

Janssen Research & Development *

Clinical Protocol

**A Double-blind, Randomized, Active-controlled, Parallel-group Study of
Paliperidone Palmitate 6-Month Formulation**

Protocol R092670PSY3015; Phase 3

Amendment 3

R092670 (paliperidone palmitate)

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This study will be conducted under United States (US) Food & Drug Administration (FDA) Investigational New Drug (IND) regulations (21 Code of Federal Regulations [CFR] Part 312).

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Compliance: This study will be conducted in compliance with Good Clinical Practice (GCP), and applicable regulatory requirements.

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PROTOCOL AMENDMENTS

Protocol Version	Issue Date
Original Protocol	28 August 2017
Amendment 1	21 March 2018
Amendment 2	28 September 2018
Amendment 3	11 February 2019

Amendments below are listed beginning with the most recent amendment.

Amendment 3 (11 February 2019)

The overall reason for the amendment: To limit the duration of the Double-blind Phase to 12 months by eliminating the double-blind extension period, which is currently of a variable length of 12-24 months; and to increase the estimated number of subjects entering the Transition/Maintenance Phases from a target sample size of 765 to 840.

Applicable Section(s)	Description of Change(s)
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Rationale: The rationale for limiting the Double-blind Phase to a fixed duration of 12 months is to align it with the primary endpoint, ie, time to relapse during the Double-blind Phase which is based on the Kaplan-Meier estimate of percentage of subjects who remain relapse-free at Month 12.

Synopsis:

Secondary Endpoints	Removed the greater than or equal to sign ‘ \geq ’ to reflect that secondary endpoints beyond 12 months of the Double-blind Phase are no longer applicable.
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OVERVIEW OF STUDY DESIGN

Removed text related to subjects participating in additional double-blind treatment of variable duration beyond the 12-month Double-blind Phase.

Removed text related to study procedures beyond the 12 months of the Double-blind Phase.

Deleted text stating that the Follow up Phase will end at 6-month time points thereafter if the subject has already participated past the first 12 months of the Double-blind Phase.

Revised the text to state that that the longest expected duration is ~19 months and not >31 months for subjects who complete the study without relapse as the variable duration of double-blind phase beyond the first 12 months has been removed.

Dosage: Double-blind Phase

Deleted text describing treatment beyond the first 12 months of the Double-blind Phase

Time and Events Schedules C

Deleted the original Time and Events Schedule C. Double-blind Phase (After the First 12 Months) in its entirety as the Double-blind Phase is limited to 12 months per this amendment.

Time and Events Schedule C (originally Time and Events Schedule D)

In the EOP column, removed “18 or 24” months after the subject’s first double-blind injection; removed text “(or at 6-Month Time Points Thereafter, if Applicable)”; deleted text in the footnote describing an example of Follow up Phase visits for a subject who withdraws from the study in the Double-blind Phase beyond the 12 months. Also deleted text stating “... or at 6-month time points thereafter if the subject has already participated past the first 12 months of the Double-blind Phase”.

Time and Events Schedule D: Keys and Footnotes (for All Time and Events Schedules) (originally Time and Events Schedule E)	Deleted footnote “aa” in Amendment 2 as it no longer applies with the elimination of variable double-blind phase beyond the 12 months.
2.1.2.3. Secondary Endpoints	Removed secondary endpoints beyond the 12-month Double-blind Phase. Removed the greater than or equal to sign ‘ \geq ’ to reflect that secondary endpoints beyond 12 months of the Double-blind Phase are no longer applicable.
3.1 Overview of Study Design	Removed text related to subjects participating in additional double-blind treatment of variable duration beyond the 12-month Double-blind Phase.
	Removed text related to study procedures beyond the 12 months of the Double-blind Phase.
	Deleted text stating that the Follow up Phase will end at 6-month time points thereafter if the subject has already participated past the first 12 months of the Double-blind Phase.
	Revised text to reflect that the length of the Double-blind Phase is 12 months and a subject could have 19 months of participation with exposure to paliperidone palmitate for subjects without relapse.
Figure 1	Limited the length of Double-blind Phase to 12 months only to align with the primary endpoint. Footnote d: Removed text describing treatment during Double-blind Phase beyond 12 months and revised text on study closure to account for possibilities of the last subject randomized being lost to follow-up or withdrawing consent. Note: Deleted text stating that the Follow up Phase will end at 6-month time points thereafter if the subject has already participated past the first 12 months of the Double-blind Phase.
3.2. Study Design Rationale Study Phases	Deleted text stating that the Follow up Phase will end at 6-month time points thereafter if the subject has already participated past the first 12 months of the Double-blind Phase.
6.1.2. Transition Phase: Figure 2	Limited the length of Double-blind Phase to 12 months only to align with the primary endpoint.
6.1.3. Maintenance Phase: Figure 3 and Figure 4	Limited the length of Double-blind Phase to 12 months only to align with the primary endpoint.
6.1.4. Double-blind Phase	Deleted text describing treatment beyond the first 12 months of the Double-blind Phase.
6.2.2. Administration During the Double- blind Phase: Table 5	Deleted last column of Table 5 that is related to pattern of injection sites beyond the first 12 months of the Double-blind Phase.

9.1. Study Procedures: Double-blind Phase	Deleted text related to study procedures beyond the first 12 months of the Double-blind Phase.
10.2. Discontinuation of Study Drug/Withdrawal From the Study	Removed potential scheduled assessments beyond the 12-month Double-blind Phase (ie, Visits beyond Visit 33a). Removed text describing a scenario of withdrawal in which a subject has not entered the Double-blind Phase when the Sponsor terminates the study.
10.3. Antipsychotic Therapy After the Study or in the Follow-up Phase: Figure 5	Removed Double-blind Time Point that is beyond 12-month (last column). (Note: section numbering has been updated as the original section 10.3 Withdrawal From the Use of Research Samples is now deleted.)
10.4. Process for Planned Study Closure	Removed odd-numbered injections (5 th , etc.) that are beyond the 12-month Double-blind Phase. Revised text on study closure to account for possibilities of the last subject randomized being lost to follow-up or withdrawing consent

Rationale: To increase the estimated number of subjects entering the Transition and Maintenance Phases from approximately 765 to 840 to match current dropout/enrollment rates and to meet the randomization target of 549 subjects in the Double-blind Phase. Current enrollment rates project that the number of subjects entering the Transition/Maintenance Phases will exceed the previous target. The increase in the estimated number needed to achieve the randomization target is primarily due to an increased dropout rate during Transition and Maintenance Phases.

3.1 Overview of Study Design; 11.2. Sample Size Determination	Specified that the number of subjects entering the Transition/Maintenance will be approximately 840.
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Rationale: To indicate data collection for “Concomitant substance testing and questions” at Visit 13 during the Double-blind Phase for consistency with footnote e and f of Time and Events Schedule B and with the text in section 9.4.2.

Time and Events Schedule B	Added a time point for data collection at Visit 13 in the row of Concomitant substance testing and questions.
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Rationale: To remove ECG collection at Visit 4 of the Maintenance Phase as routine ECG monitoring at this timepoint is not expected to enhance safety monitoring for subjects who are continuing the same medications in the Maintenance Phase.

Time and Events Schedule Ai	Removed ECG data collection at Visit 4.
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Time and Event Schedule Aii	Removed ECG data collection at Visit 4.
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Rationale: To provide guidance on the timing of EOP after relapse confirmation.

Time and Events Schedule D, footnote f	Added text to state that the EOP visit is to occur as soon as possible after relapse confirmation (preferably the same day).
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9.1. Study Procedures

Rationale: To remove the EOP Visit from the Follow-up Phase to minimize potential confusions with the EOP visit at the Double-blind Phase (33a) and at the end of the Maintenance Phase (7a).

Time and Events Schedule C (Originally Time and Events Schedule D)	<u>Deleted the EOP Visit column from the Follow-up Phase.</u>
Time and Events Schedule D (Originally Time and Events Schedule E)	<u>Deleted footnote “bb” in Amendment 2</u>
<u>Figure 1</u>	<u>Deleted text related to the EOP Visit of the Follow-up Phase in Footnote d.</u>
<u>Section 10 .4</u>	<u>Deleted text related to the EOP Visit of the Follow-up Phase</u>
Rationale: To clarify that in inclusion criterion 11, the method of contraception referred to above (ie, criterion 10) is for the female partner(s) of male subjects.	
4.1 Inclusion Criteria	Modified inclusion criterion 11 to clarify that a male subject must agree that his female partner(s), rather than himself, use method of contraception as described in criterion 10.
Rationale: To remove the introduction sentence under Exclusion Criteria for clarity.	
4.2 Exclusion Criteria	Deleted the introduction sentence under Exclusion Criteria
Rationale: To clarify that the excluded injectable formulations of neuroleptic drugs are long-acting injectable formulations.	
4.2. Exclusion Criteria	Added “long-acting” to exclusion criterion 6 to state excluded injectable formulation of neuroleptic drugs as long-acting formulations.
Rationale: To clarify that the full content of the study drug should be administered as a single injection.	
6.2. Administration	Added text to state that the full content is to be administered in one injection, using only the supplies provided in the study drug kit.
Rationale: To add text to instruct the site of the first injection during the Maintenance Phase.	
6.2.1. Administration During the Open- label Phases	Added a note to state Day 1 injection should not be administered at the same site as the last injection during the Maintenance Phase.
Rationale: To add tricyclic anti-depressants, known to prolong QT interval, to the list of Prohibited Concomitant Medications; to minimize the risk of concomitant amantadine use, it is now explicitly cited as an example of a dopamine agonist; to add non-antipsychotic dopamine antagonists to the list of Prohibited Concomitant Medications to minimize risk of EPS and akathisia.	
8.3. Prohibited Concomitant Medications	Added tricyclic antidepressants, amantadine, and nonantipsychotic dopamine antagonists to the list of Prohibited Concomitant Medications.
Rationale: To provide additional details for injection site assessments.	
9.4.8.2. Injection Site Evaluations and Follow-up by Investigators	Added anchor point scores for injection site evaluations regarding tenderness, erythema/redness, and induration/swelling.
Rationale: To provide investigators with detailed information on antipsychotic treatment options after the study or in the Follow-up Phase.	

10.3. Antipsychotic Therapy After the Study or in the Follow-up Phase	Diagram in Figure 5 replaced with the Post Study Medication Algorithm; added Table 7, Timing of Resumption of PP1M/PP3M after the Double-blind Study or in Follow-Up Phase; added Table 8, Switching Conversion Table (Oral and LAI Paliperidone and oral risperidone).
Rationale: With a fixed duration of 12 months for the Double-blind Phase, there will be fewer relapse events than anticipated to detect a biomarker signal that could reliably predict relapse events. Therefore, collection of blood biomarkers is now removed from the protocol.	
Time and Events Schedules A.i., A.ii. and B	Removed text related to blood biomarkers under Collection of biofluids
Time and Events Schedule D: Keys and footnotes (Originally Time and Events Schedule E)	Under footnote f, removed text related to blood biomarker sample collections. Removed footnote “r” in Amendment 2 which was related to sample collections for blood biomarkers.
2.1.1 Objectives Exploratory Objectives	Removed text related to the exploratory objective to measure blood-based biomarkers.
3.2. Study Design Rationale	Removed the Biomarkers subsection.
4.5. Prohibitions, Restrictions, and Strong Recommendations	Removed text related to strong recommendations for blood biomarker sample collections.
9.1. Study Procedures	Removed text related to blood sample collections for biomarkers.
9.6. Biomarker Evaluations	Removed original section 9.6 Biomarker Evaluations.
10.3. Withdrawal From the Use of Research Samples	Deleted the entire original section 10.3 that was related to biomarker research samples.
11.7. Biomarker Analysis	Removed original section 11.7. Biomarker Analysis.
15. STUDY SPECIFIC MATERIALS	Removed text related to biomarkers under “Documentations” and “Supplies”.
16.2.4. Privacy of Personal Data	Removed text related to exploratory biomarker research.
16.2.5. Long-term Retention of Samples for Additional Future Research	Removed the entire original section 16.2.5. that was related to biomarkers.
17.11. Use of Information and Publication	Removed text related biomarker research data analysis and report.

Rationale: To add secondary endpoints in Synopsis and section 2.1.2.3. Secondary Endpoints of the protocol to align secondary endpoints with section 9 Study Evaluations related to secondary endpoints to maintain consistency throughout the protocol.

Synopsis; Listed additional secondary endpoints as described in section 9 of the protocol.
2.1.2.3. Secondary
Endpoints

Rationale: To include an additional trade name of risperidone LAI.

6.1.2. Transition Phase Listed the trade name of risperidone LAI in certain countries.

Rationale: To include a list of Investigational Medicinal Products (IMPs) and Noninvestigational Medicinal Products (NIMPs) used in the study to facilitate protocol review.

Abbreviations and Listed IMPs and NIMPs used in the study
Terms

Rationale: Minor errors were noted/

Throughout the Minor grammatical, formatting, or spelling changes were made.
protocol

Amendment 2 (28 September 2018)**The overall reasons for the amendment:**

- To revise the number of prerandomization injections of PP1M from a total of 6 (ie, 5-month duration) to a total of 5 (ie, 4-month duration) required before subjects are randomized to either PP3M or PP6M treatment group in the Double-blind Phase. This change applies to subjects in the study's Open-label phases being treated with PP1M after the PP3M prerandomization target has been met, since these subjects will be randomized directly from PP1M treatment. The change aligns with the minimum of 4-month treatment with PP1M prior to the initiation of PP3M in previously completed pivotal PP3M trials and the approved posology for PP3M.
- To update and clarify supporting text, figures, and tables for consistency and corrects conflicting portions of the protocol that inadvertently increased the minimum duration of PP1M treatment from 4 months to 5 months
- To remove text related to optional salivary biomarkers research since the sample size for this portion of the study is expected to be too low to generate conclusive results.
- Other changes to the protocol are also made for clarity, consistency, or operational feasibility. (Note: in this table, newly added text to the protocol is in bold font and deleted text is in strikethrough.)

Applicable Section(s)	Description of Change(s)
	Rationale: To revise the minimum number of PP1M injections from 6 (ie, 5 months) to 5 (ie, 4 months) prior to random assignment to treatment with either PP6M or PP3M in the Double-blind Phase. This is to realign the protocol with the intended design in which subjects receive a minimum of 4-month treatment with PP1M in order to determine dose stability and to maintain clarity and consistency throughout the protocol.
6.1.2 Transition Phase, Figure 2, Table 3	Revised Figure 2 and Table 3 (which now becomes Table 3 and Table 4 to illustrate the Dosage and Administration Schedule for PP1M During the Transition Phase before and after meeting the PP3M prerandomization target, respectively) to specify the minimum numbers of PP1M injections prior to randomization before or after the PP3M prerandomization target has been met. (Footnote f of Table 3 and 4 is revised so the interval from the last prestudy PP1M injection is now 30±7 days to align with section 6.1.3).
6.1.2. Transition Phase: Subjects Previously Treated With Oral Antipsychotics	The following text was added to explain and clarify the changes to the visit schedule after the PP3M prerandomization target is met: “After the PP3M prerandomization target is met, these subjects will skip Visit 2e and proceed to Visit 2f if the subject is eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study.”

6.1.2. Transition Phase: Subjects Previously Stabilized on Injectable Risperidone (Biweekly – Risperdal CONSTA™ formulation)	The following text was added: “ After the PP3M prerandomization target is met, these subjects will skip Visit 2e and proceed to Visit 2f, if eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study. ”
6.1.2. Transition Phase: Subjects Previously Initiated (But Not on a Stable Regimen) With Moderate or Higher Doses of PP1M (Invega Sustenna™ or Xeplion™ formulation)	The following text was added: “ After the PP3M prerandomization target is met, subjects previously initiated on PP1M (but not on a stable regimen) who enter the study at Visit 2c or 2d will skip Visit 2e and proceed to Visit 2f, if eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). Subjects with ≥4 prestudy PP1M injections with the last 2 doses being the same strength will enter the study at Visit 2f. Subjects with ≥4 prestudy PP1M injections with the last 2 doses being different (ie, do not have dose stability) will enter the study at Visit 2e. If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study. ”
6.1.3. Maintenance Phase, Figure 4	Revised Figure 4 to reflect the minimum number of PP1M injections during the Transition Phase from a total of 5 to a total of 4. (A footnote was also updated to reflect that the prerandomization targets of PP3M and PP1M groups were changed to approximately one-half from each group.)
Time and Events Schedules, A.ii.	Added the footnote cc for Visit number 2e of Transition Phase to state “ After the PP3M prerandomization target is met, subjects who entered the study at Visits 2a, 2b, 2c, or 2d, will skip Visit 2e and proceed to Visit 2f if the subject is eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study. Visit 2e remains available for subjects with ≥4 prestudy PP1M injections but do not have dose stability. ”

Rationale: To update the visit window for Visits 8, 21, 34, and 43 to avoid overlaps with the window of their respective previous visit.

Time and Events Schedules, B and C	Changed visit window for Visits 8, 21, 34, and 43 from ± 1 to -1 to +3.
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Rationale: To update footnotes in Time and Event Schedule, E to align with text in section 6.1.3.

Time and Events Schedules, E	Revised footnote g so that the intervals from the last prestudy PP1M and PP3M injections are now 30±7 days and 90±14 days, respectively. Revised footnote w to indicate that the interval from the last prestudy PP1M injection is now 30±7 days and “Table 3” is changed to “Tables 3 and 4”.
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Rationale: To achieve greater balance between the prerandomization proportions of each medication group.

3.1. Overview of Study Design	The following text was revised as follows: “Of subjects entering the Double-blind Phase, the prerandomization targets are approximately one-half one-quarter to one-third entering from a PP3M group, and one-half three-quarters to two-thirds entering from a PP1M group.”
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6.1.3. Maintenance Phase

Similar changes were also made to reflect the approximately one-half pre-randomization target from PP1M and PP3M groups. In addition, text was added to the number of PP3M entering the study if needed to maintain the approximate balance of PP1M and PP3M prerandomization targets: **“In order to appropriately balance the number of PP1M and PP3M subjects in the Maintenance Phase and to complete the study in a timely manner, the pathway to study enrollment that includes subjects who enter the study with previous PP3M stability will be open for only a limited time. The Sponsor will inform the sites when this pathway has closed to enrollment.”**

Figure 3

Figure 3 updated so that the appearance and position of the box containing the “Low- dose exclusion groups” are aligned with those in Figure 1. A footnote was also updated to reflect the approximately one-half prerandomization target for the PP1M and PP3M group.

Rationale: To simplify the sequence of procedures to be performed so that non-invasive safety assessments are completed before invasive tests to avoid influencing results and the sequence of procedures that is critical to quality is kept.

9.1. Study Procedures

The Time and Events Schedules summarize the frequency and timing of measurements applicable to this study. If multiple assessments are scheduled for the same visit, then **ECG and vital signs should be collected prior to blood sample collections (eg, PK, biomarkers, and/or laboratory tests) and blood sample collections should be collected prior to procedures** ~~should be performed in the following sequence: subject reported efficacy or exploratory scales; ECG; vital signs; sample collection for PK, biomarkers, and/or laboratory tests; clinical assessments of efficacy and safety; study drug injection. Evaluations of the injection site occur after study drug injection, as described in Section 9.4.8. and then assessments of injection sites.~~ Actual dates and times of assessments will be recorded in the source documentation.

Rationale: To clarify the need to maintain the same dose level for eligibility, whether continuing PP1M or switching to PP3M.

4.3. Criteria for Entry Into the Maintenance Phase

The following text was modified in criterion for entry into the Maintenance Phase #2

2. Criterion modified per Amendment 2

2.1. For subjects proceeding from the Transition Phase to the Maintenance Phase, the PP1M dose **prior to entering the Maintenance Phase must have been 100 or 150 mg eq. and, in the investigator’s judgment, the subject should continue on the same dose level (ie, either the equivalent PP3M dose [before the PP3M prerandomization target is met] or the same PP1M dose [after the PP3M prerandomization target is met]).** ~~must not be planned for adjustment in the foreseeable future and must have been 100 or 150 mg eq. for the last 2 doses~~

Rationale: To ensure a subject has dose stability to enter the DB Phase.

10.2. Discontinuation of Study Drug/Withdrawal From the Study	The following text is added: <ul style="list-style-type: none"> • For subjects in the Maintenance Phase: <ul style="list-style-type: none"> ○ If the dose at Visit 2f is different from the preceding dose or is not a dose equivalent of the preceding dose
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Rationale: To remove text related to optional saliva biomarkers as the sample size for this optional portion of the study is expected to be too low to generate conclusive results.

Time and Events Schedules A.i.	Removed text related to saliva biomarkers for the Optional ICF under Screening/Administrative. Removed text related to optional saliva biomarkers under Collection of biofluids
Time and Events Schedules A.ii.	Removed text related to saliva biomarkers for the Optional ICF under Screening/Administrative. Removed text related to optional saliva biomarkers under Collection of biofluids
Time and Events Schedule B and C	Removed text related to optional saliva biomarkers under Collection of biofluids
Time and Events Schedule E: Keys and footnotes	Under footnote g, removed text related to optional saliva biomarker sample collections.
2.1.1 Objectives Exploratory Objectives	Removed text related to optional saliva based biomarkers.
3.2. Study Design Rationale	Removed text related to sample collections for optional saliva biomarkers under the Biomarkers subheading.
4.1. Inclusion Criteria	Deleted Inclusion Criterion 15 which is related to signing the ICF for the optional saliva biomarkers.
4.5. Prohibitions, Restrictions, and Strong Recommendations	Removed text related to sample collections for optional saliva biomarkers.
9.1. Study Procedures	Removed text related to sample collections for optional saliva biomarkers.
9.6. Biomarker Evaluations	Removed text related to sample collections for optional saliva biomarkers.
10.3. Withdrawal From the Use of Research Samples	Deleted text related to the optional saliva biomarker research samples.
15. STUDY SPECIFIC MATERIALS	Deleted text related to optional saliva sample collections.
16.2.2. Independent Ethics Committee or Institutional Review Board	Removed text related to approval for the collection of optional saliva samples for research and for the corresponding ICF.
16.2.3. Informed Consent	Removed text related to signing the ICF for the optional saliva biomarker research.

Rationale: To explicitly state that rescreening may be considered for some subjects who were withdrawn during the Transition or Maintenance Phases.

4. SUBJECT POPULATION Added the following text: **“Rescreening is permitted with the medical monitor’s approval for subjects who were withdrawn during the Transition Phase or Maintenance Phase due to an incomplete injection or an unintended dosing or administration of a study drug.”**

4.3. Criteria for Entry Into the Maintenance Phase Added the following text: **“If withdrawal is due to an incomplete injection or an unintended dosing or administration of a study drug, rescreening is permissible with the medical monitor’s approval.”**

4.4. Criteria for Entry Into the Double-blind Phase Added the following text: **“If withdrawal is due to an incomplete injection or an unintended dosing or administration of a study drug, rescreening is permissible with the medical monitor’s approval.”**

Rationale: To minimize the risk of confusion related to the process of study closure.

9.1. Study Procedures Under the Double-blind Phase subtitle, removed text that may be confusing and instead provide a reference to section 10.5 where the process for study closure is described in detail.

Rationale: To monitor for appropriate eligibility on the basis of exclusionary medications and for correct timing and dosing of study injections with respect to prestudy PP1M and PP3M injections, where applicable.

8.2. Concomitant Therapy Text revised to include that therapies from 90 days prior to the study are also to be recorded.

Rationale: To state that although the form used to record concomitant substances use includes alcohol, nicotine, and illicit substances, only nicotine data will be analyzed.

9.7.3. Concomitant Substances Questions Removed “alcohol” and “illicit substances” from analysis.

Rationale: To clarify the approximate number of subjects entering the Transition/Maintenance Phases

3.1. Overview of Study Design Revised the following text as follows: “The approximate participation targets are 903 subjects entering the Screening Phase, 765 subjects entering the **Transition/Maintenance Phases**, and 549 subjects entering the Double-blind Phase.”

11.2. Sample Size Determination Revised the following text as follows: “Given these assumptions for discontinuation, the study targets approximately 765 subjects to enter the **Transition/Maintenance Phases**.”

Rationale: To include measurements of thyroid stimulating hormone to the Serum Chemistry Panel

9.4.2. Clinical Laboratory Tests Added thyroid stimulating hormone to the Serum Chemistry Panel.

Rationale: Improvements in the conduct of the protocol.

Throughout the protocol Changes for consistency between sections and for clarification were made that had no impact on safety.

Amendment 1 (21 March 2018)

This amendment is considered to be nonsubstantial based on the criteria set forth in Article 10(a) of Directive 2001/20/EC of the European Parliament and the Council of the European Union, in that it does not significantly impact the safety or physical/mental integrity of subjects, nor the scientific value of the study.

The overall reasons for the amendment:

- to clarify which version of the Columbia Suicide Severity Rating Scale (C-SSRS) will be used at the screening and baseline visits, and all other visits;
- to update the visit window for Visit 3 to avoid overlap with Visit 2;
- to clarify that an alcohol breath test, and not a saliva test, will be performed to test for concomitant substances;
- Figure 1 was updated;
- to clarify that vital signs to be collected every 3 months (Q3) during double-blind (DB) phase;
- to minimize risk of fetal exposure to study drug;
- to remove renal insufficiency as part of exclusion criterion #7;
- to prevent unnecessary exclusion of patients with gaps in historical medical documents;
- to include exclusion criterion #26 as per Food and Drug Administration (FDA) request for clarification;
- to maximize patient safety, exclude clinically unstable patients, and align with the R092670PSY3011 protocol;
- the first 3 bullets in Section 2.1.2.2 (Relapse Criteria) were previously in a different order and are now included in Section 4.3 (Criteria For Entry Into the Maintenance Phase) and in Section 4.4 (Criteria For Entry Into the Double-blind Phase);
- to include risperidone 3 mg/day as a valid alternative at an equivalent dose in countries for which the paliperidone extended-release (ER)/prolonged-release (PR) formulations are not available;
- to include additional text to the section subtitle, to clarify the frequency of prestudy Risperdal injections, and to clarify that subjects taking branded long-acting injectables (LAI) of risperidone or paliperidone palmitate will be permitted to enter this phase of the study;
- to clarify that the actual dates and times of electrocardiogram (ECG) and laboratory tests are not recorded in electronic case report form (eCRF);
- to update text in the protocol for clarity and consistency with Time and Events Schedules;
- to provide clearer guidance on timing of the end-of-phase (EOP) visit;
- to clarify text to include an accurate description of the Satisfaction With Participation in Social Roles Short Form 8a (SPSR), and to allow flexibility for regions to begin enrollment despite lack of translation availability;
- to modify text to prevent the risk of unblinding study treatment while collecting prolactin samples for clinical laboratory testing;
- to update Attachment 1 with clear instructions regarding the administration of injection;
- to remove controlling stratification by the maintenance dose level in the primary efficacy analysis; and
- to add a formula to clarify the calculation of percent change of total PANSS score.

Applicable Section(s)	Description of Change(s)
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	Rationale: To clarify which version of the C-SSRS will be used at the screening and baseline visits, and all other visits.
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Applicable Section(s)	Description of Change(s)
Synopsis, Safety Evaluations; Time and Events Schedules – Section A.i. Subjects with prestudy PP1M or PP3M stability; Section A.ii. Subjects without prestudy PP1M or PP3M stability; Section E. Keys and Footnotes (for All Time and Events Schedules)	<p>In Sections A.i. (Subjects with prestudy PP1M or PP3M stability), A.ii. (Subjects without prestudy PP1M or PP3M stability), and E (Keys and Footnotes [for All Time and Events Schedules]), the following footnote was modified for C-SSRS (strikethrough text deleted):</p> <p>m. The C-SSRS is administered as the "Baseline" version during screening and as the "Since Last Visit" version at all other visits. At the patients first study visit, the C-SSRS Baseline/Screening Form will be used; for all other visits, the C-SSRS Since Last Visit Form will be used.</p> <p>Removed the other visits in this row (Sections A.i and A.ii) and X, once before first dose (Section A.ii).</p> <p>In Sections A.i. and A.ii., the Suicidality (C-SSRS)^{f,m} row has been changed to Suicidality (C-SSRS Baseline/Screening)^{f,m}, and added a row to include Suicidality (C-SSRS Since Last Visit)^{f,m} for all other visits.</p> <p>The following text was modified in the Synopsis (Safety Evaluations)(bold text added):</p> <p>The study's standard safety evaluations will be physical examinations, vital signs, adverse events, electrocardiograms (ECGs), the Columbia Suicide Severity Rating Scale (C-SSRS Baseline/Screening and C-SSRS Since Last Visit), the Abnormal Involuntary Movement Scale (AIMS), the Barnes Akathisia Rating Scale (BARS), the Simpson Angus Scale (SAS), clinical laboratory assessments (in full [including prolactin] at some time points, or for prolactin only at other time points), and injection site evaluations.</p>
Rationale: To update the visit window for Visit 3 to avoid overlap with Visit 2.	
Time and Events Schedules – Section A.i. Subjects with prestudy PP1M or PP3M stability	In the Time and Events Schedules (Section A.i, For Subjects with prestudy PP1M or PP3M stability), the visit window was changed from ±2 days to -1 to +2 days for Visit 3.
Rationale: To clarify that an alcohol breath test, and not a saliva test, will be performed to test for concomitant substances.	

Applicable Section(s)	Description of Change(s)
Time and Event schedule- Section E- Keys and Footnotes; 9.1. Study Procedures; 9.4.2. Clinical Laboratory Tests; 15. Study Specific Materials	<p>The following text was modified in footnote e (bold text added; deleted text strikethrough):</p> <p>e. These tests for concomitant substances (both an saliva test for alcohol breath test and a urine drug screen for illicit substances), including marijuana (even where legal). Alcohol and illicit substances are strongly discouraged but are not exclusionary and are not cause for withdrawal from the study.</p> <p>The following text was modified in footnote f (bold text added; deleted text strikethrough):</p> <p>f. In addition to the indicated visits, suspected relapses during the Double-blind Phase should prompt all of the following assessments at all associated clinic visits, even if not designated on these schedules: a pharmacokinetics (PK) sample, the biomarker samples (plasma and serum for all subjects, and saliva for subjects who are participating in that optional part of the study), a full PANSS assessment, a CGI-S assessment, a C-SSRS assessment, and testing for concomitant substances (both an saliva test for alcohol breath test and a urine drug screen for illicit substances).</p> <p>In Section 9.1 (Study Procedures), the following bullet point text was modified (bold text added; deleted text strikethrough):</p> <p>Testing for concomitant substances (both an saliva test for alcohol breath test and a urine drug screen for illicit substances), per Section 9.4.2 (Clinical Laboratory Tests).</p> <p>In Section 9.4.2 (Clinical Laboratory Tests), the following bullet point text was modified (bold text added; deleted text strikethrough):</p> <p>Urine drug screen kits (for illicit substances, including marijuana, even where legal) and alcohol saliva test kits breath tests will be provided for local use at the time points specified in the Time and Events Schedules.</p> <p>In Section 15 (Study Specific Materials), the following bullet point text was modified (bold text added; deleted text strikethrough):</p> <p>Alcohol breath testSaliva alcohol test kit</p>
	Rationale: Figure 1 was updated.
3.1. Overview of Study Design	Replaced Figure 1 with a new figure.
	Rationale: To clarify that vital signs to be collected Q3 during DB phase.
Time and Events Schedules, Section B. Double-blind Phase (First 12 Months); Section C. Double-blind Phase (After the First 12 Months)	In the Time and Events Schedules, vital signs measurements (body weight and waist circumference) were added to Visit 13 (Section B, Double-blind Phase [First 12 Months]), and also to Visit 39 (Section C, Double-blind Phase [After the First 12 Months]).
	Rationale: To minimize risk of fetal exposure to study drug.

Applicable Section(s)	Description of Change(s)
4.1. Inclusion Criteria	<p>The note following inclusion criterion #10 was modified (bold text added; deleted text strikethrough):</p> <p>10. Criterion modified per Amendment 1</p> <p>10.1...</p> <p>Note: If the childbearing potential changes after start of the study or the risk of pregnancy changes (eg. A woman who is not heterosexually active becomes sexually active) a woman must begin consent to starting a highly effective method of contraception as described throughout this inclusion criterion after a negative pregnancy test. If reproductive status is questionable, additional evaluation should be considered. If the subject declines consent for start of a highly effective method of contraception, the subject must be withdrawn from the study.</p> <p>Rationale: To remove renal insufficiency as part of exclusion criterion #7.</p>
Synopsis, Subject Population; 4.2. Exclusion Criteria	<p>The following text was modified in the Synopsis (Subject Population) and under exclusion criterion #7 (bold text added; deleted text strikethrough):</p> <p>7. Criterion modified per Amendment 1</p> <p>7.1 Must not have a clinically significant and unstable medical illness in history or at screening, including (but not limited to) cardiac arrhythmias or other cardiac disease, hematologic disease, coagulation disorders (including any abnormal bleeding or blood dyscrasias), significant pulmonary disease including bronchospastic respiratory disease, diabetes mellitus (poorly controlled or requiring insulin), renal or hepatic insufficiency, thyroid disease (poorly controlled based on recent thyroid stimulating hormone [TSH] level),</p> <p>Rationale: To prevent unnecessary exclusion of patients with gaps in historical medical documents.</p>
4.2. Exclusion Criteria	<p>The following text was modified in exclusion criterion #12 (bold text added; strikethrough text deleted):</p> <p>12. Criterion modified per Amendment 1</p> <p>12.1 Must not have a history of treatment resistance, defined as failure to respond to 2 adequate trials with adequate doses of different antipsychotic medications (where an adequate trial is defined as a minimum of 4 weeks at a therapeutic dosage)-, based on the available medical records. Final determination of eligibility is based on investigator judgment.</p> <p>Rationale: To include exclusion criterion #26 as per FDA request for clarification.</p>
4.2. Exclusion Criteria	<p>The following bullet point text was added to exclusion criterion #26:</p> <p>Must not have moderate to severe renal impairment (ie, creatinine clearance, as estimated by the Cockcroft-Gault method, of <60 mL/min).</p> <p>Rationale: To maximize patient safety, exclude clinically unstable patients, and align with the R092670PSY3011 protocol.</p>

Applicable Section(s)	Description of Change(s)
4.2. Exclusion Criteria; 8.3. Prohibited Concomitant Medications	<p>The following bullet point text was included in exclusion criterion #27:</p> <p>Subjects must not have the following:</p> <ul style="list-style-type: none"> -Electroconvulsive therapy (ECT) within 60 days before screening -Nonselective/irreversible monoamine oxidase inhibitors (MAOI) antidepressants within 30 days prior to screening. -Other antidepressants unless at a stable dosage for 30 days before screening (If the dosage has been stable for less than 30 days and the subject does not require the antidepressant, it can be washed out by the baseline visit; if the dosage has been stable for less than 30 days and the subject requires antidepressant treatment, the subject should not be included in this study). <p>The following bullet point text was included in exclusion criterion #28:</p> <p>Must not be concomitantly treated with mood stabilizers including lithium, or valproate, or other antiepileptics/anticonvulsants within 14 days of the first screening visit.</p> <p>The exclusion criterion #29 was added:</p> <p>Must not have been treated with a dopamine agonist (eg, ropinirole or pramipexole) within 90 days of the first screening visit.</p> <p>The following bullet point text was included in Section 8.3 (Prohibited Concomitant Medications):</p> <ul style="list-style-type: none"> - Mood stabilizers and anticonvulsants including, but not limited to: lithium, valproate, lamotrigine, carbamazepine, phenytoin, and gabapentin. - Antidepressants not taken at a stable dosage for 30 days before screening, and all nonselective/irreversible MAOIs. Throughout the study, an antidepressant (other than a nonselective MAOI) may be initiated in rare circumstances only after consultation with the Medical Monitor. - Any prescription, herbal, or over-the-counter agents with psychotropic actions including any substances with stimulant and cognitive-enhancing properties. - Dopamine agonists, including, but not limited to: ropinirole, pramipexole, pergolide, cabergoline, and lisuride.

Rationale: The first 3 bullets in Section 2.1.2.2 (Relapse Criteria) were previously in a different order and are now included in Section 4.3 (Criteria For Entry Into the Maintenance Phase) and in Section 4.4 (Criteria For Entry Into the Double-blind Phase).

Applicable Section(s)	Description of Change(s)
4.3. Criteria For Entry Into the Maintenance Phase; 4.4. Criteria For Entry Into the Double-blind Phase	<p>The following text was modified in Section 4.3 (Criteria For Entry Into the Maintenance Phase)(bold text added; strikethrough text deleted):</p> <p>3. For subjects proceeding from the Transition Phase to the Maintenance Phase, they must not have during the Transition Phase: met any of the first 3 bullet points in Section 2.1.2.2 (Relapse Criteria) during the Transition Phase</p> <p>a. required psychiatric hospitalization (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms), or b. inflicted deliberate self-injury or exhibited violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage, or c. had suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment</p> <p>The following text was modified in Section 4.4 (Criteria For Entry Into the Double-blind Phase)(bold text added; strikethrough text deleted):</p> <p>5. Subjects must not have during the Maintenance Phase: met any of the first 3 bullet points in Section 2.1.2.2 (Relapse Criteria) during the Maintenance Phase</p> <p>The sub-bullet points presented in Section 4.3 (Criteria For Entry Into the Maintenance Phase) are also presented in Section 4.4 (Criteria For Entry Into the Double-blind Phase).</p>
Rationale:	Paliperidone ER/PR are not available in all study countries. Risperidone 3 mg/day is a valid alternative at an equivalent dose.
6.1.1. Screening Phase	<p>The following text was modified in Section 6.1.1 (Screening Phase) (bold text added; strikethrough text deleted):</p> <p>To demonstrate oral tolerability, pPaliperidone ER/PR 6 mg tablets or risperidone 3 mg/day (dose may be divided) will be given during the Screening Phase for 4 to 6 consecutive days with the last dose swallowed on or before Day -1. The recommended dose is paliperidone ER/PR of 6 mg/day or risperidone 3 mg/day (dose may be divided), but higher doses of paliperidone or risperidone may be used if clinically indicated, based on investigator judgment.</p>
Rationale:	To include additional text to the section subtitle, to clarify the frequency of prestudy Risperdal injections, and to clarify that subjects taking branded LAI of risperidone or paliperidone palmitate will be permitted to enter this phase of the study.

Applicable Section(s)	Description of Change(s)
6.1.2. Transition Phase	<p>The following subtitle was modified (bold text added):</p> <p>Transition Phase: Subjects Previously Stabilized on Injectable Risperidone (Biweekly – Risperdal CONSTA™ formulation)</p> <p>The following subtitle was modified and text was included (bold text added):</p> <p>Transition Phase: Subjects Previously Initiated (But Not on a Stable Regimen) With Moderate or Higher Doses of PP1M (Invega Sustenna™ or Xeplion™ formulation)</p> <p>In the Transition Phase, subjects who entered the study on PP1M as 100 or 150 mg eq., but who do not yet meet criteria for stabilization with those doses, are treated with additional doses of PP1M during the Transition Phase, as shown in Table 3.</p> <p>Owing to potential differences in release characteristics, only subjects who are taking Invega Sustenna™ or Xeplion™ PP1M formulations will be permitted to enter this phase of the study. Subjects who are taking non-branded formulations of once monthly paliperidone LAI or other once monthly LAIs will not be permitted to enter this phase.</p>
	<p>Rationale: Actual dates and times of ECG and laboratory tests are not recorded in eCRF. This information would be recorded by CROs.</p>
9.1. Study Procedures	<p>The following text was modified in Section 9.1 (Study Procedures, Overview) (strikethrough text deleted):</p> <p>Actual dates and times of assessments will be recorded in the source documentation. and eCRF</p>
	<p>Rationale: Text was updated in the protocol for clarity and consistency with Time and Events Schedule.</p>
Time and Events Schedule, Section D. Follow-up Phase; 11.5.7. Columbia Suicide Severity Rating Scale	<p>The following text was added to the Time and Events Schedule (Section D, Follow-up Phase) (bold text added):</p> <p>12, 18 or 24 Months after the Subject's First Double-blind Injection (or at 6-Month Time Points Thereafter, if Applicable)</p> <p>The following text was added to Section 11.5.7 (Columbia Suicide Severity Rating Scale) (bold text added):</p> <p>C-SSRS Baseline/Screening Form will be used at screening. C-SSRS Since Last Visit Form will be used at other visits, as per Time and Events Schedules. Suicide-related thoughts and behaviors based on the C-SSRS scale will be summarized by treatment group in incidence and shift tables.</p>
	<p>Rationale: To provide clearer guidance on timing of the EOP visit.</p>

Applicable Section(s)	Description of Change(s)
Time and Events Schedule, Section C. Double-blind Phase (After the First 12 Months); Section E. Keys and Footnotes (for All Time and Events Schedules)	<p>In Section C, the visit window of the EOP visit was changed from “Variable” to “±7^{bb}”.</p> <p>The following text was modified in Section E, footnote aa (bold text added; strikethrough text deleted):</p> <p>After completing the first 12 months of the Double-blind Phase, subjects who cannot proceed further in the Double-blind Phase (eg, if the study is closed) will complete the EOP Visit as an End-of-Study Visit. For subjects who can proceed to further treatment in the Double-blind Phase, Visit 33a is the same as Visit 33b, with the addition of another dose of study drug. Thereafter, subjects continue with this schedule until the Sponsor informs the sites that the study is closing, at which time subjects should be asked to return as soon as possible to the site for an EOP Visit, which becomes the End-of-Study Visit. The planned closure will be 12 months after the last subject has been randomized in the Double-blind Phase. Refer to section 10.4 (Antipsychotic Therapy After the Study or in the Follow-up Phase) and 10.5 (Process for Planned Study Closure) for more information.</p> <p>Footnote bb was added:</p> <p>bb. For EOP visits occurring during study closure (ie, not a result of withdrawal or relapse), the visit window is specified as ±7 days. In the event of study withdrawal or relapse, a visit window (in days) for the EOP visit is not specified but is to occur as soon as possible.</p>
Rationale: Text was updated to include an accurate description of the SPSR Short Form 8a, and to allow flexibility for patient enrollment to begin in regions where translations are not yet available.	
Time and Events Schedules, Section E. Keys and Footnotes; Synopsis, Efficacy Evaluations; 9.2.5. Satisfaction With Participation in Social Roles	<p>In Section E, footnote u, the following text was added:</p> <p>For subjects that require translation of the SPSR into their local language, the collection of the SPSR is optional until the final, approved translation is available.</p> <p>The following text was modified in the Synopsis (Efficacy Evaluations) (bold text added; deleted text strikethrough):</p> <p>Subject-reported efficacy evaluations include the Satisfaction With Participation in Social Roles scale Short Form 8a (SPSR) and the abbreviated 9-item Treatment Satisfaction Questionnaire for Medication (TSQM-9).</p> <p>The following text was modified in Section 9.2.5 (Satisfaction With Participation in Social Roles) (bold text added; deleted text strikethrough):</p> <p>The Patient-Reported Outcomes Measurement Information System (PROMIS) group developed and evaluated the Satisfaction With Participation in Social Roles scale Short Form 8a (SPSR) with funding from the US National Institutes of Health (NIH) and other academic and research grants.¹⁵ A study in a diverse clinical population demonstrated the SPSR's responsiveness to change.¹⁵ The SPSR asks subjects to consider the past 7 days and to rate 44 8 items on 5-point Likert scales with higher scores representing higher satisfaction. An example of the SPSR is provided in the Manual of Assessments.</p> <p>Note: For subjects that require translation of the SPSR into their local language, the collection of the SPSR is optional until the final, approved translation is available.</p>

Applicable Section(s)	Description of Change(s)
	Rationale: To prevent the risk of unblinding study treatment while collecting prolactin samples for clinical laboratory testing.
9.4.2. Clinical Laboratory Tests	The following text was modified in Section 9.4.2 (Clinical Laboratory Tests) (striketrough text deleted): -prolactin, which will be blinded to the study-site personnel and Sponsor except by request due to an adverse event ; some samples will be for prolactin only (not the other analytes listed above), as designated in the Time and Events Schedules
	Rationale: Because the choice of dose level in the maintenance was determined on the appropriate dose that subjects had been stabilized on, and which the investigators consider as appropriate from both efficacy and safety point of view, the KM curves analysis by treatment group is thus by regimen and not by individual dose level. This is consistent with the previous registration studies (R092670PSY3001, R092670PSY3011, and R092670PSY3012).
11.3.1.1.2. Primary Efficacy Analyses	The following sentence was removed in Section 11.3.1.1.2 (Primary Efficacy Analyses): The tests above are adjusted by the stratification factor used during randomization (for moderate or higher dose).
	Rationale: To update Attachment 1 with clear instructions regarding the administration of injection.
Attachment 1	The following text was added to the Notes of the figure in Attachment 1: Injections should be administered in the dorso-gluteal injection site only. Ventrogluteal injections are not permitted.
	Rationale: To add a formula to clarify the calculation of percent change of total PANSS score.
Attachment 2	A formula for calculating percent change of total PANSS score was added.
	Rationale: Minor errors were noted.
Throughout the protocol	Minor grammatical, formatting, or spelling changes were made.

SYNOPSIS

A Double-blind, Randomized, Active-controlled, Parallel-group Study of Paliperidone Palmitate 6-Month Formulation

Paliperidone is a monoaminergic antagonist that exhibits the characteristic dopamine Type 2 and serotonin Type 2A antagonism of the newer, or second-generation, atypical antipsychotic medications. Paliperidone is the active metabolite of risperidone and is available as a daily-dose oral formulation. Paliperidone palmitate is an ester of paliperidone, and is available for intramuscular injection in the paliperidone palmitate 1-month (PP1M) product as the F013 formulation and the paliperidone palmitate 3-month (PP3M) product as the F015 formulation. To further improve adherence and convenience, a paliperidone palmitate 6-month (PP6M) product is now under development. CCI

(ie, PP3M may be administered into either the deltoid or the gluteal muscle, but the larger volume associated with a PP6M dose requires injection into the larger gluteal muscle).

Doses can be expressed in milligrams of paliperidone palmitate or in milligrams equivalent (mg eq.) to paliperidone. Conversions between products and between units are described in the table below.

Conversions Between Doses of the 1-, 3-, and 6-Month Formulations of Paliperidone Palmitate; Study R092670PSY3015

	PP1M Dose		PP3M Dose		PP6M Dose	
	mg	mg eq.	mg	mg eq.	mg	mg eq.
Lowest-dose groups ^a	78 mg	50 mg eq.	Not used in this study		---- Not available ----	
Lower-dose groups	117 mg	75 mg eq.	Not used in this study		---- Not available ----	
Moderate-dose groups	156 mg	100 mg eq.	546 mg	350 mg eq.	1092 mg	700 mg eq.
Higher-dose groups	234 mg	150 mg eq.	819 mg	525 mg eq.	1560 mg	1000 mg eq.

Key: mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product).

^a Some countries may also have an ultralow dose of PP1M (below the lowest dose stated here), but the ultralow dose is not used in this study.

OBJECTIVES, ENDPOINTS, AND HYPOTHESIS

Objectives

Primary Objective

- The primary efficacy objective is to demonstrate that injection cycles consisting of a single administration of PP6M (700 or 1000 mg eq.) are not less effective than 2 sequentially administered injections of PP3M (350 or 525 mg eq.) for the prevention of relapse in subjects with schizophrenia previously stabilized on corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

Secondary Objectives

- To evaluate the safety and tolerability of PP6M (700 or 1000 mg eq.) in subjects with schizophrenia who have switched from corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).
- To assess the pharmacokinetic (PK) profile of PP6M (700 or 1000 mg eq.) administered in the gluteal muscle in subjects with schizophrenia who have switched from corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).
- To evaluate the clinically assessed efficacy of PP6M (700 or 1000 mg eq.) versus PP3M (350 or 525 mg eq.) in maintaining symptom control, functioning personally and socially, and achieving or

sustaining remission in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

- To evaluate the subject-reported efficacy outcomes of PP6M (700 or 1000 mg eq.) or PP3M (350 or 525 mg eq.) compared with treatment with previous oral antipsychotics in terms of satisfaction with medication and with participation in social roles.

Exploratory Objectives

Exploratory objectives are also defined in the full protocol, but are excluded from this synopsis for brevity.

Endpoints

Primary Endpoint

The primary endpoint is time to relapse during the Double-blind Phase. This noninferiority primary endpoint will be based on the difference in Kaplan-Meier 12-month estimate of survival (ie, percentage of subjects remaining relapse-free) between PP6M and PP3M.

Relapse Criteria

Relapse is defined as 1 or more of the following:

- Psychiatric hospitalization (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms), or
- For Positive and Negative Syndrome Scale for Schizophrenia (PANSS) total score:
 - The subject has an increase of 25% in total PANSS score from randomization for 2 consecutive assessments separated by 3 to 7 days if the score at randomization was >40 , or
 - The subject has a 10-point increase in the total PANSS score from randomization for 2 consecutive assessments separated by 3 to 7 days if the score at randomization was ≤ 40 , or
- The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage, or
- The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment, or
- For PANSS items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/persecution), P7 (hostility), and G8 (uncooperativeness):
 - The subject has a score of ≥ 5 after randomization for 2 consecutive assessments separated by 3 to 7 days on any of the above items if the maximum score for the above PANSS items was ≤ 3 at randomization, or
 - The subject has a score of ≥ 6 after randomization for 2 consecutive assessments separated by 3 to 7 days on any of the above items if the maximum score for the above PANSS items was 4 at randomization.

The date of the relapse will be the date of the first assessment for symptoms of relapse (not the date of confirmation).

Secondary Endpoints

The secondary efficacy endpoints include the changes from baseline during the 12-month Double-blind Phase in the following scales: the PANSS total score and subscale scores, the Clinical Global Impression - Severity (CGI-S), and the Personal and Social Performance (PSP) scale. Additionally, the proportion of

subjects during the Double-blind Phase who meet criteria for symptomatic remission will be summarized; the definition of remission is provided in the full protocol.

The secondary PK endpoint is plasma paliperidone exposure.

The secondary endpoints for satisfaction with medication and with participation in social roles are abbreviated Treatment Satisfaction Questionnaire for Medication (TSQM-9) and Satisfaction with Participation in Social Roles (SPSR), respectively.

The secondary endpoints that measure safety and tolerability include physical examinations, vital signs, adverse events, electrocardiograms (ECGs), the Columbia Suicide Severity Rating Scale (C-SSRS Baseline/Screening and C-SSRS Since Last Visit), the Abnormal Involuntary Movement Scale (AIMS), the Barnes Akathisia Rating Scale (BARS), the Simpson Angus Scale (SAS), clinical laboratory assessments (in full [including prolactin] at some time points, or for prolactin only at other time points), and injection site evaluations.

Hypothesis

The primary hypothesis is that the efficacy of PP6M is noninferior to PP3M for preventing relapse in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M or PP3M.

SUBJECT POPULATION

Eligibility criteria are presented as a detailed list in the full protocol. A few important highlights are presented here in the synopsis:

- Must be 18 years of age (or the legal age of consent in the jurisdiction in which the study is taking place) to 70 years of age, inclusive, at the time of informed consent.
- Must meet the diagnostic criteria for schizophrenia according to Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) for at least 6 months before screening.
- Must be receiving treatment with paliperidone palmitate (as either the PP1M or PP3M formulation), or injectable risperidone, or any oral antipsychotic.
 - a. If the treatment is paliperidone palmitate, then:
 - 1) The dose strength must be PP1M as 100 or 150 mg eq. or PP3M as 350 or 525 mg eq.
 - 2) The dose timing must fit the study schedule. The next injection must be due within 28 days of the first screening (or first rescreening) visit.
 - b. If the treatment is injectable risperidone, then the dose strength must be 50 mg, the dosing cycle must be every 2 weeks, the efficacy and tolerability must have been established as adequate with the same strength and frequency for at least 3 injection cycles before screening, and the subject must have a preference for a longer-acting injectable medication.
 - c. If the treatment is an oral antipsychotic, then the subject must have a valid reason to discontinue the previous treatment, such as problems with efficacy, safety, or tolerability, or preference for a long-acting injectable medication.
- Criterion modified per Amendment 1
- 7.1 Must not have a clinically significant and unstable medical illness in history or at screening, including (but not limited to) cardiac arrhythmias or other cardiac disease, hematologic disease, coagulation disorders (including any abnormal bleeding or blood dyscrasias), significant pulmonary disease including bronchospastic respiratory disease, diabetes mellitus (poorly controlled or requiring insulin), hepatic insufficiency, thyroid disease (poorly controlled based on recent thyroid

stimulating hormone [TSH] level), neurologic or other psychiatric disease (except schizophrenia), infection, cancer, or any other illness that the investigator considers should exclude the subject or that could interfere with the interpretation of the efficacy or safety measurements.

If a subject does not meet all inclusion criteria (is a screen failure) with respect to dose level, dose duration, or dose timing of prestudy treatment with paliperidone palmitate or injectable risperidone, but at some point in the future is expected to meet these inclusion criteria, then the subject may be rescreened on 1 occasion. If a subject meets any exclusion criteria (is a screen failure) with respect to safety parameters, then rescreening is not allowed.

OVERVIEW OF STUDY DESIGN

This is a randomized, double-blind, active-controlled, multicenter, interventional, parallel-group study. All eligible subjects who progress without relapse will participate in a Screening Phase (of up to 28 days), a Maintenance Phase that includes 1 injection cycle with either PP1M or PP3M (yielding a phase duration of 1 or 3 months, accordingly), and a Double-blind Phase (of 12 months). The Double-blind Phase is designed to include 2 injection cycles of PP6M (investigational drug with alternating placebo) or 4 injection cycles of PP3M (active control). In addition to standard participation as described above, further conditional/additional participation is possible as follows:

- Before the Maintenance Phase, some subjects will participate in a Transition Phase, with 1 to 5 injections of PP1M, if they entered the study on an oral antipsychotic, on injectable risperidone, or on PP1M previously initiated but not yet stabilized.
- The planned closure will be 12 months after the last subject has been randomized in the Double-blind Phase.
- If a subject has already received at least 1 dose of double-blind study drug but then has relapsed or has met other relevant conditions for withdrawal or discontinuation, then the subject should enter a Follow-up Phase. The Follow-up Phase ends 12 months after the subject's first double-blind injection. The Follow-up Phase collects supplementary poststudy data from willing affected subjects, in an effort to document minimum safety information (ie, adverse events) and minimum efficacy information (ie, relapse status). The Follow-up Phase is designed to be as low-burden and noninvasive as possible, in order to encourage participation by the affected subjects.

The duration of exposure to study drug (ie, the number of injections) and the duration of study participation are variable based on a subject's flow through treatment types, on participation in conditional phases or parts as described in the 3 bullet points above, and on whether a subject experiences a relapse during the study.

For subjects who complete the study without relapse, the longest expected duration is ~19 months and the shortest expected duration is ~13 months, as described in the full protocol. For subjects who complete the study with relapse, the duration of exposure and participation depend on the timing of the relapse, and could even be (for example) only ~2 months of injections with a variable duration of assessments thereafter, as described in the full protocol.

DOSAGE AND ADMINISTRATION

Dosage: Screening Phase

During the Screening Phase, subjects must have already been receiving PP1M at 100 or 150 mg eq., PP3M at 350 or 525 mg eq., injectable risperidone at 50 mg, or an oral antipsychotic at any dosage with a reason to change, as described in the inclusion criteria.

Dosage: Transition Phase

The Transition Phase is applicable only to subjects who entered the Screening Phase without previous PP1M or PP3M stability. These subjects may have been previously treated with oral antipsychotics, injectable risperidone, or a moderate or higher dose of PP1M with previous initiation but without previous stabilization (where stabilization is defined as at least 3 months of injections with the last 2 doses being the same strength). A table in the full protocol provides further information about the PP1M administration schedule for subjects who participate in the Transition Phase.

Dosage: Maintenance Phase

For all subjects, the Maintenance Phase includes only 1 dose of PP1M as 100 or 150 mg eq. or PP3M as 350 or 525 mg eq. Each subject's Maintenance Phase dose will be matched by straightforward progression (PP1M to PP1M, or PP3M to PP3M) or will be matched by established conversion (PP1M to PP3M) to the same dose that they had been receiving during the Screening Phase or at the end of the Transition Phase. Progression versus conversion will depend on prestudy treatment types and on the need to balance the PP1M and PP3M groups in the Maintenance Phase, as described in the full protocol.

Dosage: Double-blind Phase

The PP1M and PP3M dose levels that were administered in the Maintenance Phase will be converted to PP3M or PP6M dose levels for the Double-blind Phase as follows:

- For the active control group,
 - The open-label PP1M doses (100 or 150 mg eq.) will be converted to double-blind PP3M doses (350 or 525 mg eq.) in accordance with the approved prescribing information for PP3M.
 - The open-label PP3M doses (350 or 525 mg eq.) will continue at the same double-blind dose level.
- For the investigational drug group,
 - The open-label 100 mg eq. PP1M and 350 mg eq. PP3M doses will be converted to double-blind 700 mg eq. PP6M doses.
 - The open-label 150 mg eq. PP1M and 525 mg eq. PP3M doses will be converted to double-blind 1000 mg eq. PP6M doses.

To maintain the blind, the subjects who are assigned to treatment with PP6M will receive injections of placebo at the 3-month time points between their 6-month doses of investigational drug. The placebo is 20% Intralipid® (200 mg/mL) injectable emulsion. Therefore, the Double-blind Phase should include a total of 4 doses at 3-month intervals, no matter which treatment group.

Administration

During the Transition Phase and Maintenance Phase, injections will be in the deltoid or gluteal muscles in accordance with the prescribing information for PP1M or PP3M, and will use injection kits equivalent to commercially available kits. During the Double-blind Phase, injections will be in the gluteal muscles only, will use study-specific injection kits, and will rotate across sides of the body as described in the full protocol.

PHARMACOKINETIC AND PHARMACODYNAMIC EVALUATIONS

Venous blood samples of approximately 4 mL will be collected to obtain approximately 2 mL of plasma, for measurement of plasma concentrations of paliperidone (and on selected samples, paliperidone palmitate). The aim of the PK evaluations will be to characterize the time course of plasma paliperidone concentrations and PK parameters such as maximum and minimum plasma concentrations and timing.

Therefore, 3 PK samples are scheduled weekly around the expected paliperidone peak at approximately 1 month after the PP6M dose, and 6 PK samples are scheduled weekly when approaching the end of the 6-month dosing interval. For paliperidone palmitate, PK evaluations will be performed on samples collected 2 days after the injections indicated in the Time and Events Schedules, to check for any prodrug in the bloodstream from possible partial intravascular injections.

In addition to PK sampling time points indicated in the Time and Events Schedules, sites should collect unscheduled PK samples associated with important efficacy or safety events, as described in the full protocol.

EFFICACY EVALUATIONS

The clinically assessed efficacy evaluations include the PANSS (full and abbreviated), the CGI-S, the PSP scale, and other relapse criteria as described above. Subject-reported efficacy evaluations include the Satisfaction With Participation in Social Roles Short Form 8a (SPSR) and the abbreviated 9-item Treatment Satisfaction Questionnaire for Medication (TSQM-9).

SAFETY EVALUATIONS

The study's standard safety evaluations will be physical examinations, vital signs, adverse events, electrocardiograms (ECGs), the Columbia Suicide Severity Rating Scale (C-SSRS Baseline/Screening and C-SSRS Since Last Visit), the Abnormal Involuntary Movement Scale (AIMS), the Barnes Akathisia Rating Scale (BARS), the Simpson Angus Scale (SAS), clinical laboratory assessments (in full [including prolactin] at some time points, or for prolactin only at other time points), and injection site evaluations. In addition those standard evaluations, conditional evaluations also are described for special safety situations that may occur; these include evaluations of injection site reactions or evaluations associated with initiations or changes in concomitant medications to manage extrapyramidal symptoms (EPS).

EXPLORATORY EVALUATIONS

Exploratory evaluations are described in the full protocol, they are excluded from this synopsis for brevity.

STATISTICAL METHODS

Sample Size Determination

The sample size for the Double-blind Phase of the study is 549 randomized subjects, based on determinations to provide a minimum of 80% power for the primary endpoint. The sample size determination includes the assumptions that the expected survival rate (percentage of subjects remaining relapse-free at 12 months) in the PP3M group is 85%, and that the 1-sided significance level should be 2.5%. Given these assumptions, 549 subjects randomized in a 2:1 ratio (PP6M:PP3M) are required to demonstrate with 80% power that PP6M is no worse than PP3M by a noninferiority margin of 10% for the percentage of subjects remaining relapse-free at 12 months. This assumes that the efficacy observed in the PP3M group will be similar to the efficacy observed in the previous PP3M registrational Phase 3 studies (R092670PSY3011 and R092670PSY3012).

Pharmacokinetic and Pharmacodynamic Analyses

Descriptive statistics will be calculated for the plasma concentrations of paliperidone and paliperidone palmitate and for the derived PK parameters, as applicable. Statistics will include sample size, mean, standard deviation, coefficient of variation, geometric mean, median, minimum, and maximum. Population PK analysis of plasma concentration-time data of paliperidone will be performed using nonlinear mixed-effects modeling for PP6M, possibly using models previously developed from PP3M studies.

Efficacy AnalysesPrimary Efficacy

The primary endpoint is time to relapse in the Double-blind Phase, as described above. Subjects who meet at least 1 of the criteria for relapse (as also described above) during the Double-blind Phase at the time of study completion for the primary analysis will be considered to have had a relapse event. Subjects who do not have a relapse event in the Double-blind Phase will be considered as censored. The statistics to test the primary hypothesis are based on the percentage of subjects who remain relapse-free at Month 12 in the PP6M and PP3M groups per Kaplan-Meier estimate for the Double-blind Phase. The analysis will consider whether the lower limit of the 95% confidence interval of the difference in relapse-free rates between PP6M and PP3M exceeds the noninferiority margin of -10%. Further details are provided in the full protocol.

Secondary Efficacy

Clinically assessed secondary efficacy analyses include maintaining symptom control, functioning personally and socially, and achieving remission in each group (PP6M or PP3M, each sorted by dose level); further details about these analyses are provided in the full protocol. Subject-reported secondary efficacy analyses include analyses of the SPSR and the TSQM-9; further details about these analyses may be detailed in a separate document.

Safety Analyses

Descriptive statistics, summaries, tabulations, listings, etc will be provided for each outcome as appropriate. Analyses will follow precedents per previous studies of PP3M and per established guidelines from Health Authorities.

Exploratory Analyses

Exploratory analyses are described in the full protocol, but are excluded from this synopsis for brevity.

END OF STUDY

A subject will be considered as having completed the study if he or she has had a relapse during the Double-blind Phase and has completed all End-of-Study Visit assessments, or has remained relapse-free during the Double-blind Phase and has completed all End-of-Study Visit assessments. The study is considered completed with the last visit for the last subject participating in the study.

TIME AND EVENTS SCHEDULES

A. Screening, Transition, and Maintenance Phases

A.i. Subjects With Prestudy PP1M or PP3M Stability

Phase	Screening	Maintenance Phase: PP1M or PP3M						EOP ^s
		1	2	3	4	5	6	
Visit Number (of Study)	1	2	3	4	5	6	7a ^s	
Day (of Phase), if Maintenance Phase is PP3M	-28 to -2	1	3	15	30	60	90	
Day (of Phase), if Maintenance Phase is PP1M	-28 to -2	1	3	8	15	22	30	
Visit Window, ±Days		n/a	-1 to +2	±3	±3	±3	±3	
Screening/administrative								
Main ICF ^a	X							
Optional ICF for caregiver	X							
Inclusion/exclusion criteria ^b	X	X						
Medical history and demographics	X							
Pregnancy test ^c	X	X					X	
Prestudy therapy	X ^d							
Concomitant therapy	----- Continuous -----							
Concomitant substance testing ^{e,f} and questions	X	X					X	
Dosing								
PP3M (after prestudy PP3M stability)		X ^g						
PP3M (after prestudy PP1M stability, before PP3M prerandomization target)		X ^g						
PP1M (after prestudy PP1M stability, after PP3M prerandomization target)		X ^g						
Safety assessments								
Physical examinations ^h	X						X	
Vital signs ⁱ	X						X	
12-lead ECGs ^j	XX	X					X	
Assessments of injection site ^k		X	X	X			X ^k	
EPS assessments (AIMS, BARS, SAS) ^l	X	X		X			X	
Suicidality (C-SSRS Baseline/Screening) ^{f,m}	X							
Suicidality (C-SSRS Since Last Visit) ^{f,m}		X			X	X	X	
Adverse events	----- Continuous -----							
Efficacy assessments								
Full PANSS ^f + CGI-S ^f	X	X				X	X	
Abbreviated PANSS ⁿ + CGI-S				X	X			
PSP	X	X					X	
Collection of biofluids								
Blood for hematology and serum chemistry	X ^o						X ^o	
Urinalysis	X						X	
Blood for pharmacokinetics ^{fp}		X ^q	X	X	X	X	X	
Additional exploratory assessments								
HRU questionnaire, ^r IEQ, ^r SQLS	X						X	

A.ii. Subjects Without Prestudy PP1M or PP3M Stability

Phase	Screening	Transition Phase						Maintenance Phase: PP1M or PP3M						EOP ^s
		2a	2b	2c	2d	2e ^z	2f	3	4	5	6	7a ^s		
Visit Number (of Study)	1	1	8	36	64	92	1 ^u	3	15	30	60	90		
Day (of Phase), if Maintenance Phase is PP3M	-28 to -2	1	8	36	64	92	1 ^u	3	15	30	60	90		
Day (of Phase), if Maintenance Phase is PP1M	-28 to -2	1	8	36	64	92	1 ^u	3	8	15	22	30		
Visit Window, ±Days		n/a	±3	±7	±7	±7	±3	-1 to +2	±3	±3	±3	±3		
Screening/administrative														
Main ICF ^a	X													
Optional ICF for caregiver	X													
Inclusion/exclusion criteria ^b	X						X							
Medical history and demographics	X													
Pregnancy test ^c	X						X					X		
Prestudy therapy	X ^d													
Concomitant therapy	----- Continuous -----													
Concomitant substance testing ^{e,f} and questions	X						X					X		
Oral tolerability test	X or n/a													
Dosing: Transition Phase ^v														
PP1M (after prestudy oral antipsychotic)		X	X	X	X	X						n/a		
PP1M (after prestudy injectable risperidone)		n/a	X	X	X	X						n/a		
PP1M (after 2 prestudy PP1M injections)		n/a	n/a	X	X	X						n/a		
PP1M (after 3 prestudy PP1M injections)		n/a	n/a	n/a	X	X						n/a		
PP1M (after ≥4 prestudy PP1M injections)		n/a	n/a	n/a	n/a	X						n/a		
Dosing: Maintenance Phase														
PP3M (before PP3M prerandomization target)					n/a		X ^g							
PP1M (after PP3M prerandomization target)					n/a		X ^g							
Safety assessments														
Physical examinations ^h	X											X		
Vital signs ⁱ	X											X		
12-lead ECGs ^j	X X	X, once before first dose					X						X	
Assessments of injection site ^k		X, after every dose					X	X	X				X ^k	
EPS assessments (AIMS, BARS, SAS) ^l	X	X, once before first dose					X		X				X	
Suicidality (C-SSRS Baseline/Screening) ^{f,m}	X													
Suicidality (C-SSRS Since Last Visit) ^{f,m}							X			X	X	X		
Adverse events														
----- Continuous -----														
Efficacy assessments														
Full PANSS ^f + CGI-S ^f	X	X, once before first dose					X				X	X		
Abbreviated PANSS ⁿ + CGI-S									X	X				
PSP	X	X, once before first dose					X						X	
Subject-reported: TSQM-9 and SPSR ^t	X											X		
Collection of biofluids														
Blood for hematology and serum chemistry	X ^o											X ^o		
Urinalysis	X											X		
Blood for pharmacokinetics ^{f,p}							X ^q	X	X	X	X	X		
Additional exploratory assessments														
HRU questionnaire, ^r IEQ, ^r SQLS, and IMR scale ^t	X											X		

B. Double-blind Phase (12 Months)

Phase	Double-blind Phase (12 Months): PP3M or PP6M															EOP/33a-
	Visit Number (of Study)	7b ^s	8	9	10	11	12	13	14	15	16	17	18	19	20 to 32	
Day (of Phase)	1	3	22	29	36	60	92	120	148	155	162	169	176	183 to 358	365	
Visit Window, ±Days	±3	-1 to +3	±3	±3	±3	±7	±7	±7	±3	±3	±3	±3	±3	Same as Previous 6-Month Cycle	±7 ^{Error!} Reference source not found	
Screening/administrative																
Pregnancy test ^c	X													Same as previous 6-month cycle	X	
Concomitant therapy	----- Continuous -----															
Concomitant substance testing ^{e,f} and questions	X						X							Same as previous 6-month cycle	X	
Dosing																
PP3M & PP3M, or PP6M & placebo	X ^w						X ^x							Same as previous 6-month cycle		
Safety assessments																
Physical examinations ^h and vital signs ⁱ	X						X							Same as previous 6-month cycle	X	
12-lead ECGs ^j	X			X										Same as previous 6-month cycle	X	
Assessments of injection site ^k	X	X	X				X	X						Same as previous 6-month cycle	X ^k	
EPS assessments (AIMS, BARS, SAS) ^l	X		X	X	X	X								Same as previous 6-month cycle	X	
Suicidality (C-SSRS Since Last Visit) ^{f,m}	X			X		X	X	X		X				Same as previous 6-month cycle	X	
Adverse events	----- Continuous -----															
Efficacy assessments																
Full PANSS ^f + CGI-S ^f + PSP	X						X							Same as previous 6-month cycle	X	
Abbreviated PANSS ⁿ + CGI-S				X		X		X	X	X	X	X	X	Same as previous 6-month cycle		
Subject-reported: TSQM-9, SPSR ^t	X						X							Same as previous 6-month cycle	X	
Collection of biofluids																
Blood for hematology and serum chemistry	X ^o		X ^y	X ^y	X ^y									Same as previous 6-month cycle	X ^o	
Urinalysis	X													Same as previous 6-month cycle	X	
Blood for pharmacokinetics ^{tp}	X ^q	X	X	X	X	X	X ^q	X	X	X	X	X	X	Same as previous 6-month cycle	X	
Additional exploratory assessments																
HRU questionnaire, ^r IEQ, ^r SQLS, and IMR scale ^t	X						X							Same as previous 6-month cycle	X	

C. Follow-up Phase (for Subjects Who Discontinue, Withdraw, or Relapse During the Double-blind Phase)

Phase	Follow-up Phase
Visit Number (of Study)	Varies Per Subject
Month (of Phase)	Quarterly (Every 3 Months) After the Subject's Last Double-blind Injection
Visit Window, ±Weeks	±1
Concomitant therapy	X
Adverse events	X
Full PANSS + CGI-S + PSP	X

Note: The Follow-up Phase is applicable only to subjects who have received at least 1 dose of double-blind study drug but then have relapsed or have met other relevant conditions for withdrawal or discontinuation, as described in Section 10.2 (Discontinuation of Study Drug / Withdrawal From the Study). For relevant subjects, participation in the Follow-up Phase is encouraged but not required. The first visit of the Follow-up Phase should be scheduled to match the nearest equivalent quarterly assessment in the Double-blind Phase. Visits thereafter should be quarterly (every 3 months) until 12 months after the subject's first double-blind injection. For example, a subject who withdraws from the Double-blind Phase on Day 29 / Month 1 (and completes an EOP / Early Withdrawal Visit on that day) should, if willing, have the first visit of the Follow-up Phase on the equivalent of Day 92 / Month 3, and then 3 quarterly visits thereafter, for a total of 4 Follow-up Phase visits. If a possible relapse is detected per PANSS criteria, as described in Section 2.1.2.2 (Relapse Criteria), then the investigator should ask the subject to visit the clinical site 3 to 7 days later for a PANSS reassessment, if possible.

D. Keys and Footnotes (for All Time and Events Schedules)

Keys: AIMS = Abnormal Involuntary Movement Scale; BARS = Barnes Akathisia Rating Scale; CGI-S = Clinical Global Impression-Severity; C-SSRS = Columbia Suicide Severity Rating Scale; ECG = electrocardiogram; EOP = End-of-Phase (Visit), which may be conducted as an End-of-Study Visit or an Early Withdrawal Visit when relevant (see Section 10 [Subject Completion / Discontinuation of Study Drug / Withdrawal From the Study]); EPS = extrapyramidal symptoms; HRU = Healthcare Resource Utilization; ICF = Informed Consent Form; IEQ = Involvement Evaluation Questionnaire; IMR = Illness Management and Recovery; n/a = not applicable; PANSS = Positive and Negative Syndrome Scale; PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product); PSP = Personal and Social Performance (scale); SAS = Simpson Angus Scale; SPSR = Satisfaction With Participation in Social Roles (scale); SQLS = Schizophrenia Quality of Life Scale; TSQM-9 = abbreviated 9-item Treatment Satisfaction Questionnaire for Medication.

Footnotes:

- a. The main ICF must be signed before the first study-related activity; the day that the main ICF is signed is considered to be the first day of the Screening Phase.
- b. The minimum criteria for the availability of documentation supporting the eligibility criteria are described in Section 17.4 (Source Documentation). Check clinical status again before the first dose of study drug.
- c. The pregnancy test is applicable only to women of childbearing potential. It will be a highly sensitive serum test at screening (via central laboratory) and a urine test at all other time points (via local testing), and must be confirmed negative before study drug is administered at the marked visits.
- d. If subjects had been taking oral antipsychotics in addition to their PP1M or PP3M, then the oral antipsychotics should be tapered and discontinued during the Screening Phase, with the last oral dose swallowed on or before Day -1. If the prestudy therapy was PP1M or PP3M, then the next injection must be due within 28 days of the first screening (or first rescreening) visit.
- e. These tests for concomitant substances are an alcohol breath test and a urine drug screen for illicit substances, including marijuana (even where legal). Alcohol and illicit substances are strongly discouraged but are not exclusionary and are not cause for withdrawal from the study. For any subject with a positive result for alcohol or illicit substances, the study-site personnel should administer the relevant test again at subsequent visits (even if not marked) until a negative result is obtained. After a negative result is obtained, the subject can resume testing at the standard frequency as indicated in these schedules.
- f. In addition to the indicated visits, suspected relapses during the Double-blind Phase should prompt all of the following assessments at all associated clinic visits, even if not designated on these schedules: a pharmacokinetics (PK) sample, a full PANSS assessment, a CGI-S assessment, a C-SSRS assessment, and testing for concomitant substances (both an alcohol breath test and a urine drug screen for illicit substances). If a possible relapse is detected per PANSS criteria, as described in Section 2.1.2.2 (Relapse Criteria), then the investigator should ask the subject to visit the clinical site 3 to 7 days later for a PANSS reassessment (if no such visit is already scheduled). The EOP visit is to occur as soon as possible after relapse confirmation (preferably the same day).
- g. For subjects who enter the study with previous PP1M or PP3M stability, the dose in the Maintenance Phase should be administered with timing appropriate to the subject's last prestudy dose (ie, 30±7 days after the last prestudy PP1M dose, or 90±14 days after the last prestudy PP3M dose). For subjects who enter the study without previous PP1M or PP3M stability, the dose in the Maintenance Phase should similarly be administered with timing appropriate to the subject's last dose in the preceding Transition Phase (ie, 28±3 days after previous PP1M dose). For all subjects, the dose in the Maintenance Phase may be in the deltoid or gluteal muscle, but may not be in the left gluteal muscle (because of the anticipated left gluteal injection at the beginning of the Double-blind Phase, as shown in Table 5).
- h. Physical examinations include weight, waist circumference, and abnormalities at all marked visits, plus height at screening only. (Height is used in calculations of body mass index.)
- i. Vital sign assessments will include blood pressure (first supine, then standing), pulse/heart rate, respiratory rate, and temperature. Vital signs should be measured before any blood draws. See additional instructions in Section 9.4.4 (Vital Signs).
- j. For ECGs, see Section 9.4.3 (Electrocardiograms). Note:
 - Before the first dose: 2 ECGs need to be assessed during the Screening Phase, at least 24 hours apart. The first ECG may be from prior medical record within the past year, and the second ECG must be completed by the study site on or before Day -2 of the study (and assessed by the central reader before the first dosing day of the study). Therefore, double Xs are shown in the Screening Phase column. Another ECG is completed by the study site on Day 1 of the relevant phase, prior to the first dose of study drug. All 3 of these ECG results should be compared with the exclusion criteria.
 - After the first dose: if any clinically significant ECG abnormality is observed during the study, then the study-site personnel should add ECG assessments for that subject at subsequent visits (even if not marked) until the abnormality is resolved.
 - At any visit: if blood sampling or vital sign measurement is scheduled for the same time point as ECG recording, then the procedures should be performed in the following order: ECGs, vital signs, blood draw.

