

# Supporting Information

# **Biocatalytic Transamination of Aldolase-Derived 3-Hydroxy** Ketones

Mathias Pickl, Markus Ebner, Samantha Gittings, Pere Clapés,'\* and Wolfgang Kroutil'\*© 2023 The Authors. Advanced Synthesis & Catalysis published by Wiley-VCH GmbH. This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

# **Supporting Information**

# Biocatalytic trans-amination of aldolase derived 3-hydroxy ketones

Mathias Pickl<sup>†</sup>‡, Markus Ebner<sup>‡</sup>, Samantha Gittings<sup>#</sup>, Pere Clapés<sup>†</sup>\*, Wolfgang Kroutil<sup>‡</sup>\*

†Department of Chemical Biology. Instituto de Química Avanzada de Cataluña (IQAC-CSIC), Jordi Girona 18-26, 08034 Barcelona, Spain.

‡Institute of Chemistry, University of Graz, Heinrichstrasse 28, 8010, Graz, Austria.

#Prozomix Ltd. West End Industrial Estate, Haltwhistle, Northumberland NE49 9HA, U.K.

> Corresponding author: pere.clapes@iqac.csic.es and wolfgang.kroutil@uni-graz.at

# **Table of Content**

1.	Biotransformations	3
1.1	. TA Screening for aldol product 3a-c	3
1.2	. Time course of aldol addition and yields	5
1.3	. Determination of transaminase activity	6
1.4	. One pot setup of aldol-transamination	6
1.5	5. Synthesis of racemic 3a-c	8
2.	Analytics	9
2.1	. Derivatization of GC-MS samples	9
2.2	. GC-MS chromatograms	9
2.3	. CSP-HPLC chromatograms	10
2.4	. Reaction monitoring HPLC chromatograms	12
2.5	. HPLC-UV Calibration	14
3.	NMR	15
3.1	. Identification of relative configurations	12
4.	References	48

## 1. Biotransformations

## 1.1. TA Screening for aldol product 3a-c

Table S1. Screening of TA-Kit for the transamination of model 1,3-hydroxyketones 3a-c measured by HPLC-UV. Conversion to aldol adduct (%) at 24 h was determined by HPLC analysis using the external standard methodology. Conditions: CFE (5 mg), 3-5 (25 mM), D- or L-alanine (375 mM), PLP (1 mM), Kpi buffer (50 mM, pH 7), total volume 200 µL, rt, horizontal shaking, 24h. Amine product: light green: conv. <20%, green: conv. 20-60% dark green: conv. >60%.

1	2	3	4	5	6	7	8	9	10	11	12
13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36
37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70	71	72
73	74	75	76	77	78	79	80	81	82	83	84
85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108
109	110	111	112	113	114	115	116	117	118	119	120
121	122	123	124	125	126	127	128	129	130	131	132
133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156
157	158	159	160	161	162	163	164	165	166	167	168
169	170	171	172	173	174	175	176	177	178	179	180
181	182	183	184	185	186	187	188		190	191	192

3a

**3**b

1	2	3	4	5	6	7	8	9	10	11	12
13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36
37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70	71	72
73	74	75	76	77	78	79	80	81	82	83	84
85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108
109	110	111	112	113	114	115	116	117	118	119	120

121	122	123	124	125	126	127	128	129	130	131	132
133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156
157	158	159	160	161	162	163	164	165	166	167	168
169	170	171	172	173	174	175	176	177	178	179	180
181	182	183	184	185	186	187		189	190	191	192
3c											
1	2	3	4	5	6	7	8	9	10	11	12
13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36
37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70	71	72
73	74	75	76	77	78	79	80	81	82	83	84
85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108
109	110	111	112	113	114	115	116	117	118	119	120
121	122	123	124	125	126	127	128	129	130	131	132
133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156
157	158	159	160	161	162	163	164	165	166	167	168
169	170	171	172	173	174	175	176	177	178	179	180
181	182	183	184	185	186	187	188	189	190	191	192

# **1.2.** Time course experiment of aldol addition and yields from preparative scale

## experiments



Figure S1. Time course experiments of change in *ee* in the formation of aldol product 3a.Table S2. Synthesis of aldol products using *Ec*FSA variants.

