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Perceptions of oral health promotion in primary schools among health and education officials, community leaders, policy makers, teachers, and parents in Gulu district, northern Uganda: a qualitative study

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Keywords:	Dental caries; primary school; children; community; oral health education.
Abstract:	<p>Introduction One in every two cases of caries in deciduous teeth occurs in low- and middle-income countries (LMICs). The aim of the World Health Organisation's (WHO) Healthy Schools Program is to improve the oral health of children. This study explored perceptions of implementation of the Ugandan oral health schools' program in Gulu district, northern Uganda.</p> <p>Methods Semi-structured interviews were conducted with a purposive sample of 19 participants including health and education officials, community leaders, policy makers, teachers, and parents. All interviews were transcribed verbatim and analysed thematically.</p> <p>Results Our study identified three themes: (1) components of oral health promotion, (2) implementation challenges of oral health promotion, and (3) development of an oral health policy. The components of oral health promotion in schools included engagement of health workers, the community, companies, skills-based education, and oral health services. Participants were concerned about insufficient funding, unsatisfactory skills-based education, and inadequate dental screening. Participants reported that there was an urgent need to develop oral health policy to guide implementation of the program at scale.</p> <p>Conclusions Schools provided oral health promotion that aligned with existing features of the WHO's health-promoting school framework. Implementation of this strategy could be enhanced with increased resources, adequate oral health education, and explicit development of oral health policy.</p>
Order of Authors:	<p>Peter Akera</p> <p>Sean E Kennedy</p> <p>Aletta E Schutte</p> <p>Robyn Richmond</p> <p>Michael Hodgins</p> <p>Raghu Lingam</p>
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Perceptions of oral health promotion in primary schools among health and education officials, community leaders, policy makers, teachers, and parents in Gulu district, northern Uganda: a qualitative study

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25 **Abstract**

26 **Introduction**

27 One in every two cases of caries in deciduous teeth occurs in low- and
28 middle-income countries (LMICs). The aim of the World Health Organisation's
29 (WHO) Healthy Schools Program is to improve the oral health of children. This study
30 explored perceptions of implementation of the Ugandan oral health schools' program
31 in Gulu district, northern Uganda.

32

33 **Methods**

34 Semi-structured interviews were conducted with a purposive sample of 19
35 participants including health and education officials, community leaders, policy
36 makers, teachers, and parents. All interviews were transcribed verbatim and
37 analysed thematically.

38

39 **Results**

40 Our study identified **three themes: (1) components of oral health promotion,**
41 **(2) implementation challenges of oral health promotion, and (3) development of an**
42 **oral health policy.** The components of oral health promotion in schools included
43 engagement of health workers, the community, companies, skills-based education,
44 and oral health services. Participants were concerned about insufficient funding,
45 unsatisfactory skills-based education, and inadequate dental screening. Participants
46 reported that there was an urgent need to develop oral health policy to guide
47 implementation of the program at scale.

48 **Conclusions**

49 Schools provided oral health promotion that aligned with existing features of
50 the WHO's health-promoting school framework. Implementation of this strategy could
51 be enhanced with increased resources, adequate oral health education, and explicit
52 development of oral health policy.

53

54 **Keywords**

55 Dental caries, primary school, children, community, oral health education.

56

57 Introduction

58 The burden of oral diseases remains a substantial population health challenge
59 in low- and middle-income countries (LMICs). In 2017, of the 532 million cases of
60 caries in deciduous teeth observed globally, 265 million were in LMICs (1). The high
61 prevalence of caries in deciduous teeth has a negative impact on the quality of life of
62 school children. Children with poor oral health suffer from pain and poor oral health
63 negatively impacts activities such as smiling, sleeping, eating, and school
64 attendance (2, 3).

65
66 Public health measures such as promoting good oral hygiene, healthy
67 nutritional and behavioural practices, and education about oral diseases (4) reduce
68 the risk of oral diseases. As outlined in the World Health Organisation's (WHO)
69 Healthy Schools Programme, schools provide an optimum location to deliver health
70 promotion activities, where children can develop personal lifelong skills, healthy
71 attitudes, and healthy behaviours, and thereby reduce the risks of oral disease (5).

