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Country uptake of WHO recommendations on differentiated HIV testing services approaches: a global policy review

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Title: Country uptake of WHO recommendations on differentiated HIV testing services approaches: a global policy review

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1 2		
3 4	1	Abstract
5 6	2	Objectives In 2015 and 2016 the World Health Organization (WHO) issued guidelines on HIV
7 8	3	testing services (HTS) highlighting recommendations for a strategic mix of differentiated HTS
9 10	4	approaches. The Policy review examines the uptake of differentiated HIV Testing service
11 12	5	(HTS) approaches recommendations.
13	6	Methods Data were extracted from all available national policies published between
14 15	7	January 2015 and June 2019 stored in WHO's national policy repository. WHO
16 17	8	recommended as HTS approaches facility-based testing, community-based testing, HIV self-
18 19	9	testing and provider-assisted referral (or assisted partner notification); in terms of testing
20 21	10	components: pre-test information, lay provider testing and rapid testing. Descriptive
22 23	11	analyses were conducted to examine availability of policies and adherence to WHO
24 25	12	differentiated HTS recommendations.
26	13	Results Of 194 countries worldwide, 65 published policies identified in the review period.
27 28	14	24 were from from the African region (51% of African countries, 24/47), six the Eastern
29 30	15	Mediterranean region (29%, 6/21), 21 the European region (40%, 21/53), five the American
31 32	16	region (14%, 5/35), 4 the South East Asia region (36%, 4/11) and five the Western Pacific
33 34	17	Region (19%, 5/27). Only five countries were compliant with recommendations and 63
35 36	18	included at least one. 85% (n=55) included facility-based testing for pregnant women, 75%
37	19	(n=49) facility-based testing for key populations, 74% (n=48) community-based testing for
38 39	20	key populations, 38% (n=25) HIV self-testing, 25% (n=16) provider-assisted referral, 69%
40 41	21	(n=45) rapid testing, 57% (n=37) post-test counselling, 45% (n=29) lay provider testing and
42 43	22	29% (n=19) pre-test information. The highest uptake of WHO recommendations was seen in
44 45	23	countries from the African and Eastern Mediterranean region.
46 47	24	Conclusion There was substantial variability in the uptake of WHO HTS recommendations,
48	25	ranging from 25% to 85%. Uptake was above 50% for facility-based testing for pregnant
49 50	26	women and key populations, community-based testing, rapid diagnostic testing and post-
51 52	27	test counselling, uptake was between 25% and 45% for all the other recommendations.
53 54	28	
55 56	29	
57 58	30	
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2 3 4	32	Strengths and limintations of this study
5	33	A clear inclusion and exclusion criteria was provided through the use of the WHO HTS
6 7	34	Guidelines
8 9	35	• Not all country policies worldwide were available within the WHO repository and therefore
10 11	36	no information was extracted
12	37	Not all country policies were provided in English, therefore policies were translated into
13 14	38	English
15 16	39	
17 18	40	
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8 9 10 11 12 13	67	
	68	Introduction
	69	HIV testing services (HTS) are essential in identifying individuals who are unaware of their
14 15	70	HIV status, linking HIV positive individuals to treatment and HIV negative individuals to
16 17	71	prevention services. In 2019 7.1 million people were estimated to be unaware of their HIV-
18 19	72	positive status(1). Only six countries globally reported having reached the 90-90-90
20 21	73	targets(2, 3).
22	74	
23 24	75	Testing uptake remains particularly low among key populations (defined as men who have
25 26	76	sex with men (MSM), sex workers, people who inject drugs (PWID), people in prisons and
27 28	77	closed settings and transgender people) making up nearly two-thirds (62%) of all new
29 30	78	infections (4, 5). Men and young people also have low uptake and access to services (6-11).
31	79	In all populations with low uptake, particularly key populations, barriers to testing identified
32 33	80	include stigma, discrimination. Structural barriers including accessibility of services,
34 35	81	inconvenient clinic hours and opportunity costs for clients have also been identified
36 37	82	amongst all populations (6-11).
38 39	83	
40 41	84	In 2015 the World Health Organization (WHO) published the consolidated guidelines on HIV
42 43	85	testing services, followed by supplementary guidance recommending HIV self-testing
44	86	(HIVST) and provider-assisted referral in 2016(5, 12, 13). Together, these guidelines
45 46	87	encourage a strategic mix of differentiated HTS approaches for high and low HIV burden
47 48	88	settings with a focus on priority populations and people with HIV who do not know their
49 50	89	status (5, 14). This referred to a form of service delivery with approaches tailored to a
51 52	90	population taking a 'client-centred approach', addressing barriers and reducing unnecessary
53 54	91	burdens for healthcare systems(2). The guidelines outlined recommendations for several
55	92	HTS approaches and HTS components with considerations regarding the population,
56 57	93	epidemic and context. See supplementary information (additional file 1) for a summary of
58 59 60	94	the 2015 and 2016 WHO guidelines on HIV testing services. In populations such as key

1 2 Page 6 of 42

3 4 5 6 7 8 9	95	populations and adolescents where testing uptake remains low these services are essential
	96	in reducing barriers to testing reach those who would otherwise not get tested, and a
	97	feasible and acceptable practice in many settings (6-11).
	98	
10 11	99	2019 HTS guidelines update
12 13 14 15 16	100	In 2019 the WHO published updated consolidated guidelines for HIV testing(14). These
	101	guidelines included a new conditional recommendation on social network-based
	102	approaches for HIV testing and updated recommendations for HIVST and counselling
17 18	103	messages(14, 15). In these new guidelines generalized and concentrated epidemic
19 20	104	classification is no longer used due to changing epidemics, instead countries as referred to
21 22	105	as high or low burden depending on their epidemic (14). However, as this review relates to
23 24	106	uptake of 2015 HTS guidelines, countries have been classified as having either a generalized
25 26	107	or concentrated epidemic to ensure consistency with language used in these guidelines.
27 28	108	
29	109	It is important to monitor the uptake of the WHO HTS guidelines into country policies and
30 31	110	understand country needs to support inclusion of WHO recommendations in them.
32 33	111	However, we recognize that HTS policy uptake is often not reflective of implementation and
34 35	112	further research is needed to address this gap. To this end we reviewed national HTS
36 37	113	policies to examine the uptake of WHO HTS recommendations on differentiated testing
38	114	services.
 39 40 41 42 43 44 45 	115	
	116	Methods
	117 118	Methods The study included No patient involved.
46 47	119	Search strategy
48 49	120	
50 51	121	A comprehensive search of national HTS policy documents was undertaken using the WHO
52 53	122	national policy repository (16). The repository was first produced in 2015 and is routinely
54 55	123	updated by WHO staff using a google search and search of the Ministry of Health websites.
56	124	The repository included policies relating to HIV testing services, HIV counselling services,
57 58 59 60	125	prevention services, antiretroviral therapy (ART), as well as policies relating to prevention of
	126	mother-to-child transmission, HIV partner services, national HTS action/strategic plans, and

2					
3 4 5 6 7	127	differentiated service delivery. In addition, national policies relating to sexual health, and			
	128	sexually transmitted infections were also included.			
	129				
8 9	130	For inclusion, policies needed to report on national HIV testing strategies and be published			
10 11	131	between January 2015 and June 2019. The most recent policy document containing			
12 13	132	information on HTS was used for extraction.			
14 15	133				
16	134	The 2015 cut-off was chosen as it represents the year when first WHO consolidated			
17 18	135	guidelines on HIV testing services were published. Policies in languages other than English			
19 20	136	were translated using google translate. Those that google was unable to translate were			
21 22	137	excluded. Please see further details on the process to identify country policies including HTS			
23 24	138	recommendations in Figure 1.			
25 26	139				
27	140	Data extraction			
28 29	141	Data was extracted by one author (TK) and it included for HTS approaches: (1) Facility-based			
30 31	142	testing for pregnant women (1a), adolescents (1b), infants and children (1c), and key			
32 33	143	populations (1c); (2) Community-based testing; (3) HIV self-testing and (4) Provider-assisted			
34 35	144	referral. For HTS components: (5) Pre-test information/counselling, (6) Post-test counselling,			
36 37	145	(7) lay provider testing and (8) rapid testing. In the 2015 guidelines pre-test information was			
38	146	recommended instead of pre-test counselling, however data for pre-test counselling was			
39 40	147	extracted to better understand if countries were still recommending this component. Of			
41 42	148	note HIV testing recommendations on facility-based testing were population-specific.			
43 44	149				
45 46	150	Data analysis and reporting			
47 48	151				
49	152	First, we estimated the number and proportion of countries in each WHO region that had a			
50 51	153	relevant policy in the period of interest. Secondly, we determine the proportion of countries			
52 53	154	that included the WHO HTS recommendations in their policies. This was done overall			
54 55 56 57 58 59 60	155	(worldwide) and stratified by WHO region and epidemic type (concentrated or generalized).			
	156	This last stratification was required given some recommendations were epidemic type			
	157	specific: in particular facility-based testing for those with signs and symptoms, adults,			

2		
3 4 5 6 7 8	158	adolescents and children apply only to concentrated epidemics and community-based
	159	testing only to concentrated and generalised epidemics.
	160	Policies were categorised in three groups:
9 10	161	Compliant: Policies that clearly and explicitly stated and included a specific
11	162	recommendation
12 13	163	Not compliant: Policies that did not include a specific recommendation
14 15	164	Unclear: Policies in which compliance with WHO recommendations was unclear due
16 17	165	to insufficient information.
18 19	166	Analyses were conducted in Microsoft Excel. Data were also stratified and analysed
20	167	according to epidemic type defined by high (≥5%) and low (<5%) HIV burden.
21 22	168	
23 24	169	Results
25 26	170	Characteristics of included a clinics
27 28	171	Characteristics of included policies
29 30	172	Of 194 WHO member states 148 countries had at least one policy within the WHO national
31	173	policy repository. 82 were excluded as they were published before January 2015 and one
32 33	174	because it was written in Arabic and Google was unable to translate it. 65 policies were
34 35	175	included as they were published between January 2015 and June 2019 and were in English
36 37	176	or could be translated (see Figure 1); Of these 65, 30 were HIV testing policies, 12 integrated
38	177	guidelines for HTS, 15 national strategic plans for HIV testing services while eight were
39 40	178	related polices reporting on HIV testing (one HIV counselling policies, one ART policy, one
41 42	179	integrated guidelines for STIs, one sexual health national strategic plan, one policy on HIV
43 44	180	contact management, one global AIDS progress report, one differentiated testing guideline
45	181	and one policy on community-based testing). 34(52%) country policies were in English. Cote
46 47	182	d'Ivoire and Morocco latest policies (written in French and Arabic) gave policy documents in
48 49	183	formats that did not permit translation. For Cote d'Ivoire there was an earlier policy
50 51	184	published in 2016 and so we used that one. No other policies were available for Morocco in
52 53	185	the timeframe of interest; therefore, we could not include Morocco.
54	186	
55 56	187	
57 58	188	Figure 1. Process to identify country policies including recommendations on HIV testing services.
59 60	189	

Figure 2 illustrates the 65 countries with a policy on HIV testing that we have identified. Of the 65 country policies, 24 were from the WHO Africa region (AFR) corresponding to 51% of African countries (24/47), six from the WHO Eastern Mediterranean region (EMR; 29% of 21 countries), 21 from the WHO European region (EUR; 40% of 53 countries), five from the Pan American region (AMR; 14% of 35 countries), four from the WHO South East Asia Region (SEAR; 36% of 11 countries) and five from the Western Pacific Region (WPR; 19% of 27 countries). 37% (24/65) were classified as having a concentrated epidemic, 34% (22/65) a generalised epidemic and the remaining 29% (19/65) as having a low level epidemic.

Figure 2. Countries with a national policy identified between January 2015 and June 2019. A map of all 65 countries within this review (n=65). Countries highlighted in orange are those that included all recommendations relevant to their country setting (n=5).

⁵ 205 **Overall uptake of policies**

Five countries provided policies that were complaint with all the relevant recommendations (see Figure 2). Figure 3a illustrates the number of countries that included the recommendations which apply to all setting and that are not specific to sub-populations, as well as pre-test counselling; while, figure 3b shows the number of countries that included recommendations specific for some sub-populations (on the left) or that apply only to certain epidemics (on the right). Among recommendations on HTS approache and components applicable to all settings and populations (Figure 3a): provider-assisted referral had the lowest uptake at 25% (16/65), 38% (25/65) of countries recommended HIVST, 69% (45/65) of rapid testing, 45% (29/65) permitted lay provider testing, 29% (19/65) recommended pre-test information, 35% (23/65) recommended pre-test counselling (or who did not specify the use of pre-test information were not included) and 35% (37/65) post-test counselling. Regarding recommendation for specific sub-populations (Figure 3b on the left), 65% (42/65) of countries recommended facility-based testing for infants and children, 85% (55/65) for pregnant women, 75% (49/65) for key populations and 74% (48/65) recommended community-based testing for key populations. Of countries with a concentrated epidemic (n=24), 71% (17/24) recommended facility-based testing for all those presenting with signs

224	and symptoms. Of those with a generalised epidemic (n=22), 86% (19/22) recommended
225	facility-based testing for adolescents.
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3 4	230					
5	231	Figure 3a. Number of countries that included recommendations valid in all settings and populations from the 2015 WHO consolidated guidelines for HTS,				
6 7	232	by type of recommendation and WHO region.				
8 9 10	233 234	AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region.				
11	235					
12 13	236					
14 15	237	Figure 3b. Number of countries included in the review that included population or epidemic specific recommendations from the 2015 WHO consolidated				
16	238	guidelines for HTS, by type of recommendation and WHO region				
17 18 19 20	239 240 241 242	AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region; Facility-based testing for key populations here refers to provider-initiated testing and counselling this is recommended iin malnutrition clinics or sexually transmitted infections (STI) or hepatitis and Tuberculosis services or health services for key populations in all settings.Facility-based testing for pregnant women, infants and children applies to all countries (n=65). Community-based testing for key populations applies to all countries (n=65).				
21 22 23	243 244	Facility-based testing for all those presenting with signs and symptoms is recommended only in countries with a concentrated epidemic (n=24); facility-based testing for adolescents only in countries with a				
24 25		generalised epidemics (n=22).				
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4 5 6 7 8 9 10 11 12 13 14 15	246	Variation in uptake of HTS approaches recommended for all countries across WHO regions
	240	The uptake of recommendations varied substantially across countries (see uptake of single
	247	recommendations for each country in additional file 2) and regions. HIVST was
	249	recommended by 38% (25/65) of countries. The regions with the highest uptake of HIVST
	250	were the EMR (67%; 4/6) and the AFR (54%; 13/24), followed by the WPR (40%; 2/5) and
	251	EUR (29%; 6/21). No countries from SEAR and AMR included recommendations for HIVST.
16 17	252	Only 25% (13/65) of countries included recommendations for provider-assisted referral:
18 19	253	38% of AFR (9/24) countries, 33% of EMR (2/6), 10% OF (2/21) in EUR, 20%((1/5) of AMR,
20 21 22 23 24 25 26	254	5% in the SEAR (1/4) and in WPR (1/5).
	255	
	256	Variation in uptake of HTS components (Pre-test Information, Post-test Counselling, lay
	257	provider testing, Rapid testing) recommended across WHO regions
27 28	258	Pre-test information was included in 29% (19/65) of country policies. Countries within the
29	259	EMR showed the highest uptake(83%; 5/6), followed by the AFR (42%; 10/24), WPR (20%;
30 31	260	1/5), SEAR (25%; 1/4) and EUR (10%; 2/21). No countries from AMR included this
32 33	261	recommendation. 57% (37/65) of countries recommended post-test counselling, with
34 35	262	variation across regions (100% EMR, 88% AFR, 40% WPR, 25% AMR, 25% SEAR, and 19%
36 37	263	EUR). Whilst pre-test counselling is no longer recommended by WHO, it was still included by
38	264	35% (23/65) of countries: (60% AMR, 58% AFR, 50% SEAR, 33% EMR and 10% EUR) while no
39 40	265	countries in the WPR. Rapid testing was included in 69% (45/65) of country policies, with
41 42	266	regional variation (100% EMR, 88% AFR, 80% WPR, 50% SEAR, 48% EUR and 40% AMR).
43 44	267	Lay provider testing was permitted in 45% (29/65) of countries (75% AFR, 67% EMR, 50%
45 46	268	SEAR, 40% WPR, 20% AMR, and 5% EUR).
47	269	
48 49	270	
50 51	271	
52 53	272	Figure 4. Number of countries including the new recommendations, by year.
54	273	
55 56	274	Lay Provider testing was recommended for the first time by WHO in 2015 and provider-
57 58	275	assisted referral and HIVST in 2016. Figure 4 shows the number of countries including the
59 60	276	new recommendations in their policies in the years following their introduction. A steep

