DNA Structures Generated During Recombination Initiated by Mismatch Repair of UV-Irradiated Nonreplicating Phage DNA in *Escherichia coli:* Requirements for Helicase, Exonucleases, and RecF and RecBCD Functions

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ABSTRACT

During infection of homoimmune Escherichia coli lysogens ("repressed infections"), undamaged nonreplicating λ phage DNA circles undergo very little recombination. Prior UV irradiation of phages dramatically elevates recombinant frequencies, even in bacteria deficient in UvrABC-mediated excision repair. We previously reported that 80-90% of this UvrABC-independent recombination required MutHLS function and unmethylated d(GATC) sites, two hallmarks of methyl-directed mismatch repair. We now find that deficiencies in other mismatch-repair activities—UvrD helicase, exonuclease I, exonuclease VII, RecJ exonuclease-drastically reduce recombination. These effects of exonuclease deficiencies on recombination are greater than previously observed effects on mispair-provoked excision in vitro. This suggests that the exonucleases also play other roles in generation and processing of recombinagenic DNA structures. Even though dsDNA breaks are thought to be highly recombinagenic, 60% of intracellular UV-irradiated phage DNA extracted from bacteria in which recombination is low-UvrD-, ExoI⁻, ExoVII⁻, or RecJ⁻—displays (near-)blunt-ended dsDNA ends (RecBCD-sensitive when deproteinized). In contrast, only bacteria showing high recombination (Mut⁺ UvrD⁺ Exo⁺) generate singlestranded regions in nonreplicating UV-irradiated DNA. Both recF and recB recC mutations strikingly reduce recombination (almost as much as a recF recB recC triple mutation), suggesting critical requirements for both RecF and RecBCD activity. The mismatch repair system may thus process UV-irradiated DNA so as to initiate more than one recombination pathway.

 $E^{
m SCHERICHIA\ COLI\ contains\ versatile\ and\ efficient}$ recombination systems; recombination-deficient mutants (reviewed by CLARK and SANDLER 1994) are sensitive to UV light and other genotoxic agents, suggesting that recombination mitigates the consequences of unrepaired DNA damage. Phage-\u03b3 nonreplicating-DNA systems have been successfully used to analyze damage-stimulated recombination in vivo (LIN and HOWARD-FLANDERS 1976; IKEDA and KOBAYASHI 1978; HAYS and BOEHMER 1978; PORTER et al. 1979; FENG et al. 1991). The intracellular substrates in these experiments are well-defined 50-kb circles, in which DNA replication and most transcription are blocked by (homoimmune) phage repressor. Normally recombination is negligible, but UV irradiation of phages before infection (of unirradiated bacteria) increases recombinant frequencies to $\geq 30\%$ (Hays and BOEHMER 1978); stimulation is not due to induction of the E. coli SOS system (HAYS and LEE 1985). Irradiated phage DNA can give rise to recombinagenic structures via excision repair, but phages irradiated at moderate doses show substantial recombination even in UvrABC- bacteria (LIN and HOWARD-FLANDERS 1976; PORTER et al. 1978; SMITH and HAYS 1985). FENG et al. (1991) showed UvrA-independent recombination to depend mostly on the *E. coli* mismatch-repair activities MutH, MutL, and MutS, and on the presence of unmethylated d(GATC) sequences in the phage DNA. This suggested that methyl-directed mismatch repair sometimes converted photoproductcontaining DNA into recombinagenic structures.

MutH, MutL and MutS proteins mediate incision at unmethylated d(GATC) sequences near base-mispairs (AU *et al.* 1992). Subsequent excision requires the UvrD helicase (helicase II) and, depending on the relative orientation of the mismatch and the unmethylated d(GATC) site, single-stranded-DNA exonucleases with $5' \rightarrow 3'$ (RecJ exonuclease, exonuclease VII) or $3' \rightarrow 5'$ (exonuclease I) specificity (COOPER *et al.* 1993). Here we have asked whether MutHLS-initiated recombination of nonreplicating UV-irradiated phage DNA in UvrA⁻ bacteria also requires these helicase and exonuclease activities.

By analyzing phage DNA extracted from infected cells, we have further sought to determine whether UVirradiated DNA is physically broken down by mismatch repair, whether any breakdown products resemble structures thought to be recombinagenic, and whether mutations that affect recombination affect DNA breakdown. Repressed infections afford significant advantages for study of recombination substrates and inter-

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mediates *in vivo*; in the bacterial chromosome such structures are typically infrequent, and concomitant DNA replication complicates analysis.

Finally, we have asked whether this recombination of UV-irradiated DNA conforms to models for separate RecF-dependent and RecBC(D)-dependent pathways. Separate recombination pathways were originally proposed by CLARK and coworkers (HORII and CLARK 1973), to account for effects of certain mutations on conjugal and transductional recombination. Because recB(C) mutants and recF mutants are each moderately UV sensitive, but recB(C) recF double mutants highly sensitive, the separate-pathway hypothesis has been extended to recombinational repair of UV-damaged chromosomes. RecF is thought to assist recombinational filling of daughter-strand gaps arising from photoproductblocked DNA replication, and the RecBCD nuclease/ helicase to carry out recombinational rejoining of double-strand breaks (GANESAN and SEAWELL 1975; SMITH and SHARMA 1987; SMITH and WANG 1989).

Here we find recombination to depend heavily on the same helicase and exonuclease activities that mediate repair of base-pair mismatches. The appearance of single-stranded DNA (ssDNA), but not blunt-ended linear double-stranded (dsDNA), is positively correlated with recombination. Both RecF and RecBC(D) function are required.

MATERIALS AND METHODS

Bacterial strains, bacteriophages, and plasmids: Bacterial strains, all *E. coli* K-12 derivatives, are described in Table 1. Lambda bacteriophages Y1722, $\lambda Aam32 Bam1 plac5 red3 cl857$, and Y1730, $\lambda plac5 lacZ118(oc) red3 cl857$, have been described (FENG *et al.* 1991). Plasmid pCSR606 encodes the *E. coli phr*⁺ gene and some adjacent bacterial DNA (SANCAR *et al.* 1984); in pMS312, the *E. coli mutS*⁺ gene is inserted into the *Eco*RI-nuclease-encoding gene of pSCC31 (SU and MODRICH 1986); pRC202 encodes the *E. coli sseA*⁺gene (CHASE *et al.* 1986); we constructed pWF1 by inserting the phage λcl (*ind*⁻) gene (*Bgl*II fragment) into the *Bam*HI site of the pACYC-derived vector pAClac19 (SCHAEFER and HAYS 1990).

