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Indicators for avoidable emergency medical service calls: Mapping of Paramedic Clinical Impression Codes to Ambulatory Care Sensitive Conditions and Mental Health Conditions in the UK and Canada

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Indicators for avoidable emergency medical service calls: Mapping of Paramedic Clinical Impression Codes to Ambulatory Care Sensitive Conditions and Mental Health Conditions in the UK and Canada

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Abstract:

Background: Paramedic assessment data have not been used for research on avoidable calls. Paramedic impression codes are designated by paramedics upon responding to a 911/999 medical emergency after an assessment of the presenting condition. Ambulatory Care Sensitive Conditions (ACSCs) are non-acute health conditions not needing hospital admission when properly managed.

Methods: The current study focused on paramedic impression codes from the East Midlands Region, UK and from Southern Ontario, Canada and mapped them to existing definitions of ambulatory care sensitive conditions (ACSCs) and mental health conditions. Mapping was iterative first identifying the common ACSCs shared between the two countries then identifying the respective clinical impression codes for each country that mapped to those shared ACSCs as well as to mental health conditions.

Results: Experts from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium contributed to both phases and were able to independently match the codes and then compare results. Clinical impression codes for paramedics in the UK were more extensive than those in Ontario. The mapping revealed some interesting inconsistencies between paramedic impression codes, but also demonstrated that it was possible. **Conclusion:** This is an important first step in determining the numbers of ASCSs and mental health conditions that paramedics attend to, and in examining the clinical pathways of these individuals across the health system. This work lays the foundation for international comparative health services research on integrated pathways in primary care and EMS.

What is already known on this topic

- Paramedic clinical impression codes are a valuable data source that have been underutilized in prior research and are critical for understanding the clinical pathways of patients with ambulatory care sensitive conditions (ACSCs) and mental health conditions as they transition through the emergency healthcare system.
- No study has mapped paramedic clinical impression codes to these conditions, which is needed to facilitate this novel area research.

What this study adds

• This study successfully mapped the clinical impression codes available to paramedics in their mandatory reporting forms to ACSCs and mental health conditions for both the UK and Ontario, Canada contexts.

• Clinical impression codes for paramedics in the UK were found to be more extensive than those available to paramedics in Ontario, and the potential impact of some noted inconsistencies between the two regions are discussed in this paper.

How this study might affect research, practice or policy

• This work lays the foundation for future international comparative studies in prehospital emergency care and primary care research, examining the clinical pathways of patients where emergency care may be avoided, reducing the burden on emergency health systems.

Introduction

Paramedic assessment data are often used in pre-hospital research [1,2], but rarely for research on avoidable calls.[1] Paramedic impression codes are designated by paramedics during a 911/999 medical emergency response after completing a patient assessment.[3,4] This is critical information for primary care research, capturing the hitherto unknown clinical 'pathway' of a patient, namely: (a) the clinical situation *between* the primary care setting and the emergency department (ED), or (b) details of clinical cases assessed by paramedics but *not* transported to hospital. Importantly, the latter scenario typically comprises lower acuity calls contributing to emergency health system burden that may be better addressed by primary care.[1]

Ambulatory Care Sensitive Conditions (ACSCs) are non-acute health conditions not requiring hospital admission when properly managed or prevented by accessible primary care in the community.[5] ACSCs are routinely monitored and are quality indicators for primary, community, and hospital care.[6] Although they can be managed within the community, ACSCs place significant demand on hospitals.[7–9] Canadian data demonstrate that, excluding physician costs, ED visits for unmanaged ACSCs average \$280 CDN[9] and hospitalizations \$5700 CDN.[9] Recent studies indicate that ACSCs may also be contributing to the rise in 911/999 calls for emergency medical services. [1,10,11] UK 999 calls have been rising by 7% each year [12], whilst 911 calls in Ontario increased by 4.2% [13], outpacing population growth. To address this burden and reduce avoidable calls for ACSCs, community paramedicine or alternative paramedicine models are being developed and implemented.[1] However, literature examining ACSCs and 911/999 calls has used dispatch data [14], ED visits via ambulance [15], and patient self-reported reasons for calling.[12] Research has found that dispatch data does not correspond closely with paramedic clinical impression [16], suggesting that dispatch data is not representative of the true nature of the call after the paramedic has completed their assessment. Increasingly, prehospital research studies are utilising clinical impressions for this reason.[1,17]

Next, ED visit research may underestimate the burden of ACSCs on 911/999 because 16-38% of patients are not transported to ED [17–19] and these non-transports may be primarily for low-acuity conditions.[18,19] Lastly, self-report data may suffer from bias in who can be contacted, self-selection bias, recall bias, social desirability bias, and errors due to incorrect responses (e.g. poor health literacy). Therefore, being able to identify ACSCs from paramedic impression codes in administrative datasets would be valuable for health system research; for

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example, studies on the differential rates in 911/999 non-transports for ACSC and non-ACSC calls, and potential primary care interventions.

Although mental health conditions are not traditionally considered ACSCs, they have similar characteristics and health system implications. Specifically, the majority of depressive and mood-related disorder cases can be better managed in primary care and community settings [20,21], avoiding visits to the ED.[22] However, mental health conditions represent 4% of ED visits [23] based on hospital discharge data, and 8-11% of 911/999 calls [24,25], based on dispatch data. As with ACSCs, these data sources provide some indication but do not accurately represent the true prevalence of mental health conditions in the pre-hospital emergency environment; instead, this would be better captured using paramedic clinical impression codes - a gap in the current literature.

This study focused on paramedic impression codes from the East Midlands Region, UK and from Southern Ontario, Canada. These are two international regions with universal healthcare, established ambulance/paramedic services, and electronic records for each pre-hospital emergency patient interaction. Comparative research to understand the variation between healthcare systems is critical to inform future improvements. In understanding the differences, the revealed complexity provides opportunities for multiple areas of health-systems learning. Though this type of research has been initiated in primary care [26] it has not been conducted internationally between ambulance services. A detailed understanding of patient pathways as they negotiate healthcare from the emergency 911/999 call through prehospital health services, with or without a hospital visit, is required. ASCSs therefore, provide an excellent way to explore and trace similar conditions through the primary care and prehospital system.

Our objective was to map paramedic clinical impression codes to ACSCs and mental health conditions in two international regions (UK and Ontario, Canada) with universal healthcare but different contexts (e.g. policy, programs, resources, built environment), thereby laying groundwork for future cross-jurisdictional comparative primary care or prehospital research.

Methods

Study Design

The mapping was accomplished iteratively with two main phases: (a) identifying the common ACSCs shared between the two countries; and (b) identifying the respective clinical impression codes for each country that map to those shared ACSCs as well as to mental health conditions. The results of this study will be integral to subsequent international health services

research examining out-of-hospital emergency responses for ACSCs and mental health conditions.

Setting and Participants

A panel of experts from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium contributed to both phases. The EDGE Consortium was formed in 2019, comprising academics from relevant disciplines, including but not limited to: primary care, paramedicine, rural health, health services and policy, biostatistics, and geography. Some members of the EDGE Consortium are also senior leaders of paramedic services and/or practicing primary care physicians (i.e. family doctors or general practitioners). For the first phase (identifying the list of common ACSCs), all 13 members of the EDGE consortium as of April 3rd 2020 participated. The second phase (mapping clinical impression codes to ACSCs and mental health conditions), involved one paramedic and one primary care physician from each country.

Patient and Public Involvement

No patients were involved in this study.

Data Sources

Ambulance services under the National Health Service (NHS) in the UK record the paramedic's clinical impression in the 'ambulance electronic patient record system' and after patient assessment it is the main diagnostic source of paramedic clinical data.[6] Similarly, paramedic services in Ontario, Canada, have paramedics record their clinical impression after patient assessment using 'problem codes' in the 'ambulance call report'.[27] On first encounter with the patient, both a primary and secondary problem code can be documented as the main clinical impression, and then a final set of primary and secondary problem codes can be documented as the ultimate clinical diagnoses when transferring care of the patient to the receiving facility, when the interaction has been resolved, or when the patient has refused transport.[5,6] The initial secondary problem code and the set of final problem codes are optional, but an initial primary problem code must be recorded for each patient encounter.

Data Collection

<u>Phase 1:</u> The ACSCs used as health system indicators by each government were retrieved from institutional websites. In Ontario, the Canadian members of the EDGE

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consortium identified the Canadian Institute for Health Information [28] and Health Quality Ontario [29] as the most appropriate institutional sources for ACSCs used for health system indicators. In the UK, the NHS Institute for Innovation and Improvement was identified as the most appropriate source.[7,26]

<u>Phase 2:</u> The clinical impression codes common to all Ontario paramedic services were obtained from the Ontario Ministry of Health and Long-Term Care manual for completing ambulance call reports, under the heading 'problem codes'.[3] For the UK, the list of clinical impression codes were obtained from the East Midlands Ambulance Service electronic patient report template within the Medusa electronic medical record platform.

Analysis

<u>Phase 1:</u> The ACSCs were first compared within each location (Ontario and UK) and then between locations. Within each location, the institutional lists of ACSCs were crossreferenced to determine if they contained the same conditions. All conditions were maintained, even if they only appeared on one list, but discrepancies were noted. Next, the lists for each location were compared against each other in a meeting with all EDGE Consortium members, aligning the conditions from each location by consensus. The final list of ACSCs was restricted to the conditions both locations had in common (i.e. shared ACSCs).

<u>Phase 2:</u> Using the shared ACSCs from Phase 1 and "mental health," paramedic clinical impression codes were matched to each condition. Within each location, a physician and paramedic independently matched the clinical impression codes to the conditions. Next, these results were compared for agreement. The paramedic and physician discussed any inconsistencies until they achieved consensus; the rationale for final codes selected is described in the results below. Where consensus could not be achieved, the paramedic/physician pair from the other country formed an arbitration panel to resolve disagreement.

Results

Phase 1: ACSCs

The ACSC list from Health Quality Ontario [29] contained seven conditions: (a) angina; (b) asthma; (c) congestive heart failure (CHF) and pulmonary edema; (d) chronic obstructive pulmonary disease (COPD); (e) diabetes; (f) grand mal status and other epileptic convulsions; and, (g) hypertension. The Canadian Institute for Health Information list of ACSCs [28] had two groups: Group A had the same seven conditions as those from Healthy Quality Ontario listed above, and Group B was solely lower respiratory infections. Therefore, there was substantial agreement between the two institutional lists, and all eight conditions were maintained for the Ontario ACSC list (see Table 1).

The lists of ACSCs from UK institutional sources were much more extensive and included gynecological, dental, gastroenterological, upper respiratory, and vaccine preventable conditions. A King's Fund Report from 2012 [7] highlighted and clarified the NHS Institute for Innovation and Improvement's [26] definition of 19 ACSCs: (a) angina, (b) asthma, (c) cellulitis, (d) congestive heart failure, (e) convulsions and epilepsy, (f) chronic obstructive pulmonary disease, (g) dehydration and gastroenteritis, (h) dental conditions, (i) diabetes complications, (j) ear, nose and throat infections, (k) gangrene, (l) hypertension, (m) influenza and pneumonia, (n) iron-deficiency anaemia, (o) nutritional deficiency, (p) other vaccine preventable diseases, (q) pelvic inflammatory disease, (r) perforated/bleeding ulcer and (s) pyelonephritis (see Table 1).[7]

When the two regional lists were compared by the EDGE Consortium members, agreement was readily reached that the following conditions were common ACSCs for both countries: (a) Diabetes; (b) COPD; (c) Asthma; (d) Angina; (e) Grand mal status and other epileptic convulsions or Convulsions and Epilepsy; (f) Heart Failure and Pulmonary edema; (g) HTN; and, (h) Lower respiratory or Influenza and Pneumonia. Terminology was slightly different for seizure related conditions and lower respiratory conditions. Notably, all ACSCs from the Ontario list were captured within the UK list and neither list included mental health conditions (see Table 1).