3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	277	increase in uptake can be observed with 16, 25 and 29 countries including recommendation
	278	on respectively provider-assisted referral, HIVST and lay provider testing by June 2019.
	279	
	280	
	281	Variation in uptake of population specific HTS approaches recommended for all countries
	282	across WHO regions
	283	Facility-based testing for pregnant women was recommended by 85% (55/65) of countries,
	284	including all countries in EMR (100%; 6/6) and AFR (100%; 24/24) followed by WPR (80%;
18	285	4/5), EUR (76%; 16/21), AMR (60%;3/5) and SEAR (50%;2/4). 65%(42/65) recommended
19 20	286	facility-based testing for infants and children (100% EMR, 76% AFR, 80% WPR, 75% SEAR,
21 22	287	40% AMR and 19% EUR).
23 24	288	
25 26	289	Facility-based testing for key populations is recommended in 49 countries (100% EMR, 88%
27 28	290	AFR, 67% EUR, 60% AMR, 60% WPR and 50% SEAR): 69% (34/49) recommended targeted
29	291	testing for MSM, 59% (29/49) for sex workers or those who engage in transactional sex, 45%
30 31	292	(22/49) for prisoners, 18% (9/49) for transgender people and 57% (28/49) for PWID . High
32 33	293	uptake was observed in countries from the EMR, with a 100% (6/6) uptake, as well as AFR
34 35	294	(88%; 21/24), the EUR (66%;14/21) and WPR (60%; 3/5), while it was lower in the AMR
36 37	295	(60%; 3/5) and the SEAR (50%; 2/4). 74%(48/65) of countries recommended community-
38 39	296	based testing for key-populations. Of the countries that included community-based testing
40	297	for key populations; 13% (6/48) recommended testing in community health centres, 44%
41 42	298	(21/48) home-based/door-door testing, 35% (17/48) workplace testing, 23% (11/48) testing
43 44	299	within educational establishments, 15% (7/48) testing in places of worship. 38% (18/48)
45 46	300	included outreach services and 35% (17/48) mobile testing. Uptake varied by region (100%
47 48	301	EMR, 88% AFRR, 80% WPR, 52% EUR, 50% SEAR and 20% AMR).
49	302	
50 51	303	Variation in uptake of epidemic specific HTS approaches recommended across WHO
52 53	304	regions
54 55	305	37% (n=24) of countries had a concentrated epidemic. 20% (5/24) were in the AFR region,
56 57	306	46% (11/24) in EURO, 12% (3/24) in AMR, 8% (2/24) in SEAR, 8% (2/24) in WPR and 4%
58 59	307	(1/24) in EMR. 72% (18/24) of countries with a concentrated epidemic recommended
59 60	308	facility-based testing for all those presenting with signs and symptoms. 34% (n=22) of

countries had a generalised epidemic. Amongst the countries with a generalised epidemic
100% (22/22) recommended facility-based testing for adolescents.

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¹¹ 313 **Discussion**

Across all country policies reviewed, only five countries (in AFR, EMR and EUR) included all the WHO HTS recommendations (relevant to their country setting). However, this suggests that it is feasible to adapt latest policies within a short time frame. We found high uptake of recommendations for community-based testing, first recommended in 2013 (17). The uptake of recommendations first issued in 2016 (HIVST and provider-assisted referral) was low, with less than half of countries recommending both. This may be due to their later introduction to recommendations; although the uptake was increasing steadily. Population specific facility-based testing recommendations were generally taken up for pregnant women and, infants and children and key populations although very few policies included transgender populations. Among countries with generalised and concentrated epidemics, there was high uptake of community-based testing for key populations; while, only half of countries recommended mobile testing explicitly, and just over two fifths recommended outreach testing. These methods are likely to increase the uptake of HIV testing for key populations, by reducing stigma and discrimination.

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As of 2019, 81% of all people with HIV are estimated to have been diagnosed globally(18). Differentiated testing approaches are critical for reaching the remaining people with HIV as standard testing services have not been successful in serving them. WHO recommends a strategic mix of HTS depending on the epidemiology, context and focus populations. In high HIV burden settings in Africa, men, adolescents, young people and key populations are more likely to be undiagnosed. In other countries, key populations and their partners are the most affected populations, testing services need to be focused on them. National policies often did not elaborate how various approaches will be used within a differentiated HTS plan to reach national goals and specific service delivery models and support tools. Moreover, inclusion of recommendations in policies not always directly lead to implementation or scale

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up of effective practices. Further monitoring is needed to understand the implementationstatus of services as well as their scale and coverage.

HIVST and provider assisted referral had lower uptake despite both these having been
found to be acceptable and feasible to implement and effective in reaching people who
would not otherwise have tested for HIV(19, 20). Despite steady increase in the number of
countries adopting these reccomendations within national policies, few countries overall
had national policies supporting them, particularly for provider-assisted referral. According
to latest Global AIDS Monitoring, as of July 2020 88 countries globally report inclusion of
HIVST in national policies and nearly half of them (41, 47%) are routinely implementing
HIVST(18).

Site 2015, WHO recommends brief pre-test information when offering HTS. Evidence and
 programmatic experiences suggest lengthy pre-test counselling is no longer needed and
 may in fact deter some testers from seeking HTS, such as repeat testers. Our review shows
 many countries are still continuing with traditional pre-test counselling which reduces the
 efficiency of HTS and does not represent the best use of scarce human and financial
 resources. Also, anecdotal evidence suggests many countries provide post-test counselling
 that includes outdated information. For example, many programmes have not adapted
 counselling messages to include information of prevention benefits of treatment and
 achieving viral suppression for partners (undetectable=untransmissible or U=U), availability
 of effective prevention options such as pre-exposure prophylaxis (PrEP) and messages on
 optimal testing frequency based on risk and epidemiology. Countries need to review and
 revise their policies to adapt latest WHO recommendation on pre-test information and post test counselling.

Majority of countries (over two thirds) included in this review support the use of rapid HIV testing, which can provide same day diagnosis facilitating rapid initiation of ART. WHO recommends the use of trained lay providers and peers for delivering HTS using RDTs. However, few countries with RDT policy supported the use of lay providers. This can affect expansion of services to offer testing at places accessible and convenient to populations groups most affected with HIV including introduction and scale up of community-based

testing. Countries need to review their policies to address legal barriers to use of trained lay
providers and develop standard operating procedures and training material and supervision
activities for this cadre of providers.

Our review found variations in policy uptake by region. Overall countries in EMR region showed the highest uptake followed by AFR countries, while countries within AMR showed to have the lowest uptake of recommendations. Countries within SEAR, EUR and WPR also showed a lower uptake. These findings need to be interpreted with caution as we identified a small number of policies from regions other than AFR and thus may not be representative of overall situation in the regions. It is encouraging that the uptake of WHO policies was high in AFR given the countries in this region represent the highest burden of both diagnosed and undiagnosed HIV infection(1).

Overall, our review findings indicate that many countries would need ongoing support in order to include the latest WHO recommendations on HTS in their policies. All stakeholders including international organizations, implementing partners, and donors need to support the governments and national programmes in updating national policies. Community groups and civil society need to advocate for availability of latest and evidence-based recommendations and interventions in their countries. Further support will be needed in operationalization and scale up of such policies, and strategies focusing on key populations are required in some settings. Regular and close monitoring of country policy uptake and implementation status is needed to identify country support needs for appropriate action.

This review has several limitations. National HTS policies were available only for 65 countries that published them between January 2015 and June 2019. There may be other policies published in this period that we may not have identified if not publicly available. For eight countries information was extracted from policy documents that were not directly related to HTS and may not have information with required level of detail. For the EMR, SEAR and WPR regions national policies were available from only a small number of countries and thus they may not be representative of the situaiton in the whole regions.

402	Conclusion

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This review found that the uptake of all WHO's 2015 and 2016 HIV testing recommendations varied substantially. Only five countries included all the recommendations relevant to their country setting. Uptake was particularly low for HIV self-testing, provider-assisted referral and lay provider testing, key interventions for reaching undiagnosed populations and for expanding access to HTS. This requires attention. Encouragingly the uptake of recommendations in the AFR region, the region with greatest HIV burden, was high compared to other regions. Differentiated HIV testing services are essential for reaching people with HIV who do not know their status and others at high ongoing risk to facilitate linkage to prevention, treatment and care. Global efforts are needed to support countries to accelerate adoption and implementation of the full WHO HIV testing guidelines. **Funding statement** This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors **Competing interests** None of the authors has competing interest to declare

2 3 4	433	Authors Contributions
5 6	434	TK reviewed and extracted guidelines. MS, CJ, MD and VC provided substantial input and
7 8	435	edits to the manuscript.
9	436	
10 11 12	437	Author information
13	438	Cheryl Johnson contributed to the writing, coordination and research for the WHO
14 15	439	Consolidated Guidelines for HIV Testing Services.
16 17	440	
18 19	441	Acknowledgements
20 21	442	
22 23	443	Funding: No funding sources
24 25	444	Disclaimer:
26 27	445	
28 29	446	Additional Files
30 31	447	
31 32 33 34	448 449	Additional file 1: WHO guidelines for differentiated HIV testing services A summary of the 2015 and 2016 Consolidated Guidelines on HIV testing recommendations.
35 36	450	
37	451	Additional file 2: Extraction tool
38 39	452	Document containing extraction tool use to collect data. The document contained the
40 41	453	extraction tool used to extract data. This included all countries, regions, policy document
42 43	454	type, date of policy and indication of the uptake of recommendations. The document contains
44 45	455	further information on key findings and countries by epidemic type.
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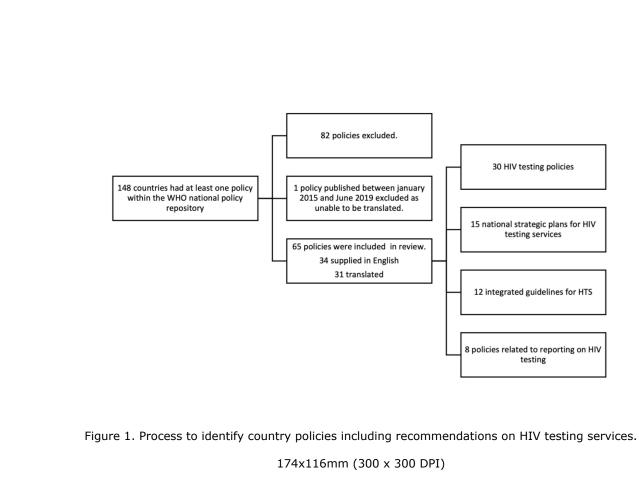
List of Abbreviations

ART – Antiretroviral Therapy, aPN – assisted Partner Notification, DSD – Differentiated Service Delivery, HIV – Human Immunodeficiency Virus, HIVST – HIV self-testing, HTS – HIV testing services, MSM – Men Who Have Sex with Men, NSP – National Strategic Plans, PITC - Provider- initiated Testing and Counselling, SW - Sex Workers, TB - Tuberculosis, TG -Transgender, WHO – The World Health Organisation. to beet terien only

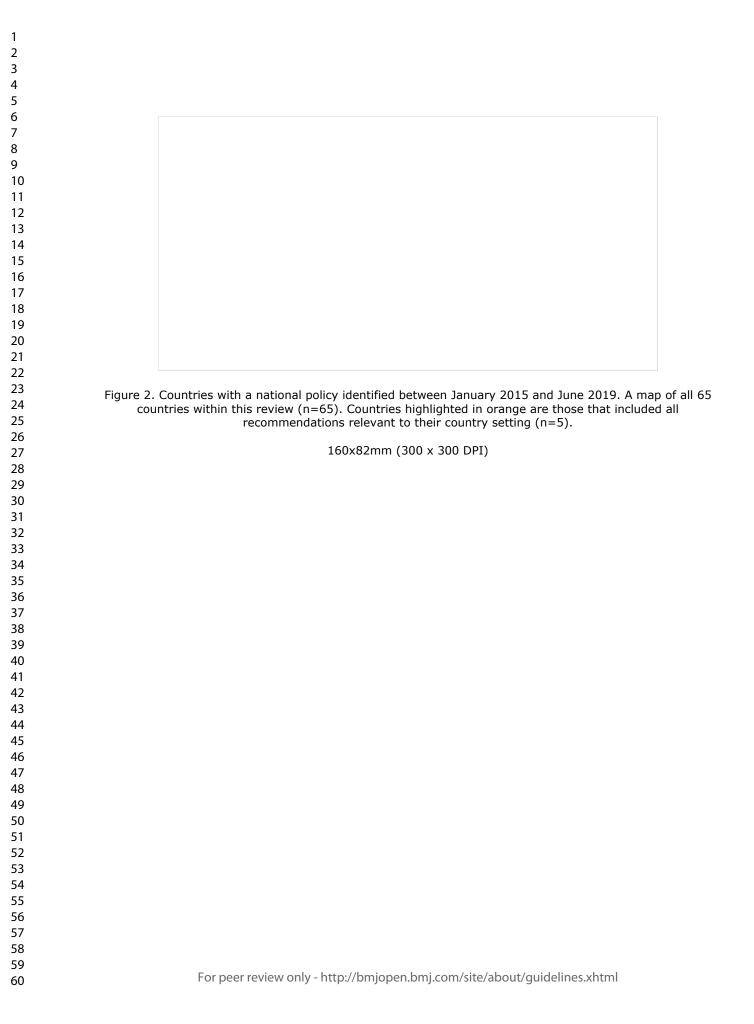
For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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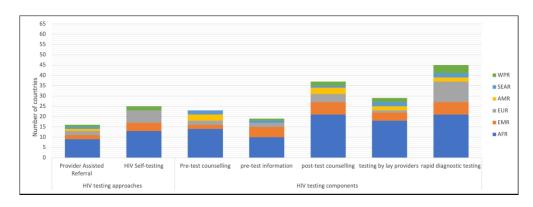


Figure 3a. Number of countries that included recommendations valid in all settings and populations from the 2015 WHO consolidated guidelines for HTS, by type of recommendation and WHO region. AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO

European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region.

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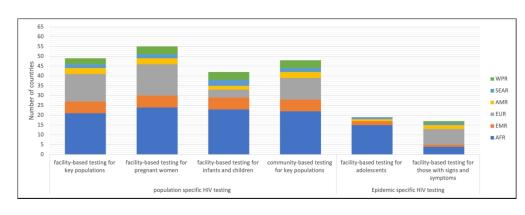


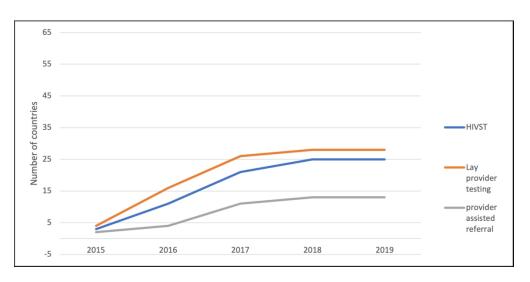
Figure 3b. Number of countries included in the review that included population or epidemic specific recommendations from the 2015 WHO consolidated guidelines for HTS, by type of recommendation and WHO region

AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region;

Facility-based testing for key populations here refers to provider-initiated testing and counselling this is recommended iin malnutrition clinics or sexually transmitted infections (STI) or hepatitis and Tuberculosis services or health services for key populations in all settings.Facility-based testing for pregnant women, infants and children applies to all countries (n=65). Community-based testing for key populations applies to all countries (n=65).

