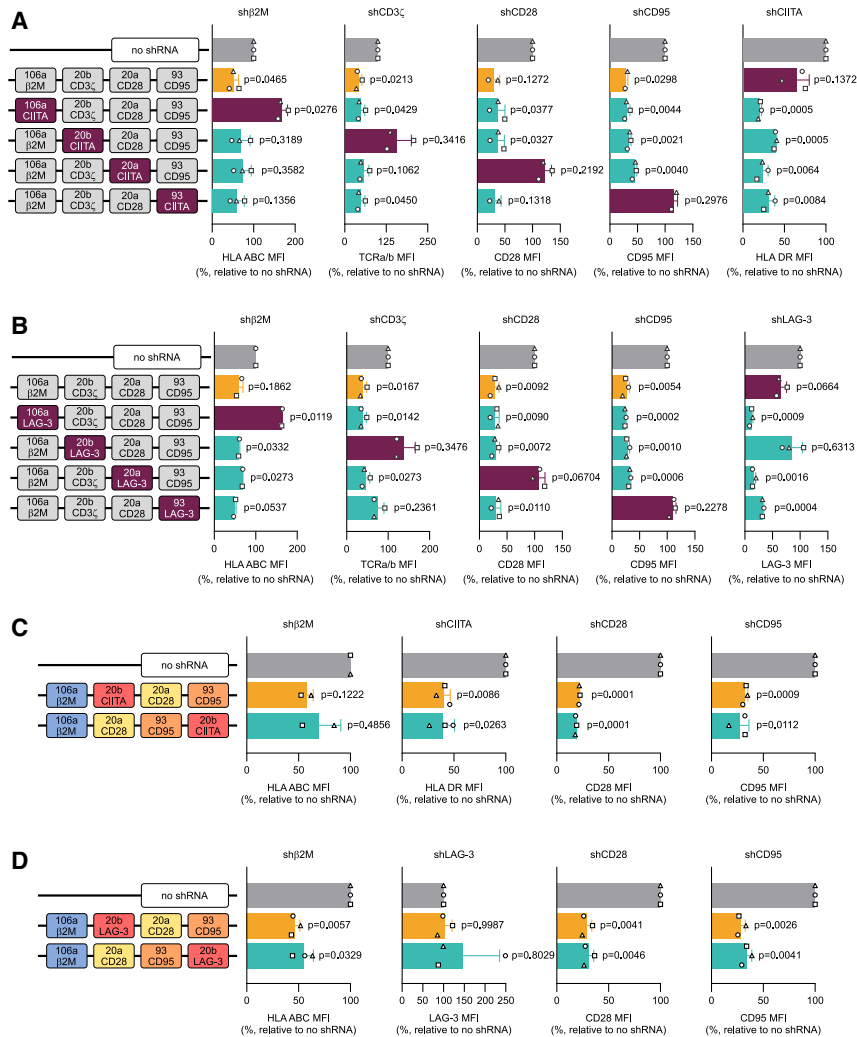


Figure 5. Assessing the plug-and-play capability of the 4-plex miRNA-based shRNA platform

To test the plug-and-play capability of the 4-plex and its stability irrespective of the target, a fifth and sixth shGuide sequence were substituted in each position in the sc106a-sc20b-sc20a-sc93 cluster carrying validated shGuide sequences against $\beta 2M$, $CD3\zeta$, $CD28$, and $CD95$, in the context of an anti- $CD19$ CAR. Validated shRNA-derived sequences against $CIITA$ (A), a key coregulator that controls expression of HLA class II genes, and $LAG-3$ (B) were used for the purpose. The plug-and-play capability of the system was assessed by flow cytometry by monitoring the MFI of all targets, including HLA DR, one of the main MHC class II proteins, as a proxy for $CIITA$ and the immune checkpoint $LAG-3$, in CAR T cells transduced with the 4-plex constructs, or with a no-shRNA control. As $LAG-3$ is expressed at low levels in resting T cells, but is induced following T cell activation, surface $LAG-3$ expression was assessed upon co-culture for 24 h with NALM-6, a human pre-B ALL cell line positive for $CD19$. As a marked loss in KD efficiency was observed when the shGuide sequence against $LAG-3$ was in sc20b, to determine whether this is due to a positional effect of this scaffold, a construct with sc20b in position 4 (sc106a-sc20a-sc93-sc20b) was tested in comparison with the original cluster with sc20b in position 2 (sc106a-sc20b-sc20a-sc93) and a no-shRNA control. The comparison was made with both $CIITA$ (C) and $LAG-3$ (D) shGuide sequences. KD efficiencies were assessed by flow cytometry by monitoring the MFI of HLA DR and $LAG-3$, the latter upon co-culture for 24 h with NALM-6 cells. MFIs are depicted as relative to the no-shRNA control. Bars represent mean \pm SD for three independent biological replicates. Each symbol superimposed to the bars represents a different PBMC donor. All p values refer to the comparison with the no-shRNA control. The absolute MFI values for the no-shRNA control samples are reported in Table S2.

normally expressing HLA class I. On the contrary, $\beta 2M$ KO in CAR T cells by CRISPR-Cas9 led to substantial activation of NK cells (Figure 7D, left panel). As a consequence, the viability of CAR T cells with $\beta 2M$ KD was comparable with that of CAR T cells with no shRNA, whereas the viability of CAR T cells with $\beta 2M$ KO was reduced to nearly 50% due to the NK cytotoxic effect (Figure 7D, right panel).

Taken together, these data showcase the ability of our miRNA-based shRNA platform to fine-tune the target gene expression, from partial KD to a KO-like phenotype, modulating the intensity of the KD to match the requirements imposed by the biology of the target. Most importantly, the expression of each target in the multiplex can be modulated to different levels independently of the other targets, a result to date not achievable by means of gene editing technologies.

DISCUSSION

By exploiting the natural miRNA pathway, synthetic miRNAs overcome the potential toxicity due to competition of the shRNAs with

endogenous miRNAs and an eventual subsequent saturation of the RNAi machinery.^{46,76,77} Hence, gene KD using miRNA-based constructs stirred great expectations as a non-gene-edited cell engineering approach, leading to several clinical trials with CAR T cells incorporating miRNA-based KD approaches. In this respect, we proved the safety and effectiveness of this strategy in two clinical trials (NCT04613557 and NCT04167696),^{78,79} in which we assessed CAR T cells equipped with a miRNA-based cassette for the KD of a single gene. However, the simultaneous targeting of multiple genes will likely be required for the effective treatment of those cancer indications that are not yet successfully managed by adoptive cell therapy, particularly solid tumors. In this paper, we describe the successful establishment and optimization of a multiplex miRNA-based shRNA platform for the simultaneous KD of up to four different target genes, with high efficiency and consistency across multiple targets.

Multiplex miRNA-based systems have been actively investigated^{43,54,80,81} and were successfully used to inhibit HIV-1 and