Table 1: Ambulatory care sensitive conditions	common to	both	Ontario,	Canada and	the
United Kingdom					

Ontario ACSC List	UK ACSC List	Ontario/UK agreement
Diabetes	Diabetes complications	Agree
Chronic obstructive pulmonary disease	Chronic obstructive pulmonary disease	Agree
Asthma	Asthma	Agree
Angina	Angina	Agree
Grand mal status and other epileptic convulsions	Convulsions and epilepsy	Agree
Congestive heart failure and pulmonary edema	Congestive heart failure	Agree
Hypertension	Hypertension	Agree
	Cellulitis	No comparable ACSC in Ontario

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	Dehydration and gastroenteritis	No comparable ACSC in Ontario
	Dental conditions	No comparable ACSC in Ontario
	Ear, nose and throat infections	No comparable ACSC in Ontario
	Gangrene	No comparable ACSC in Ontario
Lower Respiratory	Influenza and pneumonia	Agree
	Iron-deficiency anaemia	No comparable ACSC in Ontario
	Nutritional deficiency	No comparable ACSC in Ontario
	Other vaccine-preventable diseases	No comparable ACSC in Ontario
	Pelvic inflammatory disease	No comparable ACSC in Ontario
	Perforated/bleeding ulcer	No comparable ACSC in Ontario
	Pyelonephritis	No comparable ACSC in Ontario

Note: ACSC = Ambulatory Care Sensitive Condition

Phase 2: Clinical Impression Codes Mapped to ACSCs and mental health

Clinical impression codes for paramedics in the UK were more extensive than those in Ontario (see Table 2 and Supplementary File 1). For example Ontario has three problem codes for respiratory conditions describing the aetiology and the general presenting issue or symptom, whereas the UK has five codes covering a mixture of causes, symptoms and diagnoses or diseases. For mental health, the Ontario clinical impression codes are extremely broad, including a whole medical discipline, while the UK codes cover both mechanisms and diagnoses.

Table 2: Paramedic Impression Codes in Ontario and the UK for Respiratory and MentalHealth Conditions

Category	Ontario Problem Codes	UK Clinical Impression Codes
Respiratory	21: Dyspnea	COPD
	24: Respiratory Arrest	Other Respiratory Problem
	11: Obstruction (Partial/Complete)	Chest Infection
		Choking
		Asthma
		Influenza

Mental Health	45: Behaviour / Psychiatric	Attempted Suicide
	81: Drug / Alcohol Overdose	Intentional Drug Overdose
		DOLS (Deprivation of Liberty Safeguards)
		Anxiety
		Psychosis
		Effects of Alcohol
		Social Problem
		Under MHA Section
		Accidental Overdose / Poisoning
		Depression
		Panic / Anxiety Attack
		Other Mental Health Problem
		Dementia
		Query Intoxicated

The physician and paramedic pair from Ontario each independently selected the clinical impression codes that best matched each condition based on their clinical expertise (see Supplementary File 2). The physician selected more codes in order to reflect the breadth of scope of conditions, though the paramedic had selected mainly one code. Agreement was reached that in actual practice, a single impression code would be chosen by paramedics to represent conditions encountered. A greater number of codes was likely to cause a low specificity in mapping of clinical conditions. For example, for chronic obstructive airways disease (COPD), the physician chose five separate codes to represent conditions that may have caused respiratory changes, that a paramedic could have observed attending to someone experiencing a COPD exacerbation; codes covered 'dyspnea', 'temporary loss of consciousness', and 'weakness/dizziness/unwell' were identified. The paramedic chose two codes: 'dyspnea' as the main code and 'respiratory arrest' as an alternate code. At the ensuing discussion, the common clinical circumstances requiring 911 calls were elucidated and discussed in detail. Extremes of presentation were considered, as well as the usual paramedic options for clinical impression and those that were most often used in reality. Consensus was reached that a code of 'dyspnea' would be the most specific in capturing people who called 911 for COPD.

The physician and paramedic pair from the East Midlands also each selected the clinical impression codes that best matched each condition based on their clinical expertise (see Supplementary File 2). Both physician and paramedic selected clinical impression codes independently, followed by a discussion of any differences. For example, for "angina" the

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paramedic only selected "cardiac chest pain" whereas the physician selected "cardiac chest pain", "ECG Confirmed ST Segment Elevated MI" and "Cardiac Problem". Similar to the selection in Ontario, the paramedic was more selective, and the physician was more inclusive. We agreed for the purpose of this exercise to be inclusive rather than exclusive. The UK paramedic and physician selected identical clinical impressions for all other conditions.

exe. a diaentica a UK there was f. a same code (dyspine. the mental health codes we. In both Ontario and the UK there was no clinical code found for hypertension. In Ontario, three of the ACSCs have the same code (dyspnea) whereas in the UK, each ACSC has a more unique descriptive code. The mental health codes were completely different between Ontario and

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Discussion

This paper has successfully mapped clinical impression codes generated by paramedics attending to patients with ACSCs and mental health conditions in Canada and the UK. However, some interesting factors have emerged that researchers should be aware of when analyzing any paramedic clinical impression codes for primary care or prehospital research purposes. Hypertension, though classified as an ACSC, could not be distinguished specifically enough from any of the existing paramedic impression codes for either Canada or the UK. The codes, however, did contain clinical scenarios that might have included hypertension-induced emergencies, such as cerebrovascular accidents or heart failure. Therefore, although hypertension is very common in primary care and its complications lead to emergency situations, it cannot easily be detected in paramedic impression codes. However the issue of clinical misclassification is not limited only to this situation. Literature shows that ICD-10 codes used by clinicians often do not match the ACSC codes.[30] This can make it difficult for clinicians when trying to classify the presentation of the patient they just saw.

As noted in Table 1, there are many more paramedic impression codes in the UK compared to the Canadian codes in Ontario. The inconsistency in the two countries' codes resulted in the mapping process being more difficult, though it was achieved. Having too many or too few paramedic impression codes may result in paramedics not being able to choose the appropriate codes for certain conditions when in the clinical field. Therefore it is possible that some Canadian clinical impression codes may be undifferentiated between the clinical impressions, leading to lack of variability in the data. When Ontario chooses to revise their ACSC lists, they may consider looking at some of the UK codes to provide a greater breadth of conditions. However the UK has a much greater degree of granularity, combining diagnoses as well as symptoms and causes, which may be too detailed, leading to mis-classifications. Ultimately, both scenarios will render the identification of ACSCs retrospectively technically difficult and might warrant more consideration as ambulance services refine their data collection tools. The best scenario would be to have a unified system of paramedic impression codes that would be relevant for all countries and adopted internationally, allowing for ease of comparisons.

Although mental health was not an ACSC according to either country's institutional lists, our cross-country research team included it because it is a term that encompasses conditions that could be better managed through primary care but that often lead to emergency health system use, e.g. suicidal crisis as a result of chronic depressive disorder. It would be appropriate for mental health to be included whenever the institutions revise their ACSC lists in

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the future. It is important to note that mental health constituting an ACSC would not describe any acute psychotic presentations, but rather depression and other mood-related disorders that are commonly managed in general practice.

Having any information about patient pathways is important in healthcare systems as we try to set up integrated care. As discussion around integrated care proceeds, understanding the unmet healthcare needs of patients with certain avoidable conditions is crucial in healthcare planning. These types of discussion are already happening at healthcare planning tables in the UK and Canada. Now that paramedic indicators for potentially avoidable calls have been identified in this paper, these discussions may fuel a series of quality improvement and research papers on these unique patient pathways. Indeed, for patients who have health issues that lead to a 999/911 call, but are not needed to be transported to the hospital, paramedic clinical impression codes are the only place this function of the healthcare system is captured, therefore they are a very important tool to use. This paper lays down important groundwork to allow future between-country comparisons to start, and to determine which health service practices may benefit our patient populations more or less, as we learn from each other's mistakes and successes.

Limitations

Though paramedic data can be linked to ACSCs, we cannot be absolutely sure that we have identified the conditions that have manifested as ACSCs. This study has uncovered how vague (Canada) or over-prescriptive (UK) some of the impression codes are. This will definitely affect the quality of any data analytical work that would ensue from any epidemiological examination of the paramedic data. Future work should focus on subsequent validation studies, such as a more rigorous Delphi method, followed by validation against actual administrative data that includes details about hospital visits and diagnostic codes further down the line of health system patient involvement.

Conclusion

Clinical impression codes generated by paramedics upon attending to acute call patients can be mapped to cover ACSCs and mental health conditions, both in the UK and in Ontario, Canada. This is an important first step in determining the numbers of ASCSs and mental health conditions that paramedics attend to, and in examining the clinical pathways of these individuals across the health system. This work lays the foundation for international comparative health services research on integrated pathways in primary care and EMS.

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Declarations

Ethics Approval and Consent to Participate

Not applicable.

Availability of Data and Materials

Data sharing is not applicable to this article as no new data were created in this study.

Competing Interests

The authors declare that they have no competing interests.

Funding

Not applicable.

Authors' contributions

All authors conceived of the study as a necessary step in the work of the EDGE consortium. GA and ANS led the study activities in Canada and the UK, respectively. GA, ANS, BM, RS, and GW participated in the mapping and arbitration process. GA, ANS, and MP drafted the manuscript. All authors contributed to the interpretation and review of the final manuscript.

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United Kingdom		
Ontario Problem Codes	UK Clinical Impression Codes	
Vital Signs Absent	Injury Trauma	
1: Cardiac/Medical	Gunshot	
2: Traumatic	Abdominal Injury	
Airway	Near Drowning	
11: Obstruction	Explosive / Blast Injury	
(Partial/Complete)	Stab Wound	
Breathing	Limb Injury	
21: Dyspnea	Penetrating Trauma	
24: Respiratory Arrest	Head Injury	
	Neck Injury	
31: Hemormage	Smoke Innalation	
34: Suspected sensis		
Neurological	Chest Injury	
40 [°] Traumatic Brain Injury	Thermal Injury	
41: Stroke / TIA	Back Injury	
42. Temporary Loss of	Carbon Monoxide Poisoning	
Consciousness	Drowning	
43: Altered Level of	Electrocution	
Consciousness	Chemical Exposure	
	Multi-System Trauma	
44. Hedudule	Cold Exposure	
45: Benaviour / Psychiatric	CBRNE Incident	
45.01: Excited Delirium	Back Pain Non-Traumatic	
46: Active Seizure		
47: Paralysis / Spinal Trauma	Soft Tissue Injury	
48: Confusion / Disorientation	Suspected Neck of Femur	
49: Unconscious	Gastrointestinal	
50: Post-ictal	Acute Abdominal Problem	
Cardiac	Constipation	
51: Ischemic	Diarrhea & Vomiting	
53: Palpitations	Gastrointestinal Bleed	
54: Pulmonary Edema	Other Abdominal Problem	
55: Post Arrest	Urinary	
56: Cardiogenic Shock	Urinary Problem	
57: STEMI	Orinary Tract Infection	
58: Hyperkalemia		
Non-Traumatic		
60: Non Ischemic Chest Pain	Bite / Sting	
61: Abdominal / Pelvic /	Neurological/stroke	
Perineal / Rectal Pain	Faint	
62: Back Pain	Collapse Unknown Cause	
	Collanse 2 Cause	