Facility-based testing for all those presenting with signs and symptoms is recommended only in countries with a concentrated epidemic (n=24); facility-based testing for adolescents only in countries with a generalised epidemics (n=22).

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Additional File 1: Summary of the 2015 and 2016 WHO guidelines for differentiated HIV testing services.

HIV testing services app	proach
Facility-based testing (referred to a provider- initiated testing and counselling referral in the 2015 guidelines)	In concentrated epidemics provider-initiated testing and counselling should be offered for clients (adults, adolescents, and children) in clinical settings who present with symptoms or medical conditions indication infection, including Tuberculosis cases.
	In all settings provider-initiated testing and counselling should be considered for malnutrition clinics, sexually transmitted infections hepatitis and Tuberculosis services and health services for key populations.
Community-based testing	In generalised epidemics community-based testing should be offered to all individuals, especially key populations.
	In concentrated epidemics community-based HIV testing services is recommended for key populations.
HIV Self-testing	It is strongly recommended that HIV self-testing should be offered as an additional approach to HIV testing services.
Provider assisted referral (referred to as voluntary partner notification within the 2015	It is strongly recommended that voluntary assisted partner notification services should be offered as part of a comprehensive package of testing and care offered to people with HIV.
recommendations) HIV testing services con	nonents
Pre-test information	Programmes may provide pre-test information through individual/group sessions, media and age-appropriate material when required.
Post-test counselling	Post-test counselling should be provided for all who attend testing services.
Testing by Lay Providers	It is strongly recommended that lay providers who are trained and supervised to use rapid diagnostic tests are permitted to independently conduct safe and effective HIV testing services.

Pregnant women	In high prevalence settings provider-initiated testing and counselling should be considered a routine component of antenatal clinic, childbirth, postpartum and paediatric care settings. Retesting is recommended in the third trimester, or during labour, or shortly after delivery
	In Low prevalence settings provider-initiated testing and counselling considered for all pregnant women. For pregnant women from key populations, or those with partner from key populations, HIV testing is recommended.
Adolescents	In generalised epidemic HIV testing should be offered to all adolescents.
Infants and Children	In all settings HIV-exposed infants and children younger than 2 months should be tested in cases where status is unknown or uncertain.
Key Populations	It is recommended that HIV testing services are routinely recommended to key populations in community and facility-basettings.

HTS Approaches

Facility based testing is recommended in all settings and should be considered for malnutrition clinics, sexually transmitted infections (STI), hepatitis and TB services and health services for key populations (1). Unlike voluntary testing and counselling, in facility-based testing clients are offered HIV testing with the option of 'opting out' (2). This approach to HIV testing has been shown to increase the number of people who test for HIV, one study in the USA found that 65.9% of people who were offered HIV testing accepted compared to 38% of voluntary testers (2).

In all settings community-based testing is recommended for key populations (1). Community-based testing refers to testing that is not conducted in a healthcare facility and may take different forms such as outreach testing, home-based/door-door testing (testing offered to individuals within their homes) and mobile testing (1). This has been shown to be a feasible and convenient approach to testing in some studies (3-6). Home based testing has been associated with confidentiality, credibility of tests and easily accessible counsellors, and mobile testing has been suggested to increase the number of people accessing testing services and help to overcome barriers such as long distances from clinic (7, 8).

HIVST is strongly recommended as an additional approach to HIV testing services (1). HIVST is defined as ' a process in which a person collects his or her own specimen (oral fluid or blood) and then performs an HIV test and interprets the results' (9). HIVST may increase uptake among those who never tested before by addressing barriers such as long distance transportation, long waiting times and has the potential to reduce stigmatization (10, 11). This is because HIVST can be conducted in private, or in facilities offering other services and

in populations who are at high risk, may also provide an opportunity to test more regularly (9).

Provider assisted referral (voluntary partner notification in the WHO 2015 guidelines) is a partner service which is strongly recommended (1). Partner services are defines as 'a voluntary process whereby a trained provider asks people diagnosed with HIV about their sexual partners and/or drug injecting partners, and then, if the HIV positive clients agrees, offers there partner(s) HIV self-testing' (9). Clients may be assisted by trained providers to disclose their status or anonymously notify sexual partners or drug injecting partners of their potential exposure to HIV, and offer HIV testing (9). This approach has been suggested to improve HIV testing services by identifying those who do not yet know their status, improving testing uptake for those who have never been tested and increase early referral to care (12-14).

HTS Components

The 2015 consolidated guidelines recommended pre-test information instead of the previously recommended pre-test counselling(1). Previously, pre-test counselling provided comprehensive information to clients before testing to prepare clients to cope with a HIV positive diagnosis in the absence of treatment and encourage clients to return for results(1). However, the introduction of RDTs meant that individuals were now able to get results on the same day and the need for counselling before testing was no longer present and may have created barriers (1). Unlike pre-test counselling Pre-test information can be delivered in a number of formats, including to both individuals and groups, through posters, brochures, websites and short clips in waiting rooms (1). Post-test counselling is also recommended across all settings, in all HIV tests depending on the specific test result and HIV status reported (1). In order to ensure individuals are linked to the appropriate treatment and prevention services (1).

Testing by trained lay providers supervised to use rapid diagnostic tests (RDTs) independently, safely and effectively (1). Testing by lay providers refers to individuals who are trained to conduct HIV tests but have no formal professional or paraprofessional certificate or tertiary education degree (1). RDT refers to a form of HIV testing that produce results quickly (usually in less than 30 minutes) enabling patients to know their result on the day in a short period of time (1). Both strategies reduce the time taken to undergo a HIV test. These components may therefore address barriers associated with time, as well as reduce the burden on resources through task shifting (15). As well as this, peer delivered testing (when lay providers are members of the same population as testers) has been shown to increase uptake, including in first time testers, and higher rates of detection of HIV cases amongst MSM and PWID in Vietnam and Thailand (16). In another study peer counsellors was identified as a facilitator for HIV testing amongst participants (3). In some populations where stigma and discrimination are present peer testing has been identified as a preferred and viable method (3, 16, 17).

Population specific facility-based HIV testing

Facility-based testing is recommended for priority populations such as pregnant women, key populations, infants and children, and adolescents (1). Diagnosing HIV as early as possible reduces mortality in infants, and in populations such as key populations and adolescents

where testing uptake remains low differentiated testing approached are essential in reducing barriers to testing (5, 8, 18-21).

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Compliant Not complaint Unclear Concentrated epidemis Generalised epidemic Not reccomended in this country setting

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EMR	Oman	2015
EMR	Pakistan	2017
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AFR	Rwanda	2016
AFR	Senegal	2017
AFR	Sierra Leone	2017
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EUR	Slovakia	2017
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AFR	South Africa	2016
EMR	South Sudan	2017
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AMR	Cayman Islands	2015
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EUR	Ukraine	2016
AFR	Swaziland	2018
AFR	Tanzania	2017
AFR	Uganda	2016
EUR	United Kingdom 🔪	2016
AMR	United States of America	2017
WPR	Vietam	2018
WPR	Nauru	2015
AFR	Zambia	2016
AFR	Zimbabwe	2018

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Extraction Tool (Coun

	HTS APPROCHES		
Community-based testing	provider assisted referral	HIV-self testing	Pre-test information
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У	n	n	u
Y	у	n	n
Y	у	u	у
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HTS COMPONENTS			
Pre-test counselling	post-test counselling	Lay provider HIV testing	Rapid Diagnost tests
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	C FACILITY BASED HIV TI		
Adolscents	Pregnant women	Key Populations	Infants and Children
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		EPIDEMIC SPECIFIC
	Community-based testing in	Facility based testing for all adolescents
	concentrated and generalised	all clinical settings in generalised
Epidemic type	epidemics	epidemics
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С		
С	У	
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g	у	u u
g	у	У
C	n	
С	n	
g	У	у
С	n	
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BMJ Open

Country uptake of WHO recommendations on differentiated HIV testing services approaches: a global policy review

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Page 3 of 41

1 2		
3 4	1	Abstract
5 6	2	Objectives In 2015 and 2016 the World Health Organization (WHO) issued guidelines on HIV
7 8	3	testing services (HTS) highlighting recommendations for a strategic mix of differentiated HTS
9 10	4	approaches. The policy review examines the uptake of differentiated HTS approaches
11 12	5	recommendations in national policies.
13	6	Methods Data were extracted from all available national policies published between
14 15	7	January 2015 and June 2019 stored in WHO's global policy repository. The WHO
16 17	8	recommended HTS approaches included facility-based testing, community-based testing,
18 19	9	HIV self-testing and provider-assisted referral (or assisted partner notification);
20 21	10	. Other supportive recommendations considered include pre-test information, post-test
22 23	11	counselling, lay provider testing and rapid testing. Descriptive analyses were conducted to
24 25	12	examine inclusion of WHO differentiated HTS and supportive recommendations in national
26	13	policies.
27 28	14	Results Of 194 countries worldwide, 65 published policies were identified in the review
29 30	15	period. 24 out of 47 AFR countries (51% of AFR, 24/47), 21 the EUR (40%, 21/53), the EMR
31 32	16	(29%, 6/21), five the AMR (14%, 5/35), the WPR (19%, 5/27) and four the SEAR (36%, 4/11).
33 34	17	Only five countries included all recommendations while 63 included a minimum of one
35 36	18	recommendation. The majority (85%, n=55) included facility-based testing for pregnant
37	19	women, 75% (n=49) facility-based testing for key populations, 74% (n=48) community-based
38 39	20	testing for key populations, 69% (n=45) rapid testing, 57% (n=37) post-test counselling, 45%
40 41	21	(n=29) lay provider testing, 38% (n=25) HIV self-testing, 29% (n=19) pre-test information and
42 43	22	25% (n=16) provider-assisted referral. Of the 65 policies countries in AFR and EMR included
44 45	23	the most WHO differentiated HTS recommendations at the time of publication.
46 47	24	Conclusion There was substantial variability in the uptake of WHO HTS recommendations in
48	25	national policies. Among the countries included, those in EMR region showed the highest
49 50	26	included the most WHO differentiated HTS recommendation followed by AFR countries.
51 52	27	Countries within AMR showed to include the least number of recommendations, of the 65
53 54	28	policies reviewed at the time of this review. Ongoing advocacy and efforts are need to
55 56	29	support the uptake of WHO differentiated HTS recommendations in country policies as well
57	30	as their implementation.
58 59 60	31	

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2 3	32	
4 5	33	Strengths and limitations of this study
6 7	34	• We conducted a comprehensive review of available national policies to understand the
8 9	35	uptake of WHO recommendations on differentiated HTS. Policies were included regardless
10	36	of language including translation of 34 non-English language policies.
11 12	37	• Not all country policies were available within the WHO repository and therefore no
13 14	38	information could be extracted for these countries. Availability in policies also varied across
15 16	39	regions therefore some regions were more comprehensively represented.
17	40	• The review was limited to the 2015 WHO differentiated HTS recommendations due to the
18 19	41	timeline in which it was written.
20 21	42	In 2019 WHO introduced reccomendations for social network based approached. These
22	43	recommendation were published following the review period and were therefore not
23 24	44	included due to timelines.
25 26	45	HTS policy uptake is often not reflective of implementation. Further work is needed to
27 28	46	understand implementation status and address any gaps.
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10 11	68	
12 13	69	Introduction
14 15	70	HIV testing services (HTS) are essential in identifying individuals who are unaware of their
16 17	71	HIV status, linking HIV positive individuals to treatment and HIV negative individuals to
18	72	prevention services. In 2021 85% of people living with HIV were aware of their HIV status ¹ .
19 20	73	At least 8 countries globally reported having reached the 90-90-90 targets in 2020, and in
21 22	74	2021 UNAIDS announced new targets of 95-95-95 by 2025 ²⁻⁴ .
23 24	75	
25 26	76	Testing uptake remains particularly low among key populations. Key populations are defined
27 28	77	as men who have sex with men (MSM), sex workers, people who inject drugs (PWID),
29 30	78	people in prisons and closed settings and transgender people. They make up nearly two-
31	79	thirds (65%) of all new infections ^{3 5 6} . Men and young people also have low uptake and
32 33	80	access to services 7-12. In all populations with low uptake, particularly key populations,
34 35	81	identified barriers to testing include stigma and discrimination. Structural barriers including
36 37	82	accessibility of services, inconvenient clinic hours and opportunity costs for clients have also
38 39	83	been identified amongst all populations ⁷⁻¹² .
40 41	84	
42	85	In 2015 the World Health Organization (WHO) published the first consolidated guidelines on
43 44	86	HTS, followed by supplementary guidance recommending HIV self-testing (HIVST) and
45 46	87	provider-assisted referral (also referred to as "assisted partner notification") in 2016 ⁶ . In
47 48	88	2019 the WHO published updated consolidated guidelines for HTS which include a new
49 50	89	recommendation on social network-based approaches for HIV testing and updated guidance
51 52	90	on HIVST and counselling message ^{13 14} . WHO guidelines encourage a strategic mix of
53	91	differentiated HTS approaches with a focus on priority populations and people with HIV who
54 55	92	do not know their status and areas with greatest gaps ⁶¹³ . Differentiated HTS approaches
56 57	93	refer to tailored and 'client-centred' approaches and they address barriers individuals have
58 59 60	94	in accessing HTS ¹⁵ . The guidelines include recommendations for HTS approaches and HTS

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3 4 5 6 7	95	components taking into account the population, epidemic and context. See supplementary
	96	information (additional file 1) for a summary of the 2015 and 2016 WHO guidelines on HTS.
	97	
8 9	98	It is important to monitor the uptake of these recommendations into country policies in
10 11	99	order to promote the inclusion of WHO recommendations into those countries and
12 13	100	prioritize support. In doing so supporting countries to improve the uptake of HTS, and
14 15	101	achieve the Global 95-95-95 goals. Global monitoring of WHO guidelines uptake in national
16 17	102	policies is routinely undertaken as part of Global AIDS Monitoring system ¹⁶ . However an in-
18 19	103	depth understanding of adoption of WHO HTS guidelines at national level and in varying
20	104	epidemic contexts is lacking. Understanding this will enable a better knowledge of where
21 22	105	gaps in service may exist, and where further support may be provided to countries. To this
23 24	106	end, we reviewed national HTS policies to examine the uptake of 2015 WHO HTS
25 26	107	recommendations on differentiated testing services.
27 28	108	
29 30	109	Methods
31 32	110	
33	111	Search strategy
34 35	112	
36 37	113	A comprehensive search of national HTS policy documents was undertaken using the
38 39	114	existing WHO national policy repository ¹⁷ . The repository was first produced in 2015 and is
40 41	115	routinely updated by WHO staff using a AIDSFree HTS policy database, Country by country
42 43	116	search of IAPAC/HIV Policy Watch website and a broad Google search . The google search
44	117	using the following key words:
45 46	118	 country name AND "HIV testing" AND policy
47	119	 country name AND "HIV testing" AND guideline
48 49	120	country name AND PrEP AND policy
49 50	121	country name AND PrEP AND guideline
51	122	country name AND "pre-exposure prophylaxis" AND policy
52 53	123 124	 country name AND "pre-exposure prophylaxis" AND guideline The policy repository is maintained by WHO.
54 55	125	The repository includes national policies relating to HTS, HIV counselling services,
56 57	126	prevention services, antiretroviral therapy (ART), as well as policies relating to prevention of
58 59 60	127	mother-to-child transmission, HIV partner services, national HTS action/strategic plans, and

