

Supplementary Information for

Omicron COVID-19 Immune Correlates Analysis of a Third Dose of mRNA-1273 in the COVE Trial

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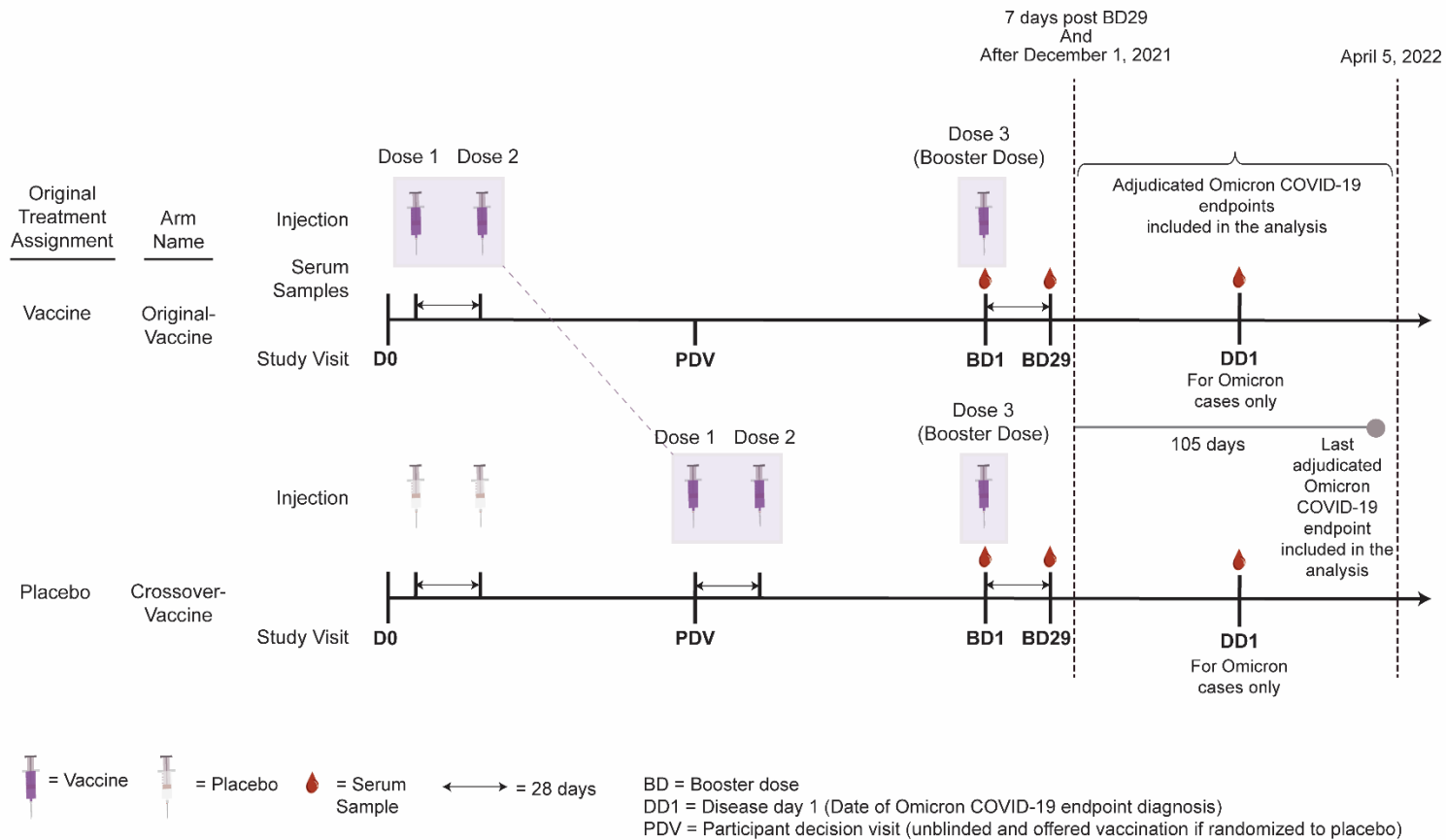
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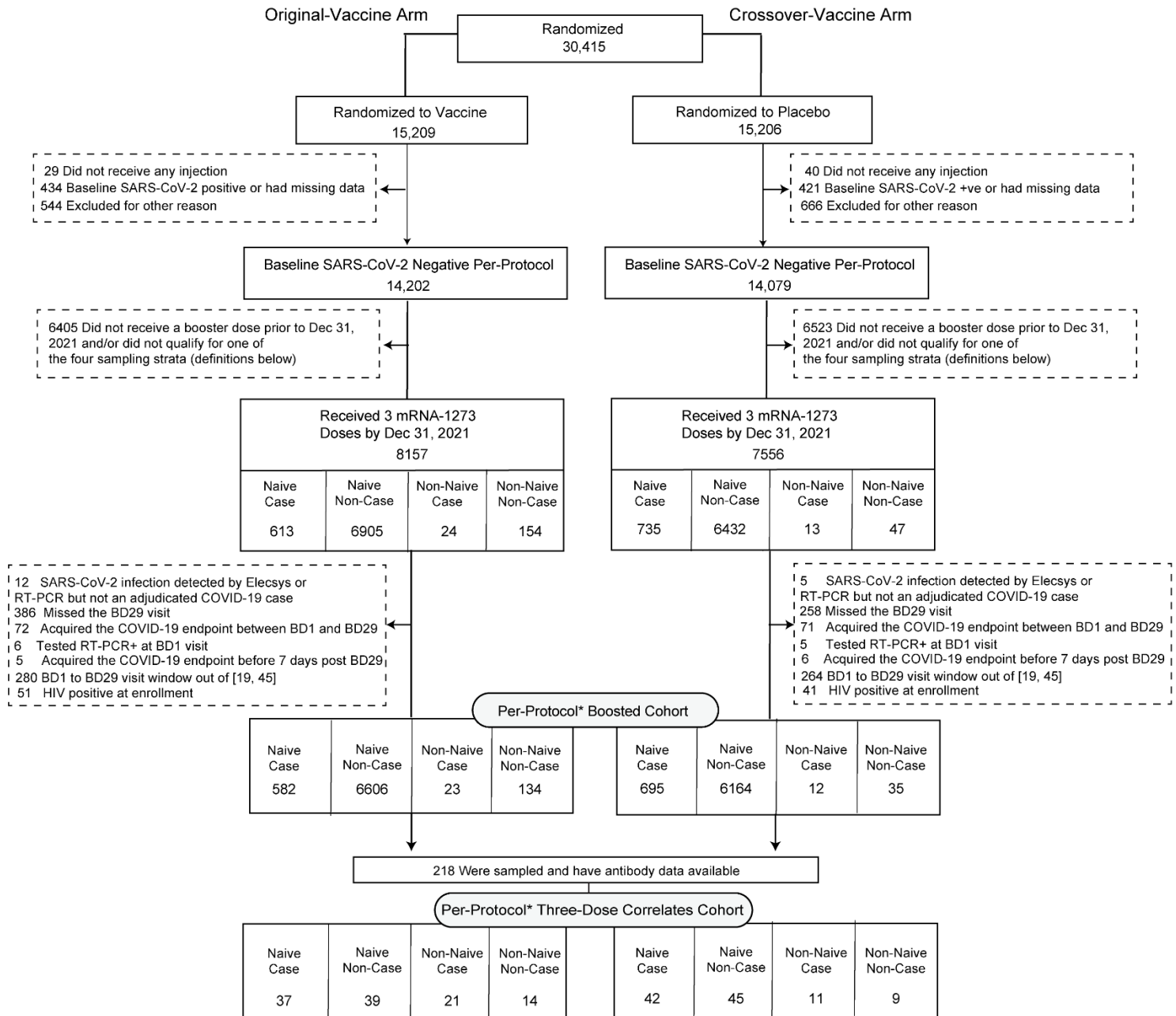
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Supplementary Fig. 1. Timing of doses, study visits for serum sampling, and follow-up for Omicron COVID-19 endpoints included in the analysis. The median time interval between the second dose and the third (booster) dose was 12.9 months in the original-vaccine arm and 8.2 months in the crossover-vaccine arm.



Sampling Strata Qualification:

- Naive/Case: No evidence of SARS-CoV-2 infection detected by Elecsys (Roche) or RT-PCR from enrollment through BD1; Omicron COVID-19 event in the interval [max(BD29 + 7, December 1, 2021), data cut-off date April 5, 2022].
- Naive/ Non-Case: No evidence of SARS-CoV-2 infection detected by Elecsys or RT-PCR from enrollment through BD1; No evidence of SARS-CoV-2 infection detected by Elecsys or RT-PCR in the interval (BD1, data cut-off date April 5, 2022).
- Non-Naive/Case: Any evidence of SARS-CoV-2 infection in the interval [Dose 2 of mRNA-1273 + 14, BD1]; SARS-CoV-2 infection detected by Elecsys or RT-PCR in the interval [max(BD29 + 7, December 1, 2021), data cut-off date April 5, 2022] and the Elecsys test was not positive at BD-D1 pre-booster.
- Non-Naive/Non-Case: Any evidence of SARS-CoV-2 infection in the interval [Dose 2 mRNA-1273 + 14, BD1]; No evidence of SARS-CoV-2 infection detected by Elecsys or RT-PCR in the interval (BD1, data cut-off date April 5, 2022).

*Here, "per-protocol" refers to additional criteria beyond the original per-protocol criteria during the blinded phase as in Gilbert et al. 2022.

Supplementary Fig. 2. Flowchart of study participants from enrollment in COVE through to the per-protocol three dose correlates cohort.

Supplementary Table 1. n = 218 sampled participants in the per-protocol three-dose correlates cohort (Fig. S2) by sampling stratum (N = SARS-CoV-2 naive and NN = Non-Naive) and time period of receipt of third (booster) dose.

	Time Period of Receipt of Third (Booster) Dose (BD1 Visit)								Total
	Sep 23 to Oct 15, 2021		Oct 16 to Oct 31, 2021		November, 2021		December, 2021		
Original-Vaccine Arm × Omicron Case	8N	8NN	11N	5NN	7N	7NN	11N	1NN	37N 21NN
Original-Vaccine Arm × Non-Case	8N	7NN	10N	2NN	8N	4NN	13N	1NN	39N 14NN
Crossover-Vaccine Arm × Omicron Case	9N	5NN	12N	1NN	10N	5NN	11N	0NN	42N 11NN
Crossover-Vaccine Arm × Non-Case	9N	4NN	12N	0NN	10N	4NN	14N	1NN	45N 9NN

Omicron Case= COVID-19 endpoint in the interval [≥ 7 days post BD29 AND \geq December 1, 2021 to April 5, 2022 data cutoff date]. As described in the SAP (Appendix A) the COVID-19 endpoint is documented to be Omicron BA.1 if possible whereas for some non-naive COVID-19 endpoints there was not lineage data available to document the case to be Omicron BA.1. COVID-19 endpoints were hard-imputed as Omicron BA.1 if the COVID-19 diagnosis date was before January 15, 2022.

Non-case = Did not acquire COVID-19 (of any strain) in the interval [BD1, data cutoff date].

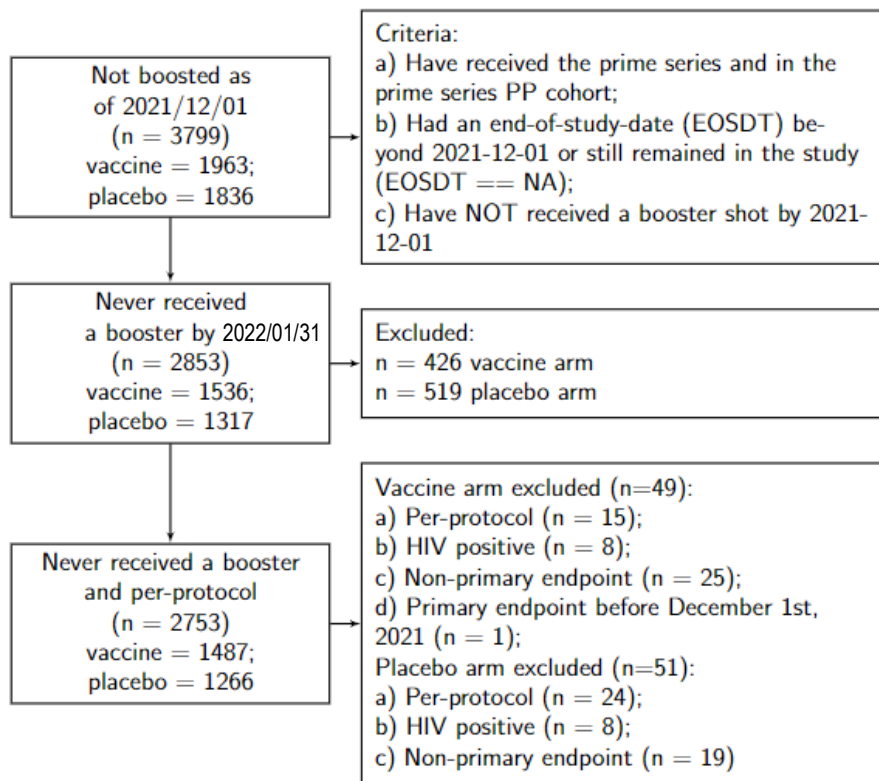
SARS-CoV-2 naive (N) = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive (NN) = Any evidence of SARS-CoV-2 infection in the interval [≥ 14 days after the first two doses of mRNA-1273, BD1]

Supplementary Table 2. Demographic and clinical information of the per-protocol boosted cohort and the per-protocol three-dose correlates cohort (original-vaccine and crossover-vaccine arms combined)

Characteristics	Per-Protocol Boosted Cohort (Original Vaccine and Crossover Vaccine Combined)			Per-Protocol Three-Dose Correlates Cohort (Original Vaccine and Crossover Vaccine Combined)		
	SARS-CoV-2 Naive (N=14047)	Non-Naive (N=204)	Total (N=14251)	SARS-CoV-2 Naive (N=163)	Non-Naive (N=55)	Total (N=218)
Age						
Age < 65	9683 (68.9%)	155 (76.0%)	9838 (69.0%)	121 (74.2%)	44 (80.0%)	165 (75.7%)
Age ≥ 65	4364 (31.1%)	49 (24.0%)	4413 (31.0%)	42 (25.8%)	11 (20.0%)	53 (24.3%)
Mean (Range)	54.1 (18.0, 95.0)	51.6 (19.0, 84.0)	54.0 (18.0, 95.0)	54.4 (20.0, 80.0)	49.3 (21.0, 77.0)	53.1 (20.0, 80.0)
BMI						
Mean ± SD	29.3 ± 6.7	30.2 ± 7.8	29.3 ± 6.7	29.9 ± 7.7	29.8 ± 5.7	29.8 ± 7.2
Risk for Severe COVID-19						
At-risk	3359 (23.9%)	55 (27.0%)	3414 (24.0%)	43 (26.4%)	14 (25.5%)	57 (26.1%)
Not at-risk	10688 (76.1%)	149 (73.0%)	10837 (76.0%)	120 (73.6%)	41 (74.5%)	161 (73.9%)
Age, Risk for Severe COVID-19						
Age < 65 At-risk	2086 (14.9%)	37 (18.1%)	2123 (14.9%)	29 (17.8%)	10 (18.2%)	39 (17.9%)
Age < 65 Not at-risk	7597 (54.1%)	118 (57.8%)	7715 (54.1%)	92 (56.4%)	34 (61.8%)	126 (57.8%)
Age ≥ 65	4364 (31.1%)	49 (24.0%)	4413 (31.0%)	42 (25.8%)	11 (20.0%)	53 (24.3%)
Sex Assigned at Birth						
Female	6783 (48.3%)	100 (49.0%)	6883 (48.3%)	94 (57.7%)	23 (41.8%)	117 (53.7%)
Male	7264 (51.7%)	104 (51.0%)	7368 (51.7%)	69 (42.3%)	32 (58.2%)	101 (46.3%)
Hispanic or Latino Ethnicity						
Hispanic or Latino	2496 (17.8%)	39 (19.1%)	2535 (17.8%)	32 (19.6%)	12 (21.8%)	44 (20.2%)
Not Hispanic or Latino	11405 (81.2%)	165 (80.9%)	11570 (81.2%)	131 (80.4%)	43 (78.2%)	174 (79.8%)
Not reported and unknown	146 (1.0%)	0 (0%)	146 (1.0%)	0 (0%)	0 (0%)	0 (0%)
Race						
White	11189 (79.7%)	156 (76.5%)	11345 (79.6%)	136 (83.4%)	41 (74.5%)	177 (81.2%)
Black or African American	1383 (9.8%)	28 (13.7%)	1411 (9.9%)	14 (8.6%)	5 (9.1%)	19 (8.7%)
Asian	613 (4.4%)	8 (3.9%)	621 (4.4%)	4 (2.5%)	3 (5.5%)	7 (3.2%)
American Indian or Alaska Native	109 (0.8%)	1 (0.5%)	110 (0.8%)	1 (0.6%)	1 (1.8%)	2 (0.9%)
Native Hawaiian or Other Pacific Islander	29 (0.2%)	1 (0.5%)	30 (0.2%)	2 (1.2%)	0 (0%)	2 (0.9%)
Multiracial	310 (2.2%)	6 (2.9%)	316 (2.2%)	2 (1.2%)	3 (5.5%)	5 (2.3%)
Other	267 (1.9%)	4 (2.0%)	271 (1.9%)	3 (1.8%)	2 (3.6%)	5 (2.3%)

Not reported and unknown	134 (1.0%)	0 (0%)	134 (0.9%)	1 (0.6%)	0 (0%)	1 (0.5%)
Underrepresented Minority Status						
White Non-Hispanic	10133 (72.1%)	140 (68.6%)	10273 (72.1%)	115 (70.6%)	38 (69.1%)	153 (70.2%)
Communities of Color	3914 (27.9%)	64 (31.4%)	3978 (27.9%)	48 (29.4%)	17 (30.9%)	65 (29.8%)

This Supplementary Table summarizes the per-protocol boosted cohort, which was randomly sampled within 12 strata defined by enrollment characteristics: Assigned treatment arm × Baseline SARS-CoV-2 naive vs. non-naive status (defined by serostatus and NAAT testing) × Randomization strata (Age < 65 and at-risk, Age < 65 and not at-risk, Age ≥ 65) × Minority status (Minority vs. Non-minority) defined by White Non-Hispanic vs. all others [same as in (2)]. “At Risk” refers to participants believed to be at increased risk of severe COVID-19 illness and comprised six self-reported health/comorbidities, as in (2). “Minority” includes Blacks or African Americans, Hispanics or Latinos, American Indians or Alaska Natives, Native Hawaiians, and other Pacific Islanders. Non-Minority includes all other races with observed race (Asian, Multiracial, White, Other) and observed ethnicity Not Hispanic or Latino. Numbers and percentages are based on inverse probability of sampling weighting.



Supplementary Fig. 3. Flow of baseline-negative per-protocol (according to the definition in ref.⁸) participants who were still in the study and had not received a third (booster) dose as of December 1, 2022 through to inclusion in the exposure-proximal CoP analysis. These 2753 participants were used to enrich the analysis cohort for the exposure-proximal CoP analysis.

Supplementary Table 3. Assay limits for A) the PPD pseudovirus neutralizing antibody (nAb) assay and B) the PPD VAC123 MSD multiplex assay by antigen. Note that the Ancestral strain Spike used for pseudotyping in the nAb assay has the D614G mutation, whereas the Ancestral strain Spike used in the bAb assay does not (D614).

A		
Neutralizing antibody (nAb) assay		
	Ancestral strain ¹	Omicron BA.1
LLOQ (AU/ml)	10	8
ULOQ (AU/ml)	281,600	24,503
B		
Binding antibody assay (Spike IgG)		
	Ancestral strain	Omicron BA.1/B.1.1.529
LLOQ ² (AU/ml)	69	102
ULOQ ³ (AU/ml)	14,400,000	1,180,000

¹For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).

²LLOQs were taken as the LLOQs for the lowest dilution (1:500).

³ULOQs were taken as the ULOQs for the highest dilution (1:500,000).

For all assays, values < LLOQ were set to LLOQ/2 and for the nAb assay, values > ULOQ were set to ULOQ. AU = arbitrary units.

Supplementary Table 4. BD1 Ancestral strain neutralizing antibody (nAb) and BD1 Spike IgG-Ancestral strain binding antibody (bAb) response rates and geometric means stratified by Omicron COVID-19 case vs. non-case and by SARS-CoV-2 naive vs. non-naive status in the per-protocol boosted cohort, pooled across the original-vaccine and crossover-vaccine arms

Status ³	Marker	Measurement	Omicron Cases ¹			Non-Cases ²			Comparison	
			N ⁴	Response Rate ⁵ (95% CI)	GMC or GMT (AU/ml) ⁶ (95% CI)	N ⁴	Response Rate ⁵ (95% CI)	GMC or GMT (AU/ml) ⁶ (95% CI)	Response Rate Difference (Omicron Cases- Non-Cases) (95% CI)	Ratio of GM (Omicron Cases/Non- Cases) (95% CI)
SARS-CoV-2 naive	Ancestral strain nAbs	BD1	79	100% (100%, 100%)	124 (89.7, 170)	84	99.7% (98.1%, 100%)	114 (75.9, 173)	0.003 (0, 0.019)	1.08 (0.64, 1.82)
SARS-CoV-2 naive	Spike IgG-Ancestral strain bAbs	BD1	79	100% (100%, 100%)	19100.77 (13777.90, 26480.05)	84	100% (100%, 100%)	18213.80 (14060.12, 23594.56)	0 (0, 0)	1.05 (0.69, 1.59)
Non-Naive	Ancestral strain nAbs	BD1	32	100% (100%, 100%)	437 (247, 770)	23	100% (100%, 100%)	148 (82.3, 264)	0 (0, 0)	2.96 (1.31, 6.68)
Non-Naive	Spike IgG-Ancestral strain bAbs	BD1	32	100% (100%, 100%)	51318 (30442, 86512)	23	100% (100%, 100%)	24521 (16224, 37062)	0 (0, 0)	2.09 (1.08, 4.07)

¹Omicron case = COVID-19 Omicron BA.1 endpoint that occurred in the interval [≥ 7 days post BD29 AND \geq December 1, 2021 to April 5, 2022 data cutoff].

²Non-case = No acquirement of COVID-19 (of any strain) in the interval [BD1, April 5, 2022 data cutoff].

³SARS-CoV-2 naive = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive = Any evidence of SARS-CoV-2 infection in the interval [≥ 14 days after the original two-dose series, BD1]

⁴N is the number of cases sampled into the subcohort within baseline covariate strata.

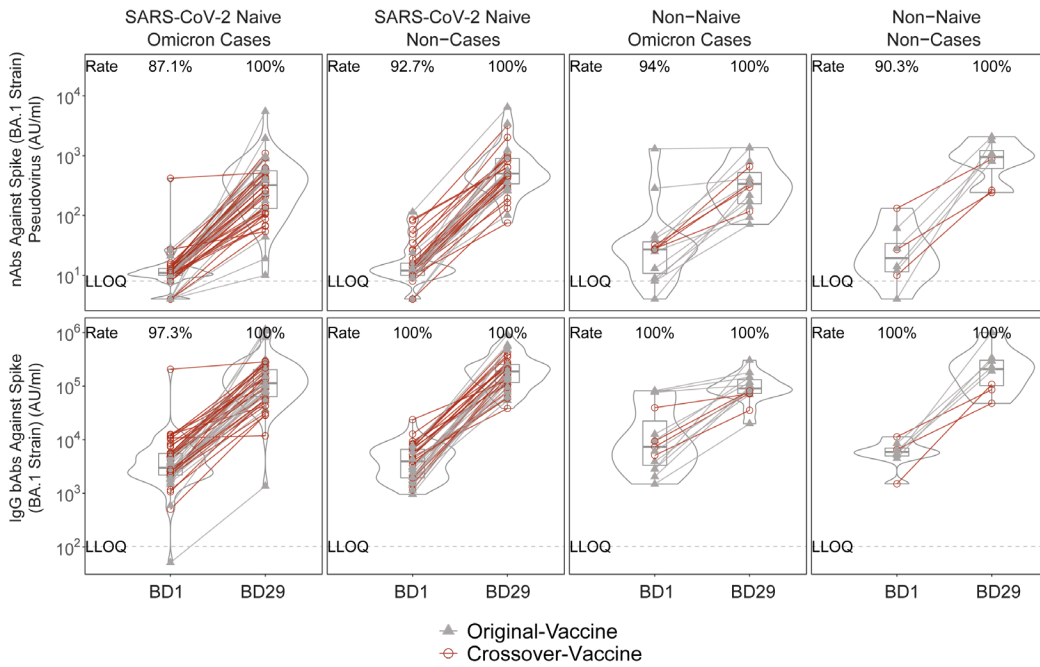
⁵Definitions of “responder” for each BD1 marker: positive (quantifiable) response defined as BD1 Ancestral strain nAb ≥ 10 AU/ml; positive response defined as BD1 Spike IgG-Ancestral strain bAb ≥ 69 AU/ml.

⁶For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).

AU/ml, arbitrary units/ml; CI: confidence interval; GMC: geometric mean concentration; GMT: geometric mean titer.

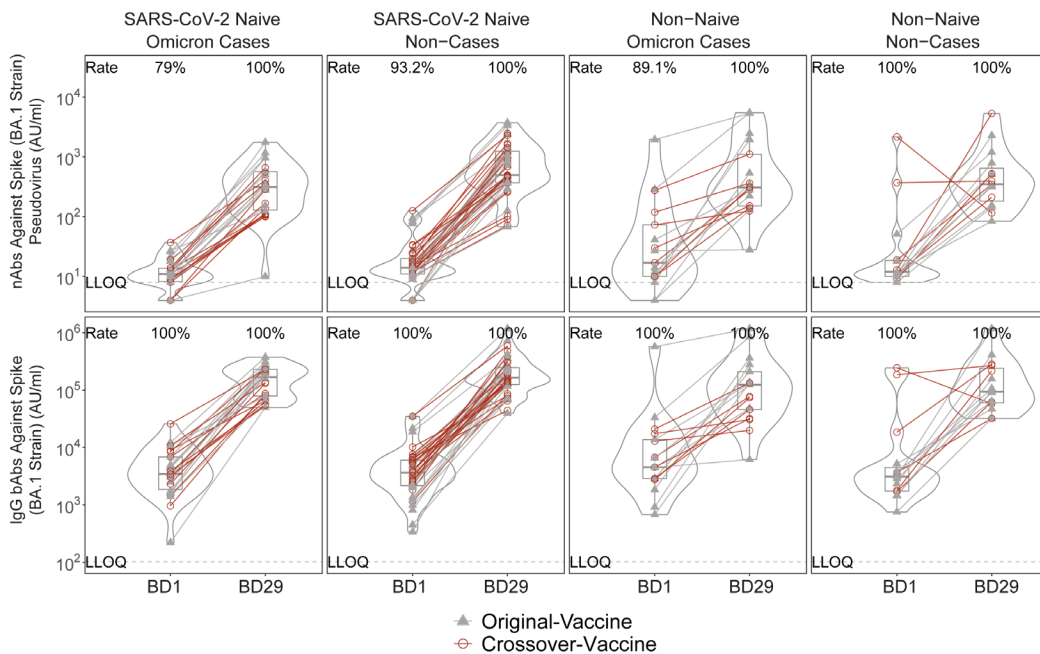
A

Participants Assigned Female Sex at Birth



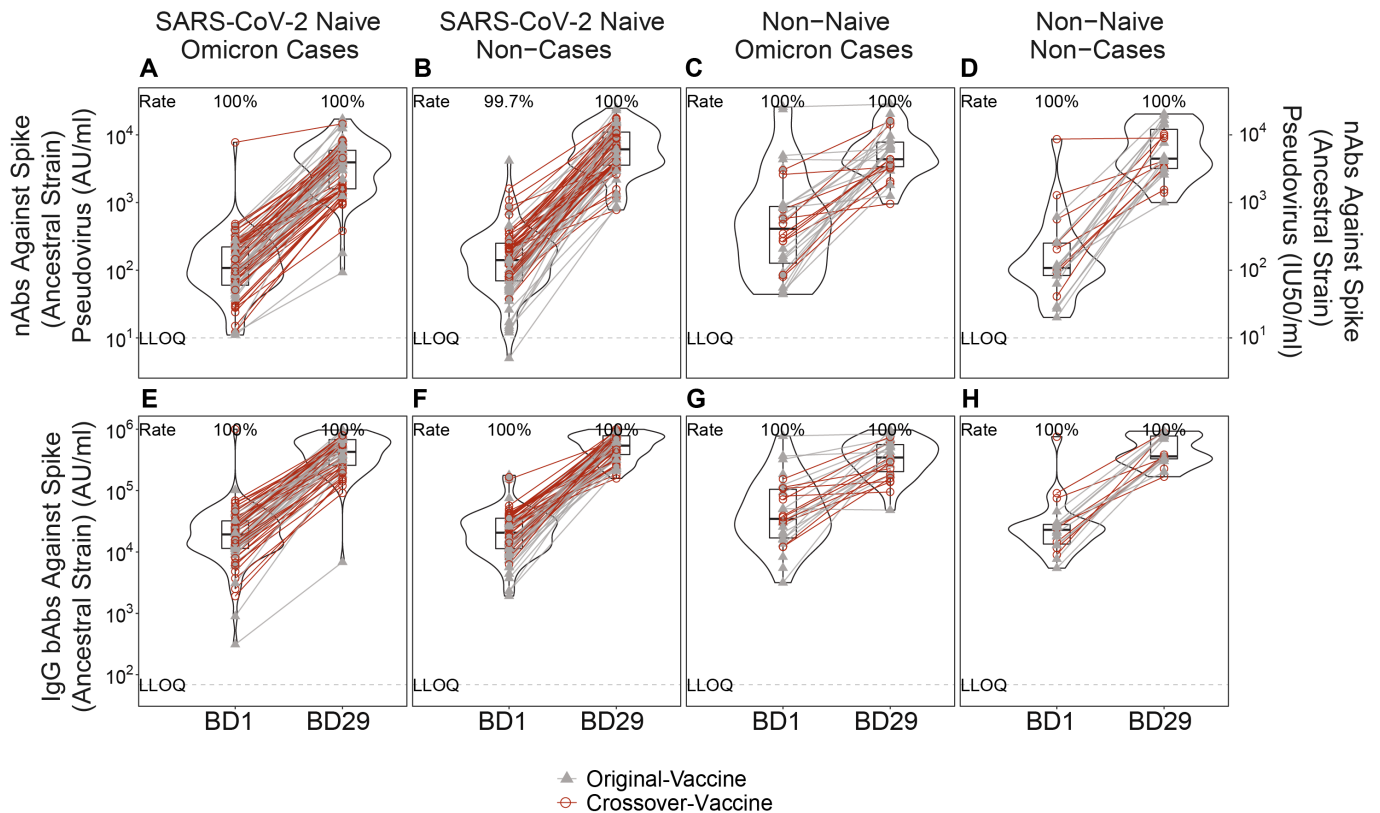
B

Participants Assigned Male Sex at Birth



Supplementary Fig. 4. Distributions of BD1 and BD29 neutralizing antibody (nAb) titer against Spike (BA.1 strain) pseudovirus and IgG binding antibody (bAb) concentration against Spike (BA.1 strain), stratified by Omicron COVID-19 case vs. non-case status and by SARS-CoV-2 naive vs. non-naive status, shown separately in participants assigned (A)

female or (B) male sex at birth. Data points are from per-protocol boosted participants in the original-vaccine (filled triangles) or crossover-vaccine (open circles) arm, with lines (gray: original-vaccine arm; red: crossover-vaccine arm) connecting the BD1 and BD29 data points for an individual participant. Numbers of participants shown are: (A) (Female participants; all numbers are the same for both nAb BA.1 and Spike IgG BA.1): Naive Omicron cases: BD1 N=53, BD29=53; Naive non-cases: BD1 N=41, BD29 N=41; Non-naive Omicron cases: BD1 N=15, BD29 N=15; Non-naive non-case: BD1 N=8, BD29 N=8. (B) (Male participants; all numbers are the same for both nAb BA.1 and Spike IgG BA.1): Naive Omicron cases: BD1 N=26, BD29=26; Naive non-cases: BD1 N=43, BD29 N=43; Non-naive Omicron cases: BD1 N=17, BD29 N=17; Non-naive non-case: BD1 N=15, BD29 N=15. The violin plots contain interior box plots with upper and lower horizontal edges representing the 25th and 75th percentiles of antibody level and middle line representing the 50th percentile. The vertical bars represent the distance from the 25th (or 75th) percentile of antibody level and the minimum (or maximum) antibody level within the 25th (or 75th) percentile of antibody level minus (or plus) 1.5 times the interquartile range. Each side shows a rotated probability density (estimated by a kernel density estimator with a default Gaussian kernel) of the data. Positive response rates were computed with inverse probability of sampling weighting. LLOQ, lower limit of quantification. AU/ml, arbitrary units/ml. LLOQ = 8 AU/ml for nAb BA.1 and 102 AU/ml for Spike IgG BA.1. Positive (quantifiable) response for BA.1 nAb at a given timepoint was defined by value \geq LLOQ at that timepoint. Positive response for Spike IgG-BA.1 bAb at a given timepoint was defined by value \geq LLOQ at that timepoint. Omicron Case = COVID-19 endpoint in the interval $[\geq 7$ days post BD29 AND \geq December 1, 2021 to April 5, 2022 (data cutoff date)]. Non-case = Did not acquire COVID-19 (of any strain) in the interval [BD1 to April 5, 2022]. SARS-CoV-2 naive = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive = Any evidence of SARS-CoV-2 infection in the interval $[\geq 14$ days after the first two doses of mRNA-1273, BD1].



