

Acquisition of virulence-associated factors by the enteric pathogens Escherichia coli and Salmonella enterica

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In this review we summarize recent genomic studies that shed light on the mechanism through which pathogenic Escherichia coli and Salmonella enterica have evolved. We show how acquisition of DNA at specific sites on the chromosome has contributed to increased genetic variation and virulence of these two genera of the Enterobacteriaceae.

Keywords: Salmonella; enteropathogenic Escherichia coli; horizontal gene transfer; pathogenicity islands; microbial pathogenesis

1. INTRODUCTION

Commensal organisms have adapted to coexist with the human host without causing overt damage, although they may cause opportunistic infections under certain circumstances, for example in the immunocompromised host. Pathogenic bacteria, on the other hand, are capable of colonizing the human host and have acquired the ability to cause clinically significant pathology, either by causing localized damage to host mucosal cells or by breaching cellular barriers and causing systemic disease. These organisms are also able to transfer from one host to another and are therefore able to respond to and adapt to multiple changes in environmental conditions, both inside and outside the host.

Escherichia coli and Salmonella enterica (Euzéby 1999) belong to the Enterobacteriaceae and share a common ancestor that diverged approximately 150 million years ago. The genomes of the two species share extensive regions of homology and are essentially superimposable. However, variation, in terms of DNA inversions, deletions and insertions, between the two genomes does exist and it appears that the chromosomes of both E. coli and the S. enterica have preferred sites where novel DNA sequences can be integrated (figure 1 and table 1) and tolerated without significantly affecting fitness (Achtman & Pluschke 1986). The term pathogenicity island is used when these sets of inserted genes are demonstrated to contribute to an increase in virulence potential (Blum et al. 1991; Hacker et al. 1997). These genetic islands often have a guanine and cytosine (G+C) content different from that of the rest of the chromosome and so have almost certainly been acquired by horizontal transfer from a different bacterial genus. Some have been introduced into particular strains via horizontal transfer involving vectors such as bacteriophage (Acheson et al. 1998) and individual

H or flagella and capsular antigens. Many of the serotypes are not normally associated with human disease, and the majority of the non-anaerobic, commensal gut flora of man are commensal strains of *E. coli*. Some strains of E. coli have, however, acquired the ability to cause severe disease in humans, including neonatal meningitis, urinary tract infections, and gastro-intestinal infections. Phenotypic and genetic differences between commensal

gene is suggestive of bacteriophage origin.

E. coli and S. enterica isolates often harbour either

functional or defective integrated bacteriophage in

different chromosomal locations. Bacteriophages may use

transfer RNA (tRNA) genes as their insertion sites and

so association of horizontally acquired DNA with a tRNA

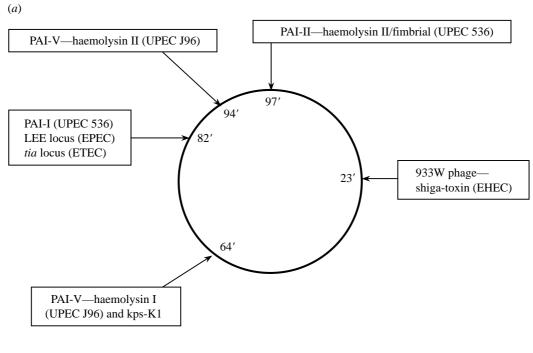
2. PATHOGENICITY ISLANDS OF E. COLI

of which is based on the O or lipopolysaccharide (LPS),

There are multiple serotypes of *E. coli*, the classification

and pathogenic strains of E. coli from a range of disease syndromes have been defined, and it appears the evolution of the pathogenic strains was dependent to a large extent on the ordered acquisition and retention of virulence-associated genes (Reid et al. 2000). E. coli K12 is a non-pathogenic isolate which has been fully sequenced. The genome revealed a single chromosome encoding over 4280 genes (Blattner et al. 1997). The chromosome consists of a relatively stable framework of genes, many of which are conserved in other E. coli and enteric bacteria, and has a complete repertoire of flagella genes similar to those defined in Salmonella. Perhaps the first studies linking gene acquisition to increased virulence in E. coli came from the studies of Smith & Huggins (1971) showing plasmid-encoded toxin and adhesion genes in enterotoxigenic E. coli. Early genetic proof that pathogenic E. coli encode novel chromosomal genes that facilitate adaptation to host colonization came from studies on E. coli associated with urinary tract infections (Knapp et al.

