

Sleep and nighttime behavior disorders
in older adults: associations with
hypercholesterolemia and
hypertriglyceridemia at baseline and a
prediction analysis of incidental cases at
12 months follow-up

By Asma Hallab

1 **Sleep and nighttime behavior disorders** ¹ **in older adults: associations with**
2 **hypercholesterolemia and hypertriglyceridemia at baseline and a prediction**
3 **analysis of incidental cases at 12 months follow-up**

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23

24 **Abstract**

25 **Introduction**

26 ¹³ Sleep disorders, particularly insomnia and obstructive sleep apnea, are associated with
27 dyslipidemia in the general population. The study's aim was ¹ to explore the association
28 between pathological Cholesterol and Triglyceride levels, and sleep and nighttime behavior
29 disorders (SNBD) in older adults, whether they might predict SNBD onset, and to emphasize
30 the role of body mass index (BMI) in this association.

31 ²⁵ **Methods**

32 Alzheimer's Disease Neuroimaging Initiative ² (ADNI) population with complete Cholesterol,
33 Triglyceride, SNBD, and neurocognitive data were included. Logistic regression was performed
34 to study the association between hypercholesterolemia, hypertriglyceridemia, and SNBD at
35 baseline and 12 months. Relevant confounders, particularly BMI, were adjusted for.

36 **Results**

37 Among the 2,216 included cases, 1,045 (47%) were females, and the median age was 73 years
38 (IQR: 68, 78). At baseline, 357 (16%) had SNBD and 327 (18%) at 12 months; 187 of them
39 were incident cases.

40 There were more cases of baseline SNBD in the hypertriglyceridemia group than in those
41 without (19% vs. 14%, P -value=0.003). Similarly, more follow-up SNBD cases had
42 hypertriglyceridemia at baseline (21% vs. 16%, P -value=0.025). SNBD cases at baseline had
43 significantly higher serum Triglyceride levels than those without (132 vs. 118mg/dL, P -
44 value<0.001).

45 Only hypertriglyceridemia was significantly associated with baseline SNBD (crude OR=1.43,
46 95%CI: 1.13,1.80, P -value=0.003), even after adjustment for confounding factors (adj.

47 OR=1.36, 95%CI: 1.06,1.74, P-value=0.016) and (BMI-adj. OR=1.29, 95%CI: 1.00,1.66, P-
48 value=0.048). None of the dyslipidemia forms did predict incident cases at 12 months.

49 **Conclusions**

50 Hypertriglyceridemia, but not hypercholesterolemia, was associated with higher odds of
51 SNBD. The association was independent of BMI. None of the dyslipidemia forms predicted
52 incidental SNBD over 12 months. Sleep disorders should motivate a systematic screening of
53 dyslipidemia in older adults and vice versa.

54

55 **Keywords:** Sleep, Aging, Dyslipidemia, Triglyceride, Cholesterol, BMI.

56

57 **1. Introduction**

58 Sleep disorders represent a large spectrum of symptoms defining an alteration of sleep
59 quality, structure, chronobiology, duration, and associated breathing and movement
60 disorders. (1) Insomnia and sleep obstructive apnea syndrome have a high prevalence
61 worldwide; both are associated with cardiovascular and neuropsychiatric risk factors and
62 affected persons are exposed to higher morbidity and mortality rates. (2-5) The incidence of
63 sleep disorders increases with age. In addition to the physiological decrease in sleep hours
64 during the aging process; neurodegeneration, neuroendocrine, and sleep disorders define a
65 more complex bidirectional association. (6) Old patients with sleeping disorders, particularly
66 insomnia, have higher risks of cognitive decline, and those with cognitive impairment are
67 more susceptible to progressing into dementia. (7-9) Moreover, elderly patients with
68 neurocognitive disorders are at higher risk of experiencing neurodegeneration-related sleep
69 behavior and movement disorders. (10)

70 The relationship between sleep disorders and metabolic and cardiovascular pathologies is
71 largely reported in the literature. (11, 12) Defined as the association between diabetes,
72 dyslipidemia, hypertonia, and visceral adiposity, the metabolic syndrome is a well-established
73 risk factor for cardiovascular disorders and is related to higher morbidity and mortality rates.
74 (13) Most published studies evaluated sleep disorders quantitatively based on sleep duration
75 or qualitatively depending on the subjective perception of sleep quality. (14-17) Studies on
76 sleep disorders objectified by the study partner of older patients and their association with
77 dyslipidemia are rare. Moreover, there is limited data on whether dyslipidemia might predict
78 prospectively sleep disorders. It is also unclear how much this association depends on ²³body
79 mass index (BMI), particularly in older adults. The overall study question was whether
80 hypercholesterolemia or hypertriglyceridemia, independent of BMI, are associated with ²¹sleep
81 and nighttime behavior disorders (SNBD) in advanced age groups.

82 The aims ³of this study were (1) to explore the association between dyslipidemia and
83 informant-perceived SNBD in older adults at baseline, ¹and (2) to evaluate whether
84 dyslipidemia at baseline might predict incidental cases of SNBD over 12 months of follow-up.

