Magnetospheric control of ionospheric TEC perturbations via whistler-mode and ULF waves

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Key Points: 10

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11	• Space-ground conjugate observations point to magnetospheric whistler-mode waves
12	as the driver of ionospheric TEC perturbations (dTEC)
13	• The amplitude spectra of dTEC and whistlers are consistent and the cross-correlation
14	between modeled and observed dTEC reaches 0.8
15	• Whistler-mode wave amplitudes and dTEC are modulated by ULF waves, which
16	exhibit concurrent compressional and poloidal mode variations

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17 Abstract

Total electron content (TEC) in the ionosphere is vital for space weather applica-18 tions, including monitoring Global Navigation Satellite Systems (GNSS) scintillations 19 and detecting natural hazards, making comprehensive understanding, modeling, and fore-20 casting of TEC perturbations critical for modern society. While modulations of TEC per-21 turbations (dTEC) in the auroral region have been observed to be associated with ultra-22 low-frequency (ULF) waves, their driving mechanisms remain unclear. Using fortuitously 23 timed and positioned conjugate observations from the THEMIS spacecraft and a GPS 24 receiver at Fairbanks, Alaska, we provide direct evidence that dTEC modulations are 25 driven by magnetospheric precipitation due to electron scattering into the loss cone by 26 ULF-modulated whistler-mode waves. Peak-to-peak dTEC amplitudes reached ~ 0.5 TECU 27 with modulations spanning scales of \sim 5–80 km. The cross-correlation between modeled 28 and observed dTEC reached ~ 0.8 during the conjugacy period but decreased outside of 29 it. The amplitude spectra of whistler-mode waves and dTEC also matched closely from 30 1 mHz to tens of mHz during the conjugacy period but diverged outside of it. Our find-31 ings offer crucial insights that could improve physics-based TEC modeling and ultimately 32 enhance TEC forecast capabilities. 33

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Plain Language Summary

Radio signals experience group delay and phase advance as they transit the iono-35 sphere filled with free electrons. The total number of these electrons along the raypath 36 from the satellite to a receiver defines the total electron content (TEC) of the ionosphere. 37 Small-scale number density irregularities or TEC perturbations may be associated with 38 rapid phase and amplitude fluctuations that cause GNSS signal flickering or scintilla-39 tions. Scintillations degrade positioning accuracy, disrupt navigation and communica-40 tion, and lead to signal reception failures. Thus, precise understanding, modeling, and 41 forecasting of TEC perturbations (dTEC) is vital for space weather monitoring and GNSS 42 scintillation prediction. In this study, we analyze fortuitously conjugate observations of 43 ionospheric dTEC from a ground-based GPS receiver and magnetospheric very-low-frequency 44 (VLF) whistler-mode waves from the THEMIS spacecraft, which were optimally aligned 45 in time and space. We find consistent amplitude spectra of dTEC and whistler-mode waves 46 during conjunction, as well as a good cross-correlation (~ 0.8) between observed and mod-47 eled dTEC, pointing to whistler-mode waves as the driver of the observed ionospheric 48

⁴⁹ dTEC. The dTEC and whistler-mode wave amplitudes were modulated by ultra-low-frequency

50 (ULF) waves. Our findings offer crucial insights that could ultimately enhance forecast

⁵¹ capabilities of auroral TEC models.

52 **1** Introduction

One of the first observed space weather phenomena was phase and amplitude fluc-53 tuations of radio signals traversing the inhomogeneous ionosphere (Hey et al., 1946). Rapid 54 or small-scale fluctuations can cause signal scintillations of the Global Navigation Satel-55 lite Systems (GNSS), degrading positioning accuracy, disrupting navigation and com-56 munication, and leading to signal reception failures or loss of lock (Yeh & Liu, 1982; Kint-57 ner et al., 2007). The ionospheric signal errors, if caused by refractive effects, can be mit-58 igated by using dual-frequency reception to navigate the dispersive ionosphere and com-59 pensating for differential phase delays in the calculated total electron content (TEC) (Mannucci 60 et al., 1998; Ciraolo et al., 2007; McCaffrey & Jayachandran, 2017). The rate of TEC 61 changes serves as an indicator for predicting GNSS scintillations (Pi et al., 1997; Makare-62 vich et al., 2021). Furthermore, relative TEC perturbations have been used to monitor 63 natural hazards, such as tsunamis, earthquakes, explosions, and volcanic eruptions (Komjathy 64 et al., 2016; Astafyeva, 2019). Consequently, precise understanding, modeling, and fore-65 casting of ionospheric TEC perturbations is critical for modern society (Kintner et al., 66 2007; Jakowski et al., 2007, 2011). 67