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3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26	128	differentiated service delivery. In addition, national policies relating to sexual health, and
	129	sexually transmitted infections were also included. All available national policies were used
	130	for data extraction.
	131	
	132	For inclusion, national policies needed to include HTS and be published between January
	133	2015, after the release of the 2015 WHO consolidated guidelines, and June 2019. The most
	134	recent available policy document containing information on HTS was used for extraction.
	135	
	136	The national policies included were reviewed against WHO recommendations published in
	137	2015/2016. Given the review end date of June 2019 the WHO 2019 guideilnes were not
	138	included as they were published in December 2019. Policies in languages other than English
	139	were translated using google translate. One country gave policy documents in formats that
	140	did not permit translation and was therefore excluded. Please see further details on the
27	141	process to identify country policies including HTS recommendations in Figure 1.
28 29 30 31 32 33 34 35	142	
	143	Data extraction
	144	Data was extracted by one author (TK) into an Excel spreadsheet. The HTS approaches
	145	considered are: (1) facility-based testing for pregnant women (1a), adolescents (1b), infants
36 37	146	and children (1c), and key populations (1c); (2) community-based testing, including
38 39	147	community-based testing for specific populations; (3) HIV self-testing and (4) provider-
40	148	assisted referral. Additional supportive HTS recommendatiosn considered were: (5) pre-test
41 42	149	information, (6) post-test counselling, (7) lay provider testing and (8) rapid testing. In the
43 44	150	2015 guidelines pre-test information was recommended instead of pre-test counselling,
45 46	151	however data for pre-test counselling was extracted to better understand if countries were
47 48	152	still recommending this component. The 2015 WHO consolidated guidelines and 2016
49	153	Guidelines on HIV Self-testing and Partner notification were used.
50 51	154	
52 53	155	Data analysis and reporting
54 55	156	
56 57	157	We estimated the number and proportion of countries in each WHO region that had a
58 59	158	relevant policy in the period of review (76%: 148/194). This was done overall (worldwide)
60	159	and stratified by WHO region and epidemic type defined by generalised (≥5% HIV

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1 2		
3 4 5 6 7	160	prevalence) and concentrated (<5%) HIV prevalence) epidemics (now often referred to as
	161	high or low burden settings). This last stratification was included given some
	162	recommendations were epidemic type specific: in particular routine facility-based testing
8 9	163	for those with signs and symptoms, adults, adolescents and children apply only to
10 11	164	concentrated epidemics and community-based testing for adolescents apply to both
12 13	165	generalised epidemics.
14 15	166	Policies were categorised in three groups:
16 17	167	 Included: Policies that clearly and explicitly stated and included a specific
18	168	recommendation
19 20	169	 Not included: Policies that did not include a specific recommendation
21 22 23 24	170	Unclear: Policies in which it was unclear whether a WHO recommendation was
	171	included due to insufficient information.
25 26	172	Analyses were conducted in Microsoft Excel.
27 28	173	
29 30	174	Results
31 32	175 176	Characteristics of included policies
33 34	177	Of 194 WHO member states 148 countries had at least one policy within the WHO national
35 36	178	policy repository. Of these, 65 country policies were eligible to be included; 30 were HIV
37 38	179	testing policies, 15 national strategic plans, 12 integrated guidelines for HTS, eight were
39 40	180	related polices reporting on HIV testing (one HIV counselling policies, one ART policy, one
41 42	181	integrated guidelines for STIs, one sexual health national strategic plan, one policy on HIV
43	182	contact management, one global AIDS progress report, one differentiated testing guideline
44 45	183	and one policy on community-based testing). Overall, 34 (52%) country policies were in
46 47	184	English. 82 country policies were excluded because they were published before January
48 49	185	2015. Morocco's latest policy documents (written in French) were in formats that did not
50 51	186	permit translation. No other policies were available for Morocco in the timeframe of
52 53	187	interest; therefore, we could not include Morocco.
54	188	
55 56	189	Figure 1. Process to identify country policies including recommendations on HIV testing services. 82 country
57 58	190	policies were excluded as they were published before January 2015.
59 60	191	

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192 Of the 65 country policies reviewed, 24 were from AFR (51% of 47 counteries), 21 from the 193 WHO European region (EUR; 40% of 53 countries), six from the WHO Eastern Mediterranean 194 region (EMR; 29% of 21 countries), five from the Pan American region (AMR; 14% of 35 195 countries), five from the Western Pacific Region (WPR; 19% of 27 countries) and four from 196 the WHO South East Asia Region (SEAR; 36% of 11 countries). Just over tw-thirds (37%, 197 24/65) policies were from countries classified as having a concentrated epidemic, 34% 198 (22/65) from a generalised epidemic.

Figure 2. Countries with a national policy identified between January 2015 and June 2019. A map of all 65 countries within this review (n=65). Countries highlighted in orange are those that included all recommendations relevant to their country setting (n=5).

206 **Overall uptake of WHO HTS recommendations in national policies**

207 Only five country policies included all the relevant recommendations (see Figure 2). Among 208 recommendations on HTS approaches and components applicable to all settings and 209 populations (Figure 3): 69% (45/65) included rapid testing, 45% (29/65) permitted lay 210 provider testing, 38% (25/65) of countries supported HIVST, 35% (23/65) included pre-test 211 counselling and did not specify the use of pre-test information, 35% included (37/65) post-212 test counselling, 29% (19/65) included pre-test information and 25% (16/65) supported 213 provider-assisted referral. 214 215 Regarding recommendation for specific sub-populations (Figure 4 on the left), 85% (55/65) 216 included recommendations for testing for pregnant women, 75% (49/65) recommended 217 testing for key populations, 71% (17/24) recommended facility-based testing for all those 218 presenting with signs and symptoms, 74% (48/65) recommended community-based testing 219 for key populations and 65% (42/65) recommended facility-based testing for infants and 220 children. Of countries with a concentrated epidemic (n=24), 71% (17/24) recommended 221 facility-based testing for all those presenting with signs and symptoms. Of those with a 222 generalised epidemic (n=22), 86% (19/22) recommended facility-based testing for adolescents. 223 224 58 59 60

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3	228	
4 5	229	Figure 3. Number of countries that included recommendations valid in all settings and populations from the 2015 WHO consolidated guidelines for HTS,
6 7	230	by type of recommendation and WHO region.
8 9 10 11	231 232 233	AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region.
12 13 14	234 235	
15 16	236	
17	237	Figure 4 f Number of countries included in the review that included population or epidemic specific recommendations from the 2015 WHO consolidated
18 19	238	guidelines for HTS, by type of recommendation and WHO region
20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43	239 240 241 242 243	AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region; Facility-based testing for key populations here refers to provider-initiated testing and counselling this is recommended in malnutrition clinics or sexually transmitted infections (STI) or hepatitis and Tuberculosis services or health services for key populations in all settings.Facility-based testing for pregnant women, infants and children applies to all countries (n=65). Community-based testing for key populations applies to all countries (n=65). Facility-based testing for all those presenting with signs and symptoms is recommended only in countries with a concentrated epidemic (n=24); facility-based testing for adolescents only in countries with a
	244	generalised epidemics (n=22).
43 44 45		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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3 4		
5 6	246	Uptake of WHO recommended HTS approaches by WHO region
7 8	247	The uptake of recommendations varied across countries (see uptake of single
9	248	recommendations for each country in additional file 2) and regions. HIVST was
10 11	249	recommended by 38% (25/65) of countries. The inclusion of HIVST ranged from EMR (67%;
12 13	250	4/6), AFR (54%; 13/24), WPR (40%; 2/5) and EUR (29%; 6/21). No included countries from
14 15	251	SEAR and AMR supported HIVST at the time of review.
16 17 18 19 20 21 22 23 24 25 26 27 28 29	252	
	253	Only 25% (13/65) of countries included recommendations for provider-assisted referral:
	254	38% of AFR (9/24) countries, 33% of EMR (2/6), 20% (1/5) of AMR, 10% (2/21) of EUR, , 5%
	255	(1/4) of SEAR and in 5% (1/5) of WPR.
	256	
	257	Pre-test information was included in 29% (19/65) of country policies. The inclusion of pre-
	258	test information ranged from EMR (83%; 5/6), followed by the AFR (42%; 10/24), WPR (20%;
	259	1/5), SEAR (25%; 1/4) and EUR (10%; 2/21). No countries from AMR included this
30 31	260	recommendation at the time of the review.
32 33 34 35 36 37 38 39	261	
	262	Overall 57% (37/65) of countries recommended post-test counselling, with variation across
	263	regions (100% EMR, 88% AFR, 40% WPR, 25% AMR, 25% SEAR, and 19% EUR). Whilst pre-
	264	test counselling is no longer recommended by WHO, it was still included by 35% (23/65) of
40	265	countries: (60% AMR, 58% AFR, 50% SEAR, 33% EMR and 10% EUR) while no countries in the
41 42	266	WPR included this recommendation.
43 44	267	
45 46	268	Rapid testing was included in 69% (45/65) of country policies, with regional variation (100%
47 48	269	EMR, 88% AFR, 80% WPR, 50% SEAR, 48% EUR and 40% AMR). Lay provider testing was
49 50	270	permitted in 45% (29/65) of countries (75% AFR, 67% EMR, 50% SEAR, 40% WPR, 20% AMR,
51	271	and 5% EUR).
52 53	272	
54 55	273	
56 57	274	
58 59	275	Figure 5. Number of countries including the new recommendations, by year.
60	276	

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5	278	Lay Provider testing was recommended for the first time by WHO in 2015 and provider-
6 7	279	assisted referral and HIVST in 2016. Figure 5 shows the number of countries including the
8 9	280	new recommendations in their policies in the years following their introduction. A steep
10 11	281	increase in uptake can be observed with 16, 25 and 29 countries including recommendation
12 13 14	282	on respectively provider-assisted referral, HIVST and lay provider testing by June 2019.
	283	
15 16	284	
17 18	285	Uptake of population specific HTS approaches by WHO region
19 20	286	Facility-based testing for pregnant women was recommended by 85% (55/65) of countries,
21 22	287	including all countries in EMR (100%; 6/6) and AFR (100%; 24/24) followed by WPR (80%;
23	288	4/5), EUR (76%; 16/21), AMR (60%;3/5) and SEAR (50%;2/4). Nearly two-thirds of countries
24 25	289	(65%, 42/65) of countries recommended facility-based testing for infants and children
26 27	290	(100% EMR, 76% AFR, 80% WPR, 75% SEAR, 40% AMR and 19% EUR).
28 29	291	
30 31	292	Facility-based testing for key populations is recommended in 49 countries (100% EMR, 88%
32	293	AFR, 67% EUR, 60% AMR, 60% WPR and 50% SEAR). Of the countries that recommended
33 34	294	facility-based testing for key populations, 69% (34/49) recommended targeted testing for
35 36	295	MSM, 59% (29/49) for sex workers or those who engage in transactional sex, 57% (28/49)
37 38	296	for PWID, 45% (22/49) for prisoners and 18% (9/49) for transgender people. Inclusion
39 40	297	ranged with countries from the EMR starting from 100% (6/6) uptake, as well as AFR (88%;
41 42	298	21/24), the EUR (66%;14/21) and WPR (60%; 3/5), while it was lower in the AMR (60%; 3/5)
43	299	and the SEAR (50%; 2/4).
44 45	300	
46 47	301	Nearly a quarter (74%, 48/65) of countries recommended community-based testing for key-
48 49	302	populations. Uptake of community-based testing varied by region (100% EMR, 88% AFR,
50 51	303	80% WPR, 52% EUR, 50% SEAR and 20% AMR). Of the countries that included community-
52 53	304	based testing for key populations; ,44% (21/48) home-based/door-door testing, 38% (18/48)
54	305	included outreach services, 35% (17/48) workplace testing, 35% (17/48) mobile testing, 23%
55 56 57 58 59 60	306	(11/48) testing within educational establishments, 15% (7/48) testing in places of worship
	307	and 13% (6/48) recommended testing in community health centres.
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309Of the countries classified as having a concentrated epidemic, 37% (n=24), 20% (5/24) were310in the AFR region, 46% (11/24) in EURO, 12% (3/24) in AMR, 8% (2/24) in SEAR, 8% (2/24) in311WPR and 4% (1/24) in EMR. 72% (18/24) of these countries recommended facility-based312testing for all those presenting with signs and symptoms of HIV. 34% (n=22) of countries313were classified as having a generalised epidemic. Amongst the countries with a generalised314epidemic 100% (22/22) recommended routine facility-based testing for adolescents.

317 **Discussion**

Across all country policies reviewed, only five countries (in 3 AFR, 1 EMR and 1 EUR) included all the WHO HTS recommendations (relevant to their country setting) with gaps in uptake remaining. 63 counteries included at least one recommendation. The uptake of recommendation in some country policies, although varied, does however suggests that it is feasible to adapt latest policies within a short time frame. We found high uptake of recommendations for community-based testing, first recommended in 2013¹⁸. The uptake of recommendations first issued in 2016 (HIVST and provider-assisted referral) was low, with less than half of countries recommending both. This may be due to their later introduction to recommendations; although the uptake was increasing steadily. Population specific facility-based testing recommendations were generally taken up for pregnant women and, infants and children and key populations. Among countries with generalised and concentrated epidemics, there was high uptake of community-based testing for key populations; while, only half of countries recommended mobile testing explicitly, and just over two fifths recommended outreach testing. These methods are likely to increase the uptake of HIV testing for key populations, by reducing bariers to access to testing such as stigma and discrimination.

As of 2019, 81% of all people with HIV are estimated to have been diagnosed globally². Differentiated testing approaches are critical for reaching the remaining people with HIV as standard testing services have not been successful in serving them. WHO recommends a strategic mix of HTS depending on the epidemiology, context and focus populations. The variations in uptake suggest that further research is required to understand why some

countries did not include the WHO differentiated HTS recommendations, and what support countries require to include recommendations. National policies often did not elaborate how various approaches will be used within a differentiated HTS plan to reach national goals and specific service delivery models and support tools. Moreover, inclusion of recommendations in policies does not always directly lead to implementation or scale up of effective practices. Further monitoring is needed to understand the implementation status of services as well as their scale and coverage.

Both HIVST and provider assisted referral have been found to be acceptable and feasible to implement, and in reaching people who would not otherwise have tested for HIV^{19 20}. A steady increase in the number of countries adopting these recommendations within national policies has been observed. According to latest Global AIDS Monitoring, as of 2021 94 countries globally report inclusion of HIVST in national policies and 48 of them are routinely implementing HIVST².

Since 2015, WHO has recommended a brief pre-test information when offering HTS instead of detailed pre-test counseling. Evidence and programmatic experiences suggest lengthy pre-test counselling is no longer needed and may in fact deter some testers from seeking HTS, such as repeat testers. Our review shows many countries may still be continuing to include traditional pre-test counselling within their national policies. Traditional pre-test counselling reduces the efficiency of HTS and does not represent the best use of scarce human and financial resources ⁶. Anecdotal evidence suggests many countries provide post-test counselling that includes outdated information. For example, many programmes had not adapted counselling messages to include information of prevention benefits of treatment and achieving viral suppression for partners (undetectable=untransmissible or U=U), availability of effective prevention options such as pre-exposure prophylaxis (PrEP) and messages on optimal testing frequency based on risk and epidemiology. Countries need to review and revise their policies to adopt latest WHO recommendation on pre-test information and post-test counselling.

⁵⁸ 370 Over two thirds of countries included in this review support the use of rapid HIV testing,
 ⁶⁰ 371 which can provide same day diagnosis, facilitating rapid initiation of ART. WHO recommends

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the use of trained lay providers and peers for delivering HTS using RDTs. However, of the countries that included RDT in their policies, few included the use of lay providers. Lay providers can affect expansion of services by enabling testing at places accessible and convenient to populations or groups most affected with HIV. This includes the introduction and scale up of community-based testing. Countries need to review their policies to address legal barriers to use of trained lay providers and develop standard operating procedures and training material and supervision activities for this cadre of providers.