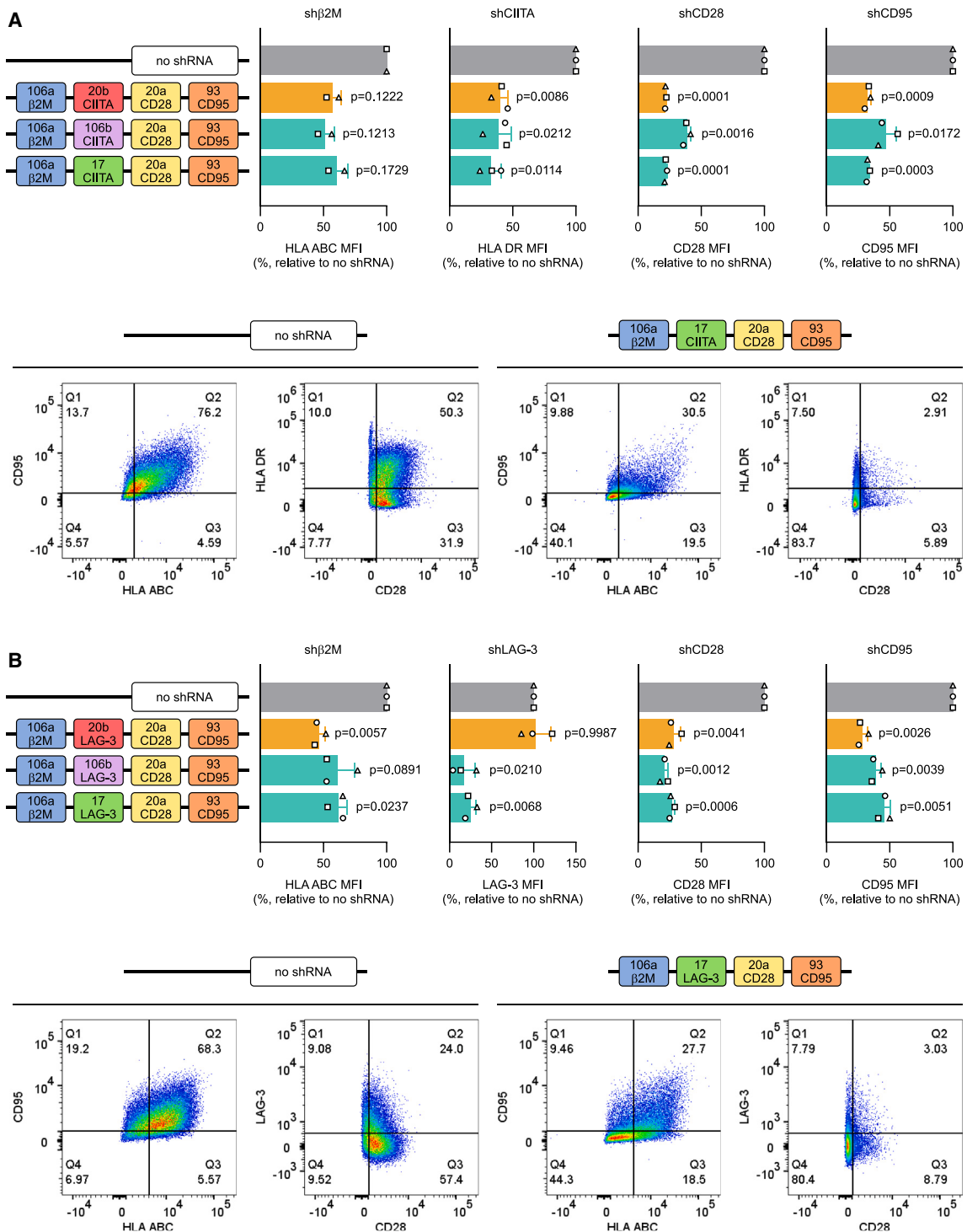


Figure 6. Testing of an updated version of the 4-plex miRNA-based shRNA platform with true plug-and-play capability

As an alternative to the unstable sc106a-sc20a-sc93-sc20b configuration of the 4-plex, different designs were tested, in which sc20b was substituted by sc106b or sc17, in the context of an anti-CD19 CAR. The clusters carried validated shGuide sequences against β 2M in position 1, CD28 in position 3, and CD95 in position 4. In position 2, where sc20b originally laid, validated shGuide sequences against CIITA (A) and LAG-3 (B) were introduced. KD efficiency was assessed for all targets by monitoring the surface expression of HLA ABC, HLA DR (respectively controlled by β 2M and CIITA), LAG-3, CD28, and CD95. As LAG-3 is expressed at low levels in resting T cells, but is induced following T cell activation, surface LAG-3 expression was assessed upon co-culture for 24 h with NALM-6, a human pre-B ALL cell line positive for CD19. In the

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HCV.^{50–52} Still, to date multiplex miRNA approaches have shown limitations in their overall efficiency that still prevent their effective use in clinical applications for adaptive cell therapy. The reason partially resides on the far greater complexity of a miRNA-based RNAi system compared with shRNAs. Such complexity can be separated into several aspects, many not yet fully elucidated: (1) the structural constraints for efficient miRNA processing, (2) the interplay of different miRNA scaffolds within a cluster, and (3) the technical optimization of miRNA cassette expression in clinical products such as CAR T cells.

Most of the seminal work on miRNA-based silencing systems that paved the road for further applications is based on a few naturally occurring miRNA scaffolds, such as miR-30, or on their modified and optimized versions.^{54,82–85} Despite the high KD efficiency reached by some of these systems, the tandem repetition of the same scaffold proved ill-suited for multiple gene silencing, due to the risk of recombination and to the overall lower KD efficiency compared with their single-scaffold counterparts.⁸⁶ Along this line, the data we present in this publication confirmed that, already in a low-complexity system, such as a 2-plex, scaffold repetition may have detrimental effects on KD efficiency (Figure 3). We were able to overcome this limitation by combining four different, carefully selected scaffolds into a chimeric miRNA cluster. This strategy allowed us to achieve high KD levels for all the target genes tested.

The scaffolds that showed the most promising KD efficiency in our screening share similar characteristics in their secondary structure. The miRNA secondary structure is of crucial importance for proper KD efficiency, as the machinery responsible for miRNA maturation recognizes and processes immature miRNAs mainly based on their structure rather than on their sequence. Extensive work in recent years has gone into defining these structural requirements,^{87–92} which can be summarized in three main aspects: optimal length of the lower stem favors Drosha processing, a destabilized 5' end of the guide sequence allows for optimal RISC incorporation, and a mismatch in the middle of the upper stem helps in avoiding abortive processing by Drosha.⁵⁴ All our scaffolds closely abide to these rules, with the only notable exception of sc20a, which lacks the internal mismatch in the upper stem, although with no impact on its KD efficiency for any of the shGuide sequences tested.

We observed that shGuide sequences of a length comprised between 18 and 20 bases yield the best KD (Figure 2D and S2B), and that the KD efficiency progressively declines with the increase of the shGuide sequence length beyond 20 bases. Processing at the 5' end of miRNAs is likely to start precisely at the +1 position, due to accurate cleavage of the miRNA precursors by both Drosha and Dicer in human cells.^{43,93} On the other hand, and in support of our results, the 3' end of mature

miRNAs often stops between the +21 and +26 position, suggesting that efficient RNAi in mammalian cells can tolerate a few unpaired sequences to the target gene at the 3' end of the mature miRNA.⁴³ On the other hand, shGuide sequences shorter than 20 bases, despite granting efficient KD in our experimental setting (Figures 2D and S2B), are more susceptible to off-target effects, as the specificity of shRNAs and miRNAs is inversely correlated with both the overall sequence and seed length.^{94,95} Together, this evidence gives mechanistic support to our choice of selecting a shGuide sequence length of 20 bases for our miRNA-based shRNA platform.

During the optimization of our platform, we witnessed several scaffolds displaying poor KD efficiency in the context of their natural clusters (e.g., sc106a and sc17, Figure 1C), only to reveal themselves among the best candidates when evaluated independently or in a chimeric cluster (Figures 4 and 6); likewise, sc20b, which gave satisfactory results within its own natural cluster and in a 2-plex (Figures 1C and 3), suffered strongly reduced activity in the context of a 4-plex (Figure 5). These results can be explained by the fact that, within miRNA clusters, individual miRNAs do not behave as independent units, instead making the whole cluster a dynamic and interdependent system tied by intricate relationships.⁶⁶ Indeed, although there is coordinated transcription of miRNAs within the same cluster, the mature miRNAs originating from these transcriptional units can be expressed at very different levels and can follow different expression patterns in response to physiological or pathological stimuli.⁶⁶ This indicates the existence of regulatory mechanisms at the post-transcriptional level that lead to differential expression of individual cluster members. Some scaffolds may naturally have suboptimal characteristics, to the extent that they may rely on neighboring scaffolds for the recruitment of the processing machinery.^{96,97} Furthermore, the tridimensional folding of the transcribed cluster may give rise to local interaction or tertiary structures that limit its accessibility to the microprocessing machinery, as already demonstrated for the mir-17-92a cluster.^{67,98,99} This body of evidence supports our findings in highlighting the importance of proper scaffold evaluation in the design of clinically oriented approaches, as prior information may not be readily translatable to novel settings. To our knowledge, our work is the first report tackling the optimization of a chimeric miRNA cluster based on the definition of the best scaffold selection and position, as well as evaluating the stability of the system with a broad selection of shGuide sequences.

We embedded our miRNA-based shRNA platform into an all-in-one retroviral vector carrying from 5' to 3' the CAR, the purification tag, and the miRNA cassette in a polycistron under the control of a single promoter. With this configuration we were able to achieve satisfactory levels of both the proteins and the miRNAs, allowing efficient CAR T purification, potent effector function, and effective gene KD. Thanks

upper part of the panels, MFIs are depicted as relative to the no-shRNA control. Bars represent mean \pm SD for three independent biological replicates. Each symbol superimposed to the bars represents a different PBMC donor. All p values refer to the comparison with the no-shRNA control. In the lower part of the panels, representative plots showing multiple simultaneous KD are depicted for the no-shRNA control and for the sc106a-sc17-sc93-sc20b 4-plex configuration. The absolute MFI values for the no-shRNA control samples are reported in Table S2.

