

The performance of AlphaMissense to identify genes influencing disease

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Summary

A novel algorithm, AlphaMissense, has been shown to have an improved ability to predict the pathogenicity of rare missense genetic variants. However, it is not known whether AlphaMissense improves the ability of gene-based testing to identify disease-influencing genes. Using whole-exome sequencing data from the UK Biobank, we compared gene-based association analysis strategies including sets of deleterious variants: predicted loss-of-function (pLoF) variants only, pLoF plus AlphaMissense pathogenic variants, pLoF with missense variants predicted to be deleterious by any of five commonly utilized annotation methods (Missense (1/5)) or only variants predicted to be deleterious by all five methods (Missense (5/5)). We measured performance to identify 519 previously identified positive control genes, which can lead to Mendelian diseases, or are the targets of successfully developed medicines. These strategies identified 0.85 million pLoF variants and 5 million deleterious missense variants, including 22,131 likely pathogenic missense variants identified exclusively by AlphaMissense. The gene-based association tests found 608 significant gene associations (at $p < 1.25 \times 10^{-7}$) across 24 common traits and diseases. Compared with pLoFs plus Missense (5/5), tests using pLoFs and AlphaMissense variants found slightly more significant gene-disease and gene-trait associations, albeit with a marginally lower proportion of positive control genes. Nevertheless, their overall performance was similar. Merging AlphaMissense with Missense (5/5), whether through their intersection or union, did not yield any further enhancement in performance. In summary, employing AlphaMissense to select deleterious variants for gene-based testing did not improve the ability to identify genes that are known to influence disease.

Rare genetic variants are important contributors to human diseases. They contribute to most Mendelian disorders, and their effect sizes upon common diseases are larger than those attributed to common variants. 1-4 Importantly, associated rare genetic variants are often coding and can therefore be directly attributed to a gene. Loss-of-function rare variants can offer insights into the direction of genetic effect on disease outcome. However, studying rare causal variants is challenging since most of the genetic variation in the genome is both rare and benign. Thus, gene-based analysis is usually employed to improve statistical power by aggregating multiple rare variants across a gene into one test to improve statistical power to detect disease associations.5

Previous gene-based multi-variant tests like exome-wide association studies (ExWAS) have successfully identified disease-influencing genes, like WNT1 [MIM: 164820] for osteoporosis [MIM: 166710],⁶ and drug-targeting genes, such as PCSK9 [MIM: 607786] for low-density lipoprotein (LDL)-cholesterol levels. Nevertheless, the power of ExWAS relies heavily on the prior identification of variants

with a likely functional impact⁵ to reduce the number of irrelevant genetic variants included in the tests. While predicted loss-of-function (pLoFs) rare variants are most likely to contribute to gene-based tests, deleterious missense variants can also increase statistical power as they tend to be more common. However, to use deleterious missense variants, one must understand which of the missense variants is most likely to influence protein function—a process referred to as variant annotation. Moreover, all deleterious missense variant annotation strategies must strike a balance between false positive and false negative identification of such variants.^{8,9}

Recent advances in missense variant effect prediction have made progress toward resolving this problem. AlphaMissense, a recently described method based on an unsupervised language model, combines protein structural context with evolutionary conservation and has claimed to achieve over 90% precision when predicting the known clinical impact of missense variants. Additionally, their variant pathogenicity annotations improved the prediction of gene essentiality for cell survival and fitness.

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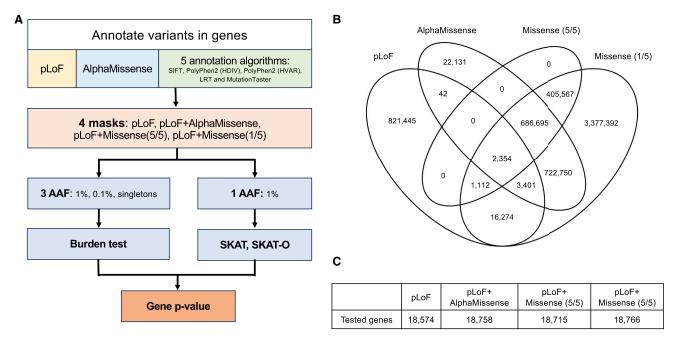


Figure 1. Overview of ExWAS analysis and comparison of variants annotations by different methods

(A) Strategy to obtain single *p* value for each gene.

(B) Overlap of annotated likely deleterious variants by different ExWAS mask settings. (C) Number of tested genes with different mask settings.