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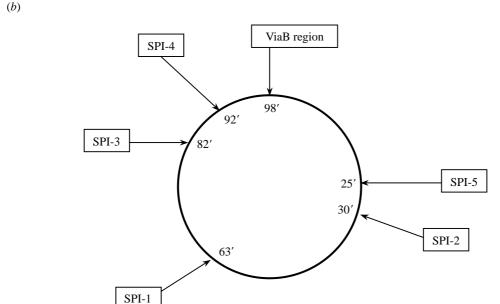


Figure 1. Chromosomal location of insertion points of pathogenicity islands and virulence-associated genes in *E. coli* (a) and *S. enterica* (b). EPEC, enteropathogenic *E. coli*; EHEC, enterohaemorrhagic *E. coli*; ETEC, enterotoxigenic *E. coli*; UPEC, uropathogenic *E. coli*; kps, k-polysaccharide synthesis

1986). These strains were found to encode novel fimbriae, named P-fimbriae, which facilitated attachment to epithelial cells. It was subsequently shown that the genes required for the biosynthesis of a haemolysin were also encoded in this same locus, bringing forward the concept of a pathogenicity island. Pathogenic *E. coli* have acquired a number of different pathogenicity islands not present in *E. coli* K12 that facilitate subversion of host-cell function and which benefit the bacterium. Particularly good examples of this phenomenon are the interactions of enteropathogenic *E. coli* (EPEC) and enterohaemorrhagic *E. coli* (EHEC) with mammalian intestinal epithelium. EPEC, an established aetiological agent of human diarrhoea, remains an important cause of mortality among young infants in developing countries. EHEC is an emerging

cause of acute gastro-enteritis and haemorrhagic colitis and is often associated with severe or fatal renal and neurological complications (Nataro & Kaper 1998). Subversion of intestinal epithelial cell function by EPEC and EHEC leads to the formation of distinctive 'attaching and effacing' (A/E) lesions, which are characterized by localized destruction (effacement) of brush border microvilli, intimate attachment of the bacillus to the host-cell membrane and the formation of an actin-rich underlying pedestal-like structure in the host cell (Frankel *et al.* 1998) (figure 2). The genes encoding the A/E phenotype are encoded on a pathogenicity island termed the locus of enterocyte effacement (LEE) (McDaniel *et al.* 1995). The G+C content of the LEE (38.3%) is significantly lower than that of the *E. coli* chromosome (50.8%) and both the

Table 1. Chromosomal location of insertion points of pathogenicity islands (PAI) and virulence-associated genes in *E. coli* and *S. enterica*.

insertion site (minutes)	insertion site (gene)	insertion
E. coli		
23	wrbA	933W phage
64	tRNApheV	PAI-IV
82	tRNA selC	PAI-I
94	tRNA pheR	PAI-V
97	$tRNA \mathit{leuX}$	PAI-III
S. enterica		
25	tRNAaspV-yafV	SPI-5
30	ser T- $cop S/cop R$	SPI-2
63	tRNAval	SPI-1
82	tRNAselC	SPI-3
92	ccb- $soxSR$	SPI-4
98	tRNA pheU	ViaB region