Gastrointestinal 63: Nausea/ Vomiting/ Diarrhea Musculoskeletal/Trauma 66: Musculoskeletal 67: Trauma / Injury Obstetrical/Gynecological 71: Obstetrical Emergency 72: Gynecological Emergency 73: Newborn / Neonatal Endocrine/Toxicological 81: Drug / Alcohol Overdose 81.1: Suspected Opioid Overdose 82: Poisoning / Toxic Exposure 83: Diabetic Emergency 84: Allergic Reaction 85: Anaphylaxis 86: Adrenal Crisis General and Minor 87: Novel Medications 88: Home Medical Technology 89: Lift Assist 90: Inter-facility Transfer 91: Environmental Emergency 92: Weakness / Dizziness / Unwell 93: Treatment / Diagnosis & Return 94: Convalescent / Invalid / Return Home 95: Infectious Disease 96: Organ Retrieval / Transfer 91: Enfectious Disease 96: Organ Retrieval / Transfer 96: Organ Retrieval / Transfer 97: Infectious Disease 96: Organ Retrieval / Transfer 96: Organ Retrieval / Transfer 97: O	
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4 5 6	Attempted Suicide Intentional Drug Overdose DOLS (Deprivation of Liberty
7	Safeguards)
o 9	Psychosis
10	Effects of Alcohol
11	Under MHA Section
12	Accidental Overdose / Poisoning
14	Depression Panic / Anviety Attack
15 16	Other Mental Health Problem
10	Dementia
18	
19 20	Dental Problem
20	Other ENT Problem
22	Epistaxis
23	↓ Infections
24	Sepsis Pyrexia Unknown Origin
26	Other Infection
27	Hyperthermia
28 29	Palliative Care/Irailty Palliative Terminal Care
30	Off Legs / Poor Mobility
31	Endocrine
33	Hypoglycaemia
34	Other Diabetic Problem
35	Endocrine Emergency
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Supplementary File 2

Table S2. Paramedic Impression Codes Mapped to Ambulatory Care Sensitive Conditions

2224	Ontario Codes			UK Codes			Final Codes for Use	
variable	Paramedic- supplied	Physician- supplied	Combined/ Corroborated	Paramedic- supplied	Physician- supplied	Combined/ Corroborated	Ontario	UK
Diabetes	 83: Diabetic Emergency <u>Alternate:</u> 41: Stroke/TIA 43: Altered Level of Consciousness 48: Confusion/ Disorientation 49: Unconscious 63: Nausea/ Vomiting/Diarrhea 92: Weakness/ Dizziness/Unwell 	83: Diabetic Emergency 42: Temporary Loss of Consciousness 63: Nausea/ Vomiting/ Diarrhea 92: Weakness/ Dizziness/Unwell	83: Diabetic Emergency	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	83: Diabetic Emergency	Hyperglycaemia Hypoglycaemia Other Diabetic Problem
COPD	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	21: Dyspnea 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/ Dizziness/Unwell	21: Dyspnea	COPD	COPD	COPD	21: Dyspnea	COPD
Asthma	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	21: Dyspnea 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/	21: Dyspnea	Asthma	Asthma	Asthma	21: Dyspnea	Asthma

		Dizziness/Unwell						
Angina	51: Ischemic <u>Alternate:</u> 53: Palpitations	21: Dyspnea 51: Ischemic 92: Weakness/ Dizziness/Unwell	51: Ischemic	Cardiac Chest Pain	ECG Confirmed ST Segment Elevated MI Cardiac Problem	ECG Confirmed ST Segment Elevated MI Cardiac Problem	51: Ischemic 57: STEMI	ECG Confirmed ST Segment Elevated MI Cardiac Problem Cardiac Chest Pain
	55: Post Arrest				Cardiac Chest Pain	Cardiac Chest		
	56: Cardiogenic Shock					Pain		
	57: STEMI							
	61: Abdominal/ Pelvic/Perineal/ Rectal Pain							
Grand Mal and	46: Active Seizure	46: Active Seizure	46: Active Seizure	Convulsion Epilepsy	Convulsion Epilepsy	Convulsion Epilepsy	46: Active	Convulsion
other epileptic	<u>Alternate:</u>	50: Post-ictal	50: Post-ictal				Seizure	Epilepsy
	42: Temporary Loss of Consciousness	42: Temporary Loss of Consciousness					50: Post-Ictai	
	43: Altered Level	44: Headache						
	of Consciousness	45: Behaviour/ Psychiatric						
	48: Confusion			0				
	49. Unconscious	48: Confusion/						
	50: Post-ictal	89: Lift Assist		4				
		92: Weakness/ Dizziness/Unwell			0			
HF and Pulm	54: Pulmonary	21: Dyspnea	54: Pulmonary Edema	Heart Failure	Heart Failure	Heart Failure	54: Pulmonary Edema	Heart Failure
Edema	Edema	54: Pulmonary						
	<u>Alternate:</u>	Edema						
	Arrest	Chest Pain						
	51: Ischemic	92: Weakness/						
	55: Post Arrest	Dizziness/ Unwell						
	56: Cardiogenic Shock							
	57: STEMI							

Hypertension	99: Other Medical/ Trauma <u>Alternate:</u> 41: Stroke/TIA 44: Headache	44: Headache 92: Weakness/ Dizziness/ Unwell 99: Other Medical/Trauma	No code available	None	None	No code available	No code available	No code available
	51: Ischemic 53: Palpitations 54: Pulmonary Edema 57: STEMI	Kor						
Lower Respiratory	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	 21: Dyspnea 33: Hypotension 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/ Dizziness/ Unwell 	21: Dyspnea	Chest infection	Chest infection	Chest Infection	21: Dyspnea	Chest Infection
Vental Health • anxiety, depression, panic attack	45: Behaviour/ Psychiatric <u>Alternate:</u> 43: Altered Level of Consciousness 53: Palpitations	21: Dyspnea 45: Behaviour/ Psychiatric 42: Temporary Loss of Consciousness 44: Headache 48: Confusion/ Disorientation 60: Non Ischemic Chest Pain 63: Nausea/ Vomiting/ Diarrhea 92: Weakness/ Dizziness/ Unwell	45: Behaviour/ Psychiatric	Attempted Suicide Intentional Drug Overdose Anxiety Psychosis Depression Panic / Anxiety Attack	Attempted Suicide Intentional Drug Overdose Anxiety Psychosis Depression Panic / Anxiety Attack	Attempted Suicide Intentional Drug Overdose Anxiety Psychosis Depression Panic / Anxiety Attack	45: Behaviour/ Psychiatric 81: Intentional drug overdose	Attempted Suicide Intentional Drug Overdose Anxiety Psychosis Depression Panic / Anxiety Attack

89: Lift Assist			
45.01 Excited Delirium			

Notes: COPD = chronic obstructive pulmonary disease; ECG = electrocardiogram; MI = myocardial infarction; ST Elevation =

 elevation of the ST segment on an electrocardiogram; STEMI = ST elevation myocardial infarction; TIA = transient ischemic attack

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Development of Indicators for Avoidable Emergency Medical Service Calls by Mapping Paramedic Clinical Impression Codes to Ambulatory Care Sensitive Conditions and Mental Health Conditions in the UK and Canada

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Development of Indicators for Avoidable Emergency Medical Service Calls by Mapping Paramedic Clinical Impression Codes to Ambulatory Care Sensitive Conditions and Mental Health Conditions in the UK and Canada

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Abstract:

Background: Paramedic assessment data have not been used for research on avoidable calls. Paramedic impression codes are designated by paramedics upon responding to a 911/999 medical emergency after an assessment of the presenting condition. Ambulatory Care Sensitive Conditions (ACSCs) are non-acute health conditions not needing hospital admission when properly managed.

Methods: The current study focused on paramedic impression codes from the East Midlands Region, UK and from Southern Ontario, Canada and mapped them to existing definitions of ambulatory care sensitive conditions (ACSCs) and mental health conditions. Mapping was iterative first identifying the common ACSCs shared between the two countries then identifying the respective clinical impression codes for each country that mapped to those shared ACSCs as well as to mental health conditions.

Results: Experts from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium contributed to both phases and were able to independently match the codes and then compare results. Clinical impression codes for paramedics in the UK were more extensive than those in Ontario. The mapping revealed some interesting inconsistencies between paramedic impression codes, but also demonstrated that it was possible. **Conclusion:** This is an important first step in determining the numbers of ASCSs and mental health conditions that paramedics attend to, and in examining the clinical pathways of these individuals across the health system. This work lays the foundation for international comparative health services research on integrated pathways in primary care and EMS.

Strengths and Limitations of this Study

- To our knowledge, this is the first study to map the clinical impression codes available to paramedics in their mandatory reporting forms to ambulatory care sensitive conditions (ACSCs) and mental health conditions.
- The mapping was conducted for both the UK and Ontario, Canada contexts, supporting future inter-country comparisons between these regions with similar healthcare systems but varying policies and resources.
- Though paramedic data can be linked to ACSCs using the mapping from this study, it cannot be absolutely certain that the conditions with these codes are manifestations of ACSCs.

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3	 The mapping was only completed for ACSCs as defined by the UK and Ontario, Canada
5	healthcare systems and the mapping method would need to be repeated for other countries to
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7	permit their inclusion in future international studies.
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Introduction

Paramedic assessment data are often used in pre-hospital research [1,2], but rarely for research on avoidable calls.[1] Paramedic impression codes are designated by paramedics during a 911/999 medical emergency response after completing a patient assessment.[3,4] This is critical information for primary care research, capturing the hitherto unknown clinical 'pathway' of a patient, namely: (a) the clinical situation *between* the primary care setting and the emergency department (ED), or (b) details of clinical cases assessed by paramedics but *not* transported to hospital. Importantly, the latter scenario typically comprises lower acuity calls contributing to emergency health system burden that may be better addressed by primary care.[1]

Ambulatory Care Sensitive Conditions (ACSCs) are non-acute health conditions not requiring hospital admission when properly managed or prevented by accessible primary care in the community.[5] ACSCs are routinely monitored and are quality indicators for primary, community, and hospital care.[6] While there are some ACSC complications that cannot be prevented, in the majority of cases they can usually be successfully managed within the community; however, ACSCs are still placing significant demand on hospitals.[7–9] Canadian data demonstrate that, excluding physician costs, ED visits for unmanaged ACSCs average \$280 CDN[9] and hospitalizations \$5700 CDN.[9] Recent studies indicate that ACSCs may also be contributing to the rise in 911/999 calls for emergency medical services.[1,10,11] UK 999 calls have been rising by 7% each year [12], whilst 911 calls in Ontario increased by 4.2% [13], outpacing population growth. To address this burden and reduce avoidable calls for ACSCs, community paramedicine or alternative paramedicine models are being developed and implemented.[1] However, literature examining ACSCs and 911/999 calls has used dispatch data [14], ED visits via ambulance [15], and patient self-reported reasons for calling.[12] Research has found that dispatch data does not correspond closely with paramedic clinical impression [16], suggesting that dispatch data is not representative of the true nature of the call after the paramedic has completed their assessment. Increasingly, prehospital research studies are utilising clinical impressions for this reason.[1,17]

Next, ED visit research may underestimate the burden of ACSCs on 911/999 because 16-38% of patients are not transported to ED [17–19] and these non-transports may be primarily for low-acuity conditions.[18,19] Lastly, self-report data may suffer from bias in who can be contacted, self-selection bias, recall bias, social desirability bias, and errors due to incorrect responses (e.g. poor health literacy). Therefore, being able to identify ACSCs from paramedic impression codes in administrative datasets would be valuable for health system research; for

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example, studies on the differential rates in 911/999 non-transports for ACSC and non-ACSC calls, and potential primary care interventions.

Although mental health conditions are not traditionally considered ACSCs, they have similar characteristics and health system implications. Specifically, the majority of depressive and mood-related disorder cases can be better managed in primary care and community settings [20,21], avoiding visits to the ED.[22] However, mental health conditions represent 4% of ED visits [23] based on hospital discharge data, and 8-11% of 911/999 calls [24,25], based on dispatch data. As with ACSCs, these data sources provide some indication but do not accurately represent the true prevalence of mental health conditions in the pre-hospital emergency environment; instead, this would be better captured using paramedic clinical impression codes - a gap in the current literature.

This study focused on paramedic impression codes from the East Midlands Region, UK and from Southern Ontario, Canada. These are two international regions with universal healthcare, established ambulance/paramedic services, and electronic records for each pre-hospital emergency patient interaction. Comparative research to understand the variation between healthcare systems is critical to inform future improvements. In understanding the differences, the revealed complexity provides opportunities for multiple areas of health-systems learning. Though this type of research has been initiated in primary care [26] it has not been conducted internationally between ambulance services. A detailed understanding of patient pathways as they negotiate healthcare from the emergency 911/999 call through prehospital health services, with or without a hospital visit, is required. ASCSs therefore provide an excellent way to explore and trace similar conditions through the primary care and prehospital system.

