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### Cohort profile of BIGPROMISE: a perioperative biobank of a high-risk surgical population

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# Cohort profile of BIGPROMISE: a perioperative biobank of a high-risk surgical population

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This study is supported by a research grant from Roche Diagnostics International.

#### **Conflicts of interest**

PN has participated in advisory boards for perioperative use of biomarkers, for which he has received a honorarium by Roche Diagnostics (Rotkreuz, Switzerland). PN and TR have held lectures on perioperative biomarkers for which they have received a honorarium by Roche Diagnostics. OC has received research grants from ImmuneXpress Inc. (Seattle, WA) and Abionic SA (Epalinges, Switzerland) for related work. HR, TR, RI, MT, NH, KS, LV and ID have no conflicts of interest.

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#### Abstract

**Purpose:** Postoperative complications increase mortality, disability, and costs. Advanced understanding of the risk factors for postoperative complications is needed to improve surgical outcomes. This paper discusses the rationale and profile of the BIGPROMISE (biomarkers to guide perioperative management and improve outcome in high-risk surgery) cohort, that aims to investigate risk factors, pathophysiology, and outcomes related to postoperative complications.

Participants: Adult patients undergoing major surgery in two tertiary teaching hospitals. Clinical data and blood samples are collected before surgery, at the end of surgery, and on the first, second and third postoperative day. At each time point a panel of cardiovascular, inflammatory, renal, haematological, and metabolic biomarkers is assessed. Aliquots of plasma, serum, and whole blood of each time point are frozen and stored. Data on severe complications are prospectively collected during 30 days after surgery. Functional status is assessed before surgery and after 120 days using the World Health Organization Disability Assessment Schedule (WHODAS) 2.0. Mortality is followed up until two years after surgery. Findings to date: The first patient was enrolled on October 12<sup>th</sup> 2021. Currently (May 2<sup>nd</sup> 2023) 1,672 patients were screened for eligibility, of whom 1,174 (70%) provided informed consent for study participation. Most common types of major surgery were cardiac (60%) and gastro-intestinal procedures (20%). The overall incidence of severe postoperative complications was 11%.

**Future plans:** By the end of the recruitment phase, expected in 2025, approximately 3,000 patients with major surgery will have been enrolled. This cohort allows us to investigate the role of pathophysiological perioperative processes in the cause of postoperative complications, and to discover and develop new biomarkers to improve risk stratification for adverse postoperative outcomes.

#### **Trial registration number NCT05199025**

**Keywords:** major surgery, biomarkers, outcomes, postoperative complications, disability

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#### Strengths and limitations

- A large prospective collection of perioperative blood samples and clinical data in a high-risk surgical population.
- Postoperative complications and functional outcomes are defined according to international standards to facilitate research collaborations.
- A perioperative biomarker panel is prospectively assessed on fresh blood samples to elucidate the role of pathophysiological processes in the cause of postoperative complications.
- Multiple sample aliquots of plasma, serum and whole blood are frozen and stored in a central archive, allowing future perioperative biomarker discovery and development.
- This study is limited to blood samples that are routinely collected and stored until 72 hours after surgery.

#### Introduction

Worldwide, more than 330 million patients have surgery each year.<sup>1</sup> Depending on type of surgery and co-existing diseases, 10-30% of patients suffer severe postoperative complications.<sup>2-5</sup> Common adverse events are infections (e.g. pneumonia, surgical site infection), myocardial infarction, respiratory failure, and acute kidney injury. Postoperative complications are important determinants of long-term mortality and poor health after surgery<sup>6-8</sup>, impair quality of life and may increase hospital costs up to four times.<sup>9, 10</sup>

Surgical trauma triggers a systemic stress response, that involves a complex neuroendocrine and immunological reaction to local tissue injury. Local tissue trauma activates the innate immune system, with pro- and anti-inflammatory cytokines triggering systemic inflammation and the hypothalamic-pituitary-adrenal (HPA) axis. This results in stimulation of the sympathetic nervous system, alongside several other hormonal pathways, to maintain physiological homeostasis.<sup>11</sup> The effects of these pathways change perioperative organ perfusion, water balance and cellular metabolism. A postoperative dysregulated stress response can be detrimental as excessive systemic inflammation, immunosuppression, hypermetabolism, and hypercoagulation can lead to organ failure and death.<sup>11, 12</sup> The most important determinant of a postoperative dysregulated stress response is the nature and extent of surgery. In addition, non-surgical factors such as ageing, co-existing diseases and deconditioning, are contributing factors (Figure 1).<sup>13</sup>

Serum biomarkers provide an objective representation of organ function and tissue injury. Biomarker tests are an integral part of perioperative medicine, but the results are not always decisive for medical treatment.<sup>14</sup> Furthermore, the translation of potentially useful biomarkers into clinical practice has not been successful.<sup>15</sup> In an era where surgical risk is continuously changing, due to population ageing and health care innovations, perioperative

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biomarkers are currently underutilized.<sup>16-17</sup> The 'biomarkers to guide perioperative management and improve outcome in high-risk surgery' (BIGPROMISE) cohort will prospectively assess perioperative biomarker panels on fresh blood samples, and systematically collect and store plasma, serum and whole blood samples to allow for future perioperative biomarker discovery and development. This manuscript describes the rationale and design of the BIGPROMISE cohort, which primarily aims to facilitate biomarker research on risk factors, pathophysiology, and outcomes related to postoperative complications in patients undergoing major elective surgery. roctice wong

#### **Cohort description**

 The BIGPROMISE cohort prospectively studies patients undergoing elective major cardiac or non-cardiac surgery, and is an initiative of the departments of Anaesthesiology, Intensive Care and Pain Medicine of St. Antonius hospital and Amphia hospital in the Netherlands. The study protocol was filed at Clinicaltrials.gov under registration number NCT05199025, and received approval from the Medical research Ethics Committees United (NL74076.100.20). The current protocol version is 5.0 (21-12-2022) and available upon request. The biobank samples are stored by a third party biobank provider (Azenta, Griesheim, Germany) and managed by Roche Diagnostics (Penzberg, Germany).

#### Setting

Patients are recruited in two tertiary teaching hospitals (St. Antonius hospital and Amphia hospital, the Netherlands). Based on the historical surgical volumes of both facilities, approximately 2,500 - 3,000 patients are eligible for inclusion each year. BIGPROMISE biobank aims to collect clinical data and blood samples of at least 1,000 patients per year. BIGPROMISE will be recruiting at least until 2025, and possibly longer depending on capacity and funding.

BIGPROMISE biobank is an investigator-initiated research collaboration between anaesthesiologists, intensivists and clinical chemists, in collaboration with surgeons and a dedicated biotech company. Expenses for personnel, materials, biomarker assays and storage of blood samples are financed with an external research grant from Roche Diagnostics International. Roche Diagnostics International is a large biotech company, and worldwide provider of in-vitro diagnostics. External funding enables us to execute this research project, focus on finding new diagnostics (i.e. biomarker discovery), and establishing data-driven insights, that aim to evolve perioperative medicine, and improve patient outcomes.

#### Inclusion criteria and patient recruitment

Adult patients (>18 years) undergoing elective major surgery under general anaesthesia are eligible for participation. Surgical risk is based on an estimate of procedure-specific risk of 30-day mortality, without taking age, gender, frailty, or coexisting diseases into account.<sup>18,19</sup> The following types of surgery are considered: cardiac, vascular, gastrointestinal, hepatobiliary, urologic, and pulmonary surgery. A full list of surgical procedures is provided in Appendix 1. Patients not providing written informed consent, patients not able to complete questionnaires in the Dutch language, patients who are pregnant, patients undergoing emergency surgery, and patients with a life expectancy less than six months are excluded

from participation in this study.

Eligible patients scheduled for surgery are contacted by telephone by trained study personnel. Patients are informed about the purposes of the biobank and will receive an information letter by (e-)mail, if they consider to participate. Written informed consent is obtained at time of hospital admission by a member of the study team. This includes the collection of demographic and clinical data, blood samples for biomarker analysis in fresh blood samples during hospital stay and a functional status questionnaire. Additionally, a written permission is separately obtained for: collection, handling and storage of blood samples in a dedicated biobank for future biomarker discovery, permission to be contacted for further research.

#### **Data collection**

Prior to surgery, baseline data are collected regarding patient demographics, medical history, chronic pain, preoperative laboratory results, frailty, and functional status. Preoperative study

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data are collected from electronic patient records, from dedicated questionnaires (12-item World Health Organization Disability Assessment Schedule (WHODAS) 2.0 for functional status, and from a numeric rating scale (NRS) for pain.<sup>20,21</sup> Study data during hospital admission consist of variables related to surgery and anaesthesia, clinical course, laboratory results, complications, and pain, which will be extracted from electronic patient records. After hospital discharge, postoperative complications will be registered until 30 days after surgery. Further outcome data consist of days alive and out of hospital after 120 days, patient-reported information on functional status and pain after 120 days, and mortality up to two years.

Study data are collected and managed using REDCap which is an electronic data capture tool. REDCap is a secure, web-based software platform and compliant with Good Clinical Practice guidelines.<sup>22</sup> Postoperative pain scores, vital parameters (modified early warning scores), and the results of perioperative biomarker panels are extracted semiautomatically from electronic medical files (Epic Systems Corporation, United States; Metavision, iMD Soft, Israel), and the local laboratory information management systems (GLIMS, Clinisys GLIMS, Belgium, and MOLIS, CompuGroup, Belgium). Postoperative complications are noted and classified by a dedicated researcher (TR, MT), and validated by an experienced perioperative physician (PGN, TCDR), prior to manual registration in the database. Follow-up data for functional outcomes are registered using electronic and paper questionnaires (WHODAS 2.0). Long-term mortality is assessed using the Dutch municipality register of deceased persons to obtain date of death. Quality assurance of study data is annually performed by an independent monitor. Data records are coded, the key to the code is kept securely in each participating centre.

#### Blood sample collection and processing

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Blood samples are collected at five perioperative time points: after induction of general anaesthesia (baseline), at the end of surgery, and on the morning of the first, second and third postoperative day. Blood is collected from an arterial line (if applicable) or venepuncture into vacuum blood collecting tubes, according to the schedule presented in Supplementary Table 1.

In all study patients, blood samples are centrifuged at 1800 x g for 5 minutes and used to analyse a panel of 50 biomarkers at each perioperative time point (Table 1, Figure 2). Biomarker analyses are performed at the local hospital laboratory on Roche Cobas 8000 and Sysmex XN platforms. Results of perioperative biomarker analysis are captured in local laboratory information management systems and uploaded to a central web application for research data (REDCap).

In patients with written consent for biobanking, an additional 21 ml blood is processed for storage at -80 degrees Celsius as whole blood (3ml), and serum and plasma after centrifugation at 2000 g for 10 minutes or 4000 g for 5 minutes (Figure 2). Aliquots of plasma, serum, and whole blood are frozen and stored within 6 hours after collection. Aliquots are stored in a dedicated biobank facility (Azenta, Griesheim, Germany).

#### **Biomarker panel**

The selection of biomarkers is based on the hypothesis that a postoperative dysregulated stress response, which we briefly explained in the introduction section, is associated with postoperative complications, through systemic inflammation, immunosuppression, hypermetabolism, hypercoagulation, and organ injury, and that serum biomarkers reflect (part of) these pathways or any downstream effect.<sup>11-13</sup> Furthermore, we considered previous literature reports on the pathophysiology of postoperative complications, availability and reproducibility of biomarker assays, current practice, and costs. For pragmatic reasons,

biomarkers are categorized according to pathways involved in the pathogenesis of postoperative complications, as follows (Figure 1):

#### Cardiovascular

 Chronic cardiac disease, such as coronary artery disease (CAD) and heart failure (HF), are key risk factors for postoperative complications. Common risk factors (e.g. diabetes mellitus, renal insufficiency, peripheral artery disease) are strongly associated with undiagnosed cardiac disease. In these patients, biomarkers may improve preoperative cardiac risk assessment. Surgery leads to activation of the sympathetic nervous system, inflammation, hypercoagulable and catabolic states, which put patients at risk for postoperative myocardial infarction/injury (PMI). PMI is the most common CV complication and asymptomatic in the vast majority of surgical patients, but has been associated with myocardial dysfunction, respiratory and renal failure, mortality, and disability.<sup>23-26</sup>

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#### Inflammation

Low grade inflammation causes endothelial- and organ dysfunction in chronic disease (e.g. CAD, HF, renal insufficiency, diabetes mellitus) and puts patients at increased risk for PMI and renal dysfunction.<sup>27-29</sup> Biomarkers reflecting these processes may identity patients with (subclinical) organ dysfunction. Surgery activates the innate immune system, and the production of pro- and anti-inflammatory processes in the body. Although this is essential for healing, a postoperative dysregulated inflammatory response increases the risk of infectious complications by immune suppression, and organ dysfunction through endothelial injury.<sup>30</sup> Biomarkers may aid physicians in discriminating between postoperative dysregulated inflammation and infection.

#### Metabolic

Preoperative deficiencies of nutrients or vitamins are risk factors for endothelial dysfunction, immune dysfunction, and cardiovascular disease.<sup>28,31</sup> Activation of the HPA axis and several other hormonal pathways change the production of cortisol, growth hormone, thyroid hormone, and insulin. The negative effects are hypermetabolism and hypercatabolism, leading to hyperglycaemia, release of fatty acids, and muscle wasting, which can be detrimental for postoperative recovery.<sup>11</sup>

#### Haematological

Anaemia is a risk factor for postoperative complications and disability, most likely trough tissue hypoxemia, organ injury and poor functional capacity.<sup>32,33</sup> In surgical patients, anaemia is often caused by (functional) iron deficiency, blood loss, and inflammation. Besides erythropoiesis, iron deficiency also impairs oxidative metabolism and cellular immunity. The negative effects of poor iron metabolism are aggravated by increased hepcidin concentrations in response to a postoperative dysregulated inflammatory response, for instance due to blood loss after major surgery. Besides, systemic inflammation initiates bone marrow reprogramming and a decreased erythrocyte lifespan. This may explain why an abnormal iron status has been associated with postoperative complications, even without anaemia.<sup>34</sup> A high red cell distribution width (RDW) is a common marker of oxidative stress, chronic inflammation, cardiovascular disease, and is associated with adverse events after surgery.<sup>35</sup>

#### Renal

Chronic kidney disease associated azotaemia, hypervolemia and anaemia increase after surgery, and are considered to be major risks for postoperative complications. As a result of sympathetic nervous activation, vasoconstriction may decrease renal blood flow and

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glomerular filtration rate. The renin-angiotensin-aldosterone-system is activated resulting in water and salt retention and further systemic vasoconstriction. Up to 20% of major surgery patients sustain acute kidney injury (AKI). Even when renal function returns to baseline at the time of hospital discharge, the risk for long-term mortality and disability remains increased.

#### **Outcome measures**

Postoperative outcomes are registered after review of medical charts and diagnostic test results. Causes of outcome measures are classified according to international criteria (Appendix 2) as follows:

- Respiratory failure, defined according to European Perioperative Clinical Outcome (EPCO) definitions, including: ARDS, pleural effusion, pneumothorax, atelectasis, respiratory infection, aspiration pneumonitis, bronchospasm, cardiopulmonary oedema, and pulmonary embolism. Postoperative hypoxemia (i.e. saturation <90% on room air, or oxygen therapy >5L O<sub>2</sub>/min) will be registered as respiratory failure.
- 2. Major adverse cardiac events, defined in agreement with the Standardised Endpoints in Perioperative medicine (StEP) criteria for cardiovascular outcomes and the 4<sup>th</sup> universal definition of myocardial infarction, including: cardiac death, non-fatal cardiac arrest, coronary revascularization, myocardial infarction/injury, heart failure, pulmonary embolism, atrial fibrillation, and stroke.
- 3. Infections, defined according to StEP criteria for infection and sepsis, including: sepsis, pneumonia, empyema, surgical site infection, urinary system infection, blood stream infection. For all events, the probability of infection will be categorised using a four-point scale (none, possible, probable and definite infection).

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- Acute kidney injury, defined by the StEP criteria and classified as stage 1-3 based on postoperative serum creatinine concentrations or initiation of renal replacement therapy.
- Bleeding, according to the standardized definitions from the bleeding academic research consortium (BARC) for cardiac surgery. Postoperative bleeding after noncardiac surgery is graded according to the modified Clavien-Dindo classification.
- 6. Postoperative pain, registered daily using the NRS. Scores range from 0 (no pain) to 10 (maximum pain). Chronic pain is defined as surgery related pain >3 months after surgery. The impact of chronic pain is assessed with the 12-item WHODAS 2.0 questionnaire, supplemented with several dedicated questions regarding surgery related pain (i.e. duration of pain, severity of pain and relation with the surgical procedure).
- 7. Disability, measured according to the self-assessment 12-item WHODAS 2.0 before and after surgery, and reported as a percentage score of functional limitations. Scores range from zero (no disability) to one-hundred percent (fully disabled). New clinically important disability is defined as a change >5% or more after surgery.
- Mortality, registered as failure to rescue (i.e. hospital mortality following a major postoperative complication), 30-day mortality, days alive and out of the hospital at 120 days, 1-year mortality and 2-year mortality.

The severity of a complication is graded according to the modified Clavien-Dindo classification.<sup>36</sup>

#### Findings to date

Recruitment for BIGPROMISE started in October 2021. The first patient was enrolled on October 12<sup>th</sup> 2021. Currently (May 2<sup>nd</sup> 2023), 1,672 patients were screened for eligibility, of

whom 1,174 (70%) provided informed consent for study participation. Most common types of major surgery were cardiac (60%) and gastro-intestinal procedures (20%). The overall incidence of a severe postoperative complications was 11%. We anticipate to enrol >1,000 patients annually.

#### Collaboration

To enable research collaborations in the field of perioperative medicine, the outcome parameters of BIGPROMISE are defined according to international standards as described in PLUTO, a perioperative longitudinal study of complications and long-term outcomes.<sup>37</sup> The design of the BIGPROMISE biobank is based on the results of scientific research and the social interest in reducing the harmful consequences of postoperative complications. Data and biomaterials from BIGPROMISE can be used for future research within the scope of the scientific aim of the study and the informed consent provided by participants: to facilitate biomarker research on risk factors, pathophysiology, and outcomes related to postoperative complications. Investigators who are interested in scientific collaboration may contact the study team through <u>www.bigpromise.nl/contact</u>. Applications will be reviewed by a scientific board according to methodological, statistical, ethical, and legal criteria, in agreement with BIGPROMISE biobank regulations.

#### Discussion

The BIGPROMISE biobank is designed to study the postoperative dysregulated stress response in its cause of postoperative complications, by analysing a large collection of perioperative biomarkers in a high-risk surgical population. In recent years, perioperative research on the pathophysiology of postoperative complications has mainly focussed on myocardial injury and inflammation.<sup>23-26,27,29</sup> However, randomized trials that studied

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interventions targeting one of these pathways did not result in new recommendations for perioperative treatments.<sup>18</sup> For example, while systemic inflammation is associated with poor outcome, treatment with corticosteroids did not improve outcome in two large international randomized controlled trials in cardiac surgery patients.<sup>38,39</sup> This may be explained by two reasons: First, different contributors to the dysregulated stress response may currently be under-recognized. Interventions targeting only a single known pathophysiological pathway may be insufficient to prevent postoperative organ injury and adverse outcomes. Second, perioperative interventions that use a 'one size fits all approach' overlook the fact that not all patients are identical. That is, some patients may develop an overwhelming stress response to surgery, while others exhibit a more balanced or even an underwhelming response. Treating these patients in the same way may have a beneficial effect in some and a detrimental effect in others, with no net result at all. Biomarkers can inform clinicians on which phenotype of dysregulated stress they are dealing with, and guide targeted interventions. Thus, a refined understanding of the postoperative dysregulated stress response is required to find new strategies to improve surgical outcomes. The BIGPROMISE study will use clinical and molecular data to construct (and validate) perioperative prediction models to improve risk stratification and early diagnosis and treatment of severe complications following major surgery.

In perioperative medicine, a biomarker is considered an indicator of a (patho)physiological process (e.g. ageing, chronic disease), or response to surgery (e.g. organ injury, inflammation). Currently, perioperative biomarkers are mainly used for risk management, but their use for the early diagnosis of complications or targeted interventions has potential added value. Despite that a lot is being invested in perioperative biomarker discovery, few biomarkers have made it from bench to bedside. Partly because few large, well-designed studies have been performed on the association between perioperative

biomarker levels and adverse outcomes in surgical patients. BIGPROMISE will prospectively assess existing biomarker panels on fresh blood samples to validate their prognostic value for outcomes related to postoperative complications, and systematically collect and store plasma, serum and whole blood samples to allow for future perioperative biomarker discovery and development.

#### Authors' contributions

PGN, HJTR, IMD, TCDR initiated the study, PGN, TR, TCDR wrote the draft manuscript. All authors critically reviewed the draft manuscript and read and approved the final manuscript.

#### **Consent for publication**

Not applicable

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Table 1. Perioperative biomarkers and analyser systems.

Analyzer system	Biomarkers				
Sysmex XN	haemoglobin, haematocrit, erytrocytes, mean corpuscular volume,				
	mean corpuscular haemoglobin, red cell distribution width, mean				
	platelet volume, mean corpuscular haemoglobin concentration,				
	leukocytes, trombocytes, neutrophils, lymphocytes, monocytes,				
	eosinophils, basophils, reticulocytes, reticulocyte haemoglobin				
	equivalent, neutrophil-to-lymphocyte ratio.				
Cobas 8000	albumin, aspartate aminotransferase, alanine aminotransferase,				
	alkaline phosphatase, bilirubin, calcium, cholesterol, C-reactive				
	protein, chloride, creatinin kinase, cystatin C, ferritin, growth				
	differentiation factor-15, gamma-glutamyl transferase, glucose, high-				
	density lipoprotein, high-sensitive troponin T, insulin-like growth				
	factor-1, creatinin, interleukin-6, iron, lactate dehydrogenase, low-				
	density lipoprotein, magnesium, neutrophil gelatinase associated				
	lipocalin, N-terminal pro B-type natriuretic peptide, pro-calcitonin,				
	phosphate, potassium, sex hormone binding globulin, soluble fms-like				
	tyrosine kinase-1, sodium, triglycerides, thyroid stimulating hormone,				
	free thyroxine, 25 hydroxyvitamin D.				