Supplementary Fig. 5. Distributions of BD1 and BD29 (A-D) Ancestral strain neutralizing antibody (nAb) titer and (E-H) Spike IgG-Ancestral strain binding antibody (bAb) concentration, stratified by Omicron COVID-19 case vs. non-case status and by SARS-CoV-2 naive vs. non-naive status. Data points are from per-protocol boosted participants in the original-vaccine (filled triangles) or crossover-vaccine (open circles) arm, with lines (gray: original-vaccine arm; red: crossover-vaccine arm) connecting the BD1 and BD29 data points for an individual participant (A, E: n=79; B, F: n=84; C, G: 32; D, H: n=23). The violin plots contain interior box plots with upper and lower horizontal edges representing the 25th and 75th percentiles of antibody level and middle line representing the 50th percentile. The vertical bars represent the distance from the 25th (or 75th) percentile of antibody level and the minimum (or maximum) antibody level within the 25th (or 75th) percentile of antibody level minus (or plus) 1.5 times the interquartile range. Each side shows a rotated probability density (estimated by a kernel density estimator with a default Gaussian kernel) of the data. Positive response rates were computed with inverse probability of sampling weighting. LLOQ, lower limit of quantification. LLOQ = 10 AU/ml for Ancestral strain nAbs and 69 AU/ml for Spike IgG-Ancestral strain bAbs. Positive response for Ancestral strain nAbs at a given timepoint was defined by value \geq LLOQ at that timepoint. Positive response for Spike IgG-Ancestral strain bAbs at a given timepoint was defined by value \geq LLOQ at that timepoint. Omicron Case = COVID-19 endpoint in the interval [\geq 7 days post BD29 AND \geq December 1, 2021 to April 5, 2022 data cutoff date]. Non-case =

Did not acquire COVID-19 (of any strain) in the interval [BD1 to April 5, 2022]. SARS-CoV-2 naive = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive = Any evidence of SARS-CoV-2 infection in the interval ≥ 14 days after the first two doses of mRNA-1273, BD1]. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP), shown on the right-hand y-axis labels.

Supplementary Table 5. BD29 and Fold-Rise Ancestral strain neutralizing antibody (nAb) and Spike IgG-Ancestral strain binding antibody (bAb) response rates and geometric means by Omicron COVID-19 case vs. non-case status and by SARS-CoV-2 naive vs. non-naive status in the per-protocol boosted cohort, pooled across the original-vaccine and crossover-vaccine arms

Status ³	Marker	Measurement	Omicron Cases ¹			Non-Cases ²			Comparison	
			N ⁴	Response Rate ⁵ (95% CI)	GMC or GMT (AU/ml) ⁶ (95% CI)	N ⁴	Response Rate ⁵ (95% CI)	GMC or GMT (AU/ml) (95% CI) ⁶	Response Rate Difference (Omicron Cases-Non-Cases) (95% CI)	Ratio of GM (Omicron Cases/Non-Cases) (95% CI)
SARS-CoV-2 Naive	Ancestral Strain nAbs	BD29	79	100% (100%, 100%)	3234 (2385, 4387)	84	100% (100%, 100%)	5492 (3866, 7802)	0 (0, 0)	0.59 (0.37, 0.94)
SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAbs	BD29	79	100% (100%, 100%)	467178 (364292, 599121)	84	100% (100%, 100%)	652950 (516281, 825799)	0 (0, 0)	0.72 (0.51, 1.01)
SARS-CoV-2 Naive	Ancestral Strain nAbs	Fold-Rise	79	-	26.2 (21.1, 32.4)	84	-	48.0 (37.4, 61.6)	-	0.54 (0.39, 0.76)
SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAbs	Fold-Rise	79	-	24.5 (20.0, 30.0)	84	-	35.9 (29.4, 43.7)	-	0.68 (0.51, 0.91)
Non-Naive	Ancestral Strain nAbs	BD29	32	100% (100%, 100%)	5536 (4012, 7637)	23	100% (100%, 100%)	5759 (3526, 9407)	0 (0, 0)	0.96 (0.53, 1.73)
Non-Naive	Spike IgG-Ancestral Strain bAbs	BD29	32	100% (100%, 100%)	419795 (301040, 585399)	23	100% (100%, 100%)	619269 (441303, 869004)	0 (0, 0)	0.68 (0.42, 1.09)
Non-Naive	Ancestral Strain nAbs	Fold-Rise	32	-	12.7 (7.5, 21.6)	23	-	39.0 (23.9, 63.9)	-	0.32 (0.16, 0.67)
Non-Naive	Spike IgG-Ancestral Strain bAbs	Fold-Rise	32	-	8.2 (4.7, 14.1)	23	-	25.3 (16.7, 38.2)	-	0.32 (0.16, 0.64)

¹Omicron case = COVID-19 Omicron BA.1 endpoint that occurred in the interval [≥ 7 days post BD29 AND \geq December 1, 2021 to April 5, 2022 data cutoff].

²Non-case = No acquirement of COVID-19 (of any strain) in the interval [BD1, April 5, 2022 data cutoff].

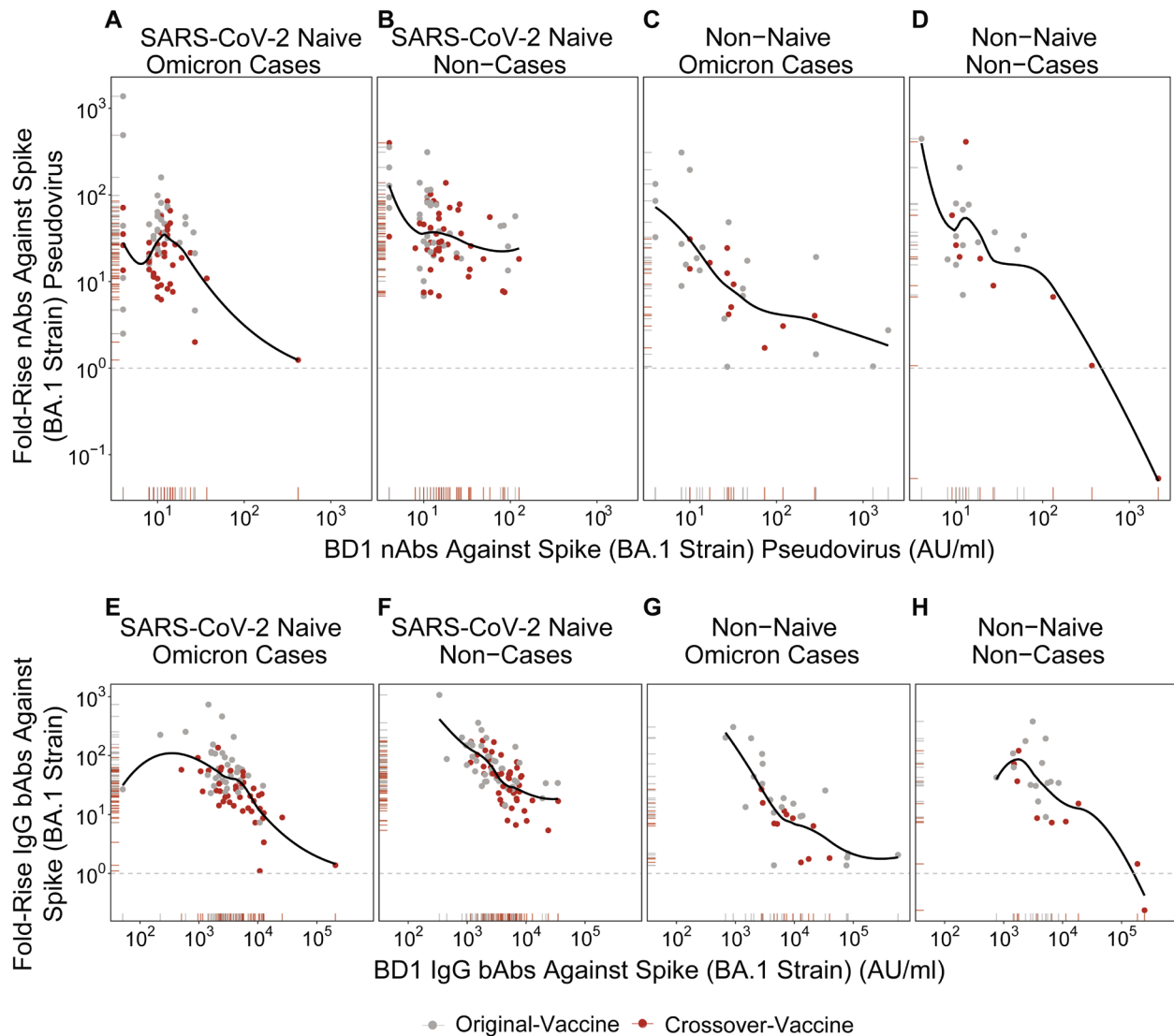
³SARS-CoV-2 naive = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive = Any evidence of SARS-CoV-2 infection in the interval [≥ 14 days after the original two-dose series, BD1]

⁴N is the number of cases sampled into the subcohort within baseline covariate strata.

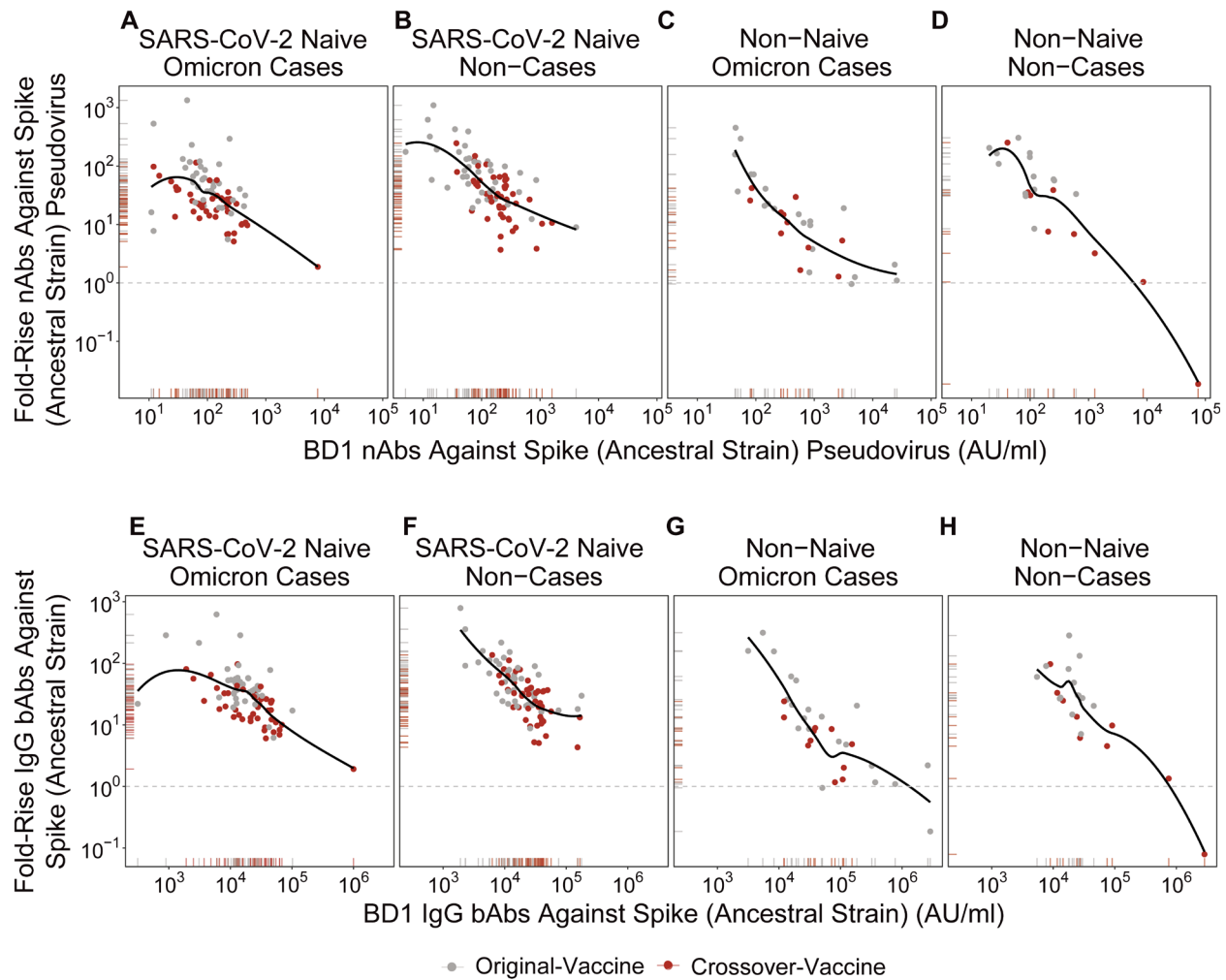
⁵Definitions of “responder” for the BD29 markers: positive (quantifiable) response defined as BD29 Ancestral strain nAbs ≥ 10 AU/ml; positive response defined as BD29 Spike IgG-Ancestral strain bAbs ≥ 69 AU/ml.

⁶For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).

AU/ml, arbitrary units/ml; CI: confidence interval; GMC: geometric mean concentration; GMT: geometric mean titer.



Supplementary Fig. 6. Scatterplots with rugs of BD1 and Fold-Rise (BD29/BD1) (A-D) BA.1 strain neutralizing antibody (nAb) and (E-F) Spike IgG-BA.1 strain binding antibody (bAb) level, stratified by Omicron COVID-19 case vs. non-case status and by SARS-CoV-2 naive vs. non-naive status. Data points are from per-protocol boosted participants in the original-vaccine (gray) or crossover-vaccine (red) arm (A, E: n=79; B, F: n=84; C, G: 32; D, H: n=23). The black lines are smooth curves delineating the relationship between the two variables and were fitted using the LOESS method/local regression method. Omicron Case = Omicron COVID-19 endpoint in the interval [≥ 7 days post BD29 AND \geq December 1, 2021 to April 5, 2022 data cutoff date]. Non-case = Did not acquire COVID-19 (of any strain) in the interval [BD1 to April 5, 2022]. SARS-CoV-2 naive = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive = Any evidence of SARS-CoV-2 infection in the interval [≥ 14 days after the first two doses of mRNA-1273, BD1].



Supplementary Fig. 7. Scatterplots with rugs of BD1 and Fold-Rise (BD29/BD1) (A-D) Ancestral strain neutralizing antibody (nAb) and (E-F) Spike IgG-Ancestral strain binding antibody (bAb) level, stratified by Omicron COVID-19 case vs. non-case status and by SARS-CoV-2 naive vs. non-naive status. Data points are from per-protocol boosted participants in the original-vaccine (gray) or crossover-vaccine (red) arm (A, E: n=79; B, F: n=84; C, G: 32; D, H: n=23).. The black lines are smooth curves delineating the relationship between the two variables and were fitted using the LOESS method/local regression method. Omicron Case = Omicron COVID-19 endpoint in the interval [≥ 7 days post BD29 AND \geq December 1, 2021 to April 5, 2022 data cutoff date]. Non-case = Did not acquire COVID-19 (of any strain) in the interval [BD1 to April 5, 2022]. SARS-CoV-2 naive (N) = No evidence of SARS-CoV-2 infection from enrollment through to BD1; Non-naive (NN) = Any evidence of SARS-CoV-2 infection in the interval [≥ 14 days after the first two doses of mRNA-1273, BD1].

Supplementary Table 6. BD29 and BD29/BD1 Fold-Rise neutralizing antibody (nAb) and binding antibody (bAb) response rates and geometric means in non-cases in the per-protocol boosted cohort, shown separately by SARS-CoV-2 naive vs. non-naive status and by study arm

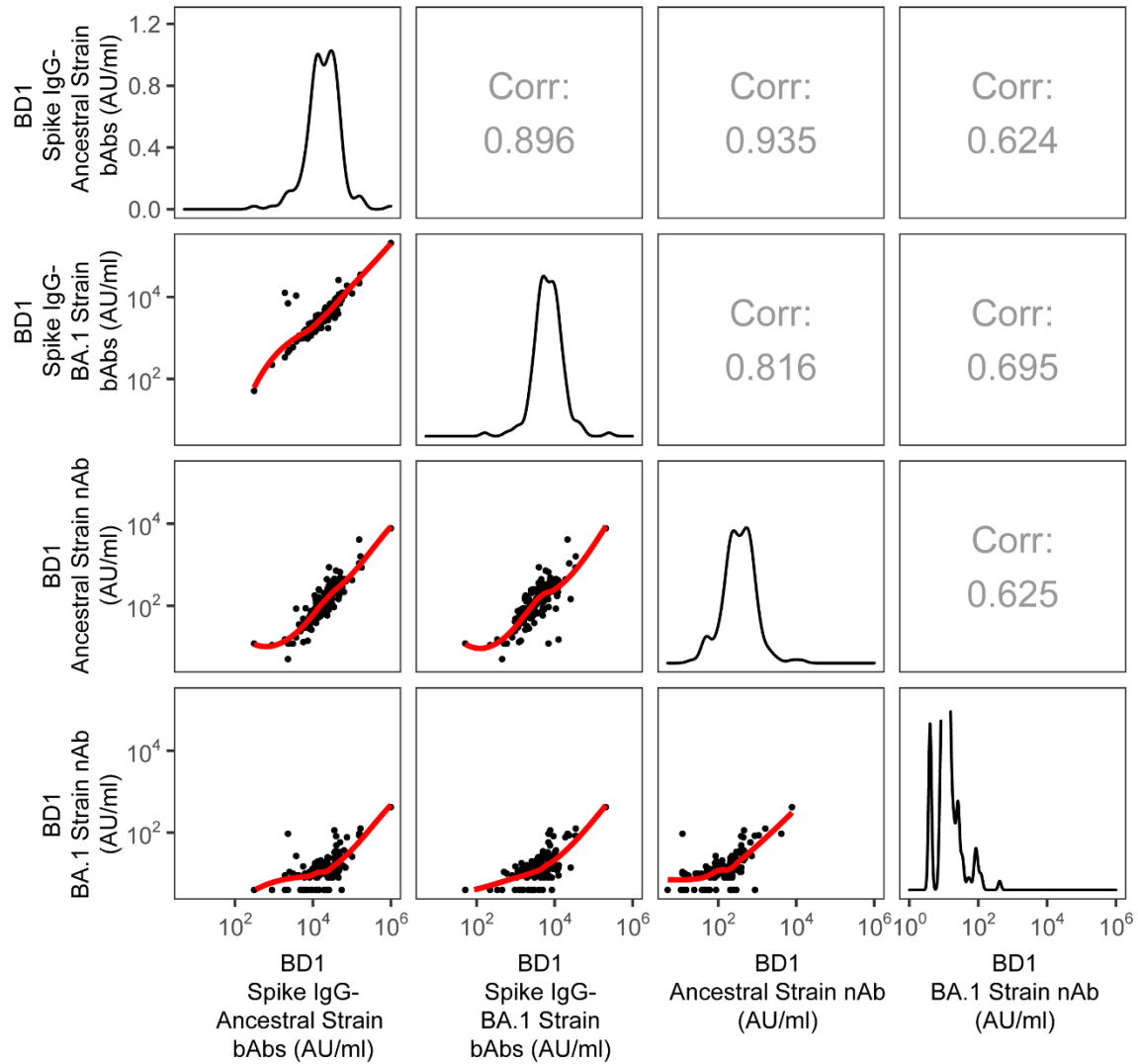
Arm	Status	Marker	Visit	N	Response Rate (95% CI)	GMC or GMT (AU/ml) ¹ (95% CI)
BA.1 Strain						
Original-Vaccine	SARS-CoV-2 Naive	BA.1 Strain nAbs	BD29	6606	100 (100, 100)	527 (276, 1006)
Original-Vaccine	SARS-CoV-2 Naive	Spike IgG-BA.1 Strain bAbs	BD29	6606	100 (100, 100)	194468 (134566, 281036)
Original-Vaccine	SARS-CoV-2 Naive	BA.1 Strain nAbs	Fold-Rise	6606	-	37.4 (21.6, 64.8)
Original-Vaccine	SARS-CoV-2 Naive	Spike IgG-BA.1 Strain bAbs	Fold-Rise	6606	-	74.8 (58.1, 96.4)
Original-Vaccine	Non-Naive	BA.1 Strain nAbs	BD29	134	100 (100, 100)	616 (336, 1131)
Original-Vaccine	Non-Naive	Spike IgG-BA.1 Strain bAbs	BD29	134	100 (100, 100)	165136 (98711, 276261)
Original-Vaccine	Non-Naive	BA.1 Strain nAbs	Fold-Rise	134	-	40.3 (26.5, 61.4)
Original-Vaccine	Non-Naive	Spike IgG-BA.1 Strain bAbs	Fold-Rise	134	-	46.5 (32.2, 67.3)
Crossover-Vaccine	SARS-CoV-2 Naive	BA.1 Strain nAbs	BD29	6164	100 (100, 100)	455 (329, 629)
Crossover-Vaccine	SARS-CoV-2 naive	Spike IgG-BA.1 Strain bAbs	BD29	6164	100 (100, 100)	148498 (117406, 187823)
Crossover-Vaccine	SARS-CoV-2 Naive	BA.1 Strain nAbs	Fold-Rise	6164	-	30.1 (21.8, 41.6)
Crossover-Vaccine	SARS-CoV-2 Naive	Spike IgG-BA.1 Strain bAbs	Fold-Rise	6164	-	33.7 (24.7, 46.0)
Crossover-Vaccine	Non-Naive	BA.1 Strain nAbs	BD29	35	100 (100, 100)	432 (212, 879)
Crossover-Vaccine	Non-Naive	Spike IgG-BA.1 Strain bAbs	BD29	35	100 (100, 100)	98349 (58932, 164131)
Crossover-Vaccine	Non-Naive	BA.1 Strain nAbs	Fold-Rise	35	-	9.7 (1.9, 49.4)
Crossover-Vaccine	Non-Naive	Spike IgG-BA.1 Strain bAbs	Fold-Rise	35	-	9.8 (2.8, 33.9)
Ancestral strain						
Original-Vaccine	SARS-CoV-2 Naive	Ancestral Strain nAbs	BD29	6606	100 (100, 100)	5859 (3101, 11067)
Original-Vaccine	SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAbs	BD29	6606	100 (100, 100)	715670 (471776, 1085650)
Original-Vaccine	SARS-CoV-2 Naive	Ancestral Strain nAbs	Fold-Rise	6606	-	77.6 (52.4, 115)

Original-Vaccine	SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAbs	Fold-Rise	6606	-	53.1 (38.8, 72.8)
Original-Vaccine	Non-Naive	Ancestral Strain nAbs	BD29	134	100 (100, 100)	6297 (3433, 11550)
Original-Vaccine	Non-Naive	Spike IgG-Ancestral Strain bAbs	BD29	134	100 (100, 100)	682910 (452891, 1029752)
Original-Vaccine	Non-Naive	Ancestral Strain nAbs	Fold-Rise	134	-	61.4 (41.6, 90.8)
Original-Vaccine	Non-Naive	Spike IgG-Ancestral Strain bAbs	Fold-Rise	134	-	35.6 (24.7, 51.2)
Crossover-Vaccine	SARS-CoV-2 Naive	Ancestral Strain nAbs	BD29	6164	100 (100, 100)	5125 (3859, 6806)
Crossover-Vaccine	SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAbs	BD29	6164	100 (100, 100)	591821 (474520, 738120)
Crossover-Vaccine	SARS-CoV-2 Naive	Ancestral Strain nAbs	Fold-Rise	6164	-	28.7 (20.3, 40.6)
Crossover-Vaccine	SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAbs	Fold-Rise	6164	-	23.5 (17.7, 31.3)
Crossover-Vaccine	Non-Naive	Ancestral Strain nAbs	BD29	35	100 (100, 100)	4092 (2534, 6608)
Crossover-Vaccine	Non-Naive	Spike IgG-Ancestral Strain bAbs	BD29	35	100 (100, 100)	425821 (283019, 640676)
Crossover-Vaccine	Non-Naive	Ancestral Strain nAbs	Fold-Rise	35	-	6.9 (1.2, 40.3)
Crossover-Vaccine	Non-Naive	Spike IgG-Ancestral Strain bAbs	Fold-Rise	35	-	6.8 (1.8, 25.9)

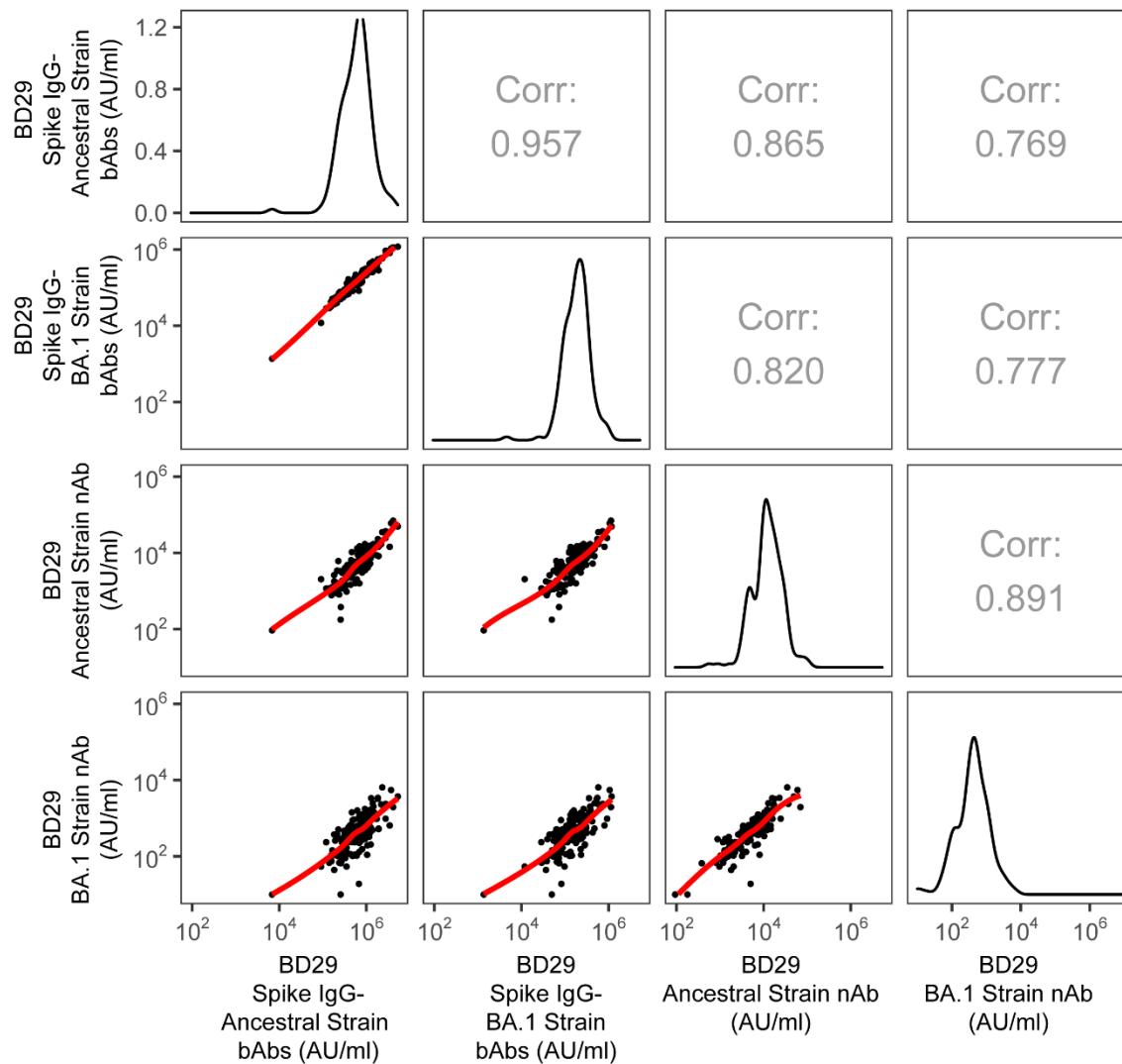
Fold-Rise = BD29/BD1. N is the number of cases sampled into the subcohort within baseline covariate strata. Non-case = No acquisitions of COVID-19 (of any strain) in the interval [BD1, data cutoff date]. SARS-CoV-2 naive = No evidence of SARS-CoV-2 infection from enrollment through to BD1. Non-naive = Any evidence of SARS-CoV-2 infection in the interval ≥ 14 days after the original 2-dose series, BD1]

¹For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).

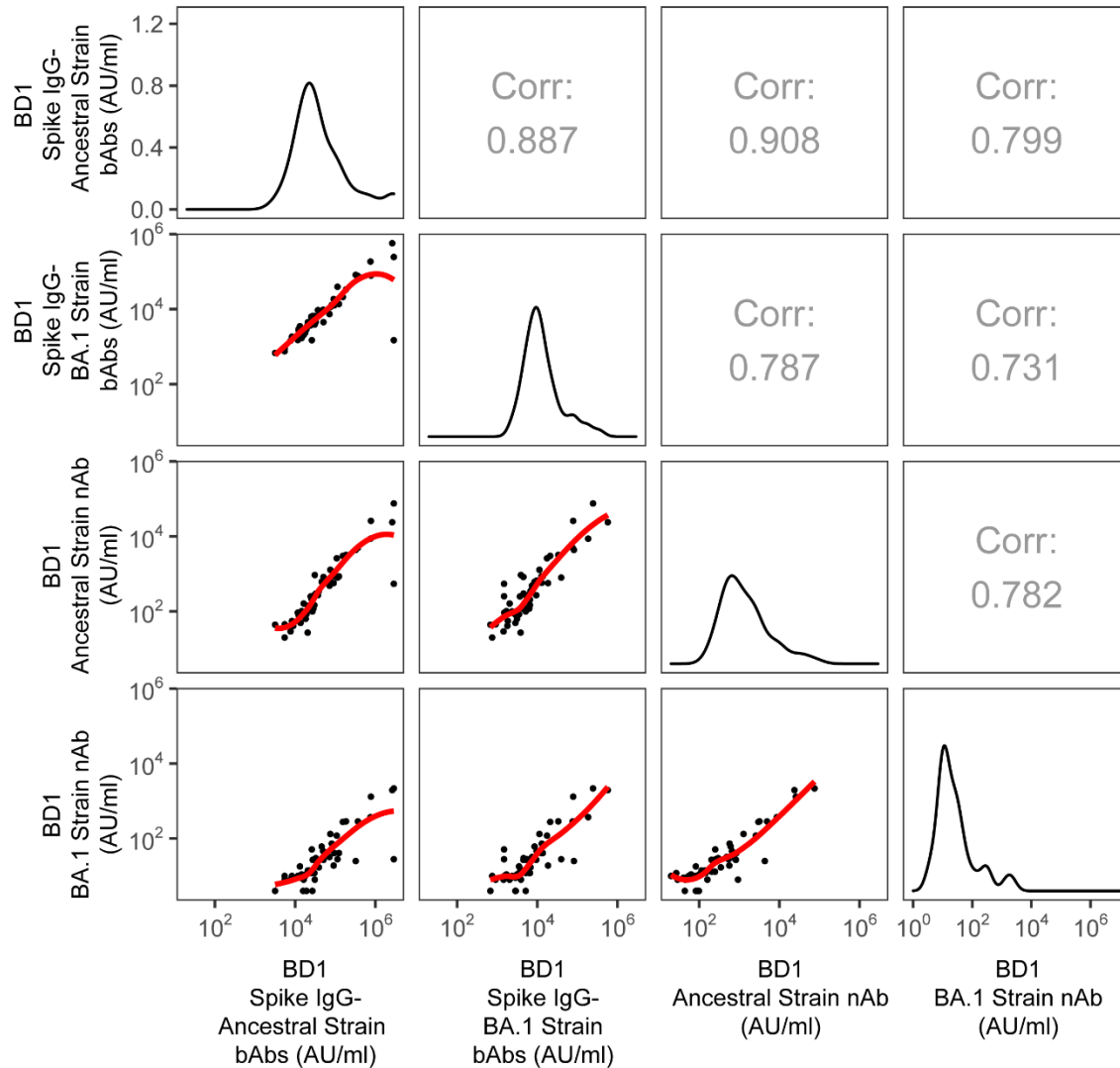
GMC: geometric mean concentration; GMT: geometric mean titer.



