## GigaScience

## Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data --Manuscript Draft--

Manuscript Number:	GIGA-D-23-00161R1
Full Title:	Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data
Article Type:	Research
Funding Information:	
Abstract:	Background Sequencing of SARS-CoV-2 RNA from wastewater samples has emerged as a valuable tool for detecting the presence and relative abundances of SARS-CoV-2 variants in a community. By analyzing the viral genetic material present in wastewater, researchers and public health authorities can gain early insights into the spread of virus lineages and emerging mutations. Constructing reference datasets from known SARS-CoV-2 lineages and their mutation profiles has become state-of-the-art for assigning viral lineages and their relative abundances from wastewater sequencing data. However, selecting reference sequences or mutations directly affect the predictive power. Results Here, we show the impact of a mutation- and sequence-based reference reconstruction for SARS-CoV-2 abundance estimation. We benchmark three data sets: 1) synthetic "spike-in" mixtures, 2) German wastewater samples from early 2021, mainly comprising Alpha, and 3) samples obtained from wastewater at an international airport in Germany from the end of 2021, including first signals of Omicron. The two approaches differ in sub-lineage detection, with the marker-mutation-based method, in particular, being challenged by the increasing number of mutations and lineages. However, the estimations of both approaches depend on selecting representative references and optimized parameter settings. By performing parameter escalation experiments, we demonstrate the effects of reference size and alternative allele frequency cutoffs for abundance estimation. We show how different parameter settings can lead to different results for our test data sets, and illustrate the effects of virus lineage composition of wastewater samples and references. Conclusions Our study highlights current computational challenges, focusing on the general reference design, which directly impacts abundance allocations. We illustrate advantages and disadvantages that may be relevant for further developments in the wastewater community and in the context of defining robust quality metric
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Response to Reviewers:	Dear Dr. Zhou, Dear reviewers,
	Thank you again for handling our manuscript titled "Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data" (GIGA-D-23-00161).
	We appreciate the constructive comments of the two reviewers and are pleased to attach the revised version of the manuscript along with our detailed responses to the reviewers' comments. We attached our detailed response letter as an additional PDF. Please let us know if that did not work.
	We look forward to the possibility of our study being published in GigaScience and believe it will make a valuable contribution to the ongoing efforts in understanding and utilizing wastewater sequencing data for public health surveillance.
	Thank you for considering our revised manuscript. We are eager to see it contribute to the scientific community and help advance our understanding of SARS-CoV-2 dynamics in wastewater-based epidemiology.
	Best,
	Martin Hölzer (on behalf of all co-authors)
Additional Information:	
Question	Response
Are you submitting this manuscript to a special series or article collection?	No
Experimental design and statistics	Yes
Full details of the experimental design and statistical methods used should be given in the Methods section, as detailed in our Minimum Standards Reporting Checklist. Information essential to interpreting the data presented should be made available in the figure legends.	
Have you included all the information requested in your manuscript?	
Resources	Yes
A description of all resources used, including antibodies, cell lines, animals and software tools, with enough information to allow them to be uniquely identified, should be included in the Methods section. Authors are strongly	

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Availability of data and materials	Yes
All datasets and code on which the	
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(c:/texlive/2023/texmf-dist/tex/latex/lastpage/lastpage2e.sty
Package: lastpage2e 2023/10/14 v2.0e Decide which 2e lastpage version to
use (H
MM)
(c:/texlive/2023/texmf-dist/tex/latex/lastpage/lastpagemodern.sty
Package: lastpagemodern 2023-10-14 v2.0e Refers to last page's name (HMM;
JPG)
\c@lastpagecount=\count268
)
)) (c:/texlive/2023/texmf-dist/tex/latex/graphics/rotating.sty
Package: rotating 2016/08/11 v2.16d rotated objects in LaTeX
(c:/texlive/2023/texmf-dist/tex/latex/base/ifthen.sty
Package: ifthen 2022/04/13 v1.1d Standard LaTeX ifthen package (DPC)
)
\c@r@tfl@t=\count269
\rotFPtop=\skip68
\rotFPbot=\skip69
\rot@float@box=\box55
\rot@mess@toks=\toks31
) (c:/texlive/2023/texmf-dist/tex/latex/graphics/lscape.sty
Package: lscape 2020/05/28 v3.02 Landscape Pages (DPC)
) (c:/texlive/2023/texmf-dist/tex/latex/tools/afterpage.sty
Package: afterpage 2023/07/04 v1.08 After-Page Package (DPC)
\AP@output=\toks32
\AP@partial=\box56
\AP@footins=\box57
) (c:/texlive/2023/texmf-dist/tex/latex/textpos/textpos.sty
Package: textpos 2022/07/23 v1.10.1
Package textpos Info: choosing support for LaTeX3 on input line 60.
\TP@textbox=\box58
\TP@holdbox=\box59
\TPHorizModule=\dimen146
\TPVertModule=\dimen147
\TP@margin=\dimen148
\TP@absmargin=\dimen149
Grid set 16 x 16 = 37.34424pt x 52.81541pt
\TPboxrulesize=\dimen150
\TP@ox=\dimen151
\TP@oy=\dimen152
\TP@tbargs=\toks33
TextBlockOrigin set to Opt x Opt
) (c:/texlive/2023/texmf-dist/tex/latex/url/url.sty
\Urlmuskip=\muskip19
Package: url 2013/09/16 ver 3.4 Verb mode for urls, etc.
) (c:/texlive/2023/texmf-dist/tex/latex/newfloat/newfloat.sty
Package: newfloat 2023/10/01 v1.2 Defining new floating environments (AR)
Package newfloat Info: `rotating' package detected.
) (c:/texlive/2023/texmf-dist/tex/latex/mdframed/mdframed.sty
```

```
Package: mdframed 2013/07/01 1.9b: mdframed
(c:/texlive/2023/texmf-dist/tex/latex/kvoptions/kvoptions.sty
Package: kvoptions 2022-06-15 v3.15 Key value format for package options
(HO)
(c:/texlive/2023/texmf-dist/tex/generic/ltxcmds/ltxcmds.sty
Package: ltxcmds 2023-12-04 v1.26 LaTeX kernel commands for general use
(HO)
) (c:/texlive/2023/texmf-dist/tex/latex/kvsetkeys/kvsetkeys.sty
Package: kvsetkeys 2022-10-05 v1.19 Key value parser (HO)
)) (c:/texlive/2023/texmf-dist/tex/latex/zref/zref-abspage.sty
Package: zref-abspage 2023-09-14 v2.35 Module abspage for zref (HO)
(c:/texlive/2023/texmf-dist/tex/latex/zref/zref-base.sty
Package: zref-base 2023-09-14 v2.35 Module base for zref (HO)
(c:/texlive/2023/texmf-dist/tex/generic/infwarerr/infwarerr.stv
Package: infwarerr 2019/12/03 v1.5 Providing info/warning/error messages
(HO)
) (c:/texlive/2023/texmf-dist/tex/generic/kvdefinekeys/kvdefinekeys.sty
Package: kvdefinekeys 2019-12-19 v1.6 Define keys (HO)
) (c:/texlive/2023/texmf-dist/tex/generic/pdftexcmds/pdftexcmds.sty
Package: pdftexcmds 2020-06-27 v0.33 Utility functions of pdfTeX for
LuaTeX (HO
Package pdftexcmds Info: \pdf@primitive is available.
Package pdftexcmds Info: \pdf@ifprimitive is available.
Package pdftexcmds Info: \pdfdraftmode found.
) (c:/texlive/2023/texmf-dist/tex/generic/etexcmds/etexcmds.sty
Package: etexcmds 2019/12/15 v1.7 Avoid name clashes with e-TeX commands
(HO)
) (c:/texlive/2023/texmf-dist/tex/latex/auxhook/auxhook.sty
Package: auxhook 2019-12-17 v1.6 Hooks for auxiliary files (HO)
)
Package zref Info: New property list: main on input line 767.
Package zref Info: New property: default on input line 768.
Package zref Info: New property: page on input line 769.
c@abspage=count270
Package zref Info: New property: abspage on input line 67.
) (c:/texlive/2023/texmf-dist/tex/latex/needspace/needspace.sty
Package: needspace 2010/09/12 v1.3d reserve vertical space
)
\mdf@templength=\skip70
\c@mdf@globalstyle@cnt=\count271
\mdf@skipabove@length=\skip71
\mdf@skipbelow@length=\skip72
\mdf@leftmargin@length=\skip73
\mdf@rightmargin@length=\skip74
\mdf@innerleftmargin@length=\skip75
\mdf@innerrightmargin@length=\skip76
\mdf@innertopmargin@length=\skip77
\mdf@innerbottommargin@length=\skip78
\mdf@splittopskip@length=\skip79
\mdf@splitbottomskip@length=\skip80
\mdf@outermargin@length=\skip81
\mdf@innermargin@length=\skip82
```

```
\mdf@linewidth@length=\skip83
\mdf@innerlinewidth@length=\skip84
\mdf@middlelinewidth@length=\skip85
\mdf@outerlinewidth@length=\skip86
\mdf@roundcorner@length=\skip87
\mdf@footenotedistance@length=\skip88
\mdf@userdefinedwidth@length=\skip89
\mdf@needspace@length=\skip90
\mdf@frametitleaboveskip@length=\skip91
\mdf@frametitlebelowskip@length=\skip92
\mdf@frametitlerulewidth@length=\skip93
\mdf@frametitleleftmargin@length=\skip94
\mdf@frametitlerightmargin@length=\skip95
\mdf@shadowsize@length=\skip96
\mdf@extratopheight@length=\skip97
\mdf@subtitleabovelinewidth@length=\skip98
\mdf@subtitlebelowlinewidth@length=\skip99
\mdf@subtitleaboveskip@length=\skip100
\mdf@subtitlebelowskip@length=\skip101
\mdf@subtitleinneraboveskip@length=\skip102
\mdf@subtitleinnerbelowskip@length=\skip103
\mdf@subsubtitleabovelinewidth@length=\skip104
\mdf@subsubtitlebelowlinewidth@length=\skip105
\mdf@subsubtitleaboveskip@length=\skip106
\mdf@subsubtitlebelowskip@length=\skip107
\mdf@subsubtitleinneraboveskip@length=\skip108
\mdf@subsubtitleinnerbelowskip@length=\skip109
(c:/texlive/2023/texmf-dist/tex/latex/mdframed/md-frame-0.mdf
File: md-frame-0.mdf 2013/07/01\ 1.9b: md-frame-0
)
\mdf@frametitlebox=\box60
\mdf@footnotebox=\box61
\mdf@splitbox@one=\box62
\mdf@splitbox@two=\box63
\mdf@splitbox@save=\box64
\mdfsplitboxwidth=\skip110
\mdfsplitboxtotalwidth=\skip111
\mdfsplitboxheight=\skip112
\mdfsplitboxdepth=\skip113
\mdfsplitboxtotalheight=\skip114
\mdfframetitleboxwidth=\skip115
\mdfframetitleboxtotalwidth=\skip116
\mdfframetitleboxheight=\skip117
\mdfframetitleboxdepth=\skip118
\mdfframetitleboxtotalheight=\skip119
\mdffootnoteboxwidth=\skip120
\mdffootnoteboxtotalwidth=\skip121
\mdffootnoteboxheight=\skip122
\mdffootnoteboxdepth=\skip123
\mdffootnoteboxtotalheight=\skip124
\mdftotallinewidth=\skip125
\mdfboundingboxwidth=\skip126
\mdfboundingboxtotalwidth=\skip127
\mdfboundingboxheight=\skip128
```

```
\mdfboundingboxdepth=\skip129
\mdfboundingboxtotalheight=\skip130
\mdf@freevspace@length=\skip131
\mdf@horizontalwidthofbox@length=\skip132
\mdf@verticalmarginwhole@length=\skip133
\mdf@horizontalspaceofbox=\skip134
\mdfsubtitleheight=\skip135
\mdfsubsubtitleheight=\skip136
\c@mdfcountframes=\count272
***** mdframed patching \endmdf@trivlist
***** -- success*****
\mbox{mdfQenvdepth}=\count273
\c@mdf@env@i=\count274
\c@mdf@env@ii=\count275
\c@mdf@zref@counter=\count276
Package zref Info: New property: mdf@pagevalue on input line 895.
) (c:/texlive/2023/texmf-dist/tex/latex/titlesec/titlesec.stv
Package: titlesec 2023/10/27 v2.16 Sectioning titles
\ttl@box=\box65
\beforetitleunit=\skip137
\aftertitleunit=\skip138
\ttl@plus=\dimen153
\ttl@minus=\dimen154
\ttl@toksa=\toks34
\titlewidth=\dimen155
\titlewidthlast=\dimen156
\titlewidthfirst=\dimen157
) (c:/texlive/2023/texmf-dist/tex/latex/koma-script/scrextend.sty
Package: scrextend 2023/07/07 v3.41 KOMA-Script package (extend other
classes w
ith features of KOMA-Script classes)
(c:/texlive/2023/texmf-dist/tex/latex/koma-script/scrkbase.sty
Package: scrkbase 2023/07/07 v3.41 KOMA-Script package (KOMA-Script-
dependent b
asics and keyval usage)
(c:/texlive/2023/texmf-dist/tex/latex/koma-script/scrbase.sty
Package: scrbase 2023/07/07 v3.41 KOMA-Script package (KOMA-Script-
independent
basics and keyval usage)
(c:/texlive/2023/texmf-dist/tex/latex/koma-script/scrlfile.sty
Package: scrlfile 2023/07/07 v3.41 KOMA-Script package (file load hooks)
(c:/texlive/2023/texmf-dist/tex/latex/koma-script/scrlfile-hook.sty
Package: scrlfile-hook 2023/07/07 v3.41 KOMA-Script package (using LaTeX
hooks)
(c:/texlive/2023/texmf-dist/tex/latex/koma-script/scrlogo.sty
Package: scrlogo 2023/07/07 v3.41 KOMA-Script package (logo)
)))
Applying: [2021/05/01] Usage of raw or classic option list on input line
252.
```

Already applied: [0000/00/00] Usage of raw or classic option list on input line 368. )) Package scrextend Info: unexpected definition of `\@makefnmark'. (scrextend) Trying to patch it on input line 1762. Package scrextend Info: patch seems to be successfull on input line 1762. ) LaTeX Font Warning: Font shape `T1/cmr/m/n' in size <7.5> not available (Font) size <7> substituted on input line 65. (c:/texlive/2023/texmf-dist/tex/latex/tools/calc.sty Package: calc 2023/07/08 v4.3 Infix arithmetic (KKT,FJ) \calc@Acount=\count277 \calc@Bcount=\count278 \calc@Adimen=\dimen158 \calc@Bdimen=\dimen159 \calc@Askip=\skip139 \calc@Bskip=\skip140 LaTeX Info: Redefining \setlength on input line 80. LaTeX Info: Redefining \addtolength on input line 81. calc@Ccount=count279\calc@Cskip=\skip141 ) (c:/texlive/2023/texmf-dist/tex/latex/geometry/geometry.sty Package: geometry 2020/01/02 v5.9 Page Geometry (c:/texlive/2023/texmf-dist/tex/generic/iftex/ifvtex.sty Package: ifvtex 2019/10/25 v1.7 ifvtex legacy package. Use iftex instead. \Gm@cnth=\count280 \Gm@cntv=\count281 \c@Gm@tempcnt=\count282 \Gm@bindingoffset=\dimen160 \Gm@wd@mp=\dimen161  $Gm@odd@mp=\dimen162$ \Gm@even@mp=\dimen163 \Gm@layoutwidth=\dimen164 \Gm@layoutheight=\dimen165 \Gm@layouthoffset=\dimen166 \Gm@lavoutvoffset=\dimen167 \Gm@dimlist=\toks35 ) (c:/texlive/2023/texmf-dist/tex/latex/hyperref/hyperref.sty Package: hyperref 2024-01-20 v7.01h Hypertext links for LaTeX (c:/texlive/2023/texmf-dist/tex/generic/pdfescape/pdfescape.sty Package: pdfescape 2019/12/09 v1.15 Implements pdfTeX's escape features (HO) ) (c:/texlive/2023/texmf-dist/tex/latex/hycolor/hycolor.sty Package: hycolor 2020-01-27 v1.10 Color options for hyperref/bookmark (HO) ) (c:/texlive/2023/texmf-dist/tex/latex/hyperref/nameref.sty Package: nameref 2023-11-26 v2.56 Cross-referencing by name of section (c:/texlive/2023/texmf-dist/tex/latex/refcount/refcount.sty Package: refcount 2019/12/15 v3.6 Data extraction from label references (HO)

```
) (c:/texlive/2023/texmf-
dist/tex/generic/gettitlestring/gettitlestring.sty
Package: gettitlestring 2019/12/15 v1.6 Cleanup title references (HO)
\c@section@level=\count283
)
\@linkdim=\dimen168
\Hy@linkcounter=\count284
\Hy@pagecounter=\count285
(c:/texlive/2023/texmf-dist/tex/latex/hyperref/pdlenc.def
File: pdlenc.def 2024-01-20 v7.01h Hyperref: PDFDocEncoding definition
(HO)
Now handling font encoding PD1 ...
... no UTF-8 mapping file for font encoding PD1
) (c:/texlive/2023/texmf-dist/tex/generic/intcalc/intcalc.sty
Package: intcalc 2019/12/15 v1.3 Expandable calculations with integers
(HO)
)
\Hy@SavedSpaceFactor=\count286
(c:/texlive/2023/texmf-dist/tex/latex/hyperref/puenc.def
File: puenc.def 2024-01-20 v7.01h Hyperref: PDF Unicode definition (HO)
Now handling font encoding PU ...
... no UTF-8 mapping file for font encoding PU
)
Package hyperref Info: Option `colorlinks' set `true' on input line 4062.
Package hyperref Info: Hyper figures OFF on input line 4179.
Package hyperref Info: Link nesting OFF on input line 4184.
Package hyperref Info: Hyper index ON on input line 4187.
Package hyperref Info: Plain pages OFF on input line 4194.
Package hyperref Info: Backreferencing OFF on input line 4199.
Package hyperref Info: Implicit mode ON; LaTeX internals redefined.
Package hyperref Info: Bookmarks ON on input line 4446.
\c@Hy@tempcnt=\count287
LaTeX Info: Redefining \url on input line 4784.
\XeTeXLinkMargin=\dimen169
(c:/texlive/2023/texmf-dist/tex/generic/bitset/bitset.sty
Package: bitset 2019/12/09 v1.3 Handle bit-vector datatype (HO)
(c:/texlive/2023/texmf-dist/tex/generic/bigintcalc/bigintcalc.sty
Package: bigintcalc 2019/12/15 v1.5 Expandable calculations on big
integers (HO
)
))
\Fld@menulength=\count288
\Field@Width=\dimen170
\Fld@charsize=\dimen171
Package hyperref Info: Hyper figures OFF on input line 6063.
Package hyperref Info: Link nesting OFF on input line 6068.
Package hyperref Info: Hyper index ON on input line 6071.
Package hyperref Info: backreferencing OFF on input line 6078.
Package hyperref Info: Link coloring ON on input line 6081.
Package hyperref Info: Link coloring with OCG OFF on input line 6088.
Package hyperref Info: PDF/A mode OFF on input line 6093.
(c:/texlive/2023/texmf-dist/tex/latex/base/atbegshi-ltx.sty
Package: atbegshi-ltx 2021/01/10 v1.0c Emulation of the original atbegshi
```

```
package with kernel methods
\Hy@abspage=\count289
\c@Item=\count290
\c@Hfootnote=\count291
Package hyperref Info: Driver (autodetected): hpdftex.
(c:/texlive/2023/texmf-dist/tex/latex/hyperref/hpdftex.def
File: hpdftex.def 2024-01-20 v7.01h Hyperref driver for pdfTeX
(c:/texlive/2023/texmf-dist/tex/latex/base/atveryend-ltx.sty
Package: atveryend-ltx 2020/08/19 v1.0a Emulation of the original
atveryend pac
kage
with kernel methods
)
\HyAnn@Count=\count292
\Fld@listcount=\count293
\c@bookmark@seq@number=\count294
(c:/texlive/2023/texmf-dist/tex/latex/rerunfilecheck/rerunfilecheck.sty
Package: rerunfilecheck 2022-07-10 v1.10 Rerun checks for auxiliary files
(HO)
(c:/texlive/2023/texmf-dist/tex/generic/uniquecounter/uniquecounter.sty
Package: uniquecounter 2019/12/15 v1.4 Provide unlimited unique counter
(HO)
)
Package uniquecounter Info: New unique counter `rerunfilecheck' on input
line 2
85.
\Hy@SectionHShift=\skip142
) (c:/texlive/2023/texmf-dist/tex/latex/preprint/authblk.sty
Package: authblk 2001/02/27 1.3 (PWD)
\affilsep=\skip143
\@affilsep=\skip144
\c@Maxaffil=\count295
\c@authors=\count296
c@affil=count297
) (c:/texlive/2023/texmf-dist/tex/latex/footmisc/footmisc.sty
Package: footmisc 2023/07/05 v6.0f a miscellany of footnote facilities
\FN@temptoken=\toks36
\footnotemargin=\dimen172
\@outputbox@depth=\dimen173
Package footmisc Info: Declaring symbol style bringhurst on input line
696.
Package footmisc Info: Declaring symbol style chicago on input line 704.
Package footmisc Info: Declaring symbol style wiley on input line 713.
Package footmisc Info: Declaring symbol style lamport-robust on input
line 724.
Package footmisc Info: Declaring symbol style lamport* on input line 744.
Package footmisc Info: Declaring symbol style lamport*-robust on input
line 765
) (c:/texlive/2023/texmf-dist/tex/latex/fancyhdr/fancyhdr.sty
```

Package: fancyhdr 2022/11/09 v4.1 Extensive control of page headers and footers \f@nch@headwidth=\skip145 \f@nch@O@elh=\skip146 \f@nch@O@erh=\skip147 \f@nch@O@olh=\skip148 \f@nch@O@orh=\skip149 \f@nch@O@elf=\skip150 \f@nch@O@erf=\skip151 \f@nch@O@olf=\skip152 \f@nch@O@orf=\skip153 ) (c:/texlive/2023/texmf-dist/tex/generic/alphalph/alphalph.sty Package: alphalph 2019/12/09 v2.6 Convert numbers to letters (HO) ) c@authorfn=count298(c:/texlive/2023/texmf-dist/tex/latex/abstract/abstract.sty Package: abstract 2009/06/08 v1.2a configurable abstracts \abstitleskip=\skip154 \absleftindent=\skip155 \absrightindent=\skip156 \absparindent=\skip157 \absparsep=\skip158 Package newfloat Info: New float `keypoints' with options placement=t!, name=kp t' on input line 286. \c@keypoints=\count299 \newfloat@ftype=\count300 Package newfloat Info: float type `keypoints'=8 on input line 286. (c:/texlive/2023/texmf-dist/tex/latex/enumitem/enumitem.sty Package: enumitem 2019/06/20 v3.9 Customized lists \labelindent=\skip159 \enit@outerparindent=\dimen174 \enit@toks=\toks37 \enit@inbox=\box66 \enit@count@id=\count301 \enitdp@description=\count302 ) (c:/texlive/2023/texmf-dist/tex/latex/quoting/quoting.sty Package: quoting 2014/01/28 v0.1c Consolidated environment for displayed text \quo@toppartop=\skip160 ) (c:/texlive/2023/texmf-dist/tex/latex/sttools/stfloats.sty Package: stfloats 2017/03/27 v3.3 Improve float mechanism and baselineskip sett ings \@dblbotnum=\count303 \c@dblbotnumber=\count304 ) (c:/texlive/2023/texmf-dist/tex/latex/booktabs/booktabs.sty Package: booktabs 2020/01/12 v1.61803398 Publication quality tables \heavyrulewidth=\dimen175 \lightrulewidth=\dimen176 \cmidrulewidth=\dimen177 \belowrulesep=\dimen178