Figure 1. Potential perioperative pathways in the pathogenesis of postoperative complications.

Figure 2. Perioperative collection, analysis and storage of blood samples

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Supplementary Table 1. Perioperative blood sampling and clinical data collection

Appendix 1. Surgical procedures

Appendix 2. Definitions of outcome measures

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Supplementary Table 1. Perioperative blood sampling and clinical data collection

	OC	Before	After	POD 1	POD 2	POD 3	30 days	120 days	1 year	2 years
		surgery	surgery							
Counselli	X									
				0						
Informed consent		Х		664	10					
Data collection		X			er,	ien	X	X	X	X
Blood sample		Х	Х	Х	Х	Х	0			
Questionn aire		X						X		

OC: outpatient clinic, POD: postoperative day

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#### Appendix 1. Surgical procedures

#### **Cardiac surgery**

- Coronary artery bypass grafting \_
- Aortic valve replacement or repair
- Aortic valve replacement with aortic rooth and ascending aorta replacement (Bentall procedure)
- Mitral valve replacement or repair -
- Tricuspid valve replacement or repair -
- Combination of procedures above

#### **Pulmonary surgery**

- Pneumonectomy \_
- Lobectomy -
- Bilobectomy -
- Sleeve lobectomy \_
- Segmentectomy

#### **Gastrointestinal surgery**

- Small bowel resection
- Ileocecal resection \_
- \_ Sigmoid resection
- Hemicolectomy right or left \_
- Transverse colon resection \_
- Low Anterior resection
- Abdominoperineal resection
- HIPEC \_

#### **Hepatobiliary surgery**

- Pancreaticoduodenectomy (Whipple) \_
- Pylorus preserving pancreaticoduodenectomy (PPPD)

- Distal pancreatectomy -
- Total pancreatectomy

#### Vascular surgery

- Open aortic surgery
  - Abdominal aortic aneurysm repair
- Endovascular aortic surgery \_
  - Endovascular aneurysm repair
  - Fenestrated endovascular aneurysm repair
  - Covered endovascular repair of the aortic bifurcation
- .prainguina, ...
  . Percutaneous trans, ...
  . Bypass surgery
  . Endarterectomy
  . Thrombectomy
  Combination of procedures above

  ogic surgery
  . Ureteroileostomy (Bricker's procedure) Suprainguinal and/or infrainguinal peripheral vascular surgery

#### **Urologic surgery**
	Endpoint definitions: Table 1: All-cause mortality				
ndpoint	Definition	Excluded	Additionally reported	Limitation and comments	Ref.
ll-cause nortality	Death within 30 days of surgery		1-year mortality 2-year mortality		<sup>1</sup> STeP mortality
2 3 4 5	Table 2: Postoperative pulmonary complic	ations			
<b>indpoint</b> 7	Definition	Exclude	d	Limitation and comments	Ref.
Respiratory ailure          1         2         3         4         5         6         7         8         9         0         1         2         3         4         5         6         7         8         9         0         1         2         3         4         5         6         7         8         9	Postoperative PaO2 < 8 kPa (60 mmHg) on room air, a PaO2:FIO2 ratio <40 kPa (300 mmHg) or arterial oxyhaemoglobin saturation measured with pulse oximetry < 90% and requiring oxygen therapy or 5L O2/min oxygen therapy when arterial saturation or peripheral saturation on room air is not available OR Need for mechanical ventilation >24h postoperative* Postoperative oxygen supplementation via a nasal cannula on the day of surgery is seen as common practice and therefore not registered as postoperative respiratory failure. Persistent oxygen supplementation on postoperative day 1 will be registered as respiratory failure if fulfilling the			EPCO definition of respiratory failure (as defined under postoperative pulmonary complications) complemented with *	EPCO definition <sup>2</sup>

# Table 3: Causes of severe respiratory failure

Table 3: Causes of severe respiratory failure						
44 Gauses of severe respiratory failure		ref				
4ARDS	Berlin definition for ARDS	Berlin definition for ARDS <sup>3</sup>				
<b>4Pleural effusion</b> 48 49 50 51 52 53	Chest radiograph demonstrating blunting of the costophrenic angle, loss of sharp silhouette of the ipsilateral hemidiaphragm in upright position, evidence of displacement of adjacent anatomical structures or (in supine position) a hazy opacity in one hemithorax with preserved vascular shadows	EPCO <sup>2</sup>				
Aneumothorax	Air in the pleural space with no vascular bed	EPCO <sup>2</sup>				
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<sup>3</sup> Atelectasis 4 5 6 7	Lung opacification with a shift of the mediastinum, hilum or hemidiaphragm toward the affected area, and compensatory over-inflation in the adjacent non-atelectatic lung	EPCO <sup>2</sup>
Respiratory infection	See table 7	StEP Infection and sepsis <sup>4</sup>
9Aspiration pneumonitis	Acute lung injury after the inhalation of regurgitated gastric contents	EPCO <sup>2</sup>
18 Bronchospasm	Newly detected expiratory wheezing treated with bronchodilators	EPCO <sup>2</sup>
1 <mark>4</mark> ardiopulmonary edema 15 16 17 18 19	An elevated jugular venous pressure, respiratory rales/crackles and crepitations, presence of S3 and at least one of the following radiographic findings: (a) Vascular redistribution (b) Interstitial pulmonary oedema (c) Frank alveolar pulmonary oedema AND NT-proBNP >300 pg/ml	Designation trial <sup>5</sup>
20 21 22 23	A clinical diagnosis of PE confirmed by helical CT- scan	STeP cardiovascular <sup>6</sup>
24nknown 25 26 27		
28 29 30 31		
32 33 34		
35 36		
37 38		

Table 4: Postoperative cardiovascular complications					
5 <mark>Endpoint</mark> 6 7	Definition	Excluded	Limitation	Ref.	
8 <mark>MACE</mark> 9 10 11 12 13 14 15	Composite outcome including: - Cardiac death - Non-fatal cardiac arrest - Coronary revascularization - Myocardial infarction	<ul> <li>Pulmonary embolism</li> <li>Hemorrhage</li> <li>Deep venous thrombosis</li> <li>All-cause mortality</li> </ul>		STeP cardiovascular <sup>6</sup>	
1 <b>Éardiac death</b> 17 18 19 20 21 22 23 24 25 26	Death with a vascular cause and included those deaths after a myocardial infarction, cardiac arrest, and cardiac revascularization procedure.	<ul> <li>Death after pulmonary embolism</li> <li>Death after hemorrhage</li> <li>Multi-organ failure</li> <li>Cause of death unknown</li> </ul>		STeP cardiovascular <sup>6</sup>	
2 Non-fatal 28 30 31 32 33 34 35 36 37 38 39	Successful resuscitation from either documented or presumed ventricular fibrillation, sustained ventricular tachycardia, asystole, or pulseless electrical activity requiring cardiopulmonary resuscitation, pharmacological therapy, or cardiac defibrillation			STeP cardiovascular <sup>6</sup>	
40 4Coronary 4Pevascularizati 4 4 4 4 4 4 5 4 6	Percutaneous coronary intervention or coronary artery bypass graft surgery within 30 days of the index surgery.		2	STeP cardiovascular <sup>6</sup>	
4/ 4 <b>%</b> lyocardial 4 <b>i</b> mfarction in 500ncardiac 55urgery 52 53 54 55 56 57	Acute myocardial injury with clinical evidence of acute myocardial ischaemia and with detection of an increase or decrease in cTn values with at least one value above the 99th percentile URL and at least one of the following:		No routine ECG after noncardiac surgery	STeP cardiovascular and 4 <sup>th</sup> universal definition of myocardial infarction <sup>6,7</sup>	

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3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40	<ol> <li>Symptoms of myocardial ischaemia</li> <li>New ischaemic ECG changes</li> <li>Development of pathological Q waves</li> <li>Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality in a pattern consistent with an ischaemic aetiology</li> <li>Identification of a coronary thrombus by angiography or autopsy Post-mortem demonstration of acute atherothrombosis in the artery supplying the infarcted myocardium Cardiac death in patients with symptoms suggestive of myocardial ischaemia and presumed new ischaemic ECG changes before cTn values become available.</li> </ol>		
<sup>4</sup> Acute <sup>42</sup> <sup>43</sup> <sup>4</sup> myocardial <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>4</sup> myocardiac <sup>5</sup> n <sup>5</sup> 1 <sup>5</sup> 2 <sup>5</sup> 3 <sup>5</sup> 4 <sup>5</sup> 5	Elevation of cTn values > 10 times the 99th percentile URL in patients with normal baseline cTn values. In patients with elevated pre- procedure cTn in whom cTn levels are stable (≤ 20% variation) or falling, the postprocedure cTn must rise by > 20%. However, the absolute postprocedural value still must be > 10 times the 99th percentile URL. In		4 <sup>th</sup> universal definition of myocardial infarction <sup>7</sup>

2			
3	addition, one of the following		
4	elements is required:		
5	ciements is required.		
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7	1. Development of new		
8	pathological Q		
9	waves;*		
10	2. Angiographic		
11	documented new		
12	graft occlusion or now		
13	grant occlusion of new		
14	native coronary artery		
15	occlusion;		
16	<ol><li>Imaging evidence of</li></ol>		
17	new loss of viable		
18	myocardium or new		
19	regional wall motion		
20	abnormality in a		
21	nattern consistent		
22	with an ischaomic		
23			
24	aetiology.		
25			
26	*Isolated development of new		
27	pathological Q waves meets		
28	cardiac myocardial infarction		
29	criteria if cTn values are		
30	elevated and rising but $< 10$		
31	times the 99th percentile LIBI		
<sup>32</sup> Acute	Detection of an elevated and		S+ED
33	increased or decreased and		ordiovoccular
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<sub>3</sub> unjury in	value above the 99th		4 <sup>th</sup> universal
3 goncardiac	percentile URL is defined as		definition of
3s7urgery	myocardial injury.		myocardial
38	The diagnosis will be acute		infarction <sup>6,7</sup>
39	myocardial injury if there is		
40	no confirmed diagnosis of		
41	mvocardial infarction		
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43 Acute	Elevation of cTn values $> 10$	In rhythm	4 <sup>th</sup> universal
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50	levels are stable (≤ 20%	will be related	
51	variation) or falling, the	to the direct	
JZ 52	postprocedure cTn must rise	procedure	
55	by > 20%. However, the	related tissue	
55	absolute postprocedural value	trauma and not	
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3 4 5 6 7 8 9	99th percentile URL. The diagnosis will be acute myocardial injury if there is no confirmed diagnosis of myocardial infarction			
1 <b>Acute heart</b> 1 <b>failure</b> 12 13 14 15 16 17 18 19 20 21	An elevated jugular venous pressure, respiratory rales/crackles and crepitations, presence of S3 and at least one of the following radiographic findings: (a) Vascular redistribution (b) Interstitial pulmonary oedema (c) Frank alveolar pulmonary oedema AND NT-proBNP >300 pg/ml		Definition of heart failure did not reach consensus in the StEP initiative.	StEP cardiovascular, heart failure guideline ESC <sup>6,8</sup>
22 Pulmonary 23 24 25 25 26 27	A clinical diagnosis of PE confirmed by helical CT-scan	ee.	Diagnosis will be missed in a large portion of patients	StEP cardiovascular <sup>6</sup>
2 <b>Atrial</b> 2 <b>fibrillation/</b> 3 <b>flutter</b> 31 32 33 34 35 36	New onset of irregularly irregular heart rate in the absence of P waves lasting at least 30 s or for the duration of the ECG recording (if <30 s)		No routine ECG or holter registration postoperatively, except for patients admitted to the ICU or PACU.	StEP <sup>6</sup>
<b><sup>3</sup>Stroke</b> 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55	An embolic, thrombotic or haemorrhagic cerebral event with motor, sensory or cognitive dysfunction (e.g. hemiplegia, hemiparesis, aphasia, sensory deficit, impaired memory). <b>Mild:</b> Results in only temporary harm and would not require specific clinical treatment. <b>Moderate:</b> More serious complication but one which does not usually result in permanent harm or functional			EPCO definition 2

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3	limitation. F	Requires clinical	
4	treatment	•	
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6	Severe Dec	ulte in cignificant	
7	Severe: Res		
8	prolongatio	n of hospital stay	
9	and/or perr	nanent functional	
10	limitation o	r death. Requires	
11	clinical trea	tment.	
12			
13	Tahle 5	· Definitions exclusion criteria	
14	Tuble 5		
<sup>15</sup> Deep venous th	rombosis	Diagnosis confirmed by 2-	StEP $^{6}$ + adaptation to Dutch
16		Point Compression	clinical practice standards
17		Illtracenegraphy of the Lower	chinear practice standards
18			
19		Extremity	
2 <b>Multi-organ fail</b>	ure	Altered function in two or	Definitions for sepsis and
21		more organ systems during an	organ failure <sup>9</sup>
22		acute illness such that	
23		homeostasis cannot be	
24		maintained without	
25		intervention	
26		Intervention	
2 <sup>Hemorrhage</sup>		Acute blood loss	
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2 <b>All-cause morta</b>	lity	Any cause of death that	
30	-	doesn't fulfill the criteria for	
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# Table 6: Sepsis

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5 <mark>Endpoint</mark> 6 7	Definition	Excluded	Additionally reported	Limitation	Ref.	
8 <b>Sepsis</b> 9 10 11 12 13 14 15 16 17	Increase in SOFA score of 2 or more, with evidence of infection, within 30 days.		Suspected site of infection; SOFA score.		StEP Infection and sepsis <sup>4</sup>	

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3 <b>Ta</b> 4	ble 7: Postoperative respiratory infectious	s complicati	on		
5 Ændpoint 7	Definition	Excluded	Additionally reported	Limitation	Ref.
7 <b>Postoperative</b> <b>Pespiratory</b> <b>Infectious</b> <b>complication</b> 12 <b>3</b> <b>7</b> <b>4</b> <b>5</b> 16 17 18 19 20 21 22 23 24 25 26	<ul> <li>Signs/Symptoms/Laboratory: one of the following:</li> <li>Fever (&gt; 38.0°C or &gt; 100.4°F)</li> <li>Leukopenia (≤ 4000 WBC/mm3 ) or leukocytosis (≥ 12,000 WBC/mm3 )</li> <li>For adults ≥ 70 years old, altered mental status with no other recognized cause</li> <li>OR</li> <li>New onset of purulent sputum or change in character of sputum, or increased respiratory secretions, or increased suctioning requirements</li> <li>New onset or worsening cough, or</li> </ul>		reported Cause: CAP, HAP, VAP,		
27 28 29 30 31 32 33 34	<ul> <li>dyspnea, or tachypnea</li> <li>Rales or bronchial breath sounds</li> <li>Worsening gas exchange</li> <li>AND</li> <li>Imaging: One chest imaging test result</li> </ul>				
35 36 37	with at least one of the following: Pulmonary infiltrate, consolidation or cavitation				
38 <b>Probable</b> 40	<b>Signs/Symptoms/Laboratory</b> : at least one of the following:		Cause: CAP, HAP, VAP,		StEP infection and sepsis <sup>4</sup>
41 42 43 44 45 46 47 48 49	<ul> <li>Fever (&gt; 38.0°C or &gt; 100.4°F)</li> <li>Leukopenia (≤ 4000 WBC/mm3 ) or leukocytosis (≥ 12,000 WBC/mm3 )</li> <li>For adults ≥ 70 years old, altered mental status with no other recognized cause</li> </ul>				
50 51 52 53 54 55 56 57	Imaging: two or more serial chest imaging results with either new and persistent OR progressive and persistent changes of				

1				
2				
3	- infiltrate			
4				
5	- consolidation			
6	- cavitation			
7				
8	(In patients without underlying cardiac			
9	or nulmonary disease <b>one</b> definitive			
10	imaging tost result is accontable			
10	inaging test result is acceptable			
11				
12	AND			
13				
14	at least two of the following:			
15				
16	• Now onset of numbert souture or			
17	• New onset of purulent sputum of			
18	change in character of sputum, or			
19	increased respiratory secretions, or			
20	increased suctioning requirements			
21	<ul> <li>New onset or worsening cough, or</li> </ul>			
22	dyspnea, or tachypnea			
23	Rales or bronchial breath counds			
24	• Rales of biolicinal breath soulids			
25	Worsening gas exchange (with PF			
26	<200, O2 supplementation >5L/min, or			
27	start of (non)-invasive ventilation)			
28				
29				
30	Criteria fer probable posteroretive		Definition of	
31	Criteria for probable postoperative	Cause: CAP,	Definition of	
<sup>3</sup> Definite	respiratory infection AND	Cause: CAP, HAP, VAP,	StEP + additional	
<b>Definite</b>	respiratory infection <b>AND</b> One of the following criteria:	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>Definite</b> 32 33 34	respiratory infection <b>AND</b> One of the following criteria: - Positive culture of causative	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>Definite</b> 32 33 34 35	respiratory infection AND One of the following criteria: - Positive culture of causative lung pathogen in respiratory	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>Definite</b> 32 33 34 35	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria:         <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria:         <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>Definite</b> 32 33 34 35 36 37 28	<ul> <li>respiratory infection AND</li> <li>One of the following criteria:         <ul> <li>Positive culture of causative lung pathogen in respiratory secretions</li> <li>Positive blood culture with accepting pathogen for</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32 33 34 35 36 37 38 20	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria:         <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32 33 34 35 36 37 38 39	<ul> <li>respiratory infection AND</li> <li>One of the following criteria:         <ul> <li>Positive culture of causative lung pathogen in respiratory secretions</li> <li>Positive blood culture with causative pathogen for pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40	<ul> <li>respiratory infection AND</li> <li>One of the following criteria:         <ul> <li>Positive culture of causative lung pathogen in respiratory secretions</li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41	<ul> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42	<ul> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44	<ul> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> </ul> </li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a viral pathogen in airway secretion by PCR</li> <li>Histonathologic evidence for</li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44 45	<ul> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> </ul> </li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a viral pathogen in airway secretion by PCR</li> <li>Histopathologic evidence for pneumonia</li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44 45 11	<ul> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative lung pathogen in respiratory secretions</li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a viral pathogen in airway secretion by PCR</li> <li>Histopathologic evidence for pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 47 47 47 47 47 47 47 47 47	<ul> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative lung pathogen in respiratory secretions</li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a viral pathogen in airway secretion by PCR</li> <li>Histopathologic evidence for pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> </ul> </li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a viral pathogen in airway secretion by PCR</li> <li>Histopathologic evidence for pneumonia</li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> </ul> </li> <li>Positive blood culture with causative pathogen for pneumonia</li> <li>Isolation of a virus or proof of a viral pathogen in airway secretion by PCR</li> <li>Histopathologic evidence for pneumonia</li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
<b>befinite</b> 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55         56	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55         56         57	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	
32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55         56         57         58	<ul> <li>criteria for probable postoperative</li> <li>respiratory infection AND</li> <li>One of the following criteria: <ul> <li>Positive culture of causative</li> <li>lung pathogen in respiratory</li> <li>secretions</li> <li>Positive blood culture with</li> <li>causative pathogen for</li> <li>pneumonia</li> <li>Isolation of a virus or proof of a</li> <li>viral pathogen in airway</li> <li>secretion by PCR</li> <li>Histopathologic evidence for</li> <li>pneumonia</li> </ul> </li> </ul>	Cause: CAP, HAP, VAP,	StEP + additional criteria	

2							
3	Table	8: Cause	s postoperative respiratory	infectious com	olication		
4 Community	Pne	eumonia	occurring on day 0 or 1				
<sup>6</sup> acquired	afte	er hospita	al admission, considering				
/ pneumonia	day	of admi	ssion as day 0				
ို(CAP)							
10							
11							
12 Hospital	Dnc	umonia	accurring > day 2 of				
13 acquired	hos	nital adr	nission considering day of				
192 qui cu Apneumonia	adn	nission a	s day 0				
1(HAP)	aan		o day o				
1Ventilator-	Pne	umonia	occurring $\geq$ day 2 after the	Non-			
1 <sub>Associated</sub>	star	rt of med	hanical ventilation (MV)	invasive			
<sup>1</sup> oneumonia	and	l < day 2	after the end of MV.	ventilation			
<sup>2</sup> (VAP)		, _		like CPAP.			
21				BiPAP.			
22				optiflow			
23				are not			
∠ <del>4</del> 25				considered			
26				mechanical			
27				ventilation.			
28					I		
29		_					
30	Table	9: Absce	ss/Empyema				
31							_
其ndpoint		Definit	ion	Excluded	Limitation and	Ref.	
34					comments		
Abscess/emp	oyema						
Rossible		1.	Low clinical suspicion with				
37			one of:				
38		-	Fever				
40		-	Cough, increased respirator	γ –			
41			secretions				
42		AND					
43		_					
44		2.	debatable imaging test				
45			evidence of abscess or othe	er			
40		1	High aligical suggistion with			Stan <sup>4</sup> with	_
48		1.	nigh clinical suspicion with			step with	
49			Envor			auaptation	
50		-	Cough increased respirates				
51		-	secretions	У			
52			Secretions				
53		AND					
54 55		2	Imaging test evidence of				
55							
56		Ζ.	abscess or other infection				
56 57		۷.	abscess or other infection				
56 57 58		2.	abscess or other infection				

Definite	<b>1.</b> Org	anism seen on Gram stain of			
•	lung ti	ssue or pleural fluid, or			
	identi	ication of pathogenic organism			
	from f	luid or tissue from affected site			
	<b>2.</b> Abs	cess or other evidence of			
0	infecti	on on gross anatomical or			
1	histop	athologic			
2	exami	nation			
3					
+ 5					
5					
/ 3	Table 10: Sur	ical site infections			
)	Endpoint	Definition	Excluded	Limitation and comments	Ref.
)					
,	Surgical site				
-	infection				
ŀ	(SSI)				
;	Superficial	Involves only skin and			
5	incisional SS	subcutaneous tissue of the			
		incision			
		Patient has at least two of the			
	Possible	following signs or symptoms:			
		<ul> <li>localized pain or</li> </ul>			
		tenderness			
		- localized swelling			
		- erythema			
		- neat.			
	Suparficial	Dationt has at least and of the			C+ED
	incisional SS	following:			JLEP infoctiv
					and
	Definite	- Purulent drainage from			sensis
		the superficial incision.			4,10
		- Organism(s) identified			
		from an aseptically-			
		obtained specimen from			
		the superficial incision			
		or subcutaneous tissue			
)		by a microbiologic			
		testing method which is			
2		performed for purposes			
3		of clinical diagnosis or			
+		treatment.			

