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Protocol for a prospective cohort study on the feasibility of application of nutritional ultrasound in the diagnosis and follow-up of patients with nutritional risk at hospital discharge: Study on body composition and function (DRECO).

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Complete List of Authors:	García Almeida, José Manuel; Hospital Universitario Virgen de la Victoria, Bellido, Diego; Complejo Hospitalario de Ferrol, Department of Endocrinology and Nutrition De Luis, D.A; Institute of Endocrinology and Nutrition, Medicine School and Department of Endocrinology and Investigation, Hospital Clínico Universitario, University of Valladolid, Department of Endocrinology and Nutrition Guzmán Rolo, Germán ; Abbott Laboratories, Medical department Olveira, Gabriel; Universidad de Málaga, Regional University Hospital of Málaga. University of Málaga. IBIMA BIONAND Platform of Málaga
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3 4	1	Protocol for a prospective cohort study on the feasibility of application of nutritional
5	2	ultrasound in the diagnosis and follow-up of patients with nutritional risk at hospital
6 7	3	discharge: Study on body composition and function (DRECO).
8 9	4	José Manuel García Almeidaª*, Diego Bellido ^ь , Daniel de Luis Románº, Germán Guzmán
10	5	Rolo ^d and Gabriel Olveira ^e
11 12	6	a) Department of Endocrinology and Nutrition, Hospital Universitario Virgen de la Victoria, IBIMA, CIBEROBN, Hospital Quirónsalud,
13 14	7	University of Málaga, Spain; jgarciaalmeida@gmail.com
15	8	b) Department of Endocrinology and Nutrition, Complejo Hospitalario de Ferrol, A Coruña, Spain; diegobellido@gmail.com
16 17	9	c) Department of Endocrinology and Nutrition, Institute of Endocrinology and Nutrition, Medicine School and Department of Endocrinology and Investigation,
18 10	10	d) Medical department. Abbott Laboratories. Spain; german.guzman1@abbott.com
20	11	e) Department of Endocrinology and Nutrition. Regional University Hospital of Málaga. University of Málaga. IBIMA BIONAND Platform
21 22	12	of Málaga, Spain; gabrielm.olveira.sspa@juntadeandalucia.es
23	13	*Corresponding author. Dr. José Manuel García Almeida. Department of Endocrinology and Nutrition, Hospital Universitario Virgen de la Victoria,
24 25	14	IBIMA, CIBEROBN, Hospital Quirónsalud, University of Málaga, Spain; jgarciaalmeida@gmail.com. Campus de Teatinos s/n, 29010, Málaga. +34
26 27	15	646314182
28	16	ABSTRACT
29 30	17	Background: Nutritional ultrasound is an emerging technique in clinical nutrition for the
31	18	morphological and structural study of muscle mass. Currently, all definitions of malnutrition
32 33	19	include the measurement of muscle mass, however, there is no single way to assess it. It is
34 35	20	necessary to develop new techniques to identify muscle involvement in malnutrition that are
36	21	valid, standardized, reliable, accurate and profitable.
37 38	22	Objective: To value the new muscle ultrasound techniques aimed to measure muscle and
39	23	functional status, to make a more accurate diagnosis and a better prediction of complications
40 41	24	and morbidity and mortality in patients at nutritional risk. Primary outcome: to assess the
42 43	25	feasibility of ultrasound or muscle ultrasound techniques in both nutritional diagnosis and
44	26	follow-up in a nutritional intervention program.
45 46	27	Methods and analysis: DRECO (Disease-Related caloric-protein malnutrition EChOgraphy)
47	28	is a prospective, multicenter (25 Spanish hospitals), uncontrolled clinical study in standard
48 49	29	clinical practice to value the usefulness of nutritional ultrasound (muscle ultrasound) in the
50 51	30	nutritional diagnosis and follow-up, over 3 to 6 months, after standard nutritional clinical
52	31	practice intervention and physical activity, to control their disease-related malnutrition. 1000
53 54	32	patients are expected to be included in.
55	33	Discussion: This study will standardize nutritional ultrasound measures. It will validate and
56 57	34	define specific cut-off values for nutritional ultrasound and correlate it with already well-known
58 50	35	nutritional tools such as SGA (Subjective Global Assessment) or GLIM (Global Leadership
60	36	Initiative on Malnutrition) criteria. Thus, muscle ultrasound will become not only a tool to

3	37	diagnose malnutrition, but it will be integrated in the daily practice to evaluate nutritional
4 5	38	interventions.
6 7	39	Ethics and dissemination Ethical: All DRECO study materials have been approved by each
8	40	of the IRB/IEC of all the sites enrolled (either approval of the own IRB/IEC or validating the
9 10	41	approval of the IRB/IEC of another hospital). The study has been registered with
11	42	ClinicalTrials.gov, on June 27 th , 2022. Results from this study will be presented at scientific
12 13	43	conferences and in peer-reviewed scientific journals.
14	44	Trial registration number: NCT05433831
15 16	45	Strengths and limitations of this study
17 18	46	DRECO strengths
18 19	47	Multicentre, prospective, medium-term study in which a large sample (1000
20 21	48	patients) is expected to be recruited.
22	49	Carried by a senior pool of researchers with wide experience in clinical nutrition and
23 24	50	dietetics.
25	51	Validation of classical tools and new morpho functional assessment techniques
20 27	52	(ultrasound and bioelectrical impedance) are proposed.
28 29	53	DRECO limitations
30	54	Non-randomized clinical practice study, so it will not be possible to adequately
31 32	55	analyze the effect of nutritional intervention.
33	56	• It is restricted to patients upon hospital discharge, so it cannot be generalized to the
34 35	57	entire population of people at risk of malnutrition.
36 37	58	Keywords: nutritional ultrasound; nutritional biomarker; ultrasound cut-off values; disease-
38	59	related malnutrition; GLIM; SGA; body composition; sarcopenia; quadriceps femoris muscle;
39 40	60	abdominal muscle area, muscle mass.
41	61	INTRODUCTION
42 43	62	Disease-related malnutrition (DRM) can occur when there is a deficient supply of energy,
44 45	63	protein and/or other nutrients, depending on the nutritional needs of everyone at different
46	64	times of their life cycle or health or disease circumstances. This deficiency induces effects on
47 48	65	body composition and tissue and organ function and results in clinical consequences:
49	66	increased morbidity and mortality associated with different disease processes (1).
50 51	67	In 2019, the GLIM criteria were published (2), providing a different vision of how to assess
52 53	68	the malnourished patient. These criteria are divided into both phenotypic and etiological
54	69	criterion:
55 56	70	- Phenotypic criterion
57	71	 Weight loss (%): >5% within past 6 months, or >10% beyond 6 months
58 59	72	• Low body mass index (kg/m ²): <20 if < 70 years, or <22 if >70 years. Asia: <18.5 if <
60	73	70 years, or <20 if >70 years

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1 2		
3	74	Reduced muscle mass: Reduced by validated body composition measuring
4 5	75	techniques
6	76	- Etiological criterion
8	77	• 50% of ER (energy requirements) > 1 week, or any reduction for >2 weeks, or any
9 10	78	chronic GI (gastrointestinal) condition
11	79	that adversely impacts food assimilation or absorption
12 13	80	 Inflammation: Acute disease/injury or chronic disease-related
14 15	81	There are techniques for nutritional assessment using assessment tools aimed at morpho
15 16	82	functional diagnosis of malnutrition (3), in addition to the classical nutritional parameters, such
17 18	83	as weight loss, BMI (body mass index), folds, circumferences, albumin, lymphocytes,
19	84	cholesterol and intake. New advanced parameters are being incorporated into clinical
20 21	85	nutrition and their incorporation into clinical practice is of increasing interest, such as
22	86	measures derived from bioelectrical impedance (BIA) and phase angle (PhA), dynamometry,
23 24	87	functional tests, CRP/prealbumin ratio and muscle ultrasound (see Figure 1).
25 26	88	Figure 1. Update of nutritional evolution parameters. Reproduced with permission from the
20 27	89	authors (3).
28 29	90	From a scientific point of view, the following nutritional assessment techniques are being
30	91	incorporated:
31 32	92	Muscle ultrasound
33 24	93	The application of ultrasound for the morphological and structural study of muscle mass is an
34 35	94	emerging technique. Currently, there are different validation studies on the measurement
36 37	95	technique. The ultrasound technique determines the surface area of the muscle in transverse
38	96	and longitudinal position. With ultrasound analysis, it is possible to measure key parameters
39 40	97	of muscle architecture, such as muscle volume and muscle fascicle length. Although there
41	98	are different muscle structures that can be evaluated, many of the studies focus on the
42 43	99	quadriceps rectus femoris or on combinations of various muscle groups involving large
44 45	100	muscle bundles with functional importance to the patient in terms of gait. Measurement of the
46	101	rectus femoris of the quadriceps is one of the most referenced measurements due to its
47 48	102	correlation with strength and tests of execution or functional performance. It is necessary to
49	103	develop new techniques to identify muscle involvement in malnutrition that are valid,
50 51	104	standardised, reliable, accurate and profitable. Currently, all definitions of malnutrition include
52	105	the measurement of muscle mass involvement, however, there is no single way to assess it.
55 54	106	The classic imaging techniques such as DEXA (dual-energy x-ray absorptiometry), CT
55 56	107	(computerised tomography) and MRI (magnetic resonance imaging) are considered the gold
57	108	standard, but they have difficulties in their clinical application under normal practice
58 59	109	conditions. Ultrasound has the advantage of being inexpensive, portable, and does not
60	110	involve ionising radiation. Several studies have confirmed the reliability of this technique to

measure the size of the quadriceps muscle in a healthy population (4). Studies on the reliability of rectus femoris ultrasound have been published with an intraclass coefficient of variation (ICC) of 0.97 (95% CI: 0.92-0.99) for the test-retest reliability of ultrasound.

The American Society for Parenteral and Enteral Nutrition (ASPEN), among the criteria for the diagnosis of malnutrition in adults, recommends including an evaluation of fat and muscle deposits. Specialists must incorporate techniques that properly help to identify the loss of muscle and fat mass for a correct diagnosis of malnutrition. Implementing these evaluation techniques and instruments is challenging and remains a work in progress (5). Muscle ultrasonography correlates with body composition measurement techniques such as BIA and anthropometry in patients with cancer (6). In adults with cystic fibrosis muscle ultrasound measurements, particularly the mean muscular area rectus anterior (MARA), are related to the nutritional status and respiratory function of these patients. (7)

The Global Leadership Initiative on Malnutrition (GLIM) has recently appointed a working group to provide consensus-based guidance on assessment of skeletal muscle mass and its role in the malnutrition diagnostic and assessment process. They support the use of US (ultrasound), particularly in settings where its practical applicability provides potential for patient follow-up through repeated measurements, but it requires standardisation through experienced operators, and repeated measurements performed by the same individual. They also encourage further validation studies for the US (8).

Bioelectrical impedance (BIA)

BIA is used as a tool to obtain data that helps to better understand the patient's nutritional status, being a non-invasive, inexpensive, and easily transportable technique. Vector analysis and phase angle provide direct data, not being necessary to be later adjusted using formulas or mathematical models, as it is needed with simple or multifrequency bioelectrical impedance or multifrequency (9). This method is based on the analysis of the two bioimpedance vectors: resistance (R) and capacitive reactance (Xc). Resistance is defined as the opposition to a flow of electric current through a circuit component, medium, or substance, providing information about biological fluids, and therefore, related to tissue hydration. A decrease in the resistance/height ratio will indicate swelling or third space; conversely, an increased ratio will indicate dehydration. Reactance is the effect on an electrical current caused by a material's ability to store energy in cell membranes, so it is related to the cell mass and the integrity of its membranes. A decrease in Xc indicates loss of cell mass. This cell mass is the sum of all metabolically active cells, being the central parameter in the evaluation of nutritional status since the reduction of cell mass is typically related to malnutrition (10).

A recent study conducted by Fernandez-Jimenez, et al found that a low SPhA (standardised phase angle)-malnutrition value (SPhA < -0.3) was significantly associated with a higher Page 5 of 31

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3	148	mortality hazards ratio (HR 7.87, 95% CI 2.56–24.24, p < 0.001). This biological marker could
4 5	149	therefore be incorporated among the screening tools and mortality risk assessment in this
6 7	150	population (11).
8	151	Dynamometry
9 10	152	Dynamometry is one of the 6 criterions to define malnutrition according to ASPEN (12). It is
11 12	153	extremely sensitive to nutritional status changes, so it is particularly useful to track nutritional

154 therapy or interventions results, even in the short and medium term. It has mostly been used 13 14 155 to predict post-surgical complications including elderly patients (13). Results obtained are 15 156 compared to the population averages by age and sex. Sanchez et al (14) presented reference 16 17 157 values for hand dynamometry using a Jamar hand dynamometer for a Spanish population, 18 19 158 providing cut-off points to define malnutrition. They concluded that hand dynamometry is 20 159 associated with lean mass, which supports its usefulness in nutritional assessment. 21

22 160 Although the new GLIM consensus-based guidance on assessment of skeletal muscle mass 23 161 do not include dynamometry as a marker of muscle mass (8), the authors hereby signing this 24 25 162 article have previously studied dynamometry as a marker of muscle mass suggesting that 26 163 GLIM criterion and dynamometry are associated to a higher mortality rate in both hospitalised 27 28 164 and outpatient oncology patients (15, 16). 29

30 165 <u>Functional tests</u>

31 166 These tests are a series of physical activities related to mobility, walking or balance. Their 32 33 167 results are related to those of scales that assess instrumental activities of daily living (IADL). 34 The most common are the "Timed Up and Go test" (TUG), the "Gait Speed Test" (GST) and 168 35 36 169 the "Short Physical Performance Battery (SPPB)" test that includes 3 tests (balance, gait 37 170 speed and get up and walk) (17). 38

171 Besides, the decrease in physical performance, evaluated by the SPPB test or hand grip
 172 strength, has been shown to be elevated in patients with colorectal cancer prior to surgery
 173 and it was related to an increase in postoperative complications and mortality (18).