Strong small-scale ($<\sim 100$ km) TEC perturbations have been observed across both 68 low and high latitudes due to a multitude of mechanisms and drivers involved (Pi et al., 69 1997; Basu et al., 2002; Kintner et al., 2007; Moen et al., 2013; Pilipenko et al., 2014; 70 Jin et al., 2015; Prikryl et al., 2015; Watson, Jayachandran, Singer, et al., 2016; Fæhn 71 Follestad et al., 2020). Near equatorial latitudes, the most intense ionospheric TEC per-72 turbations and GPS scintillations result from irregularities of plasma bubbles or equa-73 torial spread F, driven by the Rayleigh-Taylor instability due to lower atmosphere-ionosphere 74 coupling processes (C.-S. Huang & Kelley, 1996; Kelley, 2009; Xiong et al., 2010; Aa et 75 al., 2020; Jin et al., 2020). At higher latitudes, TEC perturbations are caused by plasma 76 irregularities in the cusp and polar cap regions, ranging from a few meters to hundreds 77 of kilometers and associated with solar wind-magnetosphere-ionosphere coupling pro-78 cesses (e.g., Basu et al., 1990; Moen et al., 2013; Spicher et al., 2017). These polar cap 79 irregularities, or density patches, emerge from complex dynamics involving EUV radi-80

ation, convection, cusp precipitation, field-aligned currents, and various plasma insta-

⁸² bilities (Kintner et al., 2007; Moen et al., 2013; Fæhn Follestad et al., 2020).

TEC perturbations have also been frequently observed in the auroral region, where 83 structured particle precipitation prevails (Basu et al., 1983; Coker et al., 1995; Newell 84 et al., 2009; Nishimura et al., 2010; Chaston et al., 2003; Watson et al., 2011; Watson, 85 Jayachandran, Singer, et al., 2016; Kasahara et al., 2018; Liang et al., 2019; Shen et al., 86 2020; Nishimura et al., 2023). This auroral precipitation, often accompanied by plasma 87 flow shears, can induce plasma instabilities and density gradients on scales down to the 88 first Fresnel (diffractive) zone of hundreds of meters (Fejer & Kelley, 1980; Keskinen & 89 Ossakow, 1983; Tsunoda, 1988; Semeter et al., 2017). Statistical studies have showed that 90 strong TEC perturbations and GPS phase scintillations were closely collocated in the 91 auroral region (e.g., Spogli et al., 2009; Jin et al., 2015; Prikryl et al., 2015; Makarevich 92 et al., 2021). 93

Previous studies have identified modulations of TEC perturbations near the au-94 roral region associated with ultra-low-frequency (ULF) waves (Davies & Hartmann, 1976; 95 Okuzawa & Davies, 1981; Skone, 2009; Pilipenko et al., 2014; Watson et al., 2015; Wat-96 son, Jayachandran, Singer, et al., 2016; Zhai et al., 2021). Skone (2009) noted that av-97 erage power of magnetic and TEC perturbations in the Pc3 band ($\sim 0.02-0.1$ Hz) dis-98 played similar temporal variations. Pilipenko et al. (2014) observed a high coherence (~ 0.9) 99 between TEC perturbations and global Pc5 pulsations in a few millihertz during a ge-100 omagnetic storm. High coherence and significant common power between TEC pertur-101 bations and ULF radial magnetic field variations in the Pc4 band were also reported by 102 Watson, Jayachandran, Singer, et al. (2016). Despite proposals for various direct TEC 103 modulation mechanisms by ULF waves (Pilipenko et al., 2014), no mechanism has yet 104 been conclusively established. 105

Combining spacecraft and ground-based radar observations, Wang et al. (2020) reported a storm-time event where duskside ionospheric density was modulated by ULF waves in the Pc5 range. Density inversion suggests that the Pc5 pulsations modulated precipitating electrons over an energy range of \sim 1–500 keV and an altitude range of \sim 80– 200 km. The authors postulated that these precipitation and density perturbations are likely due to electron scattered into the loss cone by ULF-modulated very-low-frequency (VLF) whistler-mode waves.

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Numerous observations and models demonstrate that ULF waves coexist with and 113 modulate whistler-mode chorus waves (Coroniti & Kennel, 1970; W. Li, Thorne, et al., 114 2011; W. Li, Bortnik, Thorne, Nishimura, et al., 2011; Watt et al., 2011; Jaynes et al., 115 2015; Xia et al., 2016, 2020; Zhang et al., 2019, 2020; X. Shi et al., 2022; L. Li et al., 2022, 116 2023). The modulation of the whistler-mode wave growth is potentially caused by compression-117 induced ambient thermal or resonant hot electron density variations (W. Li, Bortnik, Thorne, 118 Nishimura, et al., 2011; Xia et al., 2016, 2020; Zhang et al., 2019, 2020), resonant elec-119 tron anisotropy variations (W. Li, Thorne, et al., 2011; Watt et al., 2011), and nonlin-120 ear effects from periodic magnetic field configuration variations (L. Li et al., 2022, 2023). 121 The periodic excitation of whistler-mode waves at the ULF wave frequency leads to pe-122 riodic electron precipitation, which drives pulsating auroras (e.g., Miyoshi et al., 2010; 123 Nishimura et al., 2010; Jaynes et al., 2015) and may account for many previously reported 124 dTEC modulations at ULF frequencies (Pilipenko et al., 2014; Watson, Jayachandran, 125 Singer, et al., 2016; Zhai et al., 2021). 126