85 2. Methods

86 This manuscript has been prepared and reported according to STROBE guidelines. (18)

87 • Study population

88 The studied population is part of the Alzheimer's Disease Neuroimaging Initiative (ADNI)
89 cohort, from which only cases with complete data required for the current analysis were
90 included. ⁹Dr Michael W. Weiner is ADNI's principal investigator. ADNI is a non-interventional
91 longitudinal study. ¹Study participants are older adults recruited at 59 centers around the

92 United States and Canada, who underwent an observational follow-up where biological,
93 genetic, neuroimaging, and neuropsychiatric information was assessed at several time points.
94 Participants from different phases (ADNI 1, go, 2, and 3) were eligible for the current analysis.
95 ³ The study was performed according to the Declaration of Helsinki and ethical approval was
96 obtained from the Internal Reviewing Board corresponding to each participating site. ¹⁷ Written
97 consent was obtained from all ADNI study participants. ¹ Data, ethical approval, enrollment,
98 and protocols can be found at <https://adni.loni.usc.edu>.

99 • **Cholesterol and Triglyceride measurements**

100 Serum Cholesterol and Triglyceride levels were assessed at baseline and mainly reported in
101 mg/dL. Laboratory normal ranges were 0 - 199 mg/dL for Cholesterol and 0 - 149 mg/dL for
102 Triglyceride. Defect and duplicated measurements were checked for each individual and
103 removed based on the date and time of the reported result. Serum levels corresponding to
104 200 mg/dL for Cholesterol and 150 mg/dL for Triglyceride are largely recognized clinical cutoff
105 values for hypercholesterolemia and hypertriglyceridemia, respectively. (19, 20) Owing to the
106 larger use of mg/dL as a unit worldwide, values in mmol/L were converted to mg/dL:

107
$$\text{Cholesterol (mg/dL)} = \text{Cholesterol (mmol/L)} \times 38.67$$

108
$$\text{Triglycerides (mg/dL)} = \text{Triglycerides (mmol/L)} \times 88.57$$

109 • **Sleep and nighttime behavior disorders**

110 The assessment of SNBD was based on the neuropsychiatric inventory questionnaire (NPI/NPI-
111 Q) filled by study partners of included participants. (21, 22) The item related to sleep disorders
112 in NPI/NPI-Q covered the following questions:

113 “Does the patient have difficulty sleeping (do not count as present if the patient simply gets
114 up once or twice per night only to go to the bathroom and falls back asleep immediately)? Is
115 he/she up at night? Does he/she wander at night, get dressed, or disturb your sleep? “
116 If this question was answered with yes, details on the following questions were then collected:
117 1. “Does the patient have difficulty falling asleep?”
118 2. “Does the patient get up during the night?”
119 3. “Does the patient wander, pace, or get involved in inappropriate activities at night?”
120 4. “Does the patient awaken you during the night?”
121 5. “Does the patient wake up at night, dress, and plan to go out, thinking that it is morning
122 and time to start the day?”
123 6. “Does the patient awaken too early in the morning, earlier than was his/her habit?”
124 7. “Does the patient sleep excessively during the day?”
125 8. “Does the patient have any other nighttime behaviors that bother you and we haven’t
126 talked about?”

127 • **Cognitive tests**

128 Cognition was assessed based on the Alzheimer’s Disease Assessment Score with 13 items
129 (ADAS₁₃), Mini-Mental Status Examination (MMSE) total score, Clinical Dementia Rating (CDR)
130 total score, CDR-sum of boxes (CDR-SB), and Functional Activities Questionnaire (FAQ) total
131 score. Moreover, depression symptoms were reported based on the total Geriatric Depression
132 Scale (GDS) score. People with severe depression were initially excluded from ADNI and
133 therefore included participants are either non- or mildly depressed. The included cases were
134 either healthy controls (HC), participants with mild cognitive impairment (MCI), or dementia.

135 • **Body-mass index**

136 Weight and Height data were checked to ensure the plausibility of the values and units.
137 Weight at baseline was considered and converted to “Kilogram” when the unit of
138 measurement was “Pounds”:

139
$$\text{Kilograms} = 2.20462 * \text{Pounds}$$

140 Similarly, height was converted to “Meters” when the unit of measurement was “Inches”:

141
$$\text{Meters} = 0.0254 * \text{Inches}$$

142 BMI was calculated based on the formula $\text{weight (Kg) / Height (m)}^2$. (23)

143 **• Inclusion criteria**

144 After excluding 142 participants without Cholesterol or Triglyceride measurements, 27
145 without complete NPI/NPI-Q, 21 missing total ADAS₁₃ and one missing GDS score at baseline,
146 nine missing baseline main diagnosis, and four missing complete demographic data (age), four
147 missing weight or height at baseline and six have erroneous measurements or units, a total of
148 2,216 study participants were included in the analysis (fig. 1A). Among those 371 were lost
149 to follow-up at 12 months (fig. 1B).

150 **• Statistical analysis**

151 The statistical analysis was performed by RStudio version 2024-04. Continuous data was
152 reported as median (Inter-quartile range (IQR)) and count data as number (percentage (%)).
153 Kruskal-Wallis rank sum test and Pearson’s Chi-squared test were performed to compare
154 groups and for each analysis, the *P*-value was reported. Spearman correlation between serum
155 Cholesterol, Triglyceride, age, and scores of cognitive tests, was performed and correlation
156 coefficients were reported. The association between SNBD and dyslipidemia at baseline was
157 evaluated using logistic regression with SNBD as a dependent binary variable, and

158 dyslipidemia as an independent binary variable. Models were adjusted for age, sex, racial
159 profile, educational level, GDS total score, APOE ε4 status, main diagnosis related to cognitive
160 status, and BMI, as follows:

161 **Model 1:** crude logistic regression analysis,

162 **Model 2:** adjusted for age, sex, racial profile, educational level, cognition-related main
163 diagnosis, geriatric depression scale total score, APOE ε4 status,

164 **Model 3:** model 2 + BMI.

