Magnetospheric control of ionospheric TEC perturbations via whistler-mode and ULF waves

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10 Key Points:

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11	• Space-ground conjugate observations point to magnetospheric whistler-mode waves
12	as the driver of ionospheric TEC perturbations (dTEC)
13	• The amplitude spectra of dTEC and whistlers are consistent and the cross-correlation
14	between modeled and observed dTEC reaches 0.8
15	• Whistler-mode wave amplitudes and dTEC are modulated by ULF waves, which
16	exhibit concurrent compressional and poloidal mode variations

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17 Abstract

The weakly ionized plasma in the Earth's ionosphere is controlled by a complex 18 interplay between solar and magnetospheric inputs from above, atmospheric processes 19 from below, and plasma electrodynamics from within. This interaction results in iono-20 sphere structuring and variability that pose major challenges for accurate ionosphere pre-21 diction for global navigation satellite system (GNSS) related applications and space weather 22 research. The ionospheric structuring and variability are often probed using the total 23 electron content (TEC) and its relative perturbations (dTEC). Among dTEC variations 24 observed at high latitudes, a unique modulation pattern has been linked to magnetospheric 25 ultra-low-frequency (ULF) waves, yet its underlying mechanisms remain unclear. Here 26 using magnetically-conjugate observations from the THEMIS spacecraft and a ground-27 based GPS receiver at Fairbanks, Alaska, we provide direct evidence that these dTEC 28 modulations are driven by magnetospheric electron precipitation induced by ULF-modulated 29 whistler-mode waves. We observed peak-to-peak dTEC amplitudes reaching ~ 0.5 TECU 30 (1 TECU is equal to 10^6 electrons/m²) with modulations spanning scales of ~5–100 km. 31 The cross-correlation between our modeled and observed dTEC reached ~ 0.8 during the 32 conjugacy period but decreased outside of it. The spectra of whistler-mode waves and 33 dTEC also matched closely at ULF frequencies during the conjugacy period but diverged 34 outside of it. Our findings elucidate the high-latitude dTEC generation from magneto-35 spheric wave-induced precipitation, addressing a significant gap in current physics-based 36 dTEC modeling. Theses results thus improve ionospheric dTEC prediction and enhance 37 our understanding of magnetosphere-ionosphere coupling via ULF waves. 38

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Plain Language Summary

Radio signals are refracted or diffracted as they traverse the ionosphere filled with 40 free electrons. The ionosphere TEC, which is the total number of electrons along the ray-41 path from the satellite to a receiver, helps to correct refractive errors in the signal, while 42 its relative perturbations dTEC can be used to probe diffractive fluctuations known as 43 ionosphere scintillation. Refractive error degrades GNSS positioning service accuracy while 44 scintillation leads to signal reception failures and disrupts navigation and communica-45 tion. Thus, an accurate understanding and modeling of TEC and dTEC is vital for space 46 weather monitoring and GNSS-related applications. This study analyzes conjugate ob-47 servations of ionospheric dTEC from a ground-based GPS receiver and magnetospheric 48

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whistler-mode waves (a distinct type of very-low-frequency electromagnetic waves) from the THEMIS spacecraft, which were well-aligned both in time and space. We find a good cross-correlation (~0.8) between observed and modeled dTEC, driven by whistler-induced magnetospheric electron precipitation. These results point to whistler-mode waves as the driver of the observed dTEC. Both dTEC and whistler-mode wave amplitudes were modulated by ULF waves. These findings enhance physics-based ionospheric TEC prediction and our understanding of magnetosphere-ionosphere coupling.

56 1 Introduction

The Earth's ionosphere contains weakly ionized plasma in the atmosphere between 57 approximately 80 km and 1000 km altitude. The state of ionospheric plasma is controlled 58 by a complex interplay between solar and magnetospheric inputs from above, neutral at-59 mospheric processes from below, and plasma electrodynamics from within. The result-60 ing structuring and variability of ionospheric plasma have a major, adverse impact on 61 the global navigation satellite system (GNSS) radio signals as they propagate through 62 the ionosphere and experience varying degrees of refraction and diffraction (Morton et 63 al., 2020). Refraction causes signal group delay and phase advance, leading to dominant 64 errors in GNSS position, velocity, and time solutions, while diffraction causes stochas-65 tic intensity and phase fluctuations at the receiver, commonly known as ionospheric scin-66 tillation (Yeh & Liu, 1982; Rino, 2011). Scintillation leads to increased GNSS receiver 67 measurement noise and errors and, in extreme cases, phase-tracking loss of lock or sig-68 nal reception failures (Kintner et al., 2007). Thus, these ionospheric effects pose real threats 69 to the reliability, continuity, and accuracy of GNSS operations and applications (Morton 70 et al., 2020; Coster & Yizengaw, 2021). Understanding the causes for ionospheric struc-71 turing and variability is critical for forecasting their impacts on GNSS applications—a 72 long-standing challenge for space weather research (Hey et al., 1946; Jakowski et al., 2011; 73 Morton et al., 2020). The importance of this ionosphere forecasting has recently gained 74 increased attention as the solar maximum unfolds and concerns over space weather events 75 such as geomagnetic storms loom large (e.g., Kintner et al., 2007; Pulkkinen et al., 2017; 76 Hapgood et al., 2022). 77

Ionospheric refraction is typically quantified by the total electron content (TEC),
 which is the total number of electrons within a unit cross section along the raypath ex tending from the receiver to the satellite. For dual-frequency GNSS or Global Position-