```
\belowbottomsep=\dimen179
\aboverulesep=\dimen180
\abovetopsep=\dimen181
\cmidrulesep=\dimen182
\cmidrulekern=\dimen183
\defaultaddspace=\dimen184
\@cmidla=\count305
\@cmidlb=\count306
\@aboverulesep=\dimen185
\@belowrulesep=\dimen186
\@thisruleclass=\count307
\@lastruleclass=\count308
\@thisrulewidth=\dimen187
) (c:/texlive/2023/texmf-dist/tex/latex/tools/tabularx.sty
Package: tabularx 2023/07/08 v2.11c `tabularx' package (DPC)
\TX@col@width=\dimen188
\TX@old@table=\dimen189
\TX@old@col=\dimen190
\TX@target=\dimen191
\TX@delta=\dimen192
\TX@cols=\count309
\TX@ftn=\toks38
)
\enitdp@tablenotes=\count310
(c:/texlive/2023/texmf-dist/tex/latex/caption/caption.sty
Package: caption 2023/08/05 v3.60 Customizing captions (AR)
(c:/texlive/2023/texmf-dist/tex/latex/caption/caption3.sty
Package: caption3 2023/07/31 v2.4d caption3 kernel (AR)
\caption@tempdima=\dimen193
\captionmargin=\dimen194
\caption@leftmargin=\dimen195
\caption@rightmargin=\dimen196
\caption@width=\dimen197
\caption@indent=\dimen198
\caption@parindent=\dimen199
\caption@hangindent=\dimen256
Package caption Info: Standard document class detected.
\c@caption@flags=\count311
\c@continuedfloat=\count312
Package caption Info: hyperref package is loaded.
Package caption Info: rotating package is loaded.
Package caption Info: scrextend package is loaded.
\caption@addmargin@hsize=\dimen257
\caption@addmargin@linewidth=\dimen258
) (c:/texlive/2023/texmf-dist/tex/latex/natbib/natbib.sty
Package: natbib 2010/09/13 8.31b (PWD, AO)
\bibhang=\skip161
\bibsep=\skip162
LaTeX Info: Redefining \cite on input line 694.
\c@NAT@ctr=\count313
)) (c:/texlive/2023/texmf-dist/tex/latex/siunitx/siunitx.sty
Package: siunitx 2024-02-15 v3.3.12 A comprehensive (SI) units package
\l siunitx number uncert offset int=\count314
```

```
\l siunitx number exponent fixed int=\count315
\l siunitx number min decimal int=\count316
\l siunitx number min integer int=\count317
\l siunitx number round precision int=\count318
\l siunitx number lower threshold int=\count319
\l siunitx number upper threshold int=\count320
\l siunitx number group first int=\count321
\l siunitx number group size int=\count322
\l siunitx number group minimum int=\count323
\l__siunitx_angle_tmp_dim=\dimen259
\l siunitx angle marker box=\box67
\l siunitx angle unit box=\box68
\l siunitx compound count int=\count324
(c:/texlive/2023/texmf-dist/tex/latex/translations/translations.stv
Package: translations 2022/02/05 v1.12 internationalization of LaTeX2e
packages
 (CN)
) (c:/texlive/2023/texmf-dist/tex/latex/amsmath/amstext.sty
Package: amstext 2021/08/26 v2.01 AMS text
(c:/texlive/2023/texmf-dist/tex/latex/amsmath/amsgen.sty
File: amsgen.sty 1999/11/30 v2.0 generic functions
\@emptytoks=\toks39
\ex@=\dimen260
))
\l siunitx table tmp box=\box69
\l siunitx table tmp dim=\dimen261
\l siunitx table column width dim=\dimen262
\l siunitx table integer box=\box70
\l siunitx table decimal box=\box71
\l siunitx table uncert box=\box72
\l siunitx table before box=\box73
\l siunitx table after box=\box74
\l____siunitx_table_before_dim=\dimen263
\l siunitx table carry dim=\dimen264
\l siunitx unit tmp int=\count325
\l siunitx unit position int=\count326
\l____siunitx_unit_total__int=\count327
) (c:/texlive/2023/texmf-dist/tex/latex/placeins/placeins.sty
Package: placeins 2005/04/18 v 2.2
) (./orcidlink.sty
Package: orcidlink 2024/06/26 v1.1.0 Support ORCID's three different ID
formats
(c:/texlive/2023/texmf-dist/tex/latex/pgf/frontendlayer/tikz.sty
(c:/texlive/20
23/texmf-dist/tex/latex/pgf/basiclayer/pgf.sty (c:/texlive/2023/texmf-
dist/tex/
latex/pgf/utilities/pgfrcs.sty (c:/texlive/2023/texmf-
dist/tex/generic/pgf/util
ities/pgfutil-common.tex
\pqfutil@everybye=\toks40
\pgfutil@tempdima=\dimen265
\pqfutil@tempdimb=\dimen266
) (c:/texlive/2023/texmf-dist/tex/generic/pgf/utilities/pgfutil-latex.def
```

```
\pgfutil@abb=\box75
) (c:/texlive/2023/texmf-dist/tex/generic/pgf/utilities/pgfrcs.code.tex
(c:/tex
live/2023/texmf-dist/tex/generic/pgf/pgf.revision.tex)
Package: pgfrcs 2023-01-15 v3.1.10 (3.1.10)
))
Package: pgf 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-dist/tex/latex/pgf/basiclayer/pgfcore.sty
(c:/texlive/20
23/texmf-dist/tex/latex/pgf/systemlayer/pgfsys.sty
(c:/texlive/2023/texmf-dist/
tex/generic/pgf/systemlayer/pgfsys.code.tex
Package: pgfsys 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-dist/tex/generic/pgf/utilities/pgfkeys.code.tex
\pqfkeys@pathtoks=\toks41
\pgfkeys@temptoks=\toks42
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/utilities/pgfkeyslibraryfiltered.co
de.tex
\pgfkeys@tmptoks=\toks43
))
pqf@x=\dimen267
\pqf@y=\dimen268
\pgf@xa=\dimen269
\pqf@ya=\dimen270
\pqf@xb=\dimen271
\pgf@yb=\dimen272
\pgf@xc=\dimen273
\pgf@yc=\dimen274
pgf@xd=\dimen275
\pgf@yd=\dimen276
\w@pgf@writea=\write3
\r@pgf@reada=\read2
\c@pgf@counta=\count328
\c@pgf@countb=\count329
\c@pgf@countc=\count330
\c@pqf@countd=\count331
\t@pqf@toka=\toks44
\t@pqf@tokb=\toks45
\t@pqf@tokc=\toks46
\pgf@sys@id@count=\count332
(c:/texlive/2023/texmf-dist/tex/generic/pgf/systemlayer/pgf.cfg
File: pgf.cfg 2023-01-15 v3.1.10 (3.1.10)
Driver file for pgf: pgfsys-pdftex.def
(c:/texlive/2023/texmf-dist/tex/generic/pgf/systemlayer/pgfsys-pdftex.def
File: pgfsys-pdftex.def 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-dist/tex/generic/pgf/systemlayer/pgfsys-common-
pdf.def
File: pqfsys-common-pdf.def 2023-01-15 v3.1.10 (3.1.10)
)))
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/systemlayer/pgfsyssoftpath.code.tex
```

```
File: pgfsyssoftpath.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgfsvssoftpath@smallbuffer@items=\count333
\pgfsyssoftpath@bigbuffer@items=\count334
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/systemlayer/pgfsysprotocol.code.tex
File: pgfsysprotocol.code.tex 2023-01-15 v3.1.10 (3.1.10)
)) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcore.code.tex
Package: pgfcore 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-dist/tex/generic/pgf/math/pgfmath.code.tex
(c:/texlive/2
023/texmf-dist/tex/generic/pgf/math/pgfmathutil.code.tex)
(c:/texlive/2023/texm
f-dist/tex/generic/pgf/math/pgfmathparser.code.tex
\pgfmath@dimen=\dimen277
\pgfmath@count=\count335
\pqfmath@box=\box76
\pqfmath@toks=\toks47
\pgfmath@stack@operand=\toks48
\pgfmath@stack@operation=\toks49
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.code.tex)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.basic.code.te
X)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.trigonometric
.code.tex)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.random.code.t
ex)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.comparison.co
de.tex)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.base.code.tex
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.round.code.te
X)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.misc.code.tex
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfunctions.integerarithm
etics.code.tex) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathcalc.co
de.tex) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfmathfloat.code.tex
\c@pgfmathroundto@lastzeros=\count336
)) (c:/texlive/2023/texmf-dist/tex/generic/pgf/math/pgfint.code.tex)
(c:/texliv
e/2023/texmf-dist/tex/generic/pgf/basiclayer/pgfcorepoints.code.tex
```

```
File: pgfcorepoints.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgf@picminx=\dimen278
\pgf@picmaxx=\dimen279
\pqf@picminy=\dimen280
\pgf@picmaxy=\dimen281
\pgf@pathminx=\dimen282
\pgf@pathmaxx=\dimen283
\pqf@pathminy=\dimen284
\pgf@pathmaxy=\dimen285
\pqf@xx=\dimen286
\pqf@xy=\dimen287
\pqf@yx=\dimen288
\pqf@yy=\dimen289
\pqf@zx=\dimen290
\pgf@zy=\dimen291
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorepathconstruct.cod
e.tex
File: pgfcorepathconstruct.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgf@path@lastx=\dimen292
\pgf@path@lasty=\dimen293
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorepathusage.code.te
х
File: pgfcorepathusage.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgf@shorten@end@additional=\dimen294
\pgf@shorten@start@additional=\dimen295
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorescopes.code.tex
File: pqfcorescopes.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pqfpic=\box77
\pgf@hbox=\box78
\pgf@layerbox@main=\box79
\pgf@picture@serial@count=\count337
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoregraphicstate.code
.tex
File: pgfcoregraphicstate.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgflinewidth=\dimen296
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoretransformations.c
ode.tex
File: pgfcoretransformations.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgf@pt@x=\dimen297
\pqf@pt@y=\dimen298
\pgf@pt@temp=\dimen299
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorequick.code.tex
File: pgfcorequick.code.tex 2023-01-15 v3.1.10 (3.1.10)
```

```
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoreobjects.code.te
Х
File: pgfcoreobjects.code.tex 2023-01-15 v3.1.10 (3.1.10)
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorepathprocessing.co
de.tex
File: pgfcorepathprocessing.code.tex 2023-01-15 v3.1.10 (3.1.10)
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorearrows.code.tex
File: pgfcorearrows.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgfarrowsep=\dimen300
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoreshade.code.tex
File: pgfcoreshade.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pqf@max=\dimen301
\pgf@sys@shading@range@num=\count338
\pgf@shadingcount=\count339
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoreimage.code.tex
File: pgfcoreimage.code.tex 2023-01-15 v3.1.10 (3.1.10)
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoreexternal.code.tex
File: pgfcoreexternal.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgfexternal@startupbox=\box80
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorelayers.code.tex
File: pgfcorelayers.code.tex 2023-01-15 v3.1.10 (3.1.10)
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcoretransparency.code
.tex
File: pgfcoretransparency.code.tex 2023-01-15 v3.1.10 (3.1.10)
)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorepatterns.code.tex
File: pgfcorepatterns.code.tex 2023-01-15 v3.1.10 (3.1.10)
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/basiclayer/pgfcorerdf.code.tex
File: pgfcorerdf.code.tex 2023-01-15 v3.1.10 (3.1.10)
))) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/modules/pgfmoduleshapes.code.te
Х
File: pgfmoduleshapes.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgfnodeparttextbox=\box81
) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/modules/pgfmoduleplot.code.tex
File: pgfmoduleplot.code.tex 2023-01-15 v3.1.10 (3.1.10)
)
(c:/texlive/2023/texmf-dist/tex/latex/pgf/compatibility/pgfcomp-version-
0-65.st
У
```

```
Package: pgfcomp-version-0-65 2023-01-15 v3.1.10 (3.1.10)
\pgf@nodesepstart=\dimen302
\pgf@nodesepend=\dimen303
)
(c:/texlive/2023/texmf-dist/tex/latex/pgf/compatibility/pgfcomp-version-
1-18.st
V
Package: pgfcomp-version-1-18 2023-01-15 v3.1.10 (3.1.10)
)) (c:/texlive/2023/texmf-dist/tex/latex/pgf/utilities/pgffor.sty
(c:/texlive/2
023/texmf-dist/tex/latex/pgf/utilities/pgfkeys.sty
(c:/texlive/2023/texmf-dist/
tex/generic/pgf/utilities/pgfkeys.code.tex)) (c:/texlive/2023/texmf-
dist/tex/la
tex/pgf/math/pgfmath.sty (c:/texlive/2023/texmf-
dist/tex/generic/pgf/math/pgfma
th.code.tex)) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/utilities/pgffor.code
.tex
Package: pgffor 2023-01-15 v3.1.10 (3.1.10)
\pqffor@iter=\dimen304
\pqffor@skip=\dimen305
\pgffor@stack=\toks50
\pqffor@toks=\toks51
)) (c:/texlive/2023/texmf-
dist/tex/generic/pgf/frontendlayer/tikz/tikz.code.tex
Package: tikz 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/libraries/pgflibraryplothandlers.co
de.tex
File: pgflibraryplothandlers.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgf@plot@mark@count=\count340
\pgfplotmarksize=\dimen306
)
\tikz@lastx=\dimen307
\tikz@lasty=\dimen308
\tikz@lastxsaved=\dimen309
\tikz@lastysaved=\dimen310
\tikz@lastmovetox=\dimen311
\tikz@lastmovetoy=\dimen312
\tikzleveldistance=\dimen313
\tikzsiblingdistance=\dimen314
\tikz@figbox=\box82
\tikz@fiqbox@bg=\box83
\tikz@tempbox=\box84
\tikz@tempbox@bg=\box85
\tikztreelevel=\count341
\tikznumberofchildren=\count342
\tikznumberofcurrentchild=\count343
\tikz@fiq@count=\count344
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/modules/pgfmodulematrix.code.tex
File: pgfmodulematrix.code.tex 2023-01-15 v3.1.10 (3.1.10)
```

```
\pgfmatrixcurrentrow=\count345
\pgfmatrixcurrentcolumn=\count346
\pgf@matrix@numberofcolumns=\count347
)
\tikz@expandcount=\count348
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/frontendlayer/tikz/libraries/tikzli
brarytopaths.code.tex
File: tikzlibrarytopaths.code.tex 2023-01-15 v3.1.10 (3.1.10)
)))
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/frontendlayer/tikz/libraries/tikzli
brarysvg.path.code.tex
File: tikzlibrarysvg.path.code.tex 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/libraries/pgflibrarysvg.path.code.t
ex
File: pgflibrarysvg.path.code.tex 2023-01-15 v3.1.10 (3.1.10)
(c:/texlive/2023/texmf-
dist/tex/generic/pgf/modules/pgfmoduleparser.code.tex
File: pgfmoduleparser.code.tex 2023-01-15 v3.1.10 (3.1.10)
\pgfparserdef@arg@count=\count349
)
\pgf@lib@svg@last@x=\dimen315
\pqf@lib@svq@last@y=\dimen316
\pgf@lib@svg@last@c@x=\dimen317
\pgf@lib@svg@last@c@y=\dimen318
\pgf@lib@svg@count=\count350
\pgf@lib@svg@max@num=\count351
))
\@curXheight=\skip163
) (c:/texlive/2023/texmf-dist/tex/latex/lineno/lineno.sty
Package: lineno 2023/05/20 line numbers on paragraphs v5.3
\linenopenalty=\count352
\quad \time to ks52
\linenoprevgraf=\count353
\linenumbersep=\dimen319
\linenumberwidth=\dimen320
\c@linenumber=\count354
\c@pagewiselinenumber=\count355
\c@LN@truepage=\count356
\c@internallinenumber=\count357
\c@internallinenumbers=\count358
\quotelinenumbersep=\dimen321
\bframerule=\dimen322
\bframesep=\dimen323
\bframebox=\box86
LaTeX Info: Redefining \\ on input line 3180.
Package translations Info: No language package found. I am going to use
`englis
h' as default language. on input line 66.
```

LaTeX Font Info: Trying to load font information for T1+Merriwthr-OsF on inp ut line 66. (c:/texlive/2023/texmf-dist/tex/latex/merriweather/T1Merriwthr-OsF.fd File: T1Merriwthr-OsF.fd 2020/08/30 (autoinst) Font definitions for T1/Merriwth r-OsF. ) LaTeX Font Info: Font shape `T1/Merriwthr-OsF/m/n' will be scaled to size 7.5pt on input line 66. (Font) (./main.aux) \openout1 = `main.aux'. LaTeX Font Info: Checking defaults for OML/cmm/m/it on input line 66. LaTeX Font Info: ... okay on input line 66. LaTeX Font Info: Checking defaults for OMS/cmsy/m/n on input line 66. LaTeX Font Info: ... okay on input line 66. LaTeX Font Info: Checking defaults for OT1/cmr/m/n on input line 66. LaTeX Font Info: ... okay on input line 66. LaTeX Font Info: LaTeX LaTeX Font Info: ... okay on input line 66. LaTeX Font Info: Checking defaults for PD1/pdf/m/n on input line 66. LaTeX Font Info: ... okay on input line 66. LaTeX Font Info: Checking defaults for PU/pdf/m/n on input line 66. LaTeX Font Info: ... okay on input line 66. LaTeX Info: Redefining \microtypecontext on input line 66. Package microtype Info: Applying patch `item' on input line 66. Package microtype Info: Applying patch `toc' on input line 66. Package microtype Info: Applying patch `eqnum' on input line 66. Package microtype Warning: Unable to apply patch `footnote' on input line 66. Package microtype Info: Applying patch `verbatim' on input line 66. Package microtype Info: Generating PDF output. Package microtype Info: Character protrusion enabled (level 2). Package microtype Info: Using default protrusion set `alltext'. Package microtype Info: Automatic font expansion enabled (level 2), stretch: 20, shrink: 20, step: 1, non-selected. (microtype) Package microtype Info: Using default expansion set `alltext-nott'. LaTeX Info: Redefining \showhyphens on input line 66. Package microtype Info: No adjustment of tracking. Package microtype Info: No adjustment of interword spacing. Package microtype Info: No adjustment of character kerning. Package microtype Info: Loading generic protrusion settings for font family (microtype) `Merriwthr-OsF' (encoding: T1).

For optimal results, create family-specific (microtype) settings. (microtype) See the microtype manual for details. LaTeX Font Info: Redeclaring symbol font `operators' on input line 66. LaTeX Font Info: Encoding `OT1' has changed to `T1' for symbol font `operators' in the math version `normal' on input (Font) line 66. LaTeX Font Info: Overwriting symbol font `operators' in version `normal' (Font) OT1/cmr/m/n --> T1/Merriwthr-OsF/m/up on input line 66. LaTeX Font Info: Encoding `OT1' has changed to `T1' for symbol font (Font) `operators' in the math version `bold' on input line 66. LaTeX Font Info: Overwriting symbol font `operators' in version `bold' (Font) OT1/cmr/bx/n --> T1/Merriwthr-OsF/m/up on input line 66 LaTeX Font Info: Overwriting symbol font `operators' in version `bold' T1/Merriwthr-OsF/m/up --> T1/Merriwthr-OsF/b/up (Font) on inpu t line 66. LaTeX Font Info: Redeclaring math alphabet \mathbf on input line 66. LaTeX Font Info: Overwriting math alphabet `\mathbf' in version `normal' (Font) OT1/cmr/bx/n --> T1/Merriwthr-OsF/b/up on input line 66 LaTeX Font Info: Overwriting math alphabet `\mathbf' in version `bold' (Font) OT1/cmr/bx/n --> T1/Merriwthr-OsF/b/up on input line 66 LaTeX Font Info: Redeclaring math alphabet \mathsf on input line 66. LaTeX Font Info: Overwriting math alphabet `\mathsf' in version `normal' (Font) OT1/cmss/m/n --> T1/MerriwthrSans-OsF/m/up on input lin e 66. Overwriting math alphabet `\mathsf' in version `bold' LaTeX Font Info: (Font) OT1/cmss/bx/n --> T1/MerriwthrSans-OsF/m/up on input li ne 66. LaTeX Font Info: Redeclaring math alphabet \mathit on input line 66. LaTeX Font Info: Overwriting math alphabet `\mathit' in version `normal' (Font) OT1/cmr/m/it --> T1/Merriwthr-OsF/m/it on input line 66 LaTeX Font Info: Overwriting math alphabet `\mathit' in version `bold' (Font) OT1/cmr/bx/it --> T1/Merriwthr-OsF/m/it on input line 6 6. LaTeX Font Info: Redeclaring math alphabet \mathtt on input line 66.