Preparation and irradiation of bacteriophages: Stocks of λ bacteriophages, radiolabeled with [³H]thymidine, were prepared and UV-irradiated essentially as described by FENG *et al.* (1991). UV-induced cyclobutane pyrimidine dimers (CPDs) were estimated on three different occasions, by extraction of phage DNA and treatment with *Micrococcus luteus* or phage T4 UV endonuclease and sedimentation in alkaline sucrose, as described by HAYS *et al.* (1985). Exposure to 20 J/m² induced ~20 CPDs per λ duplex; (6–4) photoproducts would be expected to be ~15–30% as frequent as CPDs (FRANKLIN *et al.* 1982; MITCHELL 1988).

Repressed infections and recombination assays: Infection of *E. coli* bacteria lysogenic for λ *xisl red3* $c^+(ind^-)$, or bacteria carrying plasmid pWF1, with UV-irradiated homoimmune phages (λ Y1722 + λ Y1730 or λ Y1728 + λ Y1729; five of each parent per cell), incubation (with shaking) in broth at 38°, harvesting of samples at various times, and extraction of DNA for recombination and sedimentation assays, were essentially as described (FENG *et al.* 1991).

Sucrose gradient analyses: Zone sedimentation of DNA in sucrose gradients at neutral pH was essentially as described

by BODE (1965). Phages were radiolabeled. UV irradiated. and infected into lysogenic bacteria. Samples of unlabeled bacterial DNA plus [³H]thymidine-labeled phage DNA, extracted from repressed-infection bacteria, were mixed with [¹⁴C]thymidine-labeled phage DNA that had been extracted from purified phage particles. Mixtures were layered directly onto the top of 5-ml 5-20% sucrose gradients and centrifuged at 45,000 rpm in an SW50.1 rotor (Beckman) at 20° for 1.5 hr. Fractions (170 μ l) were collected from the bottom. Aliquots of 40 μ L were mixed with 3.5 ml of liquid-scintillation fluid (New England Nuclear "formula 989") and counted in a Beckman scintillation counter for 10 min. Spillover of ³H in the ¹⁴C channel was negligible. A set of ¹⁴C samples spanning a range of 40-10,000 cpm was counted, and the rate of spillover of ¹⁴C cpm into the ³H channel determined to be 16%. This correction was applied to ³H-cpm values. The DNA remaining in each fraction was precipitated with EtOH, resuspended in TE Buffer (10 mM Tris-HCl, 10 mM Na₂ EDTA, pH 8), and used for other assays.

Analysis of DNA with RecBCD enzyme: DNA in neutralsucrose-sedimentation fractions, or pools of several adjoining fractions (each sample contained \geq 300 cpm) was precipitated by EtOH. Samples usually contained 2.5-7.5 ng phage DNA, plus a large excess of bacterial DNA. RecBCD enzyme was kindly provided by Dr. ANDREW TAYLOR (TAYLOR and SMITH 1985). He assayed the specific activity to be $\sim 127,000$ units per mg protein. Units are double-stranded exonuclease units of EICHLER and LEHMAN (1977): 1 nmole of nucleotides solubilized in 20 min at 37°; estimated purity was greater than 95% (by gel electrophoresis). Digestions were carried out as described by TAYLOR and SMITH (1985), in a 20 μ l solution containing 50 mM Tris-HCl (pH 7.0), 1 mM dithiothreitol, 10 mм MgCl₂, 200 mм ATP, 1 mg/ml bovine serum albumin, 2.5–7.5 ng of sample DNA plus \sim 200 ng bacterial DNA, and two (or less, if indicated) units of RecBCD enzyme. After incubation at 37° for 30 minutes, reactions were terminated by addition of 0.2 volume of solution containing 200 mM EDTA, 0.1% sodium dodecyl sulfate, 50% sucrose, 0.2% bromophenol blue, and 0.2% xylene cyanol. Samples were mixed with 0.1 volume of 3 M Na-Acetate, two volumes of chilled 95% ethyl alcohol, and kept at -20° for 20 min. After centrifugation for 15 min at 13,000 rpm in a microfuge in a 4° room, pellets were dried and resuspended in 20 μ l TE. The amount of nonalcohol-precipitable radioactivity was determined both directly, as the fraction of DNA radioactivity remaining soluble, and as [1-(fraction precipitated)]. The average of these two values was taken as the RecBCD sensitivity.

The resistance to RecBCD digestion of circular DNA duplexes containing gaps was verified using model substrates. Plasmid pBR322 was digested with restriction endonucleases EcoRI plus SalI (i), or BamHI plus SalI (ii), and the long fragments electrophoretically purified. These were annealed to pBR322 linearized by PstI endonuclease, to yield hydrogenbonded circular heteroduplexes with (i) 0.65-kb or (ii) 0.28kb gaps, separated from nicks at the PstI site by 0.75 or 1.1 kb, respectively, plus linear homoduplexes. Gel electrophoresis revealed putative gapped-circle bands migrating more slowly than the doublet corresponding to linear duplexes, but ahead of presumed hydrogen-bonded concatamers trapped in the wells. Under the digestion conditions used, all of the presumed linear species were removed after 15 min, but the putative gapped-circle bands showed no loss in intensity after 60 min RecBCD treatment (data not shown). These experiments also rule out significant dsDNA endonuclease contamination of the RecBCD preparations.

Analysis of DNA with mung-bean endonuclease: Mungbean endonuclease specifically cleaves single-stranded DNA or RNA. Reactions were carried out as recommended by the