EPEC and EHEC LEEs contain 41 open reading frames (ORFs). The majority of the genes are organized in five polycistronic operons (*LEE1*, *LEE2*, *LEE3*, tir and *LEE4*). LEE1, LEE2 and LEE3 encode components of a type III secretion system (TTSS), LEE4 encodes proteins secreted by the TTSS, termed *E. coli* secreted proteins (ESPs), and the tir operon encodes for the outer membrane adhesion molecule intimin, the translocated intimin receptor (Tir), and CesT (the Tir chaperon) (Zhu et al. 2001; Frankel et al. 1998). In both EPEC and EHEC, transcription of the LEE2, LEE3 and tir operons is positively regulated by Ler (Mellies et al. 1999), the product of the first ORF in the LEE1 operon which belongs to the H-NS family of transcriptional activators. In EPEC only, a plasmidencoded regulator, Per, activates transcription of ler and the *LEE1* operon (Gomez-Duarte & Kaper 1995). Expression of ler is also dependent upon the integration host factor (Friedberg et al. 1999) and quorum sensing (Sperandio et al. 1999).

Population genetic surveys have shown that EPEC and EHEC strains can be divided into two related clones, designated EPEC clones 1 and 2 and EHEC clones 1 and 2 (Whittam & McGraw 1996). The insertion site of the LEE in the *E. coli* chromosome varies according to the clonal phylogeny of the strain. EPEC or EHEC that are clonally grouped together have an identical LEE insertion site (selC or pheU) (Wieler et al. 1997). This suggests that the LEE has inserted at multiple times during the evolution of the EPEC and EHEC family. These E. coli derivatives subsequently acquired additional virulence factors such as verocytotoxin encoded on bacteriophage (EHEC only) and on large (ca. 90 kb) plasmids found in EPEC and EHEC. Genetic analysis of the A/E gene (eae) from EHEC strains supports these data, as the level of DNAsequence variation is above that expected from the natural accumulation of mutations (Boerlin et al. 1998). The different clonal types of EHEC harbouring eae, however, cluster on phylogenetic analysis within closely related lineages (Boerlin et al. 1998) and there is very little sequence variation in the genes from the LEE of EHEC and EPEC not directly associated with interaction

with the host (Perna et al. 1998). This suggests that only closely related *E. coli* maintain the LEE, probably because of a dependence on genetic background. Transfer of the LEE therefore seems to be an event which has taken place several times but only a small subset of the E. coli population is capable of maintaining these genes.

3. SALMONELLA PATHOGENICITY ISLANDS

The genus Salmonella consists of two species, enterica and bongori. The species enterica is divided into seven subspecies (groups I, II, IIIa, IIIb, IV, VI and VII) containing over 2300 different serotypes (Popoff et al. 1998), while the species bongori (group V) (Reeves et al. 1989) contains 17 serotypes. As with E. coli, many of the Salmonellae are not known to be pathogenic for man, with the majority of the serotypes being isolated from coldblooded animals. However, several of the group I serotypes can cause disease in humans, including S. enterica serotype Enteritidis (S. Enteritidis), S. Typhimurium, and S. Dublin, which cause gastro-enteritis, and S. Typhi and S. Paratyphi A, which cause enteric fever. Some serotypes that cause gastro-enteritis in man are known to be hostadapted for birds or mammals other than man. Disease in humans is the result of contact with infected animals or the inadvertent introduction of the bacteria into the food chain. On the other hand, S. Typhi and S. Paratyphi A serotypes are host-adapted to man and have the ability to breach the gut mucosal barriers and cause a severe systemic infection.

As with E. coli, S. enterica has acquired single genes and large pathogenicity islands by horizontal transfer. The pathogenicity islands of Salmonella are generally characterized as having a G+C content different from that of the remainder of the chromosome (45%) and are usually, but not always, flanked by tRNA genes or repetitive elements. To date, at least five putative pathogenicity islands have been identified in S. Typhimurium: SPI-1, -2, -3, -4 and -5 (Marcus et al. 2000). SPI-1 and SPI-2 are the best characterized and are located at 63' and 30', respectively, on the S. Typhimurium chromosome (figure 1b). SPI-1 is a 40 kb pathogenicity island that is inserted not in a tRNA gene but between fhlA and mutS, two genes that are adjacent on the E. coli chromosome (Mills et al. 1995). SPI-2, which is also a 40 kb DNA region, is inserted adjacent to a tRNA gene (Hensel 2000). Both SPI-1 and SPI-2 contain genes that code for TTSSs in addition to other genes, including those for two-component regulatory systems (Hensel 2000). The genes encoding the secreted proteins, or substrates, of the SPI-1 and SPI-2 TTSSs are found both within the pathogenicity islands themselves as well as on other regions of the chromosome (Hensel 2000; Marcus et al. 2000).