```
LaTeX Font Info:
                    Overwriting math alphabet `\mathtt' in version
`normal'
                        OT1/cmtt/m/n --> T1/lmtt/m/up on input line 66.
(Font)
LaTeX Font Info:
                    Overwriting math alphabet `\mathtt' in version `bold'
                        OT1/cmtt/m/n --> T1/lmtt/m/up on input line 66.
(Font)
LaTeX Font Info:
                    Overwriting math alphabet `\mathsf' in version `bold'
                        T1/MerriwthrSans-OsF/m/up --> T1/MerriwthrSans-
(Font)
OsF/b/up
 on input line 66.
LaTeX Font Info: Overwriting math alphabet `\mathit' in version `bold'
(Font)
                        T1/Merriwthr-OsF/m/it --> T1/Merriwthr-OsF/b/it
on inpu
t line 66.
\c@mv@tabular=\count359
\c@mv@boldtabular=\count360
(c:/texlive/2023/texmf-dist/tex/context/base/mkii/supp-pdf.mkii
[Loading MPS to PDF converter (version 2006.09.02).]
\scratchcounter=\count361
\scratchdimen=\dimen324
\scratchbox=\box87
\nofMPseqments=\count362
\nofMParguments=\count363
\everyMPshowfont=\toks53
\MPscratchCnt=\count364
\MPscratchDim=\dimen325
\MPnumerator=\count365
\makeMPintoPDFobject=\count366
\everyMPtoPDFconversion=\toks54
) (c:/texlive/2023/texmf-dist/tex/latex/epstopdf-pkg/epstopdf-base.sty
Package: epstopdf-base 2020-01-24 v2.11 Base part for package epstopdf
Package epstopdf-base Info: Redefining graphics rule for `.eps' on input
line 4
85.
(c:/texlive/2023/texmf-dist/tex/latex/latexconfig/epstopdf-sys.cfg
File: epstopdf-sys.cfg 2010/07/13 v1.3 Configuration of (r)epstopdf for
TeX Liv
е
))
*geometry* driver: auto-detecting
*geometry* detected driver: pdftex
*geometry* verbose mode - [ preamble ] result:
* driver: pdftex
* paper: a4paper
* layout: <same size as paper>
* layoutoffset:(h,v)=(0.0pt,0.0pt)
* modes: includefoot twoside
* h-part: (L,W,R) = (54.64pt, 488.22787pt, 54.64pt)
* v-part:(T,H,B)=(66.0pt, 745.04684pt, 34.0pt)
* \paperwidth=597.50787pt
* \paperheight=845.04684pt
* \textwidth=488.22787pt
* \textheight=715.04684pt
* \oddsidemargin=-17.62999pt
* \evensidemargin=-17.62999pt
```