Superficial incision that

is deliberately opened and culture or nonculture based testing of the superficial incision or subcutaneous tissue is not performed

AND Patient has at least one of the following signs or symptoms: localized pain or tenderness, localized swelling, erythema or

Abscess at physical examination, reoperation,

histopathologic or radiologic examination.

Involves deep soft tissues of the

incision (for example, fascial

and muscle layers)

performed.

heat.

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Deep

incisional SSI

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

StEP

and

sepsis <sub>4,10</sub>

infection

4104 Patient has at least two of the Possible following signs or symptoms: localized pain or tenderness localized swelling erythema heat. Patient has at least one of the Definite following: - Purulent drainage from the deep incision. - a deep incision that spontaneously dehisces, or is deliberately opened AND organism(s) identified from the deep soft tissues of the incision by microbiologic testing which is performed for purposes of clinical diagnosis or treatment, or microbiologic testing is not

	patient has at least one of the following signs or symptoms: fever (>38°C), localized pain or tenderness. - an abscess or other evidence of infection involving the deep incision that is detected on gross anatomical or histopathologic exam, or imaging test.			
Organ/Space SSI	Event involves any part of the body deeper than the fascial/muscle layers that is opened or manipulated during the operative procedure			
Possible	<ul> <li>Patient has at least one of the following signs or symptoms:</li> <li>Fever &gt; 38 C</li> <li>Pain in the area of surgical procedure (not superficial)</li> </ul>			
Probable	Possible criteria AND Imaging test evidence suggestive of infection.	10	20.	
Definite	Patient has at least one of the following: a. purulent drainage from a drain that is placed into the organ/space b. organism(s) identified from fluid or tissue in the organ/space performed for purposes of clinical diagnosis or treatment. c. an abscess or other evidence of infection involving the organ/space that is detected on gross anatomical or			StEP infection and sepsis 4,10

# Table 11: Urinary system infection, blood stream infection, other infection

9 10 11	Endpoint	Definition	Excluded	Additionally reported	Limitation and comments	Ref.
11         12         13         14         15         16         17         18         10         21         22         24         25         27         28         30         31         32         33         34         35         36         37         38         40         41         42         43         44         45         46         47         48         50         51         52         54         55         56	Urinary tract infection (Catheter and not catheter related)	<ul> <li>One of the following signs or symptoms:</li> <li>Fever (&gt;38C)</li> <li>Suprapubic tenderness*</li> <li>Costovertebral angle pain or tenderness*</li> <li>Urinary urgency^</li> <li>Urinary frequency^</li> <li>Dysuria^</li> </ul> Microbiologic cultures: Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of ≥10^5 CFU/ml * Without other recognized cause <ul> <li>^ These symptoms cannot be</li> </ul>		Catheter related: If indwelling urinary catheter had been in place for more than 2 consecutive days on the date of event AND was present on the day of the event or removed the day before.		CDC <sup>11</sup>
	High Urinary system infection	<ul> <li>Identification of pathogenic organism from fluid or tissue from affected site</li> <li>Abscess or other evidence of infection on gross anatomical examination, during invasive procedure, or during histopathologic examination OR one of</li> <li>Fever &gt;38C</li> <li>localised pain or tenderness with no other recognised cause</li> <li>AND ONE OF</li> </ul>				StEP <sup>4</sup>

2					
3		<ul> <li>purulent drainage from</li> </ul>			
4		affected site			
5		- organism identified in			
6		blood by culture or pop			
7		blood by culture of fiole			
8		culture based biological			
9		testing			
10		<ul> <li>imaging suggestive of</li> </ul>			
11		infection which if			
12		equivocal is supported			
13		by clinical correlation,			
14 1 <i>г</i>		specifically physician			
15		documented treatment			
10		for urinary system			
17		infection			
10	Primary	A Laboratory Confirmed	Common		
20	Blood	Readstream Infection (I CRI)	commens		CDC
21	bioou	that is not included in the	ollist		
22	Stredin				
23	Infection	common commensal list and is	see:		
24	(BSI)/	not secondary	Common		
25	Central	to an infection at another body	Commens		
26	line blood	site	al		
27	stream		organism		
28	infection	OR	s include,		
29	(CLBSI)		but are		
30		Patient has at least one of the	not		
31		following signs or symptoms:	limited		
32		fever >38C, chills or	to,		
33		hypotension, and at least one of	diphthero		
25		the following:	ids		
36		C	(Corvneb		
37		(a) Common skin contaminant	acterium		
38		cultured from two or more	spn not		
39		blood cultures drawn on	C		
40		separate occasions	C. dinhthari		
41		(b) Common skin contaminant			
42		(b) common skin containing	a), Decillus		
43		cultured from a neticet with a			
44		introvegeuler ling	spp. (not		
45		intravascular line,	В.		
46		and the physician institutes	anthracis)		
47		appropriate antimicrobial	,		
48		therapy	Propionib		
49 50		(c) Positive blood antigen test.	acterium		
50			spp.,		
52			coagulase		
53			-negative		
54			staphyloc		
55			occi		
56			(including		
57					

1		
2		
4	5.	
5	epidermi	
6	dis),	
7	viridans	
8	group	
9	streptoco	
10	cci,	
11	Aerococc	
12	us spp.	
13	Micrococ	
14	cus spp	
15	and	
16	Bhadasas	
17	RIDUOCOC	
18	cus spp	
19		
20	Organism	
21	s that are	
22	parasites	
23	and	
2 <del>1</del> 25	viruses.	
26		
27	Campylob	
28	acter.	
29	Salmonell	
30	a	
31	a, Shigella	
32		
33	Listeria,	
34	OTOT	
35	and	
36	Yersinia	
37	as well as	
38	C.	
39	difficile,	
40	Enterohe	
41	morrhagi	
42	c E. coli,	
45 44	and	
44 45	Enteropat	
46	hogenic	
47	E. coli.	
48		
49	Blastomy	
50	Ces	
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52	ma	
53	ma,	
54	Coccidioi	
55	des,	
56	Paracocci	
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1						
2 3 4 5 6 7 8			dioides, Cryptoco ccus, and Pneumoc ystis.			
9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30	Infection eci/ 'other infection'	Strong clinical suspicion of infection but the source has not been confirmed because clinical information suggests more than one possible site, OR infection is not a respiratory infection, surgical site infection, primary bloodstream infection or urinary tract infection: meeting two or more of the following criteria: Core temperature < 36C or >38C; white cell count >12x10^9 l-1 or < 4x10^9 l-1, respiratory rate >20 breaths per minute or PaCO2 < 4.7 kPa (35mmHg); Pulse rate >90 beats per minute			CDC and EPCO definitions are used for 'Infection eci' criteria. We added 'Other infection'	CDC <sup>13</sup> AND EPCO <sup>2</sup>
31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56						
58 59 60		For peer review only - http	://bmjopen.b	mj.com/site/about/guideli	nes.xhtml	

1 2 3					
3 4	Table 12: Postope	erative renal complication	ns		
Endpoint	Definition		Excluded	Limitation and comments	Ref.
8 <mark>Acute</mark>	Stage 1: Increase	tage 1: Increase in serum creatinine by			StEP Renal Endpoints
Kidney	≥0.3 mg/dl (≥26.5	6 μmol/L) within 48			14
<sub>1</sub> løjury (AKI)	iours OR increase in serum creatinine				
11	to 1.5-1.9 times b	aseline.			
12	Change 2: in average	in comune exectivity to			
14	2.0.2.0 times bas	aline			
15	2.0-2.9 times bas	.0-2.9 times baseline			
16	Stage 3. increase	in serum creatinine to			
17	> 3 times baseline	OR increase in serum			
18	creatinine to $>35$	3.6 µmol/L OR			
20	initiation of renal	replacement therapy			
21					
22					
23	Table 13: Postope	erative blood loss			
25 25ndpoint	Definition		Excluded	Limitation and	Ref.
26				comments	
<sup>2</sup> Postoperativ	• Type 1: bleedir	ng that is not actionable			<sup>15</sup> BARC
bleeding in	and does not c	ause the patient to seek			
cardiac	an unscheduled performance of				
surgery	studies, hospitalization, or treatment				
32	by a health care professional; it may				
33	include episodes leading to self-				
34	discontinuation of medical therapy by				
35	the patient wit	the patient without consulting a health			
37	care profession	care professional.			
38		Type 2 any evert estimable sign of			
39	hemorrhage (e	Type 2: any overt, actionable sign of			
40	would be expe	would be expected for a clinical			
41	circumstance.	circumstance including bleeding found			
43	by imaging alo	by imaging alone) that does not fit the			
44	criteria for type	criteria for type 3, type 4. or type 5 but			
45	does meet at le	east one of the following			
46	criteria: requir	ing nonsurgical, medical			
4/	intervention by	y a health care			
49	professional; le	eading to hospitalization			
50	or increased le	vel of care; or			
51	prompting eva	luation.			
52					
53	Type 3a: overt	bleeding plus a			
54	hemoglobin dr	hemoglobin drop of 3 to 5 g/dL*			
56	(provided the l	nemoglobin drop is			
57					
58					

1			
2			
3	related to bleed); any transfusion with		
4	overt bleeding.		
6			
7	Type 3b: overt bleeding plus a		
8	hemoglobin drop of 5 g/dL (provided		
9	the hemoglobin drop is related to		
10	bleed); cardiac tamponade; bleeding		
11	requiring surgical intervention for		
12	control (excluding dental, nasal, skin,		
13	and hemorrhoid); bleeding requiring		
14	intravenous vasoactive agents.		
16			
17	Type 3c: intracranial hemorrhage (does		
18	not include microbleeds or		
19	hemorrhagic transformation, does		
20	include intraspinal); subcategories		
21	confirmed by autopsy or imaging, or		
22	lumbar puncture; intraocular bleed		
23	compromising vision.		
24			
26	Type 4: coronary artery bypass		
27	grafting-related bleeding;		
28	perioperative intracranial bleeding		
29	within 48 hours; reoperation after		
30	closure of sternotomy for the purpose		
3 I 2 1	of controlling bleeding; transfusion of		
32	5 U of whole blood or packed red		
34	blood cells within a 48-hour period;		
35	chest tube output 2 L within a 24-hour		
36	period.		
37			
38	Type 5a: probable fatal bleeding; no		
39	autopsy or imaging confirmation but		
40	clinically suspicious.		
42	The Flat definite fact the set of the		
43	Type 5b: definite fatal bleeding; overt		
44	pleeding or autopsy, or imaging		
45	Committed Uoli.		
400stoperative	elassification >2		
48 ancardias			
49			
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54 55			
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In addition, all adverse events in the postoperative period will be graded according to the Clavien-Dindo system<sup>16</sup>:

Grade I	Any deviation from the normal postoperative course without the need for			
	pharmacological treatment or surgical, endoscopic and radiological interventions.			
	Allowed therapeutic regimens are: drugs as anti-emetics, antipyretics, analgetics,			
	diuretics and electrolytes and physiotherapy. This grade also includes wound infections			
	opened at the bedside.			
Grade II	Requiring pharmacological treatment with drugs other than such allowed for grade I			
	complications. Blood transfusions and total parenteral nutrition are also included.			
Grade III	Requiring surgical, endoscopic or radiological intervention			
	a. Intervention not under general anesthesia			
	b. Intervention under general anesthesia			
Grade IV	Life-threatening complication (e.g. brain hemorrhage, ischemic stroke, subarrachnoidal			
	bleeding, but excluding transient ischemic attacks) requiring Intensive Care management			
Grade V	Death of a patient			

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# Cohort profile of BIGPROMISE: a perioperative biobank of a high-risk surgical population

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This study is supported by a research grant from Roche Diagnostics International.

#### **Conflicts of interest**

PN has participated in advisory boards for perioperative use of biomarkers, for which he has received a honorarium by Roche Diagnostics (Rotkreuz, Switzerland). PN and TR have held lectures on perioperative biomarkers for which they have received a honorarium by Roche Diagnostics. OC has received research grants from ImmuneXpress Inc. (Seattle, WA) and Abionic SA (Epalinges, Switzerland) for related work. HR, TR, RI, MT, NH, KS, LV and ID have no conflicts of interest.

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#### 

# Abstract

**Purpose:** Postoperative complications increase mortality, disability, and costs. Advanced understanding of the risk factors for postoperative complications is needed to improve surgical outcomes. This paper discusses the rationale and profile of the BIGPROMISE (biomarkers to guide perioperative management and improve outcome in high-risk surgery) cohort, that aims to investigate risk factors, pathophysiology, and outcomes related to postoperative complications.

Participants: Adult patients undergoing major surgery in two tertiary teaching hospitals.
Clinical data and blood samples are collected before surgery, at the end of surgery, and on the first, second and third postoperative day. At each time point a panel of cardiovascular, inflammatory, renal, haematological, and metabolic biomarkers is assessed. Aliquots of plasma, serum, and whole blood of each time point are frozen and stored. Data on severe complications are prospectively collected during 30 days after surgery. Functional status is assessed before surgery and after 120 days using the World Health Organization Disability Assessment Schedule (WHODAS) 2.0. Mortality is followed up until two years after surgery.
Findings to date: The first patient was enrolled on October 8<sup>th</sup> 2021. Currently (Jan 1<sup>st</sup> 2024) 3,086 patients were screened for eligibility, of whom 1,750 (57%) provided informed consent for study participation. Median age was 66 years (60; 73), 28% were female, and 68% of all patients were American Society of Anaesthesiologists (ASA) physical status class 3. Most common types of major surgery were cardiac (49%) and gastro-intestinal procedures (26%). The overall incidence of 30-day severe postoperative complications was 16%.

**Future plans:** By the end of the recruitment phase, expected in 2026, approximately 3,000 patients with major surgery will have been enrolled. This cohort allows us to investigate the role of pathophysiological perioperative processes in the cause of postoperative complications,

and to discover and develop new biomarkers to improve risk stratification for adverse

postoperative outcomes.

**Trial registration number** NCT05199025

# Data availability statement

Data sharing not applicable as a preliminary dataset was generated for this report.

Keywords: major surgery, biomarkers, outcomes, postoperative complications, disability

# Strengths and limitations

- A large prospective collection of perioperative blood samples and clinical data in a high-risk surgical population.
- Postoperative complications and functional outcomes are defined according to international standards to facilitate research collaborations.
- A perioperative biomarker panel is prospectively assessed on fresh blood samples to elucidate the role of pathophysiological processes in the cause of postoperative complications.
- Multiple sample aliquots of plasma, serum and whole blood are frozen and stored in a central archive, allowing future perioperative biomarker discovery and development.
- This study is limited to blood samples that are routinely collected and stored until 72 hours after surgery.

# Introduction

Worldwide, more than 330 million patients have surgery each year.[1] Depending on type of surgery and co-existing diseases, 10-30% of patients suffer severe postoperative complications.[2-5] Common adverse events are infections (e.g. pneumonia, surgical site infection), myocardial infarction, respiratory failure, and acute kidney injury. Postoperative complications are important determinants of long-term mortality and poor health after surgery,[6-8] impair quality of life and may increase hospital costs up to four times.[9,10]

Surgical trauma triggers a systemic stress response, that involves a complex neuroendocrine and immunological reaction to local tissue injury. Local tissue trauma activates the innate immune system, with pro- and anti-inflammatory cytokines triggering systemic inflammation and the hypothalamic-pituitary-adrenal (HPA) axis. This results in stimulation of the sympathetic nervous system, alongside several other hormonal pathways, to maintain physiological homeostasis.[11] The effects of these pathways change perioperative organ perfusion, water balance and cellular metabolism. A postoperative dysregulated stress response can be detrimental as excessive systemic inflammation, immunosuppression, hypermetabolism, and hypercoagulation can lead to organ failure and death.[11,12] The most important determinant of a postoperative dysregulated stress response is the nature and extent of surgery. In addition, non-surgical factors such as ageing, coexisting diseases and deconditioning, are contributing factors (Figure 1).[13]

In perioperative medicine, a biomarker is considered an indicator of a preoperative (patho)physiological process (e.g. ageing, chronic disease), or response to surgery (e.g. organ injury, inflammation). Numerous publications have raised awareness of the added value of biomarkers in perioperative medicine. However, heterogeneity in study design and methodological limitations have hindered implementation. First, many studies focussed on the

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cardiovascular pathophysiology of postoperative complications, but used a wide range of clinical (non-standardized) outcomes. This complicates the interpretation of results, and makes the usefulness of perioperative biomarkers unclear.[14,15] Second, researchers often use a single-marker approach (e.g. cardiac troponin, interleukin-6) to study perioperative risk and pathophysiology of complications.[16,17] However, the complex aetiology of postoperative complications involves multiple pathophysiological processes, which are likely better reflected by a panel of multiple biomarkers.[11] A concept that has not been well studied in perioperative medicine, yet.[18,19] Third, in addition to risk stratification, and prognosis, the application of perioperative biomarkers covers early diagnosis of complications, and targeted interventions to improve postoperative outcomes, both of which have been incompletely studied. As a result, few biomarkers make it from bench to bedside, despite significant investment in perioperative biomarker research. [20,21] The 'biomarkers to guide perioperative management and improve outcome in high-risk surgery' (BIGPROMISE) cohort will prospectively assess a wide range of perioperative biomarkers in fresh blood samples, and systematically collect and store plasma, serum and whole blood samples to allow for future biomarker discovery. This manuscript describes the rationale and design of the BIGPROMISE cohort, which primarily aims to facilitate biomarker research on risk factors, pathophysiology, and outcomes related to standardized postoperative complications in patients undergoing major elective surgery.

# **Cohort description**

 The BIGPROMISE cohort prospectively studies patients undergoing elective major cardiac or non-cardiac surgery, and is an initiative of the departments of Anaesthesiology, Intensive Care and Pain Medicine of St. Antonius hospital and Amphia hospital in the Netherlands. The study protocol was filed at Clinicaltrials.gov under registration number NCT05199025, and received approval from the Medical research Ethics Committees United (NL74076.100.20). The current protocol version is 6.1 (21-06-2023) and available upon request. The biobank samples are stored by a third party biobank provider (Azenta, Griesheim, Germany).

# Setting

Patients are recruited in two tertiary teaching hospitals (St. Antonius hospital and Amphia hospital, the Netherlands). Based on the historical surgical volumes of both facilities, approximately 2,500 - 3,000 patients are eligible for inclusion each year. BIGPROMISE biobank aims to collect clinical data and blood samples of at least 1,000 patients per year. BIGPROMISE will be recruiting at least until 2025, and possibly longer depending on capacity and funding.

BIGPROMISE biobank is an investigator-initiated research collaboration between anaesthesiologists, intensivists and clinical chemists, in collaboration with surgeons, and Roche Diagnostics International (Penzberg, Germany), a large biotech company, and worldwide provider of in-vitro diagnostics.

# Inclusion criteria and patient recruitment

Adult patients (>18 years) undergoing elective major surgery under general anaesthesia are eligible for participation. Surgical risk is based on an estimate of procedure-specific risk of 30-day mortality, without taking age, gender, frailty, or coexisting diseases into

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account.[22,23] The following types of surgery are considered: cardiac, vascular, gastrointestinal, hepatobiliary, urologic, and pulmonary surgery. A full list of surgical procedures is provided in Appendix 1. Patients not providing written informed consent, patients not able to complete questionnaires in Dutch, patients who are pregnant, patients undergoing emergency surgery, and patients with a life expectancy less than six months are excluded from participation in this study.

Eligible patients scheduled for surgery are contacted by telephone by trained study personnel. Patients are informed about the purposes of the biobank and will receive an information letter by (e-)mail, if they consider to participate. Written informed consent is obtained at time of hospital admission by a member of the study team. This includes the collection of demographic and clinical data, blood samples for biomarker analysis in fresh blood samples during hospital stay and a functional status questionnaire. Additionally, a written permission is separately obtained for: collection, handling and storage of blood samples in a dedicated biobank for future biomarker discovery, permission to be contacted for further research.

# **Data collection**

Prior to surgery, baseline data are collected regarding patient demographics, medical history, chronic pain, previous laboratory results, frailty, and functional status (Supplementary Table 1). Preoperative study data are collected from electronic patient records, from dedicated questionnaires (12-item World Health Organization Disability Assessment Schedule (WHODAS) 2.0 for functional status, and from a numeric rating scale (NRS) for pain.[24,25] Study data during hospital admission are variables related to surgery and anaesthesia, clinical course, laboratory results, complications, and pain, which will be extracted from electronic

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patient records. After hospital discharge, postoperative complications will be registered until 30 days after surgery. Further outcome data consist of days alive and out of hospital after 120 days, patient-reported information on functional status and pain after 120 days, and mortality up to two years.

Study data are collected and managed using REDCap which is an electronic data capture tool. REDCap is a secure, web-based software platform and compliant with Good Clinical Practice guidelines.[26] Postoperative pain scores, vital parameters (modified early warning scores), and the results of perioperative biomarker panels are extracted semiautomatically from electronic medical files (Epic Systems Corporation, United States; Metavision, iMD Soft, Israel), and the local laboratory information management systems (GLIMS, Clinisys GLIMS, Belgium, and MOLIS, CompuGroup, Belgium). Postoperative complications are noted and classified by a dedicated researcher (TR, MT), and validated by an experienced perioperative physician (PGN, TCDR), prior to manual registration in the database. Follow-up data for functional outcomes are registered using electronic and paper questionnaires (WHODAS 2.0). Long-term mortality is assessed using the Dutch municipality register of deceased persons to obtain date of death. Quality assurance of study data is annually performed by an independent monitor. Data records are coded, the key to the code is kept securely in each participating centre.

#### Blood sample collection and processing

Blood samples are collected at five perioperative time points: after induction of general anaesthesia (baseline), at the end of surgery, and on the morning of the first, second and third postoperative day. Blood is collected from an arterial line (if applicable) or venepuncture into vacuum blood collecting tubes, according to the schedule presented in Supplementary Table 2.