The genes within SPI-1 encode proteins that facilitate entry of Salmonellae into epithelial cells and appear to have been acquired between 50 and 100 million years ago (Mills et al. 1995). SPI-1 was probably acquired before the divergence into the two Salmonella species because the spa genes from this region are present in all serotypes of Salmonella, including those of S. bongori (Ochman & Groisman 1996). Furthermore, dendrograms for the subspecies of Salmonella based on the inv/spa gene sequences, the products of which are components of the

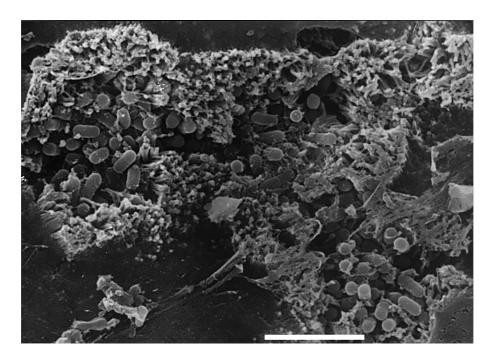


Figure 2. Localized colony of EPEC O111:MN (B171) with A/E lesion formation on human intestinal ileal explant following 8 h organ culture. Bar = $5 \mu m$.

SPI-1 TTSS, are very similar to dendrograms based on the sequences of five housekeeping genes with one exception (Boyd et al. 1996). The inv/spa genes of group IV and group VII are very similar, despite differences in the housekeeping genes, suggesting that the entire island has been transferred as a single entity between these two subspecies (Boyd et al. 1996). SPI-1 itself has elements of a mosaic structure, with the nucleotide composition of a putative iron transport system encoded within the region being different from that of the rest of the pathogenicity island (Zhou et al. 1999). This suggests that the final organization of SPI-1 may have been the result of more than one genetic event but because the inv/spa genes vary in the same way as the housekeeping genes these genetic events most probably occurred before the Salmonellae split into subspecies.

Although the apparatus encoded within SPI-1 facilitates invasion of epithelial cells, the initial interaction between Salmonella and the cell is facilitated by the expression of adhesins or fimbriae, including those encoded within two operons, fim (type I fimbriae) and lpf (long polar fimbriae). As with SPI-1, it appears that these operons were acquired before the division of the Salmonella into two species, as both operons are present in S. bongori and S. enterica subspecies I. Although the fim operon is present in all Salmonellae, it appears that lpf has been lost by a deletion event from the genomes of S. enterica subspecies II, IIIa, IIIb, IV and VI (Baumler 1997). SPI-1, fim, and lpf map to different regions on the Salmonella chromosome, suggesting that a series of transfer events occurred leading to the acquisition of all the virulence gene clusters required for adherence to and invasion of epithelial cells (Baumler 1997). Preliminary analysis of the completed S. Typhi genome sequence indicates the presence of multiple fimbriae operons, indicating that acquisition of fimbriae (or gene duplication events) may be relatively common.