165 Incident cases of SNBD were calculated as new positive cases at 12 months of follow-up,
166 amongst cases that were negative at baseline. Prediction analysis was based on logistic
167 regression with SNBD at 12 months follow-up as a dependent binary variable, and
168 dyslipidemia at baseline as an independent binary variable. The same confounding factors
169 were adjusted for. For each model odds ratio (OR), 95% confidence interval (CI), and *P*-value
170 were reported. The statistical significance level was set at 0.05.

171 3. Results

172 • Characteristics of the study population

173 ¹ Among the 2,216 included cases, 1,045 (47%) were females, and 1,171 (53%) were males. The
174 median age was 73 (IQR: 68, 78), and 786 (%) were HC, 1,060 (%) had MCI, and 370 (%) were
175 diagnosed with dementia. The difference in median age between groups was statistically
176 significant (72, 73, and 75 years, respectively, *P*-value <0.001).

177 At baseline, 357 (16%) study participants had sleep and nighttime behavior disorders,
178 according to their study partner. At 12 months of follow-up, 327 (18%) participants had
179 positive sleep disorder scores, among which 187 were incident cases (fig. 1B).

180 The median ADAS₁₃ total score was 14 (IQR: 9, 22), the median GDS total score was 1.0 (IQR:
181 0, 2.0), and the median FAQ was 1.0 (IQR: 0, 5.0). Further characteristics of the included cases
182 are presented in Table 1.

183 • **Comparison between healthy controls, and those with MCI and dementia**

184 Cholesterol levels ranged from 74 to 476 mg/dL, the median in the total population was 191
185 mg/dL (IQR: 165, 220), 189 mg/dL (IQR: 165, 217) in HC, 191 mg/dL (IQR: 165, 221) in the MCI
186 group, and 193 mg/dL (IQR: 167, 224) in those with dementia. No statistically significant
187 difference was found between diagnosis groups (P -value=0.4). The median Triglyceride level
188 was 120 mg/dL (IQR: 87, 171) in the main population, and ranges between 32 and 2084
189 mg/dL. No statistically significant difference was found between diagnostic groups (116, 121,
190 and 124 mg/dL, respectively, P -value=0.078). The median BMI of the main population was
191 26.3 (IQR: 23.9, 29.3), ranging between 17.14 and 51.75, with 19 (0.86%) classified as
192 underweight, 796 (35.92%) as healthy weight, 930 (41.97%) as overweight, and 471 (21.25%)
193 as obese. There was a statistically significant difference between BMI medians observed in
194 different groups (26.7 in HC, 26.3 in MCI, and 25.4 in the dementia group, P -value <0.001).

195 • **Comparison between study participants with and without dyslipidemia**

196 Based on clinical cutoff values, hypercholesterolemia was diagnosed in 920 cases (41.52%),
197 and hypertriglyceridemia in 725 cases (32.72%). Details on the differences between groups
198 are presented in Table 2. BMI was significantly higher in the group without
199 hypercholesterolemia than in those with (26.7 vs. 25.5, P -value <0.001). In contrast, BMI was
200 higher in those with hypertriglyceridemia than in those without (25.7 vs. 27.5, P -value
201 <0.001). Very weak correlations were found between hypercholesterolemia and
202 hypertriglyceridemia with neurocognitive scores (fig. 2A). BMI showed a decreasing tendency
203 with age, and cases with obesity were significantly younger than those with overweight and

204 those with a healthy weight (fig. 2B). Furthermore, BMI was negatively correlated with serum
205 Cholesterol levels, and positively correlated with serum Triglyceride levels (fig 2C and 2D).
206 There was no significant difference in Cholesterol levels between those with SNBD at baseline
207 and those without (16% vs. 16%, P -value=0.7). Similar results were also observed at 12
208 months (17% vs. 18%, P -value=0.7). Thus, there were more cases of SNBD in the
209 hypertriglyceridemia group than in those with normal triglyceride (19% vs. 14%, P -
210 value=0.003). Similarly, 21% of cases of SNBD at 12 months had hypertriglyceridemia and 16%
211 had normal triglyceride levels at baseline (P -value=0.025). Cases with SNBD had higher serum
212 Cholesterol levels than those without SNBD but the difference was not statistically significant
213 (193 vs. 191 mg/dL, P -value=0.51) (fig. 3A). In contrast, cases with SNBD had significantly
214 higher serum Triglyceride levels than those without (132 vs. 118 mg/dL, P -value <0.001) (fig.
215 3B).

216 • **Association between sleep and nighttime behavior and dyslipidemia**

217 At baseline, participants with hypercholesterolemia had 4% higher odds of SNBD but the
218 results were not statistically significant (OR= 1.04, 95% CI: 0.83, 1.31, P -value=0.744). No
219 statistical significance was observed after adjustment for confounding factors.
220 Those with hypertriglyceridemia levels had 43% higher odds of SNBD at baseline (OR= 1.43,
221 95% CI: 1.13, 1.80, P -value=0.003). The results remained statistically significant after
222 adjustment for confounding factors (adj. OR=1.36, 95% CI: 1.06, 1.74, P -value=0.016) and after
223 adding BMI to the adjusted model (BMI-adj. OR= 1.29, 95% CI: 1.00, 1.66, P -value=0.048). BMI
224 and particularly obesity were significantly associated with higher odds of SNBD at baseline.
225 No significant associations were found between hypercholesterolemia and
226 hypertriglyceridemia with incident SNBD at 12 months of follow-up. Detailed results of the
227 logistic regression were reported in Table 3.