```
* \topmargin=-47.76999pt
* \headheight=17.5pt
* \headsep=24.0pt
* \topskip=10.0pt
* \footskip=30.0pt
* \marginparwidth=48.0pt
* \marginparsep=10.0pt
* \columnsep=18.0pt
* \skip\footins=22.0pt plus 2.0pt
* \hoffset=0.0pt
* \voffset=0.0pt
* \mag=1000
* \@twocolumntrue
* \@twosidetrue
* \@mparswitchtrue
* \@reversemarginfalse
* (lin=72.27pt=25.4mm, lcm=28.453pt)
Package hyperref Info: Link coloring ON on input line 66.
(./main.out) (./main.out)
\@outlinefile=\write4
\openout4 = `main.out'.
\@gscitedetails=\box88
\@gscitedetailsheight=\skip164
\@gsheadbox=\box89
\@gsheadboxheight=\skip165
LaTeX Font Info: Font shape `T1/Merriwthr-OsF/b/n' will be
(Font) scaled to size 6.5pt on input line 66.
LaTeX Font Info: Calculating math sizes for size <7.5> on input line
66.
LaTeX Font Warning: Font shape `T1/Merriwthr-OsF/m/up' undefined
                    using `T1/Merriwthr-OsF/m/n' instead on input line
(Font)
66.
LaTeX Font Info:
                    Font shape `T1/Merriwthr-OsF/m/up' will be
                    scaled to size 6.24973pt on input line 66.
(Font)
LaTeX Font Info: Font shape `T1/Merriwthr-OsF/m/up' will be
                   scaled to size 5.24997pt on input line 66.
(Font)
LaTeX Font Info: Trying to load font information for U+eur on input
line 66.
(c:/texlive/2023/texmf-dist/tex/latex/amsfonts/ueur.fd
File: ueur.fd 2013/01/14 v3.01 Euler Roman
) (c:/texlive/2023/texmf-dist/tex/latex/microtype/mt-eur.cfg
File: mt-eur.cfg 2006/07/31 v1.1 microtype config. file: AMS Euler Roman
(RS)
)
LaTeX Font Warning: Font shape `OMS/cmsy/m/n' in size <7.5> not available
                    size <7> substituted on input line 66.
(Font)
LaTeX Font Info: External font `cmex10' loaded for size
```

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v-english.trsl
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file `tra
nslations-basic-dictionary')
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or `english'. on input line 66.
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Overfull \hbox (54.64pt too wide) in paragraph at lines 84--84
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L3 programming layer <2020/03/25> \*\*\*\*\* LaTeX Font Warning: Size substitutions with differences (Font) up to 1.0pt have occurred. LaTeX Font Warning: Some font shapes were not available, defaults substituted. Package rerunfilecheck Info: File `main.out' has not changed. (rerunfilecheck) Checksum: 7F7C0CFE194ADD1058EA131B78EE3B9B;10187. ) Here is how much of TeX's memory you used: 35354 strings out of 474121 723441 string characters out of 5747949 1995190 words of memory out of 5000000 56071 multiletter control sequences out of 15000+600000 2053340 words of font info for 791 fonts, out of 8000000 for 9000 1141 hyphenation exceptions out of 8191 123i,20n,131p,2660b,1169s stack positions out of 10000i,1000n,20000p,200000b,200000s <c:/texlive/2023/texmf-dist/fonts/type1/sorkin/merriweather/Merriwthr-Bold.pf b><c:/texlive/2023/texmf-dist/fonts/type1/sorkin/merriweather/Merriwthr-BoldIta lic.pfb><c:/texlive/2023/texmfdist/fonts/type1/sorkin/merriweather/Merriwthr-I talic.pfb><c:/texlive/2023/texmf-</pre> dist/fonts/type1/sorkin/merriweather/Merriwthr -Regular.pfb><c:/texlive/2023/texmfdist/fonts/type1/sorkin/merriweather/Merriw thrSans-Regular.pfb><c:/texlive/2023/texmfdist/fonts/type1/public/lm/lmtt8.pfb > Output written on main.pdf (22 pages, 2343829 bytes). PDF statistics: 7057 PDF objects out of 7423 (max. 8388607) 4767 compressed objects within 48 object streams 73 named destinations out of 1000 (max. 500000) 234296 words of extra memory for PDF output out of 266212 (max. 1000000)

OXFORD



GigaScience, 2017, 1-24

doi: xx.xxxx/xxxx Manuscript in Preparation Research

RESEARCH

# Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data

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# Abstract

Background Sequencing of SARS-CoV-2 RNA from wastewater samples has emerged as a valuable tool for detecting the presence and relative abundances of SARS-CoV-2 variants in a community. By analyzing the viral genetic material present in wastewater, researchers and public health authorities can gain early insights into the spread of virus lineages and emerging mutations. Constructing reference datasets from known SARS-CoV-2 lineages and their mutation profiles has become state-of-the-art for assigning viral lineages and their relative abundances from wastewater sequencing data. However, selecting reference sequences or mutations directly affect the predictive power. Results Here, we show the impact of a mutation- and sequence-based reference reconstruction for SARS-CoV-2 abundance estimation. We benchmark three data sets: 1) synthetic "spike-in" mixtures, 2) German wastewater samples from early 2021, mainly comprising Alpha, and 3) samples obtained from wastewater at an international airport in Germany from the end of 2021, including first signals of Omicron. The two approaches differ in sub-lineage detection, with the marker-mutation-based method, in particular, being challenged by the increasing number of mutations and lineages. However, the estimations of both approaches depend on selecting representative references and optimized parameter settings. By performing parameter escalation experiments, we demonstrate the effects of reference size and alternative allele frequency cutoffs for abundance estimation. We show how different parameter settings can lead to different results for our test data sets, and illustrate the effects of virus lineage composition of wastewater samples and references. Conclusions Our study highlights current computational challenges, focusing on the general reference design, which directly impacts abundance allocations. We illustrate advantages and disadvantages that may be relevant for further developments in the wastewater community and in the context of defining robust quality metrics.

Key words: SARS-CoV-2; wastewater; sewage; abundance estimation, next-generation sequencing, benchmark

# Background

Coronavirus disease 2019 (COVID-19), the highly contagious vi $-_{70}$ 2 ral illness caused by severe acute respiratory syndrome coron- $_{\gamma_1}$ avirus 2 (SARS-CoV-2), is the most consequential global health  $_{72}$ crisis since the era of the influenza pandemic of 1918. Since 73 its discovery, SARS-CoV-2 has caused >775 million confirmed 74 6 cases of COVID-19 [1] and currently > 4,200 SARS-CoV-2 lin-75 7 eages are defined by the Pango network [2, 3, 4]. Genome <sub>76</sub> sequencing has played a central role during the COVID-19 77 pandemic and beyond in supporting public health agencies, 78 10 monitoring emerging mutations in the SARS-CoV-2 genome, 79 11 and advancing precision vaccinology and optimizing molecu-100 12 lar tests [5, 6, 7]. Massive sequencing of clinical samples has <sup>81</sup> 13 made monitoring of emerging virus variants possible while em- 82 14 phasizing temporal and spatial variation. With ongoing trans-15 mission, further mutations occur in the genome that are part 84 16 of the viral evolutionary process and result in unique finger-85 17 prints. These fingerprints, along with other metrics such as the number of samples with the same mutation profile and their 87 geographic occurrence, are used to label SARS-CoV-2 variants, se 20 such as through the nomenclature system proposed and main-21 tained by the Pangolin network and tool [2, 3]. These defini-22 23 tions of virus variants and lineages and the associated mutation profiles can then be used to search for and estimate the propor-92 24 tion of SARS-CoV-2 lineages in mixed samples, e.g. wastewa-93 25 ter. 26 94

Sequencing capacity, however, is limited, cannot be sus-95 27 tained over the long term for so many clinical samples, and 96 28 only allows extrapolation based on a relatively small fraction and 29 of all infections occurring during the pandemic. In addition, 98 30 with decreasing incidence numbers, sampling and sequenc-<sub>99</sub> 31 ing efforts are decreasing, raising the need for representa-100 32 tive, medium-scale, and sustainable surveillance systems [7] 101 33 or other approaches. From January 1, 2020 until April 19, 2023,102 34 931,260 genome sequences of COVID-19-positive clinical sam-103 35 ples from Germany have been uploaded to the international GI-104 SAID platform [8], representing a proportion of 2.426 % out of 105 37 a total of 38,388,247 reported SARS-CoV-2 cases in Germany 106 38 [9]. In Germany and other countries, complete detection and 107 39 sequencing of all positive cases were impossible due to the high 108 40 infection numbers. However, wastewater-based epidemiology 109 41 (WBE) has shown the potential to get a much broader snapshot 110 42 of the SARS-CoV-2 variant circulation at a community level .... 43 [10, 11, 12, 13, 14, 15]. Integrating genome sequencing with 112 1. 1. WBE can provide information on circulating SARS-CoV-2 vari-45 ants in a region [16, 17]. The sequencing methods commonly 114 46 used in WBE are similar to the ones used for clinical samples, us 47 using a general strategy that employs the sequencing of the 116 48 whole genome via amplification of small, specific regions of the "7 49 SARS-CoV-2 genome, i.e., targeted sequencing of amplicons 118 via pre-defined primer sequences [18, 19, 11, 20, 14]. Targeted 119 51 sequencing can achieve a high degree of coverage of informa-120 52 tive regions of the genome and, most importantly, reveal to 121 53 some extent which polymorphisms are linked, making it pos-122 54 sible to track SARS-CoV-2 variants of concern (VOCs) and other 123 55 virus variants. 56

A particular challenge in performing sequencing and analy-125 57 sis of SARS-CoV-2 from wastewater samples concerns the viral 126 58 RNA present in many individual fragments rather than com-127 59 plete viral genomes. In addition, these fragments come from 128 60 the excretions of many infected individuals, making it chal-129 61 lenging, if not impossible, to reconstruct individual genomes 130 62 using bioinformatic approaches like the ones developed for 131 63 clinical samples of individual patients. Thus, the degrada-132 64 tion and fragmentation of SARS-CoV-2 RNA, combined with 133 65 the presence of multiple virus variants in wastewater samples, 134 66 make it challenging to reconstruct reliable, complete consen-135 67

sus genomes, often resulting in sequences that represent either a mixture of lineages or predominantly the most abundant variant. In need of computational approaches to analyze mixed wastewater samples, several groups developed similar tools for quality control, sequencing data analysis, and SARS-COV-2 lineage abundance estimation instead of reconstructing a single consensus genome [21, 22, 23, 24, 16, 25, 26, 18, 10, 27, 28, 29, 30, 31], see Table 1.

68

Most approaches focus on detecting pre-defined characteristic marker mutations in the sequenced reads and utilize this information for abundance estimation. Common to all these tools is that they require a reference set of either signature marker mutations (hereafter called *mutation-based*) or complete genome sequences (hereafter called *sequence-based*) from which characteristic mutation profiles or kmers (short subsequences of length k) are derived.

Kayikcioglu *et al.* compared the performance of five selected approaches for SARS-COV-2 lineage abundance estimation on simulated and publicly available mixed population samples [31]. They found that Kallisto [32], as first suggested by Baaijens, Zulli, and Ott *et al.* [29], followed by Freyja [21], achieved most accurate estimations. Sutcliffe *et al.* compared nine computational tools using simulated genomic data in another recent study. Among other things, they tested how the background noise of a previously unknown lineage affects quantification, finding a weak but significant effect on the estimate of the frequency of known lineages that are part of the reference [33].

In a mutation-based approach, to estimate the proportion of specific SARS-CoV-2 variants present in a mixed sample, mutations or combinations of mutations characteristic or unique for these variants based on clinical samples can be compared with the mutations detectable in the sample. In principle, and as implemented in a previously used approach [20] (which we refer to here as MAMUSS, Table 1), the occurrence of mutations can be represented by the value of the relative abundance of a VOC or other viral variant. First, the frequency of occurrence of each mutation is calculated from the multiplication of the reads and the allele frequency. The relative abundance describes the percentage ratio of the sum of the read abundance of the characteristic mutations of a SARS-CoV-2 virus variant and the sum of the read abundance of all mutations found in a sample. Accordingly, only the previously selected virus variants and signature mutations that form the reference set are evaluated and others that may occur in the sample are ignored. Another prominent mutation-based approach is implemented in the tool Freyja [21]. Freyja solves the de-mixing problem to recover relative lineage abundances from mixed SARS-CoV-2 samples using lineage-determining mutational "barcodes" derived from the UShER global phylogenetic tree [34]. Using mutation abundances and sequencing depth measurements at each position in the genome, Freyja estimates the abundance of lineages in the sample.

As a different methodological approach to reconstruct a reference, the full genome sequence information can be used to automatically select appropriate features (e.g., signature mutations, kmers) and to use them to evaluate the proportions of SARS-CoV-2 variants in wastewater samples instead of a preselected set of marker mutations (*sequence-based*) [29, 27, 28] (Table 1). Again, information derived from sequencing of clinical samples and their lineage annotation are used to generate a representative reference data set that can be then searched via established (pseudo)-alignment methods such as Kallisto [32] as suggested by Baaijens, Zulli, and Ott *et al.* in their VQL tool [29].

In this study, we specifically investigated the effects of reference design and composition on the assignment of relative abundances of SARS-CoV-2 lineages from wastewater sequencing data. As mentioned, various tools have been developed dur-

ing the pandemic (Table 1), and they all have different facets 136 in calculating relative abundances [31, 33, 17]. Here, we tested 137 MAMUSS as a mutation-based reference representative and VLQ-138 nf as a sequence-based reference representative on three data 139 sets: 1) a synthetic scenario of "spike-in" mixture samples, 140 2) samples from Germany from a European wastewater study 141 from early 2021, mainly comprising the VOC Alpha [12], and 3) 142 a sample obtained from wastewater sequencing at the interna-143 tional airport in Frankfurt am Main, Germany from the end of 144 145 2021, including first signals of the VOC Omicron [20]. The two approaches for lineage abundance estimation (mutation-based/ 146 sequence-based) are mainly distinguished by the input data set 147 used for the reference set design and subsequent lineage as-148 signment (Figure 1). Here, we compare exemplary implemen-149 tations of both general approaches. MAMUSS, as previously 150 applied in [20], implements a representative basic workflow 151 for the mutation-based approach focusing on unique marker 152 mutations. For the sequence-based approach, we use pseudo-153 alignments via Kallisto [32] as proposed initially by [29] and 154 their VLQ tool. Based on their idea and scripts, we implemented 155 a slightly modified version of VLQ in a Nextflow [35] pipeline 156 that we call VLQ-nf [36]. We chose VLQ for our sequence-based 157 method because it relies on Kallisto as an established tool for 158 quantifying transcripts [32]. A major benefit of implement-159 160 ing the representative methods was the complete control over code, parameters, and inputs, which allowed us to understand 161 better, compare, and interpret the results of our benchmark 162 study and the effects on the reference design.For all three data 163 sets, we deliberately selected data from one sequencing tech-164 nology, Ion Torrent, to demonstrate reference design and mu-165 tation/sequence-based effects in a specific, controlled context 166 with which we have much experience [20, 12, 37]. However, 167 it must be noted as a limitation of our study that we are only 168 investigating one sequencing technology. 169

We show that both the mutation-based and sequence-based 170 approach can reflect the proportions of SARS-CoV-2 lineages 171 in the different samples but also comprise differences in res-201 172 olution and the detection of similar sub-lineages depending 202 173 on the reference set. Both approaches also show advantages 203 174 and disadvantages in selecting signature marker mutations<sup>204</sup> 175 and genome sequences, respectively. For the mutation-based 205 176 approach as implemented in MAMUSS, it became more and 206 177 more challenging to select (sub-)lineage-defining marker mu-207 178 tations that provide robust assignments in the context of the 208 179 increasing diversity and convergent evolution of SARS-CoV-2209 180 lineages. 181

# **Data Description**

We selected three wastewater data sets for our comparison to 215 183 cover 1) a synthetic scenario of "spike-in" mixture samples 216 184 (Standards; n=16 samples), 2) actual wastewater samples from 185 early 2021 from a large European study and collected in Ger-186 many [12], mainly comprising the VOC Alpha (Pan-EU-GER; 21) 187 n=7 samples), and 3) one sample from the end of 2021 includ-188 ing first signals of the VOC Omicron obtained from wastew-218 189 ater at the international airport in Frankfurt am Main, Ger-219 190 many (FFM-Airport; n=1 sample) [20]. The Standards com-220 191 192 prise RNA from 10 SARS-CoV-2 variants (including the orig-221 inal Wuhan-Hu-1 A.1 lineage), which were mixed in differ-193 ent proportions to generate 16 samples for library prepara-222 194 tion and sequencing via Ion Torrent (Table 2). The sequenc-223 195 ing data for the *Standards* benchmark are available under the  $_{224}$ 196 NCBI BioProject number PRJNA912560. Please note that no 225 197 real wastewater was used to construct the Standards (see Meth-226 198 ods). The Pan-EU WBE study produced high-quality sequenc-227 199 ing data for SARS-CoV-2 wastewater samples across 20 Eu-228 200

**Table 1.** Collection of tools available for sequencing data analysis in WBE and SARS-CoV-2 lineage proportion estimation. We distinguish the tools roughly based on their approach to define a reference set into those using predefined marker mutations and those relying on full genome sequences or both. The two implementations we selected for reference construction and our comparison are indicated in bold. Please note that C-WAP [31] wraps multiple approaches while also including a new *mutation-based* tool, LINDEC.

mutation-based				
Tool	Citation	Code		
MAMUSS	[20]	github.com/lifehashopes/MAMUSS		
Freyja	[21]	github.com/andersen-lab/Freyja		
Lineagespot	[22]	github.com/nikopech/lineagespot		
LCS	[23]	github.com/rvalieris/LCS		
Alcov	[24]	github.com/Ellmen/alcov		
VaQuERo	[16]	github.com/fabou-uobaf/VaQuERo		
MMMVI	[25]	github.com/dorbarker/voc-identify		
PiGx	[26]	github.com/BIMSBbioinfo/pigx_sars-cov-2		
SAMRefiner	[18]	github.com/degregory/SAM_Refiner		
COJAC	[10]	github.com/cbg-ethz/cojac		
wastewaterSPAdes	[30]	-		
gromstole	-	github.com/PoonLab/gromstole		
CovMix	-	github.com/chrisquince/covmix		
sequence-based				
Tool	Citation	Code		
VLQ-nf	this study	github.com/rki-mf1/VLQ-nf		
VLQ	[29]	github.com/baymlab/wastewater_analysis		
VirPool	[27]	github.com/fmfi-compbio/virpool		
V-pipe SC2	[28]	cbg-ethz.github.io/V-pipe/sars-cov-2		
mutation-based & sequence-based				
Tool	Citation	Code		
C-WAP	[31]	github.com/CFSAN-Biostatistics/C-WAP		

ropean countries, including 54 municipalities and is available under the NCBI BioProject number PRJNA736964[12]. We selected the seven German samples from this study (SRX11122519 and SRX11122521-SRX11122526; Pan-EU-GER) for our benchmark, which were sampled in March 2021 and mainly cover the rise of the VOC Alpha during that time. Lastly, we obtained one sample (SRR17258654; NCBI BioProject number PR-JNA789814) from wastewater sampling in November 2021 at the international airport in Frankfurt am Main (FFM-Airport), where we found first signals and low proportions of the VOC Omicron arriving during that time in Germany [20]. Note that we deliberately selected Ion Torrent as sequencing technology to harmonize between the selected data sets and to focus on the reference design and mutation/sequence-based effects in a specific, controlled context with which we have much experience [20, 12, 37] (see also "Potential implications" section).

#### Analyses

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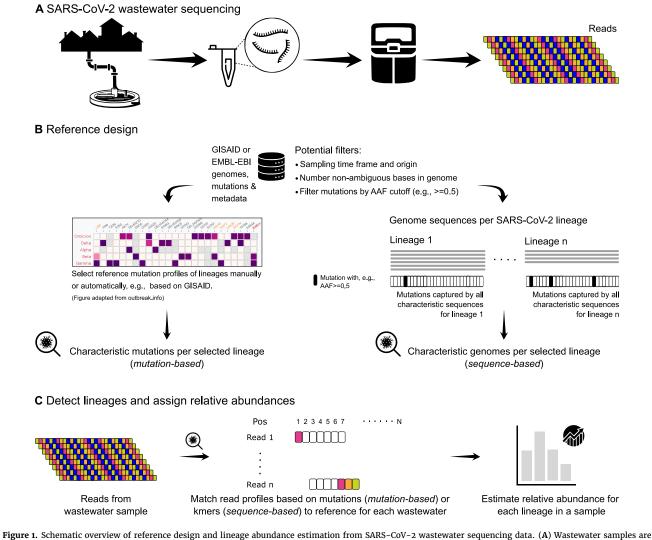
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Both the mutation-based and sequence-based approaches yield similar SARS-CoV-2 lineage proportions for mixed *Standard* samples but differ on sublineage level

We analyzed our *Standards* data set (Table 2) using the *sequence-based* approach implemented in VLQ-nf and an implementation of a *mutation-based* approach, MAMUSS (Table 1). Given ground truth knowledge, we assessed the qualitative and quantitative performance of both methods yielding controlled insights into the strengths and limitations of each approach. When comparing the results with the actual sample composi-



**Figure 1.** Schematic overview of reference design and lineage abundance estimation from SARS-CoV-2 wastewater sequencing data. (A) Wastewater samples are collected from sewer influent, for example. RNA is extracted and, in the context of SARS-CoV-2, usually amplified as cDNA using established primer schemes and then sequenced to obtain short snippets of viral RNA (*reads*). (B) Current methods (Table 1 for lineage assignment and abundance estimation need a reference data set, usually constructed from genomes and mutations derived from clinical sequencing and patient samples. Here, we distinguish two general approaches to design the reference, where either marker mutations are pre-selected (*mutation-based*) or full-genome sequences are selected (*sequence-based*). (C) The data analysis part may differ considerably depending on the implementation. However, all tools attempt to assign known lineages and estimate their frequency in the mixed sample based on mutations characteristic for certain lineages [20]. For the *sequence-based* approach, we use a Nextflow implementation (VLQ-nf) of the slightly adjusted VLQ pipeline as proposed by Baaijens, Zulli, and Ott *et al.* and which is based on the tool Kallisto [29]. AAF – Alternative Allele Frequency, used as a cutoff to define a mutation as a feature.

**Table 2.** Composition of synthetic mixture "spike-in" *Standards*. <sup>264</sup> Here we show the proportions of which different SARS-CoV-2 lin<sup>-2</sup> eages were mixed to generate a collection of artificial samples for<sup>265</sup> our benchmark. For example, the sample Mix\_01 comprises 25%<sup>266</sup> original Wuhan-Hu-1 A.1 and 75% Alpha B.1.1.7 ( $0.25_{org} - 0.75_{alpha}$ )<sup>267</sup> All samples were sequenced with Ion Torrent and raw data is available under BioProject number PRJNA912560 in the National Center<sup>268</sup> for Biotechnology Information (NCBI) Sequence Read Archive (SRA)<sup>269</sup> Please note that no real wastewater was used to construct these syn<sup>-270</sup> thetic mixtures because we wanted to reduce any side effects for our *gold standard* in the context of this study. <sup>271</sup>

Sample ID	Composition
Mix_01	0.25 <sub>org</sub> – 0.75 <sub>alpha</sub>
Mix_02	0.25 <sub>org</sub> – 0.25 <sub>beta</sub> – 0.5 <sub>alpha</sub>
Mix_03	0.25 <sub>alpha</sub> – 0.25 <sub>beta</sub> – 0.25 <sub>gamma</sub> – 0.25 <sub>org</sub>
Mix_04	0.5 <sub>org</sub> - 0.5 <sub>iota</sub>
Mix_05	0.25org - 0.25iota - 0.5omiBA2.5
Mix_06	$0.25_{alpha} - 0.25_{iota} - 0.25_{omiBA2.5} - 0.25_{omiBA2}$
Mix_07	$0.5_{omiBA2.5} - 0.5_{omiBA2}$
Mix_08	$0.25_{org} - 0.25_{alpha} - 0.25_{omiBA2.5} - 0.25_{omiBA2}$
Mix_09	0.5 <sub>deltaAY1</sub> – 0.5 <sub>deltaAY2</sub>
Mix_10	$0.25_{deltaAY1} - 0.25_{deltaAY2} - 0.5_{delta}$
Mix_11	0.25 <sub>deltaAY1</sub> - 0.25 <sub>deltaAY2</sub> - 0.5 <sub>omiBA1</sub>
Mix_12	0.25 <sub>deltaAY1</sub> - 0.25 <sub>deltaAY2</sub> - 0.25 <sub>omiBA1</sub> - 0.25 <sub>omiBA2.5</sub>
Mix_13	0.25 <sub>deltaAY1</sub> - 0.25 <sub>deltaAY2</sub> - 0.25 <sub>omiBA1</sub> - 0.25 <sub>omiBA2</sub>
Mix_14	$0.5_{delta} - 0.25_{omiBA1} - 0.25_{omiBA2}$
Mix_15	0.25 <sub>deltaAY1</sub> - 0.25 <sub>deltaAY2</sub> - 0.25 <sub>omiBA1</sub> - 0.25 <sub>omiBA2.5</sub>
Mix_16	0.25 <sub>alpha</sub> - 0.25 <sub>delta</sub> - 0.25 <sub>omiBA1</sub> - 0.25 <sub>omiBA2</sub>

org – Wuhan-Hu-1 A.1; <sub>alpha</sub> – Alpha B.1.1.7; <sub>beta</sub> – Beta B.1.351; <sub>gamma</sub> –<sup>286</sup> Gamma P.1; <sub>iota</sub> – Iota B.1.526; <sub>delta</sub> – Delta B.1.617.2; <sub>deltaAY1</sub> – Delta AY.1;<sup>287</sup> deltaAY2 – Delta AY.2; <sub>omiBA1</sub> – Omicron BA.1; <sub>omiBA2</sub> – Omicron BA.2; <sub>omiBA2.5</sub><sup>288</sup> – Omicron BA.2.5

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tion in the following sections, we define a "false positive" hit <sup>291</sup>
as a lineage that was estimated with a frequency above zero <sup>292</sup>
without being included in the sample mixture. Analogously, we <sup>293</sup>
define a "false negative" hit as a lineage that was not detected <sup>294</sup>
by a tool even though it is included in the sample mixture by <sup>295</sup>
design. <sup>296</sup>

VLQ-nf detected all correct spike-in lineages across all sam-297 235 ples. The output for every sample showed, however, a certain<sup>298</sup> 236 amount of false positive predictions comprising lineages that 299 237 are part of our reference set but not used as spike-ins (Figure 2).<sup>300</sup> 238 We observed the most consistent false positive estimations for 301 239 Gamma (P.1) with up to 1.61% abundance across all samples.<sup>302</sup> 240 In contrast, MAMUSS did not detect all spike-in lineages, but 303 241 showed more robust results in quantifying fewer false positives <sup>304</sup> 242 in the samples (Figure 2). 243

