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Molecular surveillance of insecticide resistance in *Phlebotomus argentipes* targeted by indoor residual spraying for visceral leishmaniasis elimination in India --Manuscript Draft--

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Full Title:	Molecular surveillance of insecticide resistance in <i>Phlebotomus argentipes</i> targeted by indoor residual spraying for visceral leishmaniasis elimination in India
Short Title:	Molecular resistance surveillance in Indian <i>Phlebotomus argentipes</i>
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Keywords:	insecticide resistance, Molecular surveillance, KDR, <i>Phlebotomus argentipes</i>
Abstract:	<p>Molecular surveillance of resistance is an increasingly important part of vector borne disease control programmes that utilise insecticides. The visceral leishmaniasis (VL) elimination programme in India uses indoor residual spraying (IRS) with the pyrethroid, alpha-cypermethrin to control <i>Phlebotomus argentipes</i> the vector of <i>Leishmania donovani</i>, the causative agent of VL. Prior long-term use of DDT may have selected for knockdown resistance (kdr) mutants (1014F and S) at the shared DDT and pyrethroid target site, which are common in India and can also cause pyrethroid cross-resistance. We monitored the frequency of these marker mutations over five years from 2017-2021 in sentinel sites in eight districts of north-east India covered by IRS. Frequencies varied markedly among the districts, though finer scale variation, among villages within districts, was limited. A pronounced and highly significant increase in resistance-associated genotypes occurred between 2017 and 2018, but with relative stability thereafter, and some reversion toward more susceptible genotypes in 2021. Analyses linked IRS with mutant frequencies suggesting an advantage to more resistant genotypes, especially when pyrethroid was under-sprayed in IRS. However, this advantage did not translate into sustained allele frequency changes over the study period, potentially because of a relatively greater net advantage under field conditions for a wild-type/mutant genotype than projected from laboratory studies and/or high costs of the most resistant genotype. Further work is required to improve calibration of each 1014 genotype with resistance, preferably using operationally relevant measures. The lack of change in resistance mechanism over the span of the study period, coupled with available bioassay data suggesting susceptibility, suggests that resistance has yet to emerge despite intensive IRS. Nevertheless, the advantage of resistance-associated genotypes with IRS and under spraying, suggest that measures to continue monitoring and improvement of spray quality are vital, and consideration of future alternatives to pyrethroids for IRS would be advisable.</p>
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1 Title: **Molecular surveillance of insecticide resistance in *Phlebotomus argentipes* targeted by**
2 **indoor residual spraying for visceral leishmaniasis elimination in India**

3

4 Short title: Molecular resistance surveillance in Indian *Phlebotomus argentipes*

5

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15

16

17 **Abstract**

18

19 Molecular surveillance of resistance is an increasingly important part of vector borne disease control
20 programmes that utilise insecticides. The visceral leishmaniasis (VL) elimination programme in India
21 uses indoor residual spraying (IRS) with the pyrethroid, alpha-cypermethrin to control *Phlebotomus*

22 *argentipes* the vector of *Leishmania donovani*, the causative agent of VL. Prior long-term use of DDT
23 may have selected for knockdown resistance (*kdr*) mutants (1014F and S) at the shared DDT and
24 pyrethroid target site, which are common in India and can also cause pyrethroid cross-resistance.
25 We monitored the frequency of these marker mutations over five years from 2017-2021 in sentinel
26 sites in eight districts of north-east India covered by IRS. Frequencies varied markedly among the
27 districts, though finer scale variation, among villages within districts, was limited. A pronounced and
28 highly significant increase in resistance-associated genotypes occurred between 2017 and 2018, but
29 with relative stability thereafter, and some reversion toward more susceptible genotypes in 2021.
30 Analyses linked IRS with mutant frequencies suggesting an advantage to more resistant genotypes,
31 especially when pyrethroid was under-sprayed in IRS. However, this advantage did not translate into
32 sustained allele frequency changes over the study period, potentially because of a relatively greater
33 net advantage under field conditions for a wild-type/mutant genotype than projected from
34 laboratory studies and/or high costs of the most resistant genotype. Further work is required to
35 improve calibration of each 1014 genotype with resistance, preferably using operationally relevant
36 measures. The lack of change in resistance mechanism over the span of the study period, coupled
37 with available bioassay data suggesting susceptibility, suggests that resistance has yet to emerge
38 despite intensive IRS. Nevertheless, the advantage of resistance-associated genotypes with IRS and
39 under spraying, suggest that measures to continue monitoring and improvement of spray quality are
40 vital, and consideration of future alternatives to pyrethroids for IRS would be advisable.

41

42 **Author summary**

43

44 Visceral leishmaniasis (VL) is a deadly parasitic disease with a primary focus in north-eastern India.
45 Control of the sand fly, *Phlebotomus argentipes*, vector of VL in India, is primarily reliant upon
46 spraying the internal walls of houses and animal shelters with residual pyrethroid insecticide. Spray
47 programmes depend upon well-controlled spraying and effective insecticides to which the targeted
48 insects are susceptible. Changing insecticides is logistically challenging, therefore early detection of
49 insecticide resistance is crucial. As part of a wider programme of entomological surveillance we used
50 molecular resistance assays of knockdown resistance (*kdr*) mutations to investigate evidence for
51 changing resistance profiles, and possible links with the spraying programme across a system of
52 eight districts in north-eastern India. Mutant frequencies varied substantially in space and time, with
53 a major change across the first two years of the study, but stability for the remainder. Resistance-
54 associated *kdr* alleles were positively associated with indices of spray coverage and with under
55 spraying, suggesting that this creates vulnerability to development of pyrethroid resistance.
56 However, the most strongly resistance conferring mutant genotype was rarely detected, suggesting
57 overall that notable resistance is not yet emerging, despite wide coverage of the spray programme.
58 This is an encouraging result for the VL elimination programme but with apparent advantage of
59 resistance alleles in sprayed areas it would be wise to seek alternative insecticides for spraying.

60

61

62 **Introduction**

63 Between 2004 to 2010 there were an estimated 200,000 to 400,000 cases and 50,000 deaths
64 annually of visceral leishmaniasis (VL), also known as Kala-azar, making this the second deadliest
65 parasite after malaria. Currently 130 million people in India from four states, Bihar, Jharkhand, Uttar
66 Pradesh and West Bengal are at risk from VL, however, only 3145 cases were recorded in 2019 in

67 India reflecting the success of the elimination programme [1]. In India VL is caused by the parasite
68 *Leishmania donovani*, transmitted solely by the sand fly *Phlebotomus argentipes*.