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In all study patients, blood samples are centrifuged at 1800 x g for 5 minutes and used to analyse a panel of 50 biomarkers at each perioperative time point (Table 1, Figure 2). Biomarker analyses are performed at the local hospital laboratory on Roche Cobas 8000 and Sysmex XN platforms. Results of perioperative biomarker analysis are captured in local laboratory information management systems and uploaded to a central web application for research data (REDCap).

In patients with written consent for biobanking, an additional 21 ml blood is processed for storage at -80 degrees Celsius as whole blood (3ml), and serum and plasma after centrifugation at 2000 g for 10 minutes or 4000 g for 5 minutes (Figure 2). Aliquots of plasma, serum, and whole blood are frozen and stored within 6 hours after collection. Aliquots are stored in a dedicated biobank facility (Azenta, Griesheim, Germany).

### **Biomarker panel**

The selection of biomarkers is based on the hypothesis that a postoperative dysregulated stress response, which we briefly explained in the introduction section, is associated with postoperative complications, through systemic inflammation, immunosuppression, hypermetabolism, hypercoagulation, and organ injury, and that serum biomarkers reflect (part of) these pathways or any downstream effect.[11-13] Furthermore, we considered previous literature reports on the pathophysiology of postoperative complications, availability and reproducibility of biomarker assays, current practice, and costs. For pragmatic reasons, biomarkers are categorized according to pathways involved in the pathogenesis of postoperative complications, as follows (Figure 1):

## Cardiovascular
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Chronic cardiac disease, such as coronary artery disease (CAD) and heart failure (HF), are key risk factors for postoperative complications. Common risk factors (e.g. diabetes mellitus, renal insufficiency, peripheral artery disease) are strongly associated with undiagnosed cardiac disease. In these patients, biomarkers may improve preoperative cardiac risk assessment. Surgery leads to activation of the sympathetic nervous system, inflammation, hypercoagulable and catabolic states, which put patients at risk for postoperative myocardial infarction/injury (PMI). PMI is the most common CV complication and asymptomatic in the vast majority of surgical patients, but has been associated with myocardial dysfunction, respiratory and renal failure, mortality, and disability.[27-30]

### Inflammation

 Low grade inflammation causes endothelial- and organ dysfunction in chronic disease (e.g. CAD, HF, renal insufficiency, diabetes mellitus) and puts patients at increased risk for PMI and renal dysfunction.[17,31,32] Biomarkers reflecting these processes may identify patients with (subclinical) organ dysfunction. Surgery activates the innate immune system, and the production of pro- and anti-inflammatory processes in the body. Although this is essential for healing, a postoperative dysregulated inflammatory response increases the risk of infectious complications by immune suppression, and organ dysfunction through endothelial injury.[32] Biomarkers may aid physicians in discriminating between postoperative dysregulated inflammation and infection.

### Metabolic

Preoperative deficiencies of nutrients or vitamins are risk factors for endothelial dysfunction, immune dysfunction, and cardiovascular disease.[33,34] Activation of the HPA axis and several other hormonal pathways change the production of cortisol, growth hormone, thyroid

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hormone, and insulin. The negative effects are hypermetabolism and hypercatabolism, leading to hyperglycaemia, release of fatty acids, and muscle wasting, which can be detrimental for postoperative recovery.[11]

### Haematological

Anaemia is a risk factor for postoperative complications and disability, most likely trough tissue hypoxemia, organ injury and poor functional capacity.[35,36] In surgical patients, anaemia is often caused by (functional) iron deficiency, blood loss, and inflammation. Besides erythropoiesis, iron deficiency also impairs oxidative metabolism and cellular immunity. The negative effects of poor iron metabolism are aggravated by increased hepcidin concentrations in response to a postoperative dysregulated inflammatory response, for instance due to blood loss after major surgery. Besides, systemic inflammation initiates bone marrow reprogramming and a decreased erythrocyte lifespan. This may explain why an abnormal iron status has been associated with postoperative complications, even without anaemia.[37] A high red cell distribution width (RDW) is a common marker of oxidative stress, chronic inflammation, cardiovascular disease, and is associated with adverse events after surgery.[38]

### Renal

Chronic kidney disease associated azotaemia, hypervolemia and anaemia increase after surgery, and are considered to be major risks for postoperative complications. As a result of sympathetic nervous activation, vasoconstriction may decrease renal blood flow and glomerular filtration rate. The renin-angiotensin-aldosterone-system is activated resulting in water and salt retention and further systemic vasoconstriction.[11] Up to 20% of major surgery patients sustain acute kidney injury (AKI). Even when renal function returns to baseline at the time of hospital discharge, the risk for long-term mortality and disability remains increased.[33]

#### **Outcome measures**

Postoperative outcomes are registered after review of medical charts and diagnostic test results. Causes of outcome measures are classified according to international criteria (Appendix 2) as follows:

- Respiratory failure, defined according to European Perioperative Clinical Outcome (EPCO) definitions, including: ARDS, pleural effusion, pneumothorax, atelectasis, respiratory infection, aspiration pneumonitis, bronchospasm, cardiopulmonary oedema, and pulmonary embolism. Postoperative hypoxemia (i.e. saturation <90% on room air, or oxygen therapy >5L O<sub>2</sub>/min) will be registered as respiratory failure.
- 2. Major adverse cardiac events, defined in agreement with the Standardised Endpoints in Perioperative medicine (StEP) criteria for cardiovascular outcomes and the 4<sup>th</sup> universal definition of myocardial infarction, including: cardiac death, non-fatal cardiac arrest, coronary revascularization, myocardial infarction/injury, heart failure, pulmonary embolism, atrial fibrillation, and stroke.
- 3. Infections, defined according to StEP criteria for infection and sepsis, including: sepsis, pneumonia, empyema, surgical site infection, urinary system infection, blood stream infection. For all events, the probability of infection will be categorised using a four-point scale (none, possible, probable and definite infection).
- Acute kidney injury, defined by the StEP criteria and classified as stage 1-3 based on postoperative serum creatinine concentrations or initiation of renal replacement therapy.

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 Bleeding, according to the standardized definitions from the bleeding academic research consortium (BARC) for cardiac surgery. Postoperative bleeding after noncardiac surgery is graded according to the modified Clavien-Dindo classification.

- 6. Postoperative pain, registered daily using the NRS. Scores range from 0 (no pain) to 10 (maximum pain). Chronic pain is defined as surgery related pain >3 months after surgery. The impact of chronic pain is assessed with the 12-item WHODAS 2.0 questionnaire, supplemented with several dedicated questions regarding surgery related pain (i.e. duration of pain, severity of pain and relation with the surgical procedure).
- 7. Disability, measured according to the self-assessment 12-item WHODAS 2.0 before and after surgery, and reported as a percentage score of functional limitations. Scores range from zero (no disability) to one-hundred percent (fully disabled). New clinically important disability is defined as a change >5% or more after surgery.
- 8. Mortality, registered as failure to rescue (i.e. hospital mortality following a major postoperative complication), 30-day mortality, days alive and out of the hospital at 120 days, 1-year mortality and 2-year mortality.

The severity of a complication is graded according to the modified Clavien-Dindo classification.[39]

# Study size

By the end of the recruitment phase approximately 3,000 patients with major surgery will have been enrolled. Our study cohort allows us to validate, update and/or develop prediction models including 55 candidate predictors, based on an incidence of 15% for severe complications, a global shrinkage factor  $\geq 0.9$  and a c-statistics of 0.80.[40] To investigate pathophysiological differences between patients with and without a severe postoperative complications, a minimal effect size of 0.25 can be demonstrated using an  $\alpha$  of 0.05 and a  $\beta$  of 0.95.

# Future study design

The extensive collection of blood samples in our biorepository, combined with clinical data and prospectively collected patient-reported outcomes, provides the opportunity to answer a broad range of research questions. For actiological research on the pathophysiology of postoperative complications, perioperative biomarker dynamics will be studied. The use of DAGs will be encouraged to assess the risk of potential residual confounding.[41] Furthermore, BIGPROMISE enables us to do prediction and diagnostic studies, using biomarkers to improve risk stratification. This includes new model development, but also updating and validating existing risk models. To assess the potential for clinical use, reclassification measures and decision curve analysis will be performed. In addition, we will compare the predictive accuracy of new or non-standard biomarkers (e.g. GDF-15, IL-6) for postoperative complications, with biomarkers that are currently often used in clinical practice (e.g. CRP, leucocytes). Sensitivity, specificity, and positive and negative predictive values will be calculated for biomarker cut-off values, and compared with prior literature reports.

### Public and patient involvement

During the design of this study, we did not involve patient organisations.

### Findings to date

Recruitment for BIGPROMISE started in October 2021. The first patient was enrolled on October 12<sup>th</sup> 2021. Currently (January 1<sup>st</sup> 2024), 3,086 patients were screened for eligibility, of whom 1,785 (58%) provided informed consent for study participation (Supplementary

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Figure 1). Most common types of major surgery are cardiac (49%) and gastro-intestinal procedures (26%). Median age is 66 years (60; 73), 28% are female, and 68% of all patients are classified as ASA physical status class 3 (Supplementary Table 3). The overall incidence of a severe postoperative complications is 16%. We anticipate to enrol approximately 1,000 patients annually.

#### Collaboration

To enable research collaborations in the field of perioperative medicine, the outcome parameters of BIGPROMISE are defined according to international standards as described in PLUTO, a perioperative longitudinal study of complications and long-term outcomes.[42] The design of the BIGPROMISE biobank is based on the results of scientific research and the social interest in reducing the harmful consequences of postoperative complications. Data and biomaterials from BIGPROMISE can be used for future research within the scope of the scientific aim of the study and the informed consent provided by participants: to facilitate biomarker research on risk factors, pathophysiology, and outcomes related to postoperative complications. Investigators who are interested in scientific collaboration may contact the study team through <u>www.bigpromise.nl/contact</u>. Applications will be reviewed by a scientific board according to methodological, statistical, ethical, and legal criteria, in agreement with BIGPROMISE biobank regulations.

## Funding

Expenses for personnel, materials, biomarker assays and storage of blood samples are financed with an external research grant from Roche Diagnostics International. Roche had no role in the design of our study, collection of data, preparation and publication of this manuscript.

## Discussion

The BIGPROMISE biobank is designed to study the postoperative dysregulated stress response in its cause of postoperative complications, by analysing a large collection of perioperative biomarkers in a high-risk surgical population. In recent years, perioperative research on the pathophysiology of postoperative complications has mainly focussed on myocardial injury and inflammation.[17,27-30,31] However, randomized trials that studied interventions targeting one of these pathways did not result in new recommendations for perioperative treatments.[22] For example, while systemic inflammation is associated with poor outcome, treatment with corticosteroids did not improve outcome in two large international randomized controlled trials in cardiac surgery patients.[43,44] This may be explained by two reasons: First, different contributors to the dysregulated stress response may currently be under-recognized. Interventions targeting only a single known pathophysiological pathway may be insufficient to prevent postoperative organ injury and adverse outcomes. Second, perioperative interventions that use a 'one size fits all approach' overlook the fact that not all patients are identical. That is, some patients may develop an overwhelming stress response to surgery, while others exhibit a more balanced or even an underwhelming response. Treating these patients in the same way may have a beneficial effect in some and a detrimental effect in others, with no net result at all. Biomarkers can inform clinicians on which phenotype of dysregulated stress they are dealing with, and guide targeted interventions. Thus, a refined understanding of the postoperative dysregulated stress response is required to find new strategies to improve surgical outcomes. The BIGPROMISE study will use clinical and molecular data to construct (and validate) perioperative prediction models to improve risk stratification and early diagnosis and treatment of severe complications following major surgery. Our study has several limitations: First, blood

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samples are routinely collected and stored until 72 hours after surgery. As a result, pathophysiological mechanism related to complications that occur after that period may remain incompletely studied. Second, postoperative complications were defined in agreement with StEP criteria, as a result postoperative cognitive disorders are not recorded.

Currently, perioperative biomarkers are mainly used for risk management, but their use for the early diagnosis of complications or targeted interventions has potential added value. Despite that a lot is being invested in perioperative biomarker discovery, few biomarkers have made it from bench to bedside. Partly because few large, well-designed studies have been performed on the association between perioperative biomarker levels and adverse outcomes in surgical patients. BIGPROMISE will prospectively assess existing biomarker panels on fresh blood samples to validate their prognostic value for outcomes related to postoperative complications, and systematically collect and store plasma, serum and whole blood samples to allow for future perioperative biomarker discovery and development.

# Authors' contributions

PGN, HJTR, IMD, TCDR initiated the study, PGN, TR, TCDR wrote the draft manuscript. RNI, MSYT, OLC, NH, KS, LMV and IMD critically reviewed the draft manuscript. All authors read and approved the final manuscript.

### **Consent for publication**

Not applicable

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Table 1. Perioperative biomarkers and analyser systems.

Analyzer system	Biomarkers
Sysmex XN	haemoglobin, haematocrit, erytrocytes, mean corpuscular volume,
	mean corpuscular haemoglobin, red cell distribution width, mean
	platelet volume, mean corpuscular haemoglobin concentration,
	leukocytes, trombocytes, neutrophils, lymphocytes, monocytes,
	eosinophils, basophils, reticulocytes, reticulocyte haemoglobin
	equivalent, neutrophil-to-lymphocyte ratio.
<b>Cobas 8000</b>	albumin, aspartate aminotransferase, alanine aminotransferase,
	alkaline phosphatase, bilirubin, calcium, cholesterol, C-reactive
	protein, chloride, creatinin kinase, cystatin C, ferritin, growth
	differentiation factor-15, gamma-glutamyl transferase, glucose, high-
	density lipoprotein, high-sensitive troponin T, insulin-like growth
	factor-1, creatinin, interleukin-6, iron, lactate dehydrogenase, low-
	density lipoprotein, magnesium, neutrophil gelatinase associated
	lipocalin, N-terminal pro B-type natriuretic peptide, pro-calcitonin,
	phosphate, potassium, sex hormone binding globulin, soluble fms-like
	tyrosine kinase-1, sodium, triglycerides, thyroid stimulating hormone,
	free thyroxine, 25 hydroxyvitamin D.
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Figure 2. Perioperative collection, analysis and storage of blood samples

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- Supplementary Table 1. Study variables
  - Supplementary Table 2. Perioperative blood sampling and clinical data collection
- Supplementary Table 3. Baseline characteristics
- Supplementary Figure 1. Flow chart
- Appendix 1. Surgical procedures

Appendix 2. Definitions of outcome measures

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Figure 2. Perioperative collection, analysis and storage of blood samples

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# Appendix 1. Surgical procedures

# **Cardiac surgery**

- Coronary artery bypass grafting
- Aortic valve replacement or repair
- Aortic valve replacement with aortic rooth and ascending aorta replacement (Bentall procedure)
- Mitral valve replacement or repair \_
- Tricuspid valve replacement or repair -
- Combination of procedures above

# **Pulmonary surgery**

- Pneumonectomy \_
- Lobectomy \_
- Bilobectomy -
- Sleeve lobectomy \_
- Segmentectomy

## **Gastrointestinal surgery**

- Small bowel resection
- Ileocecal resection
- Sigmoid resection \_
- Hemicolectomy right or left -
- Transverse colon resection
- Low Anterior resection
- Abdominoperineal resection
- HIPEC

### **Hepatobiliary surgery**

- Pancreaticoduodenectomy (Whipple) \_
- Pylorus preserving pancreaticoduodenectomy (PPPD)

- Distal pancreatectomy -
- Total pancreatectomy

## Vascular surgery

- Open aortic surgery
  - Abdominal aortic aneurysm repair
- Endovascular aortic surgery \_
  - Endovascular aneurysm repair
  - Fenestrated endovascular aneurysm repair
  - Covered endovascular repair of the aortic bifurcation
- Suprainguinal and/or infrainguinal peripheral vascular surgery
  - Percutaneous transluminal angioplasty
  - Bypass surgery
  - Endarterectomy

### **Urologic surgery**

Thrombectomy
Combination of procedures above
gic surgery
Ureteroileostomy (Bricker's procedure) -

3 4	Endpoint definitions:				
5	Table 1: All-cause mortality				
7 <b>Endpoint</b> 8	Definition	Excluded	Additionally reported	Limitation and comments	Ref.
9 All-cause 10 ₁mortality	Death within 30 days of surgery		1-year mortality 2-year mortality		<sup>1</sup> STeP mortality
12 13 14 15	Table 2: Postoperative pulmonary complic	cations	-		Def
<b>Endpoint</b> 17	Definition	Exclude	a	comments	Ket.
<b>Respiratory</b> <b>Failure</b> 20 21 22 23 24 25 26 27 28 29	Postoperative PaO2 < 8 kPa (60 mmHg on room air, a PaO2:FIO2 ratio <40 kPa (300 mmHg) or arterial oxyhaemoglobin saturation measured with pulse oximetry < 90% and requiring oxygen therapy or 5L O2/mir oxygen therapy when arterial saturation or peripheral saturation on room air is not available OR Need for mechanical ventilation >24h postoperative*	1		EPCO definition of respiratory failure (as defined under postoperative pulmonary complications) complemented with *	EPCO definition <sup>2</sup>

# Table 3: Causes of severe respiratory failure

43 Table 3: Causes of severe respiratory failure			
44 Causes of severe respiratory failure		ref	
4ARDS	Berlin definition for ARDS	Berlin definition for ARDS <sup>3</sup>	
<b>4Pleural effusion</b> 48 49 50 51 52 53	Chest radiograph demonstrating blunting of the costophrenic angle, loss of sharp silhouette of the ipsilateral hemidiaphragm in upright position, evidence of displacement of adjacent anatomical structures or (in supine position) a hazy opacity in one hemithorax with preserved vascular shadows	EPCO <sup>2</sup>	
Aneumothorax	Air in the pleural space with no vascular bed surrounding the visceral pleura	EPCO <sup>2</sup>	
56			

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2		
<sup>3</sup> Atelectasis 4 5 6 7	Lung opacification with a shift of the mediastinum, hilum or hemidiaphragm toward the affected area, and compensatory over-inflation in the adjacent non-atelectatic lung	EPCO <sup>2</sup>
Respiratory infection	See table 7	StEP Infection and sepsis <sup>4</sup>
<b>Aspiration pneumonitis</b> 10	Acute lung injury after the inhalation of regurgitated gastric contents	EPCO <sup>2</sup>
1 <b>B</b> ronchospasm	Newly detected expiratory wheezing treated with bronchodilators	EPCO <sup>2</sup>
2 <b>Cardiopulmonary edema</b> 15 16 17 18 19	An elevated jugular venous pressure, respiratory rales/crackles and crepitations, presence of S3 and at least one of the following radiographic findings: (a) Vascular redistribution (b) Interstitial pulmonary oedema (c) Frank alveolar pulmonary oedema AND NT-proBNP >300 pg/ml	Designation trial <sup>5</sup>
20 20 21 22 22	A clinical diagnosis of PE confirmed by helical CT- scan	STeP cardiovascular <sup>6</sup>
23 Hinknown		
25 26 27 28 29 30 31 32 33 34 35		
36 37 38 39 40 41 42 43 44		

<sup>5</sup> Endpoint	Definition	Excluded	Limitation	Ref.
7 9 10 11 12 13 14 15	Composite outcome including: - Cardiac death - Non-fatal cardiac arrest - Coronary revascularization - Myocardial infarction	<ul> <li>Pulmonary embolism</li> <li>Hemorrhage</li> <li>Deep venous thrombosis</li> <li>All-cause mortality</li> </ul>		STeP cardiovascular <sup>6</sup>
1 <b>éardiac death</b> 17 18 19 20 21 22 23 24 25 26	Death with a vascular cause and included those deaths after a myocardial infarction, cardiac arrest, and cardiac revascularization procedure.	<ul> <li>Death after pulmonary embolism</li> <li>Death after hemorrhage</li> <li>Multi-organ failure</li> <li>Cause of death unknown</li> </ul>		STeP cardiovascular <sup>6</sup>
27 28 29 29 30 31 32 33 34 35 36 37 38 39 40	Successful resuscitation from either documented or presumed ventricular fibrillation, sustained ventricular tachycardia, asystole, or pulseless electrical activity requiring cardiopulmonary resuscitation, pharmacological therapy, or cardiac defibrillation			STeP cardiovascular <sup>6</sup>
4 <b>Coronary</b> 4 <b>Revascularizati</b> 4 <b>8</b> n 44 45 46	Percutaneous coronary intervention or coronary artery bypass graft surgery within 30 days of the index surgery.		2	STeP cardiovascular <sup>6</sup>
47 4 <b>Myocardial</b> 4 <b>infarction in</b> 5 <b>00ncardiac</b> 5 <b>slurgery</b> 52 53 54 55 56	Acute myocardial injury with clinical evidence of acute myocardial ischaemia and with detection of an increase or decrease in cTn values with at least one value above the 99th percentile URL and at least one of the following:		No routine ECG after noncardiac surgery	STeP cardiovascular and 4 <sup>th</sup> universa definition of myocardial infarction <sup>6,7</sup>

1 2			
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39	<ol> <li>Symptoms of myocardial ischaemia</li> <li>New ischaemic ECG changes</li> <li>Development of pathological Q waves</li> <li>Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality in a pattern consistent with an ischaemic aetiology</li> <li>Identification of a coronary thrombus by angiography or autopsy Post-mortem demonstration of acute atherothrombosis in the artery supplying the infarcted myocardium Cardiac death in patients with symptoms suggestive of myocardial ischaemia and presumed new ischaemic ECG changes before cTn values become available.</li> </ol>		
<sup>4</sup> Acute 42 43 43 44 49 50 51 52 53 54 55 56	Elevation of cTn values > 10 times the 99th percentile URL in patients with normal baseline cTn values. In patients with elevated pre- procedure cTn in whom cTn levels are stable (< 20% variation) or falling, the postprocedure cTn must rise by > 20%. However, the absolute postprocedural value still must be > 10 times the 99th percentile URL. In		4 <sup>th</sup> universal definition of myocardial infarction <sup>7</sup>

2			
3	addition, one of the following		
4	elements is required:		
5	elements is required.		
6			
7	1. Development of new		
8	pathological Q		
9	waves;*		
10	2. Angiographic		
11	documented new		
12	graft occlusion or now		
13	grant occlusion of new		
14	hative coronary aftery		
15	occlusion;		
16	3. Imaging evidence of		
17	new loss of viable		
18	myocardium or new		
19	regional wall motion		
20	abnormality in a		
21	pattern consistent		
22	with an ischaemic		
23	actiology		
24	aetiology.		
25	*		
26	"Isolated development of new		
27	pathological Q waves meets		
28	cardiac myocardial infarction		
29	criteria if cTn values are		
30	elevated and rising but < 10		
31	times the 99th percentile URL.		
<sup>32</sup> Acute	Detection of an elevated and		StEP
33 mvocardial	increased or decreased cTn		cardiovascular.
34 <b>,</b>	value above the 99th		4 <sup>th</sup> universal
amoncardiac	percentile LIPL is defined as		definition of
Shurgory	myocardial injuny		muneardial
sourgery			information 67
20	The diagnosis will be acute		Infarction *
39 40	myocardial injury if there is		
40	no confirmed diagnosis of		
47	myocardial infarction		
42			
₄Ăcute	Elevation of cTn values > 10	In rhythm	4 <sup>th</sup> universal
₄myocardial	times the 99th percentile URL	surgery and	definition of
4 jury in	in patients with normal	valve surgerv	myocardial
4 <b>Z</b> ardiac	baseline cTn values. In	substantial	infarction $^{7}$ +
49 urgery	natients with elevated pre-	amount of	own
49	procedure cTn in whom cTn	trononin release	interpretation
50	lovels are stable /< 20%	will be related	
51	$(\leq 20\%)$	will be related	
52	variation) or failing, the	to the direct	
53	postprocedure cTn must rise	procedure	
54	by > 20%. However, the	related tissue	
55	absolute postprocedural value	trauma and not	
56	still must be > 10 times the	ischemia.	

