

The search keywords were as follows:

Table 1: Search blocks and Keywords

Search Blocks	Keywords
COVAX Alliance	COVAX
COVID-19 vaccine equity	(COVID 19 vaccine) AND (Equity)
COVID-19 vaccine diplomacy	(COVID 19 vaccine) AND (diplomacy)
COVID-19 vaccine accessibility	(COVID 19 vaccine) AND (Accessibility)
COVID-19 vaccine affordability	(COVID 19 vaccine) AND (affordability)
COVID-19 vaccines in LMICs	(COVID 19 vaccine) AND (LMIC)
Hoarding of COVID-19 vaccines	(COVID 19 vaccine) AND (Hoarding)

Vaccine nationalism	(COVID 19) AND (Nationalism)
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SEARCH STRATEGY- DATABASES

Search Blocks	Keywords	PubMed	Scopus	Springer	Embase	Total Results
COVAX	COVAX	48	184	148	95	475
COVID 19 VACCINE-EQUITY	(COVID 19 vaccine) AND (Equity)	271	313	670	180	1434
DIPLOMACY	(COVID 19 vaccine) AND (diplomacy)	19	43	74	31	167
ACCESSIBILITY	(COVID 19 vaccine) AND (Accessibility),	889	278	787	131	2085
AFFORDABILITY	(COVID 19 vaccine) AND (affordability)	199	30	201	31	461
LMIC	(COVID 19 vaccine) AND (LMIC)	12	29	143	21	205
HOARDING	(COVID 19 vaccine) AND (Hoarding)	3	8	84	8	103
NATIONALISM	(COVID 19)AND (Nationalism)	4	61	123	43	231
TOTAL		1445	946	2227	540	4686

The specific concerns for each of the above-mentioned themes of implementation challenges of COVAX are elaborated and are presented in the tables below:

Table 6: Themes of implementation challenges and specific concerns

Implementation challenges- Themes	Specific concerns	Number of evidence
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Vaccine nationalism	<ul style="list-style-type: none">● The COVAX facility failed to secure sufficient participation due to vaccine nationalism.	2
	<ul style="list-style-type: none">● Bilateral deals end up crowding up global vaccine supply leaving less supply for COVAX and undermining COVAX purchasing power.	17
	<ul style="list-style-type: none">● Booster doses administration by HICs	2
	<ul style="list-style-type: none">● More funding to national programs than COVAX. Eg, Operation Warp Speed by the USA.	1
	<ul style="list-style-type: none">● Before the first vaccine received US FDA Authorization, more than half of the premarket purchase commitments were reserved by HICs.	2

Lack of funding and participation of HICs to COVAX	<ul style="list-style-type: none"> • Less funding to the COVAX facility than required. 	5
	<ul style="list-style-type: none"> • Three dozen countries bypassed COVAX and made huge deals with manufacturers. So not enough SFCs joined to give the collective buying power expected by COVAX. 	4
	<ul style="list-style-type: none"> • The USA under the Trump administration didn't join COVAX which resulted in an initial lack of funding. 	1
Limited manufacturing capacity	<ul style="list-style-type: none"> • the Limited number of manufacturing facilities in the world that too predominantly in the Global North. 	4
	<ul style="list-style-type: none"> • Less manufacturing capacity in LMICs. 	1
Export restrictions	<ul style="list-style-type: none"> • Export restrictions hinder the free flow of vaccines. 	6
	<ul style="list-style-type: none"> • USA invoked the Defense production Act which reduces 	1

	the active pharma ingredient for AZ manufacture.	
Dependency on AZ vaccine	<ul style="list-style-type: none"> The second wave in India caused reallocation of vaccines from SII to domestic usage and COVAX faced a shortfall of 190 million doses in June-July 2021. 	2
Lack of technology transfer	<ul style="list-style-type: none"> Limited knowledge sharing from Vaccine manufacturers. 	2
Lack of transparency	<ul style="list-style-type: none"> Lack of transparency in manufacturing order books of Pharma companies as COVAX faced delays and pushed to the end of the queue. 	6
Dose sharing problems	<ul style="list-style-type: none"> delayed delivery of vaccines to COVAX. Donations earmarked Vaccine donations faced logistical challenges- For example, Pfizer requires UCC 	1 1 1

	which is not vastly available in LMICs or LICs.	
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These gaps are elaborated with specific concerns in the following table

Table: COVAX framework gaps and specific concerns

Framework gaps	Specific concerns	Number of evidence
Violation of the principle of equity	Allocation cap for HIC is up to 50% of the population while for AMC it is 20%.	4
Aid financing approach for LMICs	LMICs at the mercy of HICs COVAX FW was based on philanthropy and the will of wealthier nations that will not lead to equitable and fair access to vaccines.	4 2