44 174 **STUDY OBJECTIVES**

175 The objective of this study is to value the new muscle ultrasound techniques aimed to 176 measure muscle and functional status, to make a more accurate diagnosis and a better 177 prediction of complications and morbidity and mortality in patients at nutritional risk. This main 178 objective is developed in primary and secondary objectives as it follows:

52 179 Primary objective
53

54 55 To assess the feasibility of ultrasound or muscle ultrasound techniques in both nutritional
 diagnosis and follow-up, over 3 to 6 months, in a nutritional intervention programme.

5657 182 Secondary objectives

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3	185	pre-peritoneal parameters measured by nutritional ultrasound and the nutritional and
4 5	186	functional status of the patient, as well as their prognostic value in hospitalised patients.
6	187	• To establish an association between ultrasound as a diagnostic value of malnutrition as
8	188	compared to the diagnostic gold standard (SGA and GLIM criteria).
9 10	189	• To determine the ultrasound cut-off points associated with the diagnosis of malnutrition
11	190	and sarcopenia using the following tools:
12 13	191	 Measurement of body composition using impedance techniques (Report: Phase
14	192	angle, body cell mass (BCM), hydration, fat free mass (FFM) and lean mass index.
15 16	193	• Muscle strength and capacity to perform physical activity after the intervention:
17 18	194	dynamometry and Timed Up and Go test (TUG).
19	195	 Criteria for sarcopenia.
20 21	196	• To assess association with inflammatory activity markers: High-sensitivity C-
22	197	reactive protein (CRP)/prealbumin.
23 24	198	 To assess ultrasound changes in patient follow-up.
25 26	199	• To establish an association of ultrasound results as predictors of morbidity and mortality
27	200	(stay, mortality at 3 and 6 months, readmissions and in-hospital complications).
28 29	201	
30	202	METHODS AND ANALYSIS
31	202	Study design and softing
32	203	Study design and setting
32 33 34	203 204	DRECO (Disease-Related caloric-protein malnutrition EChOgraphy) is a prospective,
32 33 34 35	203 204 205	DRECO (Disease-Related caloric-protein malnutrition EChOgraphy) is a prospective, multicentre, uncontrolled clinical study in standard clinical practice to value the usefulness of
32 33 34 35 36 37	203 204 205 206	DRECO (Disease-Related caloric-protein malnutrition EChOgraphy) is a prospective, multicentre, uncontrolled clinical study in standard clinical practice to value the usefulness of nutritional ultrasound (muscle ultrasound) in the nutritional diagnosis and follow-up of patients
32 33 34 35 36 37 38	203 204 205 206 207	DRECO (Disease-Related caloric-protein malnutrition EChOgraphy) is a prospective, multicentre, uncontrolled clinical study in standard clinical practice to value the usefulness of nutritional ultrasound (muscle ultrasound) in the nutritional diagnosis and follow-up of patients over a period of 3 to 6 consecutive months, after standard nutritional clinical practice
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32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58	203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219	 DRECO (Disease-Related caloric-protein malnutrition EChOgraphy) is a prospective, multicentre, uncontrolled clinical study in standard clinical practice to value the usefulness of nutritional ultrasound (muscle ultrasound) in the nutritional diagnosis and follow-up of patients over a period of 3 to 6 consecutive months, after standard nutritional clinical practice intervention, and physical activity to control their disease-related malnutrition. The study may be considered non-interventional since patients will undergo nutritional interventions and the standard treatment planned by their physician for treatment according to his/her standard clinical practice, and the only addition to the standard measurement and follow-up techniques of the patient will be the performance of a muscle ultrasound measurement using equipment provided to the centre for this purpose. Patients and public involvement Patients or the public will not be involved in the design, or conduct, or reporting, or dissemination plans of our research. Recruitment Patients over 18 years of age who, in the first week of hospital admission in medical-surgical areas, excluding critical patients, have an assessment of risk of malnutrition according to the
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3	222	Assistance with walking, 3) Rising from a chair, 4) Climbing stairs, and 5) Falls; R-MAPP
4 5	223	(Remote consultation on MAInutrition in the Primary Practice)].
6 7	224	If the results show a moderate or high risk of malnutrition, these patients will be invited to
8	225	participate in the study, and will undergo the morpho functional assessment, an ultrasound
9 10	226	study and the Subjective Global Assessment (SGA). This study is registered under
11	227	ClinicalTrials.gov (NCT05433831).
12 13	228	Figure 2 shows the schedule of enrolment, interventions, and assessments.
14 15	229	Inclusion criteria
16	230	Patients admitted to hospital who in the first week of admission have moderate or high
17 18	231	risk of malnutrition according to the MUST and SARC-F screening test using R-
19	232	MAPP.
20 21	233	Patients aged 18 to 85 years.
22	234	 Patient who agrees to participate in the study and signs the informed consent.
23 24	235	Exclusion criteria
25 26	236	Hepatic impairment - AST/ALT (aspartate aminotransferase/alanine
27	237	aminotransferase) 3 x upper limit of normal.
28 29	238	 Chronic kidney failure - GFR (glomerular filtration rate) <45 mL/min).
30	239	 Patients with previous ICU (intensive care unit) stay during the study admission.
31	240	• Cancer patients on palliative treatment or ECOG (Eastern Cooperative Oncology
33 34	241	Group) ≥ 3.
35	242	 Orthopaedic disease that does not allow adequate walking.
36 37	243	• Patients with known dementia or others not related to a significant neurological or
38	244	psychiatric disorder, or any other psychological condition that may interfere with the
39 40	245	conduct of the study.
41 42	246	Patients with eating disorders.
43	247	Life expectancy of less than 6 months.
44 45	248	Patients unable to adequately complete the clinical laboratory assessments required
46	249	for the study protocol.
47 48	250	Sample size calculation
49 50	251	There are no previous clinical trials focusing on this objective published in the literature. We
51	252	report a study in patients with chronic kidney disease on haemodialysis (HD) (19) where
52 53	253	measurement of the rectus femoris cross-sectional muscle area (RFCSA) was validated for
54	254	the diagnosis of malnutrition related to this condition. RFCSA compared to bioimpedance
55 56	255	spectroscopy had higher area under the curve (AUC, 0.686 vs. 0.581), sensitivity (72.8% vs.
57 58	256	65.8%), and specificity (55.6% vs. 53.9%). The AUC of RFCSA was higher for the risk of
59	257	protein-energy wasting (PEW) in male (0.74, 95% CI: 0.66 to 0.82) and female patients (0.80,
60	258	95% CI: 0.70 to 0.90) (both p<0.001). Gender-specific RFCSA values (males <6.00 cm2;

females <4.47 cm²) indicated that HD patients with lower RFCSA were 8 times more likely to have PEW (AOR = 8.63, 95% CI: 4.80-15.50, p<0.001). Our study aims to establish the feasibility of nutritional ultrasound measurements at different ages in both sexes to apply to patients with nutritional risk worldwide. For this purpose, the electronic CRF will be programmed with the sample distributed by quotas to cover 50% men and 50% women, as well as 10-year age ranges. Age-stratified sampling is designed to obtain representative results of different ages and could be associated with the results of VGS, BIA, and dynamometry. Variability of measurements should be adjusted for sex, age and anthropometric parameters such as height. It is estimated that 1,000 patients with nutritional risk will be discharged from 20-25 healthcare centres throughout Spain and that at least 60% of the population will complete the 3-to-6-month follow-up of the study. Due to the special pandemic situation, a higher-than-expected drop-out rate is expected at 6 months than under normal conditions (40% are estimated not to complete the 6-month follow-up for any reason). Study conduct The physicians participating in the study will be responsible for assessing the suitability of inclusion for each patient. Patients will be consecutively recruited by the physician as they are assessed daily in their clinical practice at the hospital and found to have a risk of malnutrition according to the MUST/SARC-F (R-MAPP) screening test. Before inclusion, the investigator must check the inclusion and exclusion criteria and obtain their informed consent. The physician will be responsible for applying nutritional intervention and physical activity treatment according to standard clinical practice, as well as for clinical monitoring of patients. The treatment prescribed to each patient is not the objective of this study and is how the patient will experience changes that must be recorded with the different techniques described and with the muscle ultrasound involved in this study. All physicians participating in the study must have been previously trained in the use of the ultrasound equipment and materials provided for the study, as well as in the use of the electronic CRF for data entry designed for this study. Nutritional ultrasound techniques and measurements (ultrasound with 4-10 cm linear tube). The equipment provided for the study is UProbe L6C Ultrasound Scanner (linear transducer 7.5-10 kHz) that allows depths up to 100 mm. Manufactured by Guangzhou Sonostar Technologies Co., Ltd. PR China. Quadriceps rectus femoris ultrasound (see Figure 3) The measurement technique is determined for the patient lying supine with knees extended and relaxed.

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3 4	296	Measurement technique:
5	297	• In the lower third of the imaginary line between the antero-superior iliac spine and the
6 7	298	superior border of the patella.
8	299	 Correction of leg angle, it is important to focus the image on the rectus femoris.
9 10	300	In malnourished patients, loss of muscle tone causes the muscle to move to the sides
11	301	 To minimize variability, measurements must be repeated three times.
12 13	302	Figure 3 - Comparison of longitudinal and transversal sections of the QRF muscle area
14 15	303	ultrasound. Functional measures and main anatomical structures are represented.
16	304	Abdominal ultrasound (see Figure 4)
17 18	305	Total, superficial, and pre-peritoneal adipose tissue are measured (centimetres) for the
19	306	patient lying down.
20 21	307	Measurement technique:
22	308	• The transducer is placed between the xiphoid process and the umbilicus in the midline
23 24	309	(in patients with surgery without navel, this would be 10 cm from the xiphoid
25 26	310	appendix).
20 27	311	• Images are taken during non-forced expiration, in a transverse plane with a variable
28 29	312	probe depth of 4-10 cm, perpendicular to the skin.
30	313	 To minimize variability, measurements must be repeated three times.
31 32	314	Measurement planes:
33	315	• Measurement of subcutaneous adipose tissue: the superficial and deep layers are
34 35	316	differentiated.
36 37	317	• Visceral adipose tissue measurement: it is measured in a transverse position.
38	318	Measure the distance between the boundary of the parietal peritoneum to the linea
39 40	319	alba on the inner side at the junction of the two-rectus straight abdominis muscles.
41	320	Figure 4 - Comparison of longitudinal and transversal sections of the abdominal area
42 43	321	ultrasound. Functional measures and main anatomical structures are represented.
44 45	322	Follow-up period
45 46	323	The planned follow-up period for each patient will be 3 to 6 months from the inclusion visit.
47 48	324	The investigating physician will perform at least one first inclusion visit, and a follow-up visit
49	325	at 3 and 6 months for each patient.
50 51	326	Study duration
52	327	The study is planned to last 18 months to detect patients at risk of malnutrition, recruitment,
53 54	328	field work, monitoring and data analysis.
55 56	329	An estimated 2-3 months will be needed to plan the coordination and distribution of the work
57	330	in the hospitalisation and outpatient clinic areas for the selection of candidate patients. It will
58 59	331	take 6 to 9 months to recruit patients. From the start of the study, the database will be
60	332	completed, and preliminary analyses will be performed. The final analysis will be performed

- 3 333 when the follow-up is completed together with writing of the related work that will require 4 to 4
 - 334 6 months to complete.
 - 335 Outcome measures
 - A list of the outcomes of interest is provided in Table 1.

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338 Table 1. Study outcomes.