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ing System (GPS) receivers, the TEC is estimated from differential group delays and carrier-81 phase advances (Mannucci et al., 1998; Ciraolo et al., 2007; McCaffrey & Javachandran, 82 2017). Global TEC maps, constructed from networks of GNSS receivers on the ground 83 and in orbit, can be used not only to correct ionospheric effects in GNSS-related appli-84 cations but also to monitor large- and meso-scale traveling ionospheric disturbances, typ-85 ically exceeding 100 km in horizontal wavelength (Hunsucker, 1982; Themens et al., 2022; 86 S.-R. Zhang et al., 2022). Travelling ionospheric disturbances may result from internal 87 ionospheric dynamics or from atmospheric effects from below linked to natural hazards, 88 such as tsunamis, earthquakes, explosions, and volcanic eruptions (Komjathy et al., 2016; 89 Astafyeva, 2019). High-resolution TEC from individual receivers and its relative pertur-90 bations dTEC and rate of changes (ROTI) are often used for detecting small-scale iono-91 spheric irregularities and scintillation events (Pi et al., 1997; Cherniak et al., 2014; Mc-92 Caffrey & Jayachandran, 2019; Makarevich et al., 2021; Nishimura et al., 2023). 93

While empirical and climatological TEC models exist (Rideout & Coster, 2006; Jakowski 94 et al., 2011), physics-based modeling of TEC perturbations remains challenging. One of 95 the main challenges in physical modeling of dTEC and space weather prediction is the 96 complex structuring and variability of ionosphere plasma. Rapid (<a few minutes) and 97 small-scale ($<\sim100$ km) dTEC are observed at both low and high latitudes but gener-98 ated by distinct mechanisms and drivers (Pi et al., 1997; Basu et al., 2002; Kintner et 99 al., 2007; Spogli et al., 2009; Moen et al., 2013; Pilipenko et al., 2014; Jin et al., 2015; 100 Prikryl et al., 2015; Watson, Jayachandran, Singer, et al., 2016; Fæhn Follestad et al., 101 2020). Near equatorial latitudes, these small-scale dTEC result from plasma bubbles or 102 density depletions formed around post-sunset, primarily driven by the Rayleigh-Taylor 103 instability associated with lower atmosphere-ionosphere coupling processes (C.-S. Huang 104 & Kelley, 1996; Kelley, 2009; Xiong et al., 2010; Aa et al., 2020; Jin et al., 2020). At high 105 latitudes, dTEC are associated with plasma irregularities in the auroral, cusp, and po-106 lar cap regions, spanning a few meters to hundreds of kilometers in spatial scale (e.g., 107 Basu et al., 1990; Moen et al., 2013; Spicher et al., 2017). These irregularities are pri-108 marily driven by solar-magnetosphere-ionosphere coupling, which involves a complex in-109 terplay and synergy among solar extreme-ultraviolet radiation, plasma $\vec{E} \times \vec{B}$ drifts, charged-110 particle precipitation into the atmosphere, magnetic field-aligned currents, and various 111 ionospheric plasma instabilities (Kelley, 2009; Moen et al., 2013; Spicher et al., 2015; Fæhn 112 Follestad et al., 2020). 113

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Among dTEC variations observed near the auroral latitudes, a unique modulation 114 pattern has been linked to magnetospheric ultra low frequency (ULF) waves (Davies & 115 Hartmann, 1976; Okuzawa & Davies, 1981; Skone, 2009; Pilipenko et al., 2014; Watson 116 et al., 2015; Watson, Jayachandran, Singer, et al., 2016; Zhai et al., 2021). These ULF 117 waves feature broadband or quasi-monochromatic geomagnetic pulsations with periods 118 from about 0.2 to 600 s (Jacobs et al., 1964) and are considered to be crucial for energy 119 and plasma transport throughout the solar-magnetosphere-ionosphere-thermosphere sys-120 tem (e.g., Southwood & Kivelson, 1981; M. K. Hudson et al., 2000, 2008; Hartinger et 121 al., 2015, 2022; Zong et al., 2017). Skone (2009) noted that average power of ground-based 122 ULF waves and dTEC exhibited similar temporal variations in the Pc3 band ($\sim 22-100$ 123 mHz). Pilipenko et al. (2014) observed a high coherence (~ 0.9) between dTEC and global 124 Pc5 pulsations in a few mHz during a geomagnetic storm. Watson, Jayachandran, Singer, 125 et al. (2016) also reported a high coherence and common power between dTEC and ULF 126 radial magnetic field variations in the Pc4 band (6.7–22 mHz). Fully understanding ULF-127 induced ionospheric dTEC not only enhances the ionosphere forecasting during space 128 weather events but also elucidates the critical pathways of geospace energy coupling and 129 dissipation via ULF waves. 130

To date, despite numerous proposals for direct dTEC modulation mechanisms by 131 ULF waves (Pilipenko et al., 2014), no mechanism has yet been conclusively established. 132 Recently, Wang et al. (2020) have reported a storm-time event where duskside ionospheric 133 density was modulated by ULF waves in the Pc5 range. Pc5-modulated density varia-134 tions observed from radar data were used to infer modulated precipitating electrons over 135 an energy range of $\sim 1-500$ keV and an altitude range of $\sim 80-200$ km. Higher-energy pre-136 cipitating electrons deposit their energy and induce impact ionization at lower altitudes, 137 whereas lower-energy electrons do so at higher altitudes. The authors postulated that 138 the precipitation and density perturbations are likely due to electron pitch-angle scat-139 tered into the loss cone by ULF-modulated very low frequency whistler-mode waves. 140

This postulation of whistler-driven dTEC is supported by extensive observations and models that demonstrate that ULF waves often coexist with and modulate whistlermode waves (Coroniti & Kennel, 1970; W. Li, Thorne, et al., 2011; W. Li, Bortnik, Thorne, Nishimura, et al., 2011; Watt et al., 2011; Jaynes et al., 2015; Xia et al., 2016, 2020; X.-J. Zhang et al., 2019; X. J. Zhang et al., 2020; X. Shi et al., 2022; L. Li et al., 2022, 2023). The modulation of the whistler-mode wave growth is potentially attributed to compression-