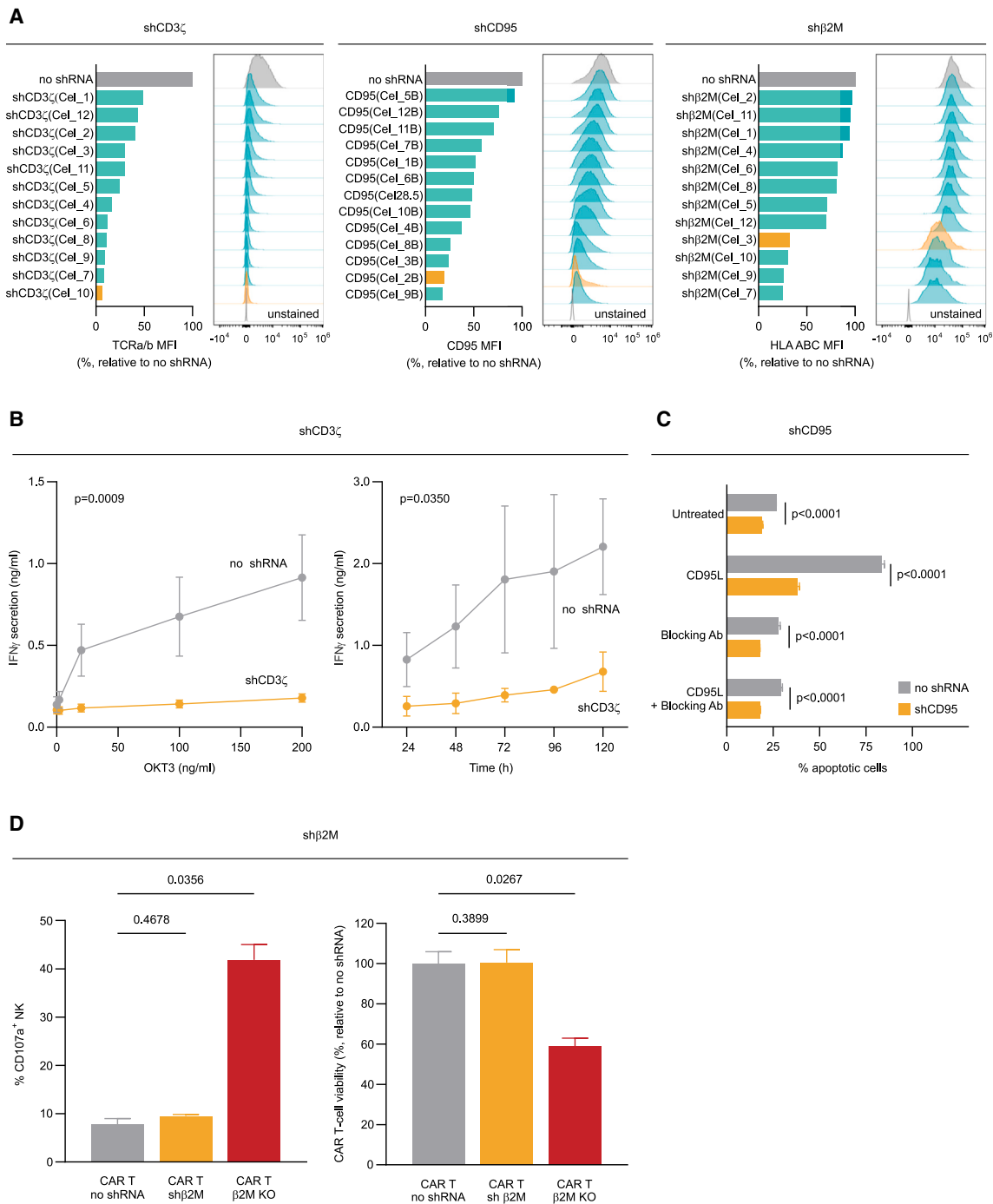


Figure 7. Tunability and functional relevance of the KD achieved with the 4-plex miRNA-based shRNA platform

(A) Conversely from gene editing technologies, our shRNA-based approach allows for the fine-tuning of target gene expression. Indeed, shGuide sequences can be designed that lead to varying degrees of KD. The desired level of KD can therefore be achieved by selecting the appropriate shGuide sequence. Representative examples are shown here for *CD3 ζ* , *CD95*, and *β 2M*. KD efficiency was assessed by the surface expression of TCRa/b (controlled by *CD3 ζ*), *CD95*, and HLA ABC (controlled by *β 2M*), respectively, in the context of an anti-CD19 CAR. Our miRNA-based shRNA platform allows to modulate the target gene expression to achieve the desired biological outcome. (B) When *CD3 ζ* was knocked down with a highly efficient shGuide sequence (orange bar in A, left panel), the resulting downregulation of the TCRa/b receptor rendered the CAR T cells insensitive to TCR-specific activation by OKT3, a monoclonal antibody against CD3 able to induce T cell activation, as assessed by the measure IFN- γ secretion, mimicking a functional KO. (C) Likewise, a highly effective KD of death receptor *CD95* (orange bar in A, middle panel) protected CAR T cells from apoptosis upon

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to their relatively small size, miRNA cassettes can be embedded into advanced single-vector systems, allowing their simultaneous expression along with the CAR and the tag from the same mRNA. Careful evaluation of the positioning of the miRNA cassette within the transcriptional unit is crucial. Placing the miRNA cassette at 5' of the mRNA diminishes the expression of the companion coding gene(s), as cleavage by Droscha removes the 5' mC necessary for the efficient translation of the mRNA.¹⁰⁰ This effect can be partially mitigated by inserting an internal ribosome entry site (IRES) upstream of the coding region.¹⁰¹ However, protein translation from IRES sequences is often less efficient compared with cap-dependent translation.¹⁰⁰ Alternatively, in line with our results, it has been demonstrated that positioning the miRNAs at the 3' end of the mRNA produces sufficient levels of both the coding genes and the miRNAs simultaneously, despite the loss of the poly(A) tail caused by the miRNA processing.¹⁰⁰

Current gene-editing strategies relying on ZFN, TALEN, and Cas9 all have in common a mechanism based on the induction of DSBs, which may lead to inadvertent off-target cleavages or chromosomal aberrations. To overcome these safety concerns, several innovative strategies are in active development that do not rely on double-strand breaks and thus offer a potentially safer route to genetic editing. Nuclease-inactive platforms including base and prime editors have recently been described.²⁴ Another Cas-based approach relies on mutant, catalytically inactive forms of Cas9 or Cas12a (dCas9 and dCas12a) fused to effector domains that regulate transcription, either positively (CRISPR-mediated activation) or negatively (CRISPR-mediated inhibition).^{102,103} When dealing with multiplex gene editing, control mechanisms must be implemented to limit crosstalk between components. An effort in this direction is the use of Cas orthologs from different organisms, each recognizing a slightly different gRNA structure, thus enabling orthogonal assembly of the ribonucleoprotein complexes.¹⁰⁴ A radically different concept is represented by technologies exploiting Cas13. This Cas allows for direct transcriptome engineering via RNA editing and KD without the requirement for permanent genetic manipulations.^{105–108} This approach is potentially safer than Cas9-based technologies as it can induce defined cellular phenotypes without introducing genomic alterations.

However, while a number of novel candidate technologies for advanced immune cell editing have been proposed, it will be critical to see how robust and safe they prove themselves in pre-clinical validation and eventually in clinical studies. Recent and upcoming developments will likely address most safety concerns revolving around gene editing, although their use to enable precise modulation of

gene expression remains beyond reach. miRNA-based non-gene-edited technologies, on the other hand, have already demonstrated their value in the clinical settings.^{78,79} We proved the safety of our miRNA-based shRNA approach and its effectiveness in the generation of CAR T products in two distinct clinical programs, one for the creation of allogeneic CAR T cells and one for the downregulation of two NKG2D stress ligands simultaneously, targeting *CD3 ζ* and *MICA/B*, respectively. The upgrade of the system to a multiplex plug-and-play platform that we detail here paves the road to exciting new outcomes. The simplicity and the small size of the miRNA cassette allows its deployment in a wide array of delivery systems, from lentiviral and retroviral vectors to transposons. The tunability of shRNA-mediated KD is suited, among others, for the targeting of genes whose complete KO would be detrimental for T cell function. The robustness and versatility of our approach makes it easily applicable to many aspects of CAR T engineering (e.g., targeting of immune checkpoints, enhancing immune evasion and persistence, managing exhaustion, optimizing cell metabolism, priming of the T cells to the TME) as well as to a variety of contexts beyond the CAR T field and beyond immuno-oncology, such as chronic inflammation and autoimmune diseases.

Still, to date, large amounts of information have been gathered on the properties and design requirement for shRNAs, but shRNA sequences often do not have optimal characteristics for use in miRNA-based scaffolds. As a consequence, a relatively large number of shRNA candidates needs to be screened for each target. The clinical implementation of our system would therefore benefit from a better understanding of the sequence requirements of shGuides and from miRNA-tailored design algorithms. Moreover, further research efforts are needed to expand the multiplexing capacity of the system to allow the simultaneous regulation of multiple targets in different pathways and consequently manipulate complex cellular behaviors.