A second pathogenicity island, SPI-2, encodes the necessary machinery for Salmonellae to survive within macrophages and thus establish a systemic infection (Hensel 2000). Early studies suggested that, unlike SPI-1, the virulence genes encoded by SPI-2 were acquired by S. enterica following the split from S. bongori but prior to the diversification into the various subspecies (Ochman & Groisman 1996; Hensel et al. 1997). Further studies have shown that SPI-2 is composed of two distinct genetic elements that may have been acquired independently: a $25.3\,\mathrm{kb}$ region located between the $\mathrm{tRNA^{Val\hat{V}}}$ gene at 31'and ssrB of SPI-2, and a smaller 14.5 kb region at 30.5'. The 25.3 kb region contains virulence-associated genes (e.g. those for the TTSS), while the smaller 14.5 kb region harbours a cluster of five ttr genes involved in anaerobic tetrathionate reduction and seven additional ORFs (Hensel et al. 1999). Interestingly, the smaller 14.5 kb region is present in S. bongori and it is possible that this region was acquired before the divergence into the two species of Salmonella, with the larger virulenceassociated region being inserted later.

A smaller pathogenicity island, SPI-3, is located at 82' on the chromosome immediately adjacent to selC, a tRNA locus that is the insertion site for distinct pathogenicity islands in EPEC and uropathogenic E. coli (UPEC; Blanc-Potard et al. 1999). SPI-3 is a 17 kb DNA region containing ten ORFs organized into six transcriptional units, including the mgtCB operon encoding the macrophage survival protein MgtC and the magnesium transporter MgtB. The distribution of SPI-3 sequences varies among the Salmonellae. The right end of the island, which harbours the virulence gene mgtC, is present in all eight subspecies, while a four-gene cluster at the centre of SPI-3 is found only in subspecies I, II and IV. This cluster has been shown to be bracketed by remnants of insertion sequences, suggesting a multistep process in the evolution of SPI-3 sequences (Blanc-Potard et al. 1999).

The acquisition of potential virulence-associated genes is only one step towards increasing pathogenic potential. The newly acquired genes must be expressed at the appropriate stages of the pathogenic process in a manner that does not reduce overall fitness. The pathogenic process for invasive Salmonella is highly complex, involving several major environmental changes in pH, oxygen tension, osmolarity and temperature. The expression of SPI-1 and SPI-2 virulence-associated genes is dependent upon the environmental conditions and, at least for SPI-2, only occurs in specific host intracellular compartments (Deiwick et al. 1999; Hensel 2000; Lucas & Lee 2000). The regulation of the genes encoded within the SPIs is highly complex and involves global regulators, such as Phop-PhoQ and EnvZ-OmpR (Lucas & Lee 2000), as well as regulatory systems encoded within the pathogenicity islands themselves. The SirA/HilA regulon associated with SPI-1 is expressed primarily when Salmonella are extracellular. SirA, a possible two-component regulator, is required for the expression of hilA, and the product HilA positively activates the spa, inv, and prg operons, which encode the proteins that form the type III secretion apparatus of SPI-1 (Ahmer et al. 1999; Eichelberg & Galan 1999). Similarly SsrA-SsrB, a twocomponent regulatory system encoded within SPI-2, is required for SPI-2 gene expression (Cirillo et al. 1998; Hensel et al. 1998). The regulator ssrAB and the structural gene ssaH are transcribed after Salmonella enters host cells. The early transcription of ssrAB itself is regulated by EnvZ-OmpR (Lee et al. 2000). It is possible that ssrAB is a global regulon controlling several sets of horizontally acquired genes. At least ten genes are regulated by SsrB within epithelial cells and macrophages (Worley et al. 2000). It has been suggested that SPI-1 and SPI-2 are inversely regulated, and mutations in SPI-2 have been shown to affect the expression of SPI-1-encoded genes (Deiwick et al. 1998).

4. CAPSULAR GENES

The interaction between the bacterial surface and host cells is an important phase in the infection and can be influenced by surface-associated polysaccharides produced by *E. coli* and *S. enterica*, in addition to lipopolysaccharide. The genes for many of these products have been defined in E. coli (Kotloff et al. 1992). Although the exact function of the capsule in E. coli is not clear, many E. coli express one of the 80 serotypes of K capsular antigens. The capsules of *E. coli* have been recently reviewed (Whitfield & Roberts 1999) and here we will concentrate on the capsule of S. Typhi.