However, it is not known whether the improvements observed in AlphaMissense's ability to predict the deleteriousness of missense variants results in improved association testing between genes and diseases. If this improvement were striking, it could help to identify new causes of disease and consequently drug targets for needed drug development. Using the UK Biobank whole-exome sequencing (WES) data, 10,11 we tested the ability of AlphaMissense variant annotation to improve the ability to identify positive control genes (known to influence disease)^{12,13} through collapsing gene-based tests on 12 continuous traits and 12 diseases. We compared its performance to other leading algorithms. The results empirically test the ability of AlphaMissense to improve the identification of known disease-influencing genes. The information for the tested diseases and traits can be found in Tables S1 and S2, and the list of positive control genes is in Table S3.

Starting with 19,606 genes, for every exon, we annotated deleterious variants into four categories: pLoF, AlphaMissense, Missense (5/5), and Missense (1/5). Then we assembled four sets of predicted deleterious variants (i.e., masks): (1) pLoF, (2) pLoF with AlphaMissense, (3) pLoF with Missense (5/5), and (4) pLoF with Missense (1/5). Each mask provided a list of variants for genes in gene-based association analysis. Last, we retained the smallest p values from the five different combinations of alternative allele frequency and statistical test method for the association between each gene and each tested trait or disease under different masks (Figure 1A).

Of 26 million variants from UK Biobank WES data, we identified 0.85 million pLoF variants and 5 million pre-

dicted deleterious missense variants by AlphaMissense or any of the five commonly used annotation methods (i.e., SIFT, 14 PolyPhen2 [HDIV], 15 PolyPhen2 [HVAR], 16 MutationTaster,¹⁷ and LRT¹⁸). Specifically, AlphaMissense classified 1.4 million variants as "likely pathogenic," including 22,131 identified exclusively by AlphaMissense. Missense (1/5) captured over 98% of AlphaMissense predicted "likely pathogenic" variants while Missense (5/5) covered 48% of AlphaMissense predicted "likely pathogenic" variants (Figure 1B). Moreover, our results showed that among the masks evaluated, Missense (1/5) labeled the highest number of deleterious variants per gene on average (267 variants per gene), followed by AlphaMissense (74 variants per gene), Missense (5/5) (56 variants per gene), and pLoF (43 variants per gene) (Table S4). Despite the considerable variance in the number of annotated variants across different annotation categories, 99% of genes were tested in all masks (Figure 1C).

In the exome-wide gene-based analysis, we first checked the genomic inflation factors of the *p* values for each mask and test method combination. In general, no strong genomic inflation was observed (value range: 0.96–1.39) except for standing height (value range: 1.11–1.94) (Table S5). This is not surprising as height is a well-known highly polygenic trait.¹⁹

In total, our gene-based association tests found 608 significant gene associations ($p < 1.25 \times 10^{-7}$) across 24 common traits and diseases. We found that adding predicted deleterious missense variants to masks led to the identification of at least 60% more significant gene-trait associations and about 30% more positive control genes

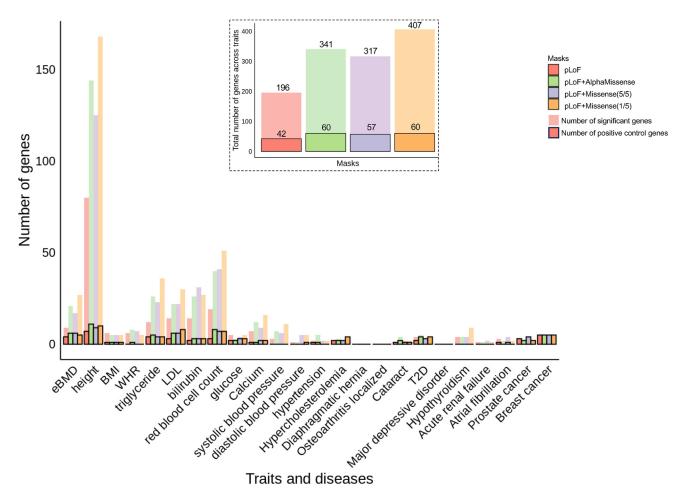


Figure 2. Significant gene associations identified in exome-wide gene burden analysis across 12 traits and 12 diseases The bars without outlines indicate the numbers of significant genes $(p < 1.25 \times 10^{-7})$ identified in each trait and disease by different masks. The bars with outlines indicate the number of significant genes that are also positive control genes for each trait and disease identified by different masks. The inset figure shows the total number of significant genes and positive controls identified by each mask across 21 tested traits and diseases that have at least one known positive control gene. eBMD, estimated bone mineral density; BMI, body mass index; WHR, waist-hip circumference ratio; LDL, serum low-density lipoproteins; T2D, type 2 diabetes.