MATERIALS AND METHODS

Cell lines and cell culture

HEK293T cells, Phoenix Eco and PG13 packaging cells, and the NALM-6 ALL cell line were sourced from ATCC. HEK293T, Phoenix Eco, and PG13 cells were maintained in Dulbecco's modified Eagle's medium: 4,500 mg/L glucose, 2 mM L-glutamine, 1 mM sodium pyruvate (Merck Life Sciences, Hoeilaart, Belgium) supplemented with 10% fetal bovine serum (FBS) (Thermo Fisher Scientific, Waltham, MA). NALM-6 cells were maintained in RPMI 1640 medium, 25 mM HEPES (Capricorn Scientific, Ebsdorfergrund, Germany) supplemented with 10% FBS. All cells were grown at 37°C in an atmosphere of 5% CO₂/air. All cells were routinely screened for mycoplasma

incubation with its soluble ligand CD95L, with an effect comparable with that of a CD95 blocking antibody. (D) On the contrary, a shGuide sequence for $\beta 2M$ with less pronounced KD ability could efficiently downregulate HLA ABC (orange bar in A, right panel) while, at the same time, preserving expression levels that protected CAR T cells from NK cells. Indeed, the percentage of CD107a⁺ NK cells upon co-culture with CAR T cells knocked down for $\beta 2M$ was comparable with that of NK cells in presence of CAR T cells without shRNA. Conversely, $\beta 2M$ KO in CAR T cells led to a substantial increase in the percentage of CD107a⁺ NK cells upon co-culture (D, left panel). As a consequence, CAR T cells harboring the $\beta 2M$ KD maintained a viability comparable with control CAR T cells without shRNA, whereas the viability of CAR T cells with $\beta 2M$ KO was strongly reduced (D, right panel). Bars represent mean \pm SD for three independent biological replicates. In (A), for screening purposes only one PBMC donor was tested, and the bars therefore refer to a single biological replicate.

contamination using the Plasmotest Mycoplasma Detection Kit (InvivoGen, Toulouse, France).

Construction of miRNA-containing lentiviral and retroviral vectors

All the sequences containing the miRNA-based scaffold were purchased as custom-synthesized gBlocks from Integrated DNA Technologies (Leuven, Belgium) and cloned into the recipient backbones using the In-Fusion Snap Assembly Master Mix (TaKaRa, Saint-Germain-en-Laye, France) following the manufacturer's instructions. For lentiviral expression, a third-generation pCCL backbone was used. For retroviral expression, a pSFG backbone-based plasmid expressing a second-generation anti-human CD19-41BB or anti-human BCMA-41BB CAR and a truncated CD34 (tCD34) selection TAG was used. All backbones and the cloned sequences in the newly generated plasmids were verified by Sanger sequencing. The following shRNA-based sequences were used for target gene KD: *CD3ζ* (5'-AACAGACTCAACAACCTCAGG-3'), *TRAC* (5'-GAAAGTTTAGGTTTCGTATC-3'), *β2M* (5'-TTCATCCAATCCAAATGCGG-3'), *CD52* (5'-TGAGAGTCCAGTTTGTATC-3'), *CD28* (5'-AATGATCTTGATATTTGGTC-3'), *CD95* (5'-ACAGACGTAAGAACCAGAGG-3'), *CIITA* (5'-TATTGTACAAGCTTAGCCTG-3'), *CD27* (5'-ACAGCTTCCCTGAGCCCAG-3'), *LAG-3* (5'-TATTTGGACTGGGCTGCTGA-3'). The following readout proteins were used to assess the KD efficiency of the different shRNA-based sequences: *CD3ζ* or TCRα/β for *CD3ζ*, TCRα/β for *TRAC*, HLA ABC for *β2M*, *CD52* for *CD52*, *CD28* for *CD28*, *CD95* for *CD95*, HLA DR for *CIITA*, *CD27* for *CD27*, *LAG-3* for *LAG-3*.

Lentiviral and retroviral vector production and titration

Lentiviral vectors were generated by transiently transfecting HEK293T cells with the appropriate lentiviral plasmid along with the packaging plasmids pRSV.Rev and pMD2.G (ViveBiotech, Donostia, Spain), using GeneJuice Transfection Reagent (Merck Life Sciences, Hoeilaart, Belgium) according to the manufacturer's instructions. The lentiviral vectors were harvested 24 h post-transfection, titrated, and used immediately to transduce T cell. Retroviral vectors were generated by transiently transfecting Phoenix Eco packaging cells with the appropriate retroviral plasmids. The obtained vectors were collected at 48 and 72 h post-transfection, pooled, and used to transduce PG13 packaging cells expressing the gibbon ape leukemia virus *env* and the Mo-MuLV *gag-pol* proteins. The final retroviral vectors were collected at 48 and 72 h post PG13 transduction, pooled, titrated and stored at -80°C until needed for T cell transduction. During vector production, the transfection efficiency of Phoenix Eco cells and the transduction efficiency of PG13 cells was assessed by monitoring the tCD34 tag expression by flow cytometry. For each viral vector production, viral RNA was purified using the NucleoSpin viral RNA isolation kit (Macherey-Nagel, Dueren, Germany) and the viral titer was assessed using either the Lenti-X qRT-PCR Titration Kit or the Retro-X qRT-PCR Titration Kit (TaKaRa). All kits were used according to the manufacturer's instructions. RNA detection was performed by real-time qPCR on a LightCycler LC480 instrument (Roche, Diegem, Belgium).

CAR T cell production

Peripheral blood mononuclear cells (PBMCs) were isolated either from apheresis material followed by red blood cell lysis or from whole blood of healthy donors (ImmuneHealth, CHU, Tivoli) by Ficoll density gradient (VWR, Leuven, Belgium) according to standard procedures. Specifically, whole blood was diluted three times with DPBS and added carefully onto the Ficoll layer in 50-mL tubes. Tubes were centrifuged at $500 \times g$ and the intermediate layer carefully removed. The PBMCs were subsequently washed three times with DPBS, harvested, and activated in XVivo 15 medium (Lonza, Antwerp, Belgium) supplemented with 10% of Human Male AB Plasma-Derived Serum (Access Biologicals, Vista, CA), 1 GlutaMAX (Thermo Fisher Scientific), and 100 IU/mL IL-2 (Miltenyi Biotec, Bergisch Gladbach, Germany). After isolation, the cells were activated at a density of 2×10^6 cells/mL for 48 h in complete XVivo medium and R&D grade TransAct (Miltenyi Biotec) at 1/100 dilution, in an incubator at 37°C in an atmosphere of 5% CO_2 /air. Cells were subsequently harvested and transduced at 1×10^6 cells/well in 6-well plates coated with 8 $\mu\text{g/mL}$ retronectin (TaKaRa) with the appropriate viral vector in the presence of 100 IU/mL IL-2 (Miltenyi Biotec) and 1 μM Akti-1/2 (Bio-Techne, Minneapolis, MN) and incubated for 2 days. The vector titers were adjusted to ensure the incorporation of ≤ 5 viral genomes per cell. On day 4 post activation, transduced cells were expanded in flask in complete XVivo 15 medium supplemented with 100 IU/mL IL-2 at a density between 0.33 and 0.66×10^6 cells/mL for a further 48 h. On day 6 post activation, cells were collected and washed with HBSS containing 1% human serum albumin (Octapharma, Brussels, Belgium) before staining for the tCD34 tag with the CD34 MicroBead Kit (Miltenyi Biotec) for positive selection. Cells were further expanded in complete XVivo 15 medium with 100 IU/mL IL-2 and harvested between days 10 and 12 post activation, depending on the experiment.

RNA extraction and target gene expression assessment by real-time qPCR

Total cellular RNA was extracted using the RNeasy Mini Kit (QIAGEN, Antwerp, Belgium) and genomic DNA was removed with the On-Column DNaseI Digestion Set (Merck Life Sciences) following the manufacturers' instructions. Reverse-transcription and gene expression analysis were performed in a one-step real-time qPCR by means of the LightCycler 480 RNA Master Hydrolysis Probes (Roche) on a LightCycler LC480 instrument (Roche). TaqMan assays were purchased from (Thermo Fisher Scientific) for *CD3ζ* (Hs00609515_m1), *TRAC* (Hs01062241_m1), *β2M* (Hs99999907_m1), and *CD52* (Hs00174349_m1).

Antibody staining and target gene expression assessment by flow cytometry

For flow cytometry analysis of extracellular markers, CAR T cells were washed once with PBS, and incubated with FVS575 live/dead dye for 15 min at room temperature in the dark. They were then washed with PBS and resuspended in Attune Focusing Fluid (Thermo Fisher Scientific). CAR T cells were then incubated in round-bottom 96-well plate wells with the appropriate mix of fluorescently labeled

primary antibodies and incubated for 30 min at 4°C in the dark. After a wash with Attune Focusing Fluid, they were resuspended in Attune Focusing Fluid and analyzed on an Attune NxT Acoustic Focusing Flow Cytometer (Thermo Fisher Scientific) equipped with blue (488 nm), red (638 nm), violet (405 nm), and yellow (561 nm) excitation lasers. The following fluorescently labeled primary antibodies were used to assess target gene levels: anti-CD27, anti-CD28, anti-CD52 (BioLegend, Amsterdam, the Netherlands); anti-CD95, anti-LAG-3 (Thermo Fisher Scientific); anti-TCRa/b, anti-CD3 ζ (Miltenyi Biotec); HLA ABC, HLA DR (BD Biosciences, Erembodegem, Belgium). The following fluorescently labeled primary antibodies were used for the gating of transduced CAR T cells: CD34 (BioLegend); CD4, CD8 (BD Biosciences). All antibodies were titrated prior to experimental use. Data analysis was performed with FlowJo v.10.