69 Vector-based control of VL was originally a by-product of IRS campaigns using
70 dichlorodiphenyltrichloroethane (DDT) from the National Malaria Eradication Programme in the
71 1960s and 1970s. However, with reduction of anti-malaria IRS campaigns in the 1970s VL cases
72 began to rise again [2, 3]. In 2005, a tripartite agreement between Bangladesh, India, and Nepal was
73 signed with the aim of eliminating VL and post-kala-azar dermal leishmaniasis as a public health
74 problem *i.e.* to less than one case per 10,000 population by 2015, this was extended to 2020 [4], and
75 recently extended to 2030 due to effects of the Covid-19 pandemic. India is on target to achieve
76 elimination as rates of VL decline and are currently at their lowest ever levels [5]. Current measures
77 employed in the VL elimination efforts in India include early case detection with effective treatment,
78 surveillance, and vector control with IRS. Historically DDT was used for IRS, and was used initially in
79 the elimination campaign from 2005, however prompted in part by resistance in local *P. argentipes*
80 populations to DDT [6] a change was made in 2015 and 2016 to spraying with the pyrethroid alpha-
81 cypermethrin.

82 DDT and pyrethroids share the same mode of action, both binding to the voltage gated sodium
83 channel (Vgsc) resulting in repetitive nerve firing, paralysis and death of the insect [7, 8]. Multiple
84 mutations in the Vgsc gene cause DDT and pyrethroid 'knockdown resistance' (*kdr*) in insects, the
85 most common occurring at codon 1014 (using *Musca domestica* codon numbering [9] Partial
86 sequencing of the Vgsc in *P. argentipes* from Bihar detected two *kdr* mutations, which change the
87 wild-type, insecticide susceptible leucine allele at codon 1014 to either phenylalanine (L1014F) via
88 either of two nucleotide changes, or serine (L1014S) [8]. Both amino acid mutations were
89 significantly elevated in first-lab-generation (F1) female *P. argentipes* surviving exposure in tube
90 bioassays using *Anopheles* diagnostic doses of DDT or reduced duration assays of alpha-

91 cypermethrin and deltamethrin. Though 1014F confers somewhat stronger DDT-resistance and
92 pyrethroid-tolerance than 1014S, two mutant copies (FF, FS or SS) appeared to be required for a
93 resistant phenotype in most cases, giving a pragmatic binary separation into *kdr* and non-*kdr*
94 genotypes, which displayed strong predictive value as resistance markers [8]. Other studies have
95 also established an association between *P. argentipes* survivorship in pyrethroid bioassays in the
96 laboratory [10, 11], though possession of *kdr* mutations does not necessarily equate to survival [12].

97

98 Unlike for DDT, potentially operationally relevant pyrethroid resistance has yet to be detected in *P.*
99 *argentipes* [13] but monitoring for signals of changes in resistance is an essential part of control
100 programmes. Owing to their lab-intractability arising from a relatively prolonged life cycle and
101 sensitivity to rearing conditions, broad-scale phenotypic assessment of insecticide resistance is
102 challenging in *P. argentipes*. In addition, approved diagnostic doses to detect deviation from
103 susceptibility have only just become available for sand flies [14]. In contrast, molecular surveillance
104 using sensitive and specific DNA assays targeting the L1014F and S *kdr* mutations provide a high-
105 throughput tool for spatial and temporal monitoring to detect changes indicative of shifting
106 resistance profiles. Whilst the 1014F and S mutations alone may not lead to high level pyrethroid
107 resistance, experience from African *Anopheles* shows how prolonged pyrethroid exposure in wild
108 populations leads to accumulation of supplementary *kdr* mutations [15], as well as addition of
109 metabolic resistance mutants [16]. Moreover, though IRS spraying quality in Bihar has improved
110 markedly, variation inevitably remains [13], which *P. argentipes* possessing resistance mechanisms
111 may be able to exploit.

112

113 We report results from wide-scale spatio-temporal molecular surveillance of *kdr* mutations in *P.*
114 *argentipes* sampled from sentinel sites spanning the most VL-endemic areas of north-eastern India.
115 The primary aims of the study were to determine whether changes in resistance marker frequency
116 have occurred during the period of intensive alpha-cypermethrin spraying, and whether any
117 variation might be linked to, or represent a future risk for, the IRS programme. Whilst a marked shift
118 in *kdr* genotypes and mutant alleles was seen across multiple sentinel sites between the first and
119 second years of sampling (2017-18), frequencies thereafter remained stable to 2021. This stability
120 indicates that, despite evidence linking IRS activities to advantages for resistant genotypes, overall
121 IRS does not currently appear to be selecting for enhanced resistance across.

122

123 **Methods**

124 **Sentinel sites**

125 Eight sentinel sites in VL endemic areas were established in North-Eastern India: six in Bihar, one in
126 Jharkhand and one in West Bengal as described previously [13]. The districts are Champaran,
127 Darjeeling, East, Godda, Gopalganj, Katihar, Muzzafapur, Purnia and Samastipur (Fig. 1). In brief,
128 each site had at least 1 new VL case per 10,000 persons per year at sub-district (block) level. Block
129 selection was based on total reported VL case numbers, extracted from the 2015 district level IRS
130 micro-plan data. Villages were selected if they had a VL case history for the previous three
131 consecutive years, appropriate infrastructure to allow year-round village access. Of the villages that
132 met the selection criteria, between four and seven villages per sentinel site were selected using a
133 random number generator in Microsoft Excel.

134

135 Fig. 1. Map displaying location of districts (modified from ref 13)

136

137 ***Phlebotomus argentipes* collections**

138 Year-round sand fly collections with CDC light traps were made from 15 randomly selected houses in
139 each village within the eight districts over a period of two consecutive nights (6:00 PM to 6:00 AM)
140 every two weeks [13] from 2017 to 2021. The light traps were hung in the corner of a bedroom and
141 optimally positioned 15 cm away from the wall and 5 cm above ground. All sand flies were identified
142 to species level by morphological criteria from established taxonomic keys [17] and *P. argentipes*
143 stored in 1.5ml Eppendorf tubes over silica for further analysis.

144

145 ***Kdr* marker genotyping**

146 DNA was extracted from female sand flies using STE buffer with proteinase K by heating on a
147 thermocycler for 60 minutes at 65°C then 15 minutes at 95°C. Using a maximum number of five
148 females per household, samples were randomly selected for each district. DNA was used as template
149 for genotyping to detect *kdr* mutations *Vgsc1014S* and *Vgsc1014F* [8]. Two Taqman qPCRs were used
150 to determine genotype, using TaqMan Gene Expression Master Mix and specific primers and probes
151 (Thermofisher life sciences) developed by [8], following the same assay protocol. Thermal cycling
152 conditions were as follows; 95°C for 3 minutes followed by 40 cycles of 95°C for 10 seconds and 55°C
153 for 30 seconds, results were analysed using CFX Maestro Software (Bio-Rad) and MxPro – Mx3005P).

154

155 **IRS coverage and quality**

156 Details of assessment of IRS coverage and quality assessment using HPLC are described in detail
157 elsewhere [13]. For the analysis here we used the proportion of villages that were sprayed within

158 10km of a village from which sand flies were collected as an index of local spray coverage, and
159 averaged results from the spray rounds in years where more than one occurred. For an index of
160 spray quality, we used the proportion of houses sampled within a village determined to be under
161 sprayed based on the classification in [13].