When comparing false detection and over- or underesti-244 mation for both approaches, we partly observed similar pat-245 terns among specific groups of lineages: The mutation-based 246 approach showed a bias in samples comprising A.1 towards 247 not being able to detect A.1. In sample Mix\_06, the mutation-248 based approach could not detect Iota (B.1.526) and falsely de-249 tected BA.1. The sequence-based approach considerably un-250 derestimated B.1.526 in Mix\_06, whereas it falsely detected 251 212 B.1.526 in Mix\_01 and Mix\_02. 252

Furthermore, both approaches showed distinct patterns of 253 315 false estimation among B.1.617.2 (Delta) and its sub-lineages 254 AY.1 and AY.2. In samples containing no Delta and only Delta 255 sub-lineages, both approaches falsely detected Delta while un-256 derestimating AY.1 or AY.2. In samples containing only Delta 319 257 and no Delta sub-lineages, MAMUSS falsely detected AY.1 and 258 AY.2, while underestimating Delta. In samples containing  $_{321}$ 259 Delta and Delta sub-lineages, VLQ-nf overestimated Delta and 260 underestimated AY.1, while MAMUSS overestimated Delta sub-261 lineages and underestimated Delta. 262

<sup>263</sup> Both approaches estimated BA.1 and BA.2 without distinct

conflicts among each other. We observed slight over- or underestimation in the abundance of Omicron lineages to cooccur with underestimation of Delta sub-lineages in samples Mix 10-16.

Finally, we found both approaches to match the ground truth proportions of the *Standards* samples well on the parent lineage level. On the sub-lineage level, we found the false negative detection of B.1.526 in sample Mix\_06 and the quantification conflicts among Delta (sub-)lineages to be the most prominent differences between both approaches. For the *mutation-based* approach, we found the false negative detection of A.1 to be the second most prominent shortcoming observed in this experiment.

#### VLQ-nf detects Alpha sub-lineages while MAMUSS finds distinctly larger abundances for rising lineages Beta, Gamma, and Delta in the *Pan-EU-GER* data

We analyzed German samples from the Pan-EU study [12] using both approaches to assess their performance on wastewater sequencing data. In the lack of ground truth knowledge, we evaluated both approaches by relating the lineage predictions and quantification to the pandemic background in Germany based on data from clinical sampling strategies. Moreover, we performed experiments on wastewater sequencing data to evaluate the potential benefits of wastewater-based surveillance compared to clinically-based data.

According to global surveillance projects based on clinical genomic sequence data [38, 39, 40, 41], the pandemic situation in Europe from February until April 2021 was mainly dominated by the SARS-CoV-2 lineages Alpha, Beta, cases of B.1.177 and sub-lineages, B.1.258 and sub-lineages, and B.1.160 (Supplementary Figure S1). The pandemic situation in Germany at that time was mainly dominated by VOCs Alpha and Beta as well as lineages B.1.177.86, B.1.177.81, B.1.258, B.1.177, and B.1.160. According to GISAID submissions during that time [7], approximately the same lineages and multiple other low-abundant global and European sub-lineages were reported from clinical sampling strategies. Here we focused the comparison on the lineages Alpha (B.1.1.7), Beta (B.1.351), Gamma (P.1), Delta (B.1.617.2), and the respective sub-lineages, as those were or became the dominant lineages around the time of wastewater sampling in Germany in the context of the Pan-EU project [12].

With VLQ-nf, we quantified the lineage and sub-lineage level. In comparison, MAMUSS predicted lineage abundances only at the parent level (Figure 3). Both approaches predicted Alpha (sub-)lineages to be the most abundant lineages in the data set. Specifically, the sequence-based approach found Alpha sub-lineages Q.1 and Q.7 to be the most abundant. Yet, those Alpha sub-lineages were not reported amongst the most frequent cases based on clinical sampling strategies (see Supplementary Figure S1). However, this is not necessarily the case, as the SARS-CoV-2 lineages can circulate in different proportions in wastewater and clinical environments. We also need to take into account the dynamic nomenclature system. The discrepancy could also be due to the retrospective definition and late classification of Alpha sublineages and again emphasizes the potential influence of reference bias. We also detected Beta, Gamma, and Delta (sub-)lineages at abundances below 1%, which are not visible at the scale of Figure 3. In contrast, we found distinctly larger abundances of Beta, Gamma, and Delta in the samples using MAMUSS.

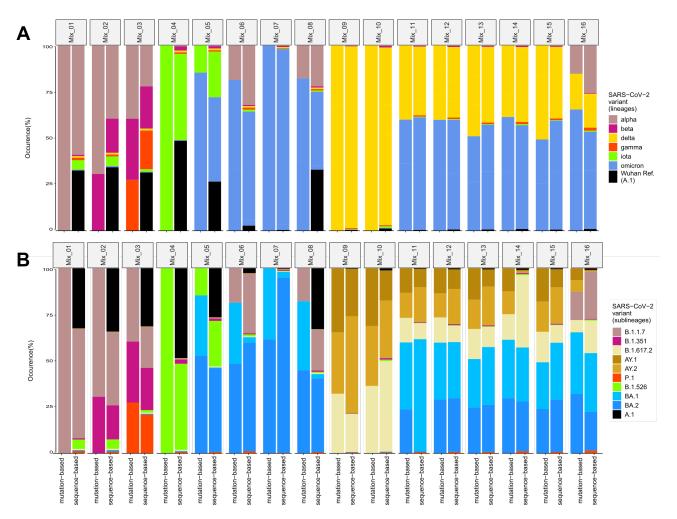
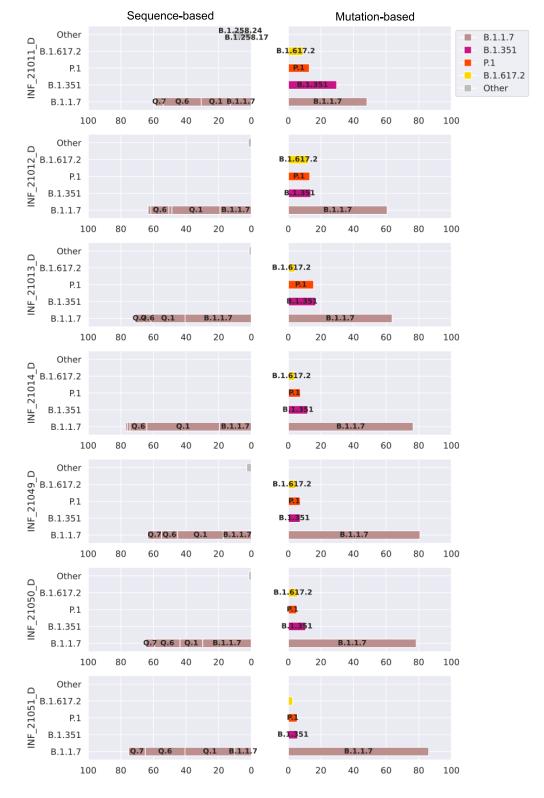


Figure 2. Comparison of the occurrence of pre-defined mixtures of SARS-CoV-2 variants (*Standards*) (A) at Pangolin parent lineage level and (B) at Pangolin sub-lineage resolution based on the *sequence-based* (VLQ-nf) and *mutation-based* (MAMUSS) approach.



**Figure 3.** Comparison of the results for the *Pan-EU-GER* analysis using VLQ-nf (*sequence-based*, left) versus MAMUSS (*mutation-based*, right). Abundance predictions are plotted above a cutoff of 1% abundance and labeled at a threshold of 3% abundance. VLQ-nf detected abundances for B.1.617.2, P.1, and B.1.351 sub-lineages below 1%, which is not visible at the scale of this figure. The x-axis shows the percentage of predicted lineage abundances for the *Pan-EU-GER* analysis.

Mutation- and sequence-based approaches recover a similar Omicron proportion from an early airport wastewater sample

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We used both approaches to analyze a real wastewater sequenc-392 327 ing sample (SRR17258654, FFM-Airport) [20]. We compared lin-393 328 eage predictions and quantification against the pandemic back-394 329 ground in Europe and South Africa at the time of wastewater 395 330 sampling. We evaluated both approaches in terms of their abil-396 331 ity to detect (sub-)lineages at low abundances, specifically to 397 332 detect low abundant signals of Omicron BA.1, which was the 398 333 dominant Omicron sub-lineage circulating during that time 399 334 (BA.2 was not yet detected in clinical or wastewater sequencing 400 335 data). 401 336

The pandemic situation in Europe and South Africa from Oc-402 tober to December 2021 was dominated by Delta sub-lineages 403 and increasing incidences of Omicron and its sub-lineages 404 [38, 39, 40, 41] (Supplementary Figure S2). According to GI-405 SAID submissions, mostly Delta sub-lineages and a few cases 406 of Omicron and other minor global sub-lineages were reported based on clinical sampling strategies. 407

With VLQ-nf, we detected many Delta sub-lineages at abun-408 344 dances ranging from less than 1% to around 8% that in sum409 345 contribute over 93% abundance in the wastewater sample (Fig -410346 ure 4). Roughly half of the detected Delta sub-lineages were 411 347 estimated with abundances of less than 1%. In terms of Omi-412 348 cron, VLQ-nf detected BA.1 with 1.44%. Finally, we observed 413 349 lineages and sub-lineages from other families with abundances 414 350 of less than 1% ("Other"). 415 351

We observed a similar lineage abundance profile with MA<sup>416</sup> MUSS. We found that most abundance consists of two approx<sup>417</sup> imately equally abundant Delta sub-lineages. We detected a <sup>418</sup> small proportion close to 1% of Omicron. Compared to VLQ<sup>419</sup> nf, we did not find any low abundant quantification for other<sup>420</sup> (sub-)lineages, explained by the smaller reference data set only <sup>421</sup> composed of a particular collection of marker mutations. <sup>422</sup>

We found that the estimated abundance profiles of lineages 423 359 from both approaches matched well with the pandemic back-424 360 ground in Europe and South Africa at the time of wastewater 425 361 sampling. However, when considering abundance estimations 426 362 of the sequence-based approach at the sub-lineage level, we dis-427 363 covered differences regarding the most abundantly predicted 428 364 Delta sub-lineages compared to the more prominent Delta sub-429 365 lineages derived from clinical sampling strategies in European 430 366 and South African GISAID submissions (compare Figure 4 and 431 367 Figure S2). The sequence-based approach predicted AY.25.1,432 368 AY.125.1, AY.122.4, AY.121, and AY.43.1 to be most abundant in 433 369 the analyzed sample. In contrast, GISAID submissions showed  ${}^{\scriptscriptstyle 434}$ 370 AY.4, AY.43, AY.122, AY.4.2, AY.126, AY.4.2.2, and AY.98 as the 435 371 most frequent Delta sub-lineages in Europe during that time.436 372 Additionally, we found AY.45, AY.32, AY.91, AY.116, AY.122,437 373 AY.6, and AY.46 to be the highest reported Delta sub-lineages  ${}^{\scriptscriptstyle 438}$ 374 in South Africa. While our predictions do not match the clin-439 375 ically reported frequencies, some of our predictions belong to 440 376 the same lineage family as the most frequently reported lin-441 377 eages from clinical sampling, e.g., AY.43.1 is a sub-lineage of  $^{\scriptscriptstyle 442}$ 378 AY.43, AY.122.4 is a sub-lineage of AY.122, and AY.125.1 is a 443 379 sub-lineage of AY.125 which we found among the twenty most444 380 445 frequently reported lineages in Europe using VLQ-nf.

# Alternative allele frequency and size of reference 448 database impact the sequence-based method, but the ef-249 fects also depend on lineage composition in the sample 450

To better understand the impact of specific parameters on the  $_{452}$ performance of the *sequence-based* method, we performed pa $_{453}$ 

 $_{3^{87}}$  rameter escalation experiments (see Methods) on the Standards  $_{454}$ 

benchmark set as well as the PanEU-Ger and FFM-Airport data sets. Due to the similar findings for all three data sets, here we only present the results based on the Standards and refer to the results of the PanEU-Ger and FFM-Airport data sets in the Supplement (subsection ). We investigated the impact of reference construction parameters on lineage proportion estimation and aimed at uncovering the potential bias of the pseudoalignment implemented in the sequence-based method. Specifically, we focused on the AAF threshold and the maximum number of sequences per lineage. The AAF threshold defines the minimum alternative allele frequency for a mutation to be considered characteristic of a lineage. First, genome sequences are added as lineage references so that each mutation that exceeds the AAF threshold is detected at least once by as few sequences as possible. Next, additional genomes are added until the maximum number of sequences per lineage is reached. Thus, the AAF threshold controls the level of genomic variation captured for each lineage and the maximum number of sequences per lineage controls the reference size.

#### Standards

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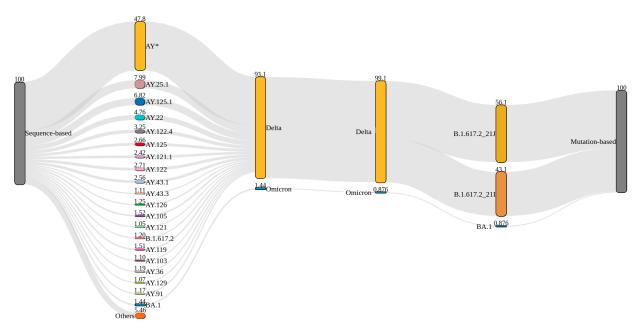
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Across most *Standards* samples and experiments, VLQ-nf detected all spike-in lineages and predicted reasonable estimates (Figure 5). However, we consistently observed low abundant false positive hits in all of our mixed samples, comprising lineages that are part of the reference index but not used as spikeins. We found the most prominent false positive detection to be Gamma. We observed similar patterns of false positive detection and false estimation among specific groups of lineages across all parameter settings: For the first eight samples Mix\_01 to Mix\_08, most cases of false estimation of spike-in lineage abundances occurred alongside false positives or negatives of B.1.526 and false positives of BA.1. For the samples Mix\_09 to Mix\_16, we observed most detection conflicts to involve ambiguities among Delta and its sub-lineages AY.1 and AY.2.

We found that the detection and quantification performance of the *sequence-based* method via VLQ-nf changed with varying thresholds for the alternative allele frequency and maximum number of genomes per reference lineage. Specifically, we found those changes to vary across samples and observed them not to behave identically with consistent parameter changes. For example, at the minimum reference size (Supplementary Table S1), we observed abundance predictions for samples Mix\_09 and Mix\_11-16 to first improve with an increasing AAF threshold. However, with a further increasing AAF threshold, we observed more false estimations of Delta sub-lineages. Furthermore, although Mix\_10 shares most of its spike-in lineages with Mix\_09, the performance of abundance estimations for sample Mix\_10 first decreased and then improved again when increasing the AAF threshold.

We made a similar observation for the maximum number of sequences per lineage. With an AAF threshold of 0.5, the abundance estimates for Mix\_01 improved with increasing number of reference genomes per lineage, while we found them to deteriorate for Mix\_09, which includes a distinctly different sample composition. Overall, we found lineage abundance estimations to become slightly more robust across varying AAF thresholds with increasing reference size. This is best reflected in the abundance profiles for samples Mix\_09-Mix\_15 when looking at the proportional changes across increasing AAF settings for the minimum reference size throughout the reference with 10 sequences per lineage.

Finally, we found that the AAF threshold and the reference size affect the performance of the *sequence-based* method. Although we did not observe a clear and consistent pattern of impact, we found that the effects of varying parameter settings may depend on the sample composition. Specifically, we ob-



**Figure 4.** Sankey plot comparing the detected lineage proportions for the *sequence-based* approach (VQL-nf, left) and the *mutation-based* approach (MAMUSS, right) for one airport wastewater sample (SRR17258654) [20]. Both approaches detect a similar amount of Delta and Omicron (BA.1) in the sample. At the same time, VQL-nf can achieve a higher sub-lineage resolution (AY lineages) based on the full genome information in the reconstructed reference index and utilizing pseudo-alignments. MAMUSS can, as configured for this analysis and based on the limited reference set, distinguish between two slightly different B.1.617.2 clades as defined by Nextstrain. For the *sequence-based* approach, only lineages with a proportion of at least 1% are shown and all other AY-sub-lineages are pooled in AY\* and all other lineages in "Others".

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served the strongest impact of parameter changes for samples  $_{459}$ containing lineages with a higher degree of shared genomic  $_{490}$ similarity. Also, we found the AAF threshold to affect estimates  $_{491}$ slightly more than the reference size. We detected similar re  $_{-492}$ sults for the *PanEU-Ger* and *FFM-Airport* data sets. We provide  $_{493}$ details for these two data sets in the Supplement (see Figure S3  $_{404}$ 

461 and Figure S4).

#### 462 Final choice of parameters for benchmark reference construction 497

Within the scope of the parameter escalation experiments de-498 463 scribed here, we wanted to determine parameters with a good<sup>499</sup> 464 prediction performance without manipulating the benchmark500 465 in favor of the sequence-based approach (VQL-nf). Finally, 501 466 based on our parameter testing and the three different data<sup>502</sup> 467 sets, we chose an AAF threshold of 0.25 and a reference size 503 468 of at most 5 sequences per lineage. This threshold allowed 504 469 us to limit the size of the reference data set and still allows 505 470 reasonable detection and quantification results across all three<sup>506</sup> 471 benchmark data sets, while keeping computational resources 507 472 moderate. 473

# **Discussion**

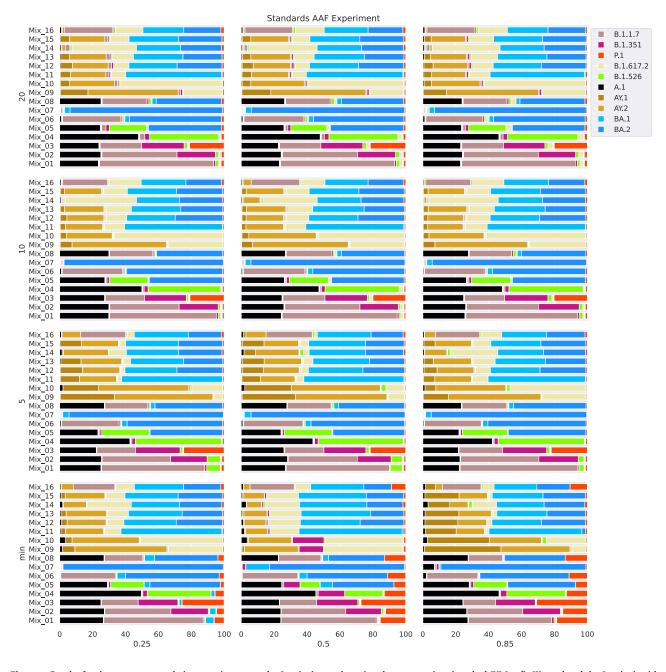
It is apparent that the composition of the reference used must 513 475 have a large impact on the determination of relative SARS-CoV-514 476 2 abundances in wastewater sequence data. Especially given 515 477 478 the dynamic and constantly updated SARS-CoV-2 lineage def-516 initions [3], the reference genome sequences and the signa-517 479 ture mutations derived from them also change frequently. Of 518 480 course, the various tools (Table 1) and their parameters devel-48 oped for estimating the relative abundance of lineages from 520 482 wastewater sequencing data also have an impact. Here, how-521 483 ever, we have specifically focused on the effects of the reference 522 484 design. 485 523

We selected two general approaches to design reference 524
 data sets and estimate SARS-CoV-2 lineage proportions from 525
 wastewater sequencing samples (Figure 1). On the one hand,526

selected marker mutations that are characteristic for certain SARS-CoV-2 lineages can be used for annotation and lineage proportion estimation (mutation-based, MAMUSS). Here, the read sequences derived from a wastewater sample are mapped against a reference genome from which differences (mutations) are detected and compared against the selected marker mutations. On the other hand, full SARS-CoV-2 genome sequences can be used to create a reference index without prior collection of specific mutations (sequence-based, VLQ-nf). Here, the problem of selecting appropriate marker mutations is shifted to selecting representative lineages from which features for the classification task are derived. An exemplary implementation of this approach based on the pseudo-aligner Kallisto [32] was recently proposed by Baaijens, Zulli, and Ott et al. [29]. Based on their work, we developed a Nextflow pipeline for higher automation and reproducibility and detecting SARS-CoV-2 lineage proportions from wastewater data using pseudoalignments (VLQ-nf). In this approach, a selection of wholegenome SARS-CoV-2 sequences (target reference set) and the reads (query) are composed into kmers which are then efficiently compared to quantify lineage abundances, similar to quantifying gene expression in an RNA-Seq study.

To benchmark reference designs from both methods (*mutation-based* via MAMUSS, *sequence-based* via VLQ-nf), we selected three test scenarios: 1) a spike-in experiment with different SARS-CoV-2 lineage mixes, 2) samples obtained for Germany from a Pan-EU wastewater study, and 3) a wastewater sample from a German airport during the time when Omicron emerged.

In general, both approaches detected SARS-CoV-2 lineage abundances from our test cases. The most remarkable difference was in the number of detected sub-lineages which also directly correlates with the reference design. VLQ-nf generally detected a larger diversity of sub-lineages in comparison to MAMUSS, which can be explained by the underlying reference indices. It became increasingly difficult to select a representative set of marker mutations for the *mutation-based* approach and the implementation we used as more and more



**Figure 5.** Results for the parameter escalation experiments on the *Standards* samples using the *sequence-based* method (VLQ-nf). We analyzed the *Standards* with different parameterizations for reference construction (x-axis: increasing AAF threshold, y-axis: increasing maximum number of sequences per lineage). VLQ-nf, using pseudo-alignments, detected all lineages and estimated abundance profiles well across most samples and parameter settings. However, we also observed prominent detection ambiguities among Delta and its sub-lineages and found consistently low abundant false positives for specific groups of lineages. Continuously increasing or decreasing parameter settings caused heterogeneous changes in the estimated abundance proportions across samples. The *sequence-based* method showed to perform better when using a reference set larger than the minimum reference size. Still, we found noise levels to increase distinctly when using the maximum reference size among the considered settings.

(sub)lineages were defined and there was overlap in muta-595 527 tions (convergent evolution). In contrast, the sequence-based 596 528 approach as suggested by Baaijens, Zulli, and Ott et al. [29] can 597 529 build a reference index on a large collection of SARS-CoV-2598 530 full genome sequences derived from clinical samples and thus,599 531 potentially, better reflect diversity on sub-lineage levels. How-532 ever, we also observed a certain amount of noise in the pseudo-533 alignment results causing potential false-positive hits in our 534 test data sets. Other approaches, like Freyja [21], partly tackle 601 535 this problem by deriving signature mutation profiles automat-536 ically, for example, using the whole phylogenetic diversity of 602 537 current SARS-CoV-2 sequences reflected in an UShER tree [34].603 538 However, here we have also observed that the inclusion of a 404 539 large diversity in the reference can lead to distributed abun-540 dance assignments between closely related (sub)-lineages, re-506 541 ducing the true relative abundance of a lineage (Figure S5 and 607 542 Figure S6). Of course, the impact can be reduced by limiting 608 543 lineage coverage to a specific time period, but this, in turn, can<sub>609</sub> 544 also affect frequency assignments. 545

In more detail, both approaches performed similarly in de-611 546 tecting and estimating spike-in lineage abundances for the 612 547 Standards data set Figure 2. The predictions are more similar 613 548 on the parent-lineage level compared to the sub-lineage level.614 5/0 If their estimations differ, this can be mostly attributed to dif-615 550 ferences in the mutations/lineages included in the respective 616 551 reference data: for both approaches, the final predictions heav-617 552 ily depend on the construction of the reference data set. In ad-618 553 dition, both approaches had difficulties differentiating closely 619 554 related sub-lineages correctly. 620 555

For the Pan-EU-GER data set, both approaches reflect well 621 556 the pandemic background in Germany during the time of sam-622 557 pling, but we detected some limitations and potential sources 623 558 for bias: The choice of marker mutations and reference lineages 624 559 impacts the level of detection, i.e. lineage vs. sub-lineage level 625 560 estimations, but also the amount of low abundance detection. 626 561 Potentially, everything that is defined in the reference data set 627 562 can also be detected, which might lead to an increased number 628 563 of false positive predictions. The whole-genome sequences or 629564 mutations used to create the reference index impact the degree 630 565 of ambiguity and, thus, (low abundant) false positive detection. 631 566 This may explain why both approaches predicted distinctly dif-632 567 ferent abundances on the parent-lineage level compared to the 633 568 other two benchmark experiments. Therefore, we think that 634 569 especially the sequence-based approach requires the definition 635 570 of a false positive threshold to differentiate between low abun-636 57 dant false positive hits and low abundant true positives. 572 627

573Both approaches also detected low-frequency lineages for 638574the FFM-Airport data set. Again, the sequence-based approach 639575detects a distinctly higher amount of low abundant lineages,640576also reflecting the higher diversity of the reference index.

We performed an additional parameter benchmark to iden-642 577 tify important key parameters impacting the sequence-based 643 578 pseudo-alignment approach using VLQ-nf. One parameter 644 579 that strongly affects the results is the alternative allele fre-645 580 quency (AAF) cutoff. In connection with the reference size (the 646 581 number of genomes), we observed different effects of chang-647 582 ing the AAF. Our experiments also showed that the effect of 648 583 the same parameter changes (increasing or decreasing AAF)649 584 does not yield consistent results among the different data sets.450 585 586 The degree of lineage ambiguity depends on the considered 651 composition of lineages and sub-lineages. The effect of in-587 cluded/excluded mutations due to adjusted AAF parameter set-588 tings is variable, as different mutations have different effects in 652 589 differentiating lineages. The effect of those parameter changes 590 is most notable among more similar lineages. We also observed 653 591 that with a larger reference size, the effect of the AAF param-54 592 eter becomes smaller and overall abundance estimations im-655 593 prove. One explanation might be that by adding further refer-656 ence genomes for a lineage, low-frequency mutations are implicitly introduced and increase the genomic variation that is represented by the reference data set. These additional lowfrequency mutations might support the differentiation of certain (sub-)lineages better and thus slightly improve abundance estimations.

# **Potential implications**

In this study, we focus exclusively on Ion Torrent sequencing data to specifically investigate the influence of reference database composition and analysis parameters on lineage abundance estimates in wastewater sequencing. While acknowledging that incorporating data from additional platforms like PacBio, Nanopore, and Illumina could broaden the analysis of variability and robustness, we chose Ion Torrent due to its established efficacy in achieving high horizontal genome coverage in our sequencing runs [20, 12, 37], critical for assessing the impact of reference bias. This focused approach allows us to explore the considerable effects that reference selection and analytical settings have on lineage abundance results, a crucial area for accurate viral surveillance. Future studies might explore a comparative analysis across different platforms to enhance understanding of lineage composition and abundance estimation in wastewater samples. However, our current study is intentionally limited to specific research objectives related to reference bias in a mutation-based and sequence-based setting and in the context of declining clinical sequencing and the dilution of available reference sequences.