Donation dependence	From a self procuring facility, COVAX was reduced to donation dependent platform	4
Allowed bilateral deals for members	All the HICs did bilateral deals outside COVAX	6
COVAX AMC	As an approach to bypass IPR	1
Lack of transparency	No contract details of AMC APAs	1
	No transparency in negotiations with pharma companies	1
IP issues not addressed	COVAX paid pharma companies from public money.	4
	Supported technology transfer than IP waiver	2
Contractual obligations	COVAX allocated vaccine supply to HICs despite the shortage.	2
Lack of representation for LMICs	81 % of HIC representation in COVAX governing bodies	1

Lack of coordination	CEPI was unable to secure the access provisions to ensure equitable global supply of vaccines despite investment.	1
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The above-mentioned themes are elaborated in the following table:

Table: Global health policy gaps and specific concerns

Policy gap Themes	Specific concerns	Number of evidence
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Problems of WHO PAS	<ul style="list-style-type: none">• Proportional allocation does not meet WHO's own ethical principles for vaccine allocation-1 Proportional allocation by WHO ignores needs-based considerations.	3
	<ul style="list-style-type: none">• Different regions and countries have different vaccination targets. Also, the WHO vaccine coverage target is different from the COVAX supply targets.	2
	<ul style="list-style-type: none">• The logic of COVAX allocation- no country should vaccinate more than 20% of its population until all countries have vaccinated 20% of the population. Is it even possible that HICs will agree to this?	2
	<ul style="list-style-type: none">• Aims to allocate doses for 20% of the population which is far less to achieve herd immunity.	3

Lack of internationally binding agreements	<ul style="list-style-type: none">• No enforcement of international cooperation by capping the bilateral deals.	5
	<ul style="list-style-type: none">• No international treaty to prevent vaccine hoarding.	1
	<ul style="list-style-type: none">• No international treaties specify rights and obligations in the context of global public goods.	1
	<ul style="list-style-type: none">• No international treaty agreed to all WHO members for COVID-19 vaccines.	2

No IPR TRIPS waiver	<ul style="list-style-type: none"> ● lack of global consensus to tackle IPR issues 	1
	<ul style="list-style-type: none"> ● COVAX AMC as a way to bypass the IPR issues. 	1
	<ul style="list-style-type: none"> ● COVAX framework supports more for technological transfer/sharing rather than the waiver of IPR 	1
	<ul style="list-style-type: none"> ● No waiver/suspension of IPR in WTO 	5
	<ul style="list-style-type: none"> ● Vaccine nationalism and IPR gives the flawed view of Global Health and Global economy where vaccines and medications are treated as market commodities rather than public goods. 	4
	<ul style="list-style-type: none"> ● IPR on COVID-19 vaccines was unfair as it was mostly funded by taxpayers' money. Lack of waiver of IPR obstructs the timely access to affordable vaccines. 	1
	<ul style="list-style-type: none"> ● The discrepancy between the vision of supporting COVID-19 vaccines as public goods Vs the reality of access of LMIC to patented technology and relevant knowledge. 	1

	<ul style="list-style-type: none">● TRIPS is one of the major barriers to health care equity. IPR patenting is the major obstacle for developing countries' vaccine manufacturers to enter the market.	1
	<ul style="list-style-type: none">● Pandemic profiteering based on the free market, profit-driven enterprise, and IPR protection is a human rights violation.	1
	<ul style="list-style-type: none">● Patent holders determine the global supply chain of COVID-19 vaccines.	1
	<ul style="list-style-type: none">● COVAX weakened by IPR. IP rules of TRIPS agreements made it difficult to achieve international cooperation.	2

Global health governance gaps	<ul style="list-style-type: none"> ● Lack of transparency in negotiation with pharma industries 	2
	<ul style="list-style-type: none"> ● Contractual impediments by pharma companies against donation and sharing of vaccines. 	1
	<ul style="list-style-type: none"> ● Paying pharma companies from public funds instead of sufficient technology sharing and local manufacturing. 	1
	<ul style="list-style-type: none"> ● Do pharma corporations have public accountability for deciding which countries get vaccines first?. COVID-19 Vaccine deals are not transparent. 	1
	<ul style="list-style-type: none"> ● Power rests with a few pharma companies controlling the supply and distribution of vaccines. Companies decide how to prioritize supply between nations. 	2
	<ul style="list-style-type: none"> ● Current global health architecture and existing regulations are inefficient to safeguard equity. 	1
	<ul style="list-style-type: none"> ● No mechanisms for Vaccine price controls or regulations that would cap or set the prices. 	

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