1 2				
3 4 5 6 7 8 9	99th percentile URL. The diagnosis will be acute myocardial injury if there is no confirmed diagnosis of myocardial infarction			
1 <b>Acute heart</b> 1 <b>failure</b> 12 13 14 15 16 17 18 19 20 21	An elevated jugular venous pressure, respiratory rales/crackles and crepitations, presence of S3 and at least one of the following radiographic findings: (a) Vascular redistribution (b) Interstitial pulmonary oedema (c) Frank alveolar pulmonary oedema AND NT-proBNP >300 pg/ml		Definition of heart failure did not reach consensus in the StEP initiative.	StEP cardiovascular, heart failure guideline ESC <sup>6,8</sup>
22 Pulmonary 23 24 25 25 26 27	A clinical diagnosis of PE confirmed by helical CT-scan	CC.	Diagnosis will be missed in a large portion of patients	StEP cardiovascular <sup>6</sup>
2 <b>Atrial</b> 2 <b>fibrillation/</b> 3 <b>flutter</b> 31 32 33 34 35 36	New onset of irregularly irregular heart rate in the absence of P waves lasting at least 30 s or for the duration of the ECG recording (if <30 s)		No routine ECG or holter registration postoperatively, except for patients admitted to the ICU or PACU.	StEP <sup>6</sup>
<b><sup>3</sup>Stroke</b> 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55	An embolic, thrombotic or haemorrhagic cerebral event with motor, sensory or cognitive dysfunction (e.g. hemiplegia, hemiparesis, aphasia, sensory deficit, impaired memory). <b>Mild:</b> Results in only temporary harm and would not require specific clinical treatment. <b>Moderate:</b> More serious complication but one which does not usually result in permanent harm or functional			EPCO definition 2

2				
3	limitation. Re	equires clinical		
4	treatment.			
5				
6	Sovoro: Rosu	Its in significant		
7	prolongation	of hospital stay		
8	prolongation			
9	and/or perm	anent functional		
10	limitation or	death. Requires		
11	clinical treatr	ment.		
12				
13 14	Table 5:	Definitions exclusion criteria		
<sup>1</sup> Deep venous th	rombosis	Diagnosis confirmed by 2-		StEP <sup>6</sup> + adaptation to Dutch
16 '		Point Compression		clinical practice standards
17		Ultrasonography of the Lower		
18		Extromity		
19				
20viuiti-organ fail	lure	Altered function in two or		Definitions for sepsis and
21		more organ systems during ar		organ failure <sup>9</sup>
22		acute illness such that		
23		homeostasis cannot be		
24		maintained without		
25		intervention		
_ -Hemorrhage		Acute blood loss		
27 0				
2 <b>All-cause morta</b>	ality	Any cause of death that		
30		doesn't fulfill the criteria for		
31		cardiac death		
32				
33				
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60		For peer review only - http://bmjopen.	bmj.com/site/about/guidelines.xhtn	nl

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# Table 6: Sepsis

4							
5 <b>Endpoint</b> 6 7	Definition	Excluded	Additionally reported	Limitation	Ref.		
8 <b>Sepsis</b> 9 10 11 12 13 14 15 16 17	Increase in SOFA score of 2 or more, with evidence of infection, within 30 days.		Suspected site of infection; SOFA score.		StEP Infection and sepsis <sup>4</sup>		

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2 3 <b>Ta</b>	ble 7: Postoperative respiratory infectious	s complicati	on		
4 5 Ændpoint	Definition	Excluded	Additionally	Limitation	Ref.
7 Postoporativo	Signs/Sumptoms/Laboratory: and of				
Postoperative	the following:		LAD VAD		
10fe et euro	the following.		TAP, VAP,		
	- Four (> 28.0°C or > 100.4°F)				
12	• Fever (> 38.0 C or > 100.4 F)				
13	• Leukopenia ( $\leq 4000$ WBC/mm3) or				
Possible	ieukocytosis (2 12,000 WBC/mm3 )				
15	• For adults $\geq$ 70 years old, altered				
16	mental status with no other recognized				
17	cause				
18					
19	OR				
20					
21	<ul> <li>New onset of purulent sputum or</li> </ul>				
22	change in character of sputum, or				
24	increased respiratory secretions, or				
25	increased suctioning requirements				
26	• New onset or worsening cough, or				
27	dyspnea, or tachypnea				
28	Rales or bronchial breath sounds				
29	Worsening gas exchange				
30					
31	AND				
32					
33 24	Imaging: One chest imaging test result				
35	with at least one of the following:				
36	Pulmonary infiltrate, consolidation or				
37	cavitation				
38	Signs/Symptoms/Laboratory: at least				StEP infection and
<b>Probable</b>	one of the following:		HAP, VAP,		sepsis <sup>4</sup>
41	• Equar (> 28 0°C or > 100 $4^{\circ}$ E)				
42	• rever (> 30.0 C 01 > 100.4 r)		-		
43	- Leukopenia ( $\geq$ 4000 WBC/IIIII3 ) 01				
44 45	Ear adulte > 70 years and altered				
45 46	<ul> <li>For adults ≥ 70 years old, altered</li> </ul>				
40	mental status with no other recognized				
48	cause				
49					
50	AND:				
51					
52	Imaging: two or more serial chest				
53	imaging results with either new and				
54	persistent OR progressive and				
55	persistent changes of				
56 57					

1					
2					
3	infiltrate				
4					
5	- consolidation				
6	- cavitation				
7					
8	(In patients <b>without</b> underlying cardiac				
9	or pulmonary disease <b>one</b> definitive				
10	imaging test result is accentable				
10	inaging test result is acceptable				
11					
12	AND				
15					
14	at least two of the following:				
15					
16	New onset of nurulent soutum or				
1/	change in character of environment				
18	change in character of sputum, or				
19	increased respiratory secretions, or				
20	increased suctioning requirements				
21	<ul> <li>New onset or worsening cough, or</li> </ul>				
22	dyspnea, or tachypnea				
23	Bales or bronchial breath sounds				
24	• Worsoning gas ovchange (with BE				
25	• Worsening gas exchange (with FF				
26	<200, 02 supplementation >5L/min, or	-			
27	start of (non)-invasive ventilation)				
28					
29					
30	Criteria for probable postoperative		Cause: CAP.	Definition of	
<sup>3</sup> Definite	respiratory infection AND		ΗΔΡ ΛΔΡ	StEP + additional	
32	One of the following criteria:		10,01,07,01,	critoria	
33	One of the following criteria.			Criteria	
34	- Positive culture of causative				
35	lung pathogen in respiratory				
36	secretions				
37	<ul> <li>Positive blood culture with</li> </ul>				
38	causative pathogen for				
39	nneumonia				
40	Isolation of a virus or proof of a				
41					
42	viral pathogen in airway				
43	secretion by PCR				
44	<ul> <li>Histopathologic evidence for</li> </ul>				
45	pneumonia				
46					
47					
48					
49					
50					
51					
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54 55					
54 55 56					
54 55 56 57					
54 55 56 57 58					
54 55 56 57 58 59					

1 2 3 <b>T</b> a 4	able 8	8: Causes	postoperative respirator	y infe	ectious comp	blication			
Community acquired pneumonia (CAP) 10 11	Pne afte day	eumonia ( er hospita of admis	occurring on day 0 or 1 al admission, considering ssion as day 0						
14 Hospital 13 14 19 19 10 10 10 10 10 10 10 10 10 10 10 10 10	Pne hosj adm	eumonia o pital adm nission as	occurring ≥ day 2 of hission, considering day of s day 0						
1Ventilator-         Vessociated         1pneumonia         2(VAP)         21         22         23         24         25         26         27         28         29         30       Ta         31	Pne star and	eumonia ( rt of mec l ≤ day 2 ; 9: Absces	occurring ≥ day 2 after the hanical ventilation (MV) after the end of MV.	e No in ve lik Bi op ar co m ve	on- vasive entilation & CPAP, PAP, otiflow re not onsidered echanical entilation.				
32 ⊈ndpoint		Definiti	on		Excluded	Limitation and	Ref.		
34 34 34 34 34 34 34 34 34 34 34 34 34 34 3	ema					comments			
<b>Rossible</b> 37         38         39         40         41         42         43         44         45         46		1. - AND 2.	Low clinical suspicion with one of: Fever Cough, increased respirat secretions debatable Imaging test evidence of abscess or ot infection	n ory her					
<b>Probable</b> 48		1.	High clinical suspicion wit one of:	h			Step <sup>4</sup> with adaptation		

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51

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57 58 Fever

secretions

Cough, increased respiratory

2. Imaging test evidence of

abscess or other infection

-

-

AND

Definite	<b>1.</b> Org	anism seen on Gram stain of			
	lung ti	ssue or pleural fluid, or			
	identi	fication of pathogenic organism			
	from f	luid or tissue from affected site			
	<b>2.</b> Abs	cess or other evidence of			
<b>`</b>	infect	on on gross anatomical or			
) I	histop	athologic			
2	exami	nation			
+ - )					
5					
3	Table 10: Sur	gical site infections			
)	Endpoint	Definition	Excluded	Limitation and comments	Ref.
	Surgical site				
	infection				
	(SSI)				
	Superficial	Involves only skin and			
	incisional SS	I subcutaneous tissue of the			
		incision	4		
			<b>N</b>		
		Patient has at least two of the			
	Possible	following signs or symptoms:			
		- localized pain or			
		tenderness			
		<ul> <li>localized swelling</li> </ul>			
		- erythema			
		- neat.			
	Superficial	Patient has at least one of the			C+ED
	incisional SC	following:			inforti
					and
	Definite	- Purulent drainage from			sensis
		the superficial incision.			4,10
		- Organism(s) identified			
		from an aseptically-			
		obtained specimen from			
		the superficial incision			
		or subcutaneous tissue			
1		by a microbiologic			
		testing method which is			
2		performed for purposes			
3		of clinical diagnosis or			
F 5		treatment.			

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Deep	<ul> <li>Superficial incision that is deliberately opened and culture or non- culture based testing of the superficial incision or subcutaneous tissue is not performed <b>AND</b> Patient has at least one of the following signs or symptoms: localized pain or tenderness, localized swelling, erythema or heat.</li> <li>Abscess at physical examination, re- operation, histopathologic or radiologic examination.</li> </ul>			
incisional SSI	and muscle layers)			
Possible	Patient has at least two of the following signs or symptoms: - localized pain or tenderness - localized swelling - erythema - heat.	210	2	
Definite	Patient has at least <b>one</b> of the following: - Purulent drainage from the deep incision. - a deep incision that spontaneously dehisces, or is deliberately opened <b>AND</b> organism(s) identified from the deep soft tissues of the incision by microbiologic testing which is performed for purposes of clinical diagnosis or treatment, or microbiologic testing is not performed. <b>AND</b>			StEP infection and sepsis 4,10
	patient has at least one of the following signs or symptoms: fever (>38°C), localized pain or tenderness. - an abscess or other evidence of infection involving the deep incision that is detected on gross anatomical or histopathologic exam, or imaging test.			
--------------------	---	----	---	--
Organ/Space SSI	Event involves any part of the body deeper than the fascial/muscle layers that is opened or manipulated during the operative procedure			
Possible	Patient has at least one of the following signs or symptoms: - Fever > 38 C - Pain in the area of surgical procedure (not superficial)			
Probable	Possible criteria AND Imaging test evidence suggestive of infection.	10	2	
Definite	Patient has at least one of the following: a. purulent drainage from a drain that is placed into the organ/space b. organism(s) identified from fluid or tissue in the organ/space performed for purposes of clinical diagnosis or treatment. c. an abscess or other evidence of infection involving the organ/space that is detected on gross anatomical or			StEP infection and sepsis 4,10

#### Table 11: Urinary system infection, blood stream infection, other infection

9 10 11	Endpoint	Definition	Excluded	Additionally reported	Limitation and comments	Ref.
12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 26	Urinary tract infection (Catheter and not catheter related)	<ul> <li>One of the following signs or symptoms:</li> <li>Fever (&gt;38C)</li> <li>Suprapubic tenderness*</li> <li>Costovertebral angle pain or tenderness*</li> <li>Urinary urgency^</li> <li>Urinary frequency^</li> <li>Dysuria^</li> </ul> Microbiologic cultures: Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of ≥10^5 CFU/ml * Without other recognized cause <ul> <li>^ These symptoms cannot be</li> </ul>		Catheter related: If indwelling urinary catheter had been in place for more than 2 consecutive days on the date of event AND was present on the day of the event or removed the day before.		CDC <sup>11</sup>
38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 56	High Urinary system infection	<ul> <li>Identification of pathogenic organism from fluid or tissue from affected site</li> <li>Abscess or other evidence of infection on gross anatomical examination, during invasive procedure, or during histopathologic examination OR one of</li> <li>Fever &gt;38C</li> <li>localised pain or tenderness with no other recognised cause</li> <li>AND ONE OF</li> </ul>				StEP <sup>4</sup>

2					
3		<ul> <li>purulent drainage from</li> </ul>			
4		affected site			
5		- organism identified in			
6		blood by culture or pop			
7		blood by culture of fiole			
8		culture based biological			
9		testing			
10		<ul> <li>imaging suggestive of</li> </ul>			
11		infection which if			
12		equivocal is supported			
13		by clinical correlation,			
14 1 <i>г</i>		specifically physician			
15		documented treatment			
10		for urinary system			
17		infection			
10	Primary	A Laboratory Confirmed	Common		
20	Blood	Readstream Infection (I CRI)	commens		CDC
21	bioou	that is not included in the	ollist		
22	Stredin				
23	Infection	common commensal list and is	see:		
24	(BSI)/	not secondary	Common		
25	Central	to an infection at another body	Commens		
26	line blood	site	al		
27	stream		organism		
28	infection	OR	s include,		
29	(CLBSI)		but are		
30		Patient has at least one of the	not		
31		following signs or symptoms:	limited		
32		fever >38C, chills or	to,		
33		hypotension, and at least one of	diphthero		
25		the following:	ids		
36		C	(Corvneb		
37		(a) Common skin contaminant	acterium		
38		cultured from two or more	spn not		
39		blood cultures drawn on	C		
40		separate occasions	C. dinhthari		
41		(b) Common skin contaminant			
42		(b) common skin containing	a), Daeillue		
43		cultured from a neticat with a			
44		introvegeuler ling	spp. (not		
45		intravascular line,	В.		
46		and the physician institutes	anthracis)		
47		appropriate antimicrobial	,		
48		therapy	Propionib		
49 50		(c) Positive blood antigen test.	acterium		
50			spp.,		
52			coagulase		
53			-negative		
54			staphyloc		
55			occi		
56			(including		
57					

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3	S.
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10	cci.
11	Aerococc
12	us spp.
13	Micrococ
14	cus spp.
15	and
17	Rhodococ
18	cus spp
19	
20	Organism
21	s that are
22	parasites
23	and
24	viruses.
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27	Campylob
28	acter,
29	Salmonell
30	a,
31	Shigella,
32	Listeria,
34	Vibrio
35	and
36	Yersinia
37	as well as
38	C
39	difficile,
40	Enterohe
41 42	morrhagi
43	c E. coli,
44	and
45	Enteropat
46	hogenic
47	E. coli.
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49 50	Blastomy
51	Ces,
52	Histopias
53	ma,
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55	des,
56	Paracocci
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Page 5	4 of 64
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3			dioides,		
4			Cryptoco		
5			cruc and		
6					
7			Pneumoc		
8			ystis.		
9	Infection	Strong clinical suspicion of		CDC and EPCO	CDC <sup>13</sup>
10	eci/ 'other	infection but the source has not		definitions are used	AND
11	infection'	been confirmed because clinical		for 'Infection eci'	FPCO 2
12	meetion	information suggests more than		aritaria Maaddad	LICO
13		mormation suggests more than		criteria. We added	
14		one possible site, OR infection is		'Other infection'	
15		not a respiratory infection,			
16		surgical site infection, primary			
17		bloodstream infection or urinary			
18		tract infection: meeting two or			
10		more of the following criteria:			
20		more of the following criteria.			
20					
21		Core temperature < 36C or			
22		>38C;			
25		white cell count >12x10^9 l-1 or			
24		< 4x10^9  -1.			
25		respiratory rate >20 breaths per			
20		minute or $P_2(O) < 4.7 kP_2$			
27		(25  mm)			
28		(35mmHg);			
29		Pulse rate >90 beats per minute			
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1 2 3 · ·	Table 12: Postoperative renal complicatio	ins		
5 <mark>Endpoint</mark> 6	Definition	Excluded	Limitation and comments	Ref.
7 8 Acute 9 Kidney 1 Injury (AKI) 11 12 13 14 15 16 17 18 19 20 21	Stage 1: Increase in serum creatinine by ≥0.3 mg/dl (≥26.5 µmol/L) within 48 hours OR increase in serum creatinine to 1.5-1.9 times baseline. Stage 2: increase in serum creatinine to 2.0-2.9 times baseline Stage 3: increase in serum creatinine to ≥ 3 times baseline OR increase in serum creatinine to ≥353.6 µmol/L OR initiation of renal replacement therapy			StEP Renal Endpoints
22 23 24	Table 13: Postoperative blood loss			
2 <b>Endpoint</b> 26	Definition	Excluded	Limitation and comments	Ref.
Postoperative         Bleeding in         29         30         31         32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55         56	<ul> <li>Type 1: bleeding that is not actionable and does not cause the patient to seek an unscheduled performance of studies, hospitalization, or treatment by a health care professional; it may include episodes leading to self- discontinuation of medical therapy by the patient without consulting a health care professional.</li> <li>Type 2: any overt, actionable sign of hemorrhage (e.g., more bleeding than would be expected for a clinical circumstance, including bleeding found by imaging alone) that does not fit the criteria for type 3, type 4, or type 5 but does meet at least one of the following criteria: requiring nonsurgical, medical intervention by a health care professional; leading to hospitalization or increased level of care; or prompting evaluation.</li> <li>Type 3a: overt bleeding plus a hemoglobin drop of 3 to 5 g/dL* (provided the hemoglobin drop is</li> </ul>			<sup>15</sup> BARC
57 58				

2			
3	related to bleed): any transfusion with		
4	overt bleeding		
5	overt bleeding.		
6			
7	Type 3b: overt bleeding plus a		
8	hemoglobin drop of 5 g/dL (provided		
9	the hemoglobin drop is related to		
10	bleed): cardiac tamponade: bleeding		
11	requiring surgical intervention for		
12	requiring surgical intervention for		
13	control (excluding dental, nasal, skin,		
14	and hemorrhoid); bleeding requiring		
15	intravenous vasoactive agents.		
16			
17	Type 3c: intracranial hemorrhage (does		
17	not include microbleeds or		
10	homorrhagic transformation doos		
20	in church interpreter all the church of the		
20	include intraspinal); subcategories		
21	confirmed by autopsy or imaging, or		
22	lumbar puncture; intraocular bleed		
23	compromising vision.		
24			
25	Type 4: coronary artery hypass		
26	grafting related blooding:		
27	gratting-related bleeding,		
28	perioperative intracranial bleeding		
29	within 48 hours; reoperation after		
30	closure of sternotomy for the purpose		
31	of controlling bleeding; transfusion of		
32	5 U of whole blood or packed red		
33	hlood cells within a 48-hour period		
34	chest tube output 2 L within a 24-hour		
35	chest tube output 2 E within a 24-nou		
36	perioa.		
37			
38	Type 5a: probable fatal bleeding; no		
39	autopsy or imaging confirmation but		
40	clinically suspicious.		
41			
42	Type 5h: definite fatal bleeding: overt		
43	blooding or autonov, or imaging		
44	serfimention		
45	confirmation.		
4 costoperative	Postoperative bleeding Clavien Dindo		
4 <b>5</b> leeding	classification ≥3		
49oncardiac			
<sup>49</sup> surgery			
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In addition, all adverse events in the postoperative period will be graded according to the Clavien-Dindo system<sup>16</sup>:

Grade I	Any deviation from the normal postoperative course without the need for
	pharmacological treatment or surgical, endoscopic and radiological interventions.
	Allowed therapeutic regimens are: drugs as anti-emetics, antipyretics, analgetics,
	diuretics and electrolytes and physiotherapy. This grade also includes wound infections
	opened at the bedside.
Grade II	Requiring pharmacological treatment with drugs other than such allowed for grade I
	complications. Blood transfusions and total parenteral nutrition are also included.
Grade III	Requiring surgical, endoscopic or radiological intervention
	a. Intervention not under general anesthesia
	b. Intervention under general anesthesia
Grade IV	Life-threatening complication (e.g. brain hemorrhage, ischemic stroke, subarrachnoidal
	bleeding, but excluding transient ischemic attacks) requiring Intensive Care management
Grade V	Death of a patient

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\* Reasons for exclusion: logistics have gical indication in blood drawingt possible or competing study

# **BIGPROMISE** study parameters

# Biomarkers

PCT, CRPhs, IL-6, GDF-15, sFLT, NT-proBNP, cTNThs, CysC and NGAL, Hb, Ht, MCV, RDW, reticulocytes, RET-He thrombocytes, leucocytes, MPV, urea, creatinine, sodium, potassium, chloride, calcium, phosphate, magnesium, ASAT, ALAT, LDH, ALP, gamma GT, bilirubin, CK, albumin, glucose, Cholesterol, Triglycerides, HDL-cholesterol, LDL-cholesterol, serum iron, ferritin, transferrin saturation, vitamin D, TSH, FT4, igf-1, SHBG, NLR.