- k. Both the subject and the investigator assess the injection site at each marked time point, unless noted otherwise below.
- The subject completes a Visual Analog Scale (VAS) to assess pain within 30 minutes after each injection as the VAS-Acute and at the time points marked days or weeks later as the VAS-Residual. The subject does not complete a VAS at the EOP Visit.
 - The investigator or subinvestigator (but not other study-site personnel) assesses the injection site for tenderness, erythema/redness, and induration/swelling, and should not review the subject's VAS rating of the injection site pain. The investigator/subinvestigator should complete these assessments within 30 minutes after the injection and at all marked visits thereafter; for any characteristic still rated mild, moderate, or severe at the last marked visit, the investigator/subinvestigator should add injection site assessments at subsequent visits (even if not marked) until all of the characteristics are rated absent. At the EOP Visit, the investigator assesses the site of the most recent injection. See Section 9.4.8.2 (Injection Site Evaluations and Follow-up by Investigators) for additional details.
- l. For antiparkinsonism medications, initiations or changes should be preceded by an AIMS, BARS, and SAS (even if not designated in these schedules). If beta-adrenergic blockers or benzodiazepines are given for akathisia, then initiations or changes should be preceded by a BARS (even if not designated per these schedules).
- m. At the patient's first study visit, the C-SSRS Baseline/Screening Form will be used; for all other visits, the C-SSRS Since Last Visit Form will be used.
- n. An abbreviated PANSS consists of the positive-symptom items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/persecution), and P7 (hostility), and the general-psychopathology item G8 (uncooperativeness). If the abbreviated PANSS indicates worsening since the last full PANSS assessment or if the subject meets one or more symptom criterion for relapse (as described in Section 2.1.2.2 [Relapse Criteria]), then the full PANSS should be administered.
- o. Subjects should be in fasted state (overnight or for at least 8 hours) for these clinical laboratory blood samples.
- p. In addition to PK sampling time points indicated in these schedules, sites should collect PK samples (even if unscheduled) at time points associated with serious or severe adverse events, and may collect other unscheduled PK samples at the investigator's discretion for other adverse events (even if the event is not serious or severe).
- q. These PK samples are collected before the dose is administered that day.
- r. The HRU questionnaire and the IEQ are both administered as the baseline assessment versions during the Screening Phase and as the postbaseline assessment versions at all subsequent visits.
- The HRU questionnaire is administered by study-site personnel; see details in Section 9.6.1 (Healthcare Resource Utilization Questionnaire).
 - The IEQ is completed by an unpaid caregiver if available; see details in Section 9.6.2 (Involvement Evaluation Questionnaire).
- s. At the end of the Maintenance Phase, subjects who are ineligible to proceed to the Double-blind Phase will complete the EOP Visit as an Early Withdrawal Visit. For subjects who are eligible to proceed, the EOP Visit in the Maintenance Phase (Visit 7a) is the same as the first visit of the Double-blind Phase (Visit 7b).
- t. The TSQM-9, SPSR, and IMR scale results will only be analyzed for subjects who entered the study on an oral antipsychotic. For consistency of practice in the Screening Phase, these scales are also administered to subjects who entered the study on injectable risperidone, even if the data will not be analyzed. For consistency of practice in the Double-blind Phase, these scales are administered to all subjects, even if the data will not be analyzed. For subjects that require translation of the SPSR into their local language, the collection of the SPSR is optional until the final, approved translation is available.
- u. The duration between the last visit of the Transition Phase (applicable only to subjects with reason to change or stabilize their previous antipsychotic) to the first visit of the Maintenance Phase is 28 days.
- v. Subjects previously treated with injectable risperidone or previously initiated but not on a stable regimen with PP1M are not required to attend the visits marked "n/a" in the Transition Phase. For these subjects, the first visit in the Transition Phase should be scheduled for the day when the subject would have received the next injectable risperidone dose (14±3 days) or next PP1M dose (30±7 days). See Table 3 and Table 4 for more information about dosing and dose-related eligibility in the Transition Phase.
- w. This dose is active drug (PP3M or PP6M) in both treatment groups. Subjects are randomly assigned to their treatment group before dosing at Visit 7b. See Table 5 for more information.
- x. This dose is active drug in the PP3M treatment group and placebo (to maintain the blind) in the PP6M treatment group. See Table 5 for more information.
- y. These laboratory samples are for prolactin only and do not require fasting.
- z. After the PP3M prerandomization target is met, subjects who entered the study at Visits 2a, 2b, 2c, or 2d, will skip Visit 2e and proceed to Visit 2f if the subject is eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study. Visit 2e remains available for subjects with ≥4 prestudy PP1M injections but do not have dose stability.

ABBREVIATIONS AND TERMS

AIMS	Abnormal Involuntary Movement Scale
BARS	Barnes Akathisia Rating Scale
BMI	body mass index
CGI-S	Clinical Global Impression - Severity
CSR	Clinical Study Report
C-SSRS	Columbia Suicide Severity Rating Scale
DB	double blind
DSM-5	Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition
ECG	electrocardiogram
eCRF	electronic Case Report Form
EPS	extrapyramidal symptoms
ER/PR	extended-release/prolonged-release
F013	a formulation of paliperidone palmitate, used in PP1M
CCI	
FDA	Food and Drug Administration
G[x]	a general-psychopathology item of the PANSS scale, where x is the number of the item
GCP	Good Clinical Practice
HRU	Healthcare Resource Utilization
ICF	Informed Consent Form
ICH	International Conference on Harmonisation / International Council for Harmonisation
IEC	Independent Ethics Committee
IEQ	Involvement Evaluation Questionnaire
IMR	Illness Management and Recovery
IRB	Institutional Review Board
IWRS	Interactive Web Response System
LAI	long-acting injectable
MedDRA	Medical Dictionary for Regulatory Activities
mg eq.	(paliperidone palmitate) milligrams equivalent (to paliperidone)
N[x]	a negative-symptom item of the PANSS scale, where x is the number of the item
NIMH	National Institute of Mental Health
P[x]	a positive-symptom item of the PANSS scale, where x is the number of the item
PANSS	Positive and Negative Syndrome Scale
PD	pharmacodynamic(s)
PK	pharmacokinetic(s)
PP1M	paliperidone palmitate 1-month (product)
PP3M	paliperidone palmitate 3-month (product)
PP6M	paliperidone palmitate 6-month (product)
PQC	Product Quality Complaint
PSP	Personal and Social Performance (scale)
Q3	every 3 months
QTc	QT interval, corrected
QTcB	QT interval, corrected according to Bazett's formula
QTcF	QT interval, corrected according to Fridericia's formula
QTcLD	QT interval, corrected according to the linear-derived formula
SAP	statistical analysis plan

SAS	Simpson Angus Scale
SCI-PANSS	Structured Clinical Interview - PANSS
SPSR	Satisfaction With Participation in Social Roles (Short Form 8a)
SQLS	Schizophrenia Quality of Life Scale
SUSAR	suspected unexpected serious adverse reaction
TSQM-9	abbreviated 9-item Treatment Satisfaction Questionnaire for Medication
US	United States
VAS	Visual Analog Scale

Investigational Medicinal Products (IMPs):

- Test product: PP6M
- Comparator product: PP3M
- Placebo: 20% Intralipid® (200 mg/mL) injectable emulsion.

Noninvestigational Medicinal Products (NIMPs):

- Rescue medications: Anti-EPS medications/ Benzodiazepines (Section 8.2: Concomitant Therapy)
- Pretreatment medications: Oral antipsychotics including risperidone, paliperidone ER; injectable risperidone, PP1M and PP3M

1. INTRODUCTION

Paliperidone is a monoaminergic antagonist that exhibits the characteristic dopamine Type 2 and serotonin Type 2A antagonism of the newer, or second-generation, atypical antipsychotic medications. Paliperidone is the active metabolite of risperidone and is available as a daily-dose oral formulation. Paliperidone palmitate is an ester of paliperidone, and is available for intramuscular injection in the paliperidone palmitate 1-month (PP1M) product as the F013 formulation and the paliperidone palmitate 3-month (PP3M) product as the F015 formulation. To further improve adherence and convenience, a paliperidone palmitate 6-month (PP6M) product is now under development. CCI

(ie, PP3M may be administered into either the deltoid or the gluteal muscle, but the larger volume associated with a PP6M dose requires injection into the larger gluteal muscle).

Doses can be expressed in milligrams of paliperidone palmitate or in milligrams equivalent (mg eq.) to paliperidone. Conversions between products and between units are described in [Table 1](#).

Table 1: Conversions Between Doses of the 1-, 3-, and 6-Month Formulations of Paliperidone Palmitate; Study R092670PSY3015

	PP1M Dose		PP3M Dose		PP6M Dose	
	mg	mg eq.	mg	mg eq.	mg	mg eq.
Lowest-dose groups ^a	78 mg	50 mg eq.	Not used in this study		---- Not available ----	
Lower-dose groups	117 mg	75 mg eq.	Not used in this study		---- Not available ----	
Moderate-dose groups	156 mg	100 mg eq.	546 mg	350 mg eq.	1092 mg	700 mg eq.
Higher-dose groups	234 mg	150 mg eq.	819 mg	525 mg eq.	1560 mg	1000 mg eq.

Key: mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product).

^a Some countries may also have an ultralow dose of PP1M (below the lowest dose stated here), but the ultralow dose is not used in this study.

1.1. Background

The term "Sponsor" used throughout this document refers to the entities listed in the Contact Information page(s), which will be provided as a separate document.

All of the material in this "Background" section about the approved paliperidone and paliperidone palmitate products (oral paliperidone, PP1M, and PP3M) is summarized from the Investigator's Brochure, unless otherwise indicated. Statements about the investigational PP6M product may not yet be included in the Investigator's Brochure and/or may evolve with time. For the most comprehensive nonclinical and clinical information regarding paliperidone and paliperidone palmitate, always refer to the latest version of the Investigator's Brochure.

Nonclinical Studies

Pharmacologic Profile

Paliperidone is a racemic mixture. Binding affinities are similar between risperidone and paliperidone for serotonin Type 2A receptors, dopamine Type 2 receptors, alpha-adrenergic receptor subfamilies Type 1 and 2, and histamine Type 1 receptors. In vitro, paliperidone was equipotent to risperidone in reversing the dopamine-induced suppression of prolactin release from anterior pituitary cells and had similar effects on human platelet function, plasma coagulation, and fibrinolysis. Paliperidone is devoid of antimuscarinic activity.

Toxicology

The nonclinical profile of paliperidone has been extensively evaluated during the development of the approved products. Paliperidone is associated with toxicologic effects that are typical of dopamine Type 2 receptor antagonists. Two 12-week studies in minipigs indicated that the toxicological profiles of PP1M and PP3M were comparable when tested up to the maximum dose levels for humans (150 mg eq. for PP1M and 525 mg eq. for PP3M). The Sponsor is now conducting a 6-month local tolerability study in minipigs for CCI [REDACTED] to be tested in the current clinical study. CCI [REDACTED] is administered unilaterally or bilaterally and yields a dose up to 141 mg eq./kg if tested in a (for example) 15-kg minipig, which is approximately 8-fold the highest dose on a mg eq./kg basis that will be tested in the current clinical study (1000 mg eq., or 16.7 mg eq./kg in a [for example] 60-kg subject). The results of the minipig study are now available in an addendum to the Investigator's Brochure.

Clinical Studies

Exposure

Beyond extensive studies of oral paliperidone and PP1M, the Sponsor completed 3 registrational clinical studies of PP3M, CCI [REDACTED]. A total of 1,191 subjects received at least 1 dose of PP3M (F015) in 1 registrational Phase 1 study (R092670PSY1005) and 2 registrational Phase 3 studies (R092670PSY3011 and R092670PSY3012), with 319 subjects receiving at least 48 weeks of treatment with PP3M in the Phase 3 studies.⁷ The combined exposure to PP3M was 567.6 subject-years.⁷ No studies of CCI [REDACTED] have been conducted in humans using the mass and volume of the dose (and the resultant dosing interval) that characterize PP6M.

Pharmacokinetics

After injection, paliperidone palmitate dissolves slowly before being hydrolyzed to paliperidone, which then enters the systemic circulation. By slowly releasing paliperidone from the injection site, the paliperidone palmitate formulation enables a dosing interval that achieves potentially therapeutic plasma concentrations of paliperidone for 1 month (PP1M), 3 months (PP3M), or now potentially 6 months (PP6M); the duration depends on the particle size, concentration, and injection volume.

The Sponsor developed a population pharmacokinetic (PK) model to describe the time course of plasma paliperidone concentrations after administration of PP3M, using data from Studies R092670PSY1005 and R092670PSY3012. The model was subjected to external evaluations, extensive model diagnostics, and validations using data from Study R092670PSY3011. The Sponsor used this population PK modeling for PP3M to guide dose selection for PP6M, CCI [REDACTED]

Efficacy

In addition to extensive studies of oral paliperidone and PP1M, the efficacy of PP3M in the maintenance treatment in adults with schizophrenia was established in the 2 registrational Phase 3 studies:

- Study R092670PSY3012 was a double-blind, placebo-controlled, long-term, randomized withdrawal study designed to determine whether PP3M was more effective than placebo in delaying the time to relapse of the symptoms of schizophrenia. Subjects progressed, as eligible, through a 17-week open-label PP1M treatment period (n=506), a 12-week open-label PP3M maintenance period (n=379), then were randomized to continue PP3M (n=160) or to switch to placebo (n=145) during the double-blind period. Relapses occurred in 3 times as many subjects in the placebo group (29.0%) as in the PP3M group (8.8%). The hazard ratio of relapse of schizophrenia symptoms was 3.81 (95% confidence interval: 2.08 to 6.99) times higher for a subject switching to placebo than for a subject continuing to receive PP3M, indicating a 74% decrease in relapse risk associated with continued PP3M treatment. The time to relapse was significantly different ($p < 0.001$) in favor of PP3M over placebo; the median estimated time to relapse was not estimable for subjects in the PP3M group but was 395 days for subjects who switched to placebo. The long time to relapse in subjects who switched from PP3M to placebo, in combination with their PK results, indicates that many subjects had sufficiently therapeutic paliperidone plasma concentrations beyond their last PP3M dose.
- Study R092670PSY3011 was a double-blind, parallel-group, noninferiority study comparing the PP1M and PP3M formulations in subjects with schizophrenia. Subjects progressed, as eligible, through a 17-week open-label PP1M treatment period (n=1,429) and then were randomized to receive PP1M (n=512) or PP3M (n=504) during a 48-week double-blind period. Relapse rates were low, occurring in 8.1% of PP3M subjects and 9.2% of PP1M subjects. The lower bound of the 95% confidence interval (-2.7%) was greater than the prespecified noninferiority margin of -15%, thus demonstrating that PP3M was noninferior to PP1M.

Overall, the previous efficacy outcomes with PP3M support the plans for this current study with PP6M, with the longer duration of efficacy to be provided by higher doses CCI [REDACTED]

Safety

In addition to extensive studies of oral paliperidone and PP1M, the safety profile of PP3M was established in the 3 registrational studies. The head-to-head comparison of PP3M and PP1M in Study R092670PSY3011 showed no clinically meaningful differences in their safety profiles. In particular, results were similar between PP3M and PP1M in the types and incidences of adverse

events, adverse drug reactions, and injection site reactions. Across the development program for PP3M, no safety signals were detected that related specifically to the PP3M (F015) formulation.

Neither of the registrational Phase 3 studies of PP3M was designed to assess dose-related safety, since the investigators adjusted doses of PP1M for each subject based on his or her tolerability and efficacy; those flexible doses then were converted to a corresponding dose of PP3M. Therefore, any conclusions about dose-related PP3M safety results during the double-blind periods may be confounded by the ability or inability of individual subjects to tolerate PP1M in the preceding open-label periods. Still, selected exploratory analyses of safety outcomes stratified by optimized PP3M dose levels in the double-blind periods of these studies did not show higher overall rates of adverse events related to extrapyramidal symptoms (EPS) at the highest dose level relative to the lower dose levels, and did not show any evidence for a dose-related effect on the investigators' or subjects' ratings of the injection sites.

Adverse events of special interest with PP3M were investigated as follows: EPS-related adverse events; diabetes mellitus and hyperglycemia-related adverse events; potentially prolactin-related adverse events; suicidality; aggression and agitation; somnolence and sedation; seizures and convulsions; neuroleptic malignant syndrome; cardiac arrhythmias; orthostatic hypotension; and adverse events suggestive of (or related to) proarrhythmic potential, ischemia, rhabdomyolysis, overdose, weight gain, tachycardia, injection site reactions, QT prolongation, and acute kidney injury.⁸ Investigations for adverse events of special interest will be similar for PP6M and will be further elaborated in the Statistical Analysis Plan (SAP).

Overall, the previous safety and tolerability outcomes with PP3M support the plans for this current study with PP6M, with acceptable safety and tolerability expected to be possible CCI

1.2. Overall Rationale for the Study

Adherence to any medication regimen can be difficult for any patient, and can be especially problematic for patients with schizophrenia. With currently available oral antipsychotics for schizophrenia, full nonadherence occurs in approximately 40% to 50% of patients,²³ and partial adherence occurs in approximately 90% of patients.³⁶ One analysis found that treatment gaps of as little as 1 to 10 days could double the odds of hospitalization ($p=0.004$).³⁶ In contrast to oral formulations, the reliable drug delivery associated with long-acting injectable (LAI) antipsychotics has yielded a reduced risk of relapse and hospitalization and an improved quality of life.¹⁶ Because LAI antipsychotics are administered by a healthcare provider, LAIs offer transparency with respect to medication adherence, alerting healthcare professionals to the occurrence of nonadherence, and ensuring that patients with chronic psychotic illness receive a known quantity of medication at appropriate dosing intervals.

Despite the advantages of LAI formulations over short-acting oral formulations, the development of antipsychotics has not achieved the same durations as some other medications. Many LAI antipsychotics are available with treatment intervals of 2 to 4 weeks,³² and one LAI antipsychotic (PP3M) is available with a treatment interval of 3 months, but no LAI antipsychotics are available with longer treatment intervals. This unmet medical need stands in contrast to other

injectable or implantable medications for long-term use, such as 6-month and 1-year treatments for prostate cancer, a 1-year treatment for osteoporosis, and a 3-year treatment as hormonal contraception.³²

The 6-month dosing interval with PP6M offers convenience to stably treated schizophrenia populations (for those who prefer a longer dosing interval) and offers benefits to underserved schizophrenia populations (for those with limited access to healthcare, with geographic or economic problems in coordinating transportation to clinic visits for injections, or with treatment access problems associated with homelessness). The current study is therefore designed to evaluate the efficacy, safety, tolerability, and PK profile of PP6M versus PP3M.

2. OBJECTIVES, ENDPOINTS, AND HYPOTHESIS

2.1. Objectives and Endpoints

2.1.1. Objectives

Primary Objective

- The primary efficacy objective is to demonstrate that injection cycles consisting of a single administration of PP6M (700 or 1000 mg eq.) are not less effective than 2 sequentially administered injections of PP3M (350 or 525 mg eq.) for the prevention of relapse in subjects with schizophrenia previously stabilized on corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

Secondary Objectives

- To evaluate the safety and tolerability of PP6M (700 or 1000 mg eq.) in subjects with schizophrenia who have switched from corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).
- To assess the pharmacokinetic (PK) profile of PP6M (700 or 1000 mg eq.) administered in the gluteal muscle in subjects with schizophrenia who have switched from corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).
- To evaluate the clinically assessed efficacy of PP6M (700 or 1000 mg eq.) versus PP3M (350 or 525 mg eq.) in maintaining symptom control, functioning personally and socially, and achieving or sustaining remission in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).
- To evaluate the subject-reported efficacy outcomes of PP6M (700 or 1000 mg eq.) or PP3M (350 or 525 mg eq.) compared with treatment with previous oral antipsychotics in terms of satisfaction with medication and with participation in social roles.

Exploratory Objectives

- To evaluate healthcare resource utilization during treatment with PP6M or PP3M versus previous treatment.
- To assess changes over time in use of nicotine-containing products.

- To evaluate the perspectives of subjects during treatment with PP6M or PP3M versus previous treatment with oral antipsychotics in terms of their ability to manage their illness and achieve their personal goals.
- To evaluate the caregiver's burden during the recipient's treatment with PP6M or PP3M versus previous treatment.
- To evaluate health-related quality of life during treatment with PP6M or PP3M versus previous treatment.

2.1.2. Endpoints

2.1.2.1. Primary Endpoint

The primary endpoint is time to relapse during the Double-blind Phase. This noninferiority primary endpoint will be based on the difference in Kaplan-Meier 12-month estimate of survival (ie, percentage of subjects remaining relapse-free) between PP6M and PP3M.

2.1.2.2. Relapse Criteria

Relapse is defined as 1 or more of the following:

- Psychiatric hospitalization (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms), or
- For Positive and Negative Syndrome Scale for Schizophrenia (PANSS) total score:

The subject has an increase of 25% in total PANSS score from randomization for 2 consecutive assessments separated by 3 to 7 days if the score at randomization was >40 , or

The subject has a 10-point increase in the total PANSS score from randomization for 2 consecutive assessments separated by 3 to 7 days if the score at randomization was ≤ 40 , or

- The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage, or
- The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment, or
- For PANSS items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/persecution), P7 (hostility), and G8 (uncooperativeness):

The subject has a score of ≥ 5 after randomization for 2 consecutive assessments separated by 3 to 7 days on any of the above items if the maximum score for the above PANSS items was ≤ 3 at randomization, or

The subject has a score of ≥ 6 after randomization for 2 consecutive assessments separated by 3 to 7 days on any of the above items if the maximum score for the above PANSS items was 4 at randomization.

The date of the relapse will be the date of the first assessment for symptoms of relapse (not the date of confirmation).

The above criteria for relapse in this study are the same as the criteria in the Sponsor's pivotal studies of PP3M.^{8,9}

Refer to Section 9 (Study Evaluations) for evaluations related to endpoints. See Attachment 2 for the correct calculation of percentage change in full PANSS total scores relevant to relapse criteria.

2.1.2.3. Secondary Endpoints

The secondary efficacy endpoints include the changes from baseline during the 12 months of the Double-blind Phase in the following scales: the PANSS total score and subscale scores, the Clinical Global Impression - Severity (CGI-S), and the Personal and Social Performance (PSP) scale. Additionally, the proportion of subjects during the Double-blind Phase who meet criteria for symptomatic remission will be summarized; the definition of remission is provided in Section 11.3.2 (Secondary Efficacy Analyses).

The secondary PK endpoint is plasma paliperidone exposure.

The secondary endpoints for satisfaction with medication and with participation in social roles are abbreviated Treatment Satisfaction Questionnaire for Medication (TSQM-9) and Satisfaction with Participation in Social Roles (SPSR), respectively.

The secondary endpoints that measure safety and tolerability include physical examinations, vital signs, adverse events, electrocardiograms (ECGs), the Columbia Suicide Severity Rating Scale (C-SSRS Baseline/Screening and C-SSRS Since Last Visit), the Abnormal Involuntary Movement Scale (AIMS), the Barnes Akathisia Rating Scale (BARS), the Simpson Angus Scale (SAS), clinical laboratory assessments (in full [including prolactin] at some time points, or for prolactin only at other time points), and injection site evaluations.

2.2. Hypothesis

The primary hypothesis is that the efficacy of PP6M is noninferior to PP3M for preventing relapse in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M or PP3M. See Section 11.3.1 (Primary Hypothesis and Efficacy Analyses).

3. STUDY DESIGN AND RATIONALE

3.1. Overview of Study Design

This is a randomized, double-blind, active-controlled, multicenter, interventional, parallel-group study. All eligible subjects who progress without relapse will participate in a Screening Phase (of up to 28 days), a Maintenance Phase that includes 1 injection cycle with either PP1M or PP3M (yielding a phase duration of 1 or 3 months, accordingly), and a Double-blind Phase (of 12 months). The Double-blind Phase is designed to include at least 2 injection cycles of PP6M (investigational drug with alternating placebo) or 4 injection cycles of PP3M (active control). In addition to standard participation as described above, further conditional/additional participation is possible as follows:

- Before the Maintenance Phase, some subjects will participate in a Transition Phase, with 1 to 5 injections of PP1M, if they entered the study on an oral antipsychotic, on injectable risperidone, or on PP1M previously initiated but not yet stabilized).
- The planned closure will be 12 months after the last subject has been randomized in the Double-blind Phase.
- If a subject has already received at least 1 dose of double-blind study drug but then has relapsed or has met other relevant conditions for withdrawal or discontinuation, then the subject should enter a Follow-up Phase. The Follow-up Phase ends 12 months after the subject's first double-blind injection. The Follow-up Phase collects supplementary poststudy data from willing affected subjects, in an effort to document minimum safety information (ie, adverse events) and minimum efficacy information (ie, relapse status) for at least 6 months after a possible PP6M injection. The Follow-up Phase is designed to be as low-burden and noninvasive as possible, in order to encourage participation by the affected subjects.

The duration of exposure to study drug (ie, the number of injections) and the duration of study participation are variable based on a subject's flow through treatment types, on participation in conditional phases or parts as described in the 3 bullet points above, and on whether a subject experiences a relapse during the study:

- For subjects without relapse,
 - The longest expected duration is for a subject who enters the study on an oral antipsychotic and who enrolls early enough to be assigned to PP3M during the Maintenance Phase and then to participate in 12 months in the Double-blind Phase. Such a subject could have ~ 19 months of participation with exposure to paliperidone palmitate (4 months during the Transition Phase, 3 months during the Maintenance Phase, 12 months of the Double-blind Phase-
 - The shortest expected duration for a subject who enters the study with stability on PP1M will be ~13 months of participation with exposure to paliperidone palmitate (1 month during the Maintenance Phase and 12 months during the Double-blind Phase).
- For subjects with relapse, the duration of exposure and participation would be shorter and would depend on the timing of the relapse. For example, a subject might receive a first study injection providing 1 month of treatment in the Maintenance Phase and a second study injection at the beginning of the Double-blind Phase; if a relapse occurred a few weeks thereafter, then the subject would receive no further study drug injections, but would have an End-of-Study Visit associated with the confirmation of the relapse, and would contribute poststudy Follow-up Phase data if willing for the remainder of the yearly cycle.

Due to the long-acting nature of the study drugs, exposure to residual yet potentially subtherapeutic levels of paliperidone is expected to continue past the last study assessment, as addressed in the eligibility criteria, prohibitions, and restrictions that are relevant to the poststudy period (see Section 4 [Subject Population]).

The approximate participation targets are 903 subjects entering the Screening Phase, approximately 840 subjects entering the Transition/Maintenance Phase, and 549 subjects entering the Double-blind Phase. Of subjects entering the Double-blind Phase, the

prerandomization targets are approximately one-half entering from a PP3M group, and one-half entering from a PP1M group. The Sponsor will inform sites when enrollment targets have been met and when no further subjects should be enrolled; the subjects who are already enrolled at that point may continue in the study until the Sponsor gives instructions to the sites about how to begin closing the study. The Sponsor also will inform sites when phase-specific targets or limits have been met, as follows: when the PP3M prerandomization target has been met in the Maintenance Phase (see Section 6.1.3 [Maintenance Phase]), and when the pathway to enrollment that includes the Transition Phase is closed (see Section 6.1.2 [Transition Phase]).

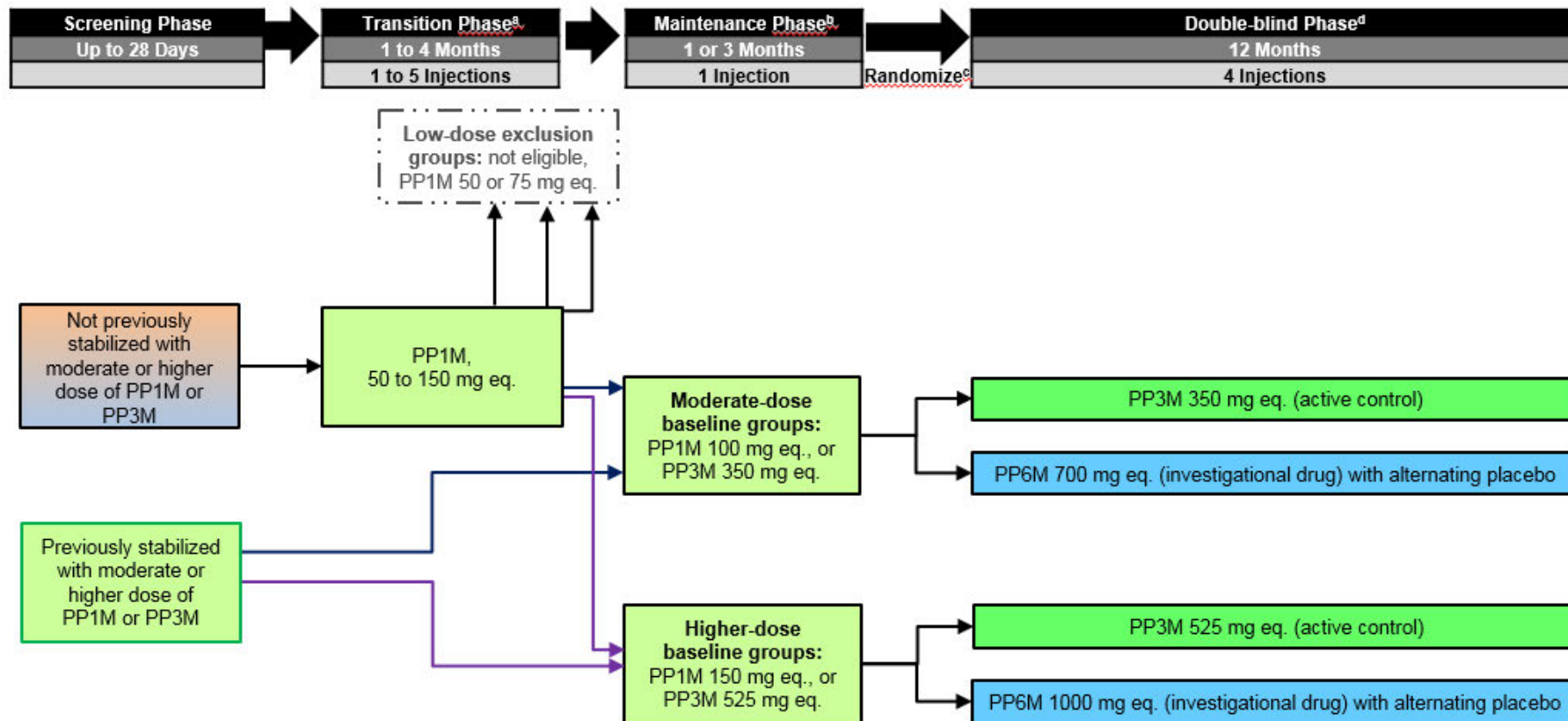
At the start of the Double-blind Phase,

- Subjects in the moderate-dose baseline groups (who had achieved stability before or during the study with a PP1M dose of 100 mg eq. or a PP3M dose of 350 mg eq.) will be randomized in a 2:1 ratio (with dose level as a stratification factor) to receive either the investigational PP6M at a 700 mg eq. dose or the active control PP3M at a 350 mg eq. dose.
- Subjects in the higher-dose baseline groups (who had achieved stability before or during the study with a PP1M dose of 150 mg eq. or a PP3M dose of 525 mg eq.) will be randomized in a 2:1 ratio (with dose level as a stratification factor) to receive either the investigational PP6M as a 1000 mg eq. dose or the active control PP3M as a 525 mg eq. dose.

Safety, efficacy, and pharmacokinetics are monitored throughout the study, as specified in the Time and Events Schedules.

A diagram of the study design is provided in [Figure 1](#).

Figure 1: Schematic Overview; Study R092670PSY3015



Key: mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product).

- a During the Transition Phase, the dose levels and the number of injections will depend first on a subject's previous treatment and then on his or her individual efficacy and tolerability results, as described in Section 6.1.2 (Transition Phase). See Figure 2 for more details.
- b Entry to the Maintenance Phase requires eligibility as specified in Section 4.3 (Criteria for Entry Into the Maintenance Phase). Each subject's Maintenance Phase dose will be matched by straightforward progression (PP1M to PP1M, or PP3M to PP3M) or will be matched by established conversion (PP1M to PP3M) from the same dose that they had been receiving during the Screening Phase or at the end of the Transition Phase, as applicable. See Figure 3 and Figure 4 for more details.
- c Randomization will occur on the day of the first double-blind injection (ie, 1 month after the Maintenance Phase injection of PP1M, or 3 months after the Maintenance Phase injection of PP3M). Only subjects who are eligible as specified in Section 4.4 (Criteria for Entry Into the Double-blind Phase) will be randomized.
- d The Double-blind Phase includes 4 injections for all treatment groups. The active control groups receive 1 injection of PP3M every 3 months. The investigational drug groups receive 1 injection every 3 months in the following sequence: PP6M → placebo → PP6M → placebo. The planned closure will be 12 months after the last subject to be randomized and remain in the study completes 12 months of the Double-blind Phase, which will be marked by completion of Visit 33a. The Sponsor will inform the sites when the study is closing and no further dosing should be conducted in the Double-blind Phase.

Note: This figure does not show the Follow-up Phase, which is applicable only to subjects who have received at least 1 dose of double-blind study drug but then have relapsed or have met other relevant conditions for withdrawal or discontinuation, as described in Section 10.2 (Discontinuation of Study Drug / Withdrawal From the Study). The Follow-up Phase ends 12 months after the subject's first double-blind injection,

3.2. Study Design Rationale

Blinding and Control

An active control (PP3M) will be used to determine the sensitivity of the clinical endpoints in this study. Randomization will be used to minimize bias in the assignment of subjects to treatment groups, to increase the likelihood that known and unknown subject attributes (eg, demographic and baseline characteristics) are evenly balanced across treatment groups, and to enhance the validity of statistical comparisons across treatment groups. Double-blinded treatment will be used to reduce potential bias during data collection and evaluation of clinical endpoints.

Additional special blinding conditions are as follows:

- The Sponsor and all study-site personnel will be blinded to the results of PK measurements (as described in Section 9.3.1 [Pharmacokinetic Evaluations]) and prolactin measurements (as described in Section 9.4.2 (Clinical Laboratory Tests)).
- The Sponsor and all study-site personnel except for the study drug administrator will be blinded to the administration of the study drug during the Double-blind Phase, as described in Section 5 (Treatment Allocation and Blinding).
- An investigator/subinvestigator who evaluates an injection site should not review the subject's Visual Analog Scale (VAS) ratings of pain associated with the injection site, as described in Section 9.4.8.2 (Injection Site Evaluations and Follow-up by Investigators).
- Cardiologists at the central electrocardiogram (ECG) facility will be blinded to the treatment group assignments of subjects, as described in Section 9.4.3 (Electrocardiograms).
- The Sponsor will be blinded to the interim PK analysis, as described in Section 11.4 (Pharmacokinetic and Pharmacodynamic Analyses).

Study Phases

The phases in this study are similar to phases in the Sponsor's pivotal studies of PP3M (R092670PSY3011 and R092670PSY3012), with incorporation of lessons learned from those studies and feedback from Health Authorities. The early phases of this PP6M study (Screening, Transition, and Maintenance) are similar to Study R092670PSY3012,⁹ but with added flexibility (and therefore added complexity) to allow either PP1M→PP6M or PP1M→PP3M→PP6M treatment pathways. The Double-blind Phase of this PP6M study is similar to Study R092670PSY3011,⁹ but with more intensive safety assessments around potential peak plasma paliperidone concentrations and more intensive efficacy assessments around potential trough plasma paliperidone concentrations. For a subset of subjects with relapses, study withdrawals, or treatment discontinuations during the Double-blind Phase, a subsequent Follow-up Phase is applicable. The Follow-up Phase in this PP6M study is similar to the Follow-up Visit in Study R092670PSY3011,⁹ but with additional visits and assessments to attempt to collect minimum safety data (ie, adverse events) and minimum efficacy data (ie, relapse status) until 12 months after the first double-blind injection. In this way, the Follow-up Phase can provide information about the primary efficacy endpoint for 12 months after a subject's first possible

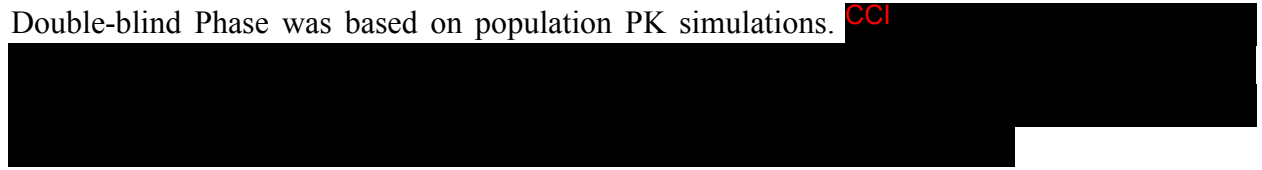
PP6M injection (see Section 11.3.1.1.3 [Sensitivity Analyses for Primary Efficacy]) and can provide minimum information about efficacy and safety for at least 6 months after a subject's last possible PP6M injection (see Section 10.4 [Process for Planned Study Closure]).

Dose Levels of Paliperidone Palmitate for Eligibility

To be eligible for randomization, subjects must have stability with either PP1M as 100 or 150 mg eq. or PP3M as 350 or 525 mg eq., as described in the inclusion criteria. Both products are available in lower dose levels; the eligible dose levels represent the second-highest and highest available doses of each product. These dose levels are the ones that have been most commonly prescribed in postmarketing experience,¹¹ and are the ones that were most commonly selected by clinical investigators to achieve stabilization for subjects in the Sponsor's pivotal studies of PP3M (Studies R092670PSY3011 and R092670PSY3012).^{8,9} Both pivotal PP3M studies included open-label, flexible-dose, lead-in, 17-week periods with PP1M; the doses of PP1M from those open-label periods then were converted to doses of PP3M for the subsequent double-blind periods. In those studies, the final open-label doses of PP1M were 150 mg eq. for ~40% to ~50% of subjects, 100 mg eq. for ~40% to ~50% of subjects, 75 mg eq. for ≤9% of subjects, and 50 mg eq. for ≤3% of subjects. The large percentages for PP1M as 100 or 150 mg eq. in the open-label periods resulted in similarly large percentages for PP3M as 350 or 525 mg eq. in the double-blind periods. Given the frequent use of these moderate-dose and higher-dose levels in clinical studies and in postmarketing experience, the Sponsor selected these dose levels for eligibility in the current study.

Dose Levels of Paliperidone Palmitate for Investigation

The conversion of doses of PP1M or PP3M in the Maintenance Phase to doses of PP6M in the Double-blind Phase was based on population PK simulations. CCI



The population PK model that describes the time course of plasma paliperidone concentrations after PP3M administration was developed by using data from a Phase 1 study (R092670PSY1005) and a Phase 3 study (R092670PSY3012).⁷ This model was internally and externally evaluated, not only by performing extensive model diagnostics at the model building stage, but also by successfully validating the model using data from another Phase 3 study (R092670PSY3011). Using that previously developed and validated population PK model for PP3M, new population PK simulations were performed to project the optimal dose levels for PP6M that would correspond to similar trough paliperidone concentrations of the PP3M dose levels of 350 and 525 mg eq., while remaining at or below the recommended maximum 5.0-mL volume for aqueous intramuscular injections.¹⁰ The results indicated that investigational PP6M dose levels should be 700 and 1000 mg eq.

Population PK modeling was used to compare the investigational PP6M dosages against the highest and lowest approved dosages of other products that contain paliperidone or risperidone.

- The higher investigational PP6M dosage is 1000 mg eq. The highest approved dosage of oral risperidone in the United States and some other countries is 16 mg/day (as 8 mg twice a day).^a The maximum plasma concentration of paliperidone associated with PP6M as 1000 mg eq. was calculated to be lower than the maximum plasma concentration of active moiety associated with oral risperidone 16 mg/day (as 8 mg twice a day), and in line with the maximum plasma concentration associated with oral risperidone 6 mg/day (as 3 mg twice a day).
- The lower investigational PP6M dosage is 700 mg eq. The lowest approved dosage of the oral paliperidone extended-release/prolonged-release (ER/PR)^b formulation in the United States and some other countries is 3 mg/day.^c The minimum plasma concentration of PP6M as 700 mg eq. was calculated to be higher than the minimum plasma concentration of oral paliperidone ER/PR formulation as 3 mg/day.

Site of Administration

Although PP1M and PP3M are approved for administration into either the deltoid or the gluteal muscle, the larger volume associated with a PP6M dose requires injection into the larger gluteal muscle. The PP6M product will be provided in a syringe prefilled with 700 mg eq. (3.5 mL) or 1000 mg eq. (5.0 mL). These volumes are expected to be well tolerated as gluteal injections, for the following reasons:

- The maximum volume is aligned with the guidance in "Intramuscular injections: a review of best practice for mental health nurses," which states that "relatively large doses up to 5 mL can be given."¹⁰
- The Sponsor's previous Study R092670PSY1005 indicated no clinically meaningful difference in tolerability of the largest-volume dose of PP3M (2.625 mL) versus the smaller doses, by randomly assigned PP3M dose level. Most pain ratings were below 10 mm on a 100-mm scale.
- Paliperidone palmitate is provided as an aqueous suspension, unlike early oil-based LAI antipsychotic formulations that were that were often associated with pain after injection.^{6,28}

Benefit-risk Assessment

To consider all endpoints that may have an appreciable effect on the benefit-risk balance, the benefits and risks of PP6M will be assessed using a structured approach to benefit-risk assessment following the principles described both in the Benefit-risk Action Team Framework,

^a RISPERDAL[®] [United States Prescribing Information]. Titusville, NJ: Janssen Research & Development. www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=020272. Accessed 19 June 2017.

^b The terminology for ER versus PR varies by country; therefore, both terms are used together in this protocol.

^c INVEGA[®] [United States Prescribing Information]. Titusville, NJ: Janssen Research & Development. www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=021999. Accessed 19 June 2017.

a general platform for benefit-risk assessment,^{25,26} and in the relevant guideline from the International Conference on Harmonisation / International Council for Harmonisation (ICH).^d

Timing of PK Samples

The PK profile of PP3M has been well characterized. After a single intramuscular injection of PP3M over the dose range of 175 to 525 mg eq., the plasma concentrations of paliperidone gradually rose to a maximum at approximately 30 to 33 days.⁷ Similarly, the Sponsor's modeling for PP6M has indicated that the maximum plasma paliperidone concentration at steady state should occur approximately 1 month after injection of PP6M. Therefore, PK sampling (and the associated safety evaluations) are scheduled in this study at weekly time points (ie, more intensive) near the expected peak. Thereafter, the PK sampling is conducted at monthly time points (ie, less intensive) throughout the elimination phase. Finally, the PK sampling (and the associated efficacy evaluations) resumes weekly time points (ie, more intensive) when approaching the end of the 6-month dosing interval.

Overall Study Evaluations

The efficacy, safety, pharmacokinetic, pharmacodynamic, benefit-risk, and exploratory evaluations for PP6M in this study are similar to evaluations for PP3M in the Sponsor's pivotal studies (R092670PSY3011 and R092670PSY3012), with incorporation of lessons learned from those studies. The study evaluations have also incorporated feedback from Health Authorities.

4. SUBJECT POPULATION

Screening for eligible subjects will be performed within the period from 28 to 2 days before the first administration of the study drug.

The inclusion and exclusion criteria for enrolling subjects in this study are described in the following 2 subsections. If there is a question about the inclusion or exclusion criteria below, the investigator must consult with the appropriate Sponsor representative and resolve any issues before enrolling a subject in the study. Waivers are not allowed.

If a subject does not meet all inclusion criteria (is a screen failure) with respect to dose level, dose duration, or dose timing of prestudy treatment with paliperidone palmitate or injectable risperidone, but at some point in the future is expected to meet these inclusion criteria, then the subject may be rescreened on 1 occasion. Subjects who are rescreened will be assigned a new subject number, will undergo the informed consent process, and then will restart the Screening Phase. Conditions that are permissible for rescreening include the following:

^d ICH. ICH Harmonized Tripartite Guideline M4E(R2): Revision of M4E guideline on enhancing the format and structure of benefit-risk information in ICH.
www.ich.org/fileadmin/Public_Web_Site/ICH_Products/CTD/M4E_R2_Efficacy/M4E_R2__Step_4.pdf.
Dated 15 June 2016. Accessed 19 June 2017.

- Duration/stability of prestudy dosing. For example, if a potential subject has not been treated with the same dose for the minimum amount of time, then the potential subject may return for rescreening after the minimum duration as specified in the inclusion criteria.
- Strength/level of prestudy dosing. For example, if a potential subject is being treated with a dose that is too low for eligibility, but insufficient efficacy with a lower dose means that a higher dose is already planned, then the subject may return for rescreening after being treated with an eligible dose level for the minimum duration as specified in the inclusion criteria.
- Timing of the last prestudy dose. For example, if the last prestudy dose was not within the appropriate window, then the potential subject may return for rescreening at an appropriate time.

If a subject meets any exclusion criteria (is a screen failure) with respect to safety parameters, then rescreening is not allowed. However, retesting may be permitted for results (eg, laboratory or ECG values) that may be transient or inaccurate in the opinion of the investigator. This exceptional and limited retesting of abnormal screening values that lead to exclusion is allowed only once using an unscheduled visit during the Screening Phase, to reassess eligibility.

Rescreening is permitted with the medical monitor's approval for subjects who were withdrawn during the Transition Phase or Maintenance Phase due to an incomplete injection or an unintended dosing or administration of a study drug.

4.1. Inclusion Criteria

Each potential subject must satisfy all of the following criteria to be enrolled in the study:

1. May be either male or female.
2. Must be 18 years of age (or the legal age of consent in the jurisdiction in which the study is taking place) to 70 years of age, inclusive, at the time of informed consent.
3. Must meet the diagnostic criteria for schizophrenia according to Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) for at least 6 months before screening.

4. Must be receiving treatment with paliperidone palmitate (as either the PP1M or PP3M formulation), or injectable risperidone, or any oral antipsychotic.
 - a. If the treatment is paliperidone palmitate, then:
 - 1) The dose strength must be PP1M as 100 or 150 mg eq. or PP3M as 350 or 525 mg eq.
 - 2) The dose timing must fit the study schedule. The next injection must be due within 28 days of the first screening (or first rescreening) visit.
 - b. If the treatment is injectable risperidone, then the dose strength must be 50 mg, the dosing cycle must be every 2 weeks, the efficacy and tolerability must have been established as adequate with the same strength and frequency for at least 3 injection cycles before screening, and the subject must have a preference for a longer-acting injectable medication.
 - c. If the treatment is an oral antipsychotic, then the subject must have a valid reason to discontinue the previous treatment, such as problems with efficacy, safety, or tolerability, or preference for a long-acting injectable medication.
5. Must be able, in the opinion of the investigator, to discontinue any antipsychotic medication other than PP1M or PP3M during the Screening Phase.
6. Must have a full PANSS score of <70 points at screening.
7. Must have a body mass index (BMI) between 17 and 40 kg/m² (inclusive) and must have a body weight of at least 47 kg at screening.
8. Must be willing to receive gluteal injections of medication during the Double-blind Phase.
9. Must, if a woman of childbearing potential, have a negative highly sensitive serum (β -human chorionic gonadotropin) at screening.
10. Criterion modified per Amendment 1
 - 10.1 Must use contraception consistent with local regulations for subjects participating in clinical studies. Before receiving study drug, a woman must be either:
 - a. Not of childbearing potential, defined as being either postmenopausal or permanently sterile, as follows:

- Postmenopausal: *A postmenopausal state is defined as no menses for 12 months without an alternative medical cause. A high follicle stimulating hormone (FSH) level (>40 IU/L or mIU/mL) in the postmenopausal range may be used to confirm a postmenopausal state in women not using hormonal contraception or hormonal replacement therapy, however in the absence of 12 months of amenorrhea, a single FSH measurement is insufficient.*
- Permanently sterile: *Permanent sterilization methods include hysterectomy, bilateral salpingectomy, bilateral tubal occlusion/ligation procedures, and bilateral oophorectomy.*

b. Of childbearing potential, but meeting the contraception requirements as follows:

- Practicing a highly effective method of contraception (failure rate of <1% per year when used consistently and correctly). Examples of highly effective contraceptives include the following:

User-independent methods: Implantable progestogen-only hormone contraception associated with inhibition of ovulation; intrauterine device (IUD); intrauterine hormone-releasing system; vasectomized partner; sexual abstinence (*sexual abstinence is considered a highly effective method **only** if defined as refraining from heterosexual intercourse during the entire period of risk associated with the study drug; the reliability of sexual abstinence needs to be evaluated in relation to the duration of the study and the preferred and usual lifestyle of the subject*).

User-dependent methods: combined (estrogen- and progestogen-containing) hormonal contraception associated with inhibition of ovulation: oral, intravaginal, and transdermal; progestogen-only hormone contraception associated with inhibition of ovulation: oral and injectable.

Typical use failure rates may differ from those when used consistently and correctly. Use should be consistent with local regulations regarding the use of contraceptive methods for subjects participating in clinical studies.

- Agreeing to remain on a highly effective method throughout the study and for at least 12 months after the last dose of study drug. A woman using oral contraceptives should use an additional birth control method (see inclusion criterion text in the sub-bullet above).

Note: If the childbearing potential changes after start of the study or the risk of pregnancy changes (eg, a woman who is not heterosexually active becomes active), a woman must consent to starting a highly effective method of contraception after a negative pregnancy test. If the subject declines consent for start of a highly effective method of contraception, the subject must be withdrawn from the study.

11. Criterion modified per Amendment 3
 - 11.1 Must, if a man, agree that during the study and for a minimum of 12 months after receiving the last dose of study drug, his female partner(s) will use a highly effective method of contraception as described above, and:
 - He must, if being sexually active with a woman of childbearing potential, use a barrier method of contraception (eg, condom with spermicidal foam/gel/film/cream/suppository).
 - He must, if being sexually active with a woman who is pregnant, use a condom.
 - He must agree not to donate sperm.
12. Must sign an informed consent form (ICF) indicating that he or she understands the purpose of, and procedures required for, the study and is willing to participate in the study; and must be able to provide his or her own consent (ie, consent cannot be provided by a legal representative of the subject).
13. Must have a stable place of residence for the previous 3 months prior to screening and in the foreseeable future.
14. Must be fluent in the language of the investigator, study staff, and raters.
15. Criterion deleted per Amendment 2.
16. Must have an identified support person (eg, family member, social worker, caseworker, or nurse) considered reliable by the investigator in providing support to the subject to ensure compliance with study treatment, outpatient visits, and protocol procedures, including alerting trial staff to any signs of impending relapse.

4.2. Exclusion Criteria

1. Must not be receiving any form of involuntary treatment, such as involuntary psychiatric hospitalization, parole-mandated treatment, or court-mandated treatment.
2. Must not have attempted suicide within 12 months before screening and must not be at imminent risk of suicide or violent behavior, as clinically assessed by the investigator at the time of screening.
3. Must not have a DSM-5 diagnosis of moderate or severe substance use disorder (except for nicotine and caffeine) within 6 months of screening; however, acute or intermittent substance use prior to screening is not exclusionary, depending upon the clinical judgment of the investigator.
4. Must not have a history of neuroleptic malignant syndrome or tardive dyskinesia.

5. Must not have a history of intolerability or severe reactions to moderate or higher doses of antipsychotic medications and must not have any other factors that would, in the judgment of the investigator, indicate that treatment with moderate or higher doses of paliperidone palmitate would be intolerable or unsafe.
6. Criterion modified per Amendment 3
 - 6.1 Must not have been treated with long acting injectable formulations of neuroleptic drugs based on active ingredients other than risperidone or paliperidone (eg, haloperidol decanoate, fluphenazine decanoate, etc) during the 6 months before screening.
7. Criterion modified per Amendment 1
 - 7.1 Must not have a clinically significant and unstable medical illness in history or at screening, including (but not limited to) cardiac arrhythmias or other cardiac disease, hematologic disease, coagulation disorders (including any abnormal bleeding or blood dyscrasias), significant pulmonary disease including bronchospastic respiratory disease, diabetes mellitus (poorly controlled or requiring insulin), hepatic insufficiency, thyroid disease (poorly controlled based on recent thyroid stimulating hormone [TSH] level), neurologic or other psychiatric disease (except schizophrenia), infection, cancer, or any other illness that the investigator considers should exclude the subject or that could interfere with the interpretation of the efficacy or safety measurements.
8. Must not have a primary, active DSM-5 diagnosis other than schizophrenia (eg, dissociative disorder, bipolar disorder, major depressive disorder, schizoaffective disorder, schizophreniform disorder, autistic disorder, primary substance-induced psychotic disorder) and must not have dementia-related psychosis.
9. Must not have clinically significant abnormal values during screening for hematology, serum chemistry (including aspartate aminotransferase or alanine aminotransferase greater than 2 times the upper limit of normal), or urinalysis, as deemed appropriate by the investigator.
10. Must not have clinically relevant abnormality in the physical examination, vital signs, or 12-lead electrocardiogram (ECG) during screening, as deemed appropriate by the investigator.
11. Must not have known allergies, hypersensitivity, or intolerance to paliperidone palmitate, paliperidone, risperidone, Intralipid (the placebo), or any excipients (refer to the Investigator's Brochure for details) of the formulations, which include soybean oil, egg yolk, phospholipids, and glycerol.

12. Criterion modified per Amendment 1

- 12.1 Must not have a history of treatment resistance, defined as failure to respond to 2 adequate trials with adequate doses of different antipsychotic medications (where an adequate trial is defined as a minimum of 4 weeks at a therapeutic dosage), based on the available medical records. Final determination of eligibility is based on investigator judgment.
13. Must not have been treated in the last 2 months with clozapine for treatment-resistant or treatment-refractory illness.
14. Must not have a history of unresponsiveness to (lack of efficacy with) any risperidone or paliperidone products.
15. Must not have a history of intolerance to doses ≥ 9 mg/day of oral paliperidone or ≥ 6 mg/day of oral risperidone, where the intolerance was treatment-limiting (ie, leading to discontinuation or reduction of dose).
16. Must not have a history or presence of circumstances that may increase the risk of the occurrence of torsade de pointes and/or sudden death in association with the use of drugs that prolong the QTc interval, including:
- Heart rate < 50 bpm, based on 12-lead ECG and/or vital signs, on repeated occasions (2 or more times) during the screening and before the first dosing day of the study.
 - Prolonged QTc interval corrected according to Fridericia's formula (QTcF) > 450 msec, based on 12-lead ECG, on repeated occasions (2 or more times) during screening or from prior medical record within the past year).
 - Cardiac conditions as follows: bradycardia, sick sinus syndrome, complete atrioventricular block, congestive heart failure, or polymorphic ventricular tachycardia.
 - Electrolyte conditions as follows: clinically relevant hypocalcemia, hypokalemia, or hypomagnesemia.
 - Concomitant use of drugs that prolong the QTc interval, such as Class IA antiarrhythmics (eg, disopyramide, quinidine, or procainamide) and Class III antiarrhythmics (eg, amiodarone or sotalol); some antihistamines; some antibiotics (eg, fluoroquinolones like moxifloxacin or ciprofloxacin); some antimalarials (eg, mefloquine); and some antipsychotics (eg, chlorpromazine or ziprasidone).
 - Congenital prolongation of the QT interval (Romano-Ward syndrome or Jervell and Lange-Nielsen syndrome).

17. Must not concomitantly use any inducers of proteins involved in the metabolism of paliperidone (ie, cytochrome P450 3A4) or the excretion of paliperidone (ie, p-glycoprotein), such as rifampicin, carbamazepine, oxcarbazepine, barbiturates, phenytoin, troglitazone, or St. John's Wort, within 14 days prior to the first screening visit.
18. Must not have any primary movement disorders (such as Parkinson's disease, Huntington's disease, or others) that could, in the investigator's judgment, affect the safety or tolerability for the subject or affect the results of the study.
19. If an oral tolerability test is required (ie, if the subject has no documented tolerability to any oral or injectable risperidone or paliperidone formulations), then the subject must not have a history of any severe pre-existing gastrointestinal narrowing (pathologic or iatrogenic) or inability to swallow oral paliperidone ER/PR tablets whole with the aid of water.
20. Must not have received an investigational drug or have used an invasive investigational medical device within 3 months or within a period less than 5 times the drug's half-life, whichever is longer, before the planned first dose of study drug. This criterion does not apply if the prestudy investigational drug was PP1M or PP3M.
21. Must not, if a woman, be pregnant, breastfeeding, or planning to become pregnant while enrolled in this study or within 12 months after the last dose of study drug.
22. Must not, if a man, have plans to father a child while enrolled in this study or within 12 months after the last dose of study drug.
23. Must not have plans for a surgery or procedures that would interfere with the conduct of the study.
24. Must not be an employee of the investigator or study site, with direct involvement in the proposed study or other studies under the direction of that investigator or study site, as well as family members of the employees or the investigator.
25. Must not have any condition for which, in the opinion of the investigator, participation would not be in the best interest of the subject (eg, compromise the well-being) or that could prevent, limit, or confound the protocol-specified assessments.
26. Must not have moderate to severe renal impairment (ie, those with creatinine clearance as estimated by Cockcroft-Gault of <60 mL/min).

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27. Subjects must not have the following:
- Electroconvulsive therapy (ECT) within 60 days before screening
 - Nonselective/irreversible monoamine oxidase inhibitors (MAOI) antidepressants within 30 days before screening
 - Other antidepressants unless at a stable dosage for 30 days before screening (If the dosage has been stable for less than 30 days and the subject does not require the antidepressant, it can be washed out by the baseline visit; if the dosage has been stable for less than 30 days and the subject requires antidepressant treatment, the subject should not be included in this study)
28. Must not be concomitantly treated with mood stabilizers including lithium, or valproate, or other antiepileptics/anticonvulsants within 14 days of the first screening visit.
29. Must not have been treated with a dopamine agonist (eg, ropinirole or pramipexole) within 90 days of the first screening visit.

NOTE: Investigators should ensure that all study enrollment criteria have been met at screening. If a subject's clinical status changes (including any available laboratory results or receipt of additional medical records) after screening but before the first dose of study drug is given such that he or she no longer meets all eligibility criteria, then the subject should be excluded from participation in the study. Section 9.1 (Study Procedures), describes options for retesting. Section 17.4 (Source Documentation) describes the required documentation to support meeting the enrollment criteria.

4.3. Criteria for Entry Into the Maintenance Phase

1. On Day 1 of the Maintenance Phase, subjects must have a full PANSS total score of <70 points.
2. Criterion modified per Amendment 2
 - 2.1. For subjects proceeding from the Transition Phase to the Maintenance Phase, the PP1M dose prior to entering the Maintenance Phase must have been 100 or 150 mg eq. and, in the investigator's judgment, the subject should continue on the same dose level (ie, either the equivalent PP3M dose [before the PP3M prerandomization target is met] or the same PP1M dose [after the PP3M prerandomization target is met]).

3. For subjects proceeding from the Transition Phase to the Maintenance Phase, they must not have during the Transition Phase:
 - a. required psychiatric hospitalization (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms), or
 - b. inflicted deliberate self-injury or exhibited violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage, or
 - c. had suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment
4. For subjects proceeding from the Screening Phase directly to the Maintenance Phase, the PP1M dose or PP3M dose must not be planned for adjustment in the foreseeable future and must have been unchanged in the recent past (as described in "a" and "b" below). The specific dose stability criteria are as follows:
 - a. For PP1M, at least 3 months of injections with the last 2 doses being the same strength before the Screening Phase.
 - b. For PP3M, at least 1 injection cycle before the Screening Phase.

Subjects who do not meet the criteria above will be withdrawn from the study, will undergo End-of-Phase Visit / Early Withdrawal Visit procedures, and will be treated thereafter according to clinical judgment for acceptable standard of care. If withdrawal is due to an incomplete injection or an unintended dosing or administration of a study drug, rescreening is permissible with the medical monitor's approval.

4.4. Criteria for Entry Into the Double-blind Phase

Eligibility to enter the Double-blind Phase requires all of the following:

1. For the last 2 assessments before randomization (ie, at Visit 6 and Visit 7a/b), subjects must have a PANSS total score of <70 points.
2. For the last 2 assessments before randomization (ie, at Visit 6 and Visit 7a/b), subjects must have scores of ≤ 4 points for PANSS items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/ persecution), P7 (hostility), G8 (uncooperativeness), and G14 (poor impulse control).
3. Subjects must not, per investigator judgment, have significant EPS despite adequate treatment with anti-EPS medications, based on standard clinical evaluation and information from the Abnormal Involuntary Movement Scale (AIMS) for dyskinesia, the Barnes Akathisia Rating Scale (BARS) for akathisia, and the Simpson Angus Scale (SAS) for parkinsonism.
4. Subjects must not have received any oral antipsychotic supplementation during the Maintenance Phase.

5. Subjects must not have during the Maintenance Phase:
- a. required psychiatric hospitalization (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms), or
 - b. inflicted deliberate self-injury or exhibited violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage, or
 - c. had suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment

Subjects who do not meet the criteria above for entry into the Double-blind Phase will be withdrawn from the study, will undergo End-of-Phase Visit / Early Withdrawal Visit procedures, and will be treated thereafter according to clinical judgment for acceptable standard of care. These subjects do not need to enter the Follow-up Phase, since they do not receive any double-blind treatment. If withdrawal is due to an incomplete injection or an unintended dosing or administration of a study drug, rescreening is permissible with the medical monitor's approval.

4.5. Prohibitions, Restrictions, and Strong Recommendations

Prohibitions and Restrictions

Potential subjects must be willing and able to adhere to the following prohibitions and restrictions during the course of the study to be eligible for participation:

1. Must follow Section 8.3 (Prohibited Concomitant Medications), regarding prohibited and restricted therapy during the study.
2. Must agree to follow all requirements that must be met during the study as noted in the Inclusion and Exclusion Criteria (eg, contraceptive requirements).
3. Must, if a woman of childbearing potential, continue using an appropriate method of contraception, as described in Section 4.1 (Inclusion Criteria), during participation in the study and for at least 12 months after the last dose of study drug. (Women who have a positive pregnancy test during the study will be withdrawn from the study.)
4. Must, if a man, continue using the measures described in Section 4.1 (Inclusion Criteria) to prevent women from being exposed to his sperm or conceiving his child during the study and for 12 months after receiving the last dose of study drug.

Strong Recommendations

Potential subjects should also be willing and able to adhere to the following strong recommendations (which are not strict prohibitions or restrictions) during the course of the study:

1. Should not donate blood for at least 6 months after completion of the study.
2. Should not participate in an investigational drug study for at least 6 months after completion of the study.
3. Should not use alcohol, illicit substances, or recreational marijuana (even where legal) during the entire study. (Recreational marijuana is a strong recommendation, but medical marijuana is a prohibition; see Section 8.3 [Prohibited Concomitant Medications]).
4. Criterion modified per Amendment 3.
 - 4.1. Should not eat before blood laboratory full panel sampling. (Nonfasting exceptions should be noted; fasted states are overnight or for at least 8 hours).
5. Deleted per Amendment 3.
6. Deleted per Amendment 2.

5. TREATMENT ALLOCATION AND BLINDING

See also the "Blinding and Control" subheading under Section 3.2 (Study Design Rationale).

Treatment Allocation: Procedures for Randomization and Stratification

At entry into the Double-blind Phase, subjects who had received a moderate dose in the Maintenance Phase will be randomly assigned to 1 of 2 treatment groups (active control or investigational drug as moderate doses) and subjects who had received a higher dose in the Maintenance Phase will be randomly assigned to 1 of 2 treatment groups (active control or investigational drug as higher doses), based on a computer-generated randomization schedule prepared before the study by or under the supervision of the Sponsor. The randomization (2:1 ratio, PP6M:PP3M) will be balanced by using randomly permuted blocks and will be stratified by study site and by moderate or high dose in the Maintenance Phase. Based on this randomization code, the study drug will be packaged and labeled for each subject. Medication kit numbers will be preprinted on the study drug labels and assigned as subjects qualify for the Double-blind Phase and are randomly assigned to treatment.

Central randomization will be implemented in this study. The Interactive Web Response System (IWRS) will assign a unique treatment code, which will dictate the treatment assignment and matching study drug kit for the subject. The requestor must use his or her own user identification and personal identification number when contacting the IWRS, and will then give the relevant subject details to uniquely identify the subject. Details regarding use of the IWRS will be provided in the IWRS Manual.

Unblinded Study Drug Administrator

Special precautions will be used to reduce potential bias during data collection and evaluation of clinical endpoints. Differences in syringe sizes for PP3M versus PP6M pose a potential for the

study drug administrator to become unblinded to the subject's treatment assignment. The subject and study staff, other than the study drug administrator, should not be allowed to view the syringe or needle or to observe the injection. (The subject must be instructed to look away during the injection and related steps before and after.) To minimize the potential for unblinding, the study drug administrator will be allowed to perform only the following study-related procedures: preparing injections, administering injections, contacting IWRS, receiving subject medication kit numbers, and keeping drug administration and accountability information. The study drug administrator will not be allowed to perform any other study-related procedures (including efficacy, safety, or other study evaluations) or to communicate subject-related information to study-site personnel, including the investigator. If the subject informs the study drug administrator of any adverse events that occurred since the last injection, the subject should be instructed to provide the same information to other study staff. The investigator must explain to the subjects that the only subject-facing role for the study drug administrator is to administer the injections.

In exceptional circumstances, where an assigned study drug administrator is not available to administer study drug, another adequately trained investigational staff member may administer study drug during the Maintenance Phase only. As with the study drug administrator, any other person responsible for study drug administration will not be allowed to perform any other study-related procedures (including efficacy, safety, or other study evaluations) or to communicate subject-related information to study-site personnel, including the investigator.

Blinding: Investigational Staff

The investigator will not be provided with randomization codes. The codes will be maintained within the IWRS, which has the functionality to allow the investigator to break the blind for an individual subject.

Data that may potentially unblind the treatment assignment (ie, study drug serum concentrations or prolactin levels) will be handled with special care to ensure that the integrity of the blind is maintained and the potential for bias is minimized. This can include making special provisions, such as segregating the data in question from view by the investigators, clinical team, or others as appropriate until the time of database lock and unblinding.

The investigator/subinvestigator who assesses the injection site for tenderness, erythema/redness, and induration/swelling should not review the subject's VAS rating of the injection site pain. (This is not applicable to other study-site personnel.)

Intentional Unblinding

Under normal circumstances, the blind should not be broken until all subjects have completed the study and the database is finalized. Otherwise, the blind should be broken only if specific emergency treatment or emergent course of action would be dictated by knowing the treatment status of the subject. In such cases, the investigator or designee may in an emergency determine the identity of the treatment by contacting the IWRS. It is recommended that the investigator contact the Sponsor or its designee if possible to discuss the particular situation, before breaking

the blind. Telephone contact with the Sponsor or its designee will be available 24 hours per day, 7 days per week. In the event the blind is broken, the Sponsor must be informed as soon as possible. The date, time, and reason for the unblinding must be documented in the appropriate section of the electronic Case Report Form (eCRF) and in the source document. The documentation received from the IWRS indicating the code break must be retained with the subject's source documents in a secure manner. Subjects who have had their treatment assignment unblinded should be withdrawn from the study.

6. DOSAGE AND ADMINISTRATION

6.1. Dosage

6.1.1. Screening Phase

During the Screening Phase, subjects must have already been receiving PP1M at 100 or 150 mg eq., PP3M at 350 or 525 mg eq., injectable risperidone at 50 mg, or an oral antipsychotic at any dosage with a reason to change, as described in Section 4.1 (Inclusion Criteria).

If subjects have no documented tolerability to any oral or injectable risperidone or paliperidone formulations, then an oral tolerability test should be conducted. To demonstrate oral tolerability, paliperidone ER/PR 6 mg tablets or risperidone 3 mg/day (dose may be divided) will be given during the Screening Phase for 4 to 6 consecutive days with the last dose swallowed on or before Day -1. The recommended dose is paliperidone ER/PR of 6 mg/day or risperidone 3 mg/day (dose may be divided), but higher doses of paliperidone or risperidone may be used if clinically indicated, based on investigator judgment. Oral tolerability testing may be concurrent with any required washout of other medications. Tapering of oral tolerability medication during this period is not required. Subjects should be instructed not to chew, divide, dissolve, or crush the paliperidone ER/PR tablets, since an effect on the release profile is possible. The oral tolerability test will allow the investigator to assess possible problems with tolerability (including allergic or hypersensitivity reactions) that may be related to paliperidone. Examples of problems that would lead to exclusion of the subject would include intolerable sedation, clinically symptomatic orthostatic hypotension, torticollis or other severe EPS, or evidence of an allergic reaction.

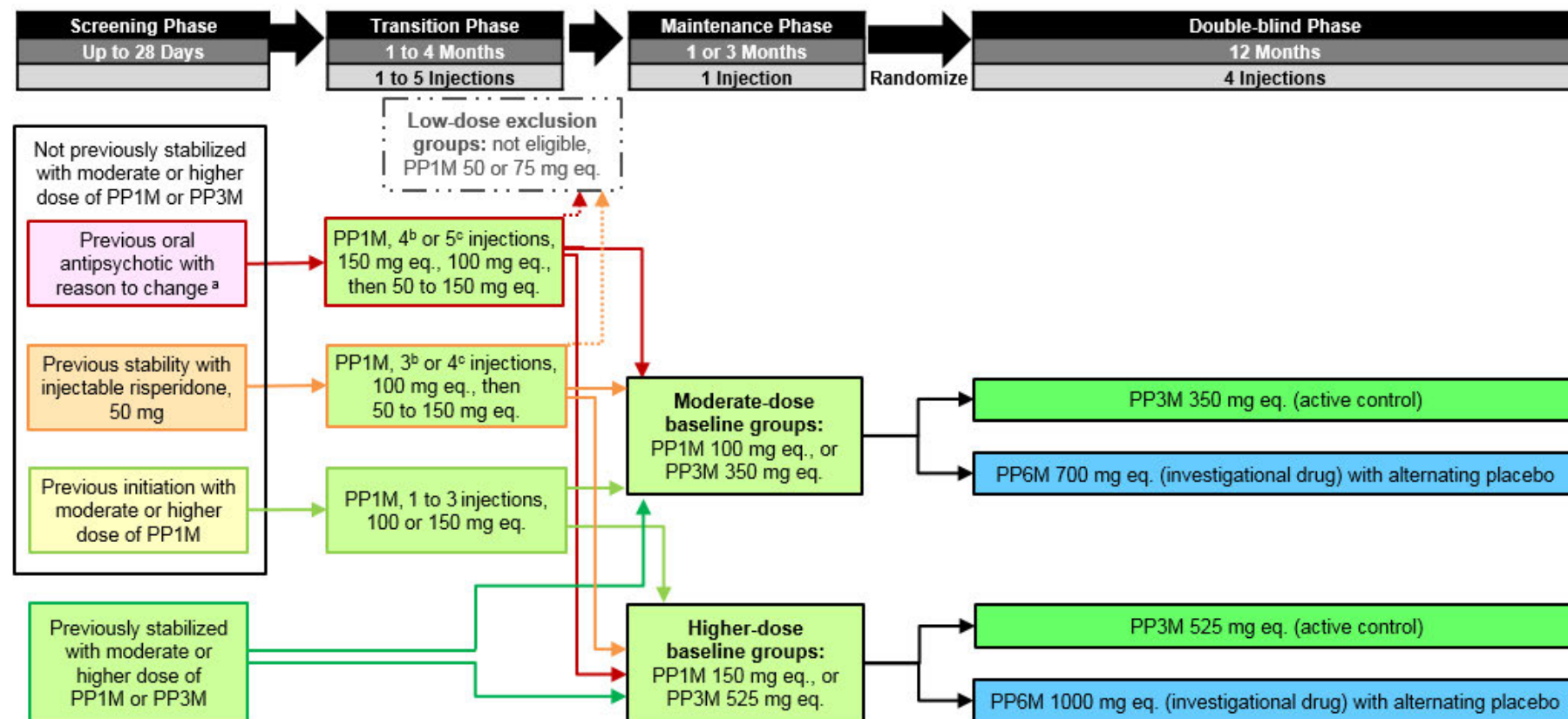
For subjects with previously established tolerability to at least 1 oral or injectable risperidone or paliperidone formulation, the appropriate documentation may include medical or pharmacy records, a letter from a previous provider, or a written statement by the investigator of a credible report from the subject or subject's identified support person. These records must be filed in the source documents.

6.1.2. Transition Phase

The Transition Phase is applicable only to subjects who entered the Screening Phase without previous PP1M or PP3M stability. These subjects may have been previously treated with oral antipsychotics, injectable risperidone, or a moderate or higher dose of PP1M with previous initiation but without previous stabilization (where stabilization is defined as at least 3 months of injections with the last 2 doses being the same strength), as shown in [Figure 2](#) and as described below. In order to appropriately balance study groups at the Double-blind Phase baseline and to

complete the study in a timely manner, the pathway to study enrollment that includes the Transition Phase will be open for only a limited time. The Sponsor will inform the sites when this pathway and this phase have closed to enrollment.

See also Section [6.2.1](#) (Administration During the Open-label Phases).

Figure 2: Schematic Overview, Showing Additional Details for the Screening Phase and Transition Phase; Study R092670PSY3015

Key: mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product).

^a If subjects in this group have no documented tolerability to any oral or injectable risperidone or paliperidone formulations, then an oral tolerability test should be conducted during the Screening Phase.

^b After meeting the PP3M prandomization target.

^c Before meeting the PP3M prandomization target.

Note: See [Figure 1](#) for other relevant explanatory notes.

Transition Phase: Subjects Previously Treated With Oral Antipsychotics

In the Transition Phase, subjects previously treated with oral antipsychotics will receive PP1M as 150 mg eq. on Day 1 and 100 mg eq. on Day 8. Thereafter, the PP1M doses on Days 36, 64, and 92 will be flexible (as 50, 75, 100, or 150 mg eq.), based on clinical judgment and shared decision-making. Days 1, 8, 36, 64, and 92 correspond to Visits 2a, 2b, 2c, 2d, and 2e, respectively (see Table 3 and Table 4). Administration should be in the deltoid muscle on Days 1 and 8 and may be either in the deltoid or gluteal muscles on Days 36, 64, and 92, as shown in Table 3 and Table 4.

After the PP3M prerandomization target is met, these subjects will skip Visit 2e and proceed to Visit 2f if the subject is eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study.

If a subject was previously treated with oral paliperidone ER/PR tablets and had acceptable efficacy and tolerability, then the Day 36 dose of PP1M may be based on the conversion provided in Table 2. If the previous dosage of oral paliperidone had insufficient efficacy, then the investigator may consider a higher dosage of PP1M. If the previous dosage of oral paliperidone caused problems with intolerability, then the investigator may consider a lower dosage of PP1M.

Table 2: Doses of Paliperidone ER/PR Tablets and PP1M Needed to Attain Similar Steady-state Paliperidone Exposure

Previous Paliperidone ER/PR Tablet, Once Daily	PP1M Injection, Once Every 4 Weeks
12 mg	150 mg eq.
9 mg	100 mg eq.
6 mg	75 mg eq.
3 mg	50 mg eq.

Key: ER/PR = extended-release/prolonged-release; mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product).

Source: Adapted from the United States Prescribing Information for PP1M.^c the lowest dose of PP1M is omitted because it is not relevant to this study.

Transition Phase: Subjects Previously Stabilized on Injectable Risperidone (Biweekly – Risperdal CONSTA™ formulation, also named as RISPERDAL CONSTA 50 mg powder and solvent for prolonged-release suspension for injection formulation in certain countries)

In the Transition Phase, subjects previously stabilized on injectable risperidone 50 mg are not required to attend the Day 1 visit. On the Day 8 visit, when the subject's next planned injectable risperidone dose would have been administered (ie, 14±3 days after the last prestudy dose), PP1M 100 mg eq. is administered instead. (This conversion scheme is established in the

^{cc} INVEGA SUSTENNA® [United States Prescribing Information]. Titusville, NJ: Janssen Research & Development. www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=022264. Accessed 19 June 2017.

prescribing information of some countries.^f) Thereafter, the PP1M doses on Days 36, 64, and 92 will be flexible (50, 75, 100, or 150 mg eq.), based on clinical judgment and shared decision-making. Administration should be in the deltoid muscle on Day 8 and may be either in the deltoid or gluteal muscles on Days 36, 64, and 92, as shown in [Table 3](#) and [Table 4](#).