Our objective was to map paramedic clinical impression codes to ACSCs and mental health conditions in two international regions (UK and Ontario, Canada) with universal healthcare but different contexts (e.g., policy, programs, resources, built environment), thereby laying groundwork for future cross-jurisdictional comparative primary care or prehospital research.

Methods

Study Design

The mapping was accomplished iteratively with two main phases: (a) identifying the common ACSCs shared between the two countries; and (b) identifying the respective clinical impression codes for each country that map to those shared ACSCs as well as to mental health conditions. The results of this study will be integral to subsequent international health services
research examining out-of-hospital emergency responses for ACSCs and mental health conditions.

Setting and Participants

 A panel of experts from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium contributed to both phases. The EDGE Consortium was formed in 2019, comprising academics from relevant disciplines, including but not limited to: primary care, paramedicine, rural health, health services and policy, biostatistics, and geography. Some members of the EDGE Consortium are also senior leaders of paramedic services and/or practicing primary care physicians (i.e., family doctors or general practitioners). For the first phase (identifying the list of common ACSCs), all 13 members of the EDGE consortium as of April 3rd, 2020, participated. The second phase (mapping clinical impression codes to ACSCs and mental health conditions), involved one paramedic and one primary care physician from each country.

Patient and Public Involvement

No patients were involved in this study.

Data Sources

Ambulance services under the National Health Service (NHS) in the UK record the paramedic's clinical impression in the 'ambulance electronic patient record system' and after patient assessment it is the main diagnostic source of paramedic clinical data.[6] Similarly, paramedic services in Ontario, Canada, have paramedics record their clinical impression after patient assessment using 'problem codes' in the 'ambulance call report'.[27] On first encounter with the patient, both a primary and secondary problem code can be documented as the main clinical impression, and then a final set of primary and secondary problem codes can be documented as the ultimate clinical diagnoses when transferring care of the patient to the receiving facility, when the interaction has been resolved, or when the patient has refused transport.[5,6] The initial secondary problem code and the set of final problem codes are optional, but an initial primary problem code must be recorded for each patient encounter. In both settings, these codes are from a pre-determined list provided by the respective governing bodies and are entered into a structured form. Though paramedics can choose which code to enter, they cannot change the actual codes themselves, and other areas of ambulance electronic health records may allow notations.

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Data Collection

<u>Phase 1:</u> The ACSCs used as health system indicators by each government were retrieved from institutional websites. In Ontario, the Canadian members of the EDGE consortium identified the Canadian Institute for Health Information [28] and Health Quality Ontario [29] as the most appropriate institutional sources for ACSCs used for health system indicators. In the UK, the NHS Institute for Innovation and Improvement was identified as the most appropriate source.[7,26]

Phase 2: The clinical impression codes common to all Ontario paramedic services were obtained from the Ontario Ministry of Health and Long-Term Care (a provincial governmental body) manual for completing ambulance call reports, under the heading 'problem codes'.[3] This ministry is responsible for ongoing review and updating of the clinical codes. For the UK, the list of clinical impression codes were obtained from the East Midlands Ambulance Service (a regional institutional body) electronic patient report template within the Medusa electronic medical record platform. These UK codes are used nationally and were developed by a multi-disciplinary panel of NHS clinicians.[30] These codes, both in Ontario and the UK, provide a common structure for clinicians to use within an ambulance electronic health record despite the multiple different care settings and contexts.

Analysis

<u>Phase 1:</u> The ACSCs were first compared within each location (Ontario and UK) and then between locations. Within each location, the institutional lists of ACSCs were crossreferenced to determine if they contained the same conditions. All conditions were maintained, even if they only appeared on one list, but discrepancies were noted. Next, the lists for each location were compared against each other in a meeting with all EDGE Consortium members, aligning the conditions from each location by consensus. The final list of ACSCs was restricted to the conditions both locations had in common (i.e., shared ACSCs).

<u>Phase 2:</u> Using the shared ACSCs from Phase 1 and "mental health," paramedic clinical impression codes were matched to each condition. Within each location, a physician and paramedic independently matched the clinical impression codes to the conditions. Next, these results were compared for agreement. The paramedic and physician discussed any inconsistencies until they achieved consensus; the rationale for final codes selected is described in the results below. Where consensus could not be achieved, the

paramedic/physician pair from the other country formed an arbitration panel to resolve disagreement.

Results

Phase 1: ACSCs

The ACSC list from Health Quality Ontario [29] contained seven conditions: (a) angina; (b) asthma; (c) congestive heart failure (CHF) and pulmonary edema; (d) chronic obstructive pulmonary disease (COPD); (e) diabetes; (f) grand mal status and other epileptic convulsions; and, (g) hypertension. The Canadian Institute for Health Information list of ACSCs [28] had two groups: Group A had the same seven conditions as those from Healthy Quality Ontario listed above, and Group B was solely lower respiratory infections. Therefore, there was substantial agreement between the two institutional lists, and all eight conditions were maintained for the Ontario ACSC list (see Table 1).

The lists of ACSCs from UK institutional sources were much more extensive and included gynecological, dental, gastroenterological, upper respiratory, and vaccine preventable conditions. A King's Fund Report from 2012 [7] highlighted and clarified the NHS Institute for Innovation and Improvement's [26] definition of 19 ACSCs: (a) angina, (b) asthma, (c) cellulitis, (d) congestive heart failure, (e) convulsions and epilepsy, (f) chronic obstructive pulmonary disease, (g) dehydration and gastroenteritis, (h) dental conditions, (i) diabetes complications, (j) ear, nose and throat infections, (k) gangrene, (l) hypertension, (m) influenza and pneumonia, (n) iron-deficiency anaemia, (o) nutritional deficiency, (p) other vaccine preventable diseases, (q) pelvic inflammatory disease, (r) perforated/bleeding ulcer and (s) pyelonephritis (see Table 1).[7]

When the two regional lists were compared by the EDGE Consortium members, agreement was readily reached that the following conditions were common ACSCs for both countries: (a) Diabetes; (b) COPD; (c) Asthma; (d) Angina; (e) Grand mal status and other epileptic convulsions or Convulsions and Epilepsy; (f) Heart Failure and Pulmonary edema; (g) HTN; and, (h) Lower respiratory or Influenza and Pneumonia. Terminology was slightly different for seizure related conditions and lower respiratory conditions. Notably, all ACSCs from the Ontario list were captured within the UK list and neither list included mental health conditions (see Table 1).

Ontario ACSC List	UK ACSC List	Ontario/UK agreement	
Diabetes	Diabetes complications	Agree	
Chronic obstructive pulmonary disease	Chronic obstructive pulmonary disease	Agree	
Asthma	Asthma	Agree	
Angina	Angina	Agree	
Grand mal status and other epileptic convulsions	Convulsions and epilepsy	Agree	
Congestive heart failure and pulmonary edema	Congestive heart failure	Agree	
Hypertension	Hypertension	Agree	
	Cellulitis	No comparable ACSC in Ontario	
	Dehydration and gastroenteritis	No comparable ACSC in Ontario	
	Dental conditions	No comparable ACSC in Ontario	
	Ear, nose and throat infections	No comparable ACSC in Ontario	
	Gangrene	No comparable ACSC in Ontario	
Lower Respiratory	Influenza and pneumonia	Agree	
	Iron-deficiency anaemia	No comparable ACSC in Ontario	
	Nutritional deficiency	No comparable ACSC in Ontario	
	Other vaccine-preventable	No comparable ACSC in Ontario	
	Pelvic inflammatory disease 🤍	No comparable ACSC in Ontario	
	Perforated/bleeding ulcer	No comparable ACSC in Ontario	
	Pyelonephritis	No comparable ACSC in Ontario	

Table 1: Ambulatory care sensitive conditions common to both Ontario, Canada and theUnited Kingdom

Note: ACSC = Ambulatory Care Sensitive Condition

Phase 2: Clinical Impression Codes Mapped to ACSCs and mental health

Clinical impression codes for paramedics in the UK were more extensive than those in Ontario (see Table 2 and Supplementary File 1). For example Ontario has three problem codes for respiratory conditions describing the aetiology and the general presenting issue or symptom, whereas the UK has five codes covering a mixture of causes, symptoms and diagnoses or diseases. For mental health, the Ontario clinical impression codes are extremely broad, including a whole medical discipline, while the UK codes cover both mechanisms and diagnoses.

Category	Ontario Problem Codes	UK Clinical Impression Codes
Respiratory	21: Dyspnea	COPD
	24: Respiratory Arrest	Other Respiratory Problem
	11: Obstruction (Partial/Complete)	Chest Infection
		Choking
		Asthma
		Influenza
Mental Health	45: Behaviour / Psychiatric	Attempted Suicide
	81: Drug / Alcohol Overdose	Intentional Drug Overdose
		DOLS (Deprivation of Liberty Safeguards)
		Anxiety
		Psychosis
		Effects of Alcohol
		Social Problem
		Under MHA Section
		Accidental Overdose / Poisoning
		Depression
		Panic / Anxiety Attack
		Other Mental Health Problem
		Dementia
		Query Intoxicated

Table 2: Paramedic Impression Codes in Ontario and the UK for Respiratory and MentalHealth Conditions

The physician and paramedic pair from Ontario each independently selected the clinical impression codes that best matched each condition based on their clinical expertise (see Supplementary File 2). The physician selected more codes in order to reflect the breadth of scope of conditions, though the paramedic had selected mainly one code. Agreement was reached that in actual practice, a single impression code would be chosen by paramedics to represent conditions encountered. A greater number of codes was likely to cause a low specificity in mapping of clinical conditions. For example, for chronic obstructive airways disease (COPD), the physician chose five separate codes to represent conditions that may have caused respiratory changes, that a paramedic could have observed attending to someone experiencing a COPD exacerbation; codes covered 'dyspnea', 'temporary loss of consciousness', and 'weakness/dizziness/unwell' were identified. The paramedic chose two codes: 'dyspnea' as the

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main code and 'respiratory arrest' as an alternate code. At the ensuing discussion, the common clinical circumstances requiring 911 calls were elucidated and discussed in detail. Extremes of presentation were considered, as well as the usual paramedic options for clinical impression and those that were most often used in reality. Consensus was reached that a code of 'dyspnea' would be the most specific in capturing people who called 911 for COPD.

The physician and paramedic pair from the East Midlands also each selected the clinical impression codes that best matched each condition based on their clinical expertise (see Supplementary File 2). Both physician and paramedic selected clinical impression codes independently, followed by a discussion of any differences. For example, for "angina" the paramedic only selected "cardiac chest pain" whereas the physician selected "cardiac chest pain", "ECG Confirmed ST Segment Elevated MI" and "Cardiac Problem". Similar to the selection in Ontario, the paramedic was more selective, and the physician was more inclusive. We agreed for the purpose of this exercise to be inclusive rather than exclusive. The UK paramedic and physician selected identical clinical impressions for all other conditions.

In both Ontario and the UK there was no clinical code found for hypertension. In Ontario, three of the ACSCs have the same code (dyspnea) whereas in the UK, each ACSC has a more unique descriptive code. The mental health codes were completely different between Ontario and

Discussion

This paper has successfully mapped clinical impression codes generated by paramedics attending to patients with ACSCs and mental health conditions in Canada and the UK. However, some interesting factors have emerged that researchers should be aware of when analyzing any paramedic clinical impression codes for primary care or prehospital research purposes. Hypertension, though classified as an ACSC, could not be distinguished specifically enough from any of the existing paramedic impression codes for either Canada or the UK. The codes, however, did contain clinical scenarios that might have included hypertension-induced emergencies, such as cerebrovascular accidents or heart failure. Therefore, although hypertension is very common in primary care and its complications lead to emergency situations, it cannot easily be detected in paramedic impression codes. However the issue of clinical misclassification is not limited only to this situation. Literature shows that ICD-10 codes used by clinicians often do not match the ACSC codes.[31] This can make it difficult for clinicians when trying to classify the presentation of the patient they just saw.