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induced ambient thermal or resonant hot electron density variations (W. Li, Bortnik, Thorne, 147 Nishimura, et al., 2011; Xia et al., 2016, 2020; X.-J. Zhang et al., 2019; X. J. Zhang et 148 al., 2020), resonant electron anisotropy variations (W. Li, Thorne, et al., 2011; Watt et 149 al., 2011), and nonlinear resonant effects from periodic magnetic field configuration vari-150 ations (L. Li et al., 2022, 2023). The periodic excitation of whistler-mode waves at ULF 151 wave frequencies leads to periodic electron precipitation, which drives pulsating auro-152 ras (e.g., Miyoshi et al., 2010; Nishimura et al., 2010; Jaynes et al., 2015) and potentially 153 explains many previously reported dTEC modulations at ULF frequencies (Pilipenko et 154 al., 2014; Watson, Jayachandran, Singer, et al., 2016; Zhai et al., 2021). 155

However, it is challenging to establish a direct link between magnetospheric drivers 156 and ionospheric dTEC during ULF modulation events due to several complicating fac-157 tors: (1) the path-integrated nature of dTEC, which strongly depend on the satellite-158 to-receiver raypath elevation (e.g., Jakowski et al., 1996; Komjathy, 1997), (2) inherent 159 phase shifts due to coexisting propagation and modulation effects (Watson et al., 2015), 160 particularly when conjugate observations are misaligned or not synchronized, and (3) the 161 dynamic and turbulent nature of the auroral ionosphere (Kelley, 2009). Direct evidence 162 linking dTEC to magnetospheric drivers is yet to be identified. 163

In this study, conjugate observations from the THEMIS spacecraft and the GPS receiver at Fairbanks, Alaska (FAIR) allow us to identify the driver of GPS dTEC as magnetospheric electron precipitation induced by ULF-modulated whistler-mode waves. Figure 1 illustrates the physical picture emerging from these magnetically-conjugate magnetospheric and ionospheric observations of ULF waves, modulated whistler-mode waves, electron precipitation, and dTEC.

In what follows, Section 2 describes datasets and models employed to estimate whistlerdriven precipitation and resulting dTEC. Section 3 presents a detailed analysis and crosscorrelation between observed and modeled dTEC. Section 4 discusses the geophysical implications and applications of our results, which are followed by the main conclusions.

¹⁷⁴ 2 Data and Methodology

We derive 1-s TEC measurements from phase and pseudorange data collected by the GPS receiver at FAIR during 15:06–16:36 UT on July 3, 2013, processed at the Jet Propulsion Laboratory using the GipsyX and Global Ionospheric Mapping software (Komjathy

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Figure 1. Schematic diagram showing coordinated observations from THEMIS and FAIR of (a) modulation of whistler-mode waves near the magnetic equator by ULF waves, electron pitch-angle scattering into the loss cone, and precipitation into the ionosphere (red arrows) induced by modulated whistler-mode waves; and (b) the modulated electron precipitation with energies of $\sim 0.1-30$ keV deposits their energies at altitudes between $\sim 100-400$ km and induces modulated impact ionization and dTEC having amplitudes as large as ~ 0.5 TECU and spanning scales of $\sim 5-100$ km. This dTEC modulation was captured by the signal from GPS43, which has a high elevation, but was overlooked by the signal from GPS40, which has a relatively lower elevation.

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et al., 2005; Bertiger et al., 2020). Phase-based TEC measurements are leveled using pseudorange delays for each phase-connected data collection. We focus on links between FAIR
and GPS satellites with pseudo random noise numbers 40, 43, and 60, referred to as GPS40,
GPS43, and GPS60, whose ionospheric pierce points at 300 km altitude are within 200
km proximity to FAIR, or pierce points at 150 km within 100 km proximity to FAIR,
to ensure relatively high elevation angles and thus better observation geometry to resolve
dTEC.

The pierce point of 300 km altitude is selected based on the measured F2-region 185 peak density height hmF2 from the ground-based ionosonde located at the Eielson sta-186 tion (64.66°N, 212.03°E) in Supporting Information. While the background density peaks 187 at ~ 300 km in the F2 region, the modulation of dTEC may be located at lower altitudes. 188 Thus, we also present results using an ionosphere pierce point at 150 km altitude. The 189 obtained TEC is expressed in TEC units (TECU), i.e., 10^{16} electrons/m². The slant TEC 190 is converted to VTEC using the standard mapping function (e.g., Mannucci et al., 1998). 191 Measurements with elevation angles less than 30° are excluded to reduce multipath ef-192 fects (Jakowski et al., 1996). The VTEC data are then detrended to get dTEC using a 193 fourth-order Butterworth lowpass filter. The low pass filter has a cutoff period of 25 min, 194 to focus on ULF-related perturbations and reduce contributions from medium- and large-195 scale travelling ionosphere disturbances (Hunsucker, 1982). 196

We use the following datasets from THEMIS E (Angelopoulos, 2008): electron en-197 ergy and pitch-angle distributions measured by the Electrostatic Analyzers instrument 198 in the energy range of several eV up to 30 keV (McFadden et al., 2008), DC vector mag-199 netic field at spin resolution (~ 3 s) measured by the Fluxgate Magnetometers(Auster 200 et al., 2008), electric and magnetic field wave spectra within 1 Hz-4 kHz, measured ev-201 ery ~ 8 s by the Digital Fields Board, the Electric Field Instrument, and the search coil 202 magnetometer (Le Contel et al., 2008; Bonnell et al., 2008; Cully, Ergun, et al., 2008). 203 Background electron densities are inferred from spacecraft potentials (Bonnell et al., 2008; 204 Nishimura et al., 2013). We also use ground-based magnetometer measurements every 205 1 s from the College (CMO) site operated by the United States Geological Survey Ge-206 omagnetism Program and from the Fort Yukon (FYKN) site operated by the Geophys-207 ical Institute at the University of Alaska. 208