However, it is challenging to establish a direct link between magnetospheric drivers 127 and ionospheric TEC perturbations during ULF modulation events due to several fac-128 tors: (1) the path-integrated nature of TEC perturbations, which strongly depend on 129 the satellite-to-receiver raypath elevation (e.g., Jakowski et al., 1996; Komjathy, 1997), 130 (2) inherent phase shifts due to complex propagation and modulation effects (Watson 131 et al., 2015), particularly when conjugate observations are misaligned or not synchro-132 nized, and (3) the dynamic and turbulent nature of the auroral ionosphere (Kelley, 2009). 133 Direct evidence linking TEC perturbations to magnetospheric drivers is yet to be dis-134 covered. 135

In this study, fortuitously conjugate observations from the THEMIS spacecraft and 136 the GPS receiver at Fairbanks, Alaska (FAIR) allow us to identify the driver of GPS TEC 137 perturbations as magnetospheric electron precipitation induced by ULF-modulated whistler-138 mode waves. Figure 1 illustrates the physical picture emerging from our conjugate mag-139 netospheric and ionospheric observations of ULF waves, modulated whistler-mode waves, 140 electron precipitation, and TEC perturbations. These results provide better specifica-141 tions of high-latitude drivers for physics-based TEC modeling (Ridley et al., 2006; Zetter-142 gren & Snively, 2015; Meng et al., 2016, 2020; Sheng et al., 2020; Verkhoglyadova et al., 143 2020; Huba & Drob, 2017) and enhance forecasting capabilities by incorporating the ef-144 fects of magnetospheric wave-driven electron precipitation. 145

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Figure 1. Schematic from THEMIS and FAIR observations of (a) modulation of magnetospheric precipitation due to electron scattering into the loss cone by ULF-modulated whistlermode waves near the magnetic equator; and (b) the modulated electron precipitation has energies of \sim 0.1–30 keV, depositing their energies at altitudes between \sim 100–400 km, and inducing modulated impact ionization and TEC perturbations (dTEC) having amplitudes as large as \sim 0.5 TECU and spanning scales of \sim 5–80 km.

In what follows, Section 2 describes datasets and models employed to study whistlerdriven precipitation and resulting TEC perturbations. Section 3 presents a detailed analysis and cross-correlation between observed and modeled TEC perturbations. Section 4 discusses the geophysical implications and applications of our results, which are followed by our main conclusions.

¹⁵¹ 2 Data and Methodology

We derive 1-s vertical TEC (VTEC) measurements from phase and pseudorange 152 data collected by the GPS receiver at Fairbanks, Alaska (FAIR) during 15:06–16:36 UT 153 on July 3, 2013, processed at Jet Propulsion Laboratory using the GipsyX and Global 154 Ionospheric Mapping (GIM) software (Komjathy et al., 2005; Bertiger et al., 2020). Phase-155 based TEC measurements are leveled using pseudorange delays for each phase-connected 156 data collection. We focus on links between FAIR and GPS satellites with pseudo ran-157 dom noise (PRN) numbers 40, 43, and 60, referred to as GPS40, GPS43, and GPS60, 158 whose ionospheric pierce points (IPP) at 450 km altitude are within 300 km proximity 159 to FAIR, or IPPs at 150 km are within 100 km proximity to FAIR. The obtained TEC 160 is expressed in TEC units (TECU), i.e., 10^{16} electrons/m². The slant TEC is converted 161 to VTEC using the standard mapping function (e.g., Mannucci et al., 1998). Measure-162 ments with elevation angles less than 30° are not considered here. The VTEC data are 163 then detrended to get TEC perturbations (dTEC) using a fourth-order Butterworth low-164 pass filter. We focus on dTEC with wave periods smaller than 25 min. The accuracy of 165 dTEC based on phase measurements is $\sim 0.01-0.02$ TECU (e.g., Coster et al., 2013). 166

We use the following datasets from THEMIS-E (Angelopoulos, 2008): electron en-167 ergy and pitch-angle distributions measured by the Electrostatic Analyzers (ESA) in-168 strument in the energy range of several eV up to 30 keV (McFadden et al., 2008), DC 169 vector magnetic field at spin resolution (~ 3 s) measured by the Fluxgate Magnetome-170 ters (FGM) (Auster et al., 2008), electric and magnetic field wave spectra within 1 Hz-171 4 kHz, measured every \sim 8 s by the Digital Fields Board (DFB), the Electric Field In-172 strument (EFI), and the search coil magnetometer (SCM) (Le Contel et al., 2008; Bon-173 nell et al., 2008; Cully, Ergun, et al., 2008). Background electron densities are inferred 174 from spacecraft potentials (Bonnell et al., 2008; Nishimura et al., 2013). We also use ground-175 based magnetometer measurements every 1 s from the College (CMO) site operated by 176

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the United States Geological Survey Geomagnetism Program and from the Fort Yukon

(FYKN) site operated by the Geophysical Institute at the University of Alaska.