162

163 **Data analysis**

164 Genotypes were scored according to their amino acids, and additionally according to whether the
165 genotype might be predicted to lead to a knockdown resistance phenotype, assuming a recessive
166 nature of the mutants [8]. Thus, individuals possessing two mutant alleles, whether S/S, S/F or F/F at
167 position 1014 are considered '*kdr* genotypes', whilst those with either two wild type leucine alleles
168 or heterozygotes (L/S or L/F) are considered non-*kdr* genotypes. Data were analysed using
169 generalised linear models with a binomial logit link function in Stata 16, with village level analyses
170 including district (=sentinel site) as a random effect to account for clustering. Mean changes in
171 genotype or allele frequency from the first collection year were compared to starting frequencies at
172 village and district levels using Spearman rank correlation in SPSS v26. Two analyses were performed
173 to determine possible influences of IRS on genotype and allele frequencies. (i) Data from 2018-2020
174 (excluding Darjeeling which lacked IRS data), were analysed using GLMs including district and year as
175 before, but also including an index of alpha-cypermethrin spraying coverage (above) from the
176 preceding year, and the proportion of houses determined to be under-sprayed (above) as covariates.
177 Analysis was limited to 2018-2020 owing to availability of both IRS coverage and HPLC data for the
178 years 2017-2019. (ii) Data from 2017 were compared between IRS and non-IRS villages within
179 districts, with district included as a random variable in the GLM. This analysis was only possible for
180 2017 because in subsequent years data from IRS and non-IRS villages were not available from within
181 districts.

182

183 **Results**

184

185 **Spatial and temporal variation in marker frequency**

186 A total of 17,680 sand flies collected from 46 villages within the eight districts between 2017 and
187 2021 were successfully genotyped at the *Vgsc* 1014 locus. Results for the *kdr* genotype classification
188 (i.e. genotypes with two mutant 1014 alleles) are illustrated in Fig. 2; substantial variation among
189 districts and collection years is evident, with each highly significant ($P < 0.001$ for both district and
190 year; Table 1). The major change in *kdr* genotype frequency occurred between 2017 and 2018 with
191 stable frequency to 2020 and then a reduction in 2021 (Fig. 2), though frequencies remained
192 significantly higher than in 2017 (Table 1). This temporal change in frequency from 2017 was
193 significant in five of the eight districts (Table 2). Spatial variation among villages within districts was
194 non-significant in most cases though evident among villages in Godda and Darjeeling (Table 2). In the
195 latter, the spatial variation, combined with relatively small sample sizes may have obscured
196 statistical detection of an apparent temporal pattern of change (Fig. 2). There was a significant trend
197 (Spearman's $\rho = -0.66$, $N=33$, $P < 0.001$) for villages with lower *kdr* frequencies in 2017 to show a
198 higher increase over subsequent years (Fig. 3). Though this analysis did not account for clustering of
199 villages, the pattern was also significant across districts when pooling village-level data (Spearman's
200 $\rho = -0.80$, $N=8$, $P=0.018$).

201

202 Fig. 2. *kdr* genotype frequencies (mean +/- 95% confidence intervals) across the 5-year period for the 8
203 districts

204 Fig. 3. Relationship between *kdr* genotype frequency in the first sampling year and average changes from the
 205 initial value over subsequent sampling years at the village level. Dashed line showing linear regression fit is
 206 provided for visual illustration of trend.

207

208

209

210 **Table 1. GLM (binomial) analysis of predictors of *kdr* genotype frequency**

211

Source	odds ratio	95% lower C.I.	95% upper C.I.	P-value
Intercept	2.716	2.337	3.156	
<i>District</i>				
Darjeeling	0.037	0.030	0.045	<0.001
East Champaran	0.067	0.057	0.079	<0.001
Godda	0.114	0.098	0.133	<0.001
Gopalganj	0.698	0.586	0.832	<0.001
Katihar	0.074	0.062	0.089	<0.001
Muzaffarpur	0.505	0.431	0.592	<0.001
Purnia	0.175	0.148	0.207	<0.001
Samastipur (reference)				

<i>Year</i>				
2017 (reference)				
2018	2.127	1.915	2.363	<0.001
2019	2.040	1.846	2.254	<0.001
2020	2.116	1.868	2.398	<0.001
2021	1.539	1.361	1.741	<0.001

212

213 **Table 2. Summary of GLM results showing P-values for effects of village and year within each**
214 **district on *kdr* genotype variation**

215

<i>District</i>	Village	Year	Pairwise differences
Darjeeling	<0.001	NS	
East Champaran	NS	<0.001	2017<others
Godda	<0.001	<0.001	2017<others
Gopalganj	NS	<0.001	2017<others
Katihar	NS	<0.001	2017<others
Muzaffarpur	NS	<0.001	2017<2018; 2021<others
Purnia	NS	NS	

Samastipur	NS	NS	
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216

217 Temporal variation in the frequency of the three 1014 alleles reflected that of the *kdr* genotype,
 218 albeit in opposing direction for wild type leucine, with the same sharp change from 2017 to 2018
 219 and stability thereafter. In 2021 whilst phenylalanine remained stable, the serine allele decreased in
 220 frequency and the leucine allele decreased relative to the 2017 starting point (S1 Table). The pattern
 221 of spatial variation among districts in the leucine allele was also very similar (again in opposing
 222 direction) to that observed for *kdr* genotypes. Spatial variation of the two resistant alleles was more
 223 variable, with the phenylalanine and serine interchanging in frequency between relatively higher or
 224 lower levels (S1 Table) yielding parity in *kdr* genotypes (Table 1). Overall frequencies of each allele
 225 were remarkably balanced (L:S:F = 32%:37%:31%) with relatively little variation in proportions
 226 between the start and end point of the five years of collections (Fig. 4). Major changes in frequencies
 227 of all but the serine homozygote (S/S) genotype occurred between 2017 and 2018, followed by
 228 stability over the subsequent years, with reductions in frequency of S/S and an increase in the wild
 229 type homozygote L/L in 2021, relative to 2017 (Fig. 5).

230

231 Figure 4 - Map comparisons of 2017 data and 2021 data showing the 1014 alleles.

232 Figure 5. Genotype proportions for the 1014 marker in each sampling year. Comparison with the 2017
 233 reference year is indicated by the +/-/= signs in 2018 (see S1 Table for statistical test results); subsequent years
 234 show the same relationship with 2017 unless indicated by a different symbol.