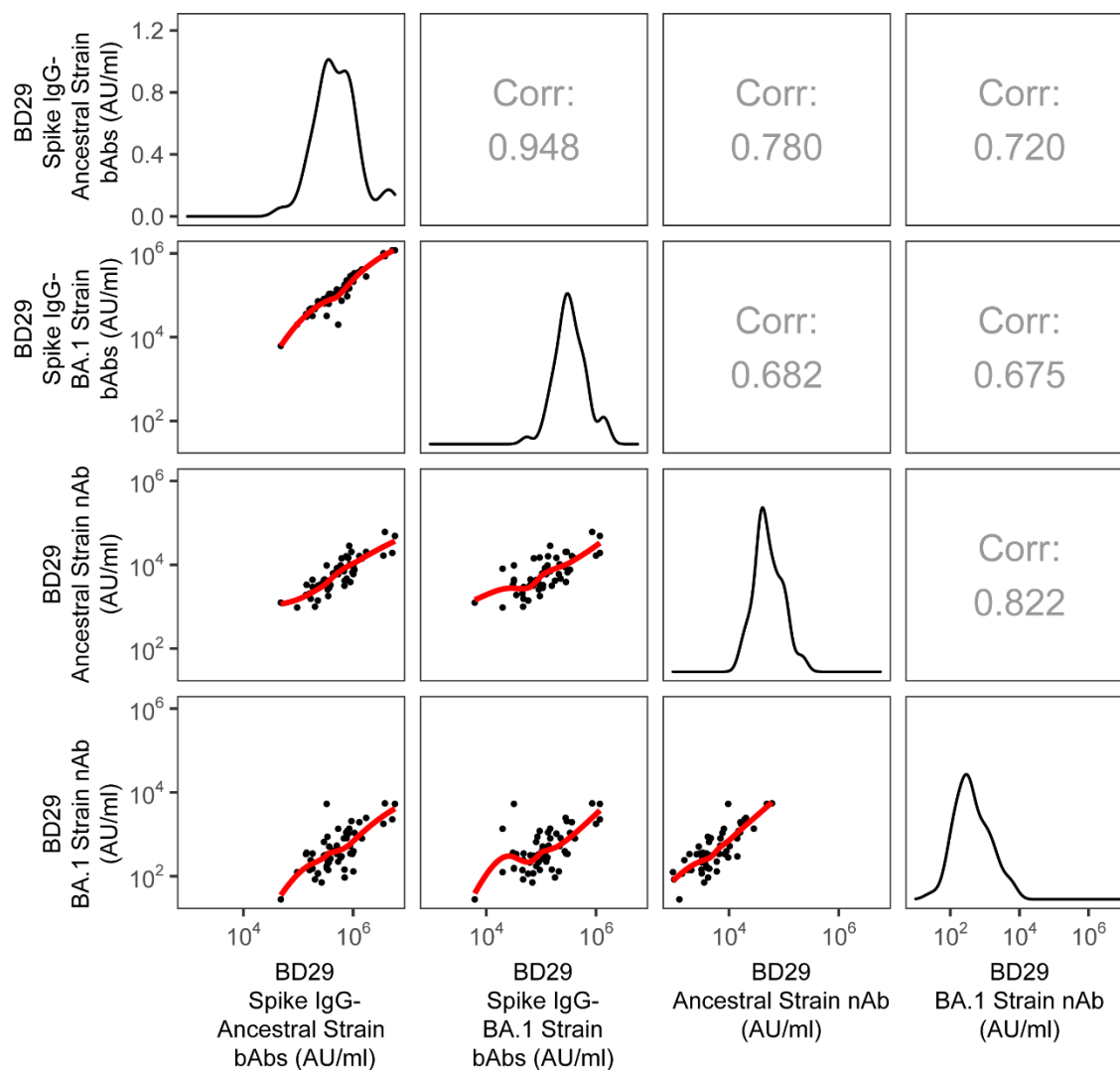
Supplementary Fig. 8. Correlations of BD1 antibody markers among SARS-CoV-2 naive participants in the per-protocol boosted cohort (n = 218). Corr = Inverse probability weight adjusted Spearman's rank correlation coefficient. $P < 0.001$ for all pairwise correlations. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



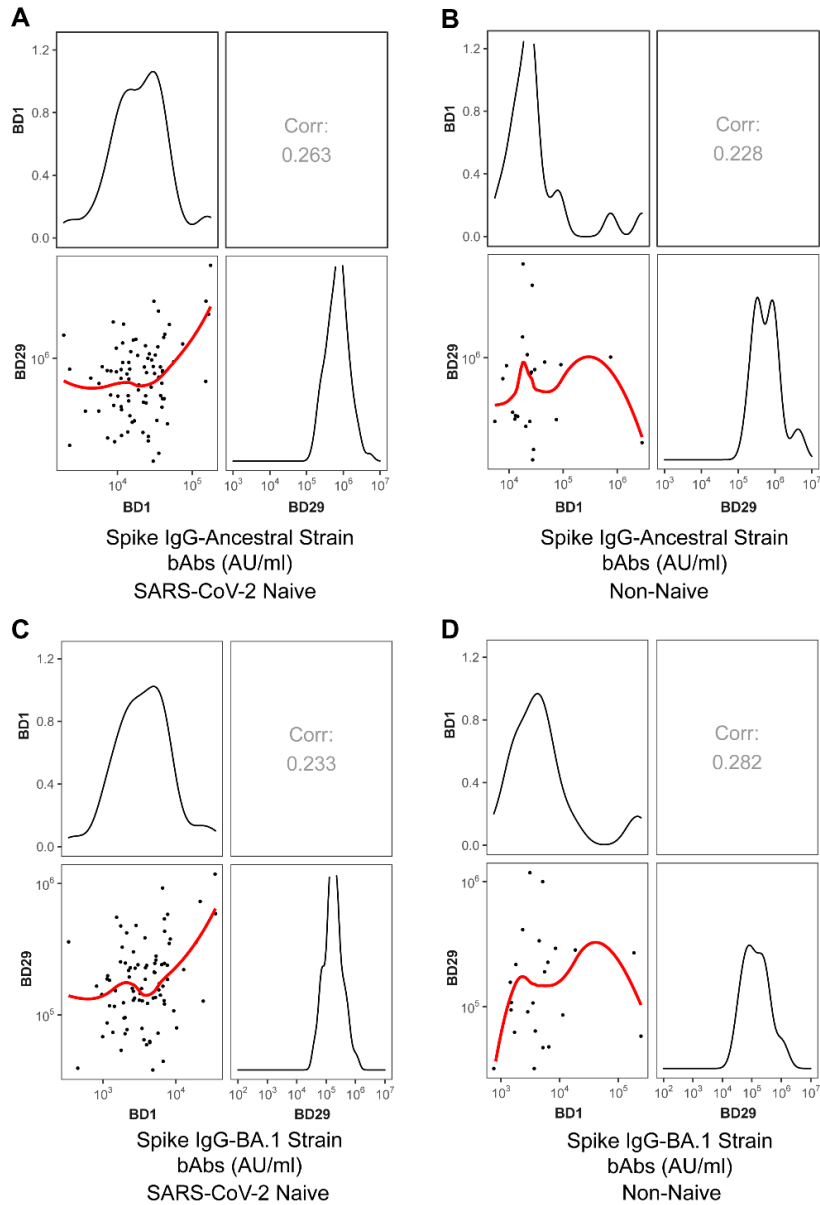
Supplementary Fig. 9. Correlations of BD29 antibody markers among SARS-CoV-2 naive participants in the per-protocol boosted cohort (n = 218). Corr = Inverse probability weight adjusted Spearman's rank correlation coefficient. $P < 0.001$ for all pairwise correlations. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



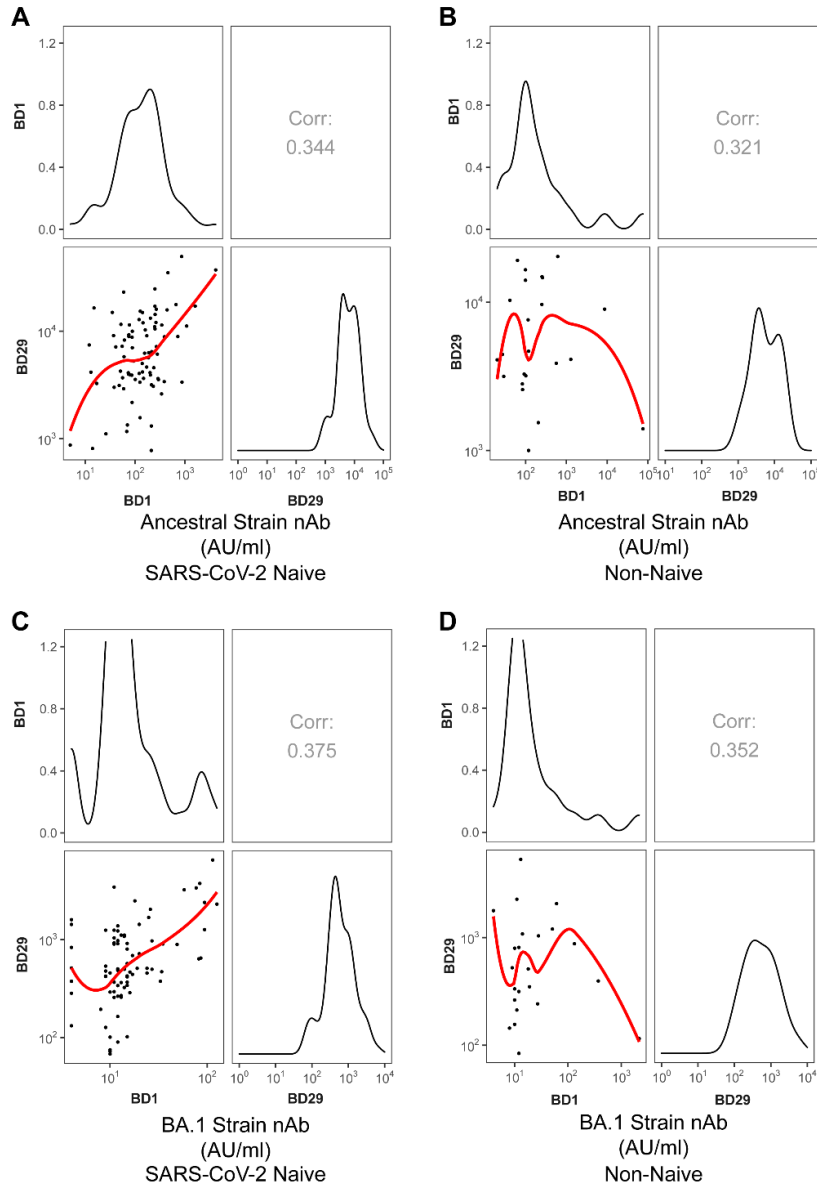
Supplementary Fig. 10. Correlations of BD1 antibody markers among non-naive participants in the per-protocol boosted cohort (n = 55). Corr = Inverse probability weight adjusted Spearman's rank correlation coefficient. $P < 0.001$ for all pairwise correlations. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



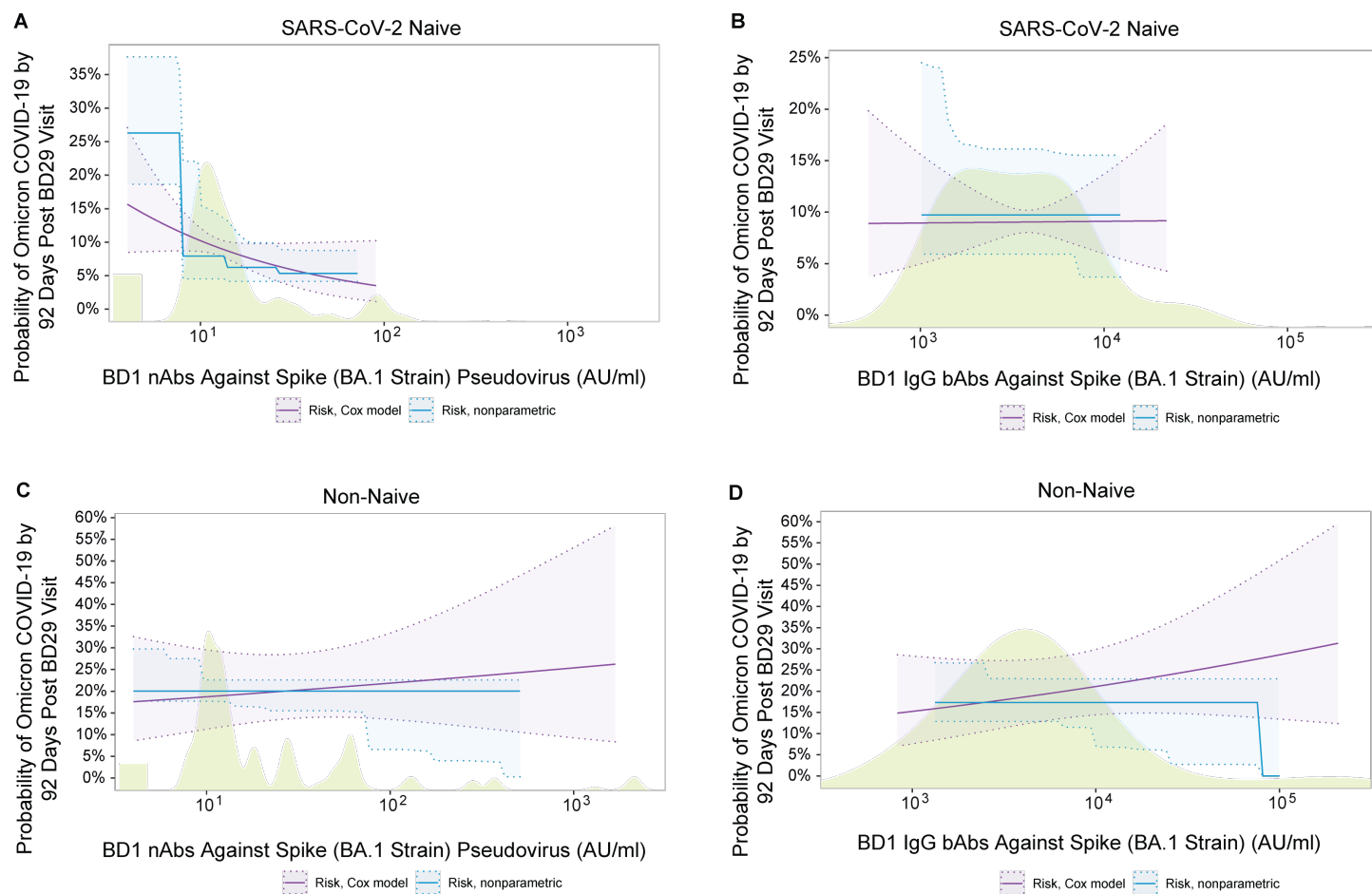
Supplementary Fig. 11. Correlations of BD29 antibody markers among non-naive participants in the per-protocol boosted cohort (n = 55). Corr = Inverse probability weight adjusted Spearman's rank correlation coefficient. $P < 0.001$ for all pairwise correlations.



Supplementary Fig. 12. Correlations between BD1 and BD29 (A, B) Spike IgG-Ancestral strain binding antibody (bAb) and (C, D) Spike IgG-BA.1 strain bAb concentrations among (A, C) SARS-CoV-2 naive participants and (B, D) non-naive participants in the per-protocol boosted cohort (A, C: n=84; B, D: n=23). Corr = Inverse probability weight adjusted Spearman's rank correlation coefficient. $P < 0.001$ for the Ancestral strain among naive participants; $P = 0.85$ for the Ancestral strain among non-naive participants; $P = 0.002$ for the BA.1 strain among naive participants; $P = 0.34$ for the BA.1 strain among non-naive participants.



Supplementary Fig. 13. Correlations between BD1 and BD29 (A, B) Ancestral strain neutralizing antibody (nAb) and (C, D) BA.1 strain nAb titers among (A, C) SARS-CoV-2 naive participants and (B, D) non-naive participants in the per-protocol boosted cohort (A, C: n=84; B, D: n =23). Corr = Inverse probability weight adjusted Spearman's rank correlation coefficient. $P < 0.001$ for the Ancestral strain among naive participants; $P = 0.61$ for the Ancestral strain among non-naive participants; $P < 0.001$ for the BA.1 strain among naive participants; $P = 0.80$ for the BA.1 strain among non-naive participants. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).

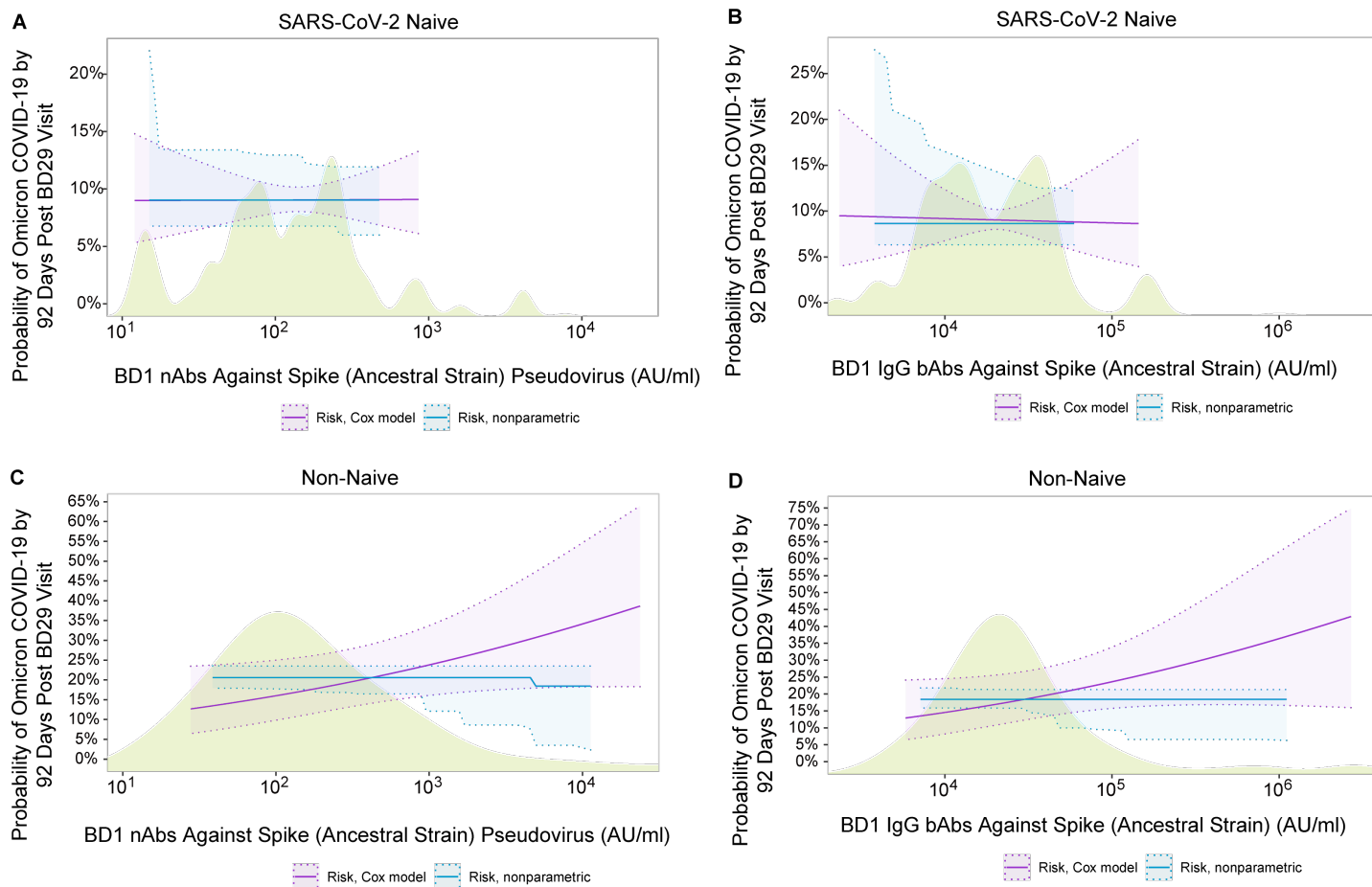


Status	Marker	No. Cases / No. At-Risk*	HR per 10-Fold Increase Pt. Est. (95% CI)	P-value (2-sided)	FDR-Adj. P-value**	FWER-Adj. P-value**
BD1 SARS-CoV-2 Naive	BA.1 Strain nAb	1,277/14,047	0.31 (0.07, 1.37)	0.123	0.736	0.479
BD1 SARS-CoV-2 Naive	Spike IgG-BA.1 Strain bAb	1,277/14,047	1.02 (0.29, 3.56)	0.977	0.988	1.000
BD1 Non-Naive	BA.1 Strain nAb	35/204	1.21 (0.56, 2.61)	0.627	0.721	0.886
BD1 Non-Naive	Spike IgG-BA.1 Strain bAb	35/204	1.47 (0.71, 3.08)	0.302	0.588	0.747

* No. at-risk = estimated number in the population for analysis, i.e. per-protocol boosted participants (naive or non-naive, as designated) not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

** FDR (false discovery rate)-adjusted p values and FWER (family-wise error rate)-adjusted p-values were computed over the set of p-values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10000 replicates).

Supplementary Fig. 14. Analyses of BD1 BA.1 strain neutralizing antibody (nAb) titer and Spike IgG-BA.1 strain binding antibody (bAb) concentration as a correlate of risk of Omicron COVID-19. Curves show cumulative incidence of Omicron COVID-19, estimated using a Cox model (purple) or a nonparametric method (blue), in per-protocol boosted (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) by 92 days post BD29 by BD1 antibody marker level. The solid curves indicate the mean cumulative incidences. The dotted lines and shadings in between indicate bootstrap pointwise 95% CIs. The distribution of the marker in the respective analysis population, calculated by kernel density estimation, is plotted in light green. E) Hazard ratios of Omicron COVID-19 per 10-fold increase in each BD1 BA.1 strain marker in per-protocol boosted SARS-CoV-2 naive participants or non-naive participants. Baseline covariates adjusted for: baseline risk score, at risk status, and community of color status.



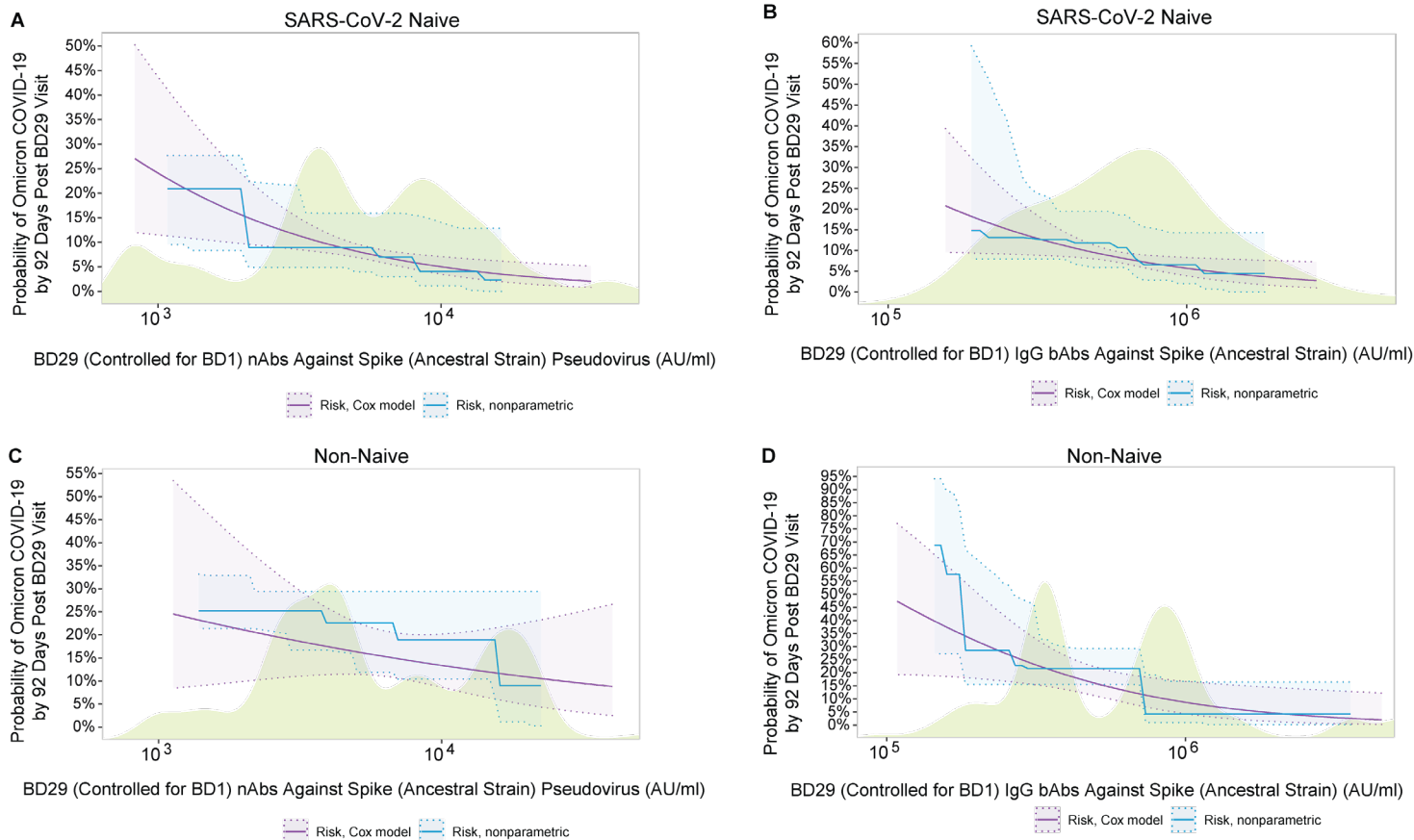
E

Status	Marker	No. Cases / No. At-Risk*	HR per 10-Fold Increase Pt. Est. (95% CI)	P-value (2-sided)	FDR-Adj. P-value**	FWER-Adj. P-value**
BD1 SARS-CoV-2 Naive	Ancestral Strain nAb	1,277/14,047	1.01 (0.47, 2.15)	0.988	0.988	1.000
BD1 SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAb	1,277/14,047	0.95 (0.30, 2.98)	0.924	0.988	1.000
BD1 Non-Naive	Ancestral Strain nAb	35/204	1.61 (0.99, 2.63)	0.057	0.408	0.398
BD1 Non-Naive	Spike IgG-Ancestral Strain bAb	35/204	1.78 (0.90, 3.51)	0.096	0.433	0.486

* No. at-risk = estimated number in the population for analysis, i.e. per-protocol boosted participants (naive or non-naive, as designated) not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

** FDR (false discovery rate)-adjusted p values and FWER (family-wise error rate)-adjusted p-values were computed over the set of p-values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10000 replicates).

Supplementary Fig. 15. Analyses of BD1 Ancestral strain neutralizing antibody (nAb) titer and Spike IgG-Ancestral strain binding antibody (bAb) concentration as a correlate of risk of Omicron COVID-19. Curves show cumulative incidence of Omicron COVID-19, estimated using a Cox model (purple) or a nonparametric method (blue), in per-protocol boosted (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) by 92 days post BD29 by BD1 antibody marker level. The solid curves indicate the mean cumulative incidences. The dotted lines and shadings in between indicate bootstrap pointwise 95% CIs. The distribution of the marker in the respective analysis population, calculated by kernel density estimation, is plotted in light green. E) Hazard ratios of Omicron COVID-19 per 10-fold increase in each BD1 Ancestral strain marker in per-protocol boosted SARS-CoV-2 naive participants or non-naive participants. Baseline covariates adjusted for: baseline risk score, at risk status, and community of color status. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).

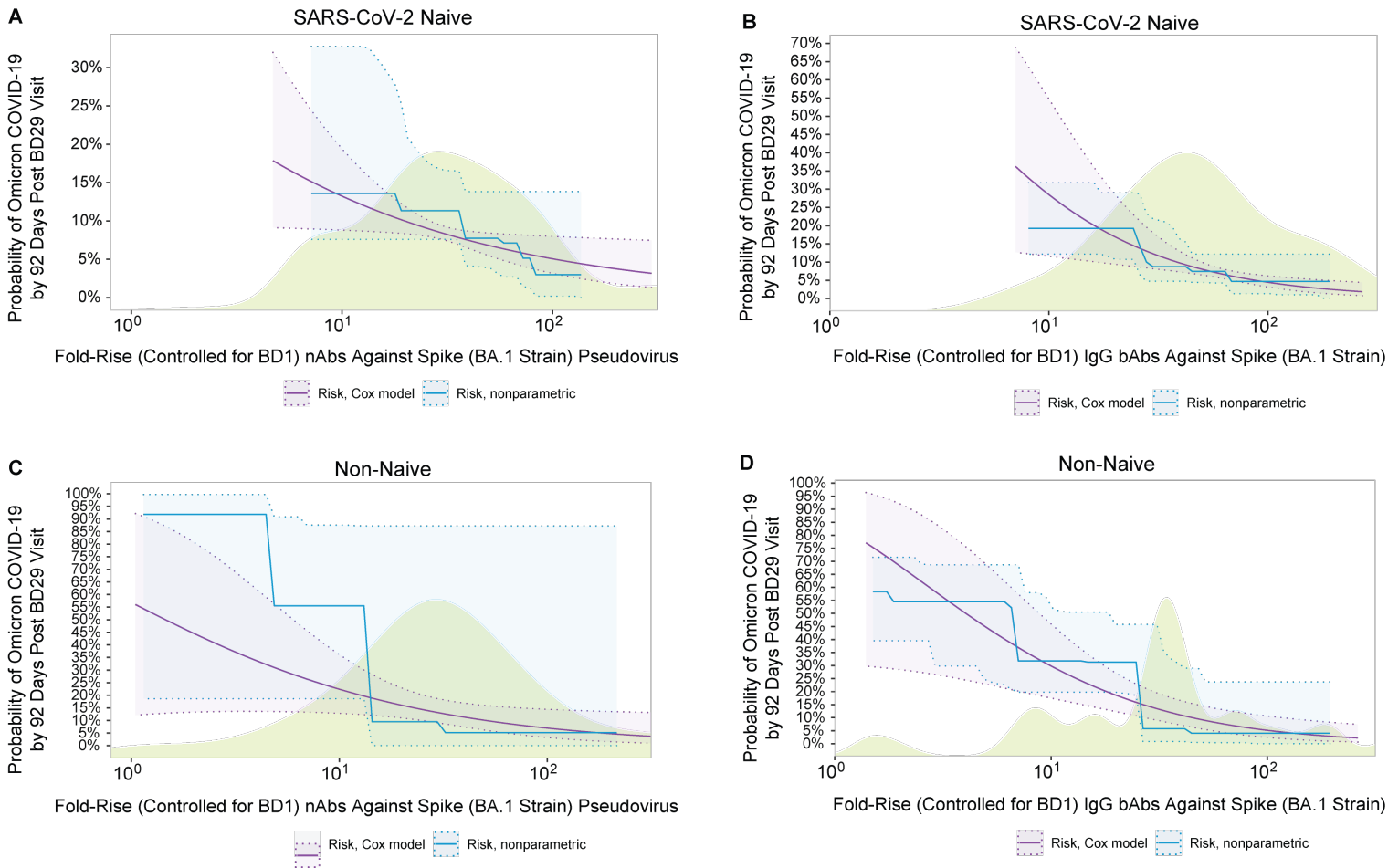


Status	Marker	No. Cases / No. At-Risk*	HR per 10-Fold Increase Pt. Est. (95% CI)	P-value (2-sided)	FDR-Adj. P-value**	FWER-Adj. P-value**
BD29 SARS-CoV-2 Naive	Ancestral Strain nAb	1,277/14,047	0.33 (0.10, 1.13)	0.076	0.122	0.195
BD29 SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAb	1,277/14,047	0.23 (0.04, 1.25)	0.089	0.125	0.195
BD29 Non-Naive	Ancestral Strain nAb	35/204	0.45 (0.07, 2.95)	0.409	0.513	0.638
BD29 Non-Naive	Spike IgG-Ancestral Strain bAb	35/204	0.10 (0.01, 0.68)	0.019	0.289	0.211

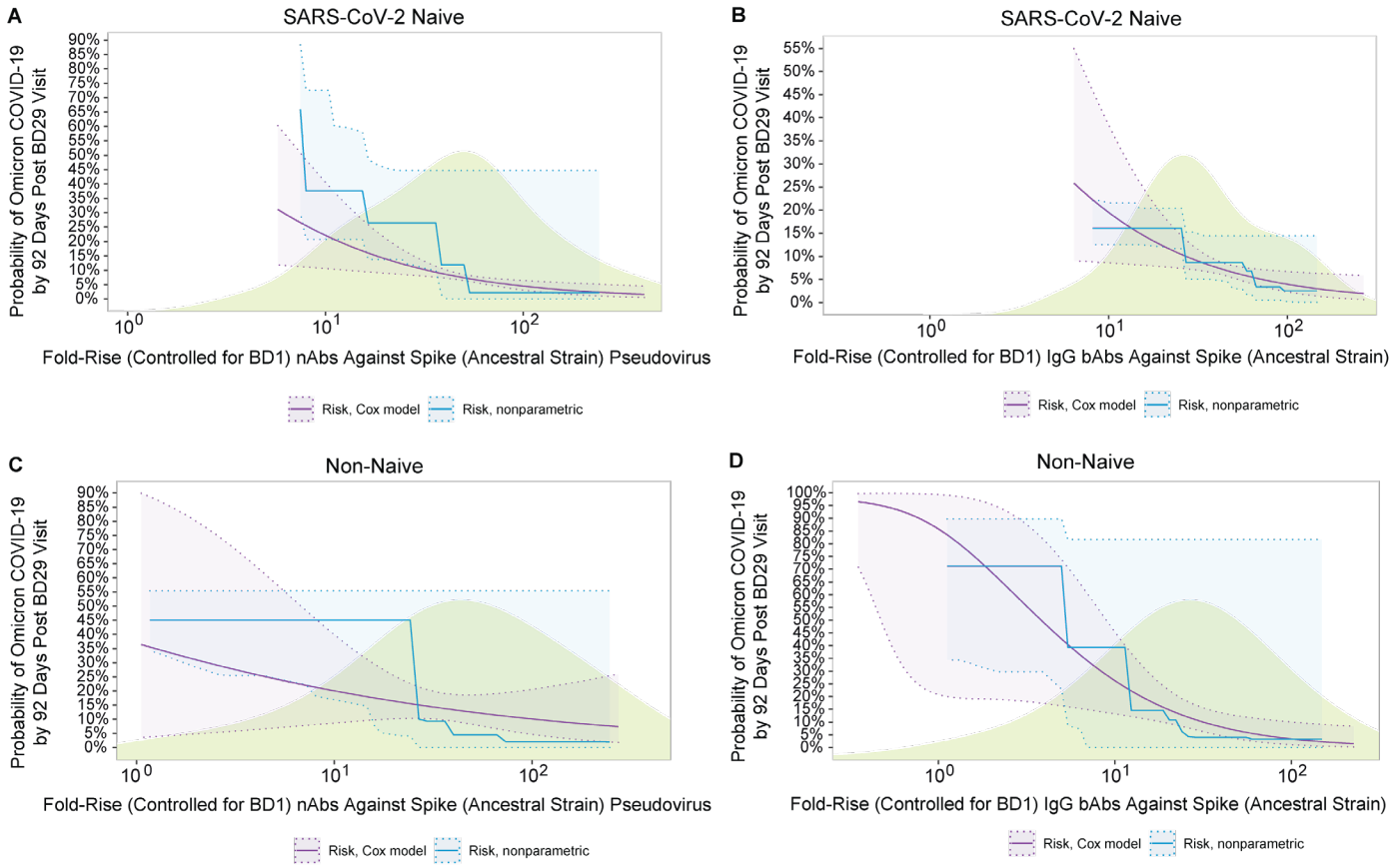
* No. at-risk = estimated number in the population for analysis, i.e. per-protocol boosted participants (naive or non-naive, as designated) not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

** FDR (false discovery rate)-adjusted p values and FWER (family-wise error rate)-adjusted p-values were computed over the set of p-values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10000 replicates).

