Supplementary Materials

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Cancer Immunology, Immunotherapy (submitted in 2013) – Volker Lennerz et al.

Supplementary MIATA information

Information on		
the sample	Patients	 Demographic data: Supplementary Table 4 Disposition: Supplementary Figure 1 HLA types: HLA-A1, HLA-A2, HLA-A3, HLA-A24, HLA-B7 Disease: patients had a variety of different solid survivin expressing cancers Treatment: vaccination with EMD640744 in Montanide ISA 51 VG (see Figure 1 for treatment schedule) Co-medication: none
	Techniques	 Whole blood collection and PBMC processing was done in the five Swiss study centers by trained personnel; 95 ml whole blood was obtained by venipuncture using Li-Heparin blood collection tubes at time points indicated in Figure 1 (if applicable: leukaphereses at baseline and week 12); PBMC were isolated using Ficoll densitiy gradient centrifugation with Leukosep separation tubes (Greiner Bio-one, #227290); whole blood was not diluted; leukaphereses were diluted 1:2 with PBS/EDTA; PBMC were frozen in FCS/10% DMSO at 1x10⁷/vial using 2-propanol-filled cryobox freezing containers (Nalgene, #5100-0001) at -80 °C overnight and afterwards stored in liquid nitrogen until transport to the experimental laboratories in Mainz and Erlangen PBMC were transported from study centers to experimental labs on dry ice within 24 hours Upon arrival, all shipped vials were controlled for completeness and proper condition, and the vials were rapidly transferred to liquid nitrogen for storage until use; (every step of the shipment was monitored, respective data loggers were signed and sent to the study sponsor and a monitoring agency) After thawing, before applied to the respective assays, PBMC were counted by trypan-blue staining, adjusted to 2x10⁶/ml in AIM and rested overnight in an incubator to remove dead as well as apoptotic cells; Number and quality of PBMC was determined after ON-incubation again by trypan-blue staining and flow cytometry analyses; results are shown in Supplementary Figure 2A;

		ELISpot
the assay	evELISpot	 ex vivo (ev)ELISpot assays are described in the Methods section; controls were PHA controls and a CMV/EBV peptide (CE-) mix (11 peptides binding to the relevant HLA alleles); responses are summarized in Supplementary Figure 2C; all spot counts were calculated as spot forming cells (SFC) per 10⁵ CD8+ T cells, CD3/CD8 stains of all samples are shown in Supplementary Figure 2B;
	ivsELISpot	 in vitro stimulated (ivs)ELISpot assays (described in Methods)
	Reagents	 Reagents: ELISpot antibodies were Mab1-D1K and Mab7-B6-1-Biotin (Mabtech), assay medium was AIM V (Invitrogen) w/o serum; culture medium for short term T cell cultures was AIM V supplemented with 10% pooled human serum from healthy donors; Phytohaemagglutinin (PHA, Murex Biotech); CE peptide mix was received from JPT peptide technologies; EMD640744 and single peptides were supplied by the sponsor; antiCD3- and antiCD8 FACS antibodies were from BD Biosciences; media and sera were pretested for performance;
	Materials/ Equipment	 Materials/equipment: assay plates were MultiScreen Filterplates MSIP S4510 (Millipore); cell incubators, centrifuges and laminar flow cabinets were state-of-the-art devices for the sterile handling of human cell material;
data acquisition	Machines/ Software Calibration	 ELISpot assays were analyzed using the KS Elispot Automated Reader System (Zeiss) running analysis software KS Elispot 4.9; Flow Cytometry analysis was done using the FACS Canto II Flow Cytometer (BD Biosciences) equipped with FACS Diva software; for Flow Cytometry analyses, FlowJo 7.5 was used; machines were calibrated according to the manufacturers recommendations on a regular basis (FACS Canto once per week, KS Elispot
	ELISpot analyses	 approx. once per month); Spot analysis was performed exclusively by well trained and experienced persons; all well pictures were saved at high resolution to enable re-analysis of all data; back-ups of all analyzed data were saved on separate hard drives;
results	Response criteria	 For evELISpot assays, a response was scored positive when the spot number was ≥10 and two-fold higher than background (PBMC only) and two-fold higher than the standard deviation of all combined negative values; for significance testing the student's T Test was applied to the calculation of p-values; For ivsELISpot assays, responses of microcultures were scored positive when spot