235 **Association of IRS with 1014 marker variation**

236 To investigate whether IRS might influence spatial and temporal variation in 1014 genotypes and
 237 allele frequencies we performed two analyses which aimed to test the hypothesis that insecticide

238 pressure from IRS may favour certain alleles or genotypes. The first analysis involved a GLM
 239 including district and year as factors (as in Table 1 above) but additionally included two covariates:
 240 the proportion of houses in surrounding villages covered by alpha-cypermethrin IRS and the
 241 proportion of houses classified as under sprayed by HPLC, each in the year preceding the sample
 242 collection. The model was limited to marker data from 2018-2020 for which both the preceding
 243 year's spray coverage and HPLC data were available. This analysis followed the reasoning that given
 244 available evidence for mutants' association with pyrethroid tolerance, rather than necessarily full
 245 resistance (see Introduction), selection could be influenced by both coverage and substandard
 246 dosing. The second analysis examined marker frequency differences between villages receiving IRS
 247 or not from within the same districts and was limited to 2017 for which such pairings within districts
 248 were available. Results are summarised in Table 3a with odds ratios greater than one indicating a
 249 positive relationship between genotype or allele frequency in a sampling year and proportionate IRS
 250 coverage in the preceding year; odds ratios less than one indicate a negative relationship.

251

252 **Table 3. Summary of GLM results (odds ratios and P-values) for: (a) effects of IRS coverage with**
 253 **alpha-cypermethrin in the previous year, with proportion of HPLC results indicating**
 254 **underspraying; (b) comparison of IRS vs non-IRS villages in 2017, on marker frequencies**

255

	(a) IRS coverage		(a) IRS underspraying		(b) IRS vs not (2017)	
<i>genotype or allele</i>	Odds ratio	P-value	Odds ratio	P-value	Odds ratio	P-value
<i>kdr</i>	1.49	0.003	1.13	0.20	0.99	0.92

LL	0.37	<0.001	0.56	0.004	0.65	0.43
LS	0.35	<0.001	0.56	0.001	0.78	0.05
LF	1.30	0.08	1.28	0.026	1.70	0.019
SS	1.09	0.58	1.15	0.30	0.79	0.16
FS	1.62	<0.001	0.91	0.38	3.09	0.30
FF	0.72	0.40	1.93	0.013	0.72	0.77
L	0.69	<0.001	0.86	0.042	0.9	0.26
S	1.04	0.63	0.95	0.52	0.83	<0.001
F	1.40	<0.001	1.16	0.048	1.36	<0.001

models: (a) District, Year, proportionate SP-IRS in previous year, proportion of houses undersprayed; (b): IRS applied (yes/no) district (random variable).

256

257 Relationships between IRS coverage and marker frequencies broadly followed *a priori* expectations
258 for resistance association. Frequencies of the leucine allele and two of the three leucine-containing
259 genotypes (LL and LS) were significantly negative, with LF positive but not significant. Frequencies of
260 the phenylalanine allele, the FS genotype and the *kdr* genotype group were significantly positively
261 related to IRS coverage (Table 3a). Proportionate IRS under spraying showed similar effects on the
262 frequencies of the leucine allele and genotypes, though here the positive association of LF frequency
263 was significant (i.e., higher with under spraying). Phenylalanine allele and homozygote genotype

264 frequencies were significantly positively related to IRS under spraying, whilst neither the *kdr*
265 genotype group nor FS genotype were significantly associated (Table 3a). More limited data from the
266 2017 IRS vs no-IRS village comparison also support a link with the LF genotype and phenylalanine
267 allele, each of which was significantly more common in IRS villages, as well as detection of a lower
268 frequency of the serine allele, though again the *kdr* genotype group did not vary significantly (Table
269 3b).

270

271 It should be noted that there was a strong correlation between alpha-cypermethrin-IRS coverage
272 and previous DDT-IRS coverage in 2014-2016 (Figure S1) in the same groups of villages ($r=0.48-0.70$,
273 $N=43$, $P\leq 0.001$ for each year between 2016 and 2020). Thus, whilst relationships between allele and
274 genotype frequencies and alpha-cypermethrin-IRS pressure from the preceding year's coverage,
275 might be consistent with relative advantage or disadvantage, an influence of older spraying coverage
276 history cannot be discounted. Nevertheless, relationships with under spraying are also at least
277 partially consistent with expectation of selection in combination with IRS pressure, in terms of
278 negative relationships with the 'non-*kdr* genotypes' LL and LS, and a positive relationship with the
279 *kdr*-linked genotype FF. However, for both results from under spraying and comparison of IRS and
280 non-IRS villages, the significant positive relationship with LF (not expected to confer *kdr*) and lack of
281 significance of the *kdr* genotype group do not meet *a priori* expectations.

282

283 To further investigate the relationship among genotypes and possible evidence for selection, Hardy-
284 Weinberg (H-W) expectations were calculated for each district-year sample set (Fig. 6). The majority
285 of tests showed significant deviation of observed genotypes from expectations (33/40 $P<0.05$,
286 following Bonferroni multiple testing correction), indicating widespread departure from H-W.

287 Barring some exceptional, and relatively spatially variable results for SS and FS in 2017 (evident from
288 high standard errors), the general pattern was of under-representation of LL, LS and FF genotypes,
289 slight over-representation of SS and FS genotypes, and strong over-representation of the LF
290 genotype. The latter is suggestive of a relative benefit of the LF genotype. Taken together with the
291 results from the IRS-association analysis (Table 3) this may indicate a hitherto unexpected benefit of
292 this wild-type/resistant allele heterozygote in the field populations surveyed when exposed to IRS.

293

294 Fig 6. Deviation of each 1014 genotype from Hardy-Weinberg expectations in each year of sampling (mean
295 across districts +/- standard error). Overall average percentage frequencies of each genotype are shown above
296 genotype labels.

297

298 **Discussion**

299

300 India is making significant progress toward elimination of visceral leishmaniasis, with IRS playing a
301 crucial role in reducing seasonal *P. argentipes* populations [2, 13, 18]. Ensuring the continued
302 efficacy of IRS is of great importance, both through monitoring of application spray rates and of
303 warning signs for an impact of insecticide resistance in the targeted *P. argentipes* vector.
304 Programmatic use of pyrethroids for IRS creates an inherent vulnerability to the threat of resistance,
305 due to a shared target site with DDT, to which resistance has become well established [6, 8, 13].
306 Resistance to alpha-cypermethrin, the pyrethroid used for IRS in India, has yet to be demonstrated
307 and was not recorded in tests performed annually between 2016-2019 [13]. However, pyrethroid
308 resistance measured using the same *Anopheles* bioassay thresholds, which are generally lower than
309 for *P. argentipes* [19], has recently emerged in Nepal, especially to alpha-cypermethrin, and appears

310 to be more common in villages receiving IRS [20]. Though this might be linked to an earlier switch to
311 pyrethroids in Nepal [20] than India, and operational impacts remain unclear, these results clearly
312 highlight the need for vigilance. By monitoring frequencies of the 1014 *kdr* mutations across the
313 sentinel site system in north-western India across a five-year period we sought evidence for changes
314 which could provide early indication of changing resistance profiles.