Our review found variations in policy uptake by region. Overall countries in EMR region showed the highest uptake followed by AFR countries, while countries within AMR showed to have the lowest uptake of recommendations. Countries within SEAR, EUR and WPR also showed a lower uptake. These findings need to be interpreted with caution as we identified a small number of policies from regions other than AFR and thus may not be representative of overall situation in the regions. It is encouraging that the uptake of WHO policies was high in AFR given the countries in this region represent the highest burden of both diagnosed and undiagnosed HIV infection².

Overall, our review findings suggest that regular monitoring and better understanding of country uptake of WHO recommendations is needed to address country support needs to address such gaps. It is important to consider that inclusion of recommendations in national policies does not necessarily reflect that they are implemented and often there is a gap between policy uptake and implementation. Efforts are needed to enhance country policy uptake and minimize the lag in implementation. It is also important to note that whilst this review focuses on the inclusion of recommendation from the 2015 WHO consolidated guidelines, national HTS policies were already in existence before this date. All stakeholders including international organizations, implementing partners, and donors need to support the governments and national programmes in updating national policies and translating these into implementation. Community groups and civil society need to advocate for availability of latest and evidence-based recommendations and interventions in their countries. Further support may be needed in operationalization and scale up of such policies, and strategies focusing on key populations are required in some settings. Regular

monitoring of country policy uptake and implementation status is needed to identify country support gaps for appropriate action.

- This review has several limitations. National HTS policies were available only for 65 countries published them between January 2015 and June 2019. There may be policies published in this period that we have not identified. For eight countries information was extracted from policy documents that were not directly related to HTS and may not have information with the required level of detail. For the EMR, SEAR and WPR regions national policies were available from only a small number of countries and thus they may not be representative of the situaiton in the whole regions. In 2019 the WHO published updated consolidated guidelines for HTS which include a new recommendation on social network-based approaches for HIV testing and updated guidance on HIVST and counselling messages^{14 21}, these were not included within this review due to timelines.
 - Conclusion

This review found that the uptake of all WHO's 2015 and 2016 HTS recommendations varied substantially. Five countries included all the recommendations relevant to their country setting, and 63 included at least one. Uptake was particularly low for HIV self-testing, provider-assisted referral and lay provider testing, key interventions for reaching undiagnosed populations and for expanding access to HTS. Encouragingly the inclusion of recommendations in the AFR and EMR was high compared to other regions. Differentiated HTS are essential for reaching people with HIV who do not know their status and others at high ongoing risk to facilitate linkage to prevention, treatment and care. Ongoing advocacy and efforts are need to support the uptake of WHO differentiated testing recommendations in country policies as well as their implementation. The variations in the inclusion of WHO differentiated reccomendations suggest that further research is required to understand why some countries did not include the WHO differentiated testing recommendations, and the support countries require to include recommendations.

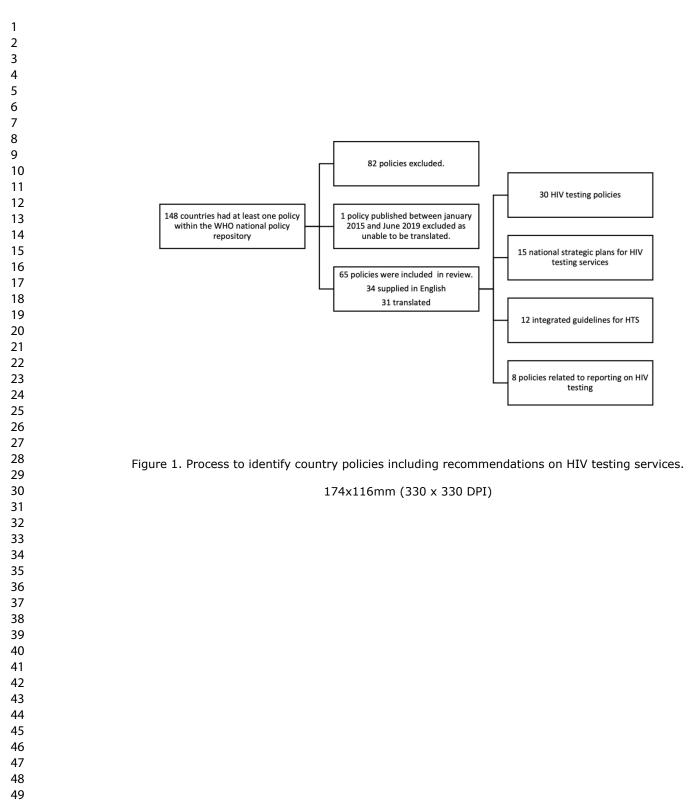
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19 20	444	Funding statement
21 22 23 24 25 26 27 28 29 30 31	445	This research received no specific grant from any funding agency in the public, commercial
	446	or not-for-profit sectors
	447 448	
	449	Competing interests
	450	None of the authors has competing interest to declare
32 33	451	
34 35	452	Authors Contributions
36 37	453	TK reviewed and extracted guidelines. MS, CJ, MD and VC provided substantial input and
38	454	edits to the manuscript.
39 40	455	
41 42	456	Author information
43 44	457	Cheryl Johnson contributed to the writing, coordination and research for the WHO
45 46	458	Consolidated Guidelines for HIV Testing Services.
47 48	459	
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52 53	462	Funding: No funding sources
54 55	463	Disclaimer:
56 57	464	
58 59 60	465	Ethics Approval

1 2		
2 3 4 5 6 7 8 9 10 11 12 13 14	466	No ethics approval required as the research conducted was a policy review.
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	468	Additional Files
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	470 471	Additional file 1: WHO guidelines for differentiated HIV testing services A summary of the 2015 and 2016 Consolidated Guidelines on HIV testing recommendations.
	472	
15 16	473	Additional file 2: Extraction tool
17 18	474	Document containing extraction tool use to collect data. The document contained the
19 20	475	extraction tool used to extract data. This included all countries, regions, policy document
21 22	476	type, date of policy and indication of the uptake of recommendations. The document contains
23 24	477	further information on key findings and countries by epidemic type.
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37 38	485	List of Abbreviations
39 40	486	
41 42	487	ART – Antiretroviral Therapy , aPN – assisted Partner Notification, DSD – Differentiated
43 44	488	Service Delivery , HIV – Human Immunodeficiency Virus , HIVST – HIV self-testing , HTS – HIV
45 46	489	testing services , MSM – Men Who Have Sex with Men , NSP – National Strategic Plans , PITC
47 48 49 50	490	– Provider- initiated Testing and Counselling , SW – Sex Workers , TB – Tuberculosis , TG –
	491	Transgender , WHO – The World Health Organisation.
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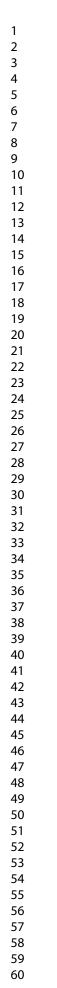
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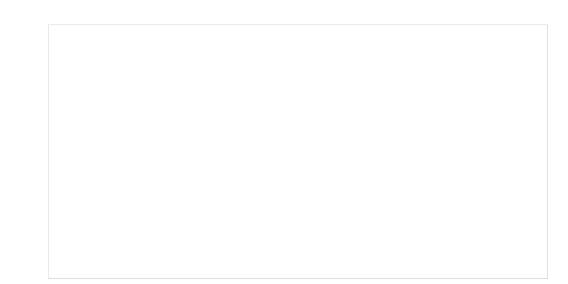
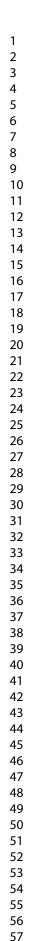


Figure 2. Countries with a national policy identified between January 2015 and June 2019. A map of all 65 countries within this review (n=65). Countries highlighted in orange are those that included all recommendations relevant to their country setting (n=5).

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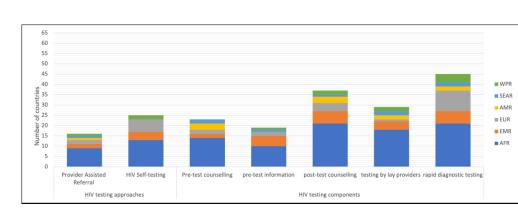


Figure 3. Number of countries that included recommendations valid in all settings and populations from the 2015 WHO consolidated guidelines for HTS, by type of recommendation and WHO region.AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region.

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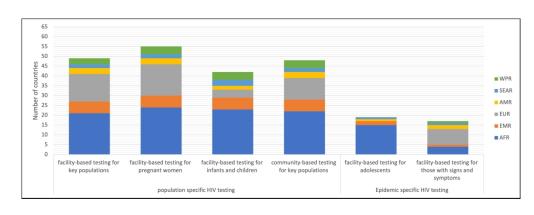


Figure 4. Number of countries included in the review that included population or epidemic specific recommendations from the 2015 WHO consolidated guidelines for HTS, by type of recommendation and WHO regionAFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region;Facility-based testing for key populations here refers to provider-initiated testing and counselling this is recommended iin malnutrition clinics or sexually transmitted infections (STI) or hepatitis and Tuberculosis services or health services for key populations in all settings.Facility-based testing for pregnant women, infants and children applies to all countries (n=65). Community-based testing for key populations applies to all countries (n=65).Facility-based testing for all those presenting with signs and symptoms is recommended only in countries with a concentrated epidemic (n=24); facility-based testing for adolescents only in countries with a generalised epidemics (n=22)

253x91mm (300 x 300 DPI)

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176x87mm (300 x 300 DPI)

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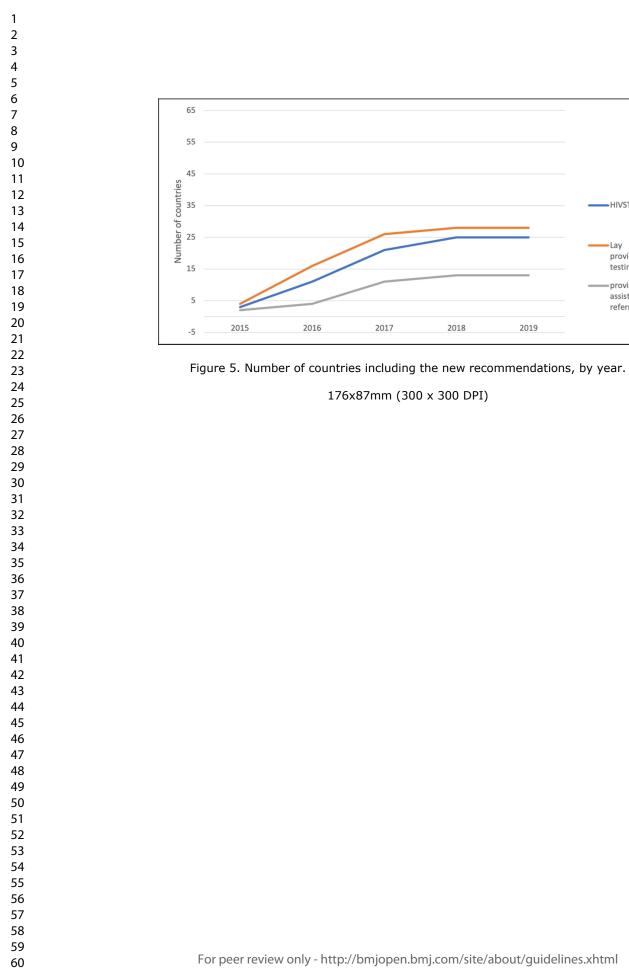
Lay provider

testing

-provider

assisted

referral



Additional File 1: Summary of the 2015 and 2016 WHO guidelines for differentiated HIV testing services.

-	s for differentiated HIV testing services (Source: The WHO 2015 d guidelines on HIV testing services).
HIV testing services app	roach
Facility-based testing (referred to a provider- initiated testing and counselling referral in the 2015 guidelines)	In concentrated epidemics provider-initiated testing and counselling should be offered for clients (adults, adolescents, and children) in clinical settings who present with symptoms or medical conditions indication infection, including Tuberculosis cases.
	In all settings provider-initiated testing and counselling should be considered for malnutrition clinics, sexually transmitted infections, hepatitis and Tuberculosis services and health services for key populations.
Community-based testing	In generalised epidemics community-based testing should be offered to all individuals, especially key populations.
	In concentrated epidemics community-based HIV testing services is recommended for key populations.
HIV Self-testing	It is strongly recommended that HIV self-testing should be offered as an additional approach to HIV testing services.
Provider assisted referral (referred to as voluntary partner notification within the 2015 recommendations)	It is strongly recommended that voluntary assisted partner notification services should be offered as part of a comprehensive package of testing and care offered to people with HIV.
HIV testing services con	nponents
Pre-test information	Programmes may provide pre-test information through individual/group sessions, media and age-appropriate material when required.
Post-test counselling	Post-test counselling should be provided for all who attend testing services.
Testing by Lay Providers	It is strongly recommended that lay providers who are trained and supervised to use rapid diagnostic tests are permitted to independently conduct safe and effective HIV testing services.
Population specific HIV	testing

Pregnant women	In high prevalence settings provider-initiated testing and counselling should be considered a routine component of antenatal clinic, childbirth, postpartum and paediatric care settings. Retesting is recommended in the third trimester, or during labour, or shortly after delivery In Low prevalence settings provider-initiated testing and counselling considered for all pregnant women. For pregnant women from key populations, or those with partner from key populations, HIV testing is recommended.
Adolescents	In generalised epidemic HIV testing should be offered to all adolescents.
Infants and Children	In all settings HIV-exposed infants and children younger than 18 months should be tested in cases where status is unknown or uncertain.
Key Populations	It is recommended that HIV testing services are routinely recommended to key populations in community and facility-base settings.

HTS Approaches

Facility based testing is recommended in all settings and should be considered for malnutrition clinics, sexually transmitted infections (STI), hepatitis and TB services and health services for key populations (1). Unlike voluntary testing and counselling, in facility-based testing clients are offered HIV testing with the option of 'opting out' (2). This approach to HIV testing has been shown to increase the number of people who test for HIV, one study in the USA found that 65.9% of people who were offered HIV testing accepted compared to 38% of voluntary testers (2).

In all settings community-based testing is recommended for key populations (1). Community-based testing refers to testing that is not conducted in a healthcare facility and may take different forms such as outreach testing, home-based/door-door testing (testing offered to individuals within their homes) and mobile testing (1). This has been shown to be a feasible and convenient approach to testing in some studies (3-6). Home based testing has been associated with confidentiality, credibility of tests and easily accessible counsellors, and mobile testing has been suggested to increase the number of people accessing testing services and help to overcome barriers such as long distances from clinic (7, 8).

HIVST is strongly recommended as an additional approach to HIV testing services (1). HIVST is defined as ' a process in which a person collects his or her own specimen (oral fluid or blood) and then performs an HIV test and interprets the results' (9). HIVST may increase uptake among those who never tested before by addressing barriers such as long distance transportation, long waiting times and has the potential to reduce stigmatization (10, 11). This is because HIVST can be conducted in private, or in facilities offering other services and

in populations who are at high risk, may also provide an opportunity to test more regularly (9).

Provider assisted referral (voluntary partner notification in the WHO 2015 guidelines) is a partner service which is strongly recommended (1). Partner services are defines as 'a voluntary process whereby a trained provider asks people diagnosed with HIV about their sexual partners and/or drug injecting partners, and then, if the HIV positive clients agrees, offers there partner(s) HIV self-testing' (9). Clients may be assisted by trained providers to disclose their status or anonymously notify sexual partners or drug injecting partners of their potential exposure to HIV, and offer HIV testing (9). This approach has been suggested to improve HIV testing services by identifying those who do not yet know their status, improving testing uptake for those who have never been tested and increase early referral to care (12-14).