	Auditing Correlation between data sets	 numbers were at least two times higher than the average values of the background control plates (COS-7/HLA-transfectants); Analyzed plates were checked for unexpected results (artifacts, high reactivity to negative control wells etc.) or discrepancies between spot counts and the visual impression of the respective wells; wells with very high spot numbers showing confluent spots were set arbitrarily to 500 or 1000 (only in cases where wells were completely coated with stain); Since objective clinical response rates are generally low after cancer vaccination, clinical efficacy can only be reasonably assessed in an appropriately designed trial with a time-to-event endpoint. Therefore, a formal analysis to correlate clinical efficacy was not planned.
the lab	SOPs	Standardized and validated working practices
environment	Qualification/ Training of personnel	 were in place for ELISpot assays, data evaluation and data storage Only well trained personnel with more than five years' experience with all methods and technologies performed the experiments and data analyses as well as interpreted and reported results;
		pHLA Multimer staining
the assay	evMultimer	 ex vivo (ev) peptide/HLA-multimer staining procedure is described in the Methods section; between 3.4 and 9 million cells (median: 6.8
		million cells) were used; responses are summarized in Figure 2A; representative staining examples are shown in Supplementary Figure 3B
	ivsMultimer	 summarized in Figure 2A; representative staining examples are shown in Supplementary Figure 3B <i>in vitro stimulated</i> (ivs) peptide/HLA-multimer
	ivsMultimer Reagents Materials/ Equipment	summarized in Figure 2A; representative staining examples are shown in Supplementary Figure 3B

		applying to ware state of the art devices for the
		cabinets were state-of-the-art devices for the sterile handling of human cell material
data acquisition	Machines/ Software Calibration	 All Flow Cytometry assays were acquired on a FACS Canto II Flow Cytometer (BD Biosciences) equipped with FACS Diva software; for Flow Cytometry analyses, FlowJo 9.5 was used; the FACS Canto II Flow Cytometer was calibrated according to the manufacturers recommendations on a regular basis (CS&T
	Gating	 Performance Check on every day of usage); For basic fitting of gates FMO (fluorescence minus one) controls were used; in addition, control cells (stimulated PBMC from healthy donors frozen in aliquots) were used as external references. The gating strategy is shown in Supplementary Figure 3A
results	Response criteria Auditing Correlation between data sets	 Standard cut-off criteria for a positive response by pHLA-multimer staining were a minimum of 50 cells detected in the multimer-gate <u>and</u> a minimum percentage of 0.03% of the CD8+ T cells. In 8 patients smaller numbers of cells, just below the cut-off criteria were detected in the multimer gate in the evMultimer anaylsis but formed clear and well separated populations and were in 7 of 8 patients confirmed by ivsMultimer assays. Therefore after auditing those results were also considered positive. All dotplots were audited and cells within the multimer gate were checked by backgating Since objective clinical response rates are generally low after cancer vaccination, clinical efficacy can only be reasonably assessed in an appropriately designed trial with a time-to-event endpoint. Therefore, a formal analysis to correlate clinical efficacy with immunologic efficacy was not planned.
the lab environment	SOPs Qualification/ Training of personnel	 Standardized and validated working practices were in place for evMultimer and ivsMultimer assays, data aquisition and analysis Only well trained personnel with more than five years' experience with all methods and technologies performed the experiments and data analyses as well as interpreted and reported results;

Raw data are not accessible in general; however, all raw data have been reported to health authorities according to established regulations.

Restricting HLA allele	Peptide designation / amino acid substitution	Amino acid sequence*	References
A1	Sur93-101/T2	F <u>T</u> ELTLGEF	[1]
A2	Sur96-104/M2	L <u>M</u> LGEFLKL	[2, 3]
A3	Sur18-27/K10	RISTFKNWP <u>K</u>	[1]
A24	Sur20-28	STFKNWPFL	[4]
B7	Sur6-14	LPPAWQPFL	[5]

*The underlined amino acids are mutated and do not correspond to naturally-occurring survivin

Supplementary Table 1: Peptides in EMD640744 and the respective restricting HLA alleles in the human population