As noted in Table 1, there are many more paramedic impression codes in the UK compared to the Canadian codes in Ontario. The inconsistency in the two countries' codes resulted in the mapping process being more difficult, though it was achieved. Having too many or too few paramedic impression codes may result in paramedics not being able to choose the appropriate codes for certain conditions when in the clinical field. Therefore it is possible that some Canadian clinical impression codes may be undifferentiated between the clinical impressions, leading to lack of variability in the data. When Ontario chooses to revise their ACSC lists, they may consider looking at some of the UK codes to provide a greater breadth of conditions. However the UK has a much greater degree of granularity, combining diagnoses as well as symptoms and causes, which may be too detailed, leading to mis-classifications. Ultimately, both scenarios will render the identification of ACSCs retrospectively technically difficult and might warrant more consideration as ambulance services refine their data collection tools. The best scenario would be to have a unified system of paramedic impression codes that would be relevant for all countries and adopted internationally, allowing for ease of comparisons.

Although mental health was not an ACSC according to either country's institutional lists, our cross-country research team included it because it is a term that encompasses conditions that could be better managed through primary care but that often lead to emergency health system use, e.g. suicidal crisis as a result of chronic depressive disorder. It would be appropriate for mental health to be included whenever the institutions revise their ACSC lists in

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the future. It is important to note that mental health constituting an ACSC would not describe any acute psychotic presentations, but rather depression and other mood-related disorders that are commonly managed in general practice.

Inter-country comparison of ACSCs has occurred though focussing on the reduction of hospitalisations for these conditions.[32-33] However, this work has not used ambulance call data, which remains methodologically novel. The existing research literature has highlighted the importance of making appropriate comparisons, and ensuring that the ACSCs selected for study are appropriate for the demographics, epidemiological profile and primary care practices across countries, and that they are similar.[32] This paper has followed this caveat, as it is comparing similar countries that have similar ACSCs, and this work is designed to ensure future comparative inter-country work will be truly comparable due to the mapping work we have done.

Having any information about patient pathways is important in healthcare systems as we try to set up integrated care. As discussion around integrated care proceeds, understanding the unmet healthcare needs of patients with certain avoidable conditions is crucial in healthcare planning. These types of discussion are already happening at healthcare planning tables in the UK and Canada. Now that paramedic indicators for potentially avoidable calls have been identified in this paper, these discussions may fuel a series of quality improvement and research papers on these unique patient pathways. Indeed, for patients who have health issues that lead to a 999/911 call, but are not needed to be transported to the hospital, paramedic clinical impression codes are the only place this function of the healthcare system is captured, therefore they are a very important tool to use. This paper lays down important groundwork to allow future between-country comparisons to start, and to determine which health service practices may benefit our patient populations more or less, as we learn from each other's mistakes and successes.

Limitations

Though paramedic data can be linked to ACSCs, we cannot be absolutely sure that we have identified the conditions that have manifested as ACSCs. This study has uncovered how vague (Canada) or over-prescriptive (UK) some of the impression codes are. This will definitely affect the quality of any data analytical work that would ensue from any epidemiological examination of the paramedic data. Future work should focus on subsequent validation studies, such as a more rigorous Delphi method, followed by validation against actual administrative data that includes details about hospital visits and diagnostic codes further down the line of health system patient involvement. We also acknowledge that not all ACSCs require solely

community healthcare access in order to avoid hospitalisation. Some chronic disease complications simply cannot be prevented upstream and will need emergency department access and prehospital care in certain specific situations. However, in the field of pre-hospital care, the concept of ACSCs provides a relevant and interesting benchmark from which to launch enquiry into our practices of care and as such, is a suitable indicator.

Conclusion

Clinical impression codes generated by paramedics upon attending to acute call patients can be mapped to cover ACSCs and mental health conditions, both in the UK and in Ontario, Canada. This is an important first step in determining the numbers of ASCSs and mental health conditions that paramedics attend to, and in examining the clinical pathways of these individuals across the health system. This work lays the foundation for international comparative health services research on integrated pathways in primary care and EMS.

Declarations

Ethics Approval and Consent to Participate

As this study did not have human participants and the co-authors mapped clinical impression codes (not patient data), ethical approval was not required.

Availability of Data and Materials

Data sharing is not applicable to this article as no new data were created in this study.

Competing Interests

The authors declare that they have no competing interests.

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Authors' contributions

GA, ANS, BM, RS, GW, RF, MP, RA, HM, and MG conceived of the study as a necessary step in the work of the EDGE consortium. GA and ANS served as the scientific advisors and led the study activities in Canada and the UK, respectively. GA, ANS, BM, RS, and MP collected the codes and data to be mapped. GA, ANS, BM, RS, and GW participated in the mapping and arbitration process. All authors contributed to the interpretation of the study results. GA, ANS, and MP drafted the manuscript. All authors provided critical comments on manuscript drafts,

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Ontario Problem Codes	UK Clinical Impression Codes
Vital Signs Absent	Iniury Trauma
1: Cardiac/Medical	Gunshot
2: Traumatic	Abdominal Injury
Airway	Near Drowning
11: Obstruction	Explosive / Blast Injury
(Partial/Complete)	Stab Wound
Breathing	Limb Injury
21: Dyspnea	Penetrating Trauma
24: Respiratory Arrest	Head Injury
Circulation	Neck Injury
31: Hemorrhage	Smoke Inhalation
33: Hypotension	Diving Incident
34: Suspected sepsis	Fall Non - Injury
Neurological	Chest Injury
40: I raumatic Brain Injury	I nermai injury
41: Stroke / HA	Back Injury Carbon Monovido Boisoning
42: Temporary Loss of	Drowning
Consciousness	Electrocution
43: Altered Level of	Chemical Exposure
Consciousness	Multi-System Trauma
44: Headache	Cold Exposure
45: Behaviour / Psychiatric	CBRNE Incident
45.01: Excited Delirium	Back Pain Non-Traumatic
46: Active Seizure	Unintentional Overdose
47: Paralysis / Spinal Trauma	Alleged Assault
48: Confusion / Disorientation	Soft Tissue Injury
	Suspected Neck of Femur
49. Official Sectors	Gastrointestinal
50: Post-Ictal	Acute Abdominal Problem
	Constipation
51: Ischemic	Diarrhea & Vomiting
53: Palpitations	Gastrointestinal Bleed
54: Pulmonary Edema	
55: Post Arrest	Ulliary Uripary Problem
56: Cardiogenic Shock	Urinary Tract Infection
57: STEMI	Catheter Problem
58: Hyperkalemia	Allergic
Non-Traumatic	Allergic Reaction
60: Non Ischemic Chest Pain	Bite / Sting
61: Abdominal / Pelvic /	Neurological/stroke
Perineal / Rectal Pain	Faint
62: Back Pain	Collapse Unknown Cause
UZ. DAUN FAILI	Collapse ? Cause

Gastrointestinal 63: Nausea/ Vomiting/ Diarrhea Musculoskeletal/Trauma 66: Musculoskeletal 67: Trauma / Injury Obstetrical/Gynecological 71: Obstetrical Emergency 72: Gynecological Emergency 73: Newborn / Neonatal Endocrine/Toxicological 81: Drug / Alcohol Overdose 81.1: Suspected Opioid Overdose 82: Poisoning / Toxic Exposure 83: Diabetic Emergency 84: Allergic Reaction 85: Anaphylaxis 86: Adrenal Crisis General and Minor 87: Novel Medications 88: Home Medical Technology 89: Lift Assist 90: Inter-facility Transfer 91: Environmental Emergency 92: Weakness / Dizziness / Unwell 93: Treatment / Diagnosis & Return 94: Convalescent / Invalid / Return Home 95: Infectious Disease 96: Organ Retrieval / Transfer 98: Organ Recipient 99:Other Medical / Trauma	Convulsion Other Neurological Problem Febrile Convulsion Chronic Neurological Problem Headache Meningitis Faint/Dizziness Obstetric or gynaecological Ectopic Pregnancy Other Gynae Problem Eclampsia Chronic Gynae Problem Other Obstetric Problem New Born Infant Delivery Complication Antepartum Haemorrhage Miscarriage Postpartum Haemorrhage Pre-eclampsia In Labour PV Bleed Baby Delivered Non-specific Other Medical Problem Unknown Problem Transport Only No Apparent Problem Cardiovascular Arrhythmia / Palpitations ECG Confirmed ST Segment Elevated MI Cardiac Chest Pain AAA ([ruptured] abdominal aorti aneurysm) Ischaemic Limb Heart Failure Suspected PE TIA Stroke Sickle Cell Crisis Chest Pain Non-Cardiac Respiratory COPD Other Respiratory Problem Chest Infection Choking Asthma Influenza Psychosocial
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1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Attempted Suicide Intentional Drug Overdose DOLS (Deprivation of Liberty Safeguards) Anxiety Psychosis Effects of Alcohol Social Problem Under MHA Section Accidental Overdose / Poisoning Depression Panic / Anxiety Attack Other Mental Health Problem Dementia Query Intoxicated ENT/opthalmological
20	Dental Problem Other ENT Problem
22 23	Eye Problem Epistaxis
24 25	Sepsis
26 27	Other Infection
28	Palliative Care/frailty
30 31	Off Legs / Poor Mobility Endocrine
32 33	Hyperglycaemia Hypoglycaemia
34 35	Other Diabetic Problem Endocrine Emergency
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Supplementary File 2

Table S2. Paramedic Impression Codes Mapped to Ambulatory Care Sensitive Conditions

2224		Ontario Codes			UK Codes	Final Codes for Use		
variable	Paramedic- supplied	Physician- supplied	Combined/ Corroborated	Paramedic- supplied	Physician- supplied	Combined/ Corroborated	Ontario	UK
Diabetes	 83: Diabetic Emergency <u>Alternate:</u> 41: Stroke/TIA 43: Altered Level of Consciousness 48: Confusion/ Disorientation 49: Unconscious 63: Nausea/ Vomiting/Diarrhea 92: Weakness/ Dizziness/Unwell 	 83: Diabetic Emergency 42: Temporary Loss of Consciousness 63: Nausea/ Vomiting/ Diarrhea 92: Weakness/ Dizziness/Unwell 	83: Diabetic Emergency	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	83: Diabetic Emergency	Hyperglycaemia Hypoglycaemia Other Diabetic Problem
COPD	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	21: Dyspnea 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/ Dizziness/Unwell	21: Dyspnea	COPD	COPD	COPD	21: Dyspnea	COPD
Asthma	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	21: Dyspnea 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/	21: Dyspnea	Asthma	Asthma	Asthma	21: Dyspnea	Asthma

		Dizziness/Unwell						
Angina	51: Ischemic	21: Dyspnea	51: Ischemic	Cardiac Chest Pain	ECG Confirmed	ECG Confirmed	51: Ischemic 57: STEMI	ECG Confirmed ST
	<u>Alternate:</u>	51: Ischemic			Elevated MI Cardiac Problem	Elevated MI		MI
	53: Palpitations	92: Weakness/				Cardiac Problem		Cardiac Problem
	55: Post Arrest	Dizziness/onweil			Cardiac Chest	Cardiac Chest		Cardiac Chest Pain
	56: Cardiogenic Shock				Falli	Falli		
	57: STEMI							
	61: Abdominal/ Pelvic/Perineal/ Rectal Pain	° Or						
Grand Mal and	46: Active Seizure	46: Active Seizure	46: Active Seizure	Convulsion	Convulsion	Convulsion	46: Active	Convulsion
other epileptic	<u>Alternate:</u>	50: Post-ictal	50: Post-ictal	Epilepsy	Epilepsy	Epilepsy	Seizure	⊏pilepsy
	42: Temporary	42: Temporary	64				50: Post-ictai	
	Loss of Consciousness	Loss of Consciousness						
	43: Altered Level	44: Headache						
	of Consciousness	45: Behaviour/						
	48: Confusion	Psychiatric						
		48: Confusion/						
	49. Unconscious							
	50. Post-Iciai	09. LIII ASSISI						
		Dizziness/Unwell						
HF and Pulm	54: Pulmonary	21: Dyspnea	54: Pulmonary	Heart Failure	Heart Failure	Heart Failure	54: Pulmonary	Heart Failure
Edema	Edema	54: Pulmonary	Edema				Edema	
	<u>Alternate:</u>	Edema						
	24: Respiratory Arrest	60: Non Ischemic Chest Pain						
	51: Ischemic	92: Weakness/						
	55: Post Arrest	Dizziness/ Unwell						
	56: Cardiogenic Shock							
	57: STEMI							