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THEMIS observations of electron distributions and wave spectra allow us to calculate the precipitating flux of electrons scattered into the loss cone by whistler-mode waves using quasilinear diffusion theory (Kennel & Engelmann, 1966; Lyons, 1974). For whistler-mode wave normals $\theta < 45^{\circ}$, we use a validated analytical formula of bounceaveraged electron diffusion coefficients from Artemyev et al. (2013). For small pitch angle α_{eq} approaching the loss cone α_{LC} , the first-order cyclotron resonance provides the main contribution to the bounce-averaged diffusion rate:

$$\langle D_{\alpha_{eq}\alpha_{eq}}\rangle \simeq \frac{\pi B_w^2 \Omega_{ceq}\omega_m}{4\gamma B_{eq}^2 \Delta \omega (p\epsilon_{meq})^{13/9} T(\alpha_{LC}) \cos^2_{\alpha_{LC}}} \times \frac{\Delta \lambda_{R,N} (1+3\sin^2\lambda_R)^{7/12} (1-\bar{\omega})}{|\gamma\bar{\omega}-2\gamma\bar{\omega}^2+1||1-\gamma\bar{\omega}|^{4/9}}, \quad (1)$$

with B_w indicating the wave amplitude, ω_m the mean wave frequency, $\Delta\omega$ the frequency width, $\bar{\omega} = \omega_m/\Omega_{ce}$ the normalized frequency, Ω_{ce} and Ω_{ceq} the local and equatorial electron cyclotron frequency, γ the relativistic factor, p the electron momentum, $\epsilon_{meq} =$ $\Omega_{pe}/\Omega_{ceq}\sqrt{\omega_m/\Omega_{ceq}}$ where Ω_{pe} is the plasma frequency, $T(\alpha_{eq})$ the bounce period, λ_R the latitude of resonance, and $\Delta\lambda_{R,N}$ the latitudinal range of resonance (see details in Artemyev et al. (2013)). The precipitating differential energy flux within the loss cone can be estimated as $x(E)J(E, \alpha_{LC})$, where

$$x(E) = 2 \int_0^1 I_0(Z_0\tau)\tau d\tau / I_0(Z_0), \qquad (2)$$

²²³ being the index of loss cone filling, $J(E, \alpha_{LC})$ is the electron differential energy flux near ²²⁴ the loss cone, I_0 is the modified Bessel function with an argument $Z_0 \simeq \alpha_{LC} / \sqrt{\langle D_{\alpha_{eq}} \alpha_{eq} \rangle \cdot \tau_{loss}}$ ²²⁵ (Kennel & Petschek, 1966), and τ_{loss} is assumed to be half of the bounce period.

With an energy distribution of precipitating electrons within 0.1-30 keV, we es-226 timate the impact ionization rate altitude profile using the parameterization model de-227 veloped by Fang et al. (2010), covering isotropic electron precipitation from 100 eV up 228 to 1 MeV. This model, derived through fits to first-principle model results, allows effi-229 cient ionization computation for arbitrary energy spectra. Atmospheric density and scale 230 height data were obtained from the NRLMSISE-00 model (Picone et al., 2002). We model 231 dTEC resulting from whistler-induced electron precipitation by integrating ionization 232 rates over altitude and time, adopting an 8-s integration period to align with the tem-233 poral resolution of THEMIS wave spectra data. Although our analysis does not concern 234 equilibrium densities and omits recombination and convective effects, this has little im-235 pact because we focus on relative dTEC due to short-time precipitation. It takes nearly 236 60 s for the background ionosphere to relax to an equilibrium density solution for 10-237 keV precipitation and longer for lower energies (e.g., Kaeppler et al., 2022). Our esti-238

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mated dTEC also closely match observed dTEC values, underscoring the effectiveness
 of our modeling approach despite its approximation.

241 3 Results

On July 3, 2013, from 15:06 to 16:36 UT, the THEMIS E spacecraft flew westward 242 over the FAIR GPS receiver station, coming within ~ 20 km relative to FAIR when mapped 243 to 300 km altitude. The space-ground observations have a close spatial and temporal align-244 ment, allowing us to link between magnetospheric and ionospheric processes along the 245 field line. The event occurred at $L \sim 7$, outside the plasmapause of $L_{pp} \sim 5.4$ (based on 246 THEMIS E densities near 17:00 UT), near the magnetic local time (MLT) of 4.5 hr, and 247 during a geomagnetic quiet time with $Kp \sim 1$ and $AE \sim 200$ nT. Figure 2a illustrates 248 the trajectories of THEMIS E and the ionosphere pierce points of GPS40, GPS43, and 249 GPS60 near FAIR, mapped to 300 km altitude. The position of THEMIS E is mapped 250 along the field line to the ionosphere using the Tsyganenko T96 model (Tsyganenko, 1995) 251 but the GPS satellites are mapped using line of sight. Of these GPS satellites, the GPS43 252 pierce points, moving eastward, were nearest to both the FAIR and THEMIS E footprints, 253 exhibiting close longitudinal alignment. A notable conjugacy, marked by the bright red 254 segment from 15:37 to 16:11 UT, occurred when the footprints of THEMIS E and GPS43 255 pierce points were within ~ 100 km to each other and FAIR (Figure 2j). In Supporting 256 Information, we also present the configuration when the satellites and their pierce points 257 are mapped to an altitude of 150 km. This adjustment does not significantly alter the 258 geometry of our conjunction event, but it does slightly reduce the scale of the satellite 259 footpaths near FAIR. 260

Figures 2b–2d present THEMIS observations of whistler-mode waves. The observed 261 wave frequencies were in the whistler lower band, spanning $\sim 0.2-0.5\Omega_{ce}$, with a mean 262 frequency $\omega_m \sim 0.35 \Omega_{ce}$, and $\Delta \omega \sim 0.15 \Omega_{ce}$, where the electron cyclotron frequency $f_{ce} \sim$ 263 $\Omega_{ce}/2\pi \sim 2.15$ kHz. Figure 2d shows that whistler-mode wave amplitudes B_w range from 264 several pT to over 100 pT, measured at 8-s cadence (black curve) and smoothed with 265 2-min moving averages (red curve). Short-term oscillations in B_w on the order of tens 266 of seconds were observed atop more gradual variations of several minutes. We use smoothed 267 or averaged B_w to estimate electron precipitation. Although direct waveform data for 268 resolving whistler-mode wave normals were absent, we can infer wave normals based on 269 the measured whistler spectra properties of $E/cB \ll 1$ (see Supporting Information) as 270