315

316 Results showed a significant increase from an average of approximately 35% *kdr* genotype frequency
317 (i.e. those possessing two mutant alleles) to approximately 50% between 2017 and 2018, but
318 thereafter little evidence of further increase in subsequent years, and a slight decline in 2021. The
319 increase from 2017 levels was significantly more pronounced in areas with lower starting *kdr*
320 genotype frequencies, most notably in the districts of Godda and Katihar which showed over four-
321 fold increases. Nevertheless, spatial variation – primarily evident among, rather than within districts
322 was pronounced in 2017, and remained so across the five study years. Frequency of *kdr* showed an
323 imperfect longitudinal pattern with eastern sample sites tending to be lower and the three high *kdr*
324 sites, Gopalganj, Muzaffarpur, and Samastipur, more western, though the western site East
325 Champaran exhibited relatively low *kdr*, precluding simple geographical interpretation of patterns.
326 Relatively high *kdr* frequencies ($\approx 60\%$ 1014F and S alleles) have been reported previously in West
327 Bengal [10]. Whilst like the overall average we detected, this is much higher than in our West Bengal
328 site, Darjeeling, though Sardar et al.'s study also included additional more southern sample sites
329 [10]. Very high frequencies of *kdr* mutants have also been recorded in Bangladesh, further
330 challenging the idea of simple geographical patterns. Indeed, near fixation of *kdr* alleles in both *P.*
331 *argentinae* and another phlebotomine *Sergentomyia babu babu* were found in Myrmensingh, which
332 had received prolonged IRS treatment, with much lower frequency in Pabna district which has a
333 much shorter history of IRS [21]The primary difference between sites was a much higher frequency

334 of the 1014F mutation in Myrmensingh [21], which contrasts with a fourfold lower frequency in
335 collection made several years earlier from the same district [21].

336

337 Our results also provided evidence for links between IRS coverage, in terms of the proportion of
338 houses sprayed or when comparing IRS and non-IRS villages (in 2017), as well as proportionate
339 under spraying. An elevated frequency of the 1014F was consistently positively associated with IRS
340 in each test, whilst association of specific genotypes varied. Association of 1014F is consistent with
341 the results from Bangladesh above [21], but in our results this did not necessarily translate into high
342 frequencies of the 1014FF homozygote genotype expected to cause the most resistant phenotype. In
343 fact, this genotype was consistently under-represented in the dataset compared to Hardy-Weinberg
344 expectations, with relative over-representation of 1014LF heterozygotes. Moreover, given the high
345 IRS pressure, the stability of *kdr* alleles from 2018 onwards is not consistent with the advantage
346 implied by the positive IRS-*kdr* associations translating into consistent selective pressure.

347

348 There are several possible explanations for this. (1) The positive associations reflect past history of
349 spraying with DDT, prior to the study period, rather than contemporary patterns. This is possible for
350 the coverage data, owing to a very strong correlation between alpha-cypermethrin spray coverage
351 and prior DDT spray coverage, however, it is less likely to generate associations between *kdr* allele
352 frequencies in IRS vs non-IRS villages in 2017, and does not link with evidence for under spraying. (2)
353 The 1014F/F homozygote genotype confers substantial fitness costs. A study on different but nearby
354 *kdr* mutants (S989P and V1016G) in *Ae. aegypti* [22] which backcrossed the mutants into an
355 insecticide susceptible strain, and another of 1014F specifically in, which was introduced to a
356 susceptible *An. gambiae* strain by genome editing [23] each documented strong fitness costs

357 affecting both larval and adult stages. Whilst a strong candidate, evidence from phlebotomines
358 would be required to confirm this hypothesis. (3) The 1014LF heterozygote confers some resistance,
359 perhaps balanced by a lower cost than 1014FF homozygotes. Laboratory data suggested that the
360 1014F and S mutants were largely recessive, although of the heterozygote wildtype/mutant
361 genotypes, data for 1014LF were the most ambiguous with relatively closer frequencies in survivors
362 and dead in deltamethrin assays [8]. Further investigation is required to confirm a possible
363 advantage of this genotype, which if selected would maintain balanced frequencies of resistant and
364 wild type alleles. (4) IRS is not selecting as strongly for *kdr* in north-eastern India, either because of
365 differences in the spray programme, local ecology, or in the *P. argentipes* population. Consistent
366 with this is the observation that 1014F frequency rarely exceeded 40% in any site-year sample in our
367 dataset, whereas in Myrmensingh 1014F frequency exceeded 70% [21]. Population differences might
368 involve additional mutations or mechanisms found in Bangladesh which interact with 1014F to
369 elevate resistance or reduce costs. Such secondary non-synonymous variants are common in the
370 *Vgsc* of *An. gambiae* [15] and *Ae. aegypti* [24] and might also involve interaction with variants in
371 other genes beyond the *Vgsc* [25, 26] To date *Vgsc* sequencing in *P. argentipes* that harbour any *kdr*
372 mutations has been limited to a relatively short section flanking the 1014 codon, precluding current
373 evaluation of this explanation.

374

375 Separating the above hypotheses will require additional studies, of which further association testing
376 of different *Vgsc* 1014 genotypes would provide clarity on their association with resistance,
377 preferably using more field-relevant assays, such as exposure to pyrethroid-sprayed surfaces [11].
378 Studies on relative fitness costs of 1014FF homozygotes in relation to 1014FS heterozygotes would
379 also give insight into their potential cost-benefit balance. Sequencing of the whole *Vgsc*, from Indian
380 and Bangladeshi populations to explore the contrasting presence of additional mutants could be

381 especially valuable and could highlight additional markers for screening. In addition, exploration of
382 additional resistance mechanisms, beyond the *Vgsc*, which have been documented via broad-
383 spectrum biochemical assays in *P. argentipes* [12, 27] could inform of the sufficiency of *Vgsc*
384 mutations to generate resistance phenotypes. Irrespective of the explanation, the positive
385 associations we found between IRS and *kdr*, should serve as a cautionary note, especially for
386 continued vigilance to maintain and improve spray quality, given the positive association between
387 *kdr* and under spraying detected.

388

389 **Conclusion**

390

391 The overall results from the study are positive for the VL elimination programme, in that a significant
392 increase in *kdr* resistance marker frequency from 2017 to 2018 did not continue, suggesting that
393 further progress towards pyrethroid resistance did not occur during the 5-year monitoring period.
394 However, there are warning signs that IRS links with relative advantage of certain genotypes which
395 are more resistance-associated. Coupled with recent emergence of pyrethroid resistance in Nepal,
396 this indicates that alternatives insecticides should be incorporated into an integrated resistance
397 management strategy. The value of molecular surveillance for the VL programme will be improved
398 by additional quantification of genotype-phenotype associations, preferably from more
399 operationally relevant phenotypic monitoring, and investigation of additional resistance
400 mechanisms.

401

402 **Supporting information**

403 S1 Table. Generalised linear model results for allele and genotype frequencies as predicted by
404 district and year. (DOCX)

405 S2 Table. Marker genotyping data for each *P. argentipes* individual included in the study (XLSX)

406 S3 Table. Marker data summarised by village including spray proportion metrics (XLSX)

407 S1 Figure. Scatterplot illustrating relationships between alpha-cypermethrin-IRS coverage index each
408 year and prior DDT-IRS coverage in the same groups of villages. A linear regression line is fitted
409 based on a multi-year average of the alpha-cypermethrin-IRS data.