Supplementary Fig. 16. Analyses of BD29 Ancestral strain neutralizing antibody (nAb) titer and Spike IgG-Ancestral strain binding antibody (bAb) concentration as a correlate of risk of Omicron COVID-19. Curves show cumulative incidence of Omicron COVID-19, estimated using a Cox model (purple) or a nonparametric method (blue), in per-protocol boosted (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) by 92 days post BD29 by BD29 antibody marker level. BD29 marker levels were controlled for BD1 marker levels. The solid curves indicate the mean cumulative incidences. The dotted lines and shadings in between indicate bootstrap pointwise 95% CIs. The distribution of the marker in the respective analysis population, calculated by kernel density estimation, is plotted in light green. E) Hazard ratios of Omicron COVID-19 per 10-fold increase in each BD29 Ancestral marker in per-protocol boosted SARS-CoV-2 naive participants or non-naive participants. Baseline covariates adjusted for: baseline risk score, at risk status, and community of color status. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



Supplementary Fig. 17. Analyses of fold-rise (BD29/BD1) BA.1 strain neutralizing antibody (nAb) titer and Spike IgG-BA.1 strain binding antibody (bAb) concentration as a correlate of risk of Omicron COVID-19. Curves show cumulative incidence of Omicron COVID-19, estimated using a Cox model (purple) or a nonparametric method (blue), in per-protocol boosted (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) by 92 days post BD29 by BD29/BD29 antibody marker level. BD29 marker levels were controlled for BD1 marker levels. The solid curves indicate the mean cumulative incidences. The dotted lines and shadings in between indicate bootstrap pointwise 95% CIs. The distribution of the marker in the respective analysis population, calculated by kernel density estimation, is plotted in light green. Baseline covariates adjusted for: baseline risk score, at risk status, and community of color status.

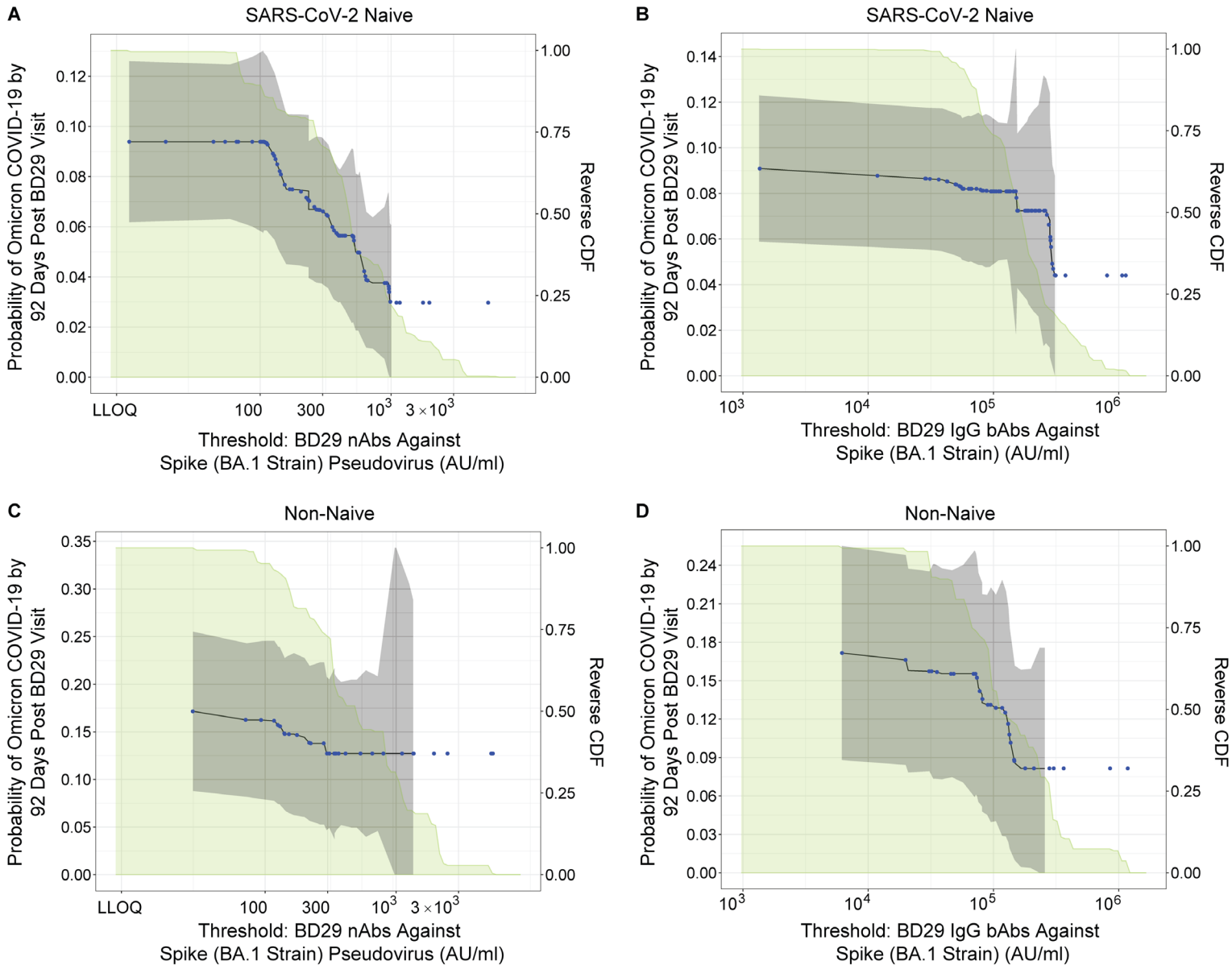


	Status	Marker	No. Cases / No. At-Risk*	HR per 10-Fold Increase Pt. Est. (95% CI)	P-value (2-sided)	FDR-Adj. P-value**	FWER-Adj. P-value**
Fold-Rise	SARS-CoV-2 Naive	Ancestral Strain nAb	1,277/14,047	0.38 (0.17, 0.83)	0.015	0.064	0.084
Fold-Rise	SARS-CoV-2 Naive	Spike IgG-Ancestral Strain bAb	1,277/14,047	0.41 (0.16, 1.08)	0.073	0.170	0.256
Fold-Rise	Non-Naive	Ancestral Strain nAb	35/204	0.61 (0.39, 0.95)	0.031	0.123	0.233
Fold-Rise	Non-Naive	Spike IgG-Ancestral Strain bAb	35/204	0.46 (0.25, 0.84)	0.012	0.123	0.166

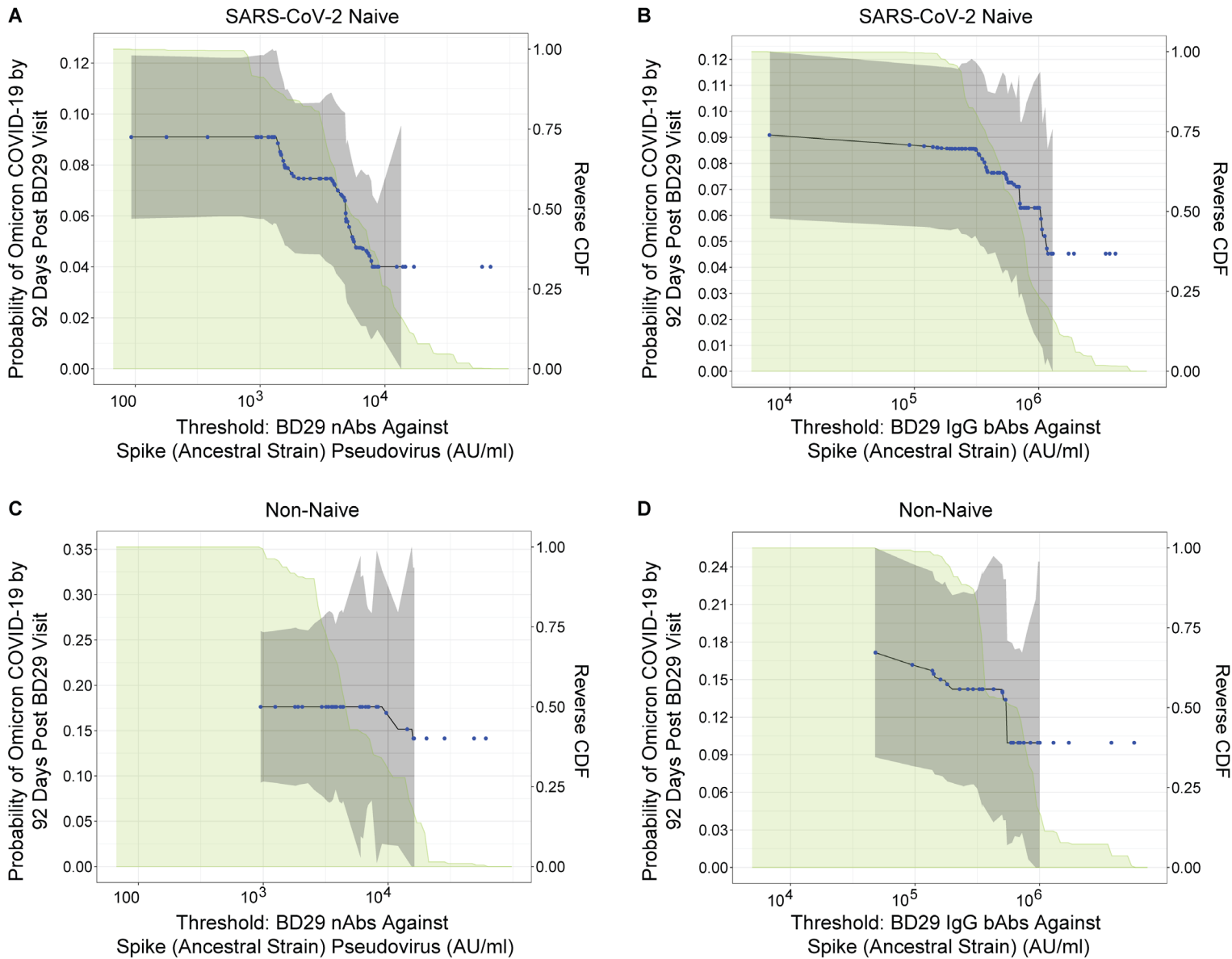
* No. at-risk = estimated number in the population for analysis, i.e. per-protocol boosted participants (naive or non-naive, as designated) not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

** FDR (false discovery rate)-adjusted p values and FWER (family-wise error rate)-adjusted p-values were computed over the set of p-values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10000 replicates).

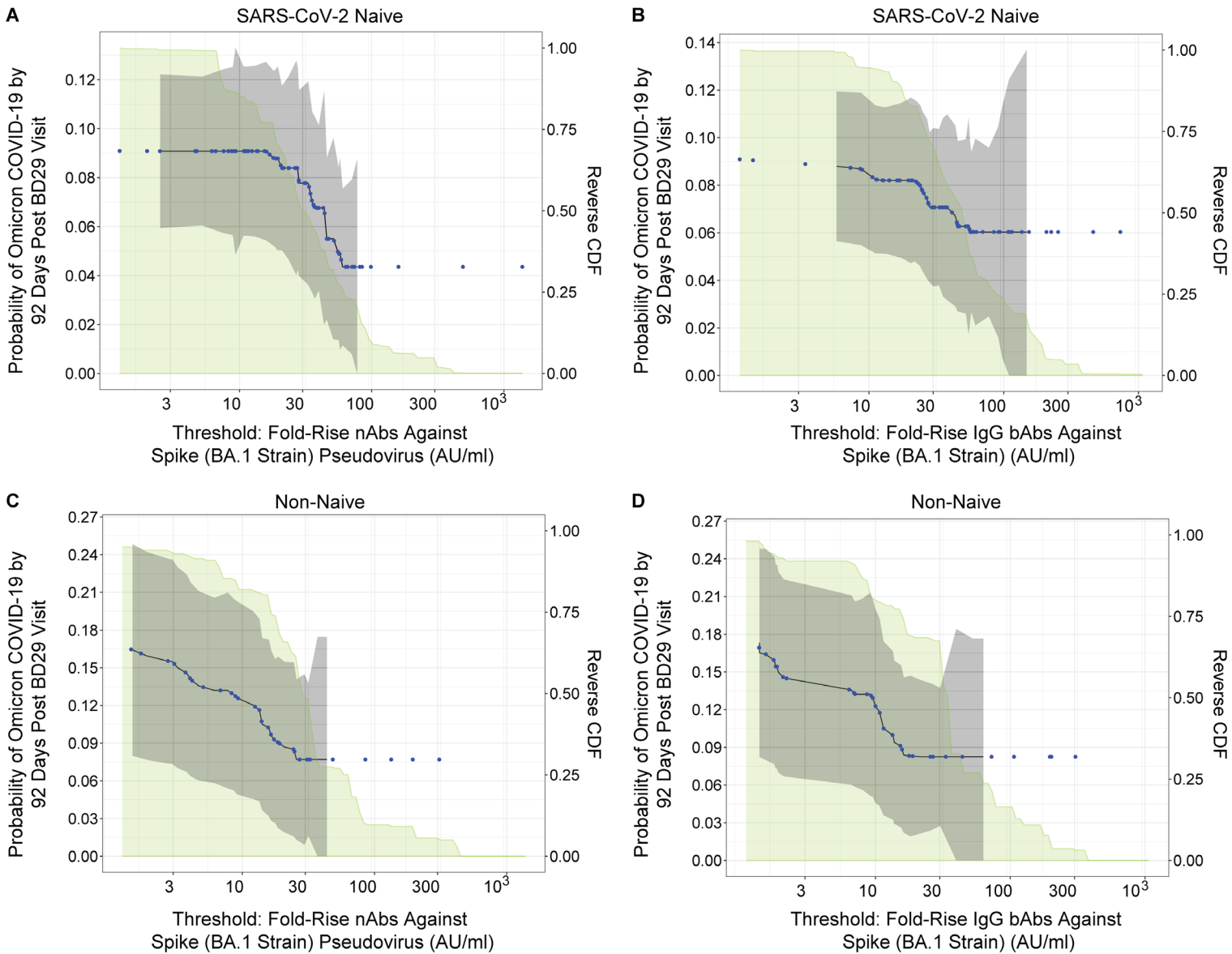
Supplementary Fig. 18. Analyses of fold-rise (BD29/BD1) Ancestral strain neutralizing antibody (nAb) titer and Spike IgG-Ancestral strain binding antibody (bAb) concentration as a correlate of risk of Omicron COVID-19. Curves show cumulative incidence of Omicron COVID-19, estimated using a Cox model (purple) or a nonparametric method (blue), in per-protocol boosted (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) by 92 days post BD29 by BD29/BD1 fold-rise. BD29 marker levels were controlled for BD1 marker levels. The solid curves indicate the mean cumulative incidences. The dotted lines and shadings in between indicate bootstrap pointwise 95% CIs. The distribution of the marker in the respective analysis population, calculated by kernel density estimation, is plotted in light green. E) Hazard ratios of Omicron COVID-19 per 10-fold increase in each fold-rise (BD29/BD1) Ancestral marker in per-protocol boosted SARS-CoV-2 naive participants or non-naive participants. Baseline covariates adjusted for: baseline risk score, at risk status, and community of color status.



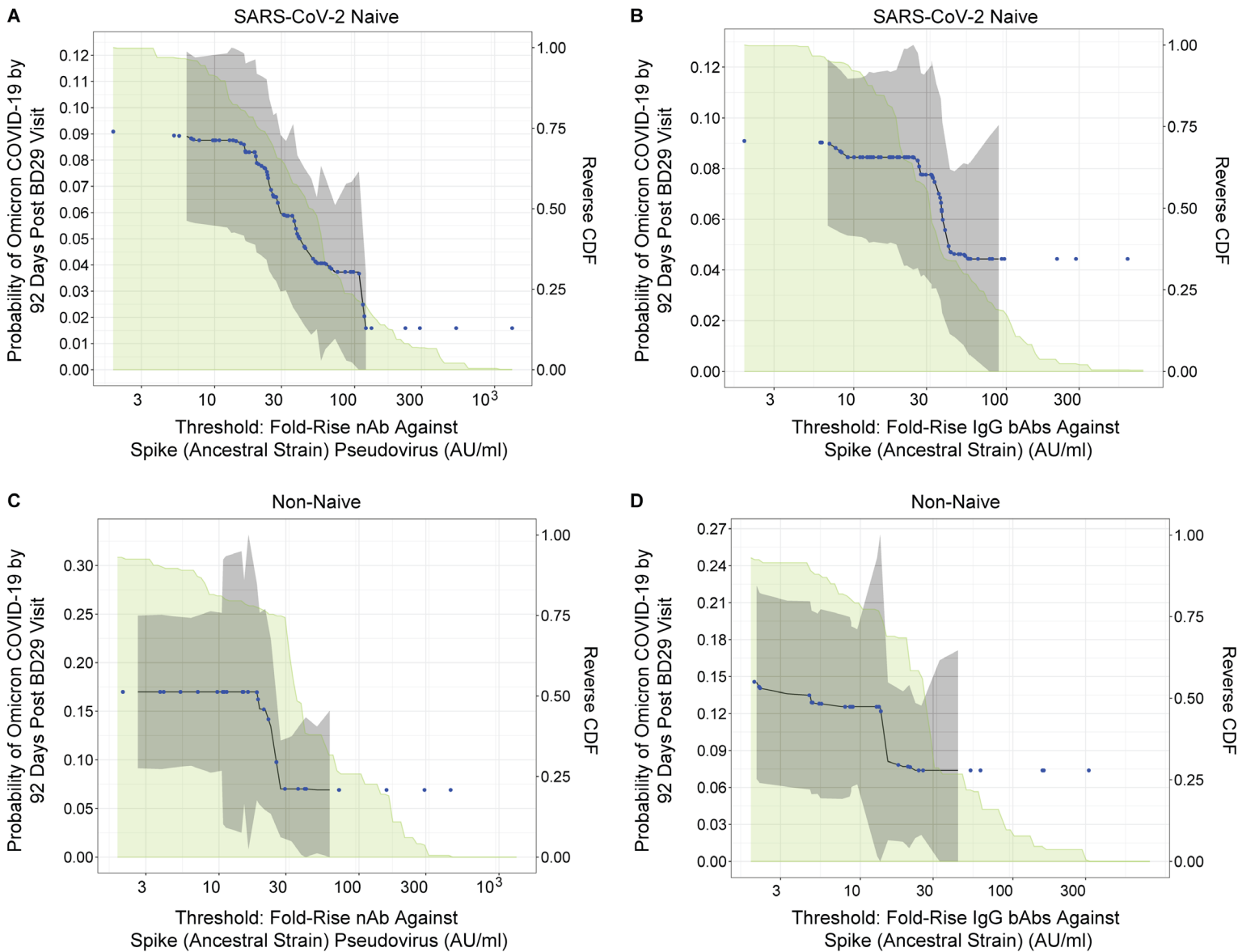
Supplementary Fig. 19. Cumulative incidence of Omicron COVID-19 by 92 days post BD29 by per-protocol boosted subgroups of (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) defined by (A, C) BD29 BA.1 strain neutralizing antibody (nAb) titer or (B, D) BD29 Spike IgG-BA.1 strain binding antibody (bAb) concentration above a threshold. The reverse cumulative distribution function (CDF) of each antibody marker is overlaid in green. Estimates and confidence intervals were adjusted using the assumption that the true threshold-response is nonincreasing. The blue dots correspond to marker values where an event is observed. The gray shaded area is pointwise 95% CIs.



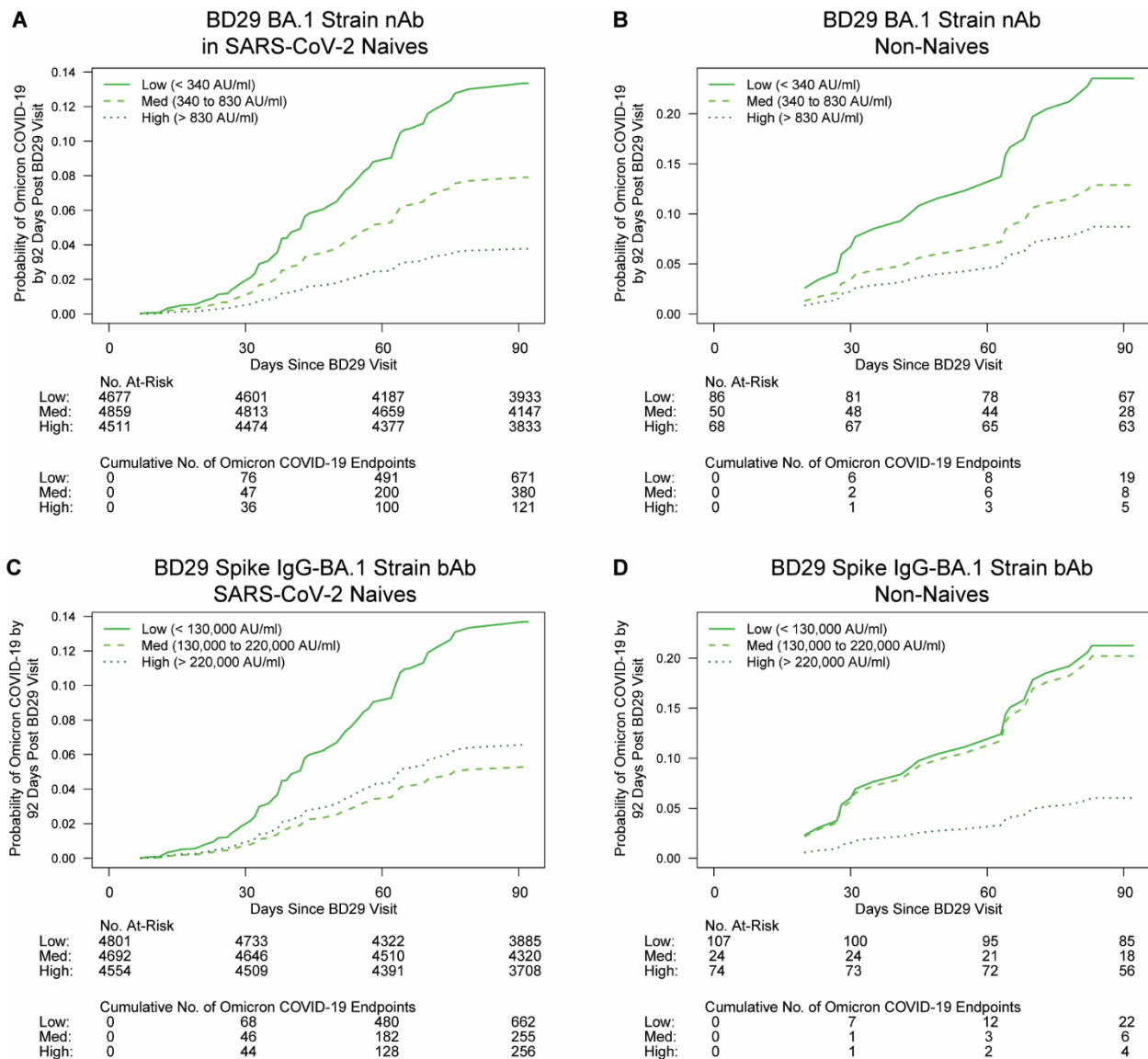
Supplementary Fig. 20. Cumulative incidence of Omicron COVID-19 by 92 days post BD29 by per-protocol boosted subgroups of (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) defined by (A, C) BD29 Ancestral strain neutralizing antibody (nAb) titer or (B, D) BD29 Spike IgG-Ancestral strain binding antibody (bAb) concentration above a threshold. The reverse cumulative distribution function (CDF) of each antibody marker is overlaid in green. Estimates and confidence intervals were adjusted using the assumption that the true threshold-response is nonincreasing. The blue dots correspond to marker values where an event is observed. The gray shaded area is pointwise 95% CIs. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



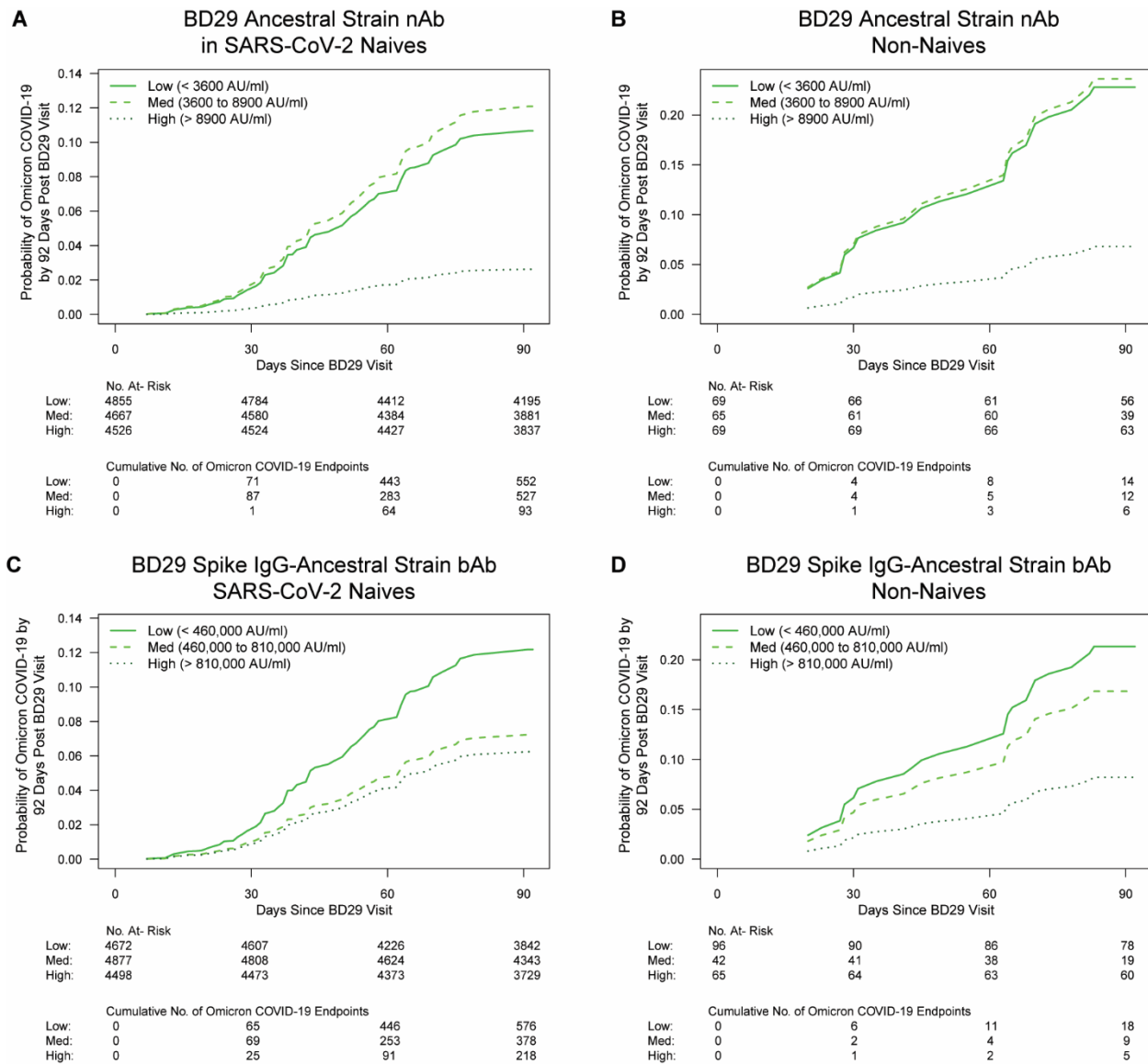
Supplementary Fig. 21. Cumulative incidence of Omicron COVID-19 by 92 days post BD29 by per-protocol boosted subgroups of (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) defined by (A, C) Fold-rise (BD29/BD1) BA.1 strain neutralizing antibody (nAb) titer or (B, D) Fold-rise (BD29/BD1) Spike IgG-BA.1 strain binding antibody (bAb) concentration above a threshold. The reverse cumulative distribution function (CDF) of each antibody marker is overlaid in green. Estimates and confidence intervals were adjusted using the assumption that the true threshold-response is nonincreasing. The blue dots correspond to marker values where an event is observed. The gray shaded area is pointwise 95% CIs.