PRIMARY OUTCOMES Nutritional ultrasound measurements: ultrasound with 4-10 cm linear probe * • Abdominal ultrasound: total, superficial and pre-peritoneal adipose tissue (measured in centimetres) • Muscle ultrasound: Area, circumference, axes and adipose tissue (measured in centimetres) SECONDARY OUTCOMES Sociodemographic data: Age ٠ Sex • Educational level Toxic habits Medical history Risk of sarcopenia and moderate to high malnutrition based on MUST and SARC-F screening test using R-MAPP Subjective Global Assessment (SGA) questionnaire Anthropometric data: Current body weight (measured or estimated) Usual weight Adjusted weight (adjusted weight in obese subjects, dry weight without oedema in malnourished subjects) Height (measured or estimated) BMI . Arm circumference Bioelectrical impedance data (model (50 kHz): • TBW (total body water, L) ECW (extracellular water, L) ICW (intracellular water, L) FFM (lean mass, kg) FM (fat mass, kg) . BCM (body cell mass, kg) ASMM (appendicular skeletal muscle mass, kg) SMI (skeletal muscle mass index, kg) Percent hydration Body fat (%) Blood biochemistry data (at baseline visit, at 3 and 6 months): Albumin Prealbumin C-reactive protein Total cholesterol Lymphocytes Bioelectrical impedance data (model (50 kHz): ** Age Sex • Educational level Toxic habits . Medical history Risk of sarcopenia and moderate to high malnutrition based on MUST and SARC-F screening . test using R-MAPP Functional parameters Timed Up and Go test (TUG): patient sits in a chair and is told to get up (timing starts), walks 3 metres, comes back and sits in the initial chair (timing ends). Interpretation: <20 seconds: normal,> 20 seconds: increased risk of falling. Dynamometry. Three measurements of the dominant hand will be made recording the mean and maximum, measured in kilograms. Jamar® dynamometers are most used in international studies and have several grip positions. Current patient status

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	 Hospital stays, mortality at 3 and 6 months, hospital readmissions and complications, if occurring, and their consequences (resolved/unresolved) must be recorded in the form.
Adhe	rence
	Attendance to study follow-up visits.

*The equipment provided for the study is the UProbe L6C Ultrasound Scanner (linear transducer 7.5-10 kHz) that allows depths up to 100 mm. Manufactured by Guangzhou Sonostar Technologies Co., Ltd. PR China. **Each healthcare center could use the BIA device they already owned. The most used device among all participants was AKERN branded.

345 **Data analysis plan**

346 Data analysis will be performed using SPSS 22.0 software (SPSS Inc., Chicago, IL, USA).

Quantitative variables will be expressed as mean ± standard deviation. The comparison between qualitative variables will be performed using the Chi-square test with Fisher's correction when necessary. Quantitative variables will be analysed using a Kolmogorov-Smirnov test. Differences between quantitative variables will be analysed using Student's t or ANOVA tests (for two or more samples, respectively) and non-parametric tests (Mann-Whitney or Kruskal-Wallis) will be used when the variables to be analysed do not follow a normal distribution.

- 354 Kappa coefficient will be used to assess agreement between techniques in diagnosis of
 355 malnutrition.
- 356 The association between variables will be studied using Spearman or Pearson correlations
 357 according to normality.
- 358 Several cut-off points will be estimated for prediction of diagnosis of malnutrition and
 359 sarcopenia using ultrasound by ROC curves.
- 360 The significant associations between muscle ultrasound parameters and the objective clinical 361 variables in the univariate analysis will then be analysed in multivariate logistic regression 362 models which also control other confounding variables. To assess which nutritional tool best 363 predicts the risk of mortality during admission (and re-admission), we will perform multivariate 364 logistic regression models, in which the dependent variable will be in-hospital mortality (or re-365 admission) based on the different tools applied (e.g. ultrasound, phase angle, SGA criteria, 366 GLIM, LMI), also controlling for sex, the presence of previous comorbidities and other 367 variables showing association in the univariate study.
- $_{19}$ 368 For all calculations, a probability p less than 0.05 for two tails will be considered significant.
- 369 <u>Recording of adverse reactions</u>
- Adverse reactions reporting is not the objective of the study. The investigator should proceed as usual and through the channels established in the healthcare system if any adverse effect occurs during follow-up. It will only be recorded in the follow-up if the patient must leave the study for this reason for statistical purposes.

- 3 374 <u>Handling of missing data</u>
 - No formal imputation will be made for the different analyses; therefore, all estimates will beobtained using all available data (available data only, ADO).
- 377 Since the study will be recorded using an electronic CRF (case report form), the necessary
 378 consistency filters and alerts for missing data will be programmed to validate and store the
 379 information, to minimise missing data and prevent the entry of incorrect or out of range data.
- ¹² 13 380 ETHICS

5 6

14381General aspects15

This study will be conducted in accordance with current regulations, accepted international ethical standards of Good Clinical Practice (CPMP/ICH/135/95), the principles laid down in the latest version of the Declaration of Helsinki, RD 1591/2009 and Circular No. 07/2004 regulating clinical research with medical devices.

386 Informed consent

- 387 Before inclusion in the study and after considering the suitability of patient inclusion, all
 388 participating physicians must offer the patient information about the study using a patient
 389 information sheet, invite the patient to participate in it, answer their questions and request
 390 completion of the informed consent form that will be kept in their own file.
- 30 391 Evaluation by an Ethics Committee
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 392 All DRECO study materials have been approved by each of the IRB/IEC of all the sites
 393 enrolled (either approval of the own IRB/IEC or validating the approval of the IRB/IEC of
 394 another hospital).

36 37 395 Confidentiality

396 The study data will be entered into an automated file owned by the sponsor. The analysis of 38 39 397 study results will be made from an anonymised database, that is, dissociated, with no 40 41 398 personal data, so that no subject can be identified or identifiable. This study database will be 42 399 extracted from the electronic CRF and will include data from physician records, impedance 43 44 400 recordings, and muscle ultrasound images. Data from different sources will be linked from 45 401 the patient code and will not include personal data. All data in the file owned by the sponsor 46 47 402 will be treated confidentially. The sponsor undertakes not to transfer data to third parties. 48

49 403 **Dissemination**

404 Results from this study will be presented at international and national scientific conferences,
 405 and in peer-reviewed scientific journals.

53 54 406 **DISCUSSION**

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57407There is a growing interest in the literature on the evaluation of muscle mass by ultrasound
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(20). Its current clinical utility focuses on measuring the involvement of muscle mass to
assess the nutritional status of a patient (21). The further step that it is being investigated in
this clinical study, is that muscle ultrasound becomes not only a tool to assess the diagnosis

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3	411	of malnutrition but to integrate it in the routine clinical practices to evaluate nutritional
4 5	412	interventions.
6 7	413	The evaluation of the nutritional ultrasound should enable clinical decisions based on its
8	414	results to permit the adjustment and individualization of the nutritional therapeutic and
9 10	415	physical exercise plan, along with functional recovery (20).
11	416	To the best of our knowledge, this is going to be the largest study (sample size=1,000) using
12 13	417	nutritional ultrasound in patients with nutritional risk. Current scientific evidence is limited,
14 15	418	and it is expected that such a large population will allow us to validate and define specific cut-
16	419	off values for nutritional ultrasound and get its correlation with already well-known nutritional
17 18	420	tools such as SGA or GLIM criteria (22).
19	421	The emerging field of ultrasound assessment of muscle mass only highlights the need for a
20 21	422	standardisation of measurement technique as Perkisas, et al outline in their recently
22	423	published 2022 SARCUS update. This update provides the approach of muscle assessment
23 24	424	according to the most recent literature and anatomical landmarks for 39 different muscles.
25 26	425	Besides, the discussion about 4 new muscle parameters that are added to the 5 that were
27	426	previously considered is also presented (23) and some of these parameters have been
28 29	427	correlated with PhA (24) and they will be analysed in our present protocol. Our ongoing study
30	428	is intended to standardize these outstanding technique measures, to apply this technique
31 32	429	widely soon.
33	430	Author Contributions: All authors have identified the research question and were
34 35	431	responsible for the conception and design of the protocol and the study. JM.G.A., D.B. D.L.R,

responsible for the conception and design of the protocol and the study. JM.G.A., D.B. D.L.R,
 and G.O. are conducting study investigation. G.G.R has managed funding acquisition. All
 authors have been involved in drafting the manuscript and revising it critically for intellectual
 content. All authors read and approved the final manuscript.

41 435 **Funding**: This study will be conducted thanks to a research grant from Abbott Laboratories.

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 436 Conflicts of Interest: JM.G.A., D.B. D.L.R, and G.O declare no conflict of interest. G.G.R is
 437 an employee of Abbott Laboratories.

Institutional Review Board Statement: The study was conducted in accordance with the Declaration of Helsinki, and approved by the following Ethical Committees: Comité de Ética de la Investigación Provincial De Málaga, Portal de Ética de la Investigación Biomédica de Andalucía (PEIBA), Comité de Ética de la Investigación con medicamentos Área De Salud Valladolid, Comité de Ética de la Investigación con medicamentos Hospital Universitario La Paz, Comité de Ética de la Investigación con medicamentos Hospital Universitario 12 de Octubre, Comité de Ética de la Investigación con medicamentos Hospital General Universitario Gregorio Marañón, Comité de Ética de la Investigación con medicamentos del Complejo Hospitalario Universitario de Canarias (Provincia de Santa Cruz de Tenerife),

Comité de Ética de la Investigación con medicamentos del Complejo Hospitalario Universitario de Las Palmas, Comité de Ética de la Investigación con medicamentos y Comisión de Proyectos de Investigación del Hospital Universitari Vall d'Hebron, Comité de Ética de la Investigación con medicamentos del Consorcio Hospital General Universitario de Valencia, Comité de Ética de la Investigación con medicamentos de la Clínica de Navarra, Comité de Ética de la Investigación con medicamentos del Hospital de Basurto and Comité de Ética de la Investigación con Medicamentos del Hospital Universitario y Politécnico la Fe de Valencia. This study is registered at clinicaltrials.gov (NCT05433831), registered on June 27th, 2022. https://clinicaltrials.gov/ct2/show/NCT05433831.

- 18 456 Informed Consent Statement: All participants are provided with a participant information
 457 sheet and are required to provide written consent.

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11	CLASSIC PARAMETERS EMERGING PARAMETERS
12	IN CEINICAL NOTRITION
13	Weight loss Biolekctrical impedance analysis:
14	Anthropometric parameters:
15	BMI, skinfolds and circumferences
16	New biochemical parameters: Biochemical parameters: albumin, CRP/Prealbumin Ratio
17	lynphocites and cholesterol
17	Food intake
10	Functional tests
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25	Figure 1 Undate of nutritional evolution parameters. Reproduced with permission from the authors
25	righte 1. optice of humanian evolution parameters. Reproduced with permission non-the dutions
20	338x190mm (96 x 96 DPI)
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33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 50	
33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59	For peer review only - http://bmionen.hmi.com/site/about/quidelines.yhtml





Figure 2 shows the schedule of enrolment, interventions, and assessments.

190x338mm (96 x 96 DPI)





FIG. 4 - ABDOMINAL AREA ULTRASOUND LONGITUDINAL TRANSVERSAL ┥ (1) SKIN 🕨 B ◄ (2) SUP. SUBCUT. FAT ► (3) DEEP SUBCUT. FAT (4) RECTUS MUSCLE (5) PREPERITONEAL С

VISCERAL FAT

ICIAL SUBCUTAN

-

Figure 4 - Comparison of longitudinal and transversal sections of the QRF muscle area ultrasound. Functional measures and main anatomical structures are represented.

338x190mm (96 x 96 DPI)

BMJ Open

Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to

include the missing information. If you are certain that an item does not apply, please write "n/a" and

provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRITreporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Gøtzsche PC, Altman DG, Mann H, Berlin J, Dickersin K, Hróbjartsson A,

Schulz KF, Parulekar WR, Krleža-Jerić K, Laupacis A, Moher D. SPIRIT 2013 Explanation and

Elaboration: Guidance for protocols of clinical trials. BMJ. 2013;346:e7586

Reporting Item

Page Number

Administrative

information

 Title
 #1
 Descriptive title identifying the study design,
 1

 population, interventions, and, if applicable, trial
 acronym

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2	Trial registration	<u>#2a</u>	Trial identifier and registry name. If not yet	2
3 4 5			registered, name of intended registry	
6 7	Trial registration:	<u>#2b</u>	All items from the World Health Organization Trial	2
8 9 10	data set		Registration Data Set	
11 12 13	Protocol version	<u>#3</u>	Date and version identifier	NA
14 15 16	Funding	<u>#4</u>	Sources and types of financial, material, and	12
17 18 19			other support	
20 21 22	Roles and	<u>#5a</u>	Names, affiliations, and roles of protocol	1; 12
23	responsibilities:		contributors	
24 25 26 27	contributorship			
27 28 29	Roles and	<u>#5b</u>	Name and contact information for the trial	1
30 31 22	responsibilities:		sponsor	
32 33 24	sponsor contact			
35 36 37	information			
38 39	Roles and	<u>#5c</u>	Role of study sponsor and funders, if any, in	1;12
40 41	responsibilities:		study design; collection, management, analysis,	
42	sponsor and funder		and interpretation of data; writing of the report;	
44 45			and the decision to submit the report for	
40 47 49			publication, including whether they will have	
40 49 50 51			ultimate authority over any of these activities	
52 53	Roles and	<u>#5d</u>	Composition, roles, and responsibilities of the	1;12
54 55 56	responsibilities:		coordinating centre, steering committee, endpoint	
57 58	committees		adjudication committee, data management team,	
59 60	F	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Page 2	23 of 31		BMJ Open	
1			and other individuals or groups overseeing the	
2 3			trial, if applicable (see Item 21a for data	
4 5 6			monitoring committee)	
о 7 8				
9 10	Introduction			
11 12	Background and	<u>#6a</u>	Description of research question and justification	1-5
13 14	rationale		for undertaking the trial, including summary of	
15 16			relevant studies (published and unpublished)	
17 18 10			examining benefits and harms for each	
20 21			intervention	
22 23				
24 25	Background and	<u>#6b</u>	Explanation for choice of comparators	NA
26 27	rationale: choice of			
28 29	comparators			
30 31 22	Objectives	<u>#7</u>	Specific objectives or hypotheses	5-6
33 34	Trial design	#0	Description of trial design including type of trial	
35 36	That design	<u>#0</u>		
37 38			(eg, parallel group, crossover, factorial, single	
39 40			group), allocation ratio, and framework (eg,	
41 42			superiority, equivalence, non-inferiority,	
43 44 45			exploratory)	
45 46 47	Methods:			
48 49	Participants,			
50 51	interventions, and			
52 53	outcomes			
54 55				
56 57				
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60	Г	or heer to	eview only intep.// onljopen.onlj.com/site/about/guidelines.XIItilli	