410

411

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415

416

417 **Author Contributions**

418 **Conceptualization: MC, RD**

419 **Data curation: ER, JS**

420 **Formal analysis: DW, JS**

421 **Funding acquisition: MC**

422 **Investigation: ER RD, AA, AMS, AB**

423 **Methodology: ER, DW**

424 **Project administration: RD, PKM, ROS**

425 **Resources: CS, SS**

426 **Supervision: MC, CS, SS, AA, PKM, RPS**

427 **Visualization: DW, ER**

428 **Writing – original draft: DW, ER**

429 **Writing – review & editing: All authors**

430

431 **References**

432

433

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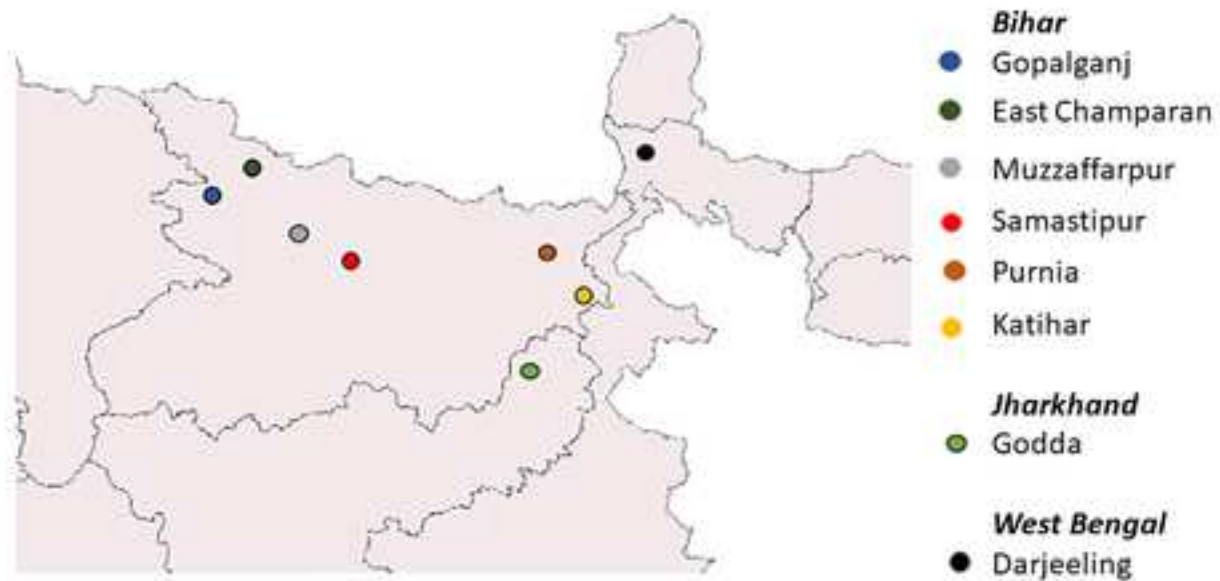


Figure 1-Map displaying location of districts (modified from ref 14)

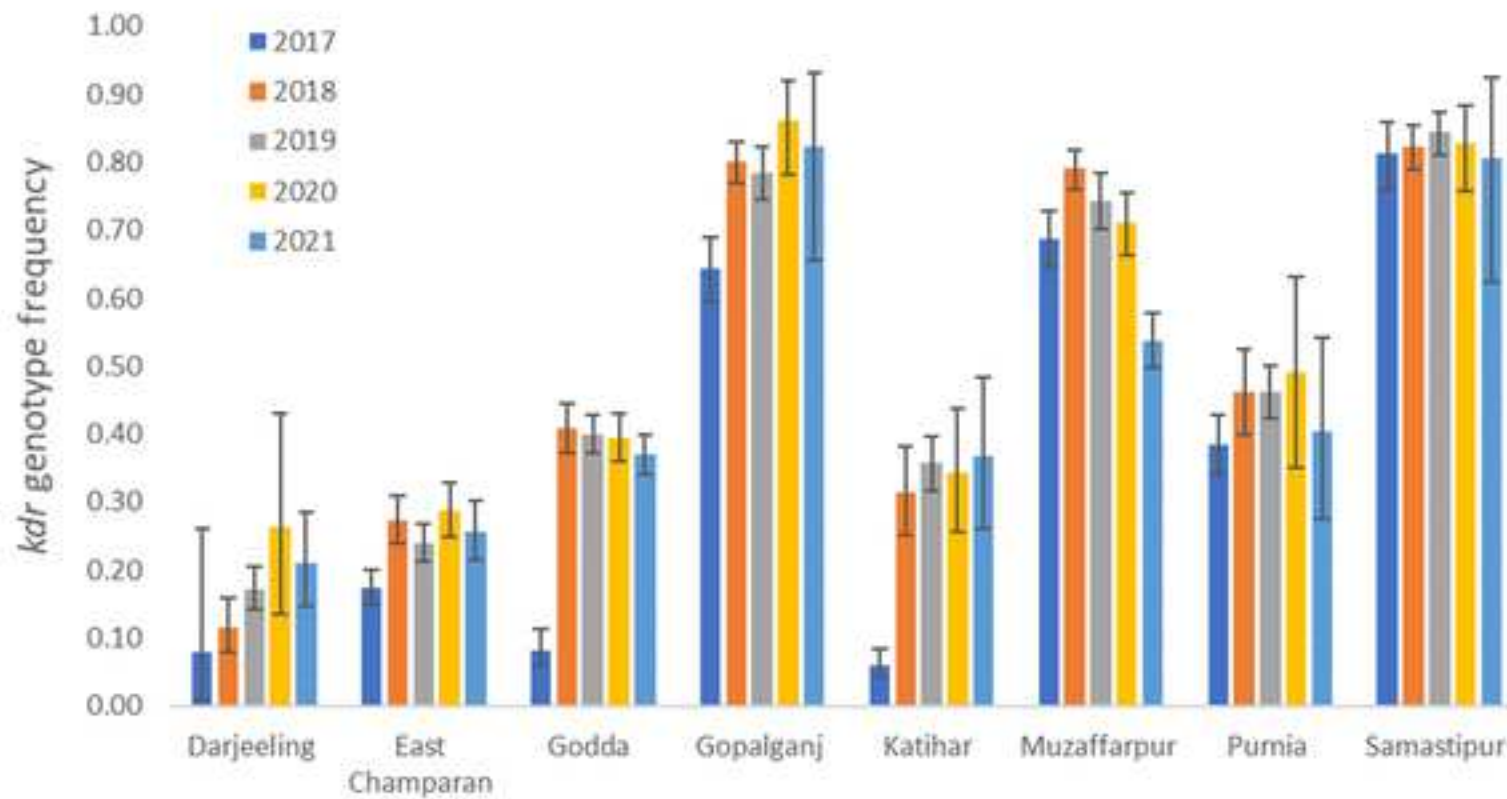


Figure 2 – *kdr* genotype frequencies (mean \pm 95% confidence intervals) across the 5-year period for the 8 districts.