as compared with pLoF-only mask (Figures 2 and S1A; Table S6). Despite different numbers of associations identified, 114 significant associations and 30 positive control genes were captured using any of the masks, which accounts for between 27% and 57% and 50% and 71% of the findings, respectively, of each mask (Figures S1B and S1C). Comparing across masks, pLoF with AlphaMissense and pLoF with Missense (5/5) resulted in more significant associations and positive control genes than the pLoFonly mask. Meanwhile, these two masks also identified a slightly higher proportion of positive control genes (18% for pLoF with Missense (5/5), and 17.6% for pLoF with AlphaMissense) than pLoF with Missense (1/5) mask (14.7%). Between these two preferred masks, pLoF with AlphaMissense identified largely similar or slightly higher numbers of significant gene-trait and gene-disease associations compared with pLoF with Missense (5/5) (Figure 2). The proportion of positive control genes identified using pLoF with AlphaMissense was similar to pLoF with Missense (5/5) when using variants <1% or 0.1%, and

were in fact slightly better when using singletons only (Figure S2; Table S7). Additionally, the pLoF with AlphaMissense and pLoF with Missense (5/5) masks shared 245 (71% and 76%) significant association findings and 46 (77% and 81%) of identified positive control genes (Figures S1B and S1C). Furthermore, to evaluate the impact of including additional predicted deleterious variants on the effect sizes for a specific mask, we compared the median absolute estimated effects per gene of the 114 significant associations identified across all four masks. As shown in Figure S3, these effects were closer to the null when more variants were included across different alternative allele frequency categories, with the greatest change occurring in pLoF with Missense (1/5) (Table S8). The median effect sizes of pLoF with AlphaMissense and pLoF with Missense (5/5) are similar, although both are smaller than those observed in pLoF only.

Next, to evaluate whether different masks enhanced the distinction between positive control genes and non-positive control genes by offering more divergent p values,

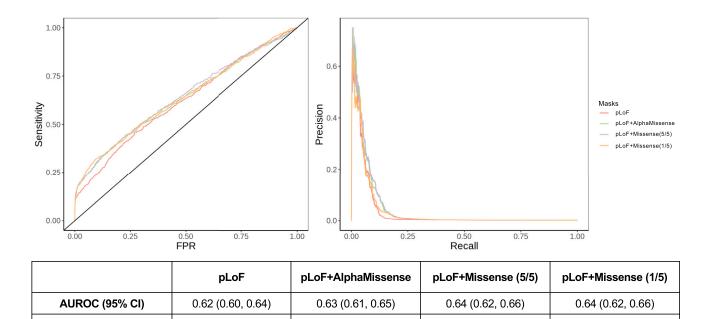


Figure 3. Performance curves (ROC and PRC) for all four masks to identify positive controls genes across all 21 tested traits and diseases with positive control genes. The 95% confidence interval (CI) was determined using 1,000 bootstrap replicates.

0.045 (0.030, 0.064)

we evaluated the performance of using different masks in classifying these genes by calculating the receiver operating characteristic curve (ROC) and precision-recall curve (PRC). Upon comparison, we observed that all four masks have statistically indistinguishable area under the receiveroperator curves (AUROC) (Figure 3, left panel). However, pLoF with Missense (5/5) and pLoF with AlphaMissense have a higher estimated area under the precision-recall curves (AUPRC) than the other two masks despite the fact that all the 95% confidence intervals of AUPRCs overlapped (Figure 3, right panel). Similar AUROC and AUPRC patterns can be observed across tested traits, but we did observe that specific masks could perform better for certain traits and diseases (Figure S4). Additionally, we tested whether using different aggregating methods for counting alleles, in burden tests, across genetic sites within genes changed the mask performance. Using the maximum number of alternative alleles across sites (the default approach) and using the sum of the number of alternative alleles in gene-based association analyses performed similarly (Figure S5).

0.037 (0.023, 0.057)

AUPRC (95% CI)

Considering that performance was better when pLoF variants were combined with either Missense (5/5) or AlphaMissense annotated deleterious variants, we further investigated whether merging AlphaMissense and Missense (5/5) annotations before combining with the pLoF variants could improve their ability to classify positive control genes. We tested two designs: using pLoF variants and variants predicted to be deleterious by (1) both AlphaMissense and Missense (5/5) or by (2) either AlphaMissense or Missense (5/5). Utilizing deleterious variants predicted by either method identified slightly more significant associations (372 pairs), although the propor-

tion of positive control genes remained similar (17.7%) (Table S9; Figure S6). In contrast, using the overlapping predictions led to fewer significant associations (287 pairs) but a marginally higher proportion of positive control genes (18.5%). The AUROC and AUPRC of these two new mask definitions are similar to other masks (Figure S7). Overall, little improvement was observed by merging Missense (5/5) with AlphaMissense.