72
73 The Health Promoting School framework incorporates oral health promotion in
74 schools as an integral part of school activities or the curriculum and this supportive
75 environment can also be a channel for interaction with the community. The
76 framework consists of a range of health promotion strategies to improve oral health.
77 These include providing a safe healthy environment to consider oral health, skills-
78 based education, ~~and~~ access to oral health services, improving health promoting
79 policy and practice, and health of the community and engaging health, education,
80 and community leaders (5-7).

81

82 There has been limited research in LMICs on the implementation of oral
83 health promotion in schools. Most research has been on oral health status and the
84 associated risk factors for oral diseases among school children (8-11). In Uganda,
85 one study reported on the impact of establishing four health promoting schools in
86 rural communities ~~in Uganda~~ (12). That study reported a 26% increase in tooth
87 brushing at least once daily and teachers mentioned the benefits of the program
88 such as a greater awareness about health and fewer absences from school due to
89 'pain'. Key factors identified for success of the program included changes in policy
90 within participating schools, while reported challenges included irregular delivery of
91 supplies. However, that study did not report on factors that increased implementation
92 efficacy nor on factors that would be required to scale up the intervention to a
93 national program.

94
95 We explored the views of key school and community stakeholders on oral
96 health promotion in schools in the Gulu district in Uganda. Findings from this study
97 are compared to current policy and practice and the wider implementation literature,
98 and identified gaps that need to be filled to improve oral health promotion activities in
99 schools and enhance rollout of these health promotion interventions. We assessed
100 components of oral health promotion, implementation challenges of oral health
101 promotion and development of an oral health policy to inform policy makers and
102 implementors on how to improve the oral health program, using Uganda as an
103 example.

104

105

106

107 **Methods**

108 ~~We conducted~~ semi-structured interviews with health and education officials,
109 community leaders, policy makers, teachers and parents in Gulu District, Uganda.

110 Gulu district is located in the northern part of Uganda about 330 kilometres north of
111 Kampala, the capital city. Over half (53%) of the total population of around 300,000
112 people are aged between 0 and 17 years. About a quarter, 26% of persons aged 10-
113 17 years are illiterate. Only 21% of households have access to piped water. The
114 burden of disease in Gulu can be related to poverty, limited access to health facilities
115 and schools, illiteracy, and limited access to clean water.

116
117 **Our** study was approved by the research ethics committees of the University
118 of New South Wales (number HC200028) and Gulu University (GUREC-051-20). **We**
119 **obtained written informed consent from all participants.**

120
121 Interviews with 19 participants were conducted by PA between November
122 2021 to February 2022. Semi-structured interviews were carried out to gain a deep
123 understanding of the views of health and education officials, community leaders,
124 policy makers, teachers, and parents on the implementation of oral health promotion
125 in schools as they have first-hand knowledge of oral health promotion. The
126 interviews lasted between 15 and 55 minutes. **We** purposefully chose participants to
127 ensure variation with regard to rural or urban location of school and occupation, to
128 gain a broad understanding of the diverse contributions to oral health promotion. **We**
129 had access **to names of institutions** and description of participants by type of
130 occupation that could identify individual participants during or after data collection.

131

132 Semi-structured interview guides were developed framed around key aspects
133 of the WHO guidelines on oral health promotion through schools and they were
134 piloted with three participants in order to gain feedback on the appropriateness of the
135 interview prompts (5-7). Prompts were used to explore areas of interest to the
136 participants in greater detail. Questions were amended as new themes emerged.
137 Emerging theme patterns and interpretations, and reflections of the research were
138 recorded in memos and analytical notes. All interviews were conducted in-person in
139 offices where there was privacy. All interviews were digitally recorded after informed
140 consent was gained. We transcribed participants' responses verbatim and accuracy
141 was checked against audio recordings by a person independent of the research
142 team and transcripts were anonymised.

143

144 Data collection and analysis happened concurrently to iteratively refine our
145 interview questions based on emerging codes and themes. Data collection continued
146 until data saturation.