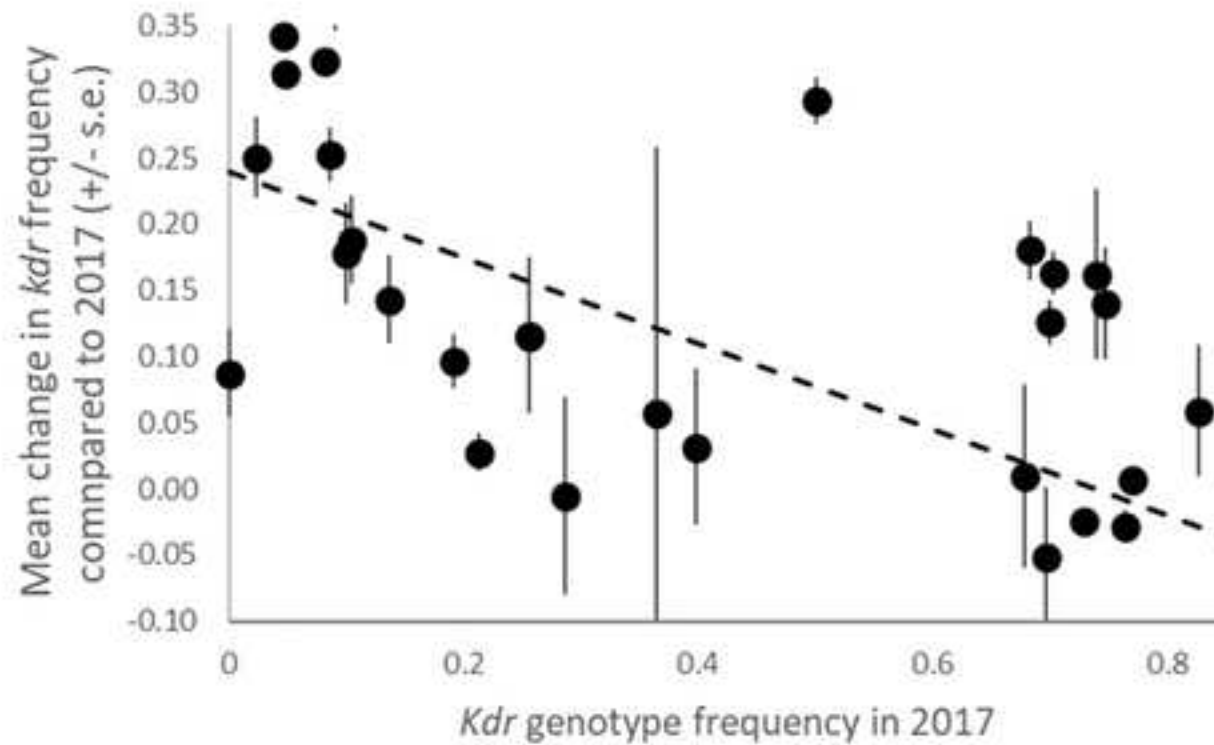


Figure 3 – Relationship between *kdr* genotype frequency in the first sampling year and average changes from the initial value over subsequent sampling years at the village level. Dashed line showing linear regression fit is provided for visual illustration of trend.

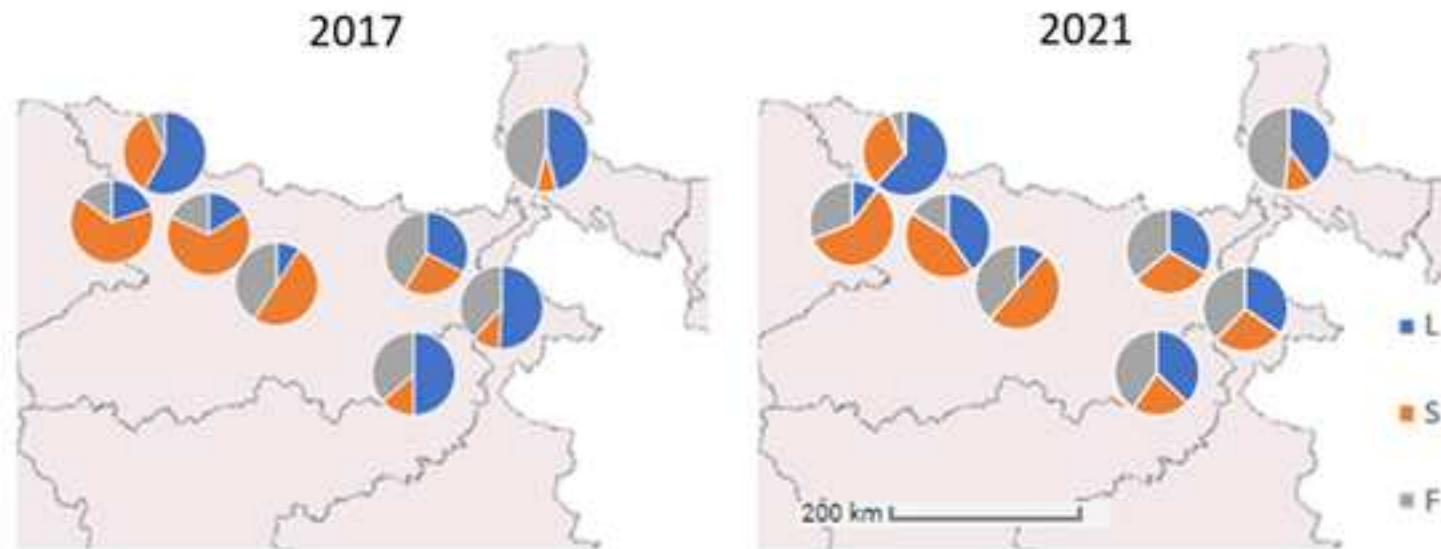


Figure 4 - Map comparisons of 2017 data and 2021 data showing the 1014 alleles.