Aldol intermediate	Catalyst	Yield
<b>3</b> a	FSA D6N	137 mg (48%), 95% ee
3b	FSA D6N	207 mg (62%), 87% ee
3c	FSA D6N	297 mg (70 %), 93% ee
<b>4a</b>	Wild type FSA	84 mg (27%) 80% de
4b	Wild type FSA	169 mg (47%) 90% de
4c	Wild type FSA	43 mg (15 %) 92% de
5a	FSA D6N	47 mg (15 %) 80% de
5b	FSA D6N	76 mg (21%) ratio 50:50
5c	FSA D6N	21 mg (27 %) 60% de

#### 1.3. Determination of transaminase activity



**Figure S2.** Expemplary initial rate plot of *Arthrobacter* sp. TA lyophilized whole cell preparation with model substrate **3a**.

#### **1.4.** One pot setup of aldol-transamination

Lyophilized cells of *E. coli* BL21 (DE3) containing overexpressed TA (20 mg) were rehydrated in an microcentrifuge tube (1.5 mL) in TEoA buffer (300  $\mu$ L total, 50 mM, pH 8, 1 mM PLP, 1 mM NAD<sup>+</sup>) for 30 min at 30°C and 120 rpm on an orbital shaker. **I)** The rehydrated cells were added to *Ec*FSA D6N (3 mg, 135 U) in TEoA buffer (1 mL total, 50 mM, pH 8). To this solution, aldehyde **1a** (50 mM final concentration) dissolved in **2a** (45  $\mu$ L) was added. Then, Lalanine (125 mM), D-glucose (150 mM), LDH (10 U), and GDH (30 U) were added. The mixture was shaken at 25°C and 700 rpm. The reaction was stopped after 24 h and analyzed GC-MS after derivatization. **II)** *Ec*FSA (3 mg, 135 U) was in TEoA buffer (300  $\mu$ L total, 50 mM, pH 8). To this solution, aldehyde **1a** (50 mM final concentration) dissolved in **2a** (45  $\mu$ L) was added. The reaction was stopped after 24 h, *Ec*FSA D6N via heatshock (95°C, 5 min) removed by centrifugation. Then, the rehydrated cells, L-alanine (125 mM), D-glucose (150 mM), LDH (10 U) and GDH (30 U) were added and the volume adjusted with TEoA buffer (1 mL total, 50 mM, pH 8). The mixture was shaken at 25°C and 700 rpm. The reaction was stopped after additional 24 h and analyzed GC-MS after derivatization. III) EcFSA (3 mg, 135 U) was in TEoA buffer (300 µL total, 50 mM, pH 8). To this solution, aldehyde 1a (50 mM final concentration) dissolved in 2a (45 µL) was added. Then, after 24 h shaking at 25 °C with 700 rpm the rehydrated cells were added. Then, L-alanine (375 mM) was added and the volume adjusted with TEoA buffer (1 mL total, 50 mM, pH 8). The mixture was shaken at 25°C and 700 rpm. The reaction was stopped after additional 24 h and analyzed GC-MS after derivatization. IV) EcFSA (3 mg, 135 U) was in TEoA buffer (300 µL total, 50 mM, pH 8). To this solution, aldehyde 1a (50 mM final concentration) dissolved in 2a (45  $\mu$ L) was added. The reaction was stopped after 24 h. Then, the rehydrated cells were added. Then, L-alanine (125 mM), D-glucose (150 mM), LDH (10 U), and GDH (30 U) were added and the volume adjusted with TEoA buffer (1 mL total, 50 mM, pH 8). The mixture was shaken at 25°C and 700 rpm. The reaction was stopped after additional 24 h and analyzed GC-MS after derivatization. V) EcFSA (3 mg, 135 U) was in TEoA buffer (300 µL total, 50 mM, pH 8). To this solution, aldehyde 1a (50 mM final concentration) dissolved in 2a (45 µL) was added. The reaction was stopped after 24 h, EcFSA D6N via heatshock (95°C, 5 min) und subsequent cooling removed by centrifugation. 2a was removed via airflow and 45 µL plain water were added. Then, the rehydrated cells were added. Then, L-alanine (125 mM), D-glucose (150 mM), LDH (10 U), and GDH (30 U) were added and the volume adjusted with TEoA buffer (1 mL total, 50 mM, pH 8). The mixture was shaken at 25°C and 700 rpm. The reaction was stopped after additional 24 h and analyzed GC-MS after derivatization. IV) EcFSA (3 mg, 135 U) was in TEoA buffer (300 µL total, 50 mM, pH 8). To this solution, aldehyde 1a (50 mM final concentration) dissolved in 2a (45 µL) was added. The reaction was stopped after 24 h, EcFSA D6N via heatshock (95°C, 5 min) and subsequent cooling removed by centrifugation. Then, L-alanine (125 mM), D-glucose (150 mM), LDH (10 U), and GDH (30 U) were added and the volume adjusted with TEoA buffer (1 mL total, 50 mM, pH 8). The mixture was shaken at 25°C and 700 rpm. The reaction was stopped after additional 24 h and analyzed GC-MS after derivatization.