1 2	Study setting	<u>#9</u>	Description of study settings (eg, community	6-7
3 4			clinic, academic hospital) and list of countries	
5 6 7			where data will be collected. Reference to where	
7 8 9 10			list of study sites can be obtained	
11 12	Eligibility criteria	<u>#10</u>	Inclusion and exclusion criteria for participants. If	7
13 14			applicable, eligibility criteria for study centres and	
15 16 17			individuals who will perform the interventions (eg,	
17 18 19 20			surgeons, psychotherapists)	
21 22	Interventions:	<u>#11a</u>	Interventions for each group with sufficient detail	8
23 24	description		to allow replication, including how and when they	
25 26 27			will be administered	
28 29	Interventions:	<u>#11b</u>	Criteria for discontinuing or modifying allocated	NO DRUG
30 31 32	modifications		interventions for a given trial participant (eg, drug	INTERVENTION
33 34			dose change in response to harms, participant	
35 36 37			request, or improving / worsening disease)	
38 39 40	Interventions:	<u>#11c</u>	Strategies to improve adherence to intervention	NO DRUG
40 41 42	adherance		protocols, and any procedures for monitoring	INTERVENTION
43 44			adherence (eg, drug tablet return; laboratory	
45 46			tests)	
47 48 49 50	Interventions:	<u>#11d</u>	Relevant concomitant care and interventions that	NA
50 51 52	concomitant care		are permitted or prohibited during the trial	
53 54 55	Outcomes	<u>#12</u>	Primary, secondary, and other outcomes,	10 – TABLE 1
56 57 58			including the specific measurement variable (eg,	
59 60		For peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1			systolic blood pressure), analysis metric (eg,	
2 3			change from baseline, final value, time to event),	
4 5 6			method of aggregation (eg, median, proportion),	
7 8			and time point for each outcome. Explanation of	
9 10			the clinical relevance of chosen efficacy and harm	
11 12 13			outcomes is strongly recommended	
14 15	Participant timeline	<u>#13</u>	Time schedule of enrolment, interventions	7 – FIGURE 2
16 17 18			(including any run-ins and washouts),	
18 19 20			assessments, and visits for participants. A	
21 22			schematic diagram is highly recommended (see	
23 24			Figure)	
25 26 27	o			7.0
27 28 29	Sample size	<u>#14</u>	Estimated number of participants needed to	7-8
30 31			achieve study objectives and how it was	
32 33			determined, including clinical and statistical	
34 35			assumptions supporting any sample size	
36 37			calculations	
38 39	Recruitment	<u>#15</u>	Strategies for achieving adequate participant	7-8
40 41 42			enrolment to reach target sample size	
43 44				
45 46	Methods:			NO
47 48	Assignment of			CONTROLLED
49 50	interventions (for			TRIAL
51 52	controlled trials)			
53 54 55				
56 57				
58 59				
60		For peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Allocation:	<u>#16a</u>	Method of generating the allocation sequence	NA
3 4 5	sequence		(eg, computer-generated random numbers), and	
5 6 7	generation		list of any factors for stratification. To reduce	
8 9			predictability of a random sequence, details of	
10 11			any planned restriction (eg, blocking) should be	
12 13			provided in a separate document that is	
14 15 16			unavailable to those who enrol participants or	
17 18 19			assign interventions	
20 21	Allocation	<u>#16b</u>	Mechanism of implementing the allocation	NA
22 23	concealment		sequence (eg, central telephone; sequentially	
24 25 26	mechanism		numbered, opaque, sealed envelopes),	
20 27 28			describing any steps to conceal the sequence	
29 30			until interventions are assigned	
31 32	A.U. (*			
33 34	Allocation:	<u>#16C</u>	who will generate the allocation sequence, who	NA
35 36	implementation		will enrol participants, and who will assign	
37 38			participants to interventions	
39 40 41	Blinding (masking)	<u>#17a</u>	Who will be blinded after assignment to	NA
42 43			interventions (eg, trial participants, care	
44 45			providers, outcome assessors, data analysts),	
46 47 48			and how	
48 49				
50 51	Blinding (masking):	<u>#17b</u>	If blinded, circumstances under which unblinding	NA
52 53	emergency		is permissible, and procedure for revealing a	
54 55	unblinding		participant's allocated intervention during the trial	
50 57 58				
59 60	Fo	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Methods: Data			
3 4 5	collection,			
6 7	management, and			
8 9	analysis			
10 11 12	Data collection plan	<u>#18a</u>	Plans for assessment and collection of outcome,	10
13 14			baseline, and other trial data, including any	
15 16 17			related processes to promote data quality (eg,	
17 18 19			duplicate measurements, training of assessors)	
20 21			and a description of study instruments (eg,	
22 23			questionnaires, laboratory tests) along with their	
24 25 26			reliability and validity, if known. Reference to	
20 27 28			where data collection forms can be found, if not in	
29 30			the protocol	
31 32	Data collection plan:	#18b	Plans to promote participant retention and	0
33 34	rotontion	<u>#100</u>	complete fellow up, including list of any outcome	5
35 36 37	retention		data to be collected for participants who	
38 39			discentinue er deviete frem intervention protocolo	
40 41			discontinue or deviate from intervention protocols	
42 43	Data management	<u>#19</u>	Plans for data entry, coding, security, and	10
44 45			storage, including any related processes to	
40 47 48			promote data quality (eg, double data entry;	
49 50			range checks for data values). Reference to	
51 52			where details of data management procedures	
53 54			can be found, if not in the protocol	
55 56				
57 58 59				
60	F	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

2	Statistics: outcomes	<u>#20a</u>	Statistical methods for analysing primary and	10
3 4			secondary outcomes. Reference to where other	
5 6 7			details of the statistical analysis plan can be	
8 9			found, if not in the protocol	
10 11 12	Statistics: additional	<u>#20b</u>	Methods for any additional analyses (eg,	10
13 14 15	analyses		subgroup and adjusted analyses)	
16 17 18	Statistics: analysis	<u>#20c</u>	Definition of analysis population relating to	10
19 20	population and		protocol non-adherence (eg, as randomised	
21 22	missing data		analysis), and any statistical methods to handle	
23 24 25			missing data (eg, multiple imputation)	
26 27	Methods:			NA
28 29 30	Monitoring			
31 32 22	Data monitoring:	<u>#21a</u>	Composition of data monitoring committee	
33 34 35	formal committee		(DMC); summary of its role and reporting	
36 37			structure; statement of whether it is independent	
38 39			from the spansor and compating interacts; and	
40			nom the sponsor and competing interests, and	
41 42			reference to where further details about its	
41 42 43 44			reference to where further details about its charter can be found, if not in the protocol.	
41 42 43 44 45 46			reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not	
41 42 43 44 45 46 47 48 49			reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	
41 42 43 44 45 46 47 48 49 50 51 52	Data monitoring:	<u>#21b</u>	reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping	
41 42 43 44 45 46 47 48 49 50 51 52 53 54	Data monitoring: interim analysis	<u>#21b</u>	reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping guidelines, including who will have access to	
41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56	Data monitoring: interim analysis	<u>#21b</u>	reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision	
41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58	Data monitoring: interim analysis	<u>#21b</u>	reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	

1 2	Harms	<u>#22</u>	Plans for collecting, assessing, reporting, and	
3 4			managing solicited and spontaneously reported	
5 6 7			adverse events and other unintended effects of	
7 8 9			trial interventions or trial conduct	
10 11 12	Auditing	<u>#23</u>	Frequency and procedures for auditing trial	
13 14			conduct, if any, and whether the process will be	
15 16			independent from investigators and the sponsor	
17 18 19 20	Ethics and			
21 22 23	dissemination			
24 25	Research ethics	<u>#24</u>	Plans for seeking research ethics committee /	11
26 27 28	approval		institutional review board (REC / IRB) approval	
29 30	Protocol	<u>#25</u>	Plans for communicating important protocol	11
31 32 33	amendments		modifications (eg, changes to eligibility criteria,	
33 34 35			outcomes, analyses) to relevant parties (eg,	
36 37			investigators, REC / IRBs, trial participants, trial	
38 39 40			registries, journals, regulators)	
41 42	Consent or assent	<u>#26a</u>	Who will obtain informed consent or assent from	13
43 44 45			potential trial participants or authorised	
46 47 48			surrogates, and how (see Item 32)	
49 50	Consent or assent:	<u>#26b</u>	Additional consent provisions for collection and	NA
51 52	ancillary studies		use of participant data and biological specimens	
53 54 55			in ancillary studies, if applicable	
56 57 58				
59 60	Fo	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

4 5

2	Confidentiality	<u>#27</u>	How personal information about potential and	11
3 4			enrolled participants will be collected, shared, and	
5 6 7			maintained in order to protect confidentiality	
7 8 9			before, during, and after the trial	
10				
11	Declaration of	<u>#28</u>	Financial and other competing interests for	12
13 14	interests		principal investigators for the overall trial and	
15 16			each study site	
17				
19 20	Data access	<u>#29</u>	Statement of who will have access to the final trial	11
21 22			dataset, and disclosure of contractual	
23 24			agreements that limit such access for	
25 26			investigators	
27				
28 29 20	Ancillary and post	<u>#30</u>	Provisions, if any, for ancillary and post-trial care,	NA
30 31 22	trial care		and for compensation to those who suffer harm	
32 33 34			from trial participation	
35				
36 37	Dissemination	<u>#31a</u>	Plans for investigators and sponsor to	11
38 39	policy: trial results		communicate trial results to participants,	
40 41			healthcare professionals, the public, and other	
42 43			relevant groups (eg, via publication, reporting in	
45 46			results databases, or other data sharing	
47 48			arrangements), including any publication	
10				
50			restrictions	
50 51			restrictions	
50 51 52 53 54	Dissemination	<u>#31b</u>	restrictions Authorship eligibility guidelines and any intended	NA
50 51 52 53 54 55 56	Dissemination policy: authorship	<u>#31b</u>	restrictions Authorship eligibility guidelines and any intended use of professional writers	NA
50 51 52 53 54 55 56 57 58	Dissemination policy: authorship	<u>#31b</u>	restrictions Authorship eligibility guidelines and any intended use of professional writers	NA

1 2	Dissemination	<u>#31c</u>	Plans, if any, for granting public access to the full	NA
3 4	policy: reproducible		protocol, participant-level dataset, and statistical	
5 6 7	research		code	
8 9 10 11	Appendices			
12 13	Informed consent	<u>#32</u>	Model consent form and other related	NA
14 15	materials		documentation given to participants and	
16 17 18			authorised surrogates	
19 20 21	Biological	<u>#33</u>	Plans for collection, laboratory evaluation, and	NA
21 22 23	specimens		storage of biological specimens for genetic or	
23 24 25			molecular analysis in the current trial and for	
26 27			future use in ancillary studies, if applicable	
28 29				
30 31	None The SPIRIT Exp	lanatior	and Elaboration paper is distributed under the term	is of the Creative
32 33	Commons Attribution	License	CC-BY-NC. This checklist can be completed online	using
34 35	https://www.goodrepo	<u>rts.org/</u> ,	a tool made by the EQUATOR Network in collabora	tion with
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BMJ Open

Protocol for a prospective cohort study on the feasibility of application of nutritional ultrasound in the diagnosis and follow-up of patients with nutritional risk at hospital discharge: Study on body composition and function (DRECO).