147

148 **Data analysis**

149 Analysis followed transcription of the interviews. Thematic analysis was
150 carried out as described by Terry et al., 2017 (13). This involved familiarisation and
151 coding of data, theme development, reviewing and defining themes, and developing
152 a report of the themes (13). We generated codes by reading the transcripts
153 repeatedly after which we developed candidate themes and refined codes with
154 similar key features. We used a thematic map to identify and understand potential
155 themes. The authors defined and named candidate themes after shaping, clarifying,
156 or even rejecting themes to ensure the themes worked well in relation to the coded

157 data, the dataset, and the research questions. Analysis included writing an analytic
158 narrative that encased the presented data extracts, providing a theme definition;
159 short summaries of the core idea and meaning of each theme. Data and the report
160 were shared with participants to check meaning and interpretation. Key themes and
161 the analytic framework were shared, discussed, and challenged at meetings with all
162 authors. Finally, key themes were compared to Proctor's implementation outcomes
163 (14) as part of a triangulation of our findings.

164

165 **Results**

166 Interviews were completed with 19 stakeholders of which six were members
167 of parent-teacher associations (PTA), three members of school management
168 committee (SMC), one city health officer, one dental surgeon, one district health
169 educator, one education officer, three head teachers and three public health dental
170 officers. Members of PTA, SMC, and head teachers were from five different schools
171 located in northern Uganda.

172

173 Three inductive themes were developed from the data. The first theme
174 comprised components of oral health promotion and described how oral health
175 promotion strategies were implemented and comprised three subthemes. The
176 second theme was implementation challenges of oral health promotion and
177 comprised five subthemes describing barriers to successful oral health promotion.
178 The third theme related to the development of oral health policy and comprised four
179 subthemes which analysed participants' perceptions of the development of oral
180 health policy. The themes and subthemes are presented in Table 1. Presented
181 quotes are illustrative of the theme.

183 Table 1: Overview of themes and subthemes with illustrative quotes

Theme	Subtheme	Illustrative quotes
Delivery of oral health promotion	Engagement of health workers, the community, and companies	“Of course, sometimes there are schools, there are schools that by their own plan they may want such services to be offered to them, they invite us , we go just check their students, give oral talk, may be see a few complaints like that ...” (Interview 01_Public health dental officer)
		“For oral health, we [school management committee members] talk about keeping the tooth like I told you. You tell them to brush their teeth, or we talk to the teachers so that they tell them the advice , they tell them to brush their teeth at least if not 3 or 2 times a day.” (Interview 12_Member school management committee)
		“It is good that there is this organisation from “Colgate” has ever supplied our school. ” (Interview 05_Headteacher)
	Skills-based health education	“I have participated, even in the class I teach and during general assembly. We started talking about personal hygiene, they should maintain brushing the teeth everybody. We do it in the morning then in the evening as we go to bed. Yes, yes, part of the curriculum you find the issue of personal hygiene is taught right from p1 , so in that we can also get some knowledge from the curriculum to help the children.” (Interview 07_Headteacher)
		“Even we always, when we are for the PE we also teach them. Physical education goes with the oral health. ” (Interview 10_Member PTA)
		“...although to some extent like in classes when teachers are teaching occasionally, they bring in something like that. How we should take care of the tooth from home. ” (Interview 13_Parent)
	Oral health services	“Normally what we do, we have sessions especially during physical education where the teachers emphasise on the basic health, personal hygiene in which we have the components some minor checking of the mouth... ”(Interview 05_Headteacher)
		“Of course, they have also done this thing of most schools now have got forms for examination. ” (Interview 03_Dental surgeon)
		“Then we also do what we call ART, atraumatic restorative treatment , whereby in early carious lesions when the cavity is not all that deep it can be excavated and you apply what, uhm GI filling material or composite then we also do general conservation....” (Interview 02_Public health dental officer)
Implementation challenges of oral health promotion	Insufficient funding	“So, oral hygiene there are no partners who are handling that, even the package of health education there are very few partners supporting health education that is why we don't have that ability to move to radio talk shows frequently or even to go to schools.” (Interview 14_City health officer)
		“Much as it [the budget] may not be sufficient , but it is, at least it can do some work.” (Interview 09_Public health dental officer)
	Unsatisfactory skills-based education	“Uhm, okay to me I think they are not providing enough skills because actually for you to make someone to do