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Figure 2. (a) Configuration of THEMIS E (black curve), GPS40, GPS43, and GPS60 satellites (green, purple, and blue curves), and the FAIR receiver (black star) in geographic coordinates, with THEMIS and GPS mapped onto 300 km altitude using T96 field tracing (THEMIS) or line of sight projection (GPS). The plus symbol indicates the start of the footpath. (b–e) THEMIS E magnetic field spectrogram, electric field spectrogram, whistler-mode wave amplitudes, and field-aligned (0°–22.5°) electron energy spectrogram. (f) Bounce-averaged electron diffusion rates. (g) Index of loss cone filling. (h) Whistler-driven precipitating electron energy spectrogram. (i) Comparison of whistler-driven model dTEC (red curve) and GPS43-observed dTEC (black curve). (j) Great-circle distances between THEMIS-E footpath (red curve) and GPS43 raypath (black curve) at IPP of 300 km relative to the FAIR station.

well as from previous statistical whistler observations in the nightside equatorial plasma sheet (W. Li, Bortnik, Thorne, & Angelopoulos, 2011; Agapitov et al., 2013; Meredith et al., 2021). The whistlers propagate quasi-parallel to the magnetic field, with an assumed Gaussian wave normal width of $\Delta\theta \sim 30^{\circ}$ and a latitudinal distribution within $\pm 30^{\circ}$.

Figures 2e–2h display the measured plasma sheet field-aligned ($\alpha \sim [0^{\circ}, 22.5^{\circ}]$) elec-276 trons from 50 eV up to 25 keV, calculated diffusion rates $\langle D_{\alpha_{eg}\alpha_{eg}} \rangle$, estimated loss cone 277 filling x(E), and precipitating electron energy fluxes. Although $\langle D_{\alpha_{eg}\alpha_{eg}} \rangle$ and x(E) in-278 crease at lower energies, the precipitating energy fluxes peak between 1-10 keV, exhibit-279 ing similar modulations as seen in the smoothed whistler-mode wave amplitude B_w . Elec-280 tron precipitation fluxes below $\sim 200 \text{ eV}$ are absent due to an energy threshold for elec-281 tron cyclotron resonance interaction, with the lower limit primarily determined by the 282 ratio Ω_{pe}/Ω_{ce} (~3 in our case). 283

Figure 2i compares modeled (red) and directly measured dTEC (black) from the 284 GPS43 signal, revealing a nearly one-to-one phase correlation from 15:37 to 16:11 UT. 285 This period of close correlation coincides with the conjunction of THEMIS E, GPS43, 286 and FAIR, where their relative distances were within ~ 100 km (Figure 2j). Outside this 287 conjugacy period and further away from the FAIR station, the correlation decreases. Ob-288 served peak-to-peak amplitudes of dTEC reached ~ 0.5 TECU, which is typical, though 289 not extreme, for the nightside auroral region. This particular event occurred during quiet 290 conditions; other events during storms may have much larger dTEC modulation ampli-291 tudes (e.g., Watson et al., 2015), though more challenging to have such reliable conjunc-292 tion, especially given uncertainties in magnetic field mapping during storms (e.g., C.-L. Huang 293 et al., 2008). 294

Figure 3 underscores the critical role of observation geometry and timing in detect-295 ing phase correlations between modeled and measured dTEC across three GPS satellites. 296 Despite all three satellites having raypath elevation angles $>40^{\circ}$ —reducing the likelihood 297 of multi-path effects (e.g., Jakowski et al., 1996)—only the GPS43 elevation reached 80° 298 above the FAIR station zenith (Figure 3a). During the conjugacy period, the pierce points 299 of GPS40 and GPS60 were distanced from FAIR by more than 200 km, while GPS43's 300 pierce points remained within 100 km, coming within 20 km at its closest point (Figure 3b). 301 Figures 3c and 3d reveal that the modeled dTEC (red curve) aligns poorly with GPS40 302

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Figure 3. (a) Raypath elevation angles of GPS40 (green curve), GPS43 (black curve), and GPS60 (magenta curve). (b) Distances between THEMIS E footpath and GPS satellite pierce points relative to FAIR, displayed in the same format as Figure 1j. (c) Comparison between whistler-driven model dTEC and observed dTEC from GPS40 and GPS60, which were not in good conjunction with THEMIS or FAIR. (d) Comparison between whistler-driven model dTEC and GPS43-observed dTEC. The cross-correlation coefficients are -0.15, 0.76, and 0.68 during intervals before, during, and after conjunction, respectively.

and GPS60 dTEC (blue and magenta curves), but a significant cross-correlation (~ 0.8) 303 emerges with GPS43 dTEC (black) during the conjugacy period. Before and after the 304 conjunction, dTEC phase shifts reduce the cross-correlation to -0.15 and 0.68, respec-305 tively. Given the near-parallel longitudinal alignment of GPS43 pierce points and THEMIS 306 E footprints (Figure 2a), the measured dTEC (black) potentially reflects both tempo-307 ral and spatial/longitudinal modulations. These findings suggest that to reliably iden-308 tify the electron precipitation responsible for dTEC requires precise spacecraft spatial 309 alignment, optimal timing, and high raypath elevations. 310