HTS Components

The 2015 consolidated guidelines recommended pre-test information instead of the previously recommended pre-test counselling(1). Previously, pre-test counselling provided comprehensive information to clients before testing to prepare clients to cope with a HIV positive diagnosis in the absence of treatment and encourage clients to return for results(1). However, the introduction of RDTs meant that individuals were now able to get results on the same day and the need for counselling before testing was no longer present and may have created barriers (1). Unlike pre-test counselling Pre-test information can be delivered in a number of formats, including to both individuals and groups, through posters, brochures, websites and short clips in waiting rooms (1). Post-test counselling is also recommended across all settings, in all HIV tests depending on the specific test result and HIV status reported (1). In order to ensure individuals are linked to the appropriate treatment and prevention services (1).

Testing by trained lay providers supervised to use rapid diagnostic tests (RDTs) independently, safely and effectively (1). Testing by lay providers refers to individuals who are trained to conduct HIV tests but have no formal professional or paraprofessional certificate or tertiary education degree (1). RDT refers to a form of HIV testing that produce results quickly (usually in less than 30 minutes) enabling patients to know their result on the day in a short period of time (1). Both strategies reduce the time taken to undergo a HIV test. These components may therefore address barriers associated with time, as well as reduce the burden on resources through task shifting (15). As well as this, peer delivered testing (when lay providers are members of the same population as testers) has been shown to increase uptake, including in first time testers, and higher rates of detection of HIV cases amongst MSM and PWID in Vietnam and Thailand (16). In another study peer counsellors was identified as a facilitator for HIV testing amongst participants (3). In some populations where stigma and discrimination are present peer testing has been identified as a preferred and viable method (3, 16, 17).

Population specific facility-based HIV testing

Facility-based testing is recommended for priority populations such as pregnant women, key populations, infants and children, and adolescents (1). Diagnosing HIV as early as possible reduces mortality in infants, and in populations such as key populations and adolescents

where testing uptake remains low differentiated testing approached are essential in reducing barriers to testing (5, 8, 18-21).

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AMR	Argentina	201
WPR	Australia	201
AFR	Benin	202
AFR	Botswana	201
EUR	Bulgaria 🔜	20
AFR	Cameroon	20
EUR	Denmark	20
WPR	China	20
EUR	France	20
AFR	Côte d'Ivoire	20
EUR	Croatia	20
AMR	Guatamala	20
EUR	Czech Republic	20
SEAR	India	20
EMR	Egypt	20
EUR	Italy	20
EUR	Kazakhstan	20
AFR	Ethiopia	20
EUR	Finland	20
AFR	Ghana	20
EUR	Georgia	20
EUR	Lithuania	20
EUR	Germany	20
AMR	Haiti	20
AFR	Guinea	20
AFR	Kenya	20
EUR	Ireland	20
AFR	Lesotho	20
AFR	Liberia	20
EUR	Luxembourg	20
EUR	Netherlands	20
AFR	Malawi	20
WPR	Malaysia	20
AFR	Mali	20
EUR	Russia	20
AFR	Mozambique	20
SEAR	Myanmar	20
AFR	Nigeria	20

EMR	Oman	2015
EMR	Pakistan	2017
EUR	Romania	2017
AFR	Rwanda	2016
AFR	Senegal	2017
AFR	Sierra Leone	2017
EUR	Slovenia	2017
EUR	Slovakia	2017
EMR	Somalia	2017
EUR	Sweden	2017
AFR	South Africa	2016
EMR	South Sudan	2017
SEAR	Sri Lanka	2016
AMR	Cayman Islands	2015
SEAR	Thailand	2017
EMR	Sudan	2016
EUR	Ukraine	2016
AFR	Swaziland	2018
AFR	Tanzania	2017
AFR	Uganda	2016
EUR	United Kingdom	2016
AMR	United States of America	2017
WPR	Vietam	2018
WPR	Nauru	2015
AFR	Zambia	2016
AFR	Zimbabwe	2018

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Extraction Tool (Coun

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try uptake of WHO recommendations on differentiate

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		EPIDEMIC SPECIFIC
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Country uptake of WHO recommendations on differentiated HIV testing services approaches: a global policy review

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Title: Country uptake of WHO recommendations on differentiated HIV testing services approaches: a global policy review

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Keywords: HIV testing; HIV self-testing; community-based testing; partner services; differentiated service delivery; key populations ;

Word count:

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Main text: 3994/5000

Page 3 of 44

BMJ Open

1 2		
3 4	1	Abstract
5 6	2	Objectives In 2015 and 2016 the World Health Organization (WHO) issued guidelines on HIV
7 8	3	testing services (HTS) highlighting recommendations for a strategic mix of differentiated HTS
9 10	4	approaches. The policy review examines the uptake of differentiated HTS approaches
11 12	5	recommendations in national policies.
13 14	6	Methods Data were extracted from national policies published between January 2015 and
15	7	June 2019 . The WHO recommended HTS approaches included facility-based testing,
16 17	8	community-based testing, HIV self-testing and provider-assisted referral (or assisted partner
18 19	9	notification); Other supportive recommendations include pre-test information, post-test
20 21	10	counselling, lay provider testing and rapid testing. Descriptive analyses were conducted to
22 23	11	examine inclusion of recommendations in national policies.
24 25	12	Results Of 194 countries worldwide, 65 published policies were identified; 24 AFR countries
26	13	(51% , 24/47), 21 EUR (40%, 21/53), 6 EMR (29%, 6/21), five AMR (14%, 5/35), five WPR
27 28	14	(19%, 5/27) and four SEAR (36%, 4/11). Only five countries included all recommendations.
29 30	15	63 included a minimum of one. 85% (n=55) included facility-based testing for pregnant
31 32	16	women, 75% (n=49) facility-based testing for key populations, 74% (n=48) community-based
33 34	17	testing for key populations, 69% (n=45) rapid testing, 57% (n=37) post-test counselling, 45%
35 36	18	(n=29) lay provider testing, 38% (n=25) HIV self-testing, 29% (n=19) pre-test information and
37	19	25% (n=16) provider-assisted referral. The proportion in each region that included at least
38 39	20	one recommendation were: 100% AFR (24/47), 100% EMR (6/6), 100% AMR (5/5), 100%
40 41	21	WPR (5/5), 100% SEAR (4/4) and 95% EUR (20/21). AFR followed by EMR included the
42 43	22	highest number of reccomendations.
44 45	23	Conclusion There was substantial variability in the uptake of WHO HTS recommendations.
46 47	24	Those in EMR region included the most WHO differentiated HTS recommendation followed
48	25	by AFR. Countries within AMR showed to include the least number of recommendations.
49 50	26	Ongoing advocacy and efforts are need to support the uptake of WHO differentiated HTS
51 52	27	recommendations in country policies as well as their implementation.
53 54	28	
55 56	29	
57 58 59 60	30	Strengths and limitations of this study

1 2		
3	31	• A comprehensive review of available HIV national policies, regardless of language, was
4 5	32	conducted.
6 7	33	• The WHO repository does not contain HIV policies for all countries worldwide, and some
8	34	regions were more comprehensively represented than others.
9 10	35	• The review was limited to the 2015 WHO differentiated HTS recommendations due to the
11 12	36	timeline in which it was written.
13 14	37	• The 2019 WHO introduced recommendations for social network based approaches were
15	38	published following the review period and were therefore not included due to timelines.
16 17	39	HTS policy uptake is often not reflective of implementation.
18 19	40	
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27 28	45	
29 30	46	
31 32	47	
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63 Introduction

HIV testing services (HTS) are essential in identifying individuals who are unaware of their
HIV status, linking HIV positive individuals to treatment and HIV negative individuals to
prevention services. In 2021 85% of people living with HIV were aware of their HIV status¹.
At least 8 countries globally reported having reached the 90-90-90 targets in 2020, and in
2021 UNAIDS announced new targets of 95-95-95 by 2025²⁻⁴.

0' Testing uptake remains particularly low among key populations. Key populations are defined 1 as men who have sex with men (MSM), sex workers, people who inject drugs (PWID), 2 people in prisons and closed settings and transgender people. They make up nearly two-'3 thirds (65%) of all new infections ³⁵⁶. Men and young people also have low uptake and '4 access to services ⁷⁻¹². In all populations with low uptake, particularly key populations, '5 identified barriers to testing include stigma and discrimination. Structural barriers including 6 accessibility of services, inconvenient clinic hours and opportunity costs for clients have also 7 been identified amongst all populations⁷⁻¹².

9 In 2015 the World Health Organization (WHO) published the first consolidated guidelines on 0 HTS, followed by supplementary guidance recommending HIV self-testing (HIVST) and 1 provider-assisted referral (also referred to as "assisted partner notification") in 2016⁶. In 2 2019 the WHO published updated consolidated guidelines for HTS which include a new 3 recommendation on social network-based approaches for HIV testing and updated guidance 4 on HIVST and counselling message ¹³¹⁴. WHO guidelines encourage a strategic mix of 5 differentiated HTS approaches with a focus on priority populations and people with HIV who 6 do not know their status and areas with greatest gaps^{6 13}. Differentiated HTS approaches 7 refer to tailored and 'client-centred' approaches and they address barriers individuals have in accessing HTS¹⁵. The guidelines include recommendations for HTS approaches and HTS 8 9 components taking into account the population, epidemic and context. See supplementary 0 information (additional file 1) for a summary of the 2015 and 2016 WHO guidelines on HTS.)1

92 It is important to monitor the uptake of these recommendations into country policies in
93 order to promote the inclusion of WHO recommendations into those countries and

1 2		
- 3 4	94	prioritize support. In doing so supporting countries to improve the uptake of HTS, and
5	95	achieve the Global 95-95-95 goals. Global monitoring of WHO guidelines uptake in national
6 7	96	policies is routinely undertaken as part of Global AIDS Monitoring system ¹⁶ . However an in-
8 9 10 11 12 13 14 15	97	depth understanding of adoption of WHO HTS guidelines at national level and in varying
	98	epidemic contexts is lacking. Understanding this will enable a better knowledge of where
	99	gaps in service may exist, and where further support may be provided to countries. To this
	100	end, we reviewed national HTS policies to examine the uptake of 2015 WHO HTS
16 17	101	recommendations on differentiated testing services.
18	102	
19 20	103	Methods
21 22	104	
23 24	105	Search strategy
25 26	106	
27 28	107	A comprehensive search of national HTS policy documents was undertaken using the
29 30	108	existing WHO national policy repository ¹⁷ . The repository was first produced in 2015 and is
31 32	109	routinely updated by WHO staff using a AIDSFree HTS policy database, Country by country
33	110	search of IAPAC/HIV Policy Watch website and a broad Google search . The google search
34 35	111	using the following key words:
 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 	112 113 114 115 116 117 118	 country name AND "HIV testing" AND policy country name AND "HIV testing" AND guideline country name AND PrEP AND policy country name AND PrEP AND guideline country name AND "pre-exposure prophylaxis" AND policy country name AND "pre-exposure prophylaxis" AND guideline The policy repository is maintained by WHO.
	119	The repository includes national policies relating to HTS, HIV counselling services,
	120	prevention services, antiretroviral therapy (ART), as well as policies relating to prevention of
	121	mother-to-child transmission, HIV partner services, national HTS action/strategic plans, and
	122	differentiated service delivery. In addition, national policies relating to sexual health, and
53 54	123	sexually transmitted infections were also included. All available national policies were used
55 56	124	for data extraction.
57 58 59 60	125	

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3 4	126	For inclusion, national policies needed to include HTS and be published between January
5	127	2015, after the release of the 2015 WHO consolidated guidelines, and June 2019. The most
6 7	128	recent available policy document containing information on HTS was used for extraction.
8 9	129	
10 11	130	The national policies included were reviewed against WHO recommendations published in
12 13	131	2015/2016. Given the review end date of June 2019 the WHO 2019 guideilnes were not
14 15	132	included as they were published in December 2019. Policies in languages other than English
16 17	133	were translated using google translate. One country gave policy documents in formats that
18	134	did not permit translation and was therefore excluded. Please see further details on the
19 20	135	process to identify country policies including HTS recommendations in Figure 1.
21 22	136	
23 24	137	Data extraction
25 26	138	Data was extracted by one author (TK) into an Excel spreadsheet. The HTS approaches
27 28	139	considered are: (1) facility-based testing for pregnant women (1a), adolescents (1b), infants
29	140	and children (1c), and key populations (1c); (2) community-based testing, including
30 31	141	community-based testing for specific populations; (3) HIV self-testing and (4) provider-
32 33	142	assisted referral. Additional supportive HTS recommendatiosn considered were: (5) pre-test
34 35	143	information, (6) post-test counselling, (7) lay provider testing and (8) rapid testing. In the
36 37	144	2015 guidelines pre-test information was recommended instead of pre-test counselling,
38 39	145	however data for pre-test counselling was extracted to better understand if countries were
40	146	still recommending this component. The 2015 WHO consolidated guidelines and 2016
41 42	147	Guidelines on HIV Self-testing and Partner notification were used.
43 44	148	
45 46	149	Data analysis and reporting
47 48	150	
49 50	151	We estimated the number and proportion of countries in each WHO region that had a
51	152	relevant policy in the period of review (76%: 148/194). This was done overall (worldwide)
52 53	153	and stratified by WHO region and epidemic type defined by generalised (\geq 5% HIV
54 55	154	prevalence) and concentrated (<5%) HIV prevalence) epidemics (now often referred to as
56 57	155	high or low burden settings). This last stratification was included because some
58 59	156	recommendations were epidemic type specific: in particular routine facility-based testing
60	157	for those with signs and symptoms, adults, adolescents and children apply only to

2		
3 4	158	concentrated epidemics and community-based testing for adolescents apply to both
5 6 7	159	generalised epidemics. The 2015 WHO consolidated guidelines define a concentrated
	160	epidemic as 'HIV has spread rapidly in a defined subpopulation (such as men who have sex
8 9	161	with men, sex workers, transgender people, people who use drugs or people in prison or
10 11	162	other closed settings) but is not well established in the general population' ⁶ . A generalised is
12 13	163	defined as 'HIV is firmly established in the general population. Although subpopulations at
14 15	164	high risk may contribute disproportionately to the spread of HIV, sexual networking in the
16 17	165	general population is sufficient to sustain the epidemic' ¹⁸ .
18	166	,
19 20	167	Policies were categorised in three groups:
21 22	168	 Included: Policies that clearly and explicitly stated and included a specific
23 24	169	recommendation
25 26	170	 Not included: Policies that did not include a specific recommendation
27 28	171	Unclear: Policies in which it was unclear whether a WHO recommendation was
29	172	included due to insufficient information.
30 31	173	Analyses were conducted in Microsoft Excel.
32 33 34 35	174	
	175	
36 37	176	Patient and Public Involvement
38 39	177	There is no Patient and Public involved in your study.
40 41	178	
42 43	179	
44 45	180	Results
46 47 48 49 50 51	181 182	Characteristics of included policies
	182	Of the 194 WHO member states 148 countries had at least one policy within the WHO
	185	
52	184	national policy repository. Of these, 65 country policies were eligible to be included; 30
53 54	185	were HIV testing policies, 15 national strategic plans, 12 integrated guidelines for HTS, eight were related polices reporting on HIV testing (one HIV counselling policies, one ART policy,
55 56	180	
57 58		one integrated guidelines for STIs, one sexual health national strategic plan, one policy on
59 60	188	HIV contact management, one global AIDS progress report, one differentiated testing
55	189	guideline and one policy on community-based testing). Overall, 34 (52%) country policies

1 2		
3 4 5	190	were in English. 82 country policies were excluded because they were published before
	191	January 2015. Morocco's latest policy documents (written in French) were in formats that
6 7	192	did not permit translation. No other policies were available for Morocco in the timeframe of
8 9	193	interest; therefore, we could not include Morocco.
10 11	194	
12 13	195	Figure 1. Process to identify country policies including recommendations on HIV testing services. 82 country
14	196	policies were excluded as they were published before January 2015.
15 16	197	
17 18	198	Of the 65 country policies reviewed, 24 were from AFR (51% of 47 counteries), 21 from the
19 20	199	WHO European region (EUR; 40% of 53 countries), six from the WHO Eastern Mediterranean
21	200	region (EMR; 29% of 21 countries), five from the Pan American region (AMR; 14% of 35
22 23	201	countries), five from the Western Pacific Region (WPR; 19% of 27 countries) and four from
24 25	202	the WHO South East Asia Region (SEAR; 36% of 11 countries). Just over two-thirds (37%,
26 27	203	24/65) policies were from countries classified as having a concentrated epidemic, 34%
28 29	204	(22/65) from a generalised epidemic.
30 31 32 33 34 35 36 37	205 206 207 208 209 210 211	<i>Figure 2.</i> Countries with a national policy identified between January 2015 and June 2019. A map of all 65 countries within this review (n=65). Countries highlighted in orange are those that included all recommendations relevant to their country setting (n=5).
38 39	212	Overall uptake of WHO HTS recommendations in national policies
40 41	213	Only five country policies included all the relevant recommendations (see Figure 2). Among
42 43	214	the recommendations on approaches, and components, applicable to all settings and
44 45	215	populations (Figure 3); 69% (45/65) included rapid testing, 45% (29/65) permitted lay
46 47 48 49 50 51 52	216	provider testing, 38% (25/65) of countries supported HIVST, 35% (23/65) included pre-test
	217	counselling and did not specify the use of pre-test information, 35% included (37/65) post-
	218	test counselling, 29% (19/65) included pre-test information and 25% (16/65) supported
	219	provider-assisted referral.
53 54	220	
55	221	Regarding recommendation for specific sub-populations (Figure 4 on the left); 85% (55/65)
56 57	222	included recommendations for testing for pregnant women, 75% (49/65) recommended
58 59 60	223	testing for key populations, 71% (17/24) recommended facility-based testing for all those

presenting with signs and symptoms, 74% (48/65) recommended community-based testing for key populations and 65% (42/65) recommended facility-based testing for infants and children. Of countries with a concentrated epidemic (n=24), 71% (17/24) recommended facility-based testing for all those presenting with signs and symptoms. Of those with a generalised epidemic (n=22), 86% (19/22) recommended facility-based testing for adolescents.

resenting .6% (19/22) reco.