References

- 1. Reker S, Meier A, Holten-Andersen L, Svane IM, Becker JC, thor Straten P, Andersen MH (2004). Identification of novel survivin derived CTL epiptopes. Cancer Biol Ther 3:173-179.
- Andersen MH, Pedersen LO, Becker JC, Straten PT (2001) Identification of a cytotoxic T lymphocyte response to the apoptosis inhibitor protein survivin in cancer patients. Cancer Res 61:869-72.
- Andersen MH, Pedersen LO, Capeller B, Bröcker EB, Becker JC, thor Straten P (2001) Spontaneous cytotoxic T-cell responses against survivin-derived MHC class I-restricted Tcell epitopes in situ as well as ex vivo in cancer patients. Cancer Res 61:5964-8.
- 4. Andersen MH, Soerensen RB, Becker JC, thor Straten P (2006) HLA-A24 and survivin: possibilities in therapeutic vaccination against cancer. J Trans Med 4:38-41.
- 5. Survivin-derived peptides and use thereof. US 20070148184 A1 http://www.google.co.in/patents/US8318174

Inclusion criteria

- Histologically or cytologically documented metastatic or locally advanced survivin-expressing solid tumor for which no established therapy exists
- Disease measurable by RECIST criteria or evaluable by clinical, radiographic, or laboratory criteria established for the given tumor entity
- Expressing ≥1 of the following HLA alleles: HLA-A1, -A2, -A3, -A24, and -B7
- ECOG performance status ≤1 and estimated life expectancy of ≥3 months
- Adequate:
 - − hematologic function (WBC ≥3 × 109/L, lymphocyte count ≥0.5 × 10⁹/L, hemoglobin ≥10 g/dL, and platelet count ≥100 × 10⁹/L)
 - blood coagulation parameters (aPTT and INR ≤1.5 × ULN)
 - − renal function (serum creatinine ≤2 × ULN)
 - hepatic function (total bilirubin ≤2 × ULN, and AST and ALT levels ≤2.5 × ULN [or ≤5 × ULN in subjects with liver metastases])
- Effective contraception for female and male subjects if the risk of conception existed
- ≥18 years of age

Exclusion criteria

- Treatment in another clinical trial within the past 30 days prior to the first administration of trial treatment
- Previous treatment with an investigational anticancer vaccine
- Requirement for concurrent treatment with a non-permitted drug*
- Receipt of allogeneic stem cell transplantation
- Active significant autoimmune disease (with the exception of vitiligo)
- Radiotherapy, chemotherapy, surgery (excluding prior diagnostic biopsy), immunotherapy, or any investigational drug within 30 days before the start of trial treatment
- Primary brain tumors and brain metastases**
- Rapidly progressive disease (e.g. tumor lysis syndrome)
- Significant acute or chronic infections (e.g. viral hepatitis, HIV)
- Pregnancy or lactation
- Active drug or alcohol abuse
- Known hypersensitivity to the trial treatment or any of its components
- Any significant disease requiring exclusion in the investigator's opinion
- Persisting toxicity to prior therapy at Grade ≥2 (NCI-CTCAE version 3.0)

^{*}Including immunotherapy, immunosuppressive drugs, growth factors, oral anticoagulants. **Except brain metastases that are stable after irradiation or surgically-resected brain metastases if subjects had been asymptomatic for ≥6 months.

ALT: alanine aminotransferase; aPTT: activated partial thromboplastin time; AST: aspartate aminotransferase; ECOG: Eastern Cooperative Oncology Group; INR: international normalized ratio; NCI-CTCAE: Common Terminology Criteria for Adverse Events; RECIST: Response Evaluation Criteria In Solid Tumors; ULN: upper limit of normal; WBC: white blood cell count.

Analysis set	30 µg		10	100 µg		0 µg	Total	
	Ν	(%)	Ν	(%)	Ν	(%)	Ν	(%)
Randomized subjects	17		17		17		51	
Safety/ITT analysis set	19	(100.0)	17	(100.0)	17	(100.0)	53	(100.0)
ID analysis set	12	(63.2)	13	(76.5)	13	(76.5)	38	(71.7)
ID-ITT analysis set	15	(78.9)	17	(100.0)	17	(100.0)	49	(92.5)