The modulation of dTEC, electron precipitation, and whistler-mode wave ampli-311 tudes was linked to ULF wave activities in the Pc3-5 band (1.7 mHz to 100 mHz). Fig-312 ure 4a display the magnetic field perturbations measured by THEMIS E in the mean field-313 aligned coordinates, in which the parallel direction (||, the compressional component) 314 is determined by 15-minute sliding averages of the magnetic field, the azimuthal direc-315 tion (ϕ , the toroidal component) is along the cross product of z and the spacecraft geo-316 centric position vector, and the radial direction (r, the poloidal component) completes 317 the triad. Magnetic perturbations are obtained by subtracting the 15-minute mean field. 318 During the conjunction, THEMIS E detected both compressional Pc5 waves (red curve) 319 and poloidal Pc3-4 waves (blue curve). Figure 4b indicates that peaks in whistler-mode 320 wave amplitudes approximately align with troughs of compressional ULF waves, with 321 fine-scale whistler amplitudes primarily modulated by poloidal Pc3-4 waves (See Sup-322 porting Information). Strong Pc5 ULF waves were also recorded in the H-component 323 magnetic field perturbations from magnetometers located at CMO and FYKN (Figures 4g– 324 4h), displaying a similar pattern but with greater amplitudes at FYKN, located slightly 325 north of FAIR. The discrepancy between ground- and space-measured Pc5 waves poten-326 tially results from the localized nature of THEMIS-E observations (X. Shi et al., 2022) 327 and the screening/modification effects of ULF waves traversing the ionosphere (Hughes 328 & Southwood, 1976; Lysak, 1991; Lessard & Knudsen, 2001; X. Shi et al., 2018). Our 329 observations imply that the ionospheric dTEC were linked to ULF-modulated whistler-330 mode waves and the associated electron precipitation (e.g., Coroniti & Kennel, 1970; W. Li, 331 Thorne, et al., 2011; Xia et al., 2016; X. J. Zhang et al., 2020; L. Li et al., 2023). 332

Figures 4b–4c compare small-scale/high-frequency fluctuations of whistler-mode wave amplitudes B_w and dTEC, which was bandpass-filtered within the frequency range of 5–200 mHz. The small-scale dTEC fluctuations exhibit similar wave periods to B_w

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Figure 4. (a) THEMIS E magnetic field perturbations in the mean-field-aligned coordinates, exhibiting compressional- (red) and poloidal-mode (blue) variations. (b) THEMIS E whistlermode wave amplitudes. The measured amplitudes are shown in black and smoothed in red. (c) dTEC bandpass filtered within 5–200 mHz. (d) *ROTI* from 200-s sliding window ensemble averaging. (e) Wavelet spectrogram of whistler-mode waves. (f) Wavelet spectrogram of GPS43 dTEC. (g) Ground-based magnetic field *H* component perturbations in 1.7–100 mHz from the Fort Yukon station. (h) Ground-based magnetic *H* component perturbations in 1.7–100 mHz from the College station. (i–k) Comparisons of dTEC (orange curves) and whistler-mode wave amplitude fluctuation spectra (gray curves) in 1–60 mHz measured before (k), during (j), and after (k) the conjugacy period.

fluctuations, evidently intensifying during the conjugacy period, yet lacking a clear phase 336 correlation seen with larger scale perturbations in Figure 3d. Figure 4d shows the rate 337 of TEC index (ROTI), i.e., the standard deviation of the rate of TEC (ROT) (Pi et al., 338 1997), where $ROT = (dTEC(t+\tau) - dTEC(t))/\tau$ with $\tau = 10$ s, $ROTI = \sqrt{\langle ROT^2 \rangle - \langle ROT \rangle^2}$ 339 using 200-s sliding averages. Significant increases in ROTI were observed within the re-340 gion of whistler-driven TEC perturbations. However, in our case the GPS signal fluc-341 tuations were predominantly refractive, as negligible fluctuations were detected at fre-342 quencies above 0.1 Hz (McCaffrey & Jayachandran, 2017, 2019; Nishimura et al., 2023). 343

Figures 4e–4f compare the wavelet spectrograms of whistler-mode wave B_w and dTEC, 344 displaying concurrent increases in wave power for both in the frequency range of $\sim 3 \text{ mHz}$ 345 up to tens of mHz. Figures 4i–4k present a more detailed amplitude spectra compari-346 son before, during, and after conjunction. Notably, only during the conjunction, whistler-347 mode wave amplitudes and dTEC share similar power spectral density distributions in 348 the $1-\sim 30$ mHz range. The peaks in whistler spectra were slightly and consistently larger 349 than those in dTEC spectra within 3–20 mHz by factors of 1.05–1.2 with an average of 350 1.15, aligning with expected Doppler shift effects on ionospheric TEC measurements. The 351 Doppler shift results from relative motion of GPS raypath (with pierce point velocities 352 of ~ 46 m/s at 300 km altitude in our case) and propagating TEC structures (typically 353 with velocities of several hundred m/s) (Watson, Jayachandran, & MacDougall, 2016): 354 $f_{cor} = f_{obs} \left(1 + \frac{\mathbf{v_{ipp}} \cdot \mathbf{v_{struct}}}{|\mathbf{v_{struct}}|^2}\right)$, where f_{cor} is the frequency corrected for relative motion. 355 Watson, Jayachandran, and MacDougall (2016) found that 89% of their statistical events 356 required a correction factor of 1.2 or less for the Doppler shift, consistent with our ob-357 servations. The agreement between dTEC and whistler amplitude spectra supports that 358 the observed dTEC resulted from electron precipitation induced by whistler-mode waves. 359

The average Doppler shift factor of ~ 1.15 obtained from Figure 4j allows us to es-360 timate the plasma drift velocity from $\vec{v}_{struct} \sim \vec{v}_{ipp}/0.15 \simeq 300$ m/s at the pierce point 361 of 300 km altitude or 150 m/s at 150 km altitude. The spatial scales of the small-scale 362 dTEC in Figure 4c can be estimated from $ds = (|\vec{v}_{struct}| - |\vec{v}_{ipp}|)dt$. The resulting wave-363 lengths are $\sim 10-30$ km at the pierce point of 300 km altitude or $\sim 5-15$ km at 150 km 364 altitude. In contrast, the larger-scale dTEC shown in Figure 3d have wavelengths of ~ 100 365 km at 300 km altitude or \sim 50 km at 150 km altitude. When mapped to the magneto-366 sphere, the small-scale dTEC modulations correspond to a magnetospheric source region 367 of $\sim 150-700$ km, while larger-scale dTEC modulations suggest a source region of $\sim 1000-$ 368

2500 km. These scales align with prior observations of the transverse scale sizes of chorus elements and their source regions (Santolík et al., 2003; Agapitov et al., 2017, 2018)
and also with the azimuthal wavelengths of high-m poloidal ULF waves (Yeoman et al.,

 $_{372}$ 2012; X. Shi et al., 2018; Zong et al., 2017).