Further, we only selected two exemplary implementations of the mutation- and sequence-based approaches MAMUSS and VLQ-nf, respectively, out of an increasing number of scripts, tools, and pipelines becoming available for computational SARS-CoV-2 lineage estimation from wastewater sequencing (Table 1) [21, 22, 23, 24, 16, 25, 26, 18, 10, 27, 28, 29, 30, 31]. Thus, our benchmark results also reflect and are limited by the individual characteristics of these two implementations. However, we focused on these two approaches to investigate the impact of reference design using implementations where we could easily control parameters and input - similar to the decision for the Ion Torrent technology. Currently, a comprehensive benchmark comparison for the existing SARS-CoV-2 wastewater analysis tools is lacking. The developers of Freyja compared a selection of tools on a spike-in mixed sample [21] where they found that Freyja outperformed VLQ [29] in accuracy at higher expected proportions and observed noticeably longer computation times for both VLQ and LCS [23]. To counteract the effect on lineage abundance detection, some methods filter the mutations considered for lineage assignment based on sequencing depth [16] or adjust their mathematical model for differences in depth and coverage and expected error rates [21, 27]. Similarly, the PiGx tool addresses the limitations of estimating lineages at low abundances by weighting specific signature mutations for lineages that are expected to occur at low frequencies [26]. Another recent study compared nine computational tools but only used simulated genomic data [33]. As a next step, a broader evaluation of all available tools for analyzing SARS-CoV-2 wastewater sequencing data is urgently needed to guide usage and further development [42].

#### Conclusion

Academic researchers have pioneered wastewater monitoring of SARS-CoV-2 and overcome several technical and methodological challenges [15]. Thanks to these efforts, wastewaterbased pathogen surveillance has rapidly become a valuable pub-

lic health tool for detecting SARS-CoV-2 that can excellently 721 657 complement syndromic surveillance or other monitoring tools.722 658 However, public health authorities are now faced with the task 659 of integrating these achievements into robust and continuous 660 public health surveillance systems that can be operated and 661 expanded over the long term. Performance parameters must 662 be defined and communicated to the public health authorities 663 to include wastewater-based pathogen surveillance data. In  $^{^{725}}$ 664 this context, continuous updating of reference data sets, in the  $^{^{726}}$ 665 context of retrospective analyses or time series, is essential to  $^{\scriptscriptstyle 727}$ 666 ensure comparability between time points. For example, ge-728 667 nomic sequences of newly defined lineages might already be729 668 present in wastewater samples from previous weeks. However, 669 bioinformatic analysis of previous samples could not detect the 731 670 novel lineage because it was not included in the reference data 732 671 set at that time point. Continuously updated reference data 733 672 sets can support comparing and interpreting wastewater se-734 673 quencing time series data. Yet, harmonizing the reference used 735 674 would require recalculating older abundance estimates, which 736 675 may conflict with the standard reporting requirements of pub-737 676 lic health authorities. However, this problem is not specific to  $^{\scriptscriptstyle 738}$ 677 wastewater-based SARS-CoV-2 sequencing data, but also ap-739 678 plies to genomics sequencing of patient samples. One solution 740 679 might be to focus not only on lineages, but also to report mu-741 680 tations that are not affected by any nomenclature scheme and 742 68 are not subject to delayed definitions. On the other hand, it is 743 682 undeniable that lineages played a crucial role in communica-744 683 tion during the COVID-19 pandemic. Recently, McBroome et al.<sup>745</sup> 684 proposed a novel framework for a more automated and scalable 746 685 designation of viral pathogen lineages from (clinical) genomic 747 686 7/8 data [43]. 687 Wastewater sequencing data also offers the potential to un-<sup>749</sup>

688 cover cryptic (novel, undescribed) lineages, although resolv-750 689 ing the full genomic profile of those solely from wastewa-751 690 ter data still poses several challenges [21, 11]. In this con-691 text, approaches utilizing artificial intelligence might present 752 692 a promising next step for the improved detection of cryptic 753 693 SARS-CoV-2 lineages from wastewater sequencing data and 694 increasing trends, although right now, not much in use [44].754 695 However, first studies appear that use machine learning for 755 696 the early detection of new signals from wastewater data and 756 697 the description of potential new SARS-CoV-2 lineages [45, 46].757 698 Finally, the lessons learned from the sequencing efforts and 758 699 implementations for SARS-CoV-2 detection from wastewater759 700 sequencing data can and should be adapted to other pathogens  $-\infty$ 701 to further advance wastewater genomic surveillance efforts. 702 761

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## 703 Methods

#### 704 Benchmark data set #1: Standards

We procured synthetic SARS-CoV-2 RNA samples (Twist Bio-768 705 sciences), which were used to prepare 16 different mixtures 769 706 (Table 2) containing different SARS-CoV-2 variants. From 770 707 the pooled RNA, cDNA was synthesized using SuperScript<sup>TM</sup> 771 708 VILO<sup>™</sup> Master Mix (Thermofisher Scientific), followed by li-772 709 brary preparation using the Ion AmpliSeq SARS-CoV-2 Re-773 710 search Panel (Thermofisher Scientific) according to the manu-774 711 712 facturer's instructions. This panel consists of 237 primer pairs, 775 resulting in an amplicon length range of 125–275 bp, which 776 713 cover the near-full genome of SARS-CoV-2. We performed two 777 714 sequencing runs to achieve at least 1 million mapped reads per 778 715 sample. For each sequencing run, eight libraries were mul-779 716 tiplexed and sequenced using an Ion Torrent 530 chip on an780 717 Ion S5 sequencer (Thermofisher Scientific) according to the 781 718 manufacturer's instructions. The raw sequence data were up-782 719 loaded to the National Center for Biotechnology Information 783 720

(NCBI) Sequence Read Archive (SRA) under BioProject number PRJNA912560.

# Data processing: *mutation-based* reference design and lineage proportion estimation via MAMUSS

We used the SARS-CoV-2 Research Plug-in Package, which we installed in our Ion Torrent Suite software (v5.12.2) of Ion S5 sequence. We used the SARS\_CoV\_2\_coverageAnalysis (v5.16) plugin [47], which maps the generated reads to a SARS-CoV-2 reference genome (Wuhan-Hu-1-NC\_045512/MN908947.3), using TMAP software included in the Torrent Suite. The summary of mapping of each sample mentioned in Table 2 is provided in Table S2. For mutation calls, additional Ion Torrent plugins were used as described previously [37] and detailed below. First, all single nucleotide variants were called using Variant Caller (v5.12.0.4) with "Generic - S5/S5XL (510/520/530) -Somatic - Low Stringency" default parameters. Then, for annotation and determination of the base substitution effect, we used COVID19AnnotateSnpEff (v1.3.0.2), a plugin developed explicitly for SARS-CoV-2 and based on the original SnpEff [48]. To construct reference marker mutation sets for MAMUSS, we used data from GISAID [8]. For each SARS-CoV-2 variant, we downloaded the variant surveillance database and selected complete clinical genome sequences, followed by counting the prevalence of its associated mutations. The fifty most prevalent mutations associated with each variant were used as reference marker mutation set. The lineage abundance estimation is based on the read depth and allele frequency of each mutation detected in a wastewater sample followed by a two-indicator classification and comparison to the pre-selected marker mutations characteristic for certain lineages. For further details see the MAMUSS GitHub repository [49].

# Data processing: *sequence-based* reference design and lineage proportion estimation via VLQ-nf

Instead of relying only on manually or algorithmically selected marker mutations, another computational approach utilizes, in a first step, full genome information. For example, Baaijens, Zulli, and Ott et al. presented a method to estimate the abundance of variants in wastewater samples based on wellestablished computational techniques initially used for RNA-Seq quantification [29]. Here, the main idea is that quantifying different transcripts derived from the same gene is computationally similar to the abundance estimation of different SARS-CoV-2 lineages derived from the same parental genome. Via Kallisto [32], they perform pseudo-alignments of the raw reads against an index of pre-selected and downsampled full genome SARS-CoV-2 sequences with respective lineage information. Therefore, their approach may be less influenced by the pre-selection of mutations based on clinical relevance, frequency, or other parameters that mostly drive mutation-based tools, and thus may be better suited for sublineage discrimination. The approach comprises two steps: 1) selecting reference genome sequences for index construction and 2) pseudo-alignment of the reads and lineage abundance estimation. First, a reference data set of SARS-CoV-2 genome sequences must be selected. For that, we use data from GISAID [8] and filter for human-host sequences, N-count information, pangolin annotation [3, 2], origin (country, continent), and sampling date. This metadata is used to pre-select sequences based on geographic origin (continent, country), a sampling time frame, and the number of N bases. Next, the pipeline performs a variant calling against a reference sequence (per default index Wuhan-Hu-1, NC\_045512.2) and subsequently samples sequences to select characteristic mutation profiles for

each input lineage. Within a lineage, sequences are sampled 784 based on an alternative allele frequency cutoff (e.g., AAF>0.5) 785 so that each mutation is represented at least once until an up-786 per limit of sequences per lineage is reached. From this down-787 sampled and representative set of full genome sequences, a 788 Kallisto index is constructed. Now, the raw reads from a FASTQ 789 file are pseudo-aligned against this index and lineage abun-790 dances are quantified. This is done by estimating for each read 791 the probability of originating from each genome sequence in 792 the reference using expectation maximization, and finally ag-793 gregating the resulting probabilities across the lineage labels 794 associated with every reference genome. 795

For our comparative study, we used the initial idea and 796 code base from Baaijens, Zulli, and Ott et al.[29, 50] and im-797 plemented a Nextflow pipeline [35, 36] with the purpose of au-798 tomating the steps and making our analyses fully reproducible. 799 In this context, we discovered some issues in the pipeline ver-800 sion 61dd29df\* of Baaijens, Zulli, and Ott et al. and imple-349 801 mented minor adjustments. This includes updating data pro-850 802 cessing scripts according to the most recent GISAID data format 851 803 and allowing the sequence selection based on alternate allele 852 804 frequencies to consider multi-allelic sites. Meanwhile, the au-853 805 thors have addressed those issues with similar code changes in 854 806 their current pipeline version. In pipeline version 61dd29df\*,855 807 sequences are selected for the reference index if they carry an 856 808 AAF filter passing mutation that is not yet covered until the 857 809 reference set for the respective lineage meets the maximum<sub>858</sub> 810 allowed number of sequences. We wanted to provide the  $pos_{Rso}$ 811 sibility for using a minimum reference setup to reduce data 812 storage requirements and allow exploring the impact of differ-861 813 ent AAF thresholds on abundance estimation. Subsequently,1862 814 we adjusted the AAF filter to first sample a minimum set of 863 815 genome sequences so that all passing mutations are included 864 816 at least once, before increasing the reference set to the num-865 817 ber of maximum sequences per lineage. We ran our pipeline<sub>866</sub> 818 version v1.0.0 for all analyses in this benchmark study. 819

# Reconstruction of indices for the sequence-based approach

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The sequence-based (VLQ-nf) approach highly depends on the <sup>870</sup>/<sub>870</sub> selection and reconstruction of the reference data set for the <sup>871</sup>/<sub>874</sub>
Kallisto index. Thus, we reconstructed different indices for our <sup>872</sup>/<sub>875</sub> three benchmark data sets to mimic the pandemic situation <sup>873</sup>/<sub>874</sub> during the time of sampling. We used GISAID data for all in-<sup>874</sup>/<sub>877</sub> dices and extracted subsets based on metadata filters. <sup>875</sup>

For the benchmark of the 16 mixed Standards, we con- $_{876}$ 828 structed a reference data set comprising the included SARS-877 829 CoV-2 lineages. We selected a time frame of two weeks around 830 the peak of global incidences[39? ] for each lineage included 831 in the mix (Table 3). We only kept records with at least 29,500  $_{\scriptscriptstyle 880}$ 832 non-ambiguous bases. Because we also included the original 881 833 Wuhan-Hu-1 reference sequence in mixed samples Mix\_01-834 Mix\_05 and Mix\_08, we first excluded all A.1 sequences from 835 the preselected set. Then, we selected reference sequences with  $_{\scriptscriptstyle 884}$ 836 characteristic mutation profiles for all lineages except A.1 as de-837 scribed before allowing a maximum number of five sequences 838 per lineage. Then, we added the sampled A.1 sequences again  $_{\scriptscriptstyle 887}$ 839 to the final reference set, as otherwise the A.1 sequences would 840 have been excluded by the pipeline because they don't show any  $_{\scriptscriptstyle BSQ}$ 841 AAF in comparison to the Wuhan-Hu-1 reference. On average, 842 we selected five sequences for a lineage to capture every mu-843 tation against the wildtype with an AAF>0.25 (within-lineage 890 844 variation) and a maximum of five allowed sequences per lin-891 845 eage. 846

 $_{847}$  For the *Pan-EU-GER* samples (collected between 10th and  $_{892}$  $_{848}$  30th March 2021), we reconstructed the reference from clin- $_{893}$  **Table 3.** For each lineage in the *Standards* data set, we selected the time frame where infection numbers peaked globally [38]. Based on the listed time frames, we sampled genome sequences from GISAID for reference reconstruction. We downloaded the GISAID records on 02 March 2022.

Lineage	Time frame
A.1	2020-03-01:2020-03-14
B.1.1.7	2021-05-01:2021-05-14
B.1.351	2021-01-20:2021-02-02
P.1	2021-04-20:2021-05-03
B.1.526	2021-03-20:2021-04-02
BA.2	2022-02-01:2022-02-14
BA.1	2021-12-01:2021-12-14
B.1.617.2	2021-06-25:2021-07-08
AY.1	2021-08-01:2021-08-14
AY.2	2021-06-25:2021-07-08

ical GISAID records we downloaded on 27 January 2022. We selected only European sequences sampled between February 1st, 2021, and April 30nd, 2021, with at least 29,500 non-ambiguous bases. To reflect the influx of variants from other European countries, we have not only selected sequences from Germany. On average, we then selected three sequences per lineage to capture every mutation against the wildtype with an AAF>0.25 (within-lineage variation) and allowing at most five reference sequences per lineage.

For the *FFM-Airport* data set, we reconstructed the reference from GISAID records we downloaded on 11 February 2022. We selected genome sequences from European and South African clinical records sampled between October 1st, 2021, and December 31st, 2021, again with at least 29,500 non-ambiguous bases. On average, four sequences were selected for a lineage to capture every mutation against the wildtype with an AAF>0.25 (within-lineage variation). Again, we allowed at most five sequences to be included per lineage.

#### Lineage-abundance estimation with the sequencebased approach

After reconstructing different reference indices for our benchmark data sets, we used specific Kallisto commands implemented in a Nextflow pipeline to prepare Kallisto mapping indices, compute pseudo-alignments of each benchmark data set against its reference index, and estimate lineage abundances following the original idea and code of Baaijens, Zulli, and Ott *et al.*[29].

First, we built a Kallisto index from the reference database (default k-mer=31). Next, for each sample in a benchmark data set, we pseudo-aligned all reads against the corresponding Kallisto index and estimated the abundance of each reference sequence in the sample. We quantified our benchmark data sets in single reads mode with an average fragment length of 200 nt with a standard deviation of 20 nt. Finally, a customized script groups the estimated abundances by the lineage annotation of the respective sequences and sums them up into a final lineage abundance estimation for the analyzed sample. For the *Pan-EU-GER* and *FFM-Airport* data sets, we further summarized the estimated abundances by the country information of the analyzed samples to compare the pseudo-alignment and *mutation-based* approach on the country level.

# Assessing parameter impact and potential bias with the pseudo-alignment approach

We performed parameter escalation experiments with our three benchmark data sets using the *sequence-based* method (VLQ-

nf) to assess the impact of the AAF threshold and the cut-894 off for a maximum number of sequences per lineage on lin-895 eage abundance estimation. More importantly, we used the 951 896 resulting observations to inform our choice of parameters used 952 897 for the final benchmarking against the mutation-based method 953 898 (MAMUSS). In this context, we aimed to determine a setting 954 899 with a good prediction performance and reasonable computa-900 tional effort without manipulating the benchmark in favor of 901 the sequence-based method. For every benchmark data set, we 902 903 constructed reference indices over a range of 12 possible parameter combinations. For the AAF threshold, we iterated over 904 [0.25, 0.5, 0.85] to cover lower, medium, and high threshold 905 values to define the characteristic mutation profiles. For the 906 057 maximum number of sequences per lineage, we built the ref $\frac{927}{958}$ 907 erence index using the minimal sequence sets possible, 5,  $10,_{_{959}}^{_{950}}$ 908 and 20 sequences per lineage. After lineage abundance estima-909 tion with each reference index on the Standards data set, we 910 evaluated prediction performance based on the ground truth 91 lineage abundances. For the FFM-Airport and Pan-EU-GER data, 012 we assessed prediction performance by comparing estimated 913 lineage abundances with the pandemic background at the  $re_{\frac{1}{965}}$ 914 spective time and location. 919 966

# Reproducibility of the pseudo-alignment approach

Our Nextflow pipeline of the pseudo-alignment approach [36] 971 917 generates the reference database in the format of a CSV file 918 containing the metadata information of the final Kallisto index 919 and a FASTA file containing the corresponding sequence data.<sup>9</sup> 920 In the current version v1.0.0, the reference CSV and FASTA can 921 be exactly replicated using the same input data resource and in-973 922 dex reconstruction parameters which leads to slightly different 923 results at every analysis run. The reference CSV is not repro-924 ducible due to misplaced random sampling seeds and a miss-974 925 ing record sorting strategy in the AAF-based sequence filtering 926 step during reference reconstruction. However, lineage detec-975 927 tion and quantification are deterministic given VLQ-nf takes 928 fixed reference data sets as input (final CSV and FASTA refer-929

ence or already built Kallisto index). 930

# Availability of source code and requirements

Here, we provide the specifications of our Nextflow implemen-932

- tation (VLQ-nf) of the sequence-based approach originally pre-933 sented by Baaijens, Zulli, and Ott et al.[29] and the code for the 934
- mutation-based approach, MAMUSS. 935

•	Project name: VLQ-nf	983
•	Project home page: https://github.com/rki-mf1/VLQ-nf	984
•	Operating system(s): Linux, Mac, Windows via Linux sub-	985
	shell	986
•	Programming language: Nextflow	987
•	Other requirements: Conda	988
•	License: GPL-3.0	
		<ul> <li>Project name: VLQ-nf</li> <li>Project home page: https://github.com/rki-mf1/VLQ-nf</li> <li>Operating system(s): Linux, Mac, Windows via Linux subshell</li> <li>Programming language: Nextflow</li> <li>Other requirements: Conda</li> <li>License: GPL-3.0</li> </ul>

#### · Project name: MAMUSS 943

- Project home page: https://github.com/lifehashopes/ 944 MAMUSS 990 945
- Operating system(s): Linux, Mac 946
- Programming language: R 947
- Other requirements: R packages are listed in the repository 993 948 994
- License: CC0 1.0 Universal 949

## **Data Availability**

The data sets supporting the results of this article are available in the Open Science Framework repository [51]. All supporting data and materials are available in the GigaScience GigaDB database [52].

### Declarations

# List of abbreviations

- AAF alternative allele frequency
- FFM-Airport one sample from the end of 2021 including first signals of the VOC Omicron obtained from wastewater at the international airport in Frankfurt am Main, Germany [20]
- MAMUSS mutation-based approach for SARS-CoV-2 lineage abundance estimation
- Pan-EU-GER seven samples from early 2021 from a large European study and collected in Germany, mainly comprising the VOC Alpha [12]
- Standards synthetic scenario of 16 "spike-in" mixture SARS-CoV-2 samples
- VLQ-nf sequence-based approach for SARS-CoV-2 lineage abundance estimation, inspired by the original VLQ [29]
- WBE wastewater-based epidemiology

# **Ethical Approval (optional)**

Not applicable.

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#### **Consent for publication**

Not applicable.

#### **Competing Interests**

The authors declare that they have no competing interests

## Funding

#### **Author's Contributions**

SA, SL, and MH provided conceptualization and study design. SA implemented the MAMUSS approach and analyzed corresponding data. EA implemented the VLQ-nf approach and analyzed corresponding data. SA and LO conducted wet lab experiments to generate and sequence synthetic mixtures. EA, SA, and MH performed the computational comparisons and generated the figures. All authors actively participated in the writing and editing of the manuscript. All authors have read and agreed to the published version of the manuscript.

# Acknowledgements

We gratefully acknowledge all data contributors, i.e., the Authors and their Originating laboratories responsible for obtaining the specimens, and their Submitting laboratories for generating the genetic sequence and metadata and sharing via the GISAID Initiative, on which this research is based.

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### Supplement

Alternative allele frequency and size of reference<sub>1272</sub> database impact the sequence-based method but the ef fects are dependent on sample composition

Pan-EU-GER 1209

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1276 Across all samples and experiments, we found the predic+277 1210 tions of the sequence-based method to reflect the pandemio278 1211 background in Germany well. Alpha and its sub-lineages<sup>279</sup> 1212 were among the most prominent predictions within the time<sup>280</sup> 1213 frame of wastewater sampling (Supplementary Figure S3). For1281 1214 most samples, we found Alpha and Q.1 to be the most abun+282 1215 dant (sub-)lineages. The sequence-based method predicted dis+283 1216 tinctly varying abundances for sub-lineages other than Alpha<sub>J<sup>284</sup></sub> 1217 Beta, Gamma, or B.1.617 (summarized as "Other") across the1285 1218 Pan-EU-GER samples. We chose a cutoff of 1% abundance to286 1219 differentiate true positive predicted lineages from false posi+287 1220 tive noise. On average, we found the pseudo-alignment-based<sup>1288</sup> 1221 approach to detect around 20-30% abundance of noise across<sup>289</sup> 1222 all samples and parameter settings. At the minimum reference290 1223 size (Supplementary Table S1), we observed for some samples<sup>1291</sup> 1224 a slightly decreasing amount of noise and a slightly increas +292 1225 ing abundance for Alpha sub-lineages and "Others" when in+293 1226 creasing the AAF threshold (e.g., sample INF\_21051\_D). We 1227 found, that the number of "Others" sub-lineages above 3% 1228 abundance decreased with increasing reference size. Across all 1229 experiments, we found the sample INF\_21011\_D to be the only 1230 one to be predicted with one or two "Others" sub-lineages of 1231 at least 3% abundance. 1232

With increasing AAF threshold, we found distinct shifts in 1233 the estimated abundances for B.1.1.7 and Q.1. We observed 1234 those shifts to behave complementary but not consistently 1235 across all reference sizes: At the minimum reference size, we 1236 observed Alpha abundance predictions to distinctly increase 1237 and Q.1 abundances to decrease across all samples with in-1238 creasing AAF threshold. Conversely, for reference size 5, we 1239 found Alpha abundance predictions to first increase and then 1240 decrease again with increasing AAF threshold. Vice versa, we 1241 observed Q.1 abundances to decrease and then increase again. 1242 At the largest reference size of 20 sequences per lineage, we 1243 observed a consistent decrease in Alpha abundance estimates 1244 and a consistent increase in Q.1 abundance estimates with in-1245 creasing AAF threshold. Furthermore, we found abundances 1246 of other Alpha sub-lineages like Q.4 and Q.6 to also increase 1247 and decrease across varying parameter settings without follow-1248 ing a clear pattern, but found the predicted abundances to not 1249 change as distinctly. 1250

Overall, we found the performance of the sequence-based 1251 method to be mostly robust with varying settings for the AAF 1252 threshold and reference size. We observed the impact of those 1253 parameter changes to be stronger for more closely related lin-1254 eages in a sample and in some cases to become weaker at larger 1255 reference sizes. 1256

#### FFM-Airport 1257

Across all parameter settings, the resulting abundance pro-1258 files for the FFM-Airport data set reflected the pandemic back-1259 ground in Europe and South Africa well around the time frame 1260 1261 of wastewater sampling: the sequence-based method estimated Delta and its sub-lineages to represent the most abundant 1262 lineages and detected small proportions of Omicron (Supple-1263 mentary Figure S4). We chose a cutoff of 1% abundance to 1264 differentiate true positive lineages from false positive noise 126 and labelled sub-lineages with a minimum abundance of 3%. 1266 Because the sequence-based method detected Omicron sub-1267 lineages at abundances below 3%, the quantified levels are not 1268 labelled and due to the scale of Supplementary Figure S4 not 1260