		<p><i>something it should be practical.</i> Yeah, you should show them how to brush their teeth because you can even tell someone that brush your teeth but even that person does not know how to brush..." (Interview 11_Parent)</p> <p><i>The curriculum is so shallow; it is just general knowledge.</i>" (Interview 03_Dental surgeon)</p>
	Inadequate dental screening	<p><i>"And then some parents are still so much of having the forms filled in their favour instead of filling the form as per the situation in the mouth. Not just the parents dodging the cost because when they come, they are against you putting a negative report."</i> (Interview 03_Dental Surgeon)</p>
	Poor oral health knowledge	<p><i>"What the parents always know like ahh you just maybe you must brush after every meal. That is what, that is the only knowledge they have."</i> (Interview 02_Public health dental officer)</p> <p><i>"Simply people don't have enough information to know that a cavity which is developing you can delay it if you start practicing certain things."</i> (Interview 01_Public health dental officer)</p> <p><i>"Uhm, that is why I said that we may not be doing up to the dot. Yes so, the best is the few that we know we do practice from our homes we also transfer to the children, but now going into deep like may be the technical part that one needs maybe somebody who has serious knowledge there also can come in and guide us."</i> (Interview 07_Member PTA)</p>
	Limited parental involvement	<p><i>"When you have challenge with any parent you invite the parent others also don't come [to school]"</i> (Interview 17_Headteacher)</p> <p><i>"Some of the parents don't have time for this."</i> (Interview 13_Parent)</p>
Developing an oral health policy	Lack of oral health policy	<p><i>"Generally, what we know is that we always have guides on general health of the school, not specifically on oral health."</i> (Interview 05_Headteacher)</p> <p><i>"We don't have the policy for oral and dental conditions, it is not there."</i> (Interview 04_District health educator)</p>
		<p><i>"Yeah, because for me I see this [oral health policy] is a very important component as we go around in teaching and learning process. I would think if there can be a regular kind of monitoring and checks."</i> (Interview 05_Headteacher)</p> <p><i>"Maybe you can say that they could create a space, provision for parents, that time for parents to come and talk to the children about those, about the oral health."</i> (Interview 11_Parent)</p>
	Improving the paperwork	<p><i>"Children should be examined from there then a report given if we really are serious about helping these children, we need to examine these children."</i> (Interview 03_Dental Surgeon)</p>
	Parents' responsibilities	<p><i>"...the responsibility of parents should be in that policy that your responsibility is this and if you have not done this is what will be done on you."</i> (Interview 08_Headteacher)</p>
		<p><i>"Yeah, like I said, it [the oral health policy] should emphasise more on the individual learner taking most of the actionable areas which is required to maintain their dental and oral hygiene and parents to ensure when the children wake up in the morning before going to school, they attend to their dental and oral hygiene first before even breakfast."</i> (Interview 04_District health educator)</p>

184 **Theme 1: Components of oral health promotion**

185 The theme, 'Components of oral health promotion' describes the different oral
186 health promotion activities reported by stakeholders. The components of oral health
187 promotion were categorised according to the WHO Health Promoting School's
188 framework which comprised providing a safe healthy environment to consider oral
189 health, skills-based education, and access to oral health services, improving health
190 promoting policy and practice, and health of the community and engaging health,
191 education, and community leaders (5-7).

192 **Engagement of health workers, the community, and companies**

193 Routine outreach to schools was conducted by dental health professionals
194 where they provided oral health education, screened children's teeth for decay and
195 treated children for oral diseases and conditions. The outreach was based on
196 quarterly plans prepared by health workers. "Outreach clinics" were attended by
197 children, teachers, and community members.

198 *"...basically, from the health facility we arrange (school oral health*
199 *screening), inform the schools then they mobilise the parents, inform them of*
200 *what is going to happen at school. We screen and then treat those we can*
201 *treat."* (Interview 09_Public health dental officer)

202 Members of the SMC and PTA were engaged in this process. Occasionally
203 the members of SMC and PTA in conjunction with teachers provided oral health
204 education to children in class on brushing of teeth. Parents were also engaged in the
205 program which involved sensitisation of oral hygiene of their children.