Supplementary Table 3: Analysis sets

Characteristic	30 µg (N=19)		100 µ	g (N=17)	300 µ	g (N=17)	Total	(N=53)	
	Ν	(%)	Ν	(%)	N	(%)	Ν	(%)	
Sex									
Male	11	(57.9)	10	(58.8)	10	(58.8)	31	(58.5)	
Female	8	(42.1)	7	(41.2)	7	(41.2)	22	(41.5)	
Age (years)									
Mean (SD)	55.9	(9.92)	58.7	(11.07)	53.8	(14.67)	56.1	(11.92)	
Median (Q1–Q3)	56.0 (4	9.3–63.2)	60.0 (5	3.0–64.9)	58.2 (5	60.0–61.6)	57.7 (5	0.9–63.4)	
Range	38	8–71	36	6–80	20	0–79	20)—80	
Age category									
<65 years	15	(78.9)	13	(76.5)	15	(88.2)	43	(81.1)	
≥65 years	4 (21.1)		4	(23.5)	2	(11.8)	10	(18.9)	
Height (CM)									
Mean (SD)	172.5 (10.02)		170.5 (9.43)		170.2 (9.89)		171.2 (9.63)		
Median (Q1–Q3)	171.5 (167.0–		170.0 (167.0–		165.5 (164.0–		170.0 (165.0–		
	179.0)		180.0)		179.0)		179.0)		
Weight (kg)									
Mean (SD)	68.16	(16.085)	71.31	(12.055)	71.72	(12.535)	70.31	(13.612)	
Median (Q1–Q3)	65.00	65.00 (58.00-		71.00 (60.00–		74.00 (59.50–		(59.00–	
	76	6.00)	80.00)		82.00)		80.00)		
Body surface									
area	1.814 (0.2285)		1.848	1.848 (0.1677)		1.789 (0.2117)		(0.2022)	
Mean (SD)	1.805 (1.678–		1.883	1.883 (1.716–		1.699 (1.626–		1.805 (1.671–	
Median (Q1–Q3)	1.8	899)	1.	1.980)		1.988)		1.959)	
ECOG PS									
0	16	(84.2)	11	(64.7)	15	(88.2)	42	(79.2)	
1	3	(15.8)	6	(35.3)	2	(11.8)	11	(20.8)	

Supplementary Table 4: Demographic characteristics (Safety / ITT analysis set)

	30 µg	(N=19)	100 μg (N=17)		300 µç	g (N=17)	Total (N=53)		
HLA	N	(%)	Ν	(%)	Ν	(%)	Ν	(%)	
allele									
A1	6	(31.6)	6	(35.3)	6	(35.3)	18	(34.0)	
A2	13	(68.4)	11	(64.7)	10	(58.8)	34	(64.2)	
A3	5	(26.3)	5	(29.4)	3	(17.6)	13	(24.5)	
A24	2	(10.5)	3	(17.6)	3	(17.6)	8	(15.1)	
B7	6	(31.6)	3	(17.6)	2	(11.8)	11	(20.8)	

Supplementary Table 5: Presence of survivin peptide-presenting HLA class I alleles in the different dose groups (Safety / ITT analysis set)

C01P002 Male 56 A01, A03, B07 30 μg Urothelial cance C01P007 Female 67 A03 100 μg Colorectal cance C01P008 Male 57 A02 300 μg Colorectal cance C01P012 Male 62 A02 300 μg Colorectal cance C01P013 Male 36 A02 100 μg Sarcoma C01P015 Male 51 B07 30 μg Renal cancer C01P016 Female 28 A02 300 μg Ovarian cancer C01P017 Female 63 A01 30 μg Adenoid cystic (C) C01P024 Male 79 A01 300 μg Ovarian cancer C01P027 Male 60 A03 300 μg Ovarian cancer C01P028 Female 61 A02 30 μg Ovarian cancer C01P032 Female 51 A02 30 μg Ovarian cancer C01P037 Female <td< th=""><th></th></td<>	
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C05P014 Male 47 A01, A02 300 μg Melanoma C05P017 Male 63 A01, A02 300 μg Neuroendocrine	tumor