Several serotypes of S. enterica group I are hostadapted, that is, they are pathogens that are able to circulate and cause systemic disease within a particular host population (Kingsley & Baumler 2000). An example of such a serotype is S. Typhi, which causes human typhoid fever. Man and higher primates are the only known hosts for S. Typhi and the bacterium is considered to be hostadapted as well as host-restricted to man because it can cause systemic disease in humans and can circulate within the human population. Although other serotypes such as S. Choleraesuis can cause systemic disease in humans, these serotypes do not normally circulate within

the human population and are usually acquired following contact with infected animals. The factor(s) responsible for the host-specificity of S. Typhi are not known. It is possible that either gene inactivation or the acquisition of additional genetic material after the divergence of the species S. enterica into the various subspecies may have contributed to the host-specificity of group I pathogenic Salmonella (Baumler 1997), however, there has been no specific genotype or phenotype proven to be associated as a determinant for host-specificity. One region that is present in the S. Typhi chromosome but which is absent from the S. Typhimurium and E. coli genomes is the ViaB locus. This locus is located on a 118 kb loop of DNA at position 98' in the S. Typhi chromosome (figure 1b) (Liu & Sanderson 1995) and contains the genes responsible for the synthesis and polymerization of the Vi capsular antigen as well as the genes required for its transport to the surface of the bacterium (Hashimoto et al. 1993). The Vi antigen is a homopolymer of O-acetylated $\alpha l \rightarrow 4$, 2-deoxy-2-N-acetyl galacturonic acid and was first described by Felix & Pitt (1934). It is expressed by almost all clinical isolates of S. Typhi (Lesmana et al. 1980) but has never been reported to be expressed by S. Typhimurium. Despite the reports of spontaneous Vi-negative mutants, the presence of the antigen on the vast majority of clinical isolates of S. Typhi suggests that S. Typhi expressing Vi have a selective advantage. Vi has been shown to be a virulence factor in a mouse model of typhoid fever using S. Typhi inoculated in hog mucin (Felix & Pitt 1934) and, in humans, the infectivity of Vi-expressing strains of S. Typhi is greater than that of Vi-negative spontaneous mutants (Hornick et al. 1970). However, the antigen is not essential for virulence as Vi-negative strains of S. Typhi are able to cause infection and disease in humans (Hornick et al. 1970). Furthermore, occasional isolates of other bacteria, including some serotypes of Salmonellae which do not commonly cause invasive disease in humans, may express the Vi antigen, e.g. S. Dublin and Citrobacter freundii. The role of Vi in the pathogenic process is not clear, although there is some evidence to suggest that it is antiopsonic and antiphagocytic (Looney & Steigbigel 1986). The 118 kb loop of DNA containing the ViaB locus is located inside a larger 135 kb island (figure 3) and it is possible that other genes within the locus are important virulence determinants.

The Vi capsule can act as a physical barrier to the export of flagella protein and the invasion-promoting proteins of SPI-1, and the expression of Vi capsule and SPI-1 is coordinated (Arricau et al. 1998). For example, the expression of Vi is down regulated and SPI-1 upregulated under conditions of high osmolarity, as would be encountered in the gut. Indeed, osmolarity is an important environmental signal for the expression of many bacterial proteins, and triggers an adherent and invasive phenotype in S. Typhi (Tartera & Metcalf 1993). One locus involved in the regulation of Vi expression is ViaA (Snellings et al. 1981), a locus that is present in several bacterial species (Baron et al. 1982). Mutations in ViaA that lead to the absence of Vi expression are invariably within the resB gene (Houng et al. 1992), which regulates Vi expression via the two component system RcsA-RcsB (Arricau et al. 1998). The RcsA-RcsB system is a common mechanism in the activation of capsule