# **Medical History**

Age in years, Sex, BMI (kg/m2), Unintentional weight loss (>3kg) over the past 3 months, Smoking status, Alcohol consumption, Diabetes Mellitus, COPD Hypertension, Congestive heart failure, Atrial fibrillation, Stroke, Myocardial infarction, Prior cardiac surgery, Peripheral artery disease, Chronic renal failure, history of cancer, Left ventricular ejection fraction, NYHA class, EuroSCORE, ASA classification, Charlson comorbidity index, Disability, Clinical Frailty Scale

# **Medication Use**

Beta blockers, ACE inhibitor, Angiotensin receptor blockers, Diuretics, Plateletinhibitors, Steroids, Calciumchannel inhibitors, Non-steroidal anti-inflammatory drugs, Statins, other immunosuppressive drugs, levothyroxin use, Paracetamol, Opioids, Anitdepressants, Anticonvulsiva

# **Operative details**

Surgery type, surgical approach, urgency, Epidural Analgesia, Sevoflurane use, Oxygen saturation before induction of anaesthesia (first measured on the OR), Intraoperative hypotension (MAP <55 mmHg, non-cardiac surgery only), Fluid balance end of surgery (in ml), Estimated operative blood loss (in ml), Cell saver use, Lowest mean arterial pressure, Lowest operative heart rate (bpm), Surgical APGAR score (number), Allogenic blood product transfusion, Coagulation products and medication,

Intraoperative steroids, Cardiopulmonary bypass time, Aortic cross clamping, (minutes), surgery duration (min)

# Postoperative details

Modified early warning score (postoperative day 1-7), postoperative pain score (NRS, max and mean, postoperative day 1-7), Packed Red Blood cells transfusion (postoperative day 1-7), other allogenic blood products (postoperative day 1), Coagulation products and medication (postoperative day 1), reoperation.

# Admission and Discharge

Hospital length of stay (LOS), ICU LOS, ICU re-admission, hospital re-admission, days alive and out of hospital 120 days.

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Supplementary Table 1. Perioperative blood sampling and clinical data collection

	OC	Before	After	POD 1	POD 2	POD 3	30 days	120 days	1 year	2 years
		surgery	surgery							
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Informed		v		20						
consent		Λ			to.					
Data		v					v	v	Y	v
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Blood		v	v	v	v	v	05			
sample		Λ	Λ	Λ	Λ	Λ				
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aire		Λ						Λ		

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# Supplementary Table 1

Characteristics	N (%)	Missing values N (%)
Number of participants	1,750	
Age	66 [60, 73]	7 (0.4%)
Female	481 (27.6%)	5 (0.3%)
ASA class		28 (1.6%)
ASA I	30 (1.7%)	
ASA II	422 (24.5%)	
ASA III	1,169 (67.9%)	
ASA IV	101 (5.9%)	
ASA V	0 (0.0%)	
Clinical Frailty Score, age >65 years	1,029	13 (1.2%)
Fit (1-3)	642 (62.4%)	
Risk of frailty (4)	231 (22.4%)	
Mild frailty (5)	84 (8.2%)	
Frail (6-8)	59 (5.7%)	
Cardiac Surgery	852 (48.7%)	0 (0%)
CABG	444 (25.4%)	
AVR	157 (9.0%)	
MVP/R	107 (6.1%)	
CABG + AVR	106 (6.1%)	

Bentall procedure	46 (2.6%)	
CABG + MVP/R	16 (0.9%)	
AVR + MVP/R	13 (0.7%)	
TVP	3 (0.2%)	
AVR + TVP	2 (0.1%)	
Other	4 (0.2%)	
Pulmonary Surgery	76 (4.3%)	0 (0%)
Segmentectomy	61 (3.5%)	
Lobectomy	3 (0.2%)	
Pneumonectomy	12 (0.7%)	
Gastro-Intestinal- and Hepatobiliary surgery	452 (25.8%)	0 (0%)
Colorectal surgery	280 (16.0%)	
Pancreatic surgery	118 (6.7%)	
Other Gastro-intestinal surgery	54 (3.1%)	
Vascular Surgery	194 (10.1%)	0 (0%)
Aortic surgery	100 (5.7%)	
Peripheral vascular surgery	94 (5.4%)	
Cystectomy	41 (2.4%)	0 (0%)
Reoperation	39 (2.2%)	0 (0%)
Open approach	1,106 (63.2%)	0 (0%)
Biobank participant	1,593 (91.0%)	0 (0%)

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1 2	
3 4	Baseline characteristics of the BIGPROMISE cohort at January 1 <sup>st</sup> 2024. Data are displayed
5 6	in numbers (%) or median (Interquartile range). ASA: American Society of
7 8	Anaesthesiologists. CABG: Coronary artery bypass grafting. AVR: Aortic valve replacement.
9	MVP/R: Mitral valve plasty / Replacement. TVP: Tricuspid valve plasty.
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# **BMJ Open**

# Cohort profile of BIGPROMISE: a perioperative biobank of a high-risk surgical population

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# Cohort profile of BIGPROMISE: a perioperative biobank of a high-risk surgical population

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#### Funding

This study is supported by a research grant from Roche Diagnostics International.

#### **Conflicts of interest**

PN has participated in advisory boards for perioperative use of biomarkers, for which he has received a honorarium by Roche Diagnostics (Rotkreuz, Switzerland). PN and TR have held lectures on perioperative biomarkers for which they have received a honorarium by Roche Diagnostics. OC has received research grants from ImmuneXpress Inc. (Seattle, WA) and Abionic SA (Epalinges, Switzerland) for related work. HR, TR, RI, MT, NH, KS, LV and ID have no conflicts of interest.

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#### 

# Abstract

**Purpose:** Postoperative complications increase mortality, disability, and costs. Advanced understanding of the risk factors for postoperative complications is needed to improve surgical outcomes. This paper discusses the rationale and profile of the BIGPROMISE (biomarkers to guide perioperative management and improve outcome in high-risk surgery) cohort, that aims to investigate risk factors, pathophysiology, and outcomes related to postoperative complications.

Participants: Adult patients undergoing major surgery in two tertiary teaching hospitals.
Clinical data and blood samples are collected before surgery, at the end of surgery, and on the first, second and third postoperative day. At each time point a panel of cardiovascular, inflammatory, renal, haematological, and metabolic biomarkers is assessed. Aliquots of plasma, serum, and whole blood of each time point are frozen and stored. Data on severe complications are prospectively collected during 30 days after surgery. Functional status is assessed before surgery and after 120 days using the World Health Organization Disability Assessment Schedule (WHODAS) 2.0. Mortality is followed up until two years after surgery.
Findings to date: The first patient was enrolled on October 8<sup>th</sup> 2021. Currently (Jan 1<sup>st</sup> 2024) 3,086 patients were screened for eligibility, of whom 1,750 (57%) provided informed consent for study participation. Median age was 66 years (60; 73), 28% were female, and 68% of all patients were American Society of Anaesthesiologists (ASA) physical status class 3. Most common types of major surgery were cardiac (49%) and gastro-intestinal procedures (26%). The overall incidence of 30-day severe postoperative complications was 16%.

**Future plans:** By the end of the recruitment phase, expected in 2026, approximately 3,000 patients with major surgery will have been enrolled. This cohort allows us to investigate the role of pathophysiological perioperative processes in the cause of postoperative complications,

and to discover and develop new biomarkers to improve risk stratification for adverse

postoperative outcomes.

**Trial registration number** NCT05199025

### Data availability statement

Data sharing not applicable as a preliminary dataset was generated for this report.

Keywords: major surgery, biomarkers, outcomes, postoperative complications, disability

# Strengths and limitations

- A large prospective collection of perioperative blood samples and clinical data in a high-risk surgical population.
- Postoperative complications and functional outcomes are defined according to international standards to facilitate research collaborations.
- A perioperative biomarker panel is prospectively assessed on fresh blood samples to elucidate the role of pathophysiological processes in the cause of postoperative complications.
- Multiple sample aliquots of plasma, serum and whole blood are frozen and stored in a central archive, allowing future perioperative biomarker discovery and development.
- This study is limited to blood samples that are collected and stored until 72 hours after surgery.

# Introduction

Worldwide, more than 330 million patients have surgery each year.[1] Depending on type of surgery and co-existing diseases, 10-30% of patients suffer severe postoperative complications.[2-5] Common adverse events are infections (e.g. pneumonia, surgical site infection), myocardial infarction, respiratory failure, and acute kidney injury. Postoperative complications are important determinants of long-term mortality and poor health after surgery,[6-8] impair quality of life and may increase hospital costs up to four times.[9,10]

Surgical trauma triggers a systemic stress response, that involves a complex neuroendocrine and immunological reaction to local tissue injury. Local tissue trauma activates the innate immune system, with pro- and anti-inflammatory cytokines triggering systemic inflammation and the hypothalamic-pituitary-adrenal (HPA) axis. This results in stimulation of the sympathetic nervous system, alongside several other hormonal pathways, to maintain physiological homeostasis.[11] The effects of these pathways change perioperative organ perfusion, water balance and cellular metabolism. A postoperative dysregulated stress response can be detrimental as excessive systemic inflammation, immunosuppression, hypermetabolism, and hypercoagulation can lead to organ failure and death.[11,12] The most important determinant of a postoperative dysregulated stress response is the nature and extent of surgery. In addition, non-surgical factors such as ageing, coexisting diseases and deconditioning, are contributing factors (Figure 1).[13]

In perioperative medicine, a biomarker is considered an indicator of a preoperative (patho)physiological process (e.g. ageing, chronic disease), or response to surgery (e.g. organ injury, inflammation). Numerous publications have raised awareness of the added value of biomarkers in perioperative medicine. However, heterogeneity in study design and methodological limitations have hindered implementation. First, many studies focussed on the

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cardiovascular pathophysiology of postoperative complications, but used a wide range of clinical (non-standardized) outcomes. This complicates the interpretation of results, and makes the usefulness of perioperative biomarkers unclear.[14,15] Second, researchers often use a single-marker approach (e.g. cardiac troponin, interleukin-6) to study perioperative risk and pathophysiology of complications.[16,17] However, the complex aetiology of postoperative complications involves multiple pathophysiological processes, which are likely better reflected by a panel of multiple biomarkers.[11] A concept that has not been well studied in perioperative medicine, yet.[18,19] Third, in addition to risk stratification, and prognosis, the application of perioperative biomarkers covers early diagnosis of complications, and targeted interventions to improve postoperative outcomes, both of which have been incompletely studied. As a result, few biomarkers make it from bench to bedside, despite significant investment in perioperative biomarker research.[20,21] The 'biomarkers to guide perioperative management and improve outcome in high-risk surgery' (BIGPROMISE) cohort will prospectively assess a wide range of perioperative biomarkers in fresh blood samples, and systematically collect and store plasma, serum and whole blood samples to allow for future biomarker discovery. This manuscript describes the rationale and design of the BIGPROMISE cohort, which primarily aims to facilitate biomarker research on risk factors, pathophysiology, and outcomes related to standardized postoperative complications in patients undergoing major elective surgery.

# **Cohort description**

 The BIGPROMISE cohort prospectively studies patients undergoing elective major cardiac or non-cardiac surgery, and is an initiative of the departments of Anaesthesiology, Intensive Care and Pain Medicine of St. Antonius hospital and Amphia hospital in the Netherlands. The study protocol was filed at Clinicaltrials.gov under registration number NCT05199025, and received approval from the Medical research Ethics Committees United (NL74076.100.20). The current protocol version is 6.1 (21-06-2023) and available upon request. The biobank samples are stored by a third party biobank provider (Azenta, Griesheim, Germany).

# Setting

Patients are recruited in two tertiary teaching hospitals (St. Antonius hospital and Amphia hospital, the Netherlands). Based on the historical surgical volumes of both facilities, approximately 2,500 - 3,000 patients are eligible for inclusion each year. BIGPROMISE biobank aims to collect clinical data and blood samples of at least 1,000 patients per year. BIGPROMISE will be recruiting at least until 2025, and possibly longer depending on capacity and funding.

BIGPROMISE biobank is an investigator-initiated research collaboration between anaesthesiologists, intensivists and clinical chemists, in collaboration with surgeons, and Roche Diagnostics International (Penzberg, Germany), a large biotech company, and worldwide provider of in-vitro diagnostics.

### Inclusion criteria and patient recruitment

Adult patients (>18 years) undergoing elective major surgery under general anaesthesia are eligible for participation. Surgical risk is an estimate of procedure-specific risk of 30-day mortality, without taking age, gender, frailty, or coexisting diseases into account.[22,23] The

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following types of major surgery are considered in our study: cardiac, vascular, gastrointestinal, hepatobiliary, urologic, and pulmonary surgery. A full list of surgical procedures is provided in Appendix 1. Patients not providing written informed consent, patients not able to complete questionnaires in Dutch, patients who are pregnant, patients undergoing emergency surgery, and patients with a life expectancy less than six months are excluded from participation in this study.

Eligible patients scheduled for surgery are contacted by telephone by trained study personnel. Patients are informed about the purposes of the biobank and will receive an information letter by (e-)mail, if they consider to participate. Written informed consent is obtained at time of hospital admission by a member of the study team. This includes the collection of patient characteristics and clinical data, blood samples for biomarker analysis in fresh blood samples during hospital stay and a functional status questionnaire. Additionally, a written permission is separately obtained for: collection, handling and storage of blood samples in a dedicated biobank for future biomarker discovery, permission to be contacted for further research.

### **Data collection**

Prior to surgery, baseline data are collected regarding patient characteristics, medical history, chronic pain, previous laboratory results, frailty, and functional status (Supplementary Table 1). Preoperative study data are collected from electronic patient records, from dedicated questionnaires (12-item World Health Organization Disability Assessment Schedule (WHODAS) 2.0 for functional status, and from a numeric rating scale (NRS) for pain.[24,25] Study data during hospital admission are variables related to surgery and anaesthesia, clinical course, laboratory results, complications, and pain, which will be extracted from electronic

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patient records. After hospital discharge, postoperative complications will be registered until 30 days after surgery. Further outcome data consist of days alive and out of hospital after 120 days, patient-reported information on functional status and pain after 120 days, and mortality up to two years.

Study data are collected and managed using REDCap which is an electronic data capture tool. REDCap is a secure, web-based software platform and compliant with Good Clinical Practice guidelines.[26] Postoperative pain scores, vital parameters (modified early warning scores), and the results of perioperative biomarker panels are extracted semiautomatically from electronic medical files (Epic Systems Corporation, United States; Metavision, iMD Soft, Israel), and the local laboratory information management systems (GLIMS, Clinisys GLIMS, Belgium, and MOLIS, CompuGroup, Belgium). Postoperative complications are noted and classified by a dedicated researcher (TR, MT), and validated by an experienced perioperative physician (PGN, TCDR), prior to manual registration in the database. Follow-up data for functional outcomes are registered using electronic and paper questionnaires (WHODAS 2.0). Long-term mortality is assessed using the Dutch municipality register of deceased persons to obtain date of death. Quality assurance of study data is annually performed by an independent monitor. Data records are coded, the key to the code is kept securely in each participating centre.

#### Blood sample collection and processing

Blood samples are collected at five perioperative time points: after induction of general anaesthesia (baseline), at the end of surgery, and on the morning of the first, second and third postoperative day. Blood is collected from an arterial line (if applicable) or venepuncture into vacuum blood collecting tubes, according to the schedule presented in Supplementary Table 2.

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In all study patients, blood samples are centrifuged at 1800 x g for 5 minutes and used to analyse a panel of 50 biomarkers at each perioperative time point (Table 1, Figure 2). Biomarker analyses are performed at the local hospital laboratory on Roche Cobas 8000 and Sysmex XN platforms. Results of perioperative biomarker analysis are captured in local laboratory information management systems and uploaded to a central web application for research data (REDCap).

In patients with written consent for biobanking, an additional 21 ml blood is processed for storage at -80 degrees Celsius as whole blood (3ml), and serum and plasma after centrifugation at 2000 g for 10 minutes or 4000 g for 5 minutes (Figure 2). Aliquots of plasma, serum, and whole blood are frozen and stored within 6 hours after collection. Aliquots are stored in a dedicated biobank facility (Azenta, Griesheim, Germany).

#### **Biomarker panel**

The selection of biomarkers is based on the hypothesis that a postoperative dysregulated stress response, which we briefly explained in the introduction section, is associated with postoperative complications, through systemic inflammation, immunosuppression, hypermetabolism, hypercoagulation, and organ injury, and that serum biomarkers reflect (part of) these pathways or any downstream effect.[11-13] Furthermore, we considered previous literature reports on the pathophysiology of postoperative complications, availability and reproducibility of biomarker assays, current practice, and costs. For pragmatic reasons, biomarkers are categorized according to pathways involved in the pathogenesis of postoperative complications, as follows (Figure 1):

#### Cardiovascular

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Chronic cardiac disease, such as coronary artery disease (CAD) and heart failure (HF), are key risk factors for postoperative complications. Common risk factors (e.g. diabetes mellitus, renal insufficiency, peripheral artery disease) are strongly associated with undiagnosed cardiac disease. In these patients, biomarkers may improve preoperative cardiac risk assessment. Surgery leads to activation of the sympathetic nervous system, inflammation, hypercoagulable and catabolic states, which put patients at risk for postoperative myocardial infarction/injury (PMI). PMI is the most common CV complication and asymptomatic in the vast majority of surgical patients, but has been associated with myocardial dysfunction, respiratory and renal failure, mortality, and disability.[27-30]

#### Inflammation

 Low grade inflammation causes endothelial- and organ dysfunction in chronic disease (e.g. CAD, HF, renal insufficiency, diabetes mellitus) and puts patients at increased risk for PMI and renal dysfunction.[17,31,32] Biomarkers reflecting these processes may identify patients with (subclinical) organ dysfunction. Surgery activates the innate immune system, and the production of pro- and anti-inflammatory processes in the body. Although this is essential for healing, a postoperative dysregulated inflammatory response increases the risk of infectious complications by immune suppression, and organ dysfunction through endothelial injury.[32] Biomarkers may aid physicians in discriminating between postoperative dysregulated inflammation and infection.

#### Metabolic

Preoperative deficiencies of nutrients or vitamins are risk factors for endothelial dysfunction, immune dysfunction, and cardiovascular disease.[33,34] Activation of the HPA axis and several other hormonal pathways change the production of cortisol, growth hormone, thyroid

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hormone, and insulin. The negative effects are hypermetabolism and hypercatabolism, leading to hyperglycaemia, release of fatty acids, and muscle wasting, which can be detrimental for postoperative recovery.[11]

#### Haematological

Anaemia is a risk factor for postoperative complications and disability, most likely trough tissue hypoxemia, organ injury and poor functional capacity.[35,36] In surgical patients, anaemia is often caused by (functional) iron deficiency, blood loss, and inflammation. Besides erythropoiesis, iron deficiency also impairs oxidative metabolism and cellular immunity. The negative effects of poor iron metabolism are aggravated by increased hepcidin concentrations in response to a postoperative dysregulated inflammatory response, for instance due to blood loss after major surgery. Besides, systemic inflammation initiates bone marrow reprogramming and a decreased erythrocyte lifespan. This may explain why an abnormal iron status has been associated with postoperative complications, even without anaemia.[37] A high red cell distribution width (RDW) is a common marker of oxidative stress, chronic inflammation, cardiovascular disease, and is associated with adverse events after surgery.[38]

#### Renal

Chronic kidney disease associated azotaemia, hypervolemia and anaemia increase after surgery, and are considered to be major risks for postoperative complications. As a result of sympathetic nervous activation, vasoconstriction may decrease renal blood flow and glomerular filtration rate. The renin-angiotensin-aldosterone-system is activated resulting in water and salt retention and further systemic vasoconstriction.[11] Up to 20% of major surgery patients sustain acute kidney injury (AKI). Even when renal function returns to baseline at the time of hospital discharge, the risk for long-term mortality and disability remains increased.[33]

#### **Outcome measures**

Postoperative outcomes are registered after review of medical charts and diagnostic test results. Causes of outcome measures are classified according to international criteria (Appendix 2) as follows:

- Respiratory failure, defined according to European Perioperative Clinical Outcome (EPCO) definitions, including: ARDS, pleural effusion, pneumothorax, atelectasis, respiratory infection, aspiration pneumonitis, bronchospasm, cardiopulmonary oedema, and pulmonary embolism. Postoperative hypoxemia (i.e. saturation <90% on room air, or oxygen therapy >5L O<sub>2</sub>/min) will be registered as respiratory failure.
- 2. Major adverse cardiac events, defined in agreement with the Standardised Endpoints in Perioperative medicine (StEP) criteria for cardiovascular outcomes and the 4<sup>th</sup> universal definition of myocardial infarction, including: cardiac death, non-fatal cardiac arrest, coronary revascularization, myocardial infarction/injury, heart failure, pulmonary embolism, atrial fibrillation, and stroke.
- 3. Infections, defined according to StEP criteria for infection and sepsis, including: sepsis, pneumonia, empyema, surgical site infection, urinary system infection, blood stream infection. For all events, the probability of infection will be categorised using a four-point scale (none, possible, probable and definite infection).
- Acute kidney injury, defined by the StEP criteria and classified as stage 1-3 based on postoperative serum creatinine concentrations or initiation of renal replacement therapy.