Journal:	BMJ Open
Manuscript ID	bmjopen-2023-074945.R1
Article Type:	Protocol
Date Submitted by the Author:	20-Oct-2023
Complete List of Authors:	García Almeida, José Manuel; Hospital Universitario Virgen de la Victoria, Department of Endocrinology and Nutrition Bellido, Diego; Complejo Hospitalario de Ferrol, Department of Endocrinology and Nutrition De Luis, D.A; Valladolid University Hospital, Department of Endocrinology and Nutrition Guzmán Rolo, Germán ; Abbot Laboratories Olveira, Gabriel; Regional University Hospital of Málaga, Department of Endocrinology and Nutrition
Primary Subject Heading :	Nutrition and metabolism
Secondary Subject Heading:	Nutrition and metabolism
Keywords:	NUTRITION & DIETETICS, Ultrasound < RADIOLOGY & IMAGING, Nutritional support < GASTROENTEROLOGY

SCHOLARONE[™] Manuscripts

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2 3	1	Protocol for a prospective cohort study on the feasibility of application of putritional
4 5	2	ultrasound in the diagnosis and follow-up of patients with nutritional risk at hospital
6 7	3	discharge: Study on body composition and function (DRECO).
8	4	José Manuel García Almeidaª*. Diego Bellido ^b , Daniel de Luis Román ^{c**} . Germán Guzmán
9 10	5	Rolo ^d and Gabriel Olveira ^e
11 12	6	a) Department of Endocrinology and Nutrition, Hospital Universitario Virgen de la Victoria, IBIMA, CIBEROBN, Hospital Quirónsalud,
13 14	7	University of Málaga, Spain; jgarciaalmeida@gmail.com
15	8	b) Department of Endocrinology and Nutrition, Complejo Hospitalario de Ferrol, A Coruña, Spain; diegobellido@gmail.com
16 17	9	c) Department of Endocrinology and Nutrition, Institute of Endocrinology and Nutrition, Medicine School and Department of Endocrinology and Investigation,
18	10	d) Medical department. Abbott Laboratories. Spain; german.guzman1@abbott.com
19 20	11	e) Department of Endocrinology and Nutrition. Regional University Hospital of Málaga. University of Málaga. IBIMA BIONAND Platform
21 22	12	of Málaga, Spain; gabrielm.olveira.sspa@juntadeandalucia.es
22	13	*Corresponding author. Dr. José Manuel García Almeida. Department of Endocrinology and Nutrition, Hospital Universitario Virgen de la Victoria,
24 25	14	IBIMA, CIBEROBN, Hospital Quirónsalud, University of Málaga, Spain; jgarciaalmeida@gmail.com. Campus de Teatinos s/n, 29010, Málaga. +34
26	15	646314182
27 28	16	**Corresponding author. Dr. Daniel de Luis Román. Department of Endocrinology and Nutrition, Institute of Endocrinology and Nutrition, Medicine
29 30	17	School and Department of Endocrinology and Investigation, Hospital Clínico Universitario, University of Valladolid, C/Los perales16, Simancas
31	18	47130, Valladolid, Spain; dadluis@yahoo.es
32 33	19	
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35 36	21	ABSTRACT
37 38	22	Introduction: Nutritional ultrasound is an emerging technique in clinical nutrition for the
39	23	morphological and structural study of muscle mass. Currently, all definitions of malnutrition
40 41	24	include the measurement of muscle mass, however, there is no single way to assess it. It is
42	25	necessary to develop new techniques to identify muscle involvement in malnutrition that are
43 44	26	valid, standardized, reliable, accurate and profitable.
45 46	27	Objective: To value the new muscle ultrasound techniques aimed to measure muscle and
40	28	functional status, to make a more accurate diagnosis and a better prediction of complications
48 49	29	and morbidity and mortality in patients at nutritional risk. Primary outcome: to assess the
50	30	feasibility of ultrasound or muscle ultrasound techniques in both nutritional diagnosis and
51 52	31	follow-up in a nutritional intervention program.
53 54	32	Methods and analysis: DRECO (Disease-Related caloric-protein malnutrition EChOgraphy)
54 55	33	is a prospective, multicenter (25 Spanish hospitals), uncontrolled clinical study in standard
56 57	34	clinical practice to value the usefulness of nutritional ultrasound (muscle ultrasound) in the
58 59 60	35	nutritional diagnosis and follow-up, over 3 to 6 months, after standard nutritional clinical

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3 4	36	practice intervention and physical activity, to control their disease-related malnutrition. 1000					
5	37	patients are expected to be included in.					
6 7	38	Discussion: This study will standardize nutritional ultrasound measures. It will validate and					
8	39	define specific cut-off values for nutritional ultrasound and correlate it with already well-known					
9 10	40	nutritional tools such as SGA (Subjective Global Assessment) or GLIM (Global Leadership					
11	41	Initiative on Malnutrition) criteria. Thus, muscle ultrasound will become not only a tool to					
12 13	42	diagnose malnutrition, but it will be integrated in the daily practice to evaluate nutritional					
14 15	43	interventions.					
16	44	Ethics and dissemination Ethical: All DRECO study materials have been approved by each					
17 18	45	of the IRB/IEC of all the sites enrolled (either approval of the own IRB/IEC or validating the					
19	46	approval of the IRB/IEC of another hospital). The study has been registered with					
20 21	47	ClinicalTrials.gov, on June 27 th , 2022. Results from this study will be presented at scientific					
22	48	conferences and in peer-reviewed scientific journals.					
23 24	49	Trial registration number: NCT05433831					
25 26	50	Strengths and limitations of this study					
20 27	51	DRECO strengths					
28 29	52	Multicentre, prospective, medium-term study in which a large sample (1000					
30	53	patients) is expected to be recruited.					
31 32	54	• First study designed as a real-world study to evaluate the feasibility of nutritional					
33	55	ultrasound, led by senior researchers wide experienced in clinical nutrition.					
34 35	56	 Validation of classical tools and new morpho functional assessment techniques 					
36 37	57	(ultrasound and bioelectrical impedance) are proposed.					
38	58	DRECO limitations					
39 40	59	 Non-randomized clinical practice study, so it will not be possible to adequately 					
41	60	analyze the effect of nutritional intervention.					
42 43	61	It is restricted to patients upon hospital discharge, so it cannot be generalized to the					
44 45	62	entire population of people at risk of malnutrition.					
46	63	Keywords: nutritional ultrasound; nutritional biomarker; ultrasound cut-off values; disease-					
47 48	64	related malnutrition; GLIM; SGA; body composition; sarcopenia; quadriceps femoris muscle;					
49	65	abdominal muscle area, muscle mass.					
50 51	66	1. INTRODUCTION					
52 53	67	Disease-related malnutrition (DRM) can occur when there is a deficient supply of energy,					
53 54	68	protein and/or other nutrients, depending on the nutritional needs of everyone at different					
55 56	69	times of their life cycle or health or disease circumstances. This deficiency induces effects on					
57	70	body composition and tissue and organ function and results in clinical consequences:					
58 59	71	increased morbidity and mortality associated with different disease processes (1).					
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2 3	72	In 2019, the GLIM criteria were published (2), providing a different vision of how to assess
4 5	73	the malnourished patient. These criteria are divided into both phenotypic and etiological
6	74	criterion:
7 8	75	- Phenotypic criterion
9	76	 Weight loss (%): >5% within past 6 months, or >10% beyond 6 months
10 11	77	 Low body mass index (kg/m²): <20 if < 70 years, or <22 if >70 years. Asia: <18.5 if
12 13	78	70 years, or <20 if >70 years
14	79	 Reduced muscle mass: Reduced by validated body composition measuring
15 16	80	techniques
17	81	- Etiological criterion
18 19	82	 50% of ER (energy requirements) > 1 week, or any reduction for >2 weeks, or any
20 21	83	chronic GI (gastrointestinal) condition
22	84	that adversely impacts food assimilation or absorption
23 24	85	 Inflammation: Acute disease/injury or chronic disease-related
25	86	There are techniques for nutritional assessment using assessment tools aimed at morpho
26 27	87	functional diagnosis of malnutrition (3), in addition to the classical nutritional parameters, such
28 20	88	as weight loss, BMI (body mass index), folds, circumferences, albumin, lymphocytes,
30	89	cholesterol and intake. New advanced parameters are being incorporated into clinical
31 32	90	nutrition and their incorporation into clinical practice is of increasing interest, such as
33	91	measures derived from bioelectrical impedance (BIA) and phase angle (PhA), dynamometry,
34 35	92	functional tests, CRP/prealbumin ratio and muscle ultrasound (see Figure 1).
36 27	93	Figure 1. Update of nutritional evolution parameters. Reproduced with permission from the
37 38	94	authors (3).
39 40	95	From a scientific point of view, the following nutritional assessment techniques are being
41	96	incorporated:
42 43	97	Muscle ultrasound
44 45	98	The application of ultrasound for the morphological and structural study of muscle mass is an
45 46	99	emerging technique. Currently, there are different validation studies on the measurement
47 48	100	technique. The ultrasound technique determines the surface area of the muscle in transverse
49	101	and longitudinal position. With ultrasound analysis, it is possible to measure key parameters
50 51	102	of muscle architecture, such as muscle volume and muscle fascicle length. Although there
52	103	are different muscle structures that can be evaluated, many of the studies focus on the
55 54	104	quadriceps rectus femoris or on combinations of various muscle groups involving large
55 56	105	muscle bundles with functional importance to the patient in terms of gait. Measurement of the
57	106	rectus femoris of the quadriceps is one of the most referenced measurements due to its
58 59	107	correlation with strength and tests of execution or functional performance. It is necessary to
60	108	develop new techniques to identify muscle involvement in malnutrition that are valid,

standardised, reliable, accurate and profitable. Currently, all definitions of malnutrition include the measurement of muscle mass involvement, however, there is no single way to assess it. The classic imaging techniques such as DEXA (dual-energy x-ray absorptiometry), CT (computerised tomography) and MRI (magnetic resonance imaging) are considered the gold standard, but they have difficulties in their clinical application under normal practice conditions. Ultrasound has the advantage of being inexpensive, portable, and does not involve ionising radiation. Several studies have confirmed the reliability of this technique to measure the size of the quadriceps muscle in a healthy population (4). Studies on the reliability of rectus femoris ultrasound have been published with an intraclass coefficient of variation (ICC) of 0.97 (95% CI: 0.92-0.99) for the test-retest reliability of ultrasound.

The American Society for Parenteral and Enteral Nutrition (ASPEN), among the criteria for the diagnosis of malnutrition in adults, recommends including an evaluation of fat and muscle deposits. Specialists must incorporate techniques that properly help to identify the loss of muscle and fat mass for a correct diagnosis of malnutrition. Implementing these evaluation techniques and instruments is challenging and remains a work in progress (5). Muscle ultrasonography correlates with body composition measurement techniques such as BIA and anthropometry in patients with cancer (6). In adults with cystic fibrosis muscle ultrasound measurements, particularly the mean muscular area rectus anterior (MARA), are related to the nutritional status and respiratory function of these patients. (7)

The Global Leadership Initiative on Malnutrition (GLIM) has recently appointed a working group to provide consensus-based guidance on assessment of skeletal muscle mass and its role in the malnutrition diagnostic and assessment process. They support the use of US (ultrasound), particularly in settings where its practical applicability provides potential for patient follow-up through repeated measurements, but it requires standardisation through experienced operators, and repeated measurements performed by the same individual. They also encourage further validation studies for the US (8).

Bioelectrical impedance (BIA)

BIA is used as a tool to obtain data that helps to better understand the patient's nutritional status, being a non-invasive, inexpensive, and easily transportable technique. Vector analysis and phase angle provide direct data, not being necessary to be later adjusted using formulas or mathematical models, as it is needed with simple or multifrequency bioelectrical impedance or multifrequency (9). This method is based on the analysis of the two bioimpedance vectors: resistance (R) and capacitive reactance (Xc). Resistance is defined as the opposition to a flow of electric current through a circuit component, medium, or substance, providing information about biological fluids, and therefore, related to tissue hydration. A decrease in the resistance/height ratio will indicate swelling or third space; conversely, an increased ratio will indicate dehydration. Reactance is the effect on an

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electrical current caused by a material's ability to store energy in cell membranes, so it is related to the cell mass and the integrity of its membranes. A decrease in Xc indicates loss of cell mass. This cell mass is the sum of all metabolically active cells, being the central parameter in the evaluation of nutritional status since the reduction of cell mass is typically related to malnutrition (10).

A recent study conducted by Fernandez-Jimenez, et al found that a low SPhA (standardised phase angle)-malnutrition value (SPhA < -0.3) was significantly associated with a higher mortality hazards ratio (HR 7.87, 95% CI 2.56–24.24, p < 0.001). This biological marker could therefore be incorporated among the screening tools and mortality risk assessment in this population (11).

<u>Dynamometry</u>

Dynamometry is one of the 6 criterions to define malnutrition according to ASPEN (12). It is extremely sensitive to nutritional status changes, so it is particularly useful to track nutritional therapy or interventions results, even in the short and medium term. It has mostly been used to predict post-surgical complications including elderly patients (13). Results obtained are compared to the population averages by age and sex. Sanchez et al (14) presented reference values for hand dynamometry using a Jamar hand dynamometer for a Spanish population, providing cut-off points to define malnutrition. They concluded that hand dynamometry is associated with lean mass, which supports its usefulness in nutritional assessment.

Although the new GLIM consensus-based guidance on assessment of skeletal muscle mass do not include dynamometry as a marker of muscle mass (8), the authors hereby signing this article have previously studied dynamometry as a marker of muscle mass suggesting that GLIM criterion and dynamometry are associated to a higher mortality rate in both hospitalised and outpatient oncology patients (15, 16).