Functional assay for CD3 ζ KD

Control CAR T cells and CAR T cells carrying the shGuide sequence against CD3 ζ were treated with different concentrations of the anti-CD3 monoclonal antibody OKT3, as reported in Figure 7B. (Miltenyi Biotec). After the appropriate incubation time (24 h up to 120 h), the levels of IFN- γ released by the CAR T cells into the cell culture medium were measured as a readout for TCR-mediated T cell activation, using the Human IFN-gamma Quantikine ELISA Kit (R&D Systems, Abingdon, UK) according to the manufacturer's instructions.

Functional assay for CD95 KD

Both control CAR T cells and CAR T cells carrying the shGuide sequence against CD95 were split in two groups, which were either left untreated or incubated with Ultra-LEAF Purified anti-human CD95 (FAS) blocking antibody (BioLegend) at a concentration of 1 μ g/mL. Following a 30-min incubation, each group was further split in two and either left untreated or treated with Human FAS ligand, His Tag, active trimer (CD95L, Fisher Scientific, Geel, Belgium) at a concentration of 200 ng/mL. After 24 h, CD95L-induced apoptosis was assessed by flow cytometry, by determining the number of Annexin V-positive CAR T cells with the Dead Cell Apoptosis Kit with Annexin V (AF488 & PI) (Thermo Fisher Scientific), according to the manufacturer's instructions.

Functional assay for β 2M KD

Primary human NK cells were primed overnight with cytokines (IL-2 and IL-15), before being exposed to target T cells at a 1:3 effector to target ratio in the presence of fluorochrome-conjugated CD107a (lysosome-associated membrane protein 1) antibody. As cell surface exposure of CD107a is extremely transient owing to the recycling of the granules, CD107a antibody was included throughout the duration of the stimulation period. One hour after co-culture seeding, the Golgi transmembrane transport inhibitor monensin (BioLegend) was added to the culture, to neutralize the acidification of the granules, thus preventing the degradation of re-internalized CD107a. After a total of 4 h of co-culture, cells were stained for viability, NK and T cell markers, and the percentage of CD107a⁺ among viable NK cells,

corresponding to the fraction of NK that have degranulated during the co-culture, was analyzed by flow cytometry.

Statistical analysis

Statistical analyses were performed using GraphPad Prism 9 (GraphPad Software, La Jolla, CA). Data were subjected to one-way ANOVA followed by Holm-Sidak correction for multiple comparisons. All data shown are the result of three independent biological replicates, unless otherwise specified.

DATA AND CODE AVAILABILITY

This study includes no data deposited in external repositories.

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AUTHOR CONTRIBUTIONS

M.R. designed the study, analyzed the data, and wrote the manuscript. M.S. designed the study, analyzed the data, and performed the experiments. F.H., T.N., J.M., C.J.-H., and P.N. performed the experiments. C.L. helped with the discussion of the data and with the writing of the manuscript. E.B. conceived the study, helped with the discussion of the data, and with the writing of the manuscript.

DECLARATION OF INTERESTS

All authors were employees of Celyad Oncology SA during the realization of this work. The research conducted within the manuscript may lead to the development of products which may be licensed by Celyad Oncology SA. The following patents and patent applications are associated to this work: WO2021224278, WO2022233982.

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Supplemental information

**Efficient shRNA-based knockdown of multiple
target genes for cell therapy using
a chimeric miRNA cluster platform**

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Jacques-Hespel, Paul Najm, Caroline Lonz, and Eytan Breman**

Figure S1

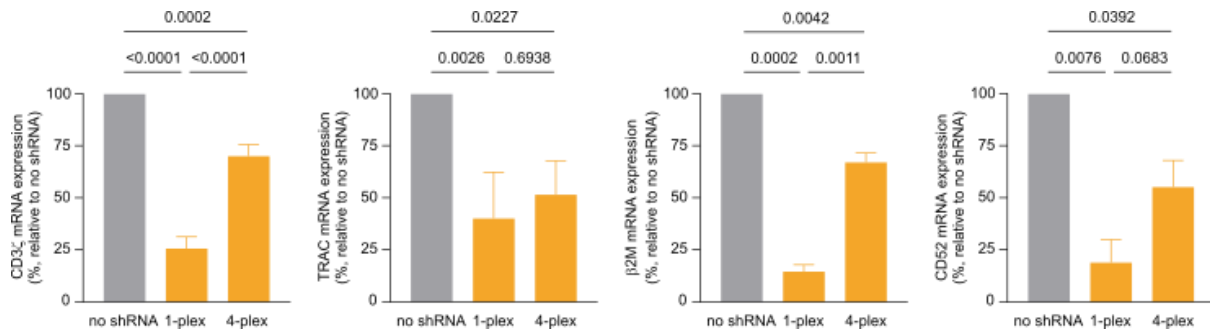


Figure S1. Rationale for the building of a multiplex miRNA-based shRNA platform

Effect on KD efficiency of the use of the same scaffold in tandem repeats. The KD efficiency of four different shGuide sequences was compared in a single miR196a-2 scaffold and in the context of a 4-plex made of repeats of the miR196a-2 scaffold transduced in CAR T-cells. KD efficiency was measured by qPCR relative to a control without shRNA, using validated shRNA-derived sequences. Bars represent mean \pm standard deviation (SD) for three independent biological replicates from different PBMC donors.

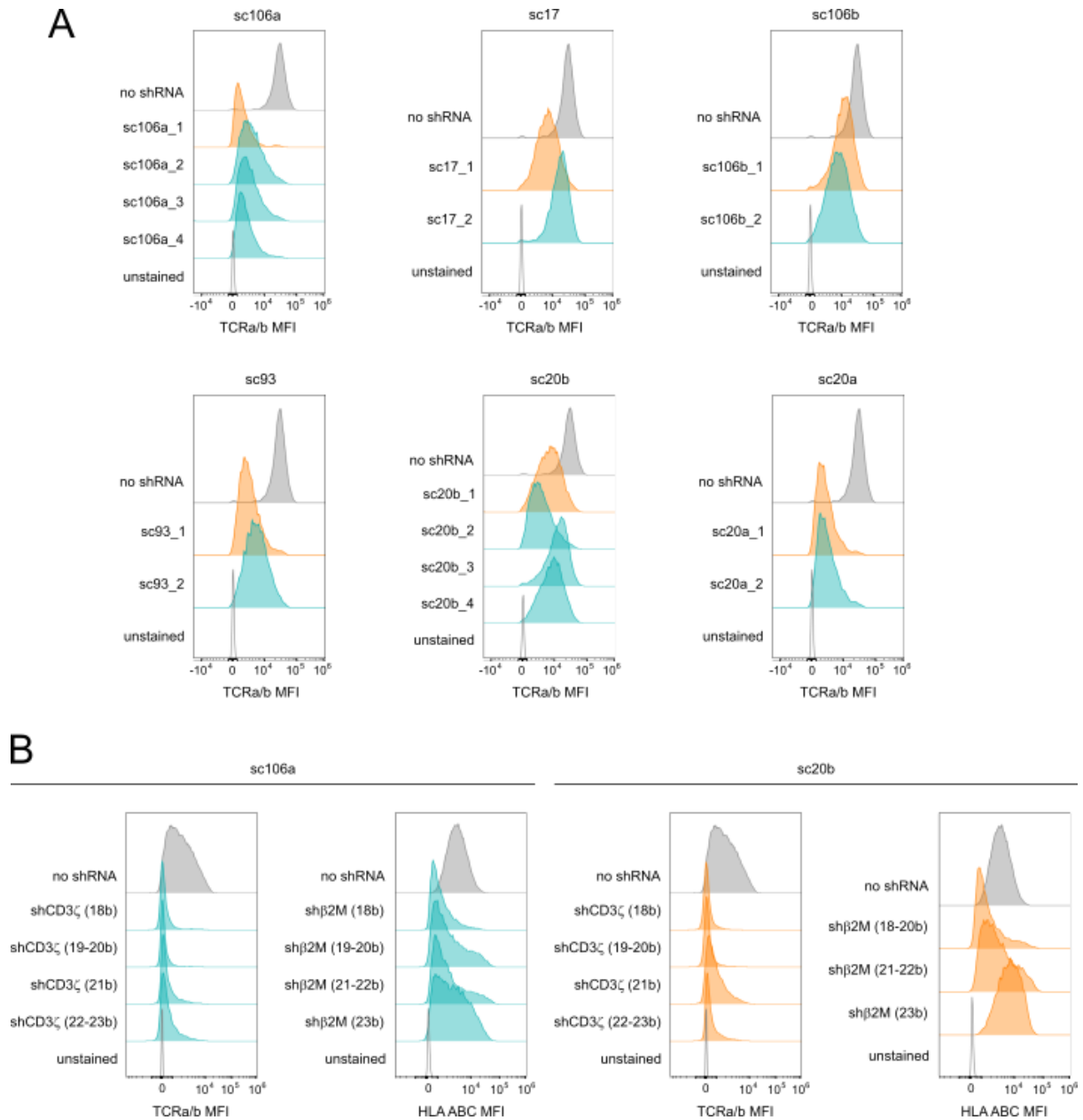


Figure S2. Identification of the optimal scaffold and shGuide sequence length

(A) Representative FACS histograms from one PBMC donor for the KD efficiencies of a shGuide sequence against the T-cell receptor (TCR) component CD3 ζ , obtained from the sequential deletions of each of the candidate scaffolds, measured in CAR T-cells as surface expression of TCRa/b. The orange curves correspond to the scaffold lengths ultimately chosen for further experiments. (B) Representative FACS histograms from one PBMC donor for the KD efficiencies of shGuide sequences spanning between 18 and 25 bases against CD3 ζ and the HLA class I component β 2M in the context of two different scaffolds (sc106a and sc20b), measured in CAR T-cells as surface expression of TCRa/b and HLA ABC respectively. The orange curves correspond to the shGuide sequence lengths ultimately chosen for further experiments.