After the PP3M prerandomization target is met, these subjects will skip Visit 2e and proceed to Visit 2f, if eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study.

Transition Phase: Subjects Previously Initiated (But Not on a Stable Regimen) With Moderate or Higher Doses of PP1M (Invega Sustenna™ or Xeplion™ formulation)

In the Transition Phase, subjects who entered the study on PP1M as 100 or 150 mg eq., but who do not yet meet criteria for stabilization with those doses, are treated with additional doses of PP1M during the Transition Phase, as shown in [Table 3](#) and [Table 4](#).

After the PP3M prerandomization target is met, subjects previously initiated on PP1M (but not on a stable regimen) who enter the study at Visit 2c or 2d will skip Visit 2e and proceed to Visit 2f, if eligible to enter the Maintenance Phase (see Section 4.3, Criteria for Entry Into the Maintenance Phase). Subjects with ≥ 4 prestudy PP1M injections with the last 2 doses being the same strength will enter the study at Visit 2f. Subjects with ≥ 4 prestudy PP1M injections with the last 2 doses being different (ie, do not have dose stability) will enter the study at Visit 2e. If not eligible to enter the Maintenance Phase, the subject will be withdrawn from the study.

Owing to potential differences in release characteristics, only subjects who are taking Invega Sustenna™ or Xeplion™ PP1M formulations will be permitted to enter this phase of the study. Subjects who are taking non-branded formulations of once monthly paliperidone LAI or other once monthly LAIs will not be permitted to enter this phase.

^f XEPLION® [European Union Product Information]. Beerse, Belgium: Janssen-Cilag International. www.ema.europa.eu/ema/index.jsp%3Fcurl%3Dpages/medicines/human/medicines/002105/human_med_001424.jsp. Accessed 19 June 2017.

Table 3: Dosage and Administration Schedule for PP1M During the Transition Phase (Before Meeting the PP3M Prerandomization Target); Study R092670PSY3015

Phase	Screening		Transition; PP1M			
	1	2a	2b	2c	2d	2e
Visit Number (of Study)	1	2a	2b	2c	2d	2e
Day (of Phase) ^a	-28 to -2	1	8	36 or EW	64 or EW	92 or EW ^b
Visit Window, ±Days		n/a	±3	±7	±7	±7
PP1M after prestudy oral antipsychotic						
Treatment/dose	Last prestudy dose of oral antipsychotic	150 mg eq.	100 mg eq.	50, ^c 75, 100, or 150 mg eq.	50, ^d 75, ^d 100, or 150 mg eq.	50, ^d 75, ^d 100, or 150 mg eq.
Injection site	not applicable	D	D	D or G	D or G	D or G
Day (of participation) ^a	-28 to -2	1st	8th	36th	64th	92nd
PP1M after prestudy injectable risperidone						
Treatment/dose	Last prestudy dose of injectable risperidone, 50 mg	Skip	100 mg eq.	50, 75, 100, or 150 mg eq.	50, ^d 75, ^d 100, or 150 mg eq.	50, ^d 75, ^d 100, or 150 mg eq.
Injection site	D or G	Skip	D	D or G	D or G	D or G
Day (of participation) ^a	-14 to -2	Skip	1st ^e	29th	57th	85th
PP1M after 2 prestudy PP1M injections						
Treatment/dose	Last prestudy dose of PP1M, 100 or 150 mg eq.	Skip	Skip	100 or 150 mg eq.	100 to 150 mg eq.	100 to 150 mg eq.
Injection site	D or G	Skip	Skip	D or G	D or G	D or G
Day (of participation) ^a	-28 to -2	Skip	Skip	1st ^f	29th	57th
PP1M after 3 prestudy PP1M injections						
Treatment/dose	Last prestudy dose of PP1M, 100 or 150 mg eq.	Skip	Skip	Skip	100 or 150 mg eq.	100 or 150 mg eq.
Injection site	D or G	Skip	Skip	Skip	D or G	D or G
Day (of participation) ^a	-28 to -2	Skip	Skip	Skip	1st ^f	29th
PP1M after ≥4 prestudy PP1M injections^g						
Treatment/dose	Last prestudy dose of PP1M, 100 or 150 mg eq.	Skip	Skip	Skip	Skip	100 or 150 mg eq.
Injection site	D or G	Skip	Skip	Skip	Skip	D or G
Day (of participation) ^a	-28 to -2	Skip	Skip	Skip	Skip	1st ^f

(See Keys below Table 4)

Table 4: Dosage and Administration Schedule for PP1M During the Transition Phase (After Meeting the PP3M Prerandomization Target); Study R092670PSY3015

Phase	Screening		Transition; PP1M			
	1	2a	2b	2c	2d	2e
Visit Number (of Study)	1	2a	2b	2c	2d	2e
Day (of Phase) ^a	-28 to -2	1	8	36 or EW	64 or EW	92 or EW ^b
Visit Window, ±Days		n/a	±3	±7	±7	±7
PP1M after prestudy oral antipsychotic						
Treatment/dose	Last prestudy dose of oral antipsychotic	150 mg eq.	100 mg eq.	50, ^c 75, 100, or 150 mg eq.	50, ^d 75, ^d 100, or 150 mg eq.	
Injection site	not applicable	D	D	D or G	D or G	
Day (of participation) ^a	-28 to -2	1st	8th	36th	64th	Skip
PP1M after prestudy injectable risperidone						
Treatment/dose	Last prestudy dose of injectable risperidone, 50 mg	Skip	100 mg eq.	50, 75, 100, or 150 mg eq.	50, ^d 75, ^d 100, or 150 mg eq.	
Injection site	D or G	Skip	D	D or G	D or G	
Day (of participation) ^a	-14 to -2	Skip	1st ^e	29th	57th	Skip
PP1M after 2 prestudy PP1M injections						
Treatment/dose	Last prestudy dose of PP1M, 100 or 150 mg eq.	Skip	Skip	100 or 150 mg eq.	100 to 150 mg eq.	
Injection site	D or G	Skip	Skip	D or G	D or G	
Day (of participation) ^a	-28 to -2	Skip	Skip	1st ^f	29th	Skip
PP1M after 3 prestudy PP1M injections						
Treatment/dose	Last prestudy dose of PP1M, 100 or 150 mg eq.	Skip	Skip	Skip	100 or 150 mg eq.	
Injection site	D or G	Skip	Skip	Skip	D or G	
Day (of participation) ^a	-28 to -2	Skip	Skip	Skip	1st ^f	Skip
PP1M after ≥4 prestudy PP1M injections^g						
Treatment/dose	Last prestudy dose of PP1M, 100 or 150 mg eq.	Skip	Skip	Skip	Skip	Skip or 100 or 150 mg eq.
Injection site	D or G	Skip	Skip	Skip	Skip	D or G
Day (of participation) ^a	-28 to -2	Skip	Skip	Skip	Skip	1st ^f

Keys for Table 3 and Table 4: D = deltoid muscle; EW = Early Withdrawal; G = gluteal muscle; mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (formulation).

- ^a Because some subjects skip visits during the Transition Phase, the day of the phase will not match the day of a subject's participation in all cases, as shown in this table.
- ^b Dose flexibility is permitted at this visit in order to accommodate individual needs for efficacy and tolerability, but if the dose at Day 92 is not the same as the dose at Day 64, then the subject will later be ineligible to proceed to the next phase, as described in Section 4.3 (Criteria for Entry Into the Maintenance Phase). If the dose at Day 92 is not the same as the dose at Day 64, then study-site personnel should anticipate the future ineligibility of the subject and should conduct the Day 92 visit as an Early Withdrawal Visit. See Section 10.2 (Discontinuation of Study Drug / Withdrawal From the Study).
- ^c The 50 mg eq. dose is permitted at this visit in order to accommodate individual needs for efficacy and tolerability, but use of the 50 mg eq. dose at this visit shows that a subject is unlikely to later achieve the 100 or 150 mg eq. dose required for eligibility to proceed to the next phase, as described in Section 4.3 (Criteria for Entry Into the Maintenance Phase). If the 50 mg eq. dose is administered, then study-site personnel should anticipate the future ineligibility of the subject and should conduct the visit as an Early Withdrawal Visit. See Section 10.2 (Discontinuation of Study Drug / Withdrawal From the Study).
- ^d The 50 and 75 mg eq. doses are permitted at these visits in order to accommodate individual needs for efficacy and tolerability, but use of these doses at these visits shows that subjects will later be ineligible to proceed to the next phase, as described in Section 4.3 (Criteria for Entry Into the Maintenance Phase). If these doses are administered, then study-site personnel should anticipate the future ineligibility of the subject and should conduct the visit as an Early Withdrawal Visit. See Section 10.2 (Discontinuation of Study Drug / Withdrawal From the Study).
- ^e This visit should be scheduled to match the subject's next planned injectable risperidone dose (ie, 14 ± 3 days after the last prestudy dose).
- ^f This visit should be scheduled to match the subject's next planned PP1M dose (ie, 30 ± 7 days after the last prestudy dose).
- ^g Subjects with ≥ 4 prestudy PP1M injections may be eligible to skip the Transition Phase and proceed from the Screening Phase directly to the Maintenance Phase, if they have already achieved dose stability as described in Section 4.3 (Criteria for Entry Into the Maintenance Phase). If they have not, then the dose in this Transition Phase can be used to establish dose stability.

6.1.3. Maintenance Phase

For all subjects, the Maintenance Phase includes only 1 dose of PP1M as 100 or 150 mg eq. or PP3M as 350 or 525 mg eq. Each subject's Maintenance Phase dose will be matched by straightforward progression (PP1M to PP1M, or PP3M to PP3M) or will be matched by established conversion (PP1M to PP3M) to the same dose that they had been receiving during the Screening Phase or at the end of the Transition Phase. Progression versus conversion will depend on prestudy treatment types and on the need to balance the PP1M and PP3M groups in the Maintenance Phase, as described below.

To properly characterize outcomes when switching to PP6M from either PP1M or PP3M, adequate numbers of subjects treated with either PP1M or PP3M must be available during the Maintenance Phase for randomization to the Double-blind Phase. However, low enrollment of subjects previously treated with PP3M is expected. To address this issue, some subjects (after appropriate treatment with PP1M) will be switched to PP3M during the Maintenance Phase. This will occur early in the course of the study, until the target of approximately one-half of the total Maintenance Phase sample is treated with PP3M. In this document, the target is referred to as the "PP3M prerandomization target." The process is described in more detail below and is shown in [Figure 3](#) and [Figure 4](#).

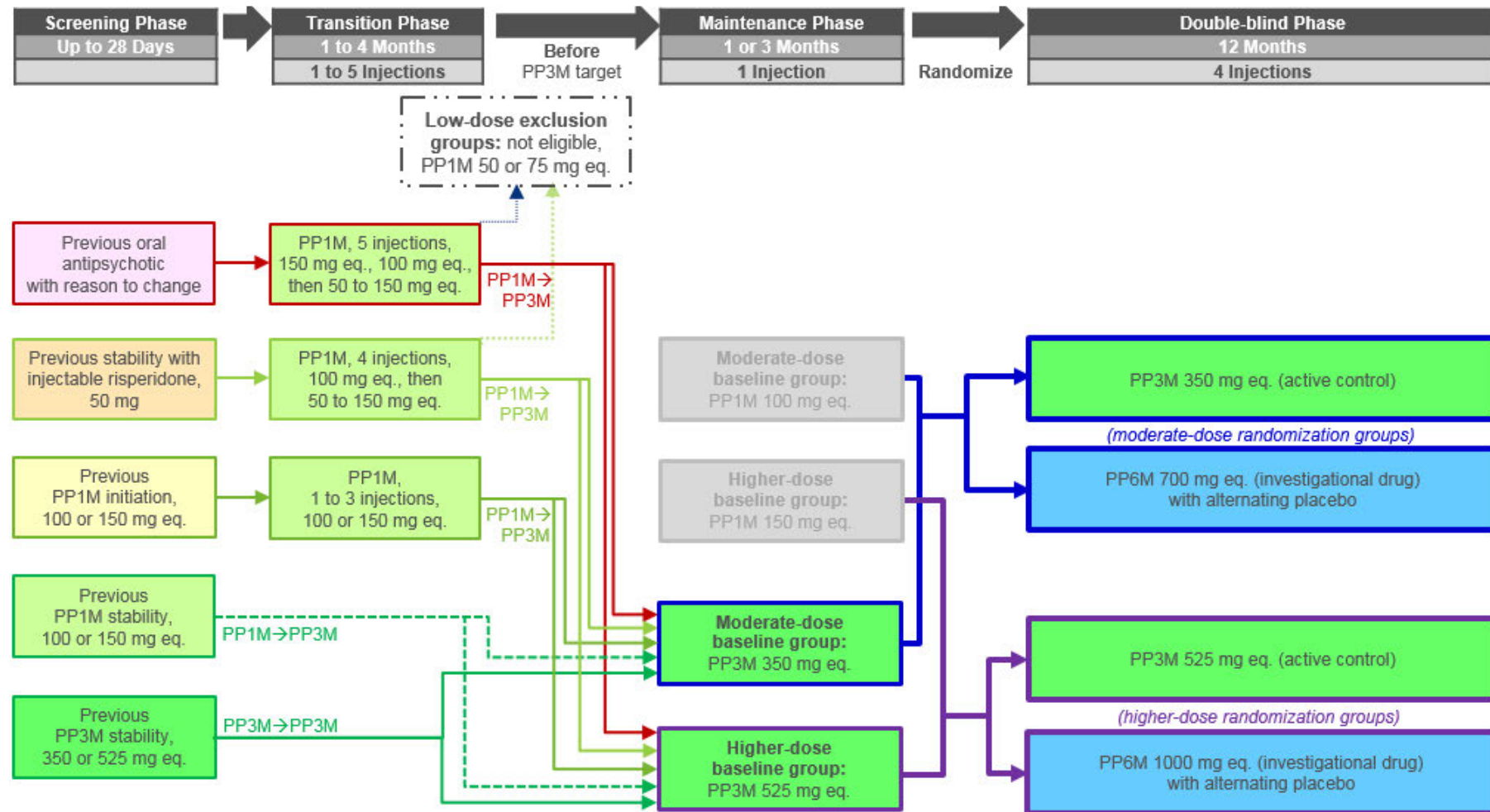
- **Throughout the study**, subjects who were receiving PP3M as 350 or 525 mg eq. before the study will receive the same formulation and strength in the Maintenance Phase.
- **Early in the study (before the PP3M prerandomization target is met)**, subjects with PP1M stability achieved before the study or during the Transition Phase will be converted to PP3M in the Maintenance Phase in accordance with the approved prescribing information. That is, subjects who were receiving PP1M as 100 or 150 mg eq. will receive PP3M as 350 or 525 mg eq. See [Figure 3](#). The Sponsor will inform sites when they should stop using this pathway.
- **Later in the study (after the PP3M prerandomization target is met)**, subjects with PP1M stability achieved before the study or during the Transition Phase will progress with PP1M in the Maintenance Phase. That is, subjects who were receiving PP1M as 100 or 150 mg eq. will again receive PP1M as 100 or 150 mg eq. See [Figure 4](#). The Sponsor will inform sites when they should start using this pathway.

For subjects who enter the study with previous PP1M or PP3M stability, the dose in the Maintenance Phase should be administered with timing appropriate to the subject's last prestudy dose (ie, 30 ± 7 days after the last prestudy PP1M dose, or 90 ± 14 days after the last prestudy PP3M dose). In order to appropriately balance the number of PP1M and PP3M subjects in the Maintenance Phase and to complete the study in a timely manner, the pathway to study enrollment that includes subjects who enter the study with previous PP3M stability will be open for only a limited time. The Sponsor will inform the sites when this pathway has closed to enrollment.

For subjects who enter the study without previous PP1M or PP3M stability, the dose in the Maintenance Phase should similarly be administered with timing appropriate to the subject's last dose in the preceding Transition Phase (ie, 28 ± 3 days after previous PP1M dose).

See also Section [6.2.1](#) (Administration During the Open-label Phases).

Figure 3: Schematic Overview, Showing Additional Details for the Maintenance Phase (Before Meeting the PP3M Prerandomization Target); Study R092670PSY3015

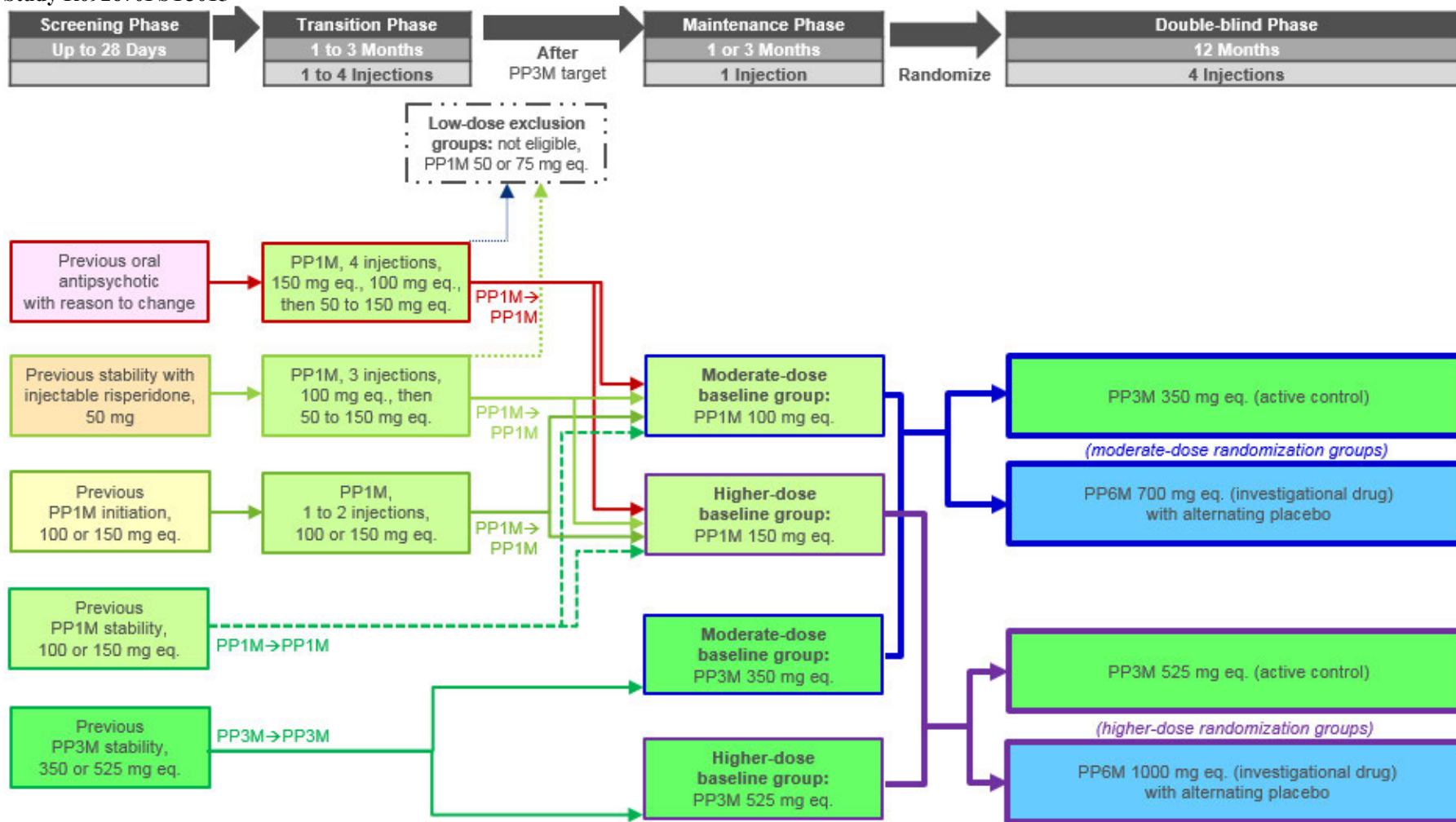


Key: mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product).

Note: See Figure 1 and Figure 2 for other relevant explanatory notes.

Note: The grayed-out treatment pathway in the Maintenance Phase is not applicable before the meeting the PP3M prerandomization target, but is shown here for consistency with other figures, especially Figure 4. Of subjects entering the Double-blind Phase, the prerandomization targets are approximately one-half entering from a PP3M group, and one-half entering from a PP1M group.

Figure 4: Schematic Overview, Showing Additional Details for the Maintenance Phase (After Meeting the PP3M Prerandomization Target); Study R092670PSY3015



Key: mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product).

Note: See Figure 1 and Figure 2 for other relevant explanatory notes.

Note: Of subjects entering the Double-blind Phase, the prerandomization targets are approximately one-half entering from a PP3M group, and one-half entering from a PP1M group.

6.1.4. Double-blind Phase

The PP1M and PP3M dose levels that were administered in the Maintenance Phase will be converted to PP3M or PP6M dose levels for the Double-blind Phase as follows:

- For the active control group,

The open-label PP1M doses (100 or 150 mg eq.) will be converted to double-blind PP3M doses (350 or 525 mg eq.) in accordance with the approved prescribing information for PP3M.

The open-label PP3M doses (350 or 525 mg eq.) will continue at the same double-blind dose level.

- For the investigational drug group,

The open-label 100 mg eq. PP1M and 350 mg eq. PP3M doses will be converted to double-blind 700 mg eq. PP6M doses.

The open-label 150 mg eq. PP1M and 525 mg eq. PP3M doses will be converted to double-blind 1000 mg eq. PP6M doses.

To maintain the blind, the subjects who are assigned to treatment with PP6M will receive injections of placebo at the 3-month time points between their 6-month doses of investigational drug. The placebo is 20% Intralipid[®] (200 mg/mL) injectable emulsion. Therefore, the 12 month Double-blind Phase should include a total of 4 doses at 3-month intervals, no matter which treatment group.

Conversions between doses are summarized in [Table 1](#). The actual dates and times of each study drug administration will be recorded in the eCRF.

6.1.5. Treatment After the Study or in the Follow-up Phase

See Section [10.3](#) (Antipsychotic Therapy After the Study or in the Follow-up Phase). Such treatments are nonstudy treatments and therefore are not described here.

6.2. Administration

For each dose, a study-site personnel member must shake the syringe vigorously with the tip facing up and with a loose wrist for at least 15 seconds to ensure a homogeneous suspension. The shaken dose must then be administered within 5 minutes after shaking. If more than 5 minutes pass after shaking but before injection, then a study-site personnel member must shake the syringe vigorously again for at least 15 seconds to resuspend the dose. The full content is to be administered in one injection, using only the supplies provided in the study drug kit.

6.2.1. Administration During the Open-label Phases

During the Transition Phase and Maintenance Phase, injections will be in the deltoid or gluteal muscles in accordance with the prescribing information for PP1M or PP3M, and will use injection kits equivalent to commercially available kits. For the Transition Phase, see also [Table 3](#) and [Table 4](#) for more information about sites (deltoid or gluteal). For the Maintenance Phase, the injection may be in the deltoid or gluteal muscle (note: Day 1 injection should not be

administered at the same site as the last injection), but may not be in the left gluteal muscle (because of the anticipated left gluteal injection at the beginning of the Double-blind Phase, as shown in [Table 5](#)).

6.2.2. Administration During the Double-blind Phase

During the Double-blind Phase, injections will be in the gluteal muscles only, will use study-specific injection kits, and will rotate across sides of the body as described in [Table 5](#).

Table 5: Administration of Study Agent During the Double-blind Phase; Study R092670PSY3015

Time Point in Double-blind Phase	Day 1	Day 92	Day 183	Day 274
Double-blind Injection	First	Second	Third	Fourth
Active Control Groups				
Agent	PP3M	PP3M	PP3M	PP3M
Body side	Left	Right	Right	Left
Investigational Groups				
Agent	PP6M	Placebo	PP6M	Placebo
Body side	Left	Right	Right	Left

Key: PP3M = paliperidone palmitate 3 month (product); PP6M = paliperidone palmitate 6 month (product).

Note: All administrations are gluteal during the Double-blind Phase.

See Attachment 1 for more details about administration during the Double-blind Phase.

7. TREATMENT COMPLIANCE

The study drug administrator will administer the injections throughout the study and will record the date/time of dosing as well as the injection site (right or left side, and deltoid or gluteal muscle) in the eCRF.

8. PRESTUDY AND CONCOMITANT THERAPY

8.1. Prestudy Medical Therapy and Psychotherapy

Except as described in Section 4.2 (Exclusion Criteria), Section 4.5 (Prohibitions and Restrictions), Section 8.2 (Concomitant Therapy), and Section 8.3 (Prohibited Concomitant Medications), medications that are ongoing and stable at screening may be allowed to continue thereafter into the study. Ongoing psychotherapy and other psychosocial interventions are allowed to continue. For psychiatric medications of special interest at study entry:

- **Antipsychotics:** Other than PP1M or PP3M, no concomitant antipsychotic medications being used at screening are allowed to continue. Oral antipsychotics, including those being taken concomitantly with PP1M or PP3M, should be tapered and discontinued during the Screening Phase, with the last oral dose swallowed on or before Day -1. The appropriate tapering and washout schedule is at the discretion of the treating physician. Note that subjects who enter the study on oral antipsychotic(s) alone (without PP1M or PP3M being used concomitantly) must have a valid reason to discontinue the oral antipsychotic(s), such

as problems with efficacy, safety, or tolerability, or preference for a LAI medication, as described in Section 4.1 (Inclusion Criteria).

- Other psychiatric medications: Other medications taken for the treatment of psychiatric conditions are allowed at screening and to continue thereafter.

It is preferable that no changes have been made to any treatments (for psychiatric or other medical conditions) in the 30 days before screening.

8.2. Concomitant Therapy

All therapies (prescription or over-the-counter medications, including vaccines, vitamins, herbal supplements; nonpharmacologic therapies such as electrical stimulation, acupuncture, special diets, exercise regimens) administered and/or used within 90 days of the first study drug dose, at entry, and throughout the study must be recorded in the eCRF. For subjects with prior PP3M stability, the date of the last prestudy dose would be 90±14 days prior to the first study drug dose, so its last date of administration must be recorded, even if >90 days from the first study drug dose. The study drug is not recorded as concomitant therapy in the eCRF. Recorded information will include a description of the type of drug, start and end dates of treatment, dosing regimen, and indication. Modification of an effective pre-existing therapy should not be made for the explicit purpose of entering a subject into the study.

Except as listed in Section 4.2 (Exclusion Criteria) and Section 8.3 (Prohibited Concomitant Medications), concomitant medications may be initiated during the study for medical or psychiatric reasons. New psychotherapies and psychosocial treatments may be started.

- Anti-EPS medications: For antiparkinsonism medications, initiations or changes should be preceded by an AIMS, BARS, and SAS (even if not designated per Time and Events Schedules). If beta-adrenergic blockers or benzodiazepines are given for akathisia, then initiations or changes should be preceded by a BARS (even if not designated per Time and Events Schedules). See Section 9.4.6 (Extrapyramidal Symptoms). The use of anti-EPS medications should be re-evaluated at regular intervals, and investigators and subjects should work together to lower and discontinue doses if clinically indicated.
- Benzodiazepines: For the control of agitation, anxiety, akathisia, etc, lorazepam is the preferred benzodiazepine because of its low potential for drug-drug interactions and its relatively short half-life. If lorazepam is unavailable, then another equivalent short-acting benzodiazepine may be used; examples of allowed types and dosages are shown in Table 6, and others are similarly allowed per clinical judgment. Long-acting benzodiazepines (specifically, chlordiazepoxide, flurazepam, diazepam, and clorazepate) are prohibited. Investigators are encouraged to use the lowest dose of benzodiazepine that is clinically necessary. Benzodiazepines must not be used in the 8 hours preceding any scheduled efficacy assessment or rating scale. If clinically needed, an injectable (intramuscular or intravenous) dose of lorazepam may be given through the first 4 weeks of study participation.

If benzodiazepines were used regularly during the Screening Phase, then the benzodiazepine treatment should be tapered in accordance with the maximum dosages stated in Table 6. Use of benzodiazepine dosages higher than the stated in the table must be approved by the medical monitor prior to dose increase.

Table 6: Maximum Allowable Benzodiazepine Daily Dosages; Study R092670PSY3015

Benzodiazepine	Approximate Equivalent Oral Dose, mg	Maximum Daily Dosage (mg/day)		
		Screening Phase and First 4 Weeks of Study Participation	Second 4 Weeks of Study Participation	After 8 Weeks of Study Participation, Through End-of-Study Visit
Preferred				
Lorazepam	6	6	3	2
Other				
Clonazepam	3	3	1.5	1
Temazepam	90	90	45	30

If benzodiazepines are being initiated or changed for the treatment of akathisia, then a BARS should be conducted first (even if unscheduled), as described in Section 9.4.6.2 (Barnes Akathisia Rating Scale).

- Sleep aids: For insomnia or sleep-related difficulties, subjects may use zolpidem, zaleplon, zopiclone, or eszopiclone at dosages in accordance with the locally approved prescribing information. The frequency should not exceed once daily and the duration should not exceed 7 consecutive days without reassessment. Sleep aid medications should not be used in the 8 hours preceding any scheduled efficacy assessment or rating scale.

8.3. Prohibited Concomitant Medications

- The concomitant medications described below may not be used during the study. Concomitant oral and injectable antipsychotics are prohibited as follows:

After the Screening Phase, oral or injectable risperidone formulations and supplementary oral or injectable paliperidone formulations are prohibited.

During the Maintenance Phase, if any oral antipsychotic is required, then the subject is not eligible to continue to randomization.

During the Double-blind Phase, if any oral antipsychotic is required, then the subject should be evaluated according to parameters in Section 2.1.2.2 (Relapse Criteria).

During any phase (including the Screening Phase, during determination of eligibility), injectable formulations of neuroleptic drugs based on active ingredients other than risperidone or paliperidone (eg, haloperidol decanoate, fluphenazine decanoate, etc) are prohibited.

Concomitant antipsychotics are prohibited even if they are used for nonpsychotic indications (eg, nausea, sleep, depression, etc).

Although concomitant antipsychotics are prohibited during the study as described above, these medications may be initiated at the discretion of the investigator if considered necessary for the safety or wellbeing of the subject. If a concomitant antipsychotic is initiated, then:

- Section 2.1.2.2 (Relapse Criteria) is relevant if the subject is completing the study related to the need for an antipsychotic, or
- Section 10.2 (Discontinuation of Study Drug / Withdrawal From the Study) is relevant if the subject is withdrawing from the study related to the need for an antipsychotic.

- Medicinal products known to prolong the QT interval - such as Class IA antiarrhythmics (eg, disopyramide, quinidine, or procainamide) and Class III antiarrhythmics (eg, amiodarone or sotalol); some antihistamines; some antibiotics (eg, fluoroquinolones like moxifloxacin or ciprofloxacin); some antimalarials (eg, mefloquine); and some antipsychotics (eg, chlorpromazine or ziprasidone) and tricyclic anti-depressants are prohibited. (All concomitant antipsychotics are prohibited for reasons related to assessment of efficacy as described in the bullet points above, but antipsychotics that prolong the QT interval are specifically restated here due to relevance to safety.)
- Inducers of proteins involved in the metabolism of paliperidone (ie, cytochrome P450 3A4) or the excretion of paliperidone (ie, p-glycoprotein) - such as rifampicin, carbamazepine, oxcarbazepine, barbiturates, phenytoin, troglitazone, and St. John's Wort - are prohibited.
- Systemic antifungals are prohibited.
- Antineoplastic agents are prohibited.
- Medical marijuana is prohibited.
- Long-acting benzodiazepines (specifically, chlordiazepoxide, flurazepam, diazepam, and clorazepate) are prohibited.
- Mood stabilizers and anticonvulsants including, but not limited to: lithium, valproate, lamotrigine, carbamazepine, phenytoin, and gabapentin.
- Antidepressants not taken at a stable dosage for 30 days before screening, and all nonselective/irreversible MAOIs. Throughout the study, an antidepressant (other than a nonselective MAOI) may be initiated in rare circumstances only after consultation with the Medical Monitor.
- Any prescription, herbal, or over-the-counter agents with psychotropic actions, including any substances with stimulant and cognitive-enhancing properties.
- Dopamine agonists, including, but not limited to: ropinirole, pramipexole, pergolide, cabergoline, lisuride and amantadine.
- Nonantipsychotic dopamine antagonists, including, but not limited to, antiemetic medications with dopamine-blocking activity.

The Sponsor must be notified in advance (or as soon as possible thereafter) of any instances in which prohibited therapies are administered.

9. STUDY EVALUATIONS

9.1. Study Procedures

Overview

The Time and Events Schedules summarize the frequency and timing of measurements applicable to this study. If multiple assessments are scheduled for the same visit, then ECG and vital signs should be collected prior to blood sample collections (eg, PK, and/or laboratory tests) and blood sample collections should be collected prior to study drug injection. Evaluations of the injection site occur after study drug injection, as described in Section 9.4.8. Actual dates and times of assessments will be recorded in the source documentation.

Beyond timing specified in the Time and Events Schedules, additional serum or urine pregnancy tests may be performed, as determined necessary by the investigator or required by local regulation, to establish the absence of pregnancy at any time during the subject's participation in the study.

For any 6 months of participation in the study, the total blood volume to be collected from each subject is expected to be less than 400 mL. Repeat or unscheduled samples may be taken for safety reasons or for technical issues with the samples.

Screening Phase

Screening procedures are outlined in the Time and Events Schedules and in associated text descriptions. For exclusion criteria relating to safety parameters, rescreening is not allowed, but retesting may be permitted, as described in Section 4 (Subject Population). For inclusion criteria related to prestudy medication parameters, rescreening is permitted once, as described in Section 4 (Subject Population).

Transition Phase

The Transition Phase is applicable only to subjects who entered the Screening Phase without previous PP1M or PP3M stability. These subjects may have been previously treated with oral antipsychotics, injectable risperidone, or a moderate or higher dose of PP1M with previous initiation but without previous stabilization (where stabilization is defined as at least 3 months of injections with the last 2 doses being the same strength). During this phase, subjects will be initiated and/or stabilized on PP1M, as shown in the relevant Time and Events Schedule and in associated text descriptions. At the end of this phase, subjects with adequate efficacy and tolerability on PP1M as 100 or 150 mg eq. may be eligible to continue to the Maintenance Phase (see Section 4.3 [Criteria for Entry Into the Maintenance Phase]); subjects receiving PP1M as 50 or 75 mg eq. will exit the study. If possible, the subject's identified support person should accompany the subject to the first visit in this phase.

Maintenance Phase

The procedures for the Maintenance Phase are outlined in the Time and Events Schedules and in associated text descriptions. At the end of this phase, subjects who do not meet the criteria for randomization (see Section 4.4 [Criteria for Entry Into the Double-blind Phase]) must withdraw from the study and have End-of-Phase Visit / Early Withdrawal Visit assessments performed, as outlined in the Time and Events Schedules. For subjects who did not take part in the Transition Phase, the subject's identified support person should accompany the subject to the first visit in this phase, if possible.

Double-blind Phase

The procedures for the Double-blind Phase are outlined in the Time and Events Schedules and in associated text descriptions. During this phase, investigators should ask subjects to return to the clinical site for unscheduled visits as required for any assessment of symptom worsening or possible adverse events. If a possible relapse is detected per PANSS criteria at a scheduled or unscheduled visit, as described in Section 2.1.2.2 (Relapse Criteria), then the investigator should

ask the subject to visit the clinical site 3 to 7 days later for a PANSS reassessment (if no such visit is already scheduled). Suspected relapses should prompt all of the following assessments at all associated clinic visits, even if not designated on the Time and Events Schedules:

- A PK sample, per Section 9.3.1 (Pharmacokinetic Evaluations),
- A full PANSS assessment, per Section 9.2.2 (Positive and Negative Syndrome Scale),
- A CGI-S assessment, per Section 9.2.3 (Clinical Global Impression - Severity),
- A Columbia Suicide Severity Rating Scale (C-SSRS) assessment, per Section 9.4.7 (Columbia Suicide Severity Rating Scale), and
- Testing for concomitant substances (both an alcohol breath test and a urine drug screen for illicit substances), per Section 9.4.2 (Clinical Laboratory Tests).

The EOP visit is to occur as soon as possible after relapse confirmation (preferably the same day).

Follow-up Phase

The procedures for the Follow-up Phase are outlined in the Time and Events Schedules and in associated text descriptions. The Follow-up Phase, when applicable, is supplementary after study completion. For relevant subjects, participation in the Follow-up Phase is encouraged but not required. No protocol deviations or violations are applicable during this phase. The Follow-up Phase is designed to be as low-burden and noninvasive as possible, in order to encourage participation by the affected subjects while still collecting minimal safety and efficacy data. Accordingly during the Follow-up Phase, suspected relapses do not prompt the additional breadth of assessments (as described for the Double-blind Phase above), but should prompt the additional frequency of assessments if relevant (ie, if PANSS scores indicate potential relapse, then PANSS assessments should be repeated 3 to 7 days later to confirm a relapse event).

9.2. Efficacy Evaluations

9.2.1. Qualified Raters

Only a qualified rater may administer the PANSS, CGI-S, and PSP scales. If possible, for a given subject, the same rater should administer the scales at all visits.

A qualified rater must be locally licensed to practice, alone or under supervision, in one of the following disciplines:

- Psychiatry (eg, MD or DO), or
- Senior Psychiatry Resident (eg, MD or DO) who fulfills the other requirements, or
- Psychology (eg, PhD), or
- Clinical specialty (at least a Master's degree; eg, MS or PhD) where patient care is a central component (eg, social work, counseling, psychology, nurse practitioner) and the practitioner is independently licensed.

In addition, the qualified rater must have had:

- Recent experience in performing PANSS ratings in psychiatry clinical studies,⁸ and
- At least 3 years of experience in evaluating patients with schizophrenia in an inpatient or outpatient setting, and
- Qualification training in performing PANSS assessments (per certification by the Sponsor) and CGI-S assessments (per training by the Sponsor), and
- Overall completion of the Sponsor's rater training.

9.2.2. Positive and Negative Syndrome Scale

Full PANSS

The neuropsychiatric symptoms of schizophrenia will be assessed using the 30-item PANSS scale,²⁰ which provides a total score (sum of the scores for all 30 items) and scores for 3 subscales: the 7-item positive-symptom (P) subscale, the 7-item negative-symptom (N) subscale, and the 16-item general-psychopathology symptom (G) subscale. Each item is rated on a scale from 1 (absent) to 7 (extreme). A trained clinician experienced in the treatment of subjects with schizophrenia will administer the PANSS. An example of a full PANSS is provided in the Manual of Assessments. A full PANSS score should be administered at the time points indicated in the Time and Events Schedules, and at any clinic visit associated with a suspected relapse; see Section 2.1.2.2 (Relapse Criteria). The full PANSS should be administered using the Structured Clinical Interview (SCI-PANSS) format, or using an equivalent structured interview format to be provided by the Sponsor.

Abbreviated PANSS

At some time points (indicated in the Time and Events Schedule), an abbreviated form of the PANSS will be used to assess for change in symptoms. An abbreviated PANSS consists of the positive-symptom items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/persecution), and P7 (hostility), and the general-psychopathology item G8 (uncooperativeness). If the abbreviated PANSS indicates worsening since the last full PANSS assessment or if the subject meets one or more symptom criterion for relapse, then the full PANSS should be administered (as the SCI-PANSS). For abbreviated PANSS assessments, raters should use the relevant questions from the SCI-PANSS or equivalent format.

9.2.3. Clinical Global Impression - Severity

The CGI-S is included in the Early Clinical Development Evaluation Unit Assessment Manual that was published by the US National Institute of Mental Health (NIMH).¹³ This study uses a version of the CGI-S that is slightly modified from the original (to be more specific to psychosis, not general for mental illness), as was done in the Sponsor's other studies.^{8,9} This modified

⁸ If a rater meets all criteria except the experience requirement, and is a subinvestigator at a study site where an investigator does meet the experience requirement, then the experience requirement can be waived for the subinvestigator, in order to facilitate training of raters.

CGI-S poses a single question to the investigator, to consider his or her total clinical experience with this particular population, and to rate the severity of the subject's psychotic disorder on a scale from 1 = not ill to 7 = extremely severe, as shown in the Manual of Assessments. A CGI-S score should be recorded at the time points indicated in the Time and Events Schedules, and at any clinic visit associated with a suspected relapse.

9.2.4. Personal and Social Performance Scale

The PSP scale assesses the degree of dysfunction a subject exhibits within 4 domains of behavior: (a) socially useful activities, (b) personal and social relationships, (c) self-care, and (d) disturbing and aggressive behavior. The results of the assessment are converted to a numerical score from 1 to 100 points, which can be interpreted in 10-point intervals as excellent functioning (91 to 100 points), good functioning (81 to 90 points), mild difficulties (71 to 80 points), etc, as shown in the Manual of Assessments. Scores from 31 to 70 points indicate varying degrees of difficulty, and scores below 30 points indicate functioning so poor that intensive support or supervision is needed.²⁹ Individual domain items of the PSP will be collected and recorded in the eCRF.

9.2.5. Satisfaction With Participation in Social Roles

The Patient-Reported Outcomes Measurement Information System (PROMIS) group developed and evaluated the Satisfaction With Participation in Social Roles Short Form 8a (SPSR) with funding from the US National Institutes of Health (NIH) and other academic and research grants.¹⁴ A study in a diverse clinical population demonstrated the SPSR's responsiveness to change.¹⁴ The SPSR asks subjects to consider the past 7 days and to rate 8 items on 5-point Likert scales, with higher scores representing higher satisfaction. An example of the SPSR is provided in the Manual of Assessments.

Note: For subjects that require translation of the SPSR into their local language, the collection of the SPSR is optional until the final, approved translation is available.

9.2.6. Abbreviated Treatment Satisfaction Questionnaire for Medication

The 9-item abbreviated Treatment Satisfaction Questionnaire for Medication (TSQM-9) was found to be a reliable and valid measure to assess treatment satisfaction in naturalistic study designs.⁵ Items are scored on 5- or 7-point Likert scales, with higher scores representing higher satisfaction. Subjects are asked to consider the time frame of the last 2 to 3 weeks, or since the last time the medication was used. An example of the TSQM-9 is provided in the Manual of Assessments.

9.3. Pharmacokinetic and Pharmacodynamic Evaluations

9.3.1. Pharmacokinetic Evaluations

Venous blood samples of approximately 4 mL will be collected to obtain approximately 2 mL of plasma, for measurement of plasma concentrations of paliperidone (and on selected samples, paliperidone palmitate) at the time points indicated in the Time and Events Schedules. During the study, the nominal sample collection times may be changed by the Sponsor with clear

communication to the investigator, but the total number of samples will not increase without a formal protocol amendment. The exact dates and times of blood sample collection must be recorded on the laboratory requisition form. Information about the collection, handling, and shipment of biological (PK) samples will be provided in a Laboratory Manual. Genetic analyses will not be performed on these PK samples. Subject confidentiality will be maintained.

The aim of the PK evaluations will be to characterize the time course of plasma paliperidone concentrations and PK parameters such as maximum and minimum plasma concentrations and timing. Therefore, 3 PK samples are scheduled weekly around the expected paliperidone peak at approximately 1 month after the PP6M dose, and 6 PK samples are scheduled weekly when approaching the end of the 6-month dosing interval. For paliperidone palmitate, PK evaluations will be performed on samples collected 2 days after the injections indicated in the Time and Events Schedules, to check for any prodrug in the bloodstream from possible partial intravascular injections.

In addition to PK sampling time points indicated in the Time and Events Schedules, sites should collect unscheduled PK samples associated with important efficacy or safety events, as follows:

- **If a relapse event occurs or is suspected**, as defined in Section 2.1.2.2 (Relapse Criteria), then a PK sample should be collected in association with this efficacy outcome.
- **If a serious or severe adverse event occurs**, then a PK sample should be collected in association with this safety outcome.
- **At the investigator's discretion**, an unscheduled PK sample related to an adverse event may be collected even if the event is not serious or severe.

To preserve the treatment blind, the results of any PK samples will not be revealed to the Sponsor or the study-site personnel (until use by the internal independent analyst for the Sponsor-blinded interim PK analysis and then by the Sponsor in the final analyses after database lock).

9.3.2. Analytical Procedures

Plasma samples will be analyzed to determine concentrations of paliperidone at all indicated time points (and paliperidone palmitate at 2 days after injections) using a validated, specific, and sensitive method (eg, liquid chromatography with tandem mass spectrometry), by or under the supervision of the Sponsor. If deemed necessary to explain the study results, drug concentrations may be determined for paliperidone palmitate (at time points in addition to 2 days after injections), for paliperidone enantiomers, or for other antipsychotics (such as risperidone).

9.3.3. Pharmacokinetic Parameters

Based on the individual plasma concentration-time data, using the actual dose taken and the actual sampling times, PK parameters and exposure information of paliperidone will be derived using population PK modeling. Baseline covariates (eg, body weight, age, sex, creatinine clearance, race) may be included in the model, if relevant. The PK analyses will be detailed in

one or more separate methods plans (outside the standard SAP) and one or more separate results reports (outside the standard Clinical Study Report [CSR]).

9.3.4. Pharmacodynamic Evaluations

Samples collected for PK evaluations may also be used for pharmacodynamic (PD) evaluations. For safety outcomes, pharmacokinetic-pharmacodynamic (PK-PD) relationships may be evaluated in terms of any potential clustering of adverse events around the time of the maximum plasma paliperidone concentration after a dose of PP6M. For efficacy outcomes such as relapse, PK-PD relationships may be evaluated, if appropriate. Any PK/PD analyses would be performed after database lock. The PK-PD analyses may be detailed in one or more separate methods plans (outside the standard SAP) and one or more separate results reports (outside the standard CSR).

9.4. Safety Evaluations

Any clinically relevant changes occurring during the study must be recorded on the Adverse Event section of the eCRF. Any clinically significant abnormalities persisting at the end of the study/early withdrawal will be followed by the investigator until resolution or until a clinically stable endpoint is reached.

The study will include evaluations of safety and tolerability as described below and according to the time points provided in the Time and Events Schedules.

9.4.1. Adverse Events

Adverse events will be reported by the subject (or, when appropriate, by a caregiver, surrogate, or the subject's legally acceptable representative) for the duration of the study. Adverse events will be followed by the investigator as specified in Section 12 (Adverse Event Reporting).

9.4.2. Clinical Laboratory Tests

Blood samples for serum chemistry and hematology and urine samples for urinalysis will be collected. The investigator must review the laboratory results, document this review, and record any clinically relevant changes occurring during the study in the adverse event section of the eCRF. The laboratory reports (except for prolactin results, which are blinded to study-site personnel and Sponsor) must be filed with the source documents.

The tests below will be performed by the central laboratory. For full panels, subjects should be in fasted state overnight or for at least 8 hours (and nonfasting exceptions should be noted). For the prolactin-only samples, fasting is not required. Information about the collection, handling, and shipment of biological (laboratory test) samples will be provided in a Laboratory Manual.

- **Hematology Panel**

- | | |
|------------------|---|
| - hemoglobin | - red blood cell count |
| - hematocrit | - white blood cell count with differential |
| - platelet count | - hemoglobin A1c (only during the Screening Phase and the Double-blind Phase) |

- **Serum Chemistry Panel**

- | | |
|------------------------------|-----------------------------|
| - sodium | - bilirubin |
| - potassium | - alkaline phosphatase |
| - chloride | - creatine phosphokinase |
| - bicarbonate | - lactic acid dehydrogenase |
| - blood urea nitrogen | - uric acid |
| - creatinine | - calcium |
| - glucose | - phosphate |
| - aspartate aminotransferase | - albumin |
| - alanine aminotransferase | - total protein |
| - gamma-glutamyltransferase | - magnesium |

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- prolactin, which will be blinded to the study-site personnel and Sponsor; some samples will be for prolactin only (not the other analytes listed above), as designated in the Time and Events Schedules.
- thyroid stimulating hormone.

- **Urinalysis**

- Dipstick

- specific gravity
- pH
- glucose
- protein
- blood*
- ketones
- bilirubin
- urobilinogen
- nitrite*
- leukocyte esterase*

- Sediment (performed if dipstick result is abnormal)

- red blood cells
- white blood cells
- epithelial cells
- crystals
- casts
- bacteria
- any other findings

*If the dipstick result is abnormal, then flow cytometry or microscopy will be used to measure sediment. In case of discordance between the dipstick results and the flow cytometric results, the sediment will be examined microscopically.

- **Additional Tests**

For women of childbearing potential, highly sensitive serum pregnancy testing (for β -human chorionic gonadotropin) will be conducted during the Screening Phase, and urine pregnancy tests will be provided for local testing during the subsequent phases.

To facilitate confirmation of postmenopausal status as described in Section 4.1 (Inclusion Criteria), study-site personnel may order an FSH test if desired (per clinical judgment). For postmenopausal status, the FSH test can only be confirmatory, and cannot replace the associated requirement for 12 months of amenorrhea.

Urine drug screen kits (for illicit substances, including marijuana, even where legal) and alcohol breath tests will be provided for local use at the time points specified in the Time and Events Schedules. For any subject with a positive result for alcohol or illicit substances, the study-site personnel should administer the relevant test again at subsequent visits (even if not marked in the Time and Events Schedules) until a negative result is obtained. After a negative result is obtained, the subject can resume testing at the standard frequency as indicated in the Time and Events Schedules. These tests should also be administered whenever a relapse is suspected. Alcohol and illicit substances are strongly discouraged but are not exclusionary and are not cause for withdrawal from the study.

9.4.3. Electrocardiograms

Specific procedures for the 12-lead ECGs will be provided in a separate manual. Blinded cardiologists at a central ECG laboratory will read all ECGs in this study.

During the collection of ECGs, subjects should be in a quiet setting without distractions (eg, television, cell phones). Subjects should rest in a supine position for at least 5 minutes before ECG collection and should refrain from talking or moving arms or legs. If blood sampling or vital sign measurement is scheduled for the same time point as ECG recording, then the procedures should be performed in the following order: ECGs, vital signs, blood draw.

During the Screening Phase, the 2 required ECGs should be recorded at least 24 hours apart. The first ECG may be from prior medical record within the past year. The second ECG should be scheduled in a timely manner to ensure the cardiologist-read report is available before Visit 2; therefore, the Time and Events Schedules show the Screening Phase to extend through Day -2 (not Day -1), to allow at least a day for ECG reading and reporting. On Day 1 of the relevant phase for the subject (ie, the first day of the Transition Phase for subjects without prestudy PP1M or PP3M stability, or the first day of the Maintenance Phase for subjects with prestudy PP1M or PP3M stability), a third ECG should be recorded and compared against the exclusion criteria before the first dose of study medication is administered; see Section 4.2 (Exclusion Criteria).

If any clinically significant ECG abnormality is observed during the study, then the study-site personnel should add ECG assessments for that subject at all subsequent visits (even if not marked in the Time and Events Schedules) until the abnormality is resolved.

A printout of all ECG recordings must be filed in the source document. Any clinically relevant changes that occur during the study must be recorded on the Adverse Event section of the eCRF.

9.4.4. Vital Signs

Vital signs include temperature, pulse/heart rate, respiratory rate, and blood pressure. Vital signs should be recorded before any invasive tests, such as blood draws. Blood pressure and pulse/heart rate measurements should be preceded by at least 5 minutes of rest in a quiet setting without distractions (eg, television, cell phones).

At each scheduled time point, blood pressure and pulse/heart rate measurements will be assessed twice (first after at least 5 minutes rest in supine position and then after 2 minutes standing, if possible) with a completely automated device. Pulse/heart rate will be measured each time for a full minute to minimize the effects of variability. The automated device should consist of an inflatable cuff and an oscillatory detection system. All values should be registered on a built-in recorder so that measurements are observer-independent. Manual techniques will be used only if an automated device is not available. Whether automated or manual, appropriately-sized blood pressure cuffs should be used for accurate reading of blood pressure. The same arm should be used for both supine and standing measurements.

If a subject is unable to stand up or is unable to remain standing for 2 minutes as a result of symptoms of orthostatic hypotension, then the blood pressure should be measured immediately after standing is discontinued, while the subject is in a sitting or supine position. Attendants should protect subjects from falling during the evaluations.

All vital sign measurements will be recorded on the eCRF.

9.4.5. Physical Examinations

Physical examinations at the time points designated on the Time and Events Schedules include weight, waist circumference, and abnormalities. Height should also be measured at screening only, in order to facilitate calculations of BMI (weight/height² as kg/m²).

9.4.6. Extrapyramidal Symptoms

The scales to assess EPS will be the AIMS for dyskinesia, the BARS for akathisia, and the SAS for parkinsonism, at the visits noted in the Time and Events Schedules. Particular attention is given to assessing EPS around the expected timing of the maximum plasma paliperidone concentration after a PP6M dose.

In addition to scheduled AIMS, BARS, and SAS assessments, unscheduled assessments of these scales should be conducted before initiating or changing any antiparkinsonism drugs (all 3 scales) or any beta-adrenergic blockers or benzodiazepines for akathisia (BARS only), as described in Section 8.2 (Concomitant Therapy).

9.4.6.1. Abnormal Involuntary Movement Scale

The AIMS is included in the Early Clinical Development Evaluation Unit Assessment Manual from the US NIMH.¹³ The AIMS rates 9 items about dyskinesia on scale as 0 = none, 1 = minimal, 2 = mild, 3 = moderate, and 4 = severe. It rates 1 item about the subject's awareness of abnormal movements as 0 = no awareness; 1 = aware, no distress; 2 = aware, mild distress;

3 = aware, moderate distress; and 4 = aware, severe distress. It has 2 yes/no questions about dental status. An example of the AIMS is provided in the Manual of Assessments.

9.4.6.2. Barnes Akathisia Rating Scale

The BARS assesses akathisia via 1 objective rating and 2 subjective ratings (awareness of restlessness and reported distress related to restlessness); each is scored from 0 to 3 points.³ It also assesses akathisia via 1 global clinical rating scored from 0 to 5 points. For all items, anchors are provided for each value and higher scores indicate worse akathisia. An example of the BARS is provided in the Manual of Assessments.

9.4.6.3. Simpson Angus Scale

The SAS is led by signs (rather than by symptoms) to measure drug-induced parkinsonism.³⁴ This study uses a version of the SAS that is slightly modified from the original (where the "head dropping" item was changed to "head rotation," to avoid injury to the cervical spine), as was done in the Sponsor's other studies.^{8,9} This modified SAS contains 10 items: 6 items for rigidity (arm dropping, shoulder shaking, elbow rigidity, wrist rigidity, leg pendulousness, and head rotation); 1 compound item for gait (incorporating gait, posture, and loss of arm swing), and 3 items for tremor, glabellar tap, and salivation. An example of the SAS is provided in the Manual of Assessments.

9.4.7. Columbia Suicide Severity Rating Scale

The C-SSRS is a low-burden measure of the spectrum of suicidal ideation and behavior that was developed in a US NIMH study to assess severity and track suicidal events through any treatment, and is the prospective counterpart to the system developed by Columbia University investigators for the US FDA in their analysis of the association between suicidality and medication.³¹ The C-SSRS is a clinical interview providing a summary of both ideation and behavior that can be administered during any evaluation or risk assessment to identify the level and type of suicidality present. It can also be used during treatment to monitor for clinical worsening. The C-SSRS Baseline Version assesses suicidal behavior and ideation over a lifetime, and the C-SSRS "Since Last Visit" Version assesses those parameters over an interval. The appropriate version of the C-SSRS should be administered at the time points indicated in the Time and Events Schedules, and at any clinic visit associated with a suspected relapse. Examples of the C-SSRS (Baseline/Screening Version and "Since Last Visit" Versions) are provided in the Manual of Assessments.

9.4.8. Evaluations of the Injection Site

9.4.8.1. Injection Site Evaluations by Subjects

The VAS to measure pain has been widely used in diverse adult populations.¹⁵ The VAS is a continuous scale on a horizontal or vertical line, usually 100-mm long, and anchored by 2 verbal descriptors (1 for each symptom extreme).¹⁵ The instructions, time period for reporting, and verbal descriptor anchors have varied widely in the literature depending on the intended use of the scale.¹⁵ In some settings, test-retest reliability and ability to detect change have been demonstrated.¹⁵

In this study, subjects will be asked about the pain associated with the injection by means of a 100-mm VAS, scaled from "no pain at all" to "unbearably painful." (Similar VAS assessments were used in previous studies of PP3M.^{8,9}) The VAS-Acute will assess pain once within 30 minutes after each injection. The VAS-Residual will assess pain at the time points days or weeks later as indicated in the Time and Events Schedules; the subject does not complete a VAS at the End-of-Phase Visit. The VAS is scored by measuring the distance (in millimeters) from the left (indicating no pain) to the place mark made by the subject.

9.4.8.2. Injection Site Evaluations and Follow-up by Investigators

Investigators or subinvestigators (but not other study-site personnel) will evaluate the injection sites for tenderness, erythema/redness, and induration/swelling, at the same time points as the VASs completed by the subject, plus at the End-of-Study Visit or at the time of early withdrawal. The characteristics will be scored as 0 = absent, 1 = mild, 2 = moderate, or 3 = severe, in accordance with the anchor points that are provided in the Manual of Assessments. For erythema/redness, a score of 0 is used for a measurement of <2.5 cm, a score of 1 is used for 2.5-5 cm, a score of 2 is used for 5.1-10 cm, and a score of 3 is used for >10 cm. Two dimensions of induration/swelling are assessed: measurement and impact on function. The dimension yielding the higher score will be the one selected for this assessment. Measurement scores are the same as those used for erythema/redness (ie, 0 = <2.5 cm, 1 = 2.5-5 cm, 2 = 5.1-10 cm, 3 = >10 cm). Functional scores are as follows: 0 and 1 = no interference with the subject's usual activities, 2 = interferes with (but does not prevent) one or more of the subject's usual activities, 3 = prevents one or more of the subject's usual activities. Tenderness ratings are as follows: 0 = no tenderness, 1 = mild discomfort to touch, 2 = discomfort with movement, 3 = significant discomfort at rest. The scales and anchors are a hybrid from the Sponsor's previous studies of PP3M,^{8,9} and from a US FDA guidance.^h The results will be recorded on the eCRF. The investigator/subinvestigator should complete these assessments within 30 minutes after the injection and at all visits marked in the Time and Events Schedules thereafter; for any characteristic still rated mild, moderate, or severe at the last marked visit, the investigator/subinvestigator should add assessments at subsequent visits (even if not marked) until all of the characteristics are rated absent. Clinical sites should make efforts to have the same individual perform all injection site evaluations for a particular subject. This individual should not review the subject's VAS rating of the injection site pain.

^h US FDA. Guidance for industry: toxicity grading scale for healthy adult and adolescent volunteers enrolled in preventive vaccine clinical trials. www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/Vaccines/ucm091977.pdf. Issued September 2007. Accessed 14 April 2017.

If a subject has an injection site adverse event that is rated as moderate or severe (see Section 12.1.3 [Severity Criteria]) and that is accompanied by objective findings (eg, tenderness, erythema/redness, and induration/swelling), then the clinical site should perform or refer for ultrasonography of the injection site and should refer the subject to a specialist for further evaluation.

- For ultrasonography, the goal is to identify phlegmonous processes that might evolve to overt abscesses of the gluteus and to differentiate real granulomatous reactions from less relevant topical reactions.
- For referrals, considerations are as follows:

Suspected cellulitis or abscess should be referred to a dermatologist or surgeon for consideration of incision and drainage procedure along with tissue microbiological samples.

Nodule, fibroma, furuncle or other noninfectious reaction with a severity assessment of either moderate or severe should be referred to a dermatologist or surgeon for consideration of fine needle aspiration and/or tissue biopsy.

The investigator should follow any clinically significant abnormalities persisting at the end of the study until resolution or until reaching a clinically stable endpoint.

9.5. Benefit-risk Evaluations

Evaluations already defined for efficacy and safety will be used for the benefit-risk assessment. Benefit will be evaluated as described in Section 2.1.2.2 (Relapse Criteria), and will include relapse per all causes, relapse per psychiatric hospitalization, or relapse per PANSS total score. Risk will be evaluated as described in Section 9.4 (Safety Evaluations), and will include the following: adverse events overall; adverse events of special interest (eg, from the list in Section 1.1 [Background]); adverse events that are serious or that lead to discontinuation; clinical laboratory tests (for risk of hyperprolactinemia or hyperglycemia); ECGs (for risk of QTcF increase >60 milliseconds); and physical examinations (for risk of weight gain $\geq 7\%$). The benefit-risk evaluations may also include other unexpected clinically relevant adverse events that occur during the Double-blind Phase, or that are a consequence of a pre-existing condition that has worsened since the start of the Double-blind Phase.

9.6. Other Exploratory Evaluations

9.6.1. Healthcare Resource Utilization Questionnaire

The Healthcare Resource Utilization (HRU) questionnaire was designed to assess utilization of the following resources: hospitalization (refers to ≥ 1 night stay), emergency room visits without hospitalization, day or night clinic stays, outpatient treatment, as well as daily living conditions and productivity of the subject.²⁴ The questionnaire will be used in this study as an exploratory tool and has been modified with recall periods appropriate to the study. Study-site personnel will administer the questionnaire. If possible, for a given subject, the same person should administer this scale at all visits. The subject will be the primary provider of the information, but additional outside information should also be included as available, including information from any caregivers. Any resource utilization that is required by the protocol should not be captured on the

questionnaire. Examples of the HRU questionnaires (baseline assessment and postbaseline assessment) are provided in the Manual of Assessments.

9.6.2. Involvement Evaluation Questionnaire

The Involvement Evaluation Questionnaire (IEQ) was designed to measure levels of caregiver consequences among family members and friends of subjects with schizophrenia.³⁵ The version of the IEQ to be used in this study will contain 5 questions about the demographics of the caregiver, and will pose the 31 standard items in the IEQ, and will exclude the "supplementary" questions. The 31 standard questions are answered on 5-point Likert response scales to address consequences among 4 dimensions (tension, supervision, worrying, and urging). Data are available to support its reliability.³⁵ The IEQ should be completed by a designated caregiver for the subject. The designated caregiver who will be completing the questionnaire is someone who is mutually agreed upon between the subject and the investigator, should not be a paid caregiver but may be a family member, significant other, or friend who provides at least 1 hour of support to the subject per week. The same individual should complete the questionnaire throughout the study and should attend study visits when the IEQ is scheduled to be completed. In order to support the interpretation of the data and further explore the impact of caregiving, supplemental questions about the sociodemographics, time spent caregiving, and health care utilization of the caregiver will be collected from the designated caregiver at the times the IEQ is administered according to the Time and Events Schedules. This IEQ may be completed by mail or telephone or may be performed one month before or after the visit, based on availability of the caregiver. If a subject has no caregiver providing at least 1 hour of support per week, then the IEQ may be omitted. Examples of the IEQ (baseline assessment and postbaseline assessment) are provided in the Manual of Assessments; along with the comments about the IEQ recall periods.

9.6.3. Concomitant Substances Questions

Use of nicotine will be questioned as indicated in the Time and Events Schedules. Examples of the questions are provided in the Manual of Assessments.

9.6.4. Illness Management and Recovery Scale

The Illness Management and Recovery (IMR) scale (client self-report version) for schizophrenia and other severe mental illnesses was developed as part of the US National Implementing Evidence-based Practices Project and is made available by the US Substance Abuse and Mental Health Services Administration.³⁰ The IMR scale asks subjects to consider the past 3 months and to rate 15 items about personal goals, knowledge of mental illness, involvement with significant others, impaired functioning, symptoms, stress, coping, relapse prevention, hospitalization, medication, and use of drugs and alcohol. The response range for each item is from 1 to 5 points, with higher scores indicating better outcomes. An example of the IMR scale (client self-report version) is provided in the Manual of Assessments. This study uses a version of the IMR that is slightly modified from the original, to make the recall periods more appropriate to the study.

9.6.5. Schizophrenia Quality of Life Scale (Revision 4)

The Schizophrenia Quality of Life Scale (SQLS) was initially developed using items generated from in-depth patient interviews (ie, the perspective of patients was used to develop the SQLS).²⁷ Thereafter, the SQLS was further developed and revised to improve its psychometric properties. The most recent version (Revision 4) has been translated into dozens of languages through standardization procedures.²²

The SQLS (Revision 4) has 33 items covering topics such as psychosocial feelings and vitality. Response options are never, rarely, sometimes, often, or always. Scoring algorithms yield a 0 to 100 scale, with higher scores indicating lower quality of life. The factor structure and internal reliability have been verified in patients with schizophrenia in the Netherlands and further replicated in patients with schizophrenia in the United Kingdom and Taiwan.^{22,27}

An example of the SQLS (Revision 4) is provided in the Manual of Assessments.

10. SUBJECT COMPLETION / DISCONTINUATION OF STUDY DRUG / WITHDRAWAL FROM THE STUDY

An End-of-Phase Visit (as outlined in the Time and Events Schedules) may be conducted as an Early Withdrawal Visit if a subject is unable to complete a phase before reaching randomization, or unable to progress between phases before reaching randomization, or unable to complete the Double-blind Phase. An End-of-Phase Visit (as outlined in the Time and Events Schedules) may be conducted as an End-of-Study Visit if a subject is completing the Double-blind Phase (see Section 10.1 [Completion]).

10.1. Completion

A subject will be considered as having completed the study if he or she has had a relapse during the Double-blind Phase and has completed all End-of-Study Visit assessments, or has remained relapse-free during the Double-blind Phase and has completed all End-of-Study Visit assessments. The definition for completing the Double-blind Phase is the same as for completing the study. The Follow-up Phase, when applicable, is supplementary after study completion.

10.2. Discontinuation of Study Drug / Withdrawal From the Study

Discontinuation of Study Drug

A subject will not be automatically withdrawn from the study if he or she discontinues treatment before the end of the treatment regimen. A subject's study drug must be discontinued if the investigator believes that for safety reasons or tolerability reasons (eg, adverse event) it is in the best interest of the subject to discontinue study drug, or if the subject becomes pregnant.

- If a subject discontinues after receiving at least 1 dose of open-label study drug but before receiving any double-blind study drug, then End-of-Study Visit assessments should be obtained and no Follow-up Phase is applicable.
- If a subject discontinues after receiving at least 1 dose of double-blind study drug, then:

If possible, the scheduled assessments (including PK samples) should be continued through the relevant 6-month cycle of assessments (Visits 7 to 20, or Visits 20 to 33) - If the subject has not completed at least 1 year of the Double-blind Phase, then either:

- The subject may, if preferred, continue with assessments (but without study drug administration) until Visit 33a (the End-of-Study Visit), or
- The subject may, if preferred, complete Visit 20 as an End-of-Study Visit, and then enter the Follow-up Phase until the full year of data have been collected after the first double-blind injection.

In any case, the last assessment of the relevant 6-month cycle (ie, Visit 20 or 33) should be conducted as an End-of-Study Visit.

- If it is not feasible for scheduled assessments to be continued through the relevant 6-month cycle of assessments, then End-of-Study assessments should be obtained as soon as possible. The subject should then, if willing, enter the Follow-up Phase until 12 months of data have been collected after the first double-blind injection.

Withdrawal From the Study

A subject will be withdrawn from the study for any of the following reasons:

- Lost to follow-up.
- Withdrawal of consent.
- The blind is broken by the investigator.
- Treatment with concomitant antipsychotic medication when relapse criteria are not met but, in the investigator's judgment, a concomitant antipsychotic medication is necessary for the subject's wellbeing, and viable alternatives are either not available or have been exhausted.
- The subject fails to meet criteria for entry to Maintenance Phase.
- The subject fails to meet criteria for entry to Double-blind Phase.
- For subjects in the Transition Phase:
 - If the dose at Visit 2e is different than the dose at Visit 2d.
 - If the dose at Visit 2d or 2e is <100 mg eq.
 - If the dose is 50 mg eq. at any time.
- For subjects in the Maintenance Phase:
 - If the dose at Visit 2f is different from the preceding dose or is not a dose equivalent of the preceding dose.

When a subject withdraws before completing the study, the reason for withdrawal is to be documented in the eCRF and in the source document. Study drug assigned to the withdrawn subject may not be assigned to another subject. Subjects who withdraw will not be replaced.

- If a subject withdraws from the study after receiving at least 1 dose of open-label study drug but before receiving any double-blind study drug, then End-of-Study Visit assessments should be obtained if the subject is willing to complete that visit.
- If a subject withdraws from the study after receiving at least 1 dose of double-blind study drug but before the end of the Double-blind Phase, then End-of-Phase Visit / Early Withdrawal Visit assessments should be obtained if the subject is willing to complete that visit, even if the subject is withdrawing consent to complete any other visits. Thereafter:

If the subject is withdrawing consent, then the subject's study participation is complete.

If the subject is withdrawing for a reason unrelated to consent, then the subject should enter the Follow-up Phase.

Loss to Follow-up

If a subject is lost to follow-up, every reasonable effort must be made by the study-site personnel to contact the subject and determine the reason for discontinuation/withdrawal. The measures taken to follow up must be documented.

10.3. Antipsychotic Therapy After the Study or in the Follow-up Phase

Open-label Treatment: Poststudy

If a subject discontinues or withdraws from the study in the Transition Phase or Maintenance Phase, then he or she may be considered for poststudy treatment with PP1M, PP3M, or another LAI antipsychotic at an interval appropriate to the open-label identity of the last study dose, as described in the approved prescribing information. Oral antipsychotic medications, if appropriate, may be restarted regardless of the timing of the last study injection. However, consideration should be given to the long-acting nature of paliperidone palmitate when the oral antipsychotic medication and dose(s) are selected. At the End-of-Phase Visit / Early Withdrawal visit and thereafter, the poststudy treatment after open-label treatment is at the discretion of the subject's physician; the study no longer follows these subjects.

Double-blind Treatment: Poststudy or Follow-up Phase

After discontinuation, withdrawal, or completion of the study in the Double-blind Phase, investigators/treating physicians may choose to continue treatment with paliperidone palmitate, switch to treatment with a different LAI antipsychotic treatment, or switch to oral antipsychotic treatment. Treatment selection is at the discretion of the subject's physician and is not a study medication. However, given the double-blind conditions and durations of activity expected from PP6M and PP3M, recommendations (not requirements) for poststudy or Follow-up Phase treatment schedules are provided in [Figure 5](#) and [Table 7](#) and [Table 8](#) below.

Figure 5: Post Study Medication Algorithm

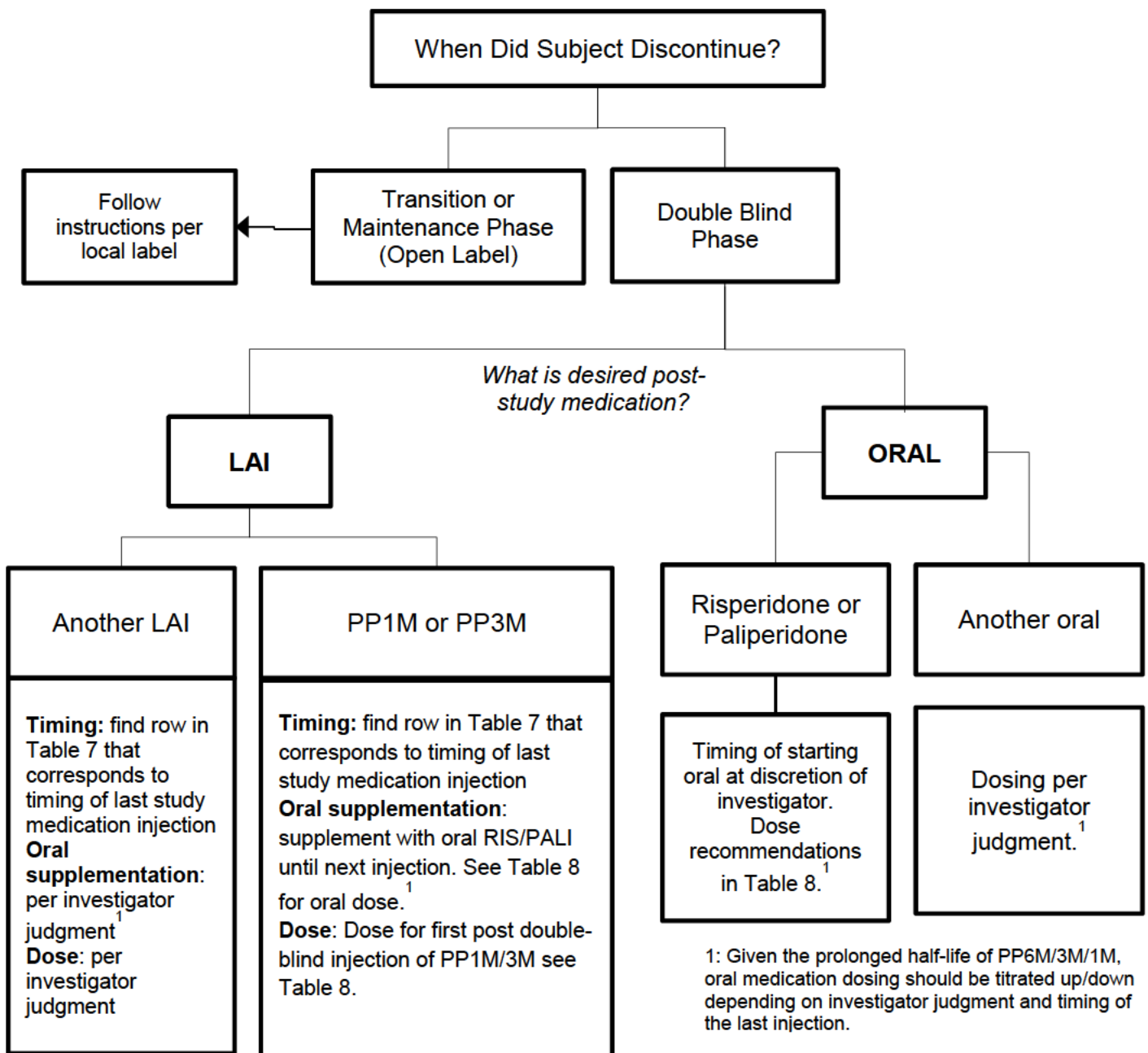


Table 7: Timing of Resumption of PP1M/3M after the Double-blind Study or in Follow-Up Phase

Visit #	Day ¹	Study Medication Injection	Time to wait until next PP1M/PP3M injection (days) ²	Visit #	Day ¹	Study Medication Injection	Time to wait until next PP1M/PP3M injection (days) ²
7b	1	PP6M or PP3M	180	21	185		178
8	3		177	22	204		159
9	22		158	23	211		152
10	29		151	24	218		145
11	36		144	25	242		121
12	60		120	26	274	Placebo or PP3M	89
13	92	Placebo or PP3M	88	27	302		61
14	120		60	28	330		33
15	148		32	29	337		26
16	155		25	30	344		19
17	162		18	31	351		12
18	169		11	32	358		5
19	176		4	33	365		0
20	183	PP6M or PP3M	0	Footnotes: 1: Does not include visit window 2: Timing of resumption of oral antipsychotic may be at any time depending on investigator judgment			

Table 8: Switching Conversion Table (Oral and LAI Paliperidone and Oral Risperidone)

	PP1M	PP3M	PP6M	Oral Paliperidone	Oral Risperidone
Moderate Dose Group:	100 mg eq.	350 mg eq.	700 mg eq.	9 mg/day	3-4 mg/day
Higher Dose Group:	150 mg eq.	525 mg eq.	1000 mg eq.	12 mg/day	5-6 mg/day
Footnote: this provides a suggested starting dose of oral medications. The timing of the last injection must be taken into account, and oral dose adjusted as clinically warranted.					

10.4. Process for Planned Study Closure

The calendar date of the end of Study R092670PSY3015 will be determined when a sufficient number of subjects have been randomized to the Double-blind Phase. To ensure that subjects can be assessed for 6 months after their last potential PP6M injection but cannot have End-of-Study Visits after the calendar date that marks the end of the study, subjects will not receive injections that are potentially PP6M after the last subject randomized receives his or her last potential PP6M injection. The process for determining the calendar date of the end of the study and further explanation of the timing of End-of-Study Visits is described below.