206 *"...at school you find that the SMC and the PTA come to school, they get the*
207 *problem at school level as they go out there, they will be what, ambassadors*

208 *to tell the community, the parents, that you know, you need to allow your*
209 *children [to] brush their teeth, rinse teeth and also do flossing.” (Interview*
210 *08_Teacher)*

211 **Some schools had yearly programs** with toothpaste companies to promote
212 oral health. The activities of the program included distribution of toothpaste and
213 toothbrushes, how-to-brush demonstrations, and oral health awareness. Teachers
214 and students actively participated in the program. This was seen as part of the
215 company’s corporate social responsibility.

216 *“...there is also a time we had a program with “Colgate”. They gave us*
217 *several toothpaste and toothbrushes so whenever we could go to those*
218 *schools....” (Interview 02_Public health dental officer)*

219 **Skills-based health education**

220 The provision of theoretical and practical oral health education **was integrated**
221 in regular education by teachers and **was provided** according to the primary school
222 curriculum. Topics included human health, toothbrushing skills, the importance of
223 brushing, and consequences of not brushing such as tooth decay. Teaching
224 methods include lectures, demonstrations, and practical experiences. The curriculum
225 **was delivered** by teachers who had received pre- and in-service training on oral
226 health promotion from while at teacher training colleges and non-governmental
227 organisations (NGOs), respectively.

228 *“We are following the curriculum in health education especially in the issue of*
229 *the health of the teeth. It is one of the topics that we teach especially in p4*
230 *(children aged 10 years). The teaching is done in a classroom and practically*

231 *the learning aids are given or prepared by the teacher for example we have*
232 *some samples of toothpaste and toothbrushes.” (Interview 08_Teacher)*

233

234 **Oral health services**

235 **Children were screened** by teachers during health parades and physical
236 exercise to identify dental caries, poor oral hygiene, signs of oral disease including
237 halitosis, tooth colour change, and other oral health complaints as part of other
238 general health education and care programs. Children were referred by teachers to
239 nearby health facilities for the management of oral health conditions. Some schools
240 required children to have routine medical assessments by different specialists such
241 as opticians, dentists, and ear, nose, and throat surgeons and present a medical
242 report.

243 *“In some more modern schools when the children are going back for holidays,*
244 *they give them some medical forms whereby each of them is supposed to go*
245 *and see a different specialist.” (Interview 02_Public health dental officer)*

246

247 Health workers from nearby **facilities carried** out tooth extractions and
248 atraumatic restorative treatment (ART) during school outreach programs.

249

250 **Theme 2: Implementation challenges of oral health** 251 **promotion**

252 Implementation challenges were assessed as limitations to delivery of oral
253 health promotion in schools. Participants described challenges including insufficient

254 funding, unsatisfactory skills-based education, inadequate dental screening, poor
255 oral health knowledge, and limited parental involvement.

256 **Insufficient funding**

257 Participants perceived that oral health was not prioritised by schools and
258 health facilities. This was evidenced by what participants viewed as an insufficient
259 budget for activities and a limited number of NGOs implementing oral health
260 interventions. Limited resources affected the way the schools and health facilities
261 were able to deliver oral health education, the number of school outreached by
262 health workers, and the ability of professionals to treat oral diseases.

263 *“And since people don’t take it [oral health] as a priority, it is always*
264 *underbudgeted for.because of limited resources we end up reaching few*
265 *schools....”* (Interview 14_City health officer)

266

267 **Unsatisfactory skills-based education**

268 Many teachers and health workers felt that oral health education at school
269 was inadequate because the school curriculum only provides general information.
270 There were limited practical sessions, and a lack of knowledge and skills around oral
271 health. In addition, there is limited in-service training of teachers on oral health
272 education and promotion. There was a lack of educational materials such as charts,
273 posters, and toothbrushes for demonstration of brushing.