228 **4. Discussion**

229 This study explored the association between dyslipidemia based on pathological Cholesterol
230 or Triglyceride levels at baseline, and SNBD¹ reported by the study partner of included older
231 participants at two time points.

232 The main outcome was the significant association between hypertriglyceridemia and sleep
233 disorders in the cross-sectional analysis at baseline, even after adjusting for age, sex, racial
234 profile, educational level, GDS total score, APOE ϵ 4, cognition-related main diagnosis, and
235 BMI.

236 • **Sleep duration and dyslipidemia**

237 Although insomnia is a well-recognized risk factor for cardiovascular and metabolic
238 complications, (24, 25) the significant association between dyslipidemia and sleep disorders
239 extends beyond sleep deprivation. Several population-based studies described a U-shaped
240 association between sleep duration and serum lipid levels, where both short and long sleep
241 durations were significantly associated with dyslipidemia. In people with longer sleep
242 durations, high Triglyceride levels were commonly described. (26-28)

243 The novelty in the current study was the analysis of associations based on clinical cutoff values
244 defining hypercholesterolemia or hypertriglyceridemia, and a binary outcome defining the
245 existence or not of SNBD. Information on sleep hours was not part of the ADNI investigations,
246 and the focus was SNBD as an outcome rather than sleep duration as an exposure.

247 • **Sleep quality and dyslipidemia**

248 In addition to the metabolic effect associated with the quantitative dimension of sleep, lipid
249 levels might also be modulated by the subjective sleep quality. (14) Difficulty in maintaining
250 sleep and excessive daytime sleepiness increased the odds of metabolic syndrome in the

251 elderly, independently of obesity and snoring. (29) Moreover, the consumption of sleep
252 medication, a biomarker of sleep disorder severity, showed a significant association with
253 elevated low-density lipoprotein-cholesterol (LDL-C). (30) Neither LDL-C nor high-density
254 lipoprotein-C (HDL-C) were reported in the main ADNI laboratory data.

255 • **Sleep dysregulation and dyslipidemia**

256 Night work, sleep debt, and social jetlag present further risk factors impairing sleep
257 homeostasis and are associated with dyslipidemia and cardiovascular risks. (31, 32) Amongst
258 5,813 study participants from the Korean National Health and Nutrition Examination Survey
259 (2013-2016), males exercising night work had 53% higher odds of being diagnosed with
260 dyslipidemia. Compared to day-working male participants, male night workers who slept less
261 than six hours and those who skipped meals had significantly higher odds of dyslipidemia. (33)

262 • **Sleep apnea syndrome and dyslipidemia**

263 The associations between OSA and metabolic syndrome, (34, 35) as well as the association
264 between OSA and ¹⁹triglyceride-glucose index, a biomarker of insulin resistance, (36) are well-
265 described in the literature. Moreover, novel lipid indices, mainly ¹²lipid accumulation product
266 (LAP), visceral adiposity index (VAI), and atherogenic index of plasma (AIP) were found to be
267 ¹higher in people with OSA than controls. (37) Thus, independent of the OSA diagnosis,
268 frequent snoring was also associated with dyslipidemia and predicted linearly higher levels of
269 Triglyceride in a large population study. (38)

270 • **Associations in younger patients**

271 The focus of the current study was the elderly with and without cognitive decline. But, the
272 sleep-lipid association was described in younger age groups as well. Higher Triglyceride levels
273 were also reported in adolescents with longer sleep hours, (39) and accelerometry-based

274 sleep clustering also showed that male adolescents with sleep irregularities had significantly
275 higher Triglyceride levels. (40)

276 • **Longitudinal studies**

277 The second outcome was the absence of a significant association between dyslipidemia at
278 baseline and incidental SNBD over a 12-month follow-up period.

279 While cross-sectional design is inadequate in inferring the causal relationship between sleep
280 and dyslipidemia, longitudinal studies in older adults showed a bidirectional association
281 between sleep duration and blood lipids. Total Cholesterol, LDL-C, HDL-C, and Triglycerides
282 showed different temporal relationships with sleep duration. BMI and age were significant
283 effect modifiers in this association. (41) In a longitudinal population-based cohort of healthy
284 adults, short sleep duration increased the risk of metabolic syndrome, particularly
285 hypertriglyceridemia by 9%. In comparison, long sleep duration decreased the risk of
286 hypertriglyceridemia by 11%. (42)

287 • **Mechanisms**

288 The significant association between sleep disorders and higher Triglyceride levels might be
289 explained by concomitant stress, frustration, and consequently eating irregularities. Stress
290 and anxiety are associated with both sleep and eating disorders. (43) First, the association
291 between sleep and stress is bidirectional; an increased emotional stress level might lead to
292 sleep irregularities or insomnia, and sleep disorders might cause higher stress and frustration.
293 (44) Sleep is crucial for emotion regulation and vice versa. (45, 46) Second, sleep disturbance
294 interacts with hormone secretion and eating disorders. (47) Studies have shown that after
295 sleep deprivation, people express eating and appetite dysregulation. (48, 49) Ghrelin, Leptin,
296 and Adiponectin secretion patterns present a mediating effect on the association between
297 sleep duration, and metabolic syndrome. (50, 51) Further, autoimmunity and