0.039 (0.025, 0.057)

0.046 (0.031, 0.064)

Last, we compared the performance of AlphaMissense vs. Missense (5/5) without any pLoF variants. As shown in Figure S8, AlphaMissense predicted deleterious variants identified slightly more significant genes and positive control genes than Missense (5/5) (42 vs. 37, respectively). However, the proportion of positive control genes identified is similar (24% for Missense (5/5) vs. 22% for AlphaMissense). Interestingly, 108 significant gene-disease and gene-trait associations (70% for Missense (5/5) and 55% for AlphaMissense) and 28 (76% and 67%) of identified positive control genes overlapped, suggesting that most findings were captured by both Missense (5/5) and AlphaMissense (Table S10).

Gene-based tests offer an elegant way to study the effect of rare coding variants on human traits by improving statistical power. However, the best way to combine genetic variants into gene sets is still not fully determined, simply because there are usually many irrelevant genetic variants in each gene set that may dilute any signal from the set of causal variants. Hence, such analyses usually rely on algorithms to predict which variants are likely to be loss-of-function or missense variants with deleterious effects. As gene-based analyses are restricted to a likely deleterious subset of variants to increase this signal to noise ratio, the success of these analyses rests partially on the

performance of the predictions. The emergence of a language model-based variant effect prediction methods, AlphaMissense, has been suggested to be able to improve gene-based association. However, our results showed that AlphaMissense did not importantly outperform the current state-of-the-art masks in gene-based association analyses using whole-exome data.

There are multiple reasons why the inclusion of "likely pathogenic" missense variants, as annotated by AlphaMissense, does not lead to significant improvements. First, the masks used in our analysis always included pLoF variants, which already contribute significantly to the associations observed between genes and traits. Furthermore, the addition of AlphaMissense's predicted pathogenic missense variants expands the analyzed gene pool by only 184 genes (when added to pLoFs) or 33 genes (when added to pLoF and Missense (5/5)) beyond those tested using pLoF-only masks. This modest increase in the number of genes tested offers limited scope for enhancing the performance of gene-based association tests. Last, as noted earlier in this report, other missense annotation methods largely capture the same "likely pathogenic" variants identified by AlphaMissense. Given that all gene-based tests then summarize information across all analyzed variants in a gene (in various ways), the small number of different prediction variants may not render a large difference in the associated genes.

AlphaMissense may provide useful and clarifying information in scenarios where understanding single variant effects is crucial. For example, AlphaMissense could be particularly helpful in pinpointing actionable genetic sites within known disease-influencing genes. This may be particularly useful for individuals with Mendelian diseases without major structural disruptions in the genetic region.^{20,21} Additionally, since AlphaMissense integrates protein structure context into its predictions of variant effects, it should be more effective when identifying deleterious variants for diseases where protein malfunction arises from changes in protein conformation. AlphaMissense could also be advantageous in predicting pharmacogenetic effects that involve protein-drug interactions.²²

We recognize that while pLoF and missense variant annotations should not be affected by genetic ancestry, we only performed our analyses in European genetic ancestry individuals from the UK Biobank, and we only examined 24 traits. Hence, these results will need replication in other populations once sample sizes allow this. Second, the UK Biobank cohort is a relatively healthy cohort. The number of individuals with diseases is low, which can limit the statistical power to identify disease-related genes, which may make it more difficult to compare the performance of different masks in ExWAS. Third, using different masks resulted in differing performance characteristics when identifying positive control genes across various traits. Further analyses are needed to test whether a specific type of genetic effect on disease can be better represented by one of these annotation algorithms. Last, there are other annotation masks that we have not tested, and that may perform differently. Nevertheless, we compared our results to the best currently available annotations, ¹⁰ and we have established that any future work should make comparisons to AlphaMissense.

In summary, we found that most of the "likely pathogenic" missense variants identified by AlphaMissense were also generally predicted to be deleterious by at least one of five commonly used variant annotation methods. Using masks combining AlphaMissense with pLoF did not outperform the state-of-the-art missense annotation tools for gene-based studies.

Data and code availability

Individual-level genotype, exome sequencing, and phenotype data are available to approved researchers via the UK Biobank. The main ExWAS summary statistics generated during this study are available at GWAS Catalog (GCST90446417-GCST90446464). UK Biobank exome data were analyzed using Regenie 3.2.1. All other data analysis was performed using R (v.4.1.2). Additional codes are available at Github (https://github.com/richardslab/ ExWAS_using_AlphaMissense).