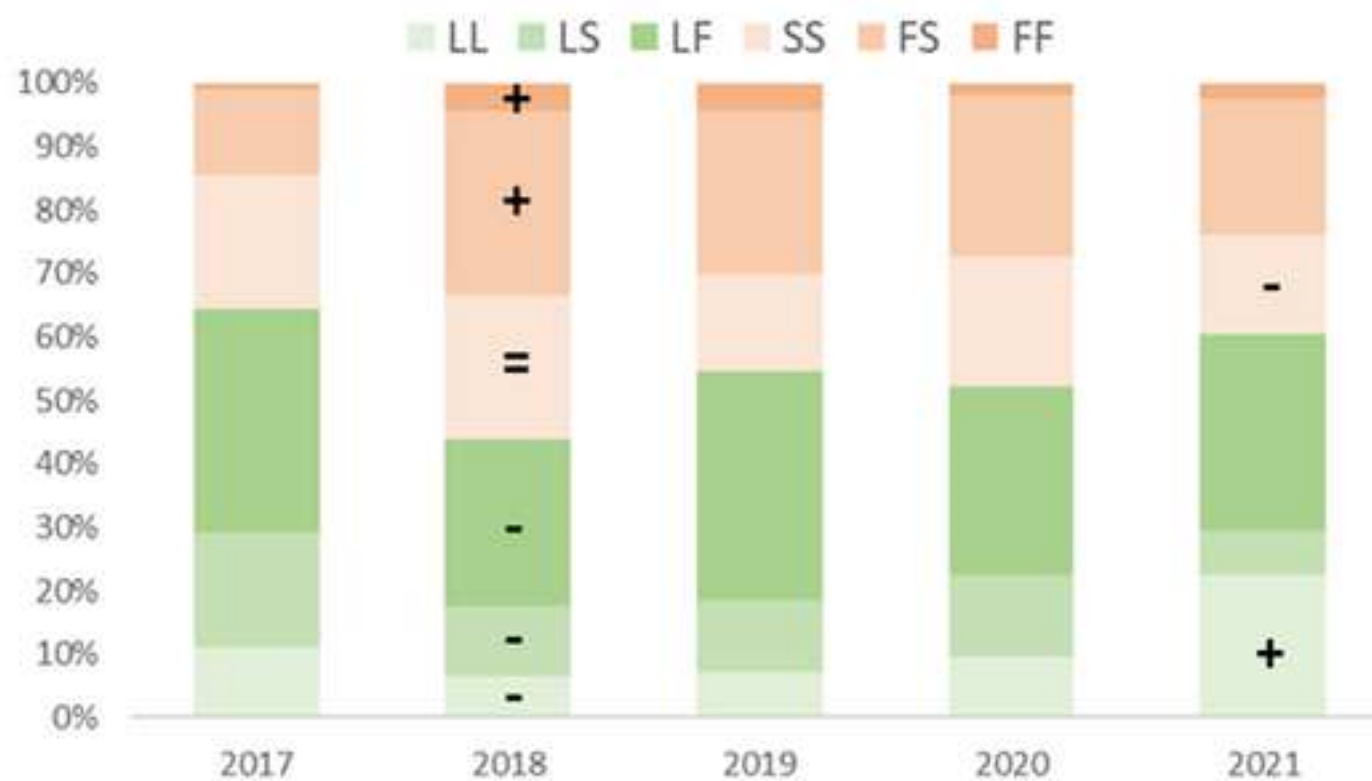


Figure 5. Genotype proportions for the 1014 marker in each sampling year. Comparison with the 2017 reference year is indicated by the +/-/= signs in 2018 (see S1 Table for statistical test results); subsequent years show the same relationship with 2017 unless indicated by a different symbol.

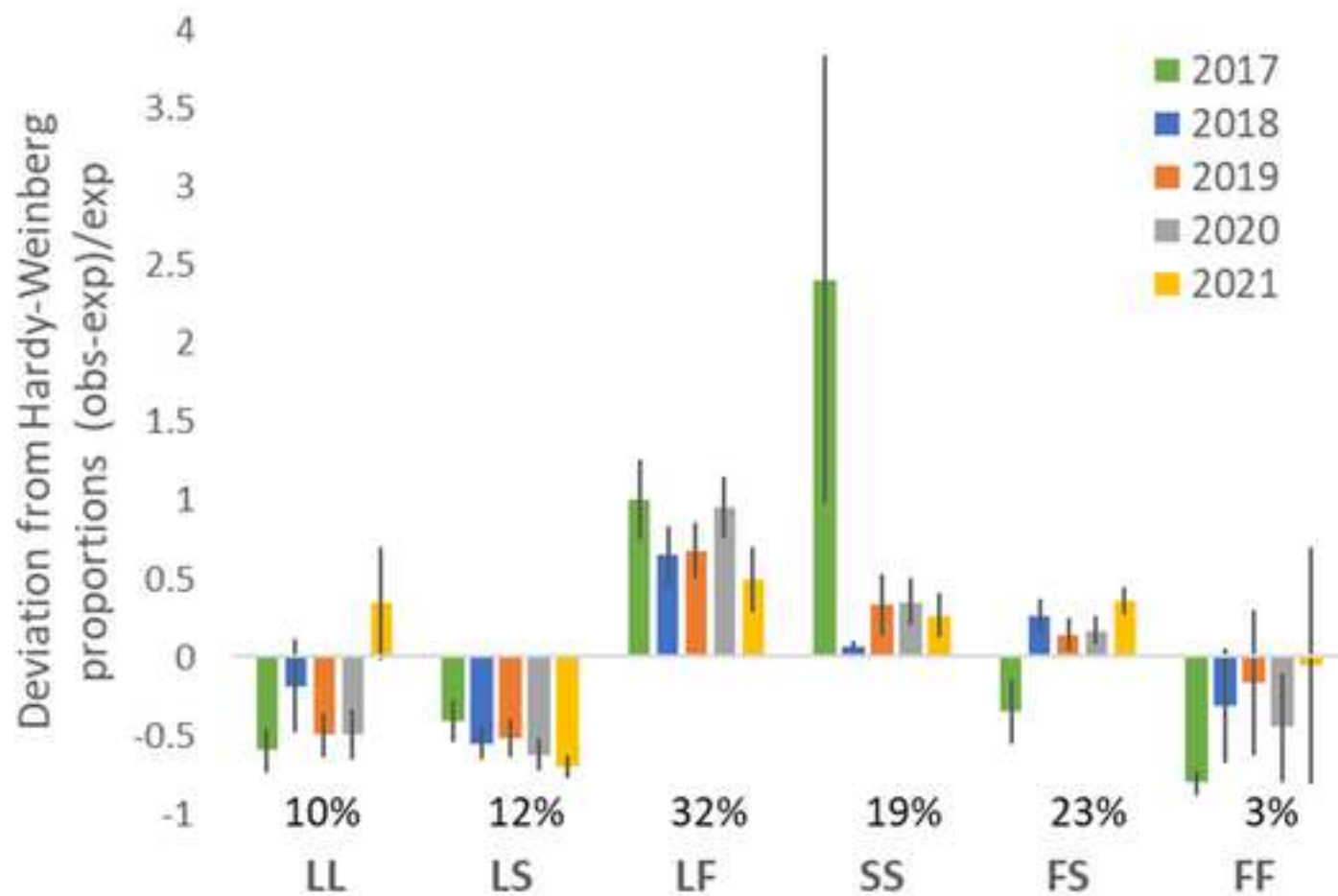


Figure 6. Deviation of each 1014 genotype from Hardy-Weinberg expectations in each year of sampling (mean across districts \pm standard error). Overall average percentage frequencies of each genotype are shown above genotype labels.





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