Figure S3

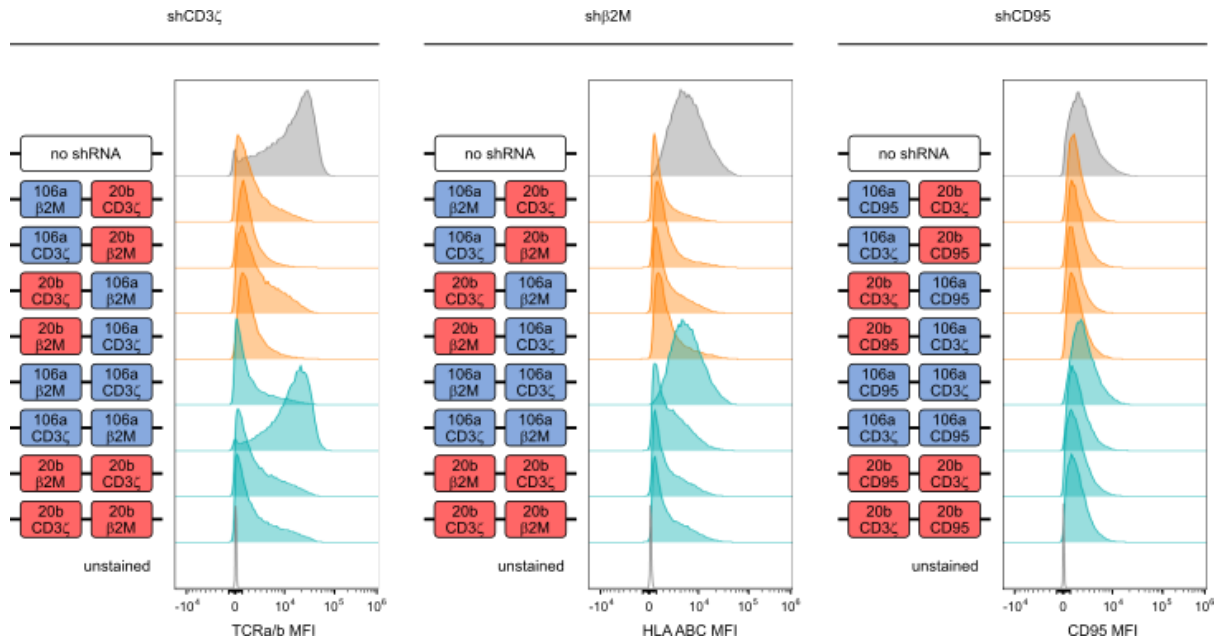
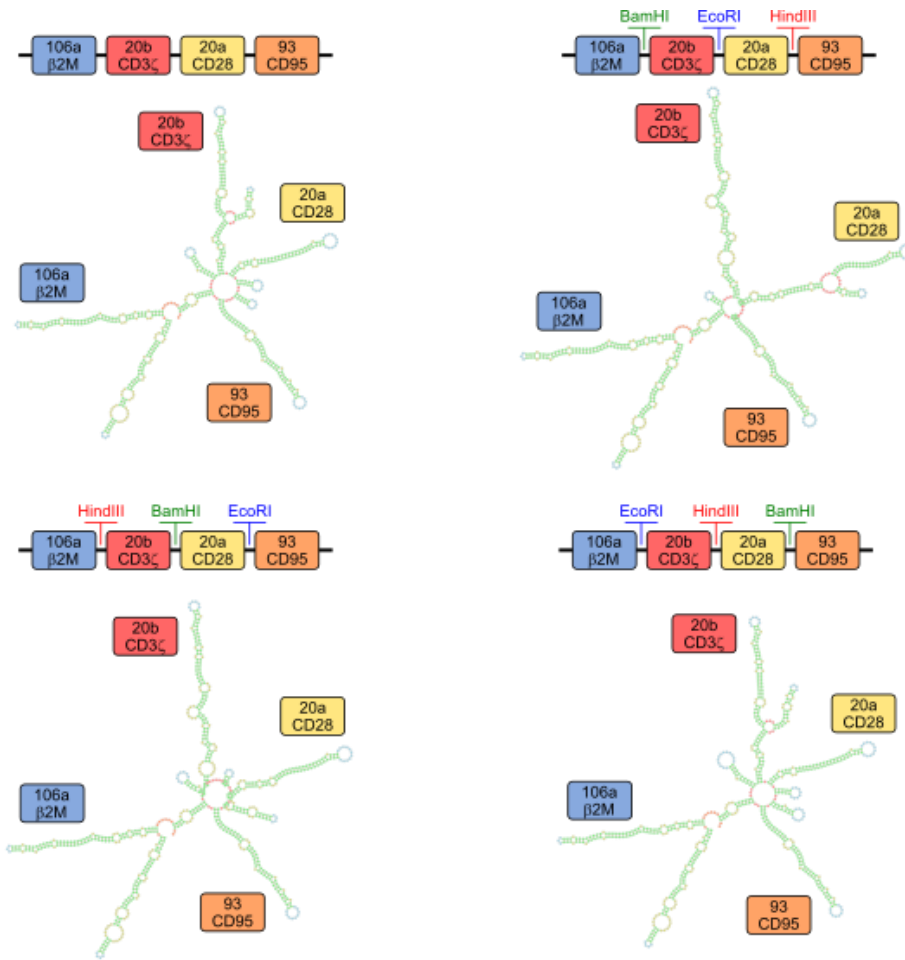


Figure S3. Effect of scaffold combination on KD efficiency in a 2-plex miRNA platform

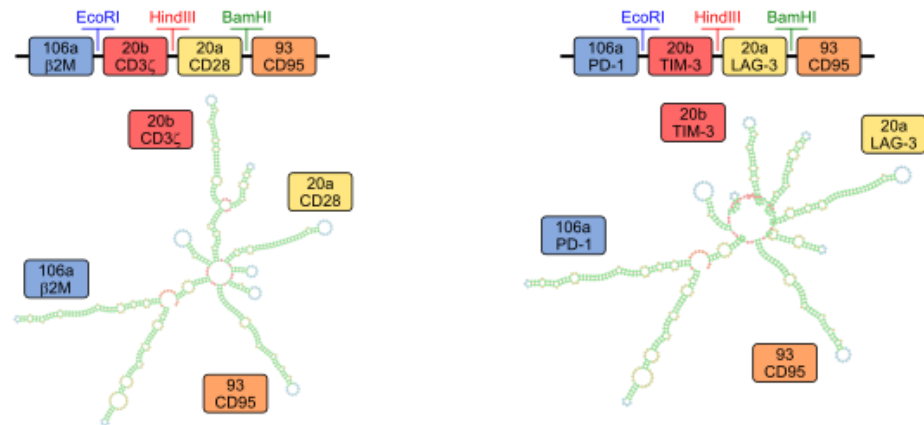
Results of two different approaches followed to build a chimeric cluster: combining two different scaffold or replicating same scaffold multiple times. Combinations of sc106b and sc20b as well as repetitions of either sc106a or sc20b were tested in a 2-plex with validated shGuide sequences against CD3 ζ , β 2M and CD95. Different configurations of the 2-plex were tested, alternating the position of the scaffolds and of the shGuide sequences. Representative FACS histograms from one PBMC donor are depicted for the KD efficiencies of TCRa/b, HLA ABC and CD95, respectively, in CAR T-cells transduced with the 2-plex and with a no shRNA control.