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Declaration of interests

J.B.R. is the CEO of 5 Prime Sciences (www.5primesciences.com), which provides research services for biotech, pharma, and venture capital companies for projects unrelated to this research. He has served as an advisor to GlaxoSmithKline and Deerfield Capital. J.B.R.'s institution has received investigator-initiated grant funding from Eli Lilly, GlaxoSmithKline, and Biogen for projects unrelated to this research. Y.C. is an employee of 5 Prime Sciences. T.S. has received an endowment unrelated to this research from Eli Lilly.

Web resources

UK Biobank data: https://www.ukbiobank.ac.uk.
GWAS Catalog resources: https://www.ebi.ac.uk/gwas/.
VEP software: https://github.com/Ensembl/ensembl-vep.
Regenie software: https://github.com/rgcgithub/regenie.

Supplemental information

Supplemental information can be found online at https://doi.org/10.1016/j.xhgg.2024.100344.

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Supplemental information

The performance of AlphaMissense to identify

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Supplementary Figures

Figure S1. Comparison of the significant and positive control gene-trait and gene-disease pairs identified by ExWAS using different masks. (a) Upset plot shows the degree of overlap between identified significant genes and those designated as positive control genes (b) Venn diagram for significant gene-trait or gene-disease associations identified by different masks (c) Venn diagram for positive control genes identified by different masks.

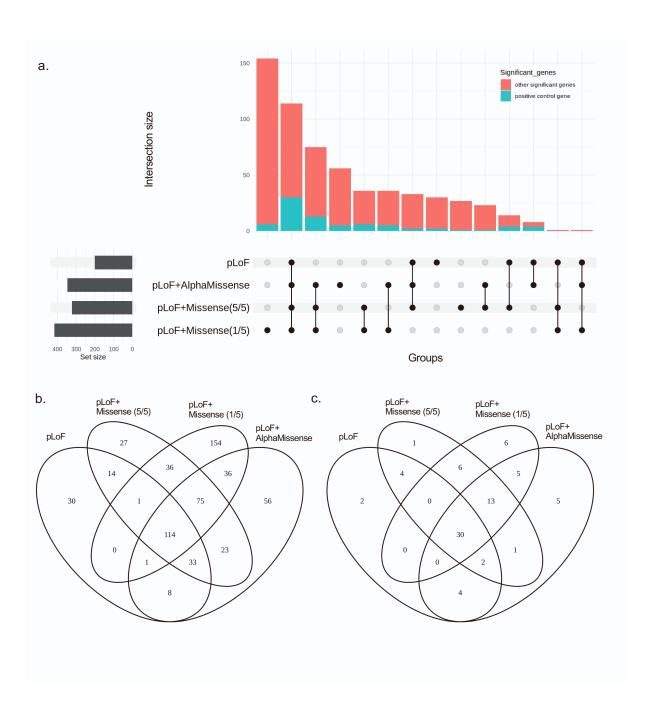


Figure S2. Comparison of the proportion of the positive control genes of each mask across three alternative allele frequency categories.

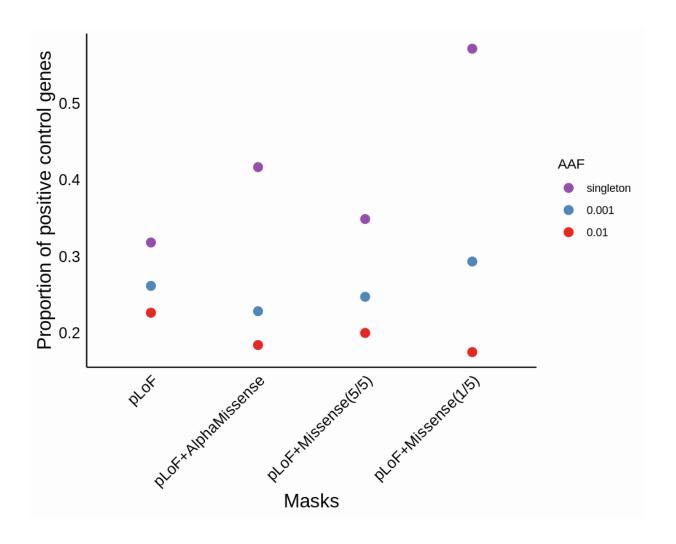


Figure S3. Comparison of the median absolute estimated effect of the 114 significant associations using different masks across three alternative allele frequency categories.