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Bacterial strains

Strain	Pertinent genotype	Other markers	Source (reference)
BW1091 C600 DP302 ES1574 FD2563 CM2159 CM2159 JH158 JH158 JH158 JH310 KLC381 MM2838	$\Delta xonA = \Delta (attP24-dcd)$ rec^{+} $recA::cat$ $mutS201::\Gamman5$ $\Delta (urrB-chlA)$ $dam13::\Gamman9$ $rec^{2}284::Tn10$ $rec^{+} [Xd[ind^{-}) red3 xis1]$ $recF143$ $recB21 recC22 recF143$ $\Delta (xseA-guaB)$ rec^{+}	rssA:: (mini) $Tn IO$ supE thi-1 thr lev lacY F^{-} supE42 As AB1157 ^a As C600 Fath-1 ara-14 leuBN6 Δ (gpt-proA) similar to AB1157 similar to AB1157 As N99 but zga921:: $Tn IO$ guaB As N99 but zga921:: $Tn IO$ guaB HfrH Δ (gal-bio) supE thi Δ (lac-proA13)	 B. WEISS (NEUHARD and THOMASSEN 1976) (BACHMANN 1972) (BACHMANN 1972) (BIEK and COHEN 1986) (BIEK and COHEN 1986) (HANS, ACKERMAN and PANG 1990) (HANS, ACKERMAN and PANG 1990) (M. MARINUS (CARRAMAN <i>et al.</i> 1983) (LOVETT and CLARK 1984) (HANS and BOCHMER 1978) This laboratory (SMITH and HANS 1985) This laboratory (SMITH and HANS 1985) J. CHASE via S. LOVETT This laboratory (F derivative of JM01 (YANISH-
MPh30	rec^+	$\mathrm{F}^-\Delta(argFlacZ)U169~phoA::\Gamman5$	FERRON, VIERRA AND MESSING 1959)] R. WOLF (MICHAELIS, GUARANTE and BECKWITH
N99 RM1031 SM2265 SM2265 SM2265 SM2265 SM2265 STL114 TS9 WF2863 WF2863 WF2863 WF2873 WF2873 WF2902 WF2902 WF2902 WF2902 WF2903 WF2903 WF2903 WF2903 WF2903 WF2903	rec^+ duat522:Tn 10 uvrb6 (Acf ind $^-$) uvrb6 (Acf ind $^-$) verf 2003::miniTn 10km uvrb6 uvrb6 mut5201::Tn5 verA6 mut5201::Tn5 verA:cat pCSR606) ^c dam13::Tn 9 (pCG503 ^d for CG503 ^d $for CG503^d$ for Wrbeh(A) rec $\Delta(uvrbeh(A)$ rec $\Delta(uvr$	$galK2 \ rpsl_{200}$ $hi \ hy \ leu \ thr \ lac' \ sr'$ As [H158, but $\Delta(lac-pro) \ X111 \ pyrA::Tn10$ As JH157 As AB1157 ^a As AB1157 ^a As NP360 As NP2800 As WF2800 As N999 As N999 As N999 As N999 As N990 As N900 As N90	Derived from W3102 (BACHMANN 1972) R.E. MOSES Hfr(EG333) × TS9 → Tc'[Lac ⁻] ⁶ S. KUSHNER (unpublished) S. LOVETT (unpublished) S. LOVETT (unpublished) (SMITH and HANS 1985) P1 (C600) × SM2265 → Pyr ⁺ [Tc ²] P1 (C600) × SM2265 → Pyr ⁺ [Tc ²] P1 (C600) × SM2265 → Pyr ⁺ [Tc ²] P1 (C600) × SM2265 → Pyr ⁺ [Tc ²] P1 (DPB302) × MP300 → Cm [*] [UMt ⁻] P1 (DPB302) × MP300 → Cm [*] [UV [*]] P1 (C12159) × WF2969 → Ca ⁺ ⁺ [UV [*]] P1 (G12123) × WF2905 → Tc [*] P1 (JH210) × WF2902 → Tc [*] [UV [*]]
WF2916 WF2961 WF3119 WF3120 WF3121	reuF143 ∆(uvrB-chlA) recB21 recC22 (pMS312)* rec ⁺ ∆(uvrB-cheA) ∆xonA ∆(xxeA-guaB) ∆(xxeA-guaB)	As N99 ara $\Delta(lac-proB)XIII F' (lacl- lac2461-6 proB+)$ As WF2860 but $zf(208::Tn 10$ As WF2860 but $zf(208::Tn 10$ As WF2860 but $zf(3139::Tn 10$	P1 (FD2563) × JH314 → Gal ⁺ [UV [*]] This laboratory P1 (BW1091) × WF2860 → Tc ^t [His ⁻] P1 [KLC381(zff -208:Tn 10)] × WF2860 → Tc ^t Gua ⁻ P1 (KLC3199 [zff -3139:Tn 10km)] × WF2860 →
WF3122 WF3123	rec∫2003::miniTn I 0km ∆(xseA-guaB)∆ xon	As WF2860 As WF2860 but zff-3139:.Tn10km Δ(attP2H-dcd) rcsA::Tn10	$\Pr[\text{STLI14}] \times \text{WF2860} \rightarrow \text{Km}^{r}$ $\Pr[\text{STL114}] \times \text{WF2860} \rightarrow \text{Km}^{r}$ $\Pr[\text{KL281}(z_{1}^{r}) + 32 + 32 + 32 + 32 + 32 + 32 + 32 + 3$
WF3124 WF3125 WF3131	\Delta(xseA-guaB)\Delta\non rec/2003::minTn10km Dxon rec/2003::miniTn10km	As WF2860 but zff:208::Tn10 resA::(mini)Tn10	$PI[KLC381 (zff:208::Tn I0)] \times WF3122 \rightarrow Tc^{r}[Gua^{-}]$ $PI(BW1091) \times WF3122 \rightarrow Tc^{r}[His^{-}]$ $PI(BM1031) \times WF9860 \rightarrow Tc^{r}Tr_{e1}$
Tc, tetracycline; Cm, chl ^a HowARD-FLANDERS and ^b P1 (donor strain) × rec ^c <i>phr</i> ⁺ , encoding plasmid ^d dam ⁺ , encoding plasmid ^{mutS⁺} , encoding plasmid	Tc, tetracycline; Cm, chloramphenicol; Km, kanamycin; Nm, neomyci ^a HowArD-FLANDERS and THERIOT (1962). ^b P1 (donor strain) × recipient \rightarrow selected marker [screened marker] ^c phr ⁺ , encoding plasmid. ^d dam ⁺ , encoding plasmid. ^{mutS⁺} , encoding plasmid.	Tc, tetracycline; Cm, chloramphenicol; Km, kanamycin; Nm, neomycin; UV, ultraviolet light. <i>s</i> and <i>r</i> superscripts indicate sensitivity and resistance respectively. ^{<i>a</i>} HowAnD-FLANDERS and THERIOT (1962). ^{<i>b</i>} P1 (donor strain) × recipient \rightarrow selected marker [screened marker]. ^{<i>b</i>} phr^+ , encoding plasmid. ^{<i>d</i>} dam^+ , encoding plasmid. ^{<i>mutS</i>⁺} , encoding plasmid.	ndicate sensitivity and resistance respectively.

Recombinagenic Mismatch Repair

manufacturer (Gibco-BRL). Mixtures contained, in 0.5 ml, 10 mM Na-acetate (pH 5.0), 50 mM NaCl, 0.1 mM Zn-acetate, 1 mM cysteine, 5% (v/v) glycerol, 5–10 ng sample DNA plus ~200 ng bacterial DNA, and 0.1 unit mung-bean endonucle-ase (1 unit of enzyme hydrolyzes 1 μ g of denatured DNA to acid-soluble material in 1 min at 37°). The apparent requirement for excess endonuclease presumably reflects the presence of excess bacterial DNA and RNA in the reaction mixture. Linear λ [¹⁴C]dsDNA included in reaction mixtures was not affected under these conditions. After 20 min incubation at 37°, reactions were terminated by addition of 0.2 ml TE buffer, and the DNA precipitated in EtOH.