Hypertension	99: Other Medical/	44: Headache	No code available	None	None	No code available	No code available	No code available
	Trauma Alternate:	92: Weakness/ Dizziness/ Unwell						
	41: Stroke/TIA	99: Other						
	44: Headache	Medical/Trauma						
	51: Ischemic							
	53: Palpitations							
	54: Pulmonary Edema							
	57: STEMI							
Lower	21: Dyspnea	21: Dyspnea	21: Dyspnea	Chest infection	Chest infection	Chest Infection	21: Dyspnea	Chest Infection
Respiratory	<u>Alternate:</u>	33: Hypotension						
	24: Respiratory Arrest	42: Temporary Loss of Consciousness	664					
		60: Non Ischemic Chest Pain		8				
		92: Weakness/ Dizziness/ Unwell		Via				
Mental Health	45: Behaviour/	21: Dyspnea	45: Behaviour/	Attempted Suicide	Attempted Suicide	Attempted Suicide	45: Behaviour/	Attempted Suicide
- anxiety, depression,	Psychiatric	45: Behaviour/	Psychiatric	Intentional Drug	Intentional Drug	Intentional Drug	Psychiatric	Intentional Drug
panic attack	Allemale.			Anvioty	Anviotu	Anvioty	81: Intentional	Apvioty
	43: Altered Level of Consciousness 53: Palpitations	tations		Revebosis	Revebosis	Revebosis	arug overaose	Revebosis
				Poprossion	Depression	Poprossion		Poprossion
		44: Headache		Depression Dania / Anviaty	Banic / Anviety	Depression Depression		Depression Dania / Anviaty
		48: Confusion/ Disorientation		Attack	Attack	Attack		Attack
		60: Non Ischemic Chest Pain						
		63: Nausea/ Vomiting/ Diarrhea						
		92: Weakness/ Dizziness/ Unwell						

	89 [.] Lift Assist			
	45.01 Excited			
	Delirium			

Notes: COPD = chronic obstructive pulmonary disease; ECG = electrocardiogram; MI = myocardial infarction; ST Elevation =

 elevation of the ST segment on an electrocardiogram; STEMI = ST elevation myocardial infarction; TIA = transient ischemic attack

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Development of Indicators for Avoidable Emergency Medical Service Calls by Mapping Paramedic Clinical Impression Codes to Ambulatory Care Sensitive Conditions and Mental Health Conditions in the UK and Canada

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Development of Indicators for Avoidable Emergency Medical Service Calls by Mapping Paramedic Clinical Impression Codes to Ambulatory Care Sensitive Conditions and Mental Health Conditions in the UK and Canada

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Abstract

Objective: Paramedic assessment data has not been used for research on avoidable calls. Paramedic impression codes are designated by paramedics upon responding to a 911/999 medical emergency after an assessment of the presenting condition. Ambulatory Care Sensitive Conditions (ACSCs) are non-acute health conditions not needing hospital admission when properly managed. This study aimed to map the paramedic impression codes to ACSCs and mental health conditions for use in future research on avoidable 911/999 calls.

Design: Mapping paramedic impression codes to existing definitions of ambulatory care sensitive conditions (ACSCs) and mental health conditions.

Setting: East Midlands Region, UK and Southern Ontario, Canada

Participants: Expert panel from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium

Results: Mapping was iterative first identifying the common ACSCs shared between the two countries then identifying the respective clinical impression codes for each country that mapped to those shared ACSCs as well as to mental health conditions. Experts from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium contributed to both phases and were able to independently match the codes and then compare results. Clinical impression codes for paramedics in the UK were more extensive than those in Ontario. The mapping revealed some interesting inconsistencies between paramedic impression codes, but also demonstrated that it was possible.

Conclusion: This is an important first step in determining the numbers of ASCSs and mental health conditions that paramedics attend to, and in examining the clinical pathways of these individuals across the health system. This work lays the foundation for international comparative health services research on integrated pathways in primary care and emergency medical services.

Strengths and Limitations of this Study

- Clinical impression codes available to paramedics in their mandatory reporting forms are an underutilized source of health system data and were mapped to ambulatory care sensitive conditions (ACSCs) and mental health conditions using a novel method.
- The mapping was conducted for both the UK and Ontario, Canada contexts, supporting future inter-country comparisons between these regions with similar healthcare systems but varying policies and resources.

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- Though paramedic data can be linked to ACSCs using the mapping from this study, it • cannot be absolutely certain that the conditions with these codes are manifestations of
- The mapping was only completed for ACSCs as defined by the UK and Ontario, Canada • healthcare systems and the mapping method would need to be repeated for other

<text>

Introduction

Paramedic assessment data are often used in pre-hospital research [1,2], but rarely for research on avoidable calls.[1] Paramedic impression codes are designated by paramedics during a 911/999 medical emergency response after completing a patient assessment.[3,4] This is critical information for primary care research, capturing the hitherto unknown clinical 'pathway' of a patient, namely: (a) the clinical situation *between* the primary care setting and the emergency department (ED), or (b) details of clinical cases assessed by paramedics but *not* transported to hospital. Importantly, the latter scenario typically comprises lower acuity calls contributing to emergency health system burden that may be better addressed by primary care.[1]

Ambulatory Care Sensitive Conditions (ACSCs) are non-acute health conditions not requiring hospital admission when properly managed or prevented by accessible primary care in the community.[5] ACSCs are routinely monitored and are quality indicators for primary, community, and hospital care.[6] While there are some ACSC complications that cannot be prevented, in the majority of cases they can usually be successfully managed within the community; however, ACSCs are still placing significant demand on hospitals.[7–9] Canadian data demonstrate that, excluding physician costs, ED visits for unmanaged ACSCs average \$280 CDN[9] and hospitalizations \$5700 CDN.[9] Recent studies indicate that ACSCs may also be contributing to the rise in 911/999 calls for emergency medical services.[1,10,11] UK 999 calls have been rising by 7% each year [12], whilst 911 calls in Ontario increased by 4.2% [13], outpacing population growth. To address this burden and reduce avoidable calls for ACSCs, community paramedicine or alternative paramedicine models are being developed and implemented.[1] However, literature examining ACSCs and 911/999 calls has used dispatch data [14], ED visits via ambulance [15], and patient self-reported reasons for calling.[12] Research has found that dispatch data does not correspond closely with paramedic clinical impression [16], suggesting that dispatch data is not representative of the true nature of the call after the paramedic has completed their assessment. Increasingly, prehospital research studies are utilising clinical impressions for this reason.[1,17]

Next, ED visit research may underestimate the burden of ACSCs on 911/999 because 16-38% of patients are not transported to ED [17–19] and these non-transports may be primarily for low-acuity conditions.[18,19] Lastly, self-report data may suffer from bias in who can be contacted, self-selection bias, recall bias, social desirability bias, and errors due to incorrect responses (e.g. poor health literacy). Therefore, being able to identify ACSCs from paramedic impression codes in administrative datasets would be valuable for health system research; for

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example, studies on the differential rates in 911/999 non-transports for ACSC and non-ACSC calls, and potential primary care interventions.

Although mental health conditions are not traditionally considered ACSCs, they have similar characteristics and health system implications. Specifically, the majority of depressive and mood-related disorder cases can be better managed in primary care and community settings [20,21], avoiding visits to the ED.[22] However, mental health conditions represent 4% of ED visits [23] based on hospital discharge data, and 8-11% of 911/999 calls [24,25], based on dispatch data. As with ACSCs, these data sources provide some indication but do not accurately represent the true prevalence of mental health conditions in the pre-hospital emergency environment; instead, this would be better captured using paramedic clinical impression codes - a gap in the current literature.

This study focused on paramedic impression codes from the East Midlands Region, UK and from Southern Ontario, Canada. These are two international regions with universal healthcare, established ambulance/paramedic services, and electronic records for each pre-hospital emergency patient interaction. Comparative research to understand the variation between healthcare systems is critical to inform future improvements. In understanding the differences, the revealed complexity provides opportunities for multiple areas of health-systems learning. Though this type of research has been initiated in primary care [26] it has not been conducted internationally between ambulance services. A detailed understanding of patient pathways as they negotiate healthcare from the emergency 911/999 call through prehospital health services, with or without a hospital visit, is required. ASCSs therefore provide an excellent way to explore and trace similar conditions through the primary care and prehospital system.

Our objective was to map paramedic clinical impression codes to ACSCs and mental health conditions in two international regions (UK and Ontario, Canada) with universal healthcare but different contexts (e.g., policy, programs, resources, built environment), thereby laying groundwork for future cross-jurisdictional comparative primary care or prehospital research.

Methods

Study Design

The mapping was accomplished iteratively with two main phases: (a) identifying the common ACSCs shared between the two countries; and (b) identifying the respective clinical impression codes for each country that map to those shared ACSCs as well as to mental health conditions. The results of this study will be integral to subsequent international health services

research examining out-of-hospital emergency responses for ACSCs and mental health conditions.

Setting and Participants

 A panel of experts from the UK-Canada Emergency Calls Data analysis and GEospatial mapping (EDGE) Consortium contributed to both phases. The EDGE Consortium was formed in 2019, comprising academics from relevant disciplines, including but not limited to: primary care, paramedicine, rural health, health services and policy, biostatistics, and geography. Some members of the EDGE Consortium are also senior leaders of paramedic services and/or practicing primary care physicians (i.e., family doctors or general practitioners). For the first phase (identifying the list of common ACSCs), all 13 members of the EDGE consortium as of April 3rd, 2020, participated. The second phase (mapping clinical impression codes to ACSCs and mental health conditions), involved one paramedic and one primary care physician from each country.

Patient and Public Involvement

No patients were involved in this study.

Data Sources

Ambulance services under the National Health Service (NHS) in the UK record the paramedic's clinical impression in the 'ambulance electronic patient record system' and after patient assessment it is the main diagnostic source of paramedic clinical data.[6] Similarly, paramedic services in Ontario, Canada, have paramedics record their clinical impression after patient assessment using 'problem codes' in the 'ambulance call report'.[27] On first encounter with the patient, both a primary and secondary problem code can be documented as the main clinical impression, and then a final set of primary and secondary problem codes can be documented as the ultimate clinical diagnoses when transferring care of the patient to the receiving facility, when the interaction has been resolved, or when the patient has refused transport.[5,6] The initial secondary problem code and the set of final problem codes are optional, but an initial primary problem code must be recorded for each patient encounter. In both settings, these codes are from a pre-determined list provided by the respective governing bodies and are entered into a structured form. Though paramedics can choose which code to enter, they cannot change the actual codes themselves, and other areas of ambulance electronic health records may allow notations.

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Data Collection

<u>Phase 1:</u> The ACSCs used as health system indicators by each government were retrieved from institutional websites. In Ontario, the Canadian members of the EDGE consortium identified the Canadian Institute for Health Information [28] and Health Quality Ontario [29] as the most appropriate institutional sources for ACSCs used for health system indicators. In the UK, the NHS Institute for Innovation and Improvement was identified as the most appropriate source.[7,26]

Phase 2: The clinical impression codes common to all Ontario paramedic services were obtained from the Ontario Ministry of Health and Long-Term Care (a provincial governmental body) manual for completing ambulance call reports, under the heading 'problem codes'.[3] This ministry is responsible for ongoing review and updating of the clinical codes. For the UK, the list of clinical impression codes were obtained from the East Midlands Ambulance Service (a regional institutional body) electronic patient report template within the Medusa electronic medical record platform. These UK codes are used nationally and were developed by a multi-disciplinary panel of NHS clinicians.[30] These codes, both in Ontario and the UK, provide a common structure for clinicians to use within an ambulance electronic health record despite the multiple different care settings and contexts.