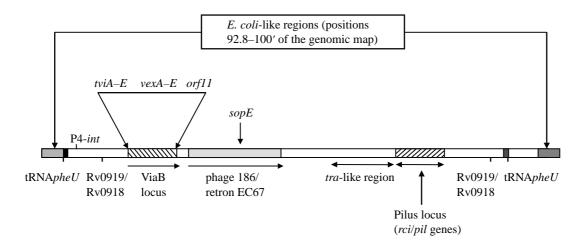


Figure 3. The ViaB region of S. Typhi showing the ViaB locus, which encodes the genes involved in Vi capsule biosynthesis (tviB-E) and expression (vexA-E), and other gene clusters encoding for a bacteriophage and pilin subunits.

biosynthesis in many enteric bacteria (Wehland & Bernhard 2000). Transfer of the ViaB region alone into E. coli allows the expression of a Vi capsule (Houng et al. 1992), showing that the ViaA locus present in E. coli is functional with respect to Vi regulation. The first gene within the ViaB locus, tviA, is involved in the RcsB regulation of Vi expression (Virlogeux et al. 1996). The mechanism of the interaction between TviA (from ViaB) and RcsB (from ViaA) and the process by which the two genes have come together in a single pathogen is still not understood. There are certain similarities between this and the *E. coli* serotype K30 capsule. In K30, expression is positively regulated by the Rcs system and by the first ORF of the gene cluster orfX. (Whitfield & Roberts 1999).

5. GENOMIC INITIATIVES

Currently several whole-genome sequencing programmes for specific E. coli isolates are underway, in particular EHEC and E. coli O157. This and similar DNAsequencing programmes will help us define more clearly the differences between commensal and pathogenic E. coli and help us understand more about the evolution of this micro-organism. E. coli K12 is genetically the most thoroughly characterized micro-organism and so it was an E. coli K12 derivative, MG1655, that was the first E. coli genome to be completely sequenced (Blattner et al. 1997). Many of the ORFs identified through the sequencing project had not been discovered during the previous 50 years of intensive conventional genetic investigation. A significant proportion of the ORFs encode proteins for which no obvious gene function can be assigned. Some of these genes of unknown function have homologues in other bacteria, particularly those within the Enterobacteriaceae but there are no clear clusters of genes that might contribute to virulence traits such as adhesins or toxins.

The sequencing of the S. Typhi and S. Typhimurium genomes is nearing completion and information is available at http://www.sanger.ac.uk/Projects/S_typhi. The S. Typhi strain being sequenced at the Sanger Centre

(Cambridge, UK) is a multidrug-resistant strain, CT18, that harbours two large plasmids in addition to the chromosome. One of these plasmids, pHCM1, encodes multiple antibiotic resistance determinants. Plasmid pHCMl is related over ca. 80% of the sequence to R27 and it is possible to readily identify additional sequences present on pHCMl against the background of shared sequence. Detailed comparisons of S. enterica and E. coli genome organization await the completion of these sequencing projects.

As sequencing programmes progress, the comparison between species of Enterobacteriaceae will allow a more general understanding of the evolution of this broad group of bacteria. However, of more direct relevance to the emergence of pathogens from within a species are the genetic differences between the various clinical groups of *E. coli* and between the serotypes of *S. enterica*.

6. CONCLUSIONS

Modern molecular studies are providing tremendous insights into the functional organization and evolutionary relationships of *E. coli* and *S. enterica*. Both species appear to encode a related framework of common genes, which determine the characteristics of these species. Variation is built on this framework through a number of different processes, some involving gene acquisition at specific sites on the chromosome or extra-chromosomal elements. For the first time we are able to accurately define virulence-associated traits and distinguish factors that contribute to commensalism or pathogenicity. This information will help us predict potential future evolutionary developments in these species as we identify mechanisms that have contributed to past diversity. Increasing knowledge of the area will also yield information on how best to intervene in diseases precipitated by these micro-organisms through the identification of novel antigens and potential drug targets. Genome-sequencing programmes are already impacting in this area and completion of ongoing programmes will eventually provide new blocks of information to guide future work. The ability to genetically manipulate the species will facilitate experimental approaches, making this an area for expansion and discovery in the next

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