THEMIS observations of electron distributions and wave spectra allow us to calculate the precipitating flux of electrons scattered into the loss cone by whistler-mode waves using quasilinear diffusion theory (Kennel & Engelmann, 1966; Lyons, 1974). For whistler-mode wave normals $\theta < 45^{\circ}$, we use a validated analytical formula of bounceaveraged electron diffusion coefficients from Artemyev et al. (2013). For small pitch angle α_{eq} approaching the loss cone α_{LC} , the first-order cyclotron resonance provides the main contribution to the bounce-averaged diffusion rate:

$$\langle D_{\alpha_{eq}\alpha_{eq}}\rangle \simeq \frac{\pi B_w^2 \Omega_{ceq}\omega_m}{4\gamma B_{eq}^2 \Delta \omega (p\epsilon_{meq})^{13/9} T(\alpha_{LC}) \cos^2_{\alpha_{LC}}} \times \frac{\Delta \lambda_{R,N} (1+3\sin^2\lambda_R)^{7/12} (1-\bar{\omega})}{|\gamma\bar{\omega}-2\gamma\bar{\omega}^2+1||1-\gamma\bar{\omega}|^{4/9}}, \quad (1)$$

with B_w indicating the wave amplitude, ω_m the mean wave frequency, $\Delta\omega$ the frequency width, $\bar{\omega} = \omega_m/\Omega_{ce}$ the normalized frequency, Ω_{ce} and Ω_{ceq} the local and equatorial electron cyclotron frequency, γ the relativistic factor, p the electron momentum, $\epsilon_{meq} =$ $\Omega_{pe}/\Omega_{ceq}\sqrt{\omega_m/\Omega_{ceq}}$ where Ω_{pe} is the plasma frequency, $T(\alpha_{eq})$ the bounce period, λ_R the latitude of resonance, and $\Delta\lambda_{R,N}$ the latitudinal range of resonance (see details in Artemyev et al. (2013)). The precipitating differential energy flux within the loss cone can be estimated as $x(E)J(E, \alpha_{LC})$, where

$$x(E) = 2 \int_0^1 I_0(Z_0\tau)\tau d\tau / I_0(Z_0),$$
(2)

¹⁹³ being the index of loss cone filling, $J(E, \alpha_{LC})$ is the electron differential energy flux near ¹⁹⁴ the loss cone, I_0 is the modified Bessel function with an argument $Z_0 \simeq \alpha_{LC} / \sqrt{\langle D_{\alpha_{eq}} \alpha_{eq} \rangle \cdot \tau_{loss}}$ ¹⁹⁵ (Kennel & Petschek, 1966), and τ_{loss} is assumed to be half of the bounce period.

With an energy distribution of precipitating electrons within 0.1–30 keV, we es-196 timate the impact ionization rate altitude profile using the parameterization model de-197 veloped by Fang et al. (2010), covering isotropic electron precipitation from 100 eV up 198 to 1 MeV. This model, derived through fits to first-principle model results, allows effi-199 cient ionization computation for arbitrary energy spectra. Atmospheric density and scale 200 height data were obtained from the NRLMSISE-00 model (Picone et al., 2002). We model 201 TEC perturbations resulting from whistler-induced electron precipitation by integrat-202 ing ionization rates over altitude and time, adopting an 8-s integration period to align 203 with the temporal resolution of THEMIS wave spectra data. Although our analysis does 204 not concern equilibrium densities and omits recombination and convective effects, this 205

neglect has little impact because we focus on relative TEC perturbations due to short-

time precipitation. It takes nearly 60 s for the background ionosphere to relax to an equi-

librium density solution for 10-keV precipitation and longer for lower energies (e.g., Kaep-

²⁰⁹ pler et al., 2022). Our estimated dTEC also closely match observed dTEC values, un-

derscoring the effectiveness of our modeling approach despite its approximation.

211 3 Results

On July 3, 2013, from 15:06 to 16:36 UT, the THEMIS-E spacecraft flew westward 212 over the FAIR GPS receiver station, coming within ~ 20 km relative to FAIR when mapped 213 to 450 km altitude. This optimally timed and positioned space-ground conjunction of-214 fers a unique opportunity to link between magnetospheric and ionospheric processes along 215 the field line. The event occurred at $L \sim 7$, outside the plasmapause of $L_{pp} \sim 5.4$ (based 216 on THEMIS-E densities near 17:00 UT), near the magnetic local time (MLT) of 4.5 hr, 217 and during a geomagnetic quiet time with $Kp \sim 1$ and $AE \sim 200$ nT. Figure 2a illus-218 trates the trajectories of THEMIS-E and the ionosphere pierce points (IPPs) of GPS40, 219 GPS43, and GPS60 near FAIR, mapped to 450 km altitude. The footprints of THEMIS-220 E are field-line traced using the Tsyganenko T96 model (Tsyganenko, 1995) but the GPS 221 satellites are mapped using line of sight. Of these GPS satellites, the GPS43 IPPs, mov-222 ing eastward, were nearest to both the FAIR and THEMIS-E footprints, exhibiting close 223 longitudinal alignment. A notable conjugacy, marked by the bright red segment from 224 15:37 to 16:11 UT, occurred when the footprints of THEMIS-E and GPS43 IPPs were 225 within ~ 100 km to each other and FAIR (Figure 2j). In Supporting Information, we also 226 present the configuration when the satellites and their IPPs are mapped to an altitude 227 of 150 km. This adjustment does not significantly alter the geometry of our conjunction 228 event, but it does slightly reduce the scale of the satellite footpaths near FAIR. 229