298 neuroinflammation are relevant mechanisms involved in the homeostatic dysregulation
299 associated with sleep disorders, and higher inflammatory biomarkers might further impair
300 metabolic function and energy regulation. (52) Finally, a genetic predisposition, particularly
301 Apolipoprotein genes, might infer the association between sleep and dyslipidemia. (53)
302 The sleep-lipid association was controversially discussed. Linear models seem insufficient to
303 explain the association. (40) Moreover, published results were mainly different depending on
304 the adjustment model used in the analysis. Noteworthy, adjusting for BMI and OSA led to the
305 loss of the statistical significance of the association between sleep duration and quality on one
306 side, and serum Triglyceride and hepatic Triglyceride content on another side. (16) It is known
307 that BMI and OSA infer sleep quality and lipid levels and therefore might present a
308 confounding effect on the path between sleep and dyslipidemia. This contradicts current
309 results since associations remained statistically significant even after adjusting for BMI, and
310 the questions on which the analysis of SNBD was based have mainly a behavioral aspect
311 without considering respiratory symptoms.

- **Strengths**

312 • **Strengths**
313 ¹⁶ The major strength of the study is the large number of included cases with high-quality and
314 complete data. The study's inclusion criteria were very restrictive, and only complete cases
315 were considered for the analysis, lowering the bias risk and giving the data a strong analytical
316 value. Older adults tend to be less represented in epidemiological and molecular studies.
317 Therefore, restricting the included population to advanced age groups is a further strength.
318 ²⁴ Older adults have a particularly higher risk of multimorbidity and poly medication. Reducing
319 the risk of one factor might improve the prognosis of other health conditions. This helps lower
320 health costs, in addition to reducing morbidity and mortality risks. Furthermore, the

321 combination of a cross-sectional and longitudinal design in the analyses allowed a better
322 evaluation of the association's predictive value.

323 ¹⁴ This is the first study exploring the association between informant-reported SNBD and
324 dyslipidemia. Most of the published data studied either OSA or self-reported sleep disorders.
325 In older populations, the self-awareness of sleep quality might be impaired, and this study
326 presents a further strength related to the partner-provided information on sleep-related
327 behavior disorders.

328 A further strength is related to the fact that the study was based on results obtained from
329 fasting blood. However, ¹ a study on the association between sleep disturbances and
330 Triglyceride levels in adolescents showed that the results were not affected by the fasting
331 status of study participants and were statistically significant before and after stratifying by
332 fasting during blood sampling. (40)

333 • ¹ **Limitations**

334 Despite the interesting findings reported in this study, it is important to acknowledge some
335 limitations.

336 The first limitation is related to the absence of information on LDL-C and HDL-C levels; both of
337 which are relevant biomarkers of metabolic syndrome and dyslipidemia; but were not
338 assessed in the main laboratory ADNI data. A further ¹ limitation is related to the lack of
339 information on comorbid ²² non-alcoholic fatty liver disease (NAFLD) or sarcopenia. Both of
340 them are associated with sleep disorders. (54, 55) In this study, BMI was considered a solid
341 surrogate biomarker for NAFLD, (56, 57) nutrition, and physical activity and was introduced to
342 the adjusted models. Although BMI predicted independently sleep disorders in the
343 univariable models, incorporating BMI in the adjusted models (Model 3) of Cholesterol and

344 then of Triglyceride did not impact the overall ¹ statistical significance of the results.

345 Noteworthy, the association between hypertriglyceridemia and SNBD was not influenced by
346 BMI as covariable and remained statistically significant.

¹
347 The second limitation is the absence of subjective data such as sleep duration or detailed
348 polysomnographic measurements (Gold Standard). Furthermore, neither information on the
349 objective description of the sleep quality reported by study participants, nor the presence or
350 absence of obstructive sleep apnea were included. While those explorations are of high
351 interest and might have enriched diagnostic methods and information used in the current
352 study, they were largely explored in published data. The informant-based evaluation was
353 favored, particularly because of its relevance in the elderly with cognitive impairment who
354 might lack to some extent self-awareness and tend to over- or underestimate their sleep
355 duration. The questionnaire was oriented toward sleep behavior disorders rather than
356 breathing disorders and associated disturbances.

357 The third limitation is the lack of information on whether study participants were under lipid-
358 regulating medications. Although this information could be relevant for evaluating the overall
359 prevalence of dyslipidemia in the included sample, the main study objective was to investigate
360 the association between pathological lipid levels at baseline as predicting variable and
361 concomitant sleep disorders, independently of the potential medication effect.

362 Finally, the study is of predictive value and does not allow drawing causal inferences from the ¹
363 association between dyslipidemia and SNBD.

364 ¹ 4. Conclusions

365 Hypertriglyceridemia, but not hypercholesterolemia, was associated with SNBD in older adults
366 at baseline. This association was independent of BMI. However, ¹ none of the dyslipidemia

367 forms did predict incidental cases of SNBD over a follow-up period of 12 months. The current
368 study emphasizes the importance of systematic screening of sleep disorders in older patients
369 and its adapted management in mitigating metabolic risks and preventing related
370 cardiovascular complications. Informant-based interviews are helpful and provide
371 complementary information on sleep disorders in older individuals with cognitive impairment.