Functional tests

These tests are a series of physical activities related to mobility, walking or balance. Their results are related to those of scales that assess instrumental activities of daily living (IADL). The most common are the "Timed Up and Go test" (TUG), the "Gait Speed Test" (GST) and the "Short Physical Performance Battery (SPPB)" test that includes 3 tests (balance, gait speed and get up and walk) (17).

- Besides, the decrease in physical performance, evaluated by the SPPB test or hand grip strength, has been shown to be elevated in patients with colorectal cancer prior to surgery and it was related to an increase in postoperative complications and mortality (18).
- **1.1. STUDY OBJECTIVES**

The objective of this study is to value the new muscle ultrasound techniques aimed to measure muscle and functional status, to make a more accurate diagnosis and a better

1 2						
3	182	prediction of complications and morbidity and mortality in patients at nutritional risk. This main				
4 5	183	objective is developed in primary and secondary objectives as it follows:				
6 7	184	1.1.1. Primary objective				
8	185	To assess the feasibility of ultrasound or muscle ultrasound techniques in both nutritional				
9 10	186	diagnosis and follow-up, over 3 to 6 months, in a nutritional intervention programme.				
11	187	1.1.2. Secondary objectives				
12 13	188	• To determine the association between muscle morphological parameters (nutritional				
14 15	189	ultrasound of the leg (area, circumference, axis and adipose tissue), total abdominal and				
15 16	190	pre-peritoneal parameters measured by nutritional ultrasound and the nutritional and				
17 18	191	functional status of the patient, as well as their prognostic value in hospitalised patients.				
19	192	• To establish an association between ultrasound as a diagnostic value of malnutrition as				
20 21	193	compared to the diagnostic gold standard (SGA and GLIM criteria).				
22	194	To determine the ultrasound cut-off points associated with the diagnosis of malnutrition				
23 24	195	and sarcopenia using the following tools:				
25 26	196	 Measurement of body composition using impedance techniques (Report: Phase 				
20	197	angle, body cell mass (BCM), hydration, fat free mass (FFM) and lean mass index.				
28 29	198	 Muscle strength and capacity to perform physical activity after the intervention: 				
30 31 32 33 34 35	199	dynamometry and Timed Up and Go test (TUG).				
	200	 Criteria for sarcopenia. 				
	201	o To assess association with inflammatory activity markers: High-sensitivity C-				
	202	reactive protein (CRP)/prealbumin.				
36 37	203	 To assess ultrasound changes in patient follow-up. 				
38	204	To establish an association of ultrasound results as predictors of morbidity and mortality				
39 40	205	(stay, mortality at 3 and 6 months, readmissions and in-hospital complications).				
41 42	206	2. PATIENT PARTICIPANT INVOLVEMENT AND FEASIBILITY OF STUDY DESIGN				
42 43	207	DRECO (Disease-Related caloric-protein malnutrition EChOgraphy) is a prospective,				
44 45	208	multicentre, uncontrolled clinical study in standard clinical practice to value the usefulness of				
46	209	nutritional ultrasound (muscle ultrasound) in the nutritional diagnosis and follow-up of patients				
47 48	210	over a period of 3 to 6 consecutive months, after standard nutritional clinical practice				
49 50	211	intervention, and physical activity to control their disease-related malnutrition.				
50 51	212	The study may be considered non-interventional since patients will undergo nutritional				
52 53 54 55 56 57	213	interventions and the standard treatment planned by their physician for treatment according				
	214	to his/her standard clinical practice, and the only addition to the standard measurement and				
	215	follow-up techniques of the patient will be the performance of a muscle ultrasound				
	216	measurement using equipment provided to the centre for this purpose.				
50 59	217	Patients over 18 years of age who, in the first week of hospital admission in medical-surgical				
60	218	areas, excluding critical patients, have an assessment of risk of malnutrition according to the				

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2 3	219	MUST and SARC-F screening test using R-MAPP [(MUST: Malnutrition Universal Screening
4	220	Tool: SARC-F is an acronym of 5 domains included in the questionnaire: 1) Strength 2)
6	221	Assistance with walking 3) Rising from a chair 4) Climbing stairs and 5) Falls: R-MAPP
7 8	222	(Remote consultation on MAInutrition in the Primary Practice)]
9	223	If the results show a moderate or high risk of malnutrition, these patients will be invited to
10 11	223	participate in the study and will undergo the morpho functional assessment, an ultrasound
12	225	study and the Subjective Global Assessment (SGA). This study is registered under
13 14	226	ClinicalTrials gov (NCT05433831)
15 16	227	Figure 2 shows the schedule of enrolment, interventions, and assessments.
17	228	2.1. Inclusion criteria
18 19	229	Patients admitted to hospital who in the first week of admission have moderate or high
20	230	risk of malnutrition according to the MUST and SARC-E screening test using R-
21 22	231	MAPP.
23 24	232	Patients aged 18 to 85 years.
25	233	 Patient who agrees to participate in the study and signs the informed consent.
26 27	234	2.2. Exclusion criteria
28	235	Hepatic impairment - AST/ALT (aspartate aminotransferase/alanine
29 30	236	aminotransferase) 3 x upper limit of normal.
31 32	237	 Chronic kidney failure - GFR (glomerular filtration rate) <45 mL/min).
33	238	 Patients with previous ICU (intensive care unit) stay during the study admission.
34 35 36	239	Cancer patients on palliative treatment or ECOG (Eastern Cooperative Oncology
	240	Group) ≥ 3.
37 38	241	 Orthopaedic disease that does not allow adequate walking.
39 40	242	• Patients with known dementia or others not related to a significant neurological or
41	243	psychiatric disorder, or any other psychological condition that may interfere with the
42 43	244	conduct of the study.
44 45	245	Patients with eating disorders.
45 46	246	Life expectancy of less than 6 months.
47 48	247	Patients unable to adequately complete the clinical laboratory assessments required
49	248	for the study protocol.
50 51	249	2.3. Sample size calculation
52	250	There are no previous clinical trials focusing on this objective published in the literature. We
53 54 55 56	251	report a study in patients with chronic kidney disease on haemodialysis (HD) (19) where
	252	measurement of the rectus femoris cross-sectional muscle area (RFCSA) was validated for
57	253	the diagnosis of malnutrition related to this condition. RFCSA compared to bioimpedance
58 59	254	spectroscopy had higher area under the curve (AUC, 0.686 vs. 0.581), sensitivity (72.8% vs.
60	255	65.8%), and specificity (55.6% vs. 53.9%). The AUC of RFCSA was higher for the risk of

protein-energy wasting (PEW) in male (0.74, 95% CI: 0.66 to 0.82) and female patients (0.80, 95% CI: 0.70 to 0.90) (both p<0.001). Gender-specific RFCSA values (males <6.00 cm2; females <4.47 cm²) indicated that HD patients with lower RFCSA were 8 times more likely to have PEW (AOR = 8.63, 95% CI: 4.80-15.50, p<0.001).

Our study aims to establish the feasibility of nutritional ultrasound measurements at different ages in both sexes to apply to patients with nutritional risk worldwide. For this purpose, the electronic CRF will be programmed with the sample distributed by quotas to cover 50% men and 50% women, as well as 10-year age ranges. Age-stratified sampling is designed to obtain representative results of different ages and could be associated with the results of VGS, BIA, and dynamometry. Variability of measurements should be adjusted for sex, age and anthropometric parameters such as height.

It is estimated that 1,000 patients with nutritional risk will be discharged from 20-25 healthcare centres throughout Spain and that at least 60% of the population will complete the 3-to-6-month follow-up of the study. Due to the special pandemic situation, a higher-than-expected drop-out rate is expected at 6 months than under normal conditions (40% are estimated not to complete the 6-month follow-up for any reason).

²⁸₂₉ 272 **2.4. Study conduct**

- The physicians participating in the study will be responsible for assessing the suitability of
 inclusion for each patient.
- Patients will be consecutively recruited by the physician as they are assessed daily in their
 clinical practice at the hospital and found to have a risk of malnutrition according to the
 MUST/SARC-F (R-MAPP) screening test.
- Before inclusion, the investigator must check the inclusion and exclusion criteria and obtain
 their informed consent.

The physician will be responsible for applying nutritional intervention and physical activity treatment according to standard clinical practice, as well as for clinical monitoring of patients. The treatment prescribed to each patient is not the objective of this study and is how the patient will experience changes that must be recorded with the different techniques described and with the muscle ultrasound involved in this study.

- All physicians participating in the study must have been previously trained in the use of the
 All physicians participating in the study must have been previously trained in the use of the
 ultrasound equipment and materials provided for the study, as well as in the use of the
 electronic CRF for data entry designed for this study.
- Throughout the entire study, monthly meetings are hold with all participants on Thursdays at 8:30 a.m., and on Fridays at 8:30 a.m. with the study's central committee. The objective of these meetings is to monitor the status of the study at each participating center, to resolve doubts, and to make sure that all techniques and measurements are properly made according to previous training.

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2.4.1. Nutritional ultrasound techniques and measurements

US accuracy highly depends on the skills of the technician. Point training using rectus femoris phantom have shown to improve the accuracy of measurements. (20) Before starting the study, a training session was held. All study participants were required to attend, and they had the opportunity to practice with the same ultrasound machine that was going to be used in the study in phantom patients. Besides, several videos explaining detailed measurements technique were recorded. These videos were proactively shared with all researchers and available anytime at the study on-line electronic data capture (EDC) platform.

Beyond, once the study finishes, all DICOM images gathered will be analysed to develop a semi-automated algorithm that helps diagnose the patient's nutritional status. Subsequently, once the algorithm is available, the individual and manual US measurements will be contrasted with the data showed by the automatic algorithm, thus minimizing the inter and intra observer correlation. This work will have its own analysis and publication plan.

Abdominal and anterior thigh muscle measurements are performed using a commercially available portable ultrasound system with a 4-10 cm linear tube (UProbe L6C Ultrasound Scanner, Guangzhou Sonostar Technologies Co., Ltd., Guangzhou, Guangdong, P.R. China). The funder of the study provided an ultrasound machine to each of the participants hospitals.

2.4.1a. Quadriceps rectus femoris (QRF) ultrasound (see Figure 3)

With the patient lying supine with knees extended and relaxed, ultrasound measurements of unilateral (right side) quadriceps rectus femoris is performed at each participating center by an experienced medical sonographer blinded to the clinical data and other results of nutritional assessment. The acquisition site is located two-thirds of the way along the femur length, measured between the anterior superior iliac spine and the upper edge of the patella. The transducer is placed perpendicular to the long axis of the thigh with excessive use of contact gel and minimal pressure to avoid compression of the muscle. All parameters are taken as an average of three consecutive measurements in the dominant leg. We measure the transversal axis of the cross-sectional area (CSA) in cm², the X-axis and Y-axis in mm, which corresponded to the linear measurement of the distance between the muscular limits of the rectus femoris (lateral and anteroposterior), the X-axis/Y-axis ratio, and the total fat tissue in mm. All US parameters were also standardized divided by height squared (in cm² for rectus femoris). The DICOM images of the QRF ultrasounds will be kept for later analysis. Figure 3 – Comparison of longitudinal and transversal sections of the QRF muscle area

ultrasound. Functional measures and main anatomical structures are represented.

2.4.1b. Abdominal ultrasound (see Figure 4) The second component of nutritional ultrasound is the evaluation of fat at the level of the abdominal wall. (21) The location of the measurement point is set at the midpoint between the xiphoid appendix and the navel on the midline. The patient remains in a supine position in a situation of relaxation and the image is taken during the unforced expiration, in a transverse plane using the same linear probe perpendicular to the skin. In the cross-section, the anatomical structures that are visualized are ordered from the most superficial layer corresponding to the epidermis, followed by the layer of subcutaneous, superficial, and deep adipose tissue. Then the two muscles of the anterior rectum of the abdomen that join in the central part in the linea alba are identified. (21) We measure both total and superficial subcutaneous adipose tissue and the pre-peritoneal visceral adipose tissue. The DICOM images of the abdominal ultrasounds will be kept for later analysis. Figure 4 - Comparison of longitudinal and transversal sections of the abdominal area ultrasound. Functional measures and main anatomical structures are represented. 2.4.2 Bioelectrical Impedance (BIA) • Total body BIA (50-kHz frequency) (Tanita BC-420MA BIA analyzer, Tanita Corporation, Arlington Heights, IL, USA) was used to determine phase angle (degrees), total body water (%), fat mass (kg), lean mass (kg), body cell mass (kg), and appendicular skeletal muscle mass (ASMM) (kg). Since interval fluid balance is more sensible to the change of edema, bioelectrical impedance analysis can be affected in edematous patients. (22) Therefore, extreme phase angle values and/or non-coherent reactance/resistance ratios will be discarded, as a control measure, to detect patients with edema and fluid balance change. 2.4.3 Timed Up and Go test (TUG) • The TUG test was used to assess functionality. A coloured tape was marked 3 m away from an armless chair in which participants were sitting. Participants were asked to walk 3 m, turn around the marked tape, and return to the chair as fast as they could. A timer was set as soon as the patient stood up from the chair and was stopped when the patient was seated again. At least one practice trial was performed before the test. Being that a TUG-score of \geq 20 s is identified as a cut-off point for severe sarcopenia, TUG was considered in this study. (23) 2.4.4 Handgrip strength test • Handgrip strength was determined using the Jamar dynamometer (J A Preston Corporation, New York, NY, USA). The dominant hand was tested. Three measurements of both media and maximum value were taken. The American Society for Parenteral and Enteral Nutrition has included the assessment of grip strength by dynamometer as one of