Figures 2b–2d present THEMIS observations of whistler-mode waves. The observed 230 wave frequencies were in the whistler lower band, spanning $\sim 0.2-0.5\Omega_{ce}$, with a mean 231 frequency $\omega_m \sim 0.35 \Omega_{ce}$, and $\Delta \omega \sim 0.15 \Omega_{ce}$, where the electron cyclotron frequency $f_{ce} \sim$ 232 $\Omega_{ce}/2\pi \sim 2.15$ kHz. Figure 2d shows that whistler-mode wave amplitudes B_w range from 233 several pT to over 100 pT, measured at 8-s cadence (black curve) and smoothed with 234 2-min moving averages (red curve). Short-term oscillations in B_w on the order of tens 235 of seconds were observed atop more gradual variations of several minutes. We mainly 236 use smoothed or average B_w to estimate electron precipitation in the following. Absent 237



Figure 2. (a) Configuration of THEMIS-E (black curve), GPS40, GPS43, and GPS60 satellites (green, purple, and blue curves), and the FAIR receiver (black star) in geographic coordinates, with THEMIS and GPS mapped onto 450 km altitude using T96 field tracing (THEMIS) or line of sight (GPS). The plus symbol indicates the start of the footpath. (b–e) THEMIS-E magnetic field spectrogram, electric field spectrogram, whistler-mode wave amplitudes, and fieldaligned (0°–22.5°) electron energy spectrogram. (f) Bounce-averaged electron diffusion rates. (g) Index of loss cone filling. (h) Whistler-driven precipitating electron energy spectrogram. (i) Comparison of whistler-driven model dTEC (red curve) and GPS43-observed dTEC (black curve). (j) Great-circle distances between THEMIS-E footpath (red curve) and GPS43 raypath (black curve) at IPP of 450 km relative to the FAIR station.

direct waveform data on whistler-mode wave normals for our event, we infer, based on the measured E/cB spectra ($E/cB \ll 1$, see Supporting Information) and statistical nightside equatorial plasma sheet observations (W. Li, Bortnik, Thorne, & Angelopoulos, 2011; Agapitov et al., 2013; Meredith et al., 2021), the presence of quasi-parallel whistlers with an assumed Gaussian wave normal width of $\Delta \theta \sim 30^{\circ}$ confined within $\pm 30^{\circ}$ in latitude.

Figures 2e–2h display the measured plasma sheet field-aligned ($\alpha \sim [0^{\circ}, 22.5^{\circ}]$) elec-243 trons from 50 eV up to 25 keV, calculated diffusion rates $\langle D_{\alpha_{eq}\alpha_{eq}} \rangle$, estimated loss cone 244 filling x(E), and precipitating electron energy fluxes. Although $\langle D_{\alpha_{eq}\alpha_{eq}} \rangle$ and x(E) in-245 crease at lower energies, the precipitating energy fluxes peak between 1-10 keV, exhibit-246 ing similar modulations as seen in the smoothed whistler-mode wave amplitude B_w . Elec-247 tron precipitation fluxes below $\sim 200 \text{ eV}$ are absent due to an energy threshold for elec-248 tron cyclotron resonance interaction, with the lower limit primarily determined by the 249 ratio Ω_{pe}/Ω_{ce} (~3 in our case). 250

Figure 2i compares modeled (red) and directly measured dTEC (black) from the 251 GPS43 signal, revealing a nearly one-to-one phase correlation from 15:37 to 16:11 UT. 252 This period of close correlation coincides with the conjunction of THEMIS-E, GPS43, 253 and FAIR, where their relative distances were within ~ 100 km (Figure 2j). Outside this 254 conjugacy period and further away from the FAIR station, the correlation decreases. Ob-255 served peak-to-peak amplitudes of dTEC reached ~ 0.5 TECU. Note that this particu-256 lar event occurred during quiet conditions; other events during storms may have much 257 larger TEC modulation amplitudes (e.g., Watson et al., 2015), though more challeng-258 ing to have such reliable conjunction, especially given uncertainties in magnetic field map-259 ping during storms (e.g., C.-L. Huang et al., 2008). 260

Figure 3 underscores the critical role of observation geometry and timing in detect-261 ing phase correlations between modeled and measured dTEC across three GPS satellites. 262 Despite all three satellites having raypath elevation angles $>40^{\circ}$ —reducing the likelihood 263 of multi-path effects (e.g., Kintner et al., 2007)—only the GPS43 elevation reached 80° 264 above the FAIR station zenith (Figure 3a). During the conjugacy period, the IPPs of 265 GPS40 and GPS60 were distanced from FAIR by more than 200 km, while GPS43's IPPs 266 remained within 100 km, coming within 20 km at its closest point (Figure 3b). Figures 3c 267 and 3d reveal that the modeled dTEC (red curve) aligns poorly with GPS40 and GPS60 268 dTEC (blue and magenta curves), but a significant cross-correlation (~ 0.8) emerges with 269

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Figure 3. (a) Raypath elevation angles of GPS40 (green curve), GPS43 (black curve), and GPS60 (magenta curve). (b) Distances between THEMIS-E footpath and GPS satellite IPPs relative to FAIR, displayed in the same format as Figure 1j. (c) Comparison between whistler-driven model dTEC and observed dTEC from GPS40 and GPS60, which were not in good conjunction with THEMIS or FAIR. (d) Comparison between whistler-driven model dTEC and GPS43-observed dTEC. The cross-correlation coefficients are -0.15, 0.76, and 0.68 during intervals before, during, and after conjunction, respectively.