Preparation of partially single-stranded DNA by exonuclease III digestion: Digestion mixtures (1 ml) contained 0.4 mmol λ DNA, 70 mmol Tris-HCl, pH 8, 1.7 mmol MgCl₂, 1 mmol EDTA and 1 mmol dithiothreitol. Reactions were carried out at 37° for 10 min, using 0.25–2.0 units of exonuclease III per micromole of DNA (1 unit releases 10 nmol of acidsoluble nucleotide in 10 min at 37°).

Analysis of DNA by BND-cellulose chromatography: Benzoylated-napthoylated-DEAE-cellulose (BND-cellulose) was obtained from Sigma. A 25-g amount of resin was washed successively with 500 ml 2 M NaCl and 2 liters H₂0, and resuspended in 100 ml NET (0.3 M) buffer [0.001 M EDTA and 0.01 м Tris-HCl (pH 7.5), containing 0.3 м NaCl]. Columns were formed by pouring 3 ml of the resuspended slurry substance into a 3-ml syringe plugged with glass wool, and then washed with 10 ml of NET (0.3 M) buffer. DNA was loaded by slow passage (1 ml/min) of solution through the column. Columns were eluted with three 2-ml aliquots of NET (0.3 M) buffer, followed by six 2-ml aliquots NET (1 M) buffer. Elution was continued with an 0-2% gradient of caffeine dissolved in NET (1M) buffer, and then with 6 ml of 50% formamide [in 2% caffeine and NET (1 M) buffer]. Twenty-one fractions were collected; each was divided into several 0.5-ml aliquots and mixed with 5 ml of liquid scintillation fluid. The radioactivity of each fraction was calculated as the sum of the aliquot radioactivities.

RESULTS

Recombination of UV-irradiated nonreplicating phage DNA in UvrA⁻ bacteria: We sought to determine whether activities that act in mismatch repair after MutHLS-mediated incision are also needed for recombination of nonreplicating UV-irradiated DNA. Mutant derivatives of $uvrA(\lambda)$ bacteria, lacking helicase II (uvrD), exonuclease I (xonA), exonuclease VII (xseA), or RecJ exonuclease (recJ), were infected with UV-irradiated λ "Dam⁻" phages [grown on bacteria unable to methylate d(GATC) sequences], and intracellular DNA extracted and assayed for recombination at various times. In *uvrD* bacteria recombination was only 1/10 to 1/4 of wild-type (exo⁺) values (Figure 1). We previously observed very similar reductions in mutH, mutL, and mutS bacteria (FENG et al. 1991). Recombination in exonuclease-deficient mutants—recJ, xseA (ExoVII⁻), or xonA (ExoI⁻)—was reduced substantially, to 1/5 to 2/ 5 of exo^+ frequencies (Figure 1: \bullet , \blacktriangle , \blacksquare , respectively). In previous studies of base-mismatch repair in vitro, the repair defect in ExoVII⁻ Recl⁻ extracts could not be remedied by addition of purified E. coli exonuclease VII (ExoVII) (COOPER et al. 1993). Here however, an $xseA^+$

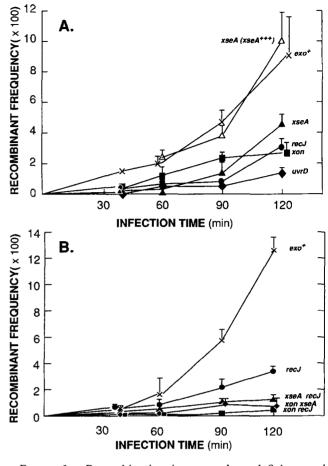


FIGURE 1.—Recombination in exonuclease-deficient and helicase-deficient mutants. Growth of λ Y1722 (Aam Bam lac⁺) and Y1730 (A⁺B⁺ lacZ118) phages to 20 J/m², infections of indicated uvrA(λ) lysogens under room lighting, extraction of intracellular DNA at indicated times, electroporative transfection of WF2872 (Δ lac recA) bacteria, and measurement of the recombinant frequency (fraction of λ A⁺B⁺ lac⁺ among total A⁺B⁺ infective centers) was as described under MATERI-ALS AND METHODS. Data correspond to average values for two or three repressed infections, with half standard deviations indicated. (A) Single mutants. Strains infected: $exo^+(\times)$, WF2860; $xseA(\blacktriangle)$, WF3121; $xseA(xseA^{+++})(\Delta)$, WF3121-(pRC101); $recJ(\bullet)$, WF3122; $xon(\blacksquare)$, WF3119; $uvrD(\blacklozenge)$, SM2503. (B) Double mutants. Strains infected: $exo^+(\times)$, WF2860; $recf(\bullet)$, WF3122; $xseA recf(\bigstar)$, WF3124; xon xseA(\blacklozenge), WF3123; $xon recf(\blacksquare)$, WF3125.

plasmid fully corrected the recombination deficiency in $\Delta xseA$ bacteria (Figure 1A, Δ). This appears to be the first genetic evidence that ExoVII and *E. coli* exonuclease I (ExoI) can play positive roles in recombination; previously, *sbcB* alleles of *xonA*, and *xseA* mutations, were found to actually increase bacterial conjugal and intrachromosomal recombination (KUSHNER *et al.* 1972; CHASE and RICHARDSON 1977). In mutants lacking any two of the exonucleases, recombination was severely reduced, and in $\Delta xonA \ recJ$:: Tn 10 bacteria it was nearly abolished (Figure 1B).

Physical analysis of nonreplicating UV-irradiated DNA processed by the mismatch-repair system: There