Figure S4

A



B



C

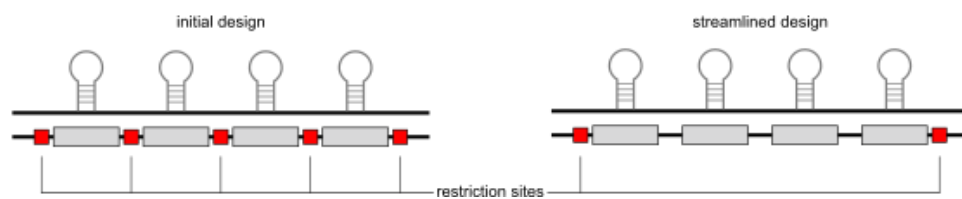


Figure S4. Effect of restriction sites between the scaffolds on the secondary structure of the chimeric clusters

(A) Restriction sites for BamHI, EcoRI and HindIII were inserted between the scaffolds in a sc106a – sc20b – sc20a – sc93 chimeric cluster and were shifted along to achieve all three possible combinations, without modifying the position of the scaffolds or of the shGuide sequences within the scaffolds. The resulting sequences were analyzed *in silico* using the RNAfold secondary structure prediction tool. The RNA secondary structures depicted for each cluster represent the most stable configuration based on minimum free energy prediction. (B) In a sc106a – sc20b – sc20a – sc93 chimeric cluster, the scaffolds and the restriction sites between the scaffolds were maintained in fixed positions, but the shGuide sequences within the scaffold were changed. The resulting sequences were analyzed *in silico* using the RNAfold secondary structure prediction tool. The RNA secondary structures depicted for each cluster represent the most stable configuration based on minimum free energy prediction. (C) Schematic representation of the 4-plex design with or without restriction sites between the scaffolds.

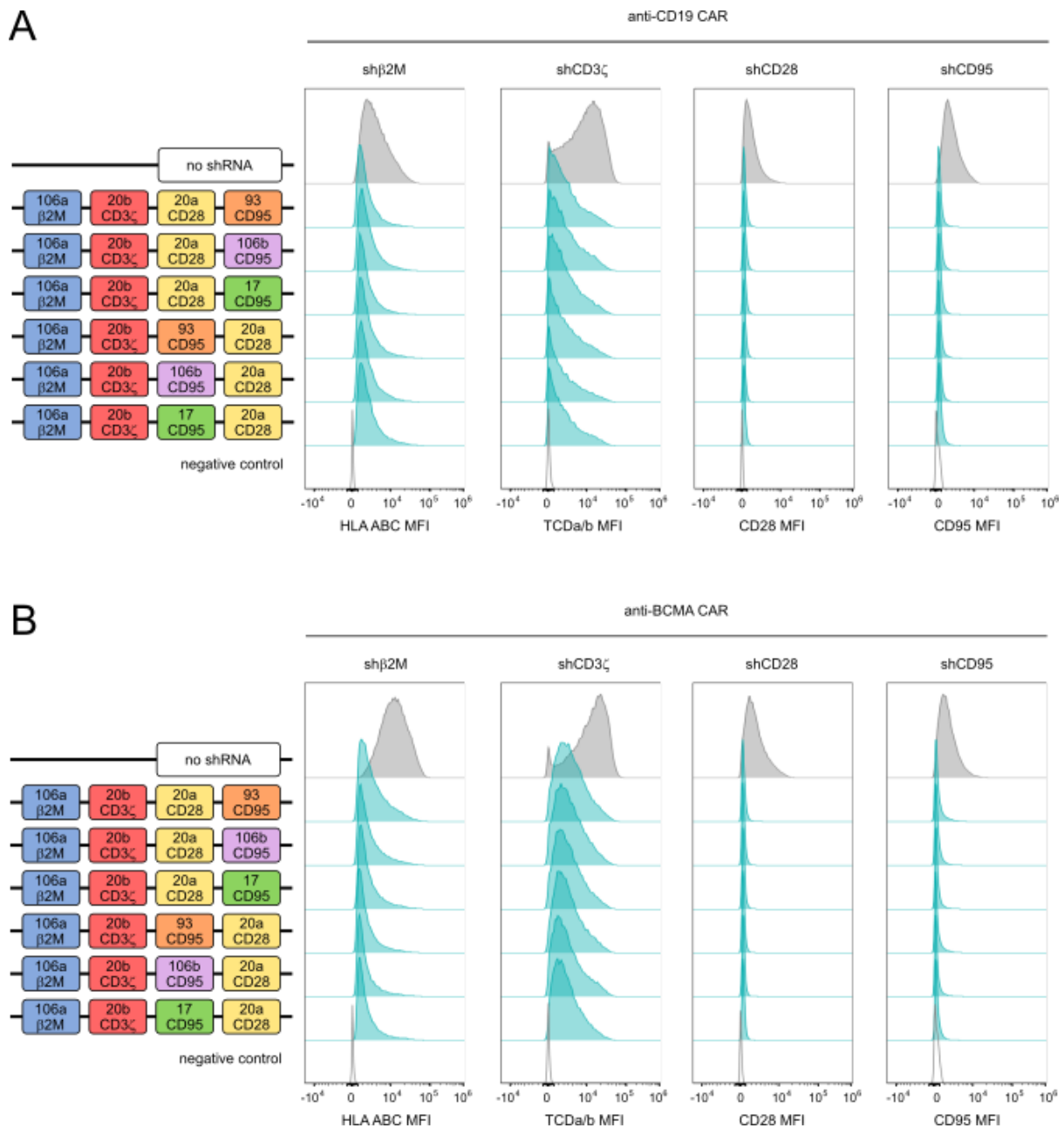


Figure S5. Selection of scaffolds for the expansion of the miRNA-based shRNA platform to a 4-plex Starting with the sc106a – sc20b 2-plex as a basis, the platform was expanded into a 4-plex by the addition of two extra scaffolds. In a first cluster design, sc20a was added in third position, and sc93, sc106b or sc17 in fourth position. In a second cluster design, sc93, sc106b or sc17 were added in third position and sc20a in fourth position. Each position carried a different validated shGuide sequence (β 2M, CD3 ζ , CD28 and CD95). Representative FACS histograms from one PBMC donor are depicted for the KD efficiency of TCRa/b, HLA ABC, CD28 and CD95, respectively, in CAR T-cells transduced with the 4-plex constructs or with a no shRNA control. The different 4-plex combinations were tested in the context of both an anti- CD19 CAR (A) and an anti-BCMA CAR (B).

Figure S6

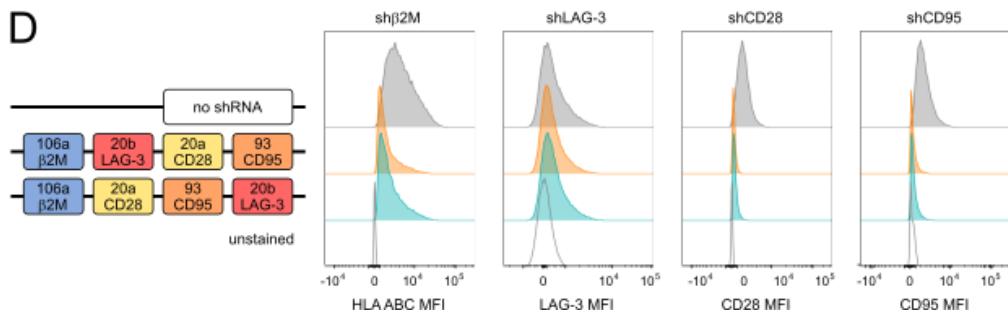
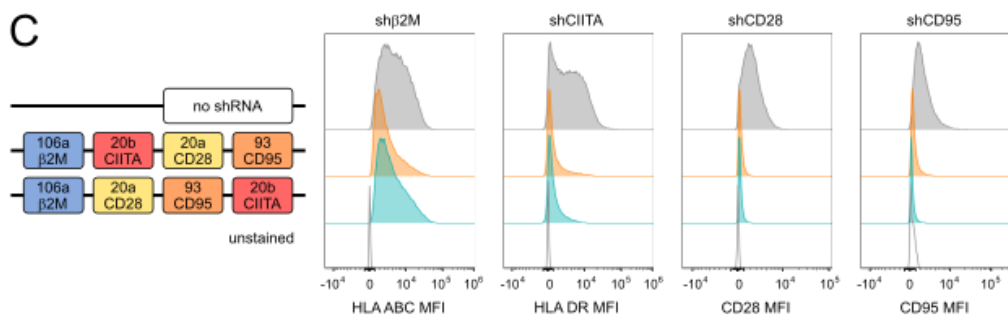
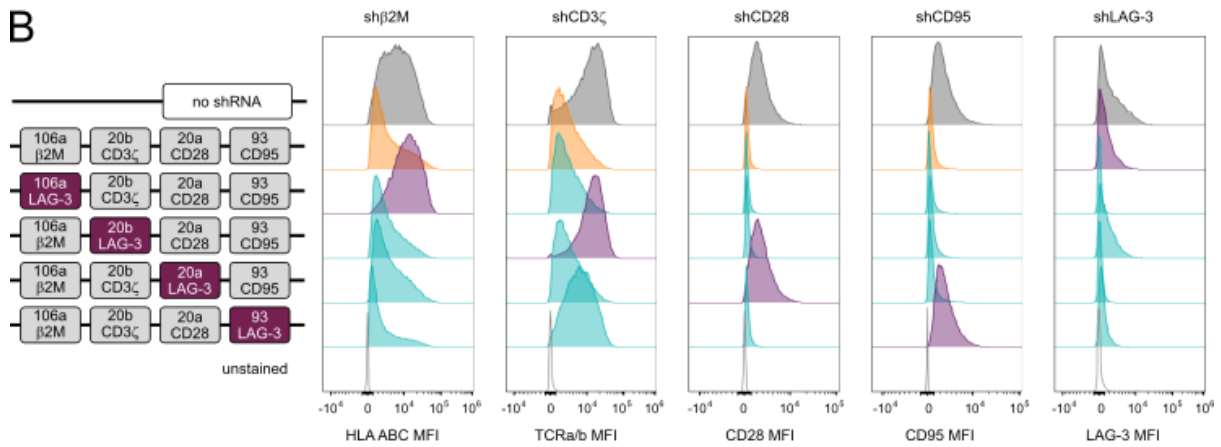
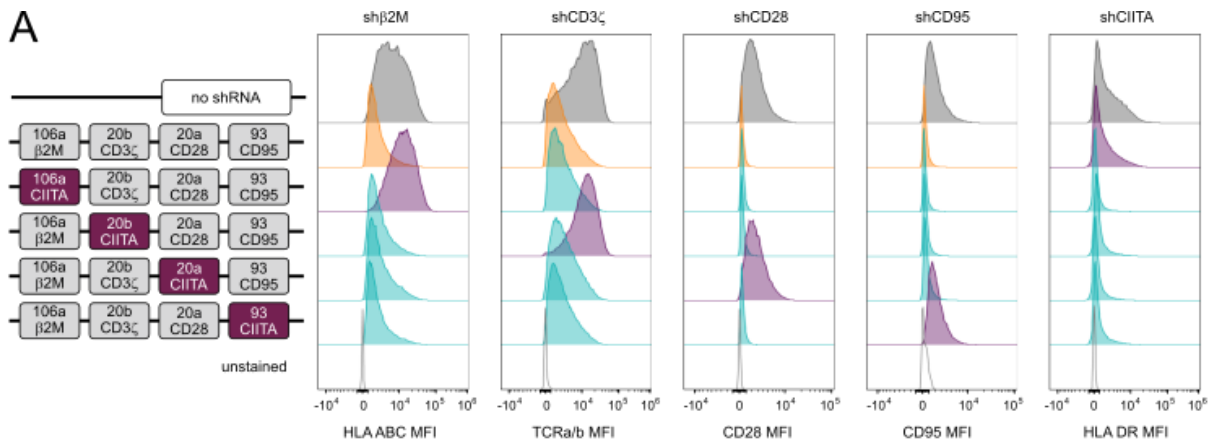