Supplementary Table 6: Patient demographics, HLA type and tumor entity

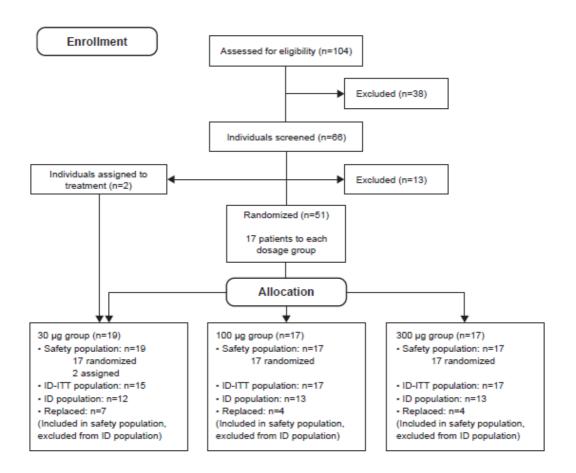
Best	30 µg (N=19)		100 µ	g (N=17)	300 µ	g (N=17)	Total (N=53)		
response	Ν	(%)	Ν	(%)	Ν	(%)	Ν	(%)	
Complete response	0	_	0	_	0	_	0	_	
Partial response	0	-	0	-	0	-	0	-	
Stable disease	4	(21.1)	5	(29.4)	6	(35.3)	15	(28.3)	
Progressive disease	11	(57.9)	9	(52.9)	9	(52.9)	29	(54.7)	
Not evaluable	4	(21.1)	3	(17.6)	2	(11.8)	9	(17.0)	

Supplementary Table 7: Best overall response within distinct dose groups (Safety / ITT analysis set)

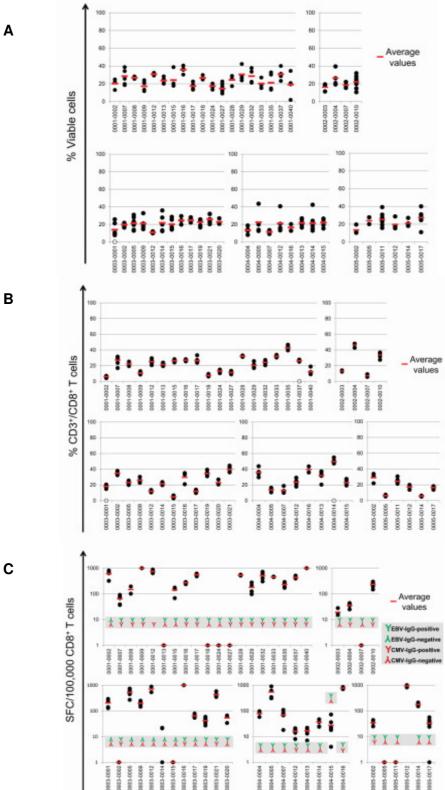
MedDRA SOC	30 µg	(N=19)	100 µg	g (N=17)	300 µ(g (N=17)	Total	(N=53)
	Ν	(%)	Ν	(%)	Ν	(%)	Ν	(%)
General disorders and administration site conditions	15	(78.9)	13	(76.5)	15	(88.2)	43	(81.1)
Gastrointestinal disorders	11	(57.9)	9	(52.9)	10	(58.8)	30	(56.6)
Musculoskeletal and connective tissue disorders	7	(36.8)	6	(35.3)	6	(35.3)	19	(35.8)
Respiratory, thoracic and mediastinal disorders	10	(52.6)	3	(17.6)	4	(23.5)	17	(32.1)
Skin and subcutaneous tissue disorders	7	(36.8)	3	(17.6)	4	(23.5)	14	(26.4)
Metabolism and nutrition disorders	4	(21.1)	4	(23.5)	4	(23.5)	12	(22.6)
Nervous system disorders	3	(15.8)	5	(29.4)	4	(23.5)	12	(22.6)
Infections and infestations	6	(31.6)	2	(11.8)	3	(17.6)	11	(20.8)
Investigations	3	(15.8)	4	(23.5)	4	(23.5)	11	(20.8)
Blood and lymphatic system disorders	3	(15.8)	1	(5.9)	2	(11.8)	6	(11.3)
Neoplasms benign, malignant and unspecified	1	(5.3)	3	(17.6)	1	(5.9)	5	(9.4)
Psychiatric disorders	1	(5.3)	2	(11.8)	2	(11.8)	5	(9.4)
Vascular disorders	2	(10.5)	1	(5.9)	1	(5.9)	4	(7.5)
Hepatobiliary disorders	0	-	0	-	2	(11.8)	2	(3.8)

Supplementary Table 8: Incidence of TEAEs by MedDRA system organ class (Safety / ITT analysis set; ≥10% incidence in any group)