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3	234	
4 5	235	Figure 3. Number of countries that included recommendations valid in all settings and populations from the 2015 WHO consolidated guidelines for HTS,
6 7	236	by type of recommendation and WHO region.
8 9 10 11	237 238 239	AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region.
12 13 14	240 241	
15 16	242	
17 18	243	Figure 4 f Number of countries included in the review that included population or epidemic specific recommendations from the 2015 WHO consolidated
19	244	guidelines for HTS, by type of recommendation and WHO region
20 21 22 23 24 25	245 246 247 248 249 250	AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region; Facility-based testing for key populations here refers to provider-initiated testing and counselling this is recommended iin malnutrition clinics or sexually transmitted infections (STI) or hepatitis and Tuberculosis services or health services for key populations in all settings.Facility-based testing for pregnant women, infants and children applies to all countries (n=65). Community-based testing for key populations applies to all countries (n=65). Facility-based testing for all those presenting with signs and symptoms is recommended only in countries with a concentrated epidemic (n=24); facility-based testing for adolescents only in countries with a generalised epidemics (n=22).
26 27 28 29 30 31 32 33 34	200	
35 36 37 38 39		
40 41 42 43		
43 44 45		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

2 3		
4 5	252	Uptake of WHO recommended HTS approaches by WHO region
6 7	253	The uptake of recommendations varied across countries (see uptake of single
8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	254	recommendations for each country in additional file 2) and regions. HIVST was
	255	recommended by 38% (25/65) of countries. The inclusion of HIVST ranged from EMR (67%;
	256	4/6), AFR (54%; 13/24), WPR (40%; 2/5) and EUR (29%; 6/21). No included countries from
	257	SEAR and AMR supported HIVST at the time of review.
	258	
	259	Only 25% (13/65) of countries included recommendations for provider-assisted referral:
	260	38% of AFR (9/24) countries, 33% of EMR (2/6), 20% (1/5) of AMR, 10% (2/21) of EUR, , 5%
	261	(1/4) of SEAR and in 5% (1/5) of WPR.
	262	
25 26	263	Pre-test information was included in 29% (19/65) of country policies. The inclusion of pre-
27	264	test information ranged from EMR (83%; 5/6), followed by the AFR (42%; 10/24), WPR (20%;
28 29	265	1/5), SEAR (25%; 1/4) and EUR (10%; 2/21). No countries from AMR included this
30 31	266	recommendation at the time of the review.
32 33	267	
34 35	268	Overall 57% (37/65) of country policies recommended post-test counselling, with variation
36 37	269	across regions (100% EMR, 88% AFR, 40% WPR, 25% AMR, 25% SEAR, and 19% EUR). Whilst
38 39	270	pre-test counselling is no longer recommended by WHO, it was still included by 35% (23/65)
40	271	of countries: (60% AMR, 58% AFR, 50% SEAR, 33% EMR and 10% EUR) while no countries in
41 42	272	the WPR included this recommendation.
43 44	273	
45 46	274	Rapid testing was included in 69% (45/65) of country policies, with regional variation (100%
47 48	275	EMR, 88% AFR, 80% WPR, 50% SEAR, 48% EUR and 40% AMR). Lay provider testing was
49 50	276	permitted in 45% (29/65) of countries (75% AFR, 67% EMR, 50% SEAR, 40% WPR, 20% AMR,
51	277	and 5% EUR).
52 53 54 55 56 57 58 59	278	
	279	
	280	
	281	Figure 5. Number of countries including the new recommendations, by year.
60	282	

1 2		
3 4	283	
5	284	Lay Provider testing was recommended for the first time by WHO in 2015 and provider-
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	285	assisted referral and HIVST in 2016. Figure 5 shows the number of countries including the
	286	new recommendations in their policies in the years following their introduction. A steep
	287	increase in uptake can be observed with 16, 25 and 29 countries including recommendation
	288	on respectively provider-assisted referral, HIVST and lay provider testing by June 2019.
	289	
	290	
	291	Uptake of population specific HTS approaches by WHO region
	292	Facility-based testing for pregnant women was recommended by 85% (55/65) of countries,
	293	including all countries in EMR (100%; 6/6) and AFR (100%; 24/24) followed by WPR (80%;
	294	4/5), EUR (76%; 16/21), AMR (60%;3/5) and SEAR (50%;2/4). Nearly two-thirds of countries
24 25	295	(65%, 42/65) of countries recommended facility-based testing for infants and children
26 27	296	(100% EMR, 76% AFR, 80% WPR, 75% SEAR, 40% AMR and 19% EUR).
28 29 30 31 32	297	
	298	Facility-based testing for key populations is recommended in 49 countries (100% EMR, 88%
	299	AFR, 67% EUR, 60% AMR, 60% WPR and 50% SEAR). Of the countries that recommended
33 34	300	facility-based testing for key populations, 69% (34/49) recommended targeted testing for
35 36	301	MSM, 59% (29/49) for sex workers or those who engage in transactional sex, 57% (28/49)
37 38	302	for PWID, 45% (22/49) for prisoners and 18% (9/49) for transgender people. Inclusion
39 40	303	ranged with countries from the EMR starting from 100% (6/6) uptake, as well as AFR (88%;
41	304	21/24), the EUR (66%;14/21) and WPR (60%; 3/5), while it was lower in the AMR (60%; 3/5)
42 43	305	and the SEAR (50%; 2/4).
44 45	306	
46 47	307	Nearly three-quarters (74%, 48/65) of countries recommended community-based testing for
48 49	308	key-populations. Uptake of community-based testing varied by region (100% EMR, 88% AFR,
50 51	309	80% WPR, 52% EUR, 50% SEAR and 20% AMR). Of the countries that included community-
52	310	based testing for key populations; 44% (21/48) home-based/door-door testing, 38% (18/48)
53 54	311	included outreach services, 35% (17/48) workplace testing, 35% (17/48) mobile testing, 23%
55 56 57 58 59 60	312	(11/48) testing within educational establishments, 15% (7/48) testing in places of worship
	313	and 13% (6/48) recommended testing in community health centres.
	314	

Of the countries classified as having a concentrated epidemic, 37% (n=24), 20% (5/24) were in the AFR region, 46% (11/24) in EURO, 12% (3/24) in AMR, 8% (2/24) in SEAR, 8% (2/24) in WPR and 4% (1/24) in EMR. 72% (18/24) of these countries recommended facility-based testing for all those presenting with signs and symptoms of HIV. 34% (n=22) of countries were classified as having a generalised epidemic. Amongst the countries with a generalised epidemic 100% (22/22) recommended routine facility-based testing for adolescents. Discussion As of 2019, 81% of all people with HIV are estimated to have been diagnosed globally². Differentiated testing approaches are critical for reaching the remaining people with HIV as standard testing services have not been successful in serving them. WHO recommends a strategic mix of HTS depending on the epidemiology, context and focus populations. The variations in uptake suggest that further research is required to understand why some countries did not include the WHO differentiated HTS recommendations, and what support countries require to include recommendations. National policies often did not elaborate how various approaches will be used within a differentiated HTS plan to reach national goals and specific service delivery models and support tools. Moreover, inclusion of recommendations in policies does not always directly lead to implementation or scale up of effective practices. Further monitoring is needed to understand the implementation status of services as well as their scale and coverage. Across all country policies reviewed, only five countries (in 3 AFR, 1 EMR and 1 EUR) included all the WHO HTS recommendations (relevant to their country setting) with gaps in uptake remaining. 63 counteries included at least one recommendation. The uptake of recommendation in some country policies, although varied, does however suggests that it is feasible to adapt latest policies within a short time frame. We found high uptake of recommendations for community-based testing, first recommended in 2013¹⁹. Mobile testing, outreach testing, self-testing and provider-assisted referral were the approaches with the lowest uptake. As mentioned, the first two were more recently recommended so they might partly explain the lower uptake. For the latter two (mobile testing and outreach

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testing), the lower uptake might reflect the fact that they require more resources to
introduce them and that they are more difficult to integrate. Population specific facilitybased testing recommendations were generally taken up for pregnant women and, infants
and children and key populations. Among countries with generalised and concentrated
epidemics, there was high uptake of community-based testing for key populations; while,
only half of countries recommended mobile testing explicitly, and just over two fifths
recommended outreach testing.

These methods are likely to increase the uptake of HIV testing for key populations, by reducing barriers to access to HIV testing services. For example the 2016 WHO Guidelines on HIVST and Partner Notification pointed out that these two approaches were perceived to reduce stigma amongst MSM and female sex workers²⁰. Stigma and discrimination have been found to be associated with never testing²¹²². In particular, studies have shown people might fear to be perceived as promiscuous, to be sexually rejected, socially distanced or even rejected by friends and family members if found to be living with HIV^{23 24}. Stigma has also been associated with feelings of worthlessness and shame ²⁴. Women with greater perceived stigma have been shown to be less likely to test with gender inequality being associated with stigmatising attitudes, and in some studies healthcare workers identify stigma as a barrier to testing ^{25 26}.

Both HIVST and provider assisted referral have been found to be acceptable and feasible to
implement, and in reaching people who would not otherwise have tested for HIV^{27 28}. A
steady increase in the number of countries adopting these recommendations within
national policies has been observed. According to latest Global AIDS Monitoring, as of 2021
94 countries globally report inclusion of HIVST in national policies and 48 of them are
routinely implementing HIVST².

51 372

Since 2015, WHO has recommended a brief pre-test information when offering HTS instead of detailed pre-test counseling. Evidence and programmatic experiences suggest lengthy pre-test counselling is no longer needed and may in fact deter some testers from seeking HTS, such as repeat testers. Our review shows many countries may still be continuing to include traditional pre-test counselling within their national policies. Traditional pre-test

counselling reduces the efficiency of HTS and does not represent the best use of scarce human and financial resources ⁶. Anecdotal evidence suggests many countries provide post-test counselling that includes outdated information. For example, many programmes had not adapted counselling messages to include information of prevention benefits of treatment and achieving viral suppression for partners (undetectable=untransmissible or U=U), availability of effective prevention options such as pre-exposure prophylaxis (PrEP) and messages on optimal testing frequency based on risk and epidemiology. Countries need to review and revise their policies to adopt latest WHO recommendation on pre-test information and post-test counselling.

Over two thirds of countries included in this review support the use of rapid HIV testing, which can provide same day diagnosis, facilitating rapid initiation of ART. WHO recommends the use of trained lay providers and peers for delivering HTS using RDTs. However, of the countries that included RDT in their policies, few included the use of lay providers. Lay providers can affect expansion of services by enabling testing at places accessible and convenient to populations or groups most affected with HIV. This includes the introduction and scale up of community-based testing. Countries need to review their policies to address legal barriers to use of trained lay providers and develop standard operating procedures and training material and supervision activities for this cadre of providers.

Our review found variations in policy uptake by region. Overall countries in EMR region showed the highest uptake followed by AFR countries, while uptake in other regions remained comparatively low. For the AFR region, these findings are expected as well as encouraging as this region represents the highest burden of HIV infection². We also had a greater coverage of policies included (51% of all countries in the region) better representation of countries compared to other regions. WHO and other international agencies and donors make concerted efforts to support the HIV response in AFR which may be reflected in greater uptake of WHO recommendations. Typically countries in this region also rely on WHO guidelines to inform national policies in contrast to some other regions such as AMR, EUR, WPR which are more likely to based policy decisions on national guidance. These findings need to be interpreted with caution for regions other than AFR, partly due to low coverage of policies included (ranging 14-40%), thus may not be

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representative of the country approaches . The epidemic context also varies in other
regions, epidemics focused among key populations may face the presence of stigma and
discrimination, and varied implementation should also be considered. Further efforts
focused on these regions and engagement with countries may be needed to improve
uptake.

Overall, our review findings suggest that regular monitoring and better understanding of country uptake of WHO recommendations is needed to address country support needs to address such gaps. It is important to consider that inclusion of recommendations in national policies does not necessarily reflect that they are implemented and often there is a gap between policy uptake and implementation. Efforts are needed to enhance country policy uptake and minimize the lag in implementation. It is also important to note that whilst this review focuses on the inclusion of recommendation from the 2015 WHO consolidated guidelines, national HTS policies were already in existence before this date. All stakeholders including international organizations, implementing partners, and donors need to support the governments and national programmes in updating national policies and translating these into implementation. Community groups and civil society need to advocate for availability of latest and evidence-based recommendations and interventions in their countries. Further support may be needed in operationalization and scale up of such policies, and strategies focusing on key populations are required in some settings. Regular monitoring of country policy uptake and implementation status is needed to identify country support gaps for appropriate action.