372

9

373 **Declarations**

374 **Ethics approval and consent to participate:** Ethical approvals were obtained from local IRBs
375 corresponding to every recruitment center of ADNI. Participants gave written consent.
376 Information⁷ can be found at <https://adni.loni.usc.edu>.

377 **Consent for publication:** Not applicable.

378 **Availability of data and materials:** Data¹⁸ can be found at <https://adni.loni.usc.edu>.

379 **Competing interest:** None.

3

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1

385 **Author contributions:** AH has full access to all of the data and takes responsibility for the
386 integrity of the data and the accuracy of the analysis, visualization, drafting, and editing of the
387 manuscript.

388 *Data used in preparation of this article were obtained from the Alzheimer's Disease
389 Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within

390 the ADNI contributed to the design and implementation of ADNI and/or provided data but
391 did not participate in analysis or writing of this report. A complete listing of ADNI investigators
392 can be found at: [http://adni.loni.usc.edu/wp-](http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf)
393 [content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf](http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf)

¹
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398 Institute of Biomedical Imaging and Bioengineering, the Canadian Institutes of Health
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400 of Health (FNIH) including generous contributions from the following: AbbVie, Alzheimer’s
401 Association; Alzheimer’s Drug Discovery Foundation; Araclon Biotech; BioClinica, Inc.; Biogen;
402 Bristol-Myers Squibb Company; CereSpir, Inc.; Cogstate; Eisai Inc.; Elan Pharmaceuticals, Inc.;
403 Eli Lilly and Company; EuroImmun; F. Hoffmann-La Roche Ltd and its affiliated company
404 Genentech, Inc.; Fujirebio; GE Healthcare; IXICO Ltd.; Janssen Alzheimer Immunotherapy
405 Research & Development, LLC.; Johnson & Johnson Pharmaceutical Research &Development
406 LLC.; Lumosity; Lundbeck; Merck & Co., Inc.; Meso Scale Diagnostics, LLC.; NeuroRx Research;
407 Neurotrack Technologies; Novartis Pharmaceuticals Corporation; Pfizer Inc.; Piramal Imaging;
408 Servier; Takeda Pharmaceutical Company; and Transition Therapeutics.”

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575 **Captions**

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576 **Tables**

577 **Table 1:** Characteristics of the study population and comparison between healthy controls,
578 and those with MCI and dementia

579 **Table 2:** Comparison between study participants with and without dyslipidemia

580 **Table 3:** Association between sleep and nighttime behavior and dyslipidemia at baseline and
581 12 months follow-up

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583 **Figures**

584 **Figure 1:** Characteristics of the study population

585 Figure 1 A: Chart flow of included studies

586 Figure 1 B: Classification of cases during study follow-up

587 **Figure 2:** BMI categories and correlation analyses

588 Figure 2 A: Spearman correlation plot

589 Figure 2 B: Median age across different BMI categories

590 Figure 2 C: Spearman correlation between Cholesterol and BMI

591 Figure 2 D: Spearman correlation between Triglyceride and BMI

592 **Figure 3:** Dyslipidemia and sleep disorders

593 Figure 3 A: Cholesterol levels and sleep disorders

594 Figure 3 B: Triglyceride levels and sleep disorders

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Table 1: Characteristics of the study population and comparison between healthy controls, and those with MCI and dementia

Characteristic	N	Overall, N = 2,216 ¹	Healthy controls N = 786 ¹	MCI N = 1,060 ¹	Dementia N = 370 ¹	P-value ²
Age (years)	2,216	73 (68, 78)	72 (68, 77)	73 (68, 78)	75 (70, 80)	<0.001
Sex	2,216					<0.001
Female		1,045 (47%)	440 (56%)	442 (42%)	163 (44%)	
Male		1,171 (53%)	346 (44%)	618 (58%)	207 (56%)	
3 Educational level (years)	2,216	16.00 (14.00, 18.00)	16.00 (15.00, 18.00)	16.00 (14.00, 18.00)	16.00 (13.00, 18.00)	<0.001
Marital status	2,216					<0.001
Currently married		1,673 (75%)	547 (70%)	815 (77%)	311 (84%)	
3 Currently not married or unknown		543 (25%)	239 (30%)	245 (23%)	59 (16%)	
Home	2,216					0.8
House or apartment		2,101 (95%)	748 (95%)	1,006 (95%)	347 (94%)	
Retirement or nursing institution		76 (3.4%)	26 (3.3%)	34 (3.2%)	16 (4.3%)	
9 Other		39 (1.8%)	12 (1.5%)	20 (1.9%)	7 (1.9%)	
Racial profile	2,216					<0.001
White		1,952 (88%)	651 (83%)	963 (91%)	338 (91%)	
Black		167 (7.5%)	92 (12%)	56 (5.3%)	19 (5.1%)	
Other		97 (4.4%)	43 (5.5%)	41 (3.9%)	13 (3.5%)	
APOE ε4 status	2,031					<0.001
0 allele		1,095 (54%)	488 (70%)	495 (50%)	112 (32%)	
1 allele		735 (36%)	188 (27%)	378 (39%)	169 (48%)	
2 alleles		201 (9.9%)	22 (3.2%)	108 (11%)	71 (20%)	
Missing values		185	88	79	18	
ADAS ₁₃ total score	2,216	14 (9, 22)	8 (5, 12)	16 (11, 21)	29 (24, 34)	<0.001
MMSE total score	2,216	28.00 (26.00, 29.00)	29.00 (29.00, 30.00)	28.00 (26.00, 29.00)	23.00 (21.00, 25.00)	<0.001
CDR-SB	2,216	1.00 (0.00, 2.00)	0.00 (0.00, 0.00)	1.50 (1.00, 2.00)	4.50 (3.50, 5.00)	<0.001
FAQ total score	2,211	1.0 (0.0, 5.0)	0.0 (0.0, 0.0)	1.0 (0.0, 5.0)	13.0 (8.0, 18.0)	<0.001
Missing values		5	0	4	1	
GDS total score	2,216	1.00 (0.00, 2.00)	0.00 (0.00, 1.00)	1.00 (1.00, 3.00)	1.00 (1.00, 3.00)	<0.001
20 Cholesterol levels (mg/dL)	2,216	26.3 (23.9, 29.3)	26.7 (24.1, 30.0)	26.3 (24.0, 29.2)	25.4 (23.1, 28.0)	<0.001
Triglyceride levels (mg/dL)	2,216	191 (165, 220)	189 (165, 217)	191 (165, 221)	193 (167, 224)	0.4
Sleep disorders at baseline	2,216	120 (87, 171)	116 (85, 164)	121 (86, 177)	124 (93, 171)	0.078
Sleep disorders at 12 months	1,845	357 (16%)	80 (10%)	193 (18%)	84 (23%)	<0.001
Missing values		371	141	163	71 (23%)	<0.001