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 Bleeding, according to the standardized definitions from the bleeding academic research consortium (BARC) for cardiac surgery. Postoperative bleeding after noncardiac surgery is graded according to the modified Clavien-Dindo classification.

- 6. Postoperative pain, registered daily using the NRS. Scores range from 0 (no pain) to 10 (maximum pain). Chronic pain is defined as surgery related pain >3 months after surgery. The impact of chronic pain is assessed with the 12-item WHODAS 2.0 questionnaire, supplemented with several dedicated questions regarding surgery related pain (i.e. duration of pain, severity of pain and relation with the surgical procedure).
- 7. Disability, measured according to the self-assessment 12-item WHODAS 2.0 before and after surgery, and reported as a percentage score of functional limitations. Scores range from zero (no disability) to one-hundred percent (fully disabled). New clinically important disability is defined as a change >5% or more after surgery.
- 8. Mortality, registered as failure to rescue (i.e. hospital mortality following a major postoperative complication), 30-day mortality, days alive and out of the hospital at 120 days, 1-year mortality and 2-year mortality.

The severity of a complication is graded according to the modified Clavien-Dindo classification.[39]

# Study size

By the end of the recruitment phase approximately 3,000 patients with major surgery will have been enrolled. Our study cohort allows us to validate, update and/or develop prediction models including 55 candidate predictors, based on an incidence of 15% for severe complications, a global shrinkage factor  $\geq 0.9$  and a c-statistics of 0.80.[40] To investigate pathophysiological differences between patients with and without a severe postoperative complications, a minimal effect size of 0.25 can be demonstrated using an  $\alpha$  of 0.05 and a  $\beta$  of 0.95.

# Future study design

 The extensive collection of blood samples in our biorepository, combined with clinical data and prospectively collected patient-reported outcomes, provides the opportunity to answer a broad range of research questions. For aetiological research on the pathophysiology of postoperative complications, perioperative biomarker dynamics will be studied. The use of DAGs will be encouraged to assess the risk of potential residual confounding.[41] Furthermore, BIGPROMISE enables us to do prediction studies, using biomarkers to improve risk stratification. This includes new model development, but also updating and validating existing risk models. To assess the potential for clinical use, reclassification measures and decision curve analysis will be performed. In addition, we will compare the predictive accuracy of new or non-standard biomarkers (e.g. GDF-15, IL-6) for postoperative complications, with biomarkers that are currently often used in clinical practice (e.g. CRP, leucocytes). Sensitivity, specificity, and positive and negative predictive values will be calculated for biomarker cut-off values, and compared with prior literature reports.

#### Public and patient involvement

During the design of this study, we did not involve patient organisations.

#### Findings to date

Recruitment for BIGPROMISE started in October 2021. The first patient was enrolled on October 12<sup>th</sup> 2021. Currently (January 1<sup>st</sup> 2024), 3,086 patients were screened for eligibility, of whom 1,785 (58%) provided informed consent for study participation (Supplementary

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Figure 1). Most common types of major surgery are cardiac (49%) and gastro-intestinal procedures (26%). Median age is 66 years (60; 73), 28% are female, and 68% of all patients are classified as ASA physical status class 3 (Supplementary Table 3). The overall incidence of a severe postoperative complications is 16%. We anticipate to enrol approximately 1,000 patients annually.

#### Collaboration

To enable research collaborations in the field of perioperative medicine, the outcome parameters of BIGPROMISE are defined according to international standards as described in PLUTO, a perioperative longitudinal study of complications and long-term outcomes.[42] The design of the BIGPROMISE biobank is based on the results of scientific research and the social interest in reducing the harmful consequences of postoperative complications. Data and biomaterials from BIGPROMISE can be used for future research within the scope of the scientific aim of the study and the informed consent provided by participants: to facilitate biomarker research on risk factors, pathophysiology, and outcomes related to postoperative complications. Investigators who are interested in scientific collaboration may contact the study team through <u>www.bigpromise.nl/contact</u>. Applications will be reviewed by a scientific board according to methodological, statistical, ethical, and legal criteria, in agreement with BIGPROMISE biobank regulations.

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## Discussion

The BIGPROMISE biobank is designed to study the postoperative dysregulated stress response in its cause of postoperative complications, by analysing a large collection of perioperative biomarkers in a high-risk surgical population. In recent years, perioperative research on the pathophysiology of postoperative complications has mainly focussed on myocardial injury and inflammation.[17,27-30,31] However, randomized trials that studied interventions targeting one of these pathways did not result in new recommendations for perioperative treatments.[22] For example, while systemic inflammation is associated with poor outcome, treatment with corticosteroids did not improve outcome in two large international randomized controlled trials in cardiac surgery patients.[43,44] This may be explained by two reasons: First, different contributors to the dysregulated stress response may currently be under-recognized. Interventions targeting only a single known pathophysiological pathway may be insufficient to prevent postoperative organ injury and adverse outcomes. Second, perioperative interventions that use a 'one size fits all approach' overlook the fact that not all patients are identical. That is, some patients may develop an overwhelming stress response to surgery, while others exhibit a more balanced or even an underwhelming response. Treating these patients in the same way may have a beneficial effect in some and a detrimental effect in others, with no net result at all. Biomarkers can inform clinicians on which phenotype of dysregulated stress they are dealing with, and guide targeted interventions. Thus, a refined understanding of the postoperative dysregulated stress response is required to find new strategies to improve surgical outcomes. The BIGPROMISE study will use clinical and molecular data to construct (and validate) perioperative prediction models to improve risk stratification and early diagnosis and treatment of severe complications following major surgery. Our study has several limitations: First, blood

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samples are collected and stored for study purposes until 72 hours after surgery. As a result, pathophysiological mechanism related to complications that occur after that period may remain incompletely studied. Second, postoperative complications were defined in agreement with StEP criteria, as a result perioperative neurocognitive disorders are not recorded.

Currently, perioperative biomarkers are mainly used for risk management, but their use for the early diagnosis of complications or targeted interventions has potential added value. Despite that a lot is being invested in perioperative biomarker discovery, few biomarkers have made it from bench to bedside (e.g. cardiac troponin, N-terminal pro B-type natriuretic peptide).<sup>22</sup> Partly because few large, well-designed studies have been performed on the association between perioperative biomarker levels and adverse outcomes in surgical patients. BIGPROMISE will prospectively assess existing biomarker panels on fresh blood samples to validate their prognostic value for outcomes related to postoperative complications, and systematically collect and store plasma, serum and whole blood samples to allow for future perioperative biomarker discovery and development.

### **Authors' contributions**

PGN, HJTR, IMD, TCDR initiated the study, PGN, TR, TCDR wrote the draft manuscript. RNI, MSYT, OLC, NH, KS, LMV and IMD critically reviewed the draft manuscript. All authors read and approved the final manuscript.

## **Consent for publication**

Not applicable

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Table 1. Perioperative biomarkers and analyser systems.

Analyzer system	Biomarkers
Sysmex XN	haemoglobin, haematocrit, erytrocytes, mean corpuscular volume,
	mean corpuscular haemoglobin, red cell distribution width, mean
	platelet volume, mean corpuscular haemoglobin concentration,
	leukocytes, trombocytes, neutrophils, lymphocytes, monocytes,
	eosinophils, basophils, reticulocytes, reticulocyte haemoglobin
	equivalent, neutrophil-to-lymphocyte ratio.
<b>Cobas 8000</b>	albumin, aspartate aminotransferase, alanine aminotransferase,
	alkaline phosphatase, bilirubin, calcium, cholesterol, C-reactive
	protein, chloride, creatinin kinase, cystatin C, ferritin, growth
	differentiation factor-15, gamma-glutamyl transferase, glucose, high-
	density lipoprotein, high-sensitive troponin T, insulin-like growth
	factor-1, creatinin, interleukin-6, iron, lactate dehydrogenase, low-
	density lipoprotein, magnesium, neutrophil gelatinase associated
	lipocalin, N-terminal pro B-type natriuretic peptide, pro-calcitonin,
	phosphate, potassium, sex hormone binding globulin, soluble fms-like
	tyrosine kinase-1, sodium, triglycerides, thyroid stimulating hormone,
	free thyroxine, 25 hydroxyvitamin D.
	1

Figure 2. Perioperative collection, analysis and storage of blood samples

<text>

- Supplementary Table 1. Study variables
  - Supplementary Table 2. Perioperative blood sampling and clinical data collection
- Supplementary Table 3. Baseline characteristics
- Supplementary Figure 1. Flow chart
- Appendix 1. Surgical procedures

Appendix 2. Definitions of outcome measures

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Figure 2. Perioperative collection, analysis and storage of blood samples

338x190mm (96 x 96 DPI)

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# Appendix 1. Surgical procedures

# **Cardiac surgery**

- Coronary artery bypass grafting
- Aortic valve replacement or repair
- Aortic valve replacement with aortic rooth and ascending aorta replacement (Bentall procedure)
- Mitral valve replacement or repair \_
- Tricuspid valve replacement or repair -
- Combination of procedures above

# **Pulmonary surgery**

- Pneumonectomy \_
- Lobectomy \_
- Bilobectomy -
- Sleeve lobectomy \_
- Segmentectomy

## **Gastrointestinal surgery**

- Small bowel resection
- Ileocecal resection
- Sigmoid resection \_
- Hemicolectomy right or left -
- Transverse colon resection
- Low Anterior resection
- Abdominoperineal resection
- HIPEC

### **Hepatobiliary surgery**

- Pancreaticoduodenectomy (Whipple) \_
- Pylorus preserving pancreaticoduodenectomy (PPPD)

- Distal pancreatectomy -
- Total pancreatectomy

## Vascular surgery

- Open aortic surgery
  - Abdominal aortic aneurysm repair
- Endovascular aortic surgery \_
  - Endovascular aneurysm repair
  - Fenestrated endovascular aneurysm repair
  - Covered endovascular repair of the aortic bifurcation
- Suprainguinal and/or infrainguinal peripheral vascular surgery
  - Percutaneous transluminal angioplasty
  - Bypass surgery
  - Endarterectomy

### **Urologic surgery**

Thrombectomy
Combination of procedures above
gic surgery
Ureteroileostomy (Bricker's procedure) -

3 4	Endpoint definitions:				
5	Table 1: All-cause mortality				
7 <b>Endpoint</b> 8	Definition	Excluded	Additionally reported	Limitation and comments	Ref.
9 All-cause 10 ₁mortality	Death within 30 days of surgery		1-year mortality 2-year mortality		<sup>1</sup> STeP mortality
12 13 14 15	Table 2: Postoperative pulmonary complic	cations	-		Def
<b>Endpoint</b> 17	Definition	Exclude	a	comments	Ket.
<b>Respiratory</b> <b>Failure</b> 20 21 22 23 24 25 26 27 28 29	Postoperative PaO2 < 8 kPa (60 mmHg on room air, a PaO2:FIO2 ratio <40 kPa (300 mmHg) or arterial oxyhaemoglobin saturation measured with pulse oximetry < 90% and requiring oxygen therapy or 5L O2/mir oxygen therapy when arterial saturation or peripheral saturation on room air is not available OR Need for mechanical ventilation >24h postoperative*	1		EPCO definition of respiratory failure (as defined under postoperative pulmonary complications) complemented with *	EPCO definition <sup>2</sup>

# Table 3: Causes of severe respiratory failure

43 Table 3: Causes of severe respiratory failure			
44 Causes of severe respiratory failure		ref	
4ARDS	Berlin definition for ARDS	Berlin definition for ARDS <sup>3</sup>	
<b>4Pleural effusion</b> 48 49 50 51 52 53	Chest radiograph demonstrating blunting of the costophrenic angle, loss of sharp silhouette of the ipsilateral hemidiaphragm in upright position, evidence of displacement of adjacent anatomical structures or (in supine position) a hazy opacity in one hemithorax with preserved vascular shadows	EPCO <sup>2</sup>	
Aneumothorax	Air in the pleural space with no vascular bed surrounding the visceral pleura	EPCO <sup>2</sup>	
56			

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2		
<sup>3</sup> Atelectasis 4 5 6 7	Lung opacification with a shift of the mediastinum, hilum or hemidiaphragm toward the affected area, and compensatory over-inflation in the adjacent non-atelectatic lung	EPCO <sup>2</sup>
Respiratory infection	See table 7	StEP Infection and sepsis <sup>4</sup>
<b>Aspiration pneumonitis</b> 10	Acute lung injury after the inhalation of regurgitated gastric contents	EPCO <sup>2</sup>
1 <b>B</b> ronchospasm	Newly detected expiratory wheezing treated with bronchodilators	EPCO <sup>2</sup>
2 <b>Cardiopulmonary edema</b> 15 16 17 18 19	An elevated jugular venous pressure, respiratory rales/crackles and crepitations, presence of S3 and at least one of the following radiographic findings: (a) Vascular redistribution (b) Interstitial pulmonary oedema (c) Frank alveolar pulmonary oedema AND NT-proBNP >300 pg/ml	Designation trial <sup>5</sup>
20 20 21 22 22	A clinical diagnosis of PE confirmed by helical CT- scan	STeP cardiovascular <sup>6</sup>
23 Hinknown		
25 26 27 28 29 30 31 32 33 34 35		
36 37 38 39 40 41 42 43 44		

<sup>5</sup> Endpoint	Definition	Excluded	Limitation	Ref.
7 9 10 11 12 13 14 15	Composite outcome including: - Cardiac death - Non-fatal cardiac arrest - Coronary revascularization - Myocardial infarction	<ul> <li>Pulmonary embolism</li> <li>Hemorrhage</li> <li>Deep venous thrombosis</li> <li>All-cause mortality</li> </ul>		STeP cardiovascular <sup>6</sup>
1 <b>éardiac death</b> 17 18 19 20 21 22 23 24 25 26	Death with a vascular cause and included those deaths after a myocardial infarction, cardiac arrest, and cardiac revascularization procedure.	<ul> <li>Death after pulmonary embolism</li> <li>Death after hemorrhage</li> <li>Multi-organ failure</li> <li>Cause of death unknown</li> </ul>		STeP cardiovascular <sup>6</sup>
27 28 29 29 30 31 32 33 34 35 36 37 38 39 40	Successful resuscitation from either documented or presumed ventricular fibrillation, sustained ventricular tachycardia, asystole, or pulseless electrical activity requiring cardiopulmonary resuscitation, pharmacological therapy, or cardiac defibrillation			STeP cardiovascular <sup>6</sup>
4 <b>Coronary</b> 4 <b>Revascularizati</b> 4 <b>8</b> n 44 45 46	Percutaneous coronary intervention or coronary artery bypass graft surgery within 30 days of the index surgery.		2	STeP cardiovascular <sup>6</sup>
47 4 <b>Myocardial</b> 4 <b>infarction in</b> 5 <b>00ncardiac</b> 5 <b>slurgery</b> 52 53 54 55 56	Acute myocardial injury with clinical evidence of acute myocardial ischaemia and with detection of an increase or decrease in cTn values with at least one value above the 99th percentile URL and at least one of the following:		No routine ECG after noncardiac surgery	STeP cardiovascular and 4 <sup>th</sup> universa definition of myocardial infarction <sup>6,7</sup>

1 2			
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39	<ol> <li>Symptoms of myocardial ischaemia</li> <li>New ischaemic ECG changes</li> <li>Development of pathological Q waves</li> <li>Imaging evidence of new loss of viable myocardium or new regional wall motion abnormality in a pattern consistent with an ischaemic aetiology</li> <li>Identification of a coronary thrombus by angiography or autopsy Post-mortem demonstration of acute atherothrombosis in the artery supplying the infarcted myocardium Cardiac death in patients with symptoms suggestive of myocardial ischaemia and presumed new ischaemic ECG changes before cTn values become available.</li> </ol>		
<sup>4</sup> Acute 42 43 43 44 49 50 51 52 53 54 55 56	Elevation of cTn values > 10 times the 99th percentile URL in patients with normal baseline cTn values. In patients with elevated pre- procedure cTn in whom cTn levels are stable (< 20% variation) or falling, the postprocedure cTn must rise by > 20%. However, the absolute postprocedural value still must be > 10 times the 99th percentile URL. In		4 <sup>th</sup> universal definition of myocardial infarction <sup>7</sup>

2			
3	addition, one of the following		
4	elements is required:		
5	elements is required.		
6			
7	1. Development of new		
8	pathological Q		
9	waves;*		
10	2. Angiographic		
11	documented new		
12	graft occlusion or now		
13	grant occlusion of new		
14	hative coronary aftery		
15	occlusion;		
16	3. Imaging evidence of		
17	new loss of viable		
18	myocardium or new		
19	regional wall motion		
20	abnormality in a		
21	pattern consistent		
22	with an ischaemic		
23	actiology		
24	aetiology.		
25	*		
26	"Isolated development of new		
27	pathological Q waves meets		
28	cardiac myocardial infarction		
29	criteria if cTn values are		
30	elevated and rising but < 10		
31	times the 99th percentile URL.		
<sup>32</sup> Acute	Detection of an elevated and		StEP
33 mvocardial	increased or decreased cTn		cardiovascular.
34 <b>,</b>	value above the 99th		4 <sup>th</sup> universal
amoncardiac	percentile LIPL is defined as		definition of
Shurgory	myocardial injuny		muneardial
sourgery			information 67
20	The diagnosis will be acute		Infarction *
39 40	myocardial injury if there is		
40	no confirmed diagnosis of		
47	myocardial infarction		
42			
₄Ăcute	Elevation of cTn values > 10	In rhythm	4 <sup>th</sup> universal
₄myocardial	times the 99th percentile URL	surgery and	definition of
4 jury in	in patients with normal	valve surgerv	myocardial
4 <b>Z</b> ardiac	baseline cTn values. In	substantial	infarction $^{7}$ +
49 urgery	natients with elevated pre-	amount of	own
49	procedure cTn in whom cTn	trononin release	interpretation
50	lovels are stable /< 20%	will be related	
51	$(\leq 20\%)$	will be related	
52	variation) or failing, the	to the direct	
53	postprocedure cTn must rise	procedure	
54	by > 20%. However, the	related tissue	
55	absolute postprocedural value	trauma and not	
56	still must be > 10 times the	ischemia.	

1 2				
3 4 5 6 7 8 9	99th percentile URL. The diagnosis will be acute myocardial injury if there is no confirmed diagnosis of myocardial infarction			
1 <b>Acute heart</b> 1 <b>failure</b> 12 13 14 15 16 17 18 19 20 21	An elevated jugular venous pressure, respiratory rales/crackles and crepitations, presence of S3 and at least one of the following radiographic findings: (a) Vascular redistribution (b) Interstitial pulmonary oedema (c) Frank alveolar pulmonary oedema AND NT-proBNP >300 pg/ml		Definition of heart failure did not reach consensus in the StEP initiative.	StEP cardiovascular, heart failure guideline ESC <sup>6,8</sup>
22 Pulmonary 23 24 25 25 26 27	A clinical diagnosis of PE confirmed by helical CT-scan	ee.	Diagnosis will be missed in a large portion of patients	StEP cardiovascular <sup>6</sup>
2 <b>Atrial</b> 2 <b>fibrillation/</b> 3 <b>flutter</b> 31 32 33 34 35 36	New onset of irregularly irregular heart rate in the absence of P waves lasting at least 30 s or for the duration of the ECG recording (if <30 s)		No routine ECG or holter registration postoperatively, except for patients admitted to the ICU or PACU.	StEP <sup>6</sup>
<b><sup>3</sup>Stroke</b> 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55	An embolic, thrombotic or haemorrhagic cerebral event with motor, sensory or cognitive dysfunction (e.g. hemiplegia, hemiparesis, aphasia, sensory deficit, impaired memory). <b>Mild:</b> Results in only temporary harm and would not require specific clinical treatment. <b>Moderate:</b> More serious complication but one which does not usually result in permanent harm or functional			EPCO definition 2

2				
3	limitation. Re	equires clinical		
4	treatment.			
5				
6	Sovoro: Rosu	Its in significant		
7	prolongation	of hospital stay		
8	prolongation			
9	and/or perm	anent functional		
10	limitation or	death. Requires		
11	clinical treatr	ment.		
12				
13 14	Table 5:	Definitions exclusion criteria		
<sup>1</sup> Deep venous th	rombosis	Diagnosis confirmed by 2-		StEP <sup>6</sup> + adaptation to Dutch
16 '		Point Compression		clinical practice standards
17		Ultrasonography of the Lower		
18		Extromity		
19				
20viuiti-organ fail	lure	Altered function in two or		Definitions for sepsis and
21		more organ systems during ar		organ failure <sup>9</sup>
22		acute illness such that		
23		homeostasis cannot be		
24		maintained without		
25		intervention		
_ -Hemorrhage		Acute blood loss		
27 0				
2 <b>All-cause morta</b>	ality	Any cause of death that		
30		doesn't fulfill the criteria for		
31		cardiac death		
32				
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# Table 6: Sepsis

4	4								
5 <b>Endpoint</b> 6 7	Definition	Excluded	Additionally reported	Limitation	Ref.				
8 <b>Sepsis</b> 9 10 11 12 13 14 15 16 17	Increase in SOFA score of 2 or more, with evidence of infection, within 30 days.		Suspected site of infection; SOFA score.		StEP Infection and sepsis <sup>4</sup>				

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2 3 <b>Ta</b>	ble 7: Postoperative respiratory infectious	s complicati	on		
4 5 Ændpoint	Definition	Excluded	Additionally	Limitation	Ref.
7 Postoporativo	Signs/Symptoms/Laboratory: and of				
Postoperative	the following:		LAD VAD		
10feetieure	the following.		TAP, VAP,		
	- Four (> 28 0°C - +> 100 4°F)				
12	• Fever (> 38.0 C or > 100.4 F)				
13	• Leukopenia ( $\leq 4000$ WBC/mm3 ) or				
Possible	ieukocytosis (212,000 WBC/mm3)				
15	• For adults $\geq$ 70 years old, altered				
16	mental status with no other recognized				
17	cause				
18					
19	OR				
20					
21	<ul> <li>New onset of purulent sputum or</li> </ul>				
22	change in character of sputum, or				
24	increased respiratory secretions, or				
25	increased suctioning requirements				
26	• New onset or worsening cough, or				
27	dyspnea, or tachypnea				
28	Rales or bronchial breath sounds				
29	Worsening gas exchange				
30					
31	AND				
32					
33 24	Imaging: One chest imaging test result				
35	with at least one of the following:				
36	Pulmonary infiltrate, consolidation or				
37	cavitation				
38	Signs/Symptoms/Laboratory: at least				StEP infection and
<b>Probable</b>	one of the following:		HAP, VAP,		sepsis <sup>4</sup>
41	• Equar (> 28.0°C or > 100.4°E)				
42	• rever (> 30.0 C 01 > 100.4 r)		-		
43	- Leukopenia ( $\geq$ 4000 WBC/IIIII3 ) 01				
44 45	Ear adulte > 70 years and altered				
45 46	<ul> <li>For adults ≥ 70 years old, altered</li> </ul>				
40	mental status with no other recognized				
48	cause				
49					
50	AND:				
51					
52	Imaging: two or more serial chest				
53	imaging results with either new and				
54	persistent OR progressive and				
55	persistent changes of				
56 57					