Throughout the study, the Sponsor will monitor the number of subjects in the Transition, Maintenance, and Double-blind Phases. The Sponsor will notify all sites to close screening and

enrollment activities when an adequate number of subjects have been enrolled to achieve the target number (549 randomized) needed for participation in the Double-blind Phase. Subjects already participating in the Transition and Maintenance Phases will continue to progress through the study as described in the Time and Events Schedules, including progress into the Double-blind Phase if eligibility criteria are met as described in Section 4.4 (Criteria for Entry Into the Double-blind Phase). Study R092670PSY3015 will conclude when the last subject to be randomized and remain in the study completes 12 months of the Double-blind Phase, which will be marked by completion of Visit 33a

During the Double-blind Phase, only the odd-numbered (ie, 1st, 3rd) injections are potentially PP6M.

11. STATISTICAL METHODS

Statistical analysis will be done by the Sponsor or under the authority of the Sponsor. A general description of the statistical methods to be used to analyze the efficacy and safety data is outlined below. Specific details will be provided in the SAP.

11.1. Subject Information

The populations for efficacy analyses, the double-blind intent-to-treat analysis population and the per-protocol analysis population, are described in Section 11.3 (Efficacy Analyses). Other analysis populations will be defined in a manner similar to a previous study of PP3M,⁸ and may include sets such as the following: the open-label intent-to-treat analysis population, the all randomized analysis population, and the safety analysis population. Full details will be provided in the SAP.

11.2. Sample Size Determination

The sample size for the Double-blind Phase of the study is 549 randomized subjects, based on determinations to provide a minimum of 80% power for the primary endpoint. The sample size determination includes the assumptions that the expected survival rate (percentage of subjects remaining relapse-free at 12 months) in the PP3M group is 85%, and that the 1-sided significance level should be 2.5%. Given these assumptions, 549 subjects randomized in a 2:1 ratio (PP6M:PP3M) are required to demonstrate with 80% power that PP6M is no worse than PP3M by a noninferiority margin of 10% for the percentage of subjects remaining relapse-free at 12 months. This assumes that the efficacy observed in the PP3M group will be similar to the efficacy observed in the previous PP3M registrational Phase 3 studies (R092670PSY3011 and R092670PSY3012). The noninferiority margin of 10% and statistical methods for this analysis were selected based on the Sponsor's previous studies and on advice from experts and health authorities, as follows:

- In the Sponsor's previous placebo-controlled relapse prevention studies with oral paliperidone ER/PR, PP1M, and PP3M,^{9,18,21} a Kaplan-Meier estimate indicated that the meta-analytic estimate of treatment benefit of paliperidone over placebo was 42.1% (95% confidence interval: 28.4% to 55.8%).¹⁹

- A panel of experts in the field of schizophrenia relapse prevention studies was convened to obtain recommendations for the clinical noninferiority margin to be used in this study. Using a modified Delphi approach, the mean value obtained after anonymous voting for the noninferiority margin was 13.4% (median 13.0%; range 10% to 20%).¹⁹ This clinical judgment based on expert opinion thus provided guidance on the largest loss of efficacy that could be considered clinically acceptable; in accordance with the relevant US FDA guidance,ⁱ the maximum was therefore set at 13.0%.¹⁹
- Prior scientific advice from the Committee for Medicinal Products for Human Use had recommended a noninferiority margin of 10%.¹⁹
- For all of the above reasons, an effect of 10% was chosen as the margin.

Further details are available in a separate statistical support document.¹⁹

The study design assumes discontinuation rates during the Transition and Maintenance Phases of 20% for subjects who entered the study with previous PP1M or PP3M stability and 40% for subjects who entered the study without previous PP1M or PP3M stability. The study design also assumes a dropout rate of 10% during the Double-blind Phase (where the dropout rate also accounts for subjects excluded due to protocol violations). Given these assumptions for discontinuation, the study targets approximately 840 subjects to enter the Transition/Maintenance Phase.

11.3. Efficacy Analyses

11.3.1. Primary Hypothesis and Efficacy Analyses

The primary hypothesis is that the efficacy of PP6M is noninferior to PP3M for preventing relapse in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M or PP3M.

11.3.1.1. Double-blind Intent-to-Treat Analysis Population

11.3.1.1.1. Primary Estimand

1. The *population* is restricted to those who are stabilized on either PP1M or PP3M during the Maintenance Phase and meet the inclusion/exclusion criteria.
2. The *variable* is time to a relapse event, and will be defined during the Double-blind Phase if the subject experiences a relapse during the Double-blind Phase.
3. The *intercurrent effects* are none, regardless of whether or not major protocol deviations had occurred.
4. The *population-level summary* is the difference in Kaplan-Meier estimate at Month 12 of relapse-free proportions between the 2 treatment groups.

ⁱ US FDA. Non-inferiority clinical trials to establish effectiveness: guidance for industry. www.fda.gov/downloads/drugs/guidancecomplianceregulatoryinformation/guidances/ucm202140.pdf. Issued November 2016. Accessed 15 May 2017.

11.3.1.1.2. Primary Efficacy Analyses

All subjects who receive at least 1 dose of study drug during the Double-blind Phase will be included in the double-blind intent-to-treat population. The primary endpoint is time to relapse in the Double-blind Phase, as described in Section 2.1.2.1 (Primary Endpoint). Subjects who meet at least 1 of the criteria for relapse (see Section 2.1.2.2 [Relapse Criteria]) during the Double-blind Phase at the time of study completion for the primary analysis will be considered to have had a relapse event. Subjects who do not have a relapse event in the Double-blind Phase will be considered as censored. The statistics to test the primary hypothesis are based on the percentage of subjects who remain relapse-free at Month 12 in the PP6M and PP3M groups per Kaplan-Meier estimate for the Double-blind Phase. The analysis will consider whether the lower limit of the 95% confidence interval of the difference in relapse-free rates between PP6M and PP3M exceeds the noninferiority margin of -10%. The standard error estimates will be based on Greenwood's formula. The null and alternative hypotheses use a 1-sided $\alpha=0.025$ level, and are written as follows:

Null Hypothesis: $(\% \text{ relapse-free})_{\text{PP6M}} - (\% \text{ relapse-free})_{\text{PP3M}} \leq -10\%$

Alternative Hypothesis: $(\% \text{ relapse-free})_{\text{PP6M}} - (\% \text{ relapse-free})_{\text{PP3M}} > -10\%$

11.3.1.1.3. Sensitivity Analyses for Primary Efficacy

Additional sensitivity analyses with the double-blind intent-to-treat population will be performed to evaluate impact of censoring for subjects who withdraw from the Double-blind Phase before Month 12 by using the data collected from the Follow-up Phase. Consistency of effect across various geographic regions will also be evaluated.

11.3.1.2. Per-protocol Analysis Population

11.3.1.2.1. Estimand

The primary efficacy analysis will also be performed on the per-protocol analysis population. The estimand of the per-protocol analysis population is defined by the following components:

1. The *population* is restricted to those who are stabilized on either PP1M or PP3M during the Maintenance Phase and meet the inclusion/exclusion criteria.
2. The *variable* is time to first occurrence of a relapse event, and will be defined if a subject experiences a relapse during the Double-blind Phase.
3. The *intercurrent events* are major protocol violations that may impact efficacy; these include errors in treatment assignment, errors in the delivery of active medication, or use of prohibited medications.
4. The *population-level summary* is the difference in Kaplan-Meier estimate at Month 12 of relapse-free proportions between the 2 treatment groups.

11.3.1.2.2. Analyses

The analysis performed on the per-protocol analysis population will be based on the comparison between 2 treatment groups in subjects without the aforementioned intercurrent events; that is, in

randomized subjects who receive at least 1 dose of study drug during the Double-blind Phase, and do not have major protocol violations that may impact efficacy such as errors in the delivery of active treatment, errors in treatment assignment, or use of prohibited medication. The noninferiority analysis with 10% noninferiority margin as specified in Section 11.3.1.1 (Double-blind Intent-to-Treat Analysis Population) will be repeated on the per-protocol analysis population.

11.3.2. Secondary Efficacy Analyses

Clinically assessed secondary efficacy analyses include maintaining symptom control, functioning personally and socially, and achieving remission in each group (PP6M or PP3M, each sorted by dose level). The secondary efficacy analyses will be conducted using the double-blind intent-to-treat analysis population.

- **Maintaining Symptom Control:** For the Double-blind Phase, analysis of change in PANSS total and factor scores and in the CGI-S scores will be made based on a mixed model for repeated measurement analysis that will include a random effect for subject and fixed effects terms for treatment, visit (as a categorical variable), country, baseline score, and treatment-by-visit interaction. For the Maintenance Phase, descriptive summaries (sorted by PP1M or PP3M dose groups) will also be provided.
- **Functioning Personally and Socially:** Analyses of the PSP scores will be similar to the analyses for maintaining symptom control, as described in the bullet above.
- **Achieving Remission:** For single observations, transitory symptomatic remission is defined as having a simultaneous score of mild or less (≤ 3 points) on the following 8 items from the PANSS: the positive-symptom items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior); the negative-symptom items N1 (blunted affect), N4 (social withdrawal), and N6 (lack of spontaneity); and the general-psychopathology items G5 (mannerisms/posturing) and G9 (unusual thought content).² For multiple observations, durable symptomatic remission is defined as meeting those remission criteria for a 6-month period.² For each treatment group, the number and percentage of subjects achieving durable symptomatic remission at the end of the Double-blind Phase will be presented. The point estimate and 2-sided 95% confidence interval will be provided for the relative risk using a Mantel-Haenszel test controlling for country. The count and percentage of remission status at each Double-blind Phase outcome time point will be presented by treatment group for subjects who were in remission at Double-blind Phase baseline. Point estimates and 2-sided 95% confidence interval will also be provided for subjects meeting the remission criteria at each time point (including at the end of the study). Time to remission and maintenance of remission for longer than the 6-month period may also be assessed.

Subject-reported secondary efficacy analyses (ie, of the SPSR and the TSQM-9 outcomes) may be detailed in a separate report (outside the standard SAP and CSR).

11.4. Pharmacokinetic and Pharmacodynamic Analyses

Descriptive statistics will be calculated for the plasma concentrations of paliperidone and paliperidone palmitate and for the derived PK parameters, as applicable. Statistics will include sample size, mean, standard deviation, coefficient of variation, geometric mean, median, minimum, and maximum. Population PK analysis of plasma concentration-time data of

paliperidone will be performed using nonlinear mixed-effects modeling for PP6M, possibly using models previously developed from PP3M studies.

Mean and/or median plasma paliperidone concentration-time profiles will be plotted. Individual plasma concentration-time profiles may also be plotted. Plasma paliperidone concentrations and summary statistics may be presented graphically as scatter plots or box plots to support subgroup or meta-analyses.

The study will include a Sponsor-blinded interim PK analysis of available paliperidone concentration data at the time when at least 6 months of double-blind treatment has been completed by no more than 140 (26%) of 549 randomized subjects. The objective of this interim analysis is to verify that the observed PK properties of PP6M are conforming to the expected PK behavior of PP6M. The following analyses will be conducted:

1. Assess whether the observed concentrations of paliperidone after injection of PP6M are consistent with previous population PK predictions;
2. Assess whether PK sampling times need to be adjusted to fully characterize the PK behavior of PP6M. (These potential changes would be communicated to study sites via a protocol amendment.)

An internal independent analyst (not associated with the study team) or an external vendor will be granted access to the treatment assignment information of the subjects included in the analysis. The external vendor or study-independent analyst will work in a secured environment to ensure no accidental unblinding of the Sponsor. To further ensure no accidental unblinding of the Sponsor, the data transfer to the internal independent analyst or external vendor will be done in a blinded way by using dummy subject identifiers.

No unblinding information will be shared with the Sponsor throughout this process prior to locking of the database. No efficacy or safety data measures will be included in this analysis. However, the Sponsor-blinded PK analysis will include a selection of demographic information needed for evaluation of PK (eg, potential PK covariates such as body weight, age, sex, creatinine clearance, and race) and will include information about study drug administration (volume, dose, muscle site, and history of similar parameters from the Maintenance Phase). The procedural steps to enable the Sponsor-blinded PK analysis will follow the Sponsor's internal operating procedures.

In addition, a snapshot date for PK samples to be analyzed will be defined, if required, to allow a further Sponsor-blinded PK analysis prior to database lock. Samples collected before this date will be analyzed for paliperidone concentrations and will be included in the population PK analysis. Samples collected after the snapshot date will be analyzed at a later date, and will be included in a population PK re-analysis when they become available after database lock.

After database lock, PK-PD analyses may be performed. For safety outcomes, PK-PD relationships may be evaluated in terms of any potential clustering of adverse events around the timing of the maximum plasma paliperidone concentration after a dose of PP6M. For efficacy outcomes such as relapse, PK-PD relationships may be evaluated, if appropriate.

The population PK analysis and the PK-PD analyses will be detailed in one or more separate methods plans and one or more separate results reports.

11.5. Safety Analyses

11.5.1. Adverse Events

The verbatim terms used in the eCRF by investigators to identify adverse events will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). Treatment-emergent adverse events are adverse events with onset during the treatment phase or that are a consequence of a pre-existing condition that has worsened since baseline. All reported adverse events will be included in the analysis. For each adverse event, the percentage of subjects who experience at least 1 occurrence of the given event will be summarized by treatment group. In addition, comparisons between treatment groups will be provided if appropriate.

Summaries, listings, datasets, or subject narratives may be provided, as appropriate, for those subjects who die, who discontinue treatment due to an adverse event, or who experience a severe or a serious adverse event. Analyses of the adverse events of special interest, as described in Section 1.1 (Background), will be described in the SAP. Adverse events will be analyzed separately for the open-label phases (Transition Phase and Maintenance Phase) and for the Double-blind Phase.

11.5.2. Clinical Laboratory Tests

Laboratory data will be summarized by type of laboratory test. Reference ranges and markedly abnormal results (specified in the SAP) will be used in the summary of laboratory data. Descriptive statistics will be calculated for each laboratory analyte at baseline and for observed values and changes from baseline at each scheduled time point. A listing of subjects with any laboratory results outside the reference ranges will be provided. A listing of subjects with any markedly abnormal laboratory results will also be provided.

11.5.3. Electrocardiograms

The effects on cardiovascular variables will be evaluated by means of descriptive statistics and frequency tabulations. These tables will include observed values and changes from baseline values (with the prerandomization ECG used as baseline).

The ECG variables that will be analyzed include heart rate, PR interval, QRS interval, QT interval, and corrected QT (QTc) interval using the following correction methods: QT interval corrected according to the linear-derived formula (QTcLD) as the primary method, as well as according to Bazett's formula (QTcB) and according to Fridericia's formula (QTcF) as supplemental methods.^{4,17,33}

In the Double-blind Phase, descriptive statistics of QTc intervals and changes from baseline will be summarized at each scheduled time point. The criteria for abnormal QTc interval values will

be based on the classification from the relevant ICH guideline^j (normal as ≤ 450 milliseconds, or elevated as >450 , >480 , or >500 milliseconds). Similarly, the percentage of subjects with increases in QTc of normal as ≤ 30 milliseconds or elevated as 30 to 60 milliseconds or >60 milliseconds will also be summarized at each time point.

All clinically relevant abnormalities in ECG waveform that are changes from the baseline readings will be reported.

11.5.4. Vital Signs

Descriptive statistics of vital sign values and changes from baseline will be summarized at each scheduled time point. The percentage of subjects with values beyond clinically important limits will be summarized.

A frequency table of the occurrence of orthostatic hypotension will be presented. Orthostatic hypotension is defined as a decrease in systolic (>20 mm Hg) or diastolic (>10 mm Hg) blood pressure after standing for at least 2 minutes that is associated with an increase in pulse/heart rate of >15 bpm compared with supine measurements.¹²

11.5.5. Physical Examinations

Physical examination findings will be summarized at each scheduled time point. Descriptive statistics will be calculated at baseline and for observed values and changes from baseline at each scheduled time point. Frequency tabulations of the abnormalities will be made.

11.5.6. Extrapyramidal Symptom Scales

The results of the EPS scales (AIMS, BARS, and SAS) will be summarized descriptively at each time point. See also Section 11.5.1 (Adverse Events) for analyses of EPS-related adverse events.

11.5.7. Columbia Suicide Severity Rating Scale

C-SSRS Baseline/Screening Form will be used at screening. C-SSRS Since Last Visit Form will be used at other visits, as per Time and Events Schedules. Suicide-related thoughts and behaviors based on the C-SSRS scale will be summarized by treatment group in incidence and shift tables.

11.5.8. Evaluations of the Injection Sites

The results of the evaluations by the subjects and by the investigators will be summarized descriptively at each time point.

^j ICH. ICH Harmonized Tripartite Guideline E14: Clinical evaluation of QT/QTc interval prolongation and proarrhythmic potential for non-antiarrhythmic drugs.
www.ich.org/fileadmin/Public_Web_Site/ICH_Products/Guidelines/Efficacy/E14/E14_Guideline.pdf.
Dated 12 May 2005. Accessed 14 March 2017.

11.5.9. Anticipated Event Review Committee

An Anticipated Event Review Committee will be established to monitor safety data on an ongoing basis. The Anticipated Event Review Committee will consist of at least one medical expert in the relevant therapeutic area and at least one statistician. After each review meeting, the Anticipated Event Review Committee will make recommendations regarding reporting of anticipated events, as described in Section 12.3.1 (All Adverse Events) and Attachment 3.

11.6. Benefit-risk Analyses

Efficacy and safety outcomes for the benefit-risk analysis will be considered in the Double-blind Phase; ie, excluding results that may arise from treatment with PP1M or PP3M during the Transition or Maintenance Phases. All general rules and conventions from the statistical methods section will be applied to the benefit-risk analyses, unless otherwise noted in the SAP. CCI
[REDACTED] the benefit-risk analyses will be based on the efficacy and safety outcomes previously used for the PP3M formulation.⁷

Treatment comparisons of PP6M versus PP3M will be evaluated using the excess number of events between groups, where the benefits are harmful events to be prevented and the risks are harmful events that may be reported. The benefit-risk analyses will be based on a post-hoc interpretation of the excess numbers of events using clinical judgment to consider the clinical impact of each outcome. The excess number of events is defined as the product of the risk difference between PP6M and PP3M and the size of a hypothetical population (eg, 1,000 patients). This can be interpreted as the additional number of patients in this hypothetical population who would experience a particular event when treated with PP6M minus that in the same population receiving PP3M. For benefit-risk assessment, point estimates will be shown with 95% confidence intervals; however, statistical tests on risk differences will not be specified. Tabular and graphical displays of data may be created. Important benefits and risks are listed in Section 9.5 (Benefit-risk Evaluations).

11.7. Other Exploratory Analyses

If applicable, exploratory analyses may be described in one or more separate methods plans (outside the standard SAP) and one or more separate results reports (outside the standard CSR).

12. ADVERSE EVENT REPORTING

Timely, accurate, and complete reporting and analysis of safety information from clinical studies are crucial for the protection of subjects, investigators, and the Sponsor, and are mandated by regulatory agencies worldwide. The Sponsor has established Standard Operating Procedures in conformity with regulatory requirements worldwide to ensure appropriate reporting of safety information; all clinical studies conducted by the Sponsor or its affiliates will be conducted in accordance with those procedures.

12.1. Definitions

12.1.1. Adverse Event Definitions and Classifications

Adverse Event

An adverse event is any untoward medical occurrence in a clinical study subject administered a medicinal (investigational or noninvestigational) product. An adverse event does not necessarily have a causal relationship with the treatment. An adverse event can therefore be any unfavorable and unintended sign (including an abnormal finding), symptom, or disease temporally associated with the use of a medicinal (investigational or noninvestigational) product, whether or not related to that medicinal (investigational or noninvestigational) product. (Definition per ICH.)

This includes any occurrence that is new in onset or aggravated in severity or frequency from the baseline condition, or abnormal results of diagnostic procedures, including laboratory test abnormalities.

Note: The Sponsor collects adverse events starting with the signing of the ICF (refer to Section 12.3.1 [All Adverse Events] for time of last adverse event recording).

Serious Adverse Event

A serious adverse event based on ICH and EU Guidelines on Pharmacovigilance for Medicinal Products for Human Use is any untoward medical occurrence that at any dose:

- Results in death
- Is life-threatening
(The subject was at risk of death at the time of the event. It does not refer to an event that hypothetically might have caused death if it were more severe.)
- Requires inpatient hospitalization or prolongation of existing hospitalization
- Results in persistent or significant disability/incapacity
- Is a congenital anomaly/birth defect
- Is a suspected transmission of any infectious agent via a medicinal product
- Is Medically Important*

*Medical and scientific judgment should be exercised in deciding whether expedited reporting is also appropriate in other situations, such as important medical events that may not be immediately life-threatening or result in death or hospitalization but may jeopardize the subject or may require intervention to prevent one of the other outcomes listed in the definition above. These should usually be considered serious.

Unlisted (Unexpected) Adverse Event/Reference Safety Information

An adverse event is considered unlisted if the nature or severity is not consistent with the applicable product reference safety information. For paliperidone palmitate, the expectedness of an adverse event will be determined by whether or not it is listed in the Investigator's Brochure.

Adverse Event Associated With the Use of the Drug

An adverse event is considered associated with the use of the drug if the attribution is possible, probable, or very likely by the definitions listed in Section 12.1.2 (Attribution Definitions).

12.1.2. Attribution Definitions**Not Related**

An adverse event that is not related to the use of the drug.

Doubtful

An adverse event for which an alternative explanation is more likely, eg, concomitant drug(s), concomitant disease(s), or the relationship in time suggests that a causal relationship is unlikely.

Possible

An adverse event that might be due to the use of the drug. An alternative explanation, eg, concomitant drug(s), concomitant disease(s), is inconclusive. The relationship in time is reasonable; therefore, the causal relationship cannot be excluded.

Probable

An adverse event that might be due to the use of the drug. The relationship in time is suggestive (eg, confirmed by dechallenge). An alternative explanation is less likely, eg, concomitant drug(s), concomitant disease(s).

Very Likely

An adverse event that is listed as a possible adverse reaction and cannot be reasonably explained by an alternative explanation, eg, concomitant drug(s), concomitant disease(s). The relationship in time is very suggestive (eg, it is confirmed by dechallenge and rechallenge).

12.1.3. Severity Criteria

An assessment of severity grade will be made using the following general categorical descriptors:

- **Mild:** Awareness of symptoms that are easily tolerated, causing minimal discomfort and not interfering with everyday activities.
- **Moderate:** Sufficient discomfort is present to cause interference with normal activity.
- **Severe:** Extreme distress, causing significant impairment of functioning or incapacitation. Prevents normal everyday activities.

The investigator should use clinical judgment in assessing the severity of events not directly experienced by the subject (eg, laboratory abnormalities).

12.2. Special Reporting Situations

Safety events on a Sponsor study drug that may require expedited reporting or safety evaluation include, but are not limited to:

- Overdose of a Sponsor study drug.
- Suspected abuse/misuse of a Sponsor study drug.
- Accidental or occupational exposure to a Sponsor study drug.
- Any failure of expected pharmacologic action (ie, lack of effect) of a Sponsor study drug.
- Unexpected therapeutic or clinical benefit from use of a Sponsor study drug.
- Medication error involving a Sponsor product (with or without subject/patient exposure to the Sponsor study drug, eg, name confusion).
- Exposure to a Sponsor study drug from breastfeeding.
- Exposure to a Sponsor study drug during pregnancy; see Section 12.3.3 (Pregnancy).

Special reporting situations should be recorded in the eCRF. Any special reporting situation that meets the criteria of a serious adverse event should be recorded on the serious adverse event page of the eCRF.

12.3. Procedures

12.3.1. All Adverse Events

All adverse events and special reporting situations, whether serious or nonserious, will be reported from the time a signed and dated ICF is obtained until completion of the subject's last study-related procedure, which may include contact for follow-up of safety. Serious adverse events, including those spontaneously reported to the investigator within 6 months after the last dose of study drug, must be reported using the Serious Adverse Event Form. The Sponsor will evaluate any safety information that is spontaneously reported by an investigator beyond the time frame specified in the protocol.

All events that meet the definition of a serious adverse event will be reported as serious adverse events, regardless of whether they are protocol-specific assessments. As permitted per local regulations, anticipated events will be recorded and reported as described in Attachment 3.

All adverse events, regardless of seriousness, severity, or presumed relationship to study drug, must be recorded using medical terminology in the source document and the eCRF. Whenever possible, diagnoses should be given when signs and symptoms are due to a common etiology (eg, cough, runny nose, sneezing, sore throat, and head congestion should be reported as "upper respiratory infection"). Investigators must record in the eCRF their opinion concerning the relationship of the adverse event to study therapy. All measures required for adverse event management must be recorded in the source document and reported according to Sponsor instructions.

The Sponsor assumes responsibility for appropriate reporting of adverse events to the regulatory authorities. The Sponsor will also report to the investigator (and the head of the investigational institute where required) all suspected unexpected serious adverse reactions (SUSARs). For anticipated events reported as individual serious adverse events the Sponsor will make a determination of relatedness in addition to and independent of the investigator's assessment. The Sponsor's Anticipated Event Review Committee will periodically evaluate the accumulating data and, when there is sufficient evidence and the Sponsor has determined there is a reasonable possibility that the drug caused a serious anticipated event, they will submit a safety report in narrative format to the investigators (and the head of the investigational institute where required). The investigator (or Sponsor where required) must report SUSARs to the appropriate Independent Ethics Committee/Institutional Review Board (IEC/IRB) that approved the protocol unless otherwise required and documented by the IEC/IRB. A SUSAR will be reported to regulatory authorities unblinded. Participating investigators and IEC/IRB will receive a blinded SUSAR summary, unless otherwise specified.

For all studies with an outpatient phase, including open-label studies, the subject must be provided with a "wallet (study) card" and instructed to carry this card with them for the duration of the study indicating the following:

- Study number
- Statement, in the local language(s), that the subject is participating in a clinical study
- Investigator's name and 24-hour contact telephone number
- Local Sponsor's name and 24-hour contact telephone number (for medical staff only)
- Site number
- Subject number
- Any other information that is required to do an emergency breaking of the blind

12.3.2. Serious Adverse Events

All serious adverse events occurring during the study must be reported to the appropriate Sponsor contact person by study-site personnel within 24 hours of their knowledge of the event.

Information regarding serious adverse events will be transmitted to the Sponsor using the Serious Adverse Event Form, which must be completed and signed by a physician from the study site, and transmitted to the Sponsor within 24 hours. The initial and follow-up reports of a serious adverse event should be made by facsimile (fax).

All serious adverse events that have not resolved by the end of the study, or that have not resolved upon discontinuation of the subject's participation in the study, must be followed until any of the following occurs:

- The event resolves
- The event stabilizes

- The event returns to baseline, if a baseline value/status is available
- The event can be attributed to agents other than the study drug or to factors unrelated to study conduct
- It becomes unlikely that any additional information can be obtained (subject or health care practitioner refusal to provide additional information, lost to follow-up after demonstration of due diligence with follow-up efforts)

Suspected transmission of an infectious agent by a medicinal product will be reported as a serious adverse event. Any event requiring hospitalization (or prolongation of hospitalization) that occurs during the course of a subject's participation in a study must be reported as a serious adverse event, except hospitalizations for the following:

- Study-designated hospitalizations.
- Hospitalizations not intended to treat an acute illness or adverse event (eg, social reasons such as pending placement in long-term care facility).
- Surgery or procedure planned before entry into the study (must be documented in the eCRF). Note: Hospitalizations that were planned before the signing of the ICF, and where the underlying condition for which the hospitalization was planned has not worsened, will not be considered serious adverse events. Any adverse event that results in a prolongation of the originally planned hospitalization is to be reported as a new serious adverse event.

The cause of death of a subject in a study, whether or not the event is expected or associated with the study drug, is considered a serious adverse event.

See also Section 9.3.1 (Evaluations) regarding collection of PK samples associated with serious adverse events.

12.3.3. Pregnancy

All initial reports of pregnancy in female subjects or partners of male subjects must be reported to the Sponsor by the study-site personnel within 24 hours of their knowledge of the event using the appropriate pregnancy notification form. Abnormal pregnancy outcomes (eg, spontaneous abortion, fetal death, stillbirth, congenital anomalies, ectopic pregnancy) are considered serious adverse events and must be reported using the Serious Adverse Event Form. Any subject who becomes pregnant during the study must be promptly withdrawn from the study and discontinue further study drug.

Because the effect of the study drug on sperm is unknown, pregnancies in partners of male subjects included in the study will be reported as noted above.

Follow-up information regarding the outcome of the pregnancy and any postnatal sequelae in the infant will be required.

12.4. Contacting Sponsor Regarding Safety

The names (and corresponding telephone numbers) of the individuals who should be contacted regarding safety issues or questions regarding the study are listed in the Contact Information page(s), which will be provided as a separate document.

13. PRODUCT QUALITY COMPLAINT HANDLING

A product quality complaint (PQC) is defined as any suspicion of a product defect related to manufacturing, labeling, or packaging, ie, any dissatisfaction relative to the identity, quality, durability, or reliability of a product, including its labeling or package integrity. A PQC may have an impact on the safety and efficacy of the product. Timely, accurate, and complete reporting and analysis of PQC information from studies are crucial for the protection of subjects, investigators, and the Sponsor, and are mandated by regulatory agencies worldwide. The Sponsor has established procedures in conformity with regulatory requirements worldwide to ensure appropriate reporting of PQC information; all studies conducted by the Sponsor or its affiliates will be conducted in accordance with those procedures.

13.1. Procedures

All initial PQCs must be reported to the Sponsor by the study-site personnel within 24 hours after being made aware of the event.

If the defect is combined with a serious adverse event, the study-site personnel must report the PQC to the Sponsor according to the serious adverse event reporting timelines (refer to Section 12.3.2 [Serious Adverse Events]). A sample of the suspected product should be maintained for further investigation if requested by the Sponsor.

13.2. Contacting Sponsor Regarding Product Quality

The names (and corresponding telephone numbers) of the individuals who should be contacted regarding product quality issues are listed in the Contact Information page(s), which will be provided as a separate document.

14. STUDY DRUG INFORMATION

14.1. Physical Description of Study Drugs

Study drugs will be supplied in prefilled syringes, as follows:

- PP1M:

50 mg eq. (78 mg) in 0.5 mL

75 mg eq. (117 mg) in 0.75 mL

100 mg eq. (156 mg) in 1.0 mL

150 mg eq. (234 mg) in 1.5 mL

- PP3M:

CCI

CCI

- PP6M:

CCI

- Placebo: The placebo consists of 20% Intralipid (200 mg/mL) injectable emulsion. It has the same milky-white appearance as the active compound, and was used as placebo in the Sponsor's previous studies of PP3M.

CCI

The study drug will be manufactured and provided under the responsibility of the Sponsor. Refer to the Investigator's Brochure for a list of excipients.

14.2. Packaging

The study drug will be packaged in individual subject kits. Each kit will consist of a safety needle, instructions for use, and a blister-packed, prefilled syringe assembled with a plunger rod.

14.3. Labeling

Labels will contain blanks for the subject's identification number and the investigator's name. These will be filled in when the study drug is dispensed to a subject.

Study drug labels will contain information to meet the applicable regulatory requirements.

14.4. Preparation, Handling, and Storage

All study drug and matching placebo must be stored at controlled temperatures as instructed by the clinical label.

14.5. Drug Accountability

The unblinded study drug administrator (see Section 5 [Treatment Allocation and Blinding]) is responsible for ensuring that all study drug received at the site is inventoried and accounted for throughout the study. The study drug administered to the subject must be documented on the drug accountability form. All study drug will be stored and disposed of according to the Sponsor's instructions. Study-site personnel must not combine contents of the study drug containers.

Study drug must be handled in strict accordance with the protocol and the container label, and must be stored at the study site in a limited-access area or in a locked cabinet under appropriate environmental conditions. Unused study drug must be available for verification by the Sponsor's study-site monitor during on-site monitoring visits. The return to the Sponsor of unused study drug will be documented on the drug return form. When the study site is an authorized

destruction unit and study drug supplies are destroyed on-site, this must also be documented on the drug return form.

Potentially hazardous materials (such as used ampules, needles, syringes, and vials containing hazardous liquids) should be disposed immediately in a safe manner. Therefore, these will not be retained for drug accountability purposes.

Study drug should be dispensed under the supervision of the investigator or a qualified member of the study-site personnel, or by a hospital/clinic pharmacist. Study drug will be supplied only to subjects participating in the study. Returned study drug must not be dispensed again, even to the same subject. Study drug may not be relabeled or reassigned for use by other subjects. The investigator agrees neither to dispense the study drug from, nor store it at, any site other than the study sites agreed upon with the Sponsor.

15. STUDY-SPECIFIC MATERIALS

The investigator will be provided with the following materials:

- Documentation:

Investigator's Brochure

Locally approved prescribing information and patient information for the marketed study drugs (oral paliperidone, PP1M, and PP3M) that precede the investigational study drug (PP6M) in this study. Investigators should provide subjects with the appropriate patient information files that are relevant to their treatment paths through the study.

Manuals:

- For assessments (ie, questionnaires and scales)
- For ECGs
- For biological samples (including for laboratory tests, PK samples)
- For IWRS
- For electronic data capture completion guidelines

Study-site investigational product binder

- Supplies:

Blood collection tubes, storage tubes, preprinted labels (or tubes labeled with preprinted labels), and related supplies for the collection and shipment of biological samples (including for laboratory tests, PK samples). These supplies will be provided by the central laboratories (where different central laboratories may be used for different sample types, such as one for PK samples, etc).

Urine and routine blood collection kits

Urine pregnancy test kits

Urine drug screen test kit

Alcohol breath test

16. ETHICAL ASPECTS

16.1. Study-specific Design Considerations

Potential subjects will be fully informed of the risks and requirements of the study and, during the study, subjects will be given any new information that may affect their decision to continue participation. They will be told that their consent to participate in the study is voluntary and may be withdrawn at any time with no reason given and without penalty or loss of benefits to which they would otherwise be entitled. Only subjects who are fully able to understand the risks, benefits, and potential adverse events of the study, and provide their consent voluntarily will be enrolled.

Primary study-specific ethical concerns and study-specific mitigations are as follows:

- Changing medications:

For subjects with prestudy PP1M or PP3M stability, a concern may be associated with changing from an antipsychotic that had been providing acceptable levels of efficacy and tolerability before the study to a new investigational antipsychotic within the study. This risk is limited in the current study by changing only the formulation or dose, not the active substance, of the antipsychotic.

For subjects with prestudy injectable risperidone stability, the above risk is limited in the current study by providing an equivalently converted antipsychotic dose and by the minimal nature of the change in antipsychotic type (substituting the combination of parent molecule [risperidone] and metabolite [paliperidone] for the metabolite [paliperidone] alone).

For subjects with reason to change their prestudy oral antipsychotic, the above concern does not apply; they will be enrolled only per valid reason to change their previous antipsychotic (including problems with efficacy, safety, or tolerability, or per preference for a LAI medication).

For all subjects, the study also allows subjects to continue taking other nonantipsychotic medications that they had been using at entry to the study, to initiate or make changes to nonantipsychotic medications during study (within some limitations), and to receive supplementation with oral antipsychotics during the study (within some limitations).

- Long-acting formulation: A concern may be associated with the long-acting nature of the study drug. If an adverse event occurs during treatment with an oral antipsychotic, then dosing can be stopped, which results in rapid elimination from the body and often a resolution of the adverse event over a similar time course. If an adverse event occurs during treatment with a LAI antipsychotic, then the plasma concentrations may be maintained for months after the injection; elimination of the drug cannot be accelerated to facilitate resolution of the adverse event. However, many of the expected adverse events can be managed with pharmacological intervention (eg, beta-blockers for akathisia or anticholinergics for EPS). Moreover, eligible study subjects will already have been using LAI formulations before enrolling in the study; the study does not introduce a new risk of this nature, but only extends the duration in which the risk is present.
- High doses: A concern may be associated with the high doses of the investigational study drug. The Sponsor has performed PK simulations to select these doses, and has considered the

acceptability of the PP6M exposures based on comparison with paliperidone and risperidone data from previous studies. The results indicated that exposures at the proposed PP6M dose levels should yield tolerability that is similar to existing paliperidone and risperidone formulations. Beyond those aggregate analyses, the Sponsor also considered individual cases of subjects who had high exposures to PP3M during previous studies, and found that high concentrations of paliperidone were well tolerated. Still, the current study includes extra safety assessments around the time of expected peak plasma paliperidone levels.

- Large volumes: A concern may be associated with the large volumes of the intramuscular injections. The Sponsor has consulted nursing guidelines for the acceptability of these volumes, and has accordingly restricted the administration of PP6M into the gluteal muscle. The study also includes structured assessments of the injection sites and guidelines for handling any associated adverse events.

The volume of blood to be collected in this study is not considered to pose an ethical concern or a special risk. The volume to be collected over any 6-month period of the study is less than the volume collected in a single day (with an allowed 2-month frequency) associated with a charitable blood donation.¹

More generally, the study also includes many safety assessments and eligibility criteria designed to ensure that the population is appropriate for enrollment and is carefully assessed throughout the study.

16.2. Regulatory Ethics Compliance

16.2.1. Investigator Responsibilities

The investigator is responsible for ensuring that the study is performed in accordance with the protocol, current ICH guidelines on Good Clinical Practice (GCP), and applicable regulatory and country-specific requirements.

Good Clinical Practice is an international ethical and scientific quality standard for designing, conducting, recording, and reporting studies that involve the participation of human subjects. Compliance with this standard provides public assurance that the rights, safety, and well-being of study subjects are protected, consistent with the principles that originated in the Declaration of Helsinki, and that the study data are credible.

16.2.2. Independent Ethics Committee or Institutional Review Board

Before the start of the study, the investigator (or Sponsor where required) will provide the IEC/IRB with current and complete copies of the following documents (as required by local regulations):

- Final protocol and, if applicable, amendments
- Sponsor-approved ICF (and any other written materials to be provided to the subjects)
- Investigator's Brochure (or equivalent information) and amendments/addenda
- Sponsor-approved subject recruiting materials

-
- Information on compensation for study-related injuries or payment to subjects for participation in the study, if applicable
 - Investigator's curriculum vitae or equivalent information (unless not required, as documented by the IEC/IRB)
 - Information regarding funding, name of the Sponsor, institutional affiliations, other potential conflicts of interest, and incentives for subjects
 - Any other documents that the IEC/IRB requests to fulfill its obligation

This study will be undertaken only after the IEC/IRB has given full approval of the final protocol, amendments (if any, excluding the ones that are purely administrative, with no consequences for subjects, data or study conduct, unless required locally), the ICF, applicable recruiting materials, and subject compensation programs, and the Sponsor has received a copy of this approval. This approval letter must be dated and must clearly identify the IEC/IRB and the documents being approved.

During the study the investigator (or Sponsor where required) will send the following documents and updates to the IEC/IRB for their review and approval, where appropriate:

- Protocol amendments (excluding the ones that are purely administrative, with no consequences for subjects, data or study conduct)
- Revision(s) to ICF and any other written materials to be provided to subjects
- If applicable, new or revised subject recruiting materials approved by the Sponsor
- Revisions to compensation for study-related injuries or payment to subjects for participation in the study, if applicable
- New edition(s) of the Investigator's Brochure and amendments/addenda
- Summaries of the status of the study at intervals stipulated in guidelines of the IEC/IRB (at least annually)
- Reports of adverse events that are serious, unlisted/unexpected, and associated with the study drug
- New information that may adversely affect the safety of the subjects or the conduct of the study
- Deviations from or changes to the protocol to eliminate immediate hazards to the subjects
- Report of deaths of subjects under the investigator's care
- Notification if a new investigator is responsible for the study at the site
- Development Safety Update Report and Line Listings, where applicable
- Any other requirements of the IEC/IRB

For all protocol amendments (excluding the ones that are purely administrative, with no consequences for subjects, data or study conduct), the amendment and applicable ICF revisions must be submitted promptly to the IEC/IRB for review and approval before implementation of the change(s).

At least once a year, the IEC/IRB will be asked to review and reapprove this study, where required.

At the end of the study, the investigator (or Sponsor where required) will notify the IEC/IRB about the study completion (if applicable, the notification will be submitted through the head of investigational institution).

16.2.3. Informed Consent

Each subject (not a legal representative, but the subject himself or herself) must give written consent according to local requirements after the nature of the study has been fully explained. The ICF(s) must be signed before performance of any study-related activity. The ICF(s) that is/are used must be approved by both the Sponsor and by the reviewing IEC/IRB and be in a language that the subject can read and understand. The informed consent should be in accordance with principles that originated in the Declaration of Helsinki, current ICH and GCP guidelines, applicable regulatory requirements, and Sponsor policy.

Before enrollment in the study, the investigator or an authorized member of the study-site personnel must explain to potential subjects the aims, methods, reasonably anticipated benefits, and potential hazards of the study, and any discomfort participation in the study may entail. Subjects will be informed that their participation is voluntary and that they may withdraw consent to participate at any time. They will be informed that choosing not to participate will not affect the care the subject will receive for the treatment of his or her disease. Subjects will be told that alternative treatments are available if they refuse to take part and that such refusal will not prejudice future treatment. Finally, they will be told that the investigator will maintain a subject identification register for the purposes of long-term follow up if needed and that their records may be accessed by Health Authorities and authorized Sponsor personnel without violating the confidentiality of the subject, to the extent permitted by the applicable law(s) or regulations. By signing the ICF the subject is authorizing such access. It also denotes that the subject agrees to allow his or her study physician to recontact the subject for the purpose of obtaining consent for additional safety evaluations, if needed.

The subject will be given sufficient time to read the ICF and the opportunity to ask questions. After this explanation and before entry into the study, consent should be appropriately recorded by means of the subject's personally dated signature. After having obtained the consent, a copy of the ICF must be given to the subject.

If the subject is unable to read or write, an impartial witness should be present for the entire informed consent process (which includes reading and explaining all written information) and should personally date and sign the ICF after the oral consent of the subject is obtained.

A separate ICF will also be signed by the subject's designated unpaid caregiver (such as a family member, significant other, or friend, with knowledge of the subject) who is willing to complete the IEQ assessments and who is willing to support the investigator and subject during other study assessments if requested. The designated caregiver must sign the ICF prior to the first baseline assessment. In case no designated caregiver is available for a subject or in case the designated

caregiver cannot or is not willing to provide informed consent, the subject will nevertheless be eligible for participation upon his or her own informed consent.

16.2.4. Privacy of Personal Data

The collection and processing of personal data from subjects enrolled in this study will be limited to those data that are necessary to fulfill the objectives of the study.

These data must be collected and processed with adequate precautions to ensure confidentiality and compliance with applicable data privacy protection laws and regulations. Appropriate technical and organizational measures to protect the personal data against unauthorized disclosures or access, accidental or unlawful destruction, or accidental loss or alteration must be put in place. Sponsor personnel whose responsibilities require access to personal data agree to keep the identity of subjects confidential.

The informed consent obtained from the subject includes explicit consent for the processing of personal data and for the investigator/institution to allow direct access to his or her original medical records (source data/documents) for study-related monitoring, audit, IEC/IRB review, and regulatory inspection. This consent also addresses the transfer of the data to other entities and to other countries.

The subject has the right to request through the investigator access to his or her personal data and the right to request rectification of any data that are not correct or complete. Reasonable steps will be taken to respond to such a request, taking into consideration the nature of the request, the conditions of the study, and the applicable laws and regulations.

In addition, the Sponsor cannot make decisions as to the significance of any findings resulting from exploratory research. Therefore, exploratory research data will not be returned to subjects or investigators, unless required by law or local regulations. Privacy and confidentiality of data generated in the future on stored samples will be protected by the same standards applicable to all other clinical data.

16.2.5. Country Selection

This study will only be conducted in those countries where the intent is to launch or otherwise help ensure access to the developed product if the need for the product persists, unless explicitly addressed as a specific ethical consideration in Section 16.1 (Study-specific Design Considerations).

17. ADMINISTRATIVE REQUIREMENTS

17.1. Protocol Amendments

Neither the investigator nor the Sponsor will modify this protocol without a formal amendment by the Sponsor. All protocol amendments must be issued by the Sponsor, and signed and dated by the investigator. Protocol amendments must not be implemented without prior IEC/IRB approval, or when the relevant competent authority has raised any grounds for nonacceptance, except when necessary to eliminate immediate hazards to the subjects, in which case the

amendment must be promptly submitted to the IEC/IRB and relevant competent authority. Documentation of amendment approval by the investigator and IEC/IRB must be provided to the Sponsor. When the change(s) involves only logistic or administrative aspects of the study, the IEC/IRB (where required) only needs to be notified.

During the course of the study, in situations where a departure from the protocol is unavoidable, the investigator or other physician in attendance will contact the appropriate Sponsor representative listed in the Contact Information page(s), which will be provided as a separate document. Except in emergency situations, this contact should be made before implementing any departure from the protocol. In all cases, contact with the Sponsor must be made as soon as possible to discuss the situation and agree on an appropriate course of action. The data recorded in the eCRF and source documents will reflect any departure from the protocol, and the source documents will describe this departure and the circumstances requiring it.

17.2. Regulatory Documentation

17.2.1. Regulatory Approval/Notification

This protocol and any amendment(s) must be submitted to the appropriate regulatory authorities in each respective country, if applicable. A study may not be initiated until all local regulatory requirements are met.

17.2.2. Required Prestudy Documentation

The following documents must be provided to the Sponsor before shipment of study drug to the study site:

- Protocol and amendment(s), if any, signed and dated by the principal investigator.
- A copy of the dated and signed (or sealed, where appropriate per local regulations), written IEC/IRB approval of the protocol, amendments, ICF, any recruiting materials, and if applicable, subject compensation programs. This approval must clearly identify the specific protocol by title and number and must be signed (or sealed, where appropriate per local regulations) by the chairman or authorized designee.
- Name and address of the IEC/IRB, including a current list of the IEC/IRB members and their function, with a statement that it is organized and operates according to GCP and the applicable laws and regulations. If accompanied by a letter of explanation, or equivalent, from the IEC/IRB, a general statement may be substituted for this list. If an investigator or a member of the study-site personnel is a member of the IEC/IRB, documentation must be obtained to state that this person did not participate in the deliberations or in the vote/opinion of the study.
- Regulatory authority approval or notification, if applicable.
- Signed and dated statement of investigator (eg, Form FDA 1572), if applicable.
- Documentation of investigator qualifications (eg, curriculum vitae).
- Completed investigator financial disclosure form from the principal investigator, where required.

- Signed and dated Clinical Trial Agreement, which includes the financial agreement.
- Any other documentation required by local regulations.

The following documents must be provided to the Sponsor before enrollment of the first subject:

- Completed investigator financial disclosure forms from all subinvestigators.
- Documentation of subinvestigator qualifications (eg, curriculum vitae).
- Name and address of any local laboratory conducting tests for the study, and a dated copy of current laboratory normal ranges for these tests, if applicable.
- Local laboratory documentation demonstrating competence and test reliability (eg, accreditation/license), if applicable.

17.3. Subject Identification, Enrollment, and Screening Logs

The investigator agrees to complete a subject identification and enrollment log to permit easy identification of each subject during and after the study. This document will be reviewed by the Sponsor study-site contact for completeness.

The subject identification and enrollment log will be treated as confidential and will be filed by the investigator in the study file. To ensure subject confidentiality, no copy will be made. All reports and communications relating to the study will identify subjects by subject identification and date of birth (as allowed by local regulations). In cases where the subject is not randomized into the study, the date seen and date of birth (as allowed by local regulations) will be used.

The investigator must also complete a subject screening log, which reports on all subjects who were seen to determine eligibility for inclusion in the study.

17.4. Source Documentation

At a minimum, source documents consistent in the type and level of detail with that commonly recorded at the study site as a basis for standard medical care must be available for the following: subject identification, eligibility, and study identification; study discussion and date of signed informed consent; dates of visits; results of safety and efficacy parameters as required by the protocol; record of all adverse events and follow-up of adverse events; concomitant medication; drug receipt/dispensing/return records; study drug administration information; and date of study completion and reason for early discontinuation of study drug or withdrawal from the study, if applicable.

The author of an entry in the source documents should be identifiable.

Specific details required as source data for the study and source data collection methods will be reviewed with the investigator before the study and will be described in the monitoring guidelines (or other equivalent document).

An electronic source system may be utilized, which contains data traditionally maintained in a hospital or clinic record to document medical care (eg, electronic source documents) as well as

the clinical study-specific data fields as determined by the protocol. This data is electronically extracted for use by the Sponsor. If the electronic source system is utilized, references made to the eCRF in the protocol include the electronic source system but information collected through the electronic source system may not be limited to that found in the eCRF. Data in this system may be considered source documentation.

17.5. Electronic Case Report Form Completion

The eCRFs are prepared and provided by the Sponsor for each subject. All eCRF entries, corrections, and alterations must be made by the investigator or authorized study-site personnel. The investigator must verify that all data entries in the eCRF are accurate and correct. The study data will be transcribed by study-site personnel from the source documents onto the eCRF, if applicable. Study-specific data will be transmitted in a secure manner to the Sponsor.

Worksheets may be used for the capture of some data to facilitate completion of the eCRF. Any such worksheets will become part of the subject's source documents. Data must be entered into eCRF in English. The eCRF must be completed as soon as possible after a subject visit and the forms should be available for review at the next scheduled monitoring visit.

All subjective measurements (eg, pain scale information or other questionnaires) will be completed by the same individual who made the initial baseline determinations whenever possible.

If necessary, queries will be generated in the electronic data capture tool. If corrections to an eCRF are needed after the initial entry into the eCRF, this can be done in either of the following ways:

- Investigator and study-site personnel can make corrections in the electronic data capture tool at their own initiative or as a response to an auto-query (generated by the electronic data capture tool).
- Sponsor or Sponsor delegate can generate a query for resolution by the investigator and study-site personnel.

17.6. Data Quality Assurance / Quality Control

Steps to be taken to ensure the accuracy and reliability of data include the selection of qualified investigators and appropriate study sites, review of protocol procedures with the investigator and study-site personnel before the study, and periodic monitoring visits by the Sponsor. Written instructions will be provided for collection, handling, storage, and shipment of samples.

Guidelines for eCRF completion will be provided and reviewed with study-site personnel before the start of the study. The Sponsor will review eCRFs for accuracy and completeness during on-site monitoring visits and after transmission to the Sponsor; any discrepancies will be resolved with the investigator or designee, as appropriate. After upload of the data into the study database they will be verified for accuracy and consistency with the data sources.

17.7. Record Retention

In compliance with the ICH/GCP guidelines, the investigator/institution will maintain all eCRFs and all source documents that support the data collected from each subject, as well as all study documents as specified in ICH/GCP Section 8, Essential Documents for the Conduct of a Clinical Trial, and all study documents as specified by the applicable regulatory requirement(s). The investigator/institution will take measures to prevent accidental or premature destruction of these documents.

Essential documents must be retained until at least 2 years after the last approval of a marketing application in an ICH region and until there are no pending or contemplated marketing applications in an ICH region or until at least 2 years have elapsed since the formal discontinuation of clinical development of the investigational product. These documents will be retained for a longer period if required by the applicable regulatory requirements or by an agreement with the Sponsor. It is the responsibility of the Sponsor to inform the investigator/institution as to when these documents no longer need to be retained.

If the responsible investigator retires, relocates, or for other reasons withdraws from the responsibility of keeping the study records, custody must be transferred to a person who will accept the responsibility. The Sponsor must be notified in writing of the name and address of the new custodian. Under no circumstance shall the investigator relocate or dispose of any study documents before having obtained written approval from the Sponsor.

If it becomes necessary for the Sponsor or the appropriate regulatory authority to review any documentation relating to this study, the investigator/institution must permit access to such reports.

17.8. Monitoring

The Sponsor will use a combination of monitoring techniques (central, remote, or on-site monitoring) to monitor this study.

The Sponsor will perform on-site monitoring visits as frequently as necessary. The monitor will record dates of the visits in a study-site visit log that will be kept at the study site. The first post-initiation visit will be made as soon as possible after enrollment has begun. At these visits, the monitor will compare the data entered into the eCRF with the source documents (eg, hospital/clinic/physician's office medical records). The nature and location of all source documents will be identified to ensure that all sources of original data required to complete the eCRF are known to the Sponsor and study-site personnel and are accessible for verification by the Sponsor study-site contact. If electronic records are maintained at the study site, the method of verification must be discussed with the study-site personnel.

Direct access to source documents (medical records) must be allowed for the purpose of verifying that the recorded data are consistent with the original source data. Findings from this review will be discussed with the study-site personnel. The Sponsor expects that, during monitoring visits, the relevant study-site personnel will be available, the source documents will

be accessible, and a suitable environment will be provided for review of study-related documents. The monitor will meet with the investigator on a regular basis during the study to provide feedback on the study conduct.

In addition to on-site monitoring visits, remote contacts can occur. It is expected that during these remote contacts, study-site personnel will be available to provide an update on the progress of the study at the site.

Central monitoring will take place for data identified by the Sponsor as requiring central review.

17.9. Study Completion/Termination

17.9.1. Study Completion (End of Study)

The study is considered completed with the last visit for the last subject participating in the study. Poststudy followup information, eg, as described in Section 12.3.1 (All Adverse Events), will not be considered to be part of a "last visit" and will not be included in the study database. The final data from the study site will be sent to the Sponsor (or designee) after completion of the final subject visit at that study site, in the time frame specified in the Clinical Trial Agreement.

17.9.2. Study Termination

In addition to the planned closure at 12 months after the last subject has been randomized in the Double-blind Phase, the Sponsor reserves the right to close the study site or terminate the study at any time for any reason at the sole discretion of the Sponsor. Study sites will be closed upon study completion. A study site is considered closed when all required documents and study supplies have been collected and a study-site closure visit has been performed.

The investigator may initiate study-site closure at any time, provided there is reasonable cause and sufficient notice is given in advance of the intended termination.

Reasons for the early closure of a study site by the Sponsor or investigator may include but are not limited to:

- Failure of the investigator to comply with the protocol, the requirements of the IEC/IRB or local Health Authorities, the Sponsor's procedures, or GCP guidelines
- Inadequate recruitment of subjects by the investigator
- Discontinuation of further study drug development

17.10. On-Site Audits

Representatives of the Sponsor's clinical quality assurance department may visit the study site at any time during or after completion of the study to conduct an audit of the study in compliance with regulatory guidelines and company policy. These audits will require access to all study records, including source documents, for inspection. Subject privacy must, however, be respected. The investigator and study-site personnel are responsible for being present and

available for consultation during routinely scheduled study-site audit visits conducted by the Sponsor or its designees.

Similar auditing procedures may also be conducted by agents of any regulatory body, either as part of a national GCP compliance program or to review the results of this study in support of a regulatory submission. The investigator should immediately notify the Sponsor if he or she has been contacted by a regulatory agency concerning an upcoming inspection.

17.11. Use of Information and Publication

All information, including but not limited to information regarding paliperidone palmitate or the Sponsor's operations (eg, patent application, formulas, manufacturing processes, basic scientific data, prior clinical data, formulation information) supplied by the Sponsor to the investigator and not previously published, and any data, generated as a result of this study, are considered confidential and remain the sole property of the Sponsor. The investigator agrees to maintain this information in confidence and use this information only to accomplish this study, and will not use it for other purposes without the Sponsor's prior written consent.

The investigator understands that the information developed in the study will be used by the Sponsor in connection with the continued development of paliperidone palmitate and thus may be disclosed as required to other clinical investigators or regulatory agencies. To permit the information derived from the clinical studies to be used, the investigator is obligated to provide the Sponsor with all data obtained in the study.

The results of the study will be reported in a CSR generated by the Sponsor and will contain data from all study sites that participated in the study as per protocol. Recruitment performance or specific expertise related to the nature and the important assessment parameters of the study will be used to determine a coordinating investigator. Study subject identifiers will not be used in publication of results. Any work created in connection with performance of the study and contained in the data that can benefit from copyright protection (except any publication by the investigator as provided for below) shall be the property of the Sponsor as author and owner of copyright in such work.

Consistent with Good Publication Practices and International Committee of Medical Journal Editors guidelines, the Sponsor shall have the right to publish such primary (multicenter) data and information without approval from the investigator. The investigator has the right to publish data specific to the study site after the primary data are published. If an investigator wishes to publish information from the study, a copy of the manuscript must be provided to the Sponsor for review at least 60 days before submission for publication or presentation. Expedited reviews will be arranged for abstracts, poster presentations, or other materials. If requested by the Sponsor in writing, the investigator will withhold such publication for up to an additional 60 days to allow for filing of a patent application. In the event that issues arise regarding scientific integrity or regulatory compliance, the Sponsor will review these issues with the investigator. The Sponsor will not mandate modifications to scientific content and does not have the right to suppress information. For multicenter study designs and substudy approaches, secondary results generally should not be published before the primary endpoints of a study have

been published. Similarly, investigators will recognize the integrity of a multicenter study by not submitting for publication data derived from the individual study site until the combined results from the completed study have been submitted for publication, within 12 months of the availability of the final data (tables, listings, graphs), or the Sponsor confirms there will be no multicenter study publication. Authorship of publications resulting from this study will be based on the guidelines on authorship, such as those described in the Uniform Requirements for Manuscripts Submitted to Biomedical Journals, which state that the named authors must have made a significant contribution to the design of the study or analysis and interpretation of the data, provided critical review of the paper, and given final approval of the final version.

Registration of Clinical Studies and Disclosure of Results

The Sponsor will register and disclose the existence of and the results of clinical studies as required by law.

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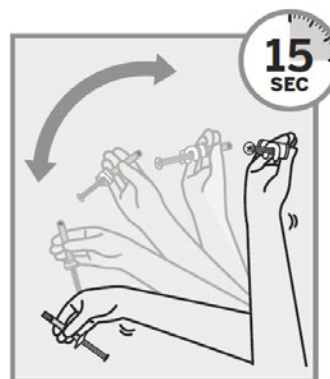
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ATTACHMENTS

Attachment 1: Guidelines for the Intramuscular Injection of Paliperidone Palmitate or Placebo During the Double-blind Phase

In the Double-blind Phase, these guidelines should be used. In the Transition Phase and the Maintenance Phase, the guidelines in the prescribing information for PP1M and PP3M should be used.

For each dose, a study-site personnel member must shake the syringe vigorously with the tip facing up and with a loose wrist for at least 15 seconds to ensure a homogeneous suspension. The shaken dose must then be administered within 5 minutes after shaking. If more than 5 minutes pass after shaking but before injection, then a study-site personnel member must shake the syringe vigorously again for at least 15 seconds to resuspend the dose.



The full content of the syringe should be injected, slowly.

During the Double-blind Phase, injections will rotate across sides of the body (left or right), as shown in [Table 5](#), but the image below shows landmarks for only 1 side as an example.

Figure	
Needle	1.5-inch, 20-gauge, thin-walled needle
Notes	Palpate the junction of the posterior iliac crest and sacrum. Then imagine drawing a line to the greater trochanter of the femur. Administer the injection in the upper-outer area bordered by this imaginary triangle. Injections should be administered in the dorso-gluteal injection site only. Ventrogluteal injections are not permitted.

Attachment 2: Relapse Criteria for PANSS Total Score

A prespecified minimum change in PANSS total score is one of the possible qualifying criteria for relapse. The table below correlates a subject's score at randomization to the minimum posttreatment score during the Double-blind Phase that would meet the relapse criterion. If a subject's score during the Double-blind Phase is greater than or equal to the value in the second column, then the subject should be considered for relapse. The relapse should then be re-evaluated for confirmation at a visit 3 to 7 days later (previously unscheduled, if necessary).

Criteria for Relapse According to PANSS Total Score	
Score at Randomization	Relapse Criterion Score
≤40 Points	Increase of 10 points
30 ^a	40
31	41
32	42
33	43
34	44
35	45
36	46
37	47
38	48
39	49
40	50
>40 Points	Increase of 25%
41	44
42	45
43	47
44	48
45	49
46	50
47	52
48	53
49	54
50	55
51	57
52	58
53	59
54	60
55	62
56	63
57	64
58	65
59	67
60	68
61	69
62	70
63	72
64	73
65	74
66	75
67	77
68	78
69	79
≥70 Points	Not eligible to enter study or to continue to Double-blind Phase

Key: PANSS = Positive and Negative Syndrome Scale.

^a A score of 30 is the lowest possible value on the PANSS.

Criteria for Relapse According to PANSS Total Score

Note: The percent change is calculated after subtracting 30 from the Randomization score, as this represents the lowest score on the PANSS:

$$\% \text{ increase} = \frac{\text{Current score} - \text{Score at randomization}}{\text{Score at randomization} - 30} \times 100$$

Attachment 3: Anticipated Events for Study R092670PSY3015**Anticipated Event**

An anticipated event is an adverse event (serious or nonserious) that commonly occurs as a consequence of the underlying disease or condition under investigation (disease related) or background regimen.

For the purposes of this study the following events will be considered anticipated events:

- Schizophrenia
- Psychotic disorder
- Hallucination, auditory
- Hallucination, visual
- Hallucination
- Paranoia
- Delusion
- Apathy
- Substance use

Reporting of Anticipated Events

All adverse events will be recorded in the eCRF regardless of whether considered to be anticipated events and will be reported to the Sponsor as described in Section 12.3.1 (All Adverse Events). Any anticipated event that meets serious adverse event criteria will be reported to the Sponsor as described in Section 12.3.2 (Serious Adverse Events). These anticipated events are exempt from expedited reporting as individual single cases to Health Authorities. However, if based on an aggregate review, it is determined that an anticipated event is possibly related to study drug, the Sponsor will report these events in an expedited manner.

Anticipated Event Review Committee

An Anticipated Event Review Committee will be established to perform reviews of prespecified anticipated events at an aggregate level. The Anticipated Event Review Committee is a safety committee within the Sponsor's organization that is independent of the Sponsor's study team. The Anticipated Event Review Committee will meet to aid in the recommendation to the Sponsor's study team as to whether there is a reasonable possibility that an anticipated event is related to the study drug.

Statistical Analysis

Details of statistical analysis of anticipated events, including the frequency of review and threshold to trigger an aggregate analysis of anticipated events will be provided in a separate Anticipated Events Safety Monitoring Plan.

INVESTIGATOR AGREEMENT

R092670 (paliperidone palmitate)

Clinical Protocol R092670PSY3015 Amendment 3

INVESTIGATOR AGREEMENT

I have read this protocol and agree that it contains all necessary details for carrying out this study. I will conduct the study as outlined herein and will complete the study within the time designated.

I will provide copies of the protocol and all pertinent information to all individuals responsible to me who assist in the conduct of this study. I will discuss this material with them to ensure that they are fully informed regarding the study intervention, the conduct of the study, and the obligations of confidentiality.

Coordinating Investigator (where required):

Name (typed or printed): _____
Institution and Address: _____

Signature: _____ Date: _____
(Day Month Year)

Principal (Site) Investigator:

Name (typed or printed): _____
Institution and Address: _____

Telephone Number: _____

Signature: _____ Date: _____
(Day Month Year)

Sponsor's Responsible Medical Officer:

Name (typed or printed): Arun Singh, DO

Institution: PPD Janssen Research & Development
PPD

(Day Month Year)

Note: If the address or telephone number of the investigator changes during the course of the study, written notification will be provided by the investigator to the sponsor, and a protocol amendment will not be required.

Approved, Date: 11 February 2019

Janssen Research & Development *

Statistical Analysis Plan

**A Double-Blind, Randomized, Active-controlled, Parallel-group Study of Paliperidone
Palmitate 6-Month Formulation**

Protocol R092670PSY3015; Phase 3

**R092670 (paliperidone palmitate)
Revised on 26 May 2020**

Status: Approved
Date: 26 May 2020
Prepared by: Janssen Research & Development
Document No.: EDMS-ERI-153143529, 4.0

Compliance: The study described in this report was performed according to the principles of Good Clinical Practice (GCP).

Confidentiality Statement

The information in this document contains trade secrets and commercial information that are privileged or confidential and may not be disclosed unless such disclosure is required by applicable law or regulations. In any event, persons to whom the information is disclosed must be informed that the information is privileged or confidential and may not be further disclosed by them. These restrictions on disclosure will apply equally to all future information supplied to you that is indicated as privileged or confidential.

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AMENDMENT HISTORY

26 May 2020	Change since Version 3.0	
Section	Change	Rationale
5.2.2.3	Added one supplementary analysis S5	Evaluate the potential impact of COVID-19 related remote visits to the primary efficacy analysis

8 May 2020	Change since Version 2.0	
Section	Change	Rationale
2.1	Added PSP in tables 1a and few notes in Tables 1a to 1f	According to Time and Events Table
2.1	Modified text about how to deal with the data for five subjects from Turkey who received a Month 12 injection	Five subjects from Turkey who received a Month 12 injection
2.3.4.	Added criteria of identifying Major Protocol Violation	Per an agreement with the FDA dated on 2Mar2020
2.4.	Replace subgroup BMI at Baseline (MA) by BMI Baseline (DB)	After screen visit, the body weight was only corrected at the start of DB phase
2.5.1	Added definition of Date of DB Month 12 for five Turkey subjects who received a Month 12 injection	Five subjects from Turkey who received a Month 12 injection
5.2.2.3	Added supplementary Analysis S4	Per an agreement with the FDA, to exclude 3 Mexico subjects who were affected by the delay of drug supply
5.3.6.1	Made the changes so it's consistent with the analysis in Study PSY3011	Due to protocol amendment 3
5.4.1.2	Added scoring algorithm for SPSR	Requested by programming
5.4.2.2	Added scoring algorithm for TSQM-9	Requested by programming
6.2.1	Removed analysis related to insulin	Insulin data are not collected in the study
8	Added scoring algorithm for SQLS-R4	Requested by programming
Reference	Added a reference related to TSQM-9	The reference is needed in Section 5.4.2.2
6.1.1, 6.1.2, 6.1.3, and Attachment 1	Changed AEDECOD for Special Interest AEs	Due to the new MedDRA version

5 April 2019	Change since Version 1.0	
Section	Change	Rationale
1.2, 1.4, 2.1, 2.3.4, 2.6.2, 6.1	Limit Double-blind phase to 12 months	Per Protocol Amendment 3
2.1	Summarize number of post-study contacts	Per a Missing data Prevention Plan imposed in April/May 2019
2.1 Table 1c, and 6.4	In the analysis, the average predose ECG value will be summarized separately for those who were on pre-study status categories (oral antipsychotic, on injectable risperidone, PP1M initiation, PP1M stability, and PP3M stability).	Clarify analysis of ECG data
2.3.1	Way to handle re-screened subjects	Per Protocol Amendment 2
2.4	Add three sub-groups	
2.5.1	Definition of reference days, and dates for each phase.	Necessary for programming
2.5.1, and throughout the SAP	Define date of DB Month 12	Due to Protocol Amendment 3
4.1	Remove “alcohol” from analysis	Per Protocol Amendment 2
4.4.1	Define duration of exposure	Needed in analysis
5.2, 5.3 and their	Based on protocol amendment 3,	Per Protocol Amendment 3

sub-sections	limiting DB phase to 12 Month	
5.2 and its sub-sections	Restructure these sections to include upfront the definition of the primary estimand, followed by the corresponding analyses. Clarify the definitions for the primary and supplementary estimands. The changes made do not affect the detailed analyses specified in the previous version of the SAP finalized on 16Mar2018.	Per new ICH E9(R1) guidance. The guidance could be found online: http://www.ich.org/products/guidelines/efficacy/article/efficacy-guidelines.html#9-2
5.4.1.2 and 5.4.2.2	Remove ANCOVA models for SPSR and TSQM-9	The data will only be summarized for subjects who entered the study on an oral antipsychotic. No ANCOVA models are needed.
8	Data about Use of Nicotine will only be listed	Too many types/units for the data of use of nicotine
8	Add scoring algorithm for calculating the total SQLS-R4	Necessary for programming

ABBREVIATIONS

ADA	American Diabetes Association
AE	adverse event
AIMS	Abnormal Involuntary Movement Scale
ANCOVA	analysis of covariance
BARS	Barnes Akathisia Rating Scale
BMI	body mass index
C-SSRS	Columbia-Suicide Severity Rating Scale
CGI-S	Clinical Global Impression-Severity
CI	confidence interval
CRF	case report form
CSR	Clinical Study Report
DB	double-blind
DSM-5	Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition
ECG	electrocardiogram
EOP	end-of-phase
EOS	end-of-study
EPS	extrapyramidal symptoms
ER	extended release
EU	European Union
FDA	Food and Drug Administration
FU	follow-up
HOMA	homeostasis model assessment
HRUQ	Health Resource Utilization Questionnaire
ICH	International Conference on Harmonization
IEQ	Involvement Evaluation Questionnaire
IMR	Illness Management and Recovery
ITT	intent-to-treat
IWRS	Interactive Web Response System
KM	Kaplan-Meier
LOCF	last observation carried forward
LS	least-squares
MA	Maintenance
MedDRA	Medical Dictionary for Regulatory Activities
MMRM	mixed model repeated measures
MPV	major protocol violation
OL	Open-label
PANSS	Positive and Negative Syndrome Scale for Schizophrenia
PP	per protocol
PP1M	paliperidone palmitate 1-month formulation
PP3M	paliperidone palmitate 3-month formulation
PP6M	paliperidone palmitate 6-month formulation
PSP	Personal and Social Performance Scale
PSRE	potentially suicide-related event
ROW	Rest of World
SAE	serious adverse event
SAP	Statistical Analysis Plan
SAS	Simpson and Angus Rating Scale
SD	standard deviation
SE	standard error
SPSR	Satisfaction With Participation in Social Roles (scale)
SQSL-R4	Schizophrenia Quality of Life Scale, Revision 4
TRANS	Transition
TSQM-9	abbreviated 9-item Treatment Satisfaction Questionnaire for Medication
VAS	Visual Analog Scale

1. INTRODUCTION

This statistical analysis plan (SAP) contains definitions of analysis populations, derived variables and statistical methods for the analysis of efficacy and safety data from study R092670PSY3015.

1.1. Trial Objectives

Primary Objective

The primary efficacy objective is to demonstrate that injection cycles consisting of a single administration of PP6M (700 or 1000 mg eq.) are not less effective than 2 sequentially administered injections of PP3M (350 or 525 mg eq.) for the prevention of relapse in subjects with schizophrenia previously stabilized on corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

Secondary objectives

To evaluate the safety and tolerability of PP6M (700 or 1000 mg eq.) in subjects with schizophrenia who have switched from corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

To evaluate the pharmacokinetic (PK) profile of PP6M (700 or 1000 mg eq.) administered in the gluteal muscle in subjects with schizophrenia who have switched from corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

To evaluate the clinically assessed efficacy of PP6M (700 or 1000 mg eq.) versus PP3M (350 or 525 mg eq.) in maintaining symptom control, functioning personally and socially, and achieving or sustaining remission in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.).

To evaluate the subject-reported outcomes of PP6M (700 or 1000 mg eq.) or PP3M (350 or 525 mg eq.) compared with treatment with previous oral antipsychotics in terms of satisfaction with medication and with participation in social roles.

1.2. Trial Design

This is a randomized, double-blind, active-controlled, multicenter, interventional, parallel-group study. All eligible subjects who progress without relapse will participate in a Screening Phase (of up to 28 days), a Maintenance Phase that includes 1 injection cycle with either PP1M or PP3M (yielding a phase duration of 1 or 3 months, accordingly), and a Double-blind Phase (of 12 months). The Double-blind Phase is designed to include 2 injection cycles of PP6M (investigational drug with alternating placebo) or 4 injection cycles of PP3M (active control). In addition to standard participation as described above, further conditional/additional participation is possible as follows:

Before the Maintenance Phase, some subjects will participate in a Transition Phase, with 1 to 5 injections of PP1M, if they entered the study on an oral antipsychotic, on injectable risperidone, or on PP1M previously initiated but not yet stabilized.

If a subject has already received at least 1 dose of double-blind study drug but then has relapsed or has met other relevant conditions for withdrawal or discontinuation, then the subject should enter a Follow-up Phase. The Follow-up Phase ends 12 months after the subject's first double-blind injection. The Follow-up Phase collects supplementary poststudy data from willing affected subjects, in an effort to document minimum safety information (ie, adverse events) and minimum efficacy information (ie, relapse status). The Follow-up Phase is designed to be as low-burden and noninvasive as possible, in order to encourage participation by the affected subjects.

The duration of exposure to study drug (ie, the number of injections) and the total duration of study participation are variable based on a subject's flow through treatment types, on participation in conditional phases or parts as described in the 3 bullet points above, and on whether a subject experiences a relapse during the study.

1.3. Statistical Hypotheses for Trial Objectives

The primary hypothesis is that the efficacy of PP6M is non-inferior to PP3M for preventing relapse in subjects with schizophrenia who were previously stabilized on corresponding doses of PP1M and/or PP3M.

1.4. Sample Size Justification

The sample size for the Double-blind Phase of the study is 549 randomized subjects, based on determinations to provide a minimum of 80% power for the primary endpoint. The sample size determination includes the assumptions that the expected survival rate (percentage of subjects remaining relapse-free at 12 months) in the PP3M group is 85%, and that the 1-sided significance level should be 2.5%. Given these assumptions, 549 subjects randomized in a 2:1 ratio (PP6M:PP3M) are required to demonstrate with 80% power that PP6M is no worse than PP3M by a noninferiority margin of 10% for the percentage of subjects remaining relapse-free at 12 months. This assumes that the efficacy observed in the PP3M group will be similar to the efficacy observed in the previous PP3M registrational Phase 3 studies (R092670PSY3011 and R092670PSY3012). The noninferiority margin of 10% and statistical methods for this analysis were selected based on the Sponsor's previous studies and on advice from experts and health authorities, as follows:

- In the JRD's previous placebo-controlled relapse prevention studies with oral paliperidone ER/PR, PP1M, and PP3M,^{[1],[2],[3]} a Kaplan-Meier estimate indicated that the meta-analytic estimate of treatment benefit of paliperidone over placebo was 42.1% (95% confidence interval: 28.4% to 55.8%).^[4]
- A panel of experts in the field of schizophrenia relapse prevention studies was convened to obtain recommendations for the clinical noninferiority margin to be used in this study. Using a modified Delphi approach, the mean value obtained after anonymous voting for the noninferiority margin was 13.4% (median 13.0%; range 10% to 20%).^[4] This clinical

judgment based on expert opinion thus provided guidance on the largest loss of efficacy that could be considered clinically acceptable; in accordance with the relevant US FDA guidance,^[5] the maximum was therefore set at 13.0%.^[4]

- Prior scientific advice from the Committee for Medicinal Products for Human Use had recommended a noninferiority margin of 10%.^[4]
- For all of the above reasons, an effect of 10% was chosen as the margin.

Further details are available in a separate statistical support document.^[4]

The study design assumes discontinuation rates during the Transition and Maintenance Phases of 20% for subjects who entered the study with previous PP1M or PP3M stability, and 40% for subjects who entered the study without previous PP1M or PP3M stability. The study design also assumes a dropout rate of 10% during the Double-blind Phase (where the dropout rate also accounts for subjects excluded due to protocol violations). Given these assumptions for discontinuation, the study targets approximately 840 subjects to enter the Transition or Maintenance Phase.

1.5. Randomization and Blinding

At entry into the Double-blind Phase, subjects who had received a moderate dose in the Maintenance Phase will be randomly assigned to 1 of 2 treatment groups (active control or investigational drug as moderate doses) and subjects who had received a higher dose in the Maintenance Phase will be randomly assigned to 1 of 2 treatment groups (active control or investigational drug as higher doses), based on a computer-generated randomization schedule prepared before the study by or under the supervision of the Sponsor. The randomization (2:1 ratio, PP6M:PP3M) will be balanced by using randomly permuted blocks and will be stratified by study site and by moderate or high dose in the Maintenance Phase. Based on this randomization code, the study drug will be packaged and labeled for each subject. Medication kit numbers will be preprinted on the study drug labels and assigned as subjects qualify for the Double-blind Phase and are randomly assigned to treatment.

The randomization code and treatment code are assigned using an Interactive Web Response System (IWRS). The investigator will not be provided with randomization codes. To maintain the blind, due to differences in the syringe sizes used between PP6M and PP3M, the study drug administrator is not allowed to perform any other study-related procedures. The study staff, other than the study drug administrator, are not allowed to view the syringe or needle or observe the injection.

Subjects in the PP6M treatment group will receive matched placebo injections every 3 months when not receiving active medication to maintain the blind.

2. GENERAL ANALYSIS DEFINITIONS

2.1. Visit Windows

Because subjects do not always adhere to the protocol visit schedule, the following rules are applied to assign visit windows to protocol-defined visits for data analysis. These windows are distinct from the visit windows specified in the Time and Event (T&E) Schedule in the protocol to inform the conduct of the study. Listed in Tables 1 are the visit windows (time points), and the corresponding day ranges and the target days for each protocol-defined visit. The relative days are with respect to the start date of Transition (Trans.), Maintenance (MA), and Double-blind phases, depending on which phase a visit belongs to. No data from the Follow-up phase will be considered with visit windows.