274 *“In the curriculum we have health education, normally these teachers do not*
275 *concentrate so much on those particular areas they just give general*
276 *information.”* (Interview 05_Headteacher)

277

278 **Inadequate dental screening**

279 **School staff lacked knowledge in identification**, prevention, and treatment of
280 oral diseases. A few schools required children to have periodic assessments from
281 specialist doctors, but dental screening was not among the conditions assessed.

282 *“In the biodata form there is a provision that shows the allergy thing, maybe*
283 *the child is suffering from this, should be stipulated by a doctor. About the oral*
284 *health? Ah no. We only do for the chronic diseases and then we have*
285 *included the COVID also.”* (Interview 07_Headteacher)

286 For schools that required children to be screened by a dentist **the information**
287 **collected was not used by schools for management of cases**. It was suggested that
288 medical assessment of children each term was not working because parents did not
289 want to admit to ill health of their children in case it was somehow detrimental to the
290 child’s school progress or reflected badly on them as parents.

291 *“That one [filling medical forms] is not working because as a parent I have got*
292 *a good school and I want my child to go to that school, so people always go to*
293 *a health practitioner they know. So, it is just filled as demanded. But in real*
294 *sense the child could be having so many dental caries, so people try to hide*
295 *so that they get access to the school. So that one can only work if it is done*
296 *from schools but not from home.”* (Interview 14_City health officer)

297 **Poor oral health knowledge**

298 Participants mentioned that the community lacked information around
299 practices to delay development of tooth decay. Information was limited to tooth
300 brushing after meals, but it was reported that parents and children did not know how
301 long a person is supposed to brush their teeth. The population perceived tooth

302 extractions as the only treatment for oral disease and only sought oral health care
303 when they had problems.

304 *“Most cases if not 90 then 100 percent of dental patients they go to the*
305 *hospital when they already have problem, none of them will really take their*
306 *time to go for a check-up.”* (Interview 02_Public health dental officer)

307 Participants also felt that teachers lacked knowledge on providing oral health
308 education.

309 *“For us who are imparting the knowledge are also unskilled in that field.”*
310 Interview 17_Headteacher)

311 **Limited parental involvement**

312 Teachers mentioned that parents did not educate their children on oral
313 hygiene or enforce regular cleaning of their children’s teeth in the morning before
314 they left for school. Health workers reported that when they conducted school
315 outreach sessions , parents generally did not attend. Teachers found that when
316 parents were invited to the school to discuss health issues concerning their children,
317 they would often not attend, which in many cases was due to lack of resources or
318 competing demands such as they had to work. Participants mentioned parents
319 spend little time with their children, have limited time for oral health promotion and
320 don’t care about their children’s oral health as this was not viewed as a priority
321 compared to the day-to-day hardships.

322 *“Actually we are supposed to meet parents during parents meeting, so*
323 *generally we talk to parents about a number of issues including the health of*
324 *their children but unfortunately the type of parents, either because of the*
325 *economic level that everybody focuses in looking for money, you find they*
326 *don’t even care.”* (Interview 05_Headteacher)

327 **Theme 3: Developing an oral health policy**

328 **We assessed the development of policy to guide implementation of oral health**
329 **promotion in schools.** Assessment encompassed policy decisions and actions on
330 factors that affect oral health promotion such as sensitisation of school staff and
331 regular monitoring of the teaching. **This guide** stakeholders to implement activities to
332 improve oral health of children.

333 **Lack of oral health policy**

334 Participants mentioned that even though they were trying to implement the
335 Healthy Schools Programme, there was no school-based government oral health
336 policy to guide teachers and health workers. A few noted that the policy that children
337 are required to come to school with toothpaste and toothbrushes was not sufficient if
338 it was not supported by oral health education.

339 *“We don’t have the policy for oral and dental conditions, it is not*
340 *there.”* (Interview-04_District Health Educator)

341 **Policies to improve oral health education**

342 Participants felt the need to **develop oral health policy** to guide them with
343 implementation of activities to improve **on** oral health of children. They felt that the
344 oral health policy should encompass **sensitisation** of school staff, regular monitoring
345 of the teaching and learning processes and involvement of parents and oral health
346 professionals.