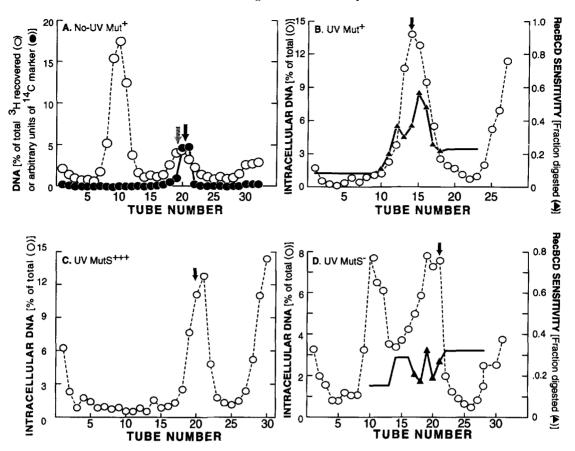


FIGURE 2.—Sedimentation and RecBCD-sensitivity of intracellular phage DNA. Growth of λ Y1730 phages on Dam⁻ bacteria (strain WF2873) with ³H- or ¹⁴C-thymidine, irradiation to 100 J/m², infection of *uvrA*(λ) lysogens with indicated additional phenotypes, extraction of intracellular DNA after 60 min, sedimentation in neutral sucrose, analyses of fractions for radioactivity, and of fractions (\blacktriangle) or pooled fractions (-) for sensitivity to RecBCD nuclease, were as described under MATERIALS AND METHODS. Sedimentation was right to left as shown. Radioactivity in individual fractions is expressed as percent of total [³H]DNA recovered from the gradient (\bigcirc). Linear [¹⁴C]DNA from λ virions, added as a marker to all gradients is shown for each fraction in panel A (\bullet), and the peak center (\downarrow) indicated in other panels. Some of the gradients shown here or in subsequent figures reflect small differences in sedimentation time and/or fraction size that cause the linear marker to appear in different tubes. Representative gradients from two or more experiments are presented. (A) Unirradiated phage in Mut⁺ bacteria (strain WF2860), (**1**) apparent center at nicked-circle peak. (B) UV-irradiated phage in Mut⁺ bacteria (strain WF2860). (C) UV-irradiated phage in MutS⁻ overproducing (MutS⁺⁺⁺) bacteria (strain WF2860 (pMS312)). (D) UV-irradiated phage in MutS⁻ bacteria (strain WF2863). Total [³H]DNA recovered from gradients was 25,000–30,000 CPM; 600–6000 CPM were used for RecBCD analyses.

have been relatively few studies of DNA structures formed during recombination in vivo; much of this work has focused on detection of joint molecules (see for examples ANRAKU et al. 1969; IKEDA and KOBAYASHI 1978). Especially lacking have been analyses of potential recombinagenic substrates. In this initial physical study of the fate of nonreplicating UV-irradiated phage λ DNA in UvrA⁻ Mut⁺ bacteria, we employed neutralsucrose sedimentation and treatment with various enzymes, to semiquantitatively address three questions: Does "mismatch repair" cause physical breakdown of nonreplicating UV-irradiated DNA in vivo? Do any breakdown products have properties associated with recombinagenicity, e.g., double-strand ends or singlestranded regions? Do mutations that reduce recombination also alter the nature of the breakdown products?

Phage λ [³H]DNA, extracted from bacteria after a 60-min repressed infection, was sedimented in neutral

sucrose, along with exogenous linear λ [¹⁴C]DNA. Unirradiated DNA (Figure 2A) was mostly (here 55%) in a fast-sedimenting supercoiled form, as previously observed (BODE 1965). A lesser portion (here 16%) sedimented at intermediate velocities, characteristic of nicked-circular and linear DNA. Although these latter two species are not well resolved, the differences in ³H:¹⁴C ratios for tubes 18 and 19 vs. 20 and 21 suggest that for unirradiated DNA most of the central peak consists of nicked-circular DNA (cross-hatched arrow), which sediments 1.14 times faster than linear λ DNA (filled arrow) (BODE 1965). Only ~9% of the radioactivity appeared in the last three fractions, the position of very small DNA or nucleotides. The remaining 20% was spread throughout the gradient. The amount of linear λ [¹⁴C]DNA in each fraction is shown here, so as to illustrate typical peak shape, but in subsequent profiles only the peak position is shown.

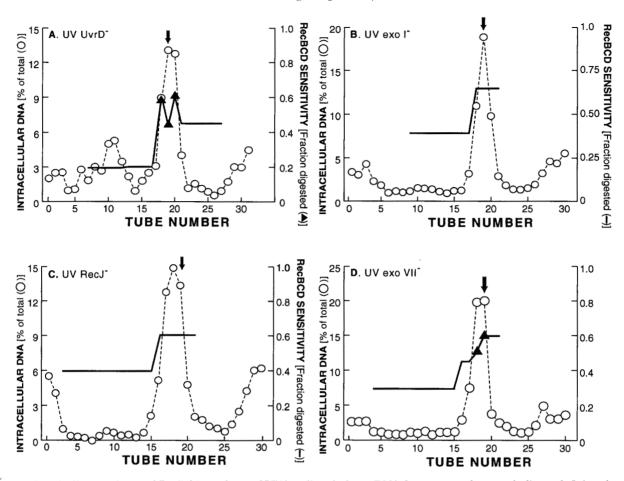


FIGURE 3.—Sedimentation and RecBCD analyses of UV-irradiated phage DNA from exonuclease-or helicase-deficient bacteria. Growth and ³H-thymidine labeling of Y1730 phages on Dam⁻ bacteria (strain WF2873), irradiation of phages to 100 J/m², infection of *uvrA*(λ) lysogens with indicated mutant phenotypes, extraction of intracellular DNA after 60 min, sedimentation in neutral sucrose together with linear ¹⁴C-labeled λ DNA (peak position, \downarrow), determination of percent of recovered ³H CPM in individual fractions (\blacktriangle), and of proportion of RecBCD-digestible ³H DNA in individual fractions (\bigstar) or pools of fractions (-), were as described under MATERIALS AND METHODS. RecBCD sensitivity corresponds to average between acid-soluble CPM and 1 – (fraction CPM precipitated). Representative gradients from three experiments are shown; RecBCD sensitivities varied by less than ±35% among experiments. Total ³H CPM recovered from gradients was 25,000–30,000; 1600–12,000 CPM were used to analyze RecBCD sensitivity. (A) UV-irradiated phage in UvrD⁻ bacteria (strain SM2503). (B) UV-irradiated phage in ExoVII⁻ bacteria (strain WF3112). (C) UV-irradiated phage in RecJ⁻ bacteria (strain WF3122). (D) UV-irradiated phage in ExoVII⁻ bacteria (strain WF3121).

"Dam⁻" phages irradiated to 100 J/m² lose ~80% of their biological activity within 40–60 min after (repressed) infection of Mut⁺ UvrA⁻ bacteria (FENG *et al.* 1991). Figure 2B shows that during this time all supercoiled DNA is eliminated, and ~25% degraded to very small fragments (last three tubes). Breakdown was more pronounced in cells overproducing MutS protein (Figure 2C): most of the products sedimented at the positions of full-length or slightly smaller linear λ DNA, or very small DNA (now 33% of total). In MutS⁻ bacteria (Figure 2D), most DNA appeared to sediment as supercoiled or nicked-circular DNA, but the resolution was not good enough to exclude linear products.