If a subject has 2 or more actual visits in 1 visit window [other than Screening windows, Baseline (OL, MA, or DB) window, and Average Pre-dose window for ECG], the visit closest to the target day will be used as the protocol-defined visit for that visit window. If 2 actual visits are equidistant from the target day within a visit window, the later visit will be used.

If a visit window has no scheduled visits but does have unscheduled visits, then the unscheduled visit closest to the scheduled visit will be used for that protocol visit.

PANSS scores measured at the confirmation visit (solely for the purpose of confirming relapse) will not be included in statistical analyses. As specified in the protocol, once relapse is confirmed in the Double-blind phase, subject will have the EOP/Early withdrawal visit performed. PANSS scores measured close to (and including) the date of confirmation visit will be recorded in the EOP/Early withdrawal visit. Hence there won't be information loss by discarding PANSS score measured at the confirmation visit.

Final post-baseline (OL) assessment during the Open-label Phase will be used as the value for Baseline (DB) visit.

Based on the Amendment 3 of the protocol that was issued on 13 February 2019, the Double-blind (DB) phase is limited to 12 months. However, there were five subjects from Turkey who have received a Month 12 injection and have data after Month 12 of the DB phase because the protocol amendment 3 was not approved in the country when these subjects reached Month 12. The date of DB Month 12 is defined in Section 2.5.1. of the SAP. As shown in Tables 1a to 1f, the efficacy and safety data collected after Month 12 of Double-blind phase (visit 33b) will not be included in over-time summaries, nor be considered as the End point of the DB phase. However, all data from the Double-blind phase, regardless of whether the data are collected before or after the DB Month 12 date, will be included in data listings, subject's profiles, and the overall summaries (eg, treatment exposure, AE, concomitant medication, protocol deviation, etc.) for the Double-blind phase.

Table 1a: Time Intervals for Visits for Positive and Negative Syndrome Scale (PANSS), SPSR, PSP, Abbreviated TSQM-9, HRUQ, IEQ, SQLS, and IMR				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval in Phase Day	Target Time in Phase Day
	1	Screening ^a	Pre-OL dose	-28 to -1 before OL dosing
Trans. ^b	2a, 2b, 2c, 2d or 2e	Baseline (OL.) ^b	≤ 1st Trans. injection date	1
MA ^c	1,2f	Baseline (OL.) ^d	≤ MA injection date	1
		Baseline (MA) ^e		
MA	MA Final Visit	End Point (MA) ^f	≥ 2 (MA day)	30 (PP1M) or 90 (PP3M)
Double-blind	7b	Baseline (DB) ^f	1st OL injection date relative day +1 to ≤1	1
Double-blind	13	Month 3 (DB)	2 to 137	92
Double-blind	20	Month 6 (DB)	138 to 228	183
Double-blind	26	Month 9 (DB)	229 to 319	274
Double-blind	33a or 33b	Month 12 (DB)	320 to DB Day ^g of Visit 33a or 33b if it's ≥ 320	365
Double-blind	DB Final Visit	End Point (DB)	Last record ^g before/on Visit 33a or 33b	

^a The Screening visit window is defined for PANSS, SPSR and Abbreviated TSQM-9 which are scheduled to be performed at Visit Number 1 (Screening). For PANSS, if there is only 1 pre-dose assessment and it is prior to OL Study Day 1 (ie, Reference Start Date), then the same value will be assigned to the Screening and Baseline (MA) visit windows.

^b Trans. = Transition Phase, PANSS score only, and only applicable to those who enter Transition Phase.

^c MA = Maintenance Phase. Only applicable to PANSS and PSP

^d Only applicable to those who do not enter Transition Phase, and only applicable to PANSS and PSP

^e Only applicable to those who enter Maintenance Phase, and only applicable to PANSS and PSP

^f Final post-baseline (MA) assessment during the Maintenance Phase will be used as the value for Baseline (DB) visit.

^g If the End of DB Phase visit date is after Visit 33b, use the data on or before Date of DB Month 12 that is defined in Section 2.5.1.

OL=Open-label, DB=Double-blind.

Table 1b: Time Intervals for Visits for Prolactin from Laboratory Tests, and EPS (including AIMS, BARS, and SAS)				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval in Phase Day	Target Time in Phase Day
	1	Screening	Pre-OL Dose	-28 to -1 before OL dosing
Trans. ^a	2a, 2b, 2c, 2d or 2e	Baseline (OL.) ^a	≤ 1st Trans. injection date	1
MA ^b	1,2f	Baseline (OL.) ^c	≤ MA injection date	1
		Baseline (MA) ^d		
MA	MA Final Visit	End Point (MA) ^e	2 to End of MA	30 (PP1M) or 90 (PP3M)
Double-blind	7b	Baseline (DB) ^e	1st OL injection date relative day +1 to ≤1	1
Double-blind	9	Week 3 (DB)	2 to 25	22
Double-blind	10	Week 4 (DB)	26 to 32	29
Double-blind	11	Week 5 (DB)	33 to 47	36
Double-blind	12	Month 2 (DB) ^f	48 to 121	60
Double-blind	20	Month 6 (DB)	122 to 196	183
Double-blind	23	Month 7 (DB)	197 to 226	211
Double-blind	25	Month 8 (DB)	227 to 303	242
Double-blind	33a or 33b	Month 12 (DB)	304 to DB Day ^g of Visit 33a or 33b if it's ≥ 304	365
Double-blind	DB Final Visit	End Point (DB)	Last record ^g before/on Visit 33a or 33b	

^a Trans. = Transition Phase, only applicable to those who enter the Transition Phase.
^b MA = Maintenance Phase.
^c Only applicable to those who do not enter the Transition Phase.
^d Only applicable to all patients who enter Maintenance Phase. For subjects who do not enter the Transition Phase, Baseline (MA) = Baseline (OL).
^e Final post-baseline (MA) assessment during the Maintenance Phase will be used as the value for Baseline (DB) visit.
^f Only applicable to EPS data.
^g If the End of DB Phase visit date is after Visit 33b, use the data on or before Date of DB Month 12 that is defined in Section 2.5.1.

OL=Open-label, DB=Double-blind

Table 1c: Time Intervals for Visits for CGI-S, vital signs, and ECG				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval in Phase Day	Target Time in Phase Day
	1	Screening	<1	-28 to -1 before OL dosing
Trans. ^a	2a, 2b, 2c, 2d or 2e	Baseline (OL.) ^{a,h}	≤ 1st Trans. injection date	1
MA ^b	1,2f	Baseline (OL.) ^{c,h}	≤ MA injection date	1
		Baseline (MA) ^d		
MA	MA Final Visit	End Point (MA) ^e	2 to End of MA	30 (PP1M) or 90 (PP3M)
Double-blind	7b	Baseline (DB) ^e	1st OL injection date relative day +1 to ≤1	1
Double-blind	10	Week 4 (DB)	2 to 44	29
Double-blind	12	Month 2 (DB) ^f	45 to 75	60
Double-blind	13	Month 3 (DB) ^f	76 to 105	92
Double-blind	14	Month 4 (DB) ^f	106 to 133	120
Double-blind	15	Month 5 (DB) ^f	134 to 165	148
Double-blind	20	Month 6 (DB) ^f	166 to 196	183
Double-blind	20	Month 6 (DB) ^g	45 to 347	183
Double-blind	23	Month 7 (DB) ^f	197 to 226	211
Double-blind	25	Month 8 (DB) ^f	227 to 257	242
Double-blind	26	Month 9 (DB) ^f	258 to 287	274
Double-blind	27	Month 10 (DB) ^f	288 to 315	302
Double-blind	28	Month 11 (DB) ^f	316 to 347	330
Double-blind	33a or 33b	Month 12 (DB)	348 to DB Day ⁱ of Visit 33a or 33b if it is ≥ 348	365
Double-blind	DB Final Visit	End Point (DB)	Last record ⁱ before/on Visit 33a or 33b	

^a Trans. = Transition Phase, only applicable to those who enter the Transition Phase. For ECG, Baseline (OL) is the average predose ECG value that is defined as the average of all non-missing predose ECG results.

^b MA = Maintenance Phase.

^c Only applicable to those who do not enter the Transition Phase. For ECG, Baseline (OL) is the average predose ECG value that is defined as the average of all non-missing predose ECG results.

^d Only applicable to all patients who enter Maintenance Phase. For subjects who do not enter the Transition Phase, Baseline (MA) = Baseline (OL).

^e Final post-baseline (MA) assessment during the Maintenance Phase will be used as the value for Baseline (DB) visit.

^f Only applicable to CGI-S

^g Only applicable to Vital Sign and ECG

^h In the analysis, the average predose ECG value will be summarized separately for those who were on oral antipsychotic, on injectable risperidone, PP1M initiation, or on PP1M/PP3M stability at the time of entering the study.

ⁱ If the End of DB Phase visit date is after Visit 33b, use the data on or before Date of DB Month 12 that is defined in Section 2.5.1.

OL=Open-label, DB=Double-blind

Table 1d: Time Intervals for Visits for Laboratory Tests (other than prolactin)				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval in Phase Day	Target Time in Phase Day
	1	Screening	<1	-28 to -1 before OL dosing
Trans. ^a	2a, 2b, 2c, 2d or 2e	Baseline (OL) ^a	≤ 1st Trans. injection date	1
MA ^b	1,2f	Baseline (OL) ^c	≤ MA injection date	1
		Baseline (MA) ^d		
MA	MA Final Visit	End Point (MA) ^e	2 to End of MA	30 (PP1M) or 90 (PP3M)
Double-blind	7b	Baseline (DB) ^e	1st OL injection date relative day +1 to ≤1	1
Double-blind	20	Month 6 (DB)	2 to 273	183
Double-blind	33a or 33b	Month 12 (DB)	274 to DB Day ^f of Visit 33a or 33b if it's ≥ 274	365
Double-blind	DB Final Visit	End Point (DB)	Last record ^f before/on Visit 33a or 33b	

^a Trans. = Transition Phase, only applicable to those who enter the Transition Phase.
^b MA = Maintenance Phase.
^c Only applicable to those who do not enter the Transition Phase.
^d Only applicable to those who enter Maintenance Phase. For subjects who do not enter the Transition Phase, Baseline (MA) = Baseline (OL).
^e Final post-baseline (MA) assessment during the Maintenance Phase will be used as the value for Baseline (DB) visit.
^f If the End of DB Phase visit date is after Visit 33b, use the data on or before Date of DB Month 12 that is defined in Section 2.5.1.

OL=Open-label, DB=Double-blind

Table 1e: Time Intervals for Visits for C-SSRS				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval in Phase Day	Target Time in Phase Day
	1	Screening	<1	-28 to -1 before OL dosing
MA ^a	1,2f	Baseline (MA) ^b	≤ MA injection date	1
MA	MA Final Visit	End Point (MA) ^c	2 to End of MA	30 (PP1M) or 90 (PP3M)
Double-blind	7b	Baseline (DB) ^c	1st OL injection date relative day +1 to ≤1	1
Double-blind	10	Week 4 (DB)	2 to 44	29
Double-blind	12	Month 2 (DB)	45 to 75	60
Double-blind	13	Month 3 (DB)	76 to 105	92
Double-blind	14	Month 4 (DB)	106 to 133	120
Double-blind	15	Month 5 (DB)	134 to 165	148
Double-blind	20	Month 6 (DB)	166 to 197	183
Double-blind	23	Month 7 (DB)	198 to 227	213
Double-blind	25	Month 8 (DB)	228 to 257	242
Double-blind	26	Month 9 (DB)	258 to 287	274
Double-blind	27	Month 10 (DB)	288 to 319	302
Double-blind	29	Month 11 (DB)	320 to 350	337
Double-blind	33a or 33b	Month 12 (DB)	351 to DB Day ^d of Visit 33a or 33b if it's ≥ 351	365
Double-blind	DB Final Visit	End Point (DB)	Last record ^d before/on Visit 33a or 33b	

^a MA = Maintenance Phase.

^b Only applicable to those who enter Maintenance Phase. For subjects who do not enter the Transition Phase, Baseline (MA) = Baseline (OL).

^c Final post-baseline (MA) assessment during the Maintenance Phase will be used as the value for Baseline (DB) visit.

^d If the End of DB Phase visit date is after Visit 33b, use the data on or before Date of DB Month 12 that is defined in Section 2.5.1.

OL=Open-label, DB=Double-blind

Table 1f: Time Intervals for Subject Injection Site Rating VAS, and Investigator Injection Site Rating				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval in Phase Day	Target Time in Phase Day
Trans. ^a	Trans. Final visit	End Point (Trans.) ^a	2 to End of Trans	
MA ^b	MA Final Visit	End Point (MA) ^c	2 to End of MA	30 (PP1M) or 90 (PP3M)
Double-blind	7b	Baseline (DB) ^c	1st OL injection date relative day +1 to ≤1	1
Double-blind	8	Day 3 (DB)	2 to 12	3
Double-blind	9	Week 3 (DB)	13 to 56	22
Double-blind	13	Month 3 (DB)	57 to 105	92
Double-blind	14	Month 4 (DB)	106 to 151	120
Double-blind	20	Month 6 (DB)	152 to 228	183
Double-blind	26	Month 9 (DB)	229 to 287	274
Double-blind	27	Month 10 (DB)	288 to 333	302
Double-blind	33a or 33b	Month 12 (DB)	334 to DB Day ^d of Visit 33a or 33b if it's ≥ 334	365
Double-blind	DB Final Visit	End Point (DB)	Last record ^d before/on Visit 33a or 33b	
<p>^a Trans. = Transition Phase, only applicable to those who enter Transition Phase.</p> <p>^b MA = Maintenance Phase.</p> <p>^c Final post-baseline (MA) assessment during the Maintenance Phase will be used as the value for Baseline (DB) visit.</p> <p>^d If the End of DB Phase visit date is after Visit 33b, use the data on or before Date of DB Month 12 that is defined in Section 2.5.1.</p> <p>OL=Open-label, DB=Double-blind</p>				

Hemoglobin A1c and urinalysis testing will be summarized at baseline (MA), end point (MA), and end point (DB).

To prevent missing data for subjects who withdraw early in the Double-blind phase and do not provide the data in the Follow-up phase up to the end of Month 12 from their first Double-blind injection, multiple contacts to the subjects by the sites will be made to get the information regarding safety and information related to a relapse event. The number of subjects who agreed to be contacted at the time of withdrawal will be summarized by the 2 treatment groups in the Double-blind phase.

2.2. Pooling Algorithm for Analysis Centers

There will be no pooling for the study sites in the efficacy and safety analyses.

2.3. Analysis Populations

Four analysis populations are defined: the open-label intent-to-treat (OL ITT) analysis population, the double-blind intent-to-treat (DB ITT) analysis population, the all randomized analysis population, and the per-protocol analysis population (PP). The DB ITT analysis populations is the primary analysis population for the primary efficacy endpoint.

2.3.1. Open Label Intent-to-Treat (OL ITT) Analysis Population, and OL Safety Population

The open-label intent-to-treat analysis population, denoted as OL ITT, includes all subjects who have received at least 1 dose of open-label study drug (excluding the first study participation of these re-screened subjects mentioned in the first paragraph), including transition and maintenance phases. The open-label safety analysis population (OL Safety) is the same as the OL ITT analysis population.

Protocol amendment 2 permitted the withdrawal of subjects who had an incomplete injection or received an unintended dosing or administration of study drug during the Transitional Phase or Maintenance Phase, and re-screening of these subjects. For these subjects, only data collected during the second study participation will be included in the data summary. The data collected during the first study participation will only be listed in the subject's profile, and would not be included in the summaries for the OL ITT analysis population.

2.3.2. Double-blind Intent-to-Treat (DB ITT) Analysis Population

The DB intent-to-treat analysis population, denoted as DB ITT, includes all subjects who are randomly assigned to treatment group of either PP6M or PP3M during the Double-blind Phase, receive at least 1 dose of double-blind study drug. The Double-blind safety analysis population (DB Safety) will be the same as the DB ITT population.

2.3.3. All Randomized Analysis Population

The all randomized population includes all subjects who are randomly assigned to treatment group of either PP6M or PP3M during the Double-blind Phase.

2.3.4. Per-Protocol Analysis Population

The per-protocol analysis population includes subjects who are randomly assigned to treatment (PP6M or PP3M) during the Double-blind Phase and receive at least 1 dose of double-blind study drug. Subjects should not have Major Protocol Violations (MPV) up to Visit 33a or 33b of the double-blind phase (DB Month 12 date), that is, major protocol deviations that may impact efficacy such as violations of intended study population, errors in treatment assignment or use of excluded medication.

Criteria to identify MPVs:

The categories and examples for subjects who are considered as having had MPVs, that is, the subjects who are in the intent-to-treat analysis set but are excluded from the per-protocol analysis set (Inclusion/Exclusion/Eligibility Criteria numbers referenced below are those from Amendment 3 of the Protocol) are listed below:

1. Violations of Inclusion and Exclusion Criteria that are deemed to affect the primary efficacy endpoint (time to relapse at Month 12 of the Double-blind phase). Examples are: a full PANSS score ≥ 70 points at screen (violation of Inclusion Criteria 6), diagnosis at screening is not schizophrenia (violation of Inclusion Criteria 3), had attempted suicide within 12 months before screening (violation of Exclusion Criteria 2)
2. Subjects who should not be randomized to enter the Double-blind phase. For example, the PANSS total score at the randomization (Visit 7b) is ≥ 70 (violation of Eligibility Criteria 1), or have scores of > 4 points at the randomization (Visit 7b) for at least one of the following PANSS items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/ persecution), P7 (hostility), G8 (uncooperativeness), and G14 (poor impulse control) (violation of Eligibility Criteria 2)
3. Subjects who have errors in treatment of the Maintenance or Double-blind phases. Examples are: Subjects took the study medication that deviated from the assignment of the IWRS in the Maintenance or Double-blind phases, Study drug was not fully administered at any visit in the Maintenance or Double-blind phase, Subjects took moderate dose level at maintenance though they were supposed to take high dose level according to the protocol, etc.
4. Use of excluded medication, including subjects that used excluded Long Active Injectable (LAI) antipsychotic during Maintenance or Double-blind phases
5. Others, for example, subjects that had no PANSS score data during the entire Double-blind phase

Subjects with a major deviation and/or a MPV will be determined prior to unblinding the data.

2.3.5. Pharmacokinetics Analysis Population

The Plan of PK Analysis will be addressed in a separate document.

2.3.6. Pharmacodynamics Analysis Population

The Plan of PK-PD Analysis will be addressed in a separate document.

2.4. Definition of Subgroups

Subgroup	Version	Definition
Region	1	EU, US, Non-EU/Non-US
Age Group	1	<ul style="list-style-type: none"> • 18-25 • 26-50 • 51-65

Subgroup	Version	Definition
		<ul style="list-style-type: none"> >65
Race	1	White, Black, Non-black/Non-white
Sex	1	male, female
BMI at DB Baseline	1	<ul style="list-style-type: none"> normal <25 kg/m² overweight 25-<30 kg/m² obese ≥30 kg/m²
Stabilized PP formulation (PP1M or PP3M in Maintenance Phase, for primary efficacy and AE summary) (MAR)	1	<ul style="list-style-type: none"> PP1M PP3M
Dose level: (A stratification factor at randomization that based: Dose Level in Maintenance Phase, for primary efficacy and AE summary) (MADL)	1	This subgroup is only defined for randomized subjects: <ul style="list-style-type: none"> moderate high
Prior antipsychotic use (only applicable to analyses of ECG data)	1	<ul style="list-style-type: none"> Oral antipsychotic Injectable Risperidone PP1M initiation PP1M stability PP3M stability

2.5. Study Day and Relative Day

2.5.1. Study Day Definitions

The study day is defined based on the phase a visit happens. Three phases are considered when the study day is calculated: Transition, Maintenance, and Double-blind. Both Transition and Maintenance Phases are also referred as Open-label phase.

The ‘Reference Start Date’ or ‘Start Day 1’ for a subject is the date of the first study injection during the Open-Label Phase. The ‘Reference End Date’ for a subject is the end-of-study (EOS) visit, the completion/withdrawal date, the last date of study drug administration during the study, or the last OL or DB evaluation date collected in QS, EG, VS, and LB data.

Date of Maintenance injection

There is only one study medication injection for the Maintenance Phase. Date of Maintenance injection is the date of the exposure record for Visit 2f for subjects who enter the Transition Phase, and the date of exposure record for Visit 2 for subjects who enter the Maintenance directly (no Transition Phase) from Screen Phase.

Start and End dates of the Transition, Maintenance, and Double-blind phases

- For subjects who enter the Transition Phase, the Start date of Transition Phase (Trans. Day 1) refers to the date of first injection of the study medication (PP1M) during the Transition Phase; and the Transition Phase end date (denoted as ‘Trans. End Date’) is one day before the start date Maintenance Phase for subjects who enter the Maintenance Phase, or the trial

disposition date for subjects who do not enter the Maintenance Phase. The Start and end dates are not defined for subjects who do not enter the Transition Phase (those who enter the Maintenance Phase directly from Screen Phase).

- For subjects who enter the Maintenance Phase, the Start date of the Maintenance Phase (MA Day 1) is the injection date of the Maintenance Phase; and the Maintenance Phase end date (denoted as “MA End Date”) is one day before the DB Day 1 for subjects who enter the Double-blind phase, or the trial disposition date for subjects who do not enter the Double-blind Phase.
- For randomized subjects who receive Double-blind study medication, the Start date of the Double-blind Phase (DB Day 1) is the first injection date of the Double-blind Phase; and the Double-blind Phase end date (denoted as ‘DB End Date’) is the same as the date on the Double-blind treatment disposition page. The DB Start Date and the DB End Date are not defined for subjects who do not receive Double-blind Phase study medication.

Data collected after the DB End Date will be allocated to phase of ‘FOLLOW-UP’. The Start Date for the Follow-up Phase is one Day after the DB End Date. The End Date for the Follow-up Phase is same as the ‘Reference End Day.’

Date of Double-blind Month 12 (DB Month 12)

For 5 subjects who received a Month 12 injection as mentioned in Section 2.1, the date of Double-blind Month 12 (DB Month 12) is defined for the primary efficacy analysis as the date of Visit 33a or 33b from the eCRF. For all other subjects who did not receive a Month 12 injection, their DB Month 12 dates will be missing.

2.5.2. Relative Day for a Visit

There are three types of relative days (Study Day) defined for a visit in this trial – (1) relative to the Date of First Open-Label injection (either in Transition phase or Maintenance Phase), (2) relative to the Date of Maintenance Injection, (3) relative to the DB Start Date. Relative days are defined with respect to the date of Maintenance injection and the DB start date. Days relative to the DB Start Date will only be defined for the Double-blind and Follow-up visits.

Maintenance (MA) Study Day

Days relative to the Date of Maintenance injection are defined as follows (only for subjects who receive Maintenance injection):

MA Study Day = visit date – the Date of Maintenance injection + 1; if visit date \geq the Date of Maintenance injection;

MA Study Day = visit date – the Date of Maintenance injection; if visit date < the Date of Maintenance injection.

OL Study Day

Days relative to the Date of First Open-Label (either transition or maintenance phase) injection are defined as follows:

- If the subject receives injections in transition phase,

OL Study Day = visit date – the Date of First injection of Transition phase + 1; if visit date \geq the Date of First injection in Transition phase

OL Study Day = visit date – the Date of First injection of Transition phase; if visit date < the Date of First injection of Transition phase.

- If the subject enters maintenance directly after the screen phase (no transition phase),

OL Study Day = MA Day.

DB Study Day

Days relative to the DB Start Date are defined as follows (only for those who receive Double-Blind injection):

DB Study Day = visit date - the DB Start Date+ 1; if visit date \geq the DB Start Date,

DB Study Day = visit date – the DB Start Date; if visit date < the DB Start Date.

For all types of relative days, as per the definitions above, there is no ‘Day 0’.

2.6. Baseline and Endpoint

2.6.1. Baseline Values

Three kinds of Baseline values are defined in the analyses: Baseline (OL), Baseline (MA), and Baseline (DB).

Baseline (OL):

The ‘Baseline (OL)’ value is the baseline values that will be summarized in the demographic tables. The ‘baseline (OL)’ value is defined as the last value collected before administration of any study medication from Open-label phases (Transition and Maintenance Phases).

For ECG, the Baseline (OL) is the average predose value defined in Section 6.4.

Baseline (MA):

The 'Baseline (MA)' value for the Maintenance phase is defined as the last assessment on or before the Maintenance injection date. Note that the Baseline (MA) is defined for each parameter of interest whereas the Maintenance injection Date is defined at subject level and remains the same for all parameters of interest.

The 'C-SSRS Baseline' version is collected on the Screening visit and the 'C-SSRS Since Last Visit' version is collected on all other visits including Visit 2 (or 2a to 2f). To avoid confusion of the meaning of 'baseline', we denote assessment of C-SSRS at Visit 2 or 2f (i.e., the Maintenance Start Date) as 'Day 1 (MA)' (Table 1e), instead of 'Baseline (MA)' because it uses the C-SSRS Since Last Visit version.

Baseline (DB):

The 'Baseline (DB)' value for the Double-blind Phase is defined as the pre-dose (Double-blind) assessment value measured at the day closest to (and including) the DB Start Date. It is defined for all efficacy variables as well as for the safety variables in the Double-blind Phase for subjects who enter the DB phase.

No baseline values are needed for the Transition phase (only baseline values for Open-label phase) and the Follow-up Phase.

2.6.2. Endpoint Values

For each variable measured over time, the 'End Point (MA)' value is defined as the last assessment value (note that the Baseline (MA) value is excluded) during the Maintenance Phase. This value will be the same as the Baseline (DB) value for subjects who continue into the Double-blind Phase.

The 'End Point (DB)' value is defined as the last post-baseline (DB) assessment value (note that the Baseline (DB) value is excluded) before or on the date of DB Month 12, or the disposition date of the treatment disposition if the date of DB Month 12 is missing.

2.7. Imputation Rules for Missing Date and Time

2.7.1. Imputation Rules for Missing AE Date/Time of Onset/Resolution

An AE with an incomplete date will not be considered as treatment-emergent for the open-label phase (including Transition and Maintenance phases).

The following rules will be used to determine if an AE is treatment-emergent for the Double-blind phase when the AE start date is incomplete:

- (1) If the month and year are known and day of the month is missing: If the DB study medication started during or prior to that month/year then the AE is considered treatment-emergent for the Double-blind phase. If the DB study medication started after that month/year, then the AE will not be considered treatment emergent for the Double-blind phase.

(2) If the year is known and the month is missing: If the DB study medication started during or prior to that year then the AE is considered treatment-emergent.

(3) If the year is missing: The AE will be considered treatment emergent for the Double-blind phase.

2.7.2. Incomplete/Missing Dates of Most Recent Hospitalization for Psychosis

The duration (days) of the most recent hospitalization for psychosis prior to the start of the study will be calculated as: stop date - start date + 1. If the hospitalization start/stop date is completely missing or the year is missing, no imputation will be performed. If the start/stop dates of hospitalization are partially missing the following rules will apply:

- Hospitalization start date: if only the day is missing, use the first day of the month. If only the month is missing, January will be used. If both the day and month are missing, the imputed date will be January 1.
- Hospitalization stop date will be the minimum between the day before the Screening Visit and the following imputed date:
 - If only the day is missing, then the last day of the given month will be used (eg, if the month is April, then the missing day will be imputed as April 30);
 - If only the month is missing, then December will be used;
 - If both the day and the month are missing, then the imputed date will be December 31.

2.7.3. Incomplete/Missing Dates of Hospitalization Collected in HRUQ

The duration (days) of the hospitalization collected in HRUQ will be calculated as: stop date - start date + 1. If the hospitalization start/stop date is completely missing or the year is missing, no imputation will be performed. If the start/stop dates of hospitalization are partially missing the following rules will apply:

Hospitalization start date:

- if only the day is missing, use the first day of the month.
- If only the month is missing, January will be used.
- If both the day and month are missing, the imputed date will be January 1.

Hospitalization stop date will be the minimum between the day before the date of assessment and the following imputed date:

- If only the day is missing, then the last day of the given month will be used (eg, if the month is April, then the missing day will be imputed as April 30);

- If only the month is missing, then December will be used;
- If both the day and the month are missing, then the imputed date will be December 31.

2.7.4. Incomplete/Missing Dates for Concomitant Medications

No imputation of start/end dates will be done for concomitant medication reported during the follow-up phase, and hence, the following rules do not apply to follow-up phase.

If a partial date is reported, it is assumed medication was taken in both the Open-label and Double-blind phases that overlap with the partial date. If both start and end dates are missing but this concomitant medication was taken both prior to the study entry (OL Day 1) and still ongoing at end of the Double-blind Phase, it is assumed medication was taken both in the Open-label Phase and the Double-blind Phase.

The rules for estimating an incomplete concomitant medication start date are as follows:

If the month of the concomitant medication start date is equal to the month of the start of the Open-label Phase, then the estimated start date is the start date of the Open-label Phase;

If the month of the concomitant medication start date is greater than the month of the start of the Open-label Phase and earlier than the month of the reference end date (i.e. max (the OL End Date, the DB End Date)), then the estimated start date of the concomitant medication is the first day of the month;

If the month of the concomitant medication start date is greater than the month of the reference end date, then no imputation will be done;

If the month and year of the concomitant medication start date are known and the OL Start Date is after the month of the concomitant medication start date, then no imputation will be done;

If both the month and the day of the concomitant medication start date are missing but the year is not, the imputed start date will be the first day of that year.

For the incomplete concomitant medication end date, the rules are:

If the month of the concomitant medication end date is prior to the month of the reference end date, then the estimated the concomitant medication end date is the last day of that month;

If the month of the concomitant medication end date is during the month of the reference end date, then the estimated end date is the reference end date;

If the month of the concomitant medication end date is after the reference end date, then the estimated end date is the last day of that month;

If the month of the concomitant medication end date is missing but the year is not, and if the subject entered the Open-label Phase, then the estimated end date is the minimum of the last day of the year and the reference end date;

If the year is missing then the estimated end date is the reference end date;

If the concomitant medication is continuing, then the estimated end date is the reference end date for the purpose of duration calculation.

3. INTERIM ANALYSIS AND DATA MONITORING COMMITTEE REVIEW

No interim analyses are planned. There is no unblinded Data Monitoring Committee for this study.

4. SUBJECT INFORMATION

Unless indicated otherwise, the results for subject information will be provided by treatment group and total subjects.

4.1. Demographics and Baseline Characteristics

Table 2 presents the list of demographic variables and baseline characteristics and Table 3 presents the list of diagnosis and psychiatric history variables. Variables will be summarized for the OL ITT (same as OL Safety), DB ITT (same as DB Safety), and per-protocol analysis populations except for smoking history which will be summarized for the OL ITT analysis population only. In addition, these summaries will be presented for the subset of the OL ITT subjects who were not randomized.

The continuous variables will be summarized using descriptive statistics (N, mean, standard deviation [SD], median and range [minimum and maximum]). The categorical variables will be summarized using a frequency distribution with the number and percentage of subjects in each category.

Table 2: Demographic Variables and Baseline Characteristics

Continuous Variables:

- Age (years)^a
- Baseline (OL) weight (kg)
- Baseline (OL) height (cm)
- Baseline (OL) BMI (kg/m²) calculated as Weight (kg)/[Height (m)]²
- Baseline (OL) waist circumference (cm)

Categorical Variables:

- Age (18-25 years, 26-50 years, 51-65 years, and >65 years)^a
- Sex (male, female)
- Race^b (White, Black or African American, Asian (include Asian subcategories)^c, American Indian or Alaska native, native Hawaiian or other Pacific islander, other, multiple, not reported, unknown)
- Ethnicity (Hispanic or Latino, not Hispanic or Latino)
- Country
- Region (European Union, US, Non-EU/Non-US)
- Baseline (OL) BMI (normal: <25 kg/m², overweight: 25 kg/m² to <30 kg/m², obese: ≥30 kg/m²)
- Nicotine Use at Screening (never used, current/former user)

^a Age at Screening visit.

^b If multiple race categories are indicated, then Race is recorded as “Multiple.”

^c Asian subcategories include Chinese, Korean, Japanese, Filipino, Asian Indian, Thai, Malaysian, and Asian (other).

Demographic and baseline characteristics will be summarized by European Union vs. US vs. Non-EU/Non-US, for the per-protocol analysis population.

Table 3: Diagnosis and Psychiatric History Variables at Maintenance and Double-blind Phases

Continuous Variables:

- Age at diagnosis of schizophrenia (years)
- Duration of most recent hospitalization prior to study entry (years)^{a,b} calculated as end date of hospitalization for psychosis - start date of hospitalization for psychosis + 1
- Baseline (MA) and Baseline (DB) PANSS total score
- Baseline (MA) and baseline (DB) PSP score

Categorical Variables:

- DSM-5 diagnosis
 - 1) Number of prior hospitalizations for psychosis within last 24 months (0, 1, 2, 3, ≥4)^a
- Baseline (MA) and Baseline (DB) CGI-S score

^a Excludes hospitalization at time of study entry.

^b Applies only to subjects with prior hospitalizations.

4.2. Disposition Information

Subject disposition will be summarized for both the Open-label (including Transition and Maintenance Phases) and Double-blind phases. For the OL ITT analysis population, the reasons for study discontinuation during the Open-label Phase will be summarized. In addition, the number of subjects continuing into the Double-blind Phase will be summarized. For the DB ITT (same as

DB Safety), and per-protocol analysis populations, the reasons for study completion (i.e. relapse during the Double-blind Phase or completion of Double-blind Phase without a relapse) and the reasons for study discontinuation during the Double-blind Phase will be summarized. The disposition information for the Double-blind Phase is recorded on the treatment disposition “of Double-blind Phase” CRF page. Subjects who discontinue treatment by “disease relapse” will be considered as having completed the Double-blind phase by having a relapse event.

The cumulative number of subjects in the DB ITT analysis population will be presented over time for those who discontinue the Double-blind treatment without a relapse event..

Subject disposition during the Double-blind Phase will also be summarized by remission status during the Double-blind Phase. The remission criteria are provided in Section 5.3.6.

A Kaplan-Meier plot of time to all cause discontinuation (including relapses) during the Double-blind Phase will be presented by treatment group for the DB ITT population.

The number of screen failures will be presented.

4.3. Treatment Compliance

Since the study injection medication will be administered as SC injections by the study site staff, compliance of the injection study medication will not be included as an analysis variable. The number of injections received will be summarized as part of exposure (see Section 4.4.1).

4.4. Extent of Exposure

4.4.1. Injections

The number and percent of subjects who receive 1, 2, 3, etc. injections of double-blind study drug will be summarized by treatment group, both including and excluding the placebo injections in the PP6M group. The number and percent of subjects at each dose level will be summarized. For each 3 months (quarterly) during the Double-blind Phase, a frequency distribution will be presented showing the number of subjects who receive the injection in the gluteal muscle. The treatment exposure (including duration of total exposure), and mean dose (not including placebo injection) will be presented. These summaries will be provided for the DB ITT population.

The duration of total exposure in the Double-blind phase is calculated as the total number of days a subject remains in the Double-blind Phase of the study, that is,

The duration of total exposure in DB phase = treatment disposition date – the DB Start Date + 1

Similar analyses on the exposure during the Open-label Phase will be carried out for the OL ITT analysis population.

4.4.2. Oral Tolerability Test

For those subjects without documented tolerability to oral or injectable risperidone or paliperidone, oral paliperidone ER 6 mg daily or oral risperidone 3 mg daily are to be administered for 4 to 6 consecutive days. Tolerability testing is to occur during the Screening Phase (last dose must be administered by Day -1) and may be concurrent with any required washout.

The number and percent of subjects who receive 4, 5, or 6 consecutive daily doses of paliperidone ER as a tolerability test during the screening period will be summarized for the OL ITT analysis population.

4.5. Protocol Deviations

All major protocol deviations will be summarized for the OL ITT (Same as OL Safety) population and the DB ITT (same as DB Safety) population. Major Protocol Violations (i.e., Major Protocol Deviations that led to exclusion from Per-protocol population) before visits 33a or 33b (Section 2.3.4) will be summarized for the DB ITT population.

The following list of major protocol deviations may have the potential to impact subjects' rights, safety or well-being, or the integrity and/or result of the clinical study. Subjects with major protocol deviations will be identified prior to database lock and the subjects with major protocol deviations will be summarized by category.

- Developed withdrawal criteria but not withdrawn
- Entered but did not satisfy criteria
- Received a disallowed concomitant treatment
- Received wrong treatment or incorrect dose
- Other

4.6. Prior and Concomitant Medications

4.6.1. Prior Psychotropic Medications

The number and percentage of subjects who received psychotropic medications prior to the OL Start Date will be presented by the generic term category within the psychotropic group. Each medication will be categorized into one of the following psychotropic groups (used for Anti-extrapyramidal symptoms [EPS] / akathisia) : Acetylcholinesterase inhibitors, Antidepressants, Anti- EPS, Antihistamines, Atypical antipsychotics, Benzodiazepines, Beta blockers, Depot antipsychotics, Mood stabilizers and antiepileptics, Non-benzodiazepines hypnotics and anxiolytics, Stimulants, and Typical antipsychotics. These summaries will be presented for the OL ITT (same as OL safety) and DB ITT analysis populations.

Those prior psychotropic medications received by at least 5% of the subjects in either double-blind treatment group will be presented for the safety analysis population.

The number and percentage of subjects who received prior antiparkinsonian medications (beta blockers (used for EPS / akathisia), anticholinergics [anti-EPS] or antihistamines) will be provided by the generic term category within the psychotropic group. The summary will be presented for the OL ITT (same as OL safety) analysis populations.

4.6.2. Concomitant Benzodiazepines (Sedatives/Hypnotics/Anxiolytics)

The number and percentage of subjects who received benzodiazepines during the Open-label Phase (Transition and Maintenance phases combined) will be provided based on generic term category for the OL ITT (same as OL safety) analysis population. The number and percentage of subjects who received benzodiazepines during the Double-blind Phase will be provided based on generic term category for DB ITT (same as DB Safety) and per-protocol analysis populations.

For each subject, the total duration of each benzodiazepine will be calculated. Descriptive statistics of the duration (days) of benzodiazepine use during the Open-label Phase for the OL ITT analysis population, and during the Double-blind Phase for the safety and per-protocol analysis populations will be presented.

If both start and end dates for benzodiazepines are known, duration of concomitant medication is defined as stop date – start date +1. Otherwise stop and start dates of the concomitant medication during the 2 phases (OL and DB phases) are defined below.

For the summary of duration of benzodiazepines during the Open-label Phase, if the start date of concomitant medication is prior to the OL Start Date, the OL Start Date will be used as concomitant medication start date for duration calculation. If the end date of concomitant medication is after the OL End Date or indicated as continuing, then the OL End Date will be used as the concomitant medication end date for duration calculation.

For the summary of duration of benzodiazepines during the Double-blind Phase, if the start date of concomitant medication is prior to the DB Start Date, then the DB Start date will be used as concomitant medication start date for duration calculation. If the end date of concomitant medication is after the DB End Date or indicated as continuing, then the DB End Date will be used as the concomitant end date in calculating duration.

Additionally, for the summary of duration of benzodiazepines during the combined open-label and double-blind phase, if the start date of concomitant medication is prior to the OL Start Date, then the OL Start date will be used as concomitant medication start date for duration calculation. If the end date of concomitant medication is after the DB End Date or indicated as continuing, then the DB End Date will be used as the concomitant end date in calculating duration. Otherwise, duration in the combined phase = benzodiazepine stop date –start +1.

4.6.3. Concomitant Medications Other Than Benzodiazepines

The number and percentage of subjects who receive concomitant therapies other than benzodiazepines during the study will be provided based on generic term category for the Open-label Phase for the OL ITT analysis population and for the Double-blind Phase for the safety analysis population. Those concomitant medications, other than benzodiazepines, received by at least 5% of the subjects in either double-blind treatment group will be presented for the safety analysis population.

Note that duration in the trial is not calculated for concomitant medications other than benzodiazepines and prior psychotropic medications.

5. EFFICACY

5.1. Analysis Specifications

5.1.1. Level of Significance

Unless otherwise specified, a 2-sided significance level of 5% will be used. No adjustment for multiple testing will be used for the secondary efficacy analyses.

5.1.2. Data Handling Rules

For the efficacy scales PANSS, CGI, and PSP, both observed case (OC) and last observation carried forward (LOCF) values will be determined for Maintenance Visit 2 (for subjects who do not enter transition phase) or 2f (for subjects who enter transition phase), and double-blind Months 3, 6, 9, and so on. These imputed time points will be labeled “Month X LOCF.” Because it is possible for more than 1 visit to occur during the same time interval for a protocol-specified visit, rules for choosing the visit to use for the analysis are those given in Section 2.2. Imputed time points Month XX (MA) LOCF and Month XX (DB) LOCF are not needed as they are essentially equivalent to END POINT (MA) and END POINT (DB), respectively.

If there are multiple visits in a time interval with non-missing values, the visit closest to the protocol-specified time is used as both observed case and LOCF. If there is no visit in a time interval with a non-missing value, then the OC value is missing and the last non-missing, post-baseline value prior to the interval is used for LOCF.

Individual item scores will not be carried forward for PANSS. Refer to Section 5.3.1.1 for handling of missing PANSS item scores.

5.2. Primary Efficacy Estimand

The primary efficacy estimand is defined by the following components:

The *population* is restricted to those who are stabilized on either PP1M or PP3M during the Maintenance Phase and meet the inclusion/exclusion criteria.

The *variable* is time to first occurrence of a relapse event during the Double-blind Phase.

The *intercurrent events and corresponding strategies are the following*:

- Treatment discontinuation – Hypothetical Strategy: After treatment discontinuation, assume similar efficacy for subjects who discontinued as those subjects from the same treatment group who did not discontinue the treatment.
- Major protocol violations – Treatment Policy Strategy: use all relapse events, regardless of whether or not major protocol violations had occurred.

The *population-level summary* is the difference in Kaplan-Meier estimate at Month 12 of relapse-free proportions between the 2 treatment groups.

The primary analysis set is the DB ITT population (see Section 2.3.2.). For consistency to previous studies, same analyses will also be applied to the PP population (see Section 2.3.4.).

Subjects who meet at least 1 of the criteria for a relapse during the Double-blind Phase before DB Month 12 date are considered to have had a relapse event. The definition of a relapse event is presented in Section 5.2.1.1. Under the primary estimand, which applies a hypothetical strategy for treatment discontinuation, only the relapse events occurring during the Double-blind Phase prior to treatment discontinuation will be counted as events in the primary analysis. The details are as follows:

The date of DB Month 12 has been specified in Section 2.5.1.

- (1) For a subject who stays in the DB phase up to the date of DB Month 12 without a relapse event, the subject would be considered as censored at the date of the DB Month 12 whether the date is before or after Day 365;
- (2) If a subject does not belong in (1), the subject must have discontinued the Double-blind phase before reaching DB Month 12 visit, either by premature withdrawal (i.e. treatment discontinuation) or by having a relapse event.
 - If the subject has a relapse event in the Double-blind Phase before reaching the DB Month 12 date, prior to treatment discontinuation, the subject is considered as having an event
 - If the subject discontinues treatment (and therefore the Double-blind Phase) before Day 365 without a relapse event, the subject is considered as censored at the Day of discontinuation (day of treatment disposition of the Double-blind Phase).

The definition of the time to relapse in the Double-blind Phase is presented in Section 5.2.1.2.

5.2.1. Definition of Time to Relapse

5.2.1.1. Definition of Relapse Event

Relapse is defined as 1 or more of the following:

- Psychiatric hospitalization (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms), or
- For Positive and Negative Symptom Scale for Schizophrenia (PANSS)
 - The subject has an increase of 25% in PANSS total score from randomization for 2 consecutive assessments separated by 3 to 7 days if the score at randomization was >40 , where
$$\text{Percent change} = \frac{\text{DB score} - \text{randomization score}}{\text{randomization score} - 30} * 100$$
or,
 - The subject has a 10-point increase in the PANSS total score from randomization for 2 consecutive assessments separated by 3 to 7 days if the score at randomization was ≤ 40 , or
 - The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/herself or another person, or significant property damage, or
 - The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment, or
 - For PANSS items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior), P6 (suspiciousness/persecution), P7 (hostility), and G8 (uncooperativeness);
 - The subject has a score of ≥ 5 after randomization for 2 consecutive assessments separated by 3 to 7 days on any of the above items if the maximum score for the above PANSS items was ≤ 3 at randomization, or
 - The subject has a score of ≥ 6 after randomization for 2 consecutive assessments separated by 3 to 7 days on any of the above items if the maximum score for the above PANSS items was 4 at randomization.

The date of relapse will be the date of the first assessment for symptoms of relapse (not the date of confirmation).

5.2.1.2. Time to Relapse During Double-Blind Phase

The time to relapse during the Double-blind phase is defined as follows:

1. Relapse during the Double-blind Phase

Time to relapse = Date of Relapse - Randomization Date + 1

censoring indicator variable = “NO”

2. Early withdrawal during the Double-blind Phase

Time to relapse = Date of early withdrawal - Randomization Date + 1

censoring indicator variable = “YES”.

3. Remained relapse free in the Double-blind Phase (for subjects who received Month 12 injection, their time to relapse will be censored at the DB Month 12 date defined in Section 2.5.1)

Time to relapse = Date of end of Double-blind Phase - Randomization Date + 1

censoring indicator variable = “YES”.

5.2.2. Primary Efficacy Analysis Performed on the Double-blind Intent-to-Treat Analysis Population

5.2.2.1. Main Analysis

The hypotheses to be tested using a 1-sided $\alpha=0.025$ level are: $H_0:p_6-p_3\leq-\delta$ vs. $H_1:p_6-p_3>-\delta$; where p_3 refers to the percentage of subjects who remain relapse free at Month 12 for the PP3M groups and p_6 refers to the percentage of subjects who remain relapse free at Month 12 for the PP6M group. The Kaplan-Meier method will be used to estimate the Month 12 cumulative estimate of survival (ie, percentage of subjects remaining relapse-free). Standard Error (SE) estimates will be based upon Greenwood’s formula.

Non-inferiority of PP6M to PP3M will be concluded if the lower limit of the 2-sided 95% confidence interval of the difference in the relapse-free rates between PP6M and PP3M exceeds -10%. If the lower limit of the 2-sided 95% confidence interval of the difference in the relapse-free rate between PP6M and PP3M exceeds 0%, then PP6M will be declared superior to PP3M.

5.2.2.2. Sensitivity Analysis

The main analysis relies on the assumption of ignorable censoring. Therefore, sensitivity analyses will be performed to stress-test the robustness of results to deviations from ignorable censoring for the DB ITT analysis population. Specifically, it is assumed that subjects on PP6M who discontinue prematurely from the Double-Blind phase have a higher relapse hazard starting from the discontinuation time, compared with similar subjects who remain in this phase. The higher relapse hazard is determined by the single sensitivity parameter Delta, representing the ratio of subject-specific hazard at any given time point t following discontinuation compared to that same subject's hazard at the same time t if he or she had remained in the Double-Blind phase. A Kaplan-Meier multiple imputation (KMMI) non-parametric approach will be used for the imputation of relapse events, as described in Taylor et al (2002)^[11] and Lipkovich et al (2016)^[12]. The number of multiple imputations (MI) will be set to 1000 and a seed equal to 234 will be used for MI. A sequence of Delta values will be used for all subjects with non-administrative censoring from the PP6M group (i.e. subjects censored due to other reasons than the end of Double-Blind phase cut-off), starting with 1 (ignorable censoring) and increasing by 1 until the point when the non-inferiority condition is no more satisfied. For the PP3M group, the sensitivity parameter Delta will be set to one, i.e. maintaining the ignorable censoring assumption.

5.2.2.3. Supplementary Analyses

Three supplementary estimands are defined to support the primary estimand. In their definitions, the only component that changes from the definition of the primary estimand is how the strategy is defined for treatment discontinuation.

For subjects who discontinue treatment during the Double-blind phase and enter the Follow up phase, their last day of the Follow up phase is recorded as the trial disposition date.

Supplementary Estimand S1:

Hypothetical Strategy: After treatment discontinuation (defined as treatment discontinuation **plus 91 days in the Follow-up Phase**), assume similar efficacy for subjects who discontinued as those subjects from the same treatment group who did not discontinue the treatment.

Under the S1 supplementary estimand, all relapse events occurring 91 days (i.e. 3 months) after treatment discontinuation will also count as events in the analysis. Subjects who discontinue treatment and have no relapse event within this timeframe will be considered censored at the 91 days threshold, or the date of trial disposition date, whichever is earlier.

Supplementary Estimand S2:

Hypothetical Strategy: After treatment discontinuation (defined as treatment discontinuation **plus 182 days in the Follow-up Phase**), assume similar efficacy for subjects who discontinued as those subjects from the same treatment group who did not discontinue the treatment.

Under the S2 supplementary estimand, all relapse events occurring 182 days (i.e. 6 months) after treatment discontinuation will also count as events in the analysis. Subjects who discontinue treatment and have no relapse events within this timeframe will be considered censored at the 182 days threshold, or the date of trial disposition date, whichever is earlier.

Supplementary Estimand S3:

Treatment Policy Strategy: use all relapse events, regardless of treatment discontinuation.

Under the S3 supplementary estimand, all relapse events occurring after treatment discontinuation up to the DB Month 12 date will also count as events in the analysis. Subjects remaining relapse-free at the DB Month 12 date will be censored at that date. Subjects who discontinue the study and do not reach the DB Month 12 will be censored at the trial disposition date.

For each of the 3 supplementary estimands, the difference between the PP6M and PP3M groups of the percentage of subjects who remain relapse-free at end of Month 12 based on Kaplan-Meier estimate will be presented, together with the 95% confidence interval of the difference. The analysis dataset is the DB ITT population.

Supplementary Analysis S4:

The primary efficacy analysis will be performed based on S3 estimand for the DB ITT analysis population by excluding 3 subjects in Mexico who were impacted by delay of drug supply.

After changes starting in 2018 at the Ministry of Health in Mexico, new drug import licensing process affected shipments of PP6M. The delays affected three subjects who had to be withdrawn early from the Double-blind phase.

Supplementary Analysis S5:

To evaluate the potential impact of COVID-19, this supplementary analysis is added to censor subjects after their last onsite visits for those who had COVID-19 related remote visits.

A supplementary analysis will be performed based on the estimand in Section 5.2 (for primary efficacy estimand) for the DB ITT analysis population by censoring subjects at their last onsite visit. For other subjects who did not have COVID-19 remote visits, or whose end of DB visits were performed onsite, their time to relapse and censoring status remains the same as the analysis specified in Section 5.2.1.2.

5.2.2.4. Subgroup Analysis

To evaluate the consistency of the results in various subgroups, the Kaplan-Meier estimate of time to relapse will be fit for each of the following subgroups: Dose level (moderate/high) in Maintenance Phase, Dose regimen (PP1M/PP3M) in Maintenance Phase, age group (18-25, 26-50, 51-65, > 65 years), sex, race (White, Black, Other), Baseline (DB) BMI group, and region

(European Union, US, Non-EU/Non-US). The treatment difference between PP6M and PP3M groups at Month 12 and its 95% confidence interval will be reported for each of the subgroups.

A forest plot will be used to evaluate the consistency of effect across these subgroups.

In addition, subgroup analysis will also be performed using the Cox Regression model. To adjust for the effect of baseline covariates, the following variables will be included in the Cox regression model: Dose level (moderate/high) in Maintenance Phase, Dose regimen (PP1M/PP3M) in Maintenance Phase, age group (18-25, 26-50, 51-65, > 65 years), sex, race (White, Black, Other), Baseline (DB) BMI group, region (European Union, US, Non-EU/Non-US), in addition to treatment.

5.2.2.5. Model Diagnostics

To assess the appropriateness of the proportional hazards assumption, a log-log survival plot of Kaplan-Meier estimates will be generated. If the proportional hazards assumption is correct, this plot should present approximately parallel lines corresponding to the two treatment groups. Cumulative sums of Schoenfeld residuals over time may also be used to assess the proportional hazards assumption.

5.2.3. Primary Efficacy Analysis Performed on Per-Protocol Analysis Population

Same main analysis, sensitivity analyses and supplementary analyses specified in Section 5.2.2 will also be conducted on the PP population, defined in Section 2.3.4. The 10% non-inferiority margin specified in Section **Error! Reference source not found.** for the DB ITT population will also be used for the main analysis on the PP population.

5.3. Secondary Efficacy Endpoints

Secondary analyses will be conducted using the DB ITT analysis population. Summaries and/or analyses for PANSS total score, CGI-S, PSP, and PANSS subscales will also be provided for the per-protocol analysis population. No multiplicity adjustments will be made.

5.3.1. PANSS Total Score

5.3.1.1. Definition

The PANSS scale consists of 30 items with a score of 1 to 7. The total score is the sum of all 30 PANSS items and ranges from 30 to 210. Higher scores indicate more severe neuropsychiatric symptoms of schizophrenia. If a PANSS item is missing, it will be imputed with the closest integer to the average of the remaining items within the subscale (positive, negative and general psychopathology) at that time point and then the total will be summed. If more than 15% of the items are missing, i.e., if 5 or more items are missing, no imputation will be performed and the total score and the scores of the subscales that include these items will be left missing. Imputation

of item scores is performed prior to determining the LOCF for the PANSS subscale and total scores.

5.3.1.2. Analysis Methods

At each assessment time point during the Double-blind Phase and at end point (DB), descriptive statistics (mean, standard deviation, minimum and maximum) for the PANSS total score and the change from baseline (DB) will be provided for both the observed case and LOCF data. The change from baseline (DB) at each visit during the Double-blind Phase will be analyzed using an ANCOVA model with factors for treatment and country and baseline score as a covariate. The treatment effects will be estimated based upon least square means (PP6M-PP3M), and the accompanying 95% confidence intervals will be presented.

In addition, descriptive statistics of the PANSS total score and the change from baseline (MA) will be provided for selected visits (see Section 2.1, Table 1a) during the Open-label Phase for the OL ITT analysis population.

5.3.2. Clinical Global Impression - Severity (CGI-S)

5.3.2.1. Definition

The CGI-S is a categorical rating of the subject's severity of illness on a 7-point scale (1=not ill, 2=very mild, 3=mild, 4=moderate, 5=marked, 6=severe, 7=extremely severe).

5.3.2.2. Analysis Methods

At each assessment time point during the Double-blind Phase and at endpoint (DB), frequency counts of CGI-S scores by severity label (mild, moderate, etc.) will be produced for both the observed case and LOCF data. At each visit, descriptive statistics (mean, standard deviation, minimum and maximum) of the numerical scores and change from baseline (DB) will also be presented. The change from baseline (DB) at each visit during the Double-blind Phase will be analyzed using an ANCOVA model with factors for treatment and country and baseline score as a covariate. The treatment effects will be estimated based upon least square means (PP6M-PP3M), and the accompanying 95% confidence intervals will be presented.

In addition, frequency distributions and descriptive statistics of the CGI-S score and the change from baseline (MA) will be provided for endpoint of the Maintenance Phase for the ITT (OL) analysis population.

5.3.3. Personal and Social Performance Scale (PSP)

5.3.3.1. Definition

The PSP scale provides an overall rating of personal and social functioning on a 100-point scale of 1 to 100. The scale defines a continuum from grossly impaired functioning to excellent

functioning. A higher score represents a better level of functioning. The PSP scale assesses the degree of difficulty a subject exhibits over a 7-day period based on 4 domains of behavior: socially useful activities, personal and social relationships, self-care, and disturbing and aggressive behaviors.

5.3.3.2. Analysis Methods

At each assessment time point during the Double-blind Phase and at end point (DB), descriptive statistics (mean, standard deviation, minimum and maximum) of the PSP total score and domain scores and change from baseline (DB) will be provided for both the observed case and LOCF data. The change from baseline (DB) in PSP total score at each visit during the Double-blind Phase will be analyzed using an ANCOVA model with factors for treatment and country and baseline score as a covariate. The treatment effects will be estimated based upon least square means (PP6M-PP3M), and the accompanying 95% confidence intervals will be presented. Shift tables summarizing change from Baseline (DB) in number and frequency of subjects reporting each of the PSP deciles, and 3 PSP categories (Poor (≤ 30), Variable ($>30 - \leq 70$), Good (>70)) will be presented. Frequency counts, percentages, and cumulative percentages of subjects at each PSP domain level will be summarized for both observed data and LOCF data by treatment group.

In addition, descriptive statistics of the PSP total score and the change from baseline (MA) will be provided for baseline and endpoint of Maintenance Phase for the OL ITT analysis population.

5.3.4. PANSS Subscale Scores

5.3.4.1. Definition

The sums of the item scores for the following derived subscales based on Marder et al^[6] will be calculated:

- Positive symptoms factor (range: 8 to 56): Delusions, Hallucinatory behavior, Grandiosity, Suspiciousness (items 1, 3, 5 and 6 in positive subscale), Stereotyped thinking (item 7 in negative subscale), Somatic concern, Unusual thought content, Lack of judgment and insight (items 1, 9, and 12 in general psychopathology subscale);
- Negative symptoms factor (range: 7 to 49): Blunted affect, Emotional withdrawal, Poor rapport, Passive social withdrawal, Lack of spontaneity (items 1, 2, 3, 4, and 6 in negative subscale), Motor retardation, Active social avoidance (items 7 and 16 in general psychopathology subscale);
- Disorganized thoughts factor (range: 7 to 49): Conceptual disorganization (item 2 in positive subscale), Difficulty in abstract thinking (item 5 in negative subscale), Mannerisms and posturing, Disorientation, Poor attention, Disturbance of volition, Preoccupation (items 5, 10, 11, 13, and 15 in general psychopathology subscale);

- Uncontrolled hostility/excitement factor (range: 4 to 28): Excitement, Hostility (items 4 and 7 in positive subscale), Uncooperativeness, Poor impulse control (items 8 and 14 in general psychopathology subscale);
- Anxiety/depression factor (range: 4 to 28): Anxiety, Guilt feelings, Tension, Depression (items 2, 3, 4, and 6 in general psychopathology subscale).

In addition, the following subscale scores of PANSS will be calculated:

- Positive subscale (range: 7-49): sum of Items P1 to P7 in the positive subscale;
- Negative subscale (range: 7-49): sum of Items N1 to N7 in the negative subscale;
- General psychopathology subscale (range: 16-112): sum of Items G1 to G16 in the general psychopathology subscale.

5.3.4.2. Analysis Methods

At each assessment time point during the Double-blind Phase and at end point (DB), descriptive statistics (mean, standard deviation, minimum and maximum) for each factor and subscale score and change from baseline (DB) will be provided for both the observed case and LOCF data. The change from baseline (DB) at each visit during the Double-blind Phase will be analyzed using an ANCOVA model with factors for treatment and country and baseline score as a covariate. The treatment effects will be estimated based upon least square means (PP6M-PP3M), and the accompanying 95% confidence intervals will be presented.

In addition, descriptive statistics of the score and change from baseline (MA) will be provided for baseline and endpoint of Maintenance Phase for the OL ITT analysis population.

5.3.5. PANSS Response and Cumulative Response Rate

5.3.5.1. Definition

Clinical response based on the PANSS total score is defined as a $\geq 20\%$ reduction from the baseline (DB) score. The percent change in PANSS total score is calculated as: $100 * \text{CHANGE} / (\text{BASELINE} - 30)$, with 30 being the lowest possible value. If BASELINE is 30, then the percent change is missing.

In addition, a 30% and 40% responder classification will be provided.

5.3.5.2. Analysis Methods

For each treatment group, the number and percent of responders will be tabulated at each time point during the Double-blind Phase. At end point (DB), the point estimate and 2-sided 95% confidence interval will be provided for the relative risk using a Mantel-Haenszel test controlling

for country. The cumulative response rate, defined as the percentage of subjects experiencing at least a given value of percent reduction from baseline in PANSS total score, will also be presented graphically.

5.3.6. Symptomatic Remission

5.3.6.1. Definition

Remission criterion is defined as having a simultaneous score of mild or less on all 8 selected PANSS items (P1, P2, P3, N1, N4, N6, G5, and G9).

Symptomatic remission is defined for the last 6 months of the Double-blind phase as meeting the remission criterion during the last 6 months with one excursion allowed. The duration of the last 6 months counts back from either the date of DB Month 12 (defined in Section 2.5.1) or the disposition date of the Double-blind Phase, whichever is earlier. For a subject who discontinues the Double-blind phase within 6 months, the subject will be considered as a non-remitter for the Double-blind phase.

The Baseline (DB) remission is defined as meeting the remission criterion at all the visits from the start of Maintenance phase to Day 1 of the DB phase, (there are 3 scheduled visits: Visits 2/2f, 6 and 7b). The point-wise remission status at each double-blind time point is defined as meeting the remission criterion at that particular time point.

5.3.6.2. Analysis Methods

For each treatment group, the number and percent of subjects achieving symptomatic remission in the Double-blind Phase will be presented. The point estimate and 2-sided 95% confidence interval will be provided for the relative risk using a Mantel-Haenszel test controlling for country.

In addition, the count and frequency of remission status at each double-blind time point will be presented by treatment group for subjects who are Baseline (DB) remitters.

5.4. Other Efficacy Variable(s)

5.4.1. Satisfaction With Participation in Social Roles (SPSR) Short Form 8a

5.4.1.1. Definition

The SPSR Short Form 8a asks subjects to consider the past 7 days and to rate 8 items on 5-point Likert scales, with higher scores representing higher satisfaction.

5.4.1.2. Analysis Methods

As noted in the time and event table of the protocol, the SPSR scales will only be analyzed for subjects who entered the study on an oral antipsychotic.

At each assessment time point during the Double-blind Phase and at end point (DB), descriptive statistics (mean, standard deviation, minimum and maximum) for the SPSR total score and the change from baseline (DB) will be provided for both the observed case and LOCF data.

In addition, descriptive statistics of the SPSR total score and the change from baseline (MA) will be provided for endpoint of the Maintenance Phase for the OL ITT analysis population.

PROMIS - Satisfaction with social roles and activities short form 8a

If 4 items are answered:

$$\text{SPSR total score} = (8 * \text{sum}) / (\# \text{ of items answered})$$

5.4.2. Abbreviated Treatment Satisfaction Questionnaire for Medication (TSQM-9)

5.4.2.1. Definition

The abbreviated treatment satisfaction questionnaire (TSQM-9) for medication has 9 items. Each item is scored on 5- or 7-point Likert scales, with higher scores representing higher satisfaction. Subjects are asked to consider the time frame of the last 2 to 3 weeks, or since the last time the medication was used.

5.4.2.2. Analysis Methods

As noted in the time and event table of the protocol, the questionnaire will only be analyzed for subjects who entered the study on an oral antipsychotic.

At each assessment time point during the Double-blind Phase and at end point (DB), descriptive statistics (mean, standard deviation, minimum and maximum) for the abbreviated TSQM-9 Subscale score, and the change from baseline (DB) will be provided for both the observed case and LOCF data.

In addition, descriptive statistics of the abbreviated TSQM-9 Subscale score and the change from baseline (MA) will be provided for endpoint of the Maintenance Phase for the ITT OL analysis set.

Subscale Scoring algorithm for TSQM-9 based on TSQM9 Atkinson_2005 paper[13]

- Effectiveness = $100 * [(\text{Item 1} + \text{Item 2} + \text{item 3}) - 3] / 18$,
if one item is missing, Effectiveness = $100 * [\text{Sum (remaining 2 items)} - 2] / 12$
- Convenience = $100 * [(\text{Item 4} + \text{Item 5} + \text{item 6}) - 3] / 18$,
if one item is missing, Convenience = $100 * [\text{Sum (remaining 2 items)} - 2] / 12$
- Overall satisfaction:
Recode item 9: $\text{item 9_recode} = (\text{item 9} - 1) * 5 / 6$
Overall satisfaction = $100 * [(\text{Item 7} + \text{Item 8} + \text{item 9_recode}) - 3] / 12$,
if one item is missing, Effectiveness = $100 * [\text{Sum (remaining 2 items)} - 2] / 8$

6. SAFETY

All safety analyses and summaries will be based on the Open-label Safety (OL Safety, same as OL ITT) analysis population for the Open-label Phase and Double-blind safety (DB Safety, same as DB ITT) analysis population for the Double-blind Phase.

6.1. Adverse Events

Adverse events (AEs) are coded using the Medical Dictionary for Regulatory Activities (MedDRA), Version 16 or later versions.

A treatment-emergent AE is an event that is new in onset or increased in severity following treatment initiation. An event that starts prior to and ends after the initiation of study drug is to be considered treatment-emergent only if the severity increases after the start of medication. Treatment-emergent flags will be defined separately for the Open-label Phase (including Transition and Maintenance Phases), the Double-blind Phase, and combined Double-blind and Follow-up Phases.

Treatment-emergent AE in the Open-label Phase are defined as the adverse events with onset date on or after the first study injection date and during the Open-label Phase (onset date \geq the Date of First Study Injection and \leq the OL End Date) or increase in severity during the same period. An event that starts prior to the first study injection and ends afterwards will be considered treatment emergent in the Open-label Phase only if the severity increases on or after the Date of First Study Injection.

Treatment-emergent AE in the Double-blind Phase are defined as the adverse events that start after the initiation of the double-blind medication (onset \geq the DB Start Date and \leq the DB End Date) or increase in severity on or after the DB Start Date (onset in the Open-label Phase or even at Screening). An event that starts prior to the DB Start Date and ends afterwards will be considered treatment-emergent in the Double-blind Phase only if the severity increases on or after the DB Start Date.

Because the OL End Date is the same as DB Start Date for those who entered the Double-blind Phase, adverse events with onset on this date will be considered to be treatment-emergent during the Double-blind Phase.

Treatment-emergent AE summaries will also be provided quarterly for the Double-blind phase. The entire Double-Blind phase will be divided as for time intervals: Double-blind days 1-91, 92-182, 183-273, 274-end of DB. An event that will be considered as treatment-emergent during that time interval, either if the event starts during that interval, or it starts before the time interval but the severity increases on or after the start date of the interval.

The number (%) of subjects with treatment-emergent AEs, treatment-emergent serious AEs (SAEs), treatment-emergent AEs that lead to study discontinuation, and treatment-emergent AEs resulting in death will be summarized separately by system organ class and preferred term. Treatment-emergent AEs will also be summarized by severity and relationship to study drug as determined by the investigator using the preferred term. Summaries will be provided for both the Open-label Phase and the Double-blind Phase by treatment group. AEs that have an onset date in the Open-label Phase and persist into the Double-blind Phase with no change in severity, and lead to discontinuation from the Double-blind Phase, will be counted in the Open-label Phase summary of treatment-emergent AE leading to discontinuation.

Data listings will be generated for deaths, other SAEs, and discontinuations due to AEs. A listing of AEs with onset prior to Day 1 or post-study will be provided. A listing of AEs with onset during the oral tolerability test will also be provided.

Summaries of treatment-emergent adverse events for categories of clinical interest will be provided as discussed in the following sections. The preferred terms for each category are given in Attachment 1.

6.1.1. EPS-Related Adverse Events

Treatment-emergent AEs that are related to extrapyramidal symptoms (EPS) will be summarized. The EPS AEs will be categorized into 5 subgroups (tremor, dystonia, hyperkinesia, parkinsonism, and dyskinesia) that include the following MedDRA v. 22.1 preferred terms:

Tremor (preferred terms: Tremor, Essential tremor, Intention tremor)

Dystonia (preferred terms: Oculogyration, Oculogyric crisis, Trismus, Tongue spasm, Tongue paralysis, Cervical spasm, Emprosthotonus, Myotonia, Pleurothotonus, Risus sardonicus, Muscle spasms, Blepharospasm, Dystonia, Opisthotonus, Torticollis, Facial spasm, Muscle contracture).

Hyperkinesia (preferred terms: Akathisia, Hyperkinesia, Periodic limb movement disorder, Restless legs syndrome, Restlessness)

Parkinsonism (preferred terms: Hypertonia, Bradykinesia, Cogwheel rigidity, Drooling, Musculoskeletal stiffness, Akinesia, Hypokinesia, Nuchal rigidity, Parkinsonian gait, Parkinsonian rest tremor, Parkinsonism, Muscle rigidity, Muscle tightness, Glabellar reflex abnormal, On and off phenomenon, Parkinson's disease, Parkinsonian crisis, Extrapyramidal disorder, Reduced Facial Expression).

Dyskinesia (preferred terms: Dyskinesia, Muscle contractions involuntary, Movement disorder, Muscle twitching, Athetosis, Chorea, Choreoathetosis, Tardive dyskinesia, Myoclonus, Protrusion tongue, Rabbit syndrome, Buccoglossal syndrome).

The incidence for each EPS subgroup will be calculated.

6.1.2. Diabetes Mellitus and Hyperglycaemia-Related Adverse Events

Treatment-emergent adverse events that may be associated with diabetes mellitus and hyperglycaemia will be summarized. MedDRA preferred terms related to diabetes mellitus and hyperglycaemia are defined as follows:

- Acquired lipotrophic diabetes, Diabetic hepatopathy, Fulminant type 1 diabetes mellitus, Hyperglycaemic seizure, Hyperglycaemic unconsciousness, Type 3 diabetes mellitus, Blood 1,5-anhydroglucitol decreased, Blood glucose increased, **Cardiometabolic syndrome**, Diabetes complicating pregnancy, Diabetes mellitus, Diabetes mellitus inadequate control, Diabetes with hyperosmolarity, Diabetic coma, Diabetic hyperglycaemic coma, Diabetic hyperosmolar coma, Diabetic ketoacidosis, Diabetic ketoacidotic hyperglycaemic coma, Fructosamine increased, Gestational diabetes, Glucose tolerance impaired, Glucose tolerance impaired in pregnancy, Glucose urine present, Glycosuria, Glycosuria during pregnancy, Glycosylated haemoglobin increased, Hyperglycaemia, Hyperglycaemic hyperosmolar nonketotic syndrome, Impaired fasting glucose, Insulin resistance, Insulin resistant diabetes, Insulin-requiring type 2 diabetes mellitus, Ketoacidosis, Ketonuria, Ketosis, Latent autoimmune diabetes in adults, Neonatal diabetes mellitus, Pancreatogenous diabetes, Type 1 diabetes mellitus, Type 2 diabetes mellitus, Urine ketone body present.

6.1.3. Potentially Prolactin-Related Adverse Events

Treatment-emergent adverse events that may be associated with changes in serum prolactin levels will be summarized. MedDRA preferred terms considered as being potentially related to serum prolactin levels are defined as below:

Amenorrhoea, Amenorrhoea-galactorrhoea syndrome, Galactorrhoea, Gynaecomastia, Hyperprolactinaemia, Oligomenorrhoea, Blood prolactin increased, Anorgasmia, Ejaculation delayed, Ejaculation disorder, Erectile dysfunction, Female sexual dysfunction, Libido decreased, Libido disorder, Loss of libido, Male sexual dysfunction, Orgasm abnormal, Orgasmic sensation decreased, Sexual dysfunction, Breast discharge, Breast enlargement, Breast pain, Prolactin-producing pituitary tumour, Blood prolactin, Blood prolactin abnormal, Breast tenderness, Menstruation irregular.

These adverse events will also be tabulated separately by sex.

6.1.4. Other Adverse Events of Special Interest

Incidence of other treatment-emergent adverse events of clinical interest will be presented. Search terms relevant to the adverse events of clinical importance are listed in Attachment 1. These terms were classified into the following group names:

Suicidality, Aggression and Agitation, Somnolence and Sedation, Seizures and Convulsions, Neuroleptic Malignant Syndrome, Cardiac Arrhythmias, Orthostatic Hypotension, Adverse Events Suggestive of Proarrhythmic Potential, Ischemia-related, Potential Rhabdomyolysis-related, Overdose-related, Weight Gain-related, Tachycardia-related, Injection-site Related, QT Prolongation Related, and Acute Kidney Injury Related.

6.1.5. Columbia Suicide Severity Rating Scale (C-SSRS)

The C-SSRS is a clinical interview providing an evaluation of suicidal ideation, intent, and behavior. Data are collected using the C-SSRS Baseline Version at Screening, and all the post-screening data is collected using the C-SSRS ‘Since Last Visit Version’.

Using the C-SSRS, potentially suicide-related events will be categorized using the following scores:

Suicidal Ideation (1-5)

- 1: Wish to be dead
- 2: Non-specific active suicidal thoughts
- 3: Active suicidal ideation with any methods (not plan) without intent to act
- 4: Active suicidal ideation with some intent to act, without specific plan
- 5: Active suicidal ideation with specific plan and intent

Suicidal Behavior (6-10)

- 6: Preparatory acts or behavior
- 7: Aborted attempt
- 8: Interrupted attempt
- 9: Non-fatal suicide attempt
- 10: Completed suicide

If no events qualify for a score of 1 to 10, a score of 0 will be assigned (0=“no event that can be assessed on the basis of C-SSRS”).

A frequency distribution of scores (1 to 10) at each time point will be provided. Shifts from the screening visit to the maximum score during the Open-label Phase and the Double-blind Phase will be summarized.

The maximum score assigned during the Open-label and Double-blind Phases for each subject will be summarized into one of three broad categories: No suicidal ideation or behavior (0), Suicidal ideation (1-5), Suicidal behavior (6-10). Shifts from the screening visit to the maximum category during the Open-label Phase and the Double-blind Phase will be summarized.

6.2. Clinical Laboratory Tests

Descriptive statistics (mean, standard deviation, median, minimum, and maximum) will be provided for the clinical laboratory tests at each time point, end point (MA), and end point (DB). Changes from baseline (OL) will be summarized for the Open-label Phase and changes from baseline (DB) will be summarized for the Double-blind Phase. For the Double-blind Phase, changes from baseline (OL) will also be summarized.

Clinical laboratory test values are to be considered “treatment-emergent markedly abnormal” (TEMA) using the criteria defined by the Sponsor (Janssen R&D) listed in Attachment 2. The identification of TEMA laboratory values is based on the postbaseline value being out of range while the baseline value is either missing or within the range given in Attachment 2. If postbaseline laboratory results are above the upper limit and the baseline value is below the lower limit, then the postbaseline abnormality will also be considered TEMA. The same applies to the postbaseline value being below the lower limit with the baseline value being above the upper limit.

The frequency and percent of subjects with any TEMA laboratory values during the Maintenance Phase (relative to baseline (MA)) and the Double-blind Phase (relative to baseline (DB)) will be presented. For the Double-blind Phase, the TEMA values relative to baseline (MA) will also be summarized. Clinical laboratory tests that meet the criteria for markedly abnormal will be listed by subject.

For prolactin laboratory results, values over time and treatment-emergent abnormal results based on the laboratory reference range will be presented by sex during the Maintenance Phase (relative to baseline (MA)) and the Double-blind Phase (relative to both baseline (MA) and baseline (DB)). Median prolactin values will be plotted over time. To avoid potential unblinding of treatment, the prolactin laboratory results will not be made available to the clinical team members until the final database lock and study unblinding.

6.2.1. Glucose Abnormalities

In addition to standard descriptive summaries for glucose measurements and markedly abnormal changes, treatment-emergent abnormal values will be identified according to guidelines from the American Diabetes Association (ADA)^[7] (Table 4):

Table 4: Fasting Glucose Treatment-Emergent Abnormality				
ADA Guidelines				
	Baseline		Any Postbaseline	
Glucose Tolerance	US Units	SI Units	US Units	SI Units

Normal to high	<100 mg/dL	<5.551 mmol/L	≥126 mg/dL	≥6.994 mmol/L
Impaired to high	≥100 mg/dL and <126 mg/dL	≥5.551 mmol/L and <6.994 mmol/L	≥126 mg/dL	≥6.994 mmol/L
Normal/impaired to high	<126 mg/dL	<6.994 mmol/L	≥126 mg/dL	≥6.994 mmol/L
			≥140 mg/dL	≥7.771 mmol/L
			≥200 mg/dL	≥11.102 mmol/L
			≥300 mg/dL	≥16.653 mmol/L

Note: 1 mg/dL=0.05551 mmol/L.

Shift tables from baseline (MA) to the maximum postbaseline fasting glucose during the Maintenance Phase and from both baseline (MA) and baseline (DB) to the maximum postbaseline fasting glucose during the Double-blind Phase will be presented based on the ADA guidelines.