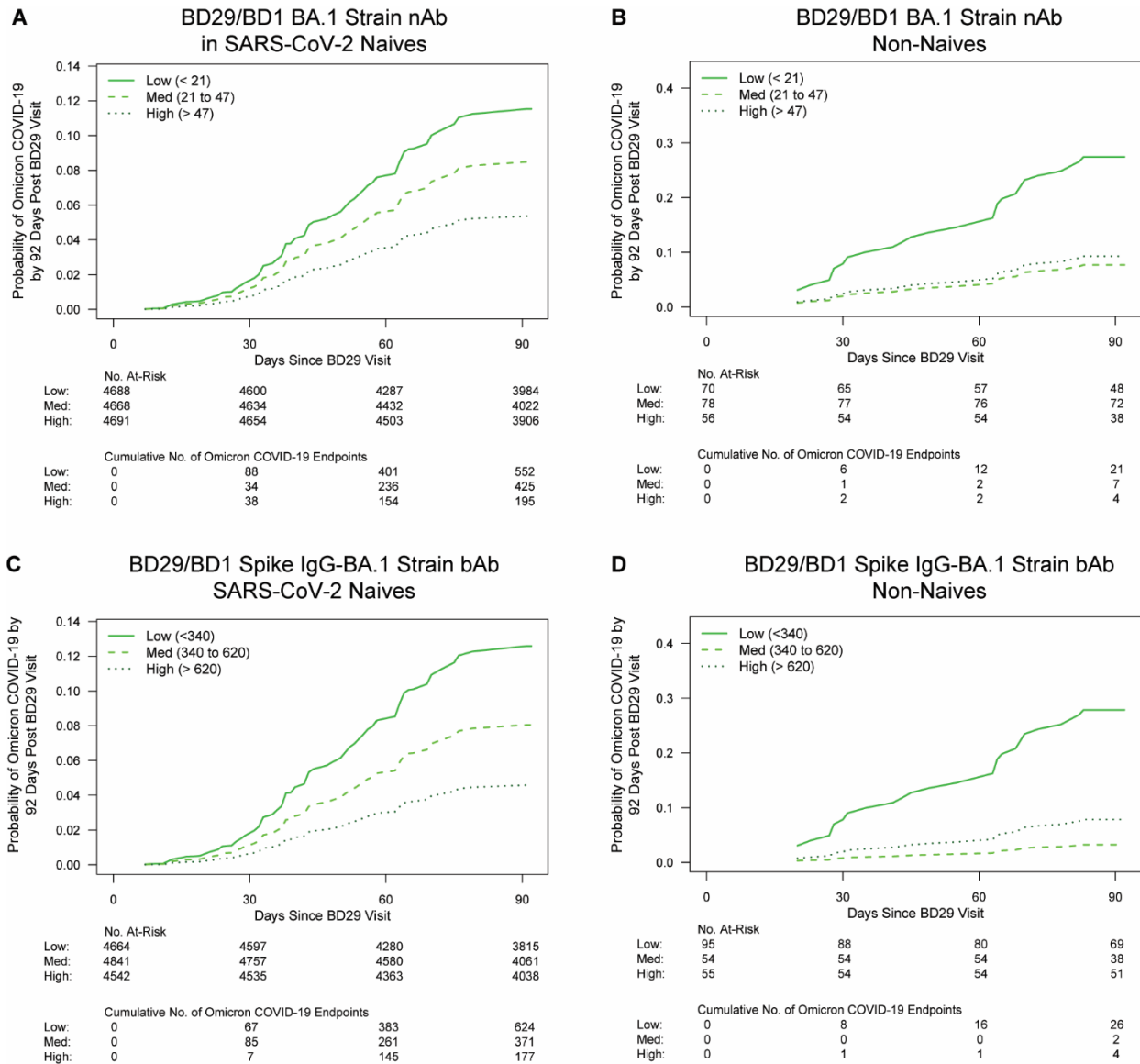
Supplementary Fig. 22. Cumulative incidence of Omicron COVID-19 by 92 days post BD29 by per-protocol boosted subgroups of (A, B) SARS-CoV-2 naive participants (N = 14,047) and (C, D) non-naive participants (N = 204) defined by (A, C) Fold-rise (BD29/BD1) Ancestral strain neutralizing antibody (nAb) titer or (B, D) Fold-rise (BD29/BD1) Spike IgG-Ancestral strain binding antibody (bAb) concentration above a threshold. The reverse cumulative distribution function (CDF) of each antibody marker is overlaid in green. Estimates and confidence intervals were adjusted using the assumption that the true threshold-response is nonincreasing. The blue dots correspond to marker values where an event is observed. The gray shaded area is pointwise 95% CIs. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



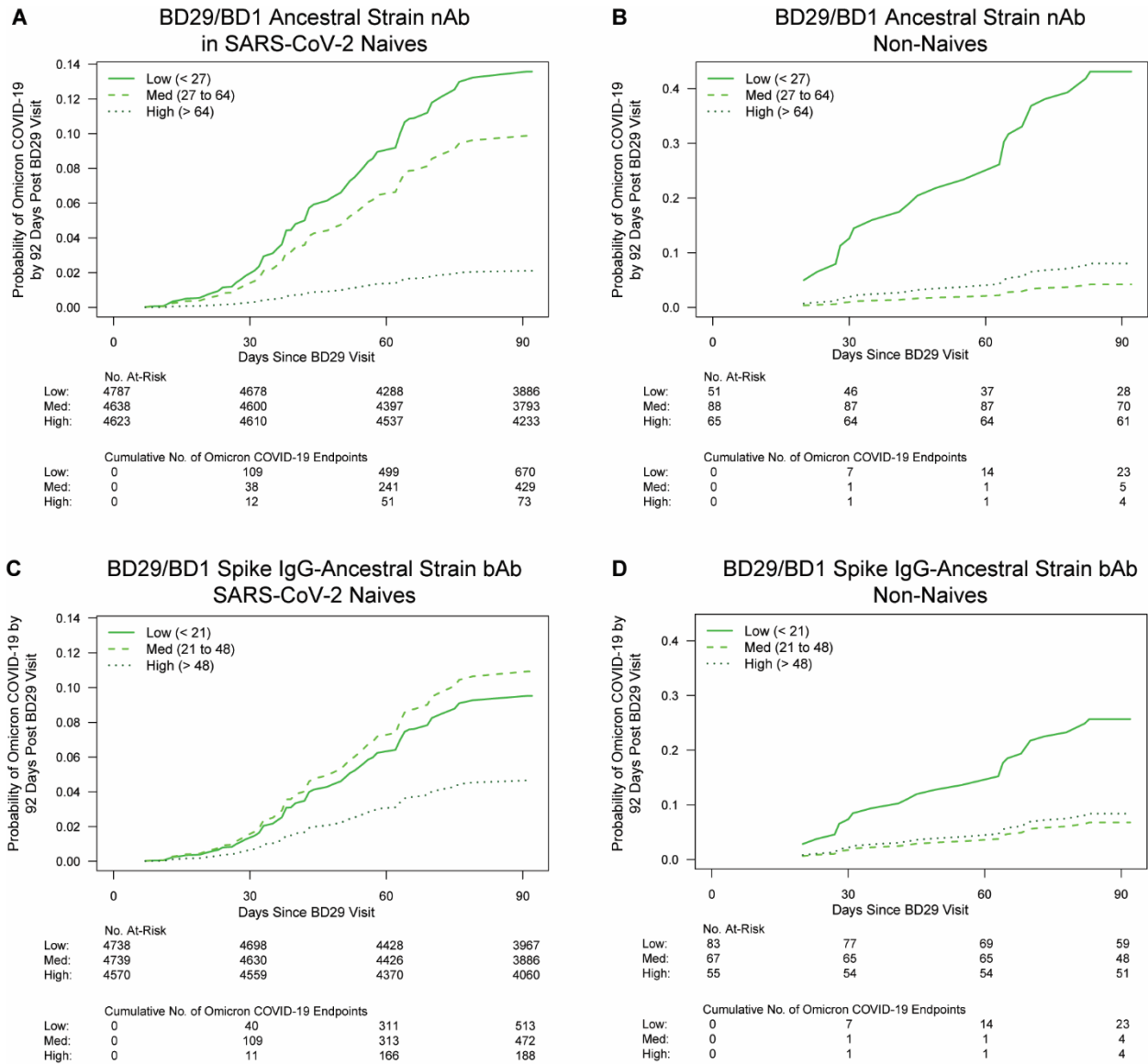
Supplementary Fig. 23. Cox-model-based marginalized Omicron COVID-19 cumulative incidence curves for subgroups of per-protocol boosted (A, C) SARS-CoV-2 naive (N = 14,047) or (B, D) non-naive participants (N = 204) defined by BD29 BA.1 strain antibody tertile. A, B: BD29 BA.1 strain neutralizing antibody (nAb); C, D: BD29 Spike IgG-BA.1 strain binding antibody (bAb). No. at risk = estimated number in the population for analysis, i.e. per-protocol (A, C) SARS-CoV-2 naive or (B, D) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit. Analyses were adjusted for baseline risk score, at-risk status, and community of color status.



Supplementary Fig. 24. Cox-model-based marginalized Omicron COVID-19 cumulative incidence curves for subgroups of per-protocol boosted (A, C) SARS-CoV-2 naive (N = 14,047) or (B, D) non-naive (N = 204) participants defined by BD29 Ancestral strain antibody tertile. A, B: BD29 Ancestral strain neutralizing antibody (nAb); C, D: BD29 Spike IgG-Ancestral strain binding antibody (bAb). No. at risk = estimated number in the population for analysis, i.e. per-protocol (A, C) SARS-CoV-2 naive or (B, D) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit. Analyses were adjusted for baseline risk score, at-risk status, and community of color status. For ancestral strain nAbs, the units AU/ml can be transformed to International Units (IU50)/ml (see SAP).



Supplementary Fig. 25. Cox-model-based marginalized Omicron COVID-19 cumulative incidence curves for subgroups of per-protocol boosted (A, C) SARS-CoV-2 naive (N = 14,047) or (B, D) non-naive (N = 204) participants defined by fold-rise (BD29/BD1) BA.1 strain antibody tertile. A, B: Fold-rise BA.1 strain neutralizing antibody (nAb); C, D: Fold-rise Spike IgG-BA.1 strain binding antibody (bAb). No. at risk = estimated number in the population for analysis, i.e. per-protocol (A, C) SARS-CoV-2 naive or (B, D) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit. Analyses were adjusted for baseline risk score, at-risk status, and community of color status.



Supplementary Fig. 26. Cox-model-based marginalized Omicron COVID-19 cumulative incidence curves for subgroups of per-protocol boosted (A, C) SARS-CoV-2 naive (N = 14,047) or (B, D) non-naive (N = 204) participants defined by fold-rise (BD29/BD1) Ancestral strain antibody tertile. A, B: Fold-rise Ancestral strain neutralizing antibody (nAb); C, D: Fold-rise Spike IgG-Ancestral strain binding antibody (bAb). No. at risk = estimated number in the population for analysis, i.e. per-protocol (A, C) SARS-CoV-2 naive or (B, D) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit. Analyses were adjusted for baseline risk score, at-risk status, and community of color status.

Supplementary Table 7. Estimated hazard ratios of Omicron COVID-19 for the medium versus low and for the high versus low tertiles of the designated BD1 BA.1 strain and Ancestral strain markers, in SARS-CoV-2 naive and non-naive participants. Comparisons were made in per-protocol boosted participants. N/A, not applicable.

BD1 Marker	Tertile*	No. cases/ No. at- risk**	Attack rate	Hazard Ratio Pt. Est.	Hazard Ratio 95% CI	P value (2-sided)	Overall P value [¶]	FDR- adjusted P value [†]	FWER- adjusted P value [‡]
A) SARS-CoV-2 Naive									
Spike IgG-BA.1 strain bAbs	Low	342/4,734	0.0722	1	N/A	N/A	0.760	0.988	0.998
	Med	515/4,681	0.1100	1.44	(0.46, 4.47)	0.529			
	High	419/4,632	0.0905	1.11	(0.39, 3.18)	0.850			
BA.1 Strain nAbs	Low	667/6,196	0.1077	1	N/A	N/A	0.584	0.967	0.979
	Med	361/4,267	0.0846	0.72	(0.30, 1.77)	0.479			
	High	249/3,584	0.0695	0.60	(0.21, 1.68)	0.328			
Spike IgG- Ancestral Strain bAbs	Low	359/4,819	0.0745	1	N/A	N/A	0.775	0.988	0.998
	Med	497/4,690	0.1060	1.42	(0.50, 3.99)	0.507			
	High	420/4,538	0.0926	1.14	(0.43, 3.04)	0.791			
Ancestral Strain nAbs	Low	395/4,740	0.0833	1	N/A	N/A	0.954	0.988	1.000
	Med	413/4,681	0.0882	0.97	(0.38, 2.52)	0.953			
	High	469/4,626	0.1014	1.10	(0.40, 3.02)	0.848			
B) Non-Naive									
Spike IgG-BA.1 Strain bAbs	Low	4/39	0.1026	1	N/A	N/A	0.720	0.755	0.886
	Med	12/77	0.1558	1.57	(0.27, 9.01)	0.614			
	High	19/89	0.2135	1.95	(0.37, 10.24)	0.428			
BA.1 Strain nAbs	Low	12/76	0.1579	1	N/A	N/A	0.462	0.662	0.847
	Med	3/48	0.0625	0.48	(0.05, 4.35)	0.515			
	High	20/80	0.2500	1.70	(0.51, 5.72)	0.390			
Spike IgG- Ancestral Strain bAbs	Low	3/27	0.1111	1	N/A	N/A	0.059	0.408	0.399
	Med	10/115	0.0870	0.55	(0.09, 3.23)	0.507			
	High	22/62	0.3548	2.78	(0.46, 16.71)	0.263			
Ancestral Strain nAbs	Low	4/41	0.0976	1	N/A	N/A	0.198	0.499	0.664
	Med	9/87	0.1034	0.63	(0.11, 3.71)	0.613			
	High	22/76	0.2895	2.34	(0.46, 1.73)	0.303			

Baseline covariates were adjusted for baseline risk score, at risk status, and community of color status, all defined identically as in ref.⁸

*Antibody values defining the three tertiles were:

Spike IgG-BA.1 strain bAb: Low < 2000 AU/ml; Med 2000 to 5000 AU/ml; High > 5000 AU/ml.

BA.1 strain nAb: Low < 11 AU/ml; Med 11 to 15 AU/ml; High > 15 AU/ml.

Spike IgG-Ancestral strain bAb: Low < 12,000 AU/ml; Med 12,000 to 29,000 AU/ml; High > 29,000 AU/ml.

Ancestral strain nAb: Low < 73 AU/ml; Med 73 to 200 AU/ml; High > 200 AU/ml.

**No. at risk = estimated number in the population for analysis, i.e. per-protocol (A) SARS-CoV-2 naive and (B) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

[¶]The overall P value is from a generalized Wald test of whether the hazard rate of Omicron COVID-19 differed across the Low, Medium and High subgroups.

[†] q -value (false discovery rate, FDR) and family-wise error rate (FWER) were computed over the set of P values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10,000 replicates).

Supplementary Table 8. Estimated hazard ratios of Omicron COVID-19 for the medium versus low and for the high versus low tertiles of the designated BD29 BA.1 strain and Ancestral strain markers, in SARS-CoV-2 naive and non-naive participants. Comparisons were made in per-protocol boosted participants. N/A, not applicable.

BD29 Marker	Tertile*	No. cases/ No. at-risk**	Attack rate	Hazard Ratio Pt. Est.	Hazard Ratio 95% CI	P value (2-sided)	Overall P value [†]	FDR-adjusted P value [†]	FWER-adjusted P value [†]
A) SARS-CoV-2 Naive									
Spike IgG-BA.1 Strain bAbs	Low	693/4,801	0.1443	1	N/A	N/A	0.129	0.160	0.195
	Med	255/4,692	0.0543	0.37	(0.13, 1.02)	0.056			
	High	330/4,554	0.0725	0.46	(0.17, 1.26)	0.131			
BA.1 Strain nAbs	Low	699/4,677	0.1495	1	N/A	N/A	0.053	0.114	0.181
	Med	411/4,859	0.0846	0.57	(0.24, 1.38)	0.217			
	High	167/4,511	0.0370	0.27	(0.09, 0.78)	0.016			
Spike IgG-Ancestral Strain bAbs	Low	607/4,672	0.1299	1	N/A	N/A	0.403	0.420	0.418
	Med	378/4,877	0.0775	0.58	(0.21, 1.57)	0.282			
	High	292/4,498	0.0649	0.49	(0.17, 1.46)	0.203			
Ancestral Strain nAbs	Low	552/4,855	0.1137	1	N/A	N/A	0.018	0.114	0.101
	Med	601/4,667	0.1288	1.14	(0.47, 2.78)	0.769			
	High	123/4,526	0.0272	0.24	(0.07, 0.77)	0.017			
B) Non-Naive									
Spike IgG-BA.1 Strain bAbs	Low	23/107	0.2150	1	N/A	N/A	0.145	0.380	0.539
	Med	7/24	0.2917	0.94	(0.28, 3.13)	0.920			
	High	5/74	0.0676	0.24	(0.06, 1.01)	0.051			
BA.1 Strain nAbs	Low	20/86	0.2326	1	N/A	N/A	0.260	0.434	0.638
	Med	8/50	0.1600	0.49	(0.11, 2.23)	0.359			
	High	7/68	0.1029	0.32	(0.07, 1.49)	0.146			
Spike IgG-Ancestral Strain bAbs	Low	19/96	0.1979	1	N/A	N/A	0.263	0.434	0.638
	Med	9/42	0.2143	0.75	(0.24, 2.39)	0.629			
	High	7/65	0.1077	0.33	(0.09, 1.24)	0.102			
Ancestral Strain nAbs	Low	14/69	0.2029	1	N/A	N/A	0.135	0.380	0.539
	Med	13/65	0.2000	1.05	(0.35, 3.15)	0.933			
	High	8/69	0.1159	0.25	(0.05, 1.29)	0.098			

Baseline covariates were adjusted for baseline risk score, at risk status, and community of color status, all defined identically as in ref.⁸

*Antibody values defining the three tertiles are shown in Figures 2 and S22.

**No. at risk = estimated number in the population for analysis, i.e. per-protocol (A) SARS-CoV-2 naive and (B) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

[†]The overall P value is from a generalized Wald test of whether the hazard rate of Omicron COVID-19 differed across the Low, Medium and High subgroups.

[†]q-value (false discovery rate, FDR) and family-wise error rate (FWER) were computed over the set of P values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10,000 replicates).

Supplementary Table 9. Estimated hazard ratios of Omicron COVID-19 for the medium versus low and for the high versus low tertiles of the designated fold-rise (BD29/BD1) BA.1 strain and Ancestral strain markers, in SARS-CoV-2 naive and non-naive participants.

Comparisons were made in per-protocol boosted participants. N/A, not applicable.

BD29/BD1 Marker	Tertile*	No. cases/ No. at-risk**	Attack rate	Hazard Ratio Pt. Est.	Hazard Ratio 95% CI	P value (2-sided)	Overall P value[‡]	FDR-adjusted P value[†]	FWER-adjusted P value[†]
A) SARS-CoV-2 Naive									
Spike IgG-BA.1 Strain bAbs	Low	653/4,664	0.1400	1	N/A	N/A	0.092	0.181	0.280
	Med	417/4,841	0.0861	0.62	(0.25, 1.55)	0.310			
	High	207/4,542	0.0456	0.35	(0.13, 0.90)	0.030			
BA.1 Strain nAbs	Low	581/4,688	0.1239	1	N/A	N/A	0.294	0.340	0.412
	Med	456/4,668	0.0977	0.72	(0.26, 1.98)	0.529			
	High	240/4,691	0.0512	0.45	(0.16, 1.23)	0.119			
Spike IgG-Ancestral Strain bAbs	Low	513/4,738	0.1083	1	N/A	N/A	0.178	0.251	0.412
	Med	562/4,739	0.1186	1.16	(0.47, 2.84)	0.750			
	High	203/4,570	0.0444	0.48	(0.18, 1.23)	0.125			
Ancestral Strain nAbs	Low	685/4,787	0.1431	1	N/A	N/A	0.002	0.039	0.021
	Med	489/4,638	0.1054	0.71	(0.32, 1.58)	0.406			
	High	103/4,623	0.0223	0.15	(0.05, 0.42)	<0.001			
B) Non-Naive									
Spike IgG-BA.1 Strain bAbs	Low	28/95	0.2947	1	N/A	N/A	0.018	0.123	0.194
	Med	2/54	0.0370	0.09	(0.01, 1.25)	0.074			
	High	5/55	0.0909	0.23	(0.07, 0.75)	0.015			
BA.1 Strain nAbs	Low	23/70	0.3286	1	N/A	N/A	0.037	0.123	0.236
	Med	7/78	0.0897	0.24	(0.07, 0.83)	0.025			
	High	5/56	0.0893	0.29	(0.07, 1.22)	0.091			
Spike IgG-Ancestral Strain bAbs	Low	26/83	0.3133	1	N/A	N/A	0.078	0.151	0.261
	Med	4/67	0.0597	0.23	(0.04, 1.21)	0.082			
	High	5/55	0.0909	0.28	(0.07, 1.08)	0.064			
Ancestral Strain nAbs	Low	25/51	0.4902	1	N/A	N/A	<0.001	0.040	0.020
	Med	5/88	0.0568	0.07	(0.02, 0.30)	<0.001			
	High	5/65	0.0769	0.14	(0.04, 0.45)	<0.001			

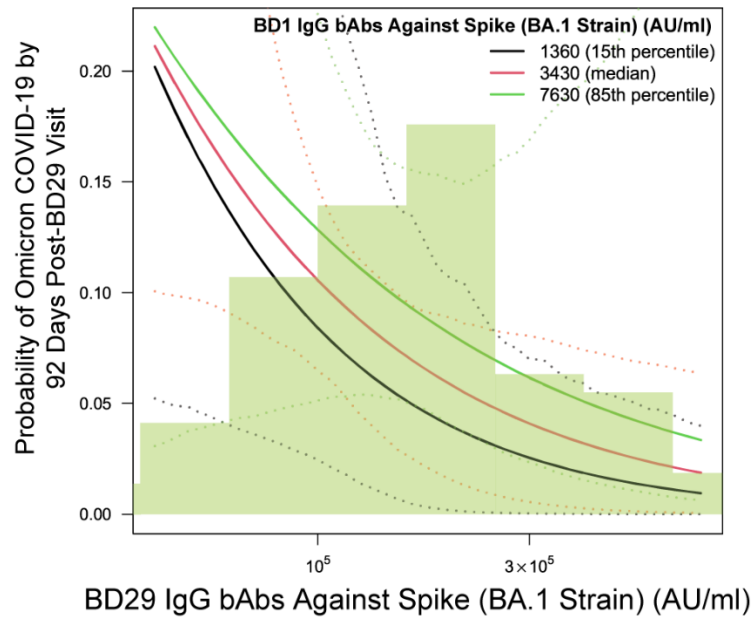
Baseline covariates were adjusted for baseline risk score, at risk status, and community of color status, all defined identically as in ref.⁸

*Antibody values defining the three tertiles are shown in Figures S23 and S24.

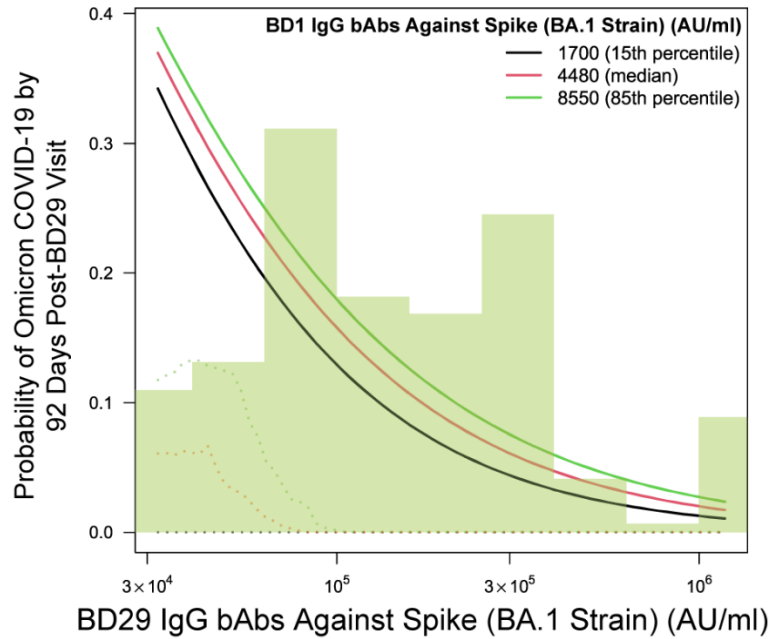
**No. at risk = estimated number in the population for analysis, i.e. per-protocol (A) SARS-CoV-2 naive and (B) non-naive boosted participants not experiencing the Omicron COVID-19 endpoint or SARS-CoV-2 infected through 6 days post BD29 visit; no. cases = number of this cohort with an observed Omicron COVID-19 endpoint.

[‡]The overall P value is from a generalized Wald test of whether the hazard rate of Omicron COVID-19 differed across the Low, Medium and High subgroups.

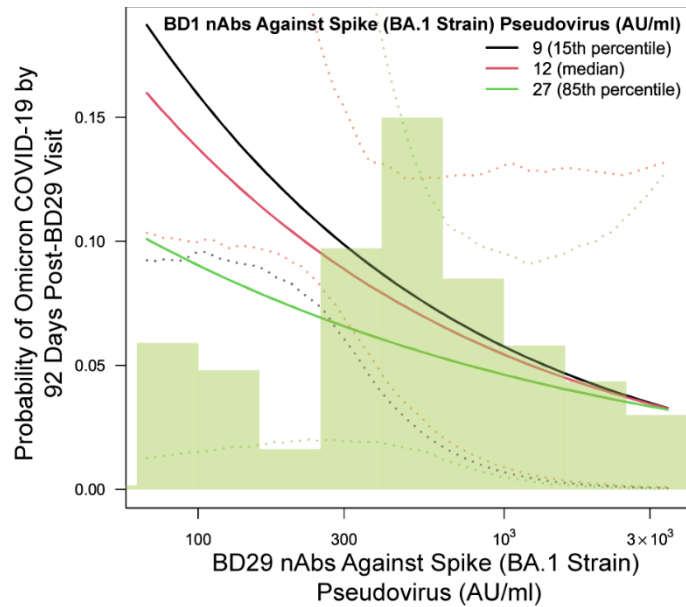
[†]q-value (false discovery rate, FDR) and family-wise error rate (FWER) were computed over the set of P values both for quantitative markers and categorical markers using the Westfall and Young permutation method (10,000 replicates).



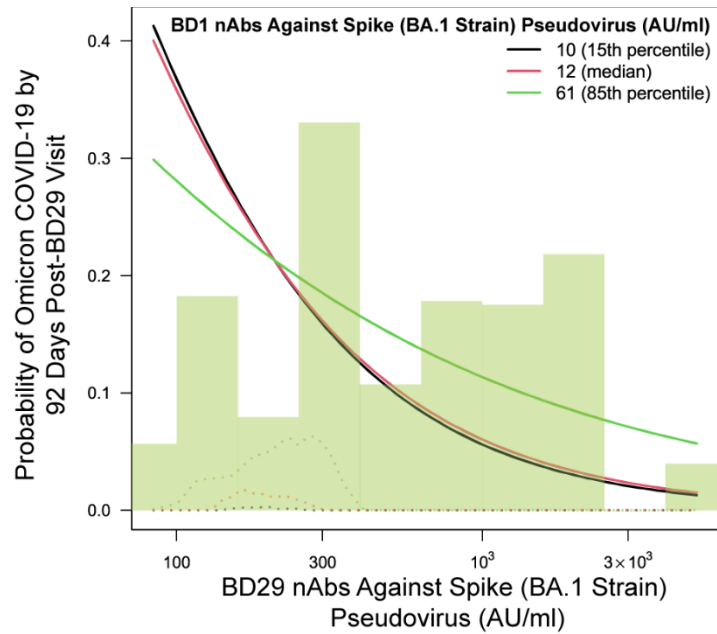
Supplementary Fig. 27. Marginalized cumulative incidence curves of Omicron COVID-19 risk across a range of BD29 Spike IgG-BA.1 strain binding antibody (bAb) levels and within each tertile of BD1 Spike IgG-BA.1 strain bAb among SARS-CoV-2 naive participants (N = 14,047). The solid lines indicate the mean probabilities. The dotted lines indicate bootstrap pointwise 95% CIs. The light green histogram plots the distribution of the BD29 marker. The Cox regression model adjusted for the minority indicator, heightened risk for severe COVID-19, predicted risk score, BD1 Spike IgG-BA.1 strain bAb and interaction between BD1 and BD29 Spike IgG-BA.1 strain bAb levels.



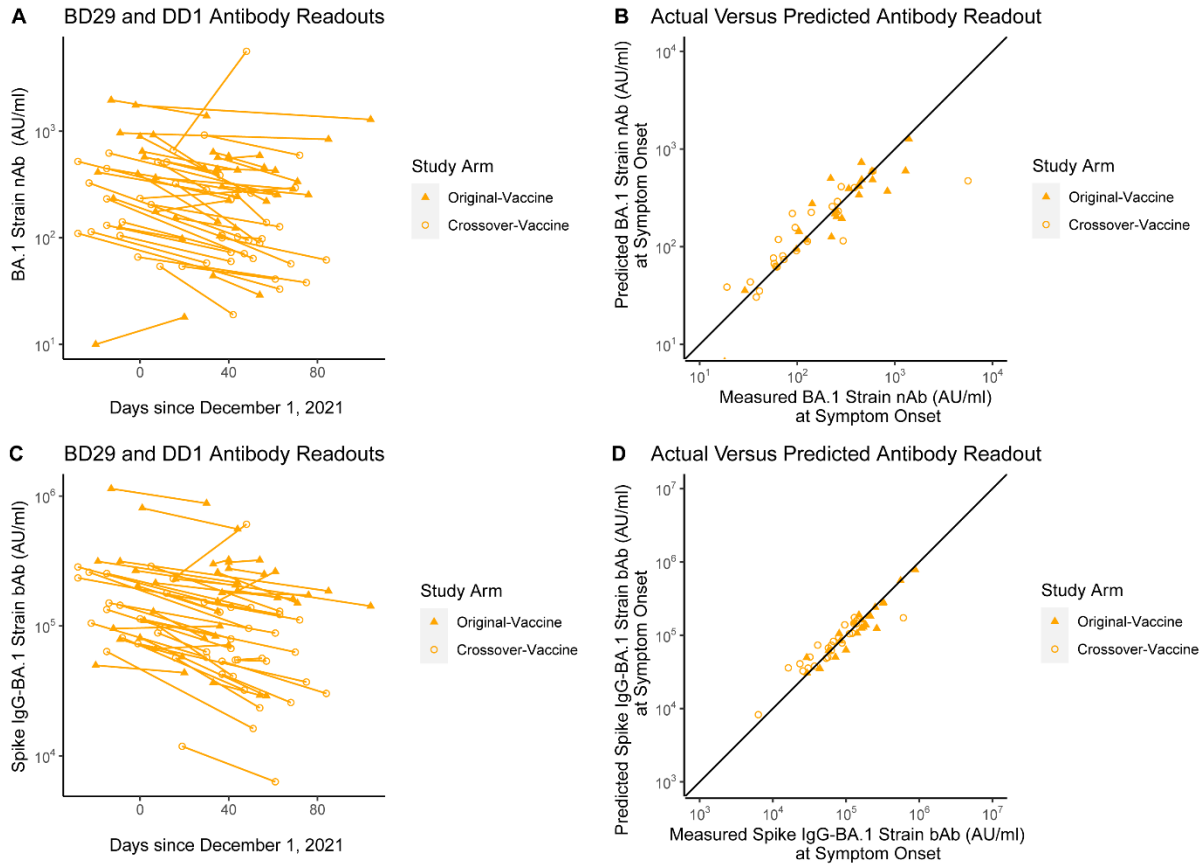
Supplementary Fig. 28. Marginalized cumulative incidence curves of Omicron COVID-19 risk across a range of BD29 Spike IgG-BA.1 strain binding antibody (bAb) levels and within each tertile of BD1 Spike IgG-BA.1 strain bAb among non-naive participants (N = 204). The solid lines indicate the mean probabilities. The dotted lines indicate bootstrap pointwise 95% CIs. The light green histogram plots the distribution of the BD29 marker. The Cox regression model adjusted for the minority indicator, heightened risk for severe COVID-19, predicted risk score, BD1 Spike IgG-BA.1 strain bAb and interaction between BD1 and BD29 Spike IgG-BA.1 strain bAb levels.



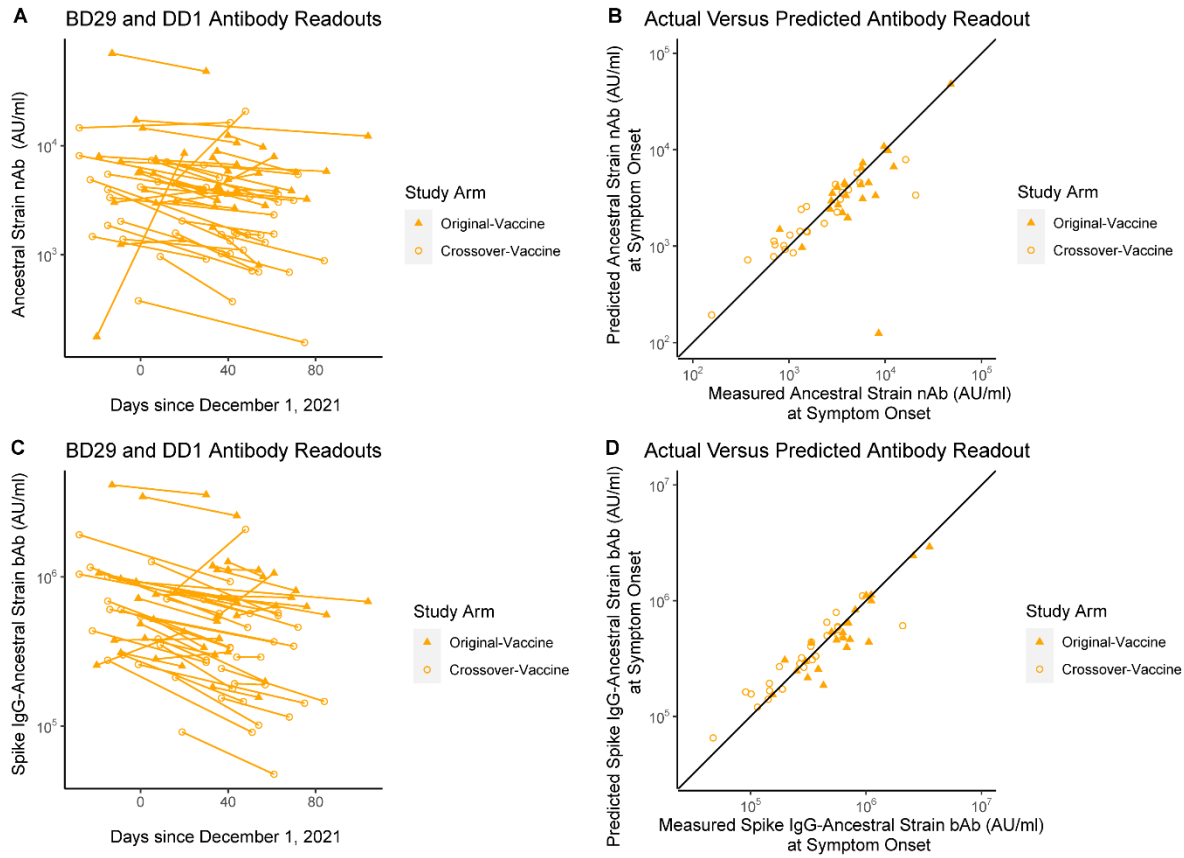
Supplementary Fig. 29. Marginalized cumulative incidence curves of Omicron COVID-19 risk across a range of BD29 nAb BA.1 levels and within each tertile of BD1 BA.1 strain neutralizing antibody (nAb) level among SARS-CoV-2 naive participants (N = 14,047). The solid lines indicate the mean probabilities. The dotted lines indicate bootstrap pointwise 95% CIs. The light green histogram plots the distribution of the BD29 marker. The Cox regression model adjusted for the minority indicator, heightened risk for severe COVID-19, predicted risk score, BD1 BA.1 strain nAb and interaction between BD1 and BD29 BA.1 strain nAb levels.