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¹ Median (IQR); n (%), ² Kruskal-Wallis rank sum test; Pearson's Chi-squared test

ADAS-13: Alzheimer's Disease Assessment Score – 13 items - **APOE ε4:** Apolipoprotein E ε4 – **BMI:** Body-Mass Index (Weight in Kg / (Height in m)²) - **CDR-SB:** Clinical Dementia Rating Scale - sum of boxes - **FAQ:** Functional Activities Questionnaire - **GDS:** Geriatric Depression Scale – **MCI:** Mild Cognitive Impairment - **MMSE:** Mini-Mental Status Examination

Table 2: Comparison between study participants with and without dyslipidemia

Characteristic **** Hypercholesterolemia **** **** Hypertriglyceridemia ****

	Normal (<200 mg/dL) N = 1,296 ¹	High (≥200 mg/dL) N = 920 ¹	P-value ²	Normal (<150 mg/dL) N = 1,491 ¹	High (≥150 mg/dL) N = 725 ¹	P-value ²
Age (years)	74 (69, 79)	72 (67, 78)	<0.001	73 (68, 78)	73 (67, 78)	0.3
Sex			<0.001			0.4
Female	453 (35%)	592 (64%)		713 (48%)	332 (46%)	
Male	843 (65%)	328 (36%)		778 (52%)	393 (54%)	
Main cognitive diagnosis			0.6			0.3
Healthy controls	465 (36%)	321 (35%)		543 (36%)	243 (34%)	
MCI	623 (48%)	437 (48%)		698 (47%)	362 (50%)	
Dementia	208 (16%)	162 (18%)		250 (17%)	120 (17%)	
Educational level (years)	16.00 (14.00, 18.00)	16.00 (14.00, 18.00)	0.4	16.00 (14.00, 18.00)	16.00 (14.00, 18.00)	<0.001
Marital status			0.004			0.6
Currently married	1,007 (78%)	666 (72%)		1,131 (76%)	542 (75%)	
Currently not married or unknown	289 (22%)	254 (28%)		360 (24%)	183 (25%)	
Home			0.4			0.5
Use or apartment	1,230 (95%)	871 (95%)		1,419 (95%)	682 (94%)	
Retirement or nursing institution	47 (3.6%)	29 (3.2%)		47 (3.2%)	29 (4.0%)	
Other	19 (1.5%)	20 (2.2%)		25 (1.7%)	14 (1.9%)	
Racial profile			0.6			<0.001
White	1,140 (88%)	812 (88%)		1,294 (87%)	658 (91%)	
Black	95 (7.3%)	72 (7.8%)		137 (9.2%)	30 (4.1%)	
Other	61 (4.7%)	36 (3.9%)		60 (4.0%)	37 (5.1%)	
APOE ε4 status			0.024			0.3
0 allele	663 (56%)	432 (51%)		727 (53%)	368 (55%)	
1 allele	411 (35%)	324 (38%)		489 (36%)	246 (37%)	
2 alleles	104 (8.8%)	97 (11%)		145 (11%)	56 (8.4%)	
Missing values	118	67		130	55	
ADAS13 total score	14 (9, 21)	14 (8, 22)	0.059	14 (9, 21)	14 (9, 22)	0.4
MMSE total score	28.00 (26.00, 29.00)	28.00 (26.00, 30.00)	>0.9	28.00 (26.00, 29.00)	28.00 (26.00, 29.00)	0.2
DR-SB	1.00 (0.00, 2.00)	1.00 (0.00, 2.50)	0.4	1.00 (0.00, 2.00)	1.00 (0.00, 2.50)	0.081
FA1 total score	1.0 (0.0, 5.0)	1.0 (0.0, 5.0)	0.7	0.0 (0.0, 5.0)	1.0 (0.0, 6.0)	0.028
Missing values	1	4		4	1	
GDS total score	1.00 (0.00, 2.00)	1.00 (0.00, 2.00)	0.7	1.00 (0.00, 2.00)	1.00 (0.00, 2.00)	<0.001
MI	26.7 (24.4, 29.8)	25.5 (23.1, 28.7)	<0.001	25.7 (23.4, 28.6)	27.5 (25.1, 30.9)	<0.001
Cholesterol (mg/dL)	170 (151, 184)	225 (212, 246)	<0.001	187 (162, 216)	197 (172, 227)	<0.001
Triglyceride (mg/dL)	115 (84, 162)	127 (92, 183)	<0.001	98 (76, 120)	203 (172, 255)	<0.001