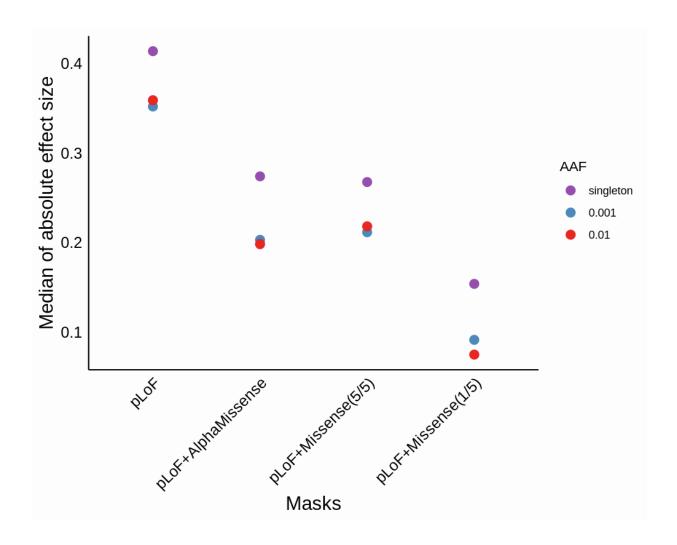


Figure S4. Performance by AUROC and AUPRC for all four masks on identifying positive controls genes in each of the tested traits and diseases. Abbreviations: estimated bone mineral density (eBMD), body mass index (BMI), waist-hip circumference ratio (WHR), serum low-density lipoproteins (LDL), type 2 diabetes (T2D).

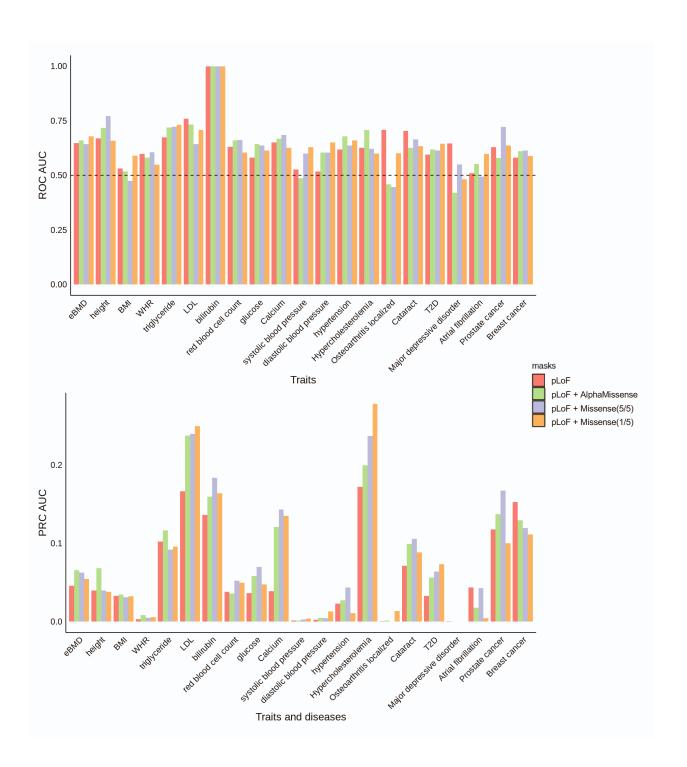


Figure S5. Performance curves (ROC and PRC) for all four masks on identifying positive controls genes across tested traits and diseases using the sum of alternative alleles to pool deleterious variants.

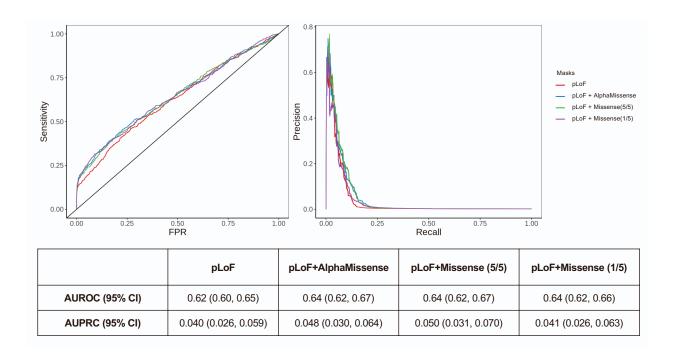


Figure S6. Significant gene-trait and gene-disease associations identified in exome-wide gene burden analysis across 21 traits and diseases with at least one positive control gene using pLoF with the intersection or union of predicted deleterious variants by AlphaMissense and Missense (5/5). The inset figure shows the total number of significant genes and positive controls identified by each mask across all the tested traits and diseases. Abbreviations: estimated bone mineral density (eBMD), body mass index (BMI), waist-hip circumference ratio (WHR), serum low-density lipoproteins (LDL), type 2 diabetes (T2D).

