

**Supplementary table 1. Clinicopathologic characteristics and PD-L1 expression status of enrolled patients (N=89)**

Clinicopathological characteristics	Total cases n (%)	Tumor PD-L1 (pre-neoCRT)			Tumor PD-L1 (post-neoCRT)		
		High n (%)	Low n (%)	p value	High n (%)	Low n (%)	p value
Age	89 (100%)	47 (53%)	42 (47%)	0.6	57 (64%)	32 (36%)	0.3
<65	59 (66%)	30 (64%)	32 (63%)		40 (70%)	19 (59%)	
≥65	30 (34%)	17 (36%)	13 (37%)	17 (30%)	13 (41%)		
Sex				0.2			0.97
Female	28 (31%)	12 (26%)	16 (38%)		18 (32%)	10 (31%)	
Male	61 (69%)	35 (74%)	26 (62%)		39 (68%)	22 (69%)	
cN stage				0.45			0.42
Negative	44 (49%)	25 (53%)	19 (45%)		30 (53%)	14 (44%)	
Positive	45 (51%)	22 (47%)	23 (55%)		27 (47%)	18 (56%)	
Clinical TNM stage (7 <sup>th</sup> AJCC)				<b>0.04*</b>			<b>0.04*</b>
I	4 (4%)	3 (6%)	1 (2%)		2 (4%)	2 (6%)	
II	40 (45%)	22 (47%)	18 (43%)		28 (49%)	12 (38%)	
III	45 (51%)	22 (47%)	23 (55%)		27 (47%)	18 (56%)	
pN stage				0.2			0.57
Negative	59 (66%)	34 (72%)	25 (60%)		39 (68%)	20 (63%)	
Positive	30 (34%)	13 (28%)	17 (40%)		18 (32%)	12 (38%)	
TRG				<b>0.02*</b>			0.4
3	57 (64%)	32 (68%)	25 (60%)		37 (65%)	20 (63%)	
2	23 (26%)	12 (26%)	11 (26%)		16 (28%)	7 (22%)	
1	9 (10%)	3 (6%)	6 (14%)		4 (7%)	5 (16%)	
Clinical response				<b>0.01*</b>			<b>0.04*</b>
CR	1 (1%)	0 (0%)	1 (2%)		1 (2%)	0 (0%)	
PR	38 (43%)	21 (45%)	17 (40%)		24 (42%)	14 (44%)	
SD	45 (51%)	22 (47%)	23 (55%)		29 (51%)	16 (50%)	
PD	5 (6%)	4 (9%)	1 (2%)		3 (5%)	2 (6%)	
Chemotherapy				<b>0.0005*</b>			<b>0.02*</b>
capecitabine	44 (49%)	29 (62%)	15 (39%)		29 (51%)	15 (47%)	
UFT	32 (36%)	11 (23%)	21 (45%)		20 (35%)	12 (38%)	
5-FU	10 (11%)	5 (11%)	5 (10%)		6 (11%)	4 (13%)	
Others	3 (3%)	2 (4%)	1 (6%)		2 (4%)	1 (3%)	
Local recurrence				<b>0.046*</b>			0.13
Negative	80 (90%)	45 (96%)	35 (83%)		53 (93%)	27 (84%)	
Positive	9 (10%)	2 (4%)	7 (17%)		4 (7%)	5 (16%)	
Distant metastasis				0.11*			0.62
Negative	72 (81%)	41 (87%)	31 (74%)		47 (82%)	25 (78%)	
Positive	17 (19%)	6 (13%)	11 (26%)		10 (18%)	7 (22%)	
Tumor IFN- $\gamma$ (pre-neoCRT)				<b>0.001*</b>			
High	35 (39%)	26 (55%)	9 (21%)		-	-	
Low	54 (61%)	21 (45%)	33 (79%)		-	-	
Tumor IFN- $\gamma$ (post-neoCRT)							0.26
High	-	-	-		50 (88%)	28 (88%)	
Low	-	-	-		7 (12%)	4 (13%)	
NA	-	-	-		-	-	
Tumor TGF- $\beta$ (pre-neoCRT)				0.3			
High	62 (70%)	35 (74%)	27 (62%)		-	-	
Low	27 (30%)	12 (26%)	15 (36%)		-	-	
Tumor TGF- $\beta$ (post-neoCRT)							0.1
High	-	-	-		52 (91%)	26 (81%)	
Low	-	-	-		5 (9%)	6 (19%)	
NA	-	-	-		-	-	

cN stage: positive (stage 1+2) vs. negative (stage 0); pN stage: positive (stage 1a+1b+2) vs. negative (stage 0+X); CR: Complete response; PR: partial response; SD: stable disease; PD: progressive disease; tumor PD-L1: high (grade 2+3) vs. low (grade 0+1); tumor IFN- $\gamma$ : high (grade 2+3) vs. low (grade 0+1); tumor TGF- $\beta$ : high (grade 2+3) vs. low (grade 0+1);.

Chi-squared test was used. Fisher's exact test was used when >25% of the cells had expected counts <5.  
The contrast test did not include the "NA" group.

---