GPS43 dTEC (black) during the conjugacy period. Before and after the conjunction, TEC phase shifts reduce the cross-correlation to -0.15 and 0.68, respectively. Given the nearparallel longitudinal alignment of GPS43 IPPs and THEMIS-E footprints (Figure 2a), the measured dTEC (black) potentially reflects both temporal and spatial/longitudinal modulations. These findings suggest that to reliably identify the electron precipitation responsible for TEC perturbations requires precise spacecraft spatial alignment, optimal timing, and high raypath elevations.

The modulation of TEC perturbations, electron precipitation, and whistler-mode 277 wave amplitudes was linked to ULF wave activities in the Pc3-5 band (1.7 mHz to 100 278 mHz). Figure 4a display the magnetic field perturbations measured by THEMIS-E in 279 the mean field-aligned (MFA) coordinates, in which the parallel direction (||, the com-280 pressional component) is determined by 15-minute sliding averages of the magnetic field, 281 the azimuthal direction (ϕ , the toroidal component) is along the cross product of z and 282 the spacecraft geocentric position vector, and the radial direction (r, the poloidal com-283 ponent) completes the triad. Magnetic perturbations are obtained by subtracting the 284 15-minute mean field. During the conjunction, THEMIS-E detected both compressional 285 Pc5 waves (red curve) and poloidal Pc3-4 waves (blue curve). Figure 4b indicates that 286 peaks in whistler-mode wave amplitudes approximately align with troughs of compres-287 sional ULF waves, with fine-scale whistler amplitudes primarily modulated by poloidal 288 Pc3-4 waves (See Supporting Information). Strong Pc5 ULF waves were also recorded 289 in the H-component magnetic field perturbations from magnetometers located at CMO 290 and FYKN (Figures 4g-4h), displaying a similar pattern but with greater amplitudes 291 at FYKN, located slightly north of FAIR. The discrepancy between ground- and space-292 measured Pc5 waves potentially results from the localized nature of THEMIS-E obser-293 vations (X. Shi et al., 2022) and the screening/modification effects of ULF waves travers-294 ing the ionosphere (Hughes & Southwood, 1976; Lysak, 1991; Lessard & Knudsen, 2001; 295 X. Shi et al., 2018). These observations imply that the ionospheric TEC perturbations 296 were linked to ULF-modulated whistler-mode waves and the associated electron precip-297 itation (e.g., Coroniti & Kennel, 1970; W. Li, Thorne, et al., 2011; Xia et al., 2016; Zhang 298 et al., 2020; L. Li et al., 2023). 299

Figures 4b–4c compare small-scale/high-frequency fluctuations of whistler-mode wave amplitudes B_w and dTEC, which was bandpass-filtered within the frequency range of 5–200 mHz. The small-scale dTEC fluctuations exhibit similar wave periods to B_w

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Figure 4. (a) THEMIS-E magnetic field perturbations in the mean-field-aligned (MFA) coordinates, exhibiting primarily compressional- (red) and poloidal-mode (blue) variations. (b) THEMIS-E whistler-mode wave amplitudes. The measured amplitudes are shown in black and smoothed in red. (c) dTEC bandpass filtered within 5–200 mHz. (d) The rate of dTEC changes (*ROT1*) from 200-s sliding window ensemble averaging. (e) Wavelet spectrogram of whistlermode waves. (f) Wavelet spectrogram of GPS43 dTEC. (g) Ground-based magnetic field *H* component perturbations in 1.7–100 mHz from the Fort Yukon station (FYKN). (h) Ground-based magnetic *H* component perturbations in 1.7–100 mHz from the College station (CMO). (i–k) Comparisons of dTEC (orange curves) and whistler-mode wave amplitude fluctuation spectra (gray curves) in 1–60 mHz measured before (k), during (j), and after (k) the conjugacy period.