2								
3 ⊿	367	the six criteria to define malnutrition. (24) In this study, the cut-off values defined for the						
5	368	Spanish population will be considered. (14)						
6 7	369							
8	370	Although some quality-of-life test, such as SF-36 or ADL test (activities of daily living), were						
9 10	371	initially considered in the study protocol, they were finally rejected because, in real clinical						
11	372	practice, these tests are not used with the patient profile included in this study.						
12 13	373							
14	374	• 2.4.5 Follow-up period						
15 16	375	The planned follow-up period for each patient will be 3 to 6 months from the inclusion visit.						
17	376	The investigating physician will perform at least one first inclusion visit, and a follow-up visit						
18 19	377	at 3 and 6 months for each patient. A follow-up period of 6 months was established since it						
20	378	is common clinical practice in these patients, and with the aim of making the results more						
21 22	379	generalizable.						
23 24	380	It is planned that the same physician attends the three visits to the patient (baseline, 3 and 6						
24	381	months), to minimise the interpersonal variability in the measurements.						
26 27	382							
28	383	• 246 Study duration						
29 30	384	The study is planned to last 18 months to detect patients at risk of malnutrition, recruitment.						
31	385	field work, monitoring and data analysis.						
32 33	206	An estimated 2-3 months will be needed to plan the coordination and distribution of the work						
34	200	in the begoitalisation and outpatient clinic group for the selection of condidate notionts. It will						
35 36	200	take 6 to 0 months to recruit notionts. From the start of the study, the database will be						
37	388	take 6 to 9 months to recruit patients. From the start of the study, the database will be						
38 39	389	completed, and preliminary analyses will be performed. The final analysis will be performed						
40	390	when the follow-up is completed together with writing of the related work that will require 4 to						
41 42	391	6 months to complete.						
43	392	2.5. Outcome measures						
44 45	393	A list of the outcomes of interest is provided in Table 1.						
46	394							
47 48	395	Table 1. Study outcomes.						
49		PRIMARY OUTCOMES						
50		Nutritional ultrasound measurements: ultrasound with 4-10 cm linear probe * Abdominal ultrasound: total superficial and pre-peritoneal adipose tissue (measured in						
51 52		centimetres)						
52 53		Muscle ultrasound: Area, circumference, axes and adipose tissue (measured in centimetres)						
55		Secondary OUTCOMES Sociodemographic data:						
55		Age						
56		Sex Educational lavel						
57		Eoucational level Toxic habits						
58		Medical history						
59 60		 Risk of sarcopenia and moderate to high malnutrition based on MUST and SARC-F screening test using R-MAPP 						

	oponietii ciata.
	Current body weight (measured or estimated)
	 Osual weight Adjusted weight (adjusted weight in obese subjects, dry weight without ordered in malnourished)
	• Adjusted weight (adjusted weight in obese subjects, dry weight without bedema in manourshed subjects)
	Subjects) = Height (measured or estimated)
	Arm circumference
Bioel	ectrical impedance data (model (50 kHz): **
01001	• TBW (total body water 1)
	• ECW (extracellular water, L)
	ICW (intracellular water, L)
	• FFM (lean mass, kg)
	• FM (fat mass, kg)
	BCM (body cell mass, kg)
	ASMM (appendicular skeletal muscle mass, kg)
	SMI (skeletal muscle mass index, kg)
	Percent hydration
	Body fat (%)
Blood	biochemistry data (at baseline visit, at 3 and 6 months):
	• Albumin
	Prealbumin
	C-reactive protein
	Total cholesterol
	Lymphocytes
Bioel	ectrical impedance data (model (50 kHz):
	• Age
	• Sex
	Educational level
	Ioxic habits
	Medical history
	 Risk of sarcopenia and moderate to high manufation based on MOST and SARC-F screening test unias MARD.
Func	Jonal parameters
	 Timed op and Go test (TOG), patient sits in a chair and is told to get up (timing starts), waiks s metres, comes back and sits in the initial chair (timing ends). Interpretation: <20 seconds: normal > 20 seconds; instead risk of follows.
	normal, 20 seconds. Increased risk of failing.
	 Dynamoneuty. These measured in kilograms, lamar@ dynamometers are most used in international
	and maximum, measured in kinograms, samare dynamoneters are most used in international
Curre	studies and have several grip positions.
Curre	In patient status
	 Hospital stays, mortality at 5 and 6 months, nospital reachingsions and their consolutions, in occurring, and their consequences (resoluted/unresoluted) must be recorded in the form.
Adbo	occurring, and their consequences (resolved/unresolved) must be recorded in the form.
Hune	Attendance to study follow up visits

2.6. Data analysis plan Data analysis will be performed using SPSS 22.0 software (SPSS Inc., Chicago, IL, USA). Quantitative variables will be expressed as mean ± standard deviation. The comparison between qualitative variables will be performed using the Chi-square test with Fisher's correction when necessary. Quantitative variables will be analysed using a Kolmogorov-Smirnov test. Differences between quantitative variables will be analysed using Student's t or ANOVA tests (for two or more samples, respectively) and non-parametric tests (Mann-Whitney or Kruskal-Wallis) will be used when the variables to be analysed do not follow a normal distribution.

Page 13 of 34

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3 4	409	Kappa coefficient will be used to assess agreement between techniques in diagnosis of
5	410	malnutrition.
6 7	411	The association between variables will be studied using Spearman or Pearson correlations
8	412	according to normality.
9 10	413	The thresholds for translation into clinical practice will be presented as cut-off points that will
11 12	414	be estimated by AUC ROC curves. Centiles will be also considered.
12	415	The significant associations between muscle ultrasound parameters and the objective clinical
14 15	416	variables in the univariate analysis will then be analysed in multivariate logistic regression
16	417	models which also control other confounding variables. To assess which nutritional tool best
17 18	418	predicts the risk of mortality during admission (and re-admission), we will perform multivariate
19	419	logistic regression models, in which the dependent variable will be in-hospital mortality (or re-
20 21	420	admission) based on the different tools applied (e.g. ultrasound, phase angle, SGA criteria,
22	421	GLIM, LMI), also controlling for sex, the presence of previous comorbidities and other
23 24	422	variables showing association in the univariate study.
25 26	423	For all calculations, a probability p less than 0.05 for two tails will be considered significant.
20	424	2.6.1. Recording of adverse reactions
28 29	425	Adverse reactions reporting is not the objective of the study. The investigator should proceed
30	426	as usual and through the channels established in the healthcare system if any adverse effect
31 32	427	occurs during follow-up. It will only be recorded in the follow-up if the patient must leave the
33	428	study for this reason for statistical purposes.
34 35	429	2.6.2. Handling of missing data
36 37	430	No formal imputation will be made for the different analyses; therefore, all estimates will be
38	431	obtained using all available data (available data only, ADO).
39 40	432	Since the study will be recorded using an electronic CRF (case report form), the necessary
41	433	consistency filters and alerts for missing data will be programmed to validate and store the
42 43	434	information, to minimise missing data and prevent the entry of incorrect or out of range data.
44 45	435	3. ETHICS
45 46	436	3.1. General aspects
47 48	437	This study will be conducted in accordance with current regulations, accepted international
49	438	ethical standards of Good Clinical Practice (CPMP/ICH/135/95), the principles laid down in
50 51	439	the latest version of the Declaration of Helsinki, RD 1591/2009 and Circular No. 07/2004
52	440	regulating clinical research with medical devices.
53 54	441	3.2. Informed consent
55 56	442	Before inclusion in the study and after considering the suitability of patient inclusion, all
57	443	participating physicians must offer the patient information about the study using a patient
58 59	444	information sheet, invite the patient to participate in it, answer their questions and request
60	445	completion of the informed consent form that will be kept in their own file.

3.3. Evaluation by an Ethics Committee All DRECO study materials have been approved by each of the IRB/IEC of all the sites enrolled (either approval of the own IRB/IEC or validating the approval of the IRB/IEC of another hospital). 3.4. Confidentiality The study data will be entered into an automated file owned by the sponsor. The analysis of study results will be made from an anonymised database, that is, dissociated, with no personal data, so that no subject can be identified or identifiable. This study database will be extracted from the electronic CRF and will include data from physician records, impedance recordings, and muscle ultrasound images. Data from different sources will be linked from the patient code and will not include personal data. All data in the file owned by the sponsor will be treated confidentially. The sponsor undertakes not to transfer data to third parties. 3.5. Dissemination Results from this study will be presented at international and national scientific conferences, and in peer-reviewed scientific journals. 3.6. Patient and Public Involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research. 4. DISCUSSION There is a growing interest in the literature on the evaluation of muscle mass by ultrasound (21). Its current clinical utility focuses on measuring the involvement of muscle mass to assess the nutritional status of a patient (25). The further step that it is being investigated in this clinical study, is that muscle ultrasound becomes not only a tool to assess the diagnosis of malnutrition but to integrate it in the routine clinical practices to evaluate nutritional interventions. The evaluation of the nutritional ultrasound should enable clinical decisions based on its results to permit the adjustment and individualization of the nutritional therapeutic and physical exercise plan, along with functional recovery (21). To the best of our knowledge, this is going to be the largest study (sample size=1,000) using nutritional ultrasound in patients with nutritional risk. Current scientific evidence is limited, and it is expected that such a large population will allow us to validate and define specific cut-off values for nutritional ultrasound and get its correlation with already well-known nutritional tools such as SGA or GLIM criteria (26). This study stands out for the use of several morphofunctional assessment techniques in patients with disease-related malnutrition in real clinical practice. Beyond its large sample, it is the first study with this design, as a real-world study, to evaluate the feasibility of nutritional ultrasound.

The emerging field of ultrasound assessment of muscle mass only highlights the need for a standardisation of measurement technique as Perkisas, et al outline in their recently published 2022 SARCUS update. This update provides the approach of muscle assessment according to the most recent literature and anatomical landmarks for 39 different muscles. Besides, the discussion about 4 new muscle parameters that are added to the 5 that were previously considered is also presented (27) and some of these parameters have been correlated with PhA (28) and they will be analysed in our present protocol. Our ongoing study is intended to standardize these outstanding technique measures, to apply this technique widely soon. Recruited patients were at risk of malnutrition so the results will be very interesting for routine clinical practice and nutritional care, in this patient profile, easily generalizable and free to use with publication.

Author Contributions: All authors have identified the research question and were responsible for the conception and design of the protocol and the study. JM.G.A., D.B. D.L.R. and G.O. are conducting study investigation. G.G.R has managed funding acquisition. All authors have been involved in drafting the manuscript and revising it critically for intellectual content. All authors read and approved the final manuscript.

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500 Conflicts of Interest: JM.G.A., D.B. D.L.R, and G.O declare no conflict of interest. G.G.R is
 501 an employee of Abbott Laboratories.

Institutional Review Board Statement: The study was conducted in accordance with the Declaration of Helsinki, and approved by the following Ethical Committees: Comité de Ética de la Investigación Provincial De Málaga, Portal de Ética de la Investigación Biomédica de Andalucía (PEIBA), Comité de Ética de la Investigación con medicamentos Área De Salud Valladolid, Comité de Ética de la Investigación con medicamentos Hospital Universitario La Paz, Comité de Ética de la Investigación con medicamentos Hospital Universitario 12 de Octubre, Comité de Ética de la Investigación con medicamentos Hospital General Universitario Gregorio Marañón, Comité de Ética de la Investigación con medicamentos del Complejo Hospitalario Universitario de Canarias (Provincia de Santa Cruz de Tenerife), Comité de Ética de la Investigación con medicamentos del Complejo Hospitalario Universitario de Las Palmas, Comité de Ética de la Investigación con medicamentos y Comisión de Proyectos de Investigación del Hospital Universitari Vall d'Hebron. Comité de Ética de la Investigación con medicamentos del Consorcio Hospital General Universitario de Valencia, Comité de Ética de la Investigación con medicamentos de la Clínica de Navarra, Comité de Ética de la Investigación con medicamentos del Hospital de Basurto and Comité de Ética de la Investigación con Medicamentos del Hospital Universitario y Politécnico la Fe

- 518 de Valencia. This study is registered at clinicaltrials.gov (NCT05433831), registered on June
- 519 27th, 2022. https://clinicaltrials.gov/ct2/show/NCT05433831.
- 520 Informed Consent Statement: All participants are provided with a participant information
 521 sheet and are required to provide written consent.

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11	CLASSIC PARAMETERS EMERGING PARAMETERS
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25	Figure 1. Update of nutritional evolution parameters. Reproduced with permission from
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Figure 2 shows the schedule of enrolment, interventions, and assessments.

190x338mm (96 x 96 DPI)





Figure 3 – Comparison of longitudinal and transversal sections of the QRF muscle area ultrasound. Functional measures and main anatomical structures are represented.