6.3. Vital Signs and Physical Examination Findings

Continuous variables including orthostatic changes in vital sign measures (orthostatic systolic and diastolic blood pressure), heart rate, blood pressure, and change from baseline, will be calculated for each position at each assessment time point, end point (MA), and end point (DB). Changes from baseline (MA) will be summarized for the Open-label Phase and changes from baseline (MA) and baseline (DB) will be summarized for the Double-blind Phase. Descriptive statistics (mean, standard deviation, median, minimum and maximum) will be presented.

A treatment-emergent abnormality for pulse and blood pressure is defined as a postbaseline value and change from baseline that meet the criteria in Table 5. If the baseline value is missing, the postbaseline value will be compared against the abnormally low/abnormally high criteria. The frequency and percent of subjects with any postbaseline treatment-emergent abnormalities during the Open-label Phase (relative to baseline (MA)) and the Double-blind Phase (relative to baseline (MA) and baseline (DB)) will be tabulated.

Vital Sign	Postbaseline value outside of normal limit if:	
	Abnormally low	Abnormally high
Systolic BP (mmHg)	A decrease from baseline of ≥20 to a value ≤90	An increase from baseline of ≥20 to a value ≥180
Diastolic BP (mmHg)	A decrease from baseline of ≥15 to a value ≤50	An increase from baseline of ≥15 to a value ≥105
Pulse (beats/min)	A decrease from baseline of ≥15 to a value ≤50	An increase from baseline of ≥15 to a value ≥100

Orthostatic hypotension is defined as a decrease in systolic (>20 mmHg), or diastolic (>10 mmHg), blood pressure after standing for at least 2 minutes relative to supine position with an increase in pulse rate of >15 beats per minute (Table 6). The number and percentage of subjects who experience treatment-emergent orthostatic hypotension at any time during the Open-label

Phase and at any time during the Double-blind Phase and for whom the orthostatic hypotension was not present at baseline (MA) or baseline (DB) for the respective phases will be tabulated.

Table 6: Abnormal Limits for Orthostatic Hypotension Parameters (Changes in Vital Signs in Standing Relative to Supine Position)	
Vital Sign	Outside of normal limit if difference (standing minus supine)
(1) Pulse (bpm)	> 15 bpm
(2a) Systolic blood pressure (mmHg) (SBP)	< -20 mmHg
(2b) Diastolic blood pressure (mmHg) (DBP)	< -10 mmHg
Note: Orthostatic hypotension requires that conditions (1) and [(2a) or (2b)] are met.	

For subjects who are unable to stand and have the vital signs measured in a sitting or supine position instead of the standing position, the difference between standing and supine values will remain missing.

6.3.1. Weight, Waist Circumference, and Body Mass Index

Body mass index (BMI) will be calculated as weight (kg)/(height (m))², at each time point that body weight is measured. The screening height measurement will be used in the calculation. Continuous variables including weight, waist circumference, and BMI, and change from baseline will be summarized at each assessment time point, end point (MA) and end point (DB). Changes from baseline (MA) will be summarized for the Maintenance Phase and changes from both baseline (MA) and baseline (DB) will be summarized for the Double-blind Phase. Descriptive statistics (mean, standard deviation, median, minimum and maximum) will be presented.

For body weight, the incidence of increases/decreases from both Open-Label and double-blind baselines $\geq 7\%$ will be summarized by a frequency distribution. BMI will be categorized as normal: BMI <25 kg/m², overweight: 25 kg/m² \leq BMI <30 kg/m², obese: BMI \geq 30 kg/m². The frequency and percent of subjects in each category will be presented.

6.4. Electrocardiogram

The blinded central reader will read and analyze the 12-lead ECGs. The ECG variables that will be analyzed are heart rate, RR interval, PR interval, QRS interval, QT interval, and QT corrected (QTc) interval using the following correction methods: QTcLD (Linear Derived), QTcF (Fridericia), QTlc linear (Sagie) and QTcB (Bazett).

The QT corrected interval values are based on the following formulas:

- Linear Derived: QTcLD(ms) = QT(ms) + b * (1-60/HR(bpm)), where b is an estimate of the slope, β , derived from the linear regression model QT(ms) = α + β * 60/HR(bpm), using all observations prior to treatment initiation of all subjects including screen failures;

- Fridericia: $QTcF(ms) = QT(ms) * (HR(bpm)/60)^{1/3}$;
- Sagie: $QTcLc(ms) = 1000 * (QT(sec) + .154 * (1 - 60/HR(bpm)))$;
- Bazett: $QTcB(ms) = QT(ms) * (HR(bpm)/60)^{1/2}$.

The corrected QTcF and QTcB intervals will be provided by the ECG central reader. The sponsor will calculate QTcLD and QTcLc.

The “Average Predose” ECG value is defined as the average of all non-missing predose (prior to intake of study drug) ECG results. The baseline (MA) and baseline (DB) were defined in the Section 2.6.1 of the SAP.

The average predose ECG value will be compared between three prior antipsychotic use subgroups (as indicated in Section 2.4): oral antipsychotic, injectable risperidone, PP1M initiation, or PP1M/PP3M stability at the time of entering the study.

The change from baseline (MA) and baseline (DB) will be summarized for the Maintenance and Double-blind Phases. The maximum postbaseline values during Maintenance phase and Double-blind phase will be obtained. Descriptive statistics (mean, standard deviation, median, minimum and maximum) will be presented.

The number and percent of subjects with QTc intervals >450 ms, >480 ms, and >500 ms will be presented. The QTc increases relative to Baseline (MA) and relative to Baseline (DB) will be categorized as shown in Table 7. The criteria are based on the classification from the International Conference on Harmonisation (ICH) E14 Guideline (2005) [8].

The descriptive statistics will be presented by time point, for the maximum values, end point (MA), and end point (DB).

QTc increase from baseline as categorized in Table 7 will be summarized for change from baseline (MA) to maximum QTc interval during the Maintenance Phase, change from baseline (MA) to maximum QTc interval during the Double-blind Phase and change from baseline (DB) to maximum QTc interval during the Double-blind Phase.

QTc increase from baseline categories will also be presented over time, showing change from baseline (MA) over the Maintenance Phase, change from baseline (MA) over the Double-blind Phase and change from baseline (DB) over the Double-blind Phase.

Clinically significant QTc value	No	≤500
	Yes	>500
QTc increase from baseline (MA) or Baseline (DB)	No concern	≤30
	Concern	>30 – 60
	Clear concern	>60
QTc value	Normal	≤450
	>450	>450 – 480
	>480	>480 – 500
	>500	>500
Note: these criteria are based on ICH E14 Guideline		

The distribution of the average predose QTcLD, baseline (DB), and the maximum QTcLD values in each phase will be presented graphically in a box plot. A box plot of the change from average predose to maximum QTcLD value in the Open-label Phase and of the change from baseline (DB) and baseline (MA) to maximum QTcLD value in the Double-blind Phase will also be produced.

A treatment-emergent abnormality for heart rate, PR interval, QRS interval, and QT interval is defined as a postbaseline value that meets the criteria in Table 8. If an open-label postbaseline ECG result is above the upper limit (abnormally high) and the baseline (MA) value is normal or below the lower limit (abnormally low), then the postbaseline abnormality will be considered treatment-emergent. The same applies to the postbaseline value being below the lower limit (abnormally low) with the baseline (MA) value being normal or above the upper limit (abnormally high). If the baseline (MA) value is missing, the postbaseline value will be compared against the abnormally low/abnormally high criteria. Similar derivations will be presented relative to Baseline (DB) for the Double-blind Phase.

ECG Parameter	Abnormally Low	Abnormally High
HR (bpm)	≤50	≥100
PR interval (ms)	--	≥210
QRS interval (ms)	≤50	≥120
QT interval (ms)	≤200	≥500

The number and percent of subjects with any postbaseline treatment-emergent abnormalities will be tabulated.

6.5. Extrapyramidal Symptoms (EPS) Assessment Scales

6.5.1. Abnormal Involuntary Movement Scale

The AIMS rates 10 items for dyskinesia on a 5-point scale from 0 to 4, relating to facial and oral movements, extremity movements, trunk movements, and global judgments. Summing items 1 to

7 produces an AIMS total score (range: 0 to 28). No imputation will be performed for missing items; if any of the items 1 to 7 is not recorded the total score will not be calculated. Global judgment items 8 (global impression), 9 (incapacitation), and 10 (awareness) will be summarized separately. Higher scores indicate more severe condition (or higher awareness for item 10) in abnormal involuntary movements. Two additional items (11 and 12) consist of binary questions (0=no, 1=yes) and are related to the subject's dental status.

Descriptive statistics for the AIMS total score will be presented at each time point, end point (MA), and end point (DB). Changes from baseline (MA) will be summarized for the Open-label Phase and changes from baseline (DB) will be summarized for the Double-blind Phase. The frequency distribution for each item will be presented at each time point, end point (MA), and end point (DB).

6.5.2. Barnes Akathisia Rating Scale

The BARS^[9] includes an objective rating and 2 subjective ratings (awareness of restlessness and reported distress related to restlessness) of symptoms of akathisia on a 4-point scale ranging from 0 to 3, and a global clinical rating of akathisia on a 6-point scale ranging from 0 (absent) to 5 (severe). Higher scores denote worsening akathisia.

The frequency distribution for each item will be presented at each time point, end point (OL), and end point (DB).

6.5.3. Simpson and Angus Rating Scale

The SAS rates 10 items for general EPS on a 5-point scale from 0 (normal) to 4 (extreme), including gait, arm dropping, shoulder shaking, elbow rigidity, wrist rigidity, leg pendulousness, head rotation, Glabellar tap, tremor, and salivation. The SAS global score is the average score (total sum of item scores divided by the number of items) and ranges between 0 and 4. No imputation will be performed for missing items; if any item is left blank the global score will not be calculated. Higher scores denote more severe condition of EPS.

Descriptive statistics for the SAS global score will be presented at each time point, end point (MA), and end point (DB). Changes from baseline (MA) will be summarized for the Open-label Phase and changes from baseline (DB) will be summarized for the Double-blind Phase. The frequency distribution for each item will be presented at each time point, end point (MA), and end point (DB).

6.5.4. Extrapyrimal Symptoms Based on Rating Scales and Use of Anticholinergic Medication

Treatment-emergent EPS will also be assessed by various rating scales' incidence and use of anticholinergic medication. The incidence of dyskinesia is defined as the percentage of subjects with a score ≥ 3 on any of the first 7 items or a score ≥ 2 on 2 or more of any of the first 7 items of AIMS at any time. The incidence of akathisia is defined as the percentage of subjects with a BARS global clinical rating score ≥ 2 at any time. The incidence of parkinsonism is defined as the

percentage of subjects with a SAS global score >0.3 at any time. The incidence of use of anticholinergic medication is defined as the use of anti-EPS medication. The identification of treatment-emergent is based on a value occurring after the first injection during each phase meeting the EPS criteria as defined above while the baseline value is either missing or does not meet the criteria. The frequency summary of the treatment-emergent EPS will be provided for the Open-label Phase and the Double-blind Phase.

6.6. Injection Site Evaluations

The investigator will evaluate the injection site for redness, swelling, and induration (0=absent, 1=mild, 2=moderate, 3=severe) and the subject will assess the intensity of the pain of the injection using a visual analogue scale (VAS 0-100 mm). Frequency distributions will be provided for the investigator evaluations of the injection site and descriptive statistics (N, mean, standard deviation, median, and range) will be provided for the subject evaluation of the injection pain. The results will be presented at each time point, end point (TRANS), end point (MA), and end point (DB).

7. PHARMACOKINETICS/PHARMACODYNAMICS

PK/PD analysis will be documented and described in a separate analysis plan.

8. HEALTH ECONOMICS

Descriptive statistics will be provided based on the Healthcare Resource Utilization Questionnaire (HRUQ), Involvement Evaluation Questionnaire (IEQ), the Illness Management and Recovery (IMR) Scale, and the Schizophrenia Quality of Life Scale (SQLS-R4).

As noted in the time and event table of the protocol, the IMR scale will only be analyzed for subjects who entered the study on an oral antipsychotic.

Out of the 31 items on the IEQ questionnaire, 27 items will be summarized into 4-distinct subscales: Tension (9 items), Supervision (6 items), Worrying (6 items), Urging (8 items) and a Sum Score of the 27 items ^[10]. The descriptive statistics for the IEQ will only apply to those subjects who have a designated caregiver during the study.

Additionally, demographic characteristics of the caregiver and caregiving arrangements will be summarized for the OL ITT and DB ITT analysis populations.

Scoring algorithm for the total SQLS-R4

The self-administered rating scale includes 33 items concerning the subject's symptoms and well-being over the preceding 7 days on a scale of 1 to 5. For all items except item number 7, 12, 14 and 26, score 1 indicates "Never" and 5 indicates "Always". For items 7, 12, 14 and 26, scores should be reversed (1 for "Always" and 5 for "Never"). They will be reversed in the programmatic

calculation of total score, as those item scores were entered in the database without conversion. Only total score for the SQLS-R4 will be analyzed.

Total score is calculated as a percentage from 0 to 100 with 0 indicating “no problem at all” and 100 indicating “the maximum level of problem”.

The total score is calculated as:

$$100 * \text{Sum of scores of each item} / (4 * 33)$$

If less than 25% items are missing (i.e., less than or equal to 8 items), the total score can be calculated by dividing the sum of scores of the valid items by the maximum possible score (4*number of valid items).

$$\text{Imputed score} = (\text{Sum of scores of each valid item} * 100) / (4 * \text{number of valid items})$$

If at least 25% of the items (i.e., more than 8 items) are missing, the total score will not be computed for that visit.

Observed case and LOCF values will be derived according to the rules above.

Changes from Baseline (MA) and Baseline (DB) will be calculated. The SQLS-R4 will be summarized descriptively for the OL ITT and DB ITT analysis populations.

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ATTACHMENTS**Attachment 1: Special Interest Adverse Events**

MAGCAT	AEDECOD
AGITATION	Agitation
AGITATION	Psychomotor agitation
AGITATION	Psychomotor hyperactivity
AGGRESSION	Aggression
AGGRESSION	Homicidal ideation
AGGRESSION	Hostility
AGGRESSION	Homicide

MCARCAT	AEDECOD
CARDIOVASCULAR	Torsade de pointes
CARDIOVASCULAR	Sudden death
CARDIOVASCULAR	Ventricular tachycardia
CARDIOVASCULAR	Ventricular fibrillation
CARDIOVASCULAR	Ventricular flutter

MISCCAT	AEDECOD
ISCHAEMIA	Acute coronary syndrome
ISCHAEMIA	Acute myocardial infarction
ISCHAEMIA	Angina pectoris
ISCHAEMIA	Angina unstable
ISCHAEMIA	Subendocardial ischaemia
ISCHAEMIA	Myocardial ischaemia
ISCHAEMIA	Cardiac ischaemia
ISCHAEMIA	Coronary artery disease
ISCHAEMIA	Coronary artery insufficiency
ISCHAEMIA	Myocardial infarction
ISCHAEMIA	Myocardial ischaemia
ISCHAEMIA	Papillary muscle infarction
ISCHAEMIA	Postinfarction angina
ISCHAEMIA	Prinzmetal angina
ISCHAEMIA	Silent myocardial infarction
ISCHAEMIA	Subendocardial ischaemia
ISCHAEMIA	Amaurosis fugax
ISCHAEMIA	Brain stem infarction
ISCHAEMIA	Brain stem ischaemia
ISCHAEMIA	Cerebellar infarction
ISCHAEMIA	Cerebral infarction
ISCHAEMIA	Cerebral ischaemia
ISCHAEMIA	Cerebrovascular accident
ISCHAEMIA	Cerebrovascular disorder
ISCHAEMIA	Cerebrovascular insufficiency
ISCHAEMIA	Embolic cerebral infarction

ISCHAEMIA	Embolic stroke
ISCHAEMIA	Haemorrhagic cerebral infarction
ISCHAEMIA	Haemorrhagic stroke
ISCHAEMIA	Ischaemic cerebral infarction
ISCHAEMIA	Ischaemic stroke
ISCHAEMIA	Subclavian steal syndrome
ISCHAEMIA	Thrombotic stroke
ISCHAEMIA	Lacunar infarction
ISCHAEMIA	Reversible ischaemic neurological deficit
ISCHAEMIA	Transient ischaemic attack
ISCHAEMIA	Vascular encephalopathy
ISCHAEMIA	Vertebrobasilar insufficiency
ISCHAEMIA	Ischaemia
ISCHAEMIA	Ischaemic cardiomyopathy
ISCHAEMIA	Thrombotic cerebral infarction
ISCHAEMIA	Cerebral microangiopathy
ISCHAEMIA	Cerebellar ischaemia

MORTHCAT	AEDECOD
Orthostatic Hypotension	Blood pressure orthostatic abnormal
Orthostatic Hypotension	Blood pressure orthostatic decreased
Orthostatic Hypotension	Dizziness postural
Orthostatic Hypotension	Orthostatic hypotension
Orthostatic Hypotension	Orthostatic intolerance
Orthostatic Hypotension	Orthostatic heart rate response increased
SYNCOPE	Syncope
SYNCOPE	Presyncope
SYNCOPE	Circulatory collapse
SYNCOPE	Loss of consciousness

MOVERCAT	AEDECOD
Overdose	Accidental overdose
Overdose	Overdose

MQTCAT	AEDECOD
TORSADE DE POINTES	Torsade de pointes
SUDDEN DEATH	Cardiac arrest
SUDDEN DEATH	Cardiac death
SUDDEN DEATH	Cardio-respiratory arrest
SUDDEN DEATH	Sudden death
SUDDEN DEATH	Sudden cardiac death
SUDDEN DEATH	Ventricular asystole
VENTRICULAR TACHYCARDIA	Accelerated idioventricular rhythm
VENTRICULAR TACHYCARDIA	Ventricular tachycardia
VENTRICULAR TACHYCARDIA	Ventricular tachyarrhythmia
VENTRICULAR FIBRILLATION AND FLUTTER	Cardiac fibrillation

VENTRICULAR FIBRILLATION AND FLUTTER	Ventricular arrhythmia
VENTRICULAR FIBRILLATION AND FLUTTER	Ventricular fibrillation
VENTRICULAR FIBRILLATION AND FLUTTER	Ventricular flutter

MRHACAT	AEDECOD
RHABDOMYOLYSIS	Rhabdomyolysis
RHABDOMYOLYSIS	Blood creatine phosphokinase increased
RHABDOMYOLYSIS	Myoglobinuria
RHABDOMYOLYSIS	Myoglobin urine

MSEIZCAT	AEDECOD
SEIZURES	Acquired epileptic aphasia
SEIZURES	Alcoholic seizure
SEIZURES	Atonic seizures
SEIZURES	Atypical benign partial epilepsy
SEIZURES	Automatism epileptic
SEIZURES	Baltic myoclonic epilepsy
SEIZURES	Clonic convulsion
SEIZURES	Focal dyscognitive seizures
SEIZURES	Seizure
SEIZURES	Convulsion in childhood
SEIZURES	Neonatal seizure
SEIZURES	Convulsions local
SEIZURES	Convulsive threshold lowered
SEIZURES	Déjà vu
SEIZURES	Dreamy state
SEIZURES	Drug withdrawal convulsions
SEIZURES	Eclampsia
SEIZURES	Epilepsy
SEIZURES	Epilepsy congenital
SEIZURES	Epileptic aura
SEIZURES	Epileptic psychosis
SEIZURES	Febrile convulsion
SEIZURES	Frontal lobe epilepsy
SEIZURES	Generalised non-convulsive epilepsy
SEIZURES	Grand tonic-clonic seizure
SEIZURES	Hypoglycaemic seizure
SEIZURES	Infantile spasms
SEIZURES	Lafora's myoclonic epilepsy
SEIZURES	Lennox-Gastaut syndrome
SEIZURES	Myoclonic epilepsy
SEIZURES	Myoclonic epilepsy and ragged-red fibres
SEIZURES	Partial seizures with secondary generalisation
SEIZURES	Petit mal epilepsy
SEIZURES	Post-traumatic epilepsy

SEIZURES	Focal dyscognitive seizures
SEIZURES	Seizure anoxic
SEIZURES	Simple partial seizures
SEIZURES	Status epilepticus
SEIZURES	Sudden unexplained death in epilepsy
SEIZURES	Temporal lobe epilepsy
SEIZURES	Tonic clonic movements
SEIZURES	Tonic convulsion
SEIZURES	Uncinate fits

MSOMCAT	AEDECOD
SOMNOLENCE	Somnolence
SOMNOLENCE	Sedation
SOMNOLENCE	Lethargy
SOMNOLENCE	Hypersomnia

MSUICAT	AEDECOD
SUICIDALITY	Depression suicidal
SUICIDALITY	Intentional self-injury
SUICIDALITY	Poisoning deliberate
SUICIDALITY	Self-injurious ideation
SUICIDALITY	Completed suicide
SUICIDALITY	Suicidal ideation
SUICIDALITY	Suicide attempt
SUICIDALITY	Suicidal behaviour

MNMSCAT	AEDECOD
NMS	Hyperthermia malignant
NMS	Neuroleptic malignant syndrome
NMS	Serotonin syndrome
NMS	Body temperature increased
NMS	Hyperpyrexia
NMS	Pyrexia
NMS	Catatonia
NMS	Dyskinesia
NMS	Dystonia
NMS	Freezing phenomenon
NMS	Hyperkinesia
NMS	Hypertonia
NMS	Muscle necrosis
NMS	Muscle rigidity
NMS	Oculogyric crisis
NMS	Opisthotonus
NMS	Rhabdomyolysis

NMS	Altered state of consciousness
NMS	Autonomic nervous system imbalance
NMS	Blood creatine phosphokinase abnormal
NMS	Blood creatine phosphokinase increased
NMS	Blood creatine phosphokinase MM increased
NMS	Blood pressure abnormal
NMS	Blood pressure decreased
NMS	Blood pressure fluctuation
NMS	Blood pressure increased
NMS	Cardiovascular insufficiency
NMS	Coma
NMS	Confusional state
NMS	Consciousness fluctuating
NMS	Delirium
NMS	Depressed level of consciousness
NMS	Disorientation
NMS	Extrapyramidal disorder
NMS	Heart rate abnormal
NMS	Heart rate increased
NMS	Hyperhidrosis
NMS	Hypertension
NMS	Hypotension
NMS	Labile blood pressure
NMS	Labile hypertension
NMS	Leukocytosis
NMS	Loss of consciousness
NMS	Muscle enzyme increased
NMS	Myoclonus
NMS	Myoglobin blood increased
NMS	Myoglobin blood present
NMS	Myoglobin urine present
NMS	Myoglobinaemia
NMS	Myoglobinuria
NMS	Parkinsonian crisis
NMS	Parkinsonian rest tremor
NMS	Parkinsonism
NMS	Parkinson's disease
NMS	Stupor
NMS	Tachycardia
NMS	Tremor
NMS	Unresponsive to stimuli
NMS	White blood cell count abnormal
NMS	White blood cell count increased

MTACCAT	AEDECOD
Tachycardia	Heart rate increased
Tachycardia	Sinus tachycardia
Tachycardia	Tachycardia
Tachycardia	Tachycardia paroxysmal

MWEICAT	AEDECOD
WEIGHT GAIN	Increased appetite
WEIGHT GAIN	Hyperphagia
WEIGHT GAIN	Obesity
WEIGHT GAIN	Overweight
WEIGHT GAIN	Abnormal weight gain
WEIGHT GAIN	Waist circumference increased
WEIGHT GAIN	Weight increased

MINJCAT	AEDECOD
INJECTION SITE	Injection related reaction
INJECTION SITE	Injection site abscess
INJECTION SITE	Injection site abscess sterile
INJECTION SITE	Injection site anaesthesia
INJECTION SITE	Injection site atrophy
INJECTION SITE	Injection site bruising
INJECTION SITE	Injection site calcification
INJECTION SITE	Injection site cellulitis
INJECTION SITE	Injection site coldness
INJECTION SITE	Injection site cyst
INJECTION SITE	Injection site dermatitis
INJECTION SITE	Injection site exfoliation
INJECTION SITE	Injection site discharge
INJECTION SITE	Injection site discolouration
INJECTION SITE	Injection site discomfort
INJECTION SITE	Injection site eczema
INJECTION SITE	Injection site erosion
INJECTION SITE	Injection site erythema
INJECTION SITE	Injection site extravasation
INJECTION SITE	Injection site fibrosis
INJECTION SITE	Injection site haematoma
INJECTION SITE	Injection site haemorrhage
INJECTION SITE	Injection site hypersensitivity
INJECTION SITE	Injection site hypertrophy
INJECTION SITE	Injection site induration
INJECTION SITE	Injection site infection
INJECTION SITE	Injection site inflammation
INJECTION SITE	Injection site injury
INJECTION SITE	Injection site irritation

INJECTION SITE	Injection site ischaemia
INJECTION SITE	Injection site lymphadenopathy
INJECTION SITE	Injection site mass
INJECTION SITE	Injection site movement impairment
INJECTION SITE	Injection site necrosis
INJECTION SITE	Injection site nerve damage
INJECTION SITE	Injection site nodule
INJECTION SITE	Injection site oedema
INJECTION SITE	Injection site pain
INJECTION SITE	Injection site pallor
INJECTION SITE	Injection site papule
INJECTION SITE	Injection site paraesthesia
INJECTION SITE	Injection site phlebitis
INJECTION SITE	Injection site photosensitivity reaction
INJECTION SITE	Injection site pruritus
INJECTION SITE	Injection site pustule
INJECTION SITE	Injection site rash
INJECTION SITE	Injection site reaction
INJECTION SITE	Injection site scab
INJECTION SITE	Injection site scar
INJECTION SITE	Injection site swelling
INJECTION SITE	Injection site thrombosis
INJECTION SITE	Injection site ulcer
INJECTION SITE	Injection site urticaria
INJECTION SITE	Injection site vesicles
INJECTION SITE	Injection site warmth
INJECTION SITE	Musculoskeletal pain
INJECTION SITE	Pain in extremity
INJECTION SITE	Puncture site pain
INJECTION SITE	Administration site pain
INJECTION SITE	Application site pain
INJECTION SITE	Injection site dryness
INJECTION SITE	Injection site dysaesthesia
INJECTION SITE	Injection site exfoliation
INJECTION SITE	Injection site granuloma
INJECTION SITE	Injection site hyperaesthesia
INJECTION SITE	Injection site laceration
INJECTION SITE	Injection site macule
INJECTION SITE	Injection site plaque
INJECTION SITE	Injection site streaking
INJECTION SITE	Injection site vasculitis

MQTPLCAT**AEDECOD**

QT PROLONGATION	Electrocardiogram QT interval abnormal
QT PROLONGATION	Electrocardiogram QT prolonged
QT PROLONGATION	Long QT syndrome
QT PROLONGATION	Long QT syndrome congenital

MAKICAT	AEDECOD
ACUTE RENAL FAILURE (SMQ)	ACUTE PHOSPHATE NEPHROPATHY
ACUTE RENAL FAILURE (SMQ)	ACUTE KIDNEY INJURY
ACUTE RENAL FAILURE (SMQ)	ANURIA
ACUTE RENAL FAILURE (SMQ)	AZOTAEMIA
ACUTE RENAL FAILURE (SMQ)	CONTINUOUS HAEMODIAFILTRATION
ACUTE RENAL FAILURE (SMQ)	DIALYSIS
ACUTE RENAL FAILURE (SMQ)	HAEMODIALYSIS
ACUTE RENAL FAILURE (SMQ)	HAEMOFILTRATION
ACUTE RENAL FAILURE (SMQ)	NEONATAL ANURIA
ACUTE RENAL FAILURE (SMQ)	NEPHROPATHY TOXIC
ACUTE RENAL FAILURE (SMQ)	OLIGURIA
ACUTE RENAL FAILURE (SMQ)	PERITONEAL DIALYSIS
ACUTE RENAL FAILURE (SMQ)	PRERENAL FAILURE
ACUTE RENAL FAILURE (SMQ)	RENAL FAILURE
ACUTE RENAL FAILURE (SMQ)	RENAL FAILURE NEONATAL
ACUTE RENAL FAILURE (SMQ)	RENAL IMPAIRMENT
ACUTE RENAL FAILURE (SMQ)	RENAL IMPAIRMENT NEONATAL

Attachment 2: Criteria of Markedly Abnormal Laboratory Values

Laboratory Parameter[unit]	Markedly Abnormal Limits	
	Low	High
Albumin [g/L]	24	60
Alkaline phosphatase [U/L]	N/A	250
Alanine Aminotransaminase (SGPT) [U/L]	N/A	200
Aspartate Aminotransaminase (SGOT) [U/L]	N/A	250
Bicarbonate [mmol/L]	15.1	34.9
Blood urea nitrogen [mmol/L]	N/A	17.9
Calcium [mmol/L]	1.5	3
Chloride [mmol/L]	94	112
CCI	█	█
Creatinine [μ mol/L]	N/A	265.2
Gamma glutamyl transferase [U/L]	N/A	300
Glucose [mmol/L]	2.2	16.7
CCI	█	█
Lactate Dehydrogenase[U/L]	N/A	500
Phosphate [mmol/L]	0.7	2.6
Potassium [mmol/L]	3.0	5.8
Sodium [mmol/L]	125	155
Bilirubin, total [μ mol/L]	N/A	51.3
Protein, total (g/L)	50	N/A
CCI	█	█
Urate [μ mol/L]	89.2	594.8
Hematocrit (fraction) -- female	0.28	0.5
-- male	0.24	0.55
Hemoglobin [g/L]	80	190
Neutrophils, Segmented [%]	30	90
Monocytes [%]	N/A	20
Eosinophils [%]	N/A	10
Basophils [%]	N/A	6
Lymphocytes [%]	10	60
Platelet count [$\times 10^9$ /L]	100	600
Erythrocytes [$\times 10^{12}$ /L] -- female	3.0	5.5
-- male	3.0	6.4
Leukocytes [$\times 10^9$ /L]	2.5	15.0

Note: The same limits apply to both males and females unless gender is indicated; N/A = Not applicable.

Janssen Research & Development ***Clinical Protocol**

**Single-arm, Open-label Extension to a Double-blind, Randomized, Active-controlled,
Parallel-group Study of Paliperidone Palmitate 6-Month Formulation**

**Protocol R092670PSY3016; Phase 3
AMENDMENT 2****R092670 (paliperidone palmitate)**

*Janssen Research & Development is a global organization that operates through different legal entities in various countries. Therefore, the legal entity acting as the sponsor for Janssen Research & Development studies may vary, such as, but not limited to Janssen Biotech, Inc.; Janssen Products, LP; Janssen Biologics, BV; Janssen-Cilag International NV; Janssen Pharmaceutica NV; Janssen, Inc; Janssen Sciences Ireland UC; or Janssen Research & Development, LLC. The term “sponsor” is used throughout the protocol to represent these various legal entities; the sponsor is identified on the Contact Information page that accompanies the protocol.

This study will be conducted under United States (US) Food & Drug Administration (FDA) Investigational New Drug (IND) regulations (21 Code of Federal Regulations [CFR] Part 312).

EudraCT Number: 2018-004532-30

Status: Approved
Date: 15 December 2020
Prepared by: Janssen Research & Development, LLC
EDMS number: EDMS-ERI-177701234, 2.0

Compliance: This study will be conducted in compliance with Good Clinical Practice (GCP), and applicable regulatory requirements.

Confidentiality Statement

The information provided herein contains Company trade secrets, commercial or financial information that the Company customarily holds close and treats as confidential. The information is being provided under the assurance that the recipient will maintain the confidentiality of the information under applicable statutes, regulations, rules, protective orders or otherwise.

PROTOCOL AMENDMENTS

DOCUMENT HISTORY	
Document	Date
Amendment 2	15-Dec-2020
Amendment 1 (COVID-19 Appendix)	23-Apr-2020
Original Protocol	14-Feb-2019

Amendment 2, 15 December 2020

Overall Rationale for the Amendment: To remove text related to anticipated events and to increase the estimated number of subjects to be enrolled in the study.

Section Number and Name	Description of Change	Brief Rationale
Section 12.3.1. All Adverse Events; Attachment 3	Text related to anticipated events has been deleted from Section 12.3.1. Attachment 3 has been removed from the protocol.	Text related to anticipated events has been removed from the protocol to align with (1) the sponsor's current Safety Assessment Committee policy, which does not require review of anticipated events in single-arm, open-label extension studies by a safety committee independent of the study team, and (2) current protocol template text, which does not require a list of anticipated events for single-arm, open-label studies.
Synopsis, Overview of Study Design; Section 3.1. Overview of Study Design	The estimated number of subjects to be enrolled in the study was increased from approximately 100 to approximately 180.	The estimated number of subjects was increased because (1) the number of subjects enrolled in Study R092670PSY3015 was higher than anticipated, (2) the relapse and early withdrawal rates during the double-blind phase of Study R092670PSY3015 were lower than expected, resulting in a higher rate of enrollment in Study R092670PSY3016, and (3) 1 country was added to the list of countries participating in Study R092670PSY3016.
Time and Events Schedule; 3.2. Study Design Rationale	Added the mental status examination to the list of assessments that may be performed at other scheduled or unscheduled visits, as deemed necessary by the investigator.	Text added to clarify that the mental status examination may be performed as needed per the investigator.
Throughout the protocol	Minor grammatical, formatting, or spelling changes were made.	Minor errors were noted

Amendment 1, 23 April 2020

Overall Rationale for the Amendment: To provide guidance on changes to study conduct and assessments due to restrictions and limitations during the COVID-19 pandemic.

Section Number and Name	Description of Change	Brief Rationale
COVID-19 Appendix	Added a COVID-19 Appendix as guidance on changes to study conduct and assessments due to restrictions and limitations during the COVID-19 pandemic.	To provide guidance on study conduct and assessments during the COVID-19 pandemic.

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SYNOPSIS

Single-arm, Open-label Extension to a Double-blind, Randomized, Active-controlled, Parallel-group Study of Paliperidone Palmitate 6-Month Formulation

Paliperidone, the active metabolite of risperidone, is a monoaminergic antagonist that exhibits the characteristic dopamine type 2 (D₂) combined with predominant serotonin (5-hydroxytryptamine [5HT] type 2A [5HT_{2A}]) antagonism of the newer, or second generation, antipsychotic drugs. Paliperidone is currently available for therapeutic use in 3 formulations: an oral extended-release (ER) tablet formulation and two long-acting injectable formulations (paliperidone palmitate 1-month injection [PP1M] and paliperidone palmitate 3-month injection [PP3M]). To support further improvement in adherence and convenience, the sponsor is now developing a third paliperidone palmitate product intended for administration once every 6 months (paliperidone palmitate 6-month injection [PP6M]).

The current study is an open-label extension designed to assess the long-term safety and tolerability of PP6M and to provide medication access to PP6M in subjects with schizophrenia who have previously been treated in a double-blind, randomized, active-controlled study (Study R092670PSY3015). Only a limited number of countries participating in Study R092670PSY3015 will take part in this open-label extension.

OBJECTIVES, ENDPOINTS, AND HYPOTHESIS

Objectives and Endpoints

Objectives	Endpoints
Primary	
<ul style="list-style-type: none"> • To assess (in a limited number of countries) the long-term safety and tolerability of PP6M (700 or 1000 mg eq.) and to provide access to PP6M in subjects with schizophrenia completing the R092670PSY3015 study without relapse. 	<ul style="list-style-type: none"> • Safety will be assessed through evaluation of adverse events, mental status examination, clinical laboratory values, vital signs, physical examinations, the Abnormal Involuntary Movement Scale (AIMS), and injection site evaluations. Mandatory assessments will occur at limited time points during the study with additional assessments to be added at the discretion of the investigator if considered necessary. • Proportion of subjects who receive 1, 2, 3, or 4 PP6M injections.
Secondary	
<ul style="list-style-type: none"> • To assess the long-term efficacy of PP6M based on: <ul style="list-style-type: none"> – Overall symptom improvement and global severity of the illness – Personal and social functioning – Remission rates 	<ul style="list-style-type: none"> • Efficacy will be assessed based on the change from open-label extension baseline on: <ul style="list-style-type: none"> – The Clinical Global Impression-Severity (CGI-S) scale. – The Personal and Social Performance (PSP) scale. – The proportion of subjects in remission will be assessed based on the Positive and Negative Syndrome Scale (PANSS) assessment at open-label extension baseline, Month 12, Month 24, and the

Objectives	Endpoints
<ul style="list-style-type: none"> To continually assess the long-term effectiveness of PP6M on the prevention of relapse by evaluating the data from R092670PSY3015 and R092670PSY3016. 	<p style="text-align: center;">End-of-Study/Early Withdrawal visit.</p> <ul style="list-style-type: none"> Effectiveness will be assessed based on relapse, where relapse in the open-label extension is defined as one or more of the following: <ul style="list-style-type: none"> Psychiatric hospitalization for schizophrenia (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms); Emergency Department/Room/Ward visit due to a worsening of the subject's symptoms of schizophrenia, but a psychiatric hospitalization does not occur; The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage; The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment.
<ul style="list-style-type: none"> To evaluate the impact of PP6M on Medical Resource Utilization 	<ul style="list-style-type: none"> Based on the Healthcare Resource Utilization Questionnaire (HRUQ).

Note: Data from this study may also be used in a future analysis and combined with results from other studies (R092670PSY3015 and R092670SCH4067). If performed, this analysis will be reported separately.

Hypothesis

The primary hypothesis is that long-term treatment with PP6M (700 or 1000 mg eq.) is safe and well tolerated in subjects with schizophrenia who have previously been treated with PP6M for 12 months, or are being switched to PP6M from corresponding doses of PP3M.

OVERVIEW OF STUDY DESIGN

This study is a single-arm, 24-month open-label extension to Study R092670PSY3015, a double-blind, randomized, active-controlled, parallel-group study to evaluate whether the efficacy of PP6M is noninferior to that of PP3M in adults with schizophrenia. Subjects who complete the 12-month Double-blind Phase of R092670PSY3015 in the selected countries without a relapse will be eligible to enter the open-label extension study (R092670PSY3016). Approximately 180 subjects from Study R092670PSY3015 are estimated to be enrolled in the open-label extension.

All subjects will initially complete a screening assessment, during which eligibility will be assessed and informed consent obtained. Subjects who satisfy inclusion criteria will enter the 24-month open-label extension study. Subjects will attend site visits at a minimum of once every 3 months to complete safety, efficacy, and other assessments, with additional visits to be added at the discretion of the investigator as deemed necessary, per usual clinical practice. Subjects who meet criteria for relapse or other criteria for withdrawal during the study will be discontinued; these subjects will complete the End-of-Study

procedures as soon as possible and return for a follow-up assessment 6 months (183 ± 14 days) after their last PP6M injection.

For subjects who do not relapse or withdraw, study participation will be continued for up to a maximum of 2 years, or until PP6M becomes commercially available in the subject's local country, whichever occurs first. Subjects will get access to the medication for a maximum of 2 years. If PP6M becomes available locally before the 2-year endpoint, then subjects will be considered as having completed the open-label extension study and will be switched to a commercially available supply if they wish to continue the PP6M treatment.

SUBJECT POPULATION

The study will enroll adult men and women with Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) diagnosis of schizophrenia who completed the Double-blind Phase of Study R092670PSY3015 without a relapse and who continue to be willing to be treated with PP6M.

DOSAGE AND ADMINISTRATION

All subjects in the open-label extension study will receive treatment with PP6M (700 or 1000 mg eq.), with injections administered once every 6 months. The initial dose of PP6M will be determined based on the dose level ("moderate" or "higher") that the subject was receiving during the Double-blind Phase of Study R092670PSY3015. Investigators will be allowed to change the PP6M dose during the study based on clinical judgment. All PP6M injections will be administered in the gluteal muscle, and will rotate across both sides (left or right) of the body.

EFFICACY EVALUATIONS

Efficacy evaluations include the CGI-S scale, the PSP scale, the PANSS (at limited timepoints, to allow assessment of remission), and relapse.

SAFETY EVALUATIONS

The study's safety evaluations will include assessment of adverse events, mental status examination, clinical laboratory assessments, vital signs, physical examinations, the AIMS, and injection site evaluations. In addition, the Columbia Suicide Severity Rating Scale (C-SSRS) will be performed if suicidal ideation is identified during the mental status examination. Other safety assessments (including but not limited to: 12-lead electrocardiogram [ECG], Barnes Akathisia Rating Scale [BARS], Simpson Angus Scale [SAS], and urine drug screen) may be conducted if deemed necessary by the investigator.

MEDICAL RESOURCE UTILIZATION

Medical resource utilization will be evaluated using the HRUQ.

STATISTICAL METHODS

The sample size will be determined by the number of subjects who complete Double-blind Phase of Study R092670PSY3015 without relapse and are willing to participate in R092670PSY3016.

All subjects who receive at least one dose of study intervention (PP6M) during the open-label extension study will be included in the evaluable analysis population. Descriptive statistics, summaries, tabulations, listings, etc. will be provided for each outcome as appropriate.

TIME AND EVENTS SCHEDULE

A. Open-label Extension Study

	Open-label Extension ^a								
Visit	1 ^{b,c}	2	3	4	5	6	7	8	9/EOS ^k
Day (of Phase)	1 ^{b,c}	92	183	274	365	456	547	638	729
Visit window (Days)		±14	±14	±14	±14	±14	±14	±14	±14
Screening/administrative									
Informed consent (ICF) ^d	X								
Inclusion/exclusion criteria ^e	X								
Pregnancy test ^f	X ^g		X		X		X		X
Concomitant therapy	X ^g	X	X	X	X	X	X	X	X
Study Intervention									
Administer PP6M	X		X		X		X		
Safety assessmentsⁱ									
Adverse events	X	X	X	X	X	X	X	X	X
Mental status examination/clinical assessment ^{h,i}	X	X	X	X	X	X	X	X	X
Physical examination and vital signs ^j	X ^g				X				X
AIMS ⁱ	X ^g				X				X
Assessment of the injection site	X		X		X		X		X
Efficacy assessments									
CGI-S ⁱ	X ^g	X	X	X	X	X	X	X	X
PSP	X ^g				X				X
Full PANSS	X ^g				X				X
Clinical Laboratory Assessments									
Blood for hematology and serum chemistry ⁱ	X ^g				X				X
Urinalysis ⁱ	X ^g				X				X
Additional assessments									
HRUQ	X ^g		X		X		X		X

Keys: AIMS = Abnormal Involuntary Movement Scale; BARS = Barnes Akathisia Rating Scale; CGI-S = Clinical Global Impression-Severity; C-SSRS = Columbia Suicide Severity Rating Scale; ECG = electrocardiogram; EOS = End-of-Study (Visit), which may be conducted as an Early Withdrawal Visit when relevant (see Section 10, Subject Completion/Discontinuation of Study Intervention/Withdrawal from the Study); HRUQ = Healthcare Resource Utilization Questionnaire; ICF = Informed Consent Form; PP1M = paliperidone palmitate 1-month (product); PP3M = paliperidone palmitate 3-month (product); PP6M = paliperidone palmitate 6-month (product); PANSS = Positive and Negative Syndrome Scale; PSP = Personal and Social Performance (scale); SAS = Simpson Angus Scale

Footnotes:

- Subjects will attend study visits every 3 months. Intermediate visits are permitted, as deemed necessary by the investigator.
- Visit 1 (Day 1) coincides with the End-of-Study visit for Study R092670PSY3015, and will take place immediately after completion of the R092670PSY3015 End-of-Study procedures.
- There may be a small number of subjects who complete Study R092670PSY3015 before the R092670PSY3016 protocol is approved and implemented in that local country. These subjects may be screened and can enter Study R092670PSY3016 later, provided that: Visit 1 (Day 1) of Study R092670PSY3016 (ie, first dose of PP6M) is scheduled to occur no later than 3 months after the End-of-Study visit of R092670PSY3015, that in the interim period the subject has been treated with PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.) (PP3M is preferred) and has not experienced a relapse (as defined in Section 9.2.4, Relapse Criteria), and the subject meets other criteria for study entry. For these subjects, Visit 1 (Day 1) of Study R092670PSY3016 will take place at the time of the subject's next scheduled dose of PP1M or PP3M (ie, 30 ±7 days after the last dose of PP1M; or 90 ±14 days after the last dose of PP3M). For these subjects, a screening period of 2 weeks will apply during which the procedures indicated on Visit 1 (Day 1) plus a baseline 12-lead ECG will be performed and laboratory results will need to be available and reviewed prior to dosing.
- The ICF must be signed before the first study-related activity. Check clinical status again before the first dose of study drug.
- The minimum criteria for the availability of documentation supporting the eligibility criteria are described in Section 17.4, Source Documentation.

- f. The pregnancy test is applicable only to women of childbearing potential. It will be a urine test at all time points (via local testing) and must be confirmed negative before study intervention is administered at the marked visits.
- g. Results from the R092670PSY3015 End-of-Study visit may be used, unless the End-of Study visit of R092670PSY3015 and Visit 1 (Day 1) of R092670PSY3016 are more than 4 weeks apart.
- h. The mental status examination includes an assessment of suicidal ideation. If present, a C-SSRS is to be performed.
- i. In addition to marked visits, assessments may also be performed at other visits, whether scheduled or unscheduled, as deemed necessary by the investigator. These include, but are not limited to: mental status examination, CGI-S, C-SSRS, AIMS, BARS, SAS, hematology labs, chemistry labs, urinalysis, urine drug screen, 12-lead ECG.
- j. Physical examinations include body examination, weight, and waist circumference. Body mass index (BMI) will be calculated using the height measurement taken at the screening visit of Study R092670PSY3015.
- k. End-of-Study procedures must also be completed for subjects who relapse or meet other criteria for withdrawal from the study. These procedures are to be completed on the day that withdrawal or relapse occurs, or as soon as possible thereafter. Subjects who withdraw or relapse should return for an additional follow-up visit (please refer to the Time and Events Schedule for the Follow-up Phase on the next page).


B. Follow-up Phase (for Subjects Who Discontinue, Withdraw, or Relapse)

Phase	Follow-up Phase
Visit Number (of Study)	Variable
Day	183 days from last study drug injection
Visit Window (Days)	±14
Concomitant therapy	X
Adverse events	X

Note: The Follow-up Phase is applicable only to subjects who relapse or meet other relevant conditions for withdrawal or discontinuation, as described in Section 10.2 (Withdrawal From the Study).

ABBREVIATIONS AND TERMS

Abbreviations

5HT _{2A}	5-hydroxytryptamine type 2A
AIMS	Abnormal Involuntary Movement Scale
BARS	Barnes Akathisia Rating Scale
BMI	body mass index
CGI-S	Clinical Global Impression - Severity
C-SSRS	Columbia Suicide Severity Rating Scale
D ₂	dopamine type 2
DSM-5	Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition
ECG	electrocardiogram
eCRF	electronic Case Report Form
EPS	extrapyramidal symptoms
ER/PR	extended-release/prolonged-release
F013	a formulation of paliperidone palmitate, used in PP1M
CCI	
FDA	Food and Drug Administration
FSH	follicle stimulating hormone
G[x]	a general-psychopathology item of the PANSS scale, where x is the number of the item
GCP	Good Clinical Practice
HRUQ	Healthcare Resource Utilization Questionnaire
ICF	Informed Consent Form
ICH	International Conference on Harmonisation / International Council for Harmonisation
IEC	Independent Ethics Committee
IRB	Institutional Review Board
LAI	long-acting injectable
MedDRA	Medical Dictionary for Regulatory Activities
mg eq.	(paliperidone palmitate) milligrams equivalent (to paliperidone)
N[x]	a negative-symptom item of the PANSS scale, where x is the number of the item
NIMH	National Institute of Mental Health
P[x]	a positive-symptom item of the PANSS scale, where x is the number of the item
PANSS	Positive and Negative Syndrome Scale
PK	pharmacokinetic(s)
PP1M	paliperidone palmitate 1-month (product)
PP3M	paliperidone palmitate 3-month (product)
PP6M	paliperidone palmitate 6-month (product)
PQC	Product Quality Complaint
PSP	Personal and Social Performance (scale)
SAS	Simpson Angus Scale
TEAE	treatment-emergent adverse event
VAS	Visual Analog Scale

Definitions

study “intervention”	PP6M (previously referred to as study “drug”)
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1. INTRODUCTION

Paliperidone, the active metabolite of risperidone, is a monoaminergic antagonist that exhibits the characteristic dopamine type 2 (D₂), combined with predominant serotonin (5-hydroxytryptamine [5HT] type 2A [5HT_{2A}]), antagonism of the newer, or second generation, antipsychotic drugs. Paliperidone is currently available for therapeutic use in 3 formulations: an oral extended-release (ER) tablet formulation (INVEGA®) and two long-acting injectable formulations (paliperidone palmitate 1-month injection [PP1M] and paliperidone palmitate 3-month injection [PP3M]). To support further improvement in adherence and convenience, the sponsor is now developing a third paliperidone palmitate product intended for administration once every 6 months (paliperidone palmitate 6-month injection [PP6M]).

CCI



The current study is an open-label extension designed to evaluate the long-term safety and tolerability of PP6M and to provide medication access to PP6M for subjects with schizophrenia who have previously been treated in a double-blind, randomized, active-controlled study (Study R092670PSY3015). Subjects who complete 12 months of treatment with PP3M or PP6M during the Double-blind Phase of Study R092670PSY3015 without a relapse will be eligible, in a limited number of countries, to enter the current study and will receive PP6M (700 or 1000 mg eq.) during the 24-month open-label extension.

Doses of paliperidone palmitate (PP1M, PP3M, and PP6M) can be expressed in milligrams (mg) of paliperidone palmitate or in milligrams equivalent (mg eq.) to paliperidone. Throughout this document, doses will be described in mg eq. Conversions between paliperidone palmitate products and between units are described in [Table 1](#).

Table 1: Conversions Between Doses and Injection Volumes for the 1-, 3-, and 6-Month Paliperidone Palmitate Products

	F013 Formulation			F015 Formulation					
	PP1M Dose			PP3M Dose ^a			PP6M Dose ^b		
	mg eq.	mg	Volume	mg eq.	mg	Volume	mg eq.	mg	Volume
	25 mg eq.	39 mg	0.25 mL	--	--	--	--	--	--
	50 mg eq.	78 mg	0.50 mL	175 mg eq.	273 mg	0.875 mL	--	--	--
	75 mg eq.	117 mg	0.75 mL	263 mg eq.	410 mg	1.315 mL	--	--	--
<i>Moderate dose^c</i>	100 mg eq.	156 mg	1.00 mL	350 mg eq.	546 mg	1.750 mL	700 mg eq.	1092 mg	3.50 mL
<i>Higher dose^c</i>	150 mg eq.	234 mg	1.50 mL	525 mg eq.	819 mg	2.625 mL	1000 mg eq.	1560 mg	5.00 mL

^a PP3M dose = 3.5× the patient's previous PP1M dose.

^b PP6M dose = ≈7× the patient's previous PP1M dose or ≈2× the previous PP3M dose.

^c Doses shown in ***Bold Italics*** represent the PP3M and PP6M doses evaluated during Double-blind Phase of Study R092670PSY3015.

Key: -- = No corresponding dose level available; mg eq. = (paliperidone palmitate) milligrams equivalent (to paliperidone); PP1M = paliperidone palmitate 1-month product; PP3M = paliperidone palmitate 3-month product; PP6M = paliperidone palmitate 6-month product.

For the most comprehensive nonclinical and clinical information regarding paliperidone and paliperidone palmitate products (including PP6M), refer to the latest version of the Investigator's Brochure for paliperidone/paliperidone palmitate.

The term "study intervention" throughout the protocol, refers to the 6-month paliperidone product (PP6M).

The term "sponsor" used throughout this document refers to the entities listed in the Contact Information page(s), which will be provided as a separate document.

1.1. Background

Nonclinical Studies

Pharmacologic Profile

Paliperidone is a racemic mixture. Binding affinities are similar between risperidone and paliperidone for 5HT_{2A} and D₂ receptors, alpha-adrenergic receptor subfamilies Type 1 and 2, and histamine Type 1 receptors. In vitro, paliperidone was equipotent to risperidone in reversing the dopamine-induced suppression of prolactin release from anterior pituitary cells and had similar effects on human platelet function, plasma coagulation, and fibrinolysis. Paliperidone is devoid of antimuscarinic activity.

Toxicology

The nonclinical profile of paliperidone has been extensively evaluated during the development of the approved products. Paliperidone is associated with toxicologic effects that are typical of D₂ receptor antagonists. Two 12-week studies in minipigs indicated that the toxicological profiles of PP1M and PP3M were comparable when tested up to the maximum dose levels for humans (150 mg eq. for PP1M and 525 mg eq. for PP3M).

The sponsor conducted a 6-month local tolerability study in minipigs for PP6M up to a dose of 1060 mg eq. in an injection volume of 5.3 mL, which is more than the highest dose and volume

(1000 mg eq. in 5.0 mL) to be tested in the current clinical study. That 1060 mg eq. in 5.3 mL is administered unilaterally or bilaterally and yields a dose up to 141 mg eq./kg if tested in a (for example) 15-kg minipig, which is approximately 8-fold the highest dose on a mg eq./kg basis that will be tested in the current clinical study (1000 mg eq., or 16.7 mg eq./kg in a [for example] 60-kg subject). Results of this 6-month local tolerability study in minipigs (described in the Investigator's Brochure) adequately support the use of PP6M in clinical studies.

Clinical Studies

Paliperidone Palmitate 6-month Product (PP6M)

The currently ongoing Phase 3 study (R092670PSY3015) is the first clinical study to evaluate the efficacy and safety of the PP6M product. Results of this study are not yet available; a brief overview of the study design is provided below.

- Study R092670PSY3015 is a randomized, double-blind, active-controlled, multicenter, interventional, parallel-group study in adults with schizophrenia. The study includes an initial open-label Transition and/or Maintenance Phase followed by a randomized Double-blind Treatment Phase. During the open-label Transition and Maintenance Phases, subjects will be treated with PP1M or PP3M. Subjects who are clinically stable on a moderate or high dose of PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.) at the end of the Maintenance Phase will be eligible to enter the Double-blind Phase. At entry to the Double-blind Phase, subjects will be randomized in a 1:2 ratio to receive either PP3M (350 or 525 mg eq., every 3 months) or PP6M (700 or 1000 mg eq., every 6 months [with intervening placebo injections]). The dose of PP3M or PP6M for each subject during the Double-blind Phase will be calculated based on the last dose of PP1M or PP3M received during the Maintenance Phase (using the conversion factors as shown in [Table 1](#)). It is estimated approximately 549 subjects will be randomized in the Double-blind Phase. The Double-blind Phase will be 12 months in duration. The primary endpoint is time to relapse during the Double-blind Phase, with noninferiority assessed based on the difference in Kaplan-Meier 12-month estimate of survival (ie, percentage of subjects remaining relapse-free) between PP6M and PP3M. Secondary endpoints include evaluation of efficacy (based on changes from baseline in Positive and Negative Syndrome Scale [PANSS], Clinical Global Impression-Severity [CGI-S], and Personal and Social Performance [PSP] scales), safety and tolerability, and PK of PP6M versus PP3M.

Paliperidone Palmitate 1-month and 3-month Products (PP1M and PP3M)

While Study R092670PSY3015 is the first clinical study to evaluate the PP6M product, extensive clinical trial experience is available with the approved oral paliperidone formulation (paliperidone extended-release [ER] [or 'prolonged-release'] tablets) and the approved paliperidone palmitate products (PP1M and PP3M). Up to the most recent clinical cut-off date of 31 December 2017, over 15,000 subjects have received paliperidone ER, over 10,000 subjects have received PP1M, and approximately 1,500 subjects have received PP3M during cumulative clinical trial experience across all studied indications.

Of note, the sponsor has completed 3 registrational clinical studies of PP3M, CCI
[REDACTED] A total of 1,191 subjects received at least 1 dose of PP3M (F015) in

1 registrational Phase 1 study (R092670PSY1005) and 2 registrational Phase 3 studies (R092670PSY3011 and R092670PSY3012), with 319 subjects receiving at least 48 weeks of treatment with PP3M in the Phase 3 studies.³ The combined exposure to PP3M was 567.6 subject-years.³

Pharmacokinetics

After injection, paliperidone palmitate dissolves slowly before being hydrolyzed to paliperidone, which then enters the systemic circulation. By slowly releasing paliperidone from the injection site, the paliperidone palmitate formulation enables a dosing interval that achieves potentially therapeutic plasma concentrations of paliperidone for 1 month (PP1M), 3 months (PP3M), or now potentially 6 months (PP6M); the duration depends on the particle size, concentration, and injection volume.

The sponsor developed a population PK model to describe the time course of plasma paliperidone concentrations after administration of PP3M, using data from Studies R092670PSY1005 and R092670PSY3012. The model was subjected to external evaluations, extensive model diagnostics, and validations using data from Study R092670PSY3011. The sponsor used this population PK modeling for PP3M to guide dose selection for PP6M (see Section 3.2, Study Design Rationale), CCI

Efficacy

Efficacy data are not yet available for the PP6M product. The efficacy of the PP1M product is well established. The efficacy of the PP3M product in the maintenance treatment in adults with schizophrenia was established in two Phase 3 studies:

- Study R092670PSY3012 was a double-blind, placebo-controlled, long-term, randomized withdrawal study designed to determine whether PP3M was more effective than placebo in delaying the time to relapse of the symptoms of schizophrenia. Subjects progressed, as eligible, through a 17-week open-label PP1M treatment period (n=506), a 12-week open-label PP3M maintenance period (n=379), then were randomized to continue PP3M (n=160) or to switch to placebo (n=145) during the double-blind period. Relapses occurred in 3 times as many subjects in the placebo group (29.0%) as in the PP3M group (8.8%). The hazard ratio of relapse of schizophrenia symptoms was 3.81 (95% confidence interval: 2.08 to 6.99) times higher for a subject switching to placebo than for a subject continuing to receive PP3M, indicating a 74% decrease in relapse risk associated with continued PP3M treatment. The time to relapse was significantly different ($p < 0.001$) in favor of PP3M over placebo; the median estimated time to relapse was not estimable for subjects in the PP3M group but was 395 days for subjects who switched to placebo. The long time to relapse in subjects who switched from PP3M to placebo, in combination with their PK results, indicates that many subjects had sufficiently therapeutic paliperidone plasma concentrations beyond their last PP3M dose.
- Study R092670PSY3011 was a double-blind, parallel-group, noninferiority study comparing the PP1M and PP3M formulations in subjects with schizophrenia. Subjects progressed, as eligible, through a 17-week open-label PP1M treatment period (n=1,429) and then were randomized to receive PP1M (n=512) or PP3M (n=504) during a 48-week double-blind

period. Relapse rates were low, occurring in 8.1% of PP3M subjects and 9.2% of PP1M subjects. The lower bound of the 95% confidence interval (-2.7%) was greater than the prespecified noninferiority margin of -15%, thus demonstrating that PP3M was noninferior to PP1M.

Overall, the previous efficacy outcomes with PP3M support clinical evaluation of PP6M, CCI

Safety

In addition to extensive studies of oral paliperidone and PP1M, the safety profile of PP3M (F015) was established in 3 registrational studies. The head-to-head comparison of PP3M and PP1M in Study R092670PSY3011 showed no clinically meaningful differences in their safety profiles. In particular, results were similar between PP3M and PP1M in the types and incidences of adverse events, adverse drug reactions, and injection site reactions. Across the development program for PP3M, no safety signals were detected that related specifically to the PP3M (F015) formulation.

Neither of the registrational Phase 3 studies of PP3M was designed to assess dose-related safety, since the investigators adjusted doses of PP1M for each subject based on his or her tolerability and efficacy; those flexible doses then were converted to a corresponding dose of PP3M. Therefore, any conclusions about dose-related PP3M safety results during the double-blind periods may be confounded by the ability or inability of individual subjects to tolerate PP1M in the preceding open-label periods. Still, selected exploratory analyses of safety outcomes stratified by optimized PP3M dose levels in the double-blind periods of these studies did not show higher overall rates of adverse events related to extrapyramidal symptoms (EPS) at the highest dose level relative to the lower dose levels, and did not show any evidence for a dose-related effect on the investigators' or subjects' ratings of the injection sites.

Overall, the previous safety and tolerability outcomes with PP3M support the clinical evaluation of PP6M, CCI

1.2. Overall Rationale for the Study

The main rationale for this study is to collect long-term safety and tolerability data with PP6M and to provide access to the PP6M formulation for subjects who complete Study R092670PSY3015 without relapse. This study will be continued for up to a maximum of 2 years for each individual subject, or until PP6M becomes commercially available in a subject's local country, whichever occurs first. In some countries, additional reimbursement negotiations and central formulary approvals will be needed before PP6M becomes available. In this case, subjects participating in the R092670PSY3016 study can continue to receive PP6M until the PP6M formulation is available in their local country, or for a maximum of 2 years. If PP6M becomes available locally before the 2-year endpoint, then subjects will be considered as having completed the open-label extension study at the end of the most recent 6-month injection cycle and will be switched to a commercially available supply if they wish to continue PP6M

treatment. For these subjects, the End-of-Study visit will be conducted 6 months after the subject's last dose of PP6M.

1.3. Benefit/Risk Assessment

Schizophrenia is a severe and chronic mental disorder. Several oral and long-acting injectable (LAI) antipsychotic medications are available for the treatment of patients with schizophrenia, but the disorder is associated with high rates of nonadherence to oral medications and some patients are not willing or able to attend regular clinic visits to receive their medication, including available LAI injections. The PP6M product offers the potential for patients to receive just 2 antipsychotic injections per year.

Having a LAI antipsychotic that requires injections only twice per year is unique; there is no other antipsychotic on the market with a comparable duration between injections. The combination of assured medication delivery, long-lasting antipsychotic coverage, and the reduced frequency of injections with the PP6M product may offer benefits in terms of improved patient convenience and reduced potential for nonadherence compared with currently available antipsychotics. If approved, PP6M therefore has the potential to improve serious outcomes (eg, hospitalization and/or relapse) associated with poor medication adherence in this population.

There is extensive evidence that paliperidone (the active ingredient of PP6M) is a safe and effective molecule for the treatment of schizophrenia. The currently available data (see Section 1.1, Background) as well as the population PK modeling performed to select the PP6M dose levels (see Section 3.2, Study Design Rationale) provide support for the conduct of this long-term open-label study.

The primary objective of this study is to evaluate the long-term safety and tolerability of the PP6M product (700 and 1000 mg eq., every 6 months) and provide medication access to PP6M in patients who have previously received PP6M or PP3M in Study R092670PSY3015. Only a limited number of countries that participated in Study R092670PSY3015 will take part in this open-label extension. Besides routine safety monitoring and subject management, this study includes several design features to minimize risk to subjects enrolled in this study. Firstly, only those subjects who have completed the Double-blind Phase and demonstrated adequate efficacy (ie, no relapses) and tolerability (ie, no significant adverse events) during 12 months of double-blind treatment with PP3M or PP6M will be eligible to enter the open-label extension study. Therefore, subjects will have already demonstrated a good response and tolerability to paliperidone palmitate LAI prior to entering the open-label extension study. Subjects will either be continuing PP6M at the same dose as they received during the R092670PSY3015 Double-blind Phase, or will be switching from PP3M to a corresponding dose of PP6M. Risks associated with switching from PP3M to PP6M are considered limited. CCI

[REDACTED] Potential risks and risk mitigation strategies for subjects switching from PP3M are discussed further below:

- Higher dose: The PP6M doses in this study (700 and 1000 mg eq.) are approximately 2-fold higher than the corresponding PP3M doses (350 and 525 mg eq., respectively) (see [Table 1](#)).

The sponsor performed population PK simulations to select the chosen PP6M doses, and considered the acceptability of the PP6M exposures based on comparison with paliperidone and risperidone data from previous studies. The results indicated that exposures at the proposed PP6M dose levels are predicted to stay within the range of exposures that were shown to be efficacious and tolerated during clinical trial experience with other paliperidone/risperidone products (see further details in Section 3.2, Study Design Rationale). The maximum exposures predicted with PP6M in this study are therefore expected to be adequately tolerated. In addition, medications commonly used to improve tolerability of antipsychotic medications (eg, anti-EPS medications, benzodiazepines) will be permitted during the study, as needed (see Section 8, Prestudy and Concomitant Medication).

- **Larger volume:** The injection volumes for the PP6M doses (3.5 mL and 5 mL for the 700 and 1000 mg eq. doses, respectively) are higher than injection volume for the PP3M product (Table 1). The sponsor has consulted nursing guidelines⁶ for the acceptability of the proposed PP6M volumes, and these guidelines indicate that volumes of up to 5 mL can be administered in the gluteus. The sponsor has accordingly restricted the administration of PP6M into the gluteal muscle in this study.
- **Longer-acting formulation:** A concern may be associated with the longer-acting nature of PP6M vs. PP3M. If an adverse event occurs during treatment with an oral antipsychotic, then dosing can be stopped, which results in rapid elimination from the body and often a resolution of the adverse event over a similar time course. If an adverse event occurs during treatment with a LAI antipsychotic, then the plasma concentrations may be maintained for months after the injection; elimination of the drug cannot be accelerated to facilitate resolution of the adverse event. However, many of the expected adverse events can be managed with pharmacological intervention (eg, beta-blockers for akathisia or anticholinergics for EPS). Moreover, eligible study subjects will already have been using LAI formulations before enrolling in the study; the study does not introduce a new risk of this nature, but only extends the duration in which the risk is present.

Overall, the potential benefits associated with an LAI antipsychotic that only requires administration twice a year, in addition to the positive efficacy and safety profile of paliperidone demonstrated in previous clinical studies with the approved paliperidone/paliperidone palmitate formulations, support the evaluation of PP6M in the proposed study. The overall risk-benefit balance for conducting the proposed clinical study is considered favorable.

More detailed information about the known and expected benefits and risks of paliperidone and paliperidone palmitate, including PP6M, may be found in the Investigator's Brochure.

2. OBJECTIVES, ENDPOINTS, AND HYPOTHESIS

2.1. Objectives and Endpoints

Objectives	Endpoints
Primary	
<ul style="list-style-type: none"> • To assess (in a limited number of countries) the long-term safety and tolerability of PP6M (700 or 1000 mg eq.) and to provide access to PP6M in 	<ul style="list-style-type: none"> • Safety will be assessed through evaluation of adverse events, mental status examination, clinical laboratory values, vital signs, physical examinations, the Abnormal Involuntary

Objectives	Endpoints
<p>subjects with schizophrenia completing the R092670PSY3015 study without relapse.</p>	<p>Movement Scale (AIMS), and injection site evaluations. Mandatory assessments will occur at limited time points during the study with additional assessments to be added at the discretion of the investigator if considered necessary.</p> <ul style="list-style-type: none"> • Proportion of subjects who receive 1, 2, 3, or 4 PP6M injections.
Secondary	
<ul style="list-style-type: none"> • To assess the long-term efficacy of PP6M based on: <ul style="list-style-type: none"> – Overall symptom improvement and global severity of the illness – Personal and social functioning – Remission rates 	<ul style="list-style-type: none"> • Efficacy will be assessed based on the change from open-label extension baseline on: <ul style="list-style-type: none"> – The Clinical Global Impression-Severity (CGI-S) scale. – The Personal and Social Performance (PSP) scale. – The proportion of subjects in remission will be assessed based on PANSS assessment at open-label extension baseline, Month 12, Month 24, and the End-of-Study/Early Withdrawal visit.
<ul style="list-style-type: none"> • To continually assess the long-term effectiveness of PP6M on the prevention of relapse by evaluating the data from R092670PSY3015 and R092670PSY3016. 	<ul style="list-style-type: none"> • Effectiveness will be assessed based on relapse, where relapse in the open-label extension is defined as one or more of the following: <ul style="list-style-type: none"> – Psychiatric hospitalization for schizophrenia (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms); – Emergency Department/Room/Ward visit due to a worsening of the subject's symptoms of schizophrenia, but a psychiatric hospitalization does not occur; – The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage; – The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment.
<ul style="list-style-type: none"> • To evaluate the impact of PP6M on Medical Resource Utilization 	<ul style="list-style-type: none"> • Based on the Healthcare Resource Utilization Questionnaire (HRUQ).

Refer to Section 9 (Study Evaluations) for evaluations related to endpoints.

Note: Data from this study may also be used in a future analysis and combined with results from other studies (R092670PSY3015 and R092670SCH4067). If performed, this analysis will be reported separately.

2.2. Hypothesis

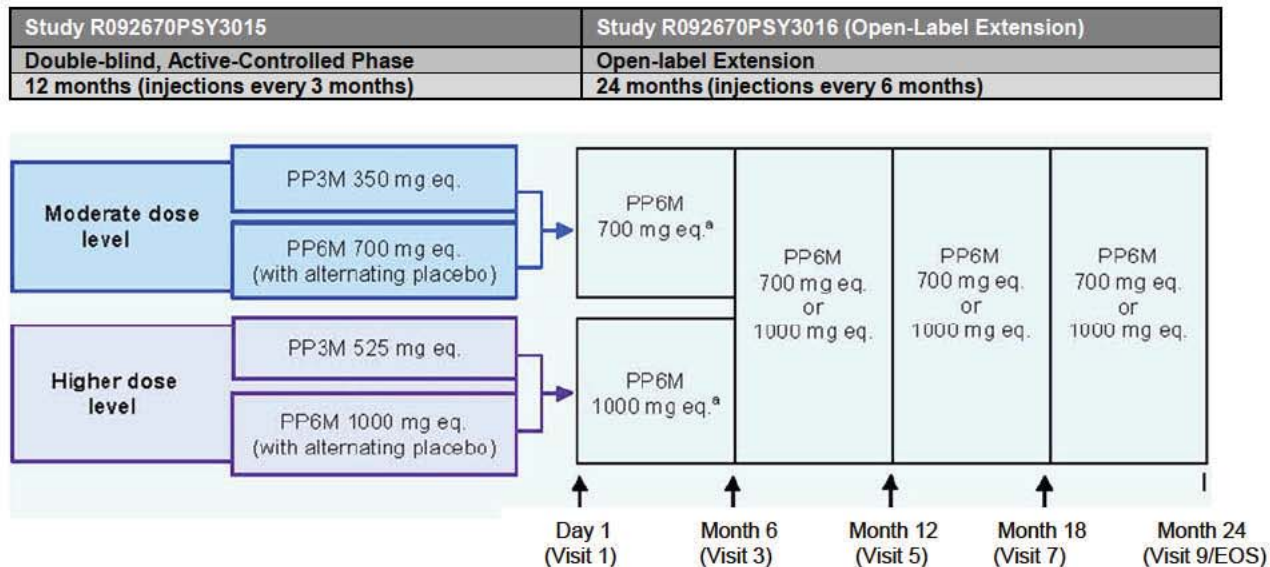
The primary hypothesis is that long-term treatment with PP6M (700 or 1000 mg eq.) is safe and well tolerated in subjects with schizophrenia who have previously been treated with PP6M for 12 months, or are being switched to PP6M from corresponding doses of PP3M.

3. STUDY DESIGN AND RATIONALE

3.1. Overview of Study Design

This study is a single-arm, 24-month open-label extension to Study R092670PSY3015, a double-blind, randomized, active-controlled, parallel-group study to evaluate whether the efficacy of PP6M is noninferior to that of PP3M in adults with schizophrenia. Subjects who complete the 12-month Double-blind Phase of R092670PSY3015 in the selected countries without a relapse will be eligible to enter the open-label extension study (R092670PSY3016). Approximately 180 subjects from Study R092670PSY3015 are estimated to be enrolled in the open-label extension.

A diagram of the study design is provided in Figure 1.

Figure 1: Schematic Overview of the Study

^a The initial dose of PP6M in this study will be determined based on the dose level (“moderate” or “higher”) that the subject was receiving during the Double-blind Phase of R092670PSY3015. The PP6M dose level may be adjusted (to 700 or 1000 mg eq.) later in the study, based on clinical judgment. However, the long-acting nature of PP6M should be taken into consideration. Any dose change will take many months to become apparent.

Key: ↑=PP6M injection; EOS=End of Study PP3M=paliperidone palmitate 3-month product; PP6M=paliperidone palmitate 6-month product.

All subjects will initially complete a screening assessment, during which eligibility will be assessed and informed consent obtained. Subjects who satisfy inclusion criteria will enter the 24-month open-label extension study.

The initial dose of PP6M received during the open-label extension (ie, at Visit 1) will be determined based on the subject’s dose level (moderate or higher) during the Double-blind Phase of Study R092670PSY3015, as summarized below. Since treatment assignment (ie, to PP6M vs PP3M) during the Double-blind Phase of R092670PSY3015 will remain blinded when Visit 1 occurs, dosing will be fixed for all subjects at this visit to ensure that those subjects treated with PP3M during the Double-blind Phase of R092670PSY3015 will initiate treatment with PP6M at an equivalent dose:

- Subjects receiving a “moderate” dose in Study R092670PSY3015 (ie, receiving a PP3M dose of 350 mg eq. or PP6M dose of 700 mg eq.) will receive **PP6M 700 mg eq.** as an initial dose during the open-label extension.
- Subjects receiving a “higher” dose in Study R092670PSY3015 (ie, receiving a PP3M dose of 525 mg eq. or PP6M dose of 1000 mg eq.) will receive **PP6M 1000 mg eq.** as an initial dose during the open-label extension.

Flexible dosing will be permitted for subsequent PP6M injections at Visits 3, 5, and 7, such that the dose may be increased to 1000 mg eq. or decreased to 700 mg eq., based on the investigator’s

judgment. However, given the slow rate of change in paliperidone blood levels expected over time with PP6M, it may take weeks or months for the desired effect of a dose change to occur.

The duration of exposure to study drug and the duration of study participation in the open-label extension study are intended to be a maximum of 2 years (ie, four PP6M injection cycles). Subjects will attend site visits at a minimum of once every 3 months to complete safety, efficacy, and other assessments according to the Time and Events Schedule, with additional visits to be added at the discretion of the investigator as deemed necessary, per usual clinical practice. Subjects who meet criteria for relapse (as defined in Section 9.2.4, Relapse Criteria) or other criteria for withdrawal during the study will be discontinued (see Section 10.2, Withdrawal From the Study); these subjects will complete the End-of-Study procedures as soon as possible and return for a follow-up assessment 6 months (183 ± 14 days) after their last PP6M injection.

For subjects who do not relapse or withdraw, study participation will be continued for up to a maximum of 2 years, or until PP6M becomes commercially available in the subject's local country, whichever occurs first. In some countries, additional reimbursement negotiations and central formulary approvals will be needed before PP6M becomes available. In this case, subjects participating in the R092670PSY3016 study can continue to receive PP6M until the PP6M formulation is available in their local country, or for a maximum of 2 years. Subjects will get access to the medication for a maximum of 2 years. If PP6M becomes available locally before the 2-year endpoint, then subjects will be considered as having completed the open-label extension study at the end of the most recent 6-month injection cycle and will be switched to a commercially available supply if they wish to continue PP6M treatment. For these subjects, participation may continue as described in the Time and Events Schedule and the End-of-Study visit will be conducted 6 months after the subject's last dose of PP6M.

3.2. Study Design Rationale

Subject selection criteria

This study will recruit adult subjects (men and women) with schizophrenia who completed the Double-blind Phase of R092670PSY3015 without a relapse and who continue to be willing to receive PP6M during the open-label extension. Subjects will be required to meet selection criteria (see Section 4, Subject Population) and must voluntarily consent and be able and willing to fulfill all study requirements.

Study design

This is a single-arm, open-label study in which all subjects will receive PP6M. Open-label treatment design is considered suitable for the collection of long-term safety and tolerability data and is more consistent with real-world clinical practice. As such, this study will strive to be pragmatic, with minimal mandated assessments.

Subjects will be entering the open-label extension study after completing the 12-month Double-blind Phase of Study R092670PSY3015. Therefore, subjects will either be continuing treatment with PP6M or will be switching from PP3M to PP6M. The inclusion of subjects switching from

PP3M to PP6M will allow for intrasubject comparison between PP3M and PP6M treatment and enable collection of additional data regarding the switch from stable PP3M treatment to PP6M, which is consistent with the proposed use of PP6M in clinical practice.

During this study, subjects will attend site visits at a minimum of once every 3 months according to the Time and Events Schedule. Additional study visits may be added at the discretion of the investigator, as deemed necessary, per usual practice. Concomitant psychotropic medications can be used during the study as required (eg, for concomitant conditions [eg, insomnia, anxiety], extrapyramidal symptoms), with some limitations (refer to Section 8, Prestudy and Concomitant Medications, for details).

Selection of efficacy and safety evaluations

The full lists of efficacy and safety assessments for this study are described in Section 9, Study Evaluations.