347 *“....career guidance day could be organised like once a month to have a*
348 *health talk, they can introduce, they can maybe get a dentist or some other*

349 *person in the field to go and sensitise them more.”* (Interview 02_Public health
350 dental officer)

351 **Improving the paperwork**

352 Respondents felt a practical way of improving oral health was to use the oral
353 health school-based clinics for termly screening of children for oral disease. Health
354 workers said the filling of medical forms in private clinics and public health facilities
355 for termly screening was not working because parents would look for health workers
356 they know and have the medical forms filled stating there was no illness present.
357 Health workers said that this method of screening for oral disease did not provide
358 accurate information about the oral health status of the child. A participant mentioned
359 that during the school term children present with advanced stages of disease and yet
360 the medical forms had recently indicated no problems only a short time before.

361 *“So that is another [policy] area that they should work on that they really need*
362 *to emphasise that the forms should actually be filled from school not from the*
363 *clinics when the nurse is there so that we get the true picture, and the child is*
364 *helped.”* Interview 03_Dental Surgeon)

365 **Parents’ responsibilities**

366 Participants felt it was essential to increase the responsibility of parents for
367 the oral health of their children though this could be problematic due to the needs of
368 the family and difficulties in the household in areas such as finance. However, an
369 explicit “contract” between the school and the family could increase oral health
370 provision in homes.

371 *“Yeah in the oral health policy the key aspect that could be included in the*
372 *policy which is implementable would be the aspect of brushing, we could*

373 *emphasize that each parent takes the responsibility of ensuring that the*
374 *child's oral health is okay by providing the basic necessities that is required."*
375 (Interview 09_Public health dental officer)

376 **Discussion**

377 This study documented the perceptions of key stakeholders, including those
378 with responsibility for implementing an oral health promotion program in a low-
379 income African setting. Previous research has described various implementation
380 interventions for oral health promotion that resulted in positive oral health outcomes
381 (15-17). Yet there has been limited work conducted to understand how best to
382 implement oral health promotion programs in schools. **Our study explored the**
383 **components of oral health promotion** such as skills-based health education,
384 implementation challenges like insufficient funding, unsatisfactory skills-based
385 education, and inadequate dental screening and development of policy to improve
386 oral health education, paperwork, and parents' responsibilities.

387
388 Previous quantitative and mixed methods research identified facilitators for
389 oral health promotion in schools which were capacity and availability of human
390 resources, support and advocacy, and the presence of a policy framework. While the
391 barriers were time constraints, limited involvement, large classes, lack of adequate
392 resources, funding, and collaboration at the local level (18, 19). While the
393 **participants** reported many positives in the delivery of **this program** such as children
394 are taught to use locally available materials to brush their teeth, funding for school
395 outreach, and the presence of persons in charge of health in schools, there were
396 several barriers to implementation that potentially could have hampered program

397 uptake and success. From our thematic analysis we considered our findings in
398 relation to four of Proctor's key implementation outcomes (14): acceptability, fidelity,
399 appropriateness, and feasibility.

400

401 In general, the **components of an oral health program** were accepted by
402 stakeholders. Strategies to improve oral health of school children involve
403 interventions that require the engagement of all the stakeholders that we
404 interviewed, including teachers, health and education managers, parents, and
405 community leaders (4, 5). Participants described good engagement from
406 schoolteachers, parents, and one external organisation. Also, participants
407 commended the preventative nature of the **programme** as a benefit for the
408 community.

409

410 In terms of fidelity, we found that participants delivered oral health promotion
411 in alignment with the WHO health promoting school framework with some
412 limitations.^(5, 6) Interventions designed according to the WHO's framework have
413 previously resulted in positive oral health outcomes (15-17, 20). However, insufficient
414 funding and a lack of clear policy guidance for the school proved a challenge to the
415 fidelity of the program. Other studies have similarly noted these implementation
416 challenges (12,18). A WHO global survey of school-based health promotion reported
417 inadequate finances, inadequate policy **framework**, and lack of collaboration
418 between **local level** as implementation challenges (18). Other challenges included
419 lack of high-level leadership and governance, poor awareness, attitude, and support
420 among local users, and failure to provide quality services (18). In other areas of
421 Uganda, a qualitative study among teachers and key stakeholders of four health

422 promoting schools reported irregular delivery of supplies and a low number of
423 children who participated in the program (12).