Figure S6. Assessing the plug-and-play capability of the 4-plex miRNA-based shRNA platform

To test the plug-and-play capability of the 4-plex and its stability irrespective of the target, a fifth and sixth shGuide sequence were substituted in each position in the sc106a – sc20b – sc20a – sc93 cluster carrying validated shGuide sequences against β 2m, CD3 ζ , CD28 and CD95, in the context of an anti-CD19 CAR. Validated shRNAs-derived sequences against CIITA (A), a key coregulator that controls expression of HLA class II genes, and LAG-3 (B) were used for the purpose. Representative FACS histograms from one PBMC donor are depicted for the KD efficiency of all targets, including HLA DR, one of the main MHC II proteins, as proxy for CIITA and the immune checkpoint LAG-3, in CAR T-cells transduced with the 4-plex constructs or with a no shRNA control. As LAG-3 is expressed at low levels in resting T-cells, but is induced following T-cell activation, surface LAG-3 expression was assessed upon co-culture for 24h with NALM-6, a human pre-B ALL cell line positive for CD19. As a marked loss in KD efficiency was observed when the shGuide sequence against LAG-3 was in sc20b, to determine whether this is due to a positional effect of this scaffold, a construct with sc20b in position 4 (sc106a – sc20a – sc93 – sc20b) was tested in comparison with the original cluster with sc20b in position 2 (sc106a – sc20b – sc20a – sc93) and a no shRNA control. The comparison was made with both CIITA (C) and LAG-3 (D) shGuide sequences. Representative FACS histograms from one PBMC donor are depicted for the KD efficiencies of HLA DR and LAG-3, the latter upon co-culture for 24h with NALM-6 cells.

Figure S7

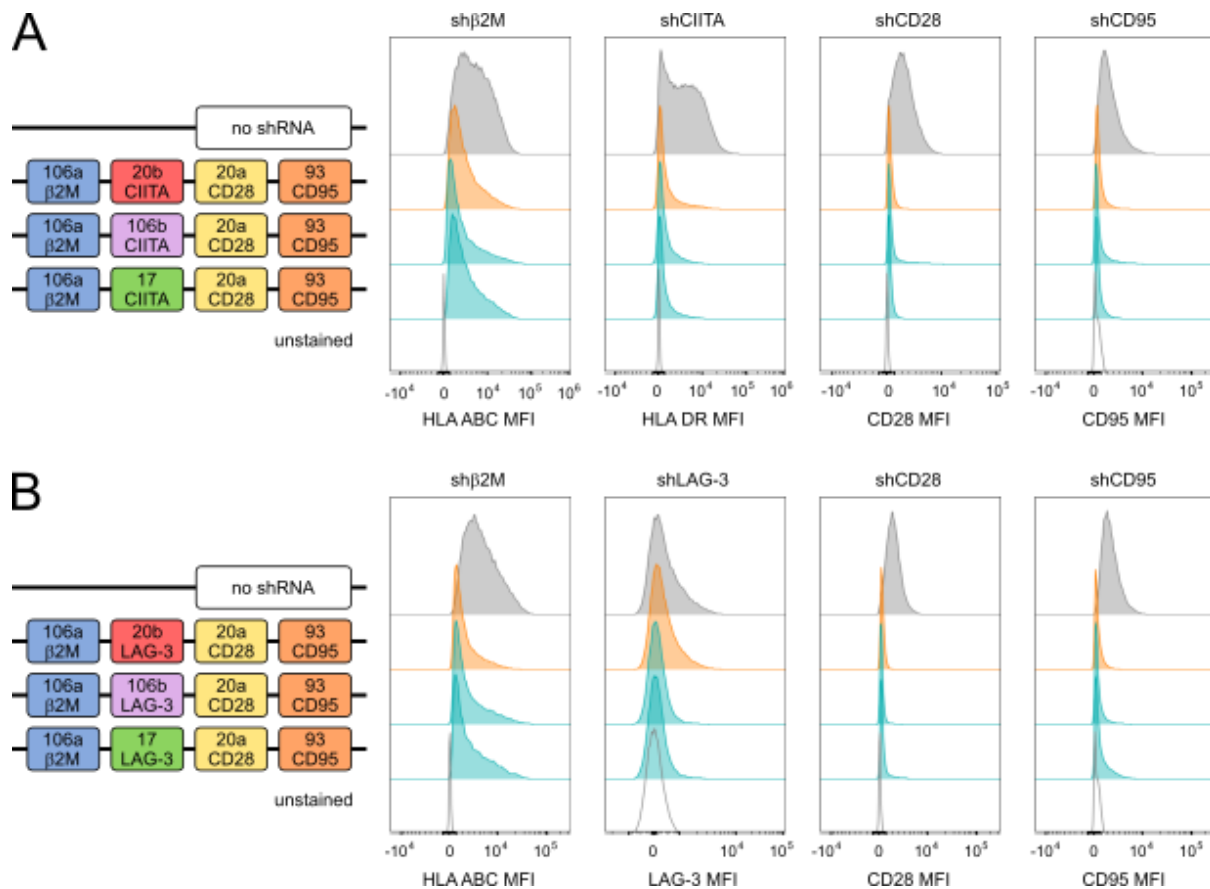


Figure S7. Testing of an updated version of the 4-plex miRNA-based shRNA platform with true plug-and-play capability

As an alternative to the unstable sc106a – sc20a – sc93 – sc20b configuration of the 4-plex, different designs were tested, in which sc20b was substituted by sc106b or sc17, in the context of an anti-CD19 CAR. The clusters carried validated shGuide sequences against β2M in position 1, CD28 in position 3 and CD95 in position 4. In position 2, where sc20b originally laid, validated shGuide sequences against CIITA (A) and LAG-3 (B) were introduced. Representative FACS histograms from one PBMC donor are depicted for the KD efficiency of HLA ABC, HLA DR (respectively controlled by β2M and CIITA), LAG-3, CD28 and CD95. As LAG-3 is expressed at low levels in resting T-cells, but is induced following T-cell activation, surface LAG-3 expression was assessed upon co-culture for 24h with NALM-6, a human pre-B ALL cell line positive for CD19.

Table S1. Full sequences of the miRNA scaffolds depicted in Figure 2A and 2B.

Table S2 Absolute MFI of the no shRNA control samples for the flow cytometry markers.