1					
2					
3	infiltrate				
4					
5	- consolidation				
6	- cavitation				
7					
8	(In patients <b>without</b> underlying cardiac				
9	or pulmonary disease <b>one</b> definitive				
10	imaging test result is accentable				
10	inaging test result is acceptable				
11					
12	AND				
15					
14	at least two of the following:				
15					
16	New onset of nurulent soutum or				
1/	change in character of environment				
18	change in character of sputum, or				
19	increased respiratory secretions, or				
20	increased suctioning requirements				
21	<ul> <li>New onset or worsening cough, or</li> </ul>				
22	dyspnea, or tachypnea				
23	Rales or bronchial breath sounds				
24	• Worsoning gas oxchange (with BE				
25	• Worsening gas exchange (with FF				
26	<200, 02 supplementation >5L/min, or	-			
27	start of (non)-invasive ventilation)				
28					
29					
30	Criteria for probable postoperative		Cause: CAP.	Definition of	
<sup>3</sup> Definite	respiratory infection AND		ΗΔΡ ΛΔΡ	StEP + additional	
32	One of the following criteria:		10,01,07,01,	critoria	
33				Criteria	
34	- Positive culture of causative				
35	lung pathogen in respiratory				
36	secretions				
37	<ul> <li>Positive blood culture with</li> </ul>				
38	causative pathogen for				
39	nneumonia				
40	Isolation of a virus or proof of a				
41					
42	viral pathogen in alrway				
43	secretion by PCR				
44	<ul> <li>Histopathologic evidence for</li> </ul>				
45	pneumonia				
46					
47					
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1 2 3 <b>T</b> a 4	able 8	8: Causes	postoperative respirator	y infe	ectious comp	blication			
Community acquired pneumonia (CAP) 10 11	Pne afte day	eumonia ( er hospita of admis	occurring on day 0 or 1 al admission, considering ssion as day 0						
14 Hospital 13 Acquired 18 neumonia 1(HAP)	Pne hosj adm	eumonia o pital adm nission as	occurring ≥ day 2 of hission, considering day of s day 0						
1Ventilator-         Vessociated         1pneumonia         2(VAP)         21         22         23         24         25         26         27         28         29         30       Ta         31	Pne star and	eumonia ( rt of mec l ≤ day 2 ; 9: Absces	occurring ≥ day 2 after the hanical ventilation (MV) after the end of MV.	e No in ve lik Bi op ar co m ve	on- vasive entilation & CPAP, PAP, otiflow re not onsidered echanical entilation.				
32 ⊈ndpoint		Definiti	on		Excluded	Limitation and	Ref.		
34 34 34 34 34 34 34 34 34 34 34 34 34 34 3	ema					comments			
<b>Rossible</b> 37         38         39         40         41         42         43         44         45         46		1. - AND 2.	Low clinical suspicion with one of: Fever Cough, increased respirat secretions debatable Imaging test evidence of abscess or ot infection	n ory her					
<b>Probable</b> 48		1.	High clinical suspicion wit one of:	h			Step <sup>4</sup> with adaptation		

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secretions

Cough, increased respiratory

2. Imaging test evidence of

abscess or other infection

-

-

AND

Definite	<b>1.</b> Org	anism seen on Gram stain of			
•	lung ti	ssue or pleural fluid, or			
	identi	ication of pathogenic organism			
	from f	luid or tissue from affected site			
	<b>2.</b> Abs	cess or other evidence of			
0	infecti	on on gross anatomical or			
1	histop	athologic			
2	exami	nation			
3					
+ 5					
5					
/ 3	Table 10: Sur	ical site infections			
)	Endpoint	Definition	Excluded	Limitation and comments	Ref.
)					
,	Surgical site				
-	infection				
ŀ	(SSI)				
;	Superficial	Involves only skin and			
5	incisional SS	subcutaneous tissue of the			
		incision			
		Patient has at least two of the			
	Possible	following signs or symptoms:			
		<ul> <li>localized pain or</li> </ul>			
		tenderness			
		- localized swelling			
		- erythema			
		- neat.			
	Suparficial	Dationt has at least and of the			C+ED
	incisional SS	following:			JLEP infoctiv
					and
	Definite	- Purulent drainage from			sensis
		the superficial incision.			4,10
		- Organism(s) identified			
		from an aseptically-			
		obtained specimen from			
		the superficial incision			
		or subcutaneous tissue			
)		by a microbiologic			
		testing method which is			
2		performed for purposes			
3		of clinical diagnosis or			
+		treatment.			

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Deep	<ul> <li>Superficial incision that is deliberately opened and culture or non- culture based testing of the superficial incision or subcutaneous tissue is not performed <b>AND</b> Patient has at least one of the following signs or symptoms: localized pain or tenderness, localized swelling, erythema or heat.</li> <li>Abscess at physical examination, re- operation, histopathologic or radiologic examination.</li> </ul>			
incisional SSI	and muscle layers)			
Possible	Patient has at least two of the following signs or symptoms: - localized pain or tenderness - localized swelling - erythema - heat.	210	2	
Definite	<ul> <li>Patient has at least one of the following:</li> <li>Purulent drainage from the deep incision.</li> <li>a deep incision that spontaneously dehisces, or is deliberately opened</li> <li>AND organism(s) identified from the deep soft tissues of the incision by microbiologic testing which is performed for purposes of clinical diagnosis or treatment, or microbiologic testing is not performed.</li> <li>AND</li> </ul>			StEP infection and sepsis 4,10

	patient has at least one of the following signs or symptoms: fever (>38°C), localized pain or tenderness. - an abscess or other evidence of infection involving the deep incision that is detected on gross anatomical or histopathologic exam, or imaging test.			
Organ/Space SSI	Event involves any part of the body deeper than the fascial/muscle layers that is opened or manipulated during the operative procedure			
Possible	<ul> <li>Patient has at least one of the following signs or symptoms:</li> <li>Fever &gt; 38 C</li> <li>Pain in the area of surgical procedure (not superficial)</li> </ul>			
Probable	Possible criteria AND Imaging test evidence suggestive of infection.	10	20.	
Definite	Patient has at least one of the following: a. purulent drainage from a drain that is placed into the organ/space b. organism(s) identified from fluid or tissue in the organ/space performed for purposes of clinical diagnosis or treatment. c. an abscess or other evidence of infection involving the organ/space that is detected on gross anatomical or			StEP infection and sepsis 4,10

## Table 11: Urinary system infection, blood stream infection, other infection

9 10 11	Endpoint	Definition	Excluded	Additionally reported	Limitation and comments	Ref.
11         12         13         14         15         16         17         (Ca         18         19         21         22         23         24         25         26         27         28         29         30         31         32         33         34         35         36	Urinary tract infection (Catheter and not catheter related)	<ul> <li>One of the following signs or symptoms:</li> <li>Fever (&gt;38C)</li> <li>Suprapubic tenderness*</li> <li>Costovertebral angle pain or tenderness*</li> <li>Urinary urgency^</li> <li>Urinary frequency^</li> <li>Dysuria^</li> </ul> Microbiologic cultures: Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of ≥10^5 CFU/ml * Without other recognized cause <ul> <li>^ These symptoms cannot be</li> </ul>		Catheter related: If indwelling urinary catheter had been in place for more than 2 consecutive days on the date of event AND was present on the day of the event or removed the day before.		CDC <sup>11</sup>
38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 56	High Urinary system infection	<ul> <li>Identification of pathogenic organism from fluid or tissue from affected site</li> <li>Abscess or other evidence of infection on gross anatomical examination, during invasive procedure, or during histopathologic examination OR one of</li> <li>Fever &gt;38C</li> <li>localised pain or tenderness with no other recognised cause</li> <li>AND ONE OF</li> </ul>				StEP <sup>4</sup>

2					
3		<ul> <li>purulent drainage from</li> </ul>			
4		affected site			
5		- organism identified in			
6		blood by culture or pop			
7		blood by culture of fiole			
8		culture based biological			
9		testing			
10		<ul> <li>imaging suggestive of</li> </ul>			
11		infection which if			
12		equivocal is supported			
13		by clinical correlation,			
14 1 <i>г</i>		specifically physician			
15		documented treatment			
10		for urinary system			
17		infection			
10	Primary	A Laboratory Confirmed	Common		
20	Blood	Readstream Infection (I CRI)	commens		CDC
21	bioou	that is not included in the	ollist		
22	Stredin				
23	Infection	common commensal list and is	see:		
24	(BSI)/	not secondary	Common		
25	Central	to an infection at another body	Commens		
26	line blood	site	al		
27	stream		organism		
28	infection	OR	s include,		
29	(CLBSI)		but are		
30		Patient has at least one of the	not		
31		following signs or symptoms:	limited		
32		fever >38C, chills or	to,		
33		hypotension, and at least one of	diphthero		
25		the following:	ids		
36		Č	(Corvneb		
37		(a) Common skin contaminant	acterium		
38		cultured from two or more	spn not		
39		blood cultures drawn on	C		
40		separate occasions	C. dinhthari		
41		(b) Common skin contaminant			
42		(b) common skin containing	a), Decillus		
43		cultured from a neticet with a			
44		introvegeuler ling	spp. (not		
45		intravascular line,	В.		
46		and the physician institutes	anthracis)		
47		appropriate antimicrobial	,		
48		therapy	Propionib		
49 50		(c) Positive blood antigen test.	acterium		
50			spp.,		
52			coagulase		
53			-negative		
54			staphyloc		
55			occi		
56			(including		
57					

1	
3	S.
4	epidermi
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	viridans
8	group
9	streptoco
10	cci.
11	Aerococc
12	us spp.
13	Micrococ
14	cus spp.
15	and
17	Rhodococ
18	cus spp
19	
20	Organism
21	s that are
22	parasites
23	and
24	viruses.
26	
27	Campylob
28	acter,
29	Salmonell
30	a,
31	Shigella,
32	Listeria,
34	Vibrio
35	and
36	Yersinia
37	as well as
38	C
39	difficile,
40	Enterohe
42	morrhagi
43	c E. coli,
44	and
45	Enteropat
46	hogenic
47	E. COII.
40	Disctores
50	Biastomy
51	Ces,
52	Histopias
53	IIId,
54	des
55	ues, Paracocci
57	Palacucci
58	

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2						
3			dioides,			
4			Cryptoco			
5			cryptood			
6			ccus, anu			
7			Pneumoc			
8			ystis.			
9	Infection	Strong clinical suspicion of			CDC and EPCO	CDC <sup>13</sup>
10	eci/ 'other	infection but the source has not			definitions are used	AND
11	infection'	been confirmed because clinical			for 'Infection eci'	
12	meetion	information and a second second second				
13		information suggests more than			criteria. We added	
14		one possible site, OR infection is			'Other infection'	
15		not a respiratory infection,				
16		surgical site infection, primary				
17		bloodstream infection or urinary				
12		tract infection: meeting two or				
10		mara of the following criteria				
19		more of the following criteria:				
20						
21		Core temperature < 36C or				
22		>38C;				
23		white cell count >12x10^9 l-1 or				
24		< 4x10^9 l-1				
25		respiratory rate $>20$ breaths per				
26		respiratory rate >20 breatins per				
27		minute of PacO2 < 4.7 kPa				
28		(35mmHg);				
29		Pulse rate >90 beats per minute				
31 32						
33						
34						
35						
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38						
39						
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60	For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml					

1 2 3 4	Table 12: Postoperative renal complication	ns					
<b>Endpoint</b>	Definition	Excluded	Limitation and comments	Ref.			
7 8 Acute 6 Kidney 1 Injury (AKI) 11 12 13 14 15 16 17 18 19 20 21	<ul> <li>Stage 1: Increase in serum creatinine by ≥0.3 mg/dl (≥26.5 µmol/L) within 48 hours OR increase in serum creatinine to 1.5-1.9 times baseline.</li> <li>Stage 2: increase in serum creatinine to 2.0-2.9 times baseline</li> <li>Stage 3: increase in serum creatinine to ≥ 3 times baseline OR increase in serum creatinine to ≥353.6 µmol/L OR initiation of renal replacement therapy</li> </ul>			StEP Renal Endpoints			
22 23 24	Table 13: Postoperative blood loss						
2 <b>Endpoint</b> 26	Definition	Excluded	Limitation and comments	Ref.			
Postoperative         Bleeding in         29         30         31         32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55         56	<ul> <li>Type 1: bleeding that is not actionable and does not cause the patient to seek an unscheduled performance of studies, hospitalization, or treatment by a health care professional; it may include episodes leading to self-discontinuation of medical therapy by the patient without consulting a health care professional.</li> <li>Type 2: any overt, actionable sign of hemorrhage (e.g., more bleeding than would be expected for a clinical circumstance, including bleeding found by imaging alone) that does not fit the criteria for type 3, type 4, or type 5 but does meet at least one of the following criteria: requiring nonsurgical, medical intervention by a health care professional; leading to hospitalization or increased level of care; or prompting evaluation.</li> <li>Type 3a: overt bleeding plus a hemoglobin drop of 3 to 5 g/dL* (provided the hemoglobin drop is</li> </ul>			<sup>15</sup> BARC			
57 58							
2							
----------------	---	--	--	--	--	--	--
3	related to bleed): any transfusion with						
4	overt bleeding						
5	overt bleeding.						
6							
7	Type 3b: overt bleeding plus a						
8	hemoglobin drop of 5 g/dL (provided						
9	the hemoglobin drop is related to						
10	bleed): cardiac tamponade: bleeding						
11	requiring surgical intervention for						
12	requiring surgical intervention for						
13	control (excluding dental, nasal, skin,						
14	and hemorrhoid); bleeding requiring						
15	intravenous vasoactive agents.						
16							
17	Type 3c: intracranial hemorrhage (does						
17	not include microbleeds or						
10	homorrhagic transformation doos						
20	in church interpreter all the church of the						
20	include intraspinal); subcategories						
21	confirmed by autopsy or imaging, or						
22	lumbar puncture; intraocular bleed						
23	compromising vision.						
24							
25	Type A: coronary artery bypass						
26	grafting related blooding:						
27	graiting-related bleeding;						
28	perioperative intracranial bleeding						
29	within 48 hours; reoperation after						
30	closure of sternotomy for the purpose						
31	of controlling bleeding; transfusion of						
32	5 U of whole blood or packed red						
33	hlood cells within a 48-hour period						
34	chest tube output 2 L within a 24-hour						
35	chest tube output 2 E within a 24-nou						
36	perioa.						
37							
38	Type 5a: probable fatal bleeding; no						
39	autopsy or imaging confirmation but						
40	clinically suspicious.						
41	, .						
42	Type 5b: definite fatal bleeding: overt						
43	bleeding or autonsy or imaging						
44	confirmation						
45							
460stoperative	Postoperative bleeding Clavien Dindo						
4bleeding	classification ≥3						
4 Poncardiac							
surgery							
50							
51							
52							
53							
54							
55 56							
00							
5/							

In addition, all adverse events in the postoperative period will be graded according to the Clavien-Dindo system<sup>16</sup>:

Grade I	Any deviation from the normal postoperative course without the need for					
	pharmacological treatment or surgical, endoscopic and radiological interventions.					
	Allowed therapeutic regimens are: drugs as anti-emetics, antipyretics, analgetics,					
	diuretics and electrolytes and physiotherapy. This grade also includes wound infections					
	opened at the bedside.					
Grade II	Requiring pharmacological treatment with drugs other than such allowed for grade I					
	complications. Blood transfusions and total parenteral nutrition are also included.					
Grade III	Requiring surgical, endoscopic or radiological intervention					
	a. Intervention not under general anesthesia					
	b. Intervention under general anesthesia					
Grade IV	Life-threatening complication (e.g. brain hemorrhage, ischemic stroke, subarrachnoidal					
	bleeding, but excluding transient ischemic attacks) requiring Intensive Care management					
Grade V	Death of a patient					

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#### **Supplementary Table 1. Study parameters**

#### **Biomarkers**

PCT, CRPhs, IL-6, GDF-15, sFLT, NT-proBNP, cTNThs, CysC and NGAL, Hb, Ht, MCV, RDW, reticulocytes, RET-He thrombocytes, leucocytes, MPV, urea, creatinine, sodium, potassium, chloride, calcium, phosphate, magnesium, ASAT, ALAT, LDH, ALP, gamma GT, bilirubin, CK, albumin, glucose, Cholesterol, Triglycerides, HDL-cholesterol, LDL-cholesterol, serum iron, ferritin, transferrin saturation, vitamin D, TSH, FT4, igf-1, SHBG, NLR.

#### **Medical History**

Age in years, Sex, BMI (kg/m2), Unintentional weight loss (>3kg) over the past 3 months, Smoking status, Alcohol consumption, Diabetes Mellitus, COPD Hypertension, Congestive heart failure, Atrial fibrillation, Stroke, Myocardial infarction, Prior cardiac surgery, Peripheral artery disease, Chronic renal failure, history of cancer, Left ventricular ejection fraction, NYHA class, EuroSCORE, ASA classification, Charlson comorbidity index, Disability, Clinical Frailty Scale

#### **Medication Use**

Beta blockers, ACE inhibitor, Angiotensin receptor blockers, Diuretics, Plateletinhibitors, Steroids, Calciumchannel inhibitors, Non-steroidal anti-inflammatory drugs, Statins, other immunosuppressive drugs, levothyroxin use, Paracetamol, Opioids, Anitdepressants, Anticonvulsiva

#### **Operative details**

Surgery type, surgical approach, urgency, Epidural Analgesia, Sevoflurane use, Oxygen saturation before induction of anaesthesia (first measured on the OR), Intraoperative hypotension (MAP <55 mmHg, non-cardiac surgery only), Fluid balance end of surgery (in ml), Estimated operative blood loss (in ml), Cell saver use, Lowest mean arterial pressure, Lowest operative heart rate (bpm), Surgical APGAR score (number), Allogenic blood product transfusion, Coagulation products and medication,

Intraoperative steroids, Cardiopulmonary bypass time, Aortic cross clamping, (minutes), surgery duration (min)

## Postoperative details

Modified early warning score (postoperative day 1-7), postoperative pain score (NRS, max and mean, postoperative day 1-7), Packed Red Blood cells transfusion (postoperative day 1-7), other allogenic blood products (postoperative day 1), Coagulation products and medication (postoperative day 1), reoperation.

# Admission and Discharge

Hospital length of stay (LOS), ICU LOS, ICU re-admission, hospital re-admission, days alive and out of hospital 120 days.

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Supplementary Table 2. Perioperative blood sampling and clinical data collection

	OC	Before	After	POD 1	POD 2	POD 3	30 days	120 days	1 year	2 years
		surgery	surgery							
Counselling	Х	~								
Informed		V	6							
consent		Χ		000						
Data		v					v	v	v	v
collection		Λ			CL.	.0,	Λ	Λ	Λ	Λ
Blood		V	V	V	V	V				
sample		X	Х		X	X	00			
Questionnai		v						v		
re		Λ						Λ		

OC: outpatient clinic, POD: postoperative day

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### Supplementary Table 3

Characteristics	N (%)	Missing values N (%)	
Number of participants	1,750		
Age	66 [60, 73]	7 (0.4%)	
Female	481 (27.6%)	5 (0.3%)	
ASA class		28 (1.6%)	
ASA I	30 (1.7%)		
ASA II	422 (24.5%)		
ASA III	1,169 (67.9%)		
ASA IV	101 (5.9%)		
ASA V	0 (0.0%)		
Clinical Frailty Score, age >65 years	1,029	13 (1.2%)	
Fit (1-3)	642 (62.4%)		
Risk of frailty (4)	231 (22.4%)		
Mild frailty (5)	84 (8.2%)		
Frail (6-8)	59 (5.7%)		
Cardiac Surgery	852 (48.7%)	0 (0%)	
CABG	444 (25.4%)		
AVR	157 (9.0%)		
MVP/R	107 (6.1%)		
CABG + AVR	106 (6.1%)		

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Bentall procedure	46 (2.6%)	
CABG + MVP/R	16 (0.9%)	
AVR + MVP/R	13 (0.7%)	
TVP	3 (0.2%)	
AVR + TVP	2 (0.1%)	
Other	4 (0.2%)	
Pulmonary Surgery	76 (4.3%)	0 (0%)
Segmentectomy	61 (3.5%)	
Lobectomy	3 (0.2%)	
Pneumonectomy	12 (0.7%)	
Gastro-Intestinal- and Hepatobiliary surgery	452 (25.8%)	0 (0%)
Colorectal surgery	280 (16.0%)	
Pancreatic surgery	118 (6.7%)	
Other Gastro-intestinal surgery	54 (3.1%)	
Vascular Surgery	194 (10.1%)	0 (0%)
Aortic surgery	100 (5.7%)	
Peripheral vascular surgery	94 (5.4%)	
Cystectomy	41 (2.4%)	0 (0%)
Reoperation	39 (2.2%)	0 (0%)
Open approach	1,106 (63.2%)	0 (0%)
Biobank participant	1,593 (91.0%)	0 (0%)

Baseline characteristics of the BIGPROMISE cohort at January 1<sup>st</sup> 2024. Data are displayed in numbers (%) or median (Interquartile range). ASA: American Society of Anaesthesiologists. CABG: Coronary artery bypass grafting. AVR: Aortic valve replacement. MVP/R: Mitral valve plasty / Replacement. TVP: Tricuspid valve plasty.

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\* Reasons for exclusion: Logistics sturgleation collocation collocation collocation collocation and the study