303	fluctuations, evidently intensifying during the conjugacy period, yet lacking a clear phase
304	correlation seen with larger scale perturbations in Figure 3d. Assumed purely spatial,
305	small-scale dTEC variations have wavelengths of ${\sim}15{-}30~{\rm km}$ at IPPs of 450 km altitude
306	(or ${\sim}5{-}10$ km at IPPs of 150 km altitude), compared with the larger-scale dTEC wave-
307	lengths of ${\sim}80$ km (or ${\sim}25$ km at IPPs of 150 km altitude). When mapped to the mag-
308	netosphere, these small-scale dTEC modulations correspond to a magnetospheric source
309	region of ${\sim}100{-}700$ km, while large-scale dTEC modulations suggest a source region of
310	${\sim}500{-}2000$ km. These scales align with prior observations of the transverse scale sizes
311	of chorus elements and their source regions (SantolíK et al., 2003; Agapitov et al., 2017,
312	2018), and with the azimuthal wavelengths of high-m poloidal ULF waves (Yeoman et
313	al., 2012; X. Shi et al., 2018; Zong et al., 2017). Figure 4d shows the rate of TEC index
314	(ROTI), i.e., the standard deviation of the rate of TEC (ROT) (Pi et al., 1997), where
315	$ROT = (dTEC(t+\tau) - dTEC(t))/\tau$ with $\tau = 10$ s, $ROTI = \sqrt{\langle ROT^2 \rangle - \langle ROT \rangle^2}$ us-
316	ing 200-s sliding averages. Significant increases in $ROTI$ were observed within the re-
317	gion of whistler-driven TEC perturbations. However, in our case the GPS signal fluc-
318	tuations were predominantly refractive, as negligible fluctuations were detected at fre-
319	quencies above 0.1 Hz (McCaffrey & Jayachandran, 2017, 2019; Nishimura et al., 2023).

Figures 4e–4f compare the wavelet spectrograms of whistler-mode wave B_w and dTEC, 320 displaying concurrent increases in wave power for both in the frequency range of $\sim 3 \text{ mHz}$ 321 up to tens of mHz. Figures 4i-4k present a more detailed amplitude spectra compari-322 son before, during, and after conjunction. Notably, only during the conjunction, whistler-323 mode wave amplitudes and dTEC share similar power spectral density (PSD) distribu-324 tions in the $1-\sim 30$ mHz range. The peaks in whistler spectra were slightly and consis-325 tently larger than those in dTEC spectra within 3-20 mHz by factors of 1.05-1.2, align-326 ing with expected Doppler shift effects on ionospheric TEC measurements. The Doppler 327 shift results from relative motion of GPS raypath (or IPPs with velocities of ~ 160 m/s 328 in our case) and propagating TEC structures (typically with velocities of several hun-329 dred m/s) (Watson, Jayachandran, & MacDougall, 2016): $f_{cor} = f_{obs}(1 + \frac{\mathbf{v_{ipp}} \cdot \mathbf{v_{struct}}}{|\mathbf{v_{struct}}|^2}),$ 330 where f_{cor} is the frequency corrected for relative motion. Watson, Jayachandran, and 331 MacDougall (2016) found that 89% of their statistical events required a correction fac-332 tor of 1.2 or less for the Doppler shift, consistent with our observations. The agreement 333 between dTEC and whistler amplitude spectra supports that the observed dTEC resulted 334 from electron precipitation induced by whistler-mode waves. 335

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Figure 5. Ionization rate altitude profiles calculated at three time stamps of 15:38:00, 15:45:01, and 15:53:11 UT, corresponding to whistler-mode wave amplitudes of $B_w = 24.5$ pT (red curve), 3.0 pT (gray curve), and 19.9 pT (orange curve). The dTEC were calculated by integrating ionization rates over altitude and time (8s). The dashed lines mark the peak deposition altitudes of 100 eV, 500 eV, 1 keV, 10 keV, and 30 keV precipitating monoenergetic electrons.

Figure 5 indicates that the electron precipitation, induced by ULF-modulated whistler-336 mode waves, can cause significant increases in ionospheric ionization rate or column den-337 sity, leading to TEC perturbations of ~ 0.36 TECU with a moderate whistler amplitude 338 of $B_w \sim 25$ pT. Given that large-amplitude whistler-mode waves exceeding several hun-339 dred pT frequently occur in the inner magnetosphere (Cattell et al., 2008; Cully, Bon-340 nell, & Ergun, 2008; Agapitov et al., 2014; Hartley et al., 2016; R. Shi et al., 2019), we 341 anticipate even larger TEC perturbations from such whistler activities. We defer a sta-342 tistical study including storm time events and the potential connection with scintilla-343 tion (e.g., McCaffrey & Jayachandran, 2019; Nishimura et al., 2023) for the future. In 344 addition, the primary energy range of precipitation spans from $\sim 100 \text{ eV}$ to $\sim 30 \text{ keV}$, con-345 tributing to density variations between $\sim 90 - \sim 400$ km (Fang et al., 2010; Katoh et al., 346 2023; Berland et al., 2023). 347

348 4 Discussion

Various mechanisms have been proposed that link ULF waves to TEC perturba-349 tions and ionospheric disturbances in general (Pilipenko et al., 2014). Although TEC per-350 turbations might arise from direct ULF wave effects through convective and divergent 351 flows, MHD Alfvén-mode waves do not directly alter plasma density. Furthermore, mode-352 converted compressional waves, if present due to Hall currents, are evanescent in the iono-353 sphere (Lessard & Knudsen, 2001), resulting in minimal TEC perturbations (Pilipenko 354 et al., 2014). A non-linear "feedback instability" mechanism may modify ULF wave dy-355 namics, causing field-aligned current striations and significant bottom-side ionospheric 356 density cavities and gradients (Lysak, 1991; Streltsov & Lotko, 2008). Additionally, elec-357 tron precipitation and Joule heating are important factors to consider in the auroral re-358 gion (e.g., Deng & Ridley, 2007; Sheng et al., 2020; Meng et al., 2022). 359