The primary objective of this study is to collect long-term safety and tolerability information for PP6M and to provide access to PP6M for patients who successfully completed the Double-blind Phase of Study R092670PSY3015 without a relapse. At each study visit, adverse events will be assessed and investigators will evaluate the subject's mental status (including an assessment of suicidality). In addition, an assessment of the injection site will be performed after each PP6M injection. Clinical laboratory values, physical examinations (including body weight/body mass index [BMI]), vital signs, and the AIMS will be assessed at limited time points during the study, with additional assessments to be added at the discretion of the investigator. Paliperidone palmitate may cause increases in serum prolactin levels; therefore, prolactin levels will be measured as part of the clinical laboratory safety assessment. If clinically warranted, investigators may perform additional evaluations as needed during the study, including but not limited to: the mental status examination, Columbia Suicide Severity Rating Scale (C-SSRS), Barnes Akathisia Rating Scale (BARS), Simpson Angus Scale (SAS), 12-lead electrocardiogram (ECG), urine drug screen.

Efficacy and effectiveness of PP6M will be assessed as secondary objectives, and will be evaluated based on changes from baseline in CGI-S, PANSS, and PSP scales as well as assessment of relapse and remission. The CGI-S was chosen to assess efficacy as it is a well-known and widely accepted scale to assess the severity of symptoms of schizophrenia. Additionally, the PSP will be utilized as a tool to assess the impact that treatment has on subjects' personal and social functionality because of the vital importance of improvement in these domains. The relapse criteria used in this study (listed in Section 9.2.4) have been adapted from the criteria that were used in the R092670PSY3015 study and other registrational clinical studies that were conducted to support approval of PP1M and PP3M. The criteria selected for this open-label study more closely resemble typical indicators of relapse in real-world clinical practice.

Dose selection rationale

The PP6M dose levels evaluated in this open-label extension study (and in the Double-blind Phase of Study R092670PSY3015), 700 and 1000 mg eq., were selected based on the results of population PK simulations. CCI

CCI

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The population PK model that describes the time course of plasma paliperidone concentrations after PP3M administration was developed by using data from a Phase 1 study (R092670PSY1005) and a Phase 3 study (R092670PSY3012).³ This model was internally and externally evaluated, not only by performing extensive model diagnostics at the model building stage, but also by successfully validating the model using data from another Phase 3 study (R092670PSY3011). Using that previously developed and validated population PK model for PP3M, new population PK simulations were performed to project the optimal dose levels for PP6M that would correspond to similar trough paliperidone concentrations of the PP3M dose levels of 350 and 525 mg eq., while remaining at or below the recommended maximum 5.0-mL volume for aqueous intramuscular injections.⁶ The results indicated that investigational PP6M dose levels should be 700 and 1000 mg eq.

Population PK modeling was used to compare the investigational PP6M dosages against the highest and lowest approved dosages of other products that contain paliperidone or risperidone.

- The higher investigational PP6M dosage is 1000 mg eq. The highest approved dosage of oral risperidone in the United States and some other countries is 16 mg/day (as 8 mg twice a day).^a The maximum plasma concentration of paliperidone associated with PP6M as 1000 mg eq. was calculated to be lower than the maximum plasma concentration of active moiety associated with oral risperidone 16 mg/day (as 8 mg twice a day), and in line with the maximum plasma concentration associated with oral risperidone 6 mg/day (as 3 mg twice a day).
- The lower investigational PP6M dosage is 700 mg eq. The lowest approved dosage of the oral paliperidone extended-release/prolonged-release (ER/PR)^b formulation in the United States and some other countries is 3 mg/day.^c The minimum plasma concentration of PP6M as 700 mg eq. was calculated to be higher than the minimum plasma concentration of oral paliperidone ER/PR formulation as 3 mg/day.

^a RISPERDAL® [United States Prescribing Information]. Titusville, NJ: Janssen Research & Development. www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=020272. Accessed 28 December 2018.

^b The terminology for ER versus PR varies by country; therefore, both terms are used together in this protocol.

^c INVEGA® [United States Prescribing Information]. Titusville, NJ: Janssen Research & Development. www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=021999. Accessed 28 December 2018.

Medical Resource Utilization Data Collection

The Healthcare Resource Use Questionnaire will be included in order to collect medical resource utilization data that will inform future schizophrenia treatment cost analyses. Data collected from this study will be analyzed together with results from other studies; the economic analyses may be conducted and reported separately from this study.

4. SUBJECT POPULATION

Screening assessment for eligible subjects will be performed on Day 1 (Visit 1), prior to the first dose of study intervention administered in the open-label extension study.

The inclusion and exclusion criteria for enrolling subjects in this study are described in the following 2 subsections. If there is a question about the inclusion or exclusion criteria below, the investigator must consult with the appropriate sponsor representative and resolve any issues before enrolling a subject in the study. Waivers are not allowed.

4.1. Inclusion Criteria

Each potential subject must satisfy all of the following criteria to be enrolled in the study:

1. Completed the Double-blind Phase of Study R092670PSY3015 without relapse and continue to be willing to be treated with PP6M.

Note: There may be a small number of subjects who complete Study R092670PSY3015 before the R092670PSY3016 protocol is approved and implemented in that local country. These subjects may be screened and can enter Study R092670PSY3016 later, provided that: Visit 1 (Day 1) of Study R092670PSY3016 (ie, first dose of PP6M) is scheduled to occur no later than 3 months after the End-of-Study visit of R092670PSY3015, that in the interim period the subject has been treated with PP1M (100 or 150 mg eq.) or PP3M (350 or 525 mg eq.) (PP3M is preferred) and has not experienced a relapse (per criteria in Section 9.2.4, Relapse Criteria), and the subject meets other criteria for study entry.

2. Must, in the opinion of the investigator, be able to continue treatment at the same dose level (moderate or higher dose) as used during the Double-blind Phase of Study R092670PSY3015 at the time of screening for this study.
3. A woman of childbearing potential must have a negative urine pregnancy test on Day 1.
4. Use contraception consistent with local regulations for subjects participating in clinical studies. Before receiving study intervention, a woman must be either:
 - a. Not of childbearing potential, defined as being either postmenopausal or permanently sterile, as follows:
 - o Postmenopausal: A postmenopausal state is defined as no menses for 12 months without an alternative medical cause. A high follicle stimulating hormone (FSH) level (>40 IU/L or mIU/mL) in the postmenopausal range may be used to

confirm a postmenopausal state in women not using hormonal contraception or hormonal replacement therapy, however in the absence of 12 months of amenorrhea, a single FSH measurement is insufficient.

- Permanently sterile: Permanent sterilization methods include hysterectomy, bilateral salpingectomy, bilateral tubal occlusion/ligation procedures, and bilateral oophorectomy.
- b. Of childbearing potential, but meeting the contraception requirements as follows:
- Practicing a highly effective method of contraception (failure rate of <1% per year when used consistently and correctly). Examples of highly effective contraceptives include the following:
 - User-independent methods: Implantable progestogen-only hormone contraception associated with inhibition of ovulation; intrauterine device (IUD); intrauterine hormone-releasing system; vasectomized partner; sexual abstinence (sexual abstinence is considered a highly effective method only if defined as refraining from heterosexual intercourse during the entire period of risk associated with the study intervention; the reliability of sexual abstinence needs to be evaluated in relation to the duration of the study and the preferred and usual lifestyle of the subject).
 - User-dependent methods: combined (estrogen- and progestogen-containing) hormonal contraception associated with inhibition of ovulation: oral, intravaginal, and transdermal; progestogen-only hormone contraception associated with inhibition of ovulation: oral and injectable.
- Typical use failure rates may differ from those when used consistently and correctly. Use should be consistent with local regulations regarding the use of contraceptive methods for subjects participating in clinical studies.*
- Agree to remain on a highly effective method throughout the study and for at least 12 months after the last dose of study intervention. A woman using oral contraceptives should use an additional birth control method (see inclusion criterion text in the sub-bullet above).

Note: If the childbearing potential changes after start of the study or the risk of pregnancy changes (eg, a woman who is not heterosexually active becomes active), a woman must begin a highly effective method of contraception, as described throughout this inclusion criterion. If reproductive status is questionable, additional evaluation should be considered.

5. A man must agree that during the study and for a minimum of 12 months after receiving the last dose of study intervention, his female partner(s) will use a highly effective method of contraception as described above, and:
- a) He must, if being sexually active with a woman of childbearing potential, use a barrier method of contraception (eg, condom with spermicidal foam/gel/film/cream/suppository).
 - b) He must, if being sexually active with a woman who is pregnant, use a condom.
 - c) He must agree not to donate sperm.

6. Sign an informed consent form (ICF) indicating that he or she understands the purpose of, and procedures required for, the study and is willing to participate in the study; and must be able to provide his or her own consent (ie, consent cannot be provided by a legal representative of the subject).
7. In the opinion of the investigator, the patient would be able to participate for the duration of this study.

4.2. Exclusion Criteria

Any potential subject who meets any of the following criteria will be excluded from participating in the study:

1. Has any condition for which, in the opinion of the investigator, participation would not be in the best interest of the subject (eg, compromise the well-being) or that could prevent, limit, or confound the protocol-specified assessments.
2. Completed R092670PSY3015 while presenting adverse events deemed clinically relevant by the investigator, and which may interfere with safety and well-being of the patient.
3. If a man, has plans to father a child while enrolled in this study or within 12 months after the last dose of study intervention. Must not, if a woman, have plans to become pregnant while enrolled in this study or within 12 months after the last dose of study intervention.

NOTE: Investigators should ensure that all study enrollment criteria have been met at screening. If a subject's clinical status changes (including any available laboratory results or receipt of additional medical records) after screening but before the first dose of study intervention is given such that he or she no longer meets all eligibility criteria, then the subject should be excluded from participation in the study. Section 17.4, Source Documentation, describes the required documentation to support meeting the enrollment criteria.

4.3. Prohibitions, Restrictions, and Strong Recommendations

Prohibitions and Restrictions

Potential subjects must be willing and able to adhere to the following prohibitions and restrictions during the course of the study to be eligible for participation:

1. Refer to Section 8, Prestudy and Concomitant Therapy, for details regarding prohibited and restricted therapy during the study.
2. Agree to follow all requirements that must be met during the study as noted in the Inclusion and Exclusion Criteria (eg, contraceptive requirements).

3. If a woman of childbearing potential, continue using an appropriate method of contraception, as described in Section 4.1 (Inclusion Criteria), during participation in the study and for at least 12 months after the last dose of study intervention. (Women who have a positive pregnancy test during the study will be withdrawn from the study.)
4. If a man, continue using the measures described in Section 4.1 (Inclusion Criteria) to prevent women from being exposed to his sperm or conceiving his child during the study and for 12 months after receiving the last dose of study intervention.

Strong Recommendations

Potential subjects should also be willing and able to adhere to the following strong recommendations (which are not strict prohibitions or restrictions) during the course of the study:

1. Should not donate blood during the study and for at least 6 months after completion of the study.
2. Should not participate in an investigational drug study during the study and for at least 6 months after completion of the study.
3. Should not use alcohol, illicit substances, or recreational marijuana (even where legal) during the entire study. (Recreational marijuana is a strong recommendation, but medical marijuana is a prohibition; see Section 8, Prestudy and Concomitant Therapy).
4. Should not eat before blood laboratory full panel sampling. (Nonfasting exceptions should be noted; fasted states are overnight or for at least 8 hours).

5. INTERVENTION ALLOCATION AND BLINDING

Intervention Allocation

Randomization will not be used in this study. All subjects will receive PP6M during the open-label extension; the PP6M starting dose will be allocated as described in Section 6, Dosage and Administration.

Blinding

As this is an open study, blinding procedures are not applicable.

6. DOSAGE AND ADMINISTRATION

All subjects in the open-label extension study will receive treatment with PP6M. Two PP6M dose levels are available: 700 and 1000 mg eq. Subjects will receive up to 4 injections of PP6M during the 24-month treatment period.

- For subjects who enter the open-label extension immediately after completing Study R092670PSY3015: Day 1 of Study R092670PSY3016 is intended to take place on the same day as the End-of-Study visit in R092670PSY3015, immediately after completion of the R092670PSY3015 End-of-Study procedures. This visit coincides with the timing of when PP6M may be administered relative to the subject's last dose of PP6M (if randomized to PP6M treatment in Study R092670PSY3015) or PP3M (if randomized to PP3M treatment in Study R092670PSY3015). For these subjects, the initial dose of PP6M will be selected based on the unblinded dose level ("moderate" or "higher") that the subject was receiving during the Double-blind Phase of Study R092670PSY3015; ie, subjects in the "moderate" dose level will receive PP6M 700 mg eq., and subjects in the "higher" dose level will receive PP6M 1000 mg eq. during the open-label extension. The blind in R092670PSY3015 does not need to be broken for purposes of treatment assignment.
- For subjects who enter the open-label extension later (up to 3 months after they complete R092670PSY3015): In a small number of subjects, the Day 1 visit of R092670PSY3016 may occur up to 3 months after the R092670PSY3015 End-of-Study visit, and these subjects may be receiving poststudy treatment with PP3M or PP1M (PP3M is preferred). For these subjects, the Day 1 visit of the open-label extension study will be scheduled to coincide with the subject's next dose of PP3M or PP1M (ie, 90 ± 14 days after the last dose of PP3M, or 30 ± 7 days after the last dose of PP1M). Only those subjects on a moderate or higher dose of PP3M (350 or 525 mg eq.) or PP1M (100 or 150 mg eq.) will be eligible to enter the open-label extension. For these subjects, the initial dose of PP6M (700 or 1000 mg eq.) in the open-label extension study will be calculated based on the subject's previous dose of PP1M or PP3M, using the conversion factors summarized in [Table 1](#).

For all subjects, study intervention (PP6M) during the open-label extension will be administered once every 6 months. Investigators will be allowed to change the dose at Visits 3, 5, and 7 (increase or decrease, to 700 or 1000 mg eq.) based on clinical judgment. However, given the slow rate of change in paliperidone blood levels expected over time with PP6M, it may take weeks or months for the desired effect of a dose change to occur.

All PP6M injections will be administered in the gluteal muscle, and will rotate across both sides (left or right) of the body. For each dose, a study-site personnel member must shake the syringe vigorously with the tip facing up and with a loose wrist for at least 15 seconds to ensure a homogeneous suspension. The shaken dose must then be administered within 5 minutes after shaking. If more than 5 minutes pass after shaking but before injection, then a study-site personnel member must shake the syringe vigorously again for at least 15 seconds to resuspend the dose. The full content is to be administered in one injection, using only the supplies provided in the study drug kit. See [Attachment 1](#) for more details about administration.

Study intervention administration must be captured in the source documents and the electronic Case Report Form (eCRF).

Treatment After the Study

See [Section 10.3](#) (Antipsychotic Therapy After the Study) for further recommendations regarding poststudy treatments. Such treatments are nonstudy treatments and therefore are not described here.

7. INTERVENTION COMPLIANCE

The study intervention administrator will administer the injections throughout the study and will record the date/time of dosing as well as the injection site (right or left side, gluteal muscle only) in the eCRF.

8. PRESTUDY AND CONCOMITANT THERAPY

All medications (prescriptions, over-the-counter, herbal remedies) other than the study intervention that were either continued at the start, or begun during, the open-label extension study are to be documented in the eCRF. For subjects treated with PP1M or PP3M before entering this study (and after completion of study R092670PSY3015), all interim doses of PP1M or PP3M are to be documented in the eCRF as well. The sponsor is to be notified in advance (or as soon as possible thereafter) of any instances in which prohibited therapies are administered.

8.1. Prestudy Medical Therapy and Psychotherapy

Except for the prohibited concomitant medications described in Section 8.3, Prohibited Concomitant Medications, any medications that are ongoing and stable at screening may be allowed to continue thereafter into the open-label extension study. Ongoing psychotherapy and other psychosocial interventions are allowed to continue. For psychiatric medications of special interest at study entry:

- **Other psychiatric medications:** Other medications taken for the treatment of psychiatric conditions are allowed at screening and to continue thereafter.

It is preferable that no changes have been made to any treatments (for psychiatric or other medical conditions) in the 30 days before screening.

8.2. Concomitant Therapy

Concomitant therapies must be recorded throughout the study beginning with start of the first dose of study intervention.

Except for the prohibited concomitant medications described below, concomitant medications may be initiated during the study for medical or psychiatric reasons. New psychotherapies and psychosocial treatments may be started.

- **Anti-EPS medications:** The use of anti-EPS medications should be re-evaluated at regular intervals, and investigators and subjects should work together to lower and discontinue doses if clinically indicated.
- **Benzodiazepines:** For the control of agitation, anxiety, akathisia, etc, lorazepam is the preferred benzodiazepine because of its low potential for drug-drug interactions and its relatively short half-life. However, use of other benzodiazepines is permitted.
- **Sleep aids:** For insomnia or sleep-related difficulties, subjects may use zolpidem, zaleplon, zopiclone, or eszopiclone at dosages in accordance with the locally approved prescribing information. Sleep aid medications should not be used in the 8 hours preceding any scheduled efficacy assessment or rating scale.

- **Oral Antipsychotic Supplementation:** Since this study attempts to be pragmatic, supplementation with oral antipsychotics during the study will be allowed. The duration and dose of antipsychotic supplementation should be linked to symptom exacerbation, per the investigator's judgment.

The maximum duration that oral antipsychotics can be co-administered with PP6M is 2 weeks, at which point the subject must be assessed clinically for relapse criteria. Within a single 6-month injection cycle, if the subject does not meet relapse criteria (as defined in Section 9.2.4, Relapse Criteria), then an additional 2 weeks of oral antipsychotic can be administered continuously for a maximum of 4 weeks. During later injection cycles, oral supplementation can also be administered using this same approach. If oral risperidone or paliperidone ER/PR are used for supplementation, doses higher than those listed in Table 2 below are not recommended. If, in the investigator's judgment, there is a clinical need for oral antipsychotic supplementation for more than 4 continuous weeks, the study intervention is to be discontinued and the subject is to be withdrawn (see Section 10.2, Withdrawal from the Study). The suggested antipsychotic medications and corresponding doses are provided in Table 2; use of oral antipsychotic medications other than those listed below is prohibited.

Table 2: Supplemental Oral Antipsychotic Dosage Chart

	Oral Risperidone	Oral Paliperidone ER/PR
Moderate Dose (700 mg eq. PP6M)	1-2 mg/day	1.5 – 3 mg/day
High Dose (1000 mg eq. PP6M)	1-3 mg/day	1.5 – 6 mg/day

8.3. Prohibited Concomitant Medications

The concomitant medications described below may not be used during the study.

- Concomitant oral and injectable antipsychotics are prohibited (other than as described in Section 8.2, Concomitant Therapy).
- Medicinal products known to prolong the QT interval - such as Class IA antiarrhythmics (eg, disopyramide, quinidine, or procainamide) and Class III antiarrhythmics (eg, amiodarone or sotalol); some antihistamines; some antibiotics (eg, fluoroquinolones like moxifloxacin or ciprofloxacin); some antimalarials (eg, mefloquine); tricyclic antidepressants, and some antipsychotics (eg, chlorpromazine or ziprasidone) - are prohibited.
- Inducers of proteins involved in the metabolism of paliperidone (ie, cytochrome P450 3A4) or the excretion of paliperidone (ie, p-glycoprotein) - such as rifampicin, carbamazepine, oxcarbazepine, barbiturates, phenytoin, troglitazone, and St. John's Wort - are prohibited.
- Systemic antifungals are prohibited.
- Antineoplastic agents are prohibited.
- Medical marijuana is prohibited.
- Dopamine agonists, including, but not limited to: ropinirole, pramipexole, pergolide, cabergoline, lisuride, and amantadine.

The sponsor must be notified in advance (or as soon as possible thereafter) of any instances in which prohibited therapies are administered.

9. STUDY EVALUATIONS

9.1. Study Procedures

Overview

The Time and Events Schedule summarizes the frequency and timing of efficacy, safety, and other measurements applicable to this study. Actual dates and times of assessments will be recorded in the source documentation and eCRF.

Additional site visits may be performed at any time during the open-label extension study as determined necessary by the investigator. Additional urine pregnancy tests may be performed, as determined necessary by the investigator or required by local regulation, to establish the absence of pregnancy at any time during the participation in the study.

Efficacy and safety procedures are described in Section 9.2 and Section 9.3, respectively. Medical resource utilization data will also be collected (see Section 9.4).

The total blood volume to be collected from each subject is expected to be less than 400 mL, based on the clinical laboratory samples indicated in the Time and Events Schedule. Repeat or unscheduled samples may be taken at the discretion of the investigator (eg, for safety reasons or for technical issues with the samples).

Screening/Day 1 (Visit 1)

Day 1 (Visit 1) of the open-label extension study is intended to coincide with the End-of-Study visit of R092670PSY3015, and will take place immediately after completion of the End-of-Study assessments. At this visit, subject eligibility will be assessed and informed consent obtained. Eligible subjects will then receive their first open-label injection of PP6M, as described in Section 6, Dosage and Administration.

There may be a small number of subjects who complete the End-of-Study visit for Study R092670PSY3015 before the R092670PSY3016 protocol is approved. These subjects may be screened and can enter Study R092670PSY3016 later, provided that: Day 1 (Visit 1) of Study R092670PSY3016 (ie, first dose of PP6M) occurs no later than 3 months after the End-of-Study visit of R092670PSY3015, that in the interim period the subject has been treated with PP1M or PP3M (PP3M is preferred) and has not experienced a relapse (as defined in Section 9.2.4, Relapse Criteria), and the subject meets other criteria for study entry. For these subjects, Day 1 (Visit 1) of Study R092670PSY3016 will take place at the time of the subject's next scheduled dose of PP1M or PP3M (ie, 30 ±7 days after the last dose of PP1M; or 90 ±14 days after the last dose of PP3M).

For subjects who attend their first study visit of the open-label extension study (Day 1/Visit 1) more than 4 weeks after the R092670PSY3015 End-of-Study visit, a screening period of 2 weeks will apply during which the baseline procedures described in the Time and Events Schedule for Day 1/Visit 1 will need to be conducted. In addition, a baseline 12-lead ECG assessment should be performed and laboratory results will need to be available and reviewed prior to dosing.

For subjects who attend the first study visit the same day or within 4 weeks after the R092670PSY3015 End-of-Study visit, the assessments performed for the R092670PSY3015 End-of-Study visit may be used as the baseline for the open-label extension study, where appropriate (see further details in the Time and Events Schedule).

Subsequent Study Visits

After the first study visit, subjects will attend site visits at a minimum of once every 3 months. Additional in-person or telephone visits may be added at the discretion of the investigator, if deemed necessary (eg, to monitor adverse events or symptom worsening, or if a relapse is suspected), per usual clinical practice.

If a relapse is detected at a scheduled or unscheduled visit (as described in Section 9.2.4, Relapse Criteria), then the subject will be withdrawn from the study and the completion of End-of-Study procedures and arrangements for poststudy treatment are to occur as soon as possible (see Section 10, Subject Completion/Discontinuation of Study Intervention/ Withdrawal from the Study).

Subjects who do not relapse or meet other criteria for withdrawal during the study will participate in the study for up to 2 years. However, if PP6M becomes commercially available in the subject's local country before the 2-year endpoint, then the subject will be considered as having completed the open-label extension study at the end of the most recent 6-month injection cycle and will be switched to a commercially available supply if they wish to continue PP6M treatment (see Section 10, Subject Completion/Discontinuation of Study Intervention/ Withdrawal from the Study). For these subjects, the End-of-Study visit will be conducted 6 months after the subject's last dose of PP6M.

Follow-up Phase

Subjects who relapse or meet other relevant conditions for withdrawal will return for a follow-up visit 6 months (183 ± 14 days) after their last dose of PP6M. At this visit, information regarding post-study/concomitant medication as well as adverse event data will be collected.

The Follow-up Phase, when applicable, is supplementary after study completion. For relevant subjects, participation in the Follow-up Phase is encouraged but not required. No protocol deviations or violations are applicable during this phase. The Follow-up Phase is designed to be as low-burden and noninvasive as possible, in order to encourage participation by the affected subjects while still collecting minimal safety data.

9.2. Efficacy Evaluations

The clinically assessed efficacy evaluations include the CGI-S, the PSP scale, the PANSS, and relapse criteria as described further in the sections below.

9.2.1. Clinical Global Impression - Severity

The CGI-S rating scale⁷ is used to rate the severity of a subject's overall clinical condition on a 7-point scale ranging from 1 (not ill) to 7 (extremely severe). This scale permits a global evaluation of the subject's condition at a given time.

The CGI-S is included in the Early Clinical Development Evaluation Unit Assessment Manual that was published by the US National Institute of Mental Health (NIMH).⁷ This study uses a version of the CGI-S that is slightly modified from the original (to be more specific to psychosis, not general for mental illness), as was done in the sponsor's other studies.^{4,5} This modified CGI-S poses a single question to the investigator, to consider his or her total clinical experience with this particular population, and to rate the severity of the subject's psychotic disorder on a scale from 1 = not ill to 7 = extremely severe, as shown in the Manual of Assessments. A CGI-S score should be recorded at the time points indicated in the Time and Events Schedule, and at any clinic visit associated with a suspected or impending relapse.

9.2.2. Personal and Social Performance Scale

The PSP scale assesses the degree of difficulty a subject exhibits over a 7-day period within 4 domains of behavior: a) socially useful activities, b) personal and social relationships, c) self care, and d) disturbing and aggressive behavior. The results of the assessment are converted to a numerical score from 1 to 100 points, which can be interpreted in 10-point intervals as excellent functioning (91 to 100 points), good functioning (81 to 90 points), mild difficulties (71 to 80 points), etc, as shown in the Manual of Assessments. Scores from 31 to 70 points indicate varying degrees of difficulty, and scores below 30 points indicate functioning so poor that intensive support or supervision is needed. Individual domain items of the PSP will be collected and recorded in the eCRF.

9.2.3. Positive and Negative Syndrome Scale

The neuropsychiatric symptoms of schizophrenia will be assessed using the 30-item PANSS scale,⁹ which provides a total score (sum of the scores for all 30 items) and scores for 3 subscales: the 7-item positive-symptom (P) subscale, the 7-item negative-symptom (N) subscale, and the 16-item general-psychopathology symptom (G) subscale. Each item is rated on a scale from 1 (absent) to 7 (extreme). A trained clinician experienced in the treatment of subjects with schizophrenia will administer the PANSS. An example of a full PANSS is provided in the Manual of Assessments. A full PANSS score should be administered at the time points indicated in the Time and Events Schedule. The full PANSS may be administered using the Structured Clinical Interview (SCI-PANSS) format, or using an equivalent structured interview format to be provided by the sponsor, at the discretion of the investigator.

9.2.4. Relapse Criteria

The criteria for relapse used in R092670PSY3015 were modified for this open-label extension study to reflect the change of the trial setting and a pragmatic approach.

Relapse during the open-label extension study will be defined as 1 or more of the following:

- Psychiatric hospitalization for schizophrenia (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject's schizophrenic symptoms);
- Emergency Department/Room/Ward visit due to a worsening of the subject's symptoms of schizophrenia, but a psychiatric hospitalization does not occur;
- The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage;
- The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator's judgment.

A relapse event will be recorded on the first date that a subject meets at least one of the above criteria. Unlike in the R092670PSY3015 study, an increase in PANSS score is not a criterion for relapse; therefore, if an increase in PANSS is noted, a second PANSS assessment to confirm the increase is not necessary.

9.3. Safety Evaluations

Any clinically relevant changes occurring during the study must be recorded on the Adverse Event section of the eCRF. Any clinically significant abnormalities persisting at the end of the study/early withdrawal will be followed by the investigator until resolution or until a clinically stable endpoint is reached.

The study will include evaluations of safety and tolerability as described below and according to the time points provided in the Time and Events Schedule.

9.3.1. Adverse Events

Adverse events will be reported by the subject (or, when appropriate, by a caregiver, surrogate, or the subject's legally acceptable representative) for the duration of the study. Adverse events will be followed by the investigator as specified in Section 12 (Adverse Event Reporting).

9.3.2. Mental Status Examination/Clinical Assessment

At each visit, the investigator will perform a mental status examination per usual care. Aspects of the examination are to be documented on the Mental Status Examination Form for R092670PSY3016, which was developed as a data collection tool for this study. At a minimum, the mental status examination performed must include the domains identified on the Mental Status Examination Form. These domains include: General Appearance and Behavior, Mood, Affect, Thought Process, Thought Content, Perceptions, and Insight. If suicidal ideation is present, a C-SSRS (see Section 9.3.8, Columbia Suicide Severity Rating Scale) must also be performed at the visit.

9.3.3. Clinical Laboratory Tests

Blood samples for serum chemistry and hematology and urine samples for urinalysis will be collected. The investigator must review the laboratory results, document this review, and record

any clinically relevant changes occurring during the study in the adverse event section of the eCRF. The laboratory reports must be filed with the source documents.

The tests below will be performed by a local laboratory. The local laboratory should have local country approval and/or recognition for processing of human samples. For full panels, subjects should be in fasted state overnight or for at least 8 hours (and nonfasting exceptions should be noted). To permit standardization, reference and outlier ranges for each local lab will be collected.

- **Hematology Panel**

- hemoglobin
- hematocrit
- platelet count
- red blood cell count
- white blood cell count with differential
- hemoglobin A1c

- **Serum Chemistry Panel**

- sodium
- potassium
- chloride
- bicarbonate
- blood urea nitrogen
- creatinine
- glucose
- aspartate aminotransferase
- alanine aminotransferase
- gamma-glutamyltransferase
- lipid panel (total cholesterol, low-density lipoprotein, high-density lipoprotein, and triglycerides).
- prolactin
- thyroid stimulating hormone.
- bilirubin
- alkaline phosphatase
- creatine phosphokinase
- lactic acid dehydrogenase
- uric acid
- calcium
- phosphate
- albumin
- total protein
- magnesium

- **Urinalysis**

Dipstick

- specific gravity
- pH
- glucose
- protein
- blood*
- ketones
- bilirubin
- urobilinogen
- nitrite*
- leukocyte esterase*

Sediment (performed if dipstick result is abnormal)

- red blood cells
- white blood cells
- epithelial cells
- crystals
- casts
- bacteria
- any other findings

*If the dipstick result is abnormal, then flow cytometry or microscopy will be used to measure sediment. In case of discordance between the dipstick results and the flow cytometric results, the sediment will be examined microscopically.

- **Additional Tests**

- For women of childbearing potential, urine pregnancy tests (via local testing) will be conducted at the timepoints indicated in the Time and Events Schedule.
- To facilitate confirmation of postmenopausal status as described in Section 4.1 (Inclusion Criteria), study-site personnel may order an FSH test if desired (per clinical judgment). For postmenopausal status, the FSH test can only be confirmatory, and cannot replace the associated requirement for 12 months of amenorrhea.
- Urine drug screen (for illicit substances, including marijuana, even where legal) and alcohol breath tests may be performed at the discretion of the investigator. Alcohol and illicit substances are strongly discouraged but are not exclusionary and are not cause for withdrawal from the study.
- For subjects who enter the open-label extension study more than 4 weeks after the R092670PSY3015 End-of-Study visit, blood samples for serum chemistry, hematology, and urine samples for analysis should be collected and results should be reviewed by the investigator before the first dose of PP6M.

9.3.4. Vital Signs

Vital signs include temperature, pulse/heart rate, respiratory rate, and blood pressure. Vital signs should be recorded before any invasive tests, such as blood draws. Blood pressure and pulse/heart rate measurements should be preceded by at least 5 minutes of rest in a quiet setting without distractions (eg, television, cell phones).

At each scheduled time point, blood pressure and pulse/heart rate measurements will be assessed in the supine position (after at least 5 minutes rest) with a completely automated device. Pulse/heart rate will be measured for a full minute to minimize the effects of variability. The automated device should consist of an inflatable cuff and an oscillatory detection system. All values should be registered on a built-in recorder so that measurements are observer-

independent. Manual techniques will be used only if an automated device is not available. Whether automated or manual, appropriately-sized blood pressure cuffs should be used for accurate reading of blood pressure.

Orthostatic vital signs may also be performed, at investigator's discretion. If a subject is unable to stand up or is unable to remain standing for 2 minutes, then the blood pressure should be measured immediately after standing is discontinued, while the subject is in a sitting or supine position. Attendants should protect subjects from falling during the evaluations.

All vital sign measurements will be recorded on the eCRF.

9.3.5. Physical Examinations

Physical examinations at the time points designated in the Time and Events Schedule include body examination, and measurements of weight and waist circumference. The height measurement taken at the screening visit of Study R092670PSY3015 will be used to calculate body mass index (BMI: weight/height² as kg/m²).

9.3.6. Extrapyramidal Symptom Rating Scales

The Abnormal Involuntary Movement Scale (AIMS) will be performed at the timepoints indicated in the Time and Events schedule. Additional AIMS assessments may be performed at any time if deemed necessary by the investigator.

Other scales to assess EPS (BARS for akathisia, and the SAS for parkinsonism), may be performed if deemed necessary by the investigator. These 2 scales can be performed at any time, at the discretion of the investigator.

Abnormal Involuntary Movement Scale

The AIMS is included in the Early Clinical Development Evaluation Unit Assessment Manual from the US NIMH.⁷ The AIMS rates 9 items about dyskinesia on scale as 0 = none, 1 = minimal, 2 = mild, 3 = moderate, and 4 = severe. It rates 1 item about the subject's awareness of abnormal movements as 0 = no awareness; 1 = aware, no distress; 2 = aware, mild distress; 3 = aware, moderate distress; and 4 = aware, severe distress. It has 2 yes/no questions about dental status. An example of the AIMS is provided in the Manual of Assessments.

Barnes Akathisia Rating Scale

The BARS assesses akathisia via 1 objective rating and 2 subjective ratings (awareness of restlessness and reported distress related to restlessness); each is scored from 0 to 3 points.² It also assesses akathisia via 1 global clinical rating scored from 0 to 5 points. For all items, anchors are provided for each value and higher scores indicate worse akathisia. An example of the BARS is provided in the Manual of Assessments.

Simpson Angus Scale

The SAS is led by signs (rather than by symptoms) to measure drug-induced parkinsonism.¹² This study uses a version of the SAS that is slightly modified from the original (where the "head

dropping" item was changed to "head rotation," to avoid injury to the cervical spine), as was done in the sponsor's other studies.^{4,5} This modified SAS contains 10 items: 6 items for rigidity (arm dropping, shoulder shaking, elbow rigidity, wrist rigidity, leg pendulousness, and head rotation); 1 compound item for gait (incorporating gait, posture, and loss of arm swing), and 3 items for tremor, glabellar tap, and salivation. An example of the SAS is provided in the Manual of Assessments.

9.3.7. Evaluations of the Injection Site

9.3.7.1. Injection Site Evaluations by Subjects

The Visual Analog Scale (VAS) to measure pain has been widely used in diverse adult populations. The VAS is a continuous scale on a horizontal or vertical line, usually 100-mm long, and anchored by 2 verbal descriptors (1 for each symptom extreme). The instructions, time period for reporting, and verbal descriptor anchors have varied widely in the literature depending on the intended use of the scale. In some settings, test-retest reliability and ability to detect change have been demonstrated.⁸

In this study, subjects will be asked about the pain associated with the injection by means of a 100-mm VAS, scaled from "no pain at all" to "unbearably painful." (Similar VAS assessments were used in previous studies of PP3M.^{4,5}) The VAS-Acute will assess pain once within 30 minutes after each injection; the subject does not complete a VAS at the End-of-Phase Visit. The VAS is scored by measuring the distance (in millimeters) from the left (indicating no pain) to the place mark made by the subject.

9.3.7.2. Injection Site Evaluations and Follow-up by Investigators

Investigators or subinvestigators (but not other study-site personnel) will evaluate the injection sites for tenderness, erythema/redness, and induration/swelling after each PP6M injection, plus at the End-of-Study visit or at the time of early withdrawal. The characteristics will be scored as 0=absent, 1=mild, 2=moderate, or 3=severe, in accordance with the anchor points that are provided in the Manual of Assessments. For erythema/redness, a score of 0 is used for a measurement of <2.5 cm, a score of 1 is used for 2.5-5 cm, a score of 2 is used for 5.1-10 cm, and a score of 3 is used for >10 cm. Two dimensions of induration/swelling are assessed: measurement and impact on function. The dimension yielding the higher score will be the one selected for this assessment. Measurement scores are the same as those used for erythema/redness (ie, 0 = <2.5 cm, 1 = 2.5-5 cm, 2 = 5.1-10 cm, 3 = >10 cm). Functional scores are as follows: 0 and 1 = no interference with the subject's usual activities, 2 = interferes with (but does not prevent) one or more of the subject's usual activities, 3 = prevents one or more of the subject's usual activities. Tenderness ratings are as follows: 0 = no tenderness, 1 = mild discomfort to touch, 2 = discomfort with movement, 3 = significant discomfort at rest. The scales and anchors are a hybrid from the sponsor's previous studies of PP3M,^{4,5} and from a US FDA

guidance.^d The results will be recorded on the eCRF. The investigator/subinvestigator should complete these assessments within 30 minutes after the injection; for any characteristic still rated mild, moderate, or severe at the last marked visit, the investigator/subinvestigator should add assessments at subsequent visits (even if not marked) until all of the characteristics are rated absent. Clinical sites should make efforts to have the same individual perform all injection site evaluations for a particular subject. This individual should not review the subject's VAS rating of the injection site pain.

If a subject has an injection site adverse event that is rated as moderate or severe (see Section 12.1.3 [Severity Criteria]) and that is accompanied by objective findings (eg, tenderness, erythema/redness, and induration/swelling), then the clinical site should perform or refer for ultrasonography of the injection site and should refer the subject to a specialist for further evaluation.

- For ultrasonography, the goal is to identify phlegmonous processes that might evolve to overt abscesses of the gluteus and to differentiate real granulomatous reactions from less relevant topical reactions.
- For referrals, considerations are as follows:
 - Suspected cellulitis or abscess should be referred to a dermatologist or surgeon for consideration of incision and drainage procedure along with tissue microbiological samples.
 - Nodule, fibroma, furuncle or other noninfectious reaction with a severity assessment of either moderate or severe should be referred to a dermatologist or surgeon for consideration of fine needle aspiration and/or tissue biopsy.

The investigator should follow any clinically significant abnormalities persisting at the end of the study until resolution or until reaching a clinically stable endpoint.

9.3.8. Columbia Suicide Severity Rating Scale

The C-SSRS must be performed at any visit where suicidal ideation is detected during the mental status examination (see Section 9.3.2, Mental Status Examination/Clinical Assessment). Additional assessments may be performed at any time during the study, at the discretion of the investigator.

The C-SSRS is a low-burden measure of the spectrum of suicidal ideation and behavior that was developed in a US NIMH study to assess severity and track suicidal events through any treatment, and is the prospective counterpart to the system developed by Columbia University investigators for the US FDA in their analysis of the association between suicidality and medication.¹¹ The C-SSRS is a clinical interview providing a summary of both ideation and

^d US FDA. Guidance for industry: toxicity grading scale for healthy adult and adolescent volunteers enrolled in preventive vaccine clinical trials. www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/Vaccines/ucm091977.pdf. Issued September 2007. Accessed 28 December 2018.

behavior that can be administered during any evaluation or risk assessment to identify the level and type of suicidality present. It can also be used during treatment to monitor for clinical worsening. The C-SSRS Baseline Version assesses suicidal behavior and ideation over a lifetime, and the C-SSRS "Since Last Visit" Version assesses those parameters over an interval. An example of the C-SSRS is provided in the Manual of Assessments.

9.3.9. Electrocardiograms

Since this study aims to approach real-world conditions, regular collection of 12-lead ECGs are not mandated. Instead ECGs can be collected at any time based on the judgment of the investigator. Electrocardiograms will be obtained locally. If possible, the same ECG machine and reader should be used at each collection. The ECG reader should be locally licensed and have approval to interpret ECGs. Relevant interval measurements (PR, QRS, QT, etc) will be collected, and an overall assessment of clinically relevant rhythm abnormalities will be recorded on the eCRF. The overall assessment of clinical relevance will be up to the judgment of the investigator.

A copy of the 12-lead ECG shall be printed and kept as part of the local source documentation. The paper speed should be set to 25 mm/second and the gain setting of (10 mm/1 mV). Suggested electrode placement is provided in [Attachment 2](#).

For subjects who enter the open-label study more than 4 weeks after the R092670PSY3015 End-of-Study visit, a baseline 12-lead ECG should be performed before the first dose of PP6M.

During the collection of ECGs, subjects should be in a quiet setting without distractions (eg, television, cell phones). Subjects should rest in a supine position for at least 5 minutes before ECG collection and should refrain from talking or moving arms or legs. If blood sampling or vital sign measurement is scheduled for the same time point as ECG recording, the procedures should be performed in the following order: ECG(s), vital signs, blood draw.

9.4. Medical Resource Utilization

Healthcare Resource Utilization Questionnaire

Medical resource utilization data will be collected using the HRUQ. This questionnaire was designed to assess utilization of the following resources: hospitalization (refers to ≥ 1 night stay), emergency room visits without hospitalization, day or night clinic stays, outpatient treatment, as well as daily living conditions and productivity of the subject.¹⁰ The questionnaire will be used in this study as an exploratory tool and has been modified with recall periods appropriate to the study. Study-site personnel will administer the questionnaire. If possible, for a given subject, the same person should administer this scale at all visits. The subject will be the primary provider of the information, but additional outside information should also be included as available, including information from any caregivers. Any resource utilization that is required by the protocol should not be captured on the questionnaire. An example of the HRUQ is provided in the Manual of Assessments.

10. SUBJECT COMPLETION/DISCONTINUATION OF STUDY INTERVENTION/ WITHDRAWAL FROM THE STUDY

10.1. Completion

A subject will be considered to have completed the study if he or she completes the 24-month open-label treatment phase and completes the End-of-Study assessments at Day 729.

A subject will also be considered as having completed the study if PP6M becomes commercially available locally during the study. For these subjects, the End-of-Study assessments should be performed 6 months (183 ± 14 days) after the subject's last dose of PP6M, at which time he or she may be switched to a commercially available PP6M supply, if they wish to continue PP6M treatment.

Subjects who prematurely discontinue study intervention for any other reason before completion of the open-label extension will not be considered to have completed the study.

10.2. Withdrawal From the Study

A subject will be automatically withdrawn from the study for any of the following reasons:

- Lost to follow-up
- Withdrawal of consent
- Death
- Noncompliance (defined as a subject being more than 4 weeks late for their scheduled PP6M injection).
- Discontinuation of study intervention for any reason. A subject's study intervention will be automatically discontinued if:
 - The investigator or sponsor believes (eg, that for safety or tolerability reasons (eg, adverse event) it is in the best interest of the subject to discontinue study intervention
 - The subject becomes pregnant
 - Supplemental oral antipsychotics are used for more than 4 continuous weeks
 - Relapse

If a subject is lost to follow-up, every reasonable effort must be made by the study site personnel to contact the subject and determine the reason for discontinuation/withdrawal. The measures taken to follow up must be documented.

When a subject withdraws before completing the study, the reason for withdrawal is to be documented in the eCRF and in the source document. The study drug assigned to the withdrawn subject may not be assigned to another subject. If subjects withdraw from the study, additional subjects will not be entered. If a subject discontinues study intervention and withdraws from the study before the end of the open-label extension, End-of-Study assessments should be obtained.

If the reason for withdrawal from the study is withdrawal of consent then no additional assessments are allowed.

10.3. Antipsychotic Therapy After the Study

After discontinuation, withdrawal, or completion of the open-label extension study, investigators may choose to continue treatment with paliperidone palmitate, switch to treatment with a different LAI antipsychotic treatment, or switch to oral antipsychotic treatment. At the End-of-Study Visit/Early Withdrawal visit and thereafter, the poststudy treatment is at the discretion of the subject's physician and is not a study intervention.

By the end of the study, PP6M may be available commercially in most countries, if approved. Otherwise, subjects will have to option to receive PP3M since it is (or may be) approved in most of the participating countries by the end of R092670PSY3016.

Given the duration of activity expected from PP6M, recommendations (not requirements) for poststudy treatment schedules are summarized below.

- It is recommended that poststudy LAI antipsychotic medication (paliperidone palmitate or other LAI antipsychotic) should not be started within 6 months after the last PP6M injection. This is to avoid the risk of accumulation of the overall antipsychotic concentration that could be caused by PP6M providing systemic concentrations of paliperidone over a period of 6 months.
- If, at any time within 6 months from the last injection, the investigator/treating physician feels it is necessary to prescribe oral antipsychotic treatment, medication selection should be based on clinical judgment, knowledge of each subject's ability to tolerate and respond to other antipsychotic medications, and the presumption of persisting exposure to PP6M (if supplementation within this 6-month time period will be with oral risperidone or oral paliperidone, [Table 2](#) [Supplemental Oral Antipsychotic Dosage Chart] may be used to guide dose selection; for oral risperidone or oral paliperidone dose selection guidance after this 6-month time period, see [Table 3](#)).
- Treatment with PP1M, PP3M, or PP6M may be resumed no earlier than 6 months from the last PP6M injection:
 - If resuming with PP1M, then a monthly regimen may be used immediately; the Day 1 and Day 8 initiation pair is not needed. The first PP1M injection should be administered in the deltoid muscle, and then subsequent injections may be administered in either the deltoid or gluteal muscles. The first PP1M dose should be 100 or 150 mg eq., based on whether the subject was on a PP6M dose of 700 or 1000 mg eq., respectively (see [Table 3](#)).
 - If resuming with PP3M, then the first PP3M injection may be administered in either the deltoid or gluteal muscles. The first PP3M dose should be 350 or 525 mg eq., based on whether the subject was on a PP6M dose of 700 or 1000 mg eq., respectively (see [Table 3](#)).

- If resuming with PP6M, which may be possible if PP6M is commercially available, then dosing should be performed in accordance with the local prescribing information.
- Suggested starting doses for post-study oral or LAI paliperidone or oral risperidone are summarized in [Table 3](#).

Table 3: Switching Conversion Table (Oral and LAI Paliperidone)

Last dose during Open-label Extension:	Suggested Post-study Antipsychotic Medication Starting Dose:				
	PP6M (if commercially available)	PP1M	PP3M	Oral Risperidone	Oral Paliperidone
PP6M 700 mg eq.	700 mg eq	100 mg eq	350 mg eq	3-4 mg/day	9 mg/day
PP6M 1000 mg eq.	1000 mg eq	150 mg eq	525 mg eq	5-6 mg/day	12 mg/day

Note: this provides a suggested starting dose of oral medications. The timing of the last injection must be taken into account, and oral dose adjusted as clinically warranted.

11. STATISTICAL METHODS

Statistical analysis will be done by the sponsor or under the authority of the sponsor. A general description of the statistical methods to be used to analyze the efficacy and safety data is outlined below. Specific details will be provided in the Statistical Analysis Plan.

11.1. Subject Information

Results will be summarized using the evaluable population, defined as all subjects who receive at least 1 dose of study intervention during the open-label extension study.

11.2. Sample Size Determination

No formal sample size determination was performed for this study. The sample size will be determined by the number of subjects who complete Double-blind Phase of Study R092670PSY3015 without relapse and are willing to participate in R092670PSY3016.

11.3. Efficacy Analyses

All efficacy analyses will be carried out using the evaluable population.

Descriptive statistics (mean, standard deviation, median, range [minimum and maximum]) will be provided for CGI-S, PSP, and PANSS over time, including all assessment time points from the baseline of the Double-blind Phase of Study R092670PSY3015 to the End-of-Study/Early Withdrawal visit of the open-label extension study. Descriptive statistics of the change from baseline (open-label extension baseline and the baseline of the Double-blind Phase of Study R092670PSY3015) will also be provided.

- **Relapse:** The relapse rate at Month 12, Month 24, and at the End-of-Study/Early Withdrawal visit will be summarized.

- **Remission:** For single observations, transitory symptomatic remission is defined as having a simultaneous score of mild or less (≤ 3 points) on the following 8 items from the PANSS: the positive-symptom items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior); the negative-symptom items N1 (blunted affect), N4 (social withdrawal), and N6 (lack of spontaneity); and the general-psychopathology items G5 (mannerisms/posturing) and G9 (unusual thought content).¹ The number and percentage of subjects achieving symptomatic remission at Month 12, Month 24, and at the End-of-Study/Early Withdrawal visit will be presented. In addition, the number and percentage of subjects by remission status at each time point will be presented for those subjects who were in remission at Open-label baseline.

11.4. Safety Analyses

Adverse Events

The verbatim terms used in the eCRF by investigators to identify adverse events will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). Intervention-emergent adverse events are adverse events with onset during the intervention phase or that are a consequence of a pre-existing condition that has worsened since baseline. All reported adverse events will be included in the analysis. For each adverse event, the percentage of subjects who experience at least 1 occurrence of the given event will be summarized.

Summaries, listings, datasets, or subject narratives may be provided, as appropriate, for those subjects who die, who discontinue study intervention due to an adverse event, or who experience a severe or a serious adverse event. Analyses of the adverse events of special interest (including EPS-related TEAEs, potentially prolactin-related TEAEs, and suicidality-related TEAEs) will be described in the Statistical Analysis Plan.

Mental Status Examination

The results of the mental status examination will be summarized descriptively at each time point.

Clinical Laboratory Tests

Laboratory data will be summarized by type of laboratory test.

Vital Signs

Descriptive statistics of vital sign values and changes from baseline will be summarized at each scheduled time point. The percentage of subjects with values beyond clinically important limits will be summarized.

Physical Examinations

Physical examination findings will be summarized at each scheduled time point. Descriptive statistics will be calculated at baseline and for observed values and changes from baseline at each scheduled time point.

Extrapyramidal Symptom Rating Scales

The AIMS data will be summarized descriptively.

Other EPS scales (SAS and BARS) are not mandatory but may be performed at the investigator's discretion. Any SAS and BARS data collected during the study will be summarized descriptively.

Evaluations of the Injection Sites

The results of the evaluations by the subjects and by the investigators will be summarized descriptively.

Columbia Suicide Severity Rating Scale

The C-SSRS will only be performed if suicidal ideation is detected on the mental status examination. For those subjects who undergo C-SSRS assessment, suicide-related thoughts and behaviors based on the C-SSRS will be summarized descriptively.

Electrocardiograms

Electrocardiograms are not mandatory but may be performed at the investigator's discretion. Any ECG data collected during the study will be summarized descriptively. Clinically relevant ECG abnormalities will be listed.

The effects on cardiovascular variables will be evaluated by means of descriptive statistics and frequency tabulations. These tables will include observed values and changes from baseline values.

The ECG variables that will be analyzed include heart rate, PR interval, QRS interval, QT interval, and corrected QT (QTc) interval.

Descriptive statistics of QTc intervals and changes from baseline will be summarized. The criteria for abnormal QTc interval values will be based on the classification from the relevant ICH guideline^e (normal as ≤ 450 milliseconds, or elevated as >450 , >480 , or >500 milliseconds). Similarly, the percentage of subjects with increases in QTc of normal as ≤ 30 milliseconds or elevated as 30 to 60 milliseconds or >60 milliseconds will also be summarized at each time point.

All clinically relevant abnormalities in ECG waveform that are changes from the baseline readings will be reported.

^e ICH. ICH Harmonized Tripartite Guideline E14: Clinical evaluation of QT/QTc interval prolongation and proarrhythmic potential for non-antiarrhythmic drugs. www.ich.org/fileadmin/Public_Web_Site/ICH_Products/Guidelines/Efficacy/E14/E14_Guideline.pdf. Dated 12 May 2005. Accessed 28 December 2018.

11.5. Medical Resource Utilization

The HRUQ data will be summarized.

12. ADVERSE EVENT REPORTING

Timely, accurate, and complete reporting and analysis of safety information from clinical studies are crucial for the protection of subjects, investigators, and the sponsor, and are mandated by regulatory agencies worldwide. The sponsor has established Standard Operating Procedures in conformity with regulatory requirements worldwide to ensure appropriate reporting of safety information; all clinical studies conducted by the sponsor or its affiliates will be conducted in accordance with those procedures.

12.1. Definitions

12.1.1. Adverse Event Definitions and Classifications

Adverse Event

An adverse event is any untoward medical occurrence in a clinical study subject administered a medicinal (investigational or non-investigational) product. An adverse event does not necessarily have a causal relationship with the intervention. An adverse event can therefore be any unfavorable and unintended sign (including an abnormal finding), symptom, or disease temporally associated with the use of a medicinal (investigational or non-investigational) product, whether or not related to that medicinal (investigational or non-investigational) product. (Definition per International Conference on Harmonisation [ICH])

This includes any occurrence that is new in onset or aggravated in severity or frequency from the baseline condition, or abnormal results of diagnostic procedures, including laboratory test abnormalities.

Note: The sponsor collects adverse events starting with the signing of the ICF (refer to Section 12.3.1, All Adverse Events, for time of last adverse event recording).

Serious Adverse Event

A serious adverse event based on ICH and EU Guidelines on Pharmacovigilance for Medicinal Products for Human Use is any untoward medical occurrence that at any dose:

- Results in death
- Is life-threatening
(The subject was at risk of death at the time of the event. It does not refer to an event that hypothetically might have caused death if it were more severe.)
- Requires inpatient hospitalization or prolongation of existing hospitalization
- Results in persistent or significant disability/incapacity
- Is a congenital anomaly/birth defect
- Is a suspected transmission of any infectious agent via a medicinal product

- **Is Medically Important***

*Medical and scientific judgment should be exercised in deciding whether expedited reporting is also appropriate in other situations, such as important medical events that may not be immediately life threatening or result in death or hospitalization but may jeopardize the subject or may require intervention to prevent one of the other outcomes listed in the definition above. These should usually be considered serious.

Unlisted (Unexpected) Adverse Event/Reference Safety Information

An adverse event is considered unlisted if the nature or severity is not consistent with the applicable product reference safety information. For PP6M, the expectedness of an adverse event will be determined by whether or not it is listed in the Investigator's Brochure.

Adverse Event Associated With the Use of the Intervention

An adverse event is considered associated with the use of the intervention if the attribution is possible, probable, or very likely by the definitions listed in Section 12.1.2, Attribution Definitions.

12.1.2. Attribution Definitions**Not Related**

An adverse event that is not related to the use of the intervention.

Doubtful

An adverse event for which an alternative explanation is more likely, eg, concomitant drug(s), concomitant disease(s), or the relationship in time suggests that a causal relationship is unlikely.

Possible

An adverse event that might be due to the use of the intervention. An alternative explanation, eg, concomitant drug(s), concomitant disease(s), is inconclusive. The relationship in time is reasonable; therefore, the causal relationship cannot be excluded.

Probable

An adverse event that might be due to the use of the intervention. The relationship in time is suggestive (eg, confirmed by dechallenge). An alternative explanation is less likely, eg, concomitant drug(s), concomitant disease(s).

Very Likely

An adverse event that is listed as a possible adverse reaction and cannot be reasonably explained by an alternative explanation, eg, concomitant drug(s), concomitant disease(s). The relationship in time is very suggestive (eg, it is confirmed by dechallenge and rechallenge).

12.1.3. Severity Criteria

An assessment of severity grade will be made using the following general categorical descriptors:

Mild: Awareness of symptoms that are easily tolerated, causing minimal discomfort and not interfering with everyday activities.

Moderate: Sufficient discomfort is present to cause interference with normal activity.

Severe: Extreme distress, causing significant impairment of functioning or incapacitation. Prevents normal everyday activities.

The investigator should use clinical judgment in assessing the severity of events not directly experienced by the subject (eg, laboratory abnormalities).

12.2. Special Reporting Situations

Safety events of interest on a sponsor study intervention that may require expedited reporting or safety evaluation include, but are not limited to:

- Overdose of a sponsor study intervention
- Suspected abuse/misuse of a sponsor study intervention
- Accidental or occupational exposure to a sponsor study intervention
- Medication error involving a sponsor product (with or without subject/patient exposure to the sponsor study intervention, eg, name confusion)
- Exposure to a sponsor study intervention from breastfeeding
- Exposure to a sponsor study intervention during pregnancy; see Section 12.3.3 (Pregnancy).

Special reporting situations should be recorded in the eCRF. Any special reporting situation that meets the criteria of a serious adverse event should be recorded on the serious adverse event page of the eCRF.

12.3. Procedures

12.3.1. All Adverse Events

All adverse events and special reporting situations, whether serious or non-serious, will be reported from the time a signed and dated ICF is obtained until completion of the subject's last study-related procedure, which may include contact for follow-up of safety. Serious adverse events, including those spontaneously reported to the investigator within 6 months after the last dose of study intervention, must be reported using the Serious Adverse Event Form. The sponsor will evaluate any safety information that is spontaneously reported by an investigator beyond the time frame specified in the protocol.

All events that meet the definition of a serious adverse event will be reported as serious adverse events, regardless of whether they are protocol-specific assessments.

All adverse events, regardless of seriousness, severity, or presumed relationship to study intervention, must be recorded using medical terminology in the source document and the eCRF. Whenever possible, diagnoses should be given when signs and symptoms are due to a common etiology (eg, cough, runny nose, sneezing, sore throat, and head congestion should be reported as "upper respiratory infection"). Investigators must record in the eCRF their opinion concerning the relationship of the adverse event to study therapy. All measures required for adverse event management must be recorded in the source document and reported according to sponsor instructions.

The sponsor assumes responsibility for appropriate reporting of adverse events to the regulatory authorities. The sponsor will also report to the investigator (and the head of the investigational institute where required) all suspected unexpected serious adverse reactions (SUSARs). The investigator (or sponsor where required) must report SUSARs to the appropriate Independent Ethics Committee/Institutional Review Board (IEC/IRB) that approved the protocol unless otherwise required and documented by the IEC/IRB.

For all studies with an outpatient phase, including open-label studies, the subject must be provided with a "wallet (study) card" and instructed to carry this card with them for the duration of the study indicating the following:

- Study number
- Statement, in the local language(s), that the subject is participating in a clinical study
- Investigator's name and 24-hour contact telephone number
- Local sponsor's name and 24-hour contact telephone number (for medical staff only)
- Site number
- Subject number
- Any other information that is required to do an emergency breaking of the blind

12.3.2. Serious Adverse Events

All serious adverse events occurring during the study must be reported to the appropriate sponsor contact person by study-site personnel within 24 hours of their knowledge of the event.

Information regarding serious adverse events will be transmitted to the sponsor using the Serious Adverse Event Form, which must be completed and signed by a physician from the study site, and transmitted to the sponsor within 24 hours. The initial and follow-up reports of a serious adverse event should be made by facsimile (fax).

All serious adverse events that have not resolved by the end of the study, or that have not resolved upon discontinuation of the subject's participation in the study, must be followed until any of the following occurs:

- The event resolves

- The event stabilizes
- The event returns to baseline, if a baseline value/status is available
- The event can be attributed to agents other than the study intervention or to factors unrelated to study conduct
- It becomes unlikely that any additional information can be obtained (subject or health care practitioner refusal to provide additional information, lost to follow-up after demonstration of due diligence with follow-up efforts)

Suspected transmission of an infectious agent by a medicinal product will be reported as a serious adverse event. Any event requiring hospitalization (or prolongation of hospitalization) that occurs during the course of a subject's participation in a study must be reported as a serious adverse event, except hospitalizations for the following:

- Hospitalizations not intended to treat an acute illness or adverse event (eg, social reasons such as pending placement in long-term care facility)
- Surgery or procedure planned before entry into the study (must be documented in the eCRF). Note: Hospitalizations that were planned before the signing of the ICF, and where the underlying condition for which the hospitalization was planned has not worsened, will not be considered serious adverse events. Any adverse event that results in a prolongation of the originally planned hospitalization is to be reported as a new serious adverse event.

The cause of death of a subject in a study, whether or not the event is expected or associated with the study intervention, is considered a serious adverse event.

12.3.3. Pregnancy

All initial reports of pregnancy in female subjects or partners of male subjects must be reported to the sponsor by the study-site personnel within 24 hours of their knowledge of the event using the appropriate pregnancy notification form. Abnormal pregnancy outcomes (eg, spontaneous abortion, fetal death, stillbirth, congenital anomalies, ectopic pregnancy) are considered serious adverse events and must be reported using the Serious Adverse Event Form. Any subject who becomes pregnant during the study must be promptly withdrawn from the study and discontinue further study intervention. If a subject becomes pregnant during the study, a determination regarding study intervention discontinuation must be made by the investigator in consultation with the sponsor.

Because the effect of the study intervention on sperm is unknown, pregnancies in partners of male subjects included in the study will be reported as noted above.

Follow-up information regarding the outcome of the pregnancy and any postnatal sequelae in the infant will be required.

12.4. Contacting Sponsor Regarding Safety

The names (and corresponding telephone numbers) of the individuals who should be contacted regarding safety issues or questions regarding the study are listed in the Contact Information page(s), which will be provided as a separate document.

13. PRODUCT QUALITY COMPLAINT HANDLING

A product quality complaint (PQC) is defined as any suspicion of a product defect related to manufacturing, labeling, or packaging, ie, any dissatisfaction relative to the identity, quality, durability, or reliability of a product, including its labeling or package integrity. A PQC may have an impact on the safety and efficacy of the product. Timely, accurate, and complete reporting and analysis of PQC information from studies are crucial for the protection of subjects, investigators, and the sponsor, and are mandated by regulatory agencies worldwide. The sponsor has established procedures in conformity with regulatory requirements worldwide to ensure appropriate reporting of PQC information; all studies conducted by the sponsor or its affiliates will be conducted in accordance with those procedures.

13.1. Procedures

All initial PQCs must be reported to the sponsor by the study-site personnel within 24 hours after being made aware of the event.

If the defect is combined with a serious adverse event, the study-site personnel must report the PQC to the sponsor according to the serious adverse event reporting timelines (refer to Section 12.3.2, Serious Adverse Events). A sample of the suspected product should be maintained for further investigation if requested by the sponsor.

13.2. Contacting Sponsor Regarding Product Quality

The names (and corresponding telephone numbers) of the individuals who should be contacted regarding product quality issues are listed in the Contact Information page(s), which will be provided as a separate document.

14. STUDY INTERVENTION INFORMATION

14.1. Physical Description of Study Intervention

The study intervention (previously referred to as “study drug”) (PP6M) will be supplied in prefilled syringes, as follows:

- 700 mg eq. (1092 mg) in 3.5 mL
- 1000 mg eq. (1560 mg) in 5.0 mL

The study intervention will be manufactured and provided under the responsibility of the sponsor. Refer to the Investigator's Brochure for a list of excipients.

Oral supplementation medication (risperidone and paliperidone) will not be supplied as part of study medications. Instead locally available commercial supply should be used and recorded.

14.2. Packaging

The study intervention will be packaged in individual subject kits. Each kit will consist of a safety needle, instructions for use, and a foam insert containing a prefilled syringe assembled with a plunger rod.

14.3. Labeling

Labels will contain blanks for the subject's identification number and the investigator's name. These will be filled in when the study intervention is dispensed to a subject.

Study interventions labels will contain information to meet the applicable regulatory requirements.

14.4. Preparation, Handling, and Storage

All study intervention must be stored at controlled temperatures as instructed by the clinical label.

14.5. Intervention Accountability

The investigator is responsible for ensuring that all study intervention received at the site is inventoried and accounted for throughout the study. The study intervention administered to the subject must be documented on the intervention accountability form. All study intervention will be stored and disposed of according to the sponsor's instructions. Study-site personnel must not combine contents of the study intervention containers.

Study intervention must be handled in strict accordance with the protocol and the container label, and must be stored at the study site in a limited-access area or in a locked cabinet under appropriate environmental conditions. Unused study intervention must be available for verification by the sponsor's study site monitor during on-site monitoring visits. The return to the sponsor of unused study intervention will be documented on the intervention return form. When the study site is an authorized destruction unit and study intervention supplies are destroyed on-site, this must also be documented on the intervention return form.

Potentially hazardous materials such as used ampules, needles, syringes and vials containing hazardous liquids, should be disposed of immediately in a safe manner and therefore will not be retained for intervention accountability purposes.

Study intervention should be dispensed under the supervision of the investigator or a qualified member of the study-site personnel, or by a hospital/clinic pharmacist. Study intervention will be supplied only to subjects participating in the study. Returned study intervention must not be dispensed again, even to the same subject. Study intervention may not be relabeled or reassigned for use by other subjects. The investigator agrees neither to dispense the study intervention from, nor store it at, any site other than the study sites agreed upon with the sponsor.

15. STUDY-SPECIFIC MATERIALS

The investigator will be provided with the following materials:

- Documentation:
 - Investigator's Brochure

- Manuals:
 - For assessments (ie, questionnaires and scales)
 - For electronic data capture completion guidelines
- Study-site investigational product binder

16. ETHICAL ASPECTS

16.1. Study-specific Design Considerations

Potential subjects will be fully informed of the risks and requirements of the study and, during the study, subjects will be given any new information that may affect their decision to continue participation. They will be told that their consent to participate in the study is voluntary and may be withdrawn at any time with no reason given and without penalty or loss of benefits to which they would otherwise be entitled. Only subjects who are fully able to understand the risks, benefits, and potential adverse events of the study, and provide their consent voluntarily will be enrolled.

The current study will enroll subjects who have completed the Double-blind Phase of Study R092670PSY3015 and who continue to be willing to receive PP6M treatment. The primary ethical concern for this study is that subjects who were receiving PP3M during the Double-blind Phase of R092670PSY3015 will be switched to an investigational product, PP6M.

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Therefore, a switch from PP3M to PP6M represents an increase in the dose/volume of study intervention, rather than a switch in active substance. As discussed further in Section 1.3, Benefit/Risk Assessment, and Section 3.2, Study Design Rationale, the increased dose of PP6M compared with PP3M is expected to result in sustained efficacy and acceptable tolerability during a 6-monthly dosing schedule. Because of the larger injection volume of PP6M, all injections will be administered in the gluteus. In addition, medications commonly used to improve tolerability of antipsychotic medications (eg, anti-EPS medications, benzodiazepines) and oral antipsychotics (with some limitations) will be permitted during the study, as needed.

The volume of blood to be collected in this study is not considered to pose an ethical concern or a special risk. The total blood volume to be collected will be limited and is considered to be an acceptable amount of blood to be collected over this time period from the population in this study, as it will be left to the clinical judgment of the investigator according to local standards.

16.2. Regulatory Ethics Compliance

16.2.1. Investigator Responsibilities

The investigator is responsible for ensuring that the study is performed in accordance with the protocol, current ICH guidelines on Good Clinical Practice (GCP), and applicable regulatory and country-specific requirements.

Good Clinical Practice is an international ethical and scientific quality standard for designing, conducting, recording, and reporting studies that involve the participation of human subjects. Compliance with this standard provides public assurance that the rights, safety, and well-being of

study subjects are protected, consistent with the principles that originated in the Declaration of Helsinki, and that the study data are credible.

16.2.2. Independent Ethics Committee or Institutional Review Board

Before the start of the study, the investigator (or sponsor where required) will provide the IEC/IRB with current and complete copies of the following documents (as required by local regulations):

- Final protocol and, if applicable, amendments
- Sponsor-approved ICF (and any other written materials to be provided to the subjects)
- Investigator's Brochure (or equivalent information) and amendments/addenda
- Sponsor-approved subject recruiting materials
- Information on compensation for study-related injuries or payment to subjects for participation in the study, if applicable
- Investigator's curriculum vitae or equivalent information (unless not required, as documented by the IEC/IRB)
- Information regarding funding, name of the sponsor, institutional affiliations, other potential conflicts of interest, and incentives for subjects
- Any other documents that the IEC/IRB requests to fulfill its obligation

This study will be undertaken only after the IEC/IRB has given full approval of the final protocol, amendments (if any, excluding the ones that are purely administrative, with no consequences for subjects, data or study conduct, unless required locally), the ICF, applicable recruiting materials, and subject compensation programs, and the sponsor has received a copy of this approval. This approval letter must be dated and must clearly identify the IEC/IRB and the documents being approved.

During the study the investigator (or sponsor where required) will send the following documents and updates to the IEC/IRB for their review and approval, where appropriate:

- Protocol amendments (excluding the ones that are purely administrative, with no consequences for subjects, data or study conduct)
- Revision(s) to ICF and any other written materials to be provided to subjects
- If applicable, new or revised subject recruiting materials approved by the sponsor
- Revisions to compensation for study-related injuries or payment to subjects for participation in the study, if applicable
- New edition(s) of the Investigator's Brochure and amendments/addenda
- Summaries of the status of the study at intervals stipulated in guidelines of the IEC/IRB (at least annually)
- Reports of adverse events that are serious, unlisted/unexpected, and associated with the study intervention

- New information that may adversely affect the safety of the subjects or the conduct of the study
- Deviations from or changes to the protocol to eliminate immediate hazards to the subjects
- Report of deaths of subjects under the investigator's care
- Notification if a new investigator is responsible for the study at the site
- Development Safety Update Report and Line Listings, where applicable
- Any other requirements of the IEC/IRB

For all protocol amendments (excluding the ones that are purely administrative, with no consequences for subjects, data or study conduct), the amendment and applicable ICF revisions must be submitted promptly to the IEC/IRB for review and approval before implementation of the change(s).

At least once a year, the IEC/IRB will be asked to review and reapprove this study, where required.

At the end of the study, the investigator (or sponsor where required) will notify the IEC/IRB about the study completion (if applicable, the notification will be submitted through the head of investigational institution).

16.2.3. Informed Consent

Each subject must give written consent according to local requirements after the nature of the study has been fully explained. The ICF(s) must be signed before performance of any study-related activity. The ICF(s) that is/are used must be approved by both the sponsor and by the reviewing IEC/IRB and be in a language that the subject can read and understand. The informed consent should be in accordance with principles that originated in the Declaration of Helsinki, current ICH and GCP guidelines, applicable regulatory requirements, and sponsor policy.

Before enrollment in the study, the investigator or an authorized member of the study-site personnel must explain to potential subjects the aims, methods, reasonably anticipated benefits, and potential hazards of the study, and any discomfort participation in the study may entail. Subjects will be informed that their participation is voluntary and that they may withdraw consent to participate at any time. They will be informed that choosing not to participate will not affect the care the subject will receive for the treatment of his or her disease. Subjects will be told that alternative treatments are available if they refuse to take part and that such refusal will not prejudice future treatment. Finally, they will be told that the investigator will maintain a subject identification register for the purposes of long-term follow up if needed and that their records may be accessed by health authorities and authorized sponsor personnel without violating the confidentiality of the subject, to the extent permitted by the applicable law(s) or regulations. By signing the ICF the subject is authorizing such access. It also denotes that the subject agrees to allow his or her study physician to recontact the subject for the purpose of obtaining consent for additional safety evaluations, if needed.

The subject will be given sufficient time to read the ICF and the opportunity to ask questions. After this explanation and before entry into the study, consent should be appropriately recorded by means of the subject's personally dated signature. After having obtained the consent, a copy of the ICF must be given to the subject.

If the subject is unable to read or write, an impartial witness should be present for the entire informed consent process (which includes reading and explaining all written information) and should personally date and sign the ICF after the oral consent of the subject is obtained.

16.2.4. Privacy of Personal Data

The collection and processing of personal data from subjects enrolled in this study will be limited to those data that are necessary to fulfill the objectives of the study.

These data must be collected and processed with adequate precautions to ensure confidentiality and compliance with applicable data privacy protection laws and regulations. Appropriate technical and organizational measures to protect the personal data against unauthorized disclosures or access, accidental or unlawful destruction, or accidental loss or alteration must be put in place. Sponsor personnel whose responsibilities require access to personal data agree to keep the identity of subjects confidential.

The informed consent obtained from the subject includes explicit consent for the processing of personal data and for the investigator/institution to allow direct access to his or her original medical records (source data/documents) for study-related monitoring, audit, IEC/IRB review, and regulatory inspection. This consent also addresses the transfer of the data to other entities and to other countries.

The subject has the right to request through the investigator access to his or her personal data and the right to request rectification of any data that are not correct or complete. Reasonable steps will be taken to respond to such a request, taking into consideration the nature of the request, the conditions of the study, and the applicable laws and regulations.

Exploratory research is not conducted under standards appropriate for the return of data to subjects. In addition, the sponsor cannot make decisions as to the significance of any findings resulting from exploratory research. Therefore, exploratory research data will not be returned to subjects or investigators, unless required by law or local regulations. Privacy and confidentiality of data generated in the future on stored samples will be protected by the same standards applicable to all other clinical data.

16.2.5. Country Selection

This study will only be conducted in those countries where the intent is to launch or otherwise help ensure access to the developed product if the need for the product persists, unless explicitly addressed as a specific ethical consideration in Section 16.1, Study-Specific Design Considerations).

17. ADMINISTRATIVE REQUIREMENTS

17.1. Protocol Amendments

Neither the investigator nor the sponsor will modify this protocol without a formal amendment by the sponsor. All protocol amendments must be issued by the sponsor, and signed and dated by the investigator. Protocol amendments must not be implemented without prior IEC/IRB approval, or when the relevant competent authority has raised any grounds for non-acceptance, except when necessary to eliminate immediate hazards to the subjects, in which case the amendment must be promptly submitted to the IEC/IRB and relevant competent authority. Documentation of amendment approval by the investigator and IEC/IRB must be provided to the sponsor. When the change(s) involves only logistic or administrative aspects of the study, the IEC/IRB (where required) only needs to be notified.

During the course of the study, in situations where a departure from the protocol is unavoidable, the investigator or other physician in attendance will contact the appropriate sponsor representative listed in the Contact Information page(s), which will be provided as a separate document. Except in emergency situations, this contact should be made before implementing any departure from the protocol. In all cases, contact with the sponsor must be made as soon as possible to discuss the situation and agree on an appropriate course of action. The data recorded in the eCRF and source documents will reflect any departure from the protocol, and the source documents will describe this departure and the circumstances requiring it.

17.2. Regulatory Documentation

17.2.1. Regulatory Approval/Notification

This protocol and any amendment(s) must be submitted to the appropriate regulatory authorities in each respective country, if applicable. A study may not be initiated until all local regulatory requirements are met.

17.2.2. Required Prestudy Documentation

The following documents must be provided to the sponsor before shipment of study intervention to the study site:

- Protocol and amendment(s), if any, signed and dated by the principal investigator
- A copy of the dated and signed (or sealed, where appropriate per local regulations), written IEC/IRB approval of the protocol, amendments, ICF, any recruiting materials, and if applicable, subject compensation programs. This approval must clearly identify the specific protocol by title and number and must be signed (or sealed, where appropriate per local regulations) by the chairman or authorized designee.
- Name and address of the IEC/IRB, including a current list of the IEC/IRB members and their function, with a statement that it is organized and operates according to GCP and the applicable laws and regulations. If accompanied by a letter of explanation, or equivalent, from the IEC/IRB, a general statement may be substituted for this list. If an investigator or a member of the study-site personnel is a member of the IEC/IRB, documentation must be

obtained to state that this person did not participate in the deliberations or in the vote/opinion of the study.

- Regulatory authority approval or notification, if applicable
- Signed and dated statement of investigator (eg, Form FDA 1572), if applicable
- Documentation of investigator qualifications (eg, curriculum vitae)
- Completed investigator financial disclosure form from the principal investigator, where required
- Signed and dated Clinical Trial Agreement, which includes the financial agreement
- Any other documentation required by local regulations

The following documents must be provided to the sponsor before enrollment of the first subject:

- Completed investigator financial disclosure forms from all subinvestigators
- Documentation of subinvestigator qualifications (eg, curriculum vitae)
- Name and address of any local laboratory conducting tests for the study, and a dated copy of current laboratory normal ranges for these tests, if applicable
- Local laboratory documentation demonstrating competence and test reliability (eg, accreditation/license), if applicable

17.3. Subject Identification, Enrollment, and Screening Logs

The investigator agrees to complete a subject identification and enrollment log to permit easy identification of each subject during and after the study. This document will be reviewed by the sponsor study-site contact for completeness.

The subject identification and enrollment log will be treated as confidential and will be filed by the investigator in the study file. To ensure subject confidentiality, no copy will be made. All reports and communications relating to the study will identify subjects by subject identification and age at initial informed consent. In cases where the subject is not enrolled into the study, the date seen and age at initial informed consent will be used.

The investigator must also complete a subject screening log, which reports on all subjects who were seen to determine eligibility for inclusion in the study.