visible. However, when grouped by parent lineage, the predicted Omicron proportions become obvious. On average, we found the sequence-based method to detect around 50% abundance of noise across all parameter settings.

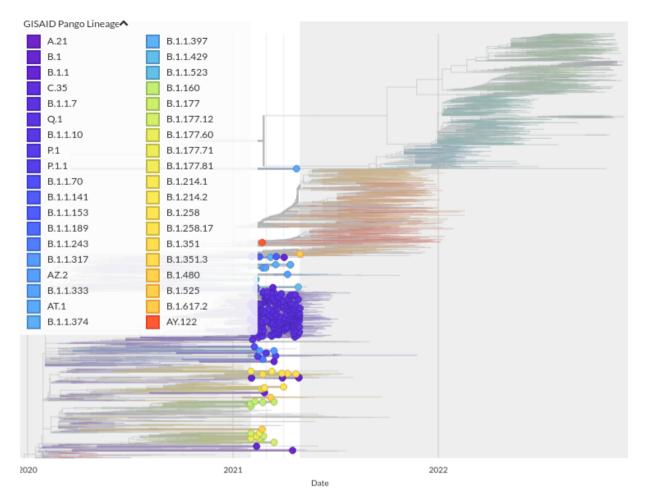
At the minimum reference size (SupplementaryTable S1), we observed a decreasing amount of low abundant noise with increasing AAF threshold. In contrast, with larger reference sizes, we found the amount of low abundant noise to change slightly and not follow a consistent pattern. Overall, we found the amount of noise to increase with increasing reference size. We observed the abundance estimates to increase for individual Delta sub-lineages with increasing AAF threshold. Specifically, we found the set of the most abundant Delta sub-lineages to change at every increase. Some examples for Delta sublineages that alternately were estimated among the most abundant lineages within a sample are AY.43.1 and AY.43.2, AY.43.3 and AY.42, and AY.121 and AY.122. When considering the lineage abundance profiles grouped by parent lineages, we found the predicted abundance profiles to not change distinctly across different parameter settings(Supplementary Figure S4.

Finally, we found different settings for the AAF threshold and reference size to not distinctly affect the performance of the sequence-based method. We observed variations in the abundance estimates among multiple Delta sub-lineages.

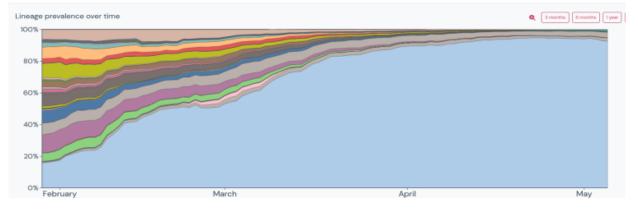
Supplementary Table S1. Table showing the minimum reference sizes across the different alternative allele frequency (AAF) thresholds considered in the parameter escalation experiments across our three benchmark data sets. Here, we list the minimum number of genome sequences required per lineage to capture every mutation with an AAF above the considered AAF threshold at least once based on the implemented sampling strategy during reference construction. The Standards reference database required the largest number of sequences to capture the predefined genomic variation. Overall, we observed that with an increasing AAF threshold, the minimum reference sizes per lineage decreased across all three benchmark data sets.

#### AAF threshold Minimum number of sequences per lineage

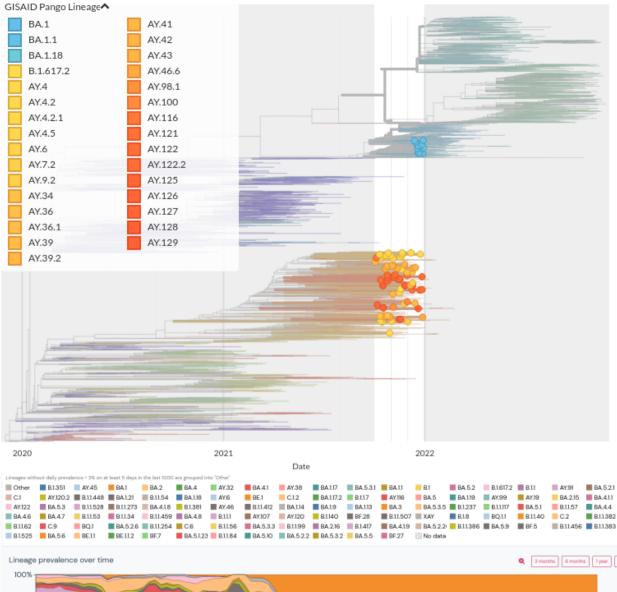
	Standards	Pan-EU-Ger	FFM-Airport
0.25	20	3	3
0.5	10	2	2
0.85	10	1	1

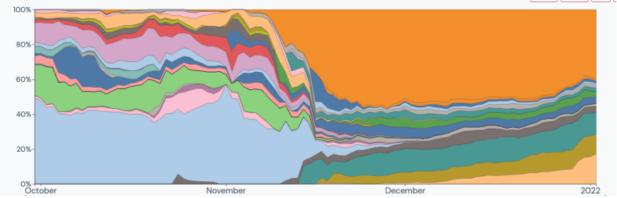


Lineages with	out daily preval	ence > 3% on e	t least 5 days is	n the last 1000	are grouped int	to "Other"											
Other	BA.2	8.11.7	BA.1.1	AY.43	BA.2.9	AY:122	BA.5.1	BE.11	8.1.617.2	AY.4	BA.5.2	BA.1	BA.5.2.1	BA.1.1.1	AY.126	BA.118	AY:121
AY.46.6	BE7	BA.117.2	AY.9.2	AY.42	AY.125	AY.129	AY.98.1	BA.1.17	BA.2.12.1	B.1	BA.5.1.3	AY:127	AY.33	BA.5.9	BA.2.36	BA.115	BF.5
BA.4.1	B.1.177.86	BE.1.1.2	B11	B.1177.81	B.1.177	BA.4	B.1.221	B.1.351	B1258	AY.5	BA.5.2.2	B.1160	B.1.329	BQ.1.1	B.1.1.317	BQ.1	BA.5.2.6
B.1.177.62	BF.7.5	AY.70	B11.70	8	AY.4.7	AY.120.2	C.36.3	B.1.1.39	8.11.385	B.1.177.75	B.1.221.2	8.19.4	C.35	B11.297	A	8.1177.77	8.11.294
B.1.1.170	B.1.1189	B.11.232	B.1.177.44	8.1177.52	B.1.177.45	B.3	8.11.521	B.1.36	B.11.58	B1.236	B.11.338	B.1406	B.1.1.515	B11.277	B.1.367	B.11.1	8.1146
B.1.1.413	B.1.1305	B.1.22	B.1.416.1	B.1177.33	B.1.1.204	B.1.177.50	B.11.142	AK.2	B.40	B.1.398	B.1.1.219	B.11.37	B.1.218	B11.241	B11405	B.11.301	B136.20
B1147	B1520	C 36	B1159	B1475	No data												



**Supplementary Figure S1.** Top: The pandemic background across Europe between 01 February and 30 April 2021 was built with Nextstrain.org. Bottom: The outbreak.org variant report for Germany displaying the SARS-CoV-2 lineage prevalence from February to March 2021 based on GISAID sequence data. The most dominant lineages in the plot from bottom to top: light blue = B.1.17, light green = B.1, purple = B.1.177.86, light grey = other, blue = B.1.258, dark grey = B.1.221, yellow = B.1.177, orange = B.1.160, light brown = B.1.177.81

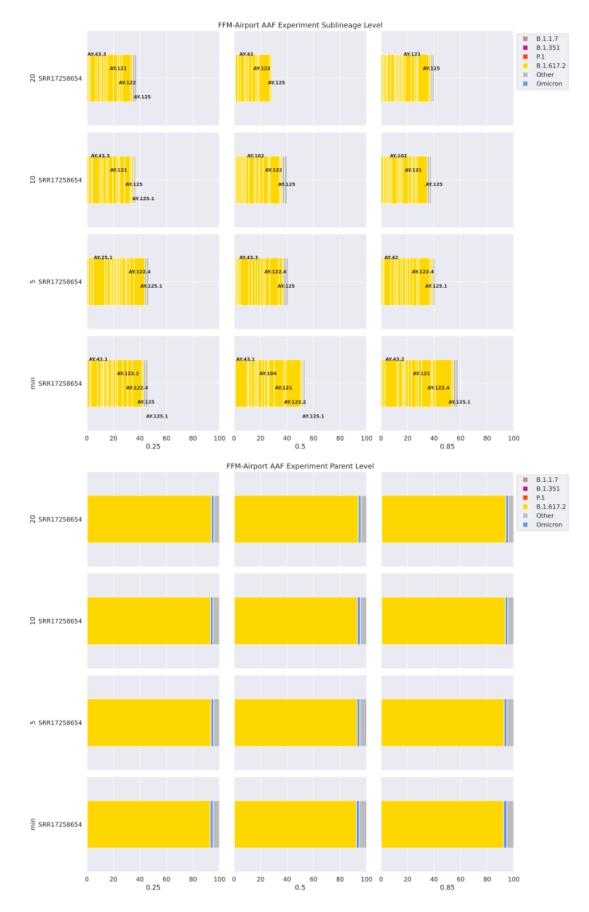




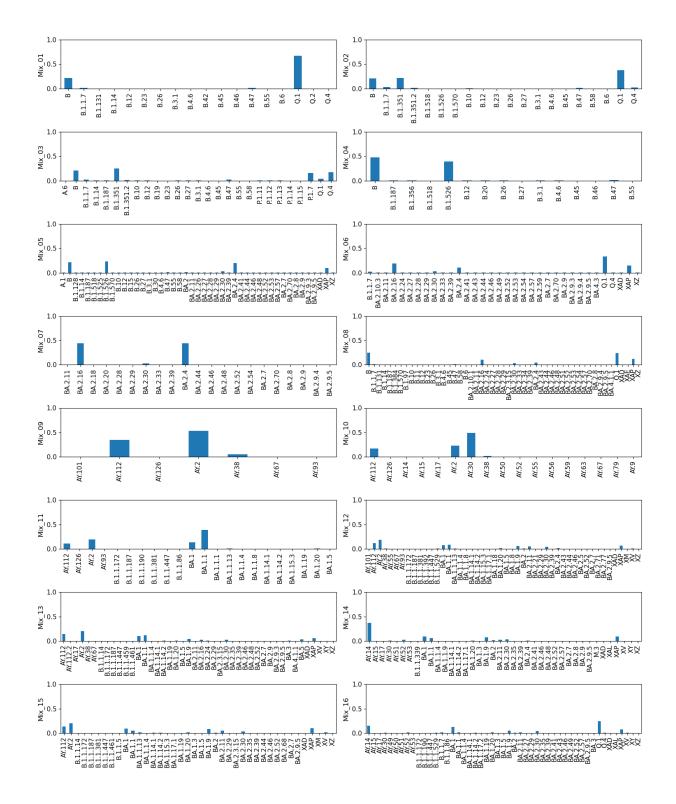
Supplementary Figure S2. Top: The pandemic background across Europe between 01 October and 31 December 2021 was built with Nextstrain.org. Bottom: The outbreak.org variant report for South Africa displaying the SARS-CoV-2 lineage prevalence from October to December 2021 based on GISAID sequence data. The most dominant lineages comprise sub-lineages of Delta and Omicron, but also B.1.351 (light green) and C.2 (light orange).



**Supplementary Figure S3.** Results for the parameter escalation experiments on the *Pan-EU-GER* samples using the *sequence-based* method using pseudo-alignment implementation. We analyzed the data set with different parameterization for reference construction (x-axis: increasing AAF threshold, y-axis: increasing maximum number of sequences per lineage). Abundance predictions are displayed at a minimum threshold of 1% and labelled at a threshold of 3%. When comparing with the pandemic background at the time of wastewater sampling, we observed the AAF threshold and the maximum number of sequences per lineage to impact the abundance proportions among Alpha and Q.1 the most. With more sequences per lineage in the reference, we found the impact of the AAF filter on the observed ambiguities to decrease. We found more low abundant sub-lineages predicted in the real wastewater data compared to the *Standards* data set and found those low abundant predictions to mostly not change distinctly across varying parameterization.

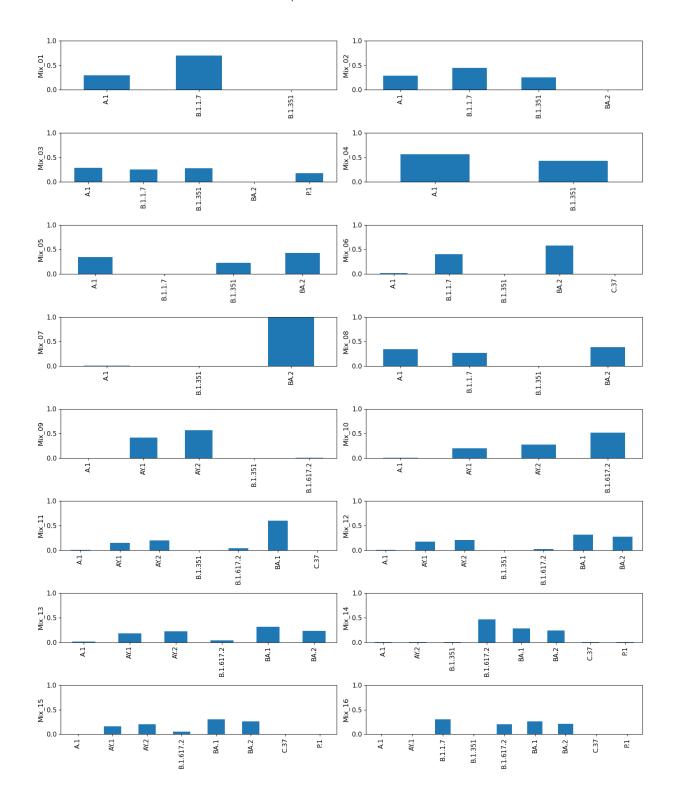


**Supplementary Figure S4.** Results for the parameter escalation experiments on the *FFM-Airport* data set using the *sequence-based* method. We analyzed the data set with different parameterization for reference construction (x-axis: increasing AAF threshold, y-axis: increasing maximum number of sequences per lineage). **Top**: Abundance predictions are displayed at a minimum threshold of 1% abundance and labelled at a threshold of 3% abundance. When comparing with the pandemic background at the time of wastewater sampling, we observed the following: Overall, we found more sub-lineages predicted with abundance below 1% compared with the *Standards* data set and the *Pan-EU-GER* set. The *sequence-based* method detected more low abundant sub-lineages with increasing reference size and slightly less low abundant sub-lineages with increasing AAF threshold. Both the AAF threshold and the reference size showed to impact lineage ambiguities among Delta sub-lineages. **Bottom**: All abundance predictions are displayed as grouped by their parent lineage. We did not find the abundance predictions for parent lineages to change distinctly across experiments.



Full barcode reference

**Supplementary Figure S5.** SARS-CoV-2 lineage abundance assignments via Freyja [21] (v1.3.12) for the *Standards*. We used the full reference UShER set as provided as a default by the tool. In this case, multiple sub-lineages were predicted and frequencies were distributed among them, resulting in a reduced frequency estimate for the true (parental) lineage and an increase in low-frequency detections. For example, in Mix\_07 the sub-lineages BA.2.16 and BA.2.4 were predicted with almost 50 %, respectively, while the included lineage BA.2 was not assigned (compare Figure S6).



Spike-in barcode reference

Supplementary Figure S6. SARS-CoV-2 lineage abundance assignments via Freyja [21] (v1.3.12) for the *Standards*. We reduced the reference UShER set to the lineages part of our artificial mixtures, instead of using the full UShER barcode data set as shown in Figure S5.

SampleID	Total number of reads	Number of mapped reads	Average target base coverage depth <sup>*</sup>
Mix_01	11585401	11409164	67129
Mix_02	8000781	7872877	45648
Mix_03	7182909	7082168	41674
Mix_04	11156327	11033124	65477
Mix_05	9509819	9358747	54475
Mix_06	12228003	12005442	69829
Mix_07	11227258	10999971	63366
Mix_08	7991289	7886999	45904
Mix_09	22189148	21855903	21855903
Mix_10	8685555	8614170	8614170
Mix_11	2564976	2535182	2535182
Mix_12	2581594	2557744	2557744
Mix_13	8429568	8295217	8295217
Mix_14	6246713	6173867	6173867
Mix_15	11888445	11752739	11752739
Mix_16	6139010	6092648	6092648

**Supplementary Table S2.** Table summarizing the mapping of each *Standards* sample.

\*Target sequence was the SARS-CoV-2 reference genome (Wuhan-Hu-1)

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Click here to access/download Supplementary Material FigureS2\_Supplement.png

Click here to access/download **Supplementary Material** FigureS3\_Supplement.pdf

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Click here to access/download Supplementary Material FigureS5\_Supplement.png

Click here to access/download Supplementary Material FigureS6\_Supplement.png

## **Revision #1**

Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data (GIGA-D-23-00161)

Eva Aßmann; Shelesh Agrawal; Laura Orschler; Sindy Böttcher; Susanne Lackner; Martin Hölzer

Dear Dr. Zhou, Dear reviewers,

Thank you again for handling our manuscript titled "Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data" (GIGA-D-23-00161). We appreciate the constructive comments of the two reviewers and are pleased to attach the revised version of the manuscript along with our detailed responses to the reviewers' comments. Please apologize for the long table in response to Rev #1: we wanted to document all changes and comment on all questions so thoughtfully raised via comments in the PDF version of our manuscript.

Most importantly, as the reviewers highlighted, we have clarified the scope of our study and added more information on potential limitations in the main manuscript. This addition is crucial for the accurate interpretation of our results. We have also refined the use of statistical tests and adjusted the corresponding language throughout the manuscript to ensure clarity and precision.

In response to the reviewers' feedback, we have made several significant changes:

- We expanded the discussion on the challenges of reconstructing full genome sequences from wastewater data, acknowledging the inherent limitations due to RNA degradation and the presence of mixed viral populations. The revised manuscript details this, providing a clearer understanding of the constraints faced during data analysis. However, please also note that we don't reconstruct any genomes from wastewater data—we also clarified that.
- 2. We harmonized the terminology used throughout the manuscript to avoid confusion between 'variants' and 'lineages', and addressed all grammatical and repetitive sentence concerns pointed out by Reviewer #1.
- 3. We revised sections where terms like "significant" were used without statistical tests, ensuring that all claims are now supported by appropriate statistical analysis or are rephrased to reflect the observational nature of the findings.
- 4. Each point raised by the reviewers has been comprehensively addressed, ensuring no query was left unanswered. Our responses are detailed in the attached document.

We believe these revisions have significantly strengthened our manuscript, making the findings more robust, transparent, and useful for the field. We are grateful for the opportunity to enhance our work based on the insightful feedback from the review process.

We look forward to the possibility of our study being published in GigaScience and believe it will make a valuable contribution to the ongoing efforts in understanding and utilizing wastewater sequencing data for public health surveillance.

Thank you for considering our revised manuscript. We are eager to see it contribute to the scientific community and help advance our understanding of SARS-CoV-2 dynamics in wastewater-based epidemiology.

Best,

Martin Hölzer (on behalf of all co-authors)

### **Reviewer #1**

Dear all,

Please find attached my comments and suggestions to the manuscript. In the manuscript "Impact of reference design on estimating SARS-CoV-2 lineage abundances from wastewater sequencing data" Aßmann et. al compare two methods, a sequence and mutation-based, respectively, to better understand the circulating lineages and sub-lineages in wastewater samples. Since the advent of wastewater-based epidemiology (WBE) as a tool to complement results from clinical data, there has been search for novel tools that can give robustness to the results and more importantly confidence in the data analysis. In this context, this manuscript is very important as it is contributing towards achieving that goal. This is clear in the fact that they have designed a new tool, namely MAMUSS.

Q: 1. One aspect however that the manuscript fails to mention is the difficulty in reconstructing full genome sequences from wastewater data. This has been one of the biggest problems since it is widely accepted that viral particles in water do degrade, and consequently what is being sequenced is a partial genome. Consensus sequences are therefore very difficult to obtain.

A: Thanks for the comment; we fully agree. Degradation of the RNA genome of SARS-CoV-2 viral particles is a challenge, especially in wastewater samples consisting of viral RNA from many individuals. In the same context, degradation poses a challenge, as does the mixture of different SARS-CoV-2 lineages within one sample. Thus, the presence of fragmented SARS-CoV-2 RNA from different virus variants makes it challenging, if not impossible, to reliably reconstruct a complete genome of each SARS-CoV-2 virus variant present in a wastewater sample. Generating a consensus sequence based on the mapped reads and called mutations - like it is standard when sequencing patient samples - will result in a chimeric consensus representing a mixture of different SARS-CoV-2 lineages or representing only the most dominant lineage within a mixed wastewater sample.

However, it is possible to get a high "horizontal" genome coverage from wastewater samples and, based on that, a nearly complete consensus genome sequence (but which is based on the most abundant nucleotides found at each position while mapping the amplicon reads to the reference genome sequence, as mentioned above). Thus, in our opinion, we need to distinguish between reconstructing a consensus genome sequence representative for a single SARS-CoV-2 (sub)lineage - which is very difficult from wastewater samples - or reconstructing a consensus genome sequence representing the most abundant variant calls (mutations, INDELs) for a wastewater sample (possible, and also done in the community and uploaded to GISAID; although it is debatable how useful and informative such consensus sequences are).

In this context, please note that we never aimed to reconstruct a consensus sequence in our manuscript — for the same reasons you mentioned and we described here. Please compare L68-L74, where we mention the challenges of recovering all SARS-CoV-2 genomes from a wastewater sample. We also extended this paragraph to make the consensus reconstruction challenge clearer.

Q: 2. Another aspect that the authors fail to mention in the introduction or as a point of discussion, is how a variant is defined and how we take this information from clinical samples to adopt it then to define variants in environmental samples, although some relevant tools are mentioned such as COJAC and MMMVI. Yet, how these are used, it is not explained.

A: Thanks; we agree that it is important to define and distinguish mutation and virus variants clearly and better explain which information is utilized from clinical settings for wastewater surveillance. However, please also note that we don't define any virus variants or lineages; we use the established definitions from the community. We have updated the first paragraph (L21-29) in the introduction to reflect better and explain how the information about clinically defined SARS-CoV-2 variants is used for wastewater analysis. The important point is that virus variants are defined based on their mutational profile (and additional epidemiological and geographic factors, such as in the Pangolin system) derived from sequencing patient samples. The corresponding virus variant "label" (Pangolin, Nextclade, WHO, ...) together with the mutational profile, can then be used to search for mutation patterns in a wastewater sample to assign a virus variant name. In the same context, we also mention tools specifically used for the wastewater genome sequencing analysis and SARS-CoV-2 lineage decomposition. Please also note, as detailed below again, that our focus was to compare the general approaches of mutation- and sequence-based reference construction and lineage abundance estimation and not compare specific tools. We believe it is important to form a foundation for robust reference set definitions and then investigate specific tools in more detail. We are doing this right now in a larger consortium, and a preprint will be available soon as a follow-up of this study.

Q: 3. The manuscript is well written, there are some repetitive sentences that need to be removed (see comments on PDF) as well as a couple of sentences which are not grammatically correct (see comments on PDF).

A: Thanks for the thoughtful comments in the PDF. We corrected them accordingly. Please see the table below for an overview of the changes we made and our corresponding comments.

Q: 4. It is worth mentioning that the words "variants" and "lineages" are used interchangeably. I do suggest they choose one term only.

A: Thanks; we fully agree and harmonize the usage of the terms. If we see a reason to still use the term "variant", we write more precisely "virus variant" or "SARS-CoV-2 variant" to also distinguish from mutational variation ("variant calling").

Q: 5. The manuscript mentions several times the presence of false and true positive, however does not mention how these were calculated. These need to be supported by a small statistical test.

A: Yes, we fully agree. When we use "significant", we also need a test. We changed the wording accordingly when we did not perform a statistical test. Regarding FP/TP, we are using the terms for (sub)lineages of which we know that they must be in our mixture sample (TP, when detected), or we use FP when a (sub)lineage is detected that can not be part of our mixture by design. We added a definition to the manuscript to clarify this (see lines 233-239).

Q: 6. There are minor corrections throughout the manuscript that need to be addressed. All these are highlighted as comments in the original manuscript.

A: Thanks. We checked all detailed PDF comments. Please see the table below for an overview of the changes and our comments. All changes are also marked in the new manuscript text (blue color).

position in the submitted manuscript	Marked text	Comment	Our answer	Status
Abstract	2) German samples from early 2021	Are these clinical or wastewater?	2) German wastewater samples from early 2021	changed
Abstract	3) samples obtained from wastewater at an international airport in Germany from the end of 2021, including first signals of Omicron.	Does this imply that in Germany the variants skipped the Delta surge for example, which was dominant around the world before Omicron?	Indeed, the selected airport ww samples mainly contain Delta as expected (see Results), but were chosen for analysis because they already contained low signals of imported Omicron cases. We wanted to test both approaches (mutation- and sequence-based reference construction for the estimation of the SARS-CoV-2 abundance in wastewater samples) for the ability to detect signals of very low abundant new sub-lineages among circulating known/dominant	answered

Addressing comments in the PDF:

			lineages, which is why these samples specifically were well suited for our experiments.	
86	VOC	define	Already defined in line 59	answered
148-155		repetitive	We agree that we repeat ourselves a few times in the last few Background paragraphs [115 ff.]. We generally removed repetitive paragraphs and only kept them in reduced versions when we found it stylistically necessary to tell the story.	Done
171	real samples	rephrase	wastewater samples	changed
177	n=1 sample	not statistically significant	Yes, we agree. However, and as explained in the manuscript, we want to show this sample as a proof-of-concept when the first Omicron variants were arriving in Germany. Thus, this sample also poses a particular challenge to detect Omicron in a huge Delta background. Also based on your other comment, we checked the text again and made sure that	answered

181 f.	Please note that	delete	we don't speak about "significant" in such a context when sample number is low or we don't provide a statistical test. That is true, we	Done
	no real wastewater was used to construct the Standards (see Methods)	sentence	made it more clear that no real WW was used for the spike-in mixtures.	Done
189 f.	Lastly, we obtained one sample (SRR172) from	one seems statistically insignificant. if cannot use more than one, explain	Yes, we agree. However, and as explained in the manuscript, we want to show this sample as a proof-of-concept when the first Omicron variants were arriving in Germany. Thus, this sample also poses a particular challenge to detect Omicron in a huge Delta background. Also based on your other comment, we checked the text again and made sure that we don't speak about "significant" in such a context when sample number is low or we don't provide a statistical test.	answered
209 ff.	SARS-CoV-2 variants in wastewater samples are determined by	what variant caller	We agree and this is more detailed in the Methods. Hence, we decided to	changed

	comparing the mutations profiles, generated using a variant caller,		delete this subsection and describe the methodological details only in the "Methods" section. For example, we write "using Variant Caller (v5.12.0.4) with "Generic - S5/S5XL (510/520/530) - Somatic - Low Stringency" default parameters."	
214 ff.	For the sequence-based approach, we implemented 	move to methods	We agree that this is Methods and removed the paragraph from the Data section.	changed
226	The abundance estimation is performed by an Expectation- Maximization algorithm	reference and explanation in methods	Thanks, we agree and like above removed that part from the Data section, because it is detailed in the Methods. Additionally, we decided to remove the following Data availability subsection, because it repeated information that was already provided in the Data availability sections at the end of the manuscript.	changed
245	We analyze our Standards data set …	synthetic samples	It's correct that we refer to the synthetic data set. Because we use	answered

			it as a standard to level the comparison between both approaches, we would like to stick to the name that represents the experimental purpose of the samples.	
255	We observed the most consistent false positive estimations	how you calculate these?	In the context of our study, we define "false positive" as a lineage that was detected based on a sample's sequencing data despite it not being spiked into the synthetic mixture. We added a definition of FPs and FNs accordingly in line 233-239	changed
258		reviewer remove "also"	accepted	changed
258 f.	false positives in the sample	how are false positives calculated	We identify a lineage as false positive by comparing all predicted lineages against the ground truth composition of our spiked standard sample. All lineages that do not occur in the ground truth composition are marked as false positives. We added a definition of FPs and FNs in line 233-239	changed

266	the mutation- based approach could not detect lota (B.1.526) but falsely detected BA.1	are mutations in common, how many?	In the scope of this study, we considered the final output of the evaluated deconvolution tools, i.e. lineage abundance profiles. We agree that inspecting each tool's lineage assignment on a sequence/mutati on level would be useful to understand the biases of each tool and identify genomic regions with a high ambiguity for lineage assignment tasks. However, the aim of this study was to focus on the more general impact of using different reference data types for lineage abundance estimation. We decided to rephrase this and similar observations, such that we describe undetected lineage without speculating about the lineages that have been detected instead as we do not evaluate this by inspecting (mis- )matching mutation/sequen	changed and answered
			matching mutation/sequen ce patterns.	

273 f.	both approaches falsely detected Delta while underestimating AY.1 or AY.2	explain what are the differences at mutational level	We agree that inspecting each tool's lineage assignment on a sequence/mutati on level would be useful to understand the biases of each tool and identify genomic regions with a high ambiguity for lineage assignment tasks. However, the aim of this study was to focus on the more general impact of using different reference data types for lineage abundance estimation.	answered
287 ff.		how you calculate false negatives?	Analogously to false positives, we define a lineage as false negative if it was spiked into the synthetic mixture of a sample, but was not detected on the sequencing data. We identify false negative lineages by comparing the predicted lineages against the ground truth sample composition. We added a definition of FPs and FNs in line 233-239	answered
297 ff.		this first paragraph is	For each Results section, we	answered

		mainly methods	aimed at including some short background information to guide the reader into the context of downstream described results. We think that is a question of writing style and how to tell the story in the paper. Thus, we would like to keep such short "connecting" text parts between main sections. However, we also agree that certain parts of our paper were too repetitive (like having methodological descriptions again in the Results) and resolved such parts.	
303	we performed experiments on real data to evaluate	none	In absence of a comment, we assume you commented on the use of the term "real data/wastewater" . We removed or replaced the term "real" at multiple locations	changed
309	, the pandemic situation in Europe from February		There was no comment so we were not sure what to change.	answered