Detecting one-to-one phase correlation between ground-based ULF waves and TEC 360 perturbations may be challenging, largely due to ionospheric screening effects on ULF 361 waves (Hughes & Southwood, 1976), with only a few exceptions noted during storm times 362 (Pilipenko et al., 2014; Wang et al., 2020). However, this correlation has been frequently 363 observed with spacecraft measurements of ULF waves (Watson et al., 2015; Watson, Jay-364 achandran, Singer, et al., 2016; Zhai et al., 2021), indicating that magnetospheric pro-365 cesses may play an important role in driving ionospheric TEC perturbations. Our find-366 ings support that magnetospheric whistler-mode waves, modulated by ULF waves in the 367

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Pc3-5 band, are responsible for these periodic TEC perturbations through associated
electron precipitation.

These results enhance our understanding of TEC modulation by ULF waves, a topic 370 widely discussed in the literature (Skone, 2009; Pilipenko et al., 2014; Watson et al., 2015; 371 Watson, Jayachandran, Singer, et al., 2016; Wang et al., 2020; Zhai et al., 2021), and fa-372 cilitates the integration of effects of magnetospheric whistler-mode waves into auroral 373 TEC models. Statistical modeling of whistler-mode and ULF waves has been improv-374 ing for several decades (e.g., Tsurutani & Smith, 1974; McPherron, 1972; Takahashi & 375 Anderson, 1992; W. Li, Bortnik, Thorne, & Angelopoulos, 2011; Agapitov et al., 2013; 376 Artemyev et al., 2016; Tyler et al., 2019; Zong et al., 2017; Ma et al., 2020; Zhang et al., 377 2020; Sandhu et al., 2021; Hartinger et al., 2015, 2022, 2023). Leveraging these wave ef-378 fects and the associated electron precipitation can enhance understanding and physics-379 based modeling of high-latitude TEC (Ridley et al., 2006; Zettergren & Snively, 2015; 380 Meng et al., 2016, 2020; Sheng et al., 2020; Verkhoglyadova et al., 2020; Huba & Drob, 381 2017). Incorporating these magnetospheric phenomena is vital for improving the accu-382 racy of ionospheric TEC models during periods of elevated geomagnetic activities, po-383 tentially benefiting ionospheric science and GNSS-based applications. 384

5 Conclusions

We present a detailed case study of ionospheric TEC perturbations (dTEC), using fortuitously timed and positioned conjugate observations from the THEMIS spacecraft and the GPS receiver at Fairbanks, Alaska (FAIR). This conjunction setup allows us to identify the magnetospheric driver of the observed dTEC. Our key findings are summarized below:

- Combining in-situ wave and electron observations and quasilinear theory, we have
 modeled the electron precipitation induced by observed whistler-mode waves and
 deduced ionospheric dTEC based on impact ionization predictions. The cross-correlation
 between the modeled and observed dTEC reached ~0.8 during the conjugacy pe riod of ~30 min but decreased outside of it.
- Observed peak-to-peak dTEC amplitudes reached ~0.5 TECU, exhibiting mod ulations spanning scales of ~5–80 km. Within the modulated dTEC, enhancements
 in the rate of TEC index (ROTI) were measured to be ~0.2 TECU/min.

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• The whistler-mode waves and dTEC modulations were linked to ULF waves in the Pc3-5 band, featuring concurrent compressional and poloidal mode fluctuations. The amplitude spectra of whistler-mode waves and dTEC also agreed from 1 mHz to tens of mHz during the conjugacy period but diverged outside of it.

Thus, our results provide direct evidence that ULF-modulated whistler-mode waves in the magnetosphere drive electron precipitation leading to ionospheric TEC perturbation modulations. Our observations also indicate that to reliably identify the electron precipitation responsible for TEC perturbations requires precise spacecraft spatial alignment, optimal timing, and high raypath elevations. Our findings may help to augment physics-based high-latitude TEC modeling and forecasting by incorporating the effects of magnetospheric wave-driven precipitation.

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418 Open Research

THEMIS data are available at http://themis.ssl.berkeley.edu/data/themis/. 419 GPS RINEX data are publicly available from the NASA CDDIS archive of space geodesy 420 data (https://cddis.nasa.gov/Data_and_Derived_Products/index.html). TEC data 421 derived for this study is available at https://dataverse.jpl.nasa.gov/dataset.xhtml 422 ?persistentId=doi:10.48577/jpl.KXY5BW. Original CMO data are provided by the 423 USGS Geomagnetism Program (http://geomag.usgs.gov). FYKN data are part of the 424 Geophysical Institute Magnetometer Array operated by the Geophysical Institute, Uni-425 versity of Alaska (http://magnet.asf.alaska.edu/). Data access and processing was 426 done using SPEDAS V4.1, see Angelopoulos et al. (2019). 427

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