Figure 5 indicates that the electron precipitation, induced by ULF-modulated whistler-373 mode waves, can cause significant increases in ionospheric ionization rate or column den-374 sity, leading to dTEC of ~ 0.36 TECU with a moderate whistler amplitude of $B_w \sim 25$ 375 pT. Given that large-amplitude whistler-mode waves exceeding several hundred pT fre-376 quently occur in the inner magnetosphere (Cattell et al., 2008; Cully, Bonnell, & Ergun, 377 2008; Agapitov et al., 2014; Hartley et al., 2016; R. Shi et al., 2019), we anticipate even 378 larger dTEC from such whistler activities. We defer a statistical study including storm 379 time events and the potential connection with scintillation (e.g., McCaffrey & Jayachan-380 dran, 2019; Nishimura et al., 2023) for the future. In addition, the primary energy range 381 of precipitation spans from $\sim 100 \text{ eV}$ to $\sim 30 \text{ keV}$, contributing to density variations be-382 tween $\sim 90 - \sim 400$ km (Fang et al., 2010; Katoh et al., 2023; Berland et al., 2023). 383

$_{384}$ 4 Discussion

Various mechanisms have been proposed that link ULF waves to dTEC and ionospheric disturbances in general (Pilipenko et al., 2014). Although dTEC might arise from direct ULF wave effects through convective and divergent flows, MHD Alfvén-mode waves do not directly alter plasma density. Furthermore, mode-converted compressional waves, if present due to Hall currents, are evanescent in the ionosphere (Lessard & Knudsen, 2001), resulting in negligible TEC perturbations (Pilipenko et al., 2014).

The vertical component of the $\vec{E} \times \vec{B}$ drift associated with ULF waves can induce 391 vertical bulk motion of ionospheric plasma with a drift velocity $v_z = E_y \cos I/B_0$, where 392 I is the local magnetic inclination. This vertical transport can alter the altitude-dependent 393 recombination rate, thereby contributing to electron density or TEC modulations (Poole 394 & Sutcliffe, 1987; Pilipenko et al., 2014). These effects are potentially important in mid-395 latitude and equatorial regions (Yizengaw et al., 2018; Zou et al., 2017) but are expected 396 to be less significant at high latitudes where the magnetic inclination is large. In our case, 397 the magnetic inclination angle is such that $\cos I \sim 0.2$, and the magnetic perturbations 398 are only a few nT, resulting in electric field perturbations $E_y < 1 \text{ mV/m}$ (Yizengaw et 399



Figure 5. Ionization rate altitude profiles calculated at three time stamps of 15:38:00, 15:45:01, and 15:53:11 UT, corresponding to whistler-mode wave amplitudes of $B_w = 24.5$ pT (red curve), 3.0 pT (gray curve), and 19.9 pT (orange curve). The dTEC were calculated by integrating ionization rates over altitude and time (8s). The dashed lines mark the peak deposition altitudes of 100 eV, 500 eV, 1 keV, 10 keV, and 30 keV precipitating monoenergetic electrons.

al., 2018). Based on similar estimations from Pilipenko et al. (2014), the resulting changes 400 in dn_e/n_e or dTEC/TEC are only 0.04%, corresponding to dTEC of <0.01 TECU given 401 a background TEC of ~ 20 TECU. This level of dTEC is insignificant compared with the 402 observed 0.5 TECU. Moreover, the timescales of TEC changes due to recombination rate 403 changes associated with vertical plasma motion are typically longer than 1 hour (Yizengaw 404 et al., 2006; Maruyama et al., 2004; Heelis et al., 2009), which are much larger than the 405 ULF modulation timescales of several minutes observed in our case. Therefore, the ob-406 served ULF-modulated high-latitude dTEC are unlikely to be explained by vertical plasma 407 transport and recombination rate changes in the F region. 408

The periodic horizontal drift of ULF waves could produce noticeable TEC mod-409 ulation across a horizontal density gradient, via the advection term $\vec{v} \cdot \nabla n_e$ (Poole & 410 Sutcliffe, 1987; Waters & Cox, 2009; Pilipenko et al., 2014). This modulation may be 411 enabled by a pre-existing east-west density gradient, which was suggested to produce TEC 412 modulation of $\sim 2\%$ with 5 nT magnetic perturbations near the terminator (Waters & 413 Cox, 2009). However, our event was on the nightside, away from the terminator. The 414 advection may arise from a latitudinal density gradient coupled with ULF $\vec{E} \times \vec{B}$ drifts. 415 Pilipenko et al. (2014) estimated that this latitudinal advection could contribute to dTEC/TEC 416 of $\sim 0.1\%$ at auroral latitudes, corresponding to dTEC ~ 0.02 TECU in our case. In gen-417 eral, Poole and Sutcliffe (1987) theoretically derived the advection-induced TEC mod-418 ulation as dTEC/TEC~ $2E_u/\omega B_0 L$, where L is the horizontal gradient scale. If we take 419 $E_y \sim 1 \text{ mV/m}, \omega \sim 10^{-2} \text{ s}^{-1}, L \sim 30 \text{ km}$, the resulting dTEC/TEC is only 0.17%. Thus, 420 ULF-induced horizontal transport also cannot explain our observed dTEC modulation 421 of ~ 0.5 TECU. 422

A non-linear "feedback instability" mechanism may modify ULF wave dynamics, 423 causing field-aligned current striations and significant bottom-side ionospheric density 424 cavities and gradients (Lysak, 1991; Streltsov & Lotko, 2008). Furthermore, in the pres-425 ence of pre-existing larger-scale density gradients, ULF-induced plasma flows may re-426 sult in gradient drift instabilities and density striations and irregularities with scale sizes 427 less than ~ 10 km (Keskinen & Ossakow, 1983; Basu et al., 1990; Gondarenko & Guz-428 dar, 2004; Kelley, 2009; Spicher et al., 2015; Nishimura et al., 2021). Additionally, elec-429 tron precipitation and Joule heating are important factors to consider in the auroral re-430 gion (e.g., Deng & Ridley, 2007; Sheng et al., 2020; Meng et al., 2022). 431