Analysis

<u>Phase 1:</u> The ACSCs were first compared within each location (Ontario and UK) and then between locations. Within each location, the institutional lists of ACSCs were crossreferenced to determine if they contained the same conditions. All conditions were maintained, even if they only appeared on one list, but discrepancies were noted. Next, the lists for each location were compared against each other in a meeting with all EDGE Consortium members, aligning the conditions from each location by consensus. The final list of ACSCs was restricted to the conditions both locations had in common (i.e., shared ACSCs).

<u>Phase 2:</u> Using the shared ACSCs from Phase 1 and "mental health," paramedic clinical impression codes were matched to each condition. Within each location, a physician and paramedic independently matched the clinical impression codes to the conditions. Next, these results were compared for agreement. The paramedic and physician discussed any inconsistencies until they achieved consensus; the rationale for final codes selected is described in the results below. Where consensus could not be achieved, the

paramedic/physician pair from the other country formed an arbitration panel to resolve disagreement.

Results

Phase 1: ACSCs

The ACSC list from Health Quality Ontario [29] contained seven conditions: (a) angina; (b) asthma; (c) congestive heart failure (CHF) and pulmonary edema; (d) chronic obstructive pulmonary disease (COPD); (e) diabetes; (f) grand mal status and other epileptic convulsions; and, (g) hypertension. The Canadian Institute for Health Information list of ACSCs [28] had two groups: Group A had the same seven conditions as those from Healthy Quality Ontario listed above, and Group B was solely lower respiratory infections. Therefore, there was substantial agreement between the two institutional lists, and all eight conditions were maintained for the Ontario ACSC list (see Table 1).

The lists of ACSCs from UK institutional sources were much more extensive and included gynecological, dental, gastroenterological, upper respiratory, and vaccine preventable conditions. A King's Fund Report from 2012 [7] highlighted and clarified the NHS Institute for Innovation and Improvement's [26] definition of 19 ACSCs: (a) angina, (b) asthma, (c) cellulitis, (d) congestive heart failure, (e) convulsions and epilepsy, (f) chronic obstructive pulmonary disease, (g) dehydration and gastroenteritis, (h) dental conditions, (i) diabetes complications, (j) ear, nose and throat infections, (k) gangrene, (l) hypertension, (m) influenza and pneumonia, (n) iron-deficiency anaemia, (o) nutritional deficiency, (p) other vaccine preventable diseases, (q) pelvic inflammatory disease, (r) perforated/bleeding ulcer and (s) pyelonephritis (see Table 1).[7]

When the two regional lists were compared by the EDGE Consortium members, agreement was readily reached that the following conditions were common ACSCs for both countries: (a) Diabetes; (b) COPD; (c) Asthma; (d) Angina; (e) Grand mal status and other epileptic convulsions or Convulsions and Epilepsy; (f) Heart Failure and Pulmonary edema; (g) HTN; and, (h) Lower respiratory or Influenza and Pneumonia. Terminology was slightly different for seizure related conditions and lower respiratory conditions. Notably, all ACSCs from the Ontario list were captured within the UK list and neither list included mental health conditions (see Table 1).

Ontario ACSC List	UK ACSC List	Ontario/UK agreement
Diabetes	Diabetes complications	Agree
Chronic obstructive pulmonary disease	Chronic obstructive pulmonary disease	Agree
Asthma	Asthma	Agree
Angina	Angina	Agree
Grand mal status and other epileptic convulsions	Convulsions and epilepsy	Agree
Congestive heart failure and pulmonary edema	Congestive heart failure	Agree
Hypertension	Hypertension	Agree
	Cellulitis	No comparable ACSC in Ontario
	Dehydration and gastroenteritis	No comparable ACSC in Ontario
	Dental conditions	No comparable ACSC in Ontario
	Ear, nose and throat infections	No comparable ACSC in Ontario
	Gangrene	No comparable ACSC in Ontario
Lower Respiratory	Influenza and pneumonia	Agree
	Iron-deficiency anaemia	No comparable ACSC in Ontario
	Nutritional deficiency	No comparable ACSC in Ontario
	Other vaccine-preventable	No comparable ACSC in Ontario
	Pelvic inflammatory disease 🤍	No comparable ACSC in Ontario
	Perforated/bleeding ulcer	No comparable ACSC in Ontario
	Pyelonephritis	No comparable ACSC in Ontario

Table 1: Ambulatory care sensitive conditions common to both Ontario, Canada and theUnited Kingdom

Note: ACSC = Ambulatory Care Sensitive Condition

Phase 2: Clinical Impression Codes Mapped to ACSCs and mental health

Clinical impression codes for paramedics in the UK were more extensive than those in Ontario (see Table 2 and Supplementary File 1). For example Ontario has three problem codes for respiratory conditions describing the aetiology and the general presenting issue or symptom, whereas the UK has five codes covering a mixture of causes, symptoms and diagnoses or diseases. For mental health, the Ontario clinical impression codes are extremely broad, including a whole medical discipline, while the UK codes cover both mechanisms and diagnoses.

Category	Ontario Problem Codes	UK Clinical Impression Codes
Respiratory	21: Dyspnea	COPD
	24: Respiratory Arrest	Other Respiratory Problem
	11: Obstruction (Partial/Complete)	Chest Infection
		Choking
		Asthma
		Influenza
Mental Health	45: Behaviour / Psychiatric	Attempted Suicide
	81: Drug / Alcohol Overdose	Intentional Drug Overdose
		DOLS (Deprivation of Liberty Safeguards)
		Anxiety
		Psychosis
		Effects of Alcohol
		Social Problem
		Under MHA Section
		Accidental Overdose / Poisoning
		Depression
		Panic / Anxiety Attack
		Other Mental Health Problem
		Dementia
		Query Intoxicated

Table 2: Paramedic Impression Codes in Ontario and the UK for Respiratory and MentalHealth Conditions

The physician and paramedic pair from Ontario each independently selected the clinical impression codes that best matched each condition based on their clinical expertise (see Supplementary File 2). The physician selected more codes in order to reflect the breadth of scope of conditions, though the paramedic had selected mainly one code. Agreement was reached that in actual practice, a single impression code would be chosen by paramedics to represent conditions encountered. A greater number of codes was likely to cause a low specificity in mapping of clinical conditions. For example, for chronic obstructive airways disease (COPD), the physician chose five separate codes to represent conditions that may have caused respiratory changes, that a paramedic could have observed attending to someone experiencing a COPD exacerbation; codes covered 'dyspnea', 'temporary loss of consciousness', and 'weakness/dizziness/unwell' were identified. The paramedic chose two codes: 'dyspnea' as the

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main code and 'respiratory arrest' as an alternate code. At the ensuing discussion, the common clinical circumstances requiring 911 calls were elucidated and discussed in detail. Extremes of presentation were considered, as well as the usual paramedic options for clinical impression and those that were most often used in reality. Consensus was reached that a code of 'dyspnea' would be the most specific in capturing people who called 911 for COPD.

The physician and paramedic pair from the East Midlands also each selected the clinical impression codes that best matched each condition based on their clinical expertise (see Supplementary File 2). Both physician and paramedic selected clinical impression codes independently, followed by a discussion of any differences. For example, for "angina" the paramedic only selected "cardiac chest pain" whereas the physician selected "cardiac chest pain", "ECG Confirmed ST Segment Elevated MI" and "Cardiac Problem". Similar to the selection in Ontario, the paramedic was more selective, and the physician was more inclusive. We agreed for the purpose of this exercise to be inclusive rather than exclusive. The UK paramedic and physician selected identical clinical impressions for all other conditions.

In both Ontario and the UK there was no clinical code found for hypertension. In Ontario, three of the ACSCs have the same code (dyspnea) whereas in the UK, each ACSC has a more unique descriptive code. The mental health codes were completely different between Ontario and

Discussion

This paper has successfully mapped clinical impression codes generated by paramedics attending to patients with ACSCs and mental health conditions in Canada and the UK. However, some interesting factors have emerged that researchers should be aware of when analyzing any paramedic clinical impression codes for primary care or prehospital research purposes. Hypertension, though classified as an ACSC, could not be distinguished specifically enough from any of the existing paramedic impression codes for either Canada or the UK. The codes, however, did contain clinical scenarios that might have included hypertension-induced emergencies, such as cerebrovascular accidents or heart failure. Therefore, although hypertension is very common in primary care and its complications lead to emergency situations, it cannot easily be detected in paramedic impression codes. However the issue of clinical misclassification is not limited only to this situation. Literature shows that ICD-10 codes used by clinicians often do not match the ACSC codes.[31] This can make it difficult for clinicians when trying to classify the presentation of the patient they just saw.

As noted in Table 1, there are many more paramedic impression codes in the UK compared to the Canadian codes in Ontario. The inconsistency in the two countries' codes resulted in the mapping process being more difficult, though it was achieved. Having too many or too few paramedic impression codes may result in paramedics not being able to choose the appropriate codes for certain conditions when in the clinical field. Therefore it is possible that some Canadian clinical impression codes may be undifferentiated between the clinical impressions, leading to lack of variability in the data. When Ontario chooses to revise their ACSC lists, they may consider looking at some of the UK codes to provide a greater breadth of conditions. However the UK has a much greater degree of granularity, combining diagnoses as well as symptoms and causes, which may be too detailed, leading to mis-classifications. Ultimately, both scenarios will render the identification of ACSCs retrospectively technically difficult and might warrant more consideration as ambulance services refine their data collection tools. The best scenario would be to have a unified system of paramedic impression codes that would be relevant for all countries and adopted internationally, allowing for ease of comparisons.

Although mental health was not an ACSC according to either country's institutional lists, our cross-country research team included it because it is a term that encompasses conditions that could be better managed through primary care but that often lead to emergency health system use, e.g. suicidal crisis as a result of chronic depressive disorder. It would be appropriate for mental health to be included whenever the institutions revise their ACSC lists in
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the future. It is important to note that mental health constituting an ACSC would not describe any acute psychotic presentations, but rather depression and other mood-related disorders that are commonly managed in general practice.

Inter-country comparison of ACSCs has occurred though focussing on the reduction of hospitalisations for these conditions.[32-33] However, this work has not used ambulance call data, which remains methodologically novel. The existing research literature has highlighted the importance of making appropriate comparisons, and ensuring that the ACSCs selected for study are appropriate for the demographics, epidemiological profile and primary care practices across countries, and that they are similar.[32] This paper has followed this caveat, as it is comparing similar countries that have similar ACSCs, and this work is designed to ensure future comparative inter-country work will be truly comparable due to the mapping work we have done.

Having any information about patient pathways is important in healthcare systems as we try to set up integrated care. As discussion around integrated care proceeds, understanding the unmet healthcare needs of patients with certain avoidable conditions is crucial in healthcare planning. These types of discussion are already happening at healthcare planning tables in the UK and Canada. Now that paramedic indicators for potentially avoidable calls have been identified in this paper, these discussions may fuel a series of quality improvement and research papers on these unique patient pathways. Indeed, for patients who have health issues that lead to a 999/911 call, but are not needed to be transported to the hospital, paramedic clinical impression codes are the only place this function of the healthcare system is captured, therefore they are a very important tool to use. This paper lays down important groundwork to allow future between-country comparisons to start, and to determine which health service practices may benefit our patient populations more or less, as we learn from each other's mistakes and successes.