338x190mm (96 x 96 DPI)

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24	Figure 4 Comparison of longitudinal and th	anavaraal castions of the	OPE muscle area ultras	aund Eunstional
25	measures and main	n anatomical structures a	are represented.	Sund. Functional
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Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

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Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to

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Schulz KF, Parulekar WR, Krleža-Jerić K, Laupacis A, Moher D. SPIRIT 2013 Explanation and

Elaboration: Guidance for protocols of clinical trials. BMJ. 2013;346:e7586

Reporting Item

Page Number

Administrative

information

 Title
 #1
 Descriptive title identifying the study design,
 1

 population, interventions, and, if applicable, trial acronym

1 2	Trial registration	<u>#2a</u>	Trial identifier and registry name. If not yet	2
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6 7	Trial registration:	<u>#2b</u>	All items from the World Health Organization Trial	2
o 9 10 11	data set		Registration Data Set	
12 13 14	Protocol version	<u>#3</u>	Date and version identifier	NA
15 16 17 18 19	Funding	<u>#4</u>	Sources and types of financial, material, and other support	12
20 21	Roles and	<u>#5a</u>	Names, affiliations, and roles of protocol	1; 12
22 23 24	responsibilities:		contributors	
24 25 26 27	contributorship			
28 29	Roles and	<u>#5b</u>	Name and contact information for the trial	1
30 31	responsibilities:		sponsor	
32 33	sponsor contact			
34 35 36 37	information			
38 39	Roles and	<u>#5c</u>	Role of study sponsor and funders, if any, in	1;12
40 41	responsibilities:		study design; collection, management, analysis,	
42 43	sponsor and funder		and interpretation of data; writing of the report;	
44 45 46			and the decision to submit the report for	
40 47 48			publication, including whether they will have	
49 50 51			ultimate authority over any of these activities	
52 53	Roles and	<u>#5d</u>	Composition, roles, and responsibilities of the	1;12
54 55 56	responsibilities:		coordinating centre, steering committee, endpoint	
57 58	committees		adjudication committee, data management team,	
59 60	F	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1			and other individuals or groups overseeing the	
2 3			trial, if applicable (see Item 21a for data	
4 5 6 7			monitoring committee)	
7 8 9 10	Introduction			
11 12	Background and	<u>#6a</u>	Description of research question and justification	1-5
13 14	rationale		for undertaking the trial, including summary of	
15 16			relevant studies (published and unpublished)	
17 18 19			examining benefits and harms for each	
20 21 22			intervention	
23 24	Background and	<u>#6b</u>	Explanation for choice of comparators	NA
25 26	rationale: choice of			
27 28 29 30 31 32	comparators			
	Objectives	<u>#7</u>	Specific objectives or hypotheses	5-6
33 34 35	Trial design	<u>#8</u>	Description of trial design including type of trial	
36 37			(eg, parallel group, crossover, factorial, single	
38 39			group), allocation ratio, and framework (eg,	
40 41 42			superiority, equivalence, non-inferiority,	
42 43 44			exploratory)	
45 46 47	Methods:			
48 49	Participants,			
50 51 52	interventions, and			
52 53 54 55 56 57 58	outcomes			
59 60		For peer re	eview only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Study setting	<u>#9</u>	Description of study settings (eg, community	6-7
3 4			clinic, academic hospital) and list of countries	
5 6 7			where data will be collected. Reference to where	
7 8 9 10			list of study sites can be obtained	
10 11 12	Eligibility criteria	<u>#10</u>	Inclusion and exclusion criteria for participants. If	7
13 14			applicable, eligibility criteria for study centres and	
15 16			individuals who will perform the interventions (eg,	
17 18 19 20			surgeons, psychotherapists)	
21 22	Interventions:	<u>#11a</u>	Interventions for each group with sufficient detail	8
23 24	description		to allow replication, including how and when they	
25 26 27			will be administered	
28 29 30	Interventions:	<u>#11b</u>	Criteria for discontinuing or modifying allocated	NO DRUG
31 32	modifications		interventions for a given trial participant (eg, drug	INTERVENTION
33 34			dose change in response to harms, participant	
35 36 37			request, or improving / worsening disease)	
38 39 40	Interventions:	<u>#11c</u>	Strategies to improve adherence to intervention	NO DRUG
40 41 42	adherance		protocols, and any procedures for monitoring	INTERVENTION
43 44			adherence (eg, drug tablet return; laboratory	
45 46 47			tests)	
48 49 50	Interventions:	<u>#11d</u>	Relevant concomitant care and interventions that	NA
50 51 52	concomitant care		are permitted or prohibited during the trial	
53 54 55	Outcomes	<u>#12</u>	Primary, secondary, and other outcomes,	10 – TABLE 1
56 57 58			including the specific measurement variable (eg,	
59 60	F	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

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1			systolic blood pressure), analysis metric (eg,	
2 3			change from baseline, final value, time to event),	
4 5 6			method of aggregation (eg, median, proportion),	
7 8			and time point for each outcome. Explanation of	
9 10			the clinical relevance of chosen efficacy and harm	
11 12 12			outcomes is strongly recommended	
13 14 15	Participant timeline	#13	Time schedule of enrolment interventions	7 – FIGURE 2
16 17		<u>"10</u>	(including any run ins and washouts)	
18 19			(including any run-ins and washouts),	
20 21			assessments, and visits for participants. A	
22 23			schematic diagram is highly recommended (see	
23 24 25			Figure)	
26 27	Sample size	#11	Estimated number of participants needed to	7_8
28 29	Sample Size	<u>#14</u>	eshieve study shiertings and how it was	1-0
30 31			achieve study objectives and now it was	
32 33			determined, including clinical and statistical	
34 35			assumptions supporting any sample size	
36 37			calculations	
38 39	Pocruitmont	#15	Stratogios for achieving adequate participant	7 8
40 41	Reclutiment	<u>#15</u>		7-0
42 43			enrolment to reach target sample size	
44 45	Methods:			NO
46 47	Assignment of			CONTROLLED
48 49 50	interventions (for			TRIAL
50 51 52	controlled trials)			
53 54				
55 56				
57 58				
59 60		For peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Allocation:	<u>#16a</u>	Method of generating the allocation sequence	NA
3 4 5	sequence		(eg, computer-generated random numbers), and	
5 6 7	generation		list of any factors for stratification. To reduce	
, 8 9			predictability of a random sequence, details of	
10 11			any planned restriction (eg, blocking) should be	
12 13			provided in a separate document that is	
14 15			unavailable to those who enrol participants or	
16 17 18 19			assign interventions	
20 21	Allocation	<u>#16b</u>	Mechanism of implementing the allocation	NA
22 23	concealment		sequence (eg, central telephone; sequentially	
24 25 26	mechanism		numbered, opaque, sealed envelopes),	
20 27 28			describing any steps to conceal the sequence	
29 30			until interventions are assigned	
31 32				
33 34	Allocation:	<u>#16c</u>	Who will generate the allocation sequence, who	NA
35 36	implementation		will enrol participants, and who will assign	
37 38			participants to interventions	
39 40 41	Blinding (masking)	<u>#17a</u>	Who will be blinded after assignment to	NA
42 43			interventions (eg, trial participants, care	
44 45			providers, outcome assessors, data analysts),	
46 47 48			and how	
40 49 50	Plinding (macking):	#17b	If blinded, circumstances under which unblinding	ΝΙΔ
51 52	omorgonou	<u>#170</u>	is permissible, and presedure for revealing a	
53 54	emergency		is permissible, and procedure for revealing a	
55 56	unblinding		participant's allocated intervention during the trial	
57 58				
59 60	F	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

Methous. Data			
collection,			
management, and			
analysis			
Data collection plan	<u>#18a</u>	Plans for assessment and collection of outcome,	
		baseline, and other trial data, including any	
		related processes to promote data quality (eg,	
		duplicate measurements, training of assessors)	
		and a description of study instruments (eg,	
		questionnaires, laboratory tests) along with their	
		reliability and validity, if known. Reference to	
		where data collection forms can be found, if not in	
		the protocol	
Data collection plan:	<u>#18b</u>	Plans to promote participant retention and	ç
retention		complete follow-up, including list of any outcome	
		data to be collected for participants who	
		discontinue or deviate from intervention protocols	
Data management	<u>#19</u>	Plans for data entry, coding, security, and	-
		storage, including any related processes to	
		promote data quality (eg, double data entry;	
		range checks for data values). Reference to	
		where details of data management procedures	

2	Statistics: outcomes	<u>#20a</u>	Statistical methods for analysing primary and	10
3 4			secondary outcomes. Reference to where other	
5 6 7			details of the statistical analysis plan can be	
7 8 9			found, if not in the protocol	
10 11 12	Statistics: additional	<u>#20b</u>	Methods for any additional analyses (eg,	10
13 14 15	analyses		subgroup and adjusted analyses)	
16 17	Statistics: analysis	<u>#20c</u>	Definition of analysis population relating to	10
18 19 20	population and		protocol non-adherence (eg, as randomised	
20 21 22	missing data		analysis), and any statistical methods to handle	
23 24 25			missing data (eg, multiple imputation)	
26 27	Methods:			NA
28 29 30	Monitoring			
31	Data manitaring:	#210	Composition of data monitoring committee	
32 33	Data monitoring.	<u>#21a</u>	Composition of data monitoring committee	
32 33 34 35	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting	
32 33 34 35 36 37	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent	
32 33 34 35 36 37 38 39	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and	
32 33 34 35 36 37 38 39 40 41	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its	
32 33 34 35 36 37 38 39 40 41 42 43 44	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol.	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50	formal committee	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 52 52	Data monitoring:	<u>#21a</u>	(DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55	Data monitoring: Data monitoring: interim analysis	<u>#21a</u>	 (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping guidelines, including who will have access to 	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57	formal committee	<u>#21a</u>	 (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision 	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59	formal committee Data monitoring: interim analysis	#21a	 (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial 	

1 2	Harms	<u>#22</u>	Plans for collecting, assessing, reporting, and	
3 4			managing solicited and spontaneously reported	
5 6 7			adverse events and other unintended effects of	
7 8 9			trial interventions or trial conduct	
10 11 12	Auditing	<u>#23</u>	Frequency and procedures for auditing trial	
13 14			conduct, if any, and whether the process will be	
15 16 17			independent from investigators and the sponsor	
18 19	Ethics and			
20 21 22 22	dissemination			
23 24 25	Research ethics	<u>#24</u>	Plans for seeking research ethics committee /	11
26 27 28	approval		institutional review board (REC / IRB) approval	
29 30	Protocol	<u>#25</u>	Plans for communicating important protocol	11
32 33	amendments		modifications (eg, changes to eligibility criteria,	
34 35			outcomes, analyses) to relevant parties (eg,	
36 37			investigators, REC / IRBs, trial participants, trial	
38 39 40			registries, journals, regulators)	
41 42	Consent or assent	<u>#26a</u>	Who will obtain informed consent or assent from	13
43 44 45			potential trial participants or authorised	
46 47			surrogates, and how (see Item 32)	
48 49 50	Consent or assent:	<u>#26b</u>	Additional consent provisions for collection and	NA
51 52	ancillary studies		use of participant data and biological specimens	
54 55			in ancillary studies, if applicable	
56 57 58				
59 60	F	or peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Confidentiality	<u>#27</u>	How personal information about potential and	11
3 4			enrolled participants will be collected, shared, and	
5 6 7			maintained in order to protect confidentiality	
7 8 9			before, during, and after the trial	
10 11 12	Declaration of	<u>#28</u>	Financial and other competing interests for	12
13 14	interests		principal investigators for the overall trial and	
15 16 17			each study site	
18 19 20	Data access	<u>#29</u>	Statement of who will have access to the final trial	11
20 21 22			dataset, and disclosure of contractual	
23 24			agreements that limit such access for	
25 26			investigators	
27 28				
29 30	Ancillary and post	<u>#30</u>	Provisions, if any, for ancillary and post-trial care,	NA
31 32	trial care		and for compensation to those who suffer harm	
33 34			from trial participation	
35 36 37	Dissemination	<u>#31a</u>	Plans for investigators and sponsor to	11
38 39	policy: trial results		communicate trial results to participants,	
40 41 42			healthcare professionals, the public, and other	
42 43 44			relevant groups (eg, via publication, reporting in	
45 46			results databases, or other data sharing	
47 48			arrangements), including any publication	
49 50			restrictions	
51 52 53	Dissemination	#31b	Authorship eligibility guidelines and any intended	NA
54 55	policy: authorship		use of professional writers	
56 57 58				
59 60		For peer re	view only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Dissemination	<u>#31c</u>	Plans, if any, for granting public access to the full	NA		
3 4	policy: reproducible		protocol, participant-level dataset, and statistical			
5 6 7	research		code			
8 9 10	Appendices					
11 12 13	Informed consent	<u>#32</u>	Model consent form and other related	NA		
14 15	materials		documentation given to participants and			
16 17 18			authorised surrogates			
19 20	Biological	<u>#33</u>	Plans for collection, laboratory evaluation, and	NA		
21 22 23	specimens		storage of biological specimens for genetic or			
23 24 25			molecular analysis in the current trial and for			
26 27			future use in ancillary studies, if applicable			
27 28 29 30 31						
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