MedDRA PT	30 µg	(N=19)	100 µ	g (N=17)	300 µ	g (N=17)	Total	(N=53)
	Ν	(%)	Ν	(%)	Ν	(%)	Ν	(%)
Gastrointestinal disorders	0	-	1	(5.9)	0	-	1	(1.9)
Nausea	0	-	1	(5.9)	0	-	1	(1.9)
General disorders and administration site conditions	11	(57.9)	10	(58.8)	11	(64.7)	32	(60.4)
Asthenia	0	-	1	(5.9)	0	-	1	(1.9)
Chills	0	-	0	-	1	(5.9)	1	(1.9)
Fatigue	1	(5.3)	1	(5.9)	2	(11.8)	4	(7.5)
Granuloma	0	-	0	-	1	(5.9)	1	(1.9)
Induration	1	(5.3)	1	(5.9)	0	-	2	(3.8)
Injection site erythema	0	-	0	-	1	(5.9)	1	(1.9)
Injection site hematoma	1	(5.3)	0	-	0	-	1	(1.9)
Injection site induration	2	(10.5)	3	(17.6)	5	(29.4)	10	(18.9)
Injection site nodule	1	(5.3)	0	-	1	(5.9)	2	(3.8)
Injection site pain	3	(15.8)	1	(5.9)	2	(11.8)	6	(11.3)
Injection site pruritus	1	(5.3)	0	-	2	(11.8)	3	(5.7)
Injection site reaction	4	(21.1)	5	(29.4)	2	(11.8)	11	(20.8)
Injection site swelling	0	-	0	-	1	(5.9)	1	(1.9)
Pyrexia	0	-	1	(5.9)	0	-	1	(1.9)
Vaccination site induration	0	-	0	-	1	(5.9)	1	(1.9)
Hepatobiliary disorders	0	-	0	-	1	(5.9)	1	(1.9)
Hepatic pain	0	-	0	-	1	(5.9)	1	(1.9)
Musculoskeletal and connective tissue disorders	2	(10.5)	2	(11.8)	2	(11.8)	6	(11.3)
Muscular weakness	0	-	1	(5.9)	0	-	1	(1.9)
Musculoskeletal chest pain	0	-	0	-	1	(5.9)	1	(1.9)
Nodule on extremity	0	-	0	-	1	(5.9)	1	(1.9)
Pain in extremity	2	(10.5)	2	(11.8)	0	-	4	(7.5)
Tendonitis	0	-	1	(5.9)	0	-	1	(1.9)
Renal and urinary disorders	0	-	0	-	1	(5.9)	1	(1.9)
Polyuria	0	-	0	-	1	(5.9)	1	(1.9)
Renal failure	0	-	0	-	1	(5.9)	1	(1.9)

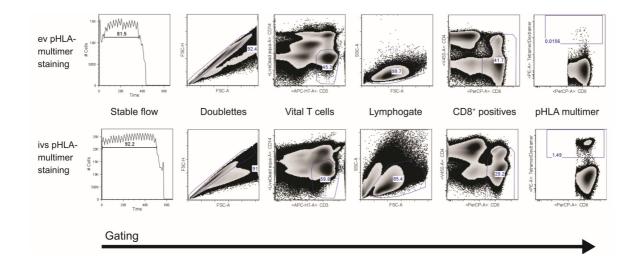


Supplementary Figure 1: Patient disposition



Supplementary Figure 2: Representative example data for controls of ELISpot assays. (A) After thawing of PBMC samples and overnight incubation for the setting up of ELISpot assays, cell yield and viability were determined by microscopy with trypan blue staining. (B) All PBMC samples tested by evELISpots were analyzed by flow cytometry to determine the proportion of CD3⁺/CD8⁺ T cells per sample. (C) In all evELISpot assays, T cell memory responses to a cocktail of CMV- and EBVpeptides (CEmix) were tested as a positive control. Antibody symbols depict the results of the CMV-/EBV-serology that was done for all patients in the centers where the patients were treated. Missing symbols mean that the serology was not done. SFC, spot-forming cells.

В



В

Α

	HLA A1 C05 P14 Week 8	HLA A2 C02 P10 Week 8	HLA A3 C04 P07 Week 8	HLA A24 C05 P12 Week 4
Ex vivo	0.0853	0.0204	0.0553	2.77e-3
After in vitro stimulation	0.124	0.917	3.93	0.0587

Supplementary Figure 3: Examples of staining and the gating strategy.

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