Linear dsDNA with blunt or near-blunt ends, a good substrate for RecBCD helicase/nuclease (TAYLOR and SMITH 1985), might be expected to initiate recombination by the RecBCD pathway. Also, linearized chromo-

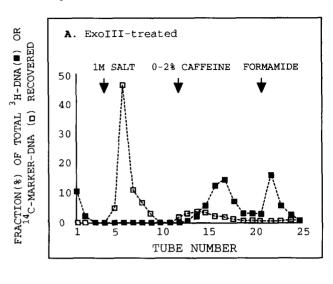
somes would be expected to transfect poorly, accounting for the previously observed loss in biological activity (FENG et al. 1991). We deproteinized aliquots from tubes (\blacktriangle) or pools of tubes (-----) and determined the fraction of DNA in each tube that was digestible by RecBCD nuclease. Nearly 60% of the DNA from Mut⁺ Exo^+ cells that sedimented at the velocity of linear λ DNA appeared RecBCD-sensitive (Figure 2B). The central peak therefore appears to be a mixture of RecBCDsensitive (near-) blunt-ended dsDNA, and RecBCD-resistant nicked-circular DNA and/or linear molecules with overhanging ssDNA tails. Linear DNA with singlestranded tails longer than 50 nt, or circular DNA with large gaps, is known to be resistant to RecBCD digestion (PRELL and WACKERNAGEL 1980), although excess enzyme is reported to slowly digest circular DNA with gaps >650 nt (TAYLOR and SMITH 1985). We verified that

under our reaction conditions, model substrates containing 275- or 650-nt gaps were fully RecBCD-resistant (see MATERIALS AND METHODS). DNA from a MutS⁻ infection showed no distinctive RecBCD-sensitive peak (Figure 2D). We cannot explain the significant background of RecBCD sensitivity across the gradient in this case; it might partially reflect breakage during manipulation of the fractions. The RecBCD nuclease preparation did not show any endonuclease activity in control reactions (see MATERIALS AND METHODS).

DNA from bacteria lacking post-MutHLS mismatchrepair activities-UvrD helicase, exonuclease I, exonuclease III, RecJ nuclease—was similarly analyzed by sedimentation and RecBCD treatment. In UvrD⁻ Mut⁺ bacteria (Figure 3A), loss of supercoiled DNA was pronounced but incomplete. Perhaps in the absence of UvrD helicase, DNA ligase sometimes reseals nicks introduced by MutHLS, and gyrase reintroduces supercoils. Very small DNA accounted for only 13% of the total. DNA from exonuclease-deficient cells (Figure 3, B-D) showed central peaks, at the positions of linear DNA, that were narrower than those in Exo⁺ gradients (Figure 2B), and there was less very small DNA. About 60% of the (deproteinized) DNA from UvrD⁻ or Exo⁻ bacteria (Figure 3) was RecBCD-sensitive, *i.e.*, appeared to consist of blunt-ended linear dsDNA, even though recombination was severely reduced in these cases (Figure 1). This suggests that intracellular linear dsDNA is not itself a sufficient substrate for recombination, because it lacks long ssDNA tails, or because its ends are protected by proteins, or for other reasons. Although the apparent background RecBCD sensitivity of the fastest-sedimenting DNA appeared as high as 30-40% in the Exo⁻ gradients, there was very little of this DNA (Figure 3, A–C).

We assayed for another structure expected to initiate recombination, namely ssDNA, in two ways. First, we analyzed fractions from neutral sucrose gradients by chromatography on BND-cellulose. Second, we exhaustively treated aliquots of the fractions whose RecBCD digestibility is shown in Figures 2, B and D, and 3, A-D with mung-bean endonuclease to remove all ssDNA, both in tails and at interior gaps, and then digested any newly formed blunt-ended linear DNA with RecBCD nuclease. The amount of DNA previously resistant to RecBCD nuclease, but now made susceptible, would correspond to the amount of DNA attached to ssDNA regions. The actual amount of ssDNA might be much less.

For preliminary calibration experiments with BNDcellulose, we digested λ DNA (from phage particles) to 10% ethanol solubility with exonuclease III, which selectively digests 5' \rightarrow 3' at dsDNA ends (RICHARDSON *et al.* 1964). A mixture of this partially single-stranded [³H]DNA and untreated linear λ [¹⁴C]DNA was applied to a BND-cellulose column, and eluted with a series of solutions (Figure 4A). Elution with 1 M NaCl displaced most of the fully dsDNA (\Box), but virtually no partially



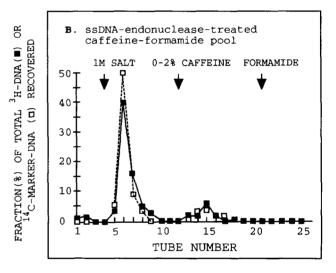


FIGURE 4.—BND-cellulose analysis of DNA standards. (A) Partially single-stranded λ DNA. Growth of λ Y1730 phages with [³H]thymidine or [¹⁴C]thymidine radiolabeling, preparation of phage DNA, treatment of [³H]DNA with exonuclease III to 10% ethanol solubility, and analysis by BND-cellulose chromatography, were as described under MATERIALS AND METHODS. A mixture of 3000 CPM (~300 ng) ExoIII-treated $[^{3}H]DNA$ (\blacksquare) plus untreated $[^{14}C]DNA$ (\Box) was loaded onto a BND-cellulose column and eluted successively with 0.3 M NaCl, 1 M NaCl, a 0-2% gradient of caffeine in 1 M NaCl, and a solution of 50% formamide in 1 M NaCl plus 2% caffeine. Typically 80-90% of loaded CPM was recovered in the tubes. Data represent fraction of total recovered. (B) Analysis of caffeine-formamide DNA with mung-bean endonuclease and BND-cellulose. DNA from fractions 14-25 from gradient shown in A, mostly ³H-labeled (■) was precipitated in EtOH, mixed with fresh untreated λ [¹⁴C]DNA (\Box), and treated with mung-bean endonuclease and rechromatographed on BNDcellulose, as described under MATERIALS AND METHODS.

ssDNA (\blacksquare). A gradient of 0–2% caffeine in 1 M NaCl eluted about half of the ssDNA, and a mixture of 50% formamide, 1 M NaCl and 2%-caffeine eluted another fourth. About 1/10 of the dsDNA was eluted by caffeine but not by 1 M salt. This was the case even with rechro-

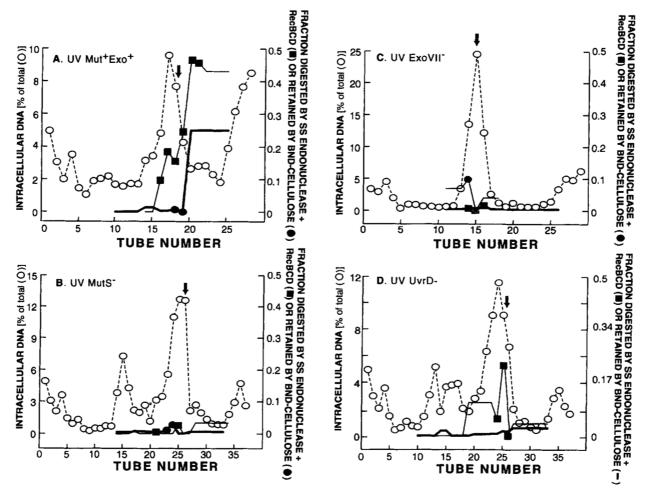


FIGURE 5.—Single-stranded character of intracellular phage DNA. Growth and [³H]thymidine labeling of Y1730 phages on Dam⁻ bacteria (strain WF2873), irradiation of phages to 100 J/M², infection of uvrA(λ) lysogene with indicated additional phenotypes, extraction of intracellular DNA after 60 min, sedimentation in neutral sucrose together with [¹⁴C]thymidine-labeled λ DNA (peak position, \downarrow), determinations of percent of recovered ³H CPM in individual tubes (\bigcirc), of fractions of DNA in individual tubes (\bigcirc) or pools of tubes (-) digestible by RecBCD nuclease after treatment with mung-bean endonuclease, and of fraction of DNA in individual tubes (\bigcirc) or pools of tubes (\bigcirc) or