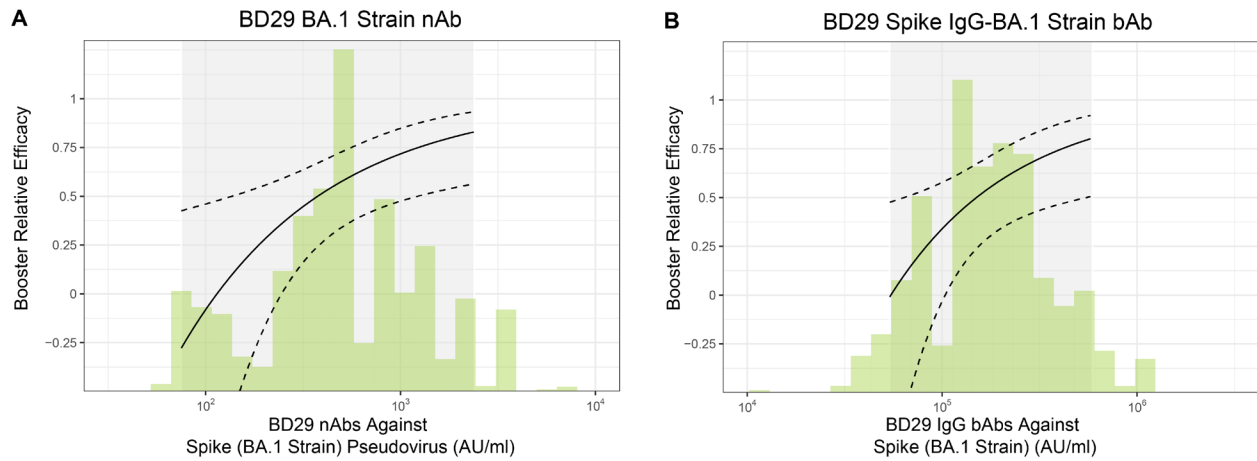
Supplementary Fig. 30. Marginalized cumulative incidence curves of Omicron COVID-19 risk across a range of BD29 BA.1 strain neutralizing antibody (nAb) levels and within each tertile of BD1 BA.1 strain nAb among non-naive participants (N = 204). The solid lines indicate the mean probabilities. The dotted lines indicate bootstrap pointwise 95% CIs. The light green histogram plots the distribution of the BD29 marker. The Cox regression model adjusted for the minority indicator, heightened risk for severe COVID-19, predicted risk score, BD1 BA.1 strain nAb and interaction between BD1 and BD29 BA.1 strain nAb levels.



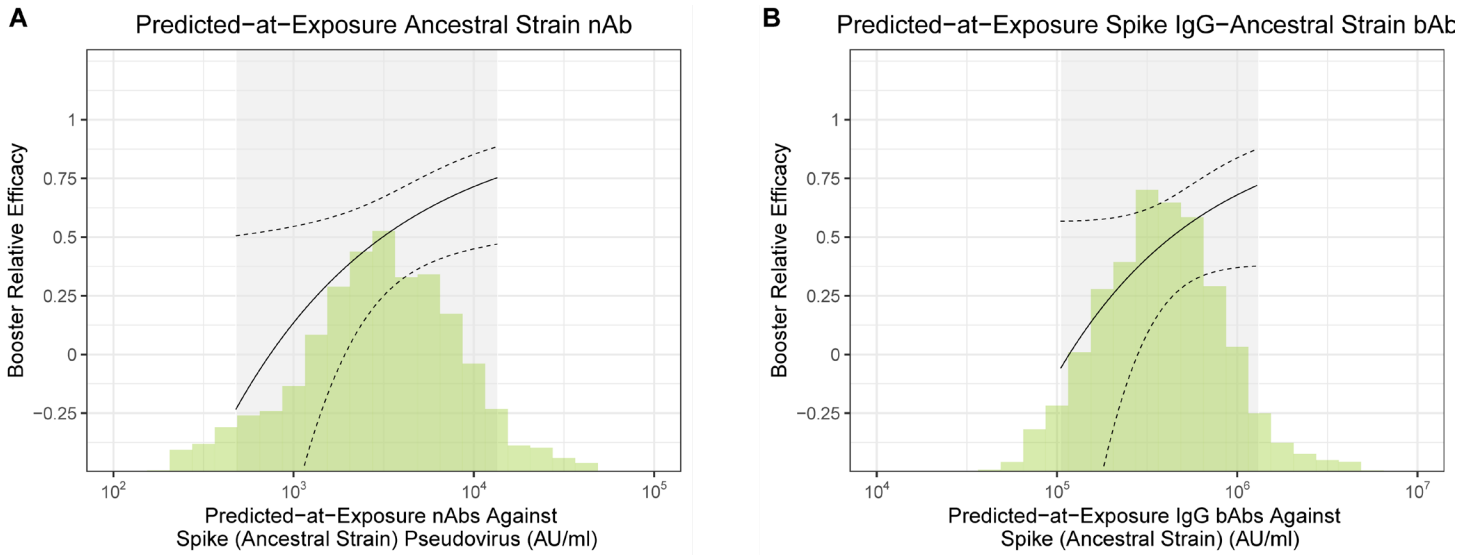
Supplementary Fig. 31. (A, C) BD29 and DD1 antibody levels and (B, D) predicted versus actual antibody levels at DD1 for (A, B) BA.1 strain neutralizing antibody (nAb) and (C, D) Spike IgG-BA.1 strain binding antibody (bAb) levels among SARS-CoV-2 naive participants. Filled orange triangles designate the original-vaccine arm; open orange circles designate the crossover-vaccine arm. The median slope for BA.1 strain nAbs was -0.0044 per day (half-life 68 days). The median slope for Spike IgG-BA.1 strain bAbs was -0.0034 per day (half-life 80 days) (N=47).



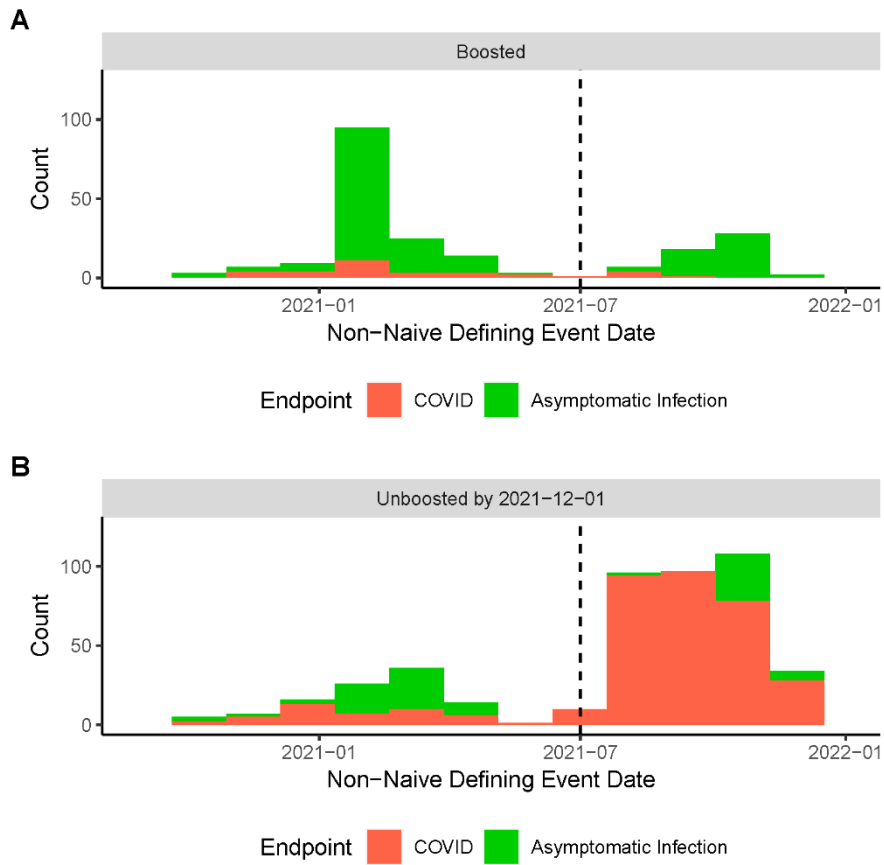
Supplementary Fig. 32. (A, C) BD29 and DD1 antibody levels and (B, D) predicted versus actual antibody levels at DD1 for (A, B) Ancestral strain neutralizing antibody (nAb) and (C, D) Spike IgG-Ancestral strain binding antibody (bAb) level among SARS-CoV-2 naive participants. Filled orange triangles designate the original-vaccine arm; open orange circles designate the crossover-vaccine arm. -0.0025. The median slope for Ancestral strain nAbs was -0.0039 per day (half-life 78 days). The median slope for Spike IgG-BA.1 strain bAbs was -0.0035 per day (half-life 86 days). (N=47)



Supplementary Fig. 33. Correlate of booster relative efficacy curves against Omicron COVID-19 among SARS-CoV-2 naive participants as a function of measured antibody level at BD29. A) BD29 BA.1 strain nAb, B) BD29 Spike IgG-BA.1 strain bAb. The solid lines show the relative efficacy of three-dose mRNA-1273 vs. two-dose mRNA-1273. The dashed black lines are 95% confidence intervals. The green histograms are an estimate of the density of BD29 antibody marker level in per-protocol boosted SARS-CoV-2 naive participants. The grey shades indicate the middle 90th (5th percentile to 95th percentile) of the marker distribution.



Supplementary Fig. 34. Booster relative efficacy against Omicron COVID-19 among SARS-CoV-2 naive participants (N=2464) as a function of the predicted antibody level [A: Ancestral strain neutralizing antibody (nAb), B: Spike IgG-Ancestral strain binding antibody (bAb)] at the time of exposure to SARS-CoV-2. The solid lines indicate the mean relative efficacy of three-dose mRNA-1273 vs. two-dose mRNA-1273. The dotted lines indicate bootstrap pointwise 95% CIs. The light green histogram plots the distribution of the BD29 marker. The grey shades indicate the middle 90th (5th percentile to 95th percentile) of the marker distribution.



Supplementary Fig. 35. Distribution of the day of the non-naive defining event for (A) boosted (N=282) and (B) unboosted participants (N=378).

Supplementary Table 10. Discrete Super Learner performance across all 92 variable sets sorted by cross-validated area under the ROC (CV-AUC) performance for predicting occurrence of Omicron COVID-19 in SARS-CoV-2 naive per-protocol boosted participants. bAb = binding antibody; BRF = baseline risk factors; fold-rise = BD29/BD1; nAb = neutralizing antibody.

Variable Set	CV-AUC (95% CI)
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.686 [0.600, 0.761]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.686 [0.600, 0.761]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.686 [0.600, 0.761]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.685 [0.599, 0.760]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.685 [0.599, 0.760]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.684 [0.598, 0.760]
BRF + BD29-nAb-BA.1 + Fold-rise-nAb-BA.1	0.684 [0.598, 0.759]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.682 [0.596, 0.758]
BRF + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.682 [0.597, 0.757]
BRF + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.681 [0.595, 0.756]
BRF + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.681 [0.594, 0.756]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.681 [0.595, 0.756]
BRF + BD1-bAb-Spike-BA.1 + BD1-nAb-BA.1 + BD29-bAb-Spike-BA.1 + BD29-nAb-BA.1	0.680 [0.593, 0.755]
BRF + BD29-bAb-Spike-BA.1 + BD29-nAb-BA.1 + Fold-rise-bAb-Spike-BA.1 + Fold-rise-nAb-BA.1	0.679 [0.593, 0.755]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.679 [0.592, 0.755]
BRF + BD1-nAb-BA.1 + BD29-nAb-BA.1	0.678 [0.592, 0.754]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.678 [0.592, 0.754]
BRF + BD1-bAb-Spike-BA.1 + BD1-nAb-BA.1 + Fold-rise-bAb-Spike-BA.1 + Fold-rise-nAb-BA.1	0.678 [0.591, 0.754]
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.678 [0.592, 0.753]

BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.677 [0.591, 0.753]
BRF + BD29-bAb-Spike-BA.1 + BD29-nAb-BA.1	0.677 [0.591, 0.753]
BRF + BD29-nAb-BA.1	0.677 [0.591, 0.753]
BRF + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.677 [0.590, 0.753]
BRF + BD1-nAb-BA.1 + Fold-rise-nAb-BA.1	0.673 [0.586, 0.750]
BRF + BD29-bAb-Spike-Ancestral + BD29-nAb-Ancestral	0.662 [0.575, 0.740]
BRF + BD29-nAb-Ancestral	0.659 [0.572, 0.737]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.659 [0.571, 0.738]
BRF + BD1-bAb-Spike-Ancestral + BD1-nAb-Ancestral + BD29-bAb-Spike-Ancestral + BD29-nAb-Ancestral	0.659 [0.571, 0.737]
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral	0.657 [0.569, 0.735]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral	0.657 [0.568, 0.736]
BRF + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.656 [0.568, 0.735]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.656 [0.568, 0.734]
BRF + BD1-nAb-Ancestral + BD29-nAb-Ancestral	0.655 [0.567, 0.734]
BRF + BD29-nAb-Ancestral + Fold-rise-nAb-Ancestral	0.654 [0.566, 0.732]
BRF + BD29-bAb-Spike-Ancestral + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-Ancestral	0.653 [0.565, 0.732]
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.651 [0.563, 0.730]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral	0.650 [0.562, 0.729]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.647 [0.557, 0.726]
BRF + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.646 [0.557, 0.726]
BRF + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.645 [0.555, 0.725]
BRF + BD1-bAb-Spike-BA.1 + BD1-nAb-BA.1	0.641 [0.552, 0.721]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral	0.640 [0.551, 0.720]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral	0.637 [0.548, 0.718]
BRF + BD1-nAb-Ancestral + Fold-rise-nAb-Ancestral	0.637 [0.548, 0.717]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.635 [0.546, 0.716]
BRF + BD1-nAb-BA.1 + BD1-nAb-Ancestral	0.633 [0.544, 0.714]
BRF + BD1-nAb-BA.1	0.632 [0.543, 0.713]
BRF + BD1-bAb-Spike-Ancestral + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-Ancestral	0.629 [0.540, 0.710]
BRF + BD29-bAb-RBD-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.625 [0.537, 0.706]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.623 [0.534, 0.704]

BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral	0.622 [0.533, 0.703]
BRF + BD1-bAb-RBD-Ancestral + BD29-bAb-RBD-Ancestral	0.621 [0.533, 0.702]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.620 [0.530, 0.702]
BRF + BD29-bAb-RBD-Ancestral	0.620 [0.531, 0.701]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral	0.618 [0.529, 0.699]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.616 [0.528, 0.698]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral	0.612 [0.523, 0.694]
BRF + BD1-bAb-RBD-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.611 [0.522, 0.693]
BRF + BD29-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-BA.1	0.605 [0.516, 0.688]
BRF + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.603 [0.514, 0.686]
BRF + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.603 [0.513, 0.686]
BRF + BD1-bAb-Spike-BA.1 + BD29-bAb-Spike-BA.1	0.603 [0.514, 0.685]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.601 [0.512, 0.685]
BRF + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.597 [0.508, 0.681]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.597 [0.507, 0.680]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.596 [0.506, 0.680]
BRF + BD29-bAb-Spike-BA.1	0.594 [0.505, 0.677]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-nAb-BA.1	0.593 [0.504, 0.676]
BRF + BD29-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-Ancestral	0.590 [0.501, 0.674]
BRF + BD1-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-BA.1	0.587 [0.498, 0.670]
BRF + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.586 [0.496, 0.670]
BRF + Fold-rise-nAb-BA.1	0.582 [0.493, 0.666]
BRF + BD1-bAb-Spike-Ancestral + BD29-bAb-Spike-Ancestral	0.579 [0.490, 0.664]
BRF + BD29-bAb-Spike-Ancestral	0.579 [0.489, 0.664]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral	0.579 [0.490, 0.664]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral	0.578 [0.488, 0.663]
BRF + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-Ancestral	0.575 [0.484, 0.661]
BRF + Fold-rise-nAb-Ancestral	0.573 [0.482, 0.660]
BRF + BD1-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-Ancestral	0.573 [0.483, 0.657]
BRF + Fold-rise-bAb-RBD-Ancestral	0.570 [0.481, 0.655]
BRF + Fold-rise-bAb-Spike-BA.1	0.565 [0.475, 0.650]
BRF + Fold-rise-bAb-Spike-Ancestral	0.540 [0.451, 0.627]
BRF + BD1-bAb-Spike-Ancestral + BD1-nAb-Ancestral	0.534 [0.438, 0.627]
BRF + BD1-nAb-Ancestral	0.527 [0.432, 0.620]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral	0.524 [0.417, 0.629]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral	0.523 [0.416, 0.629]
BRF + BD1-bAb-Spike-BA.1	0.522 [0.415, 0.628]

BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral	0.522 [0.424, 0.618]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral	0.518 [0.406, 0.630]
BRF + BD1-bAb-Spike-Ancestral	0.518 [0.405, 0.629]
BRF + BD1-bAb-RBD-Ancestral	0.517 [0.404, 0.629]
BRF (baseline risk factors)	0.517 [0.404, 0.628]

Supplementary Table 11. Discrete Super Learner performance across all 92 variable sets sorted by cross-validated area under the ROC (CV-AUC) performance for predicting occurrence of Omicron COVID-19 in non-naive per-protocol boosted participants. bAb = binding antibody; BRF = baseline risk factors; fold-rise = BD29/BD1; nAb = neutralizing antibody.

Variable Set	CV-AUC (95% CI)
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral	0.712 [0.558, 0.829]
BRF + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.683 [0.530, 0.804]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.681 [0.526, 0.804]
BRF + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.680 [0.527, 0.802]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.673 [0.516, 0.799]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.666 [0.510, 0.792]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.657 [0.499, 0.787]
BRF + BD29-bAb-Spike-Ancestral + BD29-nAb-Ancestral	0.656 [0.500, 0.784]
BRF + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.654 [0.497, 0.784]
BRF + Fold-rise-bAb-RBD-Ancestral	0.651 [0.496, 0.780]
BRF + BD1-bAb-RBD-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.650 [0.493, 0.780]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.646 [0.491, 0.775]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.643 [0.478, 0.782]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.640 [0.475, 0.780]
BRF + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-Ancestral	0.639 [0.481, 0.772]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral	0.636 [0.478, 0.770]
BRF + BD29-bAb-RBD-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.633 [0.475, 0.767]
BRF + BD1-bAb-Spike-Ancestral + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-Ancestral	0.625 [0.468, 0.760]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral	0.624 [0.464, 0.761]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.622 [0.463, 0.759]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.622 [0.465, 0.756]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral	0.621 [0.465, 0.756]
BRF + BD1-nAb-Ancestral + Fold-rise-nAb-Ancestral	0.621 [0.466, 0.755]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral	0.619 [0.459, 0.757]
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.619 [0.460, 0.756]

BRF + BD1-nAb-BA.1 + BD1-nAb-Ancestral	0.617 [0.460, 0.753]
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.616 [0.460, 0.752]
BRF + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.615 [0.455, 0.753]
BRF + Fold-rise-bAb-Spike-Ancestral	0.614 [0.457, 0.751]
BRF + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-Ancestral	0.614 [0.456, 0.751]
BRF + Fold-rise-bAb-Spike-BA.1	0.611 [0.454, 0.748]
BRF + BD1-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-Ancestral	0.609 [0.452, 0.747]
BRF + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.607 [0.443, 0.752]
BRF + BD1-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-BA.1	0.606 [0.452, 0.741]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral	0.603 [0.443, 0.744]
BRF + BD1-bAb-RBD-Ancestral + BD29-bAb-RBD-Ancestral	0.603 [0.444, 0.742]
BRF + BD1-nAb-BA.1 + BD1-nAb-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.602 [0.443, 0.742]
BRF + BD1-bAb-Spike-Ancestral + BD1-nAb-Ancestral + BD29-bAb-Spike-Ancestral + BD29-nAb-Ancestral	0.601 [0.444, 0.738]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral	0.599 [0.440, 0.739]
BRF + Fold-rise-bAb-Spike-BA.1 + Fold-rise-nAb-BA.1	0.598 [0.441, 0.736]
BRF + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.597 [0.423, 0.752]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.596 [0.441, 0.734]
BRF + BD29-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-Ancestral	0.595 [0.427, 0.745]
BRF + BD29-bAb-Spike-Ancestral + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-Ancestral	0.595 [0.415, 0.756]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-Ancestral + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-Ancestral	0.594 [0.434, 0.737]
BRF + BD1-bAb-Spike-BA.1 + BD1-nAb-BA.1 + Fold-rise-bAb-Spike-BA.1 + Fold-rise-nAb-BA.1	0.593 [0.438, 0.731]
BRF + BD1-bAb-Spike-Ancestral + BD1-nAb-Ancestral	0.591 [0.432, 0.732]
BRF + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.590 [0.432, 0.732]
BRF + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.590 [0.434, 0.730]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral	0.590 [0.432, 0.731]
BRF + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.590 [0.425, 0.737]
BRF + BD1-nAb-Ancestral + BD29-nAb-Ancestral	0.586 [0.423, 0.733]
BRF + Fold-rise-nAb-Ancestral	0.586 [0.428, 0.728]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral	0.585 [0.409, 0.745]
BRF + BD1-bAb-Spike-Ancestral + BD29-bAb-Spike-Ancestral	0.584 [0.419, 0.733]
BRF + BD29-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-BA.1	0.584 [0.415, 0.736]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.583 [0.418, 0.733]
BRF + BD1-nAb-Ancestral	0.582 [0.426, 0.724]

BRF + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.580 [0.417, 0.729]
BRF + BD1-bAb-Spike-BA.1 + BD29-bAb-Spike-BA.1	0.580 [0.405, 0.739]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.578 [0.418, 0.725]
BRF + BD29-bAb-RBD-Ancestral	0.577 [0.411, 0.728]
BRF + BD29-nAb-Ancestral + Fold-rise-nAb-Ancestral	0.576 [0.413, 0.725]
BRF + Fold-rise-nAb-BA.1	0.575 [0.408, 0.727]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral	0.574 [0.404, 0.731]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral	0.573 [0.407, 0.726]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.573 [0.403, 0.728]
BRF + BD29-bAb-Spike-Ancestral	0.573 [0.410, 0.722]
BRF + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral	0.570 [0.406, 0.721]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.569 [0.412, 0.713]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-bAb-RBD-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-bAb-RBD-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.569 [0.412, 0.713]
BRF + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.568 [0.380, 0.742]
BRF + BD1-nAb-BA.1 + Fold-rise-nAb-BA.1	0.567 [0.388, 0.733]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-bAb-RBD-Ancestral	0.566 [0.402, 0.718]
BRF + BD29-bAb-Spike-BA.1 + BD29-nAb-BA.1 + Fold-rise-bAb-Spike-BA.1 + Fold-rise-nAb-BA.1	0.565 [0.390, 0.728]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral	0.565 [0.403, 0.715]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral	0.564 [0.404, 0.713]
BRF + BD1-bAb-Spike-BA.1	0.563 [0.391, 0.724]
BRF + BD1-bAb-Spike-Ancestral	0.563 [0.400, 0.715]
BRF + BD29-nAb-BA.1 + Fold-rise-nAb-BA.1	0.563 [0.393, 0.720]
BRF + BD1-bAb-Spike-BA.1 + BD1-bAb-Spike-Ancestral + BD1-nAb-BA.1 + BD1-nAb-Ancestral + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral	0.562 [0.397, 0.716]
BRF + BD1-bAb-Spike-BA.1 + BD1-nAb-BA.1	0.562 [0.394, 0.718]
BRF + BD1-bAb-RBD-Ancestral	0.561 [0.398, 0.713]
BRF (baseline risk factors)	0.561 [0.389, 0.720]
BRF + BD29-bAb-Spike-BA.1	0.560 [0.397, 0.712]
BRF + BD29-nAb-BA.1	0.559 [0.389, 0.719]
BRF + BD1-bAb-Spike-BA.1 + BD1-nAb-BA.1 + BD29-bAb-Spike-BA.1 + BD29-nAb-BA.1	0.559 [0.386, 0.721]
BRF + BD29-nAb-Ancestral	0.558 [0.377, 0.727]
BRF + BD29-bAb-Spike-BA.1 + BD29-nAb-BA.1	0.557 [0.394, 0.710]

BRF + BD1-nAb-BA.1 + BD29-nAb-BA.1	0.557 [0.385, 0.718]
BRF + BD29-bAb-Spike-BA.1 + BD29-bAb-Spike-Ancestral + BD29-nAb-BA.1 + BD29-nAb-Ancestral + Fold-rise-bAb-Spike-BA.1 + Fold-rise-bAb-Spike-Ancestral + Fold-rise-nAb-BA.1 + Fold-rise-nAb-Ancestral	0.556 [0.370, 0.731]
BRF + BD1-nAb-BA.1	0.555 [0.384, 0.715]

Supplementary Table 12. Duke and PPD Assays Concordance Analysis: Descriptive statistics between Duke (ID50) and PPD (AU/mL) assays and by VOC (analysis sample subset: full analysis)

Parameters	D614G (n=250)		Omicron (BA.1) (n=250)	
	Duke	PPD	Duke	PPD
Geometric mean	2086.4	2739.3	639.8	324.4
SD (log 10 scale)	0.60	0.56	0.86	0.68
Geometric SD	3.98	3.59	7.28	4.79
Geometric CV	239.8%	203.5%	710.2%	325.6%
Median	2519.9	2986.5	816.5	408.5
Minimum	75.6	78.0	5	10
Maximum	88352.6	65395.0	99474.7	18761.0
LLOD	10	10	10	10
Percentage below the LLOD	0.0%	0.0%	1.2%	0.0%
ULOQ	45118	111433	15502.7	24503
Percentage above the ULOQ	1.6%	0.0%	4.0%	0.0%

CV, coefficient of variation; LLOD, lower limit of detection; SD, standard deviation; ULOQ, upper limit of quantitation.

Supplementary Table 13. Duke and PPD Assays Concordance Analysis: Correlations Between Duke (ID50) and PPD (AU/mL) Assays (Analysis Sample Subset: Full Analysis Set; N = 250)

VOC	Pearson Correlation* (95% CI)	Spearman Correlation (95% CI)	Raw CCC* (95% CI)	Calted CCC* (95% CI)	Meet concordance Criteria**
D614G	0.93 (0.911, 0.945)	0.92 (0.900, 0.944)	0.91 (0.885, 0.927)	0.93 (0.909, 0.943)	Yes
Omicron (BA.1)	0.95 (0.931, 0.957)	0.95 (0.935, 0.964)	0.85 (0.819, 0.875)	0.94 (0.925, 0.953)	Yes

*Pearson and CCC are based on log-transformed titers excluding 3 cases having < LLOD

Calted CCC = Calibrated CCC by linear regression approach.

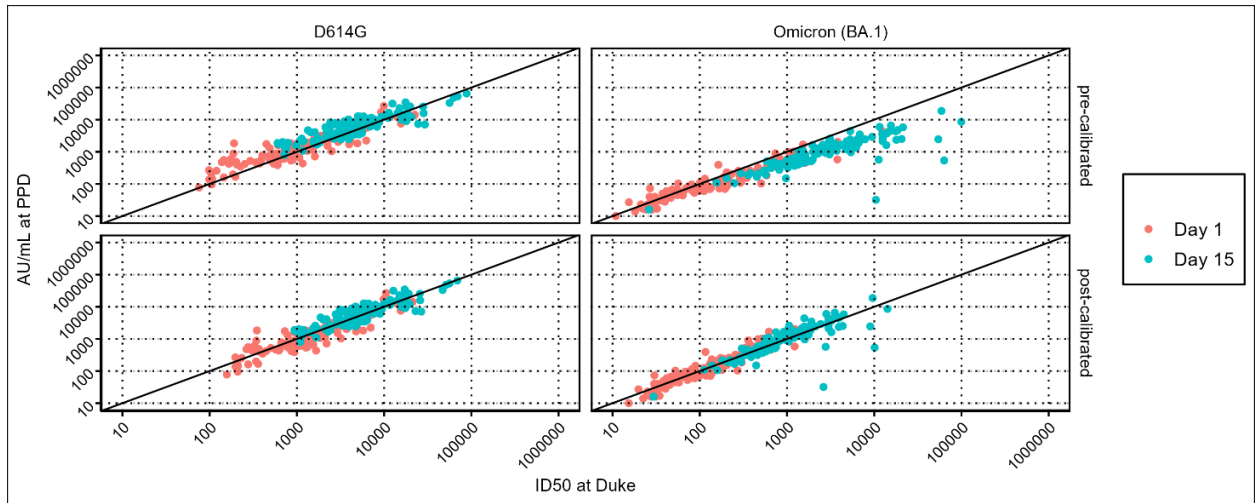
**The predefined concordance criterion is lower bound of the 95% CI for CCC is greater than 0.65.

CCC, concordance correlation coefficient; CI, confidence interval; LLOD, lower limit of detection; SD, standard deviation; ULOQ, upper limit of quantitation.

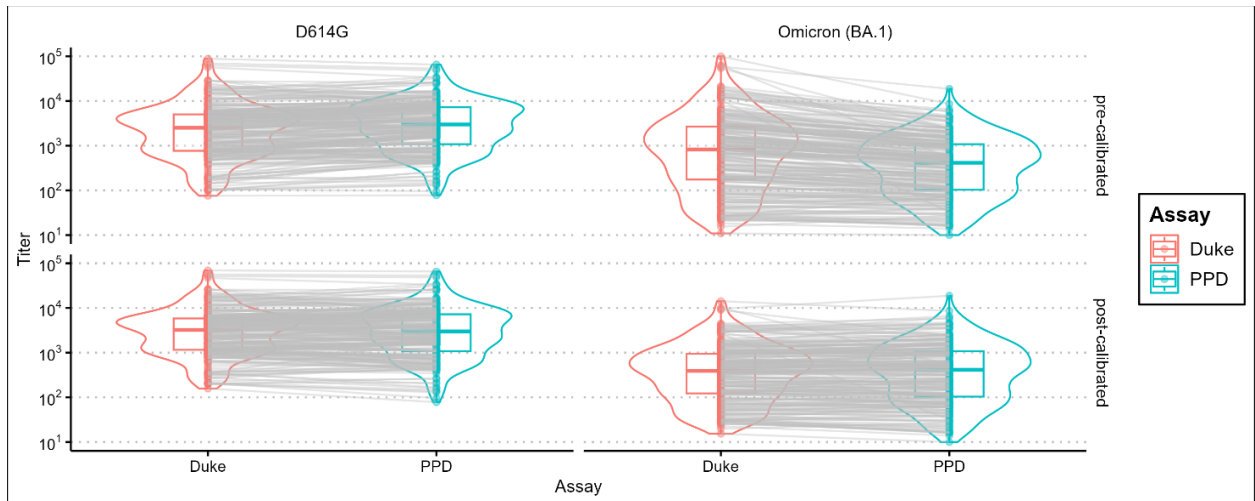
Supplementary Table 14: Linear Regression and Deming Regression of PPD (AU/mL) on Duke (ID50) by VOC

VOC	Linear Regression		Deming Regression by Wicklin 2019 with lambda = 1	
	Intercept (SE)	Slope (SE)	Intercept (95% CI)	Slope (95% CI)
D614G	-0.095 (0.087)	0.993 (0.025)	-0.371 (-0.560, -0.182)	1.073 (1.019, 1.127)
Omicron (BA.1)	-0.103 (0.066)	1.156 (0.025)	-0.303 (-0.419, -0.188)	1.235 (1.186, 1.284)

Supplementary Fig. 36. Scatter Plots of Duke (ID50) and PPD (AU/mL) Assays by VOC with and without Calibration* (N = 250)



Supplementary Fig. 37. Violin Plots of Duke (ID50) and PPD (AU/mL) Assays by VOC with and without Calibration* (N = 250)



*The data was calibrated using linear regression approach, see Supplementary Table 14 for the calibration equation parameters. Only samples with titers above the lower limit of detection (LLOD) from both laboratories are included in plots and above ULOQ values were changed to be equal to ULOQ. VOC: Variant of concern.