313	The pandemic situation in Germany at that time was mainly	voc?	We added VOC and sorted the list of lineages	changed

	dominated by Alpha,			
318	According to GISAID submissions during that time, approximately the same lineages and multiple other low-abundant global and European sub- lineages were reported from clinical sampling strategies.	reference	Thanks for catching this, we added a reference	done
Figure 2		omicron ba.2 was not circulating in late 2021	That is true. With the Standards dataset, we wanted to build a synthetic dataset for baseline comparison of both approaches. For that purpose, we designed different synthetic lineage compositions that did not necessarily aim at capturing realistic co- occurrences, but mainly at stimulating challenging conditions for lineage prediction and abundance estimation.	answered

Figure 2	4	invert	4		anowarad
Figure 2	1.	invert as per	1.	We would like to	answered
		figure		keep the	
	2.	somew		plot as it	
		here in		is. Our	
		the		motivation	
		text		is to give	
		you		a visual <sub>.</sub>	
		need		compariso	
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		used		more	
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				please	
				note the	
				reference	
				focus of	
				our study.	
				We keep	
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				descriptio	
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				nt minimal	
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		1
	reference design impact.	
	impact.	

323 ff.		results start here - all of the above sounds more methods	We generally agree, but as explained above we would like to keep these connecting parts to remind the reader of our data sets and approaches and to guide our story. We hope that you are fine with this particular element of style.	answered
330	Yet, those Alpha sub-lineages were not reported amongst the most frequent cases based on clinical sampling strategies.	they don't have to be	In general, we agree. Just because sublineages were not reported from clinical cases at location X, this does not mean that they did not circulate in the wastewater of X. However, for Q.1 and Q.7 being so abundantly predicted in the wastewater it does not add up with the comparably very small proportion of clinical cases being reported for Germany (and german reporting was quite representative at that time with huge genomic surveillance efforts going on). Besides, we also need to consider the dynamic nomenclature system: Alpha sublineages were defined	done

			retrospectively and relatively late so it might be also possible that re-analyzing clinical data will now provide more Alpha sublineage (Q*) assignments. While this is out of scope of our study (and again highlights the reference bias),	
			we extended the corresponding sentence to clarify this.	
345	detect low abundant signals of Omicron	were both omicron sub lineages circulating back then? i think it's a bit early	Thanks, we are now more specific on the Omicron sublineages in the text. With Omicron we refer to BA.1. During the time of sampling, as described in the text, only BA.1 and sublineages were circulating (BA.2 not yet detected).	done
358	we observed BA.1 with 1.44% and some other lineages and sub-lineages with abundances of less than 1% ("Other")	this does not mean it was an omicron sublineage. did you check when was the first time ba2 was detected?	changed the text to clarify	changed
376 ff.		where is the picture with these results?	We added references to the respective results in figure 4 and supplementary figure 2	changed

359 f.	we performed parameter escalation experiments	what is this?	We added a reference to Methods, where we describe our experimental setup.	done
400	supplement	number?	We added a reference to respective supplementary sections and figures	changed
401 ff.		is this result or method?	As described above, we would like to keep this part because we think it contributes to the understanding of our study and findings	answered
406	AAF		We could not access this comment, but we assume it concerns the definition of AAF, which is given in the text and in Methods.	answered
433 f	with varying parameter settings	what parameters?	We added some more explanation on the exact parameters that were explored here.	changed
Figure 3		x axis not defined	Thanks for catching this, we added an axis description to the caption.	done
452 f	with increasing reference size	genome size?	We rephrased this part to clarify that we refer to the number of reference genomes per lineage in the	changed

			reference data set	
477	5 sequences per lineage	how is this selection made?	We added the reasoning for choosing this setting out of the explored parameters in the following sentence.	changed
484	"It is apparent that the composition of the reference used must have a larger impact on the determination of relative SARS- CoV-2 abundances in wastewater sequence data"	it is not clear how the references were chosen	In the Methods section, we explained in detail how we chose the reference sets, e.g., circulating lineages based on GISAID data during the respective time frames. We extended that methodological part to make it clearer (see below).	done
528	The most remarkable difference was in the number of detected sub- lineages, which also directly correlates with the reference design	the reference design is very important	Yes, we agree	done
533 ff.	For the mutation-based approach and the implementation we used, it got increasingly difficult to select a representative set of marker mutations	aren't these published?	We agree that the characteristic mutation profiles were published with each emerging variant. Or at least, one can derive characteristic mutations from clinical genome sequences per lineage or look	

			up the mutation profiles used to define a lineage. However, due to extreme overlaps, especially due to convergent evolution and more and more (sub)lineages being defined, it became increasingly challenging to (manually) select enough unique mutations among the 50 most prevalent mutations that were used as reference mutation set for calling a lineage via MAMUSS. This is the main point we wanted to make. Apparently, this was not clear. For better clarity, we have added text on the selection of reference mutation sets in the methods, as commented by the reviewer in the previous comment. (L750- 760)	
538	of SARS-CoV- 2 full genome sequences	are wastewater full genome?	Thanks for catching this unclear statement. Here, we are not referring to wastewater sequencing data, but to SARS-	done

566sources for bias in their general behaviorwhat behavior?We removed the term "behaviors" and instead described the potential sources of bias we observed.done606 flow-frequency mutations might help better differentiate lineages.This sentence does not seem correct.We agree that this statement is not well connected to the preceding text, where we discuss the impact of parameter selection during reference reconstruction.done609Most importantly,this is not a correct way to start a sentence from beginning of paragraph - it seetion accordingly by embedding it into a now preceding paragraph - it seetion accordingly by embedding it into a now precedingly paragraph - it seetion accordingly by embedding it into a low precedingly paragraph - it seetion accordingly by embedding it into also be adjusted.done655, the reference design must adjusted.explain better text accordingly to provide a more understandable explanation.done655 ff.Otherwise, acheckIn the context ofdone				CoV-2 genomes available on GISAID that were reconstructed from clinical data. We clarified this accordingly in the text.	
mutations might help better differentiate lineages.does not seem correct. expandthis statement is not well connected to the preceding text, where we discuss the impact of parameter selection during reference reconstruction. We updated the text accordingly by giving a more elaborate description of our hypothesis.Done609Most 	566	bias in their general		term "behaviors" and instead described the potential sources of bias we	done
importantly,correct way to start a sentence from beginning of paragraph - it seems more the continuation of previousupdated this section accordingly by embedding it into a now preceding paragraph655, the reference design must also be 	606 f.	mutations might help better differentiate	does not seem correct.	this statement is not well connected to the preceding text, where we discuss the impact of parameter selection during reference reconstruction. We updated the text accordingly by giving a more elaborate description of our	done
design must also be adjusted. to provide a more understandable explanation.	609		correct way to start a sentence from beginning of paragraph - it seems more the continuation	updated this section accordingly by embedding it into a now preceding	Done
655 ff. Otherwise, a check In the context of done	655	design must also be	explain better	text accordingly to provide a more understandable	done
	655 ff.	Otherwise, a	check	In the context of	done

	lineage defined with a delay	grammar	the above comment, we rephrased this sentence	
669	The detection of cryptic (novel, undescribed)	reference	Thanks for catching this position, we added the required reference.	done
682	Synthetic mixture Standards	Call them either of these two definitions	We agree that the data set description is duplicated here. We removed "Synthetic mixture" and kept the name "Standards" to stay consistent throughout the manuscript.	done
692	near-full genome of SARS-CoV-2		We could not find a comment to reply to here.	done
693	We performed multiple sequencing runs	define how many	Thank you for pointing out. We have added information about the number of runs (2 runs).	done
702 ff.	Benchmark data set #2: Pan-EU- GER	this sentence needs to be re-written correctly as it does not reflect the beginning of a paragraph. as the one below it seems it has been copied and pasted from elsewhere	Thanks for the remark. Because we did not produce this data set, and because we already provided all necessary availability information on this data set in the Data section, we decided to remove this whole subsection.	changed

707 ff.	Benchmark data set #3: FFM- Airport	as above	As described above, we decided to remove this subsection, since all necessary information is already provided in the Data section.	changed
713	SARS-CoV-2 Research Plug- in Package	Is this available	Yes, it is an active plug-in used on ION_TORRENT. However, it is bound to the software/compan y, and thus, we can not provide any source code links. Details (version number) are provided in the Methods.	done
715	SARS_CoV_2_c overageAnalysis (v5.16)	reference or website	The coverage analysis is part of the plug-in suite of the lon Torrent sequencer. We have now added the link to the website for the information. Please also note, that the plugin is cited in a similar way (if at all) in other publications, such as <u>https://doi.org/10.</u> <u>1128/jcm.00649-</u> <u>21</u> and <u>https://www.ncbi.</u> nlm.nih.gov/pmc/ <u>articles/PMC922</u> <u>7152/</u>	done
720	For mutation calls,	too generic, please list	The specific plug- ins/tools used for variant calling are listed in the	done

			following sentences.	
730	to reconstruct reference mutation	repetitive	We agreed and updated the sentence accordingly.	Done
745 ff.	Here, the main idea is that quantification of different transcripts	rephrase - I am not sure I understand since this is not an RNASeq experiment: there is no calculation of transcripts estimate	Exactly, there is no RNASeq involved, we are simply referring to a tool that repurposes a computational method that was developed to assign RNASeq data to transcripts. In this sentence we want to emphasize how the problem of assigning wastewater sequencing reads to their originating lineage genomes is computational very similar. Meaning, one can repurpose the tool (Kallisto), by replacing transcripts by lineage reference genomes and RNASeq data by wastewater sequencing reads.	Done
759 ff.	First, a reference data set	the choice of these sequences is what will determine the success of the sequence based method. this should be	We agree. That is exactly one of the objectives of this study - To show the impact of the reference design on the analysis. This is, for example, mentioned in	Done

		mentioned somewhere in the text	lines 813-185 in the section "Reconstruction of indices for the sequence-based approach"	
775 ff.	abundances are estimated similarly to	rephrase to make it easy to understand as it creates confusion	We agree, mentioning the analogy of RNASeq analysis is more confusing than helpful here. We removed this part and replaced it with a more specific explanation of how Kallisto estimates lineage abundances using wastewater sequencing reads and the reconstructed reference data set.	Done
789	alternate allele frequency (AAF)	already defined	Thanks for catching this, we removed the definition here.	Done
805 f	AAF filter passing mutations are captured at least	repetitive as in line 792-793	We agree, our description of the adjustments we implemented are repetitive. Hence, we updated this paragraph aiming at a more understandable description.	Done
831	Now, we added	Replace now by then		changed
832	the final reference set manually. Otherwise, the A.1 sequences	replace"manu ally. Otherwise" by "as otherwise"		changed
844 ff.	We did not only select …	this sentence is not	Thanks for catching this, we	done

		grammatically correct	corrected the sentence accordingly	
849	sequences per lineage.	it is worth mentioning here that these GISAID references are from clinical cases. correct?	We agree, it might help to highlight that our reference data sets are built from clinical sequencing data. We added this information to the commented paragraph.	Done
852 f	from European and South African samples	does this mean flights from Europe and South Africa? if so, explain why. given the time frame and provenance of the Delta variants , other countries might have suited better.	Thanks for the remark. Indeed, this sentence required some clarification. We updated the sentence to emphasize that we filtered clinically derived genome sequences from GISAID records. With the FFM- Airport data set, we mainly wanted to focus on the potential to identify low abundant traces of a novel lineage (Omicron) amongst a noisy background of high and low- abundant Delta sub-lineages. We decided to compare the airport wastewater sequencing data with concurrent clinical sequencing data from South Africa and Europe to screen for	Done

			genomic signals of Omicron sublineages that might have been imported from SA (first observed clinical report) or other european countries where cases of Omicron sublineages were already reported before observed in Germany.	
921 ff.	However,	This sentence is not grammatically correct	We rephrased the sentence	Done

# Reviewer #2:

In this study, the authors initiate a novel exploration by employing parameter escalation experiments to assess the impact of reference size and alternative allele frequency cutoffs on the effects of virus lineage composition in wastewater samples and their references. The research provides valuable insights into how different parameter settings influence outcomes in test data sets, particularly highlighting the role of virus lineage composition in wastewater samples and the corresponding references. Detailed parameters for these analyses are made available in several bash files at osf.io/upbqj. Despite these significant contributions, certain areas could benefit from further enhancement:

Q: 1. The current methodology utilizes Ion Torrent for testing mock samples. However, this approach may not fully capture the variability in alignment and sub-lineage analysis. Incorporating additional sequencing data from PacBio, Nanopore, and Illumina would offer a more comprehensive examination of these aspects, potentially leading to more robust findings.

A: Thanks for the comment. We welcome the suggestion to include data from additional sequencing technologies such as PacBio, Nanopore, and Illumina. However, in our experience, sequencing technology does not greatly impact alignment and sublineage analysis variability - when the technology-specific sequence characteristics are considered, such as using specific tools for Nanopore variant calling. Besides, differences in the enrichment of genetic material from the wastewater matrix, choice of primer design and amplicon scheme, as well as the reference database used and the parameters in the bioinformatic analysis, are factors that are often neglected when the focus is on the choice of sequencing technology. But of course, we also agree that there are technology-specific

differences in sequencing options, such as INDELs at Nanopore [Delahaye et al. 2021, PloS One] or subtle differences between 2- and 4-color chemistry at Illumina [Stoler et al. 2021, NAR]. The same holds true for specific characteristics of Ion Torrent data [Bragg et al. 2013, PLoS Computational Biology]. However, we would like to clarify the scope and focus of our research to address this point.

Our study aimed to investigate the effects of reference sequence selection and parameter settings on estimating SARS-CoV-2 lineage abundance in wastewater sequencing data. The main objective was to demonstrate how the choice of reference databases and analytical parameters affects the results of such analyses. We deliberately chose Ion Torrent sequencing technology to demonstrate these effects in a specific, controlled context with which we have much experience.

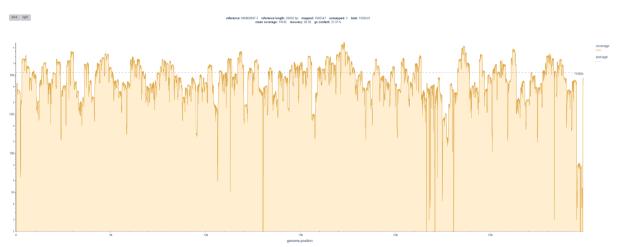
The effects that different sequencing kits and platforms, including Ion Torrent, Nanopore, and Illumina, can have on alignment and sublineage identification variations due to their different error profiles and sequencing characteristics have already been investigated in various studies [Carbo et al. 2023, Eur J Clin Microbiol Infect Dis; Plitnick et al. 2021, J Clin Microbiol; von Sydow et al. 2023, Scientific Reports; Tshiabuila et al. 2022, BMC Genomics; Ramphal et al. 2023, Research Square]. Such differences are studied in the literature and contribute to a broader understanding of the performance of sequencing technologies in different applications, which is crucial when interpreting the results. However, our study does not aim to compare these technologies or benchmark the performance of sequencing platforms - although we fully agree that such investigations are likewise crucial - especially in environmental settings. Instead, we focus on the impact of reference database composition and analysis parameters on lineage abundance estimates - a topic that is of great importance regardless of the sequencing technology used, especially in the post-pandemic time with clinical sequencing going down and subsequent dilution of available reference sequences.

In addition, we selected Ion Torrent sequencing data for this study because our protocol, which has been optimized over the course of the pandemic [Agrawal et al. 2021, Sci Rep; Agrawal et al. 2022, Water Research; Calderon-Franco et al, 2022, Science of the Total Environment; Agrawal et al. 2021, BioRXiv], consistently achieves high horizontal genome coverage (see Figure 1 below for an example). This high coverage is critical for accurately assessing the impact of reference bias on lineage abundance estimates. Our protocol has been regularly maintained and updated to ensure its relevance and applicability to current SARS-CoV-2 variant surveillance, and we are still running SARS-CoV-2 sequencing from wastewater samples routinely (see Figure 5 here: https://www.rki.de/EN/Content/Institute/DepartmentsUnits/InfDiseaseEpidem/Div32/Wastewa terSurveillance/Report.html? blob=publicationFile).

We know and acknowledge the potential benefits of a more diverse dataset that includes multiple sequencing technologies for a broader analysis of variability and robustness. However, such an investigation would greatly expand the scope and complexity of our study and take the focus away from the critical issue of reference bias. Indeed, future studies could benefit from a comparative analysis of different sequencing platforms to further elucidate the nuances of analyzing lineage composition in wastewater samples. We also discuss this potential limitation of our study now in the manuscript more prominently at the beginning of the "Potential Implications" section:

"In this study, we focus exclusively on Ion Torrent sequencing data to specifically investigate the influence of reference database composition and analysis parameters on lineage abundance estimates in wastewater sequencing. While acknowledging that incorporating data from additional platforms like PacBio, Nanopore, and Illumina could broaden the analysis of variability and robustness, we chose Ion Torrent due to its established efficacy in achieving high horizontal genome coverage in our sequencing runs \cite{agrawal2022genome,agrawal2022prevalence,agrawal2023comprehensive}, critical for assessing the impact of reference bias. This focused approach allows us to explore the considerable effects that reference selection and analytical settings have on lineage abundance results, a crucial area for accurate viral surveillance. Future studies might explore a comparative analysis across different platforms to enhance understanding of lineage composition and abundance estimation in wastewater samples. However, our current study is intentionally limited to specific research objectives related to reference bias in a \mutation{} and \kallisto{} setting and in the context of declining clinical sequencing and the dilution of available reference sequences."

In summary, while we agree with the reviewer on the inherent value of incorporating diverse sequencing data, the specific aims of our study, coupled with the demonstrated efficacy of our optimized Ion Torrent sequencing protocol, justify our focused approach. Our results provide valuable insight into the distinct impact of reference database composition on lineage abundance estimation in wastewater sequencing and differences between a mutation-focused and sequence-focused approach, a topic of critical importance for future accurate viral pathogen surveillance and management — especially in the post-pandemic period.



**Fig. 1** Example genome coverage plot for a wastewater sample sequenced with the lon Torrent protocol used in our study.

Q: 2. While the study showcases a variety of pipelines based on mutation-based and sequence-based tools in Table 1, the evaluation of three data sets was limited to only using MAMUSS (as a mutation-based reference) and VLQ-nf (as a sequence-based reference). For more conclusive guidance in pipeline selection, it is advisable for the authors to expand their analysis to include at least two or three more pipelines. This recommendation aligns with observations noted by the authors at line 619, suggesting a comprehensive benchmark comparison would significantly enhance the study's utility and appeal to readers seeking optimal pipeline strategies.

A: Thanks for the comment and the suggestion to extend our analysis to more pipelines to provide a more coherent study for selecting bioinformatics tools for lineage abundance estimation from wastewater sequencing data. Indeed, evaluating a broader range of tools/pipelines could greatly enrich our study by providing a more holistic view of the available methods and their relative performances under different conditions.

However, as outlined above, the focus of our study was to investigate the impact of reference bias when analyzing SARS-CoV-2 from wastewater sequencing data. The emphasis on reference bias was chosen because it is under-researched in the context of wastewater genomics and has a large impact on the accurate estimation of lineage abundance. The use of the MAMUSS (mutation-based) and VLQ-nf (sequence-based) pipelines in our analysis was determined by their relevance to the core objectives of the study and the specific hypothesis tested in relation to reference bias and comparing an exemplary mutation- and sequencebased approach. In addition. and as we wrote in the manuscript:

"A major benefit of implementing the representative methods [MAMUSS, VLQ-nf] was the complete control over code, parameters, and inputs, which allowed us to understand better, compare, and interpret the results of our benchmark study and the effects on the reference design."

The recommendation to perform a comprehensive benchmark comparison of additional pipelines is indeed valid and aligns with our recognition of their importance, as noted in line 658 of our manuscript. We agree with the reviewer that such an analysis would greatly enhance the utility of the study and provide valuable guidance to researchers in the field. However, the inclusion of comprehensive benchmarking would have been beyond the scope of this study, primarily due to the focus of our research question and the extensive resources that would be required for a rigorous and meaningful comparison of a wide range of bioinformatics tools.

However, recognizing that there is an urgent need for comprehensive benchmarks in this area, we are actively collaborating with international colleagues to fill this gap. Motivated by the outlook we're giving in the manuscript, we worked on a review of challenges and opportunities in wastewater genomic surveillance (https://arxiv.org/abs/2309.13326, submitted for peer review) and a rigorous comparison of different sequencing technologies, simulated data, and a variety of bioinformatics tools for lineage abundance estimation (in progress). This forthcoming work aims to provide the comprehensive comparison that both the reviewer and we believe is necessary to advance the field. We added a citation for the preprint of this ongoing work as evidence of our commitment to this important endeavor.

In summary, while our current study focuses on the specific problem of reference bias and showcases the difference between mutation- and sequence-based approaches to reconstruct this necessary reference, we recognize the reviewer's point. We are taking concrete steps to address the broader need for comprehensive benchmarking of bioinformatics pipelines in the context of wastewater genomic surveillance. We are confident that our upcoming review and benchmark study will significantly contribute to filling this gap and provide valuable insights to researchers seeking optimal pipeline strategies for SARS-CoV-2 analysis in wastewater samples.