Limitations

Though paramedic data can be linked to ACSCs, we cannot be absolutely sure that we have identified the conditions that have manifested as ACSCs. This study has uncovered how vague (Canada) or over-prescriptive (UK) some of the impression codes are. This will definitely affect the quality of any data analytical work that would ensue from any epidemiological examination of the paramedic data. Future work should focus on subsequent validation studies, such as a more rigorous Delphi method, followed by validation against actual administrative data that includes details about hospital visits and diagnostic codes further down the line of health system patient involvement. We also acknowledge that not all ACSCs require solely

community healthcare access in order to avoid hospitalisation. Some chronic disease complications simply cannot be prevented upstream and will need emergency department access and prehospital care in certain specific situations. However, in the field of pre-hospital care, the concept of ACSCs provides a relevant and interesting benchmark from which to launch enquiry into our practices of care and as such, is a suitable indicator.

Conclusion

Clinical impression codes generated by paramedics upon attending to acute call patients can be mapped to cover ACSCs and mental health conditions, both in the UK and in Ontario, Canada. This is an important first step in determining the numbers of ASCSs and mental health conditions that paramedics attend to, and in examining the clinical pathways of these individuals across the health system. This work lays the foundation for international comparative health services research on integrated pathways in primary care and EMS.

Declarations

Ethics Approval and Consent to Participate

As this study did not have human participants and the co-authors mapped clinical impression codes (not patient data), ethical approval was not required.

Availability of Data and Materials

Data sharing is not applicable to this article as no new data were created in this study.

Competing Interests

The authors declare that they have no competing interests.

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Not applicable.

Authors' contributions

GA, ANS, BM, RS, GW, RF, MP, RA, HM, and MG conceived of the study as a necessary step in the work of the EDGE consortium. GA and ANS served as the scientific advisors and led the study activities in Canada and the UK, respectively. GA, ANS, BM, RS, and MP collected the codes and data to be mapped. GA, ANS, BM, RS, and GW participated in the mapping and arbitration process. All authors contributed to the interpretation of the study results. GA, ANS, and MP drafted the manuscript. All authors provided critical comments on manuscript drafts,

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Ontario Problem Codes	UK Clinical Impression Codes
Vital Signs Absent	Iniury Trauma
1: Cardiac/Medical	Gunshot
2: Traumatic	Abdominal Injury
Airway	Near Drowning
11: Obstruction	Explosive / Blast Injury
(Partial/Complete)	Stab Wound
Breathing	Limb Injury
21: Dyspnea	Penetrating Trauma
24: Respiratory Arrest	Head Injury
Circulation	Neck Injury
31: Hemorrhage	Smoke Inhalation
33: Hypotension	Diving Incident
34: Suspected sepsis	Fall Non - Injury
Neurological	Chest Injury
40: Traumatic Brain Injury	Poek Injury
	Carbon Monovide Reisoning
42: Temporary Loss of	Drowning
Consciousness	Electrocution
43: Altered Level of	Chemical Exposure
Consciousness	Multi-System Trauma
44: Headache	Cold Exposure
45: Behaviour / Psychiatric	CBRNE Incident
45.01: Excited Delirium	Back Pain Non-Traumatic
46: Active Seizure	Unintentional Overdose
47 [.] Paralysis / Spinal Trauma	Alleged Assault
48: Confusion / Disorientation	Soft Tissue Injury
	Suspected Neck of Femur
FO: Doot intol	Gastrointestinal
	Acute Abdominal Problem
Cardiac	Constipation
51. Ischemic	Diarmea & vomiting
53: Palpitations	Other Abdominal Broblem
54: Pulmonary Edema	
55: Post Arrest	Urinary Problem
56: Cardiogenic Shock	Urinary Tract Infection
57: STEMI	Catheter Problem
58: Hyperkalemia	Allergic
Non-Traumatic	Allergic Reaction
60: Non Ischemic Chest Pain	Bite / Sting
61: Abdominal / Pelvic /	Neurological/stroke
Perineal / Rectal Pain	Faint
62: Back Pain	Collapse Unknown Cause
	Collapse ? Cause

Gastrointestinal 63: Nausea/ Vomiting/ Diarrhea Musculoskeletal/Trauma 66: Musculoskeletal 67: Trauma / Injury Obstetrical/Gynecological 71: Obstetrical Emergency 72: Gynecological Emergency 73: Newborn / Neonatal Endocrine/Toxicological 81: Drug / Alcohol Overdose 81: Drug / Alcohol Overdose 81: Drug / Alcohol Overdose 82: Poisoning / Toxic Exposure 83: Diabetic Emergency 84: Allergic Reaction 85: Anaphylaxis 86: Adrenal Crisis General and Minor 87: Novel Medications 88: Home Medical Technology 89: Lift Assist 90: Inter-facility Transfer 91: Environmental Emergency 92: Weakness / Dizziness / Unwell 93: Treatment / Diagnosis & Return 94: Convalescent / Invalid / Return Home 95: Infectious Disease 96: Organ Retrieval / Transfer 98: Organ Recipient 99:Other Medical / Trauma	Convulsion Other Neurological Problem Febrile Convulsion Chronic Neurological Problem Headache Meningitis Faint/Dizziness Obstetric or gynaecological Ectopic Pregnancy Other Gynae Problem Eclampsia Chronic Gynae Problem Other Obstetric Problem New Born Infant Delivery Complication Antepartum Haemorrhage Miscarriage Postpartum Haemorrhage Pre-eclampsia In Labour PV Bleed Baby Delivered Non-specific Other Medical Problem Unknown Problem Transport Only No Apparent Problem Cardiovascular Arrhythmia / Palpitations ECG Confirmed ST Segment Elevated MI Cardiac Chest Pain AAA ([ruptured] abdominal aorti aneurysm) Ischaemic Limb Heart Failure Suspected PE TIA Stroke Sickle Cell Crisis Chest Pain Non-Cardiac Respiratory COPD Other Respiratory Problem Chest Infection Choking Asthma Influenza Psychosocial
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1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Attempted Suicide Intentional Drug Overdose DOLS (Deprivation of Liberty Safeguards) Anxiety Psychosis Effects of Alcohol Social Problem Under MHA Section Accidental Overdose / Poisoning Depression Panic / Anxiety Attack Other Mental Health Problem Dementia Query Intoxicated ENT/opthalmological
20 21	Other ENT Problem
22 23	Eye Problem Epistaxis
24 25	Sepsis Pyrexia Unknown Origin
26 27	Other Infection Hyperthermia
28 29	Palliative care/frailty Palliative Terminal Care
30 31	Off Legs / Poor Mobility Endocrine
32 33	Hyperglycaemia Hypoglycaemia Other Diabetic Problem
34 35 36	Endocrine Emergency
37 38	0.
39 40	
41 42	
43 44	
45 46	
47 48	
49 50	
51 52	
53 54	
55 56	
57 58	



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Supplementary File 2

Table S2. Paramedic Impression Codes Mapped to Ambulatory Care Sensitive Conditions

2224		Ontario Codes			UK Codes	Final Codes for Use		
variable	Paramedic- supplied	Physician- supplied	Combined/ Corroborated	Paramedic- supplied	Physician- supplied	Combined/ Corroborated	Ontario	UK
Diabetes	 83: Diabetic Emergency <u>Alternate:</u> 41: Stroke/TIA 43: Altered Level of Consciousness 48: Confusion/ Disorientation 49: Unconscious 63: Nausea/ Vomiting/Diarrhea 92: Weakness/ Dizziness/Unwell 	 83: Diabetic Emergency 42: Temporary Loss of Consciousness 63: Nausea/ Vomiting/ Diarrhea 92: Weakness/ Dizziness/Unwell 	83: Diabetic Emergency	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	Hyperglycaemia Hypoglycaemia Other Diabetic Problem	83: Diabetic Emergency	Hyperglycaemia Hypoglycaemia Other Diabetic Problem
COPD	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	21: Dyspnea 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/ Dizziness/Unwell	21: Dyspnea	COPD	COPD	COPD	21: Dyspnea	COPD
Asthma	21: Dyspnea <u>Alternate:</u> 24: Respiratory Arrest	21: Dyspnea 42: Temporary Loss of Consciousness 60: Non Ischemic Chest Pain 92: Weakness/	21: Dyspnea	Asthma	Asthma	Asthma	21: Dyspnea	Asthma

		Dizziness/Unwell						
Angina	51: Ischemic	21: Dyspnea	51: Ischemic	Cardiac Chest Pain	ECG Confirmed ST Segment Elevated MI	ECG Confirmed ST Segment Elevated MI	51: Ischemic 57: STEMI	ECG Confirmed ST
	<u>Alternate:</u>	51: Ischemic						MI Cardiac Problem Cardiac Chest Pain
	53: Palpitations	92: Weakness/ Dizziness/Unwell			Cardiac Problem	Cardiac Problem		
	55: Post Arrest				Cardiac Chest Pain	Cardiac Chest		
	56: Cardiogenic Shock					Falli		
	57: STEMI							
	61: Abdominal/ Pelvic/Perineal/ Rectal Pain	° Or						
Grand Mal and	46: Active Seizure	46: Active Seizure	46: Active Seizure	Convulsion	Convulsion	Convulsion	46: Active	Convulsion
other epileptic	<u>Alternate:</u>	50: Post-ictal	50: Post-ictal	Epilepsy	Epilepsy	Epilepsy	Seizure	Epilepsy
	42: Temporary	42: Temporary		6			50: Post-Ictai	
	Loss of Consciousness	Loss of Consciousness						
	43: Altered Level	44: Headache						
	of Consciousness	45: Behaviour/ Psychiatric						
	48: Confusion			9				
		48: Confusion/						
	49. Unconscious							
	50. Post-Iciai	09. LIII ASSISI						
		Dizziness/Unwell						
HF and Pulm	54: Pulmonary	21: Dyspnea	54: Pulmonary	Heart Failure	Heart Failure	Heart Failure	54: Pulmonary	Heart Failure
Edema	Edema	54: Pulmonary	Edema				Edema	
	<u>Alternate:</u>	Edema						
	24: Respiratory Arrest	60: Non Ischemic Chest Pain						
	51: Ischemic	92: Weakness/						
	55: Post Arrest	Dizziness/ Unwell						
	56: Cardiogenic Shock							
	57: STEMI							

Hypertension	99: Other Medical/	44: Headache	No code available	None	None	No code available	No code available	No code available
	Trauma <u>Alternate:</u>	92: Weakness/ Dizziness/ Unwell						
	41: Stroke/TIA	99: Other						
	44: Headache	Medical/Trauma						
	51: Ischemic							
	53: Palpitations							
	54: Pulmonary Edema							
	57: STEMI							
Lower	21: Dyspnea	21: Dyspnea	21: Dyspnea	Chest infection	Chest infection	Chest Infection	21: Dyspnea	Chest Infection
Respiratory	<u>Alternate:</u>	33: Hypotension						
	24: Respiratory Arrest	42: Temporary Loss of Consciousness	664					
		60: Non Ischemic Chest Pain		8				
		92: Weakness/ Dizziness/ Unwell		Via				
Mental Health	45: Behaviour/	21: Dyspnea	45: Behaviour/	Attempted Suicide	Attempted Suicide	Attempted Suicide	45: Behaviour/	Attempted Suicide
- anxiety, depression,	Psychiatric	45: Behaviour/	Psychiatric	Intentional Drug	Intentional Drug	Intentional Drug	Psychiatric 81: Intentional	Intentional Drug
panic attack	Allemale.			Anvioty	Anviotu	Anvioty		Apvioty
	43: Altered Level of Consciousness 53: Palpitations	ciousness Loss of Ditations		Revebosis	Revebosis	Revebosis	arug overdose	Revebosis
				Poprossion	Depression	Depression		Poprossion
		44: Headache		Deplession Deple	Depression	Deplession Deple		
		48: Confusion/ Disorientation		Attack	Attack	Attack		Attack
		60: Non Ischemic Chest Pain						
		63: Nausea/ Vomiting/ Diarrhea						
		92: Weakness/ Dizziness/ Unwell						

	89 [.] Lift Assist			
	45.01 Excited			
	Delirium			

Notes: COPD = chronic obstructive pulmonary disease; ECG = electrocardiogram; MI = myocardial infarction; ST Elevation =

 elevation of the ST segment on an electrocardiogram; STEMI = ST elevation myocardial infarction; TIA = transient ischemic attack

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