## 1.5. Synthesis of racemic 3a-c

The procedure was adapted from Roldán et al.<sup>[1]</sup>

Lithium diisopropylamide (LDA) (1400  $\mu$ L of a 1.0 M stock solution in hexane) was added to anhydrous THF (8 mL) in a heat dried Schlenk tube and the solution was cooled down to – 78 °C. Then acetone (100  $\mu$ L, 2 mmol) was added and stirred for 20 minutes. After that, the electrophile (**1a-c**) (150  $\mu$ L, 1 mmol) dissolved in anhydrous THF (2 mL) was added. The reaction was stirred for 2 hours at – 78°C. After that the reaction was left to warm up at room temperature and NaHCO<sub>3</sub> (20 mL of a saturated solution) was added. The product was extracted with EtOAc (3 x 15 mL), the organic phases were pooled, dried with anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated to dryness. Conversion: 60%. The products were used without purification as standards for CSP-HPLC analysis.

#### 2. Analytics

#### 2.1. Derivatization of GC-MS samples

Aqueous samples (150  $\mu$ l) were withdrawn and NaOH (10% v/v, 10 M in water) was added followed by extraction with ethyl acetate (150  $\mu$ l). The organic phase was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, centrifuged and subjected to derivatization in glass vials. For this, *N*-Methylbis(trifluoroacetamide) (30% v/v, MBTFA) was added and the sample was agitated for 45 min at 60°C prior to injection.

#### 2.2. GC-MS chromatograms



Figure S3. Products obtained by transamination of 3b with an (S)- or (R)-TA. In case of (a) TA-166 was used, in case of (b) AtTA. In case of (a) syn- and (b) anti-diastereomer of derivatized 1,3-amino alcohol 6b.

3a



Figure S4. rac-4-Hydroxy-5-phenylpentan-2-one (rac-3a) analyzed on CSP-HPLC.





**3**b







**Figure S7.** (*S*-5-(Benzyloxy)-4-hydroxypentan-2-one (**3b**) analyzed on CSP-HPLC obtained with *Ec*FSA D6N.

**3**c



**Figure S8.** *rac-N*-Benzyloxycarbonyl-4-hydroxy-6-(amino)hexan-2-one (*rac*-3c) analyzed on CSP-HPLC.



**Figure S9.** (*R*)-*N*-Benzyloxycarbonyl-4-hydroxy-6-(amino)hexan-2-one (**3c**)] analyzed on CSP-HPLC obtained with *Ec*FSA D6N.

#### 2.4. Reaction monitoring HPLC chromatograms



Figure S10. HPLC monitoring trace (210 nm) of transamination of (R)-4-hydroxy-5-phenylpentan-2-one (**3a**) obtained with TA166.

rac



**Figure S11.** HPLC monitoring trace (254 nm) of transamination of (*S*)-5-(benzyloxy)-4hydroxypentan-2-one (**3b**) obtained with TA192.



**Figure S12.** HPLC monitoring trace (254 nm) of transamination of (*R*)-*N*-benzyloxycarbonyl-4-hydroxy-6-(amino)hexan-2-one (**3c**) obtained with TA192.



Figure S13. HPLC monitoring trace (210 nm) of transamination of (3S,4R)-3,4-dihydroxy-5-phenylpentan-2-one (4a) obtained with AtTA.



**Figure S14.** HPLC monitoring trace (210 nm) of transamination of (*3S*,4*R*)-5-(benzyloxy)-3,4dihydroxypentan-2-one (**4b**) obtained with AtTA.



Figure S15. HPLC monitoring trace (210 nm) of transamination of (3S,4R)-N-benzyloxycarbonyl-3,4-dihydroxy-6-(amino)hexan-2-one (4c) obtained with AtTA.