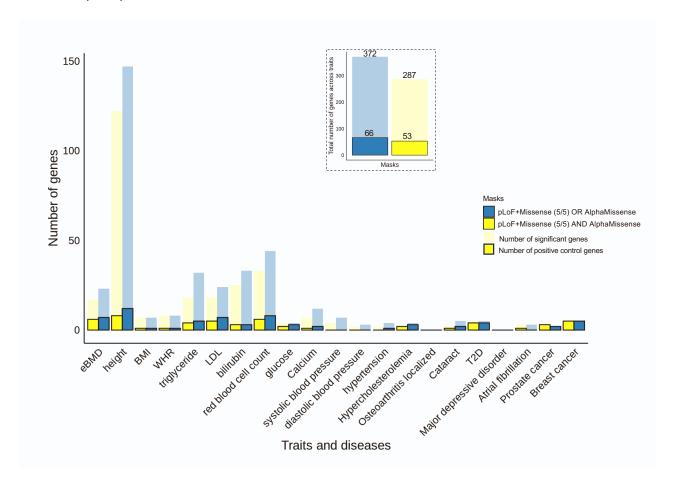


Figure S7. Performance curves (ROC and PRC) for identifying positive control genes across tested traits and diseases by six mask settings.

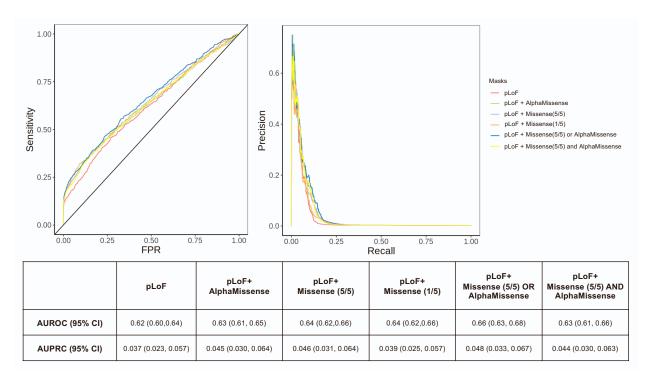
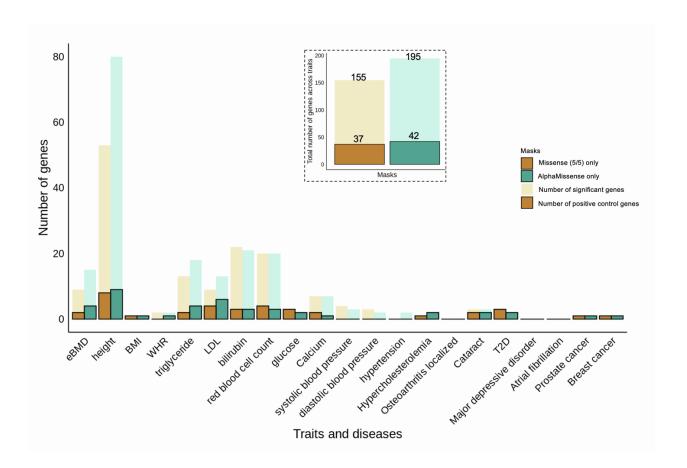


Figure S8. Significant gene-trait and gene-disease associations identified using exomewide gene burden analysis across 21 traits and diseases with at least one positive control gene using predicted deleterious variants by AlphaMissense or Missense (5/5) only. The inset figure shows the total number of significant genes and positive controls identified by each mask across all the tested traits and diseases. Abbreviations: estimated bone mineral density (eBMD), body mass index (BMI), waist-hip circumference ratio (WHR), serum low-density lipoproteins (LDL), type 2 diabetes (T2D).



Supplementary methods

UK Biobank cohort

The UK Biobank is a cohort study that has recruited over 500,000 participants between 40 and 69 years of age at 22 testing centers across the United Kingdom and collected a large set of phenotypes and biological samples. We included in our analyses a total of 444,072 genetically predicted European genetic ancestry individuals with available WES data generated following the OQFE protocol (1) and with measurements of selected phenotypes and diseases. The detailed steps for the sample preparation, sequencing, filtering, and calling of UK Biobank WES data have been previously described (1,2).

Phenotype definitions

From the UK Biobank, we selected 12 continuous traits and 12 diseases for analysis based on the trait sample sizes and whether there were known disease causal genes or drug target genes for each trait. The continuous traits included estimated bone mineral density, serum triglyceride levels, systolic blood pressure, diastolic blood pressure, standing height, serum low-density lipoproteins, serum bilirubin, serum glucose, red blood cell counts, serum calcium level, body mass index, and waist-hip circumference ratio and the 12 diseases included hypertension, hypercholesterolemia, diaphragmatic hernia, osteoarthritis (localized), cataract, type 2 diabetes, major depressive disorder, hypothyroidism, acute renal failure, atrial fibrillation, cancer of prostate (males only) and breast cancer (females only). The sample sizes for the analysis of each trait and disease can be found in Table S1. ICD-10 codes were grouped to construct diseases following

the phecodes system (3). The list of used ICD-10 codes for each phecode can be found in Table S2.