Supplementary Table 15. Glossary of Terms, Abbreviations, and Acronyms

Term	Definition/Explanation/Further Information
AU	Arbitrary units, i.e., assay readouts that are not calibrated to any standard or scale.
bAb	Binding antibodies
BD1	Booster Dose 1 = the day on which the third (booster) dose of mRNA-1273 was administered. See Supplementary Fig. 1.
BD29	Booster Dose 29 = 28 days (allowable window: 19 to 45 days) post-administration of third (booster) dose. See Supplementary Figs. 1, 2.
CoP	Correlate of Protection = an immune marker that statistically associates with post-vaccination protection against a given clinical endpoint. May or may not be a mechanistic CoP. A validated CoP can expedite decisions on vaccine approval and use and hence is highly sought. Further reading on CoPs: refs. ¹⁻⁴
CoR	Correlate of Risk = an immune marker that statistically associates with the incidence of a given clinical endpoint in the population of interest receiving a regimen. ^{5,6} Assessment of CoRs is a first step in identifying a correlate of protection (CoP).
Correlate of booster relative efficacy	Given crossover of the original placebo arm, correlates could not be assessed against a placebo group. The correlate of booster relative efficacy analyses therefore contrast the hazard of Omicron COVID-19 in three-dose vaccine to that in two-dose vaccine recipients.
DD1	Disease Day 1 = Date of Omicron COVID-19 endpoint diagnosis. See Supplementary Fig. 1.
IU	International Units for neutralizing antibody titer. Ancestral nAb titer readouts can be calibrated to the WHO 20/136 International Standard ⁷ to express in IU (for 50% inhibitory dilution nAb titers, this is IU50/ml) as detailed in the Supplementary Material of Gilbert et al. ⁸
LLOQ	Lower limit of quantitation. The LLOQ defines the lower readout of the range over which the assay is accurate and precisely quantitates samples.
nAb	Neutralizing antibodies. Evidence supporting nAbs as a CoP against symptomatic COVID-19 is summarized in ref. ⁹
PDV	Participant decision visit. After issuance of an EUA for the mRNA-1273 vaccine (December 2020), the COVE protocol was amended to include an open-label phase. At the PDV, participants were informed of their original treatment assignment and those in the placebo group were offered the opportunity to receive mRNA-1273.
Predicted-at-exposure antibody level	To estimate Ab levels at each day post peak, paired antibody measurements on BD1 and DD1 were used to calculate the slope of antibody waning for each participant. The median slope was used to predict antibody levels at each following day, for each participant with BD29 measurement, using the formula described in Methods. The Ab

Surrogate endpoint

level at each following day was then associated with the COVID-19 hazard using a calendar time-based Cox model.

A validated CoP can be used as a surrogate endpoint for a clinical outcome of interest and thus be used as a primary endpoint for vaccine authorization or approval. The use of a surrogate endpoint can thus circumvent resource-intensive randomized, controlled phase 3 trials. Example applications could include serving as a basis for approval of a vaccine in populations not included in the original phase 3 trial (e.g. children,¹⁰ the elderly) or for approving e.g. variant-adapted versions.

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- 6 Gilbert, P. B., Qin, L. & Self, S. G. Evaluating a surrogate endpoint at three levels, with application to vaccine development. *Stat Med* **27**, 4758-4778, doi:10.1002/sim.3122 (2008).
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**Statistical Analysis Plan for Study of Post Dose 3 and
Exposure-Proximal Omicron Antibody as Immune
Correlates for Omicron COVID-19 in the P301 COVE
Study**

USG COVID-19 Response Team / Coronavirus Prevention Network
(CoVPN) Biostatistics Team

October 15, 2023

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1 Outline

First, this document recapitulates the sampling design that was used for assessment of Stage 1 correlates (Gilbert et al., 2022b). Second, it states the study objectives to assess post dose 3 Omicron BA.1 antibody titer, and exposure-proximal antibody titer, as immune correlates for Omicron COVID-19. Third, it describes the sampling plan for enabling the immune correlates statistical analyses. Fourth, it specifies the statistical analysis plan that details how to assess each objective.

Three assays VAC62, VAC122, VAC123 have been selected for this study:

VAC62– PsVNA against ancestral D614G strain (PPD Vaccines)

VAC122– PsVNA against BA.1 (B.1.529) VAC122 (PPD Vaccines)

VAC123– MSD multiplex: S, RBD, N +S (D614, Gamma, Alpha, Beta, Delta AY4, Omicron BA.1) (PPD Vaccines)

2 Stage 1 correlates sampling design

A two-phase stratified case-cohort sampling design was applied for measuring D1, 29, 57 antibody levels after the two-dose primary series in per-protocol participants sampled into the immunogenicity subcohort and for all baseline negative per-protocol vaccine recipient COVID-19 endpoint cases occurring at least 7 days post D29 visit or at least 7 days post D57 visit. The implemented sampling design is described in the Supplementary Material of Gilbert et al. (2022b). The sampling design sought balanced numbers of baseline negative per-protocol participants in each of the six demographic strata defined by (Minority, Non-Minority) \times (Age \geq 65, Age $<$ 65 and ‘at risk’, Age $<$ 65 and Not ‘at risk’), within each of the naïve and non-naïve populations. For sampling of non-cases for Stage 2 correlates, balance in these factors will also be pursued.

3 Objectives of this post booster dose Omicron correlates study

The following objectives are assessed separately in SAR-CoV-2 naïve and SAR-CoV-2 non-naïve individuals, as defined below. The study endpoint for all objectives is adjudicated “Omicron COVID-19” counted starting 7 days after the post-booster Day 29 (BD29) visit and starting December 1, 2021 or later. For Objectives 7 and 9, “instantaneous Omicron COVID-19” refers to the instantaneous hazard rate of Omicron COVID-19, i.e., the rate of Omicron COVID-19 over the next day of follow-up. The objectives are assessed primarily for two BA.1 markers: bAb to Spike BA.1 in the MSD multiplex (VAC123), and pseudovirus nAb-ID50 titer to BA.1 (VAC122), based on assays at PPD. In addition, some of the objectives will be repeated for the same markers measured against D614 (binding assay) or D614G (pseudovirus neutralization) instead of against BA.1.

Objectives

1. To assess BD29 Omicron Ab as a correlate of risk (CoR) against Omicron COVID-19
2. To assess fold-rise in Omicron Ab from BD1/pre-booster to BD29 as a CoR against Omicron COVID-19

3. To assess whether the CoR in 1. or 2. is modified by SARS-CoV-2 naïve/non-naïve status
4. To assess whether the CoR in 1. is modified by the BD1 antibody value
5. To assess BD29 Omicron Ab as a correlate of protection (CoP) against Omicron COVID-19
6. To assess fold-rise in Omicron Ab from BD1 to BD29 as a CoP against Omicron COVID-19
7. To assess Omicron Ab as an exposure-proximal CoR of instantaneous Omicron COVID-19
8. To assess whether the exposure-proximal CoR in 7. is modified by the BD1 antibody value
9. To assess Omicron Ab as an exposure-proximal CoP against instantaneous Omicron COVID-19
10. To assess mediation of the effect of the interval between dose 2 and dose 3 on Omicron COVID-19 through BD29 Omicron Ab value

4 Stage 2 sampling design for addressing the objectives

Figure 1 shows the blood sampling schedule that enables the correlates studies. This correlates study is a stratified case-control study of post-dose 3 Omicron Ab in 3-dose vaccine recipients. The sampling approach samples Omicron COVID-19 endpoint cases starting 7 days after BD29 from each of the original vaccine and cross-over vaccine arms. Sampling stratified by randomization arm creates useful variability in the time between the two-dose vaccination series and the booster dose. The primary study endpoint is Omicron COVID-19 occurring at least 7 days post dose 3 Ab measurement at BD29 through to the data base lock in May, 2022. The sampling is done separately in the “naïve” cohort with no evidence of SARS-CoV-2 infection from enrollment through to BD1 and in the “non-naïve” cohort with any evidence of SARS-CoV-2 infection from ≥ 14 days after the second dose of mRNA-1273 vaccine through to BD1. Here, prior infection is defined inclusively based on any results of previous RT-PCR+, N-seroconversion, or a symptomatic COVID-19 endpoint with positive confirmatory testing. Stratifying the sampling by naïve/non-naïve status enables study of immune correlates in each of the naïve and non-naïve cohorts given the importance of understanding immune correlates in non-naïve populations as well as in naïve populations, and addressing whether and how prior infection modifies immune correlates (Objective 3). Figure 1 shows the schema of blood sample storage for potential antibody measurement in the COVE study.

The sample size of the correlates study in terms of participants with new antibody measurements is as follows:

1. Stratified random sample of $N=256$ three-dose vaccine recipients
2. 640 total samples/assays (Omicron BA.1 Ab measured at BD1, BD29 for all participants, and also at disease-day-one (DD1) that is the date of COVID-19 endpoint diagnosis for all cases)

Each of the correlates studies (in naïve and non-naïve individuals) is based on 64 vaccine cases with antibody data; in comparison \approx peak Ab correlates were defined based on 36 vaccine cases in the Stage 1 correlates analyses ([Gilbert et al., 2022b](#)) and exposure-proximal correlates were defined

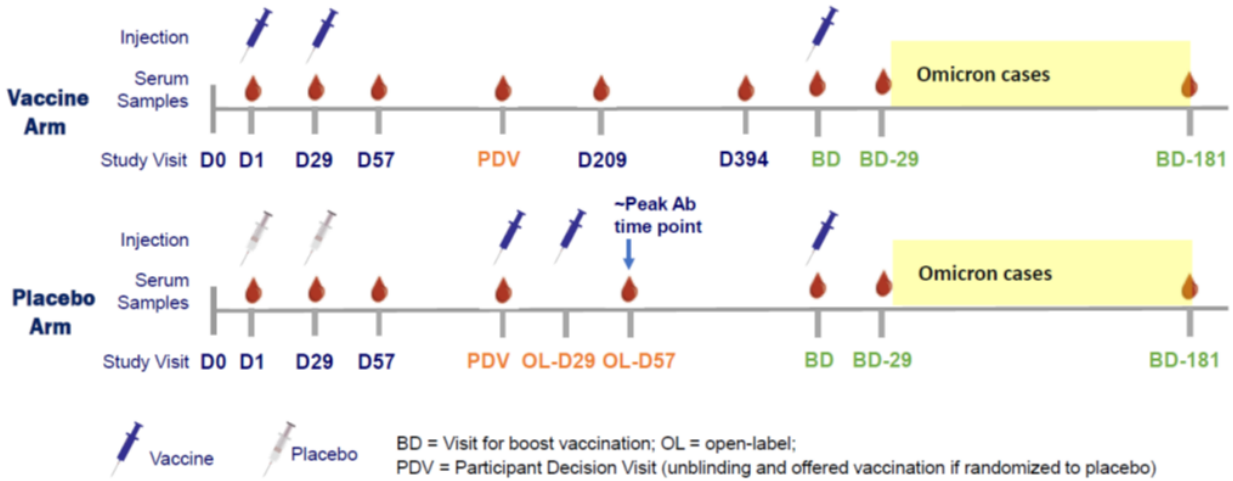


Figure 1: Flow-chart of the stage 2 correlates study that evaluates Omicron antibody as a correlates of risk and of protection of Omicron COVID-19.

based on 39 vaccine cases for an observational study of Pfizer’s mRNA vaccine (Bergwerk et al., 2021).

Table 1 presents the sampling strata, where for eligibility participants must qualify per-protocol during the original follow-up period, received the first booster dose, have blood samples at BD1 and BD29, and cases are also required to have DD1 sample availability. Appendix A provides complete details of the Stage 2 sampling design that includes a prioritization of sampling of eligible participants and a dependency of sampling on demographic factors, which allows computation of inverse probability of sampling weights for all participants included in the correlates study.

For peak time correlates analyses of BD29 markers, in addition to requiring cases to have failure time starting 7 days after BD29 for inclusion, it is also required that the time interval between BD1 and BD29 falls in 19 to 45 days; otherwise the case is excluded from analysis.

5 Statistical analysis plan by objectives

5.1 Descriptive statistics

Tables of immunogenicity will be reported separately by assay, which amounts to the following variables:

1. \log_{10} nAb titer to D614G
2. \log_{10} nAb titer to BA.1
3. \log_{10} anti-Spike IgG to D614

Table 1: Stratified sampling design for measuring Omicron antibody at (BD1, BD29) for non-cases and at (BD1, BD29, DD1) for cases*

	Boosted		Boosted		Boosted		Boosted		Total (Samples)
	Sep23-Oct15 2021		Oct16-Oct31 2021		Nov 2021		Dec 2021		
Original Vx Omicron case	8N	8NN	8N	8NN	8N	8NN	8N	8NN	64 (192)
Original Vx non-case	8N	8NN	8N	8NN	8N	8NN	8N	8NN	64 (192)
Crossover Vx Omicron case	8N	8NN	8N	8NN	8N	8NN	8N	8NN	64 (192)
Crossover Vx non-case	8N	8NN	8N	8NN	8N	8NN	8N	8NN	64 (192)

*Case = COVID-19 endpoint in the interval [≥ 7 days post BD29 AND \geq Dec 1 2021, May 2022 data base lock date]. As described in the appendix the COVID-19 endpoint is documented to be Omicron BA.1 if possible whereas for some non-naïve COVID-19 endpoints there was not lineage data available to document the case to be Omicron BA.1.

Non-case = Did not acquire COVID-19 (of any strain) in the interval [BD1, data base lock date].

naïve = No evidence of SARS-CoV-2 infection from enrollment through to BD1;

Non-naïve = Any evidence of SARS-CoV-2 infection in the interval [≥ 14 days after the second dose of mRNA-1273, BD1], operationalized as a COVID-19 endpoint or seroconversion from a blood sample up to the BD1 visit (evidence of infection by RNA PCR from a BD1 sample was not included as a qualifier for the Non-naïve group).

4. \log_{10} anti-Spike IgG to Gamma
5. \log_{10} anti-Spike to Alpha
6. \log_{10} anti-Spike to Beta
7. \log_{10} anti-Spike to Delta AY4
8. \log_{10} anti-Spike to BA.1
9. \log_{10} anti-RBD IgG to D614

Note that while descriptives are provided for all of the assay variables, the correlates analyses focus on four assay variables: \log_{10} nAb ID50 titer to D614G, \log_{10} nAb ID50 titer to BA.1, anti-Spike IgG to D614, and anti-Spike IgG to BA.1.

Inverse-probability weighting will be used in summarizing immunogenicity in order that estimates and inferences are for the population from which the whole study cohort was drawn. This whole population and the sample weights are defined in Section 6.1.

Assay readouts accounting for assay limits (before multiplying the readouts by constants)

The antibody markers have readouts in units defined by PPD reports, and the readouts account for the LOD, LLOQ, ULOQ assay limits derived by PPD for each assay.

The readout for the analysis of the two nAb ID50 titer markers is serum antibody concentration Ab[C], with labeling for plots “ID50 (AU/ml).” For D614G, the LLOQ for Ab[C] is 10 AU/ml. For D614G the ULOQ for Ab[C] is 281,600 AU/ml. Values $>$ ULOQ are assigned ULOQ. These LLOQs and ULOQs were derived for the D614G antigen in the PPD assay report “PPD Project

ID: RPJX. Assessment of Equivalency of Neutralization Antibodies Between the PPD VSDVAC 62 Microneutralization Assay and Historical ID50 Results Provided by Moderna That Were Generated Using the Duke Microneutralization Assay and the D614G Microneutralization Assay Version 1.0.” PPD amended the ULOQ of 281,600 AU/ml based on FDA feedback that precision, relative accuracy and dilutional linearity of the SARS CoV-2 MN assay as well as ULOQ for the assay be based on the highest measurable sample that shows acceptable precision and accuracy.

For the Omicron BA.1 antigen, the PPD assay report “PPD Project Code: RVUJ2. Validation of A Microneutralization Assay for the Detection of SARS CoV-2 Neutralizing Antibodies (SARS CoV-2-NAb) for the Omicron Spike BA.1 Variant in Human Serum (SARS CoV-2 MN O) Version 1.0” yielded the LLOQ for Ab[C] of 8 AU/ml and a ULOQ of 24,503 AU/ml. Values > ULOQ are assigned ULOQ.

The LLOQ for PsV nAb ID50 is 10 for D614G and 8 for BA.1, respectively. PsV nAb ID50 D614G values below LLOQ = 10 are assigned the value of LLOQ/2 = 5 and PsV nAb ID50 BA.1 values below LLOQ = 8 are assigned the value of LLOQ/2 = 4.

Multiplying nAb ID50 titer assay readouts by constants to place readouts on a comparable scale to units used in the previous immune correlates publications for Moderna COVE

The PPD assay report RPJX cited above showed that Ab[C] is on the same AU/ml scale as the Duke ID50 titer readout with no need for multiplying Ab[C] by a constant, that is, analyses would be acceptable if they treat Ab[C] to have the same unitage as the Duke ID50 biomarker. That report estimated a scaling factor of 1.04 between the PPD Ab[C] readout and the Duke ID50 readout, and therefore we do apply this scaling factor, even though it has little impact.

In addition, we multiply PPD Ab[C] readouts by 0.242, which was the conversion factor used by Duke to convert their ID50 readouts to the IU50/ml scale. It might seem better to divide the PPD Ab[C] readouts by 1.275, as this was the conversion factor estimated by PPD in its calibration report “PPD Project Code: RQHQ. Calibration of the V62RS-X132-CVMN Reference Standard Used in the SARS CoV-2 Neutralizing Antibodies (SARS CoV-2-Nab) in Human Serum (SARS CoV-2 MN) Method to the WHO International Standard for anti-SARS-CoV-2 Immunoglobulin Lot 20/136 Version 1.0.” However, to meet our greater objective to be able to compare readouts to those previously used in the blinded-phase Moderna COVE correlates studies ([Gilbert et al., 2022b](#); [Benkeser et al., 2023](#)), we apply the Duke conversion factor. This means we can interpret anti-D614G ID50 titer readouts at BD1 and BD29 in the current correlates study on an apples vs. apples scale compared to the readouts used in the previous correlates studies. A section in the Supplemental Material of the booster correlates manuscript will explain the reasoning of this choice in greater detail. In sum, the original PPD Ab[C] ID50 readouts received from PPD are multiplied by 0.242 and then they are divided by 1.04, to constitute the reported ID50 (AU/ml) readouts. Then, the PPD anti-BA.1 Ab[C] ID50 readouts received from PPD are also multiplied by 0.242 and then they are divided by 1.04, for placing the readouts on a comparable scale to readouts against the D614G strain. Statistical reports are generated both using the un-scaled PPD assay units for each of D614G ID50 and BA.1 ID50, as well as using the scaled units for each of D614G ID50 and BA.1 ID50 (multiplying un-scaled readouts by 0.242/1.04).

In addition, it is of interest to consider D614G ID50 readouts scaled to be predicted ID50 values against BA.1; the advantage of doing this is the anchoring to the Duke/PPD D614G assay concordance study, as the Duke/PPD BA.1 concordance study is still ongoing. Based on data from the Duke assay on 26 3-dose mRNA-1273 participants, the geometric mean ratio of ID50 readouts to BA.1 vs. to D614G was 0.225. Therefore, it is of interest to scale D614G readouts by multiplying them by 0.225, which gives the readouts interpretations in terms of predicted ID50 against BA.1. Multiplying original PPD D614G ID50 units by $(0.242/1.04)*0.225 = 0.052$ creates the Predicted BA.1 units that can be quantitatively interpreted in comparison to the anti-D614G IU50/ml units, where for example a result of Predicted BA.1 ID50 is 2-fold lower than D614G IU50/ml can be properly interpreted as 2-fold lower titer against BA.1 than against D614G. The following data analysis will be included:

The blinded phase correlates study (Gilbert et al. 2022) estimated how two-dose vs. placebo vaccine efficacy varied by D614G nAb ID50 titer at 4 weeks post dose 2, with ID50 titer calibrated to the WHO 20/136 International Standard and reported in IU50/ml units. It is of interest to compare this ancestral antibody, ancestral COVID-19 vaccine efficacy curve with the BA.1 antibody, Omicron BA.1 COVID-19 booster vaccine efficacy curve, to ascertain whether a different amount of variant-matched antibody is needed for high-level booster protection than for high-level two dose vs. placebo protection. To do this, we defined a Predicted BA.1 ID50 biomarker at BD29 scaled such that it can be absolutely quantitatively interpreted vs. D614G IU50/ml units. This scaling was accomplished in two steps. First, the Duke/PPD D614G assay concordance study (BARDA, 2021) and the Duke assay International Standard calibration study (Huang et al., 2021) showed that multiplying D614G PPD nAb ID50 readouts by $(0.242/1.04)$ transforms units to the IU50/ml scale previously used (Gilbert et al., 2022c). Second, based on data from 26 three-dose mRNA-1273 participants with Duke assay ID50 measured 4 weeks post dose 3 against both D614G and BA.1, the geometric mean ratio of ID50 against BA.1 vs. against D614G was 0.225 (Lyke et al., 2022; Atmar et al., 2022). Therefore, we multiplied the PPD D614G nAb IU50/ml values by 0.225, attaining the Predicted BA.1 ID50 values (thus original PPD BA.1 ID50 units are multiplied by $(0.242/1.04)*0.225 = 0.052$ to generate Predicted BA.1 ID50 units). The BD29 booster vaccine efficacy curve analysis was repeated for this biomarker, and results overlaid with the original Day 57 vaccine efficacy curve analysis, providing a means for absolute comparison of variant-matched titer levels associated with efficacy.

Note that for plotting labeling, IU50/ml and BAU/ml labeling is never used, because antibody responses to BA.1 are of primary interest, and international units do not exist for these readouts. For the responses against D614G or D614 the readouts indeed are in international units IU50/ml and BAU/ml; however, for consistency with BA.1 readouts plotting labels AU/ml are used, and footnotes of captions note that these units equate to international units.

The assay limits for the PPD VAC123 MSD multiplex assay are listed below. In particular, the LLOQs are taken as the LLOQs for the lowest dilution 1:500, and are as follows by antigen:

- Spike D614: 69
- B.1.1.529/BA.1: 102
- B.1.617.2/Delta: 150

- P.1/Gamma: 143
- B.1.1.7/Alpha: 52
- B.1.351/Beta: 111
- RBD D614: 79

In addition, the ULOQs are taken as the ULOQs for the highest dilution 1:500,000, and are as follows by antigen:

- Spike D614: 14,400,000
- B.1.1.529/BA.1: 1,180,000
- B.1.617.2/Delta: 8,000,000
- P.1/Gamma: 5,800,000
- B.1.1.7/Alpha: 8,800,000
- B.1.351/Beta: 5,000,000
- RBD D614: 5,800,000

The LLOQ for bAb Spike is 69 AU/ml for D614 and 102 AU/ml for BA.1. bAb spike D614 readouts below LLOQ = 69 AU/ml are assigned the value LLOQ/2 = 34.5 AU/ml, and bAb spike BA.1 readouts below LLOQ = 102 AU/ml are assigned the value LLOQ/2 = 51 AU/ml.

Reporting units for MSD binding antibody readouts in tables and figures are AU/ml. PPD did not develop a conversion factor from AU/mL to International Units (BAU/ml) for any of the MSD assays. There is no equivalency study of the PPD VAC123 MSD assay compared to the VRC MSD assay that was used in the first correlates study [Gilbert et al. \(2022b\)](#).

Definition of participants with a positive response

- Participants with a positive (quantifiable) pseudovirus neutralization response at each pre-defined timepoint are defined as participants who had ID50 value at the time point greater than or equal to the antigen-specific LLOQ; otherwise the response is not detectable. This definition is the same for both nAb D614G and nAb BA.1.
- Participants with a positive antigen-specific binding antibody response at each pre-defined timepoint are defined as participants who had a antigen-specific bAb measurement at the time point greater than or equal to the antigen-specific LLOQ (specified above); otherwise the response is negative.

Tabular output

- Average duration of follow-up post BD29 for cases and non-cases, stratified by naïve/non-naïve status
- Number (%) positive responses (including denominator that is the estimated number of participants in the population in the cell) with 95% CI at each time point (columns) by original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CI calculated

based on Clopper-Pearson method. Table pools participants over the four boosting intervals listed in Table 1. The time points are BD1, BD29, and disease-day 1 (DD1) (only cases are included for DD1).

- Number (%) positive responses with 95% CI at BD1 by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CI calculated based on Clopper-Pearson method.
- Number (%) positive responses with 95% CI at BD29 by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CI calculated based on Clopper-Pearson method.
- Number (%) positive responses with 95% CI at DD1 by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CI calculated based on Clopper-Pearson method.
- Geometric mean (95% CI) of quantitative marker at each time point (columns) by original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CIs using the t-distribution approximation of \log_{10} -transformed marker (base 10 of the logarithm is always used). Table pools participants over boosting interval. The time points are BD1, BD29, and DD1 (only cases are included for DD1).
- Geometric mean (95% CI) of quantitative marker at BD1 by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CIs using the t-distribution approximation of log-transformed marker
- Geometric mean (95% CI) of quantitative marker at BD29 by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CIs using the t-distribution approximation of log-transformed marker
- Geometric mean (95% CI) of quantitative marker at DD1 by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CIs using the t-distribution approximation of log-transformed marker.
- Geometric mean ratio (95% CI) of quantitative marker at BD29 and DD1 time points relative to BD1 time point (columns) by original randomization arm x case-control status x naïve/non-naïve status (rows). (i.e., geometric mean of fold-rise values from BD1 to BD29 and from BD1 to DD1.) 95% CIs using the t-distribution approximation of log-transformed marker at each time point. Table pools participants over boosting interval.
- Geometric mean ratio (95% CI) of quantitative marker at BD29 relative to BD1 time point by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CIs using the t-distribution approximation of log-transformed marker.
- Geometric mean ratio (95% CI) of quantitative marker at DD1 relative to BD1 time point by boosting interval (columns) and original randomization arm x case-control status x naïve/non-naïve status (rows). 95% CIs using the t-distribution approximation of log-transformed marker.

- Differences in positive response rates (95% CI) between cases and controls at each time point (columns) by original randomization arm x naïve/non-naïve status (rows). 95% CI the Wilson-Score method without continuity correction (Newcombe, 1998). Table pools participants over boosting interval. The time points are BD1 and BD29.
- Differences in positive response rates (95% CI) between cases and controls at BD1 by boosting interval (columns) and original randomization arm x naïve/non-naïve status (rows). 95% CI the Wilson-Score method without continuity correction (Newcombe, 1998).
- Differences in positive response rates (95% CI) between cases and controls at BD29 by boosting interval (columns) and original randomization arm x naïve/non-naïve status (rows). 95% CI the Wilson-Score method without continuity correction (Newcombe, 1998).
- Geometric mean ratio (95% CI) of quantitative marker between cases and controls at each time point (column) by original randomization arm x naïve/non-naïve status (rows). Table pools participants over boosting interval. The time points are BD1 and BD29.
- Geometric mean ratio (95% CI) of quantitative marker between cases and controls at BD1 by boosting interval (columns) and original randomization arm x naïve/non-naïve status (rows).
- Geometric mean ratio (95% CI) of quantitative marker between cases and controls at BD29 by boosting interval (columns) and original randomization arm x naïve/non-naïve status (rows).

Graphical Output

Set 1 plots: BD1 and BD29 Ab distributions by case/non-case and naïve-non-naïve status

1. BD1 antibody for the 2 \log_{10} nAb ID50 titer markers (to D614G and to BA.1), 8 panels of violin/boxplots defined by 4 rows (cross-classification of original randomization arm with naïve/non-naïve) and 2 columns defined by D614G and BA.1 strain, where within each panel there are side-by-side violin/boxplots for cases and non-cases. These plots pool over the four boosting intervals.
2. Repeat 1. for BD29 antibody
3. Repeat 1. for BD29 - BD1 antibody
4. Repeat 1.-3. for the 2 \log_{10} IgG anti-Spike markers (to D614 and to BA.1)
5. Repeat 1.-3. for the 2 \log_{10} IgG anti-RBD markers (to D614)
6. BD1 antibody for the 6 \log_{10} IgG anti-Spike markers (to D614, Gamma, Alpha, Beta, Delta AY4, BA.1), 24 panels of violin/boxplots defined by 4 rows (cross-classification of original randomization arm with naïve/non-naïve) and 6 columns defined by strain, where within each panel there are side-by-side violin/boxplots for cases and non-cases. These plots pool over boosting intervals.
7. Repeat 7. for BD29 antibody
8. Repeat 7. for BD29 - BD1 antibody

Set 2 plots: Longitudinal plots BD1 to BD29 (and to DD1)

1. For \log_{10} nAb ID50 titer to D614G, for each of 4 rows (cross-classification of original randomization arm with naïve/non-naïve), plot 5 side-by-side violin/box plots, the the first 2 for BD1 non-cases, BD29 non-cases, with lines connecting individual's data points, and the last 3 for BD1 cases, BD29 cases, DD1 cases, with lines connecting individual's data points. To the right of this plot, place the parallel results for \log_{10} nAb ID50 titer to BA.1. These plots pool over the four boosting intervals.
2. Repeat 1. for \log_{10} anti-Spike IgG (for D614 and BA.1)
3. Repeat 1. for \log_{10} anti-RBD IgG (for D614)

Set 3 plots: Correlation plots across markers at a given time point

1. For all 15 markers at BD1, a pairs plot similar to those in [Gilbert et al. \(2022b\)](#), pooling over boosting intervals, original randomized arm, case/non-case status, and naïve/non-naïve status. Spearman rank correlation coefficients are included (including IPS weights).
2. Repeat 1. for the 15 markers at BD29
3. Repeat 1. for the 15 difference markers BD29 - BD1 (i.e., \log_{10} fold-rise markers)
4. Repeat 1.-3. restricting to the 6 markers of focus as defined in Section 5.1.

Set 4 plots: Correlation plots for a given marker across time points

1. For each of the 15 markers, a figure with 8 panels, with 4 rows (cross-classification of original randomization arm with naïve/non-naïve) of pairs plots, pooling over boosting intervals, for the marker measured over the time points BD1 and BD29 for non-cases (column 1) and over BD1, BD29, and DD1 for cases (column 2). Spearman rank correlation coefficients are included.

5.2 Details on planned figures and tables for the first manuscript

Proposed Figure 1 of the manuscript: Include the nAb ID50 BA.1 marker and the IgG Spike BA.1 marker. 8 panels, 2 rows, 4 columns. Each panel shows the violin plots for BD1 and BD29 marker distributions, with lines connecting the BD1 and BD29 data points so the paired data/fold-rises are visible. The 2 rows are for (1) nAb marker and for (2) IgG Spike (the logic here is the y-axis range can always be the same). The 4 columns are for (1) Naive Omicron Cases; (2) Naive Non-Cases; (3) Non-naive Omicron Cases; (4) Non-naive Non-Cases Plotting symbols distinguish Original-Vaccine and Crossover-Vaccine.

Then a supp figure would do the same thing for nAb ID50 D614G and IgG Spike D614. And 2 other supp figures would do the same thing except replace BD29 marker with Fold-rise marker.

Proposed Table 1 of the manuscript: Like Table 1 in the 2022 Science paper, for the same 2 BA.1 markers of Figure 1 (nAb ID50, IgG Spike), focusing only on the BD29 time point, showing BD29 absolute level markers and fold-rise markers as separate rows, and separately for Naive and Non-Naive. So the rows would be (1) Naive, ID50 BA.1, BD29; (2) Naive, IgG Spike BA.1, BD29; (3) Naive, ID50 BA.1, Fold-rise; (4) Naive, IgG Spike BA.1, Fold-rise; (5) Non-Naive, ID50 BA.1,

BD29; (6) Non-Naive, IgG Spike BA.1, BD29; (7) Non-Naive, ID50 BA.1, Fold-rise; (8) Non-Naive, IgG Spike BA.1, Fold-rise.

Then a supp table that is the same except it is for nAb ID50 against D614G and IgG Spike against D614.

Proposed Figure 2 of the manuscript: Of the ‘identical’ structure/layout of Figure 2 in the 2022 Science paper, with Panel A for Naive, ID50 BA.1, BD29 and Panel B for Non-Naive, ID50 BA.1, BD29. Panel C would include results for 8 markers, the same 8 listed above for Table 1.

5.3 Assessing Objectives 1–4 (\approx peak Ab and pre-booster Correlates of Risk)

For the CoR Objectives 1–4., the planned analysis is similar to the originally published Stage 1 CoR analysis, implementing baseline-covariate marginalized Cox regression and nonparametric monotone-constrained analysis in the stratified random sample of three-dose vaccine recipients, who were per-protocol during the original follow-up period, received the first booster dose, and have blood samples at BD1, BD29, and also at DD1 for cases. The Cox regression modeling is done using study time to be consistent with what was done originally for COVE; this approach could have reduced precision compared to using calendar time if calendar time predicts COVID-19. If calendar time does strongly predict COVID-19, the analyses may be repeated using the calendar time scale. Cox modeling for CoP objectives uses the calendar time scale (see Section 5.5).

For analyses of markers defined at BD29, the Cox model uses BD29 as the time origin, whereas for analyses of markers defined at BD1, the Cox model uses BD1 as the time origin. Specifically, output for the six analyzed markers listed in Section 5.1 is as follows, where the analyses are done separately for the naïve and non-naïve cohorts, as well as for pooling over the naïve and non-naïve cohorts.