**Figure S16.** HPLC monitoring trace (210 nm) of transamination of (R)-2-((R)-1-hydroxy-2-phenylethyl)cyclobutan-1-one (**5a**) obtained with AtTA.



**Figure S17.** HPLC monitoring trace (210 nm) of transamination of (R)-2-((S)-2-(benzyloxy)-1-hydroxyethyl)cyclobutan-1-one (**5b**) obtained with AtTA.

## 2.5. HPLC-UV Calibration

Table S3. Calibration data of the substrates with HPLC-UV.

	<b>3</b> a	3b	3c	<b>4</b> a	<b>4</b> b	<b>4</b> c	5a	5b
slope	536	471	238	861	800	606	686	905
intercept	25	200	195	162	1172	502	295	-80
RSQ	1	0.999	0.999	0.995	0.995	0.993	1	0.992

#### **3. NMR**

#### 3.1. Identification of relative configurations

The relative configurations and thus the absolute configuration of the amine products was identified with the scalar coupling constants of the diastereotopic H-atoms at C3.<sup>[2]</sup> The diastereotopic C3 H-atoms split into a doublet of doublet of doublets, which can be seen in an excerpt of the <sup>1</sup>H-NMR spectrum of product anti-6a (Figure S18). The dihedral angle between the coupled protons H2 and H4 determines the coupling constants. As the hydroxy-and amino-groups strongly hinder the free rotation, the presence of one major relative configuration can be safely assumed. The diastereotopic H-atoms show a geminal coupling constant of J =14.3 Hz, whereas the vicinal coupling constants depend on the relative configurations of the molecule. In anti-6a, the diastereotopic protons each have a vicinal proton coupling partner in cis- and trans- configurations. The trans vicinal coupling yields a J value of 8.6 Hz, while the cis coupling constants are 3.1 and 3.5 Hz. In syn-6a the chemical shifts of the two diastereotopic protons H-3 are almost identical. Even at 700 MHz they are only barely separated yielding a strongly coupled signal, which does not allow a straightforward extraction of coupling constants. However, the signals can be simulated with the proper coupling constants. Figure S19 shows the experimental multiplet of the H-3 protons in a) and the calculated one in b). For the calculated spectrum the following coupling constants and chemical shifts were used:  $\delta$  (<sup>1</sup>H) H-3 proR: 1.515 ppm δ (<sup>1</sup>H) H-3 proS: 1.525 ppm, <sup>2</sup>J (H3proR-H3proS): 14.2 Hz, <sup>3</sup>J (H2-H3pro*R*): 10.0 Hz, <sup>3</sup>*J* (H2-H3pro*S*): 3.0 Hz, <sup>3</sup>*J* (H4-H3 pro*R*): 6.6 Hz, <sup>3</sup>*J* (H4-H3pro*S*): 3.0 Hz. The strong coupling of the signals of the H-3 protons is also confirmed by a pure shift spectrum,<sup>[3]</sup> (Figure S19c) which yields only one broad signal.



**Figure S18.** 1H-NMR spectra of the diastereotopic C3 H-atoms and scalar coupling constants of product *anti*-6a and *syn*-6a.



**Figure S19.** a) Experimental multiplet of the H-3 proton shifts in *syn*-**6a** at 700 MHz. b) Calculated multiplet of the H-3 protons shifts in *syn*-**6a**. c) Pure shift spectrum of H-3 proton shifts in *syn*-**6a**.



Figure S21. <sup>1</sup>H-NMR spectrum in d4-MeOH of aldol product 3b.



Figure S22. <sup>1</sup>H-NMR spectrum in d4-MeOH of aldol product 3c.



Figure S23. <sup>1</sup>H-NMR spectrum in d4-MeOH of aldol product 4a.



Figure S24. <sup>1</sup>H-NMR spectrum in d-CHCl<sub>3</sub> of aldol product 4b.



Figure S25. <sup>1</sup>H-NMR spectrum d<sub>4</sub>-MeOH of aldol product 4c.



11.880 11.880

Figure S26. <sup>1</sup>H-NMR spectrum in d-CHCl<sub>3</sub>of aldol product 5a.



Figure S27. <sup>13</sup>C-NMR spectrum in d-CHCl<sub>3</sub> of aldol product 5a.



Figure S28. <sup>1</sup>H-NMR spectrum in d4-MeOH of aldol product 5b.



Figure S29. <sup>1</sup>H-NMR spectrum in d<sub>4</sub>-MeOH of aldol product 5c.