matographed 1-M-salt-eluted material. DNA eluted by the caffeine-gradient and formamide steps, when treated with ssDNA/RNA specific mung-bean endonuclease (Figure 4B, ■), chromatographed identically with untreated dsDNA (□). The fraction of "caffeineformamide DNA" therefore reflects the fraction with some single-stranded character. The BND-cellulose technique could not be used to estimate the actual degree of single-strandedness: samples digested with exonuclease III to varying extent showed similar chromatographic properties (data not shown).

We fractionated DNA from repressed infections by neutral sucrose sedimentation and analyzed individual fractions or pools of fractions by BND-cellulose chromatography (Figure 5). Comparison of the gradient profiles in Figure 5 with corresponding profiles in Figures 2 and 3 indicates the reproducibility of the sedimentation analyses. We also measured, in aliquots of the fractions shown in Figures 2, B (Mut⁺UvrD⁺Exo⁺) and D (Mut⁻UvrD⁻Exo⁺), and 3, D (Mut⁺UvrD⁺ Exo-VII⁻) and A (Mut⁺UvrD⁻Exo⁺), the extent to which RecBCD sensitivity was increased by digestion with mung-bean ssDNA-endonuclease. To facilitate comparison of the two measures of ssDNA character, we replotted the fraction-by-fraction mung-bean-endonuclease/RecBCD-nuclease data obtained from the gradients shown in Figures 2, B and D, and 3, D and A, at the positions of the corresponding fractions of the gradients shown, respectively, in Figure 5, A–D, along with the BND-cellulose data for these latter gradients.

In Mut⁺UvrD⁺Exo⁺ bacteria, a significant fraction of material sedimenting more slowly than linear λ DNA (fractions 20–26) contained single-stranded regions, by both criteria. DNA corresponding to fractions 20–26

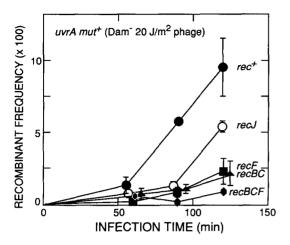


FIGURE 6.—Effects of *recF*, *recBC*, and *recJ* mutations on recombination. Growth of Dam⁻ λ Y1722 (*Aam Bam lac*⁺) and λ Y1730 (A^+B^+ *lacZ118*) phages, irradiation to 20 J/m², infections of indicated *uvrA*(λ) repressor-expressing bacteria, extraction of intracellular DNA at indicated times, electroporative transfection of Δ *lac recA* bacteria (strain WF2872), and measurement of A^+B^+ *lac*⁺ among A^+B^+ infective centers, were as described under MATERIALS AND METHODS. Data represent averages from two independent experiments, with ranges indicated. *uvrA* (λ) derivatives employed: *rec*⁺(\bullet), WF2905-(pWF1); *recJ*(\bigcirc), WF2908(pWF1); *recF*(\blacksquare), WF2902(pWF1); *recBC*(Δ), WF2916(pWF1); *recF recBC* (\bullet), WF2911(pWF1).

from a similar Mut⁺ UvrD⁺ Exo⁺ gradient, after purification by a second round of neutral-sucrose sedimentation, again chromatographed on BND-cellulose as if partially single stranded (data not shown). In contrast, MutS⁻, UvrD⁻, and ExoVII⁻ bacteria yielded little or no ssDNA, by either criterion (Figure 5, B–D). RecJ⁻ and ExoI⁻ bacteria showed patterns very similar to Exo-VII⁻ patterns (data not shown). Thus, only in Mut⁺ UvrD⁺ Exo⁺ bacteria did irradiated phages yield high recombinant frequencies (Figure 1), and high ssDNA levels (Figure 5A).

Dependence of recombination on RecF and RecBCD functions: HORII and CLARK (1973) hypothesized separate RecF- and RecBCD-dependent recombination pathways. If the recombination initiated here by mismatch repair of UV-irradiated DNA proceeds only via a RecF-dependent recombination pathway, or only via a RecBCD-dependent pathway, then recombination should be reduced by a *recF* mutation, but not a recB(C)mutation, or vice versa. If however, significant recombination takes place via each of two separate pathways, recF or recB(C) mutations should have only partial effects and recF recB(C) mutations should completely eliminate recombination. In fact, neither prediction was fulfilled: recombination appeared heavily dependent on both RecF and RecBCD functions (Figure 6). Perhaps structures processed respectively by RecF and RecBCD are both involved in the same recombination pathway, or distinct substrates initiate separate pathways that each require both RecF and RecBCD function.

DISCUSSION

Experiments described here, together with our earlier work (FENG et al. 1991), implicate seven mismatchrepair activities-MutH, MutL, and MutS, UvrD helicase, exonuclease I, exonuclease VII, and RecJ nuclease-in recombination of UV-irradiated nonreplicating d(GATC)-undermethylated phage λ DNA in UvrA⁻ bacteria, and in physical breakdown of irradiated DNA. This breakdown thus seems the likely cause of progressive loss of biological activity of the DNA in vivo (FENG et al. 1991). Breakdown products appear to include linear dsDNA, even in UvrD⁻ or Exo⁻ bacteria, in which recombination is very low; in contrast, significant amounts of ssDNA appear only in recombination-proficient Mut⁺ UvrD⁺ Exo⁺ cells. The strong dependence of this recombination on both RecF and RecBCD function suggests that the operative pathway or pathways differ from those postulated for conjugal and transductional recombination.

The severe effects of *uvrD*, *recJ*, *xonA* or *xseA* mutations on recombination (Figure 1) point to more than one function for the respective gene products, perhaps involving more than one recombinagenic substrate. Possible routes to recombinagenic DNA structures via mismatch "repair" of UV-irradiated d(GATC)-unmethylated DNA are depicted in Figure 7. MutHLS function is presumed to nick one or both strands at fully unmethylated d(GATC) sites (AU *et al.* 1992) [Figure 7, Step (1)]. UvrD helicase might be required not only to generate excision gaps [Figure 7 (2A)], but also to prevent religation of nearblunt dsDNA ends [Figure 7 (2B)], and perhaps facilitate resection of such ends by ssDNA-specific exonucleases [Figure 7 (4)].