17.4. Source Documentation

At a minimum, source documents consistent in the type and level of detail with that commonly recorded at the study site as a basis for standard medical care must be available for the following: subject identification, eligibility, and study identification; study discussion and date of signed informed consent; dates of visits; results of safety and efficacy parameters as required by the protocol; record of all adverse events and follow-up of adverse events; concomitant medication; intervention receipt/dispensing/return records; study intervention administration information; and

date of study completion and reason for early discontinuation of study intervention or withdrawal from the study, if applicable.

The author of an entry in the source documents should be identifiable.

Specific details required as source data for the study and source data collection methods will be reviewed with the investigator before the study and will be described in the monitoring guidelines (or other equivalent document).

An electronic source system may be utilized, which contains data traditionally maintained in a hospital or clinic record to document medical care (eg, electronic source documents) as well as the clinical study-specific data fields as determined by the protocol. This data is electronically extracted for use by the sponsor. If the electronic source system is utilized, references made to the eCRF in the protocol include the electronic source system but information collected through the electronic source system may not be limited to that found in the eCRF. Data in this system may be considered source documentation.

17.5. Electronic Case Report Form Completion

Case report forms are prepared and provided by the sponsor for each subject in electronic format. All eCRF entries, corrections, and alterations must be made by the investigator or authorized study-site personnel. The investigator must verify that all data entries in the eCRF are accurate and correct. The study data will be transcribed by study-site personnel from the source documents onto an electronic CRF, if applicable. Study-specific data will be transmitted in a secure manner to the sponsor.

Worksheets may be used for the capture of some data to facilitate completion of the eCRF. Any such worksheets will become part of the subject's source documents. Data must be entered into eCRF in English. The eCRF must be completed as soon as possible after a subject visit and the forms should be available for review at the next scheduled monitoring visit.

All subjective measurements (eg, pain scale information or other questionnaires) will be completed by the same individual who made the initial baseline determinations whenever possible.

If necessary, queries will be generated in the eDC tool. If corrections to a eCRF are needed after the initial entry into the eCRF, this can be done in either of the following ways:

- Investigator and study-site personnel can make corrections in the eDC tool at their own initiative or as a response to an auto query (generated by the eDC tool).
- Sponsor or sponsor delegate can generate a query for resolution by the investigator and study-site personnel.

17.6. Data Quality Assurance / Quality Control

Steps to be taken to ensure the accuracy and reliability of data include the selection of qualified investigators and appropriate study sites, review of protocol procedures with the investigator and

study-site personnel before the study, and periodic monitoring visits by the sponsor. Written instructions will be provided for collection, handling, storage, and shipment of samples.

Guidelines for eCRF completion will be provided and reviewed with study-site personnel before the start of the study. The sponsor will review eCRF for accuracy and completeness during on-site monitoring visits and after transmission to the sponsor; any discrepancies will be resolved with the investigator or designee, as appropriate. After upload of the data into the study database they will be verified for accuracy and consistency with the data sources.

17.7. Record Retention

In compliance with the ICH/GCP guidelines, the investigator/institution will maintain all eCRF and all source documents that support the data collected from each subject, as well as all study documents as specified in ICH/GCP Section 8, Essential Documents for the Conduct of a Clinical Trial, and all study documents as specified by the applicable regulatory requirement(s). The investigator/institution will take measures to prevent accidental or premature destruction of these documents.

Essential documents must be retained until at least 2 years after the last approval of a marketing application in an ICH region and until there are no pending or contemplated marketing applications in an ICH region or until at least 2 years have elapsed since the formal discontinuation of clinical development of the investigational product. These documents will be retained for a longer period if required by the applicable regulatory requirements or by an agreement with the sponsor. It is the responsibility of the sponsor to inform the investigator/institution as to when these documents no longer need to be retained.

If the responsible investigator retires, relocates, or for other reasons withdraws from the responsibility of keeping the study records, custody must be transferred to a person who will accept the responsibility. The sponsor must be notified in writing of the name and address of the new custodian. Under no circumstance shall the investigator relocate or dispose of any study documents before having obtained written approval from the sponsor.

If it becomes necessary for the sponsor or the appropriate regulatory authority to review any documentation relating to this study, the investigator/institution must permit access to such reports.

17.8. Monitoring

The sponsor will use a combination of monitoring techniques (central, remote, or on-site monitoring) to monitor this study.

The sponsor will perform on-site monitoring visits as frequently as necessary. The monitor will record dates of the visits in a study site visit log that will be kept at the study site. The first visit will be made as soon as possible after enrollment has begun. At these visits, the monitor will compare data entered into the eCRF with the source documents (eg, hospital/clinic/physician's office medical records); a sample may be reviewed. The nature and location of all source

documents will be identified to ensure that all sources of original data required to complete the eCRF are known to the sponsor and study-site personnel and are accessible for verification by the sponsor study-site contact. If electronic records are maintained at the study site, the method of verification must be discussed with the study-site personnel.

Direct access to source documents (medical records) must be allowed for the purpose of verifying that the recorded data are consistent with the original source data. Findings from this review will be discussed with the study-site personnel. The sponsor expects that, during monitoring visits, the relevant study-site personnel will be available, the source documents will be accessible, and a suitable environment will be provided for review of study-related documents. The monitor will meet with the investigator on a regular basis during the study to provide feedback on the study conduct.

In addition to on-site monitoring visits, remote contacts can occur. It is expected that during these remote contacts, study-site personnel will be available to provide an update on the progress of the study at the site.

17.9. Study Completion/Termination

17.9.1. Study Completion (End of Study)

The study is considered completed with the last visit for the last subject participating in the study. The final data from the study site will be sent to the sponsor (or designee) after completion of the final subject visit at that study site, in the time frame specified in the Clinical Trial Agreement.

17.9.2. Study Termination

The sponsor reserves the right to close the study site or terminate the study at any time for any reason at the sole discretion of the sponsor. Study sites will be closed upon study completion, or earlier if PP6M is commercially available in the local country. A study site is considered closed when all required documents and study supplies have been collected and a study-site closure visit has been performed.

The investigator may initiate study-site closure at any time, provided there is reasonable cause and sufficient notice is given in advance of the intended termination.

Reasons for the early closure of a study site by the sponsor or investigator may include but are not limited to:

- Failure of the investigator to comply with the protocol, the requirements of the IEC/IRB or local health authorities, the sponsor's procedures, or GCP guidelines
- Inadequate recruitment of subjects by the investigator
- Discontinuation of further study intervention development

17.10. On-Site Audits

Representatives of the sponsor's clinical quality assurance department may visit the study site at any time during or after completion of the study to conduct an audit of the study in compliance with regulatory guidelines and company policy. These audits will require access to all study records, including source documents, for inspection. Subject privacy must, however, be respected. The investigator and study-site personnel are responsible for being present and available for consultation during routinely scheduled study-site audit visits conducted by the sponsor or its designees.

Similar auditing procedures may also be conducted by agents of any regulatory body, either as part of a national GCP compliance program or to review the results of this study in support of a regulatory submission. The investigator should immediately notify the sponsor if he or she has been contacted by a regulatory agency concerning an upcoming inspection.

17.11. Use of Information and Publication

All information, including but not limited to information regarding PP6M or the sponsor's operations (eg, patent application, formulas, manufacturing processes, basic scientific data, prior clinical data, formulation information) supplied by the sponsor to the investigator and not previously published, and any data, including research data, generated as a result of this study, are considered confidential and remain the sole property of the sponsor. The investigator agrees to maintain this information in confidence and use this information only to accomplish this study, and will not use it for other purposes without the sponsor's prior written consent.

The investigator understands that the information developed in the study will be used by the sponsor in connection with the continued development of PP6M, and thus may be disclosed as required to other clinical investigators or regulatory agencies. To permit the information derived from the clinical studies to be used, the investigator is obligated to provide the sponsor with all data obtained in the study.

The results of the study will be reported in a Clinical Study Report generated by the sponsor and will contain data from all study sites that participated in the study as per protocol. Recruitment performance or specific expertise related to the nature and the key assessment parameters of the study will be used to determine a coordinating investigator for the study. Results of analyses performed after the Clinical Study Report has been issued will be reported in a separate report and will not require a revision of the Clinical Study Report. Study subject identifiers will not be used in publication of results. Any work created in connection with performance of the study and contained in the data that can benefit from copyright protection (except any publication by the investigator as provided for below) shall be the property of the sponsor as author and owner of copyright in such work.

Consistent with Good Publication Practices and International Committee of Medical Journal Editors guidelines, the sponsor shall have the right to publish such primary (multicenter) data and information without approval from the investigator. The investigator has the right to publish study site-specific data after the primary data are published. If an investigator wishes to publish

information from the study, a copy of the manuscript must be provided to the sponsor for review at least 60 days before submission for publication or presentation. Expedited reviews will be arranged for abstracts, poster presentations, or other materials. If requested by the sponsor in writing, the investigator will withhold such publication for up to an additional 60 days to allow for filing of a patent application. In the event that issues arise regarding scientific integrity or regulatory compliance, the sponsor will review these issues with the investigator. The sponsor will not mandate modifications to scientific content and does not have the right to suppress information. For multicenter study designs and substudy approaches, secondary results generally should not be published before the primary endpoints of a study have been published. Similarly, investigators will recognize the integrity of a multicenter study by not submitting for publication data derived from the individual study site until the combined results from the completed study have been submitted for publication, within 18 months after study end date, or the sponsor confirms there will be no multicenter study publication. Authorship of publications resulting from this study will be based on the guidelines on authorship, such as those described in the International Medical Committee of Medical Journal Editors (ICMJE) Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals, which state that the named authors must have made a significant contribution to the conception or design of the work; or the acquisition, analysis, or interpretation of the data for the work; and drafted the work or revised it critically for important intellectual content; and given final approval of the version to be published; and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Registration of Clinical Studies and Disclosure of Results

The sponsor will register and disclose the existence of and the results of clinical studies as required by law.

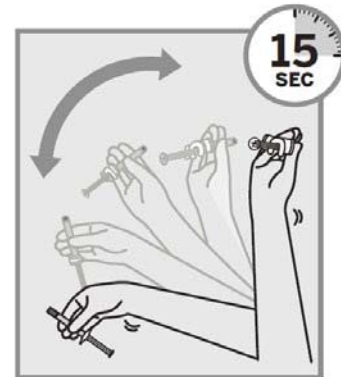
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ATTACHMENTS

Attachment 1: Guidelines for the Intramuscular Injection of Paliperidone Palmitate 6-month Injection

For each dose, a study-site personnel member must shake the syringe vigorously with the tip facing up and with a loose wrist for at least 15 seconds to ensure a homogeneous suspension. The shaken dose must then be administered within 5 minutes after shaking. If more than 5 minutes pass after shaking but before injection, then a study-site personnel member must shake the syringe vigorously again for at least 15 seconds to resuspend the dose.



The full content of the syringe should be injected, slowly.

Injections will rotate across sides of the body (left or right), but the image below shows landmarks for only 1 side as an example.

Figure	
Needle	1.5-inch, 20-gauge, thin-walled needle
Notes	Palpate the junction of the posterior iliac crest and sacrum. Then imagine drawing a line to the greater trochanter of the femur. Administer the injection in the upper-outer area bordered by this imaginary triangle. Injections should be administered in the dorso-gluteal injection site only. Ventrogluteal injections are not permitted.

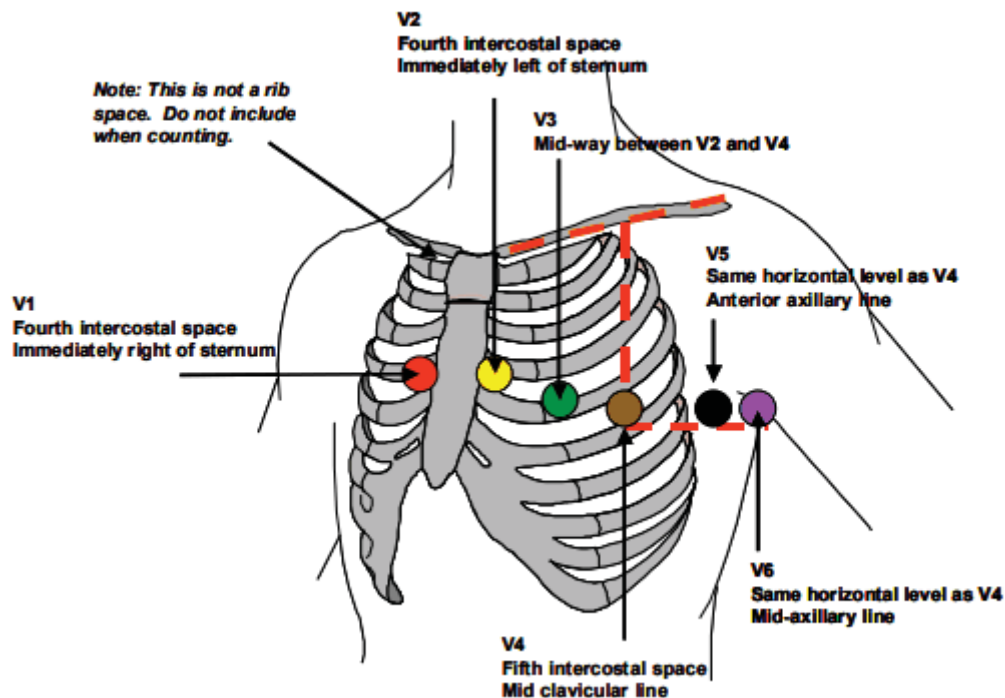
Attachment 2: Standard Placement of ECG Electrodes

Limb Leads

- Right Arm: right forearm proximal to wrist
- Left Arm: left forearm proximal to wrist
- Left leg: left lower leg proximal to ankle
- Right leg: right leg proximal to ankle

Precordial Leads

- V1 Fourth intercostal space at the right sternal edge
- V2 Fourth intercostal space at the left sternal edge
- V3 Midway between V2 and V4
- V4 Fifth intercostal space in the mid-clavicular line
- V5 Left antero axillary line at the same horizontal level as V4
- V6 Left mid-axillary line at the same horizontal level as V4 and V5



Source: Clinical Guidelines by Consensus: Recording a Standard 12-lead Electrocardiogram: An Approved Methodology. British Cardiovascular Society. Feb 2010. https://www.bcs.com/documents/consensus_guidelines.pdf

INVESTIGATOR AGREEMENT

R092670 (paliperidone palmitate)

Clinical Protocol R092670PSY3016 Amendment 2

INVESTIGATOR AGREEMENT

I have read this protocol and agree that it contains all necessary details for carrying out this study. I will conduct the study as outlined herein and will complete the study within the time designated.

I will provide copies of the protocol and all pertinent information to all individuals responsible to me who assist in the conduct of this study. I will discuss this material with them to ensure that they are fully informed regarding the study drug, the conduct of the study, and the obligations of confidentiality.

Coordinating Investigator (where required):

Name (typed or printed): _____

Institution and Address: _____

Signature: _____ Date: _____
(Day Month Year)

Principal (Site) Investigator:

Name (typed or printed): _____

Institution and Address: _____

Telephone Number: _____

Signature: _____ Date: _____
(Day Month Year)

Sponsor's Responsible Medical Officer:

Name (typed or printed): PPD _____

Institution: PPD _____ & Development

Signature: _____ Date: 17 DEC 2020
(Day Month Year)

Note: If the address or telephone number of the investigator changes during the course of the study, written notification will be provided by the investigator to the sponsor, and a protocol amendment will not be required.

Janssen Research & Development, L.L.C

Statistical Analysis Plan (SAP)

Single-arm, Open-label Extension to a Double-blind, Randomized, Active-controlled, Parallel-group Study of Paliperidone Palmitate 6-Month Formulation

Protocol R092670PSY3016; Phase 3

R092670 (paliperidone palmitate)

Status: Approved
Date: 16 May 2022
Prepared by: Janssen Research & Development, LLC
EDMS number: EDMS-RIM-346769, 2.0

[**Compliance:** The study described in this report was performed according to the principles of Good Clinical Practice (GCP).]

Confidentiality Statement

The information provided herein contains Company trade secrets, commercial or financial information that the Company customarily holds close and treats as confidential. The information is being provided under the assurance that the recipient will maintain the confidentiality of the information under applicable statutes, regulations, rules, protective orders or otherwise.

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ABBREVIATIONS

AE	adverse event
BMI	body mass index
C-SSRS	Columbia-Suicide Severity Rating Scale
CGI-S	Clinical Global Impression-Severity
CRF	case report form
CSR	Clinical Study Report
DSM	Diagnostic and Statistical Manual of Mental Disorders
ECG	Electrocardiogram
EOS	end-of-study
FDA	Food and Drug Administration
LOCF	last observation carried forward
MedDRA	Medical Dictionary for Regulatory Activities
OLE	Open-Label Extension
PP1M	paliperidone palmitate 1 month formulation
PP3M	paliperidone palmitate 3 month formulation
PP6M	paliperidone palmitate 6 month formulation
PSP	Personal and Social Performance (scale)
SAE	serious adverse event
SAP	Statistical Analysis Plan
SAS	Simpson Angus Scale
SD	standard deviation

1. INTRODUCTION

This statistical analysis plan (SAP) contains definitions of analysis set, derived variables and statistical methods for the analysis of safety and efficacy data from study R092670PSY3016.

1.1. Study Objectives

Primary Objective

The primary objective of this study is to assess (in a limited number of countries) the long-term safety and tolerability of PP6M (700 or 1000 mg eq.) and to provide access to PP6M in subjects with schizophrenia completing the R092670PSY3015 study without relapse.

Secondary objectives

To assess the long-term efficacy of PP6M based on:

- Overall symptom improvement and global severity of the illness
- Personal and social functioning
- Remission rates

1.2. Study Design

This study is a single-arm, 24-month open-label extension to Study R092670PSY3015, a double-blind, randomized, active-controlled, parallel-group study to evaluate whether the efficacy of PP6M is noninferior to that of PP3M in adults with schizophrenia. Subjects from Argentina, Hong Kong, Italy, Poland, Russia, and Ukraine who complete the 12-month Double-blind Phase of R092670PSY3015 without a relapse will be eligible to enter the open-label extension study (R092670PSY3016). Approximately 180 subjects from Study R092670PSY3015 are estimated to be enrolled in the open-label extension.

For all subjects, study intervention (PP6M) during the open-label extension will be administered once every 6 months. The initial dose of PP6M will be determined based on the dose level (“moderate” or “high”) that the subject was receiving during the Double-blind phase of R092670PSY3015. Investigators will be allowed to change the dose at Visits 3, 5, and 7 (increase or decrease, to 700 or 1000 mg eq.) based on clinical judgment.

1.3. Statistical Hypotheses for Study Objectives

The primary hypothesis is that long-term treatment with PP6M (700 or 1000 mg eq.) is safe and well tolerated in subjects with schizophrenia who have previously been treated with PP6M for 12 months, or are being switched to PP6M from corresponding doses of PP3M.

Given that this is an open-label safety study with no comparator, there is no formal statistical hypothesis.

1.4. Sample Size Justification

No formal sample size determination was performed for this study. The sample size will be determined by the numbers of subjects from Argentina, Hong Kong, Italy, Poland, Russia, and Ukraine who complete Double-blind Phase of Study R092670PSY3015 without relapse and are willing to participate in R092670PSY3016.

2. GENERAL ANALYSIS DEFINITIONS

2.1. Phase and Study Day Definitions

The 'Reference Start Date' or 'Study Day 1' for a subject is the date of the first study injection (PP6M) in R092670PSY3016.

The 'Reference End Date' for a subject is the maximum of the date of the end-of-study (EOS) visit, the completion/withdrawal date, the last date of study drug administration during the study, or the last evaluation date collected in the SDTM datasets QS, EG, VS, and LB data in the study.

Start and End dates of the Open-label extension and Follow-up phases

- For subjects who enter this Open-label extension study, the Start date of Open-label extension Phase (Study Day 1) refers to the date of first injection of the study medication (PP6M); and the Open-label extension Phase end date (denoted as 'OLE End Date') is the last injection date + 211 days, or the trial disposition date, whichever is the earliest [OLE End Date = $\min(\text{last injection date} + 211, \text{trial disposition date})$].
- For subjects who provided data in the Follow-up phase, the start and end dates of the Follow-up phase will be defined. The Follow-up start date is one day after the OLE End Date; the Follow-up end date is the trial disposition date, or the last of evaluation dates collected in QS, EG, VS, and LB data in the study, whichever is later. If the Follow-up phase start date is listed after the Follow-up end date, then the Follow-up start date will be the same as the Follow-up end date.

2.1.1. Relative Day for a Visit

Days relative to the Study Start Date are defined as follows:

Day = visit date – the Start Date + 1; if visit date \geq the Reference Start Date

Day = visit date – the Start Date; if visit date < the Reference Start Date.

2.1.2. Baseline Values

Baseline Value for the study

For each subject who entered in R092670PSY3016, all visit-based data from the previous trial (including all efficacy parameters PANSS/CGI/PSP, AIMS/BARS/SAS, laboratory data, and vital signs) and also adverse events and concomitant therapy data will be brought into the analysis datasets of R092670PSY3016. These data will include records from the screen, open-label and double-blind phases, as applicable. Only those time points used in the analysis of a given variable for the previous trial will be brought into R092670PSY3016 for that variable.

Unlike R092690PSY3015, where a central ECG laboratory was used, the ECG data for R092670PSY3016 are collected locally. There will be no ECG data from R092670PSY3015 to be included in the analysis datasets of R092670PSY3016. Hence, no baseline ECG values will be defined in Study R092670PSY3016.

As all enrolled subjects of this open-label extension study received the first study dose immediately after completing the 12-Month Double-blind Phase of R092670PSY3015, the baseline assessments for R092670PSY3016 are the same as the final visit (Visit 33a of R092670PSY3015). If a subject had an assessment in R092670PSY3016 with the same date as Visit 33a of R092670PSY3015, data from Visit 33a of R092670PSY3015 will be used as Baseline value for the study.

Though the protocol allows subjects to enter the open-label extension later (up to 3 months after they complete R092670PSY3015), this did not happen.

Baseline Value from R092670PSY3015 DB

The baseline values for the double-blind phase of R092670PSY3015 are also used in all efficacy analyses and some safety analyses (weight/BMI and AIMS) as described in the later sections. These baseline values can be found from the analysis datasets for Study R092670PSY3015.

2.1.3. End Point Values

For each variable measured over time, the End Point value is defined as the last assessment value (note that the Baseline value of the study is excluded) during study.

2.2. Visit Windows

Because subjects do not always adhere to the protocol visit schedule, the following rules are applied to assign visit windows to protocol-defined visits for the purpose of data analysis. These windows are distinct from the visit windows specified in the Time and Event Schedule in the protocol to inform the conduct of the study. Listed in [Table 1a](#), [1b](#), and [1c](#) are the visit windows (time points), and the corresponding day ranges and the target days for each protocol-defined visit. The relative days are with respect to the first study medication of the study.

The baseline values of the study were defined in [Section 2.1.2](#). they will be labeled as ‘Baseline’ in visit window.

If a subject has 2 or more actual visits in 1 visit window (other than Screening windows and Baseline window), the visit closest to the target day will be used as the protocol-defined visit for that visit window. If 2 actual visits are equidistant from the target day within a visit window, the later visit is used.

If a visit window has no scheduled visits but does have unscheduled visits, then the unscheduled visit closest to the scheduled visit will be used for that protocol visit.

Table 1a: Time Intervals for CGI-S and Mental status examination (quarterly)				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval (Day) ^a	Target Time Point (Day) ^a
Open-Label Extension	1	Baseline (endpoint of PSY3015)	≤ 1st injection date of PSY3016	-1 before PSY3016 study medication
	2	Month 3	2 to 137	92
	3	Month 6	138 to 228	183
	4	Month 9	229 to 319	274
	5	Month 12	320 to 410	365
	6	Month 15	411 to 501	456
	7	Month 18	502 to 592	547
	8	Month 21	593 to 683	638
	9	Month 24	684 to end of study	729
	Final Visit	End Point	Last record before/on Visit 9	

^a Day is defined in Section 2.1.1

Table 1b: Time Intervals for HRUQ and injection site reaction (every 6 months)				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval (Day) ^a	Target Time Point (Day) ^a
Open-Label Extension	1	Baseline (endpoint of PSY3015)	≤ 1st injection date	-1 before PSY3016 study medication
	3	Month 6	2 to 274	183
	5	Month 12	275 to 457	365
	7	Month 18	458 to 640	547
	9	Month 24	641 to end of study	729
	Final Visit	End Point	Last record before/on Visit 9	

^a Day is defined in Section 2.1.1

Table 1c: Time Intervals for Visits for PANSS, PSP, AIM, LAB and Vital signs (annually)				
Analysis Phase	Scheduled Visit Number	Label on Output	Time Interval (Day) ^a	Target Time Point (Day) ^a
Open-Label Extension	1	Baseline (endpoint of PSY3015)	≤ 1st injection date	-1 before PSY3016 study medication
	5	Month 12	2 to 547	365
	9	Month 24	548 to end of study	729
	Final Visit	End Point	Last record before/on Visit 9	

^a Day is defined in Section 2.1.1

2.3. Analysis Set

The intent to treat analysis population includes all subjects who receive at least 1 dose of study drug in this study. The safety analysis population is the same as the intent to treat population.

2.4. Imputation Rules for Missing Dose and Dates

2.4.1. Imputation Rules for Missing AE Date/Time of Onset/Resolution

The following rules will be used to determine if an AE is treatment-emergent for the open-label extension (OLE) phase when the AE start date is incomplete:

- (1) If the month and year are known and day of the month is missing: If the study medication started during or prior to that month/year then the AE is considered treatment-emergent for the OLE phase. If the study medication started after that month/year, then the AE will not be considered treatment emergent for the OLE phase.
- (2) If the year is known and the month is missing: If the study medication started during or prior to that year then the AE is considered treatment-emergent.
- (3) If the year is missing: The AE will be considered treatment emergent for the OLE phase.

2.4.2. Incomplete/Missing Dates of Most Recent Hospitalization for Psychosis

The duration (days) of the most recent hospitalization for psychosis prior to the start of the study will be calculated as: stop date - start date + 1. If the hospitalization start/stop date is completely

missing or the year is missing, no imputation will be performed. If the start/stop dates of hospitalization are partially missing the following rules will apply:

- Hospitalization start date: if only the day is missing, use the first day of the month. If only the month is missing, January will be used. If both the day and month are missing, the imputed date will be January 1.
- Hospitalization stop date will be the minimum between the day before the Screening Visit and the following imputed date:
 - If only the day is missing, then the last day of the given month will be used (eg, if the month is April, then the missing day will be imputed as April 30);
 - If only the month is missing, then December will be used;
 - If both the day and the month are missing, then the imputed date will be December 31.

2.4.3. Incomplete/Missing Dates of Hospitalization Collected in HRUQ

The duration (days) of the hospitalization collected in HRUQ will be calculated as: stop date - start date + 1. If the hospitalization start/stop date is completely missing or the year is missing, no imputation will be performed. If the start/stop dates of hospitalization are partially missing the following rules will apply:

Hospitalization start date:

- if only the day is missing, use the first day of the month.
- If only the month is missing, January will be used.
- If both the day and month are missing, the imputed date will be January 1.

Hospitalization stop date will be the minimum between the day before the date of assessment and the following imputed date:

- If only the day is missing, then the last day of the given month will be used (eg, if the month is April, then the missing day will be imputed as April 30);
- If only the month is missing, then December will be used;
- If both the day and the month are missing, then the imputed date will be December 31.

2.4.4. Incomplete/Missing Dates for Concomitant Medications

If a partial date is reported, it is assumed medication was taken in the OLE phase that overlaps with the partial date. If both start and end dates are missing but this concomitant medication was taken both prior to the study entry (OLE Day 1) and still ongoing at end of the OLE Phase, it is assumed medication was taken in the OLE Phase.

The rules for estimating an incomplete concomitant medication start date are as follows:

If the month of the concomitant medication start date is equal to the month of the start of the OLE Phase, then the estimated start date is the start date of the OLE Phase;

If the month of the concomitant medication start date is greater than the month of the reference end date, then no imputation will be done;

If the month and year of the concomitant medication start date are known and the Start Date is after the month of the concomitant medication start date, then no imputation will be done;

If both the month and the day of the concomitant medication start date are missing but the year is not, the imputed start date will be the first day of that year.

For the incomplete concomitant medication end date, the rules are:

If the month of the concomitant medication end date is prior to the month of the reference end date, then the estimated the concomitant medication end date is the last day of that month;

If the month of the concomitant medication end date is during the month of the reference end date, then the estimated end date is the reference end date;

If the month of the concomitant medication end date is after the reference end date, then the estimated end date is the last day of that month;

If the month of the concomitant medication end date is missing but the year is not, and if the subject entered the study, then the estimated end date is the minimum of the last day of the year and the reference end date;

If the year is missing then the estimated end date is the reference end date;

If the concomitant medication is continuing, then the estimated end date is the reference end date for the purpose of duration calculation.

2.5. Definition of Subgroups

On individual trial level, subgroup analyses on sex (male/female), age (18-25 years, 26-50 years, 51-65 years, and >65 years), and race (White, Black, Asian, Other) will only be performed for adverse event incidence. For some efficacy endpoints and summaries of adverse event incidence, a subgroup based on R092670PSY3015 DB randomization will also be considered for analyses. The subgroups (DB group) are noted as PP3M/PP6M for those who were randomized to PP3M, and PP6M/PP6M for those who were randomized to PP6M. The data about this pre-study status could be found in the database of the pivotal study R092670PSY3015.

2.6. Treatment Groups

There is only one treatment group in the study: PP6M. The treatment group assignment in the R092670PSY3015 Double-blind Phase would be considered as a subgroup as described in Section 2.5.

3. INTERIM ANALYSIS AND DATA MONITORING COMMITTEE REVIEW

No interim analyses are planned. There is no Data Monitoring Committee for this study.

4. SUBJECT INFORMATION

Unless indicated otherwise, the results for subject information will be provided by all intent to treat subjects.

4.1. Demographics and Baseline Characteristics

Table 2 presents the list of demographic variables and baseline characteristics and Table 3 presents the list of diagnosis and psychiatric history variables. Variables will be summarized for the intent to treat analysis set.

Sex, race, baseline clinical diagnosis, and height will be summarized using the values from the previous study (R092670PSY3015). For age, weight and BMI, the End Point (R092670PSY3015 DB) time point will be used as the baseline values for this study. Age at onset of schizophrenia diagnosis, and time since last psychotic episode will be summarized as continuous variables. Time since the last psychotic episode is calculated in days as screening date (from R092670PSY3015) – date of last acute symptom + 1. Sex, race, ethnicity (Hispanic or Latino, native American, neither Hispanic/Latino nor Native American), BMI group (normal: BMI<25, overweight: 25-<30, obese:>=30), DSM-5 diagnosis, and number of prior hospitalizations for psychosis (1,2, 3 or >=4)

will be summarized as categorical variables. For age, the number and percentage of subjects in each subgroup defined in Table 2, below, will also be summarized.

The continuous variables will be summarized using descriptive statistics (N, mean, standard deviation [SD], median and range [minimum and maximum]). The categorical variables will be summarized using a frequency distribution with the number and percentage of subjects in each category.

Table 2: Demographic Variables and Baseline Characteristics

Continuous Variables:

- Age (years)^a
- Baseline weight (kg)^a
- Baseline height (cm)^b
- Baseline BMI (kg/m²) calculated as Weight (kg)/[Height (m)]²^a
- Baseline waist circumference (cm)^b

Categorical Variables:

- Age (18-25 years, 26-50 years, 51-65 years, and >65 years)^a
 - Sex (male, female)^b
 - Race^c (White, Black or African American, Asian (include Asian subcategories)^c, American Indian or Alaska native, native Hawaiian or other Pacific islander, other, multiple, not reported, unknown)
 - Baseline BMI^a (normal: <25 kg/m², overweight: 25 kg/m² to <30 kg/m², obese: ≥30 kg/m²)
-

^a Baseline value of R092670PSY3016 will be used.

^b Baseline value of R0292670PSY3015 will be used.

^c If multiple race categories are indicated, then Race is recorded as “Multiple.”

Demographic and baseline characteristics will be summarized for the intent to treat analysis set, and also for each of subgroup based on R092670PSY3015 DB group (PP3M/PP6M and PP6M/PP6M, as described in Section 2.5).

Table 3: Diagnosis and Psychiatric History Variables

Continuous variables:

- Baseline CGI-S score (at Baseline of R092670PSY3015 DB and Baseline visit of R092670PSY3016)
 - Baseline PANSS total score (at Baseline of R092670PSY3015 DB and Baseline visit of R092670PSY3016)
 - Baseline PSP score (at Baseline of R092670PSY3015 DB and Baseline visit of R092670PSY3016)
-

4.2. Disposition Information

Subject disposition will be summarized for both the treatment disposition and trial disposition. For the intent to treat analysis set, the reasons for study discontinuation will be summarized.

The study does not have screen failures.

4.3. Extent of Exposure

4.3.1. Injections

The number and percent of subjects who receive 1, 2, 3, or 4 injections of PP6M study drug will be summarized. The treatment exposure (including duration of total exposure), mean dose, and final dose will be presented. These summaries will be provided for the intent to treat analysis set.

The duration of total exposure in the entire study is calculated as the total number of days a subject remains in study.

Duration of exposure = treatment disposition date – first injection date + 1

4.4. Protocol Deviations

All major protocol deviations will be summarized for the intent to treat analysis set.

4.4.1. Remote Visit and Home Visit due to Covid-19 Pandemic

Due to the Covid-19 Pandemic, remote visits by phone or video were conducted by some sites. There were also home visits performed by the investigators. The information about remote visits is recorded in the clinical database as minor protocol deviation. The number of Pandemic caused remote visits and number of subjects will be listed, and summarized by country and visit. The information about the home visit will be listed.

4.5. Prior and Concomitant Medications

There is no prior medication use for this study.

4.5.1. Concomitant Benzodiazepines (Sedatives/Hypnotics/Anxiolytics)

The number and percentage of subjects who received benzodiazepines during the Open-label extension study will be provided based on generic term category for the safety analysis population.

The number and percentage of subjects who received benzodiazepines during the study will be provided based on generic term category for the safety population.

For each subject, the total duration of each benzodiazepine will be calculated. Descriptive statistics of the duration (days) of benzodiazepine use during the study will be presented.

If both start and end dates for benzodiazepines are known, duration of concomitant medication is defined as stop date – start date +1. Otherwise stop and start dates of the concomitant medication during the study are defined below.

For the summary of duration of benzodiazepines during the study, if the start date of concomitant medication is prior to the Start Date, the Start Date will be used as concomitant medication start date for duration calculation. If the end date of concomitant medication is after the End Date or indicated as continuing, then the study End Date will be used as the concomitant medication end date for duration calculation.

4.5.2. Concomitant Medications Other Than Benzodiazepines

The number and percentage of subjects who receive concomitant therapies other than benzodiazepines during the study will be provided based on generic term category for the Open-label Phase for the safety analysis population. Those concomitant medications, other than benzodiazepines, received by at least 5% of the subjects will be presented for the safety analysis population.

Note that duration in the trial is not calculated for concomitant medications other than benzodiazepines.

5. EFFICACY

5.1. Analysis Specifications

5.1.1. Level of Significance

Unless otherwise specified, a 2-sided significance level of 5% will be used. No adjustment for multiple testing will be used for the efficacy analyses.

5.1.2. General Imputation Methods

For the efficacy scale PANSS, PSP and CGI-S, both observed case (OC) and last observation carried forward (LOCF) values will be determined based on the study visits specified in Tables 1a and 1c in Section 2.2. These imputed time points will be labeled “Month X LOCF.” Because it is possible for more than 1 visit to occur during the same time interval for a protocol-specified visit, rules for choosing the visit to use for the analysis are those given in Section 2.2.

If there are multiple visits in a time interval with non-missing values, the visit closest to the protocol-specified time is used as both observed case and LOCF. If there is no visit in a time interval with a non-missing value, then the OC value is missing and the last non-missing, post-baseline value prior to the interval is used for LOCF. No baseline value will be carried forward.

5.2. Efficacy Endpoints

Efficacy analyses will be conducted using the intent to treat analysis set. Summaries and/or analyses for PANSS, CGI-S and PSP will also be provided for the intent to treat analysis set. No multiplicity adjustments will be made. No formal statistical tests will be performed in the analysis.

5.2.1. Relapse Event

5.2.1.1. Definition of Relapse

The criteria for relapse used in R092670PSY3015 were modified for this open-label extension study to reflect the change of the trial setting and a pragmatic approach.

Relapse during the open-label extension study will be defined as 1 or more of the following:

- Psychiatric hospitalization for schizophrenia (involuntary or voluntary admission to a psychiatric hospital for decompensation of the subject’s schizophrenic symptoms);
- Emergency Department/Room/Ward visit due to a worsening of the subject’s symptoms of schizophrenia, but a psychiatric hospitalization does not occur;
- The subject inflicts deliberate self-injury or exhibits violent behavior resulting in suicide, clinically significant injury to him/her self or another person, or significant property damage;
- The subject has suicidal or homicidal ideation and aggressive behavior that is clinically significant (in frequency and severity) in the investigator’s judgment;

A relapse event will be recorded on the first date that a subject meets at least one of the above criteria. Unlike in the R092670PSY3015 study, an increase in PANSS score is not a criterion for relapse; therefore, if an increase in PANSS is noted, a second PANSS assessment to confirm the increase is not necessary.

5.2.1.2. Analysis Methods

The relapse events recorded in the clinical database will be listed by the subgroup (PSY3015 DB group). The information included in the listing includes: the type and date of the relapse event, the number of days from the start of R092670PSY3015 randomization date and from the start of R092670PSY3016 Day 1 that the relapse event occurred.

5.2.2. Positive and Negative Syndrome Scale (PANSS), PANSS Total Score and Subscale Scores

5.2.2.1. Definition

PANSS total score is defined as the sum of all 30 PANSS items with a scale of 1 to 7. It has a range of 30 to 210, with higher scores representing worse condition. The PANSS total score and derived subscales will be calculated.

The following subscale scores of PANSS based on Marder et al. will be calculated:

- Positive symptoms (range 8-56): sum of delusions, hallucinatory behavior, grandiosity, suspiciousness (Items P1, P3, P5, and P6 in the positive subscale), stereotyped thinking (Item N7 in the negative subscale), somatic concern, unusual thought content, lack of judgment/insight (Items G1, G9, and G12 in the general psychopathology subscale).
- Negative symptoms (range 7-49): sum of blunted affect, emotional withdrawal, poor rapport, passive social withdrawal, lack of spontaneity (Items N1, N2, N3, N4, and N6 in the negative subscale), motor retardation, and active social avoidance (Items G7 and G16 in the general psychopathology subscale).
- Disorganized thoughts (range 7-49): sum of conceptual disorganization (Item P2 in the positive subscale), difficulty in abstract thinking (Item N5 in the negative subscale), mannerisms and posturing, disorientation, poor attention, disturbance of volition, and preoccupation (Items G5, G10, G11, G13, and G15 in the general psychopathology subscale)
- Uncontrolled hostility/excitement (range 4-28): sum of excitement, hostility (Items P4 and P7 in the positive subscale), uncooperativeness, and poor impulse control (Items G8 and G14 in the general psychopathology subscale)
- Anxiety/Depression (range 4-28): anxiety, guilt feelings, tension, and depression (Items G2, G3, G4, and G6 in the general psychopathology subscale)

In addition, the following subscale scores of PANSS will be calculated:

- Positive subscale (range 7-49): sum of Items P1 to P7 in the positive subscale;
- Negative subscale (range 7-49): sum of Items N1 to N7 in the negative subscale;

- General psychopathology (range 16-112): sum of Items G1 to G16 in the general psychopathology subscale

Observed case and LOCF values will be derived according to the rules above. Changes from baseline will be calculated.

5.2.2.2. Analysis Methods

For PANSS total score change and subscale scores from baseline of this study to each time point (observed case and LOCF) and end point will be summarized with descriptive statistics. Plots (mean \pm SE) of changes from baseline over time will be generated. A plot will be generated for observed case and LOCF time points. These analyses will be done for the intent to treat population, as well as for the subgroups based on R092670PSY3015 DB treatment group assignment (PP3M/PP6M and PP6M/PP6M, as described in Section 2.5).

All PANSS subscales will be summarized in the same manner as PANSS total score.

5.2.3. PANSS Response and Cumulative Response Rate

5.2.3.1. Definition

Clinical response based on the PANSS total score is defined as a $\geq 20\%$ reduction from the baseline (DB) score. The percent change in PANSS total score is calculated as: $100 * \text{CHANGE} / (\text{baseline} - 30)$, with 30 being the lowest possible value. If baseline is 30, then the percent change is missing.

In addition, a 30% and 40% responder classification will be provided.

5.2.3.2. Analysis Methods

The number and percent of responders will be tabulated at each time point during the study. At end point, the point estimate will be provided. The cumulative response rate, defined as the percentage of subjects experiencing at least a given value of percent reduction from baseline in PANSS total score, will also be presented graphically. These analyses will be done for the intent to treat population, as well as for the subgroups based on R092670PSY3015 DB treatment group assignment (PP3M/PP6M and PP6M/PP6M, as described in Section 2.5).

5.2.4. Symptomatic Remission

5.2.4.1. Definition

For single observations, transitory symptomatic remission is defined as having a simultaneous score of mild or less (≤ 3 points) on the following 8 items from the PANSS: the positive-symptom items P1 (delusions), P2 (conceptual disorganization), P3 (hallucinatory behavior); the negative-symptom items N1 (blunted affect), N4 (social withdrawal), and N6 (lack of spontaneity); and the general-psychopathology items G5 (mannerisms/posturing) and G9 (unusual thought content). The number and percentage of subjects achieving symptomatic remission at Month 12, Month 24, and at the End-of-Study/Early Withdrawal visit will be presented. In addition, the number and percentage of subjects by remission status at each time point will be presented for those subjects who were in remission at baseline.

The baseline remission is carried over from the endpoint of the Double-blind Phase in study R092670PSY3015 as meeting the remission criterion. The point-wise remission status at each time point is defined as meeting the remission criterion at that particular time point.

5.2.4.2. Analysis Methods

The number and percent of subjects achieving symptomatic remission in the study will be presented.

In addition, the count and frequency of remission status at each time point will be presented for subjects who are Baseline remitters. These analyses will be done for the intent to treat population, as well as for the subgroups based on R092670PSY3015 DB (PP3M/PP6M and PP6M/PP6M, as described in Section 2.5).

5.2.5. Clinical Global Impression - Severity of Schizophrenia (CGI-S)

5.2.5.1. Definition

The CGI-S is a categorical rating of the subject's severity of illness on a 7-point scale (1=not ill, 2=very mild, 3=mild, 4=moderate, 5=marked, 6=severe, 7=extremely severe). Observed case and LOCF values will be derived according to the rules above. Changes from BASELINE will be calculated based on the numerical scores.

5.2.5.2. Analysis Methods

At each assessment time point during the study and at End Point, frequency count of score by severity (mild, moderate, etc.) will be produced for both the observed case and LOCF data. At each visit, descriptive statistics (mean, standard deviation, minimum and maximum) of the numerical scores and change from baseline will also be presented. The Frequency distributions and descriptive statistics of the CGI-S score and the change from baseline will be provided for each visit for the intent to treat analysis set.

5.2.6. Personal and Social Performance Scale (PSP)

5.2.6.1. Definition

The PSP score assesses the degree of difficulty a subject exhibits over a 1-month period within four domains of behavior: socially useful activities, personal and social relationships, self-care, and disturbing and aggressive behavior. The total score ranges from 1 to 100, divided into 10 equal intervals to rate the degree of difficulty (absent to very severe) in each of the 4 domains. Changes from baseline will be calculated.

5.2.6.2. Analysis Methods

The PSP will be analyzed in the same manner as PANSS total score.

6. SAFETY

All safety analyses and summaries will be based on the safety analysis set.

6.1. Adverse Events

Adverse events (AEs) are coded using the Medical Dictionary for Regulatory Activities (MedDRA), Version 21.1 or later versions.

A treatment-emergent AE (TEAE) is an event that is new in onset or increased in severity following the first dose of study medication. For study R092670PSY3016 if an event starts prior to and ends after the initiation of R092670PSY3016 study medication it will be considered treatment emergent only if the severity increases after the start of study medication. The AE preferred term summary will also be provided by sex, age, race (White, Black, Asian, other) as week as subgroup at the start of the study. In addition, TEAEs will be summarized by severity and relationship to study drug using the preferred term. Serious TE adverse events (TESAEs) and adverse events that lead to study discontinuation will be summarized separately by preferred term.

Data listings will also be generated for deaths, SAEs, AEs with outcome of death, and discontinuations due to AEs. Any SAEs or AEs related to death that occur before the end of study will be included in the tabulations.

All the AE listings will include all the AEs captured in the clinical database, even those AEs started in the previous R092670PSY3015 study. A study day relative to the first dose day of the PSY3016 study will be included in the listing that indicates if the AE is treatment emergent (a negative study day means that the AE is not treatment emergent for the study).

The number (%) of subjects with TEAE, TESA, TEAEs that lead to study discontinuation, and TEAEs resulting in death will be summarized separately by system organ class and preferred term. TEAEs will also be summarized by severity and relationship to study drug as determined by the investigator using the preferred term.

TEAE summaries will also be provided semi-annually (every 6-month) for the study. The entire 2-year study will be divided as for time intervals: days 1-182, 183-364, 365-546, 547-end of study. An event that will be considered as treatment-emergent during that time interval, either if the event starts during that interval, or it starts before the time intervals but the severity increases on or after the start date of the interval.

Data listings will be generated for deaths, other SAEs, and discontinuations due to AEs. A listing of AEs with onset prior to Day 1 or poststudy will be provided.

A listing of Covid 19 pandemic related AE will be provided. Reported terms will be "Covid".

6.1.1. EPS-Related Adverse Events

Treatment-emergent AEs that are related to extrapyramidal symptoms (EPS) will be summarized. The EPS AEs will be categorized into 5 subgroups (tremor, dystonia, hyperkinesia, parkinsonism, and dyskinesia) that include the following MedDRA v.22.1 preferred terms:

Tremor (preferred terms: Tremor, Essential tremor, Intention tremor)

Dystonia (preferred terms: Oculogyration, Oculogyric crisis, Trismus, Tongue spasm, Tongue paralysis, Cervical spasm, Emprosthotonus, Myotonia, Pleurothotonus, Risus sardonicus, Muscle spasms, Blepharospasm, Dystonia, Opisthotonus, Torticollis, Facial spasm, Muscle contracture.

Hyperkinesia (preferred terms: Akathisia, Hyperkinesia, Periodic limb movement disorder, Restless legs syndrome, Restlessness)

Parkinsonism (preferred terms: Hypertonia, Bradykinesia, Cogwheel rigidity, Drooling, Musculoskeletal stiffness, Akinesia, Hypokinesia, Nuchal rigidity, Parkinsonian gait, Parkinsonian rest tremor, Parkinsonism, Muscle rigidity, Muscle tightness, Glabellar reflex abnormal, On and off phenomenon, Parkinson's disease, Parkinsonian crisis, Extraparamidal disorder, Reduced Facial Expression).

Dyskinesia (preferred terms: Dyskinesia, Muscle contractions involuntary, Movement disorder, Muscle twitching, Athetosis, Chorea, Choreoathetosis, Tardive dyskinesia, Myoclonus, Protrusion tongue, Rabbit syndrome, Buccoglossal syndrome).

The incidence for each EPS subgroup will be calculated.

6.1.2. Diabetes Mellitus and Hyperglycaemia-Related Adverse Events

Treatment-emergent adverse events that may be associated with diabetes mellitus and hyperglycaemia will be summarized. MedDRA preferred terms related to diabetes mellitus and hyperglycaemia are defined as follows:

- Acquired lipoatrophic diabetes, Diabetic hepatopathy, Fulminant type 1 diabetes mellitus, Hyperglycaemic seizure, Hyperglycaemic unconsciousness, Type 3 diabetes mellitus, Blood 1,5-anhydroglucitol decreased, Blood glucose increased, Cardiometabolic syndrome, Diabetes complicating pregnancy, Diabetes mellitus, Diabetes mellitus inadequate control, Diabetes with hyperosmolarity, Diabetic coma, Diabetic hyperglycaemic coma, Diabetic hyperosmolar coma, Diabetic ketoacidosis, Diabetic ketoacidotic hyperglycaemic coma, Fructosamine increased, Gestational diabetes, Glucose tolerance impaired, Glucose tolerance impaired in pregnancy, Glucose urine present, Glycosuria, Glycosuria during pregnancy, Glycosylated haemoglobin increased, Hyperglycaemia, Hyperglycaemic hyperosmolar nonketotic syndrome, Impaired fasting glucose, Insulin resistance, Insulin resistant diabetes, Insulin-requiring type 2 diabetes mellitus, Ketoacidosis, Ketonuria, Ketosis, Latent autoimmune diabetes in adults, Neonatal diabetes mellitus, Pancreatogenous diabetes, Type 1 diabetes mellitus, Type 2 diabetes mellitus, Urine ketone body present.

6.1.3. Potentially Prolactin-Related Adverse Events

Treatment-emergent adverse events that may be associated with changes in serum prolactin levels will be summarized. MedDRA preferred terms considered as being potentially related to serum prolactin levels are defined as below:

- Amenorrhoea, Amenorrhoea-galactorrhoea syndrome, Galactorrhoea, Gynaecomastia, Hyperprolactinaemia, Oligomenorrhoea, Blood prolactin increased, Anorgasmia, Ejaculation delayed, Ejaculation disorder, Erectile dysfunction, Female sexual dysfunction, Libido decreased, Libido disorder, Loss of libido, Male sexual dysfunction, Orgasm abnormal, Orgasmic sensation decreased, Sexual dysfunction, Breast discharge, Breast enlargement, Breast pain, Prolactin-producing pituitary tumour, Blood prolactin, Blood prolactin abnormal, Breast tenderness, Menstruation irregular.

These adverse events will also be tabulated separately by sex.

6.1.4. Other Adverse Events of Special Interest

Incidence of other treatment-emergent adverse events of clinical interest will be presented. Search terms relevant to the adverse events of clinical importance are listed in Attachment 1. These terms were classified into the following groups:

- Suicidality, Aggression and Agitation, Somnolence and Sedation, Seizures and Convulsions, Neuroleptic Malignant Syndrome, Cardiac Arrhythmias, Orthostatic Hypotension, Adverse Events Suggestive of Proarrhythmic Potential, Ischemia-related, Potential Rhabdomyolysis-related, Overdose-related, Weight Gain-related, Tachycardia-related, Injection-site Related, QT Prolongation Related, and Acute Kidney Injury Related.

6.1.5. Columbia Suicide Severity Rating Scale (C-SSRS)

The C-SSRS data will be listed because the data are only collected when investigators determine there is a need.

The C-SSRS is a clinical interview providing an evaluation of suicidal ideation, intent, and behavior. Data are collected using the C-SSRS Baseline Version at Screening, and all the post-screening data is collected using the C-SSRS ‘Since Last Visit Version’.

Using the C-SSRS, potentially suicide-related events will be categorized using the following scores:

Suicidal Ideation (1-5)

- 1: Wish to be dead
- 2: Non-specific active suicidal thoughts

- 3: Active suicidal ideation with any methods (not plan) without intent to act
- 4: Active suicidal ideation with some intent to act, without specific plan
- 5: Active suicidal ideation with specific plan and intent

Suicidal Behavior (6-10)

- 6: Preparatory acts or behavior
- 7: Aborted attempt
- 8: Interrupted attempt
- 9: Non-fatal suicide attempt
- 10: Completed suicide

If no events qualify for a score of 1 to 10, a score of 0 will be assigned (0=“no event that can be assessed on the basis of C-SSRS”).

6.2. Clinical Laboratory Tests

Clinical laboratory tests will be done at baseline (the end point of Study R092670PSY3015), Day 365 (one-year), and Day 730 (end point of the study). Descriptive statistics (mean, standard deviation, median, minimum, and maximum) will be provided by the subgroups based on R092670 DB (PP3M/PP6M, PP6M/PP6M) and a total PP6M. Changes from baseline at the end of study will be summarized.

Clinical laboratory test values are to be considered “treatment-emergent markedly abnormal” (TEMA) using the criteria defined by the Sponsor listed in Attachment 2. The identification of TEMA laboratory values is based on the postbaseline value being out of range while the baseline value is either missing or within the range given in Attachment 2. If postbaseline laboratory results are above the upper limit and the baseline value is below the lower limit, then the postbaseline abnormality will also be considered TEMA. The same applies to the postbaseline value being below the lower limit with the baseline value being above the upper limit.

The frequency and percent of subjects with any TEMA laboratory values during the study will be presented. Clinical laboratory tests that meet the criteria for markedly abnormal will be listed by subject.

For prolactin laboratory results, values over time and treatment-emergent abnormal results based on the laboratory reference range will be presented by sex during the study. Median prolactin values will be plotted over time.

6.3. Vital Signs

Vital signs will be assessed at baseline (the end point of Study R092670PSY3015), Day 365 (one-year), and Day 730 (end point of the study). Blood pressure and pulse rate measurements will be assessed while subjects are in the supine/sitting/standing positions. If an automated device is not available, all manual pulse rate measurement should be measured for a full minute each time to minimize the effects of pulse rate variability. BMI will be calculated from measurements of height and weight, $(\text{kg})/(\text{height (m)})^2$.

Actual and change from baseline in vital signs will be summarized at each assessment time point including endpoint.

For body weight, the incidence of increases/decreases from baseline to endpoint by $\geq 7\%$ will be summarized. For each of the vital signs parameters, the following categories for abnormality will be tabulated and presented with percentages at each assessment time point and at end point. The tabulation of weight abnormality classes will be repeated by baseline BMI category (Baseline) [Normal < 25; Overweight = 25 - < 30; Obese = ≥ 30].

Treatment-emergent abnormality categories for vital signs are defined as follows:

	Post-baseline value outside of normal limit if:	
	Abnormally low	Abnormally high
Pulse (bpm)	A decrease from baseline of ≥ 15 to a value ≤ 50	An increase from baseline of ≥ 15 to a value ≥ 100
Systolic BP (mmHg)	A decrease from baseline of ≥ 20 to a value ≤ 90	An increase from baseline of ≥ 20 to a value ≥ 180
Diastolic BP (mmHg)	A decrease from baseline of ≥ 15 to a value ≤ 50	An increase from baseline of ≥ 15 to a value ≥ 105
Body Weight (kg)	A decrease from baseline of $\geq 7\%$	An increase from baseline of $\geq 7\%$

BP = blood pressure

Physical examinations will be performed at baseline and the end of study, including examination of general appearance, skin, neck, eyes, ears, nose, throat, heart, lungs, abdomen, lymph nodes, musculoskeletal, renal/urogenital, and nervous system. The data will be listed.

6.4. Electrocardiogram

The ECG data will only be listed because the data are only collected when investigators see a need. Only the data collected in R002670PSY3016 will be displayed. No ECG data from R092670PSY3015 (as described in Section 2.1.2) will be added to the data listing.

The local readers will read and analyze the 12-lead ECGs. The ECG variables that will be analyzed are heart rate, RR interval, PR interval, QRS interval, QT interval, and QT corrected (QTc) interval using the following correction methods: QTcF (Fridericia), QTc linear (Sagie) and QTcB (Bazett).

The QT corrected interval values are based on the following formulas:

- Fridericia: $QTcF(\text{ms}) = QT(\text{ms}) * (\text{HR}(\text{bpm})/60)^{1/3}$;
- Bazett: $QTcB(\text{ms}) = QT(\text{ms}) * (\text{HR}(\text{bpm})/60)^{1/2}$.

The corrected QTcF and QTcB intervals will be provided by the local ECG reader.

6.5. Extrapyramidal Symptoms (EPS) Assessment Scales

6.5.1. Abnormal Involuntary Movement Scale

The AIMS rates 10 items for dyskinesia on a 5-point scale from 0 to 4, relating to facial and oral movements, extremity movements, trunk movements, and global judgments. Summing items 1 to 7 produces an AIMS total score (range: 0 to 28). No imputation will be performed for missing items; if any of the items 1 to 7 is not recorded the total score will not be calculated. Global judgment items 8 (global impression), 9 (incapacitation), and 10 (awareness) will be summarized separately. Higher scores indicate more severe condition (or higher awareness for item 10) in abnormal involuntary movements. Two additional items (11 and 12) consist of binary questions (0=no, 1=yes) and are related to the subject's dental status.

Descriptive statistics for the AIMS total score will be presented at each time point and end point. Changes from baseline will be summarized at each time point and end point. The frequency distribution for each item will be presented at each time point and end point.

6.5.2. Barnes Akathisia Rating Scale

The BARS data will be listed because the data are only collected when investigators determine there is a need.

The BARS includes an objective rating and 2 subjective ratings (awareness of restlessness and reported distress related to restlessness) of symptoms of akathisia on a 4-point scale ranging from 0 to 3, and a global clinical rating of akathisia on a 6-point scale ranging from 0 (absent) to 5 (severe). Higher scores denote worsening akathisia.

6.5.3. Simpson and Angus Rating Scale

The SAS data will be listed because the data are only collected when investigators determine there is a need.

The SAS rates 10 items for general EPS on a 5-point scale from 0 (normal) to 4 (extreme), including gait, arm dropping, shoulder shaking, elbow rigidity, wrist rigidity, leg pendulousness, head rotation, Glabellar tap, tremor, and salivation. The SAS global score is the average score (total sum of item scores divided by the number of items) and ranges between 0 and 4. No imputation will be performed for missing items; if any item is left blank the global score will not be calculated. Higher scores denote more severe condition of EPS.

6.6. Extrapyramidal Symptoms Based on Rating Scales and Use of Anticholinergic Medication

Treatment-emergent EPS will also be assessed by various rating scales' incidence and use of anticholinergic medication. The incidence of dyskinesia is defined as the percentage of subjects with a score ≥ 3 on any of the first 7 items or a score ≥ 2 on 2 or more of any of the first 7 items of AIMS at any time. The incidence of use of anticholinergic medication is defined as the use of anti-EPS medication. The identification of treatment-emergent is based on a value occurring after the first injection during the study meeting the EPS criteria as defined above while the baseline value is either missing or does not meet the criteria.

An exploratory analysis will be performed for Tardive dyskinesia (TD). TD is a serious movement disorder that manifests in many different forms, but typically involves repetitive uncontrolled involuntary movements of the face, jaw and lips.¹ The occurrence of TD is associated with long-term treatment with several medication classes, including antimuscarinics, toxins substances of abuse and antipsychotic medication.

The incidence of TD will be evaluated by two methods: applying Schooler-Kane standardized research criteria for TD (same as dyskinesia defined in the first paragraph of the section) based abnormal involuntary movement scale (AIMS) score and using spontaneous reporting of AE of TD with one preferred term: "tardive dyskinesia".

6.7. Injection Site Evaluations

The investigator will evaluate the injection site for redness, swelling, and induration (0=absent, 1=mild, 2=moderate, 3=severe) and the subject will assess the intensity of the pain of the injection using a visual analogue scale (VAS 0-100 mm). Frequency distributions will be provided for the investigator evaluations of the injection site and descriptive statistics (N, mean, standard deviation, median, and range) will be provided for the subject evaluation of the injection pain. The results will be presented at each time point and end point.

6.8. Mental Status Examination

For each of nine domains of the Mental Status Examination, the percent of normal and abnormal will be summarized at each scheduled visit. The nine domains are: General Appearance, Behavior, Mood, Affect, Speech, Thought Process, Thought Content, Perceptions, and Insight.

When suicide ideation is present, a C-SSRS must also be performed at that visit. The C-SSRS data will be listed (also see Section 6.1.5).

7. PHARMACOKINETICS AND PHARMACODYNAMICS

No PK/PD data are collected in this study.

8. PHARMACOGENOMICS

No pharmacogenomics analysis for this study.

9. HEALTH ECONOMICS

9.1. Healthcare Resource Utilization Questionnaire

Descriptive statistics will be provided based on the Healthcare Resource Utilization Questionnaire (HRUQ).

10. REFERENCES

1. Gopal S, Xu H, Bossie C, Buron JA, FU DJ, Savitz A, et al, Incidence of Tardive Dyskinesia: A Comparison of Long-acting Injectable and Oral Paliperidone Clinical Trial Databases, 2014, *International journal of practice*, 68(12), pp 1514-1522.

11. ATTACHMENTS

ATTACHMENT 1: SPECIAL INTEREST ADVERSE EVENTS

MAGCAT	AEDECOD
AGITATION	Agitation
AGITATION	Psychomotor agitation
AGITATION	Psychomotor hyperactivity
AGGRESSION	Aggression
AGGRESSION	Homicidal ideation
AGGRESSION	Hostility
AGGRESSION	Homicide

MCARCAT	AEDECOD
CARDIOVASCULAR	Torsade de pointes
CARDIOVASCULAR	Sudden death
CARDIOVASCULAR	Ventricular tachycardia
CARDIOVASCULAR	Ventricular fibrillation
CARDIOVASCULAR	Ventricular flutter

MISCCAT	AEDECOD
ISCHAEMIA	Acute coronary syndrome
ISCHAEMIA	Acute myocardial infarction
ISCHAEMIA	Angina pectoris
ISCHAEMIA	Angina unstable
ISCHAEMIA	Subendocardial ischaemia
ISCHAEMIA	Myocardial ischaemia
ISCHAEMIA	Cardiac ischaemia
ISCHAEMIA	Coronary artery disease
ISCHAEMIA	Coronary artery insufficiency
ISCHAEMIA	Myocardial infarction
ISCHAEMIA	Myocardial ischaemia
ISCHAEMIA	Papillary muscle infarction
ISCHAEMIA	Postinfarction angina
ISCHAEMIA	Prinzmetal angina
ISCHAEMIA	Silent myocardial infarction
ISCHAEMIA	Subendocardial ischaemia
ISCHAEMIA	Amaurosis fugax
ISCHAEMIA	Brain stem infarction
ISCHAEMIA	Brain stem ischaemia
ISCHAEMIA	Cerebellar infarction
ISCHAEMIA	Cerebral infarction
ISCHAEMIA	Cerebral ischaemia
ISCHAEMIA	Cerebrovascular accident
ISCHAEMIA	Cerebrovascular disorder

ISCHAEMIA	Cerebrovascular insufficiency
ISCHAEMIA	Embolic cerebral infarction
ISCHAEMIA	Embolic stroke
ISCHAEMIA	Haemorrhagic cerebral infarction
ISCHAEMIA	Haemorrhagic stroke
ISCHAEMIA	Ischaemic cerebral infarction
ISCHAEMIA	Ischaemic stroke
ISCHAEMIA	Subclavian steal syndrome
ISCHAEMIA	Thrombotic stroke
ISCHAEMIA	Lacunar infarction
ISCHAEMIA	Reversible ischaemic neurological deficit
ISCHAEMIA	Transient ischaemic attack
ISCHAEMIA	Vascular encephalopathy
ISCHAEMIA	Vertebrobasilar insufficiency
ISCHAEMIA	Ischaemia
ISCHAEMIA	Ischaemic cardiomyopathy
ISCHAEMIA	Thrombotic cerebral infarction
ISCHAEMIA	Cerebral microangiopathy
ISCHAEMIA	Cerebellar ischaemia

MORTHCAT	AEDECOD
Orthostatic Hypotension	Blood pressure orthostatic abnormal
Orthostatic Hypotension	Blood pressure orthostatic decreased
Orthostatic Hypotension	Dizziness postural
Orthostatic Hypotension	Orthostatic hypotension
Orthostatic Hypotension	Orthostatic intolerance
Orthostatic Hypotension	Orthostatic heart rate response increased
SYNCOPE	Syncope
SYNCOPE	Presyncope
SYNCOPE	Circulatory collapse
SYNCOPE	Loss of consciousness

MOVERCAT	AEDECOD
Overdose	Accidental overdose
Overdose	Overdose

MQTCAT	AEDECOD
TORSADE DE POINTES	Torsade de pointes
SUDDEN DEATH	Cardiac arrest
SUDDEN DEATH	Cardiac death
SUDDEN DEATH	Cardio-respiratory arrest
SUDDEN DEATH	Sudden death

SUDDEN DEATH	Sudden cardiac death
SUDDEN DEATH	Ventricular asystole
VENTRICULAR TACHYCARDIA	Accelerated idioventricular rhythm
VENTRICULAR TACHYCARDIA	Ventricular tachycardia
VENTRICULAR TACHYCARDIA	Ventricular tachyarrhythmia
VENTRICULAR FIBRILLATION AND FLUTTER	Cardiac fibrillation
VENTRICULAR FIBRILLATION AND FLUTTER	Ventricular arrhythmia
VENTRICULAR FIBRILLATION AND FLUTTER	Ventricular fibrillation
VENTRICULAR FIBRILLATION AND FLUTTER	Ventricular flutter

MRHACAT	AEDECOD
RHABDOMYOLYSIS	Rhabdomyolysis
RHABDOMYOLYSIS	Blood creatine phosphokinase increased
RHABDOMYOLYSIS	Myoglobinuria
RHABDOMYOLYSIS	Myoglobin urine

MSEIZCAT	AEDECOD
SEIZURES	Acquired epileptic aphasia
SEIZURES	Alcoholic seizure
SEIZURES	Atonic seizures
SEIZURES	Atypical benign partial epilepsy
SEIZURES	Automatism epileptic
SEIZURES	Baltic myoclonic epilepsy
SEIZURES	Clonic convulsion
SEIZURES	Focal dyscognitive seizures
SEIZURES	Seizure
SEIZURES	Convulsion in childhood
SEIZURES	Neonatal seizure
SEIZURES	Convulsions local
SEIZURES	Convulsive threshold lowered
SEIZURES	Déjà vu
SEIZURES	Dreamy state
SEIZURES	Drug withdrawal convulsions
SEIZURES	Eclampsia
SEIZURES	Epilepsy
SEIZURES	Epilepsy congenital
SEIZURES	Epileptic aura
SEIZURES	Epileptic psychosis
SEIZURES	Febrile convulsion
SEIZURES	Frontal lobe epilepsy
SEIZURES	Generalised non-convulsive epilepsy
SEIZURES	Grand tonic-clonic seizure
SEIZURES	Hypoglycaemic seizure

SEIZURES	Infantile spasms
SEIZURES	Lafora's myoclonic epilepsy
SEIZURES	Lennox-Gastaut syndrome
SEIZURES	Myoclonic epilepsy
SEIZURES	Myoclonic epilepsy and ragged-red fibres
SEIZURES	Partial seizures with secondary generalisation
SEIZURES	Petit mal epilepsy
SEIZURES	Post-traumatic epilepsy
SEIZURES	Focal dyscognitive seizures
SEIZURES	Seizure anoxic
SEIZURES	Simple partial seizures
SEIZURES	Status epilepticus
SEIZURES	Sudden unexplained death in epilepsy
SEIZURES	Temporal lobe epilepsy
SEIZURES	Tonic clonic movements
SEIZURES	Tonic convulsion
SEIZURES	Uncinate fits

MSOMCAT	AEDECOD
SOMNOLENCE	Somnolence
SOMNOLENCE	Sedation
SOMNOLENCE	Lethargy
SOMNOLENCE	Hypersomnia

MSUICAT	AEDECOD
SUICIDALITY	Depression suicidal
SUICIDALITY	Intentional self-injury
SUICIDALITY	Poisoning deliberate
SUICIDALITY	Self-injurious ideation
SUICIDALITY	Completed suicide
SUICIDALITY	Suicidal ideation
SUICIDALITY	Suicide attempt
SUICIDALITY	Suicidal behaviour

NNMSCAT	AEDECOD
NMS	Hyperthermia malignant
NMS	Neuroleptic malignant syndrome
NMS	Serotonin syndrome
NMS	Body temperature increased
NMS	Hyperpyrexia
NMS	Pyrexia
NMS	Catatonia
NMS	Dyskinesia
NMS	Dystonia
NMS	Freezing phenomenon
NMS	Hyperkinesia
NMS	Hypertonia
NMS	Muscle necrosis
NMS	Muscle rigidity
NMS	Oculogyric crisis
NMS	Opisthotonus
NMS	Rhabdomyolysis
NMS	Altered state of consciousness
NMS	Autonomic nervous system imbalance
NMS	Blood creatine phosphokinase abnormal
NMS	Blood creatine phosphokinase increased
NMS	Blood creatine phosphokinase MM increased
NMS	Blood pressure abnormal
NMS	Blood pressure decreased
NMS	Blood pressure fluctuation
NMS	Blood pressure increased
NMS	Cardiovascular insufficiency
NMS	Coma
NMS	Confusional state
NMS	Consciousness fluctuating
NMS	Delirium
NMS	Depressed level of consciousness
NMS	Disorientation
NMS	Extrapyramidal disorder
NMS	Heart rate abnormal
NMS	Heart rate increased
NMS	Hyperhidrosis

NMS	Hypertension
NMS	Hypotension
NMS	Labile blood pressure
NMS	Labile hypertension
NMS	Leukocytosis
NMS	Loss of consciousness
NMS	Muscle enzyme increased
NMS	Myoclonus
NMS	Myoglobin blood increased
NMS	Myoglobin blood present
NMS	Myoglobin urine present
NMS	Myoglobinaemia
NMS	Myoglobinuria
NMS	Parkinsonian crisis
NMS	Parkinsonian rest tremor
NMS	Parkinsonism
NMS	Parkinson's disease
NMS	Stupor
NMS	Tachycardia
NMS	Tremor
NMS	Unresponsive to stimuli
NMS	White blood cell count abnormal
NMS	White blood cell count increased

MTACCAT	AEDECOD
Tachycardia	Heart rate increased
Tachycardia	Sinus tachycardia
Tachycardia	Tachycardia
Tachycardia	Tachycardia paroxysmal

MWEICAT	AEDECOD
WEIGHT GAIN	Increased appetite
WEIGHT GAIN	Hyperphagia
WEIGHT GAIN	Obesity
WEIGHT GAIN	Overweight
WEIGHT GAIN	Abnormal weight gain
WEIGHT GAIN	Waist circumference increased
WEIGHT GAIN	Weight increased

MINJCAT	AEDECOD
INJECTION SITE	Injection related reaction
INJECTION SITE	Injection site abscess
INJECTION SITE	Injection site abscess sterile
INJECTION SITE	Injection site anaesthesia
INJECTION SITE	Injection site atrophy
INJECTION SITE	Injection site bruising
INJECTION SITE	Injection site calcification
INJECTION SITE	Injection site cellulitis
INJECTION SITE	Injection site coldness
INJECTION SITE	Injection site cyst
INJECTION SITE	Injection site dermatitis
INJECTION SITE	Injection site exfoliation
INJECTION SITE	Injection site discharge
INJECTION SITE	Injection site discolouration
INJECTION SITE	Injection site discomfort
INJECTION SITE	Injection site eczema
INJECTION SITE	Injection site erosion
INJECTION SITE	Injection site erythema
INJECTION SITE	Injection site extravasation
INJECTION SITE	Injection site fibrosis
INJECTION SITE	Injection site haematoma
INJECTION SITE	Injection site haemorrhage
INJECTION SITE	Injection site hypersensitivity
INJECTION SITE	Injection site hypertrophy
INJECTION SITE	Injection site induration
INJECTION SITE	Injection site infection
INJECTION SITE	Injection site inflammation
INJECTION SITE	Injection site injury
INJECTION SITE	Injection site irritation
INJECTION SITE	Injection site ischaemia
INJECTION SITE	Injection site lymphadenopathy
INJECTION SITE	Injection site mass
INJECTION SITE	Injection site movement impairment
INJECTION SITE	Injection site necrosis
INJECTION SITE	Injection site nerve damage
INJECTION SITE	Injection site nodule
INJECTION SITE	Injection site oedema

INJECTION SITE	Injection site pain
INJECTION SITE	Injection site pallor
INJECTION SITE	Injection site papule
INJECTION SITE	Injection site paraesthesia
INJECTION SITE	Injection site phlebitis
INJECTION SITE	Injection site photosensitivity reaction
INJECTION SITE	Injection site pruritus
INJECTION SITE	Injection site pustule
INJECTION SITE	Injection site rash
INJECTION SITE	Injection site reaction
INJECTION SITE	Injection site scab
INJECTION SITE	Injection site scar
INJECTION SITE	Injection site swelling
INJECTION SITE	Injection site thrombosis
INJECTION SITE	Injection site ulcer
INJECTION SITE	Injection site urticaria
INJECTION SITE	Injection site vesicles
INJECTION SITE	Injection site warmth
INJECTION SITE	Musculoskeletal pain
INJECTION SITE	Pain in extremity
INJECTION SITE	Puncture site pain
INJECTION SITE	Administration site pain
INJECTION SITE	Application site pain
INJECTION SITE	Injection site dryness
INJECTION SITE	Injection site dysaesthesia
INJECTION SITE	Injection site exfoliation
INJECTION SITE	Injection site granuloma
INJECTION SITE	Injection site hyperaesthesia
INJECTION SITE	Injection site laceration
INJECTION SITE	Injection site macule
INJECTION SITE	Injection site plaque
INJECTION SITE	Injection site streaking
INJECTION SITE	Injection site vasculitis

MQTPLCAT	AEDECOD
QT PROLONGATION	Electrocardiogram QT interval abnormal
QT PROLONGATION	Electrocardiogram QT prolonged
QT PROLONGATION	Long QT syndrome

QT PROLONGATION	Long QT syndrome congenital
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MAKICAT	AEDECOD
ACUTE RENAL FAILURE (SMQ)	ACUTE PHOSPHATE NEPHROPATHY
ACUTE RENAL FAILURE (SMQ)	ACUTE KIDNEY INJURY
ACUTE RENAL FAILURE (SMQ)	ANURIA
ACUTE RENAL FAILURE (SMQ)	AZOTAEMIA
ACUTE RENAL FAILURE (SMQ)	CONTINUOUS HAEMODIAFILTRATION
ACUTE RENAL FAILURE (SMQ)	DIALYSIS
ACUTE RENAL FAILURE (SMQ)	HAEMODIALYSIS
ACUTE RENAL FAILURE (SMQ)	HAEMOFILTRATION
ACUTE RENAL FAILURE (SMQ)	NEONATAL ANURIA
ACUTE RENAL FAILURE (SMQ)	NEPHROPATHY TOXIC
ACUTE RENAL FAILURE (SMQ)	OLIGURIA
ACUTE RENAL FAILURE (SMQ)	PERITONEAL DIALYSIS
ACUTE RENAL FAILURE (SMQ)	PRERENAL FAILURE
ACUTE RENAL FAILURE (SMQ)	RENAL FAILURE
ACUTE RENAL FAILURE (SMQ)	RENAL FAILURE NEONATAL
ACUTE RENAL FAILURE (SMQ)	RENAL IMPAIRMENT
ACUTE RENAL FAILURE (SMQ)	RENAL IMPAIRMENT NEONATAL

ATTACHMENT 2: CRITERIA OF MARKEDLY ABNORMAL LABORATORY VALUES

Laboratory Parameter[unit]	Markedly Abnormal Limits	
	Low	High
Albumin [g/L]	24	60
Alkaline phosphatase [U/L]	N/A	250
Alanine Aminotransaminase (SGPT) [U/L]	N/A	200
Aspartate Aminotransaminase (SGOT) [U/L]	N/A	250
Bicarbonate [mmol/L]	15.1	34.9
Blood urea nitrogen [mmol/L]	N/A	17.9
Calcium [mmol/L]	1.5	3
Chloride [mmol/L]	94	112
CCI [redacted]	█	█
Creatinine [μ mol/L]	N/A	265.2
Gamma glutamyl transferase [U/L]	N/A	300
Glucose [mmol/L]	2.2	16.7
CCI [redacted]	█	█
CCI [redacted]	█	█
Lactate Dehydrogenase[U/L]	N/A	500
Phosphate [mmol/L]	0.7	2.6
Potassium [mmol/L]	3.0	5.8
Sodium [mmol/L]	125	155
Bilirubin, total [μ mol/L]	N/A	51.3
Protein, total (g/L)	50	N/A
CCI [redacted]	█	█
Urate [μ mol/L]	89.2	594.8
Hematocrit (fraction) -- female	0.28	0.5
-- male	0.24	0.55
Hemoglobin [g/L]	80	190
Neutrophils, Segmented [%]	30	90
Monocytes [%]	N/A	20
Eosinophils [%]	N/A	10
Basophils [%]	N/A	6
Lymphocytes [%]	10	60
Platelet count [$\times 10^9$ /L]	100	600
Erythrocytes [$\times 10^{12}$ /L] -- female	3.0	5.5
-- male	3.0	6.4
Leukocytes [$\times 10^9$ /L]	2.5	15.0

Note: The same limits apply to both males and females unless gender is indicated; N/A = Not applicable.