Figure S31. <sup>13</sup>C-NMR spectrum in d-CHCl<sub>3</sub> of product *anti*-6a.



Figure S32. COSY spectrum in d-CHCl<sub>3</sub> of product anti-6a.



Figure S33. HSQC spectrum in in d-CHCl<sub>3</sub> of product *anti-6a*.



Figure S34. <sup>1</sup>H-NMR spectrum in d<sub>4</sub>-MeOH of product *syn*-6a.



Figure S35. <sup>13</sup>C-NMR spectrum in d<sub>4</sub>-MeOH of product *syn*-6a.



Figure S36. COSY spectrum in d<sub>4</sub>-MeOH of product *syn*-6a.



Figure S37. HSQC NMR spectrum in d<sub>4</sub>-MeOH of product *syn*-6a.



Figure S39. <sup>13</sup>C-NMR spectrum in d-CHCl<sub>3</sub> of product *anti*-6b.



Figure S40. COSY spectrum in d-CHCl<sub>3</sub> of product anti-6b.



Figure S41. HSQC spectrum in d-CHCl<sub>3</sub> of product *anti*-6b.



Figure S43. <sup>13</sup>C-NMR spectrum d<sub>4</sub>-MeOH of product *syn*-6b.



Figure S44. COSY NMR spectrum d<sub>4</sub>-MeOH of product *syn-*6b.





Figure S45. HSQC NMR spectrum d<sub>4</sub>-MeOH of product syn-6b.

**Figure S46.** <sup>1</sup>H-NMR spectrum d-CHCl<sub>3</sub> of product *anti-***6c**.



Figure S47. <sup>13</sup>C-NMR spectrum in d-CHCl<sub>3</sub> of product *anti-*6c.



Figure S48. COSY spectrum d-CHCl<sub>3</sub> of product *anti*-6c.





Figure S51. <sup>13</sup>C-NMR spectrum in d<sub>4</sub>-MeOH of product *syn*-6c.



Figure S52. COSY spectrumin d<sub>4</sub>-MeOH of product syn-6c.



Figure S53. HSQC spectrum in d<sub>4</sub>-MeOH of product *syn*-6c.



Figure S55. <sup>13</sup>C-NMR spectrum in d<sub>4</sub>-MeOH of product 7a.



Figure S56. COSY spectrum in d4-MeOH of product 7a.



Figure S57. HSQC spectrum in d4-MeOH of product 7a.



Figure S59. <sup>13</sup>C-NMR spectrum d<sub>4</sub>-MeOH of product 7b.



Figure S60. COSY spectrum in d4-MeOH of product 7b.



Figure S61. HSQC spectrum in d4-MeOH of product 7b.



Figure S62. <sup>1</sup>H-NMR spectrum in d4-MeOH of product 7c.



Figure S63. <sup>13</sup>C-NMR spectrum d4-MeOH of product 7c.



Figure S64. COSY spectrum d4-MeOH of product 7c.



Figure S65. HSQC spectrum in d4-MeOH of product 7c.





Figure S67. <sup>13</sup>C-NMR spectrum d<sub>4</sub>-MeOH of product 8a.

Figure S68. COSY spectrum in d4-MeOH of product 8a.



Figure S69. HSQC spectrum in d4-MeOH of product 8a.



Figure S70. <sup>1</sup>H-NMR spectrum in d<sub>4</sub>-MeOH of product 8b.



Figure S71. <sup>13</sup>C-NMR spectrum in d4-MeOH of product 8b.



Figure S72. COSY spectrum in d4-MeOH of product 8b.



Figure S73. HSQC spectrum in d4-MeOH of product 8b.

## 4. References

- R. Roldán, K. Hernandez, J. Joglar, J. Bujons, T. Parella, I. Sánchez-Moreno, V. Hélaine, M. Lemaire, C. Guérard-Hélaine, W. D. Fessner, P. Clapés, ACS Catal 2018, 8, 8804–8809.
- [2] H. Kohls, M. Anderson, J. Dickerhoff, K. Weisz, A. Cordova, P. Berglund, H. Brundiek, U. T. Bornscheuer, M. Höhne, *Adv. Synth. Catal.* **2015**, *357*, 1808–1814.
- [3] N. H. Meyer, K. Zangger, Angew. Chem. Int. Ed. 2013, 52, 7143–7146.