424

425 Regarding appropriateness, our study demonstrates that delivery of oral
426 health promotion in schools created awareness of oral health for children and the
427 community, children were screened, referred, and treated; children received
428 toothpaste and toothbrushes; and there was active participation by children and
429 teachers. This replicated previous work that reported the benefits from becoming a
430 health-promoting school were greater knowledge and awareness about health,
431 active participation in activities to promote oral health, fewer absences from school
432 due to 'pain' or the need for emergency dental treatment, pride among children and
433 created awareness among community members (12).

434

435 The extent to which the oral health program was feasible varied according to
436 participants' reports. While some participants felt that the lack of resources and poor
437 oral health knowledge would hinder oral health promotion, the program engaged the
438 community and companies, provided skills-based education, and oral health
439 services. Our findings indicated the presence of planned outreach initiatives to
440 schools, and to organisations like SMC and PTA for community engagement,
441 collaboration with private companies, a focussed school curriculum and willingness
442 of children to participate in screening, could contribute to successful implementation
443 of such programs. Partnerships, political commitment, timely and accurate
444 communication of information, and evidence-based interventions are necessary for
445 public health programs to succeed (21).

446

447 Further research is needed to assess other key implementation issues
448 specifically adoption, sustainability, and penetration of oral health promotion in
449 schools (14). In addition, as we identified a lack of funding which was repeatedly
450 raised as a barrier to success, an analysis of marginal cost, cost-effectiveness, and
451 cost-benefit would be important for program implementation (14).

452

453 The national oral health policy proposes a school oral health program that
454 emphasises implementation of oral health education, screening, and training of
455 teachers in oral health education (22). However, the national oral health care policy
456 of 2007 is outdated, as it was written over ten years ago. The need for data to inform
457 development of oral health policy has been expressed by researchers and health
458 planners (8, 23).

459

460 Future interventions to promote oral health in schools should consider these
461 challenges to implementation. The implication of this study is that health and
462 education officials, community leaders, policy makers, teachers and parents'
463 perceptions of oral health promotion were aligned with existing local national policy
464 and features of the WHO's health-promoting school framework. However, there is
465 need to provide adequate resources and develop policy for promoting oral health
466 among school children.

467

468 **The strengths and limitations of this study**

469 The first author (PA) is a dental surgeon and public health specialist. He has
470 over 20 years' experience in management of oral conditions and oral health
471 promotion in northern Uganda. His knowledge and subject knowledge could have

472 impacted on the questions asked during the interview and how he viewed the data
473 collected. However, as per best practice, he kept a log of his thoughts and feelings
474 during and after the interviews. In addition, themes were discussed with the wider
475 multidisciplinary study team that were non-dental in training.

476

477 Health and education officials, community leaders, policy makers, teachers,
478 and parents were experts in their respective fields with several years of experience.
479 This might have influenced how they answered questions towards the perceived
480 “ideal answers” rather than what they had experienced. However, we purposefully
481 selected a diverse population and report on prominent and unusual themes therefore
482 the study delivers a deep understanding on the views of participants sampled. In
483 addition, purposeful sampling of participants in Gulu District limits generalisability of
484 our findings to other settings in Uganda. Further limitations are that head teachers
485 may have had bias in providing the list of candidate members PTA and SMC.

486

487 **Conclusions**

488 Health and education officials, community leaders, policy makers, teachers
489 and parents play a critical role in program planning, evidence-based decision making
490 and allocation of resources, and ultimately the success of public health interventions.
491 Schools provided oral health promotion that aligned with existing features of the
492 WHO’s health-promoting school framework, however a lack of resources, training
493 and practical policy limit the scope and effectiveness of interventions. To improve
494 oral health in the region and other low resource settings, there is need to address
495 implementation challenges such as inadequate resources for oral health promotions
496 and develop oral health policy.

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