This review has several limitations. National HTS policies were available only for 65 countries published them between January 2015 and June 2019. There may be policies published in this period that we have not identified. For eight countries information was extracted from policy documents that were not directly related to HTS and may not have information with the required level of detail. For the EMR, SEAR and WPR regions national policies were available from only a small number of countries and thus they may not be representative of the situaiton in the whole regions. In 2019 the WHO published updated consolidated guidelines for HTS which include a new recommendation on social network-

2 3 4 5 6	4 4 1	
	441	based approaches for HIV testing and updated guidance on HIVST and counselling
	442	messages ^{14 20} , these were not included within this review due to timelines.
7 8	443	
9 10 11 12 13 14 15	444	
	445	Conclusion
	446	
	447	This review found that the uptake of all WHO's 2015 and 2016 HTS recommendations varied
16 17	448	substantially. Five countries included all the recommendations relevant to their country
18 19	449	setting, and 63 included at least one. Uptake was particularly low for HIV self-testing,
20	450	provider-assisted referral and lay provider testing, key interventions for reaching
21 22	451	undiagnosed populations and for expanding access to HTS. Encouragingly the inclusion of
23 24	452	recommendations in the AFR and EMR was high compared to other regions. Differentiated
25 26	453	HTS are essential for reaching people with HIV who do not know their status and others at
27 28	454	high ongoing risk to facilitate linkage to prevention, treatment and care. Ongoing advocacy
29	455	and efforts are need to support the uptake of WHO differentiated testing recommendations
30 31	456	in country policies as well as their implementation. The variations in the inclusion of WHO
32 33	457	differentiated reccomendations suggest that further research is required to understand why
34 35	458	some countries did not include the WHO differentiated testing recommendations, and the
36 37	459	support countries require to include recommendations.
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47 48	465	Funding statement
49 50 51	466 467	This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors
52 53	468	
53 54 55 56 57 58 59 60	469	
	470	Competing interests
	471	None of the authors has competing interest to declare
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	474	TK took overall responsibility for the review of the policies. MS, CJ and MD were responsible
	475	for creating the WHO's global policy repository and keeping it up to date. TK, CJ, VC , RB
	476	were involved in the development of the protocol and of the data extraction tool and in
	477	designing the analysis. TK screened the policies, extracted the data, conducted the analysis
	478	and produced the first draft of the manuscript. All authors reviewed the manuscript,
	479	provided inputs and approved the final version of the manuscript.
16 17	480	
18 19	481	Author information
20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36	482	Cheryl Johnson contributed to the writing, coordination and research for the WHO
	483	Consolidated Guidelines for HIV Testing Services.
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37 38	491	No ethics approval required as the research conducted was a policy review.
39 40	492	
41 42	493	
43 44	494	Data availability statement
45	495	Extra data can be accessed via the Dryad data repository at http://datadryad.org/ with the
46 47	496	doi:10.5061/dryad.fj6q57406
48 49	497	
50 51	498	Additional Files
52 53	499	
54 55	500	Additional file 1: WHO guidelines for differentiated HIV testing services
56 57	501	A summary of the 2015 and 2016 Consolidated Guidelines on HIV testing recommendations.
57 58 59	502	
60	503	Additional file 2: Extraction tool

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2 3 4	504	Document containing extraction tool use to collect data. The document contained the
5	505	extraction tool used to extract data. This included all countries, regions, policy document
6 7	506	type, date of policy and indication of the uptake of recommendations. The document contains
8 9	507	further information on key findings and countries by epidemic type.
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23 24	515	List of Abbreviations
25 26	516	
27 28	517	ART – Antiretroviral Therapy, aPN – assisted Partner Notification, DSD – Differentiated
29 30	518	Service Delivery , HIV – Human Immunodeficiency Virus , HIVST – HIV self-testing , HTS – HIV
31	519	testing services , MSM – Men Who Have Sex with Men , NSP – National Strategic Plans , PITC
32 33	520	– Provider- initiated Testing and Counselling , SW – Sex Workers , TB – Tuberculosis , TG –
34 35	521	Transgender , WHO – The World Health Organisation.
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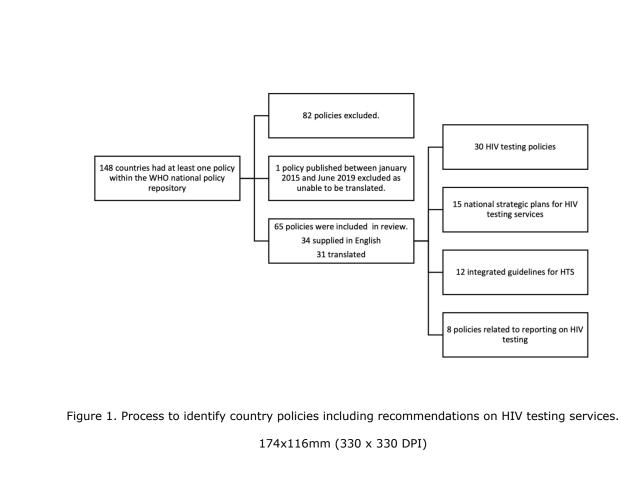
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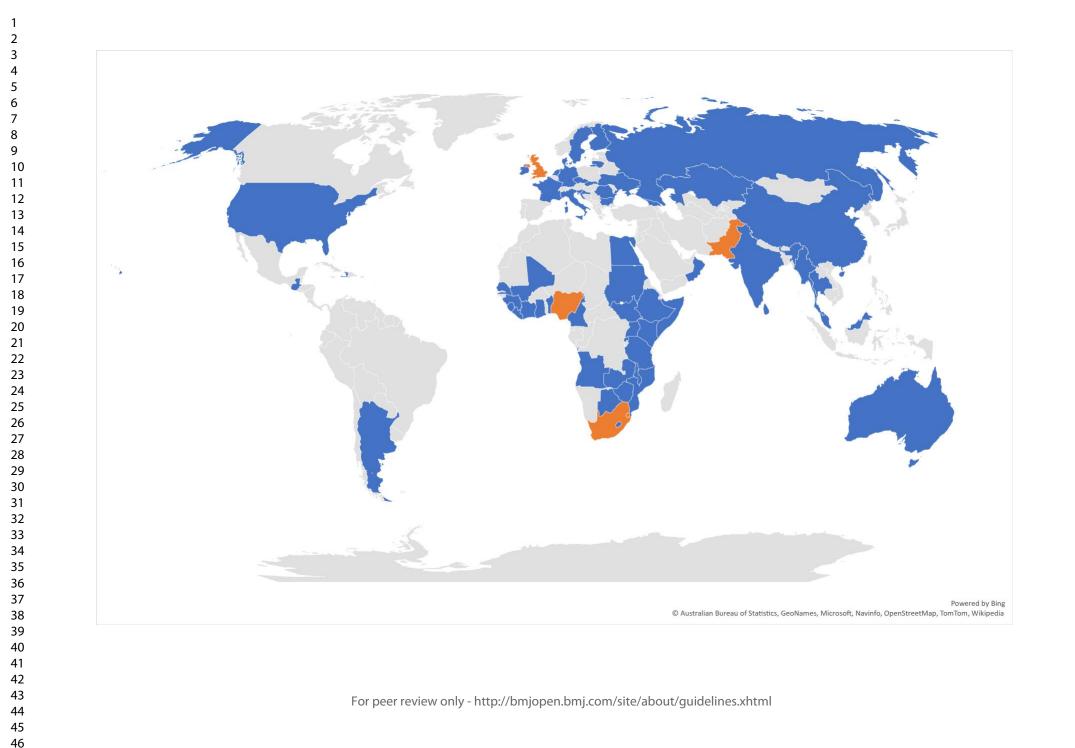
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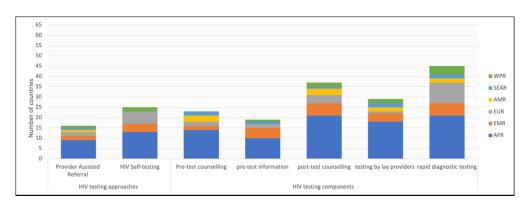


Figure 3. Number of countries that included recommendations valid in all settings and populations from the 2015 WHO consolidated guidelines for HTS, by type of recommendation and WHO region.AFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region.

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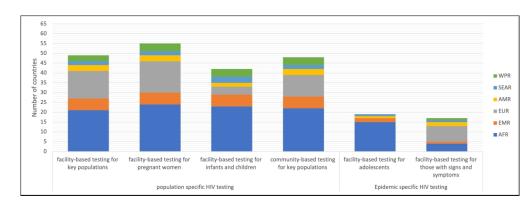
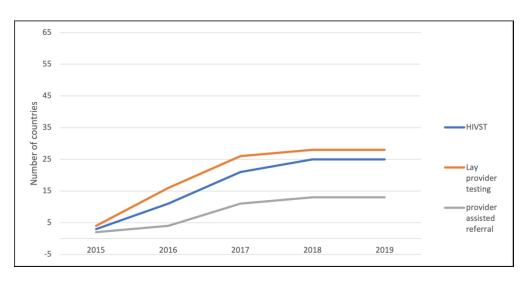
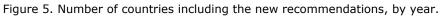


Figure 4. Number of countries included in the review that included population or epidemic specific recommendations from the 2015 WHO consolidated guidelines for HTS, by type of recommendation and WHO regionAFR: WHO Africa region; AMR: Pan American region; EMR: WHO Eastern Mediterranean region; EUR: WHO European region; SEAR: WHO South East Asia Region; WPR: Western Pacific Region;Facility-based testing for key populations here refers to provider-initiated testing and counselling this is recommended iin malnutrition clinics or sexually transmitted infections (STI) or hepatitis and Tuberculosis services or health services for key populations in all settings.Facility-based testing for pregnant women, infants and children applies to all countries (n=65). Community-based testing for key populations applies to all countries (n=65).Facility-based testing for all those presenting with signs and symptoms is recommended only in countries with a concentrated epidemic (n=24); facility-based testing for adolescents only in countries with a generalised epidemics (n=22)

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Additional File 1: Summary of the 2015 and 2016 WHO guidelines for differentiated HIV testing services.

HIV testing services app	roach
Facility-based testing (referred to a provider- initiated testing and counselling referral in the 2015 guidelines)	In concentrated epidemics provider-initiated testing and counselling should be offered for clients (adults, adolescents, and children) in clinical settings who present with symptoms or medical conditions indication infection, including Tuberculosis cases.
	In all settings provider-initiated testing and counselling should be considered for malnutrition clinics, sexually transmitted infections hepatitis and Tuberculosis services and health services for key populations.
Community-based testing	In generalised epidemics community-based testing should be offered to all individuals, especially key populations.
	In concentrated epidemics community-based HIV testing services is recommended for key populations.
HIV Self-testing	It is strongly recommended that HIV self-testing should be offered as an additional approach to HIV testing services.
Provider assisted referral (referred to as voluntary partner notification within the 2015 recommendations)	It is strongly recommended that voluntary assisted partner notification services should be offered as part of a comprehensive package of testing and care offered to people with HIV.
HIV testing services con	nponents
Pre-test information	Programmes may provide pre-test information through individual/group sessions, media and age-appropriate material when required.
Post-test counselling	Post-test counselling should be provided for all who attend testin services.
Testing by Lay Providers	It is strongly recommended that lay providers who are trained and supervised to use rapid diagnostic tests are permitted to independently conduct safe and effective HIV testing services.

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Pregnant women	In high prevalence settings provider-initiated testing and counselling should be considered a routine component of antenatal clinic, childbirth, postpartum and paediatric care settings. Retesting is recommended in the third trimester, or during labour, or shortly after delivery In Low prevalence settings provider-initiated testing and counselling considered for all pregnant women. For pregnant women from key populations, or those with partner from key populations, HIV testing is recommended.
Adolescents	In generalised epidemic HIV testing should be offered to all adolescents.
Infants and Children	In all settings HIV-exposed infants and children younger than 18 months should be tested in cases where status is unknown or uncertain.
Key Populations	It is recommended that HIV testing services are routinely recommended to key populations in community and facility-based settings.

HTS Approaches

Facility based testing is recommended in all settings and should be considered for malnutrition clinics, sexually transmitted infections (STI), hepatitis and TB services and health services for key populations (1). Unlike voluntary testing and counselling, in facility-based testing clients are offered HIV testing with the option of 'opting out' (2). This approach to HIV testing has been shown to increase the number of people who test for HIV, one study in the USA found that 65.9% of people who were offered HIV testing accepted compared to 38% of voluntary testers (2).

In all settings community-based testing is recommended for key populations (1). Community-based testing refers to testing that is not conducted in a healthcare facility and may take different forms such as outreach testing, home-based/door-door testing (testing offered to individuals within their homes) and mobile testing (1). This has been shown to be a feasible and convenient approach to testing in some studies (3-6). Home based testing has been associated with confidentiality, credibility of tests and easily accessible counsellors, and mobile testing has been suggested to increase the number of people accessing testing services and help to overcome barriers such as long distances from clinic (7, 8).

HIVST is strongly recommended as an additional approach to HIV testing services (1). HIVST is defined as 'a process in which a person collects his or her own specimen (oral fluid or blood) and then performs an HIV test and interprets the results' (9). HIVST may increase uptake among those who never tested before by addressing barriers such as long distance transportation, long waiting times and has the potential to reduce stigmatization (10, 11). This is because HIVST can be conducted in private, or in facilities offering other services and

in populations who are at high risk, may also provide an opportunity to test more regularly (9).

Provider assisted referral (voluntary partner notification in the WHO 2015 guidelines) is a partner service which is strongly recommended (1). Partner services are defines as 'a voluntary process whereby a trained provider asks people diagnosed with HIV about their sexual partners and/or drug injecting partners, and then, if the HIV positive clients agrees, offers there partner(s) HIV self-testing' (9). Clients may be assisted by trained providers to disclose their status or anonymously notify sexual partners or drug injecting partners of their potential exposure to HIV, and offer HIV testing (9). This approach has been suggested to improve HIV testing services by identifying those who do not yet know their status, improving testing uptake for those who have never been tested and increase early referral to care (12-14).

HTS Components

The 2015 consolidated guidelines recommended pre-test information instead of the previously recommended pre-test counselling(1). Previously, pre-test counselling provided comprehensive information to clients before testing to prepare clients to cope with a HIV positive diagnosis in the absence of treatment and encourage clients to return for results(1). However, the introduction of RDTs meant that individuals were now able to get results on the same day and the need for counselling before testing was no longer present and may have created barriers (1). Unlike pre-test counselling Pre-test information can be delivered in a number of formats, including to both individuals and groups, through posters, brochures, websites and short clips in waiting rooms (1). Post-test counselling is also recommended across all settings, in all HIV tests depending on the specific test result and HIV status reported (1). In order to ensure individuals are linked to the appropriate treatment and prevention services (1).

Testing by trained lay providers supervised to use rapid diagnostic tests (RDTs) independently, safely and effectively (1). Testing by lay providers refers to individuals who are trained to conduct HIV tests but have no formal professional or paraprofessional certificate or tertiary education degree (1). RDT refers to a form of HIV testing that produce results quickly (usually in less than 30 minutes) enabling patients to know their result on the day in a short period of time (1). Both strategies reduce the time taken to undergo a HIV test. These components may therefore address barriers associated with time, as well as reduce the burden on resources through task shifting (15). As well as this, peer delivered testing (when lay providers are members of the same population as testers) has been shown to increase uptake, including in first time testers, and higher rates of detection of HIV cases amongst MSM and PWID in Vietnam and Thailand (16). In another study peer counsellors was identified as a facilitator for HIV testing amongst participants (3). In some populations where stigma and discrimination are present peer testing has been identified as a preferred and viable method (3, 16, 17).

Population specific facility-based HIV testing

Facility-based testing is recommended for priority populations such as pregnant women, key populations, infants and children, and adolescents (1). Diagnosing HIV as early as possible reduces mortality in infants, and in populations such as key populations and adolescents

where testing uptake remains low differentiated testing approached are essential in reducing barriers to testing (5, 8, 18-21).

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EMR	Oman	2015
EMR	Pakistan	2017
EUR	Romania	2017
AFR	Rwanda	2016
AFR	Senegal	2017
AFR	Sierra Leone	2017
EUR	Slovenia	2017
EUR	Slovakia	2017
EMR	Somalia	2017
EUR	Sweden	2017
AFR	South Africa	2016
EMR	South Sudan	2017
SEAR	Sri Lanka	2016
AMR	Cayman Islands	2015
SEAR	Thailand	2017
EMR	Sudan	2016
EUR	Ukraine	2016
AFR	Swaziland	2018
AFR	Tanzania	2017
AFR	Uganda	2016
EUR	United Kingdom 🔪	2016
AMR	United States of America	2017
WPR	Vietam	2018
WPR	Nauru	2015
AFR	Zambia	2016
AFR	Zimbabwe	2018

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Extraction Tool (Coun

HTS APPROCHES			
Community-based testing	provider assisted referral	HIV-selftesting	Pre-test information
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Pregnant women	Key Populations	Infants and Children
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	concentrated and generalised	all clinical settings in generalised
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