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Detecting one-to-one phase correlation between ground-based ULF waves and dTEC 432 may be challenging, largely due to ionospheric screening effects on ULF waves (Hughes 433 & Southwood, 1976), with only a few exceptions noted during storm times (Pilipenko 434 et al., 2014; Wang et al., 2020). However, this correlation has been frequently observed 435 with spacecraft measurements of ULF waves (Watson et al., 2015; Watson, Jayachan-436 dran, Singer, et al., 2016; Zhai et al., 2021), indicating that magnetospheric processes 437 may play an important role in driving ionospheric dTEC. Our findings support that mag-438 netospheric whistler-mode waves, modulated by ULF waves in the Pc3–5 band, are re-439 sponsible for these periodic dTEC through associated electron precipitation. 440

These results enhance our understanding of dTEC modulation by ULF waves, a 441 topic widely discussed in the literature (Skone, 2009; Pilipenko et al., 2014; Watson et 442 al., 2015; Watson, Jayachandran, Singer, et al., 2016; Wang et al., 2020; Zhai et al., 2021), 443 and facilitates the integration of effects of magnetospheric whistler-mode waves into au-444 roral dTEC models. Statistical modeling of whistler-mode and ULF waves has been im-445 proving for several decades (e.g., Tsurutani & Smith, 1974; McPherron, 1972; Southwood 446 & Hughes, 1983; Takahashi & Anderson, 1992; M. Hudson et al., 2004; Claudepierre et 447 al., 2010; W. Li, Bortnik, Thorne, & Angelopoulos, 2011; Agapitov et al., 2013; Arte-448 myev et al., 2016; Tyler et al., 2019; Zong et al., 2017; Ma et al., 2020; X. J. Zhang et 449 al., 2020; Shen et al., 2021; Sandhu et al., 2021; Hartinger et al., 2015, 2022, 2023). Lever-450 aging these wave effects and the associated electron precipitation can enhance physics-451 based modeling of ionospheric dTEC by providing better specifications of high-latitude 452 drivers (Schunk et al., 2004; Ridley et al., 2006; Zettergren & Snively, 2015; Meng et al., 453 2016, 2020; Sheng et al., 2020; Verkhoglyadova et al., 2020; Huba & Drob, 2017). This 454 wave-driven precipitation provides the dominant energy input to the ionosphere among 455 all types of auroral precipitation (e.g., Newell et al., 2009), thus critically contributing 456 to dTEC at high latitudes. As such, incorporating these magnetospheric phenomena is 457 important for improving the accuracy of ionospheric dTEC models. This incorporation 458 potentially benefits both GNSS-based applications and magnetosphere and ionosphere 459 coupling science. 460

461 5 Conclusions

We present a detailed case study of ionospheric dTEC, using magnetically-conjugate observations from the THEMIS spacecraft and the GPS receiver at Fairbanks, Alaska.

- This conjunction setup allows us to identify the magnetospheric driver of the observed dTEC. Our key findings are summarized below:
- Combining in-situ wave and electron observations and quasilinear theory, we have
 modeled the electron precipitation induced by observed whistler-mode waves and
 deduced ionospheric dTEC based on impact ionization prediction. The cross-correlation
 between our modeled and observed dTEC reached ~0.8 during the conjugacy pe riod of ~30 min but decreased outside of it.
- Observed peak-to-peak dTEC amplitudes reached ~0.5 TECU, exhibiting mod ulations spanning scales of ~5–100 km. Within the modulated dTEC, enhance ments in the rate of TEC index were measured to be ~0.2 TECU/min.
- The whistler-mode waves and dTEC modulations were linked to ULF waves in the
 Pc3-5 band, featuring concurrent compressional and poloidal mode fluctuations.
 The amplitude spectra of whistler-mode waves and dTEC also agreed from 1 mHz
 to tens of mHz during the conjugacy period but diverged outside of it.
- Thus, our results provide direct evidence that ULF-modulated whistler-mode waves 478 in the magnetosphere drive electron precipitation leading to ionospheric dTEC modu-479 lations. Our observations also indicate that to reliably identify the electron precipita-480 tion responsible for dTEC requires precise spacecraft spatial alignment, optimal timing, 481 and high raypath elevations. Our findings elucidate the high-latitude dTEC generation 482 from magnetospheric wave-induced precipitation, which has not been adequately addressed 483 in physics-based TEC models. Consequently, these results improve ionospheric dTEC 484 prediction and enhance our understanding of magnetosphere-ionosphere coupling via ULF 485 waves. 486

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494 Conflict of Interest

495

The authors declare no conflict of interest relevant to this study.

496 Open Research

497	THEMIS data are available at http://themis.ssl.berkeley.edu/data/themis/
498	the/12/. GPS RINEX data are publicly available from the NASA CDDIS archive of space
499	geodesy data (https://cddis.nasa.gov/Data_and_Derived_Products/GNSS/high-rate
500	_data.html). TEC data derived for this study is available at https://doi.org/10.48577/
501	jpl.LGI5JS (Verkhoglyadova, 2024). The access and processing of THEMIS and ground-
502	based magnetic field data from CMO and FYKN was done using SPEDAS V4.1, see Angelopoulos
503	et al. (2019). The original CMO data are provided by the USGS Geomagnetism Program
504	(http://geomag.usgs.gov) but can be accessed through http://themis.ssl.berkeley
505	.edu/data/themis/thg/l2/mag/cmo/2013/. FYKN data are part of the Geophysical
506	Institute Magnetometer Array operated by the Geophysical Institute, University of Alaska
507	(https://www.gi.alaska.edu/monitors/magnetometer/archive). The ionosonde data
508	from the Eielson station is available from https://giro.uml.edu/ionoweb/.

509 Materials and Methods

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e⁻ scattered into loss cone

modulated whistler