Mispair-protected excision in vitro requires only ExoI if the d(GATC) is 5' to the mismatch [Figure 7 (2A)], or either ExoVII or RecJ exonuclease, if the d(GATC) is 3' to the mismatch (not shown, for simplicity) (Coo-PER et al. 1993; GRILLEY et al. 1993). If excision were the only role for these exonucleases here, and d(GATC) sites 5' to photoproducts were as likely to be incised as 3' sites, absence of ExoI, or of both ExoVII and Rec], should therefore have reduced recombination only by one-half; absence of only one $5' \rightarrow 3'$ exonuclease (Exo-VII or Rec]) should have had even less effect. The strong mutation effects (Figure 1) thus suggest multiple exonuclease roles. One or more exonucleases may help prevent ligation of near-blunt dsDNA ends [Figure 7 (2B)] and/or resect these ends to produce ssDNA overhangs [Figure 7 (4)]. Such overhangs might also be formed by endonucleolytic scission of ssDNA gaps where repair synthesis was delayed [Figure 7 (3B and 5)]. The gaps might themselves be recombinagenic [Figure 7 (6A)]. A gap resulting from blockage by a template photoproduct of repair synthesis [Figure 7 (3A)] would be formally identical to a daughter-strand

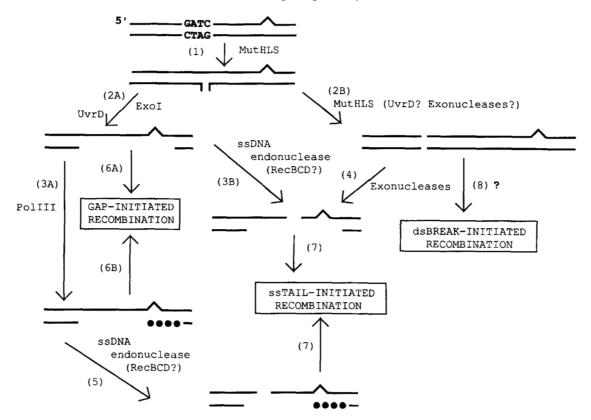


FIGURE 7.—Pathways for recombination initiated by UV photoproducts and MutHLS activity (see text for discussion). MutHLS recognizes DNA in which a UV photoproduct is near a fully unmethylated d(GATC) site (arbitrarily shown 5' to the photoproduct) and produces a nicked d(GATC) site (arbitrarily shown on the nonphotoproduct-containing strand) (1), which is converted by MutHLS into a dsDNA break (2B) or expanded into an excision gap (2A) by UvrD helicase + ExoI. dsDNA breaks might initiate recombination directly (8) or be resected by end-specific dsDNA exonucleases or helicase plus ssDNA exonucleases (4) to structures with ssDNA tails. Alternatively, PolIII might fill excision gaps by DNA synthesis (3A), until encounter with a photoproduct in the template strand, producing a partial-gap structure analogous to postulated daughter-strand gaps in semiconservatively replicating UV-irradiated DNA. Gapped structures might themselves initiate recombination (6A) or (6B), or be converted by endonucleases to ssDNA-tailed structures (3B, 5), which might then initiate recombination (7).

gap in semiconservatively replicating UV-irradiated DNA. Such blocked-resynthesis gaps might be more recombinagenic than delayed-resynthesis gaps [Figure 7 (6A) vs. (6B)] if, for example, RecA protein were already bound to photoproduct sites (LU et al. 1986). Recombination might also be initiated by 5'- or 3'ended ssDNA tails [Figure 7 (7)]. In model "threestrand" recombination reactions between circular ssDNA and linear dsDNA, reactions initiated by 3'-ssDNA ends, such as RecJ or ExoVII might produce by resection of linear dsDNA, appear favored (reviewed by KONFORTI and DAVIS 1992). However, initiation by a 5'-ssDNA end, as ExoI might produce by resection, can also be significant in certain circumstances (DUTREIX et al. 1991; KONFORTI and DAVIS 1991). Furthermore, 5'-ssDNA-initiated three-strand reactions go efficiently to completion when the 3'-ended ssDNA displaced during branch migration is continuously digested by ExoI (KONFORTI and DAVIS 1992; BEDALE et al. 1993). Exonucleases might play analogous roles here. In summary, the severe reductions in recombination seen in Figure 1 suggest that UvrD helicase and/or one or more exonucleases may act at one or more points downstream in the recombination pathway, as well as in initial MutHLS-coupled excision.

The positive correlation of recombination with the production of ssDNA fits well with the requirement for an ssDNA loading site for RecA in model reactions (reviewed by KOWALCZYKOWSKI et al. 1994), and with the postulated gap-filling role of recombination during replication of UV-damaged DNA (RUPP and HOWARD-FLANDERS 1968). Surprisingly, (near-) blunt-ended dsDNA, the substrate for RecBCD helicase/nuclease, appears to be stable in UvrD⁻ or Exo⁻ mutants [note the paucity of smaller fragments in Figure 3, A-D) and nonrecombinagenic (Figure 1)]. Linear dsDNA in Exo⁻ cells cannot have been protected against RecBCD simply by ssDNA tails (PRELL and WACKENAGEL 1980): mung-bean nuclease would have removed the tails and increased RecBCD-sensitivity, but this was not observed in UvrD⁻ or Exo⁻ experiments (Figure 4, C and D). Perhaps the dsDNA ends are protected in vivo by an unknown protein.

The recombination seen here does not proceed by a single pathway dependent on RecF but not RecBCD function, or vice versa. This contrasts with evidence for separate RecF- and RecBCD-dependent pathways for conjugal recombination and transduction (HORII and CLARK 1973) and for recombinational toleration of unrepaired DNA damage (SMITH and SHARMA 1987; SMITH and WANG 1989). The fact that *recF* and *recB recC* are here each nearly as recombination-deficient as *recF recB recC* mutants argues also against roughly equal recombinant production by two independent pathways. Perhaps both activities act in the same pathway. For example, RecBCD helicase might open up (blunt-ended) dsDNA to produce ssDNA ends, and RecF facilitate recombination of ssDNA gaps with these ends. This dual-substrate model would explain as well the apparent failure of the linear dsDNA produced in Exo⁻ repressed infections to initiate recombination in the absence of ssDNA (Figures 1, 4, and 5).

The semiquantitative correlation of recombination with the presence of ssDNA among the breakdown products of UV-irradiated DNA, seen in this initial analysis, points the way to more refined studies of recombining DNA *in vivo*. By adjusting the ratios of irradiated to unirradiated DNA and the levels of rate-limiting activities, it should be possible to simultaneously maximize measurable recombination and observable DNA intermediates. Analyses of precursor-product relationships should then yield new insights into the structures of key recombination substrates.

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