

Invited Editorial: Anticipation Legitimized: Unstable DNA to the Rescue

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The discovery and recognition of new mechanisms of genome organization and function are not common events. Furthermore, they are usually the result of the gradual accumulation of evidence rather than sudden revelation. Genome properties that are contrary to existing dogma find particular difficulties in being accepted. Transposable elements and introns were beyond most of our imaginations until they had been convincingly demonstrated.

In this issue, Harper et al. (1992) revive and give legitimacy to an old and generally discredited genetic phenomenon, anticipation. Anticipation denotes the increasing severity or earlier age at onset of a genetic disease in successive generations. While clinicians, since early this century, have been impressed by anticipation in myotonic dystrophy (DM), the concept had not found favor with geneticists, for two main reasons. As Harper et al. point out, the concept was associated with "degeneration," and such a concept was not acceptable to the strongly anti-eugenic and highly influential geneticist Lionel Penrose, who ascribed anticipation to no more than ascertainment bias (Penrose 1948). Furthermore, the genome was considered to be stable, and there was no known mechanism by which this virtually Lamarckian entity could operate.

The cloning of the fragile X (Oberlé et al. 1991; Yu et al. 1991) provided a mechanism for anticipation (Fu et al. 1991; Sutherland et al. 1991; Yu et al. 1992). Since the detailed segregation studies by Sherman et al. (1984, 1985), this disorder had been recognized as having unusual genetic properties. These studies

confirmed that this X-linked disorder could have asymptomatic male carriers of the mutation, and they also showed that the penetrance of mental impairment in children depended on the phenotype of their mother. The disorder manifested a form of anticipation that became known as the "Sherman Paradox." This paradox was that the mothers and daughters of asymptomatic males who carried the fragile-X mutation had very different risks of having mentally handicapped offspring, yet these two groups of women were supposed to be genetically identical at the fragile-X locus—after all, they both had the same part of the same X chromosome.

The fragile X was rapidly confirmed to be due to a heritable unstable DNA sequence (Nakahori et al. 1991; Verkerk et al. 1991). This instability was due to changes in copy number of a trinucleotide repeat p(CCG)_n (Kremer et al. 1991) that appears to be in the 5'-untranslated region of a gene (Yu et al. 1992), *FMR-1* (Verkerk et al. 1991), whose transcription is blocked in fragile-X syndrome (Pieretti et al. 1991).

One fascinating property of the unstable sequence is that the repeat copy number usually increases when it is transmitted by women (decreases have been seen, however), whereas it usually remains the same size or decreases when transmitted by men. This means that no two individuals in a fragile-X family need be genetically identical at this locus. Furthermore, at high copy numbers, the sequence is somatically unstable, such that different cells in a single tissue, as well as different tissues, are also genetically different at this locus. We postulated that such an unstable sequence could account for a number of ill-understood genetic phenomena, including anticipation in DM (Sutherland et al. 1991). Both the isolation of the DM gene (*DM-1*) (Brook et al. 1992; Fu et al. 1992; Mahadevan et al. 1992) and the recognition that it contains an unstable DNA sequence (Aslanidis et al. 1992; Buxton et al. 1992; Harley et al. 1992) provided the mechanism for anticipation that this disorder manifests so strikingly;

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and it gave comfort to the clinicians who had observed the anticipation, only to be told by their geneticist colleagues that it was only a mirage.

The unstable sequence in *DM-1* is also a trinucleotide repeat p(AGC)_n of composition identical to that amplified in the androgen-receptor gene of individuals with Kennedy disease (La Spada et al. 1991). In *DM* it has been observed only to increase in size when transmitted. The copy number of the trinucleotide correlates well with the severity of the disease, although the birth of children with congenital *DM* only to women with the disease is yet to be accounted for. The repeat is transcribed but appears to be in the 3'-untranslated region of a gene whose product is predicted to be a member of the protein kinase family (Fu et al. 1992).

The recognition that unstable DNA has a different mechanism of mutation requires a reconsideration of what mutation is. Mutations of all types previously recognized were *static* mutations; that is, the product of a mutation was no more likely to undergo further change than was the original DNA sequence. Unstable DNA can be considered to be the result of *dynamic* mutation (Pirsig 1991; Richards and Sutherland 1992), where the initial change to a DNA sequence alters the chance of further changes to it.

The concept that only one type of mutation can account for all disease-gene segregation patterns necessarily colors attempts to make observations fit dogma. When a good fit is not found, the validity of the observations can be doubted, or, traditionally, in genetics, some modifying agent is invoked, and terms such as "incomplete penetrance," "variable expression," "different genetic background," "multifactorial effects," etc., are spawned. While some of these terms undoubtedly describe observations, they all reveal that something else is happening and that we do not know what it is. Now that molecular analysis of *DM* and fragile-X syndrome has revealed unstable DNA as the basis of their mutational mechanism, it may be time to abandon terminology that indicates ignorance and to refer to these as disorders due to unstable DNA and a dynamic mutation mechanism.

In the immediate future it will be of interest to determine which genetic characteristics—e.g. anticipation, incomplete penetrance, variable expression, etc.—are common to all disorders that have a heritable unstable element as their molecular basis. For this reason there has been some reluctance to include Kennedy disease in the category of disorders caused by dynamic muta-

tion. It should be pointed out, however, that the apparently "normal" genetics in this disorder is still in keeping with the behavior of the unstable element, since, in Kennedy disease, the copy number of the repeat has not yet been observed to amplify to the lengths that accord instability in fragile-X syndrome and *DM*. It may well be that such amplification either is lethal or causes a substantially different phenotype that as yet is not associated with the androgen-receptor gene. It is worth noting that, in Kennedy disease, the repeat, located in the androgen receptor gene is within the coding region, and that amplification, therefore, has a qualitative effect on the encoded protein—that is, it affects function. The repeats in *DM-1*—and probably those in *FMR-1*—are located in untranslated regions and therefore only have quantitative effects on the gene product. This is exemplified in the case of fragile-X syndrome, where the majority of fragile-X males have no *FMR-1* mRNA (Pieretti et al. 1991).

The discovery of unstable DNA sequences means that genetic concepts that reflect the rules obeyed by static mutations may no longer be appropriate. There are messages in the resurrection of anticipation, for both the laboratory geneticist and the clinical geneticist. Clinical observations are important. The fact that they may be ideologically unpopular or that there is no known mechanism to explain them should not be used as reason to deny their validity.

Many genetic diseases are relatively rare. Because fragile-X syndrome and *DM* are common, however, they were recognized to have unusual features to their inheritance, and many families were available for study. How many of the less common diseases have similar properties? How many others that do not fit with classical Mendelian inheritance may provide clues to other unstable sequences in the genome or other mechanisms that we have not yet even imagined to exist?

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