1. (Obj. 1,2) Univariable Cox model results for each quantitative marker (hazard ratio, 95% CI, 2-sided p-value)
2. (Obj. 1,2) Univariable Cox model results for each tertitized marker (hazard ratios, 95% CIs, 2-sided p-values, Generalized Wald p-values)
3. (Obj. 1,2) Univariable Cox model marginalized marker-conditional mean cumulative incidence curves over time through to the last time point t_0 , for Low, Medium, High tertile marker subgroups.
4. (Obj. 1,2) Univariable Cox model marginalized marker-conditional mean cumulative incidence curve over time through to the last time point t_0 , with marker subgroups defined by the continuous value of the marker.
5. (Obj. 1,2) Univariable nonparametric monotonic-regression model (Kenny PhD dissertation) marginalized marker-conditional mean cumulative incidence curve over time through to the last time point t_0 , with marker subgroups defined by the continuous value of the marker.
6. (Obj. 1,2) Multivariable Cox model for the two quantitative markers (anti-Spike IgG to BA.1, nAb ID50 titer to BA.1) (hazard ratios, 95% CIs, 2-sided p-values, generalized Wald test p-value)

7. (Obj. 1,2) Multivariable Cox model for the two tertiled markers (anti-Spike IgG to BA.1, nAb ID50 titer to BA.1) (hazard ratios, 95% CIs, 2-sided p-values, generalized Wald test p-values)
8. (Obj. 3,4) Multivariable Cox model for each of the two quantitative markers including an interaction term for naïve/non-naïve status (Obj. 3, 6) or for the BD1 antibody marker (Obj.4): A Wald p-value for interaction/effect modification is calculated
9. (Obj. 1, 2) Nonparametric threshold TMLE analysis the same as done in [Gilbert et al. \(2022b\)](#) with the method of [Van der Laan and Gilbert \(2022\)](#).

5.3.1 Covariates adjusted for in CoR and CoP analyses

The following covariates are adjusted for in all CoR and CoP analyses: baseline behavioral risk score, heightened at-risk indicator, and indicator of White Non-Hispanic (same three variables as adjusted for in [Gilbert et al. \(2022b\)](#)). Analyses pooling over naïve and non-naïve include adjustment for naïve status. Moreover, for the pooled analysis the multivariable superlearning CoR analyses also adjust for the interaction of heightened at-risk indicator with naïve status.

In addition to the baseline covariates \mathbf{X} , controlled risk CoP analysis that imagines “intervening” on a post-randomization event like BD29 antibody titer will also adjust for covariates measured after baseline but prior to BD29 and are associated with both the BD29 antibody titer and the endpoint; see Section 5.4 for details. Such covariates will include tertiles of BD1 antibody titer. For the analyses that pool over naïve and non-naïve, the analyses also adjust for naïve/non-naïve status. This is done because naïve/non-naïve status is strongly predictive of COVID-19, and likely will also be quite predictive of the BD29 antibody markers, such that it is likely a confounder of the effects of BD29 antibody markers on COVID-19.

The last time point t_0 for analysis is defined taking into account the smallest of the two latest COVID-19 endpoint failure times for naïve and non-naïve individuals, which is similar to as in [Gilbert et al. \(2022b\)](#) except only naïve individuals were studied previously. For the overall analysis of booster vaccine efficacy against Omicron, t_0 was selected as PENDING/TBD days, as the latest time point with reasonable precision in estimation.

5.3.2 Machine learning analysis to estimate best models for predicting COVID-19

This analysis will only be pursued if the lower-dimensional CoR analyses of Objectives 1–4 generate substantial signal and motivate a machine-learning multivariable CoR analysis. The analysis will be conducted in the same way as done in [Benkeser et al.](#) for the multivariable Moderna correlates analysis of two-dose vaccine recipients ([Benkeser et al., 2023](#)), except 1) the markers involved and the baseline covariates involved are different and data from all participants are included, 2) to identify interactions between markers, SL.step.interaction will be added to the learner library. These variables are listed below, in the different sets for which a superlearner model is built. Cross-validated area under the ROC curve (CV-AUC) and variable importance analysis will also be conducted in the same way as done in ([Benkeser et al., 2023](#)).

1. Baseline demographics (= variables described in Section 5.3.1) and for analyses pooling over

naive and non-naive also include naive status and the interaction of heightened at-risk indicator with naive status.

2. Possible antibody marker variable sets accounting for assay type (bAb, nAb) where bAb refers to anti-Spike (D614, BA.1), anti-RBD (D614 only), and time point (BD1, BD29, BD29-fold-rise, which includes 2FR and 4FR variables – indicators of two-fold and four-fold rise)

- BD1 bAb all BA.1
- BD29 bAb all BA.1
- BD29-fold-rise bAb all BA.1
- BD1 nAb all BA.1
- BD29 nAb all BA.1
- BD29-fold-rise nAb all BA.1
- BD1 bAb, BD29 bAb all BA.1
- BD1 bAb, BD29-fold-rise bAb all BA.1
- BD29 bAb, BD29-fold-rise bAb all BA.1
- BD1 nAb, BD29 nAb all BA.1
- BD1 nAb, BD29-fold-rise nAb all BA.1
- BD29 nAb, BD29-fold-rise nAb all BA.1
- BD1 (bAb, nAb) all BA.1
- BD29 (bAb, nAb) all BA.1
- BD29-fold-rise (bAb, nAb) all BA.1
- BD1 (bAb, nAb,) BD29 (bAb, nAb) all BA.1
- BD1 (bAb, nAb), BD29-fold-rise (bAb, nAb) all BA.1
- BD29 (bAb, nAb), BD29-fold-rise (bAb, nAb) all BA.1
- Repeat the above 18 sets for all D614 / D614G
- Repeat the above 18 sets for all BA.1 and D614 / D614G

As for other analyses, the analysis is done separately for naïves, non-naïves, and naïves + non-naïves pooled.

5.4 Assessing Objectives 5 and 6 (\approx peak Ab as controlled risk Correlates of Protection)

5.4.1 Primary controlled risk CoP analysis

Each of the BD29 and BD29 fold-rise markers is assessed as a controlled risk CoP as defined in [Gilbert et al. \(2022a\)](#), which is based on boosted participants only without a contrast of controlled risk in not-yet-boosted participants. This is analogous to CoR analysis of the vaccine arm only in the original blinded vaccine vs. placebo stage of the trial. This analysis reports E-values for each marker analyzed in tertiles and reports ignorance intervals and 95% estimated uncertainty intervals around the controlled risk curve estimate as a function of continuous immune marker value via the Cox modeling approach, the same as was done in the first Moderna CoP analysis ([Gilbert et al., 2022b](#)). As described in [Gilbert et al. \(2022a, Section 2.1\)](#), the objective of a controlled risk CoP analysis is to estimate the controlled risk parameter that assesses the causal effect of the antibody marker on COVID-19 risk. A controlled risk CoP analysis is different from a controlled vaccine efficacy CoP analysis (see [Section 5.5](#)), whose goal is to contrast participants in the vaccination arm and that in the not-yet-boosted arm.

Our primary interest is to assess the BD29 biomarker as a controlled risk CoP for the population who received the 3rd dose of mRNA-1273 vaccine in the COVE cohort. We will pursue this goal in the naïve and non-naïve populations, separately.

To be more specific, we will study the following causal estimand. Let $T(Ab1)$ denote the time to Omicron BA.1 COVID-19 after receiving the booster under assignment of all participants to $BD29 = Ab1$. For a fixed time t_0 after receiving the booster shot, define

$$r_M(Ab1) := \mathbb{E}_{\mathcal{P}_{\mathbf{L}}}[P(T(BD29 = Ab1) \leq t_0)],$$

where \mathbf{L} denotes a vector of pre-treatment covariates ('pre-treatment' with respect to BD29) and $\mathcal{P}_{\mathbf{L}}$ is the distribution of \mathbf{L} in the "per-protocol" naïve or non-naïve populations who received a booster.

Identification of $\mathbb{E}_{\mathcal{P}_{\mathbf{L}}}\{P(T(BD29 = Ab1) \leq t_0)\}$ from observed data depends on the ignorability assumption. One version of the ignorability assumption states that the BD29 titer level is independent of potential outcomes $T(Ab1)$ conditional on baseline covariates \mathbf{X} , including the minority indicator, high risk indicator and risk score, and covariates collected at BD1, including the matching biomarker level (or its tertiles) at BD1.

Under this ignorability assumption, the quantity $\mathbb{E}_{\mathcal{P}_{\mathbf{L}}}\{P(T(BD29 = Ab1) \leq t_0)\}$ is identified from observed data via the following g-computation formula ([Gilbert et al., 2022a](#)):

$$r_M(Ab1) := \mathbb{E}_{\mathcal{P}_{\mathbf{L}}}\{P(T(BD29 = Ab1) \leq t_0)\} = \mathbb{E}_{\mathcal{P}_{\mathbf{L}}}\{P(T \leq t_0 \mid BD29 = Ab1, \mathbf{L})\}, \quad (1)$$

where \mathbf{L} is specified above. To facilitate interpretation, for a fixed BD1 Ab tertile, the controlled risk curve $r_M(Ab1)$ will be plotted against $Ab1$ and used to assess the BD29 biomarker of interest as a controlled risk CoP.

Analyses outlined above will be done with BD29 titer replaced by fold-increase from BD1 to BD29 (Objective 6).

5.4.2 Exploratory controlled CoP analysis

We may pursue the following exploratory analyses. First, in addition to assessing the controlled risk CoP in the boosted population, we could also assess BD29 as a controlled risk CoP in the COVE trial population. Let $\mathcal{P}_{\mathbf{X}}$ denote the distribution of baseline covariates \mathbf{X} in COVE. The parameter of interest would be $\mathbb{E}_{\mathcal{P}_{\mathbf{X}}}\{P(T(BD29 = Ab1) \leq t_0)\}$. Identification of $\mathbb{E}_{\mathcal{P}_{\mathbf{X}}}[P(T(BD29 = Ab1) \leq t_0 | \mathbf{X})]$ from observed data depends on the sequential ignorability assumption; see, e.g., [Joffe and Greene \(2009, Section 2.3\)](#) and [Gilbert et al. \(2022a, Supplementary Material B\)](#). One version of the sequential ignorability assumption states that the BD29 titer level is independent of potential outcomes $T(Ab1)$ conditional on baseline covariates \mathbf{X} , tertiles of BD1 marker level, and a person’s naïve/non-naïve status as discussed in the primary controlled risk CoP analysis.

Under this version of sequential ignorability assumption, the quantity $\mathbb{E}_{\mathcal{P}_{\mathbf{X}}}\{P(T(BD29 = Ab1) \leq t_0 | \mathbf{X})\}$ is identified as follows ([Gilbert et al., 2022a, Supplementary Material B](#)):

$$\begin{aligned} & P(T(BD29 = Ab1) \leq t_0 | \mathbf{X}) \\ = & \sum_{a \in \{l, m, h\}; b \in \{0, 1\}} P(T \leq t_0 | BD29 = Ab1, BD1 = a, \text{Naïve} = b, \mathbf{X}) \times P(BD1 = a, \text{Naïve} = b | \mathbf{X}). \end{aligned} \tag{2}$$

where $a \in \{l, m, h\}$ denotes the the low, medium and high tertiles of the matching BD1 biomarker. In practice, the conditional probability $P(BD1 = a, \text{Naïve} = b | \mathbf{X})$ can be estimated via a multinomial regression. Finally, we standardize $P(T(BD29 = Ab1) \leq t_0 | \mathbf{X})$ to the COVE trial $\mathcal{P}_{\mathbf{X}}$ and obtain a controlled risk curve.

As a second exploratory analysis, we will study the controlled risk CoP in each randomization arm. Let $A = 1 = \text{Vaccine}$ if a participant was assigned to the vaccine arm and $A = 0 = \text{Crossover}$ if assigned to the placebo arm (and later crossed over to the vaccine arm) in the original COVE study; see [Figure 1](#) for an illustration. Let $T(a, Ab1)$ denote the time to Omicron BA.1 COVID-19 after receiving the booster under assignment of all participants to $A = a$ and $BD29 = Ab1$. For a fixed time t_0 after receiving the booster shot, let $r_M(a, Ab1) := \mathbb{E}_{\mathcal{P}_{\mathbf{X}}}[P(T(A = a, BD29 = Ab1) \leq t_0 | \mathbf{X})]$, where $a = \text{Vaccine}$ or Crossover , \mathbf{X} denotes a vector of baseline covariates, and $\mathcal{P}_{\mathbf{X}}$ is the distribution of \mathbf{X} in the COVE trial population.

Identification of $\mathbb{E}_{\mathcal{P}_{\mathbf{X}}}[P(T(A = a, BD29 = Ab1) \leq t_0)]$ from observed data depends is analogous to the two-stage g-computation discussed previously. Separate estimates of the curves $r_M(a, Ab1)$ in $Ab1$ for each $a = 0, 1$ will be produced. The contrast $r_M(\text{Vaccine}, Ab1)/r_M(\text{Crossover}, Ab1)$ will also be reported. This contrast characterizes the “joint effect” of being assigned to the vaccine versus crossover (which had an implication for the interval time and could potentially have an effect on the clinical outcome via a causal pathway not mediated by the BD29 antibody titer) and different levels of BD29 antibody titer.

In a third exploratory analysis, the potential outcome of interest is:

$$T(\Delta, Ab1) := T(\text{receiving booster } \Delta \text{ days after the 2nd vaccine, } BD29 = Ab1).$$

The identification of the controlled risk based on the potential outcome $T(\Delta, Ab1)$ will be based on a two-stage generalization g-computation discussed previously if the target population is the entire

COVE population and a single-stage g-computation if the population of interest is the boosted population. For selected values of Δ , a controlled risk curve could be plotted as a function of BD29 titer level. In addition, controlled vaccine efficacy can be estimated and plotted for two distinct values of Δ , e.g., the 10th and 90th percentiles.

Analyses outlined above will be done with BD29 titer replaced by fold-increase from BD1 to BD29 (Objective 6).

5.5 Controlled VE CoP analysis of Objectives 5 and 6 based on boosted vs. not-yet boosted

In addition to the controlled risk CoP analysis, for assessing the BD29 antibody marker as a CoP against Omicron COVID-19, another approach measures the booster VE, defined as the hazard rate of COVID-19 for boosted vs. not-yet boosted individuals, or alternatively by the cumulative probability of COVID-19 by a given fixed time point for boosted vs. not-yet boosted individuals. We will study how the booster VE varies as a function of BD29 antibody level Ab1, through the stepped-wedge methodology designed by [Fintzi and Follmann \(2021\)](#).

To be more specific, at any time t , the risk set would consist of not-infected-by-Omicron participants who are at least 7 days post BD29 and not-yet boosted participants. Each boosted participant is associated with a BD29 antibody level and the hazard rate conditional on the BD29 Ab level, $\lambda_{\text{boost}}(t, Ab1)$, will be estimated. On the other hand, the hazard among the not-yet boosted participants, $\lambda_{\text{not-yet-boost}}(t)$, will also be estimated. The contrast $1 - \lambda_{\text{boost}}(t, Ab1)/\lambda_{\text{not-yet-boost}}(t)$ or boost efficacy by Ab1, will be reported and plotted as a function of the BD29 antibody marker Ab1.

An estimate of the overall booster VE against Omicron COVID-19 provides a way to scale the controlled risk curve (marginalized Omicron COVID-19 risk vs. BD29 antibody level Ab1) to be a booster-controlled VE curve; see [Gilbert et al. \(2022d, Section 2.1\)](#) for the distinction between a controlled risk CoP analysis discussed in Section 5.3 and the controlled VE CoP analysis outlined in this section.

The cohort for the CoP analysis will be comprised of everyone who is unboosted as of 1 December 2021, plus the stratified case-control cohort (SCCC). Separate datasets and analyses will be constructed for the non-naive and naive cohorts. An illustrative version of the hazard function for peak antibody CoP analysis is given by

$$h(t) = h_0(t)\exp\{Z_i(t)[\beta_0 + \beta_1 Ab1] + X_i\theta\}w_i(t)I(t \in R_i)$$

where R_i is defined to remove person i from the risk set after event, censoring, or the 34 days postboost interval, t is days since 1 December 2021, $Z_i(t)$ is 0 before boost and 1 after boost and X_i is a vector of covariates. The weight $w_i(t)$ is a little complicated. There are three categories.

1. For those in the SCCC BD cohorts for period 1-3, $w_i(t)$ is the IPS weight.
2. For those in the SCCC BD period 4 cohort (boosted 1 December 2021 to 31 December 2021), $w_i(t) = 1$ prior to boosting and is the IPS weight after boosting.

3. For those not in the SCCC and unboosted/prior to boosting on 1 December 2021, $w_i(t)$ is 1 prior to boosting/COVID-19 event and 0 after boosting or COVID-19 event.

As an alternative, we will avoid weighting by imputing Ab1 in all vaccinees by empirical sampling with replacement from the distribution of BD29 antibody or either cases or controls, as appropriate. This should result in a much reliable estimate of β_0 .

The same analysis will be conducted with the BD29 titer replaced by fold-increase from BD1 to BD29 (Objective 6).

Repeating correlates analyses in the early period of follow-up in acknowledgment of waning vaccine efficacy

Several studies have shown that mRNA booster vaccines have waning protection over time, including analysis of the COVE trial itself. Correlates of protection may be strongest and most interpretable during periods of substantial vaccine protection. Therefore, the \approx peak time point CoR and CoP analyses may be repeated using as the final time point $t_0 = 91$ days post Day 1 visit. This cut-point of 91 days is chosen in part to harmonize with the COVAIL immune correlates study that also assesses immune correlates restricting to COVID-19 endpoints occurring 91 days post booster. In addition, the immune correlates analyses may also be repeated restricting to COVID-19 endpoints occurring starting 92 days post Day 1 visit through to the final time point t_0 that was selected for the main correlates analyses.

5.6 Assessing Objectives 7-9 (Exposure-Proximal Correlates of Risk and Correlates of Protection)

For assessing antibody as an exposure-proximal CoR (Objective 7), we use the below Cox model

$$h(t) = h_0(t)\exp\{Z_i(t)[\beta_0 + \beta_1 Ab_i(t - b)] + X_i\theta\}w_i(t)I(t \in R_i),$$

where t is days since 1 December 2021 and $Ab_i(t - b)$ is the predicted antibody level for person i at time $(t - b)$ post boost with other terms as defined in section 5.4. A CoR analysis will draw estimated curves with confidence bands of $\exp\{\beta_1 Ab\}$ as a function of Ab ranging over the middle 95% of the distribution of predicted Ab. Assessing the Objective 8 will include a term for BD1 dichotomized at the median of the BD1 distribution and an interaction of dichotomized BD1 with Ab_i . Objective 9 will use the same model and provide CoP curves analogous to the CoR curves using $1 - \exp(\beta_0 + \beta_1 Ab)$. Below we describe how we will impute $Ab(t)$.

In select cases the BD29 and DD1 antibody readouts will be used to calculate individual slopes using the form $(BD29-DD1)/d$ where BD29 and DD1 are the antibody readouts and d the difference in days between BD29 and DD1. Denote the median slope as $\hat{\theta}$ which will be used to calculate individual antibody decay curves for *all* cases and non-cases using the formula

$$Ab(d) = BD29 + \hat{\theta} \times d,$$

where d is the number of day post BD29. This imputation will be performed for all individuals in the risk set at all event times. This approach has been applied to the Stage 1 blinded-phase COVE

data, though the slope of decay there was estimated using data from [Doria-Rose et al. \(2021\)](#). Note that even though we have DD1 antibody value for the cases we don't use it in this approach in order to treat cases and controls the same way. It's bad if a covariate is measured one way for cases and another way for controls and the above symmetric imputation avoids this problem. Another reason not to impute DD1 is that the interval between BD29 and DD1 is random, which makes imputation problematic.

If the total variance is large relative to the within person variance, the above regression calibration approach may result in bias and to reduce such bias, an expected partial likelihood estimator may be considered.

The above analyses will be run separately for the naive and non-naive cohorts.

5.7 Addressing Objective 10 on mediation of the effect of dose 2 to 3 interval on COVID-19 mediated through BD29 antibody

This question will be analyzed by a new method described in a manuscript under preparation ([Hejazi et al., 2023](#)). The exposure variable of interest A must be dichotomous, so it will be defined as above vs. below the median number of days between dose 2 and dose 3. The putative mediator to study is BD29 \log_{10} PsV nAb ID50 titer against BA.1, and the outcome is COVID-19, both variables defined the same as for the other \approx peak antibody correlates objectives. Covariates to adjust for W will also be the same as used for the other \approx peak antibody correlates analyses. The analysis will be done with and without V defined as the BD1 \log_{10} PsV nAb ID50 titer against BA.1. The data set up fits the method of [Hejazi et al. \(2023\)](#) where V is a likely confounder of the exposure-mediator relationship given that V predicts both A and the putative mediator.

The data analysis will be repeated for \log_{10} PsV nAb ID50 titer against D614G as well as for each of the other markers \log_{10} anti-Spike BA.1 IgG, \log_{10} anti-Spike D614, IgG \log_{10} , and \log_{10} anti-RBD D614 IgG.

This data analysis is based on a novel statistical method that is still being developed, which will be submitted as part of a statistical methods manuscript. Consequently, the results of this method will likely come later than results from the other analyses, and hence will likely be included in sequel manuscripts rather than in the first correlates manuscript resulting from this SAP.

6 Specifications for general issues faced for most analyses

6.1 Computation of inverse probability of sampling weights

Define six demographic categories, which were used in the stratified sampling design: Age ≥ 65 minority; Age 18-64 'at risk' minority; Age 18-64 'not at risk' minority; Age ≥ 65 non-minority; Age 18-64 'at risk' non-minority; Age 18-64 'not at risk' non-minority, i.e., to enrich/over-sample those Age ≥ 65]. For each sampled participant, the inverse probability sampling weight is computed as numerator / denominator, where the numerator is the total number of per-protocol participants in the participant's cell (among the 32 of [Table 1](#)) that also have membership in the participant's demographic category (among the 6 listed above). The denominator is the total number of participants included in the numerator that were sampled for stage 2 correlates.

6.2 Imputation of demographics variables for stratification and merging of sparse strata for weights computation

Wstratum depends upon the demo variables (age, at risk, minority), CalendarBD1Interval, naive, and trt. Controls with missing Wstratum won't be sampled, hence not part of ph1. On the other hand, cases with missing Wstratum are part of ph1 because they may be sampled. If cases have missing demo variables, we want to impute them so that we can assign weights, otherwise it gets too complicated to assign weights to cases. Imputation is performed over all cases and controls without missing demo variables. The latter are included to improve imputation performance. Imputation is performed for demo variables only, but can be enlarged if there are additional variables that provide info on the three demo variables. Due to the limited missingness, a single hard imputation is performed.

When there are strata with empty ph2 sample set, collapsing strata is performed in three steps. First, do it across demo strata within each of 32 sampling buckets. Second, if there are still empty strata, do it across the 4 calendar periods. Specifically, merge a period with the next period if not the last, and merge with the last period with the previous if needed. Third, do it across demo strata within each of 32 sampling buckets one more time because it is possible that collapsing across time periods in step 2 introduced empty demo strata. Assuming that DD1 may not be available for all cases with BD1 and BD29 markers, we will compute a different set of weights for DD1, which may be used for, e.g. computing positive response rates at DD1. We will first attempt to compute weights for DD1 using the Wstratum derived for computing BD29 weights. If it turns out that there are empty cells, we will re-collapse sampling strata to compute weights for DD1.

7 Additional data analysis issues

7.1 Exclude participants reporting being HIV positive from the correlates analysis

Because the lentivirus-based pseudovirus neutralization assay uses an HIV backbone, the presence of anti-retroviral drugs in serum can give a false positive neutralization signal. For this reason, the original immune correlates analysis [Gilbert et al. \(2022b\)](#) excluded participants who self-reported being HIV positive, because they would likely be taking anti-retroviral drugs. Consistent with the previous correlates analysis, this SAP also excludes participants who self-reported being HIV positive.

7.2 Missing lineages

Some endpoint cases will likely have missing lineage/spike sequence. If the COVID-19 endpoint diagnosis date is \geq January 15, 2022, then the lineage will be hard-imputed to be Omicron BA.1. If the COVID-19 diagnosis date is less than January 15, 2022, the lineage will be recorded as NA. Note that attempts were made to measure the lineage/sequence for 100% of selected cases, enabling addressing this issue in the data analysis. Data analyses will restrict to Omicron BA.1 cases, although if the number of non-naïve cases has more than 10% of missing lineages, then missing data methods may be used that account for missing lineage. A separate SAP describes an

approach to doing this using hotdeck multiple imputation, similar to as in [Sun et al. \(2020\)](#), which may be added to this SAP if needed.

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Appendix A: Stage 2 Sampling: Stratified Case-Control Samples in 3-dose vaccine recipients

Appendix A.1 Stratified case-control sampling

Participants in P301 started receiving booster dose in Sep-2021 (first subject first booster dose 23-Sep-2021 in P301 Part C), and a total of 19,609 participants received a booster dose in Part C (Part C Safety Set, data cutoff date: 05-Apr-2022). Part C Safety Set will be used as the source dataset to sample for the stage 2 sampling. In this sampling plan, Omicron case, is approximated by adjudicated COVID-19 case (positive RT-PCR for SARS-CoV-2 with eligible symptoms) ≥ 7 days post BD29 AND ≥ 01 -Dec-2021 given the emergence of Omicron (BA.1) wave. Primary endpoint COVID-19 cases with known Omicron BA.1 lineage are prioritized for sampling. The sampling of cases and controls are further stratified by the following:

1. Originally randomized to mRNA-1273 (mRNA-1273, original vaccine arm) vs. placebo recipients in the blinded phase (Part A) who received mRNA-1273 primary series in Part B (Placebo-mRNA-1273, cross-over vaccine arm), these two groups/arms create useful variability in the time between the two-dose vaccination series and the booster dose.
2. Calendar period a participant received a booster (23-Sep to 15-Oct-2021, 16-Oct to 31-Oct-2021, Nov-2021, Dec-2021).
3. naïve vs. non-naïve cohorts, where naïve participants are those with no evidence of SARS-CoV-2 infection through the day of receiving booster (BD-Day 1, or pre-booster, or BD1); and non-naïve participants are those with evidence of infection in [date of 2nd dose of the primary series + 14 days, BD1]. Infection is defined by either a positive RT-PCR for SARS-CoV-2, or conversion from non-positive to positive by Roche Elecsys assay (NP).

An equal number of cases vs. non-cases, 8 within each of the stratum defined by the above cross-classification will be sampled, as presented in Table 1 below. Primary endpoint COVID-19 cases with known Omicron BA.1 lineage are prioritized for sampling. If fewer than 8 such eligible cases are available for sampling, then the remainder of the cell is filled with eligible cases with unknown lineage. For cases, antibodies at 3 timepoints: pre-booster Day 1 (BD1), 1 month/28 days after booster (BD29) and illness Day 1 (DD1) will be measured; for non-cases, antibodies at 2 timepoints: BD 1 and BD29 will be measured.

Appendix A.2 Specifications for Sampling

Participants who are in Per-protocol Primary Series analysis set and received booster dose (ADSL.PPPSFL = 'Y' AND ADSL.TR03SDT > .) are used for sampling. Eligible participants to be sampled also requires Case/Non-case, naïve/non-naïve, received booster during [23-Sep-2021, 31-Dec-2021] as defined in section 2.1.

For cases, severe Omicron COVID-19 cases (onset ≥ 01 -Dec-2021) will be sampled first. Within each stratum, a random number generator function with seed of 1273 is used. Sampled participants who did not have sufficient serum samples available at the planned timepoints (BD1 and BD29 for non-cases, BD1, BD29, and DD1 for cases) will be replaced. Based on preliminary review of data, the number of non-naïve Omicron COVID-19 cases is very limited. Thus, for non-naïve Omicron cases,

eligible primary endpoint COVID-19 cases will be sampled first. The remaining non-naïve cases will be sampled from infection cases (positive RT-PCR not necessarily with eligible symptom(s)), first from those with known Omicron BA.1 lineage, then from those likely to be Omicron BA.1. For these non-naïve Omicron COVID-19 cases and infections, every effort will be made to sample up to 8 participants, even if serum samples are not available at all 3 preferred timepoints (BD1, BD29, and DD1). If there are still not sufficient non-naïve cases, to fill out the 8 cases additional adjudicated COVID-19 cases 7 days post BD29 with onset \geq 01-Dec-2021. In summary, in the situation available non-naïve cases are <8 in a cell, effort will be made: to sample a total of 16 cases for each arm per boosting calendar period as described above. Effort will be made to maintain 1:1 ratio between case: non-case for naïve and non-naïve cohort. In the situation when there are only x (<8) non-naïve cases to be sampled, $16-x$ naïve cases will be sampled to reach a total of 16 cases. Correspondingly, $16-x$ naïve non-cases and x non-naïve non-cases will be sampled to maintain 1:1 between cases: non-cases, as illustrated as an example in the table below:

Omicron case	16-x	x
	N	NN
non-case	16-x	x
	N	NN

When feasible, for each set of 8N (naïve) or 8NN (non-naïve) in a cell, sample 2:1:1:2:1:1 from baseline demographic strata: Age \geq 65 minority; Age 18-64 ‘at risk’ minority; Age 18-64 ‘not at risk’ minority; Age \geq 65 non-minority; Age 18-64 ‘at risk’ non-minority; Age 18-64 ‘not at risk’ non-minority, i.e. to enrich/over-sample those Age \geq 65].

Appendix B: Notes for construction of a mock data set

1. Need the mock data set to include all of the variables used for sampling as described in Table 1, which means adding a variable coding the four calendar boosting intervals, and adding a new variable to indicate naïve vs. Non-naïve. This is needed for computing sampling weights as well as for other purposes such as covariate adjustment.
2. The markers that need to be simulated are BD1, BD29 values (and DD1 values for cases) of:
 - \log_{10} nAb titer to D614G
 - \log_{10} nAb titer to BA.1
 - \log_{10} anti-Spike IgG to D614
 - \log_{10} anti-Spike IgG to Gamma
 - \log_{10} anti-Spike to Alpha
 - \log_{10} anti-Spike to Beta
 - \log_{10} anti-Spike to Delta AY4

- \log_{10} anti-Spike to BA.1
- \log_{10} anti-RBD IgG to D614

For the naïve cohort, the BD1, BD29, and DD1 values could all be taken to be like D29, D57, and D29 values against D614/D614G of baseline negatives from the original COVE study, respectively, by assay type MSD/binding and pseudovirus neutralization, using BAU/ml and IU50/ml. For readouts to strains other than D614/D614G, will re-sample from the D614/D614G strain data. For the Non-naïve cohort, the BD1 and BD29 values could be taken from the D29 and D57 values against D614/D614G of baseline positives from the original COVE study, respectively, by assay type MSD/binding and pseudovirus neutralization. For DD1 values, could be taken from the D29 values against D614/D614G of baseline positives from the original COVE study. After readouts are calculated, for the MSD binding antibody data, values below the constant/non-strain-specific LLOQ (on the BAU/ml scale) are set to LLOQ/2, and for the nAb ID50 titer data, values below the constant/non-strain-specific LOD (on the IU50/ml scale) are set to LOD/2.

Appendix C: Miscellaneous

`tfinal.tpeak` is the minimum of `tfinal.tpeak` for each of four quadrants (2 trt * 2 naive status) and no larger than 105 (Dean et al’s analyses). Within each quadrant, it is defined as smaller of the two: 1) time of the last case, 2) last time to have 15 ph2 samples at risk.

Appendix D: Definition of Stage-2 Per-Protocol Population

Booster dose correlates studies will be restricted to the “stage-2 per-protocol” (BDPerprotocol) population where the flag `BDPerprotocol == TRUE` if the participant satisfies the following two criteria:

1. The participant was in the original blinded-phase per-protocol cohort as in [Gilbert et al. \(2022b\)](#);
2. The participant received the booster dose (third mRNA-1273 dose) before and including December 31, 2021;

and belongs to one of the following 4 sampling strata:

1. “Case/Naïve”
2. “Case/Non-Naïve”
3. “Non-Case/Naïve”
4. “Non-Case/Non-Naïve”

where “naïve,” “non-naïve,” “case,” and “non-case” are defined as follows:

Naïve No evidence of SARS-CoV-2 infection detected by elecsys or RT-PCR from enrollment through BD1;

Non-Naïve Any evidence of SARS-CoV-2 infection in the interval [14 days after the second dose of mRNA-1273 vaccine, BD1];

Case 1) If a participant is naïve, then case is Omicron COVID-19 event in the interval [max(7 days post BD29, 01 Dec2021), 16 May 2022 database lock date]; 2) If a participant is non-naïve, then case is SARS-CoV-2 infection detected by Elecsys or RT-PCR in the interval [max(7 days post BD29, 01 Dec2021), 16 May 2022] and the Elecsys test was not positive at BD-D1 pre-booster;

Non-Case No evidence of SARS-CoV-2 infection detected by Elecsys or RT-PCR in the interval (BD1, 16 May 2022 database lock date].

For all analyses of the peak immune correlates (i.e., BD29 response), the “per-protocol” status further requires that

1. The participant did not miss a BD29 visit;
2. The participant had a BD29 measurement (approximate peak measurement) that was between 19 and 45 days, both inclusive, of the BD1 visit;
3. The participant was not censored or had any evidence of infection before 7 days post BD29 visit.
4. The participant did not live with HIV.
5. The participant did not acquire a non-adjudicated Omicron endpoint.
6. The participant did not test SARS-CoV-2 RT-PCR positive at the BD1 visit.

In the correlates studies of the “per-protocol” population, a study participant would be considered “naïve” by BD1 if there was no evidence of SARS-CoV-2 infection (RT-PCR+, Roche Elecsys seropositive, or a symptomatic COVID-19 endpoint followed by positive confirmatory testing) from enrollment to BD1 (including the BD1 visit). A study participant is considered “non-naïve” if the participant showed any evidence of COVID-19 starting 14 days post the second immunization in the primary series and through the BD1 visit.

Because a participant who tested PCR+ at BD1 was excluded from the per-protocol cohort, the person would not be associated with a naïve/non-naïve status.