1 Sleep disorders at baseline

Sleep disorders at 12 months

Missing values	215	151 (16%)	0.7	216 (14%)	141 (19%)	0.003
	188 (17%)	139 (18%)	0.7	199 (16%)	128 (21%)	0.025
	206 (16%)			270		

¹ Median (IQR); n (%) - ² Wilcoxon rank sum test; Pearson's Chi-squared test

ADAS-13: Alzheimer's Disease Assessment Score – 13 items - **APOE ε4:** Apolipoprotein E ε4 – **BMI:** Body-Mass Index (Weight in Kg / (Height in m)²) - **CDR-SB:** Clinical Dementia Rating Scale - sum of boxes - **FAQ:** Functional Activities Questionnaire - **GDS:** Geriatric Depression Scale – **MCI:** Mild Cognitive Impairment - **MMSE:** Mini-Mental Status Examination

Table 3: Association between sleep and nighttime behavior and dyslipidemia at baseline and 12 months follow-up

Characteristic	Model 1			Model 2			Model 3					
	N	Event	OR ¹ (95% CI ¹)	P-value	N	Event	OR ¹ (95% CI ¹)	P-value	N	Event	OR ¹ (95% CI ¹)	P-value
C₁ Cholesterol Normal (<200 mg/dL) High (≥200 mg/dL)	2,216	357	—	0.744	2,031	335	—	0.412	2,031	335	—	0.571
			1.04 (0.83, 1.31)				0.90 (0.70, 1.16)				0.93 (0.72, 1.20)	
Triglyceride Normal (<150 mg/dL) High (≥150 mg/dL)	2,216	357	—	0.003	2,031	335	—	0.016	2,031	335	—	0.048
			1.43 (1.13, 1.80)				1.36 (1.06, 1.74)				1.29 (1.00, 1.66)	
BMI	2,216	357	1.03 (1.00, 1.05)	0.030	2,031	335	1.03 (1.01, 1.06)	0.008	—	—	—	—
BMI categories	2,216	357	—	0.095	2,031	335	—	0.029	—	—	—	—
Healthy Weight			—	—			—	—			—	—
Underweight			1.12 (0.26, 3.43)	0.857			0.95 (0.21, 2.99)	0.934			—	—
Overweight			1.12 (0.86, 1.47)	0.391			1.14 (0.86, 1.51)	0.371			—	—
Obesity			1.47 (1.09, 1.99)	0.012			1.65 (1.18, 2.29)	0.003			—	—
Incident sleep disorders at 12 months follow-up												
C₁ Cholesterol Normal (<200 mg/dL) High (≥200 mg/dL)	1,550	187	—	0.719	1,468	178	—	0.641	1,468	178	—	0.706
			1.06 (0.77, 1.44)				1.08 (0.77, 1.52)				1.07 (0.76, 1.50)	
Triglyceride Normal (<150 mg/dL) High (≥150 mg/dL)	1,550	187	—	0.066	1,468	178	—	0.167	1,468	178	—	0.122
			1.35 (0.98, 1.85)				1.27 (0.90, 1.76)				1.31 (0.93, 1.83)	
BMI	1,550	187	0.98 (0.95, 1.01)	0.202	1,468	178	0.98 (0.95, 1.02)	0.417	—	—	—	—
BMI categories	1,550	187	—	0.046	1,468	178	—	0.090	—	—	—	—
Healthy Weight			—	—			—	—			—	—
Underweight			4.81 (1.23, 16.4)	0.014			4.15 (1.04, 14.6)	0.030			—	—
Overweight			1.34 (0.95, 1.90)	0.101			1.35 (0.93, 1.95)	0.114			—	—
Obesity			0.97 (0.61, 1.51)	0.891			1.02 (0.62, 1.66)	0.941			—	—

¹ OR = Odds Ratio, CI = Confidence Interval, BMI = Body Mass Index

Model 1: crude logistic regression analysis, **Model 2:** adjusted for age, sex, racial profile, educational level, cognition-related main diagnosis, geriatric depression scale total score, APOE ε4 status, **Model 3:** model 2 + BMI

Sleep and nighttime behavior disorders in older adults: associations with hypercholesterolemia and hypertriglyceridemia at baseline and a prediction analysis of incidental cases at 12 months follow-up

ORIGINALITY REPORT

74%

SIMILARITY INDEX

PRIMARY SOURCES

- 1** Asma Hallab. "High serum Cholesterol and Triglyceride levels in older adults: associations with sleep and nighttime behavior disorders at baseline and a prediction analysis of incidental cases at 12 months follow-up", Cold Spring Harbor Laboratory, 2024
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