Variant annotation

We annotated the variants from exome sequencing after alignment using the Ensembl Variant Effect Predictor (VEP) (v.110). Variant annotations among transcript ablation, splice acceptor, splice donor, stop gained, frameshift, stop lost, start lost, transcript amplification, feature elongation, and feature truncation, were considered as predicted loss-of-function (pLoF) variants (10). Missense variants were classified with two strategies. The first strategy used AlphaMissense (5), and missense variants were included in our analyses if AlphaMissense predicted them to be "likely pathogenic". We built a second strategy by combining results from five commonly used annotation methods (i.e., SIFT (6), PolyPhen2 (HDIV) (7), PolyPhen2 (HVAR) (8), MutationTaster (9), and LRT (10)). We classified a missense variant as "likely deleterious" if all five algorithms predicted it to be deleterious (i.e., Missense (5/5)), and "possibly deleterious" if at least one of the five algorithms predicted it to be deleterious (i.e., Missense (1/5)), similar to methods used before (1,4).

Gene-based disease and trait association test

For each gene, variant annotations and alternative allele frequency (AAF) categorized the inclusion of variants into 20 gene burden exposures, created by the combination of four annotation mask definitions and five AAF thresholds and statistical testing method combinations. The four masks categories included: (1) pLoF variants; (2) pLoF or "likely

pathogenic" variants by AlphaMissense (pLoF with AlphaMissense); (3) pLoF or "likely deleterious" missense variants by the five commonly used methods (pLoF with Missense (5/5)); (4) pLoF or "possibly deleterious" missense variants by any of the five commonly used methods (pLoF with Missense (1/5)). The five AAF and statistical test method combinations included (1) standard burden test with AAF < 1%; (2) standard burden test with AAF < 1%; (3) standard burden test with singletons; (4) SKAT variance-component test with AAF <1%; (5) SKAT-O combined test with AAF <1%). The smallest p-value of the five AAF and test combinations for each gene under different masks were retained for subsequent significance and classification testing. For our primary method, we built masks for burden tests using the maximum number of alternative alleles found across all selected variant sites of a gene. As a sensitivity analysis, we also tested whether building masks by total number of alternative alleles across these sites, a approach assuming these sites have cumulative effect, would impact the results of association analyses.

All analyses were performed using Regenie software (11). The regression analyses included age, age², sex, sex*age, sex*age², 10 genetic principal components (PC) obtained from common genetic variants (MAF>1%), and 20 genetic PCs obtained from rare genetic variants (MAF<1%) as covariates. The statistical significance threshold was $P < 1.25 \times 10^{-7}$ (0.05 / (approximately 20,000 genes * 20 gene-burden exposures)).

Selection of positive control genes

To evaluate whether different masks have different abilities to identify genes that were known to cause Mendelian forms of disease, or the targets of successfully developed

medicines, we compiled a list of positive control genes from two sources. We first included positive control genes from two previous studies where these genes were used to train their algorithms to prioritize disease-causal or drug-targeting genes from genome-wide association study (GWAS) signals (12,13). Their positive control gene lists were generated by combining genetic evidence, drug—target—indication associations, and manual curation from board certified physicians and domain experts. Additionally, we included Mendelian diseases genes from the MendelVar database which was created by integrating functional annotations from the Online Mendelian Inheritance in Man (OMIM), Deciphering Developmental Disorders Study (DECIPHER), Orphanet and Genomics England databases (14). The full list of 519 positive control genes for the selected traits and diseases can be found in Table S3.

Evaluation of classification accuracy

The ability to accurately identify positive control genes using gene burden tests with different variant sets and mask settings was measured by the area under the receiver-operator curves (AUROC) and precision-recall curves (AUPRC). Specifically, PRC and ROC were generated using results from 21 traits and diseases where we could confirm at least one positive control gene. The 95% confidence intervals (CI) for AUROC and AUPRC were determined using 1000 bootstrap replicates. The baseline for AUROC is 0.5, an uninformative classifier. The baseline level for AUPRC is 0.0018 which equals the proportion of positive control genes among tested genes.

Ethical approval

The UK Biobank was approved by the North West Multi-centre Research Ethics Committee and informed